Table 1 Somatically acquired alterations in a liver cancer genome

| Tubic & Connuticuity acqu      | nea arterations in a niver | cancer generate |
|--------------------------------|----------------------------|-----------------|
| Type of change                 | Number                     | Percentage      |
| Substitutions                  | 11,731                     | 100.0           |
| Coding                         | 81                         | 0.7             |
| Nonsense                       | 1                          | < 0.1           |
| Missense                       | 62                         | 0.5             |
| Synonymous                     | 18                         | 0.2             |
| Non-coding                     | 120                        | 1.0             |
| UTR                            | 83                         | 0.7             |
| Pseudogene                     | 23                         | 0.2             |
| ncRNA                          | 19                         | 0.2             |
| Intronic                       | 4,001                      | 34.1            |
| Splice site                    | 2                          | < 0.1           |
| Other                          | 3,999                      | 34.1            |
| Intergenic                     | 7,529                      | 64.2            |
| Small insertions and deletions | 670                        | 100.0           |
| Coding                         | 7                          | 1.0             |
| Non-coding                     | 9                          | 1.3             |
| UTR                            | 8                          | 1.2             |
| Pseudogene                     | 0                          | 0.0             |
| ncRNA                          | 2                          | 0.3             |
| Intronic                       | 249                        | 37.2            |
| Splice site                    | 0                          | 0.0             |
| Other                          | 249                        | 37.2            |
| Intergenic                     | 405                        | 60.4            |
| Rearrangements                 | 22                         | 100.0           |
| Intrachromosomal               | 21                         | 95.5            |
| Deletions                      | 11                         | 50.0            |
| Inversions                     | 9                          | 40.9            |
| Tandem duplications            | 1                          | 4.5             |
| Interchromosomal               | 1                          | 4.5             |

In 'non-coding' categories, some mutations have been classified into two subgroups. Four substitutions were classified as both UTR and non-coding RNA. One substitution was classified as both a pseudogene and non-coding RNA. One indel was classified as both UTR and non-coding RNA. UTR, untranslated region; ncRNA, non-coding RNA.

regions (**Fig. 1c**), and the C>T/G>A transition was more frequent in the coding exons relative to the intronic and non-coding exon regions, partly due to the higher GC content of coding exons and the higher frequency of CpG methylation. There were fewer T>C transitions on the transcribed strands than on the untranscribed strands (P<0.0001) (**Fig. 1d**), and we observed no statistically significant differences for other substitutions.

We detected 90 somatic substitutions in protein-coding regions, 81 (including 63 non-synonymous substitutions) of which were validated as somatic alterations by Sanger sequencing of both the tumor and lymphocyte genomes (Tables 1,2 and Supplementary Fig. 3). Of the remaining nine substitutions, three could not be amplified by PCR, four could not be sequenced due to the surrounding repetitive sequences and two could not be validated, likely because they were located within highly homologous segmental duplications or processed pseudogene regions. We also found evidence for 670 small somatic insertions and deletions,

and all seven that are located in protein-coding regions were validated (Tables 1 and 2, Supplementary Fig. 13). These somatic alterations included mutations of two well-known tumor suppressor genes for HCC (TP53 and AXIN1) and five genes (ADAM22, JAK2, KHDRBS2, NEK8 and TRRAP) that have been found to be mutated in other cancers7. Gene annotation enrichment analysis3 of the non-synonymous somatic mutations revealed significant overrepresentation of genes encoding phosphorproteins (P = 0.0017) and those with bipartite nuclear localization signals (P = 0.029) (Supplementary Table 2). Further re-sequencing of the exons containing potentially deleterious mutations in 96 additional pairs of primary HCC and non-cancerous liver and 21 HCC cell lines revealed two mutations (resulting in p.Phe190Leu and p.Gln212X, of which only the latter was proven to be somatic) in LRRC30 (Supplementary Fig. 4). LRRC30 contains nine repeats of a leucine-rich domain of unknown function, and all validated mutations changed the well-conserved amino acid in these repeats or produced a truncated protein.

We predicted 33 somatic rearrangements, 22 of which were validated by Sanger sequencing of the breakpoints in both the tumor and lymphocyte genomes (Table 3). Most of the rearrangements were intrachromosomal and occurred at the boundaries of copy number change (Supplementary Fig. 5). In particular, nine structural aberrations were clustered in the region of 11q12.2-11q13.4, generating a complex pattern of chromosomal amplification and loss (Supplementary Fig. 6). RT-PCR and sequencing analysis of the tumor and matched non-cancerous liver tissue validated four somatic fusion transcripts generated by rearrangements: the BCORL1-ELF4 and CTNND1-STX5 fusion genes by intra-chromosomal inversions (Xq25 and 11q12, respectively), the VCL-ADK fusion gene by an interstitial deletion in 10q22 (Supplementary Fig. 7) and the CABP2-LOC645332 fusion gene by a tandem duplication in 11q13 (Supplementary Fig. 8). The BCORL1-ELF4 chimeric transcript combining exons 1-11 of BCORL1 and exon 8 of ELF4 encodes an in-frame fusion protein (Fig. 2a,b). Quantitative RT-PCR revealed increased (>sixfold) expression of fusion transcripts in the tumor relative to wild-type BCORL1 and ELF4 gene expression in the non-cancerous liver (data not shown). BCORL1 associates with CtBP and class II histone deacetylases and functions as a transcriptional repressor8, and ELF4 encodes a transcriptional activator<sup>9,10</sup> (Fig. 2b). We expressed BCORL1, ELF4 and the chimera BCORL1-ELF4 as Gal4-DBD fusion proteins and evaluated their transcriptional activities using a luciferase reporter assay. The chimeric protein had reduced repression activity compared to wild-type BCORL1 (Fig. 2c). For the CTNND1-STX5 fusion gene, the combination of non-coding exon 1 of CTNND1 and exons 3-11 of STX5 resulted in the deletion of 96 amino acids at the terminal end of STX5 and increased (>twofold) STX5 gene expression in the tumor,

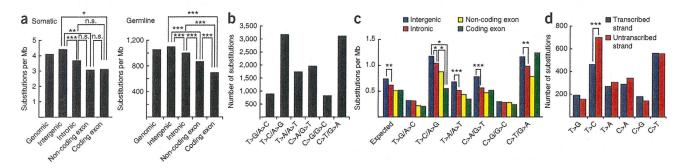


Figure 1 Somatic substitution pattern of the liver cancer genome. (a) Prevalence of somatic and germline substitutions in different genome regions. (b) Number of each type of somatic substitution in the liver cancer genome. (c) Prevalence of each type of somatic substitution in different genome regions. (d) Number of each type of somatic substitution on the transcribed and untranscribed strands. \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.0001.

