

**FIGURE 1.** Establishment of HLA-DPB1\*05:01-restricted, Wilms tumor gene 1 (WT1)<sub>332</sub>-specific CD4<sup>+</sup> T cell clone. **A**, Establishment of HLA-DPB1\*05:01-restricted, WT1<sub>332</sub>-specific CD4<sup>+</sup> T-cell clones. WT1<sub>332</sub> peptide-primed PBMCs were restimulated with WT1<sub>332</sub> peptide in the presence of anti-CD154-APC mAb for 6 hours, and CD154<sup>+</sup> CD4<sup>+</sup> T cells were single-cell sorted and expanded in the presence of irradiated allogeneic PBMCs and IL-2 for 1–2 weeks. The expanded clones were screened for WT1<sub>332</sub>-specific proliferative response by [<sup>3</sup>H]-thymidine incorporation as described in the Materials and methods section. **B**, A WT1<sub>332</sub>-specific CD4 T-cell clone, clone 10, was cocultured with irradiated homozygous DPB1\*05:01-expressing autologous PBMCs pulsed or unpulsed with WT1<sub>332</sub> peptide in the presence of HLA-DR-blocking, HLA-DQ-blocking, or HLA-DP-blocking mAb and tested for proliferative responses by [<sup>3</sup>H]-thymidine incorporation. Columns represent mean values ± SEM from triplicated wells. Asterisks (\*) indicate significant difference ( $P < 0.05$ ). **C**, Epitope mapping of clone 10. Clone 10 was stimulated with the indicated WT1 peptides (20 μg/mL) in the presence of CD28/CD49d Costimulatory Reagent and Brefeldin A for 4 hours and intracellular interferon (IFN)γ staining assay was performed. Columns represent mean values ± SEM from triplicated wells. Asterisks (\*) indicate significant difference ( $P < 0.05$ ). These experiments were repeated several times and similar results were obtained. Representative data are shown.

with CSII-EF-MCS-IRES2-Venus encoding WT1<sub>332</sub>-specific TCR gene or empty plasmid, pCAG-HIVgp, and pCMV-VSUG-RSV-Rev (kindly provided by Dr H Miyoshi) using Polyethyleneimine “Max” (Polyscience Inc., Warrington, PA). After 12 hours of transfection, the medium was changed and the cells were further cultured for 48 hours. The supernatant containing the recombinant lentiviruses were collected, filtered through 0.45-μm filters and concentrated by using PEG-it Virus Concentration Solution (System Biosciences, Mountain View, CA) according to the manufacture’s procedures. The concentrated viruses were dissolved in Hanks’ balanced salt solution and stored at -80°C.

### Cloning of HLA-DPA1\*01/DPB1\*05:01 (HLA-DP5) Gene and Establishment of HLA-DP5-positive TF-1 Cells

Total RNA was obtained from PBMCs from a healthy donor with homozygous HLA-DPB1\*05:01 and reverse-transcribed into cDNA by Super Script III (Invitrogen Life Technologies). HLA-DPA1 and HLA-DPB1 were amplified and linked with p2A sequence by PCR with primers as follows: DPA1 primer Forward; 5'-CAGGGTCCCCTGGCCCGGGGGTTC-3', DPA1 primer Reverse; 5'-GGGACCGGGGTTTTCTTCCACGTCTCCTGCTTGCTTTACAGAGAGAAGTTCGTGGCTCCGGAACCCAGGGTCCCCTGGGCCCGGGGGTTC-3', DPB1 primer Forward;

1 5'-GCCACGAACTTCTCTCTGTTAAAGCAAGCAGGA  
 3 GACGTGGAAGAAAACCCCGTCCCATGATGGTTC  
 5 TGCAGGTTTCTGCG-3', DPB1 primer Reverse; 5'-ATG  
 7 ATGGTTCTGCAGGTTTCTGCG-3'. PCR conditions  
 9 were as follows: 94°C for 2 minutes and 25 cycles (98°C for  
 11 10 s, 60°C for 30 s, and 68°C for 90 s). Amplified HLA-  
 13 DPA1-p2A-HLA-DPB1 cassette was cloned into the *EcoRI*  
 15 and *XhoI* site of pcDNA3.1 (+) cloning vector (Invitrogen)  
 then sequenced by BigDye Terminator v3.1 cycle sequenc-

ing kit.  
 The HLA-DP5-encoding pcDNA3.1 (+) was electro-  
 porated into TF-1 cells with Neon Microporation System  
 (Invitrogen Life Technologies) and then the HLA-DP5-  
 positive TF-1 cells were selected with G418 (Nacalai Tesque  
 corp.).

### 17 Transduction of WT1<sub>332</sub>-specific TCR Gene into 19 Mouse TG40 Cells

Thirty thousand TG40 cells were added to a 48-well  
 plate and incubated with WT1<sub>332</sub>-specific TCR genes-  
 encoding (WT1<sub>332</sub>-TCR) or control lentiviruses (Mock) in  
 the presence of 8 µg/mL polybrene (Sigma, St Louis, MO).  
 After 16 hours of incubation, the medium was changed and  
 the transduced cells were further cultured and analyzed for  
 the expression of CD3 molecules on their cell surface.

### 27 Generation of WT1<sub>332</sub>-specific TCR Gene-transduced 29 CD4<sup>+</sup> T Cells

PBMCs were stimulated with plate-bound anti-CD3  
 (5 µg/mL) and anti-CD28 (1 µg/mL) mAbs in the presence  
 of 40 IU/mL IL-2 for 2 days. Thirty thousand activated  
 cells were incubated in the presence of recombinant lenti-  
 viruses and 8 µg/mL polybrene on a RetroNectin-coated  
 (TaKaRa Bio Co., Shiga, Japan) 48-well plate. The plate  
 containing the cells was centrifuged at 2000 rpm at 33°C for  
 1 hour. After 12 hours of incubation, medium change was  
 carried out and the cells were further incubated for 48–72  
 hour. Then the transduced cells, Venus<sup>+</sup> CD4<sup>+</sup> T cells,  
 were sorted by FACSaria and restimulated with irradiated,  
 WT1<sub>332</sub> peptide-pulsed autologous PBMCs. Mock-trans-  
 duced CD4<sup>+</sup> T cells were stimulated with 3 µg/mL PHA in  
 the presence of irradiated, autologous PBMCs. One week  
 later, the established CD4<sup>+</sup> T cells were used for various  
 experiments as described later or stored. To investigate the  
 stability of the established CD4<sup>+</sup> T cells, they were weekly  
 restimulated with irradiated, WT1<sub>332</sub>-pulsed autologous  
 PBMCs.

### 51 Intracellular Cytokine Staining Assay and CD107a 53 Mobilization Assay

For intracellular cytokine staining assays, 1 × 10<sup>5</sup>  
 CD4<sup>+</sup> T cells were incubated with respective peptides in  
 the presence of 2 µg/mL CD28/CD49d Costimulatory  
 Reagent and 10 µg/mL Brefeldin A (Sigma) for 4 hours.  
 Intracellular staining for cytokines was performed using  
 BD Cytofix/Cytoperm Buffer (BD Biosciences) according  
 to the manufacturer's procedures after surface staining of  
 CD3 and one each of CD4 and CD8 molecules. The cells  
 were analyzed with FACSaria. The data were analyzed  
 with FlowJo software (TreeStar, San Carlos, CA).

For CD107a mobilization assay, 1 × 10<sup>5</sup> CD4<sup>+</sup> T cells  
 were incubated with 1 × 10<sup>5</sup> WT1<sub>332</sub> peptide-pulsed or  
 peptide-nonpulsed HLA-DP5-positive TF-1 in the presence  
 of 2 µM BD GolgiStopTM and anti-CD107a-APC mAb for 5

hours. Then, the cells were harvested and intracellular  
 cytokine staining was performed as described earlier.

### 69 Proliferation Assay and Enzyme-Linked 71 Immunosorbent Assay (ELISA)

Proliferative capacity was assessed using a standard  
 [<sup>3</sup>H]-thymidine incorporation assay. In brief, CD4<sup>+</sup> T cells  
 were plated at a concentration of 1 × 10<sup>4</sup>/well (U-bottomed  
 96-well plate), and cultured with 1 × 10<sup>5</sup> irradiated (30 Gy)  
 PBMCs pulsed or unpulsed with tumor lysate, WT1 protein  
 (100 µg/mL), or WT1 peptides (20 µg/mL). [<sup>3</sup>H]-thymidine  
 (Amersham Biosciences, NJ) was added after culture for 2  
 days, and the cells were harvested onto glass-fiber filters 18  
 hours after the addition of [<sup>3</sup>H]-thymidine. Radioactivity  
 was then measured on a β-scintillation counter in triplicate  
 wells. For the blocking assays, W6/32, L243, SPVL3, and  
 B7/21 mAbs were added to the proliferation assays at their  
 optimal concentrations for blocking of HLA class I, HLA-  
 DR, HLA-DQ, and HLA-DP, respectively, and cell pro-  
 liferation was measured as described earlier.

For evaluation of interferon (IFN)γ-release from  
 CD4<sup>+</sup> T cells, culture supernatants were collected before  
 the addition of [<sup>3</sup>H]-thymidine and frozen at -80°C until  
 use. IFNγ in the supernatants was measured by double  
 sandwich ELISA using the Quantikine provided by R&D  
 Systems (Minneapolis, MN).

### 93 <sup>51</sup>Cr release assay

<sup>51</sup>Cr release assays were performed as described pre-  
 viously with minor modification. Briefly, target cells (1 ×  
 10<sup>4</sup> cells) labeled with <sup>51</sup>Cr were added to wells containing  
 varying numbers of effector cells in 96-well plates. After 18  
 hours of incubation at 37°C, the supernatant was collected  
 and measured for radioactivity. The percentage of specific  
 lysis (% specific lysis) was calculated as follows: percentage  
 of specific lysis = (cpm of experimental release - cpm of  
 spontaneous release)/(cpm of maximal release - cpm of  
 spontaneous release) × 100. Maximal release and sponta-  
 neous release were determined from supernatants of target  
 cells incubated with 1% Triton X-100 and those incubated  
 without effector cells, respectively. For granzyme B inhib-  
 ition, target cells were pretreated with 100 µM Ac-IETD-  
 Cho or DMSO as a control at 37°C for 2 hours, washed  
 extensively, and used for the <sup>51</sup>Cr release assays.

### 113 Enhancement of the Induction of WT1-specific CTL by 115 WT1<sub>332</sub>-TCR-transduced CD4<sup>+</sup> T Cells

Three million of PBMCs from an HLA-DPB1\*05:01-  
 positive and HLA-DPB1-A\*24:02-positive healthy donor  
 were freshly isolated and cocultured with WT1<sub>332</sub>-TCR-  
 transduced or mock-transduced CD4<sup>+</sup> T cells at the indi-  
 cated ratios in the presence of 20 µg/mL WT1<sub>332</sub> peptide  
 and 10 µg/mL modified WT1<sub>235</sub> peptide (WT1<sub>235m</sub>:  
 CYTWNQMNL), which is an HLA-A\*24:02-restricted  
 CTL epitope. The X-VIVO 15 medium supplemented with  
 10% AB serum but not with exogenous IL-2 was used to  
 evaluate a helper activity of CD4<sup>+</sup> T cells. One week later,  
 the cells were restimulated with 2 × 10<sup>6</sup> irradiated, WT1<sub>235m</sub>  
 peptide-pulsed autologous PBMCs, and cultured for fur-  
 ther 1 week. Then, the frequencies of WT1-specific CD8<sup>+</sup>  
 T cells were determined using WT1<sub>235m</sub> tetramer-staining  
 and WT1<sub>235m</sub>-specific IFN-γ expression by flow cytometry.

## 1 Statistical Analysis

2 The paired *t* test was used to assess differences between  
3 groups. *P*-value <0.05 was considered significant.

## 5 RESULTS

7 Identification of a novel HLA-DPB1\*05:01-restricted  
8 CD4<sup>+</sup> T-cell epitope in WT1<sub>332</sub> helper peptide.

