

Figure 3 | Pathogenic mutants of Parkin are subjected to Ser65 phosphorylation. (a) Diagram of Parkin protein illustrating the pathogenic mutants used in this study. The Ser65 residue in the Ubl domain is shown as a yellow circle. RING, Ring-finger motif; IBR, inbetween-Ring fingers domain. (b) Phos-tag Western blotting for Parkin and Western blotting for PINK1 were performed using Parkin WT and a series of pathogenic mutants as shown in Figure 2a. (c) Endogenous Parkin was also phosphorylated in SH-SY5Y cells after CCCP treatment. Postnuclear cell lysates from SH-SY5Y cells treated with or without 10 μM CCCP for 30 and 60 min were fractionated into mitochondria-rich (Mito) and cytosolic (Cyto) fractions. These two fractions and their combination (Mito + Cyto) were subjected to Phos-tag or normal Western blotting analyses. Endogenous PINK1 was fractionated in the Mito fraction, as previously reported45. Lactate dehydrogenase (LDH) and Tom20 were used as cytosolic and mitochondrial marker proteins, respectively. Asterisks: putative cleaved Parkin; dots: non-specific bands.

accumulation of PINK1 (Fig. 4b). The impaired degradation cannot be explained simply by the delayed translocation of Parkin mutants because both mutants completed the mitochondrial translocation by the 6 hr time-point (data not shown and see Fig. 4c). In contrast, the profiles of Parkin expression and autoubiquitination in Parkin S65A-or S65E-expressing cells were comparable with those of WT (Fig. 4b). We also examined whether Ser65 mutations affect the accumulation of proteasome (Fig. 4c) and p62 (Supplementary Fig. S7) at the mitochondria during mitophagy via the immunostaining of the proteasome subunit alpha type 7 ( $\alpha$ 7) and p62. However, there was no evidence that Ser65 mutations inhibit or delay the recruitment of proteasome and p62 to the mitochondria. Finally, we tested whether the Parkin Ubl domain itself is indispensable for the mitochondrial

translocation and the substrate degradation (Supplementary Fig. S8). Interestingly, Parkin mutant lacking the Ubl domain ( $\Delta$ Ubl) showed a mild delay in the mitochondrial translocation, slowed the mitochondrial reorganization to the perinuclear region (Supplementary Fig. S8b and c) and impaired the degradation of mitochondrial outer membrane proteins (Supplementary Fig. S8d). These results suggest that proper regulation of the Parkin Ubl domain through the Ser65 phosphorylation is required not only for efficient translocation to mitochondria as an initial step of mitophagy, but also for the degradation of mitochondrial outer membrane proteins during mitophagy through an as yet unknown mechanism.

# **Discussion**

A series of Drosophila genetic and cell biological studies have clearly demonstrated that PINK1 is required for Parkin-mediated mitochondrial maintenance. The mitophagy of damaged mitochondria is a well-characterised event in which PINK1 and Parkin are involved. However, how PINK1 regulates Parkin is largely unclear. This study has shown that Ser65 in the Ubl domain of endogenous Parkin is phosphorylated in an activated PINK1-dependent manner. In addition to mitochondrial accumulation of PINK1, ΔΨm depolarisation-dependent PINK1 autophosphorylation has been reported to be an important element for PINK1 activation and Parkin recruitment<sup>19,29</sup>. Consistent with these observations, our investigation of PINK1 siRNA suggests that a lower level of PINK1 is able to phosphorylate Parkin after ΔΨm depolarisation (Fig. 1c, compare lanes 1 and 4). Our domain analysis of PINK1 demonstrates that intact PINK1 is required for CCCP-dependent Parkin phosphorylation, and the lack of phosphorylation in fibroblasts from a PARK6 patient implies relevance to the pathogenesis of PD.

The biological significance of this phosphorylation event is suggested by the fact that replacement of Ser65 with alanine or glutamic acid impairs the mitochondrial translocation of Parkin and/or the subsequent mitophagy process. Our observation that maximal phosphorylation of Parkin occurs within 1 hr of CCCP treatment supports the idea that Ser65 phosphorylation is required for the early step of Parkin translocation. In contrast, PINK1 accumulation appears to last at least 6 hr (Fig. 4c and Supplementary Fig. S1b). The difference in time course between PINK1 accumulation and Parkin phosphorylation could be explained by the observation that phosphorylated Parkin is degraded by proteasomal activity. The biochemical evidence that only the phosphorylated form of endogenous Parkin is present in the mitochondrial fraction also implies that Parkin phosphorylation is an essential event for its mitochondrial translocation and subsequent activation (Fig. 3c and Supplementary Fig. S6). Overexpression of PINK1 and Parkin itself leads to mitochondrial translocation of Parkin independently of ΔΨm depolarization, which suggests that excessive amounts of PINK1 and Parkin do not faithfully reflect endogenous reactions. Our study using PINK1-/- MEFs stably co-expressing PINK1 and GFP-Parkin might also be saddled with such a problem. We believe that the endogenous observation in which phosphorylated Parkin is accumulated in mitochondria is a more reliable proposal as a molecular model. The delay of exogenous GFP-Parkin S65A in the mitochondrial translocation would indicate that modification of Ser65 is important for Parkin translocation at least. At the same time, another important finding is that pathogenic mutants that lose their translocation activity are also phosphorylated (Fig. 3b), raising the possibility that phosphorylation of Parkin at Ser65 is insufficient for translocation. Thus, Ser65 phosphorylation likely leads to other events in mitochondrial translocation, such as the association or dissociation of protein(s) involved in the mitochondrial translocation of Parkin or the modification of Parkin itself for activation at a different site(s).

Both the S65A and S65E Parkin mutants cannot undergo efficient mitophagy, as indicated by the incomplete degradation of

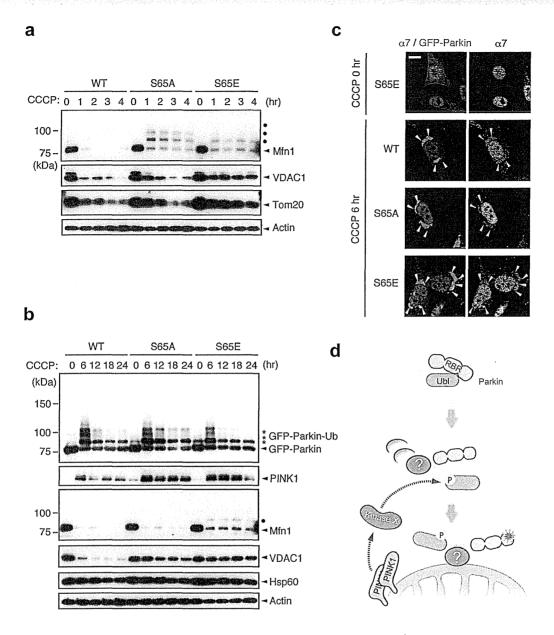


Figure 4 | Ser65 phosphorylation affects the subsequent autophagy reaction. (a) CCCP-dependent degradation of mitochondrial outer membrane proteins in PINK1 WT/PINK1<sup>-/-</sup> MEFs expressing WT or mutant forms of GFP-Parkin. Mfn1, VDAC1 and Tom20 were used as markers of mitochondrial outer membrane proteins. Actin: a loading control. Dots: ubiquitinated Mfn1. (b) Long-term time-course analysis of CCCP-dependent mitochondrial protein degradation. The degradation of outer membrane proteins was impaired in cells expressing GFP-Parkin S65A or S65E mutations. Hsp60 was used as a marker of mitochondrial matrix proteins. (c) S65A and S65E mutations do not affect proteasome recruitment to the mitochondria during mitophagy. PINK1 WT/PINK1<sup>-/-</sup> MEFs expressing WT or mutant forms of GFP-Parkin (green) were treated with 30 μM CCCP for 3 or 6 hr. Cells were stained with anti-proteasome subunit alpha type 7 (α7, red). α7-immunoreactivity was enriched in the nuclei of all three cell genotypes under normal conditions, as displayed in the representative image of S65E (CCCP 0 hr), and overlapped with the aggregated mitochondria (arrowheads) 6 hr after CCCP treatment irrespective of genotype. Similar results were obtained 3 hr after CCCP treatment. Scale bar = 10 μm. (d) Model for Parkin translocation and activation. The Parkin Ubl domain masks C-terminal RING-IBR-RING (RBR) domains for E3 activity<sup>46</sup>. A Parkin phosphorylation event at Ser65 (P), combined with unknown factor(s) (?), stimulates the mitochondrial translocation of Parkin, releasing the RBR domains from autoinhibition by the Ubl domain.

the mitochondrial outer membrane proteins. Because inhibition of the degradation of the mitochondrial outer membrane proteins by proteasome inhibitors is reported to block mitophagy<sup>32,35</sup>, it may be that the modification of Parkin Ser65 has a greater than expected impact on the mitophagy process. Although our study does not demonstrate that the S65E mutant behaves exactly like the phosphorylated form of Parkin, the S65E mutant does

translocate to the mitochondria in a similar way to WT, although with slightly impaired efficiency, suggesting that S65E has at least some properties that are similar to phosphorylated Parkin. Currently, it is unknown why S65E also inhibits the later processes of mitophagy. One possible explanation is that rapid degradation of phosphorylated Parkin is required for the proper progression of mitophagy, and S65E may not be degraded effectively. However,

there is no evidence that S65E is more stable than WT, as shown in Figure 4c.

