

Figure 4 | Apigenin improves glucose tolerance in miR103 transgenic mice. (a), Expression levels of mature miR103, miR122, and miR185 in liver tissues of miR103 transgenic mice (miR103 Tg) were determined by Northern blotting. (b), Expression levels of mature miR103 and its precursor in liver tissues of miR10-transgenic mice treated with apigenin were determined by Northern blotting. Control (DMSO) or apigenin (40 mg/kg) was injected intraperitoneally daily for 14 days. Representative results from three independent mouse sets are shown. (c), Liver tissue homogenates from miR103 transgenic mice were separated using a phos-tag gel to determine the phosphorylation status of TRBP. Representative results from three independent mouse sets are shown. Full-length blot image is available in Supplementary Figure 5g. (d), Blood glucose levels were determined at random times or after 12 h fasting in control and miR103 transgenic (miR103 Tg) mice ($n = 8$ in each group). Data represent the means \pm s.d. *, $p < 0.05$ (t -test). (e), (f), Glucose and pyruvate tolerance tests in control, miR103 transgenic (miR103 Tg), and miR103 transgenic with apigenin treatment (miR103 Tg + apigenin) mice ($n = 6$ in each group). Data represent the means \pm s.d. *, $p < 0.05$ (t -test).

showed impaired glucose tolerance after an intraperitoneal glucose injection, apigenin treatment significantly suppressed these phenomena (Figure 4e). Similarly, while miR103 transgenic mice showed increased glucose production during an intraperitoneal pyruvate-tolerance test, apigenin treatment also suppressed these effects (Figure 4f). In addition, an increased number of small adipocytes and a decreased number of large adipocytes were observed in apigenin-treated miR103 transgenic mice (Supplementary Figure 3e and f). These results suggest that apigenin may have beneficial effects on pathological conditions in miR103 transgenic mice.

Discussion

In this study, we demonstrated that apigenin (4',5,7-trihydroxyflavone) has inhibitory effects on the maturation processes of a subset of miRNAs and subsequent miRNA function. These effects may be mediated through inhibition of TRBP phosphorylation, possibly through inhibition of Erk activation. These results suggest that apigenin may be utilized to improve miRNA-mediated pathogenic states, such as glucose tolerance, induced by the over-expression of miRNA103.

Bioactive substances, such as caffeine and polyphenols, have been reported to have pleiotropic physiological effects^{3,20}. However, those phenomena are descriptive in most cases and the underlying mechanisms are largely unclear. Apigenin, which is present in many fruits and vegetables, also has diverse biological effects, including improvement of the cancer cell response to chemotherapy²¹, tumorigenesis^{13,22}, modulating immune cell function²³, and anti-platelet activity²⁴. In this study, we showed that apigenin inhibits TRBP phosphorylation and its related miRNA maturation through inhibition of MAPK Erk activation. This modulation of miRNA function

by apigenin may account, at least in part, for its various reported biological effects.

Phosphorylation of TRBP is mediated by Erk¹⁵. We showed clear inhibition of Erk phosphorylation by apigenin. Although previous studies have reported the inhibition of Erk activation by apigenin^{16–19}, the underlying mechanisms were unknown. Because Erk has many biological functions in intracellular signaling, modulation of TRBP phosphorylation and miRNA expression induced by Erk inhibition through apigenin is likely a part of the phenotype. To clarify the biological function of apigenin, identification of molecules on which apigenin directly acts must be the next step.

Another important finding in this study was the impaired glucose tolerance observed in miR103 transgenic mice. Previous studies showed that recombinant adenoviruses expressing the miR103/107 family (only one nucleotide difference in miR103 and miR107 at position 21) and a gain of miR103/107 function by transient infection in mice was sufficient to induce impaired glucose homeostasis, and these miRNAs play a central role in insulin sensitivity¹⁰. In this study, we confirmed that constitutive expression of miR103 in mice resulted in impaired glucose tolerance and increased size of adipocytes. These mice may represent a new *in vivo* model of metabolic disorders and facilitate development of new drugs targeting impaired glucose tolerance. In fact, we found that apigenin reversed impaired glucose tolerance in miR103-transgenic mice. Because apigenin is one of the flavonoids and is present in high content in celery and parsley, intake of apigenin from foods or dietary supplements may have some favorable effects on glucose intolerance induced by overexpression of miRNA levels, even if it does not completely overcome impaired glucose tolerance.



Phosphorylated TRBP is not related to the maturation of all miRNAs, but rather a subset of miRNAs¹⁵. With this respect, apigenin may have favorable effects on the pathogenic status induced by overexpression or overfunction of the miRNAs to which phosphorylated TRBP is related. Maturation of miR122, a liver-specific miRNA, is at least partly regulated by apigenin, as shown in our study, its crucial function in cholesterol synthesis^{11,25–28}, and hepatitis c viral replication^{29,30}. Therefore, apigenin may also have beneficial effects on these conditions. Other effects of apigenin on the pathological state may be necessary to reconsider from the point of view of overexpression or overfunction of specific miRNAs.

Simultaneously, one should be cautious about the modulation of miRNA function by apigenin. Because some miRNAs may have favorable effects on human health, apigenin might be harmful if it inhibits the maturation and function of such miRNAs. For example, inhibitory effects on tumor-suppressive miRNAs should be avoided. Caution regarding these issues is necessary and, in parallel, the biological functions of miRNAs in general should be further examined.

In summary, we showed that apigenin displays inhibitory effects on the phosphorylation of TRBP and its subsequent miRNA maturation and function through regulation of Erk activity. Decreasing miRNA function may be used for treatment of conditions induced by over-functioning of miRNAs. Moreover, clarifying the as-yet-undiscovered functions of bioactive substances is important. Similar strategies to those used here may also be applied to other bioactive substances whose effects have been reported but the mechanisms are as yet undetermined.

Methods

Cell culture. The human hepatocellular carcinoma cell lines, Huh7 and Hep3B, were obtained from the Japanese Collection of Research Bioresources (JCRB, Osaka, Japan). All cells were maintained in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum.

Reagents. Caffeine, apigenin and chlorogenic acid were purchased from Wako Chemicals (Osaka, Japan). Procyanidin A2 and B2 were purchased from Indofine Chemical (Hillsborough, NJ) and ChromaDex (Irvine, CA). Caffeine, chlorogenic acid and procyanidin B2 were dissolved in water. Apigenin and procyanidin A2 were dissolved in dimethyl sulfoxide (DMSO). Caffeine, chlorogenic acid and procyanidin A2 and B2 were added at concentrations of 20 μ M, 10 μ M, 50 μ g/mL, and 50 μ g/mL, respectively, as reported previously^{31–34}. Apigenin was used at 10 μ M unless otherwise specified for *in vitro* studies, and 40 mg/kg was used for intraperitoneal injection daily for *in vivo* studies. An equal volume of DMSO only was used as a negative control.

Mouse experiments. Experimental protocols were approved by the Ethics Committee for Animal Experimentation at the Graduate School of Medicine, the University of Tokyo and the Institute for Adult Disease, Asahi Life Foundation, Japan and conducted in accordance with the Guidelines for the Care and Use of Laboratory Animals of the Department of Medicine, the University of Tokyo, and the Institute for Adult Disease, Asahi Life Foundation.

Plasmids, transfection and dual luciferase assays. Plasmids expressing miR122 and miR185 precursors and the corresponding firefly luciferase-based reporters have been described previously^{8,35}. Plasmids expressing miRNA-103 precursors and the corresponding luciferase reporter were newly constructed according to protocols reported previously⁸. To determine MAPK pathway activity, SRE-driven luciferase was transfected, and dual luciferase assays were performed as described previously⁹, with the exception that pGL4.74, a control plasmid containing *Renilla reniformis* (sea pansy) luciferase under control of the herpes simplex virus thymidine kinase promoter (Promega), was used as an internal control. Chemicals were added at 24 h and the reporter assays were done 48 h post-transfection. Constitutively active MEK1 (DD) and dominant negative Erk(K/N) constructs with zeocin resistance genes were kindly provided by Prof. Takekawa (The Institute of Medical Sciences, the University of Tokyo)³⁶. After transfection, the cells were selected with 6 μ g/mL zeocin to establish cells stably expressing those constructs.

Western blot analysis. Protein lysates were prepared from cells or mouse liver tissues for immunoblotting analyses. Western blotting was performed as described previously⁸. Primary antibodies were purchased from Sigma (DGCR8, #SAB4200088; Dicer, #SAB4200087; TRBP2, #SAB4200111; β -actin, #A5441), Bethyl (KSRP, A302-021), Wako (Ago2, 015-22031), and Cell Signaling (Drosha, #D28B1; Phospho-Erk, #9101; Total Erk, #4695; myc-tag, #2276; Caveolin, #3267).

Northern blotting of miRNAs. Northern blotting of miRNAs was performed as described previously⁹. Briefly, total RNA was extracted using TRIzol Reagent

(Invitrogen, Carlsbad, CA) according to the manufacturer's instructions. Ten micrograms of RNA were resolved in denaturing 15% polyacrylamide gels containing 7 M urea in 1 \times TBE and then transferred to a Hybond N+ membrane (GE Healthcare) in 0.25 \times TBE. Membranes were UV-crosslinked and prehybridized in hybridization buffer. Hybridization was performed overnight at 42°C in ULTRAhyb-Oligo Buffer (Ambion) containing a biotinylated probe specific for miR122 (CAA ACA CCA TTG TCA CAC TCC A), miR103 (TCA TAG CCC TGT ACA ATG CTG CT), miR185 (TCA GGA ACT GCC TTT CTC TCC A), and let-7g (AAC TGT ACA AAC TAC TAC CTC A), which had been heated to 95°C for 2 min. Membranes were washed at 42°C in 2 \times SSC containing 0.1% SDS, and the bound probe was visualized using a BrightStar BioDetect Kit (Ambion). Blots were stripped by boiling in a solution containing 0.1% SDS and 5 mM EDTA for 10 min prior to rehybridization with a U6 probe (CAC GAA TTT GCG TGT CAT CCT T).

Quantitative RT-PCR analysis of miRNA expression. To determine miR122, miR103, miR185, and let-7g expression levels, cDNA was first synthesized from RNA, and quantitative PCR was then performed using Mir-X miRNA First-Strand Synthesis and SYBR qRT-PCR Kit (Clontech). The expression levels of miRNA precursor were determined according to the previous report³⁷ using the reported primers. Relative expression values were calculated by the CT-based calibrated standard curve method. These calculated values were then normalized to the expression of U6 snRNA. The reverse primer was provided in the kit.

Determining TRBP phosphorylation status. Plasmids expressing wild-type TRBP and kinase-dead TRBP (TRBP SAA) were kindly provided by Professor Liu¹⁵. Twenty-four hours after transfection into Huh7 cells with corresponding plasmids, substances were treated for 24 h, and cell lysates were collected for subsequent Western blotting. To better discriminate the phosphorylated form of TRBP from the unphosphorylated form, a Mn2+-Phos-tag SDS-PAGE gel (Wako) was used according to the manufacturer's instructions.

