

## The Prevalence of Cervical Regulatory T Cells in HPV-Related Cervical Intraepithelial Neoplasia (CIN) Correlates Inversely with Spontaneous Regression of CIN

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### Keywords

CD4+CD25+Foxp3+ regulatory T cells, cervical intraepithelial neoplasia, cervical lymphocytes, programmed cell death-1

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### Introduction

HPV infection is a major cause of cervical cancer and its precursor lesion, cervical intraepithelial neoplasia (CIN). Natural history studies of CIN<sup>1,2</sup> show that most infections and most CIN lesions resolve spontaneously; only a minority persists and progress to cervical cancer. Studies showing that HIV-infected

### Problem

Local adaptive cervical regulatory T cells (Tregs) are the most likely direct suppressors of the immune eradication of cervical intraepithelial lesion (CIN). PD-1 expression on T cells induces Tregs. No studies have quantitatively analyzed the Tregs and PD-1+ cells residing in CIN lesions.

### Method of study

Cervical lymphocytes were collected using cytobrushes from CIN patients and analyzed by FACS analysis. Comparisons were made between populations of cervical Tregs and PD-1+ CD4+ T cells in CIN regressors and non-regressors.

### Results

A median of 11% of cervical CD4+ T cells were Tregs, while a median of 30% were PD-1+ cells. The proportions of cervical CD4+ T cells that were Tregs and/or PD-1+ cells were significantly lower in CIN regressors when compared with non-regressors.

### Conclusions

The prevalence of cervical tolerogenic T cells correlates inversely with spontaneous regression of CIN. Cervical Tregs may play an important role in HPV-related neoplastic immunoevasion.

women and patients who are under treatment with immunosuppressive agents have an increased incidence of CIN lesions<sup>3,4</sup> suggest that cell-mediated immune response against HPV viral protein is important in the control of HPV infection and progression to CIN. We have previously reported that the presence of gut-derived effector lymphocytes within the cervix plays an important role in local cell-mediated

immune responses and correlates with CIN regression.<sup>5</sup> The presence of robust local tolerogenic cervical T-cell responses to HPV-related neoplastic lesions would be predicted to attenuate the effects of these local effector responses. We hypothesized that the proportion of tolerogenic lymphocytes among the CD4+ T cells in the cervix would decrease among women experiencing CIN regression, thereby allowing full effect of the changes previously seen among local effector cells.

It has been reported that CD4+CD25+Foxp3+ regulatory T cells (Tregs) play an important role in tumor-associated immunoevasion in cancers (ovarian, uterine cervical, endometrial, lung, breast, pancreas, renal cell, and thyroid cancers) as well as in other proliferative disorders such as melanoma and hepatoma.<sup>6–15</sup> Mechanisms underlying Treg suppressive functions have been abundantly reported. The high expression of CD25 (IL-2R) on Tregs has been thought to result in cytokine deprivation-induced apoptosis of effector T cells.<sup>16</sup> IL-10, TGF- $\beta$ , and IL-35 are also important mediators of Treg suppressive function.<sup>16</sup> Tregs have been reported to suppress T effectors by ligating T-effector-expressed CD80, thereby inhibiting T-cell proliferation and cytokine production. Tregs kill effector T cells, other antigen-presenting cells, and NK cells in a manner dependent on granzyme and perforin.<sup>16</sup>

Natural Treg cells (nTregs) differentiate in the thymus and migrate to peripheral tissues while adaptive/induced Treg cells (iTregs) differentiate in secondary lymphoid organs and tissues including mucosa-associated lymphoid tissues (MALT).<sup>17</sup> iTregs play essential roles in mucosal tolerance, in the control of severe chronic allergic inflammation, in the prevention of parasite and other microorganism clearance, and in the obstruction of tumor immunosurveillance while nTregs have roles in preventing autoimmunity and preventing exaggerated immune responses. iTregs appear in the mesenteric lymph nodes during induction of oral tolerance, differentiate in the lamina propria of the gut in response to microbial signals, and are generated in chronically inflamed tissues. At a minimum, Foxp3+ iTreg development requires TCR stimulation and the cytokines TGF- $\beta$  and IL-2. Integrin  $\alpha\beta 7$ + dendritic cells (DCs) residing in the MALT produce both TGF- $\beta$  and retinoic acid (RA), which mediate the differentiation of naïve T cells into Foxp3+ iTregs.<sup>17</sup>