Very recently, Kondapalli et al. proposed a model to explain the biological significance of Ser65 phosphorylation, in which Ser65 phosphorylation relieves autoinhibition of Parkin E3 activity by the Ubl domain 18. This model may explain the depolarised  $\Delta \Psi m$ dependent activation of Parkin. However, our data indicated that the Parkin S65A mutant is also autoubiquitinated (Fig. 4b) and that the ΔUbl mutant showed mild translocation defect and impaired substrate degradation (Supplementary Fig. S8). Moreover, if this is the case, the E3 activity of Parkin pathogenic mutants lacking mitochondrial translocational activity but harbouring intact E3 activity in vitro (such as K161N and K211N, which are subjected to the Ser65 phosphorylation) should be activated in the cytosol38. However, our previous data indicate that K161N and K211N are not activated by CCCP treatment<sup>23</sup>. Thus, it is conceivable that another step is required for depolarised ΔΨm-dependent activation of Parkin E3. In addition, the Ubl domain might not only autoinhibit its E3 activity but also contribute to the mitochondrial translocation and the substrate degradation through an as yet unknown mechanism. We believe that an appropriate way to estimate Parkin E3 activity in the context of mitophagy is to evaluate the ubiquitination and degradation of substrates in cells with depolarised  $\tilde{\Delta}\Psi m$ . Mfn1 is a wellcharacterised direct substrate of Parkin<sup>32</sup>, and Parkin-dependent poly-ubiquitination modification of Mfn1 can be detected by Western blotting upon ΔΨm depolarisation<sup>32,39,40</sup>. Parkin S65A and S65E appear to ubiquitinate Mfn1, as poly-ubiquitinated forms of Mfn1 were observed (Fig. 4b). However, they cannot degrade it effectively, which suggests that the process of substrate degradation is also impaired in these mutants.

Kondapalli et al. have also shown that T. castaneum PINK1 (TcPINK1) directly phosphorylates human Parkin at Ser65<sup>18</sup>. We confirmed their finding using recombinant TcPINK1 produced from the same construct (Supplementary Fig. S4). The replacement of MBP-Parkin Ser65 with alanine completely abolished PINK1-mediated phosphorylation, indicating that Ser65 is the sole phosphorylation site in vitro. However, experiments in cultured cells showed that the replacement of Ser9, Ser10, Ser101 and Ser198 with alanine affects the Ser65 phosphorylation efficiency (Ser9, ~35% reduction; Ser10, ~76% reduction; Ser101, ~65% reduction; Ser198, ~92% reduction) (Fig. 2a). These residues might be priming phosphorylation sites for Ser65 phosphorylation.

Because PINK1 is believed to be activated in the mitochondria, a topological inconsistency arises from our cell-based data that cytosolic Parkin lacking the mitochondrial translocation activity is phosphorylated. Therefore, it is possible that PINK1 indirectly regulates Parkin phosphorylation. One possible explanation for this is the presence of another cytosolic kinase(s) regulated by PINK1 (Fig. 4d). Alternatively, because mitochondria are a dynamic organelle, cytosolic Parkin adjacent to the moving and fragmented mitochondria with depolarised  $\Delta\Psi m$  might be phosphorylated incidentally. The issue as to whether or not PINK1 directly phosphorylates Parkin in cells remains to be solved.

In conclusion, this study has suggested that PINK1-dependent Parkin phosphorylation at Ser65 accelerates the mitochondrial translocation of Parkin and showed that the introduction of mutations at this site also affects subsequent mitophagy processes. Concurrently, our data provide the possibility that there is an elaborate multi-step mechanism for the mitochondrial translocation of Parkin upon the loss of  $\Delta \Psi m$  (Fig. 4d), the clarification of which awaits further study.

# Methods

Antibodies, plasmids and cell lines. Antibodies used in Western blot analysis were as follows: anti-Parkin (1:1,000 and 1:5,000 dilution for endogenous and exogenous Parkin, respectively; Cell Signaling Technology, clone PRK8), anti-PINK1 (1:1,000 dilution; Novus, BC100-494 or 1:1,000 dilution; Cell Signaling Technology, clone D8G3), anti-Mfn1 (1:1,000 dilution; Abnova, clone 3C9), anti-VDAC1 (1:1,000 dilution; Abnova, clone 3C9), anti-VDAC1

dilution; Abcam, Ab15895), anti-Tom20 (1:500 dilution; Santa Cruz Biotechnology, FL-145), anti-FLAG-HRP (1:2,000 dilution; Sigma-Aldrich, clone M2), anti-GFP (1: 5,000 dilution; Abcam, ab290), anti-Actin (1:10,000 dilution; Millipore, MAb1501), anti-LDH (1:1,000 dilution; Abcam, ab7639-1), anti-phospho-GSK3ß (1:1,000 dilution; Cell Signaling Technology, clone 5B3), anti-GSK3ß (1:1,000 dilution; Cell Signaling Technology, clone 27C10), and anti-Hsp60 (1:10,000 dilution; BD Biosciences, clone 24/Hsp60). Antibodies used in immunocytochemistry were as follows: FITC-conjugated anti-GFP (1:1,000 dilution; Abcam, ab6662), anti-Tom20 (1:1,000 dilution; Santa Cruz Biotechnology, FL-145), anti-Myc (1:500 dilution; Millipore, clone 4A6), anti-p62 (1: 500 dilution; Progen Biotechnik, GP62-C), anti-Parkin (1: 1,000 dilution; Cell Signaling Technology, clone PRK8) and antiproteasome α7 (1:250; a kind gift of Dr S. Murata at the University of Tokyo). cDNAs for human Parkin, PINK1 and its pathogenic and engineered mutants are as described in previous studies<sup>23,41</sup>. Parkin phospho-mutants were generated by PCRbased mutagenesis followed by sequencing confirmation of the entire gene. PINK1-/-MEFs, cultured as previously described<sup>23</sup>, were retrovirally transfected with pMXs-puro harbouring non-tagged PINK1, PINK1-FLAG, non-tagged Parkin, HA-Parkin, GFP-Parkin and related cDNA; transfected cells were then selected with 1 µg/ml puromycin. HeLa cells maintained at 37°C in a 5% CO2 atmosphere in Dulbecco's Modified Eagle's Medium (DMEM) supplemented with 10% FCS and 1x nonessential amino acids (GIBCO) were retrovirally transfected with pMXs-puro harbouring non-tagged Parkin along with pcDNA3Hyg-mSlc7a1-VSVG and pcDNA3Hyg-mSlc7a1-FLAG (a kind gift of Dr N. Fujita at UCSD). Stable cell lines were selected with 1 µg/ml puromycin and cloned. Transient transfections of cultured cells were performed using Lipofectamine 2000 (Invitrogen) for plasmids and Lipofectamine RNAiMAX (Invitrogen) for stealth siRNA duplexes (Invitrogen), which were used according to the manufacturer's instructions.

Tissue culture. Skin biopsies were obtained from a PARK6 case and a control without mutations in any known PD genes. The study was approved by the ethics committee of Juntendo University, and all participants gave written, informed consent. Dermal primary fibroblasts established from biopsies were cultured in high glucose DMEM supplemented with 10% foetal bovine serum, 1x non-essential amino acids, 1 mM sodium pyruvate (GIBCO), 100  $\mu$ M 2-mercaptoethanol, and 1% penicillinstreptomycin at 37°C in a 5%  $\rm CO_2$  atmosphere.