Generation of miR103-expressing transgenic mice. To construct transgenic mice, plasmids expressing miRNA-103 precursors were modified as follows: to add the SV40 poly(A) tail signal downstream of the miR103 precursor sequences, the pCDH-miR103 precursor-expressing plasmid was digested at the *NotI* restriction site, and PCR-amplified poly(A) tail signal sequences were digested with *ClaI* from the original plasmid as a template was inserted by the Infusion cloning system (Clontech, Mountain View, CA). A DNA fragment of 1,125 bp, containing the CMV promoter region, the 470-bp genomic region for the miR103 precursor, and a SV40 poly(A) tail signal, was resected from the constructed plasmid by digestion with *ClaI*. Stable C57BL/6 embryonic stem (ES) cell lines were generated by electroporation of the linearized transgene, and the resulting cells were injected into blastocysts by the UNITECH Company (Chiba, Japan). Genotyping was performed by PCR using DNA isolated from tail snips. Four different mouse lines were maintained and the male littermates were used in the experiments.

Glucose test. Blood glucose was tested using a Glucose Pilot system (Iwai Chemical, Japan). Glucose tolerance and pyruvate tolerance tests were performed by intraperitoneal injection of glucose (2 g/kg) or pyruvate (2 g/kg) after fasting overnight. Blood glucose levels were measured before injection (0 min) and at 15, 30, 60, and 120 min after injection.

Adipocyte size. Visceral fat tissues stained with hematoxylin and eosin were analyzed using the Image-J software. One hundred adipocytes were measured per animal to determine adipocyte size. The high-fat diet was purchased from CLEA-Japan (Tokyo, Japan).

miRNA microarray analyses. miRNA microarray analysis was performed using miRNA oligo chips (Toray Industries, Tokyo, Japan). Normalization was performed using the intensities from U6, instead of the standard global normalization. The data and the protocols were deposited in a public database (Please refer the following link during the review process; <http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?token=frwpxomkicoicte&acc=GSE46526>).

Statistical analysis. Statistically significant differences between groups were determined using Student's *t*-test when variances were equal. When variances were unequal, Welch's *t*-test was used. *P*-values less than 0.05 were considered to indicate statistical significance.

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Author contributions

M. Ohno, C.S. and M. Otsuka planned the research and wrote the paper. M. Ohno, C.S., T.K., T.Y., A.T., K. Kojima, and M. Otsuka performed the majority of the experiments. M.A. and H.Y. contributed materials. Y.K. supported several experiments. K. Koike supervised the entire project.

Additional information

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A genome-wide association study of HCV-induced liver cirrhosis in the Japanese population identifies novel susceptibility loci at the MHC region

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Background & Aims: We performed a genome-wide association study (GWAS) of hepatitis C virus (HCV)-induced liver cirrhosis (LC) to identify predictive biomarkers for the risk of LC in patients with chronic hepatitis C (CHC).

Methods: A total of 682 HCV-induced LC cases and 1045 CHC patients of Japanese origin were genotyped by Illumina Human Hap 610-Quad bead chip.

Results: Eight SNPs which showed possible associations ($p < 1.0 \times 10^{-5}$) at the GWAS stage were further genotyped using 936 LC cases and 3809 CHC patients. We found that two SNPs within the major histocompatibility complex (MHC) region on chromosome 6p21, rs910049 and rs3135363, were significantly associated with the progression from CHC to LC ($p_{\text{combined}} = 9.15 \times 10^{-11}$ and 1.45×10^{-10} , odds ratio (OR) = 1.46 and 1.37, respectively). We also found that *HLA-DQA1*0601* and *HLA-DRB1*0405* were associated with the progression from CHC to LC ($p = 4.53 \times 10^{-4}$ and 1.54×10^{-4} with OR = 2.80 and 1.45, respectively). Multiple logistic regression analysis revealed that rs3135363, rs910049, and *HLA-DQA1*0601* were independently associated with the risk of HCV-induced LC. In addition, individ-

uals with four or more risk alleles for these three loci have a 2.83-fold higher risk for LC than those with no risk allele, indicating the cumulative effects of these variations.

Conclusions: Our findings elucidated the crucial roles of multiple genetic variations within the MHC region as prognostic/predictive biomarkers for CHC patients.

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Introduction

Two million people in Japan and 210 million people worldwide are estimated to be infected with the hepatitis C virus (HCV), which is known to be a major cause of chronic viral liver disease [1]. Patients with chronic hepatitis C (CHC) usually exhibit mild inflammatory symptoms, but are at a significantly high risk for developing liver cirrhosis (LC) and hepatocellular carcinoma [2]. More than 400,000 people at present suffer from LC, which is ranked as the 9th major cause of death in Japan. In addition, liver cancer causes approximately 32,000 deaths per year, making it the 4th most common cause of death from malignant diseases. Thus, HCV-related diseases are important public health problems [3].

Clinical outcomes after the exposure to HCV vary enormously among individuals. Approximately 70% of infected persons will develop chronic hepatitis [4], and about 20–30% of CHC patients will develop cirrhosis, but others can remain asymptomatic for decades [2]. The annual death rate of patients with decompensated cirrhosis is as high as 15–30% [5]. Moreover, more than 7% of LC patients develop hepatocellular cancer in Japan and Taiwan, while the frequencies are less than 1.6% among other ethnic groups [6,7]. These inter-individual and inter-ethnic differences have been attributed to various factors such as viral genotypes,

Keywords: Genome-wide association study; Hepatitis C virus; Liver cirrhosis; Major histocompatibility complex.

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Abbreviations: CHC, chronic hepatitis C; GWAS, genome-wide association study; HCV, hepatitis C virus; LC, liver cirrhosis; MHC, major histocompatibility complex; OR, odds ratio; PBC, primary biliary cirrhosis; SNPs, single nucleotide polymorphisms.



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Table 1. Characteristics of samples and methods used in this study.

Stage	Source	Platform	Number of samples	Female (%)	Age, yr (mean \pm SD)
GWAS					
Liver cirrhosis	BioBank Japan	Illumina Human Hap 610	682	313 (46.3)	67.1 \pm 9.7
Chronic hepatitis C ^a	Hiroshima University	Illumina Human Hap 610	1045	371 (35.5)	55.2 \pm 11.0
Replication					
Liver cirrhosis	Tokyo University	Invader assay	716	334 (46.8)	64.4 \pm 10.4
	Hiroshima University		220	98 (44.5)	64.7 \pm 8.98
Chronic hepatitis C ^a	BioBank Japan	Invader assay	1670	780 (46.8)	59.7 \pm 12.6
	Hiroshima University		2139	1061 (51.8)	58.8 \pm 9.20

^aNumber of samples that qualified. CHC patients with severe liver fibrosis (F3 or F4) or lower platelet counts (<160,000) were excluded.

alcohol consumption, age at infection, co-infection of HIV or HBV [8–10], insulin resistance, steatosis, and metabolic syndrome [11]. Previous gene expression analyses also identified various genes associated with liver fibrosis among patients with CHC [12–14]. In addition, miRNAs such as mir-21 and mir-122 were shown to be correlated with liver fibrosis [15,16].

Currently, the genome-wide association study is the most common method to identify genetic variations associated with disease risk [17–20]. In addition, the roles of genetic factors in HCV-related diseases have been elucidated. *IL28B* is associated with spontaneous clearance of HCV [21] as well as with the clinical response to the combination therapy of pegylated interferon and ribavirin [22,23]. Recently, our group has shown that SNP rs2596542 on *MICA* [24] and SNP rs1012068 on *DEPDC5* [25] are significantly associated with HCV-induced liver cancer. Although liver cirrhosis is the major risk factor of liver cancer, a fraction of CHC patients will develop HCC without accompanying LC. Therefore, the underlying genetic background would be different between HCV-induced LC and HCV-induced HCC. Previous studies identified the association of genetic variants in *HLA-DQ/DR/B* [26–28], *2-5AS* [29], *TLR3* [30], and *PNPLA3* [31] with the risk of liver fibrosis among patients with CHC. However, a comprehensive approach for HCV-induced LC has not been conducted so far. Here we performed GWAS of HCV-induced LC to identify predictive biomarkers for the risk of LC in patients with CHC.

Materials and methods

Ethics statement

All subjects provided written informed consent. This project was approved by the ethical committees at University of Tokyo, Hiroshima University, Sapporo Kosei General Hospital, Toranomon Hospital, and Center for Genomic Medicine, Institutes of Physical and Chemical Research (RIKEN).

Study population

The characteristics of each cohort are shown in Table 1. In this study, we conducted GWAS and replication analysis on a total of 1618 HCV-induced LC and 4854 CHC patients. All subjects had abnormal levels of serum alanine transaminase for more than 6 months and were positive for both HCV antibody and serum HCV RNA. Among 1618 LC and 4854 CHC samples, 342 LC patients (21.14%) and 2997 CHC patients (61.70%) underwent liver biopsy. The remaining 1276 LC and 1857 CHC patients were diagnosed by non-invasive methods including hepatic imaging (e.g., ultrasonography, computed tomography, arteriography or magnetic resonance imaging), biochemical data (serum bilirubin, serum albumin, platelet, or prothrombin time), and the presence/absence of clinical manifestations of portal hypertension (e.g., varices, encephalopathy or ascites). The patients with CHC

or LC were recruited for this study regardless of their treatment history. We excluded from the analysis the followings CHC patients: (1) advanced liver fibrosis (F3 or F4 by New Inuyama classification) [32], (2) platelet count under 160,000 for patients without liver fibrosis staging, and (3) HBV co-infection. Characteristics of each study cohort are shown in Table 1. In brief, DNA of HCV-induced LC and CHC patients was obtained from Biobank Japan (<http://biobank.jp.org/>) [33], the Hiroshima Liver Study Group (<http://home.hiroshima-u.ac.jp/naika1/researchprofile/pdf/liverstudygroupe.pdf>), Toranomon Hospital, and the University of Tokyo. All subjects were of Japanese origin.

SNP genotyping

Genomic DNA was extracted from peripheral blood leukocytes using a standard method. In GWAS, we genotyped 682 LC and 1045 CHC samples using Illumina Human Hap 610-Quad bead Chip (Supplementary Fig. 1). Samples with low call rate (<0.98) were excluded from our analysis (six LC and two CHC samples). We then applied SNP quality control as follows: call rate \geq 0.99 in LC and CHC samples, Hardy-Weinberg $p \geq 1 \times 10^{-6}$ in LC and CHC samples. Consequently, 461,992 SNPs on the autosomal chromosomes passed the quality control filters. SNPs with minor allele frequency of <0.01 in both LC and CHC samples were excluded from further analyses, considering statistical power in the replication analysis. Finally, we analyzed 431,618 SNPs in GWAS. Among the top ten SNPs showing $p < 1.0 \times 10^{-5}$, we selected nine SNPs for further analysis with LD threshold of $r^2 = 0.95$. In the replication stage, we genotyped 936 LC and 3809 CHC using multiplex PCR-based Invader assay (Third Wave Technologies).