The programmed cell death-1 (PD-1) and PD-ligand (PD-L) pathway is also critical in the suppression of

immune responses. PD-1 is a molecule inducibly expressed on peripheral CD4+ and CD8+ T cells, NKT cells, B cells, monocytes, and some DC subsets when these cells are activated by antigen receptor signaling and cytokines.<sup>16</sup> nTregs and iTregs can express PD-1 and PD-L1, and the expression of ligand and receptor on the same cell conveys interesting implications. Engagement of PD-1 by its ligands during T-cell receptor (TCR) signaling results in two possible T-cell responses: 1) a diminution in T-effector responses and 2) an augmentation in differentiation of naïve T cells into Foxp3+ iTreg in a TGF- $\beta$ -dependent manner.<sup>16</sup> There are synergistic effects between the PD-1/PD-L1 pathway and TGF- $\beta$  in promoting Treg development. PD-L1 is expressed on a wide variety of tumors, and high levels of PD-L1 expression strongly correlate with unfavorable prognosis in a number of cancers.<sup>18</sup> To this point, ligation of PD-1 may induce and maintain iTregs within the tumor microenvironment, enhance the suppression of anti-tumor T-cell responses, and thereby allow tumor progression.

Several previous studies have shown that the prevalence of Tregs among PBMCs increases in CIN patients when compared with healthy controls.<sup>19,20</sup> These studies assess populations of circulating Tregs using flow cytometry. Characterization of the local lymphocytes residing in cervical lesions should better reflect local immune responses to pathogen. While Nakamura et al.<sup>21</sup> used Foxp3 immunostaining of human CIN lesions to report the number of local Foxp3+ cells residing in the CIN lesions by immunostaining of the tissues for Foxp3 and report that the number of Foxp3-immunoreactive cells is higher in CIN3 lesions than normal or CIN1-2 lesions, no studies have quantitatively assessed populations of local Tregs, likely iTregs, in the CIN lesions using flow cytometry. Possible associations between iTregs and the natural course of CIN have also never been studied.

We have previously characterized cervical lymphocytes collected from CIN lesions using a cytobrush and have demonstrated that the majority of cervical lymphocytes in these lesions are CD3+ T cells (median 74%) and that half of the cervical CD3+ T cells are CD4+ (median 54%).<sup>5</sup> In the present investigations, we have analyzed the relative proportions of two tolerogenic T-cell subsets, CD25+Foxp3+ Tregs and PD-1+ T cells, among cervical CD4+ T cells collected from CIN lesions. To determine whether there was a correlation between the frequency of cervical tolerogenic T cell and the natural course of

CIN, comparisons were made between tolerogenic T-cell subsets in the lesions of CIN regressors and non-regressors.

## Materials and methods

### Study Population

Cervical cell samples were collected using a cytobrush from 24 patients under observation after being diagnosed with CIN by colposcopically directed biopsy. All women gave written informed consent, and the Research Ethics Committee of the University of Tokyo approved all aspects of the study. Patients with known, symptomatic or macroscopically visible vaginal inflammation, or sexually transmitted infections were excluded from our study. To study the association between cervical tolerogenic lymphocytes and CIN progression, CIN patients with regression of cervical cytology (cases) were matched with control patients who did not exhibit cytologic regression over the same time period (measured from initial detection of abnormal cytology). In this study, cytological regression was defined as normal cytology at two or more consecutive evaluations conducted at 3–4 months intervals. For the comparison of CD4+CD25+Foxp3 Tregs and PD1+CD4+ cells, 12 patients were enrolled in the regression group, and the median follow-up duration was 16.5 (8–33) months. Twelve pairs of follow-up time-matched patients with persistent cytological abnormalities were enrolled in the non-regression group, and the median follow-up time was 19 (9–34) months. Patients were interviewed about their smoking history and their last menstrual period.