Mapping of Parkin phosphorylation sites.  $PINKI^{-\prime-}$  MEFs (6.0 x 10<sup>7</sup>) expressing HA-Parkin and PINK1-FLAG were treated with or without 30  $\mu$ M CCCP for 30 min. HA-Parkin (~500 ng in each) immunopurified with anti-HA-conjugated agarose beads was eluted with 8 M urea buffered with 50 mM Tris-HCl at pH 9.0. Samples from two independent experiments were digested with trypsin or chymotrypsin and analysed by nano-scale liquid chromatography-tandem mass spectrometry (Dionex Ultimate3000 RSLCnano and ABSciex TripleTOF 5600) followed by MASCOT searching and Mass Navigator/PhosPepAnalyzer processing for identification and label-free quantitation, respectively<sup>42</sup>. Determination of phosphosite localisation was performed based on the presence of site-determining ions<sup>43</sup>.

Phosphorylation assay and mitochondrial fractionation. PINK1-/- MEFs harbouring HA-Parkin along with wild-type or a kinase-dead form of PINK1-FLAG were metabolically labelled with 175 μCi/ml of [³³P] orthophosphate in phosphate-free DMEM (GIBCO) with 10% FBS at 37°C for 3 hr. The medium was then replaced with fresh DMEM containing 10% FBS. Cells were treated with CCCP for 1.5 hr and were lysed on ice with lysis buffer containing 0.2% NP-40, 50 mM Tris (pH 7.4), 150 mM NaCl and 10% glycerol supplemented with protease inhibitor (Roche Diagnostics) and phosphatase inhibitor (Pierce) cocktails, and HA-Parkin and PINK1-FLAG were immunoprecipitated with anti-HA (Wako Pure Chemical, clone 4B2)- or anti-FLAG (Sigma-Aldrich, clone M2)-conjugated agarose beads. Immunoprecipitates were separated by SDS-PAGE and transferred onto a PVDF membrane. Autoradiography and Western blotting were performed to visualise proteins. Phos-tag Western blotting was performed as previously described?\* Briefly, phospho-Parkin and phospho-PINK1 were separated on 8% gels containing 50 μM Phos-tag. Mitochondrial and cytosolic fractionations were performed as previously described, with some modifications²°. The cytosolic fractions were further clarified by a second centrifugation at 105,000 g for 60 min to remove residual organelle membranes.

Immunocytochemical analysis. Cells plated on 3.5 mm glass-bottom dishes (MatTek) were fixed with 4% paraformaldehyde in PBS and permeabilised with 50 μg/ml digitonin for anti-Tom20 and anti-p62 staining or with 0.1% NP-40 for anti-α7 staining in PBS. Cells were stained with anti-Tom20 or anti-α7 antibodies in combination with FITC-conjugated anti-GFP antibody and were counterstained with DAPI for nuclei. Cells were imaged using laser-scanning microscope systems (TCS-SP5, Leica or LSM510 META, Carl Zeiss).

Statistical analysis. A one-way repeated measures ANOVA was used to determine significant differences between multiple groups unless otherwise indicated. If a significant result was achieved (p < 0.05), the means of the control and the specific test group were analysed using the Tukey-Kramer test.

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# **Author contributions**

K.S., Y. Imai and N.H. designed the research; K.S., Y. Imai, S.Y., T.K. and Y. Ishihama performed the experiments; S.S. contributed new reagents/analytic tools; K.S. and Y. Imai analysed the data; and Y. Imai and N.H. wrote the paper. K.S. and Y. Imai contributed equally to this work.

### **Additional information**

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# RESEARCH ARTICLE

# VPS35 Mutation in Japanese Patients with Typical Parkinson's Disease

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ABSTRACT: Vacuolar protein sorting 35 (VPS35) was recently reported to be a pathogenic gene for lateonset autosomal dominant Parkinson's disease (PD), using exome sequencing. To date, VPS35 mutations have been detected only in whites with PD. The aim of the present study was to determine the incidence and clinical features of Asian PD patients with VPS35 mutations. We screened 7 reported nonsynonymous missense variants of VPS35, including p.D620N, known as potentially disease-associated variants of PD, in 300 Japanese index patients with autosomal dominant PD and 433 patients with sporadic PD (SPD) by direct sequencing or high-resolution melting (HRM) analysis. In addition, we screened 579 controls for the p.D620N mutation by HRM analysis. The p.D620N mutation was detected in 3 patients with autosomal dominant PD (1.0%), in 1 patient with SPD (0.23%), and in no controls. None of the other reported variants of *VPS35* were detected. Haplotype analysis suggested at least 3 independent founders for Japanese patients with p.D620N mutation. Patients with the *VPS35* mutation showed typical tremor-predominant PD. We report Asian PD patients with the *VPS35* mutation. Although *VPS35* mutations are uncommon in PD, the frequency of such mutation is relatively higher in Japanese than reported in other populations. In *VPS35*, p.D620N substitution may be a mutational hot spot across different ethnic populations. Based on the clinical features, *VPS35* should be analyzed in patients with PD, especially autosomal dominant PD or tremor-predominant PD. © 2012 *Movement* Disorder Society

**Key Words:** Parkinson's disease; *VPS35*; autosomal dominant; hotspot; mutation.

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Parkinson's disease (PD) is a neurodegenerative disorder characterized by progressive motor disturbances manifested by tremor, rigidity, akinesia, and postural instability. Neuropathologically, PD is characterized by selective loss of dopaminergic neurons in the substantia nigra and the presence of cytosolic inclusions called Lewy bodies (LBs) in the remaining neurons. The pathogenesis of PD is multifactorial, including genetic-environmental interaction. PD is a common disease in the elderly, with an incidence of about 1%-2% in individuals older than 60 years. Among PD patients, approximately 5%-10% have a positive family history of PD,2 and among these, the Mendelian forms of PD can contribute to the elucidation of the molecular pathways that lead to the degeneration and death of dopaminergic neurons.

Mutations in the vacuolar protein sorting 35 (VPS35) gene have recently been identified in families with autosomal dominant late-onset PD (MIM 601501).3,4 Patients with VPS35 mutations present with tremor-predominant dopa-responsive parkinsonism.3,4 VPS35, a key component of the retromer cargo-recognition complex, is thought to associate with sorting cargos into the tubular endosomal network for retrieval to the trans-Golgi network.5 Therefore, pathogenic mutations of VPS35 may cause disruption of the retrograde transport system and contribute to dopaminergic neuronal cell death in PD. One missense mutation has been reported to be pathogenic for PD.<sup>3,4</sup> Mutation of c.1858G>A (p.D620N) was identified in 3 Austrian families and 1 family each in Switzerland, the United States, Tunisia, and the United Kingdom, as well as 1 family and 1 patient with sporadic PD (SPD) among Yemenite Jews from Israel. 3,4,6 In addition, several variants, such as p.M57I, p.I241M, p.P316S, and p.R524W, have been reported in Europe and the United States as potentially pathogenic for PD.3,4

Although multipopulation screenings for *VPS35* mutations were preformed in recent reports, there is still no report of PD patients with *VPS35* mutations of Asian ancestry.<sup>3,4,6–8</sup> In the present study, we screened Japanese patients with autosomal-dominant PD (ADPD), Japanese patients with SPD, and control subjects for mutations of *VPS35*, with a special focus on 7 reported nonsynonymous variants that were found in patients with PD, including the p.D620N. Here, we report 3 families and 1 SPD patient with the p.D620N mutation in *VPS35* and describe their clinical features.

# Patients and Methods

### Subjects

The study was approved by the ethics committee of Juntendo University, and all subjects gave written

informed consent to participate in the genetic research. The study subjects were 308 Japanese patients (300 index patients) with ADPD (age at disease onset [AAO; mean  $\pm$  SD], 51.1  $\pm$  11.7 years; range, 8-83 years; female/male [F/M] ratio, 1.35) and 433 Japanese SPD patients (AAO, 47.2 ± 12.9 years; range, 5-88 years; F/M ratio, 1.09) selected from the gene bank of Juntendo University. Some of the selected subjects had been confirmed negative for SNCA, PARK2, PINK1, PARK7, LRRK2, and PLA2G6 mutations.9 <sup>14</sup> From the same gene bank, we also selected 579 healthy Japanese subjects without a family history of parkinsonism (age at sampling, 58.0 ± 9.3 years; range, 23-89 years; F/M ratio, 1.54). The criteria for the diagnosis of PD were adopted by the participating neurologists and were established based on the United Kingdom Parkinson's Disease Society Brain Bank. 15

