

## Material and methods

### Patients and tissue samples

Forty-nine samples (T1 to T49) of UCs of the urinary bladder, ureter and renal pelvis were obtained from specimens that had been surgically resected by radical cystectomy (16 patients) or nephroureterectomy (33 patients) at the National Cancer Center Hospital, Tokyo, Japan. The patients comprised 38 men and 11 women whose mean age was  $68.59 \pm 10.11$  (mean  $\pm$  standard deviation) years (range 49–85 years). Among the UCs, 19 and 30 were graded as low- and high-grade tumors, respectively, on the basis of the World Health Organization classification (31), and 34 and 15 were classed as superficial (pTis, pTa, pT1) and invasive (pT2 or more), respectively (31). Histological examination of UCs revealed lymph vessel involvement in 16 and vascular involvement in 9. On the basis of macroscopic examination, the UCs were divided into 28 papillary tumors and 21 non-papillary tumors. Five patients were positive for lymph node metastasis at the point of radical cystectomy or nephroureterectomy. Recurrence was diagnosed by urologists mainly on the basis of computed tomography, abdominal ultrasonography and urine cytological examinations. The mean observation period was  $39.7 \pm 31.8$  months (mean  $\pm$  standard deviation) and seven patients were positive for recurrence (lymph node metastasis, local recurrence and metastasis to the lung or bone in three, two and two patients, respectively). Clinicopathological parameters for each of the examined patients are summarized in supplementary Table S1, available at *Carcinogenesis* Online. This study was approved by the Ethics Committee of the National Cancer Center, Tokyo, Japan and was performed in accordance with the Declaration of Helsinki 1995. All patients gave their informed consent prior to their inclusion in this study.

### Array CGH analysis

High-molecular-weight DNA from fresh-frozen tissue samples was extracted using phenol–chloroform, followed by dialysis. Array CGH was performed using a Human Genome CGH 244K Oligo Microarray Kit (Agilent Technologies, Santa Clara, CA). Labeling and hybridization were performed according to the manufacturer's protocol (Protocol v4.0, June 2006). Briefly, 2  $\mu$ g of DNA from the patient and from a sex-matched control were double digested with AluI and RsaI (Promega, Madison, WI) for 2 h at 37°C. The digested DNA was then labeled by random priming using an Agilent Genomic DNA Labeling Kit Plus. Patient DNA and control DNA were labeled with Cy5-dUTP and Cy3-dUTP, respectively, and the labeled DNAs were hybridized with human Cot I DNA at 65°C with rotation for 40 h. Arrays were analyzed using the Agilent DNA microarray scanner and the Agilent Feature Extraction software. Presentation of the results was obtained using the Agilent CGH Analytics software package.

The results of array CGH were validated by FISH analysis. An LSI p16 (9p21) SpectrumOrange/CEP 9 SpectrumGreen Probe and an LSI p53 (17p13.1) SpectrumOrange Probe (Abbott/Vysis, Abbott Park, IL), corresponding to the CDKN2A and TP53 loci, respectively, were used. The FISH probes were hybridized to 5  $\mu$ m thick sections of formalin-fixed, paraffin-embedded tissue specimens taken from a region immediately adjacent to that from which the corresponding fresh-frozen sample had been obtained within the same UC. Nuclei were stained with 4,5-diamidino-2-phenylindole.

### BAC array-based methylated CpG island amplification

Because DNA methylation status is known to be organ specific (32), the reference DNA for analysis of the developmental stages of UCs should be obtained from the urothelium and not from other tissues or peripheral blood. Therefore, a mixture of normal urothelial DNA obtained from 11 male patients (C19 to C29) and 6 female patients (C30 to C35) without UCs was used as a reference for analyses of male and female test DNA samples, respectively. Of these 17 patients, 13 and 4 had undergone nephrectomy for renal cell carcinoma and nephrectomy for retroperitoneal sarcoma around the kidney, respectively. The mean age of the patients from whom normal urothelia had been obtained was  $66.18 \pm 10.49$  (mean  $\pm$  standard deviation) years (range 54–82 years). DNA methylation status was analyzed by BAMCA using a custom-made array (molecular cytogenetics Whole Genome Array-4500) harboring 4361 bacterial artificial chromosome (BAC) clones located throughout chromosomes 1–22, X and Y (33), as described previously (34,35). In 40 samples of UCs (T1 to T40), BAMCA had been performed and the results have already been published (26). For the present study, BAMCA was performed on nine additional samples of UCs (T41 to T49), and correlations between DNA methylation status and copy number alterations were examined in all 49 UCs.

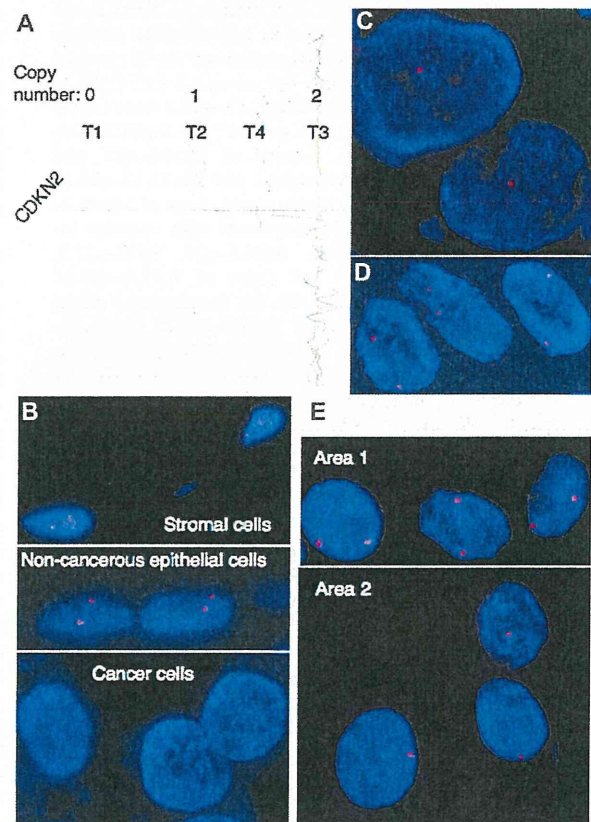
### Methylation-specific PCR and combined bisulfite restriction enzyme analysis

DNA methylation status on 5 C-type CpG islands was analyzed by methylation-specific polymerase chain reaction (MSP) and combined bisulfite restriction enzyme analysis (COBRA), as described previously (36). Briefly, bisulfite conversion was carried out using a CpGenome DNA Modification Kit (Chem-

icon International, Temecula, CA). DNA methylation status on CpG islands of the p16 and hMLH1 genes was determined by MSP using the primers described previously (36). The DNA methylation status of the methylated in tumor (MINT)-1, MINT-2 and MINT-12 clones was determined by COBRA using previously described primers and restriction enzymes (36). In 40 samples of UCs (T1 to T40), MSP and COBRA had been performed and the results have already been published (26). For the present study, MSP and COBRA were performed on nine additional samples of UCs (T41 to T49), and correlations between DNA methylation status and copy number alterations were examined in all 49 UCs.

### Statistics

Correlations between copy number alterations and clinicopathological parameters of UCs were analyzed using the unpaired *T*-test. Based on Bonferroni correction for multiplicity of testing, differences at  $P < 0.00714$  were considered significant. Unsupervised two-dimensional hierarchical clustering analysis of UCs was done using GeneSpring GX 10.0. Differences in the average number of array CGH probes showing copy number alterations, the average number of BAC clones showing DNA methylation alterations (hypo- and hypermethylation) and the average number of C-type CpG islands showing DNA methylation in UCs belonging to clusters A, B<sub>1</sub> and B<sub>2</sub> yielded by the unsupervised hierarchical clustering were analyzed using the Kruskal–Wallis test. Differences at  $P < 0.05$  were considered significant.