### Collection and Processing of Cervical Lymphocytes

Cervical cells were collected using a Digene cytobrush as described previously.<sup>5</sup> The cytobrush was inserted into the cervical os and rotated several times. The cytobrush was immediately placed in a 15-mL tube containing R10 media (RPMI-1640 medium, supplemented with 10% fetal calf serum, 100 mg/mL streptomycin, and 2.5 µg/mL amphotericin B) and an anticoagulant (0.1 IU/mL of heparin and 8 mM EDTA). After incubating the sample with 5 mM DL-dithiothreitol at 37 °C for 15 min with shaking, the cytobrush was removed. The tube was then centrifuged at 330 *g* for 4 min. The resulting

pellet was resuspended in 10 mL of 40% Percoll. This mixture was layered onto 70% Percoll and centrifuged at 480 *g* for 18 min. The mononuclear cells at the Percoll interface were removed and washed with PBS. Cell viability was greater than 95%, as confirmed by trypan blue exclusion, and fresh samples were immediately used for further analyses.

### Immunolabeling and Flow Cytometry

Cervical immune cell preparations were immunolabeled with fluorochrome-conjugated mouse monoclonal antibodies specific for the following human leukocyte surface antigens: a programmed death-1 marker (FITC-anti-PD-1), a phycoerythrin cyanine 5.5 (PC5.5)-conjugated helper T-cell marker (PC5.5-anti-CD4), and an allophycocyanin (APC)-conjugated IL-2 receptor marker (APC-anti-CD25). After exposure to primary surface-labeling antibodies, cells were washed twice with FACS buffer (10% fetal calf serum, 1 mM EDTA, 10 mM NaN<sub>3</sub>), permeabilized with Foxp3 Fixation/Permeabilization working solution (eBioscience, San Diego, CA, USA), and immunolabeled with the anti-intracellular antigen antibody, phycoerythrin (PE)-conjugated anti-Foxp3 marker (PE-anti-Foxp3). Cells were then washed twice with Flow Cytometry Staining Buffer (eBioscience) and resuspended in Flow Cytometry Staining Buffer. Additional aliquots of the cell preparations were labeled in parallel with appropriate isotype control antibodies. Antibodies were purchased from eBioscience and BD (Franklin Lakes, NJ, USA). Data were acquired using four-color flow cytometry on FACSCalibur (Becton-Dickinson, Texarkana, TX, USA). A minimum of 5000 CD4+ T cells was analyzed per sample. The position of CD4+ T cells was determined by CD4 vs SSC gating. We used KALUZA<sup>®</sup> Flow Analysis Software (Becton Coulter, Brea, CA, USA) for data analysis.

### HPV Genotyping

DNA was extracted from cervical smear samples using the DNeasy Blood Mini Kit (Qiagen, Crawley, UK). HPV genotyping was performed using the PGMY-CHUV assay method.<sup>22</sup> Briefly, standard PCR was conducted using the PGMY09/11 L1 consensus primer set and human leukocyte antigen-DQ (HLA-DQ) primer sets. Reverse blotting hybridization was performed. Heat-denatured PCR amplicons were hybridized to specific probes for 32 HPV genotypes

and HLA-DQ reference samples. The virological background (HPV genotyping) of 24 patients in our study is shown in Table I. HPVs 16, 18, 31, 33, 35, 39, 45, 51, 52, 53, 56, 58, 59, 68, 73, and 82 were defined as high-risk HPVs according to an International Agency for Research on Cancer (IARC) multicenter study.<sup>23</sup>

### Statistical Analysis

Statistical analyses, including calculation of medians and interquartile ranges (IQRs), were performed using the commercial statistical software package JMP® (SAS, Cary, NC, USA). Wilcoxon rank sum tests or Fisher's exact tests were applied for matched pair comparisons. *P*-values  $\leq 0.05$  were considered significant.

### Results

#### Isolation of Cervical Tolerogenic T-cell Subsets in CIN Lesions

To assess cervical tolerogenic T cells, cervical samples were collected from CIN lesions positive for any HPV genotype and fractionated over a discontinuous Percoll density gradient to remove cervical epithelial cells. Cervical lymphocytes were then isolated from the interphase between Percoll and culture medium.<sup>5</sup> Cervical CD4+ T cells were identified among

the isolated lymphocytes using CD4 vs SSC gating. The percentages of CD4+ cervical T cells that were CD25+Foxp3+ Tregs or that were PD-1+ were determined by flow cytometry. Two representative cases are displayed in Fig. 1(a,b), respectively. The proportion of cervical CD4+ T cells that were CD25+Foxp3+ was 14.2% whereas the proportion of CD4+ T cells that displayed PD-1 was 33.6% (bold lines). Among all CIN patients, a median of 11.7% (IQR: 7.3–14.6, *n* = 24) of CD4+ cervical T cells were CD25+Foxp3+ Tregs, while a median of 30.7% (20.2–38.5, *n* = 24) of CD4+ cells expressed PD-1. The proportions of tolerogenic T-cell subsets found in cervical preparations were markedly higher than those reported in circulating peripheral blood where approximately 5% of PBMCs are CD25+Foxp3+ Tregs<sup>24</sup> and 5% of peripheral CD4+ T cells are PD-1+.<sup>25</sup> These data indicate that the cervical mucosal T cells separation technique used for these investigations isolated a population of T cells with characteristics that suggest little to no contamination by peripheral blood. Further, should small amounts of contamination occur during isolation the effect on overall results would be predicted to be minimal.

#### Correlation of Cervical Tregs and PD-1+ CD4+ cells in CIN Lesions with Menstrual Phase, HPV Types, Smoking History, and CIN Course

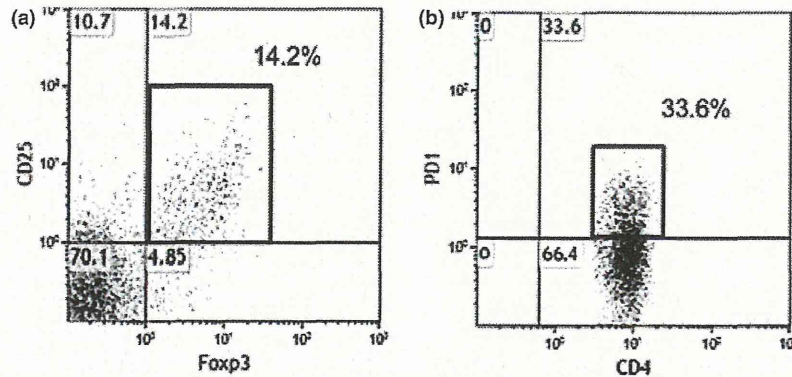
Many factors, including HPV genotypes, smoking, and other microbial infections, have been reported to associate with spontaneous regression or progression of CIN.<sup>26</sup> In this study, we obtained cervical Tregs from histologically diagnosed CIN patients and sought correlations between cervical Tregs and potential clinical factors, which may associate with the natural course of CIN. Patients with known, symptomatic or macroscopically visible vaginal inflammation, or sexually transmitted infections other than HPV were excluded from our study. All patients were diagnosed with CIN1-2 at the time of enrollment and followed with colposcopy and cervical cytology smears every 4 months.

To account for possible confounding factors, samples from our 24 CIN patients were reanalyzed after segregation by each of the following characteristics: menstrual phase (proliferative vs secretory), HPV genotype (high risk vs low risk), and smoking history (smoking vs non-smoking). The prevalence of CD25+Foxp3+ Tregs and of PD-1+ T cells among cervical CD4+ cells was compared between each of the

**Table I** Patients infected with multiple HPV types were included.

HPV type	Total numbers (%)
16	5 (16.6)
18	2 (6.6)
31	1 (3.3)
45	1 (3.3)
51	1 (3.3)
52	3 (10)
53	3 (10)
55	3 (10)
56	4 (13.3)
58	5 (16.6)
70	2 (6.6)
Total	30 (100)

Of 24 patients, 4 (16.6%) were infected with multiple types. HPVs 16, 18, 31, 33, 35, 39, 45, 51, 52, 53, 56, 58, 59, 68, 73, and 82 were defined as high-risk HPVs.



**Fig. 1** Representatives of flow cytometric analysis of immune cells isolated from cervical intraepithelial neoplasia lesions. Bold lines delimit cervical CD4+CD25+Foxp3+ Tregs (a) and PD1+ CD4+ T cells (b). The indicated percentages represent percentage of total CD4+ T cells.

two groups using Wilcoxon rank sum testing (Table II). None of these possible confounders correlated with CD25+Foxp3+ Tregs and PD-1+ T cells results in CIN lesions, indicating that the tolerogenic T cells residing in the cervical mucosa were not influenced by smoking, hormonal status, or infecting HPV subtypes.