Statistical analysis

The association of SNPs with the phenotype in the GWAS, replication stage, and combined analyses was tested by logistic regression analysis, upon adjusting for age at recruitment (continuous) and gender, by assuming additive model using PLINK [34]. In the GWAS, the genetic inflation factor λ was derived by applying logistic regressed p values for all the tested SNPs. The quantile-quantile plot was drawn using R program. The odds ratios were calculated using the non-susceptible allele as reference, unless stated otherwise. The combined analysis of GWAS and replication stage was verified by using the Mantel-Haenszel method. We set the significance threshold as follows; $p = 1 \times 10^{-5}$ in the GWAS stage (first stage) and $p = 6.25 \times 10^{-3}$ ($=0.05/8$) in the replication analysis. We considered $p < 5 \times 10^{-8}$ as threshold of GWAS significance in the combined analysis, which is the Bonferroni-corrected threshold for the number of independent SNPs genotyped in HapMap Phase II [35]. The heterogeneity across two stages was examined by using the Breslow-Day test [36]. We used Haploview software to analyze the association of haplotypes and LD values between SNPs. Quality control for SNPs was applied as follows: call rate \geq 0.95 in LC and CHC samples, and Hardy-Weinberg $p \geq 1 \times 10^{-6}$ in CHC samples in replication stage. The statistical power was 19.51% in GWAS (the first stage) ($p = 1.00 \times 10^{-5}$), 97.98% in replication ($p = 0.05/8$), and 74.76% in the combined stage ($p = 5.00 \times 10^{-8}$) at minor allele frequency of 0.3 and OR of 1.3.

Imputation-based association analysis of HLA class I and class II alleles

We obtained an SNP or a combination of SNPs which could tag the HLA alleles in the Japanese population from a previous study [37]. Genotypes of tagging SNPs were imputed in the GWAS samples by using a Hidden Markov model programmed in MACH [38] and haplotype information from HapMap JPT samples

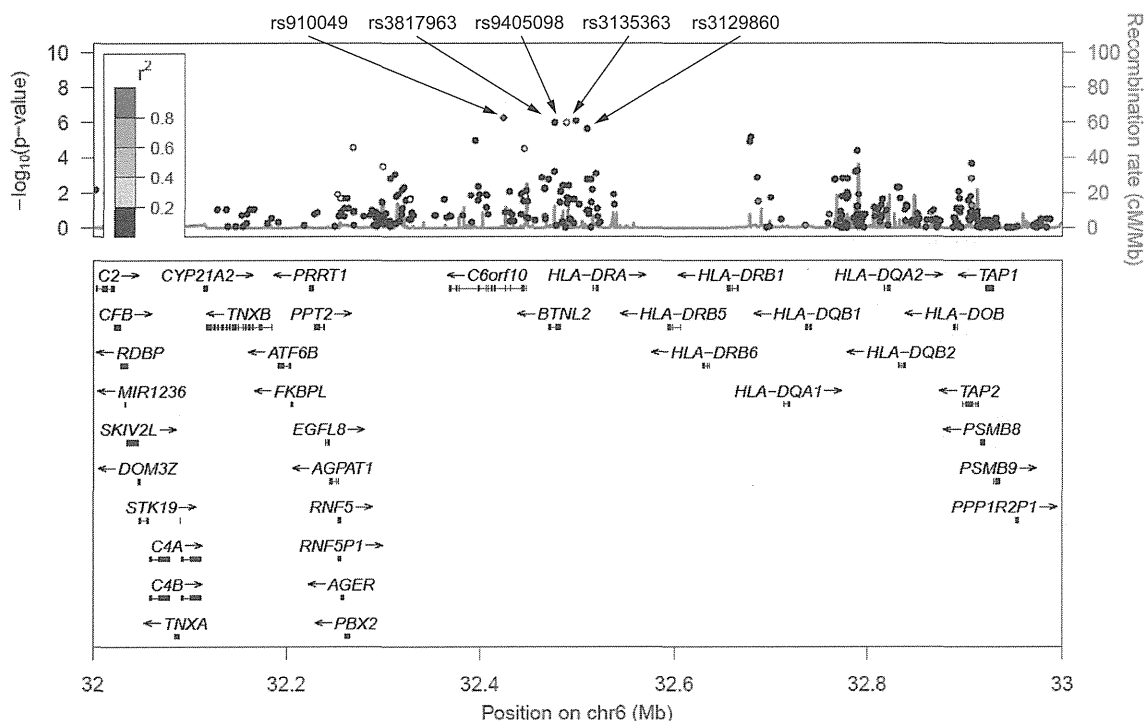


Fig. 1. Regional association plot at 6p21.3. (Upper panel) p Values of genotyped SNPs (circle) and imputed SNPs (cross) are plotted (as $-\log_{10} p$ value) against their physical position on chromosome 6 (NCBI Build 36). The p value for rs910049 at GWAS is represented by a purple diamond. Estimated recombination rates from HapMap JPT show the local LD structure. Inset; the color of the other SNPs indicates LD with rs3135363 according to a scale from $r^2 = 0$ to $r^2 = 1$ based on pair-wise r^2 values from HapMap JPT. (Lower panel) Gene annotations from the University of California Santa Cruz genome browser.

and 1000 genome imputation samples [39]. We applied the same SNP quality criteria as in GWAS, to select SNPs for the analysis. We employed the logistic regression analysis upon age and gender adjustment to assess the associations between HCV-induced LC and HLA alleles.

Software

For general statistical analysis, we employed R statistical environment version 2.9.1 (cran.r-project.org) or plink-1.06 (pngu.mgh.harvard.edu/~purcell/plink/). The Haploview software version 4.2 [40] was used to calculate LD and to draw Manhattan plot. Primer3 -web v0.3.0 (<http://frodo.wi.mit.edu>) web tool was used to design primers. We employed LocusZoom (<http://csg.sph.umich.edu/locuszoom/>) for regional plots. We used SNP Functional Prediction web tool for functional annotation of SNPs (<http://snpinfo.niehs.nih.gov/snpfunc.htm>) [41]. We used "Gene Expression Analysis Based on Imputed Genotypes" (<http://www.sph.umich.edu/csg/liang/imputation>) [42] for eQTL analysis. We used MACTH [43] web tool for searching potential binding sites for transcription factors (<http://www.gene-regulation.com/index.htm>).

Results

Genome-wide association study for HCV-induced liver cirrhosis

We performed a two-stage GWAS using a total of 1618 cases and 4854 controls (Supplementary Fig. 1). In the first stage, a whole genome scan was performed on 682 Japanese patients with HCV-induced LC and 1045 Japanese patients with CHC, using Illumina Human Hap 610-Quad bead Chip. The genotyping results of 431,618 single nucleotide polymorphisms (SNPs) obtained after our standard quality control were used for further analysis.

CHC patients with severe liver fibrosis (F3 or F4 according to the New Inuyama classification [32]) or lower platelet counts ($<160,000$) were excluded from the control group. As progression from CHC to LC is strongly affected by age and gender, we performed logistic regression analyses including age and gender as covariates at all tested loci in our analyses. The genetic inflation factor lambda was 1.051, indicating that there is little or no evidence of population stratification (Supplementary Fig. 2A). Although no SNPs cleared the GWAS significance threshold ($p < 5 \times 10^{-8}$) at the first stage, we selected ten candidate SNPs showing suggestive association of $p < 1 \times 10^{-5}$ (Supplementary Fig. 2B and Supplementary Table 1). After excluding SNP rs6891116 due to almost absolute linkage with SNP rs10252674 ($r^2 = 0.99$), the remaining nine SNPs were further genotyped using an independent cohort, consisting of 936 LC and 3809 CHC cases, by multiplex PCR-based Invader assay as the second stage. We could successfully obtain genotype results for eight SNPs after the QC filter (call rate ≥ 0.95 in LC and CHC samples, Hardy-Weinberg of $p \geq 1 \times 10^{-6}$ in CHC samples). The logistic regression analysis adjusted by age and gender revealed that five SNPs on chromosome 6q21.3 indicated a significant association with progression from CHC to LC after the Bonferroni correction ($p < 0.05/8 = 6.25 \times 10^{-3}$, Supplementary Table 2). A meta-analysis of the two stages with a fixed-effects model revealed that all of the five SNPs significantly associated with progression from CHC to LC (p values of 9.15×10^{-11} – 1.28×10^{-8} with odds ratios (OR) of 1.30–1.46, Fig. 1 and Table 2). These five SNPs were located in the HLA class II region and were in strong linkage disequilibrium with each other ($D' > 0.75$,

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Table 2. Summary of GWAS and replication analyses.

SNP	Stage	Allele (1/2)	Gene	Liver cirrhosis				Chronic hepatitis C				OR (95% CI) ^b	<i>p</i> value ^c	<i>p</i> value _{het} ^d
				11	12	22	RAF ^a	11	12	22	RAF ^a			
rs910049														
	GWAS	a/g	<i>C6orf10</i> (6p21.3)	24	217	435	0.196	25	224	794	0.131	1.73 (1.40-2.15)	5.39 × 10 ⁻⁷	
	Replication			38	259	631	0.180	66	952	2790	0.142	1.37 (1.20-1.58)	7.59 × 10 ⁻⁶	
	Combined ^e											1.46 (1.28-1.62)	9.15 × 10 ⁻¹¹	0.075
rs3817963														
	GWAS	a/g	<i>BTNL2</i> (6p21.3)	92	343	241	0.390	101	437	505	0.306	1.53 (1.29-1.81)	9.50 × 10 ⁻⁷	
	Replication			130	395	395	0.356	409	1573	1816	0.315	1.22 (1.10-1.36)	2.66 × 10 ⁻⁴	
	Combined ^e											1.30 (1.18-1.42)	1.28 × 10 ⁻⁸	0.029
rs9405098														
	GWAS	a/g	No gene (6p21.3)	75	293	308	0.328	70	365	608	0.242	1.54 (1.30-1.84)	1.10 × 10 ⁻⁶	
	Replication			100	361	462	0.304	249	1429	2129	0.253	1.30 (1.16-1.46)	5.64 × 10 ⁻⁶	
	Combined ^e											1.37 (1.23-1.50)	1.04 × 10 ⁻¹⁰	0.105
rs3135363														
	GWAS	c/t	No gene (6p21.3)	35	258	383	0.757	89	447	507	0.700	1.58 (1.32-1.90)	7.89 × 10 ⁻⁷	
	Replication			73	322	540	0.750	389	1486	1929	0.702	1.30 (1.16-1.46)	7.94 × 10 ⁻⁶	
	Combined ^e											1.37 (1.24-1.51)	1.45 × 10 ⁻¹⁰	0.069
rs3129860														
	GWAS	a/g	No gene (6p21.3)	58	294	324	0.303	57	348	638	0.221	1.55 (1.29-1.82)	6.45 × 10 ⁻⁶	
	Replication			88	339	507	0.276	208	1341	2246	0.231	1.28 (1.14-1.44)	2.53 × 10 ⁻⁵	
	Combined ^e											1.36 (1.22-1.49)	1.07 × 10 ⁻⁹	0.085

1618 (682 in GWAS and 936 in replication) liver cirrhosis and 4854 (1045 in GWAS and 3809 in replication) chronic hepatitis C samples were analyzed.