# Genetic Analysis

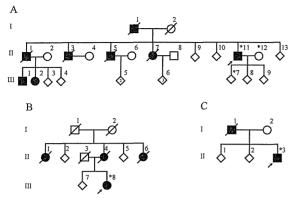
Genomic DNA was extracted from peripheral blood using a standard protocol. Patients with ADPD and SPD were examined for the following 7 variants: p.M57I (exon 3), p.I241M (exon 7), p.P316S (exon 9), p.R524W (exon 13), p.D620N (exon 15), p.A737V (exon 16), and p.L774M (exon 17) of VPS35 (RefSeg accession number NM 018206.4). PCR direct sequencing was performed using a BigDye Terminator v1.1 Cycle Sequencing kit and 3130 Genetic Analyzer (Applied Biosystems, Foster City, CA) or 3730 DNA Analyzer (Applied Biosystems). In addition, SPD patients and control subjects were also genotyped for c.1858G>A (p.D620N) mutation by high-resolution melting (HRM) analysis using Light-Scanner and LCGreen plus (Idaho Technology, Salt Lake City, UT). HRM analysis was performed using a previously described protocol<sup>16</sup> and the following priforward, GAGGATGGTTGGTCCTTGAA; reverse, TGCCAATGATCAAGGTGATG. All exons of VPS35 were also analyzed in patients with the p.D620N mutation using the method described previously.3

Haplotype analysis of the *VPS35* flanking region was performed using 3130 Genetic analyzer and Gene-Mapper software (Applied Biosystems, Foster City, CA). To adjust the size of PCR products, we also genotyped Centre d'Étude du Polymorphisme Humain (CEPH) control samples (1331-01 and 1331-02) for comparison of haplotypes with previously reported patients carrying the p.D620N mutation. The sequences of the PCR primers were reported previously.<sup>3</sup>

# Results

### Detection of p.D620N Mutation

We detected the heterozygous missense p.D620N mutation in 3 unrelated patients with ADPD and 1



**FIG. 1.** Pedigrees of families with the *VPS35* p.D620N mutation (open symbol, unaffected family member; closed symbol, affected member; arrow, proband; asterisk, individual analyzed for the p.D620N mutation and/or haplotype; forward slash through symbol, deceased individuals; square, male; circle, female; diamond, unspecified sex).

patient with SPD (Fig. 1). The p.D620N has been reported previously as a pathogenic mutation for familial PD.<sup>3,4,6</sup> This mutation was not found in 1158 control chromosomes. Patients carrying the p.D620N mutation did not have any other variants in all exons of *VPS35*. In our population, the incidence of the p.D620N mutation was 1.0% (3 of 300) in ADPD and 0.23% (1 of 433) in SPD. The remaining variants analyzed in this study were not identified in any patients.

Haplotype analysis demonstrated that the Japanese patients with the p.D620N mutation had different genotypes from those of white patients with the same mutation.<sup>3</sup> One disease allele was detected by analyzing patient AII-11 and his relatives. Patients AII-11 and BIII-8 in this study carried at least the same single allele of microsatellites in the flanking region of VPS35 (Table 1). On the other hand, patients CII-3 and D had a different genotype of D16S3105, with a locus mapped very close to VPS35, compared with the disease allele of AII-11 (Table 1, boldface).

**TABLE 1.** Haplotype analysis of VPS35 p.D620N mutation carriers

	Patient ID					
Microsatellite	All-11	BIII-8	CII-3	D		
D16S401	170	166/170	166/172	166/170		
D16S3068	143	141/145	145/147	145/145		
D16S753	272	272/268	268/276	264/268		
VPS35 p.D620N	Α	A/G	A/G	A/G		
Chr16_45.333M	294	<b>294</b> /298	294/300	294/304		
D16S3105	191	<b>191</b> /189	189/193	187/187		
Chr16_45.615M	147	147/147	147/145	147/145		
Chr16 45.806M	246	246/238	246/244	246/244		
Chr16 45.835M	237	237/237	237/237	237/237		
Chr16_45.855M	212	212/210	210/210	210/216		
D16S3044	195	195/195	195/197	197/197		

Both alleles are shown when markers of phase could not be determined.

TABLE 2. Clinical features of patients with p.D620N mutation

	Patient ID				
	All-11	BIII-8	CII-3	D	
Age at disease onset (y)	62	55	34	42	
Disease duration (y)	15	2	7	21	
Resting tremor	+	+	+	+	
Bradykinesia	+	+	+	+	
Rigidity	+	+	+	+	
Gait disturbance	+		-	+	
Postural instability	+	****		+	
Clinical response to levodopa	+	+	+	+	
Wearing off	+	*****	+	+	
Asymmetry at onset	+	+	+	+	
Orthostatic hypotension	+	_	_	-	
Incontinence	+	energy		_	
Urinary urgency		_		_	
Levodopa- induced dyskinesia	+	-	+	+	
Sleep benefit	_	_	_	Unknown	
Dystonia at	+	_	+		
onset					
Hyperreflexia	ennov.	_		_	
Hallucination		_		_	
Other psychosis	_		_		
Dementia	+	_		_	
Gaze palsy		_		_	
Brain MRI	WNL	WNL	WNL	WNL	
Cardiac MIBG	H/M ratio (E/L),	Not	Not	Not	
scintigraphy	2.38/2.68; washout ratio, 4.15% <sup>a</sup>	performed	performed	performed	

<sup>a</sup>MIBG scintigraphy was performed when All-11 was 76 years old. WNL, within normal limit; H/M ratio, heart-to-mediastinum ratio; (E/L), early/late stage.

### Clinical Presentation

Table 2 summarizes the clinical features of the 4 VPS35 mutation-positive patients. Patient AII-11 was a 77-year-old man who developed right upper limb rest tremor at age 62. At age 75, he underwent gastrostomy for progressive dysphagia, then developed cognitive dysfunction without hallucination. Singlephoton emission computed tomography of cerebral blood flow showed no reduction in blood flow in the basal ganglia. His father and 4 of 8 siblings were diagnosed with PD (Fig. 1A) and presented levodopa-responsive typical parkinsonism: upper limb tremor and small-step gait. His nephew and niece were also diagnosed with PD, and the nephew developed parkinsonism in his early fifties. Patients BIII-8 and CII-3 both developed upper limb rest tremor at ages 34 and 55, respectively. The mother and aunts of patient BIII-8

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and the father of patient CII-3 also developed PD (Fig. 1B, C). Patient D, who developed upper limb rest tremor at age 42, had no family history of PD. She underwent subthalamic nucleus deep brain stimulation (STN-DBS) at age 60 because of disabling motor fluctuation and dyskinesia refractory to pharmacological treatment. All affected patients were born to nonconsanguineous parents.

# Discussion

VPS35 has been reported as the pathogenic gene for ADPD, and only 1 mutation, p.D620N, has been reported in several unrelated white families. To our knowledge, there have been no reports of Asian PD patients with VPS35 mutations.<sup>3,8</sup> Based on this background, we set out in this study to determine the incidence of VPS35 mutations in Japanese patients with PD. We detected the heterozygous p.D620N mutation of VPS35 in 3 ADPD families and 1 SPD patient with East Asian ancestry. On the other hand, we could not conclude the pathogenicity of 6 other variants that had been reported as potentially pathogenic for PD because none of the variants was detected in our patients with PD.

The frequency of the p.D620N mutation in Japanese patients was 1.0% in ADPD and 0.23% in SPD. Although the exact frequency among whites is undetermined, the frequency is relatively higher in Japanese patients compared with that reported in previous studies (0%-1.22%).<sup>3,4,6,7,17</sup> Moreover, the frequency in Japanese patients also differs greatly from those of other Asian populations such as Taiwanese patients and mainland Chinese patients (0%).<sup>3,8</sup> Although the mutation frequency was expected to be lower than that of other pathogenic genes for ADPD, such as multiplication of SNCA<sup>9,18</sup> and point mutation of LRRK2, 19-21 VPS35 may be one of the most important genes in Japanese PD. Because we screened for only 7 reported variants, we cannot determine the exact frequency of VPS35 mutations in ADPD; we would need to analyze all 17 exons of VPS35 in ADPD patients to screen for other variants and to assess the incidence of all disease-associated VPS35 mutations.<sup>3,4</sup> Furthermore, we would need to perform mutational analysis for SPD patients, in addition to ADPD, to identify Asian population-specific variants, such as LRRK2 p.G2385R, associated with susceptibility for PD.19

Based on haplotype analysis reported in previous studies, the substitution of VPS35 c.1858G>A (p.D620N) occurs from independent mutational events.3 We were able to determine the chromosomal phase only in patient AII-11 (family A). The p.D620N mutation possibly shared a common founder between Japanese ADPD families A and B; however, it was inconclusive because the phase of patient BIII-8 was

undetermined. On the other hand, the same p.D620N mutation probably occurred independently in patient CII-3 (family C) and patient D. By genotyping of D16S3105, which is located approximately 1.5 kb centromeric of VPS35, there were at least 3 different haplotypes in Japanese because families A and C and patient D (SPD) did not have the same alleles for this microsatellite. To determine the chromosomal phase of families B and C, detailed genetic analyses of other family members are needed in future studies. These results suggest the existence of 3 or more founders in Japanese patients, in addition to the reported white patients with the p.D620N mutation or de novo mutations, indicating that the p.D620N mutation site is a mutational hot spot in *VPS35* across different ethnic populations.