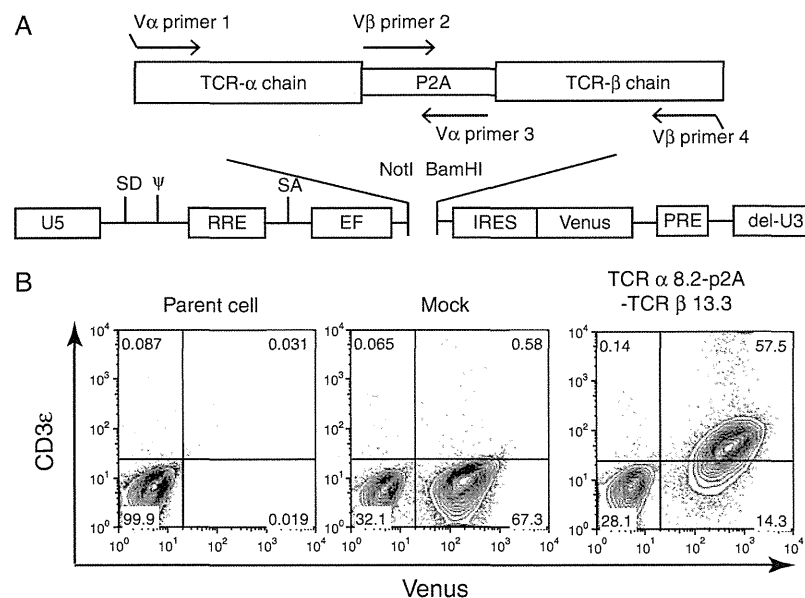
9 We previously identified WT1<sub>332</sub> helper peptide (332-  
10 347: KRYFKLSHLQMHSRKH) that could promiscuously  
11 bind to multiple HLA class II molecules including  
12 HLA-DRB1\*04:05, 15:02, 15:01, and HLA-DPB1\*09:01  
13 and induce the peptide-specific CD4<sup>+</sup> T cells.<sup>22,23</sup> First,  
14 whether or not WT1<sub>332</sub> helper peptide could bind to HLA-  
15 DPB1\*05:01 (DP5), which was most popular in Japanese,  
16 and induce WT1<sub>332</sub>-specific CD4<sup>+</sup> T cells was examined.  
17 PBMCs obtained from an HLA-DP5<sup>+</sup> donor were  
18 stimulated with WT1<sub>332</sub> for a week, and then CD154-  
19 expressing cells, which were CD4<sup>+</sup> T cells specifically  
20 activated by WT1<sub>332</sub>, were sorted for cloning. As shown  
21 in Figure 1A, the CD154-expressing CD4<sup>+</sup> T cells that  
22 were detected at the frequency of 0.16% after the stimu-  
23 lation with WT1<sub>332</sub> were single-cell sorted by FACSaria.  
24 Twenty-three clones were obtained from 80 single cells and  
25 11 of 23 expanded clones (47.8%) were examined for the  
26 WT1<sub>332</sub>-specific proliferation (data not shown). To screen  
27 HLA-DP5-restricted CD4<sup>+</sup> T-cell clones, blocking assays  
28 against their proliferative responses to WT1<sub>332</sub> were per-  
29 formed (Fig. 1B). As the proliferative responses of clone 10  
30 to WT1<sub>332</sub> were strongly inhibited by addition of anti-  
31 HLA-DP antibody, clone 10 was restricted to HLA-DP  
32 molecules. As the donor used here had homozygous HLA-

DP5, clone 10 recognized WT1<sub>332</sub> in an HLA-DP5-restric-  
tion manner. Thus, clone 10 had been established as a  
WT1<sub>332</sub>-specific, HLA-DP5-restricted CD4<sup>+</sup> T-cell clone.

To confirm the WT1<sub>332</sub>-specific response of clone 10, it  
was stimulated with various deletion peptides and the fre-  
quencies of IFN $\gamma$ -producing cells were examined (Fig. 1C).  
The response of clone 10 to WT1 peptide (No.1) that was  
deleted by 1 amino acid at carboxyl terminus was higher,  
but the response to the remaining 13 that were deleted WT1  
peptides was less or nothing, compared to that to original  
WT1<sub>332</sub> peptide. These results confirmed that clone 10  
specifically responded to WT1<sub>332</sub> peptide whose core amino  
acid sequence was KRYFKLSHLQMHSRK.

## Cloning of HLA-DP5-restricted, WT1<sub>332</sub>-specific TCR Genes

Full-length TCR  $\alpha$ -chain and  $\beta$ -chain genes of clone  
10 were cloned and identified by using 5'-RACE technique.  
Then, they were linked to both ends of p2A peptide to  
ensure simultaneous expression of both  $\alpha$  and  $\beta$  chains, and  
the resultant TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 cassette was  
cloned into lentiviral vector (Fig. 2A). To verify that the  
cloned TCR could be correctly expressed on cell surface,  
the TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 or empty vector (mock)-  
expressing lentivirus were transfected into mouse TG40  
hybridoma cell line which cannot express CD3 molecules  
on their cell surface because of deficiency in their TCR  $\alpha/\beta$   
expression.<sup>26</sup> Both TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced  
and mock-transduced TG40 cells showed high Venus  
fluorescence protein expression compared to parental  
TG40 cells (Fig. 2B), whereas only TCR  $\alpha$  8.2-p2A-TCR  
 $\beta$  13.3-transduced TG40 cells showed CD3 $\epsilon$  expression in



**FIGURE 2.** Cloning and expression of TCR  $\alpha$  8.2/TCR  $\beta$  13.3 gene using lentiviral vector. A, Construction of a lentiviral vector encoding full-length TCR  $\alpha$  8.2 and  $\beta$  13.3 genes derived from clone 10, and primer positions for cloning of TCR. Lentiviral vector constructions are as follows: SD, splicing donor site;  $\Psi$ , packaging signal; RRE, Rev responsive element; SA, splicing acceptor site; EF, human elongation factor 1- $\alpha$  subunit promoter; MCS, multiple cutting site; IRES, encephalomyocarditis virus internal ribosomal entry site; Venus, a variant of yellow fluorescent protein (YFP) gene; PRE, Woodchuck hepatitis virus posttranscriptional regulatory element; and del-U3', deletion of enhancer and promoter sequences in the U3 region. B, Mouse TG40 cells were transduced with T-cell receptor (TCR)  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 cassette-encoding lentiviral vector (TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3) or empty lentiviral vector (Mock). After 3 days of transduction, parental TG40 and lentiviral vector-transduced cells were stained with anti-mouse CD3 $\epsilon$ -PE mAb and analyzed with flow cytometry.

1 the Venus<sup>+</sup> cell population. Thus, these results clearly  
 2 indicated that the TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 could be  
 3 correctly expressed on the surface of the TG40 cells.

### 7 **Functional Expression of TCR $\alpha$ 8.2-p2A-TCR $\beta$ 8 **13.3 in human CD4<sup>+</sup> T Cells****

9 Next, whether or not TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 was  
 10 functional in human CD4<sup>+</sup> T cells was investigated. To  
 11 establish TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T  
 12 cells, PBMCs with homozygous HLA-DP5 were transfected  
 13 with TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-encoding lentiviral vec-  
 14 tor. After 72 hours of transfection, the Venus<sup>+</sup> CD4<sup>+</sup> T  
 15 cells were sorted and cocultured with irradiated, and  
 16 WT1<sub>332</sub>-pulsed autologous PBMCs. After 1 week of cul-  
 17 ture, intracellular cytokine assay was performed to inves-  
 18 tigate WT1<sub>332</sub> specificity of the expanded CD4<sup>+</sup> T cells. As  
 19 expected, the expanded TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-  
 20 transduced CD4<sup>+</sup> T cells expressed IFN- $\gamma$  and IL-2 only  
 21 by the stimulation with WT1<sub>332</sub> (Fig. 3A), whereas mock-  
 22 transduced CD4<sup>+</sup> T cells did not show any cytokine  
 23 expression in response to WT1<sub>332</sub>. In addition, the cytokine  
 24 expression of the TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced  
 25 CD4<sup>+</sup> T cells was dependent on the concentration  
 26 of WT1<sub>332</sub> peptide (Fig. 3B). Furthermore, proliferative  
 27 response to WT1<sub>332</sub> of the TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-  
 28 transduced CD4<sup>+</sup> T cells was remarkably inhibited by  
 29 addition of an anti-HLA-DP antibody, but not by addition  
 30 of anti-HLA class I, anti-HLA-DR, or anti-HLA-DQ  
 31 antibody (Fig. 3C). As PBMCs with homozygous HLA-  
 32 DP5 were used as a stimulator, it was concluded that the  
 33 TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells  
 34 recognized WT1<sub>332</sub> in an HLA-DP5-restriction manner.  
 35 Importantly, WT1<sub>332</sub>-specific cytokine expression in the  
 36 TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells was  
 37 observed even after 3 months of culture (Fig. 3D), indi-  
 38 cating that function of TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-trans-  
 39 duced CD4<sup>+</sup> T cells were stable for long-term culture.

40 In our previous studies, it was demonstrated that  
 41 WT1<sub>332</sub> (WT1<sub>332-347</sub>, KRYFKLSHLQMHSRKH) was a  
 42 natural epitope for CD4<sup>+</sup> T cells with the restriction of  
 43 HLA-DRB1\*04:05, 15:01, 15:02, and HLA-DPB1\*09:01.  
 44 To examine whether or not TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-  
 45 transduced CD4<sup>+</sup> T cells could recognize the natural  
 46 epitope of WT1 protein in an HLA-DP5-restriction manner,  
 47 the CD4<sup>+</sup> T cells were cocultured with WT1 peptide-  
 48 pulsed, WT1 protein-pulsed, or WT1-expressing tumor  
 49 lysate-pulsed autologous PBMCs that were used as a stim-  
 50 ulator and the proliferative responses of the CD4<sup>+</sup> T cells  
 51 were measured. Consequently, the TCR  $\alpha$  8.2-p2A-TCR  $\beta$   
 52 13.3-transduced CD4<sup>+</sup> T cells showed proliferative  
 53 responses to WT1<sub>332</sub> peptide-pulsed or full-length WT1  
 54 protein (HWT1)-pulsed autologous PBMCs, but not to  
 55 those pulsed with the truncated WT1 protein not containing  
 56 WT1<sub>332</sub> peptide sequences (HWT3). Furthermore, the  
 57 CD4<sup>+</sup> T cells could strongly proliferate (Fig. 3E) and  
 58 produce IFN- $\gamma$  (Fig. 3F) in response to the PBMCs pulsed  
 59 with the lysate of WT1-expressing leukemia cell line (TF-1  
 60 and K562).

61 Taken together, these results clearly demonstrated that  
 62 cloned TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 really encoded WT1<sub>332</sub>-  
 63 specific, HLA-DP5-restricted TCR of clone 10. Thus, in the  
 64 following chapters, "WT1<sub>332</sub> TCR-transduced" was used in  
 65 exchange for "TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced."

### 67 **WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T Cells are Th1 68 Type-Cytokine Profile**

69 It was previously reported that WT1<sub>332</sub> could domi-  
 70 nantly induce Th1-type CD4<sup>+</sup> T cells. Therefore, whether  
 71 or not WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells displayed  
 72 Th1 dominant cytokine profile was examined. WT1<sub>332</sub>  
 73 TCR-transduced CD4<sup>+</sup> T cells from 3 healthy donors with  
 74 HLA-DP5 were established as shown in Figure 3 and  
 75 examined for cytokine production by intracellular cytokine  
 76 staining assay (Fig. 4). As expected, all the 3 established  
 77 CD4<sup>+</sup> T-cell lines expressed at high frequencies the Th1-  
 78 type cytokines such as IL-2, IFN- $\gamma$ , TNF- $\alpha$ , and GM-CSF  
 79 in response to WT1<sub>332</sub> stimulation. However, the expres-  
 80 sions of Th2-type cytokines (IL-5 and IL-10) or Th17-type  
 81 cytokine (IL-17) were at low frequencies or undetectable in  
 82 all the 2 CD4<sup>+</sup> T-cell lines.