^aRAF, risk allele frequency.

^bOR, odds ratios; CI, confidence interval.

^c*p* Values obtained by logistic regression analysis adjusted for age and gender under additive model.

^d*p* Values of heterogeneities (Phet) across three stages were examined by using the Breslow–Day test.

^eCombined odds ratio and *p* values for independence test were calculated by Mendel-hauzen and Laird method in the meta-analysis.

Supplementary Fig. 3). To further evaluate the effect of each variation on the progression from CHC to LC, we performed multiple logistic regression analyses. As a result, rs910049 (*p* of 1.91×10^{-3} with OR of 1.25) and rs3135363 (*p* of 1.49×10^{-4} with OR of 1.23) remained significantly associated with the progression risk from CHC to LC, while the remaining three SNPs failed to show significant associations (*p* > 0.05) (Supplementary Table 3). Thus, two SNPs, rs910049 and rs3135363, seem to be independent risk factors for HCV-induced LC.

Since reduced platelet level is associated with a poor prognosis among CHC patients [44] we excluded patients with platelet level of less than 160,000 from CHC groups to increase the risk of type 2 error in this study. We also conducted the analysis using only CHC patients diagnosed with liver biopsy. As a result, both SNPs reached genome-wide significance (*p* < 5×10^{-8}), although the associations were reduced due to the smaller sample size (Supplementary Table 4).

Subgroup analyses, stratified by IFN treatment status, amount of alcohol consumption, and gender, were also performed, since these factors were shown to be associated with the prognosis of CHC patients [45–47]. A total of 334 LC patients (35.83%) and 2325 CHC (82.4%) were treated with IFN therapy. Although the frequency of IFN treatment was different between CHC and LC groups, these variations associated with the LC risk regardless of IFN treatment as well as gender and alcohol consumption (Supplementary Fig. 4A–C). When we included these factors as covariates, the association of these variations with HCV-induced LC was sustained, with OR of 1.48 and 1.56, and SNP rs3135363

still reached genome-wide significance (*p* = 3.95×10^{-9}) (Supplementary Table 5).

The association of previously reported variations with HCV-induced LC

Non-synonymous SNP rs738409 (I148M) in the *PNPLA3* gene was shown to be associated with progression of LC in the previous prospective study in Caucasians [31]. SNP rs738409 was also associated with the severity of non-alcoholic fatty liver disease in Japanese [48]. Therefore, we analyzed SNP rs738409 in our case-control cohort, but rs738409 did not significantly associate with HCV-induced LC (*p* = 0.24 and OR = 1.10), although the risk G allele was more frequent among LC than CHC (Supplementary Table 6). Our result is similar to what observed among Caucasians in the previous study, in which rs738409 increased liver cancer risk among alcoholic cirrhosis but did not among hepatitis C cirrhosis [49]. Since biological studies demonstrated that its risk allele (G) abolishes the triglyceride hydrolysis activity of *PNPLA3* [50] *PNPLA3* variation would have a strong impact on non-viral cirrhosis.

Recently, GWAS in the Caucasian population identified the association of SNPs rs4374383, rs16851720 and rs9380516 with the progression of liver fibrosis after HCV infection [51]. However, SNPs rs4374383 and rs16851720 did not exhibit significant association (*p* = 0.654 and 0.231, respectively) in our sample set. Although SNP rs9380516 exhibited the association with *p*-value of 0.015, the risk allele showed an opposite result

Table 3. Results of three associated variations from candidate gene analyses.

Gene	Tagging SNP	Haplotype frequency		OR (95% CI) ^a		<i>p</i> value ^b
		Liver cirrhosis	Chronic hepatitis C			
<i>DQA1*0601</i>	rs2736182(T) + rs2071293(A)	0.038	0.019	2.80	1.38-3.32	4.53 × 10 ⁻⁴
<i>DRB1*0405</i>	rs411326(C) + rs2395185(A) + rs4599680(A)	0.324	0.266	1.45	1.15-1.56	1.54 × 10 ⁻⁴

Association was tested by comparing haplotype distribution between 682 liver cirrhosis and 1045 chronic hepatitis C samples in GWAS.

^aOR, odds ratio; CI, confidence interval.

^b*p* Values were obtained by case-control analysis of GWAS stage (*p* for haplotype were obtained by score test, implemented in R) (*DQA1*0601* and *DRB1*0405*). The *p* values obtained by logistic regression analysis adjusted for age and gender under additive model.

(Supplementary Table 6). Taken together, these SNPs would not be associated with liver fibrosis in the Japanese population.

Genes related to extracellular matrix turnover or immune response (*KRT 19*, *COL1A1*, *STMN2*, *CXCL6*, *CCR2*, *TIMP1*, *IL8*, *IL1A*, *ITGA2*, *CLDN 4*, and *IL2*) were shown to be implicated in liver fibrosis of chronic hepatitis C [14]. To further characterize these loci, we conducted imputation analyses in the GWAS sample set (682 cases and 1045 controls), using data from HAPMAP phase II (JPT), and found 163 SNPs in 9 loci. However, none of these SNPs indicated significant association with *p*-value of less than 0.01 (Supplementary Table 7). Thus, variations of these genes did not associate with progression from chronic hepatitis C to liver cirrhosis.

Imputation-based fine mapping of HLA region

The most significantly associated SNP rs3135363 is located within an intergenic region between *BTNL2* and *HLA-DRA*, and rs910049 is located in intron 7 of *C6orf10* gene (Supplementary Figs. 5 and 6). To further characterize these loci, we conducted imputation-based association analysis for the GWAS samples (682 LC and 1045 CHC samples) using data from HAPMAP Phase II (JPT), and could obtain the results of nearly 6000 SNPs in a 4-Mb genomic region. The regional association plots revealed that all modestly-associated SNPs are confined within a 700-kb region containing 21 genes, namely *TNXB*, *ATF6B*, *FKBPL*, *PRRT1*, *PPT2*, *EGFL8*, *AGPAT1*, *RNF5*, *RNF5P1*, *AGER*, *PBX2*, *C6orf10*, *BTNL2*, *HLA-DRA*, *HLA-DRB5*, *HLA-DRB6*, *HLA-DRB1*, *HLA-DQA1*, *HLA-DQA2*, *HLA-DQB1* and *HLA-DQB2* (Supplementary Fig. 5). Although 640 SNPs, including ten non-synonymous SNPs within the 4-Mb region, showed very modest associations (*p* < 0.01) with HCV-induced LC, none of these SNPs in this region revealed strong association with HCV-induced LC, after adjustment with the two SNPs, rs910049 and rs3135363 (Supplementary Fig. 7). Taken together, the associations observed in this region would reflect the association with rs910049 and rs3135363.

Previous reports indicated the association of *HLA-DRB1* and *HLA-DQ* alleles with HCV-induced chronic hepatitis in the Japanese population [26]. To investigate the association of HLA alleles with HCV-induced LC, we estimated the genotypes at the HLA region by applying the imputation results of HLA-tagging SNPs [37]. We could successfully determine 53 alleles of *HLA-A*, *B*, *C*, *DQA*, *DQB*, and *DRB* genes and find that *HLA-DQA1*0601* and *HLA-DRB1*0405* were strongly associated with HCV-induced LC (*p* values of 4.53 × 10⁻⁴ and 1.54 × 10⁻⁴ with ORs of 2.80 and 1.45) even after the Bonferroni correction (*p* < 0.05/53 = 9.43 × 10⁻⁴) (Table 3 and Supplementary Table 8A-E) [37].

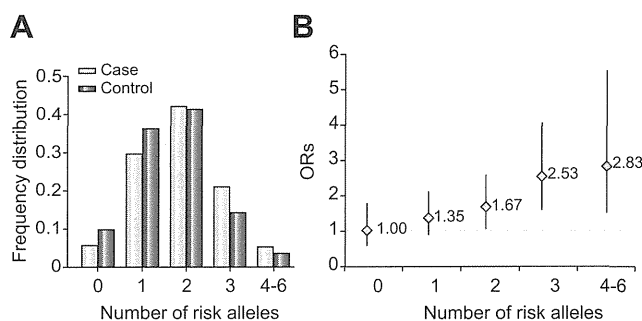


Fig. 2. Cumulative effects of liver cirrhosis risk alleles. (A) Frequency distribution divided by risk allele numbers (rs910049, rs3135353, and *HLA-DQA0601*) among liver cirrhosis (light blue bars) and chronic hepatitis C (dark blue bars) patients. (B) Plot of the increase odds ratio (OR) for liver cirrhosis according to the number of risk alleles. The ORs are relative to the subjects with no risk alleles (rs910049, rs3135353, and *HLA-DQA0601*). Vertical bars correspond to 95% confidence intervals. Horizontal line marks the null value (OR = 1).

Cumulative effect of multiple loci within the HLA region

SNPs rs3135363 and rs910049, *HLA-DQA1*0601*, and *HLA-DRB1*0405* are located within a 300-kb segment in the HLA class II region and show moderate linkage disequilibrium (Supplementary Fig. 8). To further evaluate these genetic factors, we performed multiple logistic regression analyses and found that rs910049 (*p* of 9.40 × 10⁻³ with OR of 1.38), rs3135363 (*p* of 3.94 × 10⁻⁴ with OR = 1.41), and *HLA-DQA1*0601* (*p* of 7.79 × 10⁻³ with OR of 1.54) were significantly associated with HCV-induced LC (Supplementary Table 9), indicating these three variations were independent risk factors for progression of CHC to LC.

To investigate the pathophysiological roles of rs910049 and rs3135363 in disease progression, we searched the eQTL database (<http://www.sph.umich.edu/csg/liang/imputation>) and found that risk alleles of rs910049 (A) and rs3135363 (T) were associated with lower expression of *HLA-DQA* (LOD of ≥ 6.86 and 17.31, respectively) and *DRB1* (LOD of ≥ 12.01 and 18.96, respectively), and with higher expression of *HLA-DQB1* (LOD of ≥ 6.76 and 4.46, respectively) (Supplementary Table 10). Thus, rs910049 and rs3135363 are likely to affect the expression of HLA class II molecules and subsequently alter the risk of HCV-induced LC.

Finally, we examined the cumulative effects of rs910049, rs3135363, and *HLA-DQA1*0601*. Individuals with four or more risk alleles (8.8% of general population) have 2.83-fold higher risk of HCV-induced LC compared with those with no risk allele (15.0% of general population, Fig. 2).

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Discussion

We here demonstrated that multiple genetic variations in the MHC region were significantly associated with the risk of disease progression from CHC to LC, using a total of 1618 HCV-LC and 4854 CHC cases. Since a substantial proportion of patients with CHC show progression to LC in a certain time period, exclusion of CHC patients who have a high risk for LC from control subjects is essential to reduce the risk of false negative association. In this study, CHC patients with advanced fibrosis (F3 or F4 in stage) or with reduced platelet level (less than 160,000/ μ l) were excluded from the control samples, since these alterations are well-known risk factors for LC development [9,32]. Consequently, we were successfully able to identify the HCV-induced LC loci.