According to previous reports, the average AAO of patients with the VPS35 mutation was 50-60 years  $(50.6 \pm 7.3 \text{ years})$ , with a distinctive feature of a slightly younger AAO compared with patients with idiopathic PD. In our study, the AAO was nonspecific with a wide range between 30-70 years. Because the family history of patient D was unknown, she was categorized as SPD. With regard to VPS35 mutation penetrance, it is incomplete from the results of a previous report.<sup>3</sup> Therefore, although the frequency is low, patients with p.D620N mutation could be found among SPD patients.

The clinical symptoms of our patients with VPS35 mutation closely resembled the idiopathic PD form, with tremor-dominant dopa-responsive parkinsonism. Psychiatric problems were inconspicuous; however, dementia may occur in patients with a long disease course, similar to patient AII-11, who had PD for 15 years. Our patients with VPS35 mutations had normal brain MRI and cardiac MIBG scintigraphy. There have been no definite pathological mutations of VPS35 in the spectrum of LB disorders. On the basis of these results, patients with VPS35 mutation could show comparatively benign disease course without widespread LBs pathology.<sup>22,23</sup>

VPS35 assembles into the retromer cargo-recognition complex that associates with the cytosolic face of the endosomes. The retromer mediates the retrograde transport of transmembrane cargo from the endosomes to the trans-Golgi network.5 The p.D620N mutation of VPS35 might cause impairment of interaction with other components of the retromer complex and impaired retrograde trafficking of recycling proteins,4 similar to α-synuclein and LRRK2, which are involved in vesicle trafficking. 24,25 Mutations in familial PD genes, including VPS35, may cause disruption of intracellular trafficking and lead to neurodegeneration. These findings suggest that impairment of intracellular trafficking systems is associated with the pathogenesis of PD. Although the association between the p.D620N mutation of VPS35 and PD remains unknown, further functional studies might shed light on the pathogenesis of VPS35 mutation and the effects of interaction with other known pathogenic gene products on PD.

In conclusion, we have reported Asian PD patients with the VPS35 p.D620N mutation. The p.D620N substitution may be a mutational hot spot across different ethnic populations. The frequency of VPS35 mutation was low in ADPD; however, it is relatively high in Japanese patients compared with that reported in other populations. <sup>3,4,6–8</sup> Based on the clinical features of patients with VPS35 mutation, VPS35 should be analyzed in patients with PD, especially ADPD or tremor-predominant PD.

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# LRRK2 I2020T mutation is associated with tau pathology

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#### ABSTRACT

Mutations in the leucine-rich repeat kinase 2 (LRRK2) gene are the most common cause of autosomaldominant familial Parkinson's disease (FPD). The variable pathological features of LRRK2-linked FPD include Lewy bodies, degeneration of anterior horn cells associated with axonal spheroids, neurofibrillary tangles (NFTs) and TAR DNA-binding protein of 43 kDa (TDP-43) positive inclusion bodies. Furthermore, abnormal hyperphosphorylation of microtubule associated protein tau, in part generated by catalysis of protein kinases, has been reported to be involved in progressive neurodegeneration in a number of diseases, including FPD. Thus, we examined six patients carrying the LRRK2 12020T mutation. a pathogenic mutation associated with PARK8, and found abnormal tau phosphorylation depositions in the brainstem. Additionally, we found LRRK2 I2020T enhanced tau phosphorylation in cultured cells co-expressing LRRK2-I2020T and 3 or 4-repeated tau. This is the first report describing the relationship between hyperphosphorylation of tau and LRRK2 I2020T.

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### 1. Introduction

Parkinson's disease (PD) is a common neurodegenerative disease, characterized by rigidity, bradykinesia, resting tremor and postural instability. Mutations in leucine-rich repeat kinase 2 (LRRK2) have been identified as the causative gene for PARK8-linked PD [1,2]. LRRK2, also known as PARK8, is a large protein of 2527 amino acids, with a molecular weight of approximately 280 kDa. LRRK2 contains multiple protein domains, including a leucine-rich repeat (LRR) domain, a ROC domain, a COR domain, a MAPKKK domain and a WD40 domain [2,3]. Various intracellular functions of LRRK2 have been reported, with alterations in its kinase activities thought to be critical for neuronal degeneration [4-7]. Interestingly, the LRRK2 12020T mutation is located within the kinase domain and is also associated with altered kinase activity [6,8,9]. However, molecular studies have not shown a robust association between neuronal cell death and altered LRRK2 kinase activity, and the pathogenic mechanism of the LRRK2 I2020T mutation remains unknown.

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Patients with LRRK2 mutations show pleomorphic neuropathologies, which are not unique to PD and show overlap with other neurodegenerative diseases. These include nigral degeneration with or without Lewy bodies (LB) [2,10-14], also observed in diffuse LB disease [2,12,13], anterior horn cell degeneration associated with axonal spheroids, similar to amyotrophic lateral sclerosis [2], and neurofibrillary tangles (NFTs), also observed in progressive supranuclear palsy (PSP) [2,11,14,15] and Alzheimer's disease (AD) [2,12,13]. Notably, PD cases with G2019S [15], Y1699C [11] or I1371V [16] LRRK2 mutations, have shown varied tau pathology. Similarly, Li et al. reported that tau was hyperphosphorylated in brain tissues from LRRK2-R1441G overexpressing mice, compared with LRRK2 wild type (WT) mice [17]. In addition, G2019S overexpressing mice [18] and Drosophila [19], exhibited tau alterations including mislocalization and hyperphosphorylation. Therefore, we investigated the relationship between the LRRK2 I2020T mutation and tau phosphorylation. We examined brain tissue from the Sagamihara family, a Japanese kindred originally reported to be linked to the PARK8 locus [20], and found abnormally increased deposits of phosphorylated tau in the brainstem. Additionally, we showed that LRRK2 I2020T enhances tau phosphorylation in cultured cells co-expressing both LRRK2-I2020T and 3 or 4-repeated tau.

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However, there was no direct interaction between mutant LRRK2 and tau proteins. Our results indicate that the presence of the pathological I2020T mutation causes hyperphosphorylation of tau and may participate in the pathogenesis of PD and other tau-associated neurodegenerative diseases. Our findings contribute to the understanding of PARK8 pathogenesis.

### 2. Material and methods

#### 2.1. Subjects

We examined the brains of six patients who came to autopsy. The clinical findings of patients A-E have been reported previously [20,22,23]. In this report patient A represents case 3, B case 4,C case 5 D case 9 E case 10 from the previous report [23]. All patients showed a good response to levodopa developing motor complications in the later stages of their disease, consistent with idiopathic PD. None had marked autonomic or cognitive dysfunction.

Patient F was a 68-year-old female. At 51 years of age, she developed clumsiness in the legs and gait disturbance, and was diagnosed with PD. Treatment with levodopa resulted in a marked improvement of her symptoms. She developed "wearing-off" motor fluctuations at age 57. By 64 years, she had developed visual hallucinations; by age 65, she was unable to walk without assistance. At She died at age 68 of multiple organ failure caused by pneumonia. You have said this already above. This patient was genetically determined to have the I2020T amino acid substitution in LRRK2.

### 2.2. Immunohistochemistry

Autopsy was performed within 6 h after death in each case. Brain sections were fixed in formalin and representative areas were embedded in paraffin and sectioned. Brain sections were stained with hematoxylin-eosin (H&E) for histological examination. For immunohistochemistry, sections of all patients were deparaffinized and incubated with the following primary antibodies: rabbit polyclonal antibody against ubiquitin (Dako; 1:800), and mouse monoclonal antibodies against phosphorylated  $\alpha$ -synuclein (#64; Wako; 1:10,000) and phosphorylation-dependent tau (AT8; Innogenetics, 1:10,000). Primary antibodies were incubated overnight at 4 °C and then visualized by the avidin-biotin-peroxidase complex method. In addition, brain sections were stained with three repeat (3R) or four repeat (4R) tau-specific antibodies (RD3; 1:3000 or RD4; 1:1000 respectively; Upstate) [24], after pretreatment with potassium permanganate and oxalic acid to eliminate non-specific staining [25].