**Fig. 1.** Validation of array CGH analysis by FISH. (A) Array CGH profiles of representative tissue specimens (T1 to T4). The signal ratios of the CDKN2A locus in T1, T2 and T3 corresponded to copy numbers of 0, 1 and 2, respectively, whereas the signal ratio in T4 did not correspond to any whole number. (B) Although the LSI p16 (9p21) SpectrumOrange/CEP 9 SpectrumGreen Probe corresponding to the CDKN2A gene revealed two signals in stromal cells and adjacent non-cancerous urothelial cells, it revealed no signal in cancer cells in T1. (C) FISH analysis using the same probe revealed one signal in cancer cells in T2. (D) FISH analysis using the same probe revealed two signals in cancer cells in T3. (E) FISH analysis using the same probe revealed copy number heterogeneity in T4: cancer cells in areas 1 and 2 showed two signals and one signal within a tumor, respectively. These findings can explain the array CGH profile of T4 in panel (A).



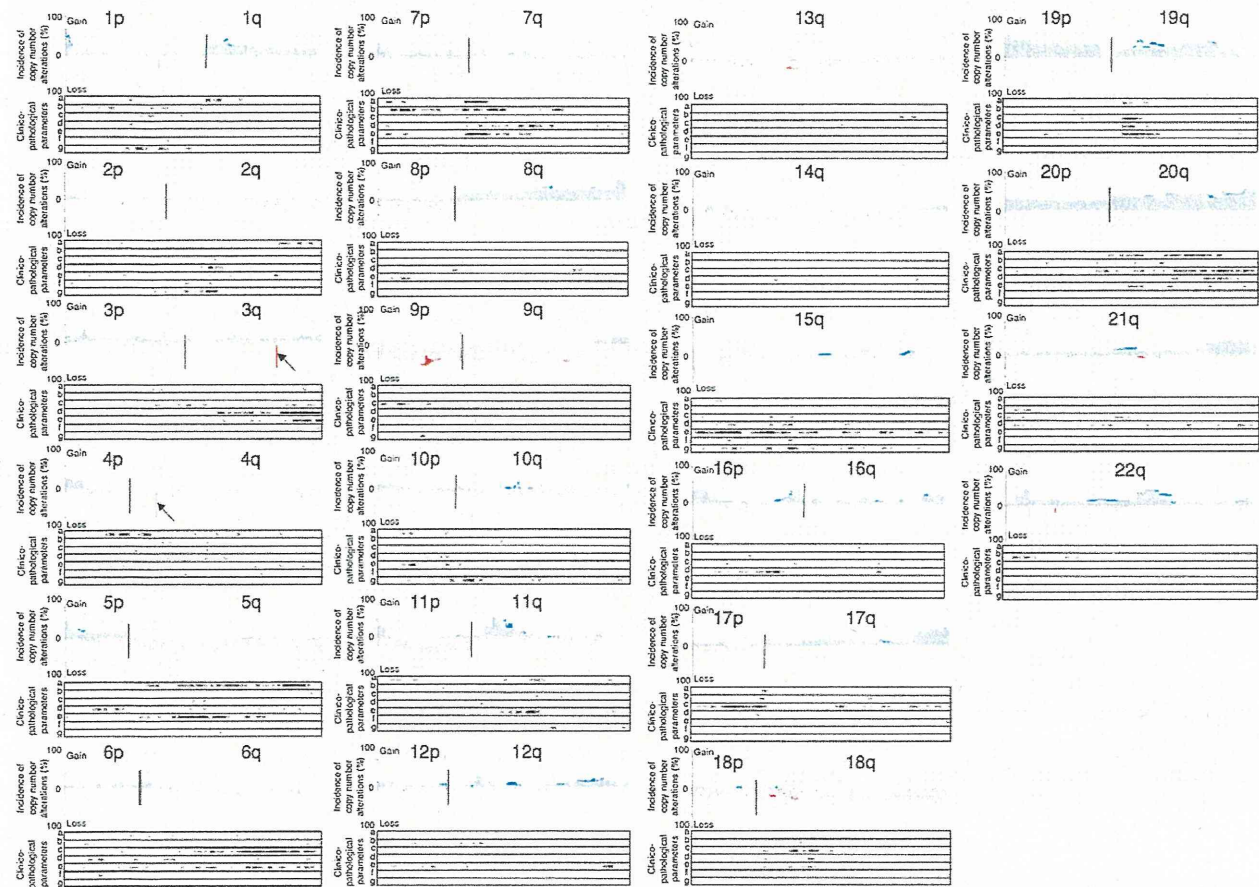
Results

Validation of array CGH analysis by FISH

The array CGH analysis for copy number alterations was validated by FISH. Examples of array CGH profiles and FISH images of the four representative UCs (T1 to T4) are shown in Figure 1A–E, respectively. The signal ratios of the CDKN2A locus in T1, T2 and T3 corresponded to copy numbers of 0, 1 and 2, respectively, whereas the signal ratio in T4 did not correspond to any whole numbers (Figure 1A). The LSI p16 (9p21) SpectrumOrange/CEP 9 SpectrumGreen Probe corresponding to the CDKN2A gene revealed two signals in stromal cells and adjacent non-cancerous urothelial cells on the specimen of T1 (Figure 1B). The probe revealed zero, one and two signals in cancer cells in T1, T2 and T3, respectively (Figure 1B–D). FISH analysis revealed copy number heterogeneity within a UC: cancer cells showing two signals and those showing one signal were both observed in T4 (Figure 1E). These findings were able to explain the array CGH profile in T4 (Figure 1A). Similarly FISH analysis using the LSI p53 (17p13.1) SpectrumOrange Probe corresponding to the TP53 gene also validated the array CGH profiles (data not shown).

*Copy number alterations and their clinicopathological impact in UCs*  
Figure 2 shows an overview of the copy number alterations on chromosomes 1–22 in all examined UCs. Chromosomal regions in which

the incidence of copy number alterations in all examined UCs were  $\geq 20\%$  are summarized in Table I. If a UC showed copy number heterogeneity like that of T4 in Figure 1, the copy number observed in the major area within the tumor was described as the copy number of the UC in Figure 2 and Table I. On 3q26.1 and 4q13.2 (arrows in Figure 2), the incidence of homozygous deletion (copy number 0) on only 10 and 11 continuous oligonucleotide probes was high (59.2 and 67.3%, respectively, Table I). Although copy number polymorphism has been reported in the above region on 3q26.1, the UGT2B17 gene, which may be associated with smoking-related cancers (37), is the only gene reported to be located within the homozygously deleted region on 4q13.2. Chromosomal loci on which copy number alterations were significantly correlated with clinicopathological parameters of UCs are shown in Figure 2. The clinicopathological impacts of the copy number alterations are also summarized in Figure 3. For example, loss of 1p32.2–p31.3 was correlated with UC recurrence. Loss of 2q33.3–q37.3 was correlated with higher histological grade. Gain of 3q26.32–q29 was correlated with vascular involvement. Loss of 4p15.2–q13.1 was correlated with higher histological grade. Losses of 5q13.3–q35.3 and 5q14.1–q23.1 were correlated with higher histological grade and tumor configuration (development of non-papillary tumors), respectively. Loss of 6q14.1–q27 was correlated with both lymph vessel involvement and tumor configuration. Gains of 7p21.2–p21.12, 7p11.2–q11.22 and 7p11.2–q11.23 were correlated with deeper invasion, tumor