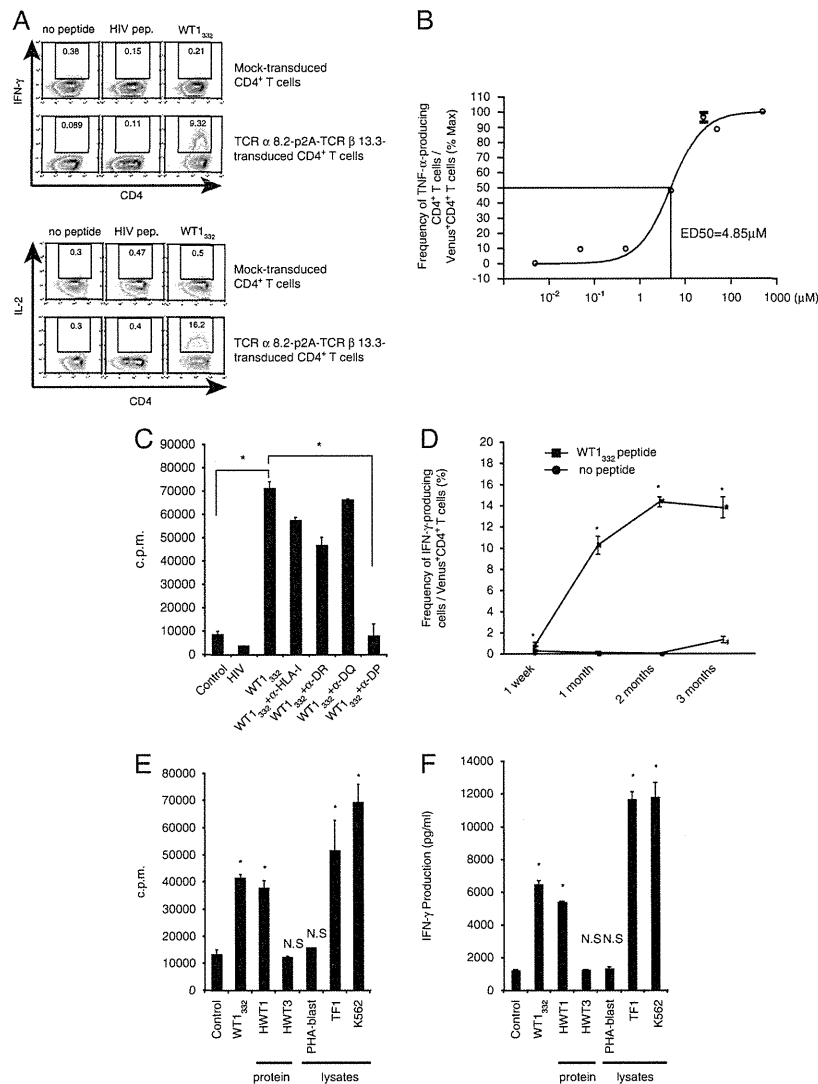
83 Thus, the results that the cloned WT1<sub>332</sub> TCR let  
 84 CD4<sup>+</sup> T cells endow Th1-type were consistent with those  
 85 that WT1<sub>332</sub>-specific CD4<sup>+</sup> T-cell clones established from  
 86 PBMCs from healthy donors were dominantly Th1-type.

### 87 **WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T Cells can 88 Directly Recognize and Kill WT1-expressing 89 Leukemia Cell Lines through Perforin/Granzyme 90 B Pathway**

91 Next, whether WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells  
 92 could recognize and kill WT1-expressing leukemia cell line  
 93 in HLA-DP5-restriction manner was examined.

94 HLA-DPA1\*01-DPB1\*05:01-expression vector was  
 95 transduced into TF-1 cells and HLA-DP5-positive TF-1  
 96 cells were established. The HLA-DP5-positive TF-1 cells  
 97 established could present WT1<sub>332</sub> peptide to the WT1<sub>332</sub>  
 98 TCR-transduced CD4<sup>+</sup> T cells and were useful as target  
 99 cells in killing assay (data not shown). WT1<sub>332</sub>-TCR-  
 100 transduced CD4<sup>+</sup> T cells showed strong cytotoxic activity  
 101 against HLA-DP5-positive TF-1 cells, but not against  
 102 parental TF-1 cells (Fig. 5A). In contrast, empty lentiviral  
 103 vector-transduced CD4<sup>+</sup> T cells did not show cytotoxicity  
 104 against both target cells. To confirm WT1-specific cyto-  
 105 toxicity of the WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells,  
 106 WT1-overexpressing autologous B-LCL (B-LCL(+)) and  
 107 its parental B-LCL [B-LCL(-)] were used as target cells  
 108 (Fig. 5B). As expected, the WT1<sub>332</sub> TCR-transduced  
 109 CD4<sup>+</sup> T cells effectively lysed B-LCL(+) cells compared to  
 110 B-LCL(-) cells. Furthermore, the WT1<sub>332</sub> TCR-transduced  
 111 CD4<sup>+</sup> T cells could lyse endogenously WT1-expressing  
 112 and HLA-DP5-positive C2F8 leukemia cells (Fig. 5C).  
 113 Thus, these results clearly demonstrated that the WT1<sub>332</sub>  
 114 TCR-transduced CD4<sup>+</sup> T cells had a potent cytotoxic  
 115 activity against WT1-expressing, HLA-DP5-positive  
 116 malignant cells such as leukemia cells.

117 Next, whether or not the WT1<sub>332</sub> TCR-transduced  
 118 CD4<sup>+</sup> T cells exerted the cytotoxic activity through a  
 119 granzyme B and perforin pathway was investigated. High  
 120 expression of granzyme B and perforin was observed in the  
 121 WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells (Fig. 5D). Fur-  
 122 thermore, the simultaneous expression of IFN- $\gamma$  and  
 123 CD107a, which reflected degranulation, was observed only  
 124 when the WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells were  
 125 incubated with WT1<sub>332</sub>-pulsed HLA-DP5-positive TF-1  
 126 cells (Fig. 5E). Finally, in order to confirm that the cyto-  
 127 toxicity of the WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells was  
 128 dependent on granzyme B/perforin pathway, HLA-DP5-

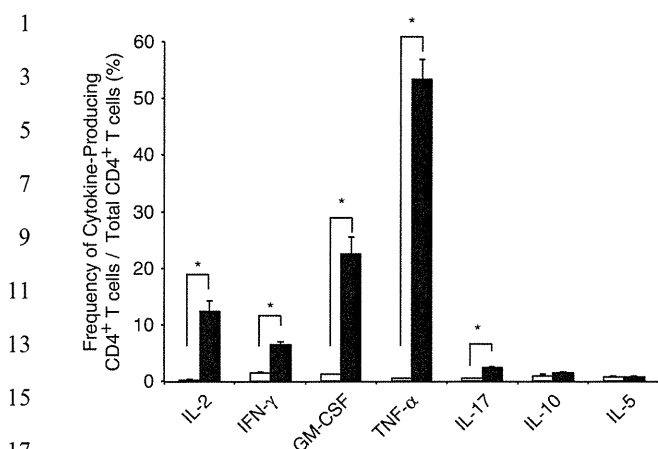


**FIGURE 3.** T-cell receptor (TCR)  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells displays antigen-specific T-cell responses. PBMCs from a healthy donor were transduced with TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3 cassette-encoding lentiviral vector (TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3) or empty lentiviral vector (Mock) and then the transduced CD4<sup>+</sup> T cells were sorted and expanded for a week as described in the Materials and methods section. A, The transduced CD4<sup>+</sup> T cells were stimulated with or without the indicated peptides for 4 hours and then intracellular interferon (IFN) $\gamma$  (upper) and IL-2 (lower) were analyzed by flow cytometry. Representative data from 3 independent experiments are shown. B, The TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells were stimulated with various concentrations of Wilms tumor gene 1 (WT1)<sub>332</sub> for 4 hours and intracellular cytokine staining assay was performed. Each plots represent mean values  $\pm$  SEM from duplicated wells. A half maximum effective dose (ED50) calculated is shown. Data are representative of several independent experiments. C, The TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells were cocultured with irradiated homozygous DPB1\*05:01-expressing autologous PBMCs pulsed or unpulsed with Wilms tumor gene 1 (WT1)<sub>332</sub> peptide in the presence of HLA class I-blocking, HLA-DR-blocking, HLA-DQ-blocking, or HLA-DP-blocking mAb and tested for proliferative responses by [<sup>3</sup>H]-thymidine incorporation. Columns represent mean values  $\pm$  SEM from triplicated wells. Asterisks (\*) indicate significant difference ( $P < 0.05$ ). Representative data from 3 independent experiments are shown. D, The TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells that were cultured with weekly WT1<sub>332</sub> peptide stimulation were tested for the capacity of WT1<sub>332</sub>-specific IFN $\gamma$  production by intracellular cytokine staining in response to WT1<sub>332</sub> peptide stimulation at the indicated time points. Data represent mean values  $\pm$  SEM from triplicated assays. The TCR  $\alpha$  8.2-p2A-TCR  $\beta$  13.3-transduced CD4<sup>+</sup> T cells were cocultured with autologous irradiated PBMCs pulsed or unpulsed with WT1<sub>332</sub> peptide (20  $\mu$ g/mL), HWT1 (full-length WT1 protein, 100  $\mu$ g/mL), HWT3 (truncated WT1 protein, 1–294 amino acids, 100  $\mu$ g/mL), PHA-induced lymphoblast lysate, TF-1 leukemia cell lysate, or K562 leukemia cell lysate. Proliferative responses (E) and IFN- $\gamma$  production (F) of the CD4<sup>+</sup> T cells were evaluated by [<sup>3</sup>H]-thymidine incorporation and enzyme-linked immunosorbent assay, respectively. Columns represent mean values  $\pm$  SEM from triplicated wells. Asterisks (\*) indicate significant ( $P < 0.05$ ) proliferative response compared to control sample. These experiments were repeated several times and similar results were obtained. Representative data are shown. NS indicates not significant.

positive TF-1 cells that were pretreated with 100  $\mu$ M granzyme B inhibitor, ac-IETD-Cho were used as target cells. The cytotoxicity of the WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells against ac-IETD-Cho-pretreated TF-1 cells

remarkably decrease compared to that against DMSO-pretreated TF-1 cells (Fig. 5F).

Taken together, the WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells established here could directly recognize WT1-



**FIGURE 4.** Wilms tumor gene 1 (WT1)<sub>332</sub> T-cell receptor (TCR)-transduced CD4<sup>+</sup> T cells have a Th1 type-cytokine profile. WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T-cell lines were established from 3 different healthy donors as described in Figure 3. The CD4<sup>+</sup> T cells were incubated with or without WT1<sub>332</sub> peptide in the presence of CD28/CD49d Costimulatory Reagent and Brefeldin A for 4 hours and then intracellular cytokine assay was performed. Columns represent mean values  $\pm$  SEM of results from 3 different healthy donors. Asterisks (\*) indicate significant difference ( $P < 0.05$ ).

expressing, HLA-DP5-positive leukemia cells and kill them through a granzyme B/perforin pathway.

### WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T Cells Enhance the Induction of WT1-specific CD8<sup>+</sup> Cytotoxic Lymphocytes

We previously demonstrated that WT1<sub>332</sub>-specific CD4<sup>+</sup> T-cell clones established previously were Th1-type and could enhance the induction of WT1-derived CTL epitope-specific CD8<sup>+</sup> CTLs. It is interesting to note that, all WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells established from 3 healthy donors also showed a Th1-type cytokine profile as shown in Figure 4. It was therefore expected that the WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells could enhance the induction of WT1-specific CD8<sup>+</sup> CTLs.