HLA genes are known to play critical roles in the regulation of our immune responses through controlling the antigen presentation to CD8 (class I) and CD4 (class II) T cells. Although previous studies indicated the association of HLA class I alleles such as *HLA-B57*, *HLA-A11*, and *HLA-C04* with persistent HCV infection [52,53], no SNPs in the HLA class I region exhibited strong association with HCV-induced LC. Here we identified three variations (rs910049, rs3135363, *HLA-DQA1*0601*) in the HLA class II region to be significantly associated with the progression risk from CHC to LC. Since two SNPs, rs910049 and rs3135363, had been indicated to affect expression levels of *HLA-DRB1* and *DQ*, our findings indicated the significant pathophysiological roles of HLA class II molecules in the development of HCV-induced liver fibrosis. Considering the function of *HLA-DQ* and *HLA-DR*, we suggest that the antigen presentation by HLA class II molecules is likely to play a critical role in the elimination of HCV-infected liver cells and subsequently prevent HCV-induced LC.

Direct acting antiviral drugs for HCV can cure up to 75% of patients infected with HCV genotype 1, and the lifetime risk of developing LC and HCC among HCV carriers was decreased during the two recent decades [54,13]. However, the amino acid sequence of the NS3 protease domain varies significantly between HCV genotypes and the antiviral efficacy differs in different HCV genotypes [55]. Moreover, protease inhibitors increased the incidence of adverse reactions such as anemia and skin rash [56]. Therefore, estimation of liver cirrhosis risk and prediction of treatment response would be essential to provide a personalized treatment and to achieve the optimal results. Due to the recent advances in pharmacogenetic studies, genetic factors associated with efficacy and adverse effects of anti-HCV treatment were identified. *IL-28B* is a powerful predictor of treatment outcome of pegylated interferon and ribavirin therapy [22], while a genetic variation in the *ITPA* gene was shown to be associated with ribavirin-induced anemia [57]. Since we conducted a retrospective study, and the majority of LC patients did not receive IFN treatment, we could not evaluate the treatment responses in our study design. However, SNPs identified in this study were associated with the LC risk independent of IFN treatment. Although the impact of each SNP was relatively weak compared with viral factors (HCV genotype, core and NS5A mutation [58]) and host factors (age, gender, obesity, and insulin resistance), we found that individuals with three or more risk alleles have a nearly three-fold higher risk of LC than those with no risk allele. Since lifetime risk of HCC development among HCV carriers is as high as about 27% for male and 8% for female [59], these three loci would have the strong effect on the clinical outcome of CHC patients. In general, the progression from chronic hepatitis C to liver cirrhosis usually takes more than 20–30 years. Therefore,

a large scale prospective cohort study with more than 10-year follow-up is essential to evaluate the role of these variations as a prognostic biomarker. We would like to perform prospective analysis in future studies. We hope that our findings would contribute to clarify the underlying molecular mechanism of HCV-induced liver cirrhosis.

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Conflict of interest

The authors who have taken part in this study declared that they do not have anything to disclose regarding funding or conflict of interest with respect to this manuscript.

Authors' contributions

Y. U., K. K., K. C., and K.M. conceived and designed the study; Y. U., H. O., N. K., Y. K., R. M., N. H., and M. K. performed genotyping; A. T., P. H. Y. L., C. T., and N. K. performed quality control at genome-wide phase; M. O., R. T., M. O., K. K., D. M., H. A., J. T., H. K., Y. N., K. M. and M. K. managed DNA samples; Y. U. analyzed and summarized the whole results; Y. U., Y. N., and K. M. wrote the manuscript; Y. N. obtained funding for the study.

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Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.jhep.2012.12.024>.

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MicroRNA-140 Acts as a Liver Tumor Suppressor by Controlling NF- κ B Activity by Directly Targeting DNA Methyltransferase 1 (Dnmt1) Expression

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MicroRNAs (miRNAs) are small RNAs that regulate the expression of specific target genes. While deregulated miRNA expression levels have been detected in many tumors, whether miRNA functional impairment is also involved in carcinogenesis remains unknown. We investigated whether deregulation of miRNA machinery components and subsequent functional impairment of miRNAs are involved in hepatocarcinogenesis. Among miRNA-containing ribonucleoprotein complex components, reduced expression of DDX20 was frequently observed in human hepatocellular carcinomas, in which enhanced nuclear factor- κ B (NF- κ B) activity is believed to be closely linked to carcinogenesis. Because DDX20 normally suppresses NF- κ B activity by preferentially regulating the function of the NF- κ B-suppressing miRNA-140, we hypothesized that impairment of miRNA-140 function may be involved in hepatocarcinogenesis. DNA methyltransferase 1 (Dnmt1) was identified as a direct target of miRNA-140, and increased Dnmt1 expression in DDX20-deficient cells hypermethylated the promoters of metallothionein genes, resulting in decreased metallothionein expression leading to enhanced NF- κ B activity. MiRNA-140-knockout mice were prone to hepatocarcinogenesis and had a phenotype similar to that of DDX20 deficiency, suggesting that miRNA-140 plays a central role in DDX20 deficiency-related pathogenesis. **Conclusion:** These results indicate that miRNA-140 acts as a liver tumor suppressor, and that impairment of miRNA-140 function due to a deficiency of DDX20, a miRNA machinery component, could lead to hepatocarcinogenesis. (HEPATOLOGY 2013;57:162-170)

Hepatocellular carcinoma (HCC) is the third most common cause of cancer-related mortality worldwide.¹ Although multiple major risk factors have been identified, such as infection with hepatitis viruses B or C, the molecular mechanisms underlying HCC development remain poorly understood, hindering the development of novel therapeutic approaches. Therefore, a better understanding of the molecular pathways involved in hepatocarcinogenesis is critical for the development of new therapeutic options.

Nuclear factor- κ B (NF- κ B) is one of the best-characterized intracellular signaling pathways. Its activation is a common feature of human HCC.²⁻⁴ It acts as an inhibitor of apoptosis and as a tumor promoter^{4,5} and is associated with the acquisition of a transformed phenotype during hepatocarcinogenesis.⁶ In fact, studies using patient samples suggest that NF- κ B activation in the liver leads to the development of HCC.⁷ Although there are conflicting reports,⁸ activation of the NF- κ B pathway in the liver is crucial for the initiation and promotion of HCC.⁴

Abbreviations: DEN, diethylnitrosamine; Dnmt1, DNA methyltransferase 1; HCC, hepatocellular carcinoma; miRNA, microRNA; miRNP, miRNA-containing ribonucleoprotein; MT, metallothionein; NF- κ B, nuclear factor- κ B; RT-PCR, reverse-transcription polymerase chain reaction; TNF- α , tumor necrosis factor- α ; TRAIL, TNF-related apoptosis-inducing ligand; UTR, untranslated region.

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MicroRNAs (miRNAs) are small RNA molecules that regulate the expression of target genes and are involved in various biological functions.⁹⁻¹² Although specific miRNAs can function as either suppressors or oncogenes in tumor development, a general reduction in miRNA expression is commonly observed in human cancers.¹³⁻²² In this context, it can be hypothesized that deregulation of the machinery components involved in miRNA function may be related to the functional impairment of miRNAs and the pathogenesis of carcinogenesis.

In this study, we show that the expression of DDX20, an miRNA-containing ribonucleoprotein (miRNP) component, is frequently decreased in human HCC. Because DDX20 is required for both the preferential loading of miRNA-140 into the RNA-induced silencing complex and its function,²³ we hypothesized that DDX20 deficiency would lead to hepatocarcinogenesis via impaired miRNA-140 function. MiRNA-140 knockout mice were indeed more prone to hepatocarcinogenesis, and we identified a possible molecular pathway from DDX20 deficiency to liver cancer.

Materials and Methods

Mouse and Liver Tumor Induction. MiRNA-140^{-/-} mice have been described.²⁴ Recombinant murine tumor necrosis factor- α (TNF- α) (25 μ g/kg; Wako, Osaka, Japan) was injected into the tail vein, and the mice were sacrificed 1 hour later. To induce liver tumors, 15-day-old mice received an intraperitoneal injection of diethylnitrosamine (DEN) (25 mg/kg body weight), and were sacrificed 32 weeks later. All animal experiments were performed in compliance with the regulations of the Animal Use Committee of the University of Tokyo and the Institute for Adult Disease, Asahi Life Foundation.

Plasmids. FLAG-tagged human DDX20-expressing plasmids were as described.²³ The pGL3-based reporter plasmid containing Dnmt1 3' untranslated region (UTR) sequences was provided by G. Marucci.²⁵

Detailed Materials and Methods. The detailed experimental procedures of clinical samples, cells, plasmids, reporter assays, reverse-transcription polymerase

Table 1. Cases with Differential Expression Levels of miRNP Components in HCC (n = 10)

Gene ID	Gene Symbol	Decreased	Increased	No Change
23405	Dicer1	2	1	7
27161	EIF2C2 (AGO2)	1	1	8
6895	TARBP2 (TRBP2)	2	0	8
11218	DDX20 (GEMIN3)	8	0	2
50628	GEMIN4	1	0	9

The expression levels of each miRNP component were determined via immunohistochemistry.

The numbers indicate the number of cases that had the differential expression levels (decreased, increased, or no change) in HCC tissues compared with those in surrounding liver tissues.

chain reaction (RT-PCR) analysis, antibodies, western blotting, cell assays, immunohistochemistry, microarray analysis, methylation analysis, and electrophoretic mobility-shift assay are described in the Supporting Information.

Statistical Analysis. Statistically significant differences between groups were determined using a Wilcoxon rank-sum test. A Wilcoxon signed-rank test was used for statistical comparisons of protein expression levels between HCC and surrounding noncancerous tissues.

Results

DDX20 Expression Is Frequently Decreased in HCC. The expression levels of proteins reported to be miRNP components (Dicer, Ago2, TRBP2, DDX20 [also known as Gemin3], and Gemin4)²⁶ were initially determined via immunohistochemistry in HCC and noncancerous background liver tissues from 10 patients. DDX20 expression was lower in HCC tissue compared with the surrounding noncancerous tissue in 8 of 10 cases, whereas expression of the other genes was unchanged (Table 1 and Supporting Fig. 1). Therefore, and because DDX20 was recently identified as a possible liver tumor suppressor in mice,²⁷ we determined its role as a human HCC suppressor.

DDX20 protein expression was lower in several HCC cell lines, such as Huh7 and Hep3B (Fig. 1A), compared with normal hepatocytes. DDX20 protein levels were also lower in human HCC needle biopsy specimens than in surrounding noncancerous liver tissue (Fig. 1B). Immunohistochemical analysis

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Additional Supporting Information may be found in the online version of this article.