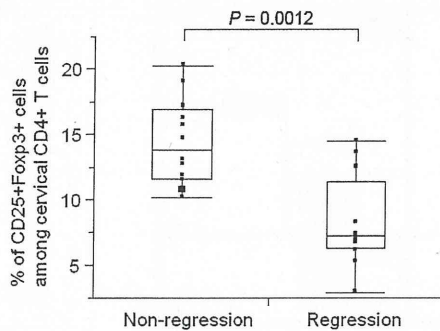
Next, we compared populations of CD25+Foxp3+ Tregs and PD-1+ T cells residing in the CIN lesions of regressors (*n* = 12) and non-regressors (*n* = 12) to determine whether there was an association between the frequency of cervical tolerogenic T-cell subsets and spontaneous regression of CIN. Twelve patients had spontaneous regression of their CIN lesions, and these women had a median follow-up duration of 16.5 (8–33) months. The non-regression group consisted of twelve women with persistent

cytological abnormalities who were matched to the spontaneous regressor cohort by follow-up time. No significant differences were seen in the detection rates of high-risk HPV (58.3% vs 83.3%, *P* = 0.37), percent of CIN 2 at the enrollment (33.3% vs 58.3%, *P* = 0.4), and the median ages (33 years old vs 36, *P* = 0.44) of patients in the regression and non-regression groups. Among regressors, cervical CD25+Foxp3+ Tregs comprised a median of 7.3% (IQR: 6.3–11.4) of cervical CD4+ cells; the rate among non-regressors was 13.9% (IQR: 11.6–16.9). The frequency of cervical CD25+Foxp3+ Tregs in regressors was significantly lower than that in non-regressors (*P* = 0.0012) (Table II and Fig. 2). Similarly, cervical PD1+ CD4+ cells comprised a median of 20.8% (IQR: 15.8–31.9) of cervical CD4+ cells among regressors whereas a median of 35.1% (IQR:

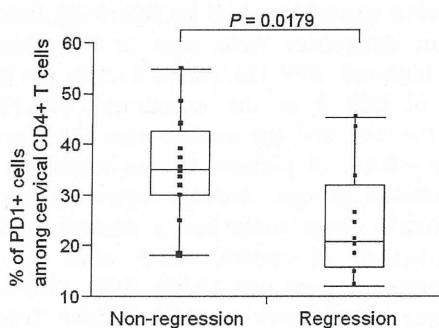
**Table II** Correlation of the proportions of cervical Treg and PD-1+ cells among cervical CD4+ T-cell populations with clinical characteristics

Factors	Groups	Percentage of total cervical CD4+ T cells			
		CD25+Foxp3+ Tregs		PD-1+ cells	
Menstrual phase	Proliferative	10.26 (7.04–15.4)	<i>P</i> = 0.94	29.8 (22.7–39.5)	<i>P</i> = 0.72
	Secretory	12.0 (7.1–14.2)		28.1 (18.9–36.7)	
HPV genotype	High risk	11.8 (7.8–14.2)	<i>P</i> = 0.67	29.8 (20.3–38.2)	<i>P</i> = 0.82
	Low risk	7.4 (6.7–15.7)		33.5 (18.5–45.4)	
Smoking	Smoking	10.2 (7.3–14.7)	<i>P</i> = 0.73	29.8 (19.5–39.5)	<i>P</i> = 0.80
	Non-smoking	10.8 (5.0–15.9)		24.6 (19.6–40.9)	
CIN course	Regression	7.3 (6.3–11.4)	<i>P</i> = 0.0012	20.8 (15.8–31.9)	<i>P</i> = 0.018
	Non-regression	13.9 (11.6–16.9)		35.1 (30.2–42.6)	

Association of cervical CD4+CD25+Foxp3+ Tregs and PD1+CD4+ cells with menstrual cycle, HPV genotype, smoking, and cervical intraepithelial neoplasia (CIN) course were shown.



**Fig. 2** Association of cervical Tregs with the natural course of cervical intraepithelial neoplasia. Among regressors, cervical Tregs comprised a median of 7.33% [Interquartile ranges (IQR): 6.38–11.4,  $n = 12$ ] of CD4+ cervical T cells; the rate among non-regressors was 13.9% (IQR: 11.6–16.9,  $n = 12$ );  $P = 0.0012$ .



**Fig. 3** Association of cervical PD-1+ CD4+ T cells with the natural course of cervical intraepithelial neoplasia. Among regressors, cervical PD1+ cells comprised a median of 20.8% [Interquartile ranges (IQR): 15.8–31.9,  $n = 12$ ] of CD4+ cervical T cells; the rate among non-regressors was 35.1% (IQR: 30.2–42.6,  $n = 12$ );  $P = 0.0179$ .