### 2.3. Construct preparation

pRK5-FLAG-LRRK2-WT and LRRK2-I2020T mutant vectors were prepared as described previously [21]. Three or 4 repeat tau cDNA was amplified from human adult brain using reverse transcript PCR and cloned into Myc-pcDNA 3.1(—). The rabbit polyclonal anti-LRRK2 antibody with synthetic peptides at the C-terminal end (2510-2527 aa) of human LRRK2 was generated as described previously [21]. Monoclonal mouse anti-human PHF-tau antibodies (clone AT-180 and clone AT-270), and tau antibody (clone HT-7) were from Innogenetics. Secondary antibodies conjugated to horseradish peroxidase were from GE HealthCare Bio-Sciences.

### 2.4. Cell Culture and transfection

COS-1 cells were grown in Dulbecco's modified Eagle's medium (Sigma—Aldrich) supplemented with 10% fetal bovine serum (Sigma—Aldrich) and 1% penicillin/streptomycin (Invitrogen) under an atmosphere of 5%  $\rm CO_2$  at 37 °C. COS-1 cells were transiently transfected with LRRK2 and tau vectors using FuGENE HD Transfection Reagent (Roche Diagnostics) according to the manufacturer's protocol.

### 2.5. Immunoblotting

After 96 h, cells were lysed in lysis buffer containing 50 mM Tris—HCl (pH 7.4), 150 mM NaCl, 1% nonidet P-40, 0.25% DOC, 400  $\mu$ M Na<sub>3</sub>VO<sub>4</sub>, 400  $\mu$ M EDTA, 1 mM EGTA, 10 mM NaF, 10 mM sodium pyrophosphate and protease inhibitors (Complete Mini, EDTA-free; Roche Diagnostics). To detect LRRK2, the samples were resolved on 3–8% NuPAGE Tris-acetate polyacrylamide gels (Invitrogen) in  $1\times$  NuPAGE Tris-Acetate SDS running buffer and transferred onto polyvinylidene fluoride (PVDF) membrane. The membranes were blocked for 1 h in PBS containing 0.05% Tween-20 (PBS-T) and 5% non-fat milk (BD Difco) and then incubated overnight at 4 °C with the primary antibody. The membranes were washed with PBS-T three times followed by incubation for 1 h at room temperature with horseradish peroxidase-conjugated anti-rabbit IgG (1:4000) and immunoreactivity assessed by chemiluminescence reaction using Western Lightning ECL (Perkin Elmer-Cetus). To detect tau, samples were resolved on 10% NuPAGE Bis-Tris polyacrylamide gels (Invitrogen) in  $1\times$  NuPAGE MOPS SDS running buffer and transferred onto PVDF membrane. The membranes were blocked for 1 h in TBS containing 0.05% Tween-20 (TBS-T) and 5%

non-fat milk (BD Difco) and then incubated overnight at 4 °C with the primary antibody. The membranes were washed with TBS-T buffer three times followed by incubation for 1 h at room temperature with horseradish peroxidase-conjugated anti-mouse  $\lg G$  (1:2000). The remaining steps were as described above. Blots were quantified using l software analysis.

#### 2.6. Immunoprecipitation

Cell lysates were centrifuged at 15,000× g for 20 min at 4 °C and the resulting supernatant fluid was incubated with Anti-FLAG M2 Agarose (Sigma—Aldrich) overnight at 4 °C. The resin was separated by centrifugation, washed three times with lysis buffer and then boiled in Laemmli sample buffer. Finally, each sample was analyzed by SDS-PAGE followed by immunoblotting.

### 2.7. Statistical analysis

Three group comparisons were analyzed by UNI-ANOVA followed by Turkey's multiple comparison tests (SPSS). All values were expressed as mean  $\pm$  SEM. A P value less than 5% denoted a statistically significant difference among the groups.

### 3. Results

# 3.1. Variable tau pathology in PD associated with LRRK2 I2020T mutation

A previous pathological study of *LRRK2 I2020T* patients reported an apparent loss of nigral neurons without LBs, with the exception of onecase with LBs. However extensive immunohistochemical analysis of phosphorylated tau was not performed.

The pathological features of patients A-E have been described previously [23]. The additional new patient (patient F) shared neuropathological features with patients A-E, as follows [23]. Macroscopic examination revealed marked discoloration of the substantia nigra (SN) (Fig. 1a), with a well preserved locus coeruleus (LC) (Fig. 1b). This region-specific contrast in neuropathology was confirmed following microscopic examination, with marked neuronal loss, gliosis and extraneuronal melanin present in SN (Fig. 1c), in contrast to well preserved neurons with minimal gliosis in LC (Fig. 1d). Of note, the dorsal motor nucleus of the vagus nerve (DVN) appeared predominantly normal. In addition, we observed Marinesco bodies, ubiquitin-positive intranuclear inclusions, in the surviving neuromelanin-containing SN neurons (Fig. 1e).

Characteristics of the tau-positive lesions are summarized in Table 1. Patient B and E had tau-positive lesions restricted to the brainstem, namely SN, LC and the trochlear nucleus (Fig. 2a). In patients C and D, abnormal phosphorylated tau depositions were observed not only in the brainstem but also in the hippocampus and amygdala. Senile plaques were not found in any regions. In patients A and F, there were no tau-positive lesions. Immunohistochemistry with isoform-specific antibodies, determined that the tau-positive lesions contained both 3R and 4R tau (Fig. 2b, c). Overall, these results show that the I2020T mutation causes autosomal-dominant PD with a pleomorphic pathology, as observed with other LRRK2 mutations.

# 3.2. LRRK2 is associated with hyperphosphorylation of tau

Based on our pathological findings in *LRRK2 I2020T* patients, we hypothesized that mutant LRRK2 may be involved in hyperphosphorylation of tau. To determine the effect of LRRK2 I2020T on tau phosphorylation, we co-transfected COS-1 cells with LRRK2-WT or I2020T and 4R tau. Levels of phosphorylated tau and total tau were assessed by western blotting using antibodies, which recognize tau phosphorylation, AT-180 at Thr231 and AT-270 at Thr181 (Fig. 3c, d). Neither LRRK2-WT nor I2020T changed expression levels of total tau protein (Fig. 3c, d). However, significantly increased levels of phosphorylated 4R tau were detected in cells with overexpressed LRRK2-I2020T, but not WT (AT-180:  $100.0 \pm 1.2\%$  [mean  $\pm$  SEM] with WT vs.  $118.5 \pm 1.5\%$  with I2020T, p < 0.001; AT-

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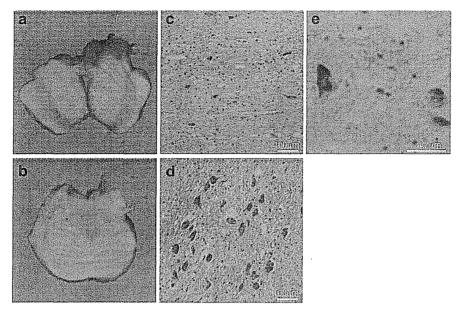


Fig. 1. Neuropathology of patient F, a LRRK2 12020T carrier from the original Japanese Sagamihara family. Marked discoloration of the substantia nigra (SN, a) and relative preservation of locus coeruleus (LC, b). Marked neuronal loss with gliosis in the SN (c, H&E) is in contrast with preserved neurons in LC (d, H&E). Marinesco bodies are abundant in the SN (e, ubiquitin immunostain). Bars: c, d:100 μm; e: 40 μm.

270: 93.7  $\pm$  4.0% with WT vs. 113.8  $\pm$  5.3% with I2020T, p < 0.001; Fig. 3c, d). Next, we determined if I2020T affects expression levels of phosphorylated 3R tau. LRRK2-I2020T induced a significant, albeit modest, increase in the level of phosphorylated 3R tau protein compared with WT (AT-180: 94.9  $\pm$  2.4% with WT vs. 100.5  $\pm$  6.5% with I2020T, n.s.; AT-270: 93.5  $\pm$  1.2% with WT vs. 104.1  $\pm$  2.5% with I2020T, p < 0.01; Fig. 3a, b). To investigate further the interaction between LRRK2 and tau, we performed immunoprecipitation experiments. There was no evidence of a direct interaction between either LRRK2-WT or I2020T mutant with 4R tau (Fig. 3e).