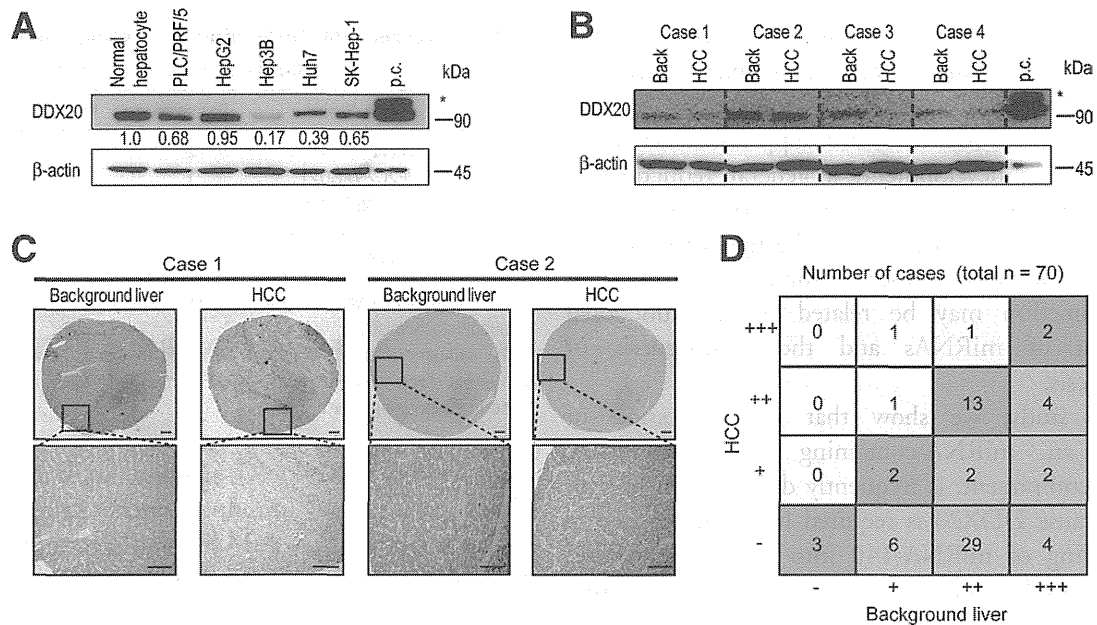


Fig. 1. Reduced DDX20 expression levels in hepatocellular carcinoma. (A) DDX20 protein expression in HCC cell lines. Numbers between the panels indicate DDX20 protein levels normalized to β -actin levels. Lysates of 293T cells transiently transfected with a FLAG-tagged DDX20-expressing plasmid yielded two DDX20 bands corresponding to the endogenous DDX20 protein and the transfected FLAG-tagged DDX20 protein (*) as a positive control (p.c.; far right lane). Data represent the results of three independent determinations. (B) DDX20 protein expression in four HCC needle biopsy specimens and in the surrounding noncancerous background liver tissue (Back). *Positive control. (C) Immunohistochemical analysis of DDX20 protein expression in HCC and surrounding tissues (background liver). Two representative cases are shown. Scale bars, 500 μ m. The lower panels display magnified images of the boxed areas in the upper panels. (D) Grid summarizing DDX20 immunohistochemical staining data from 70 cases. In 47 cases (pink shading), DDX20 protein levels were lower in the HCC tissues than in the surrounding tissues ($P < 0.05$; Wilcoxon signed-rank test).

confirmed that DDX20 expression was frequently lower in HCC than in surrounding noncancerous liver tissue (Fig. 1C,D). Specifically, 47 of 70 cases examined showed reduced DDX20 protein expression in HCC versus background noncancerous liver tissue (Fig. 1D and Supporting Table 1). These results indicate that the expression of DDX20, an miRNP component, is frequently reduced in human HCC, and suggest that this reduced DDX20 expression might be involved in the pathogenesis of a subset of HCC cases.

NF- κ B Activity Is Enhanced by DDX20 Deficiency. Because DDX20 knockout mice are embryonic-lethal,²⁸ DDX20 has been suggested to have important biological roles. DDX20, a DEAD-box protein,²⁹ was originally found to interact with survival motor neuron protein.³⁰ Later, it was identified as a major component of miRNPs,³¹ which may mediate miRNA function. As we have reported, DDX20 is preferentially involved in miRNA-140-3p function,²³ acting as a suppressor of NF- κ B activity in the liver.³² DDX20-knockdown PLC/PRF/5 cells exhibit enhanced NF- κ B activity²³ (Fig. 2A). Whereas the proliferation rates of DDX20-knockdown cells and control cells were comparable (Fig. 2B), apoptotic cell death after stimulation with TNF-related apoptosis-inducing ligand (TRAIL),

which induces both cell apoptosis and NF- κ B activation,³³ was significantly reduced in DDX20-knockdown cells (Fig. 2C). Similar results were obtained using DDX20-knockdown HepG2 cells (Supporting Fig. 2A-D). Conversely, NF- κ B activity was reduced, but cell proliferation remained unchanged, in Hep3B cells stably overexpressing DDX20 (Fig. 2D,E). Sensitivity to TRAIL-induced apoptosis was restored in these cells (Fig. 2F). Similar results were also obtained using Huh7 cells (Supporting Fig. 2E-H). These data confirm a previous report that DDX20 deficiency enhances NF- κ B activity and the downstream events of this pathway.

Metallothionein Expression Is Decreased by DDX20 Deficiency. Next, to investigate the biological consequences of DDX20 deficiency, we examined the changes in transcript levels in DDX20-knockdown cells using microarrays (GEO accession number: GSE28088). The expression of genes driven by NF- κ B that are related to carcinogenesis, such as FASLG, IRAK1, CARD9, and Galectin-1, were enhanced significantly in DDX20-knockdown cells, as expected (Table 2). To determine the mechanism underlying the enhanced NF- κ B activation in DDX20-deficient cells, we searched for candidate genes and noticed that the

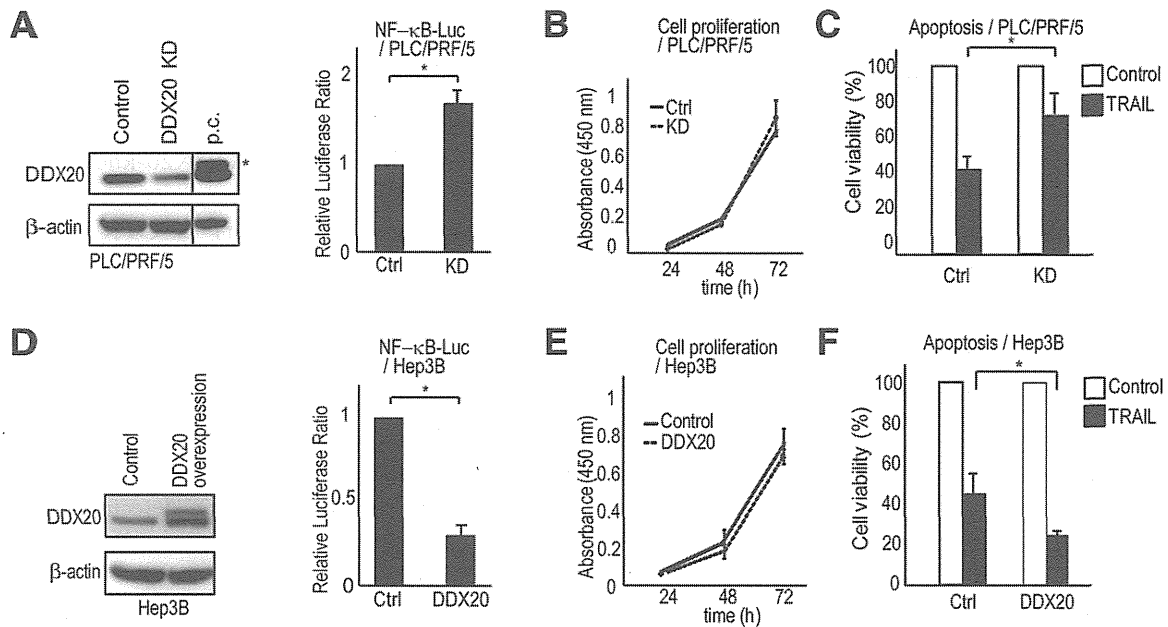


Fig. 2. Modulation of downstream events of the nuclear factor- κ B pathway by DDX20. (A) Left: Establishment of stable DDX20-knockdown (DDX20 KD) PLC/PRF/5 cells. *Positive control (p.c.). Right: DDX20 deficiency enhances TNF- α -induced NF- κ B activity. NF- κ B reporter plasmids were transiently transfected into control (Ctrl) or DDX20-knockdown (KD) PLC/PRF/5 cells. The cells were then treated with TNF- α (5 ng/mL) or vehicle for 6 hours. * $P < 0.05$. Data are presented as the mean \pm SD of three independent determinations. (B) Cell proliferation rates were comparable for control (Ctrl) and DDX20-knockdown (KD) PLC/PRF/5 cells. Data are presented as the mean \pm SD of three determinations. (C) DDX20 deficiency reduces TRAIL-induced apoptotic cell death. Control (Ctrl) and DDX20-knockdown (KD) PLC/PRF/5 cells were incubated with 25 ng/mL TRAIL. Data represent cell viability after TRAIL stimulation (gray bars) relative to the number of vehicle-treated cells (white bars). * $P < 0.05$. Data are presented as the mean \pm SD of triplicate determinations. (D) Left: Establishment of stable DDX20-overexpressing cells. Hep3B cells were infected with control or FLAG-tagged DDX20-overexpressing lentiviruses and selected on puromycin. Western blot analysis confirmed increased expression of DDX20 protein. Right: DDX20 overexpression suppresses TNF- α -induced NF- κ B activity. NF- κ B reporter plasmids were transiently transfected into Hep3B control (Ctrl) and DDX20-overexpressing (DDX20) cells treated with TNF- α for 6 hours. Data are presented as the mean \pm SD of three independent determinations. * $P < 0.05$. (E) Proliferation of control (Ctrl) and DDX20-overexpressing (DDX20) Hep3B cells was measured as described in (B). (F) DDX20 overexpression reduces TRAIL-induced apoptotic cell death. Data for control (Ctrl) and DDX20-overexpressing (DDX20) Hep3B cells are shown. * $P < 0.05$.

