

Conclusion

Management of HCC in Japan has been described by citing the recently published 'Clinical Practice Manual for HCC' authored by an expert panel of the JSH [9]. This is a consensus-based practice manual, not an evidence-based practice guideline. This manual was established after extensive consideration by combining evidence-based guidelines and the consensus opinions on HCCs of an expert panel. Therefore, no conflict exists between these two documents.

The consensus-based manual presented here includes much detail on the diagnosis and treatment of HCC.

However, there are several issues that have no scientific evidence-based support in the diagnostic and treatment algorithm in this manual. In that sense, further extensive efforts involving prospective studies are essential to confirm the validity of this manual and consequently to improve the Evidence-Based Clinical Practice Guidelines for HCC.

Disclosure Statement

The authors have no conflict of interest to declare.

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Effect of Aging on Risk for Hepatocellular Carcinoma in Chronic Hepatitis C Virus Infection

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An increase in the aging population is an impending problem. A large cohort study was carried out to determine the influence of aging and other factors on hepatocarcinogenesis in patients treated with interferon. Biopsy-proven 2547 chronic hepatitis C patients registered at our referral center since 1992 were included. Of these, 2166 were treated with interferon-based therapy. Incidences of hepatocellular carcinoma (HCC) associated with interferon were analyzed by Kaplan-Meier and person-years methods for an average follow-up of 7.5 years. Factors associated with HCC risk were determined by Cox proportional hazard analysis. HCC developed in 177 interferon-treated patients. The risk for HCC depended on age at primary biopsy and increased more than 15-fold after 65 years of age. Even when stratified by stage of fibrosis, the cumulative and annual incidences of HCC were significantly higher in older patients than in younger patients ($P < 0.001$) at the same stage of fibrosis, except for cirrhosis. Progression of fibrosis over time was significantly accelerated in older patients. The impact of viral eradication on HCC prevention was less significant in older patients than in younger patients. Multivariate analysis confirmed that age, gender, liver fibrosis, liver steatosis, total cholesterol level, fasting blood sugar level, baseline and postinterferon alpha-fetoprotein level, and virological response to interferon were independent risk factors associated with HCC. Aging was the strongest risk factor for a nonvirological response to interferon-based antiviral therapy. **Conclusion:** Elderly patients are at a higher risk for HCC. Hepatitis C viral eradication had a smaller effect on hepatocarcinogenesis in older patients. Patients should therefore be identified at an earlier age and treatment should be initiated. (HEPATOLOGY 2010;52:518-527)

Primary liver cancer is the third most common cause of cancer mortality worldwide,¹ and hepatocellular carcinoma (HCC) is one of the most frequent primary liver cancers.^{2,3} Infection with hepatitis C virus (HCV) is a common cause of chronic hepatitis, which progresses to HCC in many patients.⁴ The prevalence of older patients has been increasing in

Japan, and this is an impending problem in other countries where viral spread has occurred more recently.⁵ The number of Americans older than 65 years is expected to double by the year 2030.⁶ In Western Europe, people older than 65 years already constitute 15%-18% of the population⁷; thus, aging patient who is chronically infected with HCV is

Abbreviations: AFP, alpha-fetoprotein; HBc, hepatitis B core; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; NASH, nonalcoholic steatohepatitis; SVR, sustained virological response.

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one of the most important issues confronted by physicians.

Viral eradication with interferon-based therapy for chronic hepatitis C has been shown to prevent HCC by studies conducted in Japan and Italy.⁸⁻¹¹ However, this finding is controversial according to another study conducted in Europe and Canada,¹² in which viral eradication did not significantly reduce the risk for HCC in 479 consecutively treated patients. The likelihood of development of HCC among interferon-treated patients is difficult to determine because of the paucity of adequate long-term cohort studies. Moreover, in patients who are treated with interferon the effect of certain factors, including aging, on the risk for HCC remains unclear. Furthermore, the benefit of viral eradication with interferon-based therapy, including pegylated interferon and ribavirin combination therapy, in older patients remains unknown. To further clarify this, we conducted a large-scale, long-term cohort study and analyzed the influence of aging and other host and virological factors in patients treated with interferon.

Patients and Methods

Patients. Consecutive patients ($n = 2547$) chronically infected with HCV who underwent liver biopsy between 1992 and January 2008 at our referral center were enrolled. Of these, 2166 patients were treated with interferon-based antiviral therapy, whereas 381 patients did not receive interferon treatment (Fig. 1). All patients had histologically proven chronic hepatitis or cirrhosis. HCV infection was proven in all patients by identification of HCV RNA. Patients with a history of HCC, autoimmune hepatitis, or primary biliary cirrhosis were excluded. We also excluded patients who had a history of excessive alcohol consumption (50 g/day) and confirmed alcohol abstinence during follow-up. No patient was positive for hepatitis B surface antigen or antihuman immunodeficiency virus antibody. Written informed consent was obtained from all patients. The study was approved by the Ethical Committee of Musashino Red Cross Hospital in accordance with the Declaration of Helsinki.

Histological Evaluation. A liver biopsy specimen was obtained laparoscopically using 13G needles. When laparoscopy was impossible, ultrasound-guided liver biopsy was performed with 15G needles ($n = 254$). The mean length of the specimen was 18 mm (range 12-40 mm), and the mean number of portal tracts was 17 (range 8-34). Liver biopsy specimens

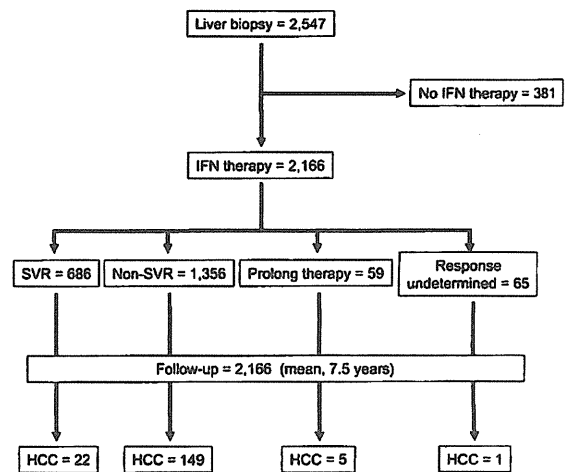


Fig. 1. Clinical outcomes of the patients enrolled in the present study. HCC, hepatocellular carcinoma; SVR, sustained virological response.

were scored by board-certified pathologists for stage of fibrosis and grade of inflammatory activity according to the classification of Desmet et al.¹³ Additional macroscopic pathological information was obtained from laparoscopic findings. The percentage of steatosis was quantified by determining the average proportion of hepatocytes affected by steatosis. In this study, superimposed nonalcoholic steatohepatitis (NASH) was defined as a central pattern of colocalization of hepatic steatosis and hepatocyte ballooning with pericellular/perisinusoidal fibrosis or Mallory hyaline.

Interferon Treatment. Among the 2166 patients treated with interferon-based antiviral therapy, 1062 patients received interferon-alpha or beta monotherapy either for 24 weeks ($n = 1003$) or for 2 to 5 years ($n = 59$); 386 patients received interferon-alpha and ribavirin combination therapy for 24 weeks; 306 received pegylated interferon-alpha monotherapy for 48 weeks; and 412 received pegylated interferon-alpha and ribavirin combination therapy for 48 weeks. All interferon treatment was initiated within 48 weeks after liver biopsy.

Definitions of Response to Interferon Therapy. A patient negative for serum HCV RNA after the first 6 months of completion of interferon-based therapy was defined as a sustained viral responder. HCV RNA was determined by the qualitative Amplicor or TaqMan HCV assay (Roche Molecular Diagnostics, Tokyo, Japan).

Data Collection and Patient Follow-up. Data on patient characteristics, biochemical data, hematological

data, virological data, histological data, and treatment details were collected at enrollment. Age was determined at primary liver biopsy. Patients were examined for HCC with abdominal ultrasonography, dynamic computed tomography, and/or magnetic resonance imaging every 3-6 months. Serum alpha-fetoprotein (AFP) levels were measured every 1-2 months. This screening program constitutes the standard of care in Japan. To evaluate the effect of interferon-induced AFP reduction on hepatocarcinogenesis, the average AFP level after interferon treatment was calculated in each patient. HCC diagnosis was confirmed with needle biopsy, surgically resected specimens, or typical radiological findings diagnosed by board-certified radiologists. Figure 1 shows the schema for patient follow-up and clinical outcomes.

The start date of follow-up was the date of primary liver biopsy and the endpoint of follow-up was the development of HCC or the latest medical attendance until January 2009. The mean follow-up period was 7.5 years (range 0.5-17 years). The factors associated with development of HCC were retrospectively analyzed.