# 4. Discussion

Tau pathology has been identified in the brains of PD patients with *LRRK2* mutations, with reports of various forms of tau depositions of, for example PSP-like or AD-like distribution and pattern of age related changes [26,27]. In this study, we identified tau pathology in four patients with *LRRK2 I2020T* mutation; an

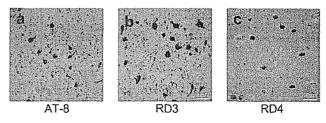
**Table 1**Summary of tau pathology in LRRK2 I2020T carriers from the Sagamihara family

	Patient A	Patient B	Patient C	Patient D	Patient E	Patient F
Hippocampus	-		+	+	-	_
Meynert		_		_	+	_
Amygdala	NA	_	-	++	NA	NA
IV	-	+++	++	_	NA	NA
LC	-	+	++	+	+	_
Central gray matter	-	_	++	-	-	-
SN	_		+	-	+	_
Braak stage	<1	<1	2	3	<1	<1

The severity and distribution of NFT pathology was estimated using Braak staging (Braak and Braak 1991) (— none; + mild; ++ moderate; +++ severe; n/a not applicable). Tau pathology was observed in 4 out of 6 patients. Two individuals (patient B and E) had tau-positive lesions restricted to the brainstem, with another two individuals (patient C and D), showing tau-positive lesions in the hippocampus as well as the brainstem. The remaining two patients (patient A and F) did not show tau-positive lesions in any brain regions. IV; trochlear nucleus, LC; locus coeruleus, SN; substantia nigra.

increased amount of phosphorylated tau was associated with LRRK2 I2020T mutation compared to wild type in cultured cell models. In addition, we found that affected members of the Sagamihara family display a homogeneous pattern of neuronal loss, namely degeneration of the SN with relative preservation of LC and DVN. This is in sharp contrast to idiopathic PD, where involvement of LC and DVN is observed. We also identified Marinesco bodies in our patients. The presence of Marinesco bodies has been described in other *LRRK2*-linked PD patients with R1441C [2] and G2019S mutations [14]. Thus, mutant LRRK2 may possibly affect dopaminergic neurons by accelerating the formation of Marinesco bodies.

In contrast to the homogeneity of neuronal degeneration that we observed, deposits of α-synuclein were confirmed only in patient E, and tau-positive deposits in the brainstem nuclei also varied? among the subjects. In previous reported pathological findings of *LRRK2*-linked PD, the presence of LBs and tau deposits did not overlap, even in the same family, which is in agreement with our observations in the Sagamihara family. Cookson et al. reported that although clinical features of *LRRK2*-linked PD were similar to sporadic PD, the pathological findings varied, confounding the correlation between etiology and disease expression [29]. Similarly, all examined members of the Sagamihara family showed typical PD features irrespective of pathological deposits. In addition, we did not find a direct correlation between tau deposits and clinical symptoms. Tau-positive deposits were seen in the



**Fig. 2.** Tau pathology in patient B, a LRRK2 I2020T carrier. Representative immunohistochemical analysis of tau in the trochlear nerve nucleus from Patient B. Sections are labeled with AT8 (a), RD3 (b) and RD4 (c). Bars: c, d:100  $\mu$ m; e: 40  $\mu$ m.

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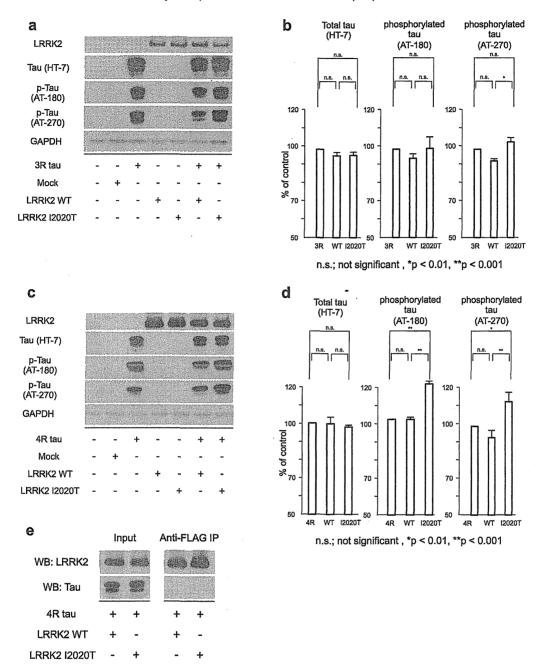


Fig. 3. LRRK2-I2020T induces increasing levels of phosphorylated tau compared with LRRK2-WT or mock transfected cells. (a, b) Lysate prepared from COS-1 cells co-expressing 3R tau and LRRK2-WT or I2020T, were subjected to anti-tau (HT-7) or anti-phosphorylated tau (AT-180 and AT-270) immunoblotting. LRRK2-I2020T increased expression levels of phosphorylated tau compared to LRRK2-WT, albeit modestly. (HT-7; 96.3  $\pm$  1.8% with WT vs. 96.5  $\pm$  1.9% with I2020T [mean  $\pm$  SEM]; n.s., AT-180; 94.9  $\pm$  2.4% with WT vs. 104.1  $\pm$  2.5% with I2020T; p < 0.01) (c, d). Lysate prepared from COS-1 cells co-expressing 4R tau and LRRK2-WT or I2020T, were subjected to anti-tau (HT-7) or anti-phosphorylated tau (AT-180 and AT-270) immunoblotting. LRRK2-I2020T significantly increased expression levels of phosphorylated tau compared to LRRK2-WT. (HT-7; 99.7  $\pm$  3.5% with WT vs. 97.8  $\pm$  1.1% with I2020T; n.s. AT-180; 100.0  $\pm$  1.2% with WT vs. 118.5  $\pm$  1.5% with I2020T; p < 0.001, AT-270; 93.7  $\pm$  4.0% with WT vs. 113.8  $\pm$  5.3% with I2020T; p < 0.001, (e) Lysate prepared from COS-1 cells transfected with Myc-4 repeats tau and FLAG-LRRK2-WT or FLAG-LRRK2-I2020T, were subjected to immunoprecipitation with anti-FLAG antibody followed by anti-tau (HT-7) immunoblotting. In the eft panel, cell lysates were used to detect the expression of LRRK2 and tau. In the right panel, FLAG-LRRK2 was immunoprecipitated using FLAG antibody. Upper lanes show LRRK2 detected with anti-LRRK2 antibody. Lower lanes show that no bands were obtained with anti-HT-7 antibody. As a result, LRRK2 does not directly interact with 4R tau.

nucleus of the trochlear nerve in patients B and C, neither exhibited ophthalmoparesis. Consistent with these findings, Vitte et al. reported that LRRK2 protein is present throughout the human brain, with intense immunoreactivity in the neurons of several midbrain nuclei, including the nucleus of the trochlear nerve [28].

We then demonstrated the association between LRRK2 and tau hyperphosphorylation by using cultured cell models. Compared to LRRK2-WT or mock transfected, overexpression of LRRK2-12020T in cultured cells resulted in increased levels of phosphorylated tau proteins. Furthermore, this increase in phosphorylated tau was

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associated with upregulation of both 3R and 4R tau isoforms. These findings could provide support for abnormal hyperphosphorylated tau deposition in the pathological findings of patients with LRRK2 I2020T mutation.

Based on neuropathological findings and cultured cell models, we hypothesized that LRRK2 is able to enhance tau phosphorylation. Our immunoprecipitation studies showed no evidence of a direct interaction between either LRRK2-WT or I2020T mutant with tau, indicating that tau phosphorylation by LRRK2-I2020T involves the association of an intermediate, genetic, or environmental factor. Smith et al. also reported that LRRK2 failed to bind tau protein [30]. Furthermore, LRRK2 mutations have been reported to be associated with tau hyperphosphorylation without direct interaction in animal models. Li et al. reported that tau is hyperphosphorylated in brain tissues from LRRK2-R1441G overexpressing mice compared with LRRK2-WT mice [17]. Mice and drosophila overexpressing LRRK2-G2019S also exhibited tau alterations, including mislocalization and increased tau phosphorylation [18,19]. Therefore, we believe that LRRK2 mutations can be involved in the tau phosphorylation pathway.

How LRRK2 can participate in the tau phosphorylation pathway remains unclear. In addition, we failed to find that these abnormal tau deposits have any apparent spatial correlation with our observed region-specific neuronal degeneration in the Sagamihara family. Therefore, future work will need to evaluate the association between neurodegeneration and the tau hyperphosphorylation due to LRRK2 I2020T mutation.

### **Conflicts of interest**

None declared.