**Fig. 2.** Copy number alterations and their clinicopathological impacts in UCs. The incidence of copy number alterations on chromosomes 1–22 in UCs (T1 to T49) is shown. Gains (copy number:  $\geq 3$ ) and losses (copy number: 1 or 0) are shown in the upper and lower halves, respectively. Copy numbers of 0, 1, 3 and more are shown in dark red, light red, light blue and dark blue, respectively. The homozygously deleted regions on 3q26.1 and 4q13.2 are indicated by arrows. Locations of the array CGH probe on which copy number alterations were significantly correlated (unpaired *T*-test with Bonferroni correction,  $P < 0.00714$ ) with histological grade (a), depth of invasion (b), lymph vessel involvement (c), vascular involvement (d), tumor configuration (papillary versus non-papillary, (e) lymph node metastasis (f) and recurrence (g) of UCs are indicated by 'X' under each of the histograms for chromosomes 1–22.



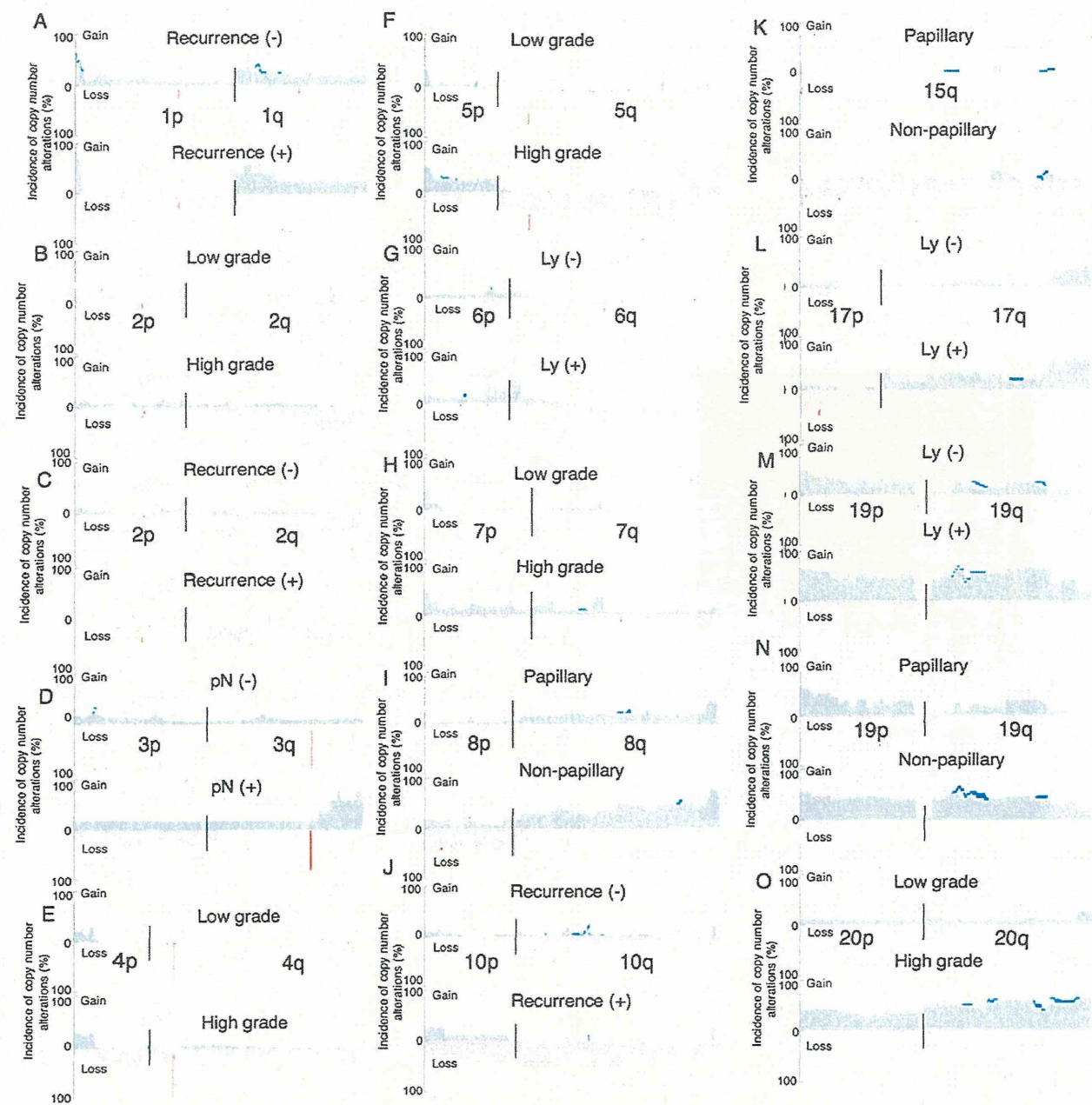
Table I. Copy number alterations showing incidences of >20% in the examined UCs