Table 2. Increased Expression of NF- κ B-Related Genes in DDX20-Knockdown HepG2 Cells Compared with Wild-Type Cells

RefSeq ID	Symbol	Description	Ratio	Representative Gene Function
NM_000639	FASLG	Fas ligand	3.5	NF- κ B target, apoptosis
NM_052813	C9orf151	CARD9	2.5	NF- κ B cascade, NF- κ B target
NM_014959	CARD8	Tumor up-regulated CARD-containing antagonist of CASP9 (TUCAN)	2.2	NF- κ B target
NM_131917	FAF1	FAS-associated factor 1 (hFAF1)	1.9	Cytoplasmic sequestering of NF- κ B, NF- κ B target
NM_020644	TMEM9B	Transmembrane protein 9B precursor	1.9	Positive regulation of NF- κ B transcription factor activity
NM_017544	NKRF	ITBA4 protein	1.9	Negative regulation of transcription
NM_006247	PPP5C	Protein phosphatase T	1.8	Positive regulation of NF- κ B cascade
NM_020345	NKIRAS1	KappaB-Ras1	1.8	NF- κ B cascade
NM_001569	IRAK1	IRAK-1	1.7	Positive regulation of NF- κ B transcription factor activity
NM_177951	PPM1A	Protein phosphatase 1A	1.7	Positive regulation of NF- κ B cascade
NM_018098	ECT2	Epithelial cell-transforming sequence 2 oncogene	1.6	Positive regulation of NF- κ B cascade
NM_002305	LGALS1	Galectin-1 (putative MAPK-activating protein MP12)	1.6	Positive regulation of NF- κ B cascade
NM_015093	TAB2	TAK1-binding protein 2	1.6	Positive regulation of NF- κ B cascade
NM_004180	TANK	TRAF-interacting protein	1.5	NF- κ B cascade
NM_014976	PDCD11	Programmed cell death protein 11	1.5	rRNA processing
NM_015336	ZDHHC17	Putative NF- κ B-activating protein 205	1.5	Positive regulation of NF- κ B cascade
NM_002503	NFKBIB	IKB- β	1.5	Cytoplasmic sequestering of NF- κ B
NM_138330	ZNF675	Zinc finger protein 675	1.5	Negative regulation of NF- κ B transcription factor activity

The genes were identified as NF- κ B-related based on the Gene Ontology and the GeneCodis Databases.

Table 3. Decreased Expression Levels of MT Genes in DDX20 Knockdown HepG2 Cells Compared with Wild-Type Cells

Symbol	Description	Ratio
MT1E	Metallothionein-1E	0.12
MT1F	Metallothionein-1F	0.36
MT1H	Metallothionein-1H	0.16
MT1G	Metallothionein-1G	0.06
MT1M	Metallothionein-1M	0.24
MT1X	Metallothionein-1X	0.27
MT2A	Metallothionein-2	0.28
MT3	Metallothionein-3	0.84
MTL5	Metallothionein-like 5 (Tesmin)	1.12

Numbers in boldface type indicate values <0.5.

expression levels of a group of metallothioneins (MTs), such as MT1E, MT1F, MT1G, MT1M, MT1X, and MT2A, were all significantly decreased when DDX20 was deficient (Table 3). The decreased expression of MTs in DDX20-knockdown HepG2 and PLC/PRF/5 cells was confirmed via quantitative RT-PCR (Fig. 3a and Supporting Fig. 3). Expression of MT-3, which was not altered in the microarray analysis, was similarly unaltered in quantitative RT-PCR analysis. Notably, it was already known that MTs are frequently silenced in human primary liver cancers.³⁴⁻³⁶ In addition, MT knockout mice have enhanced NF- κ B activity, likely due to reactive oxygen species, and these mice are more prone to hepatocarcinogenesis.³⁷ These results suggest that DDX20 deficiency enhances NF- κ B activity by decreasing the expression of MTs, which could facilitate the development of liver cancer.

MiRNA-140 Directly Targets Dnmt1. Because MT expression is regulated principally by CpG island methylation in their promoter regions,^{38,39} we examined the quantitative methylation status of MT promoters in DDX20-knockdown cells. The CpG islands of the MT1E, MT1G, MT1M, MT1X, and MT2A promoters, and the CpG shores of the MT1F promoters, were significantly more highly methylated under DDX20-deficient conditions, as determined by the comprehensive Illumina Quantitative Methylation BeadChip method (Table 4, Supporting Table 2, and GSE 37633). A crucial step in DNA methylation involves DNA methyltransferase (Dnmt), which catalyzes the methylation of CpG dinucleotides in genomic DNA.⁴⁰ The methylation status of MT promoters is mediated specifically by Dnmt1.⁴¹ Because Dnmt1 contains a predicted miRNA-140-3p target site in its 3' UTR, with a perfect match to its seed sequences (Fig. 3B), and because the effects of miRNA-140-3p activity were impaired in DDX20-knockdown cells,²³ it was hypothesized that whereas miRNA-140 normally targets and suppresses Dnmt1

protein expression, miRNA-140-3p dysfunction due to DDX20 deficiency results in enhanced Dnmt1 expression, leading to hypermethylation of MT promoters. Consistent with this hypothesis, Dnmt1 expression was increased significantly in DDX20-knockdown cells (Fig. 3C). miRNA-140 precursor overexpression suppressed activity of the Dnmt1 3' UTR reporter construct, the effect of which was lost when two mutations were introduced into its seed sequences (Fig. 3D). MiRNA-140 precursor overexpression suppressed Dnmt1 protein expression (Fig. 3E). These results indicate that miRNA-140 directly targets Dnmt1 and suppresses its expression in the normal state. Consistently, decreased DDX20, increased Dnmt1, and decreased MT expression were detected together in human clinical HCC samples, as determined via immunohistochemistry (Fig. 3F). By contrast, miRNA-140 precursor-overexpressing Huh7 cells showed increased expression of MTs and reduced NF- κ B activity *in vitro* (Supporting Fig. 4A,B). Moreover, the increase in the number of spheres formed from PLC/PRF/5 cells due to DDX20 knockdown was antagonized by treatment with an NF- κ B inhibitor or a demethylating agent (Supporting Fig. 5). Taken together, these results suggest that the up-regulated Dnmt1 protein expression caused by functional impairment of miRNA-140-3p due to DDX20 deficiency results in decreased expression of MTs *via* enhanced methylation at the CpG sites in their promoters. This may lead to enhanced NF- κ B activity and cellular transformation at least *in vitro*.

MiRNA-140 Is a Liver Tumor Suppressor. To further examine the biological consequences of functional impairment of miRNA-140 due to DDX20 deficiency, we determined the phenotypes of miRNA-140 knockout (miRNA-140^{-/-}) mice (Fig. 4A). Similar to the *in vitro* DDX20 knockdown results, Dnmt1 expression was increased and MT levels decreased in the liver tissue of these mice (Fig. 4B). NF- κ B-DNA binding activity was enhanced in the livers of miRNA-140^{-/-} mice after tail-vein injection of TNF- α , a crucial cytokine that induces NF- κ B activity and hepatocarcinogenesis (Fig. 4C). As was found in MT knockout mice, phosphorylation of p65 at serine 276, which is critical for p65 activation, was significantly increased in the livers of miRNA-140^{-/-} mice after DEN exposure, which induces NF- κ B activation and liver tumors³⁷ (Fig. 4D). Notably, the size and number of liver tumors that developed 8 months after DEN exposure were markedly elevated in miRNA-140^{-/-} mice compared with control mice (Fig. 4E,F). These results indicate that miRNA-140^{-/-} mice are indeed

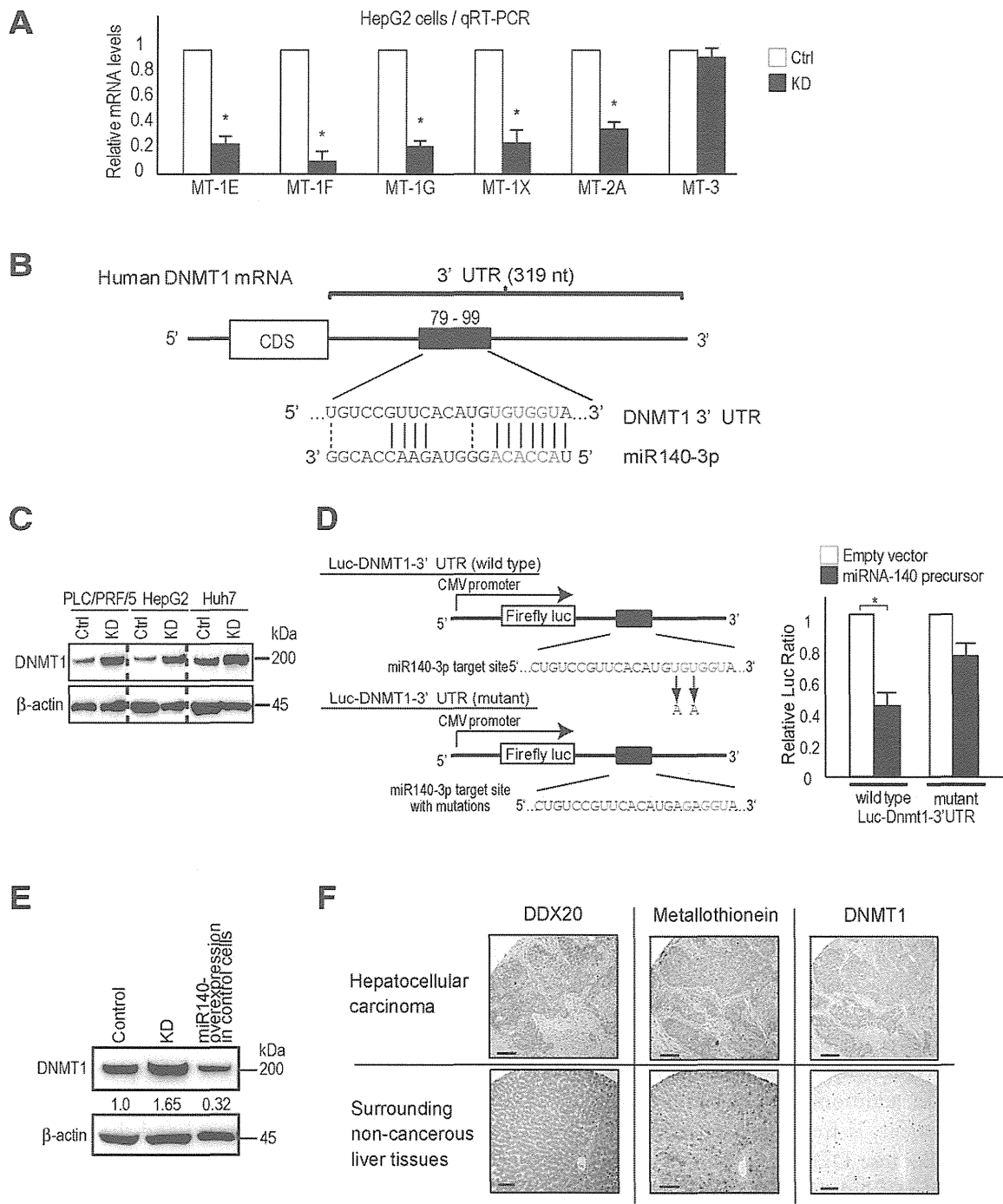


Fig. 3. Targeting of Dnmt1 by miRNA-140-3p and reduced MT expression. (A) The expression levels of MTs were determined using quantitative reverse-transcriptase polymerase chain reaction. The relative expression ratios of the MTs in control (white bars) and DDX20-knockdown (black bars) HepG2 cells were calculated by normalizing control cell values to 1.0. The data represent the mean \pm SD of three independent determinations. * $P < 0.05$. (B) Putative miRNA-140-3p target sites in the 3' UTR of human Dnmt1. Seed sequences are indicated in red. (C) Dnmt1 expression was increased in DDX20-knockdown cells. Ctrl, control cells; KD, DDX20-knockdown cells. (D) Left: Schematic diagrams of wild-type (upper) and mutant (lower) luciferase reporter constructs (Luc-Dnmt1-3' UTRs) carrying the Dnmt1 3' UTR region harboring the putative miRNA-140-3p target site. The mutant seed sequence contained two nucleotide substitutions. Right: The Dnmt1 3' UTR is targeted directly by miRNA-140-3p. Cells were cotransfected with Luc-Dnmt1-3' UTR (wild-type or mutant) plus either an empty vector (white bars) or a plasmid expressing the miRNA-140 precursor (black bars). Data are the mean \pm SD of three independent determinations. (E) Overexpression of miRNA-140 reduces Dnmt1 expression in control cells. Values between the panels indicate Dnmt1 protein levels normalized to those of β -actin. KD, DDX20 knockdown cells. (F) Representative histochemical images showing expression of DDX20, Dnmt1, and MT proteins in HCC (upper three panels) and surrounding tissue (lower panels). Compared with adjacent noncancerous liver tissue, HCCs exhibited decreased DDX20 and MT expression and increased Dnmt1 expression. Note that adjacent sections were stained for each protein. Scale bar, 50 μ m.