To confirm the helper activity of WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells, the CD4<sup>+</sup> T cells were cocultured with HLA-A\*24:02-positive autologous PBMCs in the presence of WT1<sub>332</sub> helper peptide and modified WT1<sub>235</sub> peptides (WT1<sub>235m</sub>, HLA-A\*24:02-restricted CTL-epitope). After 1 week of coculture, the cells were restimulated with WT1<sub>235m</sub>-pulsed, irradiated autologous PBMCs, and further cultured for 1 week. After the serial culture, the frequencies of CD8<sup>+</sup> T cells and WT1<sub>235m</sub>-specific CD8<sup>+</sup> CTLs were evaluated by flow cytometry. Expectedly, when WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells were added to autologous PBMCs, the frequencies of CD8<sup>+</sup> T cells and WT1<sub>235m</sub>-specific CD8<sup>+</sup> CTLs significantly increased, compared to the addition of mock-transduced CD4<sup>+</sup> T cells to the autologous PBMCs (Figs. 6A, B). Cell numbers of WT1<sub>235m</sub>-specific CD8<sup>+</sup> CTLs increased 10.8- or 27.6-fold by the addition of WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cell at ratio of auto-PBMCs:CD4<sup>+</sup> T cells, 10:1 or 10:2, respectively (Fig. 6C). To rule out that the increased frequency of WT1<sub>235m</sub>-specific CD8<sup>+</sup> CTLs was due to nonspecific tetramer binding, IFN $\gamma$  expression of these cells was assessed in response to WT1<sub>235m</sub>. Consistent with the results of

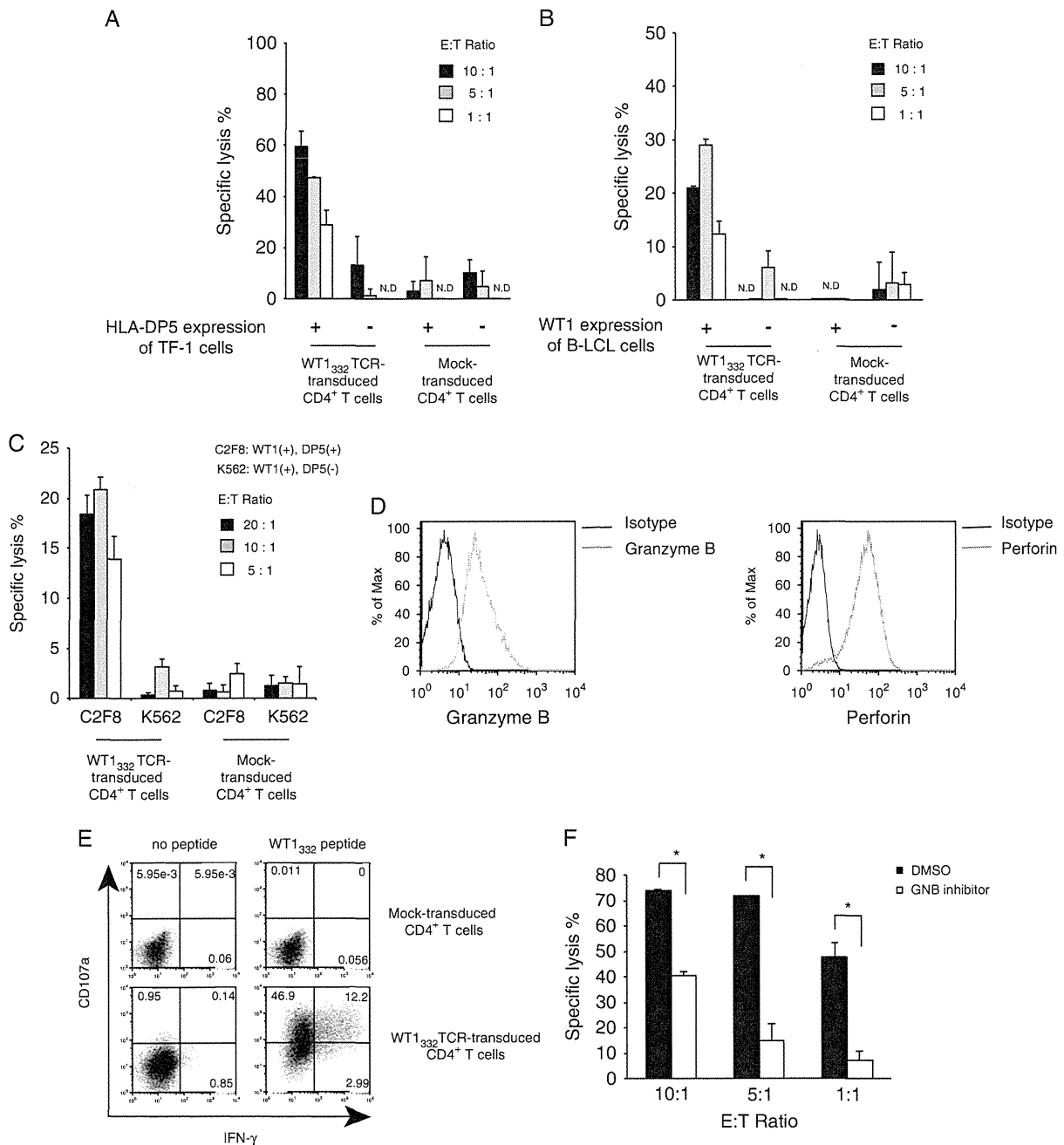
tetramer assay, CD8<sup>+</sup> T cells that were cultured with WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells could express IFN $\gamma$  in response to WT1<sub>235m</sub> (Figs. 6D, 6E). However, no significant IFN $\gamma$  expression was observed in the CD8<sup>+</sup> T cells that were cultured with the mock-transduced CD4<sup>+</sup> T cells. In addition, the average frequencies of IFN $\gamma$ -producing cells in CD8<sup>+</sup> T cells were 3.7% or 6.8% when WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells were added at ratio of auto-PBMCs:CD4<sup>+</sup> T cells, 10:1 or 10:2, respectively (Fig. 6E).

Thus, these results clearly demonstrated that WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells could enhance the induction of WT1-specific CD8<sup>+</sup> CTLs dependently on cell number of the CD4<sup>+</sup> T cells.

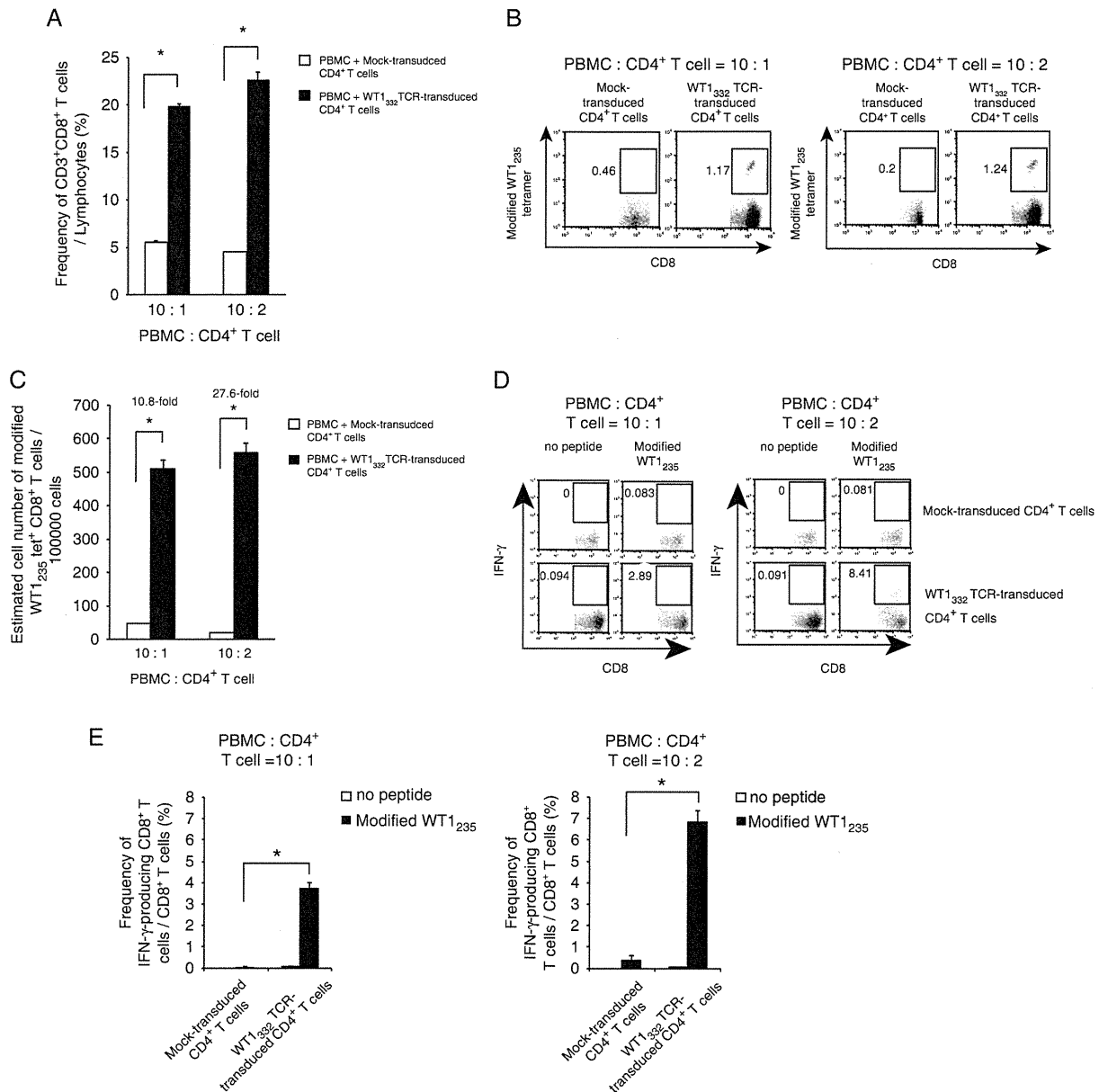
## DISCUSSION

Although a number of studies of TAA-specific TCR gene therapy were reported in last decade,<sup>14-16,32-34</sup> there seemed to be few studies focusing on HLA class II-restricted, TAA-specific TCR gene therapy.<sup>35-37</sup> It is likely that the following 3 steps is necessary for induction an optimal antitumor immune response<sup>38</sup>: first, antigen-presenting cells such as dendritic cell and macrophage phagocytose necrotic/apoptotic tumor cells and present TAAs to CD4<sup>+</sup> T cells in context with MHC class II; second, the TAA-specific CD4<sup>+</sup> T cells recognize TAAs and activate the antigen-presenting cells; and third, the TAA-specific CD8<sup>+</sup> CTLs are induced by the activated antigen-presenting cells through cross-priming and kill the TAA-expressing tumor cells. Accordingly, CD4<sup>+</sup> T cells bearing HLA class II-restricted TCR specific for TAAs (ie, TAA-specific CD4<sup>+</sup> T cells) facilitate a link between antigen-presenting cells and CD8<sup>+</sup> CTLs and play a crucial and central role in induction of an optimal antitumor immune response. It is therefore expected that TCR gene therapy using HLA class II-restricted TCR with combination of HLA class I-directed therapies such as HLA class I-restricted peptide vaccine or TCR therapy specific for TAAs can accelerate antitumor immune response. Thus, it was strongly indicated that WT1<sub>332</sub>-specific TCR gene cloned here should be useful for an HLA class II-restricted TCR gene therapy.