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NEUROBIOLOGY OF AGING

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# Brief communication

# Analysis of *C9orf72* repeat expansion in 563 Japanese patients with amyotrophic lateral sclerosis

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### Abstract

Recently, a hexanucleotide repeat expansion in *C9orf72* was identified as the most common cause of both sporadic and familial amyotrophic lateral sclerosis (ALS) and frontotemporal dementia in Western populations. We analyzed 563 Japanese patients with ALS (552 sporadic and 11 familial) using fluorescent fragment-length analysis of *C9orf72* and repeat-primed polymerase chain reaction analysis. Haplotype analysis was performed for 42 single nucleotide polymorphisms in patients with *C9orf72* repeat expansion. *C9orf72* repeat expansion was found in 2 patients with sporadic ALS (2/552 = 0.4%) and no patients with familial ALS (0/11 = 0%). In the probands' families, 1 primary progressive aphasia patient and 1 asymptomatic 76-year-old individual exhibited *C9orf72* repeat expansion. All of the patients with the *C9orf72* repeat expansion carried the 20-single nucleotide polymorphism consensus risk haplotype. The frequency of the *C9orf72* repeat expansion among Japanese patients is much lower than in Western populations. The existence of a 76-year-old asymptomatic carrier supported the notion of incomplete penetrance. The *C9orf72* mutation should be analyzed in sporadic ALS patients after determining their family histories not only of frontotemporal dementia but also of primary progressive aphasia.

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Keywords: Amyotrophic lateral sclerosis; C9orf72; Incomplete penetrance; Sporadic; Aphasia; Frontotemporal dementia

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# 1. Introduction

Amyotrophic lateral sclerosis (ALS) is a neurodegenerative disorder that primarily affects motor neurons in the spinal cord, brain stem, and cerebral cortex, typically leading to death within a few years. Five to ten percent of ALS cases are familial, and the remaining cases are believed to be sporadic (Valdmanis et al., 2009). A number of genes causing ALS with a dominant mode of inheritance have

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been discovered, such as SODI, TARDBP, FUS, VAPB, ANG, VCP, OPTN (Ticozzi et al., 2011), and UBOLN2 (Deng et al., 2011). Moreover, there is increasing clinical and pathological evidence for the hypothesis that ALS and frontotemporal dementia (FTD) constitute an overlapping continuum of diseases (Lomen-Hoerth et al., 2002; Neumann et al., 2006). Recently, the expansion of a noncoding GGGGCC hexanucleotide repeat in the C9orf72 gene has been reported to be a major cause of both ALS and FTD (DeJesus-Hernandez et al., 2011; Gijselinck et al., 2012; Renton et al., 2011) and the most common genetic abnormality in familial and sporadic forms of both ALS and FTD, particularly in Western populations (Chiò et al., 2012; DeJesus-Hernandez et al., 2011; Gijselinck et al., 2012; Renton et al., 2011; Sabatelli et al., 2012; Stewart et al., 2012). In the present study, we describe the incidence and demographic and clinical features associated with the C9orf72 mutation in a large cohort of Japanese ALS patients. We also perform haplotype analysis to investigate whether Japanese patients have the same risk haplotype as European patients (Gijselinck et al., 2012; Laaksovirta et al., 2010; Mok et al., 2012).

# 2. Methods

### 2.1. Subjects

We obtained a total of 760 DNA samples from the Japanese Consortium for Amyotrophic Lateral Sclerosis Research (JaCALS; Appendix A). A total of 563 (11 familial and 552 sporadic) patients were diagnosed with ALS according to the El Escorial revised criteria (Brooks et al., 2000) and classified as bulbar-onset, spinal-onset, FTD-ALS, or other (see Supplementary Table 1 for details). We had determined the family histories of ALS but not FTD or primary progressive aphasia (PPA) in all of the patients when they were enrolled as patients with sporadic ALS (SALS). We recruited 197 control subjects, none of whom had a medical or family history of neurodegenerative disorders. The mean age at onset of the patients with ALS was  $60.4 \pm 11.7$  years (range 20-86), and the mean age at sampling of the controls was  $60.6 \pm 10.3$  years (range 26-83). All of the subjects were unrelated Japanese individuals. Written informed consent was obtained from all of the subjects. The ethical committees at the participating institutions approved this study.

# 2.2. Fluorescent fragment-length analysis of C9orf72 and repeat-primed PCR analysis

The normal repeat number of the GGGGCC hexanucleotide was determined in all of the patients and control subjects using genotyping primers, as previously described (DeJesus-Hernandez et al., 2011). To provide a qualitative assessment of the presence of *C9orf72* repeat expansions, we performed repeat-primed polymerase chain reaction (PCR), as previously described (DeJesus-Hernandez et al., 2011).

# 2.3. Haplotype analysis

We genotyped 42 single nucleotide polymorphisms (SNPs) across 232 kilobase of Chromosome 9p21, which were first described as the founder haplotype in the Finnish ALS population (Laaksovirta et al., 2010), using primers (Supplementary Table 2) to determine whether our Japanese patients carried the haplotype associated with a risk of ALS. These 42 SNPs included the 20-SNP consensus risk allele that had recently been detected in genome-wide association studies in several populations (Mok et al., 2012). We also performed haplotype analysis with 4 microsatellites (D9S1121, D9S169, D9S270, and D9S104) flanking the *C9orf72* GGGGCC repeat, as previously described (Gijselinck et al., 2012) (Fig. 1).

### 3. Results

# 3.1. Detection of C9orf72 repeat expansion

The C9orf72 repeat expansion was found in 2 of 522 Japanese patients (2/552 = 0.4%) with SALS and none of the 11 patients (0/11 = 0%) with familial ALS (FALS) using repeat-primed PCR (Table 1). Patient A-I with a C9orf72 mutation was classified as SALS in this study, but after detecting the mutation, we found that patient A-II (a brother of patient A-I) developed aphasia and dementia and had a C9orf72 mutation (Fig. 1). The average repeat number based on fluorescent fragment-length analysis was 3.65 ± 2.43 (range 2-13 repeats) in 561 ALS patients without the C9orf72 mutation. A subsequent analysis of 197 healthy controls did not detect any C9orf72 mutation. The average repeat number was  $3.69 \pm 2.46$  (range 2-14 repeats) in the 197 controls. The mean age at disease onset in patients with C9orf72 mutation, including patient A-II, was  $64.7 \pm 6.1$ years (range 57-72). The genotypes of all individuals with the C9orf72 mutation were detected for the 20 SNPs spanning a 140-kilobase segment concordant with the recently identified risk haplotype on chromosome 9p (Mok et al., 2012) and 24 or 25 consecutive SNPs in the 42-SNP Finish risk haplotype (Laaksovirta et al., 2010) (Fig. 1, Supplementary Table 3).

# 3.2. Clinical presentations of individuals with C9orf72 mutation

# 3.2.1. Patient A-I (family A)

Patient A-I was a 65-year-old man who reported weakness in the left leg. The weakness progressed, and he developed fasciculation. At age 66, a neurological examination revealed dementia. His Mini Mental State Examination score was 23/30, and his Frontal Assessment Battery score was 13/18. He also exhibited dysarthria and weakness, atrophy, and fasciculation in the tongue and all 4 modalities. His tendon reflexes were diminished, and the plantar re-

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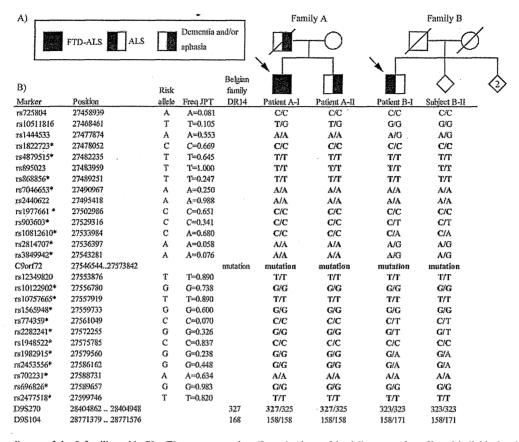


Fig. 1. (A) The pedigrees of the 2 families with C9orf72 repeat expansion. To maintain confidentiality, several unaffected individuals who died early in families A and B are not shown. Probands are indicated by arrows. (B) The genotyping data of the single nucleotide polymorphisms (SNPs) and microsatellites. Twenty SNPs, which comprised a recently identified consensus risk haplotype (Mok et al., 2012), are shown with an asterisk. See Supplementary Table 3 for details of the analyses of 42 SNPs (Laaksovirta et al., 2010) and microsatellites (Gijselinck et al., 2012). Alleles possibly shared between our subjects and patients in Western populations are shown in bold. The genotypes of all 4 subjects with respect to the 20 SNPs were found to be concordant with the risk haplotype (Mok et al., 2012). All of the positions of SNPs and microsatellites were from NC\_00009.11. Abbreviations: ALS, amyotrophic lateral sclerosis; Freq JPT, Frequency in Japanese in Tokyo from International HapMap project (International HapMap Consortium, 2003); FTD, frontotemporal dementia.

sponse was extensor on the left. He had neither dysphagia nor dyspnea. No sensory abnormalities were noted. Extensive screening for causes of motor neuropathy was negative. