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# A Naturally Occurring Single Amino Acid Substitution in Human TRIM5 $\alpha$ Linker Region Affects Its Anti-HIV Type 1 Activity and Susceptibility to HIV Type 1 Infection

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## Abstract

TRIM5 $\alpha$  is a factor contributing to intracellular defense mechanisms against retrovirus infection. Rhesus and cynomolgus monkey TRIM5 $\alpha$ s potently restrict HIV-1, whereas human TRIM5 $\alpha$  shows weak effects against HIV-1. We investigated the association between a single nucleotide polymorphism in the TRIM5 $\alpha$  linker 2 region (rs11038628), which substituted aspartic acid (D) for glycine (G) at position 249, with susceptibility to HIV-1 infection in Japanese and Indian subjects. rs11038628 is rare in Europeans but common in Asians and Africans. Functional analyses were performed by multiple-round replication and single-round assays, and indicated that the G249D substitution attenuated anti-HIV-1 activity of human TRIM5 $\alpha$ . A slight attenuation of anti-HIV-2 activity was also observed in TRIM5 $\alpha$  with 249D. The predicted secondary structure of the linker region suggested that the 249D substitution extended the  $\alpha$ -helix in the neighboring coiled-coil domain, suggesting that human TRIM5 $\alpha$  with 249D may lose the flexibility required for optimal recognition of retroviral capsid protein. We further analyzed the frequency of G249D in Japanese (93 HIV-1-infected subjects and 279 controls) and Indians (227 HIV-1-infected subjects and 280 controls). The frequency of 249D was significantly higher among HIV-1-infected Indian subjects than in ethnicity-matched control subjects [odds ratio (OR)=1.52,  $p=0.026$ ]. A similar weak tendency was observed in Japanese subjects, but it was not statistically significant (OR=1.19,  $p=0.302$ ). In conclusion, G249D, a common variant of human TRIM5 $\alpha$  in Asians and Africans, is associated with increased susceptibility to HIV-1 infection.

## Introduction

TRIM5 $\alpha$  FROM RHESUS MONKEYS restricts human immunodeficiency virus-1 (HIV-1) replication at the postentry,<sup>1</sup> preintegration stage in the viral life cycle through rapid degradation of the HIV-1 core,<sup>2</sup> whereas human TRIM5 $\alpha$  restricts HIV-1 only weakly but potently restricts N-tropic murine leukemia virus.<sup>3,4</sup> TRIM5 $\alpha$  is a member of the tripartite motif-containing proteins and consists of RING, B-box 2, coiled-coil, and PRYSPRY (B30.2) domains. TRIM5 $\alpha$  recognizes the multimerized capsid (CA) proteins of an incoming virus by its  $\alpha$ -isoform-specific PRYSPRY domain. Studies of chimeric TRIM5 $\alpha$ s have shown that the determinant of species-specific restriction against viral infection resides in the variable regions of the PRYSPRY domain.<sup>5-11</sup>

Infection by HIV-1 and progression to acquired immune deficiency syndrome (AIDS) vary among human individuals, and these phenomena are considered to be at least partially controlled by diversity in the human genome.<sup>12,13</sup> Two common TRIM5 $\alpha$  functional polymorphisms, H43Y and R136Q, have been studied with regard to the association with HIV-1 infection.<sup>14-21</sup> Price *et al.* sequenced exon 2 of the TRIM5 gene in 1,032 women enrolled in a long-term monitored Pumwani sex worker cohort, and found that women with the R136Q polymorphism were less likely to seroconvert despite heavy exposure to HIV-1 through active sex work.<sup>15</sup> Previous studies, including ours, showed the reduced antiviral activity of the H43Y substitution, but the associations with HIV-1 infection and disease progression were inconsistent among studies.<sup>14,16-20</sup> Javanbakht *et al.* reported a paradoxical

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protective effect of TRIM5 $\alpha$  with 43Y against HIV-1 transmission in African-Americans.<sup>14</sup> Taken together, these findings indicate that anti-HIV-1 activity of human TRIM5 $\alpha$  cannot protect humans from an HIV-1 pandemic, but may affect the rate of HIV-1 transmission.

In the present study, we investigated the association between a single nucleotide polymorphism (SNP) in the TRIM5 $\alpha$  linker 2 region (rs11038628) between coiled-coil and PRYSPRY domains with susceptibility to HIV-1 infection. This SNP substituted aspartic acid (D) for glycine (G) at position 249. We show here that this SNP is associated with increased susceptibility to HIV-1 infection.

## Materials and Methods

### Cloning and expression of TRIM5 $\alpha$

The generation of recombinant Sendai viruses (SeVs) expressing human TRIM5 $\alpha$  derived from MT4 cells, rhesus monkey TRIM5 $\alpha$  derived from LLC-MK2 cells, and cynomolgus monkey TRIM5 $\alpha$  lacking the PRYSPRY domain has been previously described.<sup>9,22</sup> All these TRIM5 $\alpha$ s carried a hemagglutinin (HA) tag (YPYDVPDYAA) at the C-terminus. The D-to-G substitution at the 249th position was introduced into MT4 TRIM5 $\alpha$  by polymerase chain reaction (PCR) site-directed mutagenesis. The resultant PCR fragment was cloned into pSeV18+b(+) as a vector. Recombinant SeVs expressing human TRIM5 $\alpha$  carrying G at position 249 were recovered according to the previously described method.<sup>23</sup> The second passages in embryonated chicken eggs were used as stock virus for all experiments.