Chromosomal loci	Regions <sup>a</sup>	CN <sup>b</sup>	LI <sup>c</sup>	HI <sup>d</sup>	Chromosomal loci	Regions <sup>a</sup>	CN <sup>b</sup>	LI <sup>c</sup>	HI <sup>d</sup>
1p36.33–1p36.31	000554287–006656854	3	22.4	65.3	10q21.1–10q21.3	057989564–069900014	1	20.4	26.5
1p36.21–1p36.12	016167535–020948798	3	20.4	22.4	10q22.1–10q23.1	071904528–073262876	3	20.4	24.5
1p31.1	072550248–072568068	0	20.4	20.4	10q23.1	083089747–087102060	1	20.4	24.5
1q12–1q31.1	142721264–187457065	3	20.4	40.8	10q23.2–10q24.31	089445919–102120260	1	20.4	26.5
1q31.1–1q44	190299165–247190770	3	20.4	30.6	10q25.1–10q26.13	105709333–124981616	1	20.4	26.5
2q32.1–2q32.2	185846809–190742545	1	20.4	20.4	10q26.3	133783634–135066163	3	20.4	22.4
2q32.3–2q37.3	192350477–242717069	1	20.4	32.7	11p15.5–11p15.4	000182372–003270486	3	28.6	32.7
3p25.3	009517826–011146712	3	22.4	26.5	11q21–11q22.1	096386431–097377420	1	20.4	20.4
3p25.2–3p25.1	012038778–015468042	3	20.4	32.7	11q22.1–11q23.3	097429396–116543936	1	20.4	26.5
3p21.31	048346498–050680352	3	20.4	24.5	11q23.3–11q24.2	124714078–125280002	1	20.4	20.4
3p14.1	065390626–065748270	1	20.4	20.4	11q24.2	124714078–125280002	1	20.4	20.4
3p14.1–3p13	068561452–072819381	1	20.4	24.5	11q24.2–11q25	126760808–132830348	1	20.4	22.4
3p12.3	076229787–076504425	1	20.4	20.4	11q25	133367262–133662626	1	20.4	20.4
3p12.3	077466215–078924208	1	20.4	20.4	12p13.33	001767600–002412124	3	20.4	20.4
3p12.3	079019795–079019854	1	20.4	20.4	12p13.31	006172174–007239121	3	20.4	22.4
3p12.3–3p12.2	081674283–083271792	1	20.4	24.5	12q13.13–12q13.2	050511140–053289666	3	22.4	34.7
3q21.3	128095046–130849578	3	20.4	22.4	12q24.23–12q24.31	119012815–122594513	3	20.4	20.4
3q26.1	163997228–164101835	0	51.0	59.2	13q13.3	036216743–038434639	1	20.4	22.4
3q27.1–3q27.2	184336189–186044074	3	20.4	20.4	13q21.1	053204015–057297991	1	20.4	22.4
3q29	194947608–198141010	3	20.4	20.4	13q34	112711763–114123908	3	20.4	22.4
3q29	198287059–1993214468	3	20.4	20.4	14q11.1–14q11.2	018149473–019665348	1	20.4	40.8
4p16.3–4p16.2	000041413–004080171	3	22.4	30.6	14q12	025347676–028443093	1	20.4	20.4
4p16.2–4p16.1	004863024–009205888	3	20.4	30.6	14q12–14q32.31	028746840–101366386	1	20.4	36.7
4p16.1	009410429–009800836	3	20.4	20.4	14q32.33	103609874–105504791	3	20.4	30.6
4q13.2	069057735–069165872	0	38.8	67.3	15q11.1–15q11.2	018683110–020387386	1	24.5	36.7
4q28.3	135481517–138478960	1	22.4	26.5	15q11.2	018683110–019435559	3	20.4	20.4
4q34.1	172444145–173706467	1	20.4	20.4	15q21.3	054364049–055292702	1	20.4	20.4
4q34.2–4q35.1	176641828–183811059	1	20.4	24.5	15q24.1–15q24.2	072125930–073833248	3	20.4	20.4
4q35.1	184728685–184971082	1	20.4	20.4	16p13.3	000028087–003208434	3	22.4	36.7
4q35.1–4q35.2	186466884–190719413	1	20.4	24.5	16p13.2	007398132–007610763	1	20.4	20.4
5p15.33	000075149–004092634	3	20.4	46.9	16p11.2	028394123–031439837	3	20.4	32.7
5p15.32–5p15.2	005757937–010857368	3	20.4	22.4	16q21	057623929–059070656	1	20.4	20.4
5p15.2–5p15.1	014200030–016428467	3	20.4	20.4	16q22.1	065296755–066623461	3	20.4	22.4
5q11.1–5q35.3	049595677–180644869	1	22.4	63.3	16q24.1–16q24.3	083207007–088690615	3	20.4	26.5
6p21.33–6p21.32	031497746–032281493	3	20.4	24.5	17p13.3–17p11.2	000029169–020234630	1	20.4	32.7
6p21.32–6p21.31	033247001–034187994	3	20.4	20.4	17q11.2	023533773–024473421	3	20.4	20.4
6p21.2–6p21.1	040188750–044391792	3	20.4	24.5	17q21.2–17q21.31	037792629–038922402	3	20.4	20.4
6q16.3–6q21	101107740–105665855	1	20.4	22.4	17q21.31	039360337–041458716	3	20.4	20.4
6q21–6q22.2	113047208–117916793	1	20.4	20.4	17q21.32–17q21.33	043930335–046303881	3	20.4	24.5
7p22.2	000140213–003449208	3	20.4	42.9	17q24.3–17q25.3	067481954–078653589	3	20.4	49.0
7p22.2–7p22.1	003871971–005993219	3	20.4	28.6	18p11.32–18p11.23	000004316–008103527	1	20.4	22.4
7p13	043944978–045169498	3	20.4	24.4	18p11.21	013020518–013601674	1	20.4	20.4
7q11.23	072356188–075985576	3	22.4	22.4	18q11.2	019251951–019903282	1	20.4	20.4
7q22.1	099307676–102120122	3	20.4	30.6	18q12.1–18q23	023887204–076111023	1	20.4	36.7
8p23.2–8p23.1	002209252–006655643	1	20.4	22.4	19p13.3–19p13.11	000064418–019716580	3	28.6	53.1
8p23.1	007040596–008140129	1	24.5	32.7	19q12–19q13.42	032981858–061360576	3	20.4	42.9
8p22	013056908–018810539	1	20.4	22.4	20p13–20q13.33	000008747–062379118	3	26.5	57.1
8p21.3–8p21.2	023372368–027249779	1	20.4	24.5	21p11.2	009896630–013600286	1	20.4	34.7
8p21.1–8p12	027678573–037057454	1	20.4	28.6	21q22.3	041606431–046914745	3	20.4	38.8
8q11.1–8q24.3	047062121–146264902	3	20.4	61.2	22q11.21	016646613–019038934	3	22.4	44.9
9p24.3–9p11.2	000153131–044199460	1	20.4	53.1	22q11.21	018989547–018989606	0	20.4	20.4
9p11.2–9q34.3	045419207–140241935	1	20.4	51.0	22q11.21	019835358–020440240	3	20.4	24.5
9p21.3	021698371–022372349	0	20.4	26.5	22q11.23	021944430–022991816	3	20.4	22.4
9p12–9p11.2	041970428–046018111	3	26.5	36.7	22q12.3–22q13.1	034773534–038422701	3	20.4	40.8
9p24.3	000153131–140241935	1	20.4	53.1	22q13.3–22q13.33	049000786–049565875	3	20.4	20.4
9q34.2–9q34.2	135191259–139424835	3	20.4	22.4					

<sup>a</sup>Based on NCBI36/hg18.  
<sup>b</sup>Copy number (If a UC shows copy number heterogeneity, the copy number observed in the major area within the tumor is considered to be the copy number of the UC).  
<sup>c</sup>Lowest incidence of copy number alterations in the chromosomal regions (%).  
<sup>d</sup>Highest incidence of copy number alterations in the chromosomal regions (%).

configuration and higher histological grade, respectively. Loss of 8p22–p21.3 was correlated with tumor configuration. Loss of 10q11.23–q21.1 was correlated with UC recurrence. Loss of 11q13.5–q14.1 was correlated with tumor configuration. Losses of 15q11.2–q22.2 and 15q21.3 were correlated with tumor configuration and recurrence, respectively. Loss of 16p12.2–p12.1 was correlated with vascular involvement of UCs. Loss of 17p13.3–q11.1 was correlated with lymph vessel involve-

ment. Gain of 19q13.12–q13.2 was correlated with lymph vessel involvement and tumor configuration. Gains of 20q13.12–q13.2 and 20q13.12–q13.33 were correlated with higher histological grade and lymph vessel involvement, respectively. On the other hand, although the incidences of 8q gain and 9p, 11p and 14q loss were generally high in UCs, such copy number alterations were not evidently correlated with any clinicopathological parameters.



**Fig. 3.** Correlations between copy number alterations on representative chromosomes and clinicopathological parameters of UCs. The 49 UCs (T1 to T49) were divided into recurrence-negative ( $n = 42$ ) and -positive ( $n = 7$ ) cases (A, C and J), histologically low-grade ( $n = 19$ ) and high-grade ( $n = 30$ ) tumors (B, E, F, H and O), lymph node metastasis (pN)-negative ( $n = 44$ ) and -positive ( $n = 5$ ) tumors (D), lymph vessel involvement (Ly)-negative ( $n = 33$ ) and -positive ( $n = 16$ ) tumors (G, L and M), and papillary ( $n = 28$ ) and non-papillary ( $n = 21$ ) tumors (I, K and N). —, negative; +, positive. The incidence of copy number alterations on chromosomes 1 (A), 2 (B and C), 3 (D), 4 (E), 5 (F), 6 (G), 7 (H), 8 (I), 10 (J), 15 (K), 17 (L), 19 (M and N) and 20 (O) in each of the UC groups is shown. Gains (copy number:  $\geq 3$ ) and losses (copy number: 1 or 0) are indicated in the upper and lower halves, respectively. Copy numbers of 0, 1, 3 and more are shown in dark red, light red, light blue and dark blue, respectively.