In the present study, it was clearly demonstrated that WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells had a potent cytotoxicity against WT1-expressing hematological malignant cells through granzyme B/perforin pathway. The granzyme B/perforin-dependent cytotoxicity of CD4<sup>+</sup> CTLs had been demonstrated in previous investigations.<sup>39-41</sup> In general, expression of HLA class II, unlike that of HLA class I, is not ubiquitous and is usually observed only on antigen-presenting cells. However, hematological malignant cells such as leukemia and lymphoma often express not only HLA class II but also costimulatory molecules (CD80, CD86, and CD54) on the cell surface. Furthermore, it has been shown that many solid tumors, including melanoma, gastric carcinoma, colorectal carcinoma, breast cancer, head and neck squamous cell carcinoma, osteosarcoma, lung cancer, and ovarian cancer also express HLA class II molecules.<sup>42,43</sup> Consequently, HLA class II-expressing tumor cells will be recognized and killed by TAA-specific CD4<sup>+</sup> T cells. In fact, it has been demonstrated that loss of HLA class II expression in lymphoma is related to decreased tumor immunosurveillance and poor patient survival.<sup>44</sup> In addition, most recent report has demonstrated that human leukemic cells acquire the



**FIGURE 5.** Wilms tumor gene 1 (WT1)<sub>332</sub> T-cell receptor (TCR)-transduced CD4<sup>+</sup> T cells can directly kill leukemia cells through granzyme B/perforin pathway in an HLA-DPB1\*05:01-restricted, WT1<sub>332</sub>-specific manner. A–C, WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells were tested for cytotoxic activity against HLA-DPB1\*05:01 positive or HLA-DPB1\*05:01 negative, WT1-expressing TF-1 leukemia cell lines (A), HLA-DPB1\*05:01 positive, WT1-expressing or WT1-unexpressing B-LCL cells (B) and HLA-DPB1\*05:01 positive, WT1-expressing (C2F8) and HLA-DPB1\*05:01-negative, WT1-expressing (K562) cell lines (C). WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells were incubated with <sup>51</sup>Cr-labeled target cells at the indicated E/T ratio for 18 hours. Columns represent mean values ± SEM from triplicated wells. These experiments were repeated several times and similar results were obtained. E/T indicates ratio of effector:target cells; N.D., not detected. (D, Expression of perforin and granzyme B in WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells was detected by flow cytometry. Representative histograms are shown. E, WT1<sub>332</sub> TCR-transduced and empty vector (mock)-transduced CD4<sup>+</sup> T cells were cocultured with WT1<sub>332</sub> peptide-pulsed or peptide-unpulsed HLA-DP5-positive TF-1 in the presence of anti-CD107a-APC mAb for 5 hours and then intracellular interferon (IFN)-γ staining was performed. The plots are gated on Venus<sup>+</sup> CD4<sup>+</sup> T cells, and the percentage on each quadrant is shown on the plot. F, HLA-DP\*05:01-positive TF-1 cells were pretreated with 100 μM of granzyme B inhibitor (Ac-IETD-Cho) or DMSO as a control for 2 hours and then labeled with <sup>51</sup>Cr, and incubated with WT1<sub>332</sub> TCR-transduced CD4<sup>+</sup> T cells and <sup>51</sup>Cr release assay was performed. Columns represent mean values ± SEM from triplicated wells. Asterisks (\*) indicate significant difference (*P* < 0.05). These experiments were repeated several times and similar results were obtained.



**FIGURE 6.** Enhancement of the induction of Wilms tumor gene 1 (WT1)-specific CD8<sup>+</sup> CTLs by WT1<sub>332</sub> T-cell receptor (TCR)-transduced CD4<sup>+</sup> T cells. WT1<sub>332</sub> TCR-transduced or empty vector (mock)-transduced CD4<sup>+</sup> T cells were added to 3 × 10<sup>6</sup> autologous PBMCs with HLA-A\*24:02/HLA-DPB1\*05:01 at the indicated ratios. The mixed cells were cultured in the presence of WT1<sub>332</sub> peptide and WT1-derived CTL epitope, modified WT1<sub>235</sub> peptide, WT1<sub>235m</sub>. On day 7, the cells were restimulated with irradiated, WT1<sub>235m</sub>-pulsed autologous PBMCs, and further cultured for a week. These cultures were performed in exogenous recombinant IL-2-free medium. Seven days after the restimulation, frequencies of CD8<sup>+</sup> T cells (A), WT1<sub>235m</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells (B and C), and interferon (IFN)γ-producing CD8<sup>+</sup> T cells in response to WT1<sub>235m</sub> (D and E) were investigated using flow cytometry. A, Frequencies of CD8<sup>+</sup> T cells are shown. CD8<sup>+</sup> T cells were determined as Venus<sup>-</sup>CD3<sup>+</sup> CD8<sup>+</sup> cells in a 7-AAD<sup>-</sup> lymphocyte population. B, Representative dot plots of WT1<sub>235m</sub>/HLA-A\*24:02-tetramer and CD8 are shown. The plots are gated on 7-AAD<sup>-</sup>Venus<sup>-</sup>CD3<sup>+</sup>CD8<sup>+</sup> lymphocytes. C, Cell numbers of WT1<sub>235m</sub>-specific CTLs per 1 × 10<sup>5</sup> lymphocytes were estimated from the frequency of WT1<sub>235m</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells in CD8<sup>+</sup> T cells. D and E, The cells cultured as described earlier were restimulated with WT1<sub>235m</sub> peptide in the presence of CD28/CD49d Costimulatory Reagent and Brefeldin A for 4 hours and intracellular IFN-γ-staining assay was performed. Representative dot plots (D) and summarized data (E) are shown. All data shown in columns (A, C, and E) represent mean values ± SEM from duplicated assays. Asterisks (\*) indicate significant difference (P < 0.05). These experiments were repeated 2 times and similar results were obtained.

phenotypes like an antigen-presenting cell by the contact with CD4<sup>+</sup> T cells, resulting in good targets of CD4<sup>+</sup> T-cell-mediated cytotoxicity.<sup>45</sup> Other studies showed that in solid tumors, HLA class II expression correlated with good clinical outcome.<sup>42,46,47</sup> Friedman et al<sup>48</sup> reported that

HLA class II-restricted, melanoma-specific CD4<sup>+</sup> T cells were contained in tumor-infiltrating lymphocytes (TILs) and that adoptive cell transfer of the TILs into the patient let metastatic melanoma regress dramatically. These findings strongly indicate that both hematological malignancy



1 and solid tumor are good targets for cytotoxic CD4<sup>+</sup> T cells.

3 The present study clearly demonstrated that WT1<sub>332</sub>  
 5 TCR-transduced CD4<sup>+</sup> T cells displayed helper activity  
 7 for WT1-specific CTL induction and cytotoxicity against  
 9 leukemia cells. This observation that WT1<sub>332</sub> TCR-trans-  
 11 duced CD4<sup>+</sup> T cells had 2 function (helper and cytotox-  
 13 icity) raised a hypothesis that function of CD4<sup>+</sup> T cells was  
 15 divided into 2 phases: helper and cytotoxicity phases. It is  
 17 generally known that IL-2 derived from CD4<sup>+</sup> T cells is a  
 19 crucial factor for exhibition of helper activity to enhance  
 21 CTL function and that undifferentiated, proliferative  
 23 CD4<sup>+</sup> T cells can more produce IL-2 compared to differenti-  
 25 ated, nonproliferative CD4<sup>+</sup> T cells. WT1<sub>332</sub> TCR-trans-  
 27 duced CD4<sup>+</sup> T cells rapidly proliferated and produced a  
 29 large amount of IL-2 at early phase within 1 month from the  
 31 beginning of the culture, but stopped producing IL-2 with  
 33 less proliferation at late phase after repeated antigen-stim-  
 35 ulation (data not shown). It was previously reported that  
 37 cytotoxic CD4<sup>+</sup> T cells appeared after repeated antigen-  
 39 stimulation and possessed a phenotype-like terminally dif-  
 41 ferentiated effector cells such as CD27<sup>-</sup>, CD28<sup>-</sup>, and  
 43 CD57<sup>+</sup>.<sup>41</sup> These findings supported our hypothesis that  
 45 CD4<sup>+</sup> T cell might transiently exert a helper activity (helper  
 47 CD4<sup>+</sup> T cells) at early phase and then a cytotoxic activity  
 49 (cytotoxic CD4<sup>+</sup> T cells) at late phase.

As WT1 was selected from 75 defined tumor antigens  
 to rank as the most promising cancer vaccine target in a  
 prioritization study carried out by National Cancer Insti-  
 tute,<sup>13</sup> WT1-targeted cancer immunotherapy is thought to  
 be also the most promising strategy for cure of cancer. TCR  
 gene therapy using WT1<sub>332</sub>-specific TCR should be a useful  
 tool for cancer immunotherapy because the TCR-trans-  
 duced CD4<sup>+</sup> T cells elicited both a helper activity for the  
 induction of WT1-specific CD8<sup>+</sup> CTLs and a cytotoxic  
 activity against tumor. Furthermore, combination TCR  
 gene therapy of HLA class I-restricted, WT1-specific TCR  
 and HLA class II-restricted, WT1<sub>332</sub>-specific TCR is  
 expected to be more efficient. In addition, WT1<sub>332</sub>-specific  
 TCR gene therapy should be also effective in combination  
 with HLA class I-restricted WT1 peptide vaccine.

#### CONFLICTS OF INTEREST/ FINANCIAL DISCLOSURES

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## WT1 peptide immunotherapy for gynecologic malignancies resistant to conventional therapies: a phase II trial

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### Abstract

**Objective** The aim of the present study was to analyze the long-term survival effects of WT1 peptide vaccine, in addition to its anti-tumor effects and toxicity.

**Methods** A phase II clinical trial was conducted during the period of 2004–2010 at Osaka University Hospital, Osaka, Japan. The patients who had gynecologic malignancies progressing against previous treatments received WT1 peptide vaccine intradermally at 1-week intervals for 12 weeks. The vaccination was allowed to further continue, unless the patient's condition became significantly worse due to the disease progression.

**Results** Forty out of 42 patients, who met all the inclusion criteria, underwent WT1 peptide vaccine. Among these 40 patients, stable disease was observed in 16 cases (40 %). Skin toxicity of a grade 1, 2 and 3 occurred in 25 cases (63 %), 9 cases (23 %) and a single case (3 %), respectively, and liver toxicity of grade 1 in a single case (3 %). The overall survival period was significantly longer in cases positive for the WT1 peptide-specific delayed-type hypersensitivity (DTH) reaction after the vaccination, compared to those negative for the DTH reaction ( $p = 0.023$ ). Multivariate Cox proportional hazards analysis demonstrated that the adjusted hazard ratio for the

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negative DTH reaction was 2.73 (95 % CI 1.04–7.19,  $p = 0.043$ ).

**Conclusion** WT1 peptide vaccine may be a potential treatment, with limited toxicity, for gynecologic malignancies that have become resistant to conventional therapies. Larger scale of clinical studies is required to establish the efficacy of the WT1 peptide vaccine for gynecologic malignancies.

**Keywords** WT1 peptide immunotherapy · Gynecologic malignancy · Anti-tumor effect · Survival · Stable disease · Toxicity

#### Abbreviations

CR	Complete response
CT	Computed tomography
HLA	Human leukocyte antigen
HPV	Human papillomavirus
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
PS	Performance status
RECIST	Response evaluation criteria in solid tumor
RR	Responsive rate
SD	Stable disease
TC	Paclitaxel and carboplatin

#### Introduction

The Wilms tumor gene, *WT1*, was first identified as a tumor suppressor gene responsible for Wilms tumors of the kidney. However, a series of investigations demonstrated that *WT1* possesses an oncogenic, rather than a tumor-suppressive, function, and WT1 protein is expressed in various kinds of hematological and solid malignancies, indicating that immunotherapy targeting WT1 could potentially be used for treatment of a variety of such malignancies (Oka and Sugiyama 2010). In fact, WT1 has been regarded as one of the most promising target antigens for cancer immunotherapy by an American National Cancer Institute pilot project (Cheever et al. 2009). It has already been demonstrated that WT1 vaccination is safe, and encouraging reports that showed its efficacy for several kinds of tumors have been accumulated (Oka and Sugiyama 2010; Hashii et al. 2010; Oji et al. 2010; Izumoto et al. 2008). A previous phase I study empirically determined a safe dose of the WT1 peptide, which was intradermally injected with Montanide ISA 51 adjuvant for patients with solid tumors, as 3 mg per injection, and this dose was shown to have little toxicity except skin reaction of the vaccination sites (Morita et al. 2006).

Ovarian carcinoma accounts for 5 % of all cancers among women and will eventually develop in 1 of every 58 women. It has an extremely high mortality rate; consequently, aggressive cytoreductive surgery followed by chemotherapy with taxane and platinum is the gold standard for its therapy. Endometrial carcinoma is an even more common malignant neoplasm of the female pelvis and is the fourth most common cancer of women today. Endometrial carcinoma is usually confined to the uterus or pelvis, has a lower mortality rate than ovarian cancer and is commonly treated by resection of the uterus and adnexae, with or without co-resection of the regional lymph nodes. Another common gynecological tumor, uterine cervical carcinoma, is mostly associated with a human papilloma virus (HPV) infection, and its incidence appears to vary from one locality to another. It is important to note that in some Asian and South American countries, cervical carcinoma accounts for the largest percentage of cancer deaths in women. Cervical carcinoma is usually treated by radical surgery and/or radiation therapy. And lastly, yet another kind of uterine tumor, the leiomyosarcoma, although rare, has an extremely poor prognosis (DiSaia and Creasman 2002).