### Western blotting analysis

MT4 cells ( $1 \times 10^6$ ) infected with recombinant SeVs expressing HA-tagged TRIM5 $\alpha$  proteins were lysed in lysis buffer (50 mM Tris-HCl, pH 7.5, 150 mM NaCl, 1% Nonidet P40, 0.5% sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS)-PAGE). Proteins in the gel were then electronically transferred onto a membrane (Immobilon; Millipore, Billerica, MA). Blots were blocked and probed with anti-HA high-affinity rat monoclonal antibody (Roche, Indianapolis, IN) overnight at 4°C. Blots were then incubated with peroxidase-conjugated anti-rat IgG (American Qualex, San Clemente, CA), and bound antibodies were visualized with a Chemilumi-One chemiluminescent kit (Nacalai Tesque, Kyoto, Japan).

### Viral infection

MT4 cells ( $1 \times 10^6$ ) were infected with SeVs expressing MT4-derived human TRIM5 $\alpha$  (249D), human TRIM5 $\alpha$  (249G), rhesus monkey TRIM5 $\alpha$ , or cynomolgus monkey TRIM5 $\alpha$  lacking the PRYSPRY domain [CM-TRIM5 $\alpha$ -SPRY(-)] at a multiplicity of infection (MOI) of 10 plaque-forming units (PFU) per cell and incubated at 37°C for 9 h. Aliquots of  $1 \times 10^5$  cells were then superinfected with HIV-1 NL43 or HIV-2 GH123. Each superinfection used a titer of virus corresponding to 7 ng of p24 of NL43 or 20 ng of p25 of GH123. Experiments were performed with triplicate samples. The culture supernatants were collected periodically and the level of p24 or p25 was measured using a RETROtek antigen ELISA kit (ZeptoMetrix, Buffalo, NY). For the single-round infection

assay, hamster TK-ts13 cells were infected with SeV expressing TRIM5 $\alpha$  as described above, and superinfected with a vesicular stomatitis virus glycoprotein (VSV-G) pseudotyped HIV-1 vector expressing green fluorescence protein (GFP) under the control of the cytomegalovirus (CMV) promoter. The original HIV-1 vector was based on the BH10 strain.<sup>24,25</sup> To construct the lentivector possessing CA of NL4-3, we replaced the *EcoRI*-*ApaI* fragment corresponding to MA and CA of the pMDLg/p.RRE packaging vector with that of NL4-3.<sup>26</sup> In case of HIV-2, we used a canine cell line Cf2Th and VSV-G pseudotyped HIV-2 vector expressing GFP under the control of the LTR promoter.<sup>27</sup> Two days after infection, the cells were fixed with formaldehyde, and GFP-expressing cells were counted by a flow cytometer.

### Human DNA subjects

The protocol for the present study was approved by the Ethics Review Board of the Medical Research Institute of Tokyo Medical and Dental University and that of the All India Institute of Medical Science. At setup of the cohort of HIV-1-infected Japanese subjects with hemophilia in 1995, all patients had been infected for longer than 10 years but were asymptomatic without any antiviral measures. Blood samples were collected from 93 well-characterized patients who were selected from the cohort after obtaining written informed consent.<sup>28,29</sup> Control DNA samples were prepared from Epstein-Barr virus-transformed human B cell lines established from randomly selected healthy donors ( $n=279$ ) and obtained from the Japan Health Sciences Foundation. DNA samples from HIV-1-infected individuals were prepared from the blood samples using a QuickGene DNA whole blood kit S (Fujifilm, Tokyo, Japan). In addition, blood DNA samples were obtained from 227 HIV-1-infected Indian subjects and 226 healthy Indian volunteers with informed consent in related hospitals with the All India Institute of Medical Sciences, New Delhi.

### Identification and genotyping of nucleotide variations in TRIM5 $\alpha$ exon 5

Primer sets were designed to amplify the genomic segments covering the entire TRIM5 $\alpha$  exon 5 as follows: sense primer (5'-GATGCGGTCATGCTATGTTG-3') and antisense primer (5'-CGAATGCTGATTTATGACCATA-3'). Genomic DNA was subjected to PCR amplification followed by sequencing using a BigDye Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems, Foster City, CA). Polymorphisms were identified using the Sequencher program (Gene Code Co., Ann Arbor, MI).

### Statistical analysis

All statistical analyses in this study were performed using GraphPad InStat version 3.06 for Windows (GraphPad Software, San Diego, CA). Pairwise linkage disequilibrium (LD) ( $r^2$ ) was estimated using SNPalyze version 6.0 standard (Dynacom Co., Ltd., Chiba, Japan).

### Prediction of the peptide secondary structure

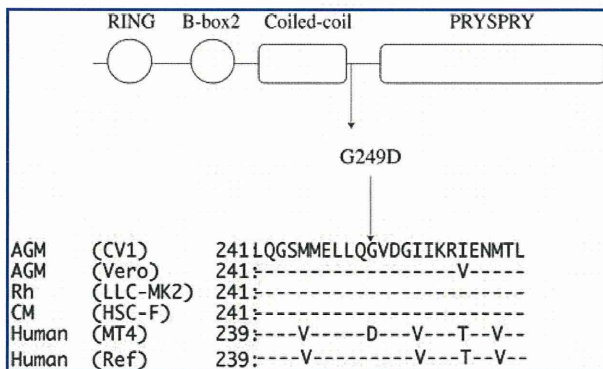
The Chou-Fasman methods were used to predict the secondary structure of TRIM5 $\alpha$  using GENETYX-MAC version 15 software (Genetyx Corporation, Tokyo, Japan).

## Results

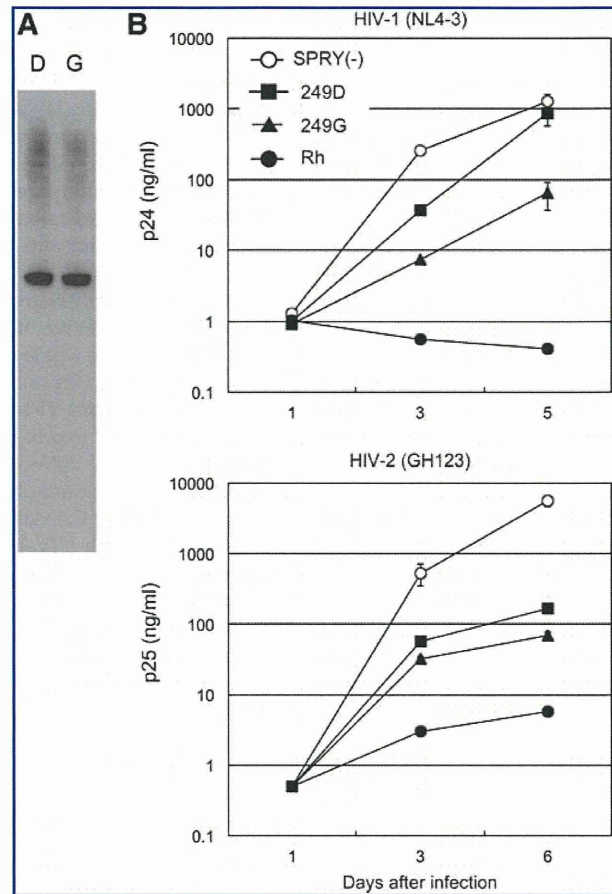
### Anti-HIV-1 activity of TRIM5 $\alpha$ was attenuated by G249D substitution

We previously cloned human TRIM5 $\alpha$  from the CD4-positive T cell line MT4 and noted that there is a G-to-D amino acid substitution (G249D) in comparison with the reference sequence (NM\_033034).<sup>9</sup> This position is known as a polymorphic site in the human TRIM5 gene (rs11038628) located in the linker 2 region between the coiled-coil and PRYSPRY domains (Fig. 1). Initially, we speculated that this polymorphism would have no effect on antiviral activity due to its presence in the linker 2 region. Goldschmidt *et al.*<sup>18</sup> reported that HeLa cells stably transfected with TRIM5 $\alpha$  with 249D did not differ in susceptibility to HIV-1 infection. However, a tendency toward higher *in vitro* p24 production was observed at 7 days after infection in peripheral blood mononuclear cells from white subjects with the 249D allele, although the difference was not statistically significant mainly due to the limited number of subjects with the mutant allele.<sup>18</sup> In addition, Old World monkey TRIM5 $\alpha$ , including those of African green monkey, rhesus monkey, and cynomolgus monkey, also bears G at this position (Fig. 1). The HapMap project showed the 249D allele to be rare in whites (allele frequency: 0.053) but common in Japanese (allele frequency: 0.343) and African populations (allele frequency: 0.367). These findings prompted us to reevaluate the effects of this SNP on HIV-1 infection in Asians in which the frequency of G249D is higher than in whites.

To investigate the functional significance of G249D on the anti-HIV activity of TRIM5 $\alpha$ , we constructed SeV containing C-terminal HA-tagged human TRIM5 $\alpha$  (249G) (Fig. 1) by site-directed mutagenesis on MT4 TRIM5 $\alpha$ , which bears D at position 249. As shown in Fig. 2A, the expression level of TRIM5 $\alpha$  (249G) was comparable to that of TRIM5 $\alpha$  (249D) in recombinant SeV-infected MT4 cells.



**FIG. 1.** Schematic presentation of TRIM5 $\alpha$  structure. Circles and squares represent functional domains of TRIM5 $\alpha$ . The position of the G249D polymorphism is shown by arrows. The amino acid sequences of African green monkey (AGM) TRIM5 $\alpha$  from CV1<sup>9</sup> and Vero cells, rhesus monkey (Rh) TRIM5 $\alpha$  from LLC-MK2,<sup>10</sup> cynomolgus monkey (CM) TRIM5 $\alpha$  from HSC-F,<sup>9</sup> human TRIM5 $\alpha$  from MT4 cells,<sup>9</sup> and the reference sequence (NM\_033034) were aligned. Dashes denote an identical amino acid to AGM TRIM5 $\alpha$  from CV1.



**FIG. 2.** (A) Lysates of MT4 cells infected with recombinant Sendai virus (SeV) expressing hemagglutinin (HA)-tagged human TRIM5 $\alpha$  with 249D (lane D) and with 249G (lane G) were visualized by western blotting with an antibody against HA. Representative results of three independent experiments are shown. (B) MT4 cells were infected with SeV expressing TRIM5 $\alpha$  lacking the PRYSPRY domain [SPRY(-); white circles], MT4-derived human TRIM5 $\alpha$  (249D; black squares), human TRIM5 $\alpha$  (249G; black triangles), or rhesus monkey TRIM5 $\alpha$  (Rh; black circles). Nine hours after SeV infection, cells were inoculated with HIV-1 strain NL4-3 or HIV-2 strain GH123, and culture supernatants were periodically assayed for levels of p24 or p25, respectively. Data points are means for triplicate samples with SD. Three and six days after infection, statistically significant differences ( $p < 0.05$ ) of HIV-1 and HIV-2 growth were observed between human TRIM5 $\alpha$  (249D) and human TRIM5 $\alpha$  (249G) by unpaired *t* test. Representative data of at least three independent experiments are shown.