*Unsupervised hierarchical clustering of UCs based on array CGH data*

Using two-dimensional unsupervised hierarchical clustering analysis based on copy numbers and all array CGH probes, the 49 UCs were clustered into three subclasses, clusters A, B<sub>1</sub> and B<sub>2</sub> (Figure 4), which contained 4, 12 and 33 tumors, respectively. The average number of probes on which loss (copy number 1 or 0) or gain ( $\geq 3$ ) was detected was significantly higher in cluster A ( $99\,499 \pm 29\,879$ ) than in cluster

B<sub>1</sub> ( $63\,324 \pm 40\,064$ ) and cluster B<sub>2</sub> ( $46853 \pm 35000$ ,  $P = 0.0271$ ). As shown in Table II, the average number of probes on which gain ( $\geq 3$ ) was detected was significantly higher in cluster A than in clusters B<sub>1</sub> and B<sub>2</sub> ( $P = 0.0153$ ), whereas the difference in the average number of probes on which loss (1 or 0) was detected among clusters A, B<sub>1</sub> and B<sub>2</sub> did not reach statistical significance. The average number of probes on which a copy number of  $>3$  was detected was significantly higher in cluster A than in clusters B<sub>1</sub> and B<sub>2</sub> ( $P = 0.0053$ ). These data indicated



that copy number alterations, especially chromosomal gain, were accumulated in cluster A in comparison with clusters B<sub>1</sub> and B<sub>2</sub>.

Correlation between genetic clustering of UCs and DNA methylation status revealed by BAMCA, MSP and COBRA

As shown in Table II, the average number of BAC clones showing DNA hypomethylation was significantly higher in cluster A than in clusters B<sub>1</sub> and B<sub>2</sub> ( $P = 0.0487$ ), whereas there were no significant differences in the average number of BAC clones showing DNA hypermethylation among the three clusters. The incidence of DNA methylation on CpG islands of the p16 and hMLH1 genes and the

MINT-1, MINT-2 and MINT-12 clones was 11 of 49 (detected/analyzed, 22.4%), 1 of 49 (2.0%), 9 of 49 (18.4%), 1 of 49 (2.0%) and 11 of 49 (22.4%), respectively. As shown in Table II, the average number of methylated C-type CpG islands was significantly higher in cluster B<sub>1</sub> than in clusters A and B<sub>2</sub> ( $P = 0.0412$ ). Taken together, the data suggested that copy number alterations associated with overall DNA hypomethylation and regional DNA hypermethylation on C-type CpG islands were accumulated in clusters A and B<sub>1</sub>, respectively, when defined on the basis of copy number alterations.

Discussion

We and other groups have demonstrated copy number alterations in UCs for each chromosome or chromosome arm by Southern blotting, PCR-LOH and CGH analyses (3,4,6,7,9–11,25). Several array CGH analyses of UCs have also been performed using tiling BAC arrays (15,16,18). However, such analyses were unable to define the break points in detail. We here examined copy number alterations in UCs using a high-resolution (244K) oligonucleotide array capable of defining break points more precisely.

Copy numbers not corresponding to whole numbers were detected in the array CGH profiles of some UCs. In such cases, FISH analysis revealed copy number heterogeneity even within a single UC (e.g. cancer cells showing both two signals and one signal can be seen in T4 in Figure 1E). In UCs, heterogeneity of cellular atypia is frequently observed in histological specimens: a small area showing higher grade cellular atypia develops within a low-grade UC or cancer cells gain higher grade cellular atypia before they start to disrupt the basal membrane and invade into subepithelial tissues. It is feasible that copy number heterogeneity corresponds to such histological heterogeneity during the multistep malignant progression of UCs.

Our meticulous examination revealed the clinicopathological impacts of copy number alterations at various chromosomal loci (Figures 2 and 3). Losses (copy number 1 or 0) of 2q33.3–q37.3, 4p15.2–q13.1 and 5q13.3–q35.3 and gains (copy number  $\geq 3$ ) of 7p11.2–q11.23 and 20q13.12–q13.2 were significantly correlated with higher histological grade of UCs. Gain of 7p21.2–p21.12 was significantly correlated with deeper invasion. Losses of 6q14.1–q27 and 17p13.3–q11.1 and gains of 19q13.12–q13.2 and 20q13.12–q13.33 were significantly correlated with lymph vessel involvement. Loss of 16p12.2–p12.1 and gain of 3q26.32–q29 were significantly correlated with vascular involvement. Losses of 5q14.1–q23.1, 6q14.1–q27, 8p22–p21.3, 11q13.5–q14.1 and 15q11.2–q22.2 and gains of 7p11.2–q11.22 and 19q13.12–q13.2 were significantly correlated with tumor configuration (development of a non-papillary

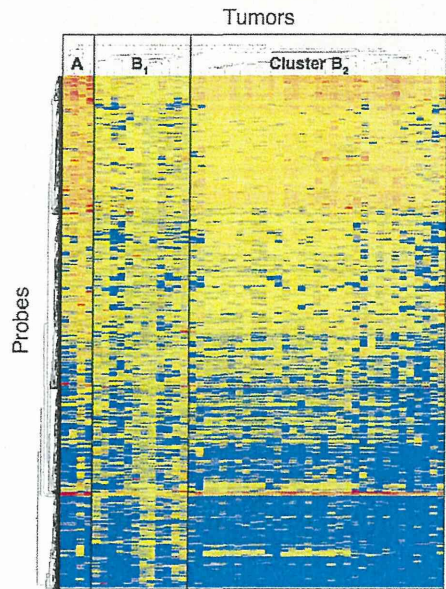


Fig. 4. Unsupervised two-dimensional hierarchical clustering analysis based on array CGH analysis of UCs (T1 to T49). Forty-nine patients with UCs were hierarchically clustered into three subclasses, clusters A ( $n = 4$ ), B<sub>1</sub> ( $n = 12$ ) and B<sub>2</sub> ( $n = 33$ ), based on copy numbers. Copy numbers of 0 or 1 (loss), 2 (no change) and  $\geq 3$  (gain) on each probe are shown in blue, yellow and red, respectively. The cluster trees for tumors and probes are shown at the top and to the left of the panel, respectively.

Table II. Correlation between genetic clustering of UCs and copy number alterations and DNA methylation status

Copy number and DNA methylation status	Genetic clustering of UCs		
	Cluster A	Cluster B <sub>1</sub>	Cluster B <sub>2</sub>
Average numbers of array CGH probes showing copy number alterations			
Loss (1 or 0)	24 525 $\pm$ 15404	40 826 $\pm$ 31644	26 448 $\pm$ 28 462
Gain ( $\geq 3$ )	74 974 $\pm$ 38013 <sup>‡</sup>	22 498 $\pm$ 15484	20 405 $\pm$ 17 369
Gain ( $>3$ )	1897 $\pm$ 1001 <sup>†</sup>	236 $\pm$ 315	209 $\pm$ 361
Average numbers of BAC clones showing DNA methylation alterations			
DNA hypomethylation	312 $\pm$ 44 <sup>‡</sup>	189 $\pm$ 95	236 $\pm$ 91
DNA hypermethylation	334 $\pm$ 85	254 $\pm$ 112	287 $\pm$ 77
Average numbers of C-type CpG islands showing DNA methylation	0.75 $\pm$ 0.96	1.33 $\pm$ 0.98 <sup>§</sup>	0.58 $\pm$ 0.79

\* $P = 0.0153$  to clusters B<sub>1</sub> and B<sub>2</sub>.