30.2–42.6) among non-regressors. Again, the frequency of cervical PD-1+ CD4+ cells in regressors was significantly lower than that in non-regressors ( $P = 0.017$ ) (Table II and Fig. 3).

## Discussion

Although many studies have been reported about the positive association between tolerogenic lymphocytes and poor prognosis in many cancers, there are limited data on similar associations in women with HPV-related cervical precursor lesions. Our results show that the prevalence of CD25+ Foxp3+ Tregs and of PD1+ CD4+ T cells residing in cervical precursor lesions inversely correlates with spontaneous regression of CIN.

The peripheral population of Foxp3+ Tregs includes nTregs and iTregs. iTregs play essential roles in mucosal tolerance, in the control of severe chronic allergic inflammation, and in the prevention of organism clearance and tumor immunosurveillance, while nTregs have roles in preventing autoimmunity and exaggerated immune responses.<sup>17</sup> We would predict that the majority of cervical CD25+Foxp3+ Tregs assessed in this study are iTregs although definitive isolation of iTregs is hampered by the lack of suitable surface markers that distinguish iTreg and nTreg cell populations.

In this study, cervical Treg prevalence negatively correlated with regression of CIN (Fig. 2) but did not correlate with CIN grade (data not shown). Supporting our data, several previous studies have shown a positive correlation between Treg prevalence in peripheral blood and high grade of CIN.<sup>19,20</sup> Of course, cervical iTregs and circulating Tregs may differ in their TCR repertoire. iTregs are known to differentiate from mature naïve CD4+ cells through the effects of TGF- $\beta$  and RA secreted by mucosa-associated DCs.<sup>17</sup> In our data, the proportion of CD25+Foxp3+ Tregs among total cervical CD4+ cells (a median of 11%) was twofold higher than previously reported peripheral blood levels (approximately 5%). This suggests that iTregs may be generated continuously, probably in an antigen-depending manner, and accumulate in chronically HPV-infected tissues and CIN lesions. Others have reported that Foxp3 mRNA levels in cervical samples that included exfoliated epithelial cells and cervical lymphocytes are higher among high-grade squamous intraepithelial lesion (HSIL) patients when compared with low-grade squamous intraepithelial lesion (LSIL) patients.<sup>27</sup> However, it is unknown whether Foxp3 mRNA levels in these cervical samples parallel the number of Tregs because cervical lymphocytes were not specifically isolated in this study.

Although the persistence of HPV infection was not followed in the present study, Molling et al.<sup>20</sup> reported that CD4+CD25hi Treg frequency correlates with persistence of HPV type 16. Tregs may inhibit the HPV clearance by immune cells such as invariant natural killer T cells.

TGF- $\beta$  is critical to the induction and maintenance of Foxp3+ Tregs, with particular importance in the induction of iTregs from naïve T cells and in the conversion of effector T cells to iTregs. Several studies have demonstrated that the expression of TGF- $\beta$  and RA receptors in cervical specimens is lower in

CIN lesions when compared with normal epithelium.<sup>28,29</sup> In these studies, there was no correlation between TGF- $\beta$  mRNA levels and either CIN grade or CIN natural course. TGF- $\beta$ -induced iTreg frequency may be a more direct predictor of CIN progression than TGF- $\beta$ . In fact, measurement of tolerogenic T-cell frequency in CIN lesions has the potential to prove useful in determining individualized screening and treatment paradigms.

Whether sex hormones modulate the prevalence and function of Tregs remains controversial. Arruvito et al. reported that the proportion of Foxp3<sup>+</sup> cells within the peripheral blood CD4<sup>+</sup> T-cell population increases during the late follicular phase when compared with the luteal phase.<sup>29</sup> The expansion of Tregs during the follicular phase was highly correlated with serum estradiol (E2) levels.<sup>30</sup> In contrast, Weinberg et al. reported recently that there are no significant correlations between changes in serum E2 levels and the prevalence of any circulating Treg subtypes or between changes in serum progesterone levels and the proportion of CD8<sup>+</sup> Foxp3<sup>+</sup> Tregs in peripheral blood samples.<sup>31</sup> The effect of smoking on the generation of tolerogenic T cells is also controversial.<sup>32–34</sup> Note that all of the above studies assess peripheral circulating rather than local cervical Tregs. Our data on the latter cells revealed no correlations between cervical Treg prevalence and either menstrual phase or smoking.