These TRIM5 $\alpha$  constructs were tested for their ability to restrict the X4-tropic HIV-1 strain NL4-3 and HIV-2 strain GH123. MT4 cells infected with recombinant SeV expressing each of the TRIM5 $\alpha$  constructs were superinfected with HIV-1 NL4-3 or HIV-2 GH123. We used SeV expressing cynomolgus monkey TRIM5 $\alpha$  lacking the PRYSPRY domain as a negative control for functional TRIM5 $\alpha$ , as overexpression of TRIM5 $\alpha$  lacking the PRYSPRY domain was shown to exert a dominant negative effect on endogenous human TRIM5 $\alpha$ .<sup>30</sup> As shown in Fig. 2B, MT4-derived human TRIM5 $\alpha$  (249D) showed only



weak anti-HIV-1 activity, as we demonstrated previously.<sup>21</sup> On the other hand, human TRIM5 $\alpha$  (249G) showed stronger restriction activity to HIV-1 NL4-3 than human TRIM5 $\alpha$  (249D). In the case of HIV-2, both human TRIM5 $\alpha$  with 249G and 249D exhibited apparent anti-HIV-2 activity. The human TRIM5 $\alpha$  (249G) showed stronger restriction activity to HIV-2 GH123 than human TRIM5 $\alpha$  (249D), although the difference was very small (Fig. 2B, lower panel). These results indicated that the G249D variant weakened the anti-HIV-1 and anti-HIV-2 activities of human TRIM5 $\alpha$ .

TRIM5 $\alpha$  is known to restrict viral infection at the early steps of HIV replication. To evaluate the anti-HIV-1 activity of human TRIM5 $\alpha$  at the early stages, we performed the single-round infection assay using a GFP expression vector (Fig. 3). The hamster cell line TK-tS13, which lacks endogenous TRIM5 $\alpha$  expression, was infected with recombinant SeV expressing human TRIM5 $\alpha$ . We superinfected cells with VSV-G pseudotyped lentivector expressing GFP under the control of the CMV promoter. We used HIV-1 vectors bearing CA derived from BH10 (Fig. 3A) and NL4-3 (Fig. 3B). Both HIV-1 GFP vectors were suppressed to a greater degree by human TRIM5 $\alpha$  (249G) than by MT4-derived human TRIM5 $\alpha$  (249D). A similar result was obtained when we used the HIV-2 GFP vector (Fig. 3C). Taken together, these observations indicated that the G249D polymorphism affected the anti-HIV-1 and anti-HIV-2 activities of human TRIM5 $\alpha$ .

#### Associations of TRIM5 $\alpha$ G249D polymorphism with susceptibility to HIV-1 infection

We sequenced TRIM5 $\alpha$  exon 5 and found G249D in the populations tested. The associations of G249D polymorphism with susceptibility to HIV-1 infection are summarized in Table 1. The frequency of 249D was significantly higher in the HIV-1-infected Indian subjects than in the ethnicity-matched controls [odds ratio (OR) = 1.52,  $p$  = 0.026]. A similar tendency was also observed in the Japanese population, but did not reach statistical significance (OR = 1.19,  $p$  = 0.302).

Previously, we sequenced TRIM5 $\alpha$  exons 2 of the same subjects as above and reported the association of H43Y with susceptibility to HIV-1 infection.<sup>21</sup> The levels of LD indicated that G249D in exon 5 and H43Y in exon 2 were not in tight linkage disequilibrium in either Japanese ( $r^2$  = 0.18,  $n$  = 188) or Indian ( $r^2$  = 0.02,  $n$  = 96) populations.

#### Discussion

The G249D polymorphism in TRIM5 $\alpha$  is common in Asian and African populations. It was initially speculated that there was no functional effect of this SNP, as it is located outside of any functional domains of human TRIM5 $\alpha$ . Contrary to our expectation, however, we observed attenuation of anti-HIV-1 and anti-HIV-2 activity of the G-for-D substitution with both multiround replication and single-round infection assays. Furthermore, we investigated two ethnic populations, Japanese and Indian, for the G249D polymorphism and found the association of the TRIM5 $\alpha$  249D allele with enhanced susceptibility to HIV-1 infection.

Amino acid position 249 of human TRIM5 $\alpha$  lies within the linker region for which no three-dimensional structural data have yet been reported. Therefore, we performed secondary structure prediction by the Chou-Fasman method<sup>31</sup> to examine the possible effect of this SNP on the protein structure.