Table 4. Methylation Levels in CpG Islands of the MT Genes in DDX20-Knockdown HepG2 Cells Compared with Control Cells

Symbol	CpG Island Methylation Ratio	Target ID
MT1E	1.14	cg00178359
	1.29	cg06463589
	3.65	cg02512505
	1.02	cg15134649
MT1G	2.14	cg16452857
	1.03	cg27367960
	1.00	cg03566142
MT1M	0.99	cg07791866
	1.16	cg02132560
	0.98	cg02160530
MT1X	1.03	cg04994964
	1.24	cg05596720
	1.05	cg26802333
	1.06	cg09147880
MT2A	1.01	cg08872713
	2.06	cg07395075
	0.94	cg20430434

Values were determined using the quantitative Illumina Human Methylation BeadsChip. Boldface values indicate increased methylation levels in DDX20 knockdown cells.

more prone to liver cancer development and suggest that miRNA-140 acts as a liver tumor suppressor, probably by suppressing NF- κ B activity, although we cannot completely exclude other molecular mechanisms. Nonetheless, these results also suggest that the impairment of miRNA-140 function due to DDX20 deficiency may lead to hepatocarcinogenesis in humans, as we have observed in miRNA-140^{-/-} mice (Supporting Figs. 6 and 7).

Discussion

Here, we report that miRNA-140^{-/-} mice have increased NF- κ B activity and are more prone to HCC development. In addition, we show that DDX20, an miRNP component, is frequently decreased in human HCC tissues. Because DDX20 deficiency preferentially causes impaired miRNA-140 function,²³ the functional impairment of miRNA-140 may result in phenotypes similar to those of miRNA-140^{-/-} mice and may lead to hepatocarcinogenesis. In support of the hypothesis that DDX20 dysfunction is involved in hepatocarcinogenesis, DDX20 is located at 1p21.1-p13.2, a frequently deleted chromosomal region in human HCC,²⁷ and DDX20 was recently identified as a possible liver tumor suppressor in a functional screen in mice.²⁷ Although the possibility that intracellular signaling pathways other than miRNA-140 may also be involved in the biological consequences of DDX20 deficiency cannot be denied, we believe that functional

impairment of miRNA-140 plays a major role in the phenotypes induced by DDX20 deficiency, based on the phenotypic similarities.

Changes in miRNA expression levels have been reported in various tumors.^{7,12,42} However, in this study, we found that reduced expression of an miRNA machinery component might lead to carcinogenesis, at least in part, through functional impairment of miRNAs. Recent studies have shown that components of the RNA interference machinery are associated with the outcome of ovarian cancer patients,⁴³ and that single-nucleotide polymorphisms in miRNA machinery genes can be used as diagnostic risk markers.^{44,45} Therefore, the impairment of miRNA function caused by deregulated miRNA machinery components may also be involved in carcinogenesis.

Our study identified Dnmt1 as a critical target of miRNA-140. The decreased MT expression due to the CpG promoter methylation induced by Dnmt1 resulted in enhanced NF- κ B activity. This finding was consistent with the results obtained using MT gene knockout mice, in which enhanced NF- κ B activation promoted hepatocarcinogenesis.³⁷ The decrease in MT expression that results from increased Dnmt1 expression caused by functional impairment of miRNA-140, together with increased NF- κ B activation and hepatocarcinogenesis in MT knockout mice,³⁷ supports the concept that the DDX20/miRNA-140/Dnmt1/MT/NF- κ B pathway may play a crucial role in hepatocarcinogenesis. However, we cannot fully exclude the possibility that other intracellular signaling pathways are also involved in the induction of hepatocarcinogenesis by miRNA-140 or DDX20 deficiency, because the precise role of NF- κ B in hepatocarcinogenesis has not been clearly defined,⁸ although constitutive activation of NF- κ B signaling has been frequently detected in human HCCs.⁴⁶ The mechanisms by which DDX20 expression is initially decreased and the reason its locus is frequently deleted in HCC remain to be elucidated. However, because DDX20 expression is also regulated by methylation of its CpG promoter,⁴⁷ once this pathway is deregulated, decreased DDX20 expression could be maintained by a positive feedback mechanism, even without deletion of its locus.²⁷

In conclusion, this study shows that miRNA-140 acts as a liver tumor suppressor. We show that DDX20, an miRNP component, is frequently decreased in human HCC, which may induce hepatocarcinogenesis via impairment of miRNA-140 function. These results suggest the importance of investigations of not only aberrant miRNA expression levels,^{12,14,17,48} but also deregulation of miRNP

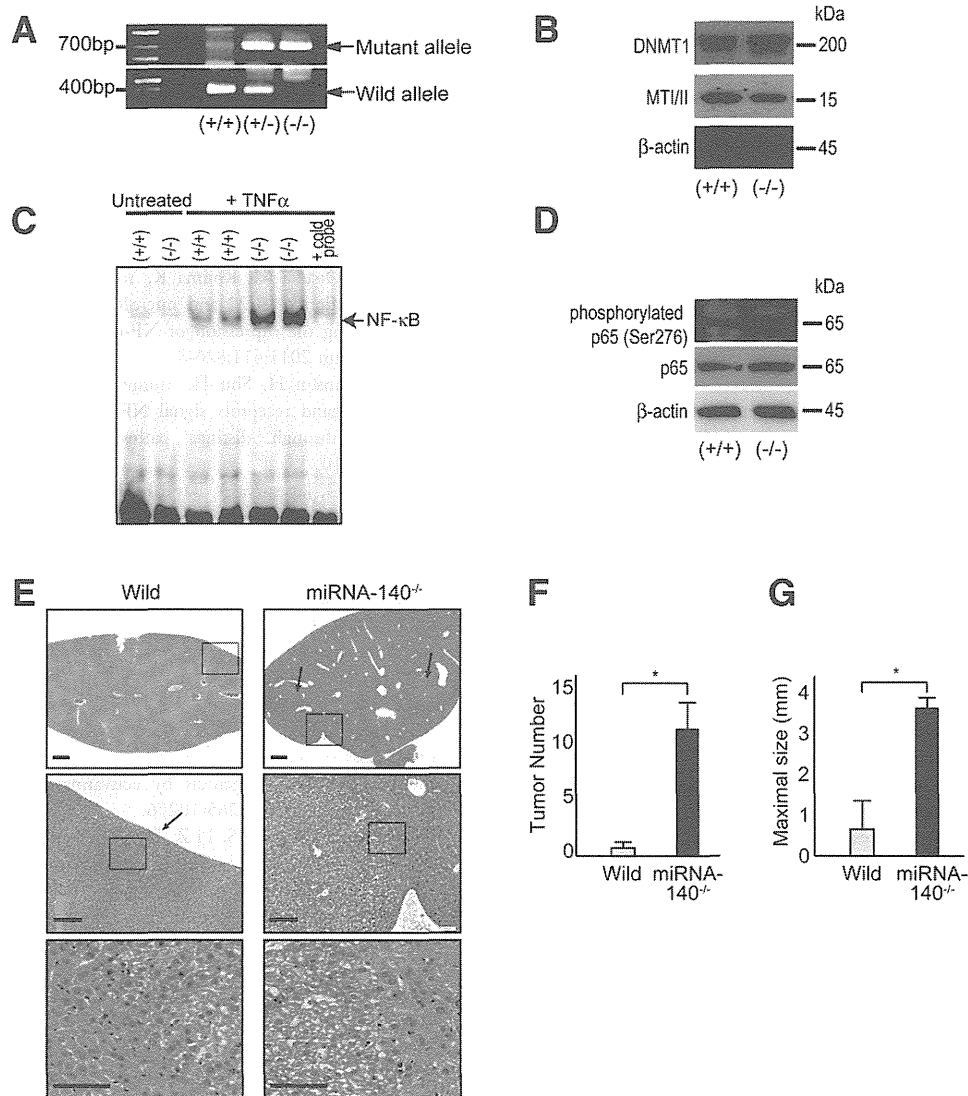


Fig. 4. miRNA-140^{-/-} mice are prone to hepatocarcinogenesis. (A) Representative genotyping of mice with wild-type or mutant alleles. PCR genotyping was performed for miRNA-140 wild-type (419 bp; Wild) and knockout (734 bp; Mutant) alleles. (+/+), wild-type; (+/-), heterozygous; (-/-), knockout. (B) Increased Dnmt1 expression and decreased MTI/II expression in the liver tissues of miRNA-140^{-/-} mice compared with wild-type mice. Western blotting was performed using antibodies against the indicated proteins. (+/+), wild-type; (-/-), miRNA-140^{-/-}. The image shown is representative of four independent experiments. (C) NF-κB-DNA binding was assessed via gel-shift assay using equal amounts of liver nuclear extracts from untreated and TNF-α-injected wild-type and miRNA-140^{-/-} mice. (+/+), wild-type; (-/-), miRNA-140^{-/-}. Cold probe was added to TNF-α-injected knockout mouse nuclear extract to test assay specificity. A result representative of four independent experiments is shown. (D) Western blotting for phosphorylated p65 expression in the liver at 32 weeks after DEN treatment in miRNA-140^{-/-} mice compared with wild-type mice. A result representative of four independent experiments is shown. (E) Representative histological images of mouse liver at 32 weeks after DEN treatment. Arrows indicate tumors. Higher-magnification images of the highlighted areas in the upper panels are shown in the lower panels. Scale bar, 500 μm. (F) The number (left panel) and size (right panel) of tumors (five random sections per mouse treated with DEN) are presented as the mean ± SD (wild-type mice, n = 8; miRNA-140^{-/-} mice, n = 8). *P < 0.05.

components,²² with subsequent impairment of miRNA function as molecular pathways and possible therapeutic targets for carcinogenesis and other diseases.

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