Change in Fibrosis Staging Over Time. To evaluate change in fibrosis staging over time, 271 patients who had not achieved a sustained virological response (SVR) with interferon therapy underwent a sequential biopsy after the initial biopsy. The interval between the paired biopsies was on average 4.8 years (range 0.7-14 years). The yearly rate of progression of fibrosis was calculated as the change in fibrosis staging divided by the time between paired biopsies.

Statistical Analysis. Categorical data were compared by the chi-square test and Fisher's exact test. Distributions of continuous variables were analyzed with Student's *t* test or the Mann-Whitney *U* test for two groups. All tests of significance were two-tailed and a *P* value of <0.05 was considered statistically significant. The cumulative incidence curve was determined with the Kaplan-Meier method and differences among groups were assessed using the log-rank test. Factors associated with HCC risk and virological response to interferon therapy were determined by the Cox proportional hazard model and logistic regression analysis, respectively. To depict the role of aging in developing risk for HCC, the multivariate Cox proportional hazard model was used after adjusting for stage of liver fibrosis, steatosis, and virological response to interferon. A polynomial regression was used to fit risk ratios for segments of the age distribution. Statistical analyses were performed using the Statistical Package for the Social Sciences software version 11.0 (SPSS, Chicago, IL).

Results

Patient Characteristics. Patient characteristics at the time of enrollment are shown in Table 1. The distribution of stages of liver fibrosis differed between younger and older patients, indicating the need to adjust for stage of liver fibrosis when comparing the two subgroups.

Response to Interferon Therapy. The response to interferon therapy was determined in 2042 (97.2%) of the interferon-treated patients, excluding those who received prolonged interferon treatment at the endpoint. SVR rates are shown in Table 1. The percentage of patients showing SVR was significantly lower in older patients (≥ 65 years) than in younger patients (<65 years) ($P < 0.001$). Overall response rates to the different types of interferon therapy were as follows: interferon monotherapy, 31.5% (312/992); interferon-alpha and ribavirin combination therapy, 28.6% (108/378); pegylated interferon-alpha monotherapy, 37.9% (108/285); and pegylated interferon-alpha and ribavirin combination therapy, 41.1% (159/387). Response rates in genotype-1 patients ($n = 1347$) were 20.6% (114/554), 17.9% (29/162), 18.9% (56/297), and 36.8% (123/334), and those in nongenotype-1 patients ($n = 565$) were 52.2% (163/312), 63.1% (77/122), 65.0% (52/80), and 70.6% (36/51). Overall response rates of interferon and pegylated interferon monotherapy seem to be high because of the high response rates in the nongenotype-1 patients treated with these regimens.

Overall Cumulative Incidence of HCC. During follow-up, HCC developed in 177 interferon-treated patients (Fig. 1). The cumulative incidence of HCC 5, 10, and 15 years after interferon therapy was 4.7%, 11.6%, and 15.5%, respectively. The cumulative incidence in SVR patients was 2.1%, 4.3%, and 4.3%, respectively, which was significantly lower than that in non-SVR patients (5.8%, 14.9%, and 20.2%, respectively; log-rank test, $P < 0.001$).

Effect of Aging on Risk for HCC. The risk ratio determined by multivariate Cox proportional hazards analysis after adjustment for stage of liver fibrosis, degree of liver steatosis, and virological response to interferon demonstrated that the risk for HCC after interferon treatment was age-dependent and increased predominantly when the age at primary liver biopsy was >65 years (Fig. 2A). Hence, we defined older patients as those ≥ 65 years of age at primary liver biopsy and younger patients as those aged <65 years. As shown in Fig. 2B, the cumulative incidence of HCC was significantly higher in older patients than in younger patients (log-rank test, $P < 0.001$).

Table 1. Characteristics of Patients Enrolled in the Present Study

Characteristics	Total	<65 year	≥65 year	P Value*
Patients, n	2166	1614	552	
Sex, n (%)				<0.001†
Male	1080 (49.9)	840 (52.0)	240 (43.6)	
Female	1086 (50.1)	774 (48.0)	312 (56.4)	
Age (SD), year	55.4 (12.1)	51.1 (10.8)	68.4 (2.9)	<0.001‡
BMI (SD), kg/m ²	23.3 (3.1)	23.4 (3.0)	23.3 (3.1)	0.9‡
Fibrosis stage, n (%)				<0.001†
F0	27 (1.3)	24 (1.5)	3 (0.5)	
F1	860 (39.7)	704 (43.6)	156 (28.2)	
F2	733 (33.8)	515 (31.9)	218 (39.5)	
F3	444 (20.5)	301 (18.6)	143 (25.9)	
F4	102 (4.7)	70 (4.3)	32 (5.8)	
%Severe steatosis (≥10%)	27.6	27.1	29.3	0.4†
ALT level (SD), IU/L	95 (18)	101 (119)	76 (58)	<0.001‡
HCV load (SD), KU/mL	880 (1046)	861 (1016)	924 (1116)	0.2‡
HCV genotype, n (%)				<0.001†
1a	7 (0.3)	5 (0.3)	2 (0.4)	
1b	1414 (69.6)	1036 (68.9)	378 (71.3)	
2a	373 (18.3)	273 (18.2)	100 (18.9)	
2b	211 (10.4)	164 (10.9)	47 (8.9)	
Others	28 (1.4)	25 (1.7)	3 (0.6)	
Duration (SD), year	7.5 (4.4)	8.1 (4.4)	5.8 (3.7)	<0.001‡
IFN regimen, n (%)				<0.001†
IFN mono	1062 (49.0)	833 (51.6)	229 (41.5)	
PEG-IFN mono	306 (14.1)	200 (12.4)	106 (19.2)	
IFN + RBV	386 (17.8)	291 (18.0)	95 (17.2)	
PEG-IFN + RBV	412 (19.0)	290 (18.0)	122 (22.1)	
SVR, n (%)	686 (33.6)§	565 (36.6)¶	121 (24.3)¶¶	<0.001‡

Unless otherwise indicated, data are given as the mean (SD).

ALT, alanine aminotransferase; BMI, body mass index; HCV, hepatitis C virus; IFN, interferon; N/A, not applicable; PEG, pegylated; RBV, ribavirin; SVR, sustained virological response.

*Comparison between <65 years and ≥65 years.

†Chi-squared test.

‡Student t test.

§Virological responses were determined in 2042 patients.

¶Virological responses were determined in 1545 patients.

¶¶Virological responses were determined in 497 patients.

As shown in Fig. 2C-E, even when stratified by stage of fibrosis the cumulative incidences among patients at stages F0/F1, F2, and F3 were significantly greater in older patients than in younger patients (log-rank test, $P < 0.001$). These differences were not significant among patients with cirrhosis (Fig. 2F, log-rank test, $P = 0.7$).

The annual incidence of HCC after interferon treatment was calculated by the person-years method (Table 2); it increased with the degree of liver fibrosis from 0.2% (F0 or F1) to 4.6% (F4) and was higher among older patients at the same stage of liver fibrosis.

Among the 177 patients with HCC, 92 showed evidence of a single blood transfusion. We analyzed the relationship between duration of infection and age in these 92 patients. A significant and strong negative correlation was found between the interval from blood transfusion to development of HCC and the age of the patients at the time of blood transfusion ($r =$

-0.74 , $P < 0.001$) (Fig. 3A). The mean duration of chronic infection was 22.0 years in patients who had received blood transfusion at >40 years of age, which was significantly shorter than that in patients who received it at ≤ 40 years of age (40.6 years, $P < 0.001$).

The presence of cirrhosis at the time of development of HCC, which was defined as having any of the following criteria, was evaluated: (1) histological evidence for cirrhosis, (2) findings of cirrhosis in any radiological study, or (3) presence of marked portal hypertension (i.e., presence of esophagogastric varices). Following this, 142 of the 177 with HCC (80.2%) were diagnosed as having cirrhosis, of which 42 were diagnosed histologically, 69 radiologically, and 31 based on the presence of marked portal hypertension. No significant difference was found in the proportion of patients with cirrhosis between older and younger patients, at the rate of 78.3% (94/120) in older

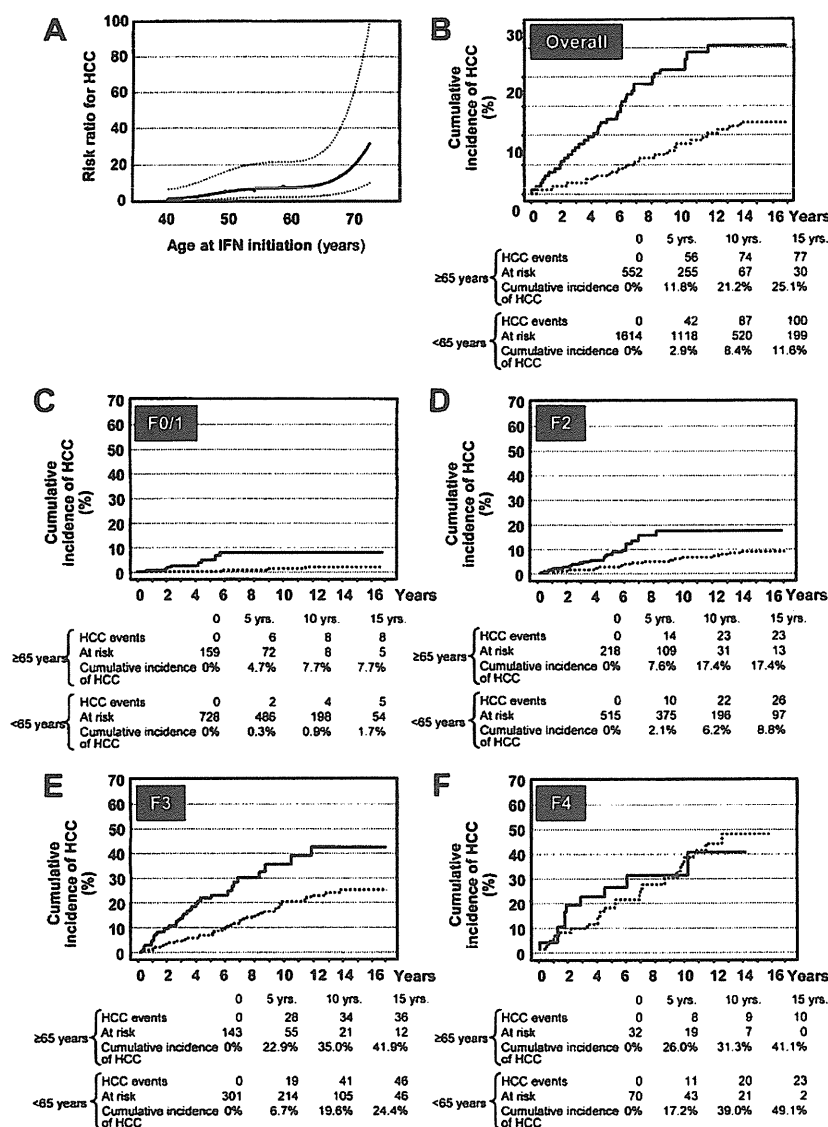


Fig. 2. Effect of aging on the risk for HCC. (A) Risk ratio (solid line) and 95% CI (dotted lines) for the risk of HCC according to age. To show the age-dependent relationship, a multivariate Cox proportional hazard model was used after adjustment for gender, stage of liver fibrosis, body mass index, and virological response to interferon therapy. Curves were fitted using polynomial regression. (B-F) Cumulative incidence of HCC after interferon therapy among younger (<65 years, n = 552, dotted line) and older patients (≥65 years, n = 1614, solid line). (B) Overall data, P < 0.001. (C) Patients with stage F0 or F1 liver fibrosis (no or mild fibrosis with portal expansion), P < 0.001. (D) Patients with stage F2 liver fibrosis (bridging fibrosis without architectural distortion), P < 0.001. (E) Patients with stage F3 liver fibrosis (bridging fibrosis with architectural distortion), P < 0.001. (F) Patients with stage F4 liver fibrosis (cirrhosis), P = 0.7. All P values were obtained by the log-rank test. The numbers of HCC events and patients at risk at each timepoint are shown below the graphs.

patients and 84.2% (48/57) in younger patients (P = 0.36, comparison at the age of HCC development).

Influence of Aging on Progression in Fibrosis Staging Over Time. In 271 patients who underwent paired biopsies, fibrosis staging progressed in 69 patients (25.5%), remained unchanged in 154 (56.8%), and regressed in 48 patients (17.7%). The overall rate of progression of fibrosis in these patients was 0.06 ± 0.02 fibrosis stages per year. Progression of fibrosis over time was significantly accelerated in older patients than in younger patients (0.21 ± 0.10 versus 0.03 ± 0.21 fibrosis stages per year, P = 0.03, Mann-Whitney U test) (Fig. 3B).

Effect of Viral Eradication on Risk for HCC in Older Patients. As shown in Fig. 4, the effect of viral eradication on the prevention of HCC was less significant in older patients than in younger patients. The annual incidence was higher among older patients than among younger patients with the same virological response (Table 2).

Influence of Liver Steatosis on Risk for HCC. The cumulative incidence of HCC after interferon therapy was significantly higher in patients with severe steatosis (≥10%) than in those with milder steatosis (at 5, 10, and 15 years: 8.6%, 19.1%, 32.0% versus 1.8%, 4.8%, 7.0%, respectively, log-rank test, P < 0.001).

Table 2. Annual Incidence of HCC After IFN Treatment

Factors	Total	<65 Years	≥65 Years
Fibrosis stage			
F0/F1	0.2%	0.1%	0.9%
F2	0.8%	0.6%	1.7%
F3	2.5%	1.8%	4.6%
F4	4.6%	4.4%	5.1%
Total	1.1%	0.8%	2.4%
Degree of liver steatosis			
<10%	0.5%	0.2%	1.4%
≥10%	2.0%	1.8%	3.0%
Virological response			
SVR	0.4%	0.2%	1.3%
Non-SVR	1.4%	1.0%	2.9%

Data were calculated by the person-years method. IFN, interferon; SVR, sustained virological response.

The annual incidence was higher in older patients than in younger patients with the same degree of liver steatosis (Table 2). In patients with severe steatosis (≥10%), superimposed NASH was diagnosed in 6.0% (26/435). Overall, superimposed NASH was significantly associated with hepatocarcinogenesis on univariate analysis (risk ratio, 4.1; 95% confidence interval [CI], 1.8-9.4; $P < 0.001$), but not on multivariate analysis. Superimposed NASH was significantly associated with high body mass index ($27.2 \pm 4.6 \text{ kg/m}^2$ versus $23.0 \pm 3.1 \text{ kg/m}^2$, $P < 0.001$), hyperglycemia ($186 \pm 67 \text{ mg/dL}$ versus $115 \pm 39 \text{ mg/dL}$, $P < 0.001$), and advanced fibrosis (F3) (risk ratio, 2.9; 95% CI, 1.4-6.0; $P = 0.005$).

Factors Associated with Hepatocarcinogenesis After Interferon Therapy. Univariate analysis demonstrated factors that increase the risk ratio for the development of HCC (Table 3). Multivariate analysis using Cox proportional hazards regression confirmed that aging was one of the most significant independent factors associated with the development of HCC after interferon therapy. In this analysis, advanced fibrosis, presence of steatosis, male gender, lower total cholesterol level, higher fasting blood sugar level, higher baseline AFP level, insignificant improvement of mean AFP level after interferon therapy, and nonresponse to interferon therapy were also significantly associated with risk for HCC (Table 3).

We identified 22 patients in whom HCC developed even after achieving SVR. Univariate and multivariate logistic regression analyses indicated that both liver steatosis and aging were independently associated with the development of HCC among patients who achieved SVR ($n = 686$) (Table 4). Anti-HBc was detected in only 4 out of 22 patients and the age distribution was similar among anti-HBc-positive and anti-HBc-negative patients.

Response to Interferon Therapy in Older Patients. Multivariate logistic regression analysis confirmed that aging, female gender, severe liver fibrosis, extremely severe liver steatosis, genotype-1, high HCV load, and nonuse of pegylated interferon and ribavirin were independent risk factors for non-SVR (Supporting Table 1). The odds ratio, determined by multivariate logistic regression analysis after adjustment for these factors, demonstrated that the risk for non-SVR was age-dependent (Supporting Fig. 1). It was also ≈2.5 times higher in patients aged ≥65 years than in those aged <35 years.

In patients with genotype-1b and a high viral load who were treated with pegylated interferon and ribavirin combination therapy, the SVR rate was significantly lower in older patients than in younger patients (<49 years, 59.3%; 50-59 years, 50.5%; 60-65 years, 27.3%; ≥65 years, 25.2%; intention-to-treat analysis). Multivariate logistic regression analysis showed that

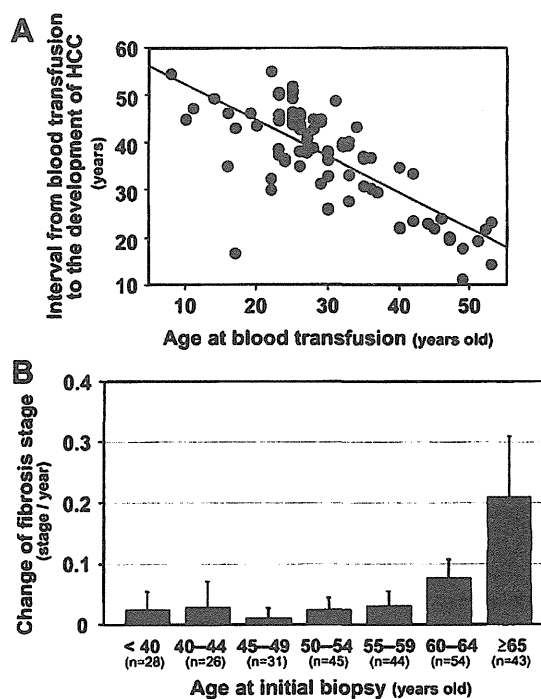


Fig. 3. (A) Relationship between the interval from blood transfusion to development of HCC and the age at blood transfusion ($n = 92$). A significant and strong negative correlation was observed ($r = -0.74$, $P < 0.001$). (B) Change in fibrosis staging over time. A total of 271 patients who had not achieved SVR by interferon therapy underwent a sequential biopsy after the initial biopsy. The yearly rate of progression of fibrosis was calculated as the change in fibrosis stage divided by the time between the paired biopsies. The yearly rate of progression of fibrosis was significantly higher in older patients (≥65 years) than in younger patients (<65 years) ($P = 0.03$, Mann-Whitney U test).

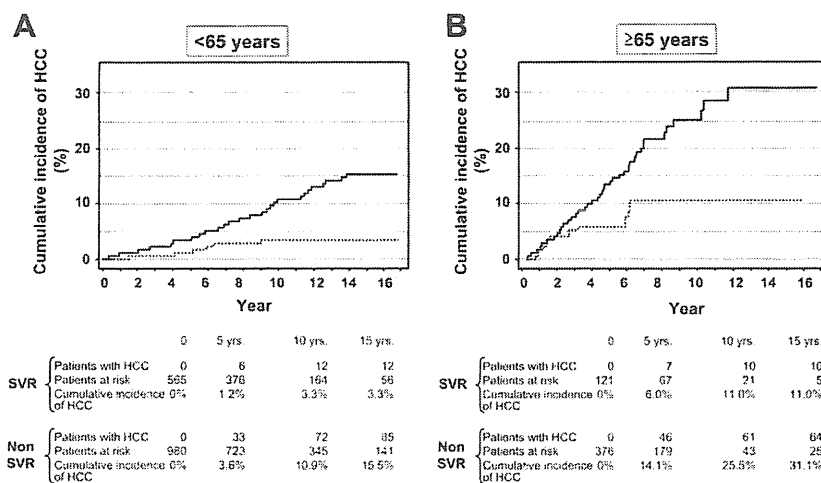


Fig. 4. Cumulative incidence of HCC after interferon therapy among SVRs (dotted lines) and non-SVRs (solid lines) according to age. (A) Younger patients (<65 years). The cumulative incidence of HCC was significantly higher in SVR than in non-SVR (log-rank test, $P < 0.001$). (B) Older patients (≥ 65 years). The cumulative incidence of HCC was significantly higher in SVR than in non-SVR (log-rank test, $P = 0.02$). However, the difference between SVR and non-SVR was less in older patients than in younger patients. The number of HCC events and patients at risk at each timepoint are shown below the graphs.

aging was the strongest independent factor contributing to SVR in these patients (data not shown). The odds ratio for the risk of non-SVR was 1.8 for each additional 10 years of age (95% CI, 1.5-2.3, $P < 0.001$).

Discussion

In this large cohort study we demonstrated that aging is significantly associated with the development of HCC in patients treated with interferon. The risk ratio increased predominantly in patients older than 65 years, which was more than 15 times that in patients in their 20s. Aging is becoming the most critical risk factor for the development of HCC. Although liver fibrosis was also an important risk factor, we clearly demonstrated that the risk for hepatocarcinogenesis after interferon treatment was significantly higher in older patients at each stage of liver fibrosis except for cirrhosis. Hence, physicians should be aware that older patients can develop HCC regardless of the stage of fibrosis.

Because the present study included a large cohort, it was difficult to determine the duration of infection in all patients, and this might have affected the risk determination for HCC development. Therefore, we analyzed the relationship between duration of chronic infection and HCC development in patients who underwent a single blood transfusion. We found a significant and strong negative correlation between the

interval from blood transfusion to development of HCC and the age of the patients at the time of blood transfusion. Consistent with our results, a previous report with posttransfusion HCV demonstrated that the age of patients, rather than the duration of HCV infection, was more significant for HCC development.¹⁴⁻¹⁶ Therefore, older age and not duration of infection is more likely to influence hepatocarcinogenesis. Moreover, our analysis of sequential biopsy specimens demonstrated that the progression rate of liver fibrosis significantly accelerated in patients aged >65 years. Hence, the progression of fibrosis along with aging may also contribute to the increased risk for hepatocarcinogenesis in older patients.

We further demonstrated that liver steatosis was an independent risk factor for the development of HCC, which was not mentioned in previous reports.⁸⁻¹¹ The presence of steatosis is related to both viral (genotype-3 or HCV core protein) and host metabolic factors.^{17,18} In our cohort, most superimposed NASH was associated with host metabolic factors such as high body mass index and hyperglycemia, whereas infection of genotype-3 was only noted in two patients. In vitro experiments have suggested an association between liver steatosis induced by HCV core protein and hepatocarcinogenesis,¹⁹ and have proposed virus-associated steatohepatitis as a new aspect of chronic hepatitis C.^{20,21} Because steatosis was likely to be related to hepatocarcinogenesis, patients with chronic hepatitis C, whose liver histology shows superimposed NASH,

Table 3. Factors Associated with HCC After IFN Therapy

Risk Factor Value	Univariate Analysis		Multivariate Analysis	
	Risk Ratio (95% CI)	P Value	Risk Ratio (95% CI)	P Value
Age (by every 10 year)	2.2 (1.8-2.7)	<0.001	3.0 (1.9-4.8)	<0.001
Sex				
Female	1		1	
Male	1.2 (0.9-1.6)	0.2	2.0 (1.0-3.8)	0.04
BMI (by every 10 kg/m ²)	2.0 (1.2-1.3)	0.005	1.1 (0.4-3.5)	0.8
Fibrosis stage				
F0/F1/F2	1		1	
F3/F4	5.4 (3.9-7.5)	<0.001	2.5 (1.2-4.9)	0.01
Degree of steatosis				
<10%	1		1	
≥10%	4.5 (3.0-6.9)	<0.001	3.5 (1.9-6.4)	<0.001
Esophagogastric varices				
No	1		1	
Yes	3.3 (2.0-5.3)	<0.001	1.6 (0.6-4.4)	0.3
Virological response				
SVR	1		1	
Non-SVR	3.3 (2.1-5.2)	<0.001	2.6 (1.2-5.5)	0.001
Genotype				
Non-1	1		1	
1	1.7 (1.2-2.5)	0.006	1.0 (0.5-2.3)	0.9
Albumin (by every 1 g/dL)	0.2 (0.1-0.3)	<0.001	0.6 (0.2-2.2)	0.3
ALT (by every 100 IU/L)	1.0 (0.9-1.0)	0.8	0.4 (0.1-1.8)	0.6
AST (by every 100 IU/L)	1.2 (1.1-1.3)	0.001	1.1 (0.6-1.8)	0.8
γ-GTP (by every 100 IU/L)	1.3 (1.1-1.6)	0.009	0.6 (0.3-1.6)	0.3
ALP (by every 100 IU/L)	1.3 (1.2-1.5)	<0.001	0.6 (0.3-1.2)	0.2
Total bilirubin (by every 1 mg/dL)	1.6 (1.3-2.1)	<0.001	1.2 (0.6-2.7)	0.6
Total cholesterol (by every 100 mg/dL)	0.3 (0.2-0.6)	<0.001	0.2 (0.1-0.6)	0.006
Triglyceride (by every 100 mg/dL)	0.8 (0.5-1.1)	0.2	0.1 (0.02-1.1)	0.08
Fasting blood sugar (by every 100 mg/dL)	1.8 (1.5-2.2)	<0.001	1.1 (1.0-1.1)	0.04
WBC (by every 100/ μ L)	0.1 (0.03-0.3)	<0.001	0.1 (0.01-2.2)	0.2
RBC (by every 10 ⁶ / μ L)	0.5 (0.4-0.7)	<0.001	1.8 (0.7-4.4)	0.2
Platelet counts (by every 10 ⁶ / μ L)	0.3 (0.2-0.4)	<0.001	0.6 (0.3-1.5)	0.3
Baseline AFP (by every 10 ng/mL)	1.0 (0.9-1.1)	0.2	1.3 (1.0-1.7)	0.04
Post IFN AFP (by every 10 ng/mL)	1.2 (1.1-1.3)	<0.001	1.9 (1.5-2.4)	<0.001
HCV load (by every 100 KIU/mL)	1.0 (0.9-1.0)	0.4	1.0 (1.0-1.1)	0.06
IFN regimen				
IFN monotherapy	1		1	
IFN + RBV (24 W)	1.2 (0.8-1.8)	0.4	1.5 (0.7-3.2)	0.3
PEG-IFN monotherapy (48 W)	1.1 (0.6-1.9)	0.8	1.5 (0.4-5.5)	0.6
PEG-IFN + RBV	0.4 (0.2-0.9)	0.03	1.0 (0.3-3.1)	0.9

Risk ratios for development of HCC were calculated by Cox proportional hazards regression analysis. AFP, alpha fetoprotein; ALP, alkaline phosphatase; ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; γ -GTP, gamma-glutamyltranspeptidase; HCC, hepatocellular carcinoma; IFN, interferon; PEG, pegylated; RBC, red blood cell counts; RBV, ribavirin; SVR, sustained virological response; WBC, white blood cell count.

may be at a higher risk of developing HCC. Further study is necessary to confirm this association in a clinical situation. Because several developed countries are in the midst of a growing obesity epidemic, the risk related to obesity cannot be ignored in patients with chronic hepatitis C who are treated with interferon.

Several retrospective cohort studies have been conducted to evaluate the effect of interferon on the incidence of HCC among patients with chronic hepatitis C.⁸⁻¹¹ Our results, obtained from one of the largest cohort studies, confirm the efficacy of viral eradication in preventing HCC. In one study conducted in a Western population, no statistically significant reduc-

tion was found in the development of HCC among patients with SVR compared with those without SVR (adjusted hazard ratio, 0.46; 95% CI, 0.12-1.70; $P = 0.25$).¹² Because relatively few occurrences of HCC were observed in this cohort, and the duration of follow-up was shorter, the differences in HCC development between patients with and without SVR might be less pronounced.

Interestingly, our results demonstrated that the risk for HCC remains even after achieving SVR in older patients, confirming the findings of previous studies conducted with a smaller number of patients.^{22,23} The cumulative incidence of HCC during the first 5 years

Table 4. Factors Associated with Development of HCC After Achieving SVR

Risk Factor	Odds Ratio (95% CI)	P-value
Univariate analysis		
Age (by every 10 year)	3.2 (1.8-5.5)	<0.001
Sex		
Female	1	
Male	3.0 (1.0-8.8)	0.04
Fibrosis stage		
F0/F1/F2	1	
F3/F4	5.9 (2.5-14.0)	<0.001
Degree of steatosis		
<10%	1	
≥10%	5.5 (2.0-15.2)	0.001
BMI (by every 10 kg/m ²)	3.2 (0.8-12.6)	0.09
ALT (by every 10 IU/L)	0.9 (0.7-1.3)	0.7
AST (by every 10 IU/L)	1.1 (0.9-1.4)	0.3
Genotype		
Non-1	1	
1	1.2 (0.6-3.0)	0.5
HCV load (by every 100 KIU/mL)	0.9 (0.8-1.0)	0.2
IFN regimen		
IFN monotherapy	1	
IFN + RBV (24 W)	0.7 (0.2-2.3)	0.5
PEG-IFN monotherapy (48 W)	0.8 (0.2-3.6)	0.8
PEG-IFN + RBV	0.3 (0.03-2.0)	0.2
Multivariate analysis		
Age (by every 10 year)	2.7 (1.5-5.1)	0.002
Sex		
Female	1	
Male	4.1 (0.9-18.9)	0.06
Fibrosis stage		
F0/F1/F2	1	
F3/F4	2.6 (0.9-7.5)	0.08
Degree of steatosis		
<10%	1	
≥10%	5.6 (1.9-16.5)	0.002

Odds ratios for SVR were calculated by logistic regression analysis.

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; HCV, hepatitis C virus; IFN, interferon; HCC, hepatocellular carcinoma; PEG, pegylated; RBV, ribavirin; SVR, sustained virological response.

after completion of interferon therapy was similar between SVR and non-SVR patients in the older age group, and the risk for HCC remained for 9 years after eradication of HCV in our patients. Therefore, HCC patients with SVR who have a risk factor should be screened for at least 5-10 years after the completion of interferon therapy.

It has been reported that coffee consumption has a protective effect against hepatocarcinogenesis^{24,25} and liver disease progression in patients with chronic HCV infection.²⁶ Because we could not review coffee consumption in all the patients and fewer data were available in the previous literature as to whether a habitual change of reducing coffee consumption occurs in older patients, it is unclear whether increased risk for HCC in older patients is an effect of this habitual change in older patients. However, the majority (68%) of Japa-

nese patients who have HCV (n = 1058) drink less than 1 cup of coffee per day, and only 7.6% consume more than 3 cups of coffee per day.²⁷ Therefore, it is unlikely that a habitual change in older patients affects the increased risk for hepatocarcinogenesis in older patients.

Recently, it was reported that interferon therapy might be less effective in preventing HCC among patients with chronic hepatitis C who are positive for anti-HBc antibody,²⁸ but this finding is still controversial.^{29,30} In the present study, anti-HBc was only detected in 4 of 22 patients in whom HCC developed after viral eradication, and age distribution was similar among anti-HBc-positive and anti-HBc-negative patients. Because no significant difference in mean age was found between anti-HBc-positive and anti-HBc-negative patients in the recent study conducted in Japan,²⁸ it is unlikely that previous exposure to hepatitis B virus or occult hepatitis B virus infection is responsible for the difference in risk for HCC between younger and elderly patients found in the present study.

In conclusion, aging has become one of the most important risk factors for HCC. Even after stratification by stage of fibrosis, the risk for HCC after antiviral treatment was significantly higher in older patients, and HCV eradication had a smaller effect on HCC-free survival in older patients. Patients with HCV should therefore be identified at an earlier age and antiviral treatment should be initiated. The present results have potentially important clinical implications for physicians that may influence their decisions about the treatment strategy in individual patients.

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Expression of Keratin 19 Is Related to High Recurrence of Hepatocellular Carcinoma after Radiofrequency Ablation

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Key Words

Hepatocellular carcinoma · Radiofrequency ablation · Recurrence · Keratin · Carcinogenesis · Needle biopsy · Hepatic progenitor cell

Abstract

Objective: Keratin (K) 19 positivity has been reported to be a useful predictive marker for recurrence in patients with hepatocellular carcinoma (HCC) who have undergone hepatic resection. We investigated the clinical usefulness of K19 positivity in patients who had received curative radiofrequency ablation (RFA). **Methods:** We retrospectively evaluated the clinicopathological features, including imaging and K19 expression, in 246 patients with HCC who were within the Milan criteria and had received curative RFA. Using a two-step insertion method, tumor biopsies were obtained just prior to RFA and were evaluated histologically. **Results:** Tumor seeding due to liver biopsy and RFA was not observed. Ten patients (4.1%) had K19-positive HCC. Imaging findings were similar between K19-positive and -negative HCC ($p = 0.187$). Nine out of 10 patients (90%) who had K19-positive HCC had

recurrence of HCC after RFA, and intrahepatic recurrences were observed within 12 months in 6 out of 10 (60.0%). K19 positivity was a significant risk factor for recurrence ($p < 0.0001$) and early recurrence (<1 year after RFA; $p = 0.012$). K19 expression ($p = 0.016$) was an independent risk factor for tumor status exceeding the Milan criteria after RFA. **Conclusion:** Expression of K19 is related to high recurrence of HCC after curative RFA.

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Introduction

Radiofrequency ablation (RFA) is regarded as an important treatment modality for hepatocellular carcinoma (HCC) [1–4], and its efficacy, especially for tumors <2 cm in diameter, is better than that of ethanol and nearly comparable to that of surgical resection [5]. In addition, RFA

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is considered to be a bridge to liver transplantation because the prolonged waiting time for cadaveric livers leads to dropouts from the waiting list [6]. Tumor recurrence after curative RFA has been a problem, as it is after hepatic resection. Tumor size (>3 cm in diameter) [7], time after treatment (>1 year) [7], the number of HCC nodules [8] and hepatitis C virus (HCV) infection [8] have been reported to be risk factors for intrahepatic tumor recurrence after curative RFA. Moreover, primary technical failure is reported to be a risk factor for tumor progression beyond the Milan criteria after RFA [9].

Keratin (K) 19, which is considered to be a biliary/hepatic progenitor cell marker [10], has attracted attention as a useful predictive marker for detecting the more aggressive HCCs after curative resection, because tumors with K19 expression have a poorer prognosis [11, 12] and higher rates of recurrence [13, 14] and lymph node metastasis [12] than K19-negative HCC. In these previous studies, surgical specimens were investigated and K19 positivity was defined as expression in >5% of tumor cells [11–14].

As a result, one would expect that K19 expression might be a useful predictive marker for detecting HCC with a worse outcome after RFA, especially regarding tumor recurrence. To the best of our knowledge, the correlation between clinicopathological features and K19 expression has not been investigated in HCC patients treated by RFA. Therefore, we performed a clinicopathological study on 246 HCC cases treated with RFA and investigated the relationship between the K19 expression and recurrence and prognosis after treatment.

Methods

Patients

Between April 1999 and February 2010, 1,284 patients were admitted to the Musashino Red Cross Hospital for the first treatment of HCC. A total of 684 patients were treated with RFA as the initial therapy for HCC. Ablation therapy was chosen either because the patients were considered not to be suitable for resection ($n = 323$), when considering impairment of liver function, number and distribution of the tumors as well as cardiopulmonary dysfunction, or because they preferred ablation and provided informed consent ($n = 361$), despite surgery also being feasible. From the outset, 172 patients were excluded because RFA was performed without tumor biopsy. Therefore, 512 consecutive patients, on whom tumor biopsies had been performed before RFA, were included and we evaluated these specimens retrospectively. The result of retrospective analysis was that there were 57 patients with no residual samples, 119 patients with no tumorous lesion and 9 patients with no definitive histological diagnosis because of a small and/or fragmented specimen. The remaining specimens

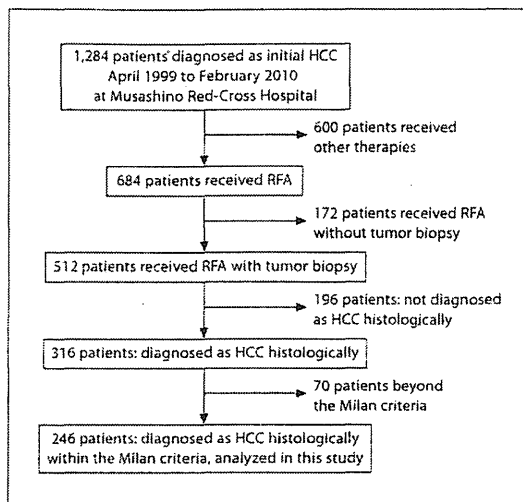


Fig. 1. Flow chart summarizing the patient selection for the study.

were diagnosed as HCC in 316 patients, as dysplastic nodule in 6 patients, as adenocarcinoma in 4 patients and as neuroendocrine tumor in 1 patient. Seventy patients were excluded, because their states of HCC were beyond the Milan criteria (≤ 3 cm and up to 3 nodules, or ≤ 5 cm and a single nodule). Therefore, 246 consecutive patients, on whom tumor biopsies had been performed before RFA and diagnosed as HCC retrospectively, were included in the study (fig. 1). The inclusion criteria for receiving RFA were as follows: total bilirubin concentration < 3.0 mg/dl, platelet count $> 3 \times 10^5/\text{mm}^3$, prothrombin activity $> 50\%$ (approximately equal to an international normalized ratio of 1.5) and Child-Pugh score < 8 points. Ascites were controlled by administration of diuretics before RFA. Patients with macroscopic vascular invasion or extrahepatic metastases were excluded. The criteria of the International Union against Cancer were used for TNM classification [15]. Written informed consent was obtained from all patients, and the study was approved by the ethics committee at Musashino Red Cross Hospital, in accordance with the Declaration of Helsinki.

Diagnosis of HCC

All the patients were diagnosed as having HCC on the basis of tumor markers and a combination of typical imaging findings on ultrasonography (US) and dynamic computed tomography (CT), according to the American Association for the Study of Liver Diseases and the Japan Society of Hepatology guidelines [1, 16]. When patients had 2 or 3 HCC nodules, a needle biopsy was taken from the main nodule. The histological diagnosis of HCC was based on the World Health Organization criteria [17].

For the evaluation of vascularity and Kupffer cell activity of the target nodule, CT during arteriography (CTHA) and CT dur-

ing arteriography (CTAP) were performed in 188 (76.4%) patients, superparamagnetic iron oxide-enhanced magnetic resonance imaging (SPIO-MRI) was performed in 194 (78.8%) patients and gadolinium-ethoxybenzyl-diethylenetriamine penta-acetic acid magnetic resonance imaging (Gd-EOB-DTPA) was performed in 47 patients (19.1%), from March 2008. For triple-phase dynamic CT scans, arterial, portal and equivalent phases were 35, 70 and 150 s, respectively, after injection of contrast agent. Spiral CT scans were obtained from 3- to 5-mm-thick sections. Board-certified radiologists diagnosed HCC on the basis of typical patterns, such as an early-phase hyperattenuation area and late-phase hypoattenuation on dynamic CT. According to previous studies, the sensitivity of the diagnosis of HCC in CTHA/CTAP is higher than that of spiral CT. The diagnosis of HCC in CTHA/CTAP is hyperattenuation area in CTHA and hypoattenuation area in CTAP. It has been reported that the presence of Kupffer cells could be evaluated, and this was defined by a hyper-intensity area in the T2* image of SPIO-MRI as a typical imaging finding of HCC. Gd-EOB-DTPA MRI is a liver-specific contrast-enhanced agent, and hypointensity in the hepatobiliary phase is a typical imaging finding. We started to perform Gd-EOB-DTPA MRI instead of SPIO-MRI from March 2008, because it was reported that the sensitivity of Gd-EOB-DTPA MRI is superior to SPIO-MRI for the diagnosis of HCC.

Tumor Biopsy and RFA

There are 24 operators who participated in this study. They are specialized liver physicians who have great experiences in performing percutaneous ethanol injection for HCC, percutaneous tumor biopsy for liver tumor, percutaneous liver biopsy for hepatitis, percutaneous hepatobiliary drainage for obstructive jaundice, or percutaneous liver abscess drainage. A needle-guiding technique was used, consisting of an initial guided needle and a secondary outer needle (two-step insertion method). This method was reported by another center previously [18] and involves the initial insertion of a 21-gauge needle (Silux, Saitama, Japan) just adjacent to the tumor under real-time US guidance, and using this to insert a 14-gauge Daimon outer needle (Silux), also just adjacent to the tumor. After removal of the inner needle, an 18-gauge biopsy needle was inserted to obtain the tumor tissue sample. After removal of the biopsy needle, a 17-gauge cooled-tip electrode was inserted into the targeted tumor. The electrode, with a 2- or 3-cm exposed tip, was connected to a 480-kHz RF Generator (Radionics, Burlington, Mass., USA), which produces 200 W at 50 Ω of impedance [19, 20]. The equipment also allows the measurement of power output, tissue impedance and electrode tip temperature. A tip temperature of 10–20°C was maintained by infusion of chilled water through a peristaltic pump. After insertion of the electrode into the tumor, ablation was performed at 60 W for the 3-cm exposed tip and 40 W for the 2-cm exposed tip. The power was increased to 140 W at a rate of 10–20 W/min. When a rapid increase in impedance was observed during thermal ablation, the output was reduced. The duration of a single ablation was 12 min. After RF exposure, the pump was stopped and the temperature of the needle tip was measured. When the temperature of the electrode tip was >60°C, ablation was defined as being sufficient. When the target nodule was >2 cm in diameter, multiple needle insertions and ablations were performed in 1 nodule to achieve complete necrosis. A session was defined as a single intervention consisting of ≥ 1 ablations performed on ≥ 1 tumors at

the same time. After completion of nodule ablation, the intrahepatic needle track was treated by thermocoagulation to avoid needle track seeding. Finally, a mixture of gelatin sponge particles (Gelfoam®; Upjohn, Kalamazoo, Mich., USA) was injected into the puncture route. All procedures were completed within 15–20 min. After each session of RFA, a dynamic CT scan (section thickness 5 mm) was performed to evaluate the efficacy of ablation. Complete ablation of HCC was defined as non-enhancement of the lesion, including the whole surrounding liver parenchyma. The ablative margin was shown as the boundary between the low density area as ablated area and the isodensity area as surrounding normal liver parenchyma. The residual portion of the tumor was treated by additional RFA within a few days of the post-treatment CT scan. Follow-up consisted of monthly serial measurements of tumor markers [α -fetoprotein (AFP) and des- γ -carboxy prothrombin (DCP)], US examination every 2 months and dynamic CT every 3 months. We checked various complications of RFA with conventional contrast-enhanced CT and blood examination at day 1 after RFA.

Tumor Recurrence

Recurrence of HCC was defined as an early enhancement area on dynamic CT, concomitant with late wash out. Two types of recurrence, local tumor progression and distant intrahepatic recurrence, were identified. Local tumor progression was defined as an enhancing area located adjacent to the ablated area [21], while distant intrahepatic recurrence referred to the appearance of a new tumor in the liver, distant from the ablated area. Early recurrence was defined as a recurrence within 12 months of the initial RFA.

Immunohistochemistry

Immunohistochemistry using antibodies against K19 (1:100, BA17, Dakocytomation, Glostrup, Denmark) was performed on paraffin-embedded sections from 246 needle biopsy specimens. The slides were reviewed by 2 independent pathologists (M. Komuta and M. Sakamoto). Expression of K19 was considered positive if >5% of tumor cells were stained according to the expected pattern of reactivity.

Statistical Analysis

Categorical variables were compared with the χ^2 test and continuous variables with the Mann-Whitney test; a *p* value <0.05 was considered statically significant. Continuous variables were expressed as the mean \pm standard deviation. The imaging findings were compared with the χ^2 test between K19-positive and -negative patients. Overall survival was defined as the interval between treatment and death or the date of the last follow-up or the date of the most recent follow-up visit. Probability of recurrence-free survival was defined as the interval between treatment and the date of HCC recurrence.

Univariate analysis was performed to identify clinical and biological parameters (sex, age, etiology, prothrombin activity, albumin, bilirubin levels, Child-Pugh class, serum AFP level, serum DCP level) and tumor factors (size, number, tumor stage, tumor differentiation, K19 expression) predicting overall survival, recurrence-free survival and the interval beyond the Milan criteria.

Survival curves were computed according to the Kaplan-Meier method and compared by the log-rank test. All variables with a *p* value <0.05 were subjected to multivariate analysis by Cox's

Table 1. Comparison of clinicopathological features of patients (n = 246) with HCC with and without K19 expression

Features	K19 >5% (n = 10)	K19 ≤5% (n = 236)	p value
Mean age ± SD, years	70 ± 8	68 ± 8	0.541
Sex, male/female	2/8	146/90	0.016
<i>Clinical and laboratory data</i>			
Mean AFP, ng/ml	489 [52.1]	12 [16.2]	0.062
Mean DCP, mAU/ml	42 [25]	321 [22]	0.773
Child-Pugh score A/B	8/2	200/36	0.655
Total bilirubin, mg/dl	0.9 ± 0.5	0.8 ± 0.4	0.480
Albumin, g/dl	3.4 ± 0.7	3.6 ± 0.5	0.137
PT, %	97 ± 12	92 ± 15	0.375
<i>Pathology</i>			
Tumor size, mm	24 ± 7	22 ± 8	0.392
Tumor number	1.3 ± 0.7	1.2 ± 0.6	0.891
Vascular invasion, yes/no	0/10	0/236	
Tumor differentiation well/moderate/poor	0/8/2	108/126/2	<0.0001
TNM stage I/II	8/2	183/53	0.855
Lymph node involvement yes/no	0/10	0/236	
Metastasis, yes/no	0/10	0/236	
<i>Major associated liver diseases</i>			
HBsAg+	1 (10)	24 (10.1)	0.895
HCV Ab+	9 (90)	189 (80.1)	
ALD	0	8 (3.4)	
NASH	0	2 (0.8)	
Unknown etiology	0	13 (5.6)	

Figures in parentheses are percentages; figures in brackets are medians. PT = Prothrombin time; HBsAg = hepatitis B surface antigen; HCV Ab = HCV antibody; ALD = alcoholic liver disease; NASH = non-alcoholic steatohepatitis.

proportional hazards model to assess their value as independent predictors.

All statistical analyses were performed using StatView (version 5.0) software (Abacus Concepts, Berkeley, Calif., USA).

Results

Proportion of HCCs Expressing K19

The biopsy number was 272, and the median length of our biopsy specimens was 8.2 ± 4.0 mm. In 117 cases, the specimens were <1 cm, and ≥1 cm in 155 cases. Pathological diagnosis and K19 staining were practicable in all specimens <1 cm. Expression of K19 in >5% of tumor

Table 2. Comparison of the image findings of patients with HCC with and without K19 expression

	K19 positive >5% (n = 10)	K19 negative (n = 236)	p value
CECT arterial phase high density	10/10	200/235	0.187
CTHA high density	7/7	159/181	0.326
CTAP low density	7/7	179/181	0.779
SPIO-MRI T2*	10/10	175/184	0.473
EOB-MRI Hepatobiliary phase low intensity	-	46/47	-

cells was observed in HCCs from 10 of 246 patients (4.1%). Two of the 10 HCCs (20.0%) were poorly differentiated, and 8 (80.0%) were moderately differentiated. None of the well-differentiated HCCs showed K19 positivity. Among the 10 patients with K19-positive HCCs, 2 had a HCC nodule >3 cm and 8 had HCC nodules ≤3 cm in diameter. The 8 HCC nodules with K19 positivity ≤3 cm in diameter were moderately (n = 7) and poorly differentiated HCCs (n = 1).

Clinicopathological Characteristics of Patients with HCC in Relation to Expression of K19

The clinicopathological characteristics of the patients in relation to K19 expression in HCCs are shown in table 1. The proportion of well-differentiated HCCs was significantly lower among K19-positive HCC patients (p < 0.0001). K19 expression was more frequent among female than among male patients (p = 0.016). There were no significant differences in age, clinical laboratory data, tumor size, number of tumor nodules, tumor stage in TNM classification or etiology between K19-positive and -negative HCC patients. There was no significant difference in tumor location (near the major vessels, bile ducts and organs) between K19-positive and -negative patients. The number of RFA sessions did not differ significantly between K19-positive and -negative HCC patients. Serum AFP before initial RFA was not evaluated in 1 patient.

Imaging Characteristics of HCCs in Relation to Expression of K19

Comparison of the various imaging findings, according to vascular profiling, and in relation to K19 expres-

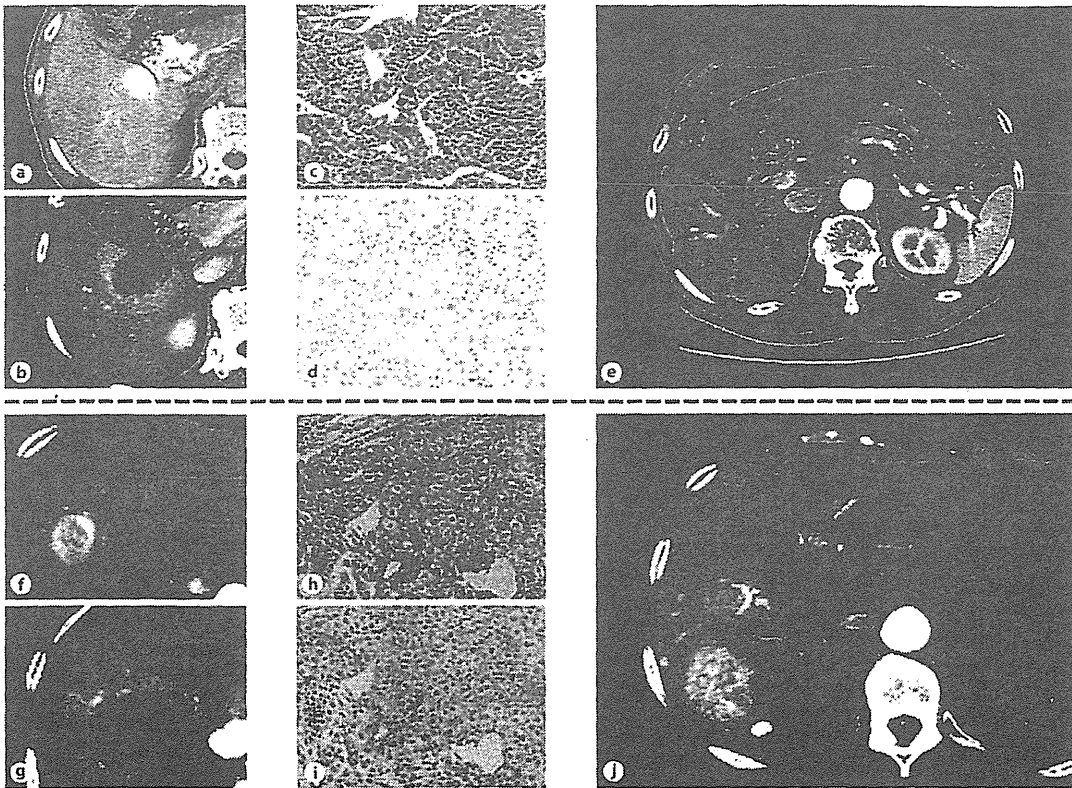


Fig. 2. a–e A patient with K19-negative HCC: a 70-year-old man with chronic hepatitis (anti-HCV positive). The HCC (25 mm in diameter, in segment 6) showed an early enhancement area by dynamic CT (a). Dynamic CT at 1 day after RFA (b). On histological investigation, the tumor showed moderately differentiated HCC on H&E staining (c), and K19 expression was negative in tumor cells (d). The HCC did not show early enhancement on dynamic CT 4 years and 10 months after curative RFA (e). **f–j** A patient with

K19-positive HCC: a 72-year-old female with chronic hepatitis (anti-HCV positive). The HCC (25 mm in diameter, in segment 8) showed an early enhancement area by dynamic CT (f). CT 1 day after RFA (g). On histological investigation, the tumor showed moderately differentiated HCC on H&E staining (h), and K19-positive cells were seen in the tumor (i). Five months after RFA, the HCC showed intrahepatic recurrence beyond the Milan criteria (j).

sion, is shown in table 2. These imaging findings were consistent with the histological diagnosis, as determined by pretreatment needle biopsy.

All K19-positive HCCs showed typical HCC images, such as hypervascularity at the arterial phase, hypovascularity at the portal and equilibrium phases in dynamic CT, and hyperintensity at the T2* image in SPIO-MRI. There was no significant difference between K19-positive and -negative patients in terms of the imaging findings.

Recurrence of HCC after RFA

The median follow-up period was 34.0 months (range 65 days to 10.3 years). A recurrence of HCC was diagnosed at least once during the follow-up period in 156 patients (63.4%). The cumulative recurrence-free survival at 1, 3 and 5 years was 69.9, 26.6 and 12.2%, respectively. Among the 156 patients with recurrent HCC, 14 (8.9%) had local tumor progression and 142 (91.1%) had distant intrahepatic recurrences. Five of 14 patients (35%) who had local tumor progression had K19-positive HCC and

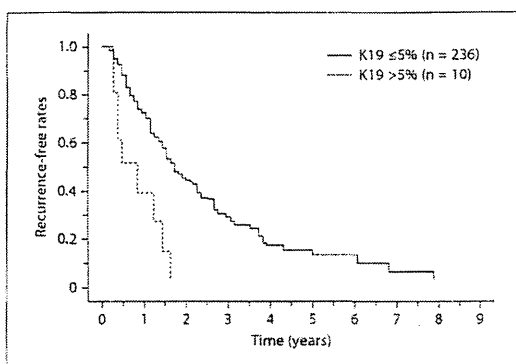


Fig. 3. The cumulative recurrence-free survival rate in patients with K19-positive (>5%) HCC was significantly lower than that in patients with K19-negative HCC ($p = 0.0001$).

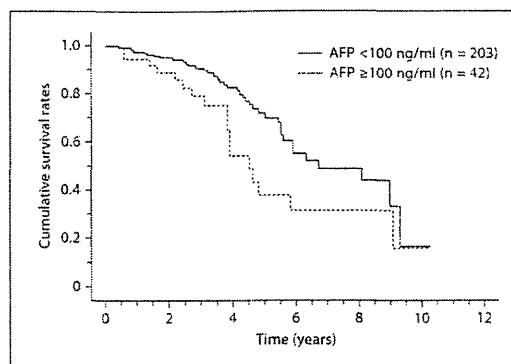


Fig. 4. The cumulative overall survival rate in patients with AFP ≥ 100 ng/ml was significantly lower than that in patients with AFP < 100 ng/ml ($p = 0.026$).

3 of 5 patients with K19-positive HCC (60%) showed vascular invasion at the local tumor progression. Nine of 10 patients (90.0%) with K19-positive HCC had recurrences after initial treatment and 6 of 10 (60.0%) were detected within 1 year of initial curative RFA. On the other hand, 147 of 236 patients (62.2%) with K19-negative HCC had recurrences, and only 58 patients (24.5%) had recurrences within 1 year after RFA. There were no patients with K19-negative HCC who showed vascular invasion at the local tumor progression. Patients with K19-positive HCC were more likely to have an early recurrence of HCC (<1 year after RFA) than patients with K19-negative HCC ($p = 0.012$). The typical cases are shown in figure 2. The median recurrence-free survival in patients with K19-positive HCC was 194 days (range 93–635), while in patients with K19-negative HCC it was 446 days (range 65–2,978). Patients with K19-positive HCC had a significantly shorter recurrence-free survival than patients with K19-negative HCC ($p = 0.0001$) (fig. 3). The recurrence type, local tumor progression or distant intrahepatic recurrence differed between K19-positive and -negative patients. Local tumor progression was significantly higher in K19-positive patients than in K19-negative patients ($p < 0.0001$). Table 3 shows the results of univariate and multivariate analyses of prognostic factors for recurrence-free survival. In the multivariate analysis, K19 expression, the number of HCC nodules and total bilirubin ≥ 2 mg/dl were significant independent risk factors for HCC recurrence in all patients.

The percentage of distant metastasis and major portal invasion (VP3–4) was significantly higher in K19-positive than in K19-negative patients ($p < 0.0001$). Distal metastasis was detected in the lung (2 patients) and lymph node (1 patient), and major portal invasion was detected in 3 patients.

Risk Factors for Poor Prognosis

There was no patient who received liver transplantation in this study. Fifty-seven of 246 patients (23.1%) died during the follow-up period. The cause of death was progression of HCC in 37 patients, hepatic failure in 16 patients and causes unrelated to the liver in 4 patients. The overall survival rates for all patients were 97.2, 88.7 and 63.4% at 1, 3 and 5 years, respectively. A serum AFP level ≥ 100 ng/ml ($p = 0.034$), a total bilirubin level ≥ 2 mg/dl ($p < 0.0001$) and female sex ($p = 0.018$) were identified as risk factors for a poor prognosis in HCC in both univariate and multivariate analyses (table 4). Patients with high serum AFP levels (≥ 100 ng/ml) had significantly lower overall survival rates than patients with low serum AFP levels ($p = 0.026$) (fig. 4).

On the other hand, age (≥ 65 years), albumin concentration (≤ 3.5 g/dl), prothrombin time ($\leq 70\%$), DCP (≥ 100 mAU/ml), tumor size, the number of HCC nodules and K19 expression were not significant risk factors for poor prognosis in the univariate analysis (table 4).

Table 3. Risk factors associated with recurrence-free survival in 246 patients with HCC after complete ablation by RFA

Risk factor	Univariate			Multivariate		
	RR	95% CI	p	RR	95% CI	p
Age <65 years	1.43	1.02–2.02	0.037	1.28	0.90–1.81	0.163
Sex, female	1.24	0.90–1.71	0.162			
Total bilirubin \geq 2 mg/dl	2.50	1.02–6.25	0.034	2.70	1.08–6.66	0.032
Albumin \leq 3.5 g/dl	1.12	0.81–1.56	0.492			
PT \leq 70%	1.28	0.73–2.22	0.394			
AFP \geq 100 ng/ml	1.42	0.95–2.12	0.087			
DCP \geq 100 mAU/ml	1.08	0.68–1.69	0.790			
Tumor size >3.0 cm	1.08	0.70–1.69	0.713			
2 or 3 tumor nodules	2.29	1.58–3.33	<0.0001	2.28	1.56–3.32	<0.0001
K19 positive (>5%)	3.57	1.75–7.14	0.0004	3.44	1.72–7.14	0.0005

RR = Risk ratio; CI = confidence interval; PT = prothrombin time.

Table 4. Risk factors associated with poor prognosis in 246 patients with HCC after complete ablation by RFA

Risk factor	Univariate			Multivariate		
	RR	95% CI	p	RR	95% CI	p
Age <65 years	1.19	0.68–2.09	0.527			
Sex, female	2.03	1.18–3.46	0.009	1.92	1.11–3.30	0.018
Total bilirubin \geq 2 mg/dl	12.5	4.54–33.3	<0.0001	10.0	3.70–33.3	<0.0001
Albumin \leq 3.5 g/dl	1.25	0.71–2.17	0.450			
PT \leq 70%	1.49	0.59–3.84	0.674			
AFP \geq 100 ng/ml	1.88	1.06–3.44	0.030	1.88	1.05–3.33	0.034
DCP \geq 100 mAU/ml	1.06	0.53–2.12	0.880			
Tumor size >3.0 cm	1.12	0.44–1.78	0.730			
2 or 3 tumor nodules	1.23	0.67–2.26	0.492			
K19 positive (>5%)	1.29	0.46–3.57	0.632			

RR = Risk ratio; CI = confidence interval; PT = prothrombin time.

Risk Factors for Exceeding the Milan Criteria after RFA

Patients with K19-positive HCC exceeded the Milan criteria within 16.8 months. Multivariate analyses showed that K19 expression, high levels of DCP (\geq 100 mAU/ml), tumor number and total bilirubin \geq 2 mg/dl were significant risk factors for tumor status exceeding the Milan criteria after curative RFA (table 5; fig. 5).

Complications

Most patients had mild pain or discomfort during RFA. Intraperitoneal hemorrhage and biloma were not

seen in any patient. None of the patients developed dissemination of HCC, or skin or peritoneal metastases. There was no fatal complication.

Percentage of K19 Stain

We also analyzed another percentage of K19 stain (>1%). Thirteen of 246 patients had K19-positive (>1%) HCC and 12 of 13 patients with K19-positive (>1%) HCC had recurrences beyond the Milan criteria. Nine of 12 (75.0%) were detected with recurrence of HCC within 1 year of initial curative RFA. The final results were the same for K19 positivity (>5 and >1%, respectively). The