Tumors in the early stage of all these diseases are usually treated relatively successfully, while the advanced and recurrent forms of these diseases are often very difficult to treat. Salvage, second-line and third-line chemotherapies are effective in only a fraction of the cases, and the best available supportive care is usually proposed to the patients whose tumors have become resistant to prior therapies.

An immunotherapeutic approach that is less toxic than available chemotherapies might be a more promising option for those whose gynecologic malignancies continue to progress despite conventional chemotherapy and radiation treatments. A previous small study showed that disease stabilization was achieved in 3 (25 %) of 12 gynecologic malignancies by vaccination with an antigenic WT peptide (Ohno et al. 2009). There is only one case report on the effect of WT1 peptide for the survival elongation in a ovarian cancer case (Dohi et al. 2011). In the present phase II trial, we have analyzed for the first time the long-term survival effect of the WT1 peptide vaccine, as well as its anti-tumor effects, evaluated by the usual response evaluation criteria in solid tumor (RECIST) and toxicity.

#### Materials and methods

##### Eligibility

This phase II trial was conducted at Osaka University Hospital, Osaka, Japan, during the period of 2004–2010. Major inclusion criteria were as follows: having a gynecologic malignancy progressing despite previous treatments;

WT1 protein expression in the primary or metastatic tumor tissue using anti-WT1 rabbit polyclonal antibody C-19 (Santa Cruz Biotechnology) or anti-WT1 mouse monoclonal antibody 6F-H2 (Dako Cytometry); positive status for human leukocyte antigen (HLA)-A\*2402; performance status (PS) of 0–2; and life expectancy >3 months.

**Vaccination schedule**

The HLA-A\*2402-restricted, 9-mer modified WT1 peptide (amino acids 235–243: CYTWNQMNL) emulsified with Montanide ISA 51 adjuvant, was used for the vaccination, as previously described (Hashii et al. 2010). The dose of WT1 peptide injected was 3 mg per body. The WT1 vaccination was scheduled to be performed intradermally every week for 12 weeks but was allowed to continue even after 12 weeks, unless the patient’s condition became significantly worse due to the disease progression.

**Evaluation of the WT1 vaccine effects**

The primary endpoints of the WT1 vaccine study were its anti-tumor effect and its toxicity. Computed tomography (CT) was performed every 4 weeks to evaluate tumor size. The anti-tumor effect was evaluated by the RECIST (version 1.1) (Eisenhauer et al. 2009) after the vaccination during 12 weeks. Adverse effects were graded based on the National Cancer Institute’s Common Toxicity Criteria (version 2.0). A test for delayed-type hypersensitivity (DTH) reaction specific to the WT1 peptide used for vaccination was performed at week 4 and 8. We regarded the patient as DTH positive, if the DTH reaction of the patient was positive either at week 4 or at week 8.

Secondary endpoints were progression-free survival (PFS) and overall survival (OS). PFS was defined as the period from the date of the start of WT1 vaccination to the date of the radiologic or pathologic relapse, or to the date of the last follow-up. OS was defined as the period from the start of the vaccination to the patient’s death or to the date of the last follow-up. OS was analyzed for its association with DTH.

**Cancellation or termination of WT1 vaccination**

If grade 3 toxicity was observed, the next injection of the WT1 vaccine was postponed until the toxicity returned to grade 2 or less. The vaccination was permanently terminated if grade 4 toxicity was detected or if a performance status of 3 or worse was observed.

**Statistical analysis**

MedCalc (MedCalc Software, Mariakerke, Belgium) was used for statistical analysis. The association between DTH

induction and anti-tumor effect, including RECIST evaluation, PFS and OS, was analyzed by Fisher’s exact test. OS curves were constructed using the Kaplan–Meier method and evaluated for statistical significance by the log-rank test. Multivariate Cox proportional hazards model (stepwise method) for the factors including age, origin of the disease, histology, evaluation of the previous therapy and number of recurrence was calculated to evaluate whether DTH was a significantly important factor on OS. Results were considered to be significant when the *p* value was <0.05.

**Statements of ethics**

This study was approved by the Institutional Review Board and the Ethics Committee of the Osaka University Hospital. All patients provided written informed consent. (Approval of this analysis: #10302, approved on March 11, 2011).

**Results**

**Clinical characteristics of the patients and completion rate of the study schedule**

During the study period, 42 patients entered the study. Among these, 2 patients were excluded from the present analysis due to protocol violation. The clinicopathological characteristics of these patients are shown in Table 1. The median age was 56 (35–75). The histological diagnosis was obtained as ovarian carcinoma in 24 cases, cervical carcinoma in 11 cases, uterine sarcoma (leiomyosarcoma and carcinosarcoma) in 5 cases. These patients had already received 1–11 (median: 3) kinds of treatments prior to the WT1 vaccination and were considered to have disease

**Table 1** Clinical characteristics of patients enrolled in the phase II study

Characteristics	
Number (cases)	40
Median age (years) (range)	56 (35–75)
Type of malignancy	
Ovarian carcinoma	24 (60 %)
Cervical carcinoma	11 (28 %)
Uterine leiomyosarcoma/carcinosarcoma	5 (13 %)
Performance status	
0	35 (88 %)
1	4 (10 %)
2	1 (3 %)
Median number of previous treatment regimens (range)	3 (1–11)

resistant to conventional therapies such as chemotherapy and radiotherapy.

Injection of the WT1 vaccine was performed weekly for 1–50 (median: 14.5) times. The 12 injections prescheduled upon entry to this trial were completed in 32 of the 40 cases (80 %). Vaccination was terminated prior to the 12th injection due to progression of the disease including worsening of PS in 8 cases (20 %).

#### Anti-tumor effect of the WT1 peptide vaccine evaluated by RECIST

Among the 40 patients who received the WT1 vaccination, neither complete response (CR) nor partial response (PR) was obtained. Encouragingly, however, stable disease (SD) of 3 months or more was observed in 16 cases (40 %), including 10 cases of ovarian carcinoma, 5 cases of cervical carcinoma and a single case of uterine leiomyosarcoma, respectively.

The WT1 peptide-specific DTH reaction appeared after the vaccination in 27 cases (68 %); however, the vaccine's anti-tumor effect evaluated by RECIST was not correlated to the appearance of DTH (data not shown).

#### Toxicity of the WT1 vaccination

An adverse effect was observed in 36 cases (90 %): grade 1, 2 and 3 of skin reaction in 25 cases (63 %), 9 cases (23 %) and a single case (3 %), respectively, and grade 1 liver toxicity in a single case (3 %). The skin reactions had definite relationship with WT1 injection because the reactions were observed only in WT1 injected area. The liver toxicity occurred after first injection of WT1, and the relationship between WT1 vaccine and liver toxicity was probable. Postponement of the next injection due to adverse effects occurred in one case with grade 3 of skin reaction. However, termination of the WT1 vaccine injection due to adverse effects was never required.

#### Prognosis of the patients treated with WT1 peptide vaccine: the vaccines' survival effect

The PFS was 84 days (11–497). Surprisingly, among these WT1-vaccinated cases, which had been already resistant to conventional therapies and the disease had exhibited continuous progression against various other treatments for 40–1,198 days (median: 185 days), progression-free survival for a range of 67–427 days (median: longer than 160 days) was achieved in 16 SD cases (Table 2). The median OS of all the patients was 193 days (29–941).

Although an association between an anti-tumor effect evaluated RECIST and an appearance of DTH reaction was not observed, the PFS tended to be longer in DTH-positive

**Table 2** Duration of disease progression before WT1 vaccination was begun and progression-free period afterward in stable disease (SD) cases

Case number	Duration of disease progression before WT1 vaccine (days)	Progression-free survival after WT1 vaccine (days)
1	40	105 <sup>a</sup>
2	55	67
3	61	427 <sup>a</sup>
4	81	320
5	97	126
6	142	145
7	155	92
8	178	273
9	192	140 <sup>a</sup>
10	324	84
11	405	175
12	434	196
13	439	84 <sup>a</sup>
14	655	196
15	737	219
16	1,198	180 <sup>a</sup>
Median	185	160 <sup>a</sup>

The duration of disease progression before the WT1 vaccine, and the progression-free period after the start of WT1 vaccination in 16 SD cases, is demonstrated

<sup>a</sup> The cases in which the disease was stable after WT1 vaccination without progression

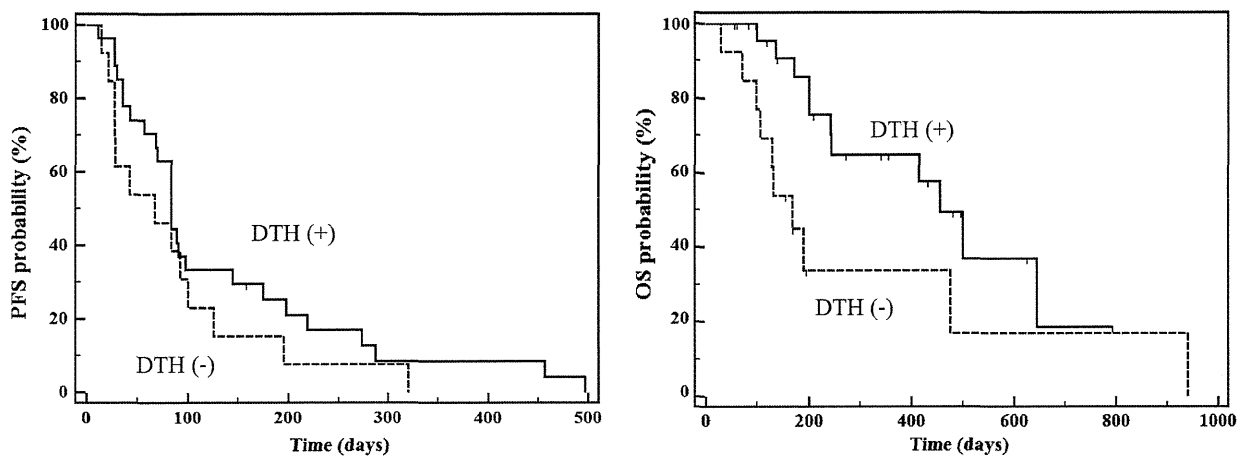
cases than DTH-negative ones ( $p = 0.23$  by the log-rank test), and the OS was significantly longer in DTH-positive cases than DTH-negative ones ( $p = 0.023$  by the log-rank test) (Fig. 1).

#### Multivariate Cox proportional hazards analysis

We utilized the multivariate Cox proportional hazards model in order to find evidence to further support our belief that the DTH reaction was significantly associated with the survival. The DTH reaction was demonstrated to be an independent factor for overall survival of the patients (Table 3). The adjusted hazard ratio (HR) for the DTH reaction (– vs. +) was 2.73 (95 % CI 1.04–7.19,  $p = 0.043$ ).

#### Discussion

A National Cancer Institute pilot project recently suggested that WT1 was one of the most promising targets for cancer immunotherapy (Cheever et al. 2009), and it has been demonstrated that WT1 vaccination is safe and has therapeutic potential for at least several kinds of tumors (Oka and Sugiyama 2010; Hashii et al. 2010; Oji et al. 2010;



**Fig. 1** PFS and OS association with DTH after WT1 vaccination. **a** The PFS tended to be longer in positive DTH cases than in DTH-negative cases ( $p = 0.23$  by the log-rank test). **b** The OS was

significantly longer in positive DTH cases than in DTH-negative cases ( $p = 0.023$  by the log-rank test). *Solid line: DTH (plus), broken line: DTH (minus)*

Izumoto et al. 2008; Ohno et al. 2009). In this current phase II trial, we have tested the efficacy and safety of WT1 immunotherapy for gynecologic malignancies that were progressing, that is, resistant against conventional therapies.