Table 2 Validated somatic non-synonymous substitutions and small indels in coding regions of a liver cancer genome

|                   |        |        |                          | Allele     | Amino acid              | Сору    | Mutant allele (%) in    | Mutant allele (%) in | Expression   |                 |
|-------------------|--------|--------|--------------------------|------------|-------------------------|---------|-------------------------|----------------------|--------------|-----------------|
| Gene              | Chr.   | Strand | Position                 | change     | change                  |         | whole-genome sequencing |                      | ratio (T/N)  | Functiona       |
| PLEKHG5           | 1      | -      | 6,452,224                | G>T        | Asp>Tyr                 | N       | 49.0                    | 27.7                 | 1.86         | Deleterious     |
| KIAA1026          | 1      | +      | 15,294,007               | C>A        | Ala>Glu                 | N       | 45.7                    | nd                   | 0.15         | Tolerated       |
| MYCL1             | 1      | -      | 40,139,080               | T>G        | Phe>Cys                 | N       | 54.5                    | nd                   | 1.93         | Tolerated       |
| PDE4B             | 1      | +      | 66,231,185               | C>A        | Ala>Glu                 | N       | 57.1                    | 42.9                 | 0.83         | Tolerated       |
| CLCC1             | 1<br>2 | -      | 109,284,236              | A>G        | Tyr>Cys                 | N       | 33,3                    | 39.3                 | 1.61         | Deleteriou      |
| CNRIP1<br>ANKRD36 | 2      | -      | 68,397,833<br>97,181,397 | C>T        | Thr>Met                 | N       | 40.0                    | 33.3                 | 1.39         | Deleteriou      |
| UBR3              | 2      | +      | 170,511,073              | A>G<br>A>C | Lys>Glu<br>Glu>Asp      | N       | 17.8                    | nd                   | 9.49         | Tolerated       |
| CUL3              | 2      | -      | 225,070,790              | G>A        | Ser>Asn                 | N       | 57.1<br>42.9            | nd<br>50.0           | 18.10        | Tolerated       |
| COPS7B            | 2      | +      | 232,369,129              | A>G        | lle>Val                 | N       | 44.4                    | 52.8                 | 12.80        | Tolerated       |
| RAF1              | 3      |        | 12,625,811               | A>G        | Asn>Ser                 | N       | 40.0                    | 41.5                 | 1.82         | Tolerated       |
| TIH3              | 3      | +      | 52,813,002               | A>G        | Met>Val                 | N       | 43.9                    | 50.0<br>nd           | 2.31         | Tolerated       |
| RC2               | 3      | _      | 56,148,636               | G>C        | Glu>Gln                 | N       | 40.0                    | nd                   | 1.25<br>1.33 | Deleteriou      |
| TBC1D23           | 3      | +      | 101,496,868              | del AAG    | Deletion (E)            | N       | 14.8                    | nd                   | 4.90         | Tolerated<br>na |
| ATR               | 3      | _      | 143,671,657              | del AT     | Deletion (frame shift)  | N       | 20.0                    | nd                   | 4.49         | na              |
| SLC7A14           | 3      | _      | 171,701,666              | G>A        | Ser>Asn                 | N       | 52.8                    | 46.3                 | 2.19         | Deleteriou      |
| PCDH7             | 4      | +      | 30,333,134               | G>A        | Arg>His                 | N       | 47.1                    | 47.8                 | 1.74         | Tolerated       |
| FAM13A            | 4      | _      | 89,872,188               | A>T        | His>Leu                 | N       | 52.0                    | 47.4                 | 0.85         | Tolerated       |
| MFSD8             | 4      | -      | 129,090,435              | A>T        | Met>Leu                 | Loss    | 62,5                    | 74.3                 | 1.15         | Tolerated       |
| DMGDH             | 5      | -      | 78,375,996               | T>A        | Leu>Gln                 | N       | 50.0                    | 37.6                 | 3.04         | Tolerated       |
| PCDHA13           | 5      | +      | 140,244,063              | C>T        | Pro>Ser                 | N       | 45.1                    | 34.8                 | na           | Deleteriou      |
| CCDC99            | 5      | +      | 168,960,950              | T>G        | Ser>Arg                 | N       | 37.1                    | 39.4                 | 13.30        | Deleteriou      |
| GABBR1            | 6      | -      | 29,706,345               | C>T        | Thr>Met                 | N       | 42.0                    | 37.8                 | 0.59         | Tolerated       |
| CSNK2B            | 6      | +      | 31,745,659               | A>T        | Ser>Cys                 | N       | 37.3                    | nd                   | 1.41         | Deleteriou      |
| MOCS1             | 6      | _      | 40,003,210               | G>T        | Ser>lle                 | N       | 34.4                    | nd                   | 1.54         | Tolerated       |
| TPBP2             | 6      | -      | 43,699,685               | A>T        | Glu>Val                 | N       | 58.0                    | 56.3                 | 1.36         | Tolerated       |
| (HDRBS2           | 6      | -      | 62,662,692               | G>T        | Arg>Leu                 | N       | 34.1                    | nd                   | 0.88         | Deleteriou      |
| SLC29A4           | 7      | +      | 5,303,324                | A>T        | His>Leu                 | N       | 43.8                    | nd                   | 7.00         | Deleteriou      |
| ГМЕМ195           | 7      | -      | 15,567,887               | C>G        | Pro>Ala                 | N       | 41.2                    | 38.3                 | 1.03         | Deleteriou      |
| RFC2              | 7      |        | 73,302,032               | A>T        | Glu>Asp                 | N       | 26.0                    | 41.9                 | 1.09         | Tolerated       |
| DAM22             | 7      | +      | 87,653,951               | A>T        | Arg>Trp                 | N       | 41.2                    | 39.1                 | 0.55         | Deleteriou      |
| TRRAP             | 7      | +      | 98,417,359               | G>T        | Trp>Leu                 | N       | 39.0                    | nd                   | 2.07         | Deleteriou      |
| (RCC2             | 7      | -      | 151,977,231              | G>A        | Arg>GIn                 | N       | 56.2                    | 36.5                 | 4.18         | Deleteriou      |
| MTDH              | 8      | +      | 98,781,211               | G>T        | Val>Phe                 | N       | 33.3                    | 46.9                 | 14.40        | Tolerated       |
| SLA               | 8      |        | 134,141,539              | C>A        | Pro>Thr                 | N       | 43.6                    | nd                   | 1.18         | Deleterious     |
| IAK2              | 9      | +      | 5,045,703                | T>G        | lle>Ser                 | Loss    | 100.0                   | 84.2                 | 4.84         | Tolerated       |
| NTRK2             | 9      | +      | 86,532,391               | G>A        | Ala>Thr                 | Loss    | 90.0                    | 85.9                 | 0.84         | Tolerated       |
| SC1               | 9      | -      | 134,767,848              | C>T        | Arg>stop                | Loss    | 13.3                    | 13.0                 | 1.85         | Deleterious     |
| CREM              | 10     | +      | 35,496,706               | A>G        | Glu>Gly                 | N       | 44,8                    | 42.3                 | 3.28         | Tolerated       |
| C10orf95          | 10     | -      | 104,200,839              | T>C        | Cys>Arg                 | N       | 39.7                    | nd                   | 3.05         | Tolerated       |
| PSTK              | 10     | +      | 124,730,061              | C>T        | Leu>Phe                 | N       | 53.6                    | nd                   | 6.94         | Deleterious     |
| ATHL1             | 11     | +      | 283,903                  | C>T        | Ala>Val                 | N       | 40.9                    | 26.8                 | 1.12         | Tolerated       |
| MUC5B             | 11     | +      | 1,213,214                | G>T        | Val>Leu                 | N       | 33.8                    | nd                   | 0.83         | Tolerated       |
| DENND5A           | 11     | -      | 9,181,879                | C>T        | Pro>Ser                 | N       | 21.4                    | 29.9                 | 2.43         | Deleterious     |
| GIF               | 11     | -      | 59,369,438               | C>T        | Thr>lle                 | AMP (3) | 29.2                    | nd                   | 0.83         | Tolerated       |
| STIP1             | 11     | +      | 63,719,763               | G>A        | Glu>Lys                 | Loss    | 66.7                    | nd                   | 1.28         | Tolerated       |
| FAT3              | 11     | +      | 91,727,805               | C>G        | Thr>Ser                 | Loss    | 73.1                    | nd                   | na           | Tolerated       |
| PTMS              | 12     | +      | 6,749,421                | A>G        | Glu>Gly                 | Loss    | 55.0                    | nd                   | 0.56         | Tolerated       |
| ARID2             | 12     | +      | 44,530,716               | ins T      | Insertion (frame shift) | N       | 31.9                    | nd                   | 2.35         | na              |
| C12orf51          | 12     | -      | 111,134,825              |            | Deletion (GDVA)         | N       | 21.6                    | nd                   | 1.44         | Torelated       |
| RBM19             | 12     | ~      | 112,868,641              | C>T        | Pro>Leu                 | N       | 49.3                    | 42.2                 | 1.32         | Deleteriou      |
| ACS               | 12     | +      | 124,142,015              | G>T        | Gly>Val                 | N       | 34.9                    | 26.0                 | 1.75         | Deleteriou      |
| (HNYN             | 14     | +      | 23,971,333               | del CCT    | Deletion (L)            | N       | 24.1                    | nd                   | 2.17         | Tolerated       |
| VOVA1             | 14     | ~      | 25,987,233               | A>T        | Leu>Phe                 | N       | 36.7                    | 38.1                 | 0.91         | Tolerated       |
| TBP2              | 14     | -      | 74,045,780               | G>A        | Gly>Glu                 | N       | 38.1                    | nd                   | 3.43         | Deleteriou      |
| YFIP1             | 15     | +      | 20,498,517               | C>T        | Ala>Val                 | N       | 55.1                    | 41.4                 | 1.88         | Deleteriou      |
| GABRB3            | 15     | -      | 24,357,328               | G>T        | Met>IIe                 | N       | 39.4                    | 43.4                 | 0.15         | Tolerated       |
| ID1               | 15     | +      | 46,957,688               | C>G        | Ser>Cys                 | N       | 40.4                    | nd                   | 8.60         | Deleteriou      |
| ICN4              | 15     | -      | 71,402,254               | G>A        | Arg>His                 | N       | 43.6                    | nd                   | 0.61         | Tolerated       |
| KAP13             | 15     | +      | 84,060,152               | del T      | Deletion (frame shift)  | N       | 34.5                    | nd                   | 0.88         | na              |
| XIN1              | 16     | _      | 287,910                  | C>T        | Arg>stop                | Loss    | 78.7                    | nd                   | 0.94         | Deleteriou      |
| .ITAF             | 16     | -      | 11,554,943               | del G      | Deletion (frame shift)  | Loss    | 61.3                    | nd                   | 0.97         | na              |
| P53               | 17     |        | 7,518,985                | G>T        | Val>Leu                 | Loss    | 78.0                    | 73.1                 | 0.06         | Deleteriou      |
| VEK8              | 17     | +      | 24,092,271               | G>A        | Gly>Asp                 | N       | 36.7                    | 39.1                 | 1.44         | Deleteriou      |
| CPD               | 17     | +      | 25,773,820               | A>G        | Tyr>Cys                 | N       | 47.1                    | 52.3                 | 2.28         | Deleteriou      |
| RRC30             | 18     | +      | 7,221,594                | C>G        | Ser>Cys                 | N       | 52.0                    | 45.6                 | na           | Deleteriou      |
| NF560             | 19     | -      | 9,439,794                | A>C        | lle>Leu                 | N       | 58.8                    | 48.3                 | 0.86         | Tolerated       |
| SCRT2             | 20     | -      | 593,073                  | T>A        | Tyr>Asn                 | N       | 53.7                    | nd                   | 0.51         | Deleteriou      |
| USP25             | 21     | +      | 16,119,227               | C>T        | Thr>Met                 | N       | 44.4                    | nd                   | 13.00        | Deleteriou      |
| JSP25             | 21     | +      | 16,125,626               | A>C        | Glu>Asp                 | N       | 35.3                    | 38.1                 | na           | Tolerated       |
| ARVCF             | 22     | ~      | 18,341,717               | C>G        | Ser>Cys                 | N       | 53.0                    | 50.0                 | 1.30         | Deleteriou      |
|                   | X      | -      | 131,988,824              | T>C        | Leu>Pro                 | AMP (4) | 93.8                    | 94.4                 | 0.85         | Tolerated       |

Except for ANKRD36 and TSC1, all 63 somatic non-synonymous substitutions were predicted by whole-genome sequencing and in-house informatics method using stringent analysis criteria (Online Methods). One somatic missense substitution in ANKRD36 was predicted under less stringent criteria. One somatic nonsense substitution in TSC1 was predicted only by whole-exome sequencing. Chr., chromosome; N, copy neutral; AMP, amplicon; nd, not detected; na, not applicable.

Table 3 Validated somatic structural alterations in a liver cancer genome

|                       |        |               |              | ,      |               |              | Intervening |   |                     |
|-----------------------|--------|---------------|--------------|--------|---------------|--------------|-------------|---|---------------------|
| Type                  | Chr. A | Break point A | CNV (Chr. A) | Chr. B | Break point B | CNV (Chr. B) | sequence    | Associated genes  | Fusion genes        |
| Deletion              | 3      | 111,866,468   | BCNC         | 3      | 111,868,894   | BCNC         | 0           |   |                     |
| Deletion              | 4      | 57,529,004    | BCNC         | 4      | 57,530,452    | BCNC         | 0           | C4orf14 (exon 4 is deleted)   |                     |
| Deletion              | 4      | 92,895,135    | BCNC         | 4      | 93,151,201    | BCNC         | 0           |   |                     |
| Deletion              | 5      | 18,130,563    | BCNC         | 5      | 18,133,946    | BCNC         | (+) 29bp    |   |                     |
| Deletion              | 6      | 90,130,109    | BCNC         | 6      | 90,819,100    | BCNC         | 0           | LYRM2, ANKRD6, BACH2, MDN1,<br>CASP8AP2, RRAGD, GJA10   |                     |
| Deletion              | 7      | 69,321,043    | N            | 7      | 69,404,639    | N            | 0           | AUTS2   |                     |
| Deletion              | 9      | 132,763,157   | BCNC         | 9      | 132,764,920   | BCNC         | 0           |   |                     |
| Deletion              | 10     | 75,477,784    | BCNC         | 10     | 75,956,310    | BCNC         | (+) 1 bp    | AP3M1, VCL, ADK   | VCL, ADK            |
| Deletion              | 11     | 67,126,436    | BCNC         | 11     | 68,254,241    | BCNC         | 0           | SUV420H1, SAPS3, ACY3, ALDH3B2, CHKA, TCIRG1,<br>LRP5, GAL, ALDH3B1, TBX10, NDUFV1,<br>UNC93B1, NUDT8, C11orf24 |                     |
| Deletion              | 15     | 47,394,203    | BCNC         | 15     | 47,467,920    | BCNC         | 0           | GALK2, C15orf33   |                     |
| Deletion              | 17     | 15,902,440    | BCNC         | 17     | 16,056,159    | BCNC         | 0           | NCOR1 (homozygous deletion)   |                     |
| Inversion             | 4      | 60,946,299    | N            | 4      | 60,947,151    | N            | 0           |   |                     |
| Inversion             | 4      | 172,703,199   | Loss         | 4      | 172,706,239   | Loss         | (+) 4bp     |   |                     |
| Inversion             | 11     | 57,305,269    | BCNC         | 11     | 62,352,275    | BCNC         | 0           | CTNND1 (UTR), STX5  | CTNND1, STX5        |
| Inversion             | 11     | 57,770,822    | BCNC         | 11     | 67,133,985    | BCNC         | 0           | NDUFV1  |                     |
| Inversion             | 11     | 62,309,952    | BCNC         | 11     | 70,746,006    | BCNC         | 0           | TAF6L   |                     |
| Inversion             | 11     | 69,067,231    | AMP          | 11     | 69,317,424    | AMP          | 0           |   |                     |
| Inversion             | 11     | 69,093,978    | AMP          | 11     | 69,098,117    | AMP          | 0           |   |                     |
| Inversion             | 11     | 69,871,206    | AMP          | 11     | 69,877,391    | AMP          | (+) 6bp     | PPFIA1  |                     |
| Inversion             | X      | 129,015,072   | N            | X      | 129,029,501   | BCNC         | (+) 23bp    | BCORL1, ELF4  | BCORL1, ELF4        |
| Inversion             | X      | 129,016,981   | N            | X      | 129,031,425   | BCNC         | 0           | BCORL1, ELF4  | BCORL1, ELF4        |
| Tandem<br>duplication | 11     | 67,043,308    | BCNC         | 11     | 67,318,685    | BCNC         | 0           | ACY3, ALDH3B2, GSTP1, TBX10, NDUFV1, NUDT8,<br>CABP2, LOC645332   | CABP2,<br>LOC645332 |
| Translocation         | 11     | 69,316,960    | AMP          | X      | 129,030,346   | BCNC         | 0           | ELF4  |                     |