In this study, we focused on PD-1<sup>+</sup> CD4<sup>+</sup> T cells as well as Foxp3<sup>+</sup> Tregs as engagement of PD-1 by its ligands on T cells is critical to the differentiation of naïve T cell into Foxp3<sup>+</sup> iTregs. Furthermore, Tregs and the PD-1/PD-L pathway are integral in terminating immune responses and augmenting the suppression of anti-tumor T-cell responses. In short, the PD-1 pathway controls the development, maintenance, and function of iTregs at mucosal sites. Here, we show that PD-1<sup>+</sup> T cells are more frequently found among cervical T cells than among PBMCs and that the prevalence of PD1<sup>+</sup> T cells in CIN lesions (likely reflecting cervical iTregs) correlates inversely with spontaneous regression of CIN. Assessment for other tolerogenic T-cell subsets (e.g., Foxp3-IL10<sup>+</sup> Tr1, Foxp3-TGF- $\beta$ + Th3) in this study, while potentially informative, was limited by the number of cervical lymphocytes that could be isolated from a single cytobrush sample.

In summary, even the study population is small and the results are limited, our flow cytometric analyses demonstrate for the first time that a prevalence

of CD4<sup>+</sup> CD25<sup>+</sup> Foxp3<sup>+</sup> Tregs infiltrating into CIN lesions significantly correlates with regression of CIN regardless of HPV subtype. Conversely, a high prevalence of lesional cervical Tregs may be responsible for CIN persistence as well as HPV infections and might function as a useful predictive biomarker for progression of CIN.

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# Retreatment with nedaplatin in patients with recurrent gynecological cancer after the development of hypersensitivity reaction to carboplatin

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## Abstract

**Aim:** Platinum is a milestone drug against gynecologic malignancies. The purpose of this retrospective study was to investigate the feasibility of replacing carboplatin with nedaplatin in patients who had developed a hypersensitivity reaction to carboplatin.

**Material and Methods:** Fifteen patients with recurrent gynecologic cancer (12 ovarian, 1 fallopian tube, 1 endometrial and 1 cervical cancer) who had experienced a hypersensitivity reaction to carboplatin and a possible clinical indication for continuing treatment with platinum were treated with nedaplatin (80 mg/m<sup>2</sup>)-containing regimen.

**Results:** The total number of nedaplatin cycles given was 137 (range 1–29). Four (27%) patients developed hypersensitivity reactions on the second, second, fourth, and ninth administration, respectively. The severities of all the hypersensitivity reactions were grade 3 or less. The other 11 patients (73%) had no nedaplatin-associated hypersensitivity reactions. The incidence of hypersensitivity reactions in the paclitaxel and nedaplatin group (three of four, 75%) was more frequent than the docetaxel and nedaplatin group (none of seven,  $P = 0.024$ ). The objective response rate in eleven patients with measurable disease was 36% (complete response at 9% and partial response at 27%), and the disease control rate was 73% (stable disease at 36%).

**Conclusion:** Nedaplatin-associated hypersensitivity reactions are not rare in patients who developed allergic reactions to carboplatin. Retreatment of carboplatin-allergic patients with nedaplatin cannot be recommended without careful consideration of the potential risks and benefits.

**Key words:** carboplatin, cross-reaction, hypersensitivity reaction, nedaplatin, retreatment.

## Introduction

Carboplatin is one of the most effective and well-tolerated chemotherapeutic agents for gynecologic malignancies. In addition to a standard first-line regimen, platinum-containing chemotherapy is repetitively administered to patients with platinum-sensitive recurrence in gynecologic cancer.<sup>1–3</sup> However, the repeated treatment with carboplatin is associated with

an increased risk of hypersensitivity reactions (HSR). The incidence of HSR has been reported to be 8–44% in patients receiving retreatment with carboplatin.<sup>4–7</sup> Whereas there are several types of drugs available for treatment of recurrent ovarian cancer, platinum is still regarded as the single most active agent. Safety of rechallenge with cisplatin after the development of HSR to carboplatin is controversial. Although there have been several reports suggesting its safety, two