In general, gynecologic tumors, including ovarian, endometrial and cervical carcinomas and uterine sarcomas, are very difficult to further treat, once the disease become resistant to conventional therapies such as chemotherapy or radiotherapy. For example, when ovarian carcinoma is first treated with cytoreductive surgery, the surgery is immediately followed by combination chemotherapy with paclitaxel and carboplatin (TC). If there is a failure of this first-line treatment, a single drug or combination chemotherapy for the recurrent disease, chosen based on the patient's treatment-free interval, can still be performed effectively in some cases (Koensgen et al. 2008; Markman et al. 2003; Harries and Gore 2002; Dizon et al. 2003). However, even though some third-line regimens have been reported to be occasionally effective for second relapses of some of these advanced stage diseases (Vergote et al. 2009; Chiyoda et al. 2010); the efficacy of each attempt becomes progressively lower as the number of previous treatment failures increases.

In the present study, the median number of the previous treatment regimens was 3 (range 1–11 treatments). Since all of the patients in the present trial had exhibited resistance to previous therapies, normally supportive care would have been considered as the only remaining option for them; however, the experimental WT1 vaccination immunotherapy was offered to them as an alternative.

A previous small study showed that stable disease was achieved by WT vaccination in 3 (25 %) of 12 gynecologic malignancies (Ohno et al. 2009). However, that study was

so small that a survival effect was not analyzed. The response rate (CR + PR/all) in our study was 0 % (0 of 40 cases). However, the disease control rate (CR + PR + SD/all), which corresponds to disease stabilization lasting at least 3 months from the start of the vaccination, was 40 % (16 of 40 cases). The median PFS was 84 days (11–497), and the median OS of all the patients was 193 days (29–941). Considering that these cases were resistant to various kinds of therapies, and the diseases were progressing prior to the vaccination, these results of disease control rate and PFS time may be favorable, and were consistent with results of the previous smaller study that suggested the therapeutic potential of WT1 vaccine for gynecologic malignancies. Furthermore, surprisingly, in these SD cases, whose tumors had continuously progressed against previous therapies during the median of 185 days of treatments (range 40–1,198 days), the disease was durably controlled, without significant progression of the disease, for the median of longer than 160 days (range 67–427 days) after starting the WT1 immunotherapy (Table 2), implying an improved survival effect of the WT1 peptide vaccine. The adverse effect by the WT1 peptide-based immunotherapy with the dosage and schedule adopted here was limited and largely tolerable.

We next investigated the association of DTH and the efficacy of the WT1 immunotherapy. The OS of the patients with a positive DTH reaction was significantly better than that of those with a negative DTH reaction ( $p = 0.023$  by the log-rank test) (Fig. 1). Moreover, the DTH reaction was demonstrated to be an independent factor for overall survival of the patients by multivariate Cox proportional hazards analysis (Table 3). These findings suggested that the induction of WT1-specific immune response, that is, the peptide-specific DTH, is a potential

**Table 3** Multivariate Cox proportional hazards analysis on overall survival

Variable	Number of cases	Adjusted HR	95 % CI	<i>p</i> value
Age (years)				0.44
<60	24	1		
≥60	16	0.64	0.21–1.96	
Origin of the disease				0.75
Uterus	16	1		
Ovary	24	1.17	0.44–3.14	
Histology				0.98
Carcinoma	35	1		
Sarcoma, carcinosarcoma	5	0.99	0.28–3.42	
Evaluation of the previous therapy				0.39
SD	4	1		
PD	36	1.88	0.46–7.71	
Number of previous therapy regimens				0.034
<3	12	1		
≥3	28	4.28	1.12–16.37	
DTH				0.043
+	27	1		
–	13	2.73	1.04–7.19	

Multivariate Cox proportional hazards analysis (stepwise method) for the factors including age, origin of the disease, histology, evaluation of the previous therapy, number of previous therapy regimens and DTH was performed to evaluate whether DTH was an independently significant factor on OS

SD stable disease, PD progressive disease

predictor for the induction of clinical response, leading to a better prognosis.

The number of previous treatment regimens was also demonstrated to be an independent factor for survival prognosis after WT1 immunotherapy. The response rate of the first-line chemotherapy was quite high for ovarian carcinoma, however, that of second-line and the third-line chemotherapy was 34.5 and 27.5 %, respectively (Nishio et al. 2006). Effectiveness of WT1 was demonstrated to be associated with the number of previous treatment regimens, which was similar to that of the cell toxic chemotherapy. As the number of chemotherapy regimen increases, the tumor cells are considered to become resistant to the next line therapy. Furthermore, immunological potentials of the patients treated by chemotherapy with many courses might be dampened, leading to the poor response to the administered cancer vaccine. WT1 peptide vaccination soon after the first-line therapy, including the vaccination to prevent relapse after the operation, chemotherapy or radiation therapy, may be a favorable setting for the next clinical trial.

In the present phase II prospective study with a single arm, we have, for the first time, analyzed the survival effect of the WT1 vaccine for gynecologic malignancies, in addition to its anti-tumor effect conventionally evaluated by RECIST and toxicity, which had previously been reported in a smaller pilot study (Ohno et al. 2009). It was strongly suggested that WT1 peptide vaccination could induce the peptide-specific immune response in patients whose gynecological tumors have become resistant to conventional therapies, leading to a better survival. Larger two-arm randomized studies will be required to confirm the efficacy and clinical usefulness of the WT1 peptide vaccine for gynecologic malignancies.

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**Conflict of interest** The authors have no conflict of interest.

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# Biased usage of T cell receptor $\beta$ -chain variable region genes of Wilms' tumor gene (WT1)-specific CD8<sup>+</sup> T cells in patients with solid tumors and healthy donors

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Wilms' tumor gene 1 (WT1) protein is a promising tumor-associated antigen. In patients with WT1-expressing malignancies, WT1-specific CTLs are spontaneously induced as a result of an immune response to the WT1 protein. In the present study, we performed single cell-level comparative analysis of T cell receptor  $\beta$ -chain variable region (TCR-BV) gene families of a total of 750 spontaneously induced WT1<sub>126</sub> peptide (amino acids 126–134, WT1<sub>126</sub>)-specific CTLs in both HLA-A\*0201<sup>+</sup> patients with solid tumors and healthy donors (HDs). This is the first report of direct usage analysis of 24 kinds of TCR-BV gene families of WT1<sub>126</sub>-specific CTLs at the single cell level. Usage analysis with single-cell RT-PCR of TCR-BV gene families of individual FACS-sorted WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells showed, for the first time, that: (i) BVs 3, 6, 7, 20, 27, and 28 were commonly biased in patients and HDs; (ii) BVs 2, 11, and 15 were biased only in patients; and (iii) BVs 4, 5, 9, and 19 were biased only in HDs. However, statistical analysis of similarity of individual usage frequencies of 24 kinds of TCR-BV gene families between patients and HDs indicated that the usage frequencies of TCR-BV gene families in patients reflected those in HDs. These results should provide us with a novel insight for a better understanding of WT1-specific immune responses. (*Cancer Sci* 2012; 103: 408–414)

**W**ilms' tumor gene (*WT1*) encodes a zinc-finger transcription factor and plays important roles in the regulation of cell proliferation, differentiation, and apoptosis.<sup>(1–3)</sup> The *WT1* gene was originally isolated as the gene responsible for a childhood renal neoplasm, namely Wilms' tumor, and was first categorized as a tumor-suppressor gene.<sup>(4,5)</sup> However, based on the result of a series of studies,<sup>(6–8)</sup> we proposed that the wild-type *WT1* gene had an oncogenic rather than a tumor-suppressor function in various kinds of hematological malignancies and solid tumors. Indeed, the *WT1* gene is expressed at high levels in acute myeloid leukemia (AML), acute lymphocytic leukemia, chronic myelogenous leukemia, and myelodysplastic syndromes (MDS), as well as in various types of solid tumors.<sup>(9–14)</sup> Because a correlation has been shown between *WT1* mRNA transcript levels and the amount of minimal residual disease (MRD) in the peripheral blood (PB) or bone marrow of leukemia patients,<sup>(15–17)</sup> measurement of *WT1* mRNA transcripts is now being used to monitor MRD in leukemia patients.

Previous studies have reported that WT1-specific CTLs can be generated from human PBMC in a human leukocyte antigen (HLA) Class I-restricted manner and can lyse WT1-expressing tumor cells as well as WT1 peptide-pulsed target cells.<sup>(18,19)</sup> Mice immunized with WT1 peptide or WT1 plasmid DNA elicit WT1-specific CTLs and reject challenges by WT1-expressing tumor cells.<sup>(20,21)</sup> Furthermore, WT1-specific CTLs and antibodies are induced spontaneously in WT1-expressing tumor-bearing patients.<sup>(22–24)</sup> These results indicate that the WT1 protein is highly immunogenic and a promising target antigen for cancer immunotherapy. In fact, WT1 has been rated as the most promising cancer antigen of 75 tumor-associated antigens.<sup>(25)</sup>

On the basis of the results of these preclinical studies, clinical studies of WT1 peptide vaccination were undertaken,<sup>(26–29)</sup> with promising clinical effects, including a reduction in leukemic blast cells and tumor size, as well as long-term stable disease, being seen in association with an increase in the frequency of WT1-specific CD8<sup>+</sup> T cells in PB.<sup>(26,27)</sup> In this context, analysis of the clonality of the WT1-specific CTLs is important to gain a better understanding of the WT1-specific CTL response in WT1-expressing tumor-bearing patients and, further, to obtain clues as to how to enhance WT1-specific CTL responses in WT1 immunotherapy.

Recently, using single-cell RT-PCR analysis of the T cell receptor  $\beta$ -chain variable region (TCR-BV) genes of individual FACS-sorted WT1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells, we demonstrated biased usage of TCR-BV gene families of WT1<sub>235</sub> peptide (amino acids 235–243)-specific CTLs in HLA-A\*2402<sup>+</sup> patients with AML or MDS, which reflected the biased usage in healthy donors (HDs).<sup>(30)</sup>

In the present study, we examined usage frequencies of TCR-BV gene families of CTLs specific for WT1<sub>126</sub>, an HLA-A\*0201-restricted CTL epitope, in both patients with solid tumors and HDs and found biased usage for these TCR-BV gene families in both the patients and HDs and that the patterns of biased usage were very similar between the two groups.

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**Table 1. Characteristics of the patients and healthy donors**

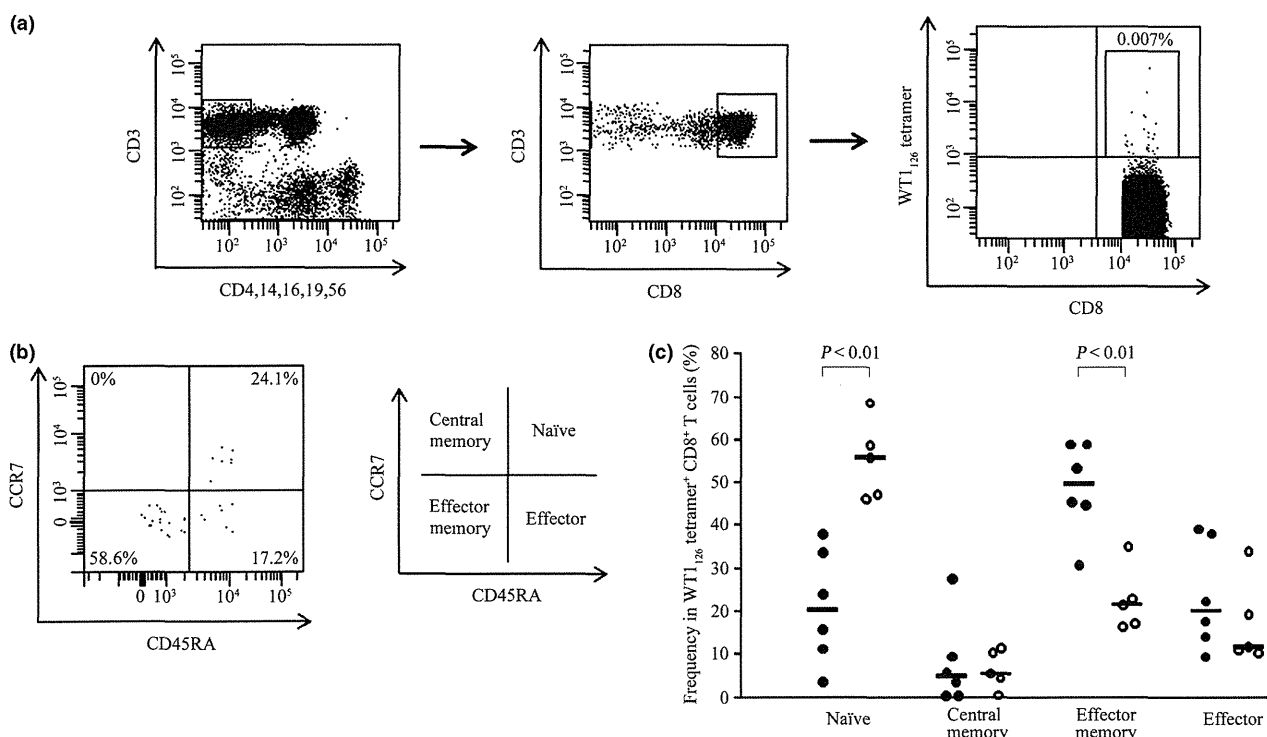
	Gender	Age (years)	Disease	Clinical stage	Prior therapy
<b>Patients</b>					
PT-1	M	33	GBM	N/A	Ope/RT
PT-2	F	56	GBM	N/A	Ope/RT
PT-3	M	28	GBM	N/A	Ope/RT/Chemo
PT-4	M	18	PNET	IV	Ope/RT/Chemo/ auto-PBSCT
PT-5	F	53	Ovarian cancer	IIIc	Ope/Chemo
PT-6	F	73	Cecal cancer	IV	Ope/Chemo
<b>Healthy donors</b>					
HD-1	F	23			
HD-2	M	45			
HD-3	F	24			
HD-4	F	25			
HD-5	M	37			