<sup>†</sup> $P = 0.0053$  to clusters B<sub>1</sub> and B<sub>2</sub>.

<sup>‡</sup> $P = 0.0487$  to clusters B<sub>1</sub> and B<sub>2</sub>.

<sup>§</sup> $P = 0.0412$  to clusters A and B<sub>2</sub>.



tumor). Possibly affected genes, which are located at such chromosomal loci and for which correlations with growth, motility and invasiveness of tumor cells and tumorigenesis have already been reported, are listed in supplementary Table S2, available at *Carcinogenesis* Online. Significant correlations between copy number alterations on such loci and clinicopathological parameters reflecting the malignant potential of UCs may be at least partly attributable to silencing or activation of the listed genes. Moreover, such chromosomal loci are important targets for exploration of unidentified tumor-related genes that participate in the malignant progression of UCs; the products of such genes may become target molecules for therapy of UCs. In addition, losses of 1p32.2–p31.3, 10q11.23–q21.1 and 15q21.3 were significantly correlated with recurrence of UCs: copy numbers at such chromosomal loci may become indicators for prognostication of patients with UCs (estimation of recurrence risk using surgically resected specimens).

On the other hand, although the incidence of gain of the entire arm of chromosome 8q and losses of the entire arm of chromosomes 9q, 11p and 14q were not significantly correlated with any of the examined clinicopathological parameters reflecting the malignant potential of UCs, the incidence of such copy number alterations was generally high. Such copy number alterations may occur in the earlier stage of development of both papillary and non-papillary UCs. Therefore, gatekeeper genes for urothelial carcinogenesis may exist on 8q, 9q, 11p and 14q. Combinations of the copy numbers of 8q, 9q, 11p and 14q could become applicable as indicators for the early diagnosis of UCs based on examination of urinary sediments and tissue specimens.

Moreover, the incidence of homozygous deletion on only 11 continuous oligonucleotide probes on 4q13.2 was high (67.3%) and the UGT2B17 gene is located within this homozygously deleted lesion. Copy number polymorphism of the UGT2B17 gene is reportedly associated with smoking-related cancer development (37), and a significant association between UCs and smoking has been demonstrated epidemiologically (38). Since there are many family genes, the exact copy numbers of the UGT2B17 gene were evaluated by quantitative PCR using specific primer sets (supplementary Table S3 is available at *Carcinogenesis* Online). Levels of expression of messenger RNA (mRNA) for the UGT2B17 gene normalized relative to the expression of glyceraldehyde-3-phosphate dehydrogenase mRNA were also examined by quantitative reverse transcription (RT)–PCR analysis (supplementary Table S3 is available at *Carcinogenesis* Online) in 37 of the 49 UCs for which RNA samples were available. Quantitative RT–PCR data for the UGT2B17 gene in 28 UCs showing homozygous deletion (copy number 0) was  $3.53 \pm 6.40$ , being significantly lower than that in 9 UCs not showing it ( $61.61 \pm 98.32$ ,  $P = 0.008176$ ). Since the homozygous deletion actually resulted in gene silencing, the correlation between the copy number of the UGT2B17 gene and susceptibility to UCs should be further examined.

UCs were grouped into three subclasses, clusters A, B<sub>1</sub> and B<sub>2</sub>, based on copy number alterations. In cluster A, copy number alterations, especially chromosomal gains, revealed by array CGH analysis, and DNA hypomethylation revealed by BAMCA were both accumulated in a genome-wide manner. DNA hypomethylation may result in chromosomal instability through changes in chromatin configuration and enhancement of chromosomal recombination (39). Although such correlation between DNA hypomethylation and chromosomal instability has been observed in experimental models (40) and human immunodeficiency, centromeric instability and facial anomalies syndrome (41) and cancers (25,42), details of the DNA methylation status around each of the chromosome breakpoints are still unclear. UCs in cluster A may be ideal for examination of DNA methylation status around breakpoints to further clarify the molecular mechanisms responsible for chromosomal instability resulting from DNA methylation alterations. Cluster B<sub>1</sub> showed accumulation of regional DNA hypermethylation on C-type CpG islands. In addition, chromosomal losses tended to be accumulated in cluster B<sub>1</sub> in comparison with clusters A and B<sub>2</sub>, although such differences did not reach statistical significance. The cancer phenotype associated with accumulation of DNA methylation on

C-type CpG islands is defined as the CpG island methylator phenotype, and such accumulation is generally associated with frequent silencing of tumor-related genes due to DNA hypermethylation only and/or a two-hit mechanism involving DNA hypermethylation and LOH in human cancers of various organs (22). Silencing of tumor-related genes due to DNA hypermethylation and chromosomal losses may be critical for the development of UCs belonging to cluster B<sub>1</sub>. In cluster B<sub>2</sub>, the number of BAC clones showing both DNA hypo- and hypermethylation by BAMCA was rather high, and the number of probes showing loss or gain by array CGH was rather low, in comparison with cluster B<sub>1</sub>, although such differences did not reach statistical significance. In addition to copy number alterations, genome-wide DNA methylation alterations may also participate in the development of UCs belonging to cluster B<sub>2</sub>.

The number of CpG sites in CpG islands and repetitive sequences in 5' regions, introns, exons and non-coding regions on BAC clones showing DNA hypomethylation in UCs are summarized in supplementary Table S4, available at *Carcinogenesis* Online. DNA hypomethylation was observed in BAC clones including both CpG islands and repetitive sequences, possibly resulting in activation of tumor-related genes and/or parasitic elements and loss of chromosomal integrity.

Silencing of representative genes on affected chromosomal loci was confirmed using quantitative RT–PCR analysis (supplementary Table S3 is available at *Carcinogenesis* Online). Although DNA methylation of the p16 gene was detected using MSP, quantitative examination using pyrosequencing (supplementary Table S3 is available at *Carcinogenesis* Online) revealed generally low DNA methylation levels ( $1.82 \pm 0.65\%$ ) in all UCs. Therefore, correlations between copy numbers based on array CGH analysis and mRNA expression levels based on quantitative RT–PCR analysis were examined. The p16 gene was silenced in 11 UCs showing homozygous deletion (copy number, 0; quantitative RT–PCR data,  $1.24 \pm 1.20$ ), whereas the mRNA expression level in 27 UCs not showing it was  $104.1 \pm 205.11$  ( $P = 0.00000357$ ). On the other hand, the DNA methylation level of the CXCL12 gene was  $12.59 \pm 18.43\%$  for the UCs as a whole. The CXCL12 gene was silenced in 2 UCs with DNA methylation levels of  $\geq 50\%$  (mRNA expression level:  $1.81 \pm 1.00$ ) but not in 34 UCs with DNA methylation levels of  $< 50\%$  (mRNA expression level:  $24.45 \pm 34.04$ ). The level of expression of mRNA for the ERBB4 gene in 18 UCs showing a DNA methylation level of  $\geq 5\%$  and/or chromosomal loss (copy number 0 or 1) was  $59.1 \pm 101.2$  and tended to be lower than that in 20 UCs with a DNA methylation level of  $< 5\%$  and a copy number of 2 ( $128.4 \pm 259.3$ ), suggesting the possibility of inactivation due to a combination of DNA hypermethylation and chromosomal loss, although such differences did not reach statistically significant levels. Taken together, the data suggest that genetic and epigenetic alterations (copy number alterations and DNA methylation alterations) are not mutually exclusive during urothelial carcinogenesis. Reflecting the clinicopathological diversity and histological heterogeneity of UCs, genetic and epigenetic events appear to accumulate in a complex manner during the developmental stage of individual tumors.

### Supplementary material

Supplementary Tables S1–S4 can be found at <http://carcin.oxfordjournals.org/>

### Funding

Grant-in-Aid for the Third Term Comprehensive 10 Years Strategy for Cancer Control (H19-3-002) from the Ministry of Health, Labor and Welfare of Japan; Grant-in-Aid for Cancer Research (21-2-2) from the Ministry of Health, Labor and Welfare of Japan; Grant (P06012) from the New Energy and Industrial Technology Development Organization (NEDO); Program for Promotion of Fundamental Studies in Health Sciences (10–42) of the National Institute of Biomedical Innovation (NiBio).



## Acknowledgements

N.N. is an awardee of a research resident fellowship from the Foundation for Promotion of Cancer Research in Japan.

*Conflicts of Interest Statement:* None declared.

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Received August 11, 2010; revised December 1, 2010; accepted December 11, 2010



## Review Article

# Genetic and epigenetic alterations during renal carcinogenesis

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Received December 10, 2010; accepted December 11, 2010; Epub December 13, 2010; published January 1, 2011

**Abstract:** Renal cell carcinoma (RCC) is not a single entity, but comprises a group of tumors including clear cell RCC, papillary RCC and chromophobe RCC, which arise from the epithelium of renal tubules. The majority of clear cell RCCs, the major histological subtype, have genetic or epigenetic inactivation of the *von Hippel-Lindau (VHL)* gene. Germline mutations in the *MET* and *fumarate hydratase (FH)* genes lead to the development of type 1 and type 2 papillary RCCs, respectively, and such mutations of either the *TSC1* or *TSC2* gene increase the risk of RCC. Genome-wide copy number alteration analysis has suggested that loss of chromosome 3p and gain of chromosomes 5q and 7 may be copy number aberrations indispensable for the development of clear cell RCC. When chromosome 1p, 4, 9, 13q or 14q is also lost, more clinicopathologically aggressive clear cell RCC may develop. Since renal carcinogenesis is associated with neither chronic inflammation nor persistent viral infection, and hardly any histological change is evident in corresponding non-tumorous renal tissue from patients with renal tumors, precancerous conditions in the kidney have been rarely described. However, regional DNA hypermethylation on C-type CpG islands has already accumulated in such non-cancerous renal tissues, suggesting that, from the viewpoint of altered DNA methylation, the presence of precancerous conditions can be recognized even in the kidney. Genome-wide DNA methylation profiles in precancerous conditions are basically inherited by the corresponding clear cell RCCs developing in individual patients: DNA methylation alterations at the precancerous stage may further predispose renal tissue to epigenetic and genetic alterations, generate more malignant cancers, and even determine patient outcome. The list of tumor-related genes silenced by DNA hypermethylation has recently been increasing. Genetic and epigenetic profiling provides an optimal means of prognostication for patients with RCCs. Recently developed high-throughput technologies for genetic and epigenetic analyses will further accelerate the identification of key molecules for use in the prevention, diagnosis and therapy of RCCs.

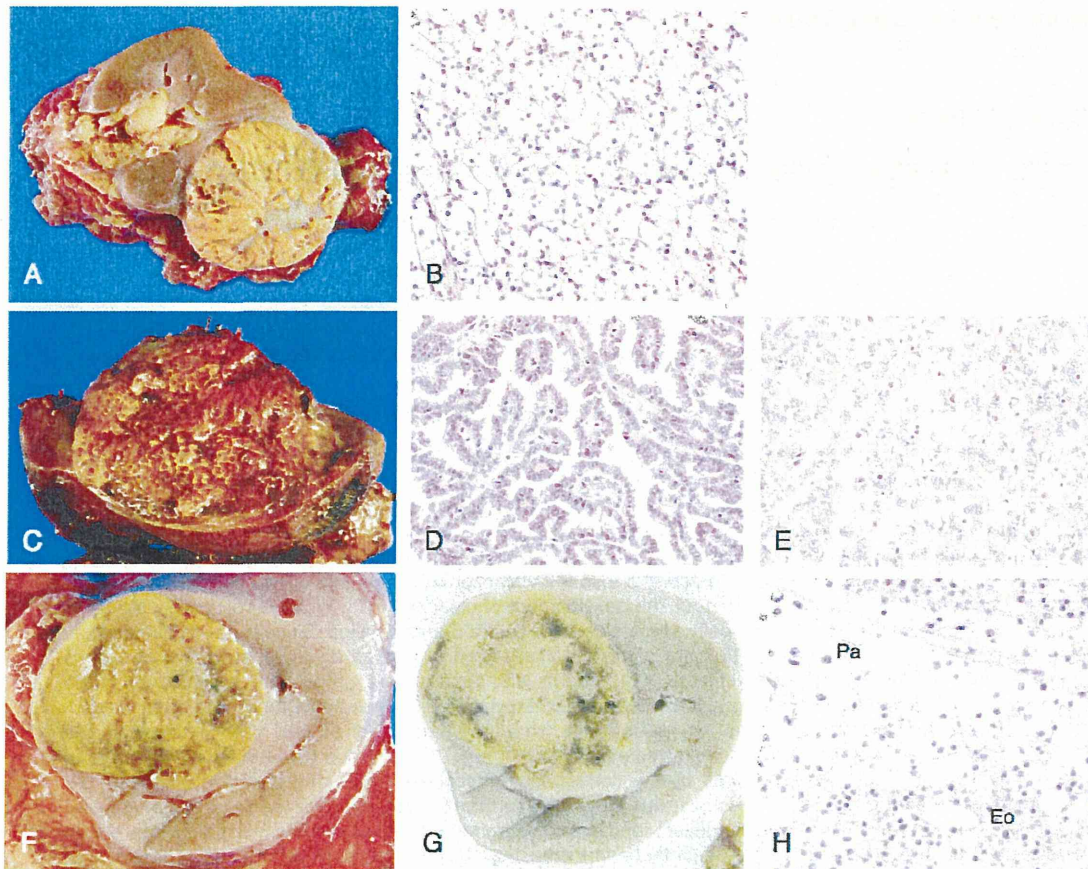
**Keywords:** Renal cell carcinoma, copy number alteration, DNA methylation, precancerous condition, prognostication

## Introduction: etiology and pathology

Worldwide about 271,000 cases of kidney cancer have been diagnosed and 116,000 persons have died because of kidney cancer [1]. In the United States, 57,000 cases of kidney cancer have been diagnosed and 14,000 persons have died. The majority of kidney cancers (80-85%) are renal cell carcinomas (RCCs) originating from the renal parenchyma. The remaining 15-20% are mainly urothelial carcinomas of the renal pelvis. Kidney cancer accounts for 2% of all adult malignancies, with a male to female ratio of 3:2 among affected patients [1]. The incidence of RCC peaks in the sixth decade of life, 80% of cases affecting the 40- to 69-year-

old age group [2]. The incidence of RCC has been rising steadily each year in Europe and the United States over the last three decades. It is generally highest in Western and Eastern European countries and Scandinavia, as well as in Italy, North America, Australia and New Zealand. The lowest rates are reported in Asia and Africa. This regional variation in the incidence of RCC (more than ten-fold) suggests the strong role of environmental risk factors [3]. However, it is difficult to ascribe a definite and direct cause for this cancer. Smoking and chemical carcinogens such as asbestos and organic solvents are related to renal tumorigenesis. Obesity and hypertension and/or use of antihypertensive medication have been consistently reported to be