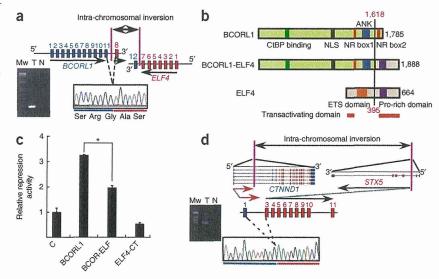
The inversions at Xq25 occurred from one rearrangement event and the total number of inversion is counted as nine. Chr., chromosome; BCNC, boundary of copy number change; N, copy neutral; AMP, amplicon.

which harbors only the rearranged allele (Fig. 2d and Supplementary Fig. 9). We screened for the presence of these four chimera transcripts by RT-PCR, but we detected no recurrent fusion event in 47 cases of primary HCC, possibly due to the low frequency of these rearrangements in HCC or because of the technical difficulty in detecting all variant fusion transcripts.

We also sequenced the whole exomes of the same samples using an in-solution gene enrichment system<sup>5</sup> (Fig. 3a). Capture probes for whole-exome sequencing were designed to cover the protein coding exons using the consensus coding sequences, excluding highly

homologous regions. The average coverage of the whole exome sequences (41.3 Mb in total) was about twice (76.8× for HCC and 74.3× for lymphocytes) that of the whole genome sequences and had one twelfth of the total sequence amount (8.9 Gb for HCC and 8.6 Gb for lymphocyte) (Supplementary Table 3). Whole-exome sequencing detected 47 non-synonymous somatic substitutions, 40 of which were validated by Sanger sequencing. Among the validated substitutions, a nonsense substitution (p.Arg785X) in TSC1, located in the hemizygous region (9q34), was not detected by whole-genome sequencing (Fig. 3b). Capillary sequencing validated the same substitution with a very low

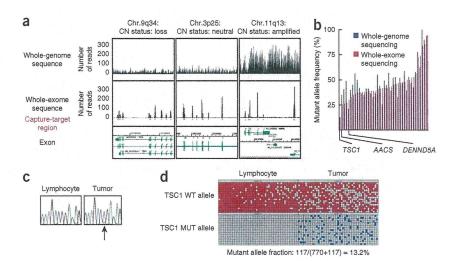
Figure 2 Characterization of rearrangements in líver cancer. (a) Top, schematic representation of the intra-chromosomal inversion at Xg25. Bottom left, RT-PCR analysis of the fused BCORL1-ELF4 transcript in tumor (T) and noncancerous liver (N) tissues. We detected no ELF4-BCORL1 transcript (data not shown). Bottom right, sequence chromatography of the fusion transcript revealed an in-frame protein. Mw, molecular marker. (b) Schematic representation of the BCORL1-ELF4 fusion protein. BCORL1 (top) contains a CtBP1 binding domain (PXDLS sequence), a binuclear localization signal (NLS), two LXXLL nuclear receptor recruitment motifs (NR box) and tandem ankyrin repeats (ANK). ELF4 (bottom) contains an ETS (E Twenty Six) DNA binding domain and a proline-rich domain. Transactivating domains are indicated by the red bars 16. The BCORL1-ELF4 chimeric protein includes most of BCORL1 (1-1,618 amino acids) lacking the NR box2 and the carboxylterminal portion of ELF4 containing the prolinerich domain. The number of amino acids is



indicated on the right. (c) Wild-type BCORL1, ELF4-CT (395–664 amino acids) and the BCORL1-ELF4 chimera were expressed as Gal4-DBD fusion proteins, and their relative transcriptional activities were compared to the Gal4-DBD protein (C) as shown. (d) Characterization of the CTNND1-STX5 fusion gene. Bottom left, RTPCR analysis of the fused CTNND1-STX5 transcript in tumor (T) and non-cancerous liver tissue (N). Bottom right, sequence chromatography of the fusion transcript. Data is the mean  $\pm$  s.d. (n = 3). \*P < 0.001.

gdi

Figure 3 Intra-tumoral genetic heterogeneity detected by exon-capture sequencing. (a) Specific enrichment and high sequence coverage of the target genome regions indicated by the sequence viewer (copy number (CN) status is shown above). The distribution and number of reads (black, forward read; gray, reverse read) from whole-genome sequencing (top) and whole-exome sequencing (middle) are shown. The location of the capture target regions (red box) and the exons (green box) along the genome are shown at the bottom. Note that the number of reads is dependent on copy number status. (b) Mutant allele frequency detected by whole-genome sequencing and whole-exome sequencing. TSC1, AACS (whose heterogeneity is shown in Supplementary Fig. 10) and DENND5A are indicated. (c) TSC1 mutation in the liver cancer subpopulation. Sequence



chromatograms of *TSC1* in lymphocytes and whole-tumor tissue are shown. Note the small peak for the mutant T allele (indicated by the arrow) in the tumor DNA. (d) Determination of mutant *TSC1* allele frequency by digital PCR genotyping. WT, wild type; MUT, mutant.

signal peak (Fig. 3c), and digital genotyping showed that 13.2% of the tumor alleles harbored this substitution (Fig. 3d), suggesting that this substitution occurred in a minor population of cancer cells. Whole-exome sequencing missed 25 non-synonymous somatic substitutions that were detected by whole-genome sequencing. These missed substitutions were located in regions where sequence coverage was low or where further optimization of the probe design was required.

The number of non-synonymous somatic substitutions validated in this HCC (63) was greater than those for acute myeloid leukemia<sup>11</sup> (10), basal-like breast cancer<sup>12</sup> (22), lobular carcinoma<sup>13</sup> (32), glioblastoma multiforme<sup>14</sup> (32) and pancreatic cancer<sup>15</sup> (43) but is in the range of those previously reported for colorectal<sup>16</sup> (70) and breast<sup>16</sup> (88) cancer. We have shown that the pattern of somatic substitutions in a HCV-associated HCC genome is different (predominance of T>C, especially at ApT sites, and C>T, especially at CpG sites) compared to smoking-related 17,18 and ultraviolet light-related 6 cancers. Preferential C>T/G>A transition may partly be due to the higher frequency of CpG methylation in the genome sequence and is a common form of mutation in cancers 19. Therefore, the T>C/ A>G transition could be a characteristic mutational signature of HCV-associated cancer, which would be consistent with a previous observation that HCV induces error-prone DNA polymerases that preferentially cause the T>C/A>G mutation<sup>20</sup>. It is also possible that this mutation pattern is independent of viral infection and is organ specific, as a comparable substitution spectrum has been reported in renal cancer<sup>19</sup>. Additionally, only T>C changes, but not C>T changes, were effectively repaired on the transcribed strand. Similar enhanced transcription-coupled repair on preferentially acquired substitutions has been reported in other cancers<sup>6,17,18</sup> and could be a common phenomenon in cancer mutation.