auto-PBSCT, autologous peripheral blood stem cell transplantation; Chemo, chemotherapy; GBM, glioblastoma multiforme; N/A, not available; Ope, operation; PNET, primitive neuroectodermal tumor; RT, radiation therapy.

**Materials and Methods**

**Samples of PB from patients with solid tumors and HDs.** Analysis of WT1<sub>126</sub>-specific CTLs in PBMC was approved by the Institutional Review Board for Clinical Research, Osaka University Hospital. After written informed consent had been obtained, PB samples were obtained from six HLA-A\*0201<sup>+</sup> patients with a solid tumor (patient (PT)-1, -2, -3, -4, -5, and -6) and five HLA-A\*0201<sup>+</sup> HDs. Expression of WT1 protein in tumor cells was determined by immunohistochemical analysis, as described elsewhere.<sup>(31)</sup> The PBMC were separated by density gradient centrifugation using Ficoll-Hypaque (Pharmacia, Uppsala, Sweden) and cryopreserved in liquid nitrogen until use. Table 1 summarizes the characteristics of both the patients and HDs.

**Flow cytometric analysis and single-cell sorting of WT1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells.** Thawed PBMC were rested at 37°C for 1.5 h in RPMI 1640 containing 10% FBS before being stained with phycoerythrin (PE)-labeled HLA-A\*0201/WT1<sub>126</sub> tetramer (WT1<sub>126</sub> tetramer; MBL, Tokyo, Japan) in FACS buffer composed of PBS containing 5% FBS at 37°C for 30 min. The PBMC were then stained with a panel of mAbs at 4°C for 25 min in the dark, washed three times with FACS buffer, and finally resuspended in appropriate quantities of FACS buffer. The following mAbs were used: anti-CD4-FITC, anti-CD16-FITC, anti-CD45RA-allophycocyanin (APC) (BioLegend, San



**Fig. 1.** Frequencies of WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells in peripheral blood of patients with a solid tumor and healthy donors and phenotypic analysis of WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells. (a) Representative data of flow cytometric analysis using WT1<sub>126</sub> tetramer. CD4<sup>+</sup>, CD14<sup>+</sup>, CD16<sup>+</sup>, CD19<sup>+</sup>, CD56<sup>-</sup>, and WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells were defined as WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells. The percentages shown represent the frequencies of WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells among total CD3<sup>+</sup> CD8<sup>+</sup> T cells. (b) WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells were classified into four distinct differentiation stages according to the cell surface expression of CCR7 and CD45RA as follows: (i) CCR7<sup>+</sup> CD45RA<sup>+</sup> (naïve) cells; (ii) CCR7<sup>+</sup> CD45RA<sup>-</sup> (central memory) cells; (iii) CCR7<sup>-</sup> CD45RA<sup>-</sup> (effector memory) cells; and (iv) CCR7<sup>-</sup> CD45RA<sup>+</sup> (effector) cells. Representative data from Patient 3 are shown. (c) Frequencies of each subset of WT1<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells. Closed and open circles represent patients and healthy donors, respectively. Bars indicate the median values of the frequencies.

Diego, CA, USA); anti-CD19-FITC, anti-CCR7-PE-Cy7 (BD Pharmingen, San Diego, CA, USA); anti-CD3-peridinin chlorophyll II protein (PerCP), anti-CD8-APC-Cy7, anti-CD14-FITC (BD Biosciences, San Jose, CA, USA); and anti-CD56-FITC (eBioscience, San Diego, CA, USA). In the present study, lineage antigen (CD4, CD14, CD16, CD19, and CD56)-negative, CD3-, CD8-, and WT<sub>126</sub> tetramer-positive lymphocytes were defined as WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells. The WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells were single-cell sorted using a FACSaria instrument (BD Biosciences), and data were analyzed using FACSDiva software (BD Biosciences).

**Synthesis of cDNA from a single cell-sorted WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cell and determination of TCR-BV gene families.** The WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells were directly single-cell sorted into PCR tubes containing cDNA reaction mix, and cDNA synthesis was performed as described previously.<sup>(30)</sup> The cDNA was amplified using 24 kinds of TCR-BV gene family-specific forward primers and a constant region-specific reverse primer.<sup>(30)</sup> Next, the PCR products were amplified by semi-nested PCR for the screening of the BV gene family as follows: the first PCR product was put into eight separate tubes, each of which was filled with a reaction mix containing the reagents, one of eight kinds of screening sets of BV gene family-specific forward primers and the reverse primer. The eight kinds of screening sets used in the present study were the same as those used in a previous study.<sup>(30)</sup> Each screening PCR product was run on a 2% agarose gel to identify the positive reaction among the eight kinds of screening sets. Finally, the TCR-BV gene family was identified by the second round of PCR using an individual TCR-BV gene family-specific forward primer, which was contained in the positive screening set, and the reverse primer. As a negative control, three PCR tubes without sorted cells were prepared in each experiment and were subjected to the same RT-PCR procedures.

A total of 750 WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells were analyzed in six patients (i.e. 59, 66, 46, 67, 88, and 73 cells from PT-1, -2, -3, -4, -5, and -6, respectively) and five HDs (i.e. 53, 57, 77, 79, and 85 cells from HD-1, -2, -3, -4, and -5, respectively). The International Immunogenetics Information System (IMGT) database site ([http://www.imgt.org/IMGT\\_vquest/vquest?livret=0&Option=humanTcR](http://www.imgt.org/IMGT_vquest/vquest?livret=0&Option=humanTcR), accessed 15 Nov 2011) was used to identify the human TCR-BV gene family.

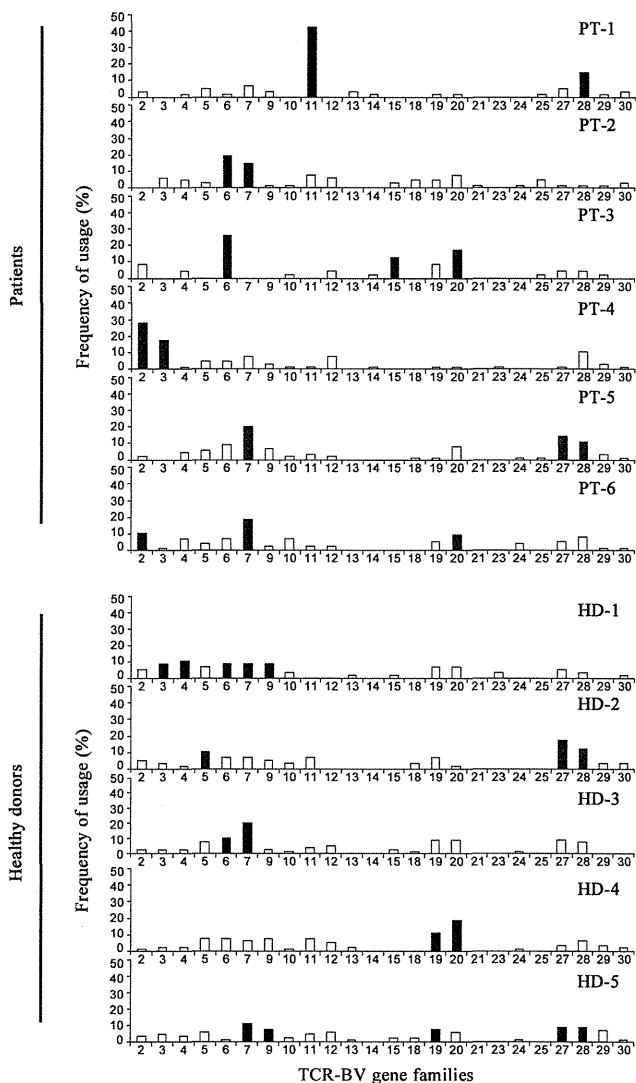
**Statistical analysis.** The Mann-Whitney *U*-test was used to evaluate differences in frequencies and subset compositions of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells and CD3<sup>+</sup> CD8<sup>+</sup> T cells between patients and HDs. The significance of differences in usage frequencies of the 24 kinds of BV gene families between patients and HDs was also assessed using the Mann-Whitney *U*-test. Analyses were performed with the Stat Flex statistical software package (Artech, Osaka, Japan).

## Results

**Increase in WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells with effector memory phenotype in HLA-A\*0201<sup>+</sup> patients with solid tumors.** The CTL responses to an HLA-A\*0201-restricted epitope WT<sub>126</sub> of the WT1 protein were examined in HLA-A\*0201<sup>+</sup> patients with solid tumors. The PBMC were FACS analyzed by using WT<sub>126</sub> tetramer (Fig. 1), with Figure 1(a) showing representative profiles of the FACS analysis of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells. The frequencies of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells in patients and HDs were 0.007–0.122% (median 0.039%) and 0.009–0.079% (median 0.016%), respectively. Although there was a tendency for higher frequencies in patients than in HDs, the differences failed to reach statistical significance (data not shown).

Human CD3<sup>+</sup> CD8<sup>+</sup> T cells can be divided into four distinct differentiation stages according to the cell surface expression of

CCR7 and CD45RA as follows: (i) CCR7<sup>+</sup> CD45RA<sup>+</sup> (naïve) cells; (ii) CCR7<sup>+</sup> CD45RA<sup>-</sup> (central memory) cells; (iii) CCR7<sup>-</sup> CD45RA<sup>-</sup> (effector memory) cells; and (iv) CCR7<sup>-</sup> CD45RA<sup>+</sup> (effector) cells.<sup>(32,33)</sup> These cell surface markers were used to classify the differentiation stages of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells and a representative pattern from PT-3 is shown in Figure 1(b). The frequency of the naïve phenotype of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells was significantly higher in HDs than in patients (45.8–68.4% [median 55.6%] vs 3.4–37.9% [median 19.9%], respectively; *P* < 0.01), while the frequency of the effector memory phenotype of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells was significantly higher in patients than in HDs (30.3–58.6% [median 49.0%] vs 15.8–34.4% [median 20.7%], respectively; *P* < 0.01; Fig. 1c). In contrast, there were no significant differences in frequencies of the four subsets of the whole CD3<sup>+</sup> CD8<sup>+</sup> T cells between patients and HDs (data not shown),



**Fig. 2.** Frequencies of T cell receptor  $\beta$ -chain variable region (TCR-BV) gene families used by T cell receptors (TCRs) in WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells. The usage frequencies were defined as the ratio of (the number of a given TCR-BV gene family used)/(the total number of WT<sub>126</sub> tetramer<sup>+</sup> CD8<sup>+</sup> T cells analyzed). Closed columns indicate that the usage frequency is higher than the mean value + 1SD.