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deaths due to anaphylaxis following rechallenge with cisplatin have been reported.<sup>8,9</sup> So far, 39 of 46 reported cases (85%) were successfully retreated with cisplatin.<sup>10</sup>

Nedaplatin is one of the platinum analogues with the same carrier ligands of ammine as cisplatin and a five-membered ring structure in which glycolate is bound to the platinum ion as a bidentate ligand. Two phase II studies showed its effectiveness against gynecological cancers. The response rate of the nedaplatin monotherapy against cervical and ovarian cancer was 34–46% and 38%, respectively.<sup>11,12</sup>

Retreatment with nedaplatin in patients with hypersensitivity to carboplatin has not been reported yet. The purpose of this study was to evaluate safety and efficacy of rechallenge with nedaplatin in this population.

## Patients and Methods

Fifteen patients (12 ovarian, 1 fallopian tube, 1 endometrial, and 1 cervical cancer) who had experienced a hypersensitivity reaction to carboplatin were treated with nedaplatin between 2004 and 2010 after informed consent regarding the potential risks as well as benefits of treatment. All the patients were platinum-sensitive (progression-free interval more than 6 months) at their primary treatment. All had recurrent disease and had experienced HSRs during receiving carboplatin in retreatment. The patient characteristics are summarized in Table 1. Four patients were administered with single-agent nedaplatin and the other 11 were treated with combination chemotherapy (four with paclitaxel and seven with docetaxel).

Table 1 Patient characteristics

Median age, years (range)	58 (47–67)
Type of cancer ( <i>n</i> )	
Ovarian cancer	12
Serous	7
Endometrioid	4
Unclassified adenocarcinoma	1
Fallopian tube cancer	1
Endometrial cancer	1
Cervical cancer	1
Prior chemotherapy ( <i>n</i> )	
One regimen	3
Two regimens	4
>Three regimens	8
Protocol ( <i>n</i> )	
Nedaplatin	4
Paclitaxel/nedaplatin	4
Docetaxel/nedaplatin	7

In the single-agent protocol, nedaplatin at a dose of 80 mg/m<sup>2</sup> was infused intravenously in 500 mL of normal saline over 2 h. In the paclitaxel/nedaplatin combination protocol, paclitaxel at a dose of 175 mg/m<sup>2</sup> was infused intravenously in 500 mL of normal saline over 3 h, followed by nedaplatin at a dose of 80 mg/m<sup>2</sup> in 500 mL of normal saline over 2 h. In the docetaxel/nedaplatin combination protocol, docetaxel at a dose of 70 mg/m<sup>2</sup> was infused intravenously in 250 mL of 5% glucose over 60 min, followed by nedaplatin at a dose of 80 mg/m<sup>2</sup> in 500 mL of normal saline over 2 h. In all regimens, the patients received intravenous hydration with 1000 mL of 5% dextrose over 4 h after administration of nedaplatin. No patients were administered a desensitization protocol.

The severity of allergic reactions and anaphylaxis was graded according to the Common Terminology Criteria for Adverse Events (CTCAE) Version 4.0. Tumor response was assessed in those with measurable disease using radiographic and clinical assessment. Response Evaluation Criteria in Solid Tumors (RECIST) were employed for evaluation of measurable disease.<sup>13</sup>

## Results

### Hypersensitivity reactions

The management of the patients is summarized in Table 2. A total of 137 cycles of nedaplatin were administered. One hundred and thirty-three cycles (97%) were completed without nedaplatin-associated HSRs. All the 15 patients were successfully treated with nedaplatin on the first administration without experiencing any symptoms suggestive of HSRs. Eleven of the 15 patients (73%) had no nedaplatin-associated HSRs during the nedaplatin-containing chemotherapy (HSR-negative group). Ten of the 11 patients continued the treatment until the disease became progressive (range 1–29 courses), and one patient (#14) periodically receives the chemotherapy without progression of disease (total 23 courses). The other four patients stopped the protocol due to HSRs to nedaplatin (HSR-positive group). One patient (#4) experienced HSRs on the ninth cumulative cycle. She received paclitaxel/nedaplatin against peritoneal dissemination for six cycles without HSRs with a partial response. After a 9-month interval, the disease recurred and she was retreated with nedaplatin. On the third cycle, she developed HSRs with rash, edema, nausea, and vomiting (grade 3 of allergic reaction) immediately after