Because single-molecule sequencing has the capability to detect every individual somatic event in parallel, higher sequence coverage will enable us to clarify the intra-tumoral heterogeneity that is associated with diverse aspects of clinical behavior such as metastasis<sup>21</sup>. The *TSC1* complex, which is inactivated in a subpopulation of tumors, negatively regulates the mammalian target of rapamycin signaling, which is an important oncogenic pathway related to the growth, metabolism and stemness of cancer cells<sup>22,23</sup>, and could be a promising molecular therapeutic target in HCC progression<sup>24</sup>.

URLs. International Cancer Genome Consortium, http://www.icgc.org/; Catalogue of Somatic Mutations in Cancer, http://www.sanger.ac.uk/genetics/CGP/cosmic/; BLASTN, ftp://ftp.ncbi.nlm.nih.gov/blast/executables/release/LATEST.

### **METHODS**

Methods and any associated references are available in the online version of the paper at http://www.nature.com/naturegenetics/.

Note: Supplementary information is available on the Nature Genetics website.

## ACKNOWLEDGMENTS

We thank K.K. Khanna (The Queensland Institute of Medical Research) for providing a human *BCORL1* cDNA clone; T.D. Taylor (RIKEN) for comments on the manuscript; T. Urushidate, S. Ohashi, S. Ohnami, A. Kokubu, N. Okada, K. Shiina, H. Meguro and K. Nakano for their excellent technical assistance. This work was supported by the Program for Promotion of Fundamental Studies in Health Sciences of the National Institute of Biomedical Innovation (NIBIO), Japan, and the Industrial Technology Research Grant Program from the New Energy and Industrial Technology Development Organization (NEDO), Japan. This study is associated with the International Cancer Genome Consortium (ICGC), and the mutation data were deposited at and released from the ICGC web site.

### AUTHOR CONTRIBUTIONS

The study was designed by T. Shibata, H.A., T.Y. and J.K. Sequencing and data analyses were conducted by Y.T., K.T., S.Y., S.T., K. Sonoda and H.T. Allele typing and copy number analyses were performed by H.S. and S.I. Other molecular studies were done by Y.A., E.H., T. Shirakihara, and L.W.; H.O., K. Shimada, T.K., T.O. and K.K. coordinated collection of clinical sample and information. The manuscript was written by Y.T., T. Shibata, K.T., S.Y., H.A. and T.Y.

### COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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Whole-genome sequencing. High molecular weight DNA was extracted from freshly frozen tumor tissue and lymphocytes. DNA was fragmented using an ultrasonic solubilizer (Covaris) using a combination of quick bursts (20% duty, 5 intensity with 200 cycles per burst for 5 s) and sonication (10% duty, 5 intensity with 200 cycles per burst for 120 s) for the short fragment DNA library. DNA of the appropriate size was gel purified to exclude any inappropriate DNA fusions during library construction. The short fragment DNA libraries were generated using a paired-end DNA sample prep kit (Illumina) following the manufacturer's protocols. The concentration of the libraries was quantified using a Bioanalyzer (Agilent Technologies); 4~8 pM/lane of DNA was applied to the flow cell, and paired-end sequencing was performed using the GAIIx sequencer (Illumina).

Whole-exome capture sequencing. Whole-exome capture sequencing was performed using the SureSelect Target Enrichment System (Agilent Technologies) in accordance with the manufacturer's protocol with slight modifications. Briefly, the same Illumina sequence libraries as those prepared for the whole-genome sequence were amplified with six cycles of PCR, and then 500 ng of the amplified libraries was hybridized with the capture probes for 24 h. The hybridized sequence libraries were collected and further amplified with 14 cycles of PCR. We generated 51-nucleotide-long paired-end reads using the GAIIx sequencer (Illumina). We used five lanes of a paired-end flow cell for each sample.

Bioinformatics (Supplementary Fig. 11). Sequence alignment to the human genome and removal of PCR duplications. Paired-end reads were aligned to the human reference genome (hg18, NCBI Build 36.1) using Burrows-Wheeler Aligner (BWA) (version 0.4.9)<sup>25</sup>. Because there were duplicated reads which were generated during the PCR amplification process, paired-end reads that aligned to the same genomic positions were removed using SAMtools (version  $0.1.5c)^{26}$ and a program developed in house. We removed 12.5% (14.6/117.1 Gbp) of the aligned reads for tumor and 7.1% (6.1/86.3 Gbp) for lymphocytes.

Detection of somatic single nucleotide variations (SNVs) (Supplementary Fig. 12). Based on the genotyping data from two SNP arrays, appropriate thresholds for base quality, mapping quality and frequency of non-reference alleles were determined to obtain the highest confidence calls for SNV detection (Supplementary Table 4). To predict somatic SNVs, the alignment results were classified, and three datasets were constructed. Dataset 1 included paired-end reads with both ends aligned uniquely and with proper spacing and orientation. Dataset 2 included paired-end reads that aligned uniquely for at least one read and with proper spacing and orientation of the reads. Dataset 3 included dataset 2 and paired-end reads for which both ends aligned uniquely but with improper spacing or orientation or both. Dataset 1 likely contains false positive somatic SNVs because of the low sequence depth of the lymphocyte genome, and dataset 3 likely contains false positives due to misalignments of the sequence reads. To reduce the number of false positives, the following filters were applied to these three datasets, and concordant somatic SNVs among the three datasets were selected: (i) a mapping quality score of 20 was used as a cutoff value for read selection; (ii) base quality scores of 10 and 15 were used as cutoff values for base selection for the tumor and lymphocyte genomes, respectively; (iii) SNVs were selected when the frequency of the non-reference allele was at least 15% in the tumor genome and 5% in the lymphocyte genome; (iv) SNVs located within 5 bp from a potential insertion or deletion were discarded; (v) SNVs with a root mean square mapping quality score of the reads covering the SNV less than 40 were discarded; (vi) when there were three or more SNVs within any 10-bp window, all of them were discarded; (vii) SNVs with a consensus quality score less than 20 as calculated by SAMtools (version 0.1.5c) were discarded; (viii) when a base with a consensus quality score less than 20 was located within 3-bp on either side of a SNV, the SNV was discarded; (ix) for the tumor genome, SNVs found in at least two sequence reads with the same SNV were selected; (x) for the lymphocyte genome, SNVs covered by at least six sequence reads were selected; and (xi) the repetitive regions within 1 Mb

of a centromeric or telomeric sequence gap were excluded. By comparing the predicted nucleotide variations in the tumor and lymphocyte genomes, somatic SNVs which occurred only in the tumor genome were identified. If somatic SNVs were not covered in the lymphocyte genome by at least six sequence reads, they were discarded.

Using this approach, 66 non-synonymous and 24 synonymous somatic SNVs in protein-coding regions were predicted. These 90 substitutions were examined by Sanger sequencing of both the tumor and lymphocyte genomes, and 81 of them were validated as somatic mutations. Of the remaining nine substitutions, three could not be amplified by PCR, four could not be sequenced because of the surrounding repetitive sequences, and two could not be validated likely because they were located in highly homologous segmentally duplicated or processed pseudogene regions, suggesting a high prediction accuracy (specificity, 81/83 = 97.6%) for our approach for detecting somatic SNVs in protein-coding regions. An additional 36 non-synonymous somatic SNVs were also predicted using only dataset 3 and filtering methods (i-iv) (less stringent filtering condition). Five of these SNVs were not validated and 30 of them were found to be germline variations by Sanger sequencing, and only the one remaining was validated as a somatic mutation. These findings suggest that our filtering method (stringent condition) effectively removed false-positive somatic SNVs.