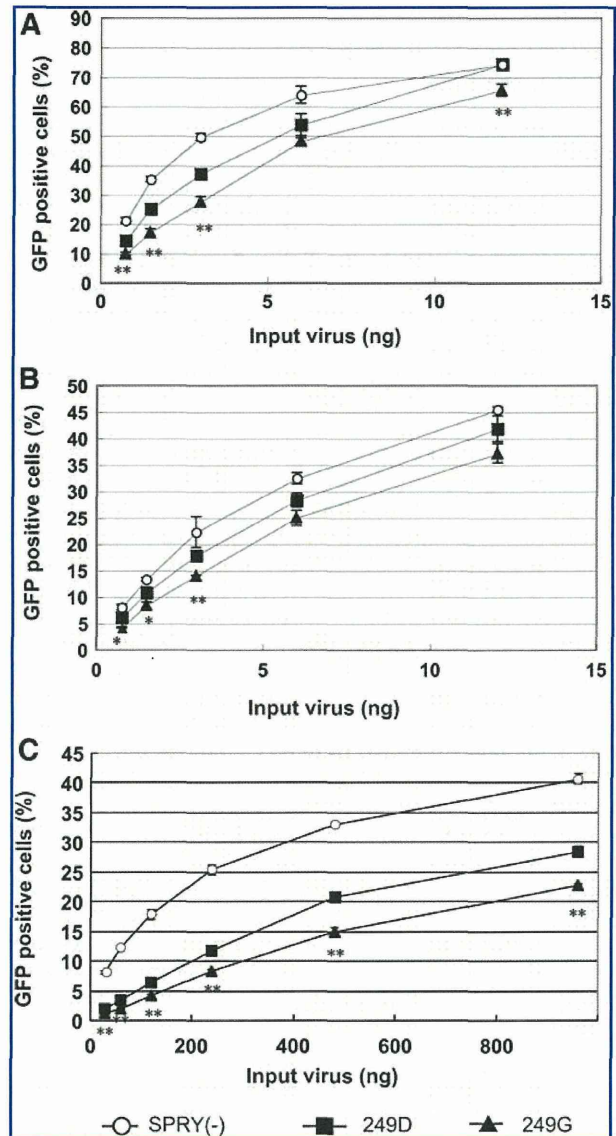


FIG. 3. TK-tS13 cells infected with SeVs expressing TRIM5 $\alpha$  lacking the PRYSPRY domain [SPRY(-); white circles], MT4-derived human TRIM5 $\alpha$  (249D; black squares), or human TRIM5 $\alpha$  (249G; black triangles) were exposed to green fluorescence protein (GFP)-expressing HIV-1 vector based on BH10 (A) or NL4-3 (B). (C) Cf2Th cells infected with SeVs were exposed to an HIV-2 vector based on ROD. GFP-positive cells were counted by a flow cytometer. Data points are means for triplicate samples with SD. \*\*\* The statistically significant differences,  $p$  < 0.05 and  $p$  < 0.001, respectively, in unpaired  $t$  test between human TRIM5 $\alpha$  (249D) and human TRIM5 $\alpha$  (249G). Representative results of two independent experiments are shown.

The G-to-D substitution increased the probability of  $\alpha$ -helix formation and resulted in the extension of the  $\alpha$ -helix from the coiled-coil region into the linker 2 region. Similar results were obtained by the PREDETOR in <http://mobyle.pasteur.fr> (data not shown). This suggested that TRIM5 $\alpha$  with 249G would be more flexible than TRIM5 $\alpha$  with 249D.

TABLE 1. ASSOCIATION OF rs10038628 (G249D) WITH SUSCEPTIBILITY TO HIV-1 INFECTION IN JAPANESE AND INDIAN POPULATIONS

	Japanese				Indian			
	HIV-1-infected (n=93)	Control (n=279)	Odds ratio (95% CI)	p-value	HIV-1-infected (n=227)	Control (n=280)	Odds ratio (95% CI)	p-value
rs10038628								
GG	28 (30%)	98 (35%)	0.80 (0.48–1.32)	0.376	161 (71%)	226 (81%)	0.58 (0.39–0.88)	0.010
DG	47 (51%)	137 (49%)			63 (28%)	49 (17%)		
DD	18 (19%)	44 (16%)	1.28 (0.70–2.35)	0.422	3 (1%)	5 (2%)	0.74 (0.17–3.12)	0.736 <sup>a</sup>
Allele D	83 (45%)	225 (40%)	1.19 (0.85–1.67)	0.302	69 (15%)	59 (11%)	1.52 (1.05–2.21)	0.026

<sup>a</sup>Fisher's exact test.

Human TRIM5 $\alpha$  was obviously not effective in protecting against HIV-1 infection compared with the strong Old World monkey TRIM5 $\alpha$ , as only humans are susceptible and Old World monkeys are resistant to HIV-1 infection. With experimental overexpression of human TRIM5 $\alpha$ , the anti-HIV-1 activity of human TRIM5 $\alpha$  was variable among previous reports.<sup>1,5,9,14,16,20,21</sup> Our previous data showed the weakest anti-HIV-1 activity of human TRIM5 $\alpha$ ,<sup>9,20,21</sup> even though we used the SeV system, which allowed high expression levels of inserted genes. As described in the present study, the 249D substitution would explain why our human TRIM5 $\alpha$  derived from MT4 showed little potency against HIV-1. We examined the G249D SNP in commonly used human cell lines, CEM, HeLa, Jurkat, and 293T, and found that these were all homozygous for 249G, but MT4 was homozygous for 249D. This is not surprising because the allele frequency of 249D is high in Japan but quite rare in whites and MT4 cells were established from Japanese donor blood.<sup>32</sup> On the other hand, MT4 is highly susceptible to HIV-1 infection,<sup>33</sup> which is in good agreement with the present data.

Previously, Goldschmidt *et al.* failed to observe the attenuation of antiviral activity by the 249D mutation.<sup>18</sup> One possible reason for the discrepancy between their results and ours is the difference in expression system used. Goldschmidt *et al.* used HeLa cells stably transduced with TRIM5 $\alpha$  with various mutations.<sup>18</sup> Transduced cell lines sometimes develop unexpected phenotypic changes during the cloning procedure. In contrast, we used the SeV system, and the conditions of cells infected with different recombinant viruses were always comparable, especially among those expressing full-length TRIM5 $\alpha$ . It should be noted that Goldschmidt *et al.* also reported a tendency toward higher *in vitro* p24 production 7 days after infection in peripheral blood mononuclear cells from individuals with the 249D allele, which is consistent with our present results.<sup>18</sup>

We clearly showed that the 249D allele was associated with increased susceptibility to HIV-1 infection in the Indian population. However, although a similar tendency was observed in the Japanese population, the association was not significant. The precise reason why the effect of G249D was unclear in the Japanese population is not yet clear. It should be noted that our Japanese patients were infected through contaminated blood products in the early 1980s. On the other hand, the Indian patients were infected through heterosexual contact after the HIV-1 pandemic in Asia after 1990. It is possible that the difference in route of HIV-1 transmission

may be responsible for this difference between Japanese and Indian patients. Further studies in well-characterized cohorts are necessary to confirm our findings regarding HIV-1 transmission and the possible effects of this SNP on AIDS progression.

#### Acknowledgments

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#### Author Disclosure Statement

No competing financial interests exist.

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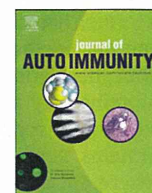
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## A novel link of *HLA* locus to the regulation of immunity and infection: *NFKBIL1* regulates alternative splicing of human immune-related genes and influenza virus *M* gene



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### ABSTRACT

*HLA* locus contains immune-related genes and genetically regulates immune responses against both foreign- and self-antigens in humans. Inhibitor of  $\kappa$ B-like protein (IkBL), encoded by *HLA*-linked *NFKBIL1*, is a protein of unknown function, while genetic variations in *NFKBIL1* are known to associate with the susceptibility to inflammatory and/or autoimmune diseases. In this study, we found that IkBL suppressed exon exclusion in alternative splicing of human immune-related genes such as *CD45*. Yeast-two-hybrid screening and immunoprecipitation assay revealed molecular association of IkBL with CLK1, a serine/threonine and tyrosine kinase, which plays a role in the alternative splicing. Unexpectedly, we found that the regulation of alternative splicing in *CD45* by IkBL was independent from the kinase activity of CLK1. On the other hand, it was demonstrated that an SR protein, ASF/SF2, bound both IkBL and CLK1 at the RNA-recognition motifs of ASF/SF2, implying a competition of IkBL and CLK1 on SR protein. In addition, IkBL was found to regulate the CLK1-dependent synthesis of M2 RNA, a splice variant of influenza A virus *M* gene. These observations suggest a functional involvement of IkBL in the regulation of alternative splicing in both human and viral genes, which is a novel link of *HLA* locus to the regulation of immunity and infection in humans.

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### 1. Introduction

Human NF- $\kappa$ B inhibitor-like protein 1 gene (*NFKBIL1*) is located in the *HLA* class III region on the short arm of chromosome 6 and encodes a protein, inhibitor of  $\kappa$ B-like protein (IkBL), which shows a limited homology to inhibitor of  $\kappa$ B (IkB). A number of studies have demonstrated the association between genetic variations in *NFKBIL1* and susceptibility to inflammatory and/or autoimmune diseases, such as multiple sclerosis [1], rheumatoid arthritis (RA) [2], type 1 diabetes mellitus [3], Takayasu's arteritis [4], and chronic thromboembolic pulmonary hypertension [5]. It has been reported that the sequence variations in the promoter region of *NFKBIL1*, which showed the lowest and the highest promoter activity, would confer the susceptibility to RA and Takayasu's arteritis, respectively

[4], implying that the altered expression of IkBL might contribute to the pathogenesis of immune-related diseases.

Alternative splicing is a crucial mechanism in the post-transcriptional control of gene expression in eukaryotes, in which target exons in pre-mRNA could be either excluded or included depending on the specific *cis*-regulatory elements and recognition by the splicing-related factors [6,7]. Pre-mRNAs of human immune-related genes are known to undergo extensive alternative splicing [8]. For example, resting T cells express larger mRNA isoforms of *CD45*, while target exons of *CD45* were selectively excluded to form shorter mRNA isoforms in activated T cells. Expression of each isoform generated by the alternative splicing is strictly regulated, whereas the abnormal alternative splicing events, which lead to altered expression of target mRNA isoforms, have been reported to associate with autoimmune diseases [9,10].

IkBL possesses nuclear localization sequences (NLS) at its N-terminus and localized in nuclear speckles [11,12], which are sub-nuclear structures enriched with pre-mRNA splicing factors [13]. In addition, IkBL was reported to associate with RNA [12]. These lines of evidence suggest that IkBL might play a role in the RNA splicing. In addition, it has been reported that CDC-like kinase 1 (CLK1),

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a serine/threonine and tyrosine kinase, localizes in nuclear speckles and nucleoplasm, and CLK1 regulates alternative splicing through phosphorylation of serine/arginine rich (SR) proteins [14–16]. SR proteins play an important role in both constitutive splicing and alternative splicing of pre-mRNA [6,7]. Functional domain of SR proteins contains one or two RNA-recognition motifs (RRMs), where pre-mRNAs were bound to be processed.

We report here that IκBL physically interacts with CLK1 and SR protein, and functions as a novel regulator in the alternative splicing of both human and viral genes.

## 2. Materials and methods

### 2.1. Calculation of Ka/Ks value for amino acid substitution

Ka/Ks value was used to estimate the potential functional domain of IκBL. The Ka and the Ks values are calculated by DnaSP v3.0 [17], by comparing the human and murine *NFKBIL1* sequences. Ks is the number of synonymous substitutions per synonymous site, whereas Ka is the number of nonsynonymous substitutions per nonsynonymous site.

### 2.2. Plasmids

An exon trapping vector, pSPL3 (Invitrogen, Carlsbad, CA, USA), was used to construct mini-genes analyzed for the alternative splicing. A *CD45* mini-gene construct covered exons 3–7 and their intron–exon boundary segments from human *CD45*, while *CD72* and *CTLA4* mini-gene constructs encompassed exons 7–8, and exons 2–4, respectively, with their intron–exon boundary segments from human the genes. We cloned human cDNAs encoding IκBL, CLK1, hnRNPL, hnRNPL, FOX1 and ASF/SF2 into mammalian expression vectors, pCI-neo (Promega, Madison, WI, USA) and pEGFP (Clontech, Mountain View, CA, USA). Deletion mutants of IκBL (IκBL-ΔN, -ΔA, -ΔCv and -ΔCc), CLK1 (CLK1-ΔN, -Δkinase) and ASF/SF2 (ASF/SF2-ΔRRM1β1, -ΔRRM2β1, -ΔRRM1&2β1 and -ΔRS) were generated by the standard PCR-based method. All constructs were sequenced to ensure that undesired mutations were not introduced during the cloning procedure. The constructs used in the plasmid-based rescue system for the influenza A virus, including pPOLI-M-RT, pcDNA-NP, pcDNA-PB1, pcDNA-PB2 and pcDNA-PA, were kindly provided by Dr. George G. Brownlee and Dr. Ervin Fodor. Plasmid DNAs for transfection were prepared using QIAprep Spin Miniprep kit (Qiagen, Hilden, Germany).

### 2.3. Cell culture and transfection

COS7, HeLa and HEK293T cells (ATCC, Manassas, VA, USA) were maintained in Dulbecco's modified Eagle's medium (DMEM) (Invitrogen) supplemented with 10% de-complemented fetal calf serum (FCS) (Nishirei Biosciences, Tokyo, Japan) and Penicillin–Streptomycin–Glutamine (PSG) (Invitrogen). JSL1 cells were kindly provided by Dr. Kristen W. Lynch and maintained in RPMI1640 (Sigma, St. Louis, MO, USA) supplemented with 5% FCS plus PSG. Transfection was done using COSfectin (Bio-Rad, Hercules, CA, USA) or Lipofectamine2000 (Invitrogen), according to the manufacturer's instructions. Hygromycin (Invitrogen) was used for selection of a stably transfected JSL1 line, JSL-IκBL.

### 2.4. RNA interference

Knockdown of endogenous *NFKBIL1* and *CLK1* was done by using pre-designed siRNAs (siRNA ID for *NFKBIL1*: s9517 and s194653; siRNA ID for *CLK1*: s3162 and s3163) (Ambion, Austin, TX, USA). A non-targeting siRNA was used as a negative control.

### 2.5. Immunofluorescence staining

Fixed and permeabilized HeLa cells were incubated with anti-SC35 (BD Biosciences Pharmingen, San Diego, CA, USA) and/or anti-CLK1 (Abcam, Cambridge, MA, USA) antibodies, followed by incubation with fluorescence-conjugated second antibodies. Images were analyzed with an LSM510 laser-scanning microscope (Carl Zeiss, Oberkochen, Germany).

### 2.6. RNA isolation, RT-PCR and real-time RT-PCR

Total cellular RNAs from human tissues were purchased from Agilent Technologies. Total RNAs from cells were purified by using RNeasy Mini kit (Qiagen) and cDNAs were synthesized by the reverse transcription (RT) reaction from 1 μg of RNA using Prime-Script RT reagent Kit (Takara Bio, Tokyo, Japan) according to the manufacturer's protocol. To evaluate the amount of splicing variants, cDNA was applied to PCR and the PCR products were separated by electrophoresis on agarose gels, visualized by ethidium bromide staining, and quantified by using ImageJ Version 1.36. The endogenous expression of mRNA was quantified by real-time RT-PCR using iCycler iQ Real-Time PCR Detection System (Bio-Rad).

### 2.7. Yeast-two-hybrid (Y2H) screening

All procedures for Y2H were performed according to the manufacturer's instructions for the Matchmaker GAL4 Two-Hybrid System 3 (Clontech).

### 2.8. Immunoprecipitation (IP) and immunoblotting

IP products were prepared by precipitation of antigen–antibody complex using Protein G Sepharose beads (GE Healthcare, Uppsala, Sweden). For immunoblotting, samples were separated on a 10% SDS-PAGE gel and transferred to a nitrocellulose membrane (Invitrogen). After the incubation with antibodies, signals were visualized by Image Reader LAS-3000 (FUJIFILM, Tokyo, Japan).

### 2.9. Flow cytometry analysis

JSL1 and JSL1-IκBL cells with or without activation by 12-myristate 13-acetate (PMA) (Calbiochem, San Diego, CA, USA) were incubated with specific antibodies to CD45RA (eBioscience, San Diego, CA, USA) or CD45RO (Santa Cruz Biotechnology, Santa Cruz, CA, USA). Flow cytometry analysis was performed on FACS-Calibur (BD Biosciences, San Jose, CA, USA) according to the standard protocol.

### 2.10. Statistical analysis

Statistical comparisons were performed using Student's *t*-tests or one-way ANOVA followed by a post-hoc Bonferroni's or Dunnett's multiple comparison tests. The results were considered statistically significant when the *p* value was less than 0.05.

Additional information to 2.4., 2.5., 2.7., 2.8. and antibodies used in this study can be found in Supplementary information.

## 3. Results

### 3.1. Domain structure of IκBL for localization to nuclear speckles

Based on the domain structure and Ka/Ks value, IκBL was divided into four segments; N-terminal segment (N) (amino acids 1–66) containing a putative NLS, ankyrin repeat domain segment (A) (amino acids 67–137), central variable segment (Cv)



(amino acids 138–297), and C-terminal conserved segment (Cc) containing a leucine zipper motif (amino acids 298–381) (Fig. 1A and B). Cellular localization of IκBL was investigated in HeLa cells transfected with EGFP-tagged IκBL. As shown in Fig. 1C, IκBL-linked EGFP signal was co-localized with SC35, a member of SR protein family, in the nuclear speckles. On the other hand, HeLa cells expressing EGFP-IκBL-ΔN showed diffuse cytoplasmic EGFP signals, demonstrating that the segment N was essential for the nuclear localization. Segments A and Cv were indispensable for the nuclear localization of IκBL, because their deletions impaired the localization to the nuclear speckles. In contrast, deletion of the segment Cc had no effect on the subnuclear localization of IκBL.

### 3.2. IκBL inhibits exon exclusion in alternative splicing of immune-related genes

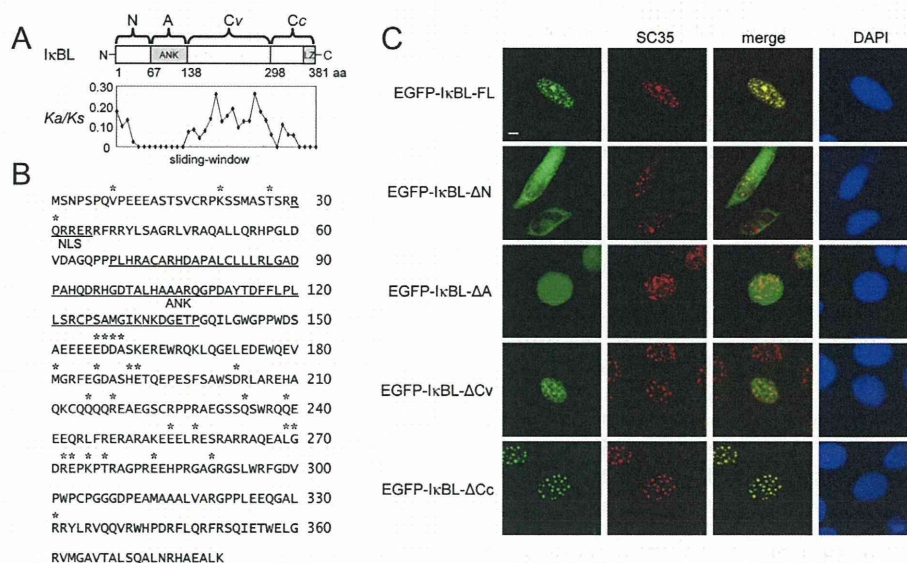
Localization of IκBL in the nuclear speckles, along with the evidence that genetic variations of IκBL were associated with the susceptibility to inflammatory and/or autoimmune diseases, leads to a hypothesis that IκBL might play a pivotal role in the alternative splicing of immune-related genes. Because human *CD45* gene is known to undergo alternative splicing of exons from 3 to 7, we generated a mini-gene construct for human *CD45* covering exons 3–7. The mini-gene construct was transfected into a monkey cell line COS7 with inducers of alternative splicing, hnRNPLL or hnRNPL [18–21]. In the hnRNPLL-induced *CD45* alternative splicing, IκBL decreased the generation of exons 3-7 isoform and oppositely increased the exons 3-4-5-6-7 isoform (Fig. 2A). Similar effects of IκBL on the *CD45* alternative splicing were also observed in human cell lines, HeLa and HEK293T (data not shown). In addition, it was observed that IκBL also suppressed the hnRNPL-induced alternative splicing of *CD45* (Supplementary Fig. S1).

We next examined whether the silencing of endogenous *NFKBIL1* would affect the hnRNPLL-induced *CD45* alternative splicing. *CD45* mini-gene and hnRNPLL were transfected into HeLa, in which the endogenous *NFKBIL1* was interfered by human *NFKBIL1*-specific siRNA. It was found that the knock-down of *NFKBIL1* increased the exons 3-7 isoform and concomitantly decreased the exons 3-5-7 isoform (Fig. 2B), indicating that IκBL facilitated the exon inclusion in alternative splicing of *CD45*.

To examine the effect of IκBL on other human immune-related genes, we created mini-genes of *CD72* and *CTLA4*. A *CD72* mini-gene covered exons 7 and 8, whereas a *CTLA4* mini-gene encompassed exons 2–4. The hnRNPLL-induced *CD72* alternative splicing was counteracted by the expression of IκBL (Supplementary Fig. S2A). On the other hand, hnRNPLL-induced *CD72* alternative splicing was accelerated in cells where the endogenous *NFKBIL1* was silenced (Supplementary Fig. S2B). In addition, we found a suppression of FOX1-induced *CTLA4* alternative splicing by IκBL (Supplementary Fig. S3). Furthermore, we studied which domain of IκBL was involved in the regulation of alternative splicing. It was revealed that IκBL-ΔN, -ΔA and -ΔCv failed to suppress the hnRNPLL-induced *CD45* alternative splicing, whereas -ΔCc could suppress it similar to the intact (-FL) IκBL (Fig. 2C).

### 3.3. Identification of molecules interacting with IκBL by Y2H screening

Expression of *NFKBIL1* in human tissues was examined by real-time RT-PCR. It was found that *NFKBIL1* was ubiquitously expressed with the prominent expression in spleen (Supplementary Fig. S4A). Next, a Y2H screening of human spleen cDNA library was performed to identify interacting molecules



**Fig. 1.** Structure and cellular localization of IκBL. (A) Ka/Ks value based on the sliding window plot analysis for the *NFKBIL1* gene. Ks is the number of synonymous substitutions per synonymous site, whereas Ka is the number of nonsynonymous substitutions per nonsynonymous site, by comparing the human and murine *NFKBIL1* sequences. The Ka and the Ks values are calculated by DnaSP (v3.0). According to Ka/Ks value, IκBL was divided into four segments; N-terminal segment (N) (amino acids 1–66) containing a putative NLS, ankyrin repeats domain segment (A) (amino acids 67–137), central variable segment (Cv) (amino acids 138–297) and C-terminal conserved segment (Cc) with leucine zipper motif (amino acids 298–381). Amino acids are numbered starting from the first in-frame methionine codon. (B) Amino acid sequences of human IκBL. NLS and ankyrin repeats domain (ANK) are underlined. Asterisks indicate the positions of amino acids that are different from the amino acid sequences of murine IκBL. (C) HeLa cells were transfected with EGFP-IκBL-FL, -ΔN, -ΔA, -ΔCv or -ΔCc (EGFP signal, green) and immunofluorescence staining was performed by using anti-SC35 antibody (Alexa Fluor 568-labeled, red). IκBL-FL co-localized with SC35 in nuclear speckles. IκBL-ΔN localized in the cytosol. Both IκBL-ΔA and -ΔCv were found in the nuclei, but the localization to nuclear speckles was impaired. IκBL-ΔCc could localize to nuclear speckles, similar as IκBL-FL. A bar represents 5 μm. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)