**Figure 1.** Macroscopic (A, C, F and G) and microscopic (B, D, E and H) views of a clear cell RCC (A and B), papillary RCCs (C, D and E) and a chromophobe RCC (F, G and H). **A.** Clear cell RCCs commonly protrude from the renal cortex as a rounded mass. Their cut surfaces are typically golden yellow, and necrosis and hemorrhage are commonly present. **B.** Clear cell RCCs typically have cytoplasm filled with lipids and glycogen and show an alveolar architecture. **C.** Papillary RCCs frequently contain areas of hemorrhage, necrosis and cystic degeneration. **D.** Type 1 papillary RCCs consist of papillae covered with a single or double layer of small cuboid cells with scanty cytoplasm. **E.** Type 2 papillary RCCs consist of papillae covered by large eosinophilic cells arranged in an irregular or pseudo-stratified manner. **F.** Chromophobe RCCs are solid circumscribed tumors with slightly lobulated surfaces. In unfixed specimens, the cut surface is homogeneously light brown or tan. **G.** Macroscopic view of the same chromophobe RCC after formalin fixation. The cut surface of chromophobe RCCs turns grayish-beige. **H.** Chromophobe RCCs consist of tumor cells with abundant eosinophilic cytoplasm (pale cells [Pa] and eosinophilic cells with a perinuclear halo [Eo]) and show mainly a solid structure.

positively associated with RCC risk [2].

RCC is not a single entity, but comprises a group of tumors that arise from the epithelium of renal tubules [4]. Clear cell RCC is the most common histological subtype (**Figure 1A**). Typically, the cells have cytoplasm filled with lipids and glycogen, are surrounded by a distinct cell membrane and contain round and uniform nuclei,

and show an alveolar, acinar, cystic and solid architecture (**Figure 1B**). First, based simply on cytologic and histologic criteria, papillary RCCs (**Figure 1C**) can be divided into two morphologic groups, type 1 and type 2: type 1 papillary RCCs consist of papillae covered with a single or double layer of small cuboid cells with scanty cytoplasm (**Figure 1D**), and type 2 papillary RCCs consist of papillae covered by large eosinophilic



cells arranged in an irregular or pseudo-stratified manner (**Figure 1E**) [5]. Chromophobe RCC consists of tumor cells with abundant eosinophilic cytoplasm (pale cells and eosinophilic cells with a perinuclear halo) and show mainly a solid structure (**Figure 1F to 1H**) [5]. Clear cell RCC and papillary RCC are derived from the proximal convoluted tubule, whereas the origin of chromophobe RCC is the distal tubule/collecting tubule. Certain inherited disorders such as von Hippel-Lindau (VHL) disease, hereditary papillary RCC and Birt-Hogg-Dube (BHD) syndrome enhance the risk of acquiring clear cell RCC, papillary RCC and chromophobe RCC, respectively [6].

### Genetic alterations in RCCs

#### *Tumor-related genes and their role in renal carcinogenesis*

The World Health Organization (WHO) classification has introduced genetic alterations as a hallmark of certain histological subtypes of RCC, e.g. clear cell RCC is characterized by loss of chromosome 3p and inactivation of the *VHL* gene at 3p25.3 due to mutation or DNA methylation around the promoter region [7], although the classification of RCC is based largely on histology. The product of *VHL* is a 3-kDa protein with multiple functions, the best documented of which relates to its role as the substrate-recognition component of the E3-ubiquitin ligase complex. This complex is best known for its ability to target hypoxia-inducible factors (HIFs) for polyubiquitination and proteasomal degradation [8]. Under hypoxic conditions, HIF-1 $\alpha$  and HIF-2 $\alpha$  accumulate and form heterodimers with HIF-1 $\beta$  and translocate to the nucleus where they induce transcription of downstream target genes including vascular endothelial growth factor (VEGF). The absence of wild-type VHL promotes inappropriate activation of downstream target genes and contributes to tumorigenesis [9]. Additionally, VHL protein has functions that are independent of HIF-1 $\alpha$  and HIF-2 $\alpha$  and are thought to be important for its tumor-suppressor action, assembly of the extracellular matrix, control of microtubule dynamics, regulation of apoptosis, and possibly stabilization of TP53 proteins [10].

Patients with gain-of-function germline mutations in the *MET* gene develop type 1 papillary RCC. *MET* encodes a transmembrane receptor

tyrosine kinase whose ligand is hepatocyte growth factor (HGF). Activation of *MET* by HGF triggers tyrosine kinase activity, which facilitates several transduction cascades resulting in multiple cellular processes such as mitogenesis and migration. However, the incidence of *MET* mutations in sporadic papillary RCC is not high (about 10%) [11]. Patients with germline mutations in the *fumarate hydratase* (*FH*) gene develop type 2 papillary RCC [12]. VHL recognition of HIF requires hydroxylation by HIF prolyl hydroxylase (HPH), and FH activates HPH. *FH* mutation promotes tumorigenesis via HIF protein accumulation due to HPH dysfunction. Unlike the gain-of-function mutation of the *c-kit* (*KIT*) gene, overexpression of KIT is frequent in chromophobe RCC [13]: KIT is a type III receptor tyrosine kinase that has a role in cell signal transduction. Normally KIT is phosphorylated upon binding to its ligand, stem cell factor. This leads to a phosphorylation cascade ultimately activating various transcription factors. Such activation regulates apoptosis, cell differentiation, proliferation, chemotaxis, and cell adhesion. Although germline mutations of the *BHD* gene, which encodes folliculin, have been detected in 80% of BHD kindreds, the incidence of the mutation in sporadic chromophobe RCC is very low. Tuberous sclerosis complex (TSC) has been linked to germline inactivating mutations of either of *TSC1* (9q34) encoding hamartin or *TSC2* (16p13.3) encoding tuberin, and affected patients have an increased risk of developing renal tumors including clear cell RCC, papillary RCC and chromophobe RCC [3]. The TSC1/TSC2 protein complex inhibits mammalian target of rapamycin (mTOR) protein and is involved in signaling pathways that regulate cell growth. Although the Eker rat model with a germline insertion in the *Tsc2* gene develops dominantly inherited cancers [14], the role of TSC1 and TSC2 in human sporadic RCC is unclear.

Other known cancer genes that are frequently mutated in adult epithelial cancers, for example *RAS*, *v-raf murine sarcoma viral oncogene homolog B1* (*BRAF*), *TP53*, *retinoblastoma* (*RB*), *cyclin-dependent kinase inhibitor 2A* (*CDKN2A*), *phosphoinositide-3-kinase, catalytic alpha polypeptide* (*PIK3CA*), *phosphatase and tensin homolog* (*PTEN*), *epidermal growth factor receptor* (*EGFR*) and *v-erb-b2 erythroblastic leukemia viral oncogene homolog 2* (*ERBB2*), make only a small contribution to clear cell RCC [15]. Recently somatic truncating mutations in the *neu-*