Detection of somatic structural alterations. To detect structural alterations, paired-end reads for which both ends aligned uniquely to the human reference genome, but with improper spacing or orientation or both, were used. First, paired-end reads were selected based on the following filtering conditions: (i) sequence reads with mapping quality scores greater than 37; and (ii) sequence reads aligned with two mismatches or less.

Rearrangements were then identified using the following analytical conditions: (i) 'clusters' which included reads aligned within the maximum insert distance were constructed from the forward and reverse alignments, respectively (two reads were allocated to the same cluster if their end positions were not further apart than the maximum insert distance); (ii) clusters whose distance between the leftmost and rightmost reads were greater than the maximum insert distance were discarded; (iii) paired-end reads were selected if one end sequence was allocated in the 'forward cluster' and the other end was allocated in the 'reverse cluster' (we called these 'forward cluster and reverse cluster' paired clusters); (iv) if a cluster overlapped another cluster, all of the overlapping paired-clusters were discarded; (v) for the tumor genome, rearrangements (paired-clusters) predicted by at least four paired-end reads which included at least one paired-end read perfectly matched to the human reference genome were selected; and (vi) for the lymphocyte genome, rearrangements (paired clusters) predicted by at least one paired-end read were selected. By comparing the predicted rearrangements in the tumor and lymphocyte genomes, somatic rearrangements that were only detected in the tumor genome were identified.

Lastly, rearrangements predicted due to variations in the analyzed genomes were removed. For this analysis, paired-end reads contained in paired clusters were aligned to the human reference genome using the BLASTN program (see URLs). If one end sequence was aligned to the region of paired clusters (the flanking region of the rearrangement breakpoint) and the other end was aligned with proper spacing and orientation, the rearrangement was removed. An expectation value of 1,000 was used as a cutoff value for BLASTN so that paired-end reads with low similarity to the human reference genome could also be aligned.

Using this method, 33 somatic rearrangements were predicted and 22 of these were validated by Sanger sequencing of the rearrangement breakpoints in both the tumor and lymphocyte genomes.

Exome capture sequence analysis. To analyze the capture sequencing data, the Illumina sequencing pipeline version 1.4 and in-house programs were used. The sequence reads were mapped to the human reference sequence (NCBI Build 36.3) using GERALD (Illumina), and only high-quality ('pass filter') reads with base-call quality scores more than ten were used for SNV detection.

NATURE GENETICS doi:10.1038/ng.804 SNVs were determined using the frequency (>20%) of the highest non-reference base call with a read depth greater than  $20\times$ .

Other molecular analyses. SNP genotyping and copy number detection were determined using the Affymetrix Mapping 500K Array, the Agilent Human Genome CGH microarray and the Illumina Human 610-Quad BeadChip system. Gene expression levels of the tumor were measured using the Agilent Whole Human Genome Oligo Microarray. Wild-type and mutant allele frequencies were determined using the Digital PCR system.

Detailed experimental methods and additional bioinformatics procedures are described in Supplementary Note. The somatic substitutions and insertions/deletions found are listed in Supplementary Tables 5–9.

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# A genome-wide association study identifies two new susceptibility loci for lung adenocarcinoma in the Japanese population

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Lung adenocarcinoma is the most common histological type of lung cancer, and its incidence is increasing worldwide. To identify genetic factors influencing risk of lung adenocarcinoma, we conducted a genome-wide association study and two validation studies in the Japanese population comprising a total of 6,029 individuals with lung adenocarcinoma (cases) and 13,535 controls. We confirmed two previously reported risk loci, 5p15.33 (rs2853677,  $P_{\rm combined} = 2.8 \times 10^{-40}$ , odds ratio (OR) = 1.41) and 3q28 (rs10937405,  $P_{\rm combined} = 6.9 \times 10^{-17}$ , OR = 1.25), and identified two new susceptibility loci, 17q24.3 (rs7216064,  $P_{\rm combined} = 7.4 \times 10^{-11}$ , OR = 1.20) and 6p21.3 (rs3817963,  $P_{\rm combined} = 2.7 \times 10^{-10}$ , OR = 1.18). These data provide further evidence supporting a role for genetic susceptibility in the development of lung adenocarcinoma.

Lung cancer is the leading cause of cancer-related death in most countries¹. Lung cancer consists of three major histological types: adenocarcinoma, squamous-cell carcinoma and small-cell carcinoma $^{1-3}.$  Adenocarcinoma is the most common type, comprising  $\sim\!40\%$  of all cases of lung cancer, and its incidence is increasing in both Asian and Western countries. The development of lung adenocarcinoma is more weakly associated with smoking than are the developments of squamous and small-cell carcinomas, indicating that the mechanisms of carcinogenesis differ among these histological types. A better understanding of the genetic factors underlying the development of lung adenocarcinoma is strongly needed to elucidate the etiology of disease and identify high-risk individuals for targeted screening and/or prevention. In particular, the proportion of females and never smokers among patients with lung adenocarcinoma is considerably

higher in Asians than in Europeans<sup>2,3</sup>, suggesting that genetic factors contribute differently to disease in the two populations.

Genome-wide association studies (GWAS) of lung cancer with a full range of histological types have been conducted in European populations, and associations at 15q25.1, 5p15.33 and 6p21.33 have been identified<sup>4-8</sup>. Variants at these regions have been defined in European populations by a meta-analysis of GWAS according to histological types, and rs2736100 in TERT at 5p15.33 was found to be associated with risk of lung adenocarcinoma9. However, no additional loci reached genome-wide significance in the study; therefore, GWAS focusing on lung adenocarcinoma were greatly needed9. A recent GWAS on lung adenocarcinoma risk in the Japanese and Korean populations identified a new locus, 3q28 (TP63)10. Subsequently, a significant but weaker association of 3q28 variations with lung adenocarcinoma risk was validated in Europeans<sup>11</sup>. Notably, the association of this locus with cancer risk was supported by a recent GWAS on lung cancer with a full range of different histological types in the Chinese population<sup>12</sup>. These results indicate that there may be differences in the magnitude of the contribution of these loci to lung cancer susceptibility by ethnicity. Here, to further elucidate the genetic factors contributing to the development of lung adenocarcinoma, we performed a GWAS focusing on lung adenocarcinoma in the Japanese population and expanded the scale of our previous study in terms of both sample size and SNP coverage<sup>10</sup>.

Using Illumina Omnil-Quad and OmniExpress chips, we genotyped 1,722 cases and 5,846 controls for 709,857 SNPs (Supplementary Table 1). Based on the results of a stringent quality-control analysis, we chose 538,166 autosomal SNPs, 1,695 cases and 5,333 control subjects for our GWAS analyses (Online Methods and

Received 21 February; accepted 18 June; published online 15 July 2012; doi:10.1038/ng.2353

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Table 1 Summary of the GWAS and validation studies and the combined analyses

| dbSNP      |             | Allele        |                                  | Cases |       | Controls |       |                        |                  |           |
|------------|-------------|---------------|----------------------------------|-------|-------|----------|-------|------------------------|------------------|-----------|
| locus      | Gene        | [risk allele] | Stage                            | Total | RAF   | Total    | RAF   | Pa                     | OR (95% CI)      | $P_{het}$ |
| rs2853677  | TERT        | T/C           | GWAS                             | 1,695 | 0.384 | 5,333    | 0.308 | $8.66 \times 10^{-17}$ | 1.41 (1.30–1.53) |           |
| 5p15.33    | intron 2    | [C]           | First validation                 | 2,955 | 0.374 | 7,036    | 0.297 | $8.62 \times 10^{-21}$ | 1.43 (1.32-1.54) |           |
|            |             |               | Second validation                | 1,373 | 0.360 | 1,132    | 0.290 | $5.88 \times 10^{-6}$  | 1.35 (1.19-1.54) |           |
|            |             |               | Combined validation <sup>b</sup> | 4,328 | 0.370 | 8,168    | 0.296 | $3.90 \times 10^{-25}$ | 1.42 (1.32-1.50) | 0.49      |
|            |             |               | Combined all <sup>b</sup>        | 6,023 | 0.374 | 13,501   | 0.300 | $2.80 \times 10^{-40}$ | 1.41 (1.32-1.50) | 0.79      |
| rs2736100  | TERT        | T/G           | GWAS                             | 1,695 | 0.458 | 5,329    | 0.391 | $7.31 \times 10^{-12}$ | 1.32 (1.22-1.42) |           |
| 5p15.33    | intron 2    | [G]           | First validation                 | 2,954 | 0.458 | 7,036    | 0.385 | $2.13 \times 10^{-19}$ | 1.39 (1.29-1.49) |           |
|            |             |               | Second validation                | 1,343 | 0.432 | 1,166    | 0.368 | $1.79 \times 10^{-4}$  | 1.27 (1.12-1.44) |           |
|            |             |               | Combined validation <sup>b</sup> | 4,297 | 0.450 | 8,202    | 0.383 | $3.97 \times 10^{-22}$ | 1.36 (1.28-1.44) | 0.22      |
|            |             |               | Combined all <sup>b</sup>        | 5,992 | 0.452 | 13,531   | 0.386 | $2.50 \times 10^{-32}$ | 1.34 (1.28-1.41) | 0.39      |
| rs10937405 | TP63        | C/T           | GWAS                             | 1,695 | 0.728 | 5,333    | 0.677 | $1.10 \times 10^{-8}$  | 1.29 (1.18-1.40) |           |
| 3q28       | intron 1    | [C]           | First validation                 | 2,953 | 0.714 | 7,036    | 0.663 | $9.22 \times 10^{-10}$ | 1.27 (1.18-1.37) |           |
|            |             |               | Second validation                | 1,375 | 0.704 | 1,166    | 0.682 | $1.22\times10^{-1}$    | 1.11 (0.97-1.26) |           |
|            |             |               | Combined validation <sup>b</sup> | 4,328 | 0.711 | 8,202    | 0.666 | $8.17 \times 10^{-10}$ | 1.23 (1.15-1.31) | 0.076     |
|            |             |               | Combined all <sup>b</sup>        | 6,023 | 0.715 | 13,535   | 0.670 | $6.92 \times 10^{-17}$ | 1.25 (1.19-1.32) | 0.15      |
| rs7216064  | <b>BPTF</b> | A/G           | GWAS                             | 1,695 | 0.747 | 5,333    | 0.706 | $1.07 \times 10^{-5}$  | 1.22 (1.12-1.34) |           |
| 17q24.3    | intron 9    | [A]           | First validation                 | 2,955 | 0.736 | 7,036    | 0.708 | $7.72 \times 10^{-5}$  | 1.17 (1.08-1.27) |           |
|            |             |               | Second validation                | 1,376 | 0.744 | 1,166    | 0.708 | $4.70 \times 10^{-3}$  | 1.21 (1.06-1.39) |           |
|            |             |               | Combined validation <sup>b</sup> | 4,331 | 0.739 | 8,202    | 0.708 | $1.34 \times 10^{-6}$  | 1.18 (1.10-1.26) | 0.65      |
|            |             |               | Combined all <sup>b</sup>        | 6,026 | 0.741 | 13,535   | 0.707 | $7.40 \times 10^{-11}$ | 1.20 (1.13-1.26) | 0.76      |
| rs3817963  | BTNL2       | A/G           | GWAS                             | 1,695 | 0.363 | 5,331    | 0.327 | $5.54 \times 10^{-5}$  | 1.18 (1.09-1.28) |           |
| 6p21.3     | intron 4    | [G]           | First validation                 | 2,951 | 0.347 | 7,028    | 0.310 | $1.59 \times 10^{-5}$  | 1.18 (1.09-1.27) |           |
|            |             |               | Second validation                | 1,376 | 0.358 | 1,166    | 0.329 | $2.41\times10^{-2}$    | 1.16 (1.02-1.32) |           |
|            |             |               | Combined validation <sup>b</sup> | 4,327 | 0.350 | 8,194    | 0.313 | $1.14 \times 10^{-6}$  | 1.17 (1.10-1.25) | 0.86      |
|            |             |               | Combined all <sup>b</sup>        | 6,022 | 0.354 | 13,525   | 0.318 | $2.69 \times 10^{-10}$ | 1.18 (1.12-1.24) | 0.97      |

RAF, risk allele frequency;  $P_{\rm het}$ , P value for heterogeneity.

**Supplementary Fig. 1**). We generated a quantile-quantile plot using the results of a logistic regression trend test (**Supplementary Fig. 1d**). The genomic inflation factor  $(\lambda_{1,000})^{13}$  was 1.021, indicating a low possibility of false-positive associations resulting from population stratification or genotype misclassification (**Supplementary Fig. 2**).

In the GWAS, two loci reached genome-wide significance for association ( $P < 5 \times 10^{-8}$ ; Supplementary Fig. 1e); these two loci have been reported in previous GWAS (rs2736100 at 5p13.33 and rs10937405 at 3q28)9,10. We also identified a significant association for a SNP (rs2853677 at 5p13.33) that was not examined in our previous GWAS (Table 1). In addition, we examined associations of other previously reported loci with lung cancer risk (Supplementary Table 2). We found one locus (rs2131877 at 3q29)14 to be associated with lung adenocarcinoma risk, but we could not confirm the associations between lung adenocarcinoma risk and the other loci identified in a recent GWAS of the European and Han Chinese populations<sup>12</sup>. These results are probably the result of the lower statistical power in our GWAS than in the previous GWAS (Supplementary Table 2). In addition, most of the earlier GWAS were performed in lung cancer representing a full range of histological types and in subjects of European descent. Therefore, differences in genetic modifiers and/or environmental factors in different histological types and populations might have contributed to the differing results.

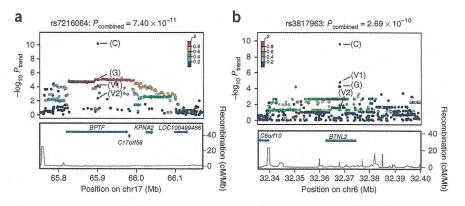
To investigate additional susceptibility loci, we conducted a validation study using two independent sample sets consisting of 2,955 cases and 7,036 controls (first validation cohort) and 1,379 cases and 1,166 controls (second validation cohort) (**Supplementary Table 1**). Among 125 SNPs with a logistic regression trend of  $P < 1 \times 10^{-4}$  in our GWAS, we selected 78 SNPs, excluding 38 SNPs within the same locus ( $r^2 > 0.8$ ) and nine SNPs located at the previously reported loci,

5p13.33 and 3q28. We successfully genotyped all 78 SNPs in the first validation set using the multiplex PCR-based Invader assay, and 8 SNPs had ORs with a significance of P < 0.05 in the same direction of association (Supplementary Table 3). We then subjected these eight SNPs to the second validation set analysis. When we combined the results of both validation sets using a fixed effects model, two SNPs, rs7216064 at 17q24.3 and rs3817963 at 6p21.3, showed significant associations after Bonferroni correction ( $P < 6.4 \times 10^{-4}$ , calculated as 0.05/78) in addition to three SNPs at the two known loci described above (Table 1). When we combined the results of the GWAS and the validation study, both of the newly discovered loci reached genome-wide significance (rs7216064,  $P = 7.4 \times 10^{-11}$ , OR = 1.20; rs3817963,  $P = 2.7 \times 10^{-10}$ , OR = 1.18) (**Table 1**). The ORs were similar between the GWAS and the validation study, with no heterogeneity (Table 1). The strengths of the associations remained similar after adjustment for smoking (Supplementary Table 4). In a subgroup analysis (Supplementary Table 5), there was no clear association between the two newly discovered loci and gender or smoking behavior, and there was also no such association for the two known loci10.

We next performed imputation analyses using the Japanese in Tokyo (JPT) and Han Chinese in Beijing (CHB) reference sets from the 1000 Genomes Project database (June 2010 release) (Online Methods), and we examined the associations between 1,665 putative SNPs and lung adenocarcinoma risk. We found a series of signals in high linkage disequilibrium (LD) with a marker SNP at 17q24.3 (rs7216064), and we observed significant associations with lung adenocarcinoma risk for 33 of the imputed SNPs (Fig. 1a and Supplementary Table 6). However, none of the SNPs in LD at 6p21.3 reached the *P* value of our marker SNP (Fig. 1b).

<sup>&</sup>lt;sup>a</sup>Adjusted for age and gender. <sup>b</sup>The combined meta-analysis was performed using a fixed effect model.

Figure 1 Regional plots of the identified marker SNPs. (a) rs7216064 at 17q24.3. (b) rs3817963 at 6p21.3. The marker SNP is shown in purple, and the  $r^2$  values for the other SNPs are indicated by different colors. The correlations were estimated using data from the 1000 Genomes Project. The genes within the region of interest are annotated and are indicated by arrows. The blue lines indicate the recombination rates in cM per Mb. The  $-\log_{10}$   $P_{\rm trend}$  values of the marker SNPs are shown for the GWAS (G), the first validation study (V1), the second validation study (V2) and the combined study (C).



SNP rs7216064 resides within intron 9 of BPTF (encoding bromodomain PHD finger transcription factor) at 17q24.3. Other imputed SNPs in this locus showing similarly significant associations were also synonymous (not resulting in amino acid changes in translated proteins). Based on the regional plot and recombination rates, we found that rs7216064 represented an LD region that includes three genes: BPTF, C17orf58 (encoding a protein without known domains) and KPNA2 (encoding karyopherin α 2) (Fig. 1a). Thus, to address the biological importance of 17q24.3 variants, we examined the mRNA expression levels of these three genes in 314 noncancerous lung tissues by real-time quantitative PCR (Supplementary Note). We detected expression of BPTF, but not of C17orf58 or KPNA2, in these lung tissues. The expression of BPTF was marginally different depending on the genotype of the rs7216064 SNP (P = 0.02), implying low expression from the risk (G) allele (Supplementary Table 7). BPTF encodes a chromatin remodeling factor that regulates transcription through the specific recognition of methylated histone proteins<sup>15</sup>. Recently, chromatin remodeling genes have been implicated as tumor suppressors in lung<sup>16</sup> and other cancers<sup>17</sup>. Therefore, a low level of BPTF mRNA being associated with the risk allele might lead to an elevated risk for lung adenocarcinoma through decreased transcriptional regulation. However, further studies are needed to conclude whether BPTF is responsible for lung adenocarcinoma susceptibility.

SNP rs3817963 is located in intron 4 of BTNL2 (encoding butyrophilin-like 2) at 6p21.3 (Fig. 1b). Based on the regional plot and recombination rates, rs3817963 represents an LD region that includes only a single gene, BTNL2. The top ten SNPs (genotyped or imputed), including rs3817963, were synonymous. The effects of the SNPs on the expression of BTNL2 could not be assessed because of the low or absent expression of this gene in noncancerous lung tissues. BTNL2 encodes a T cell co-stimulatory molecule, and associations between BTNL2 SNPs and risk have been reported in several immune-related diseases, including asthma<sup>18</sup>, vitiligo<sup>19</sup> and ulcerative colitis<sup>20,21</sup>. Therefore, BTNL2 might affect lung adenocarcinoma risk by affecting immune responses against tumor cells. However, 6p21.3 is a part of the extended major histocompatibility complex (MHC) region, whose association with lung cancer risk has previously been reported<sup>5</sup>. The previously identified marker SNPs, rs3117582 and rs3131379, located 700 kb from the BTNL2 locus, were monomorphic in our study populations. Therefore, it is possible that the association at 6p21.3 identified in the present study is not new, and further studies are warranted.

We here provide further evidence for the existence of genetic susceptibility in the development of lung adenocarcinoma through the identification of two candidate susceptibility loci, 17q24.3 and 6p21.3, at genome-wide significance. rs7216064 at 17q24.3 showed a tendency of association in the same direction as lung cancer risk in Europeans,

although this association did not reach statistical significance, whereas rs3135353 at 6p21.3, which is in LD with rs3817963, showed a statistically significant association with lung cancer risk in European and American populations (**Supplementary Table 8**)<sup>7,9</sup>. Therefore, these loci might be involved in lung cancer risk in individuals of European descent. Further studies of these loci in multiple populations, including those with other histological types of lung cancers, will help to elucidate the etiology of lung adenocarcinoma.

URLs. The BioBank Japan project, http://biobankjp.org/; R, http://cran.r-project.org/; PLINK statistical software v1.06, http://pngu.mgh.harvard.edu/~purcell/plink/; Primer3 v0.3.0, http://frodo.wi.mit.edu/primer3/; UCSC Genome Browser, http://genome.ucsc.edu/; LocusZoom, http://csg.sph.umich.edu/locuszoom/; a catalog of genome-wide association studies, http://www.genome.gov/gwastudies/; SNPinfo Web Server, http://manticore.niehs.nih.gov/index.html; llumina's IconDB resource, http://www.illumina.com/science/icontroldb.ilmn.

### **METHODS**

Methods and any associated references are available in the online version of the paper.

Note: Supplementary information is available in the online version of the paper.

#### ACKNOWLEDGMENTS

We thank all of the subjects for participating in the study, and we also thank the collaborating physicians for assisting with sample collection. We are grateful to the members of BioBank Japan, the National Cancer Center Biobank and the Rotary Club of Osaka-Midosuji District 2660 Rotary International in Japan for supporting our study. We thank Y. Aoi, T. Odaka, M. Okuyama, H. Totsuka, S. Chiku, A. Kuchiba and the technical staff of the Center for Genome Medicine, National Cancer Center Research Institute, for providing technical and methodological assistance. We also thank H. Hirose of Health Center, Keio University and D. Saito of National Cancer Center Hospital (present affiliation: Nihonbashi Daizo Clinic) for DNA samples of control subjects. This work was supported in part by Grants-in-Aid from the Ministry of Health, Labor and Welfare for Research on Applying Health Technology and for the 3rd-term Comprehensive 10-year Strategy for Cancer Control; from the Ministry of Education, Culture, Sports, Science and Technology of Japan for Scientific Research on Innovative Areas (22131006); from the Japan Society for the Promotion of Science for Research Activity Start-up (23800073) and for Young Scientists (B) (24790340); and by the National Cancer Center Research and Development Fund. This work was also conducted as a part of the BioBank Japan Project supported by the Ministry of Education, Culture, Sports, Science and Technology, Japan. The National Cancer Center Biobank is supported by the National Cancer Center Research and Development Fund, Japan.

### **AUTHOR CONTRIBUTIONS**

K.S., J.Y., M.K. and T.K. designed the study. A.T., K.A., S.O., N.K. and A.S. analyzed the GWAS and replication study. H.S., Y.S., T.Y. and K.S. performed the genotyping for the GWAS and the replication study. H.K., K.G., S.W. and K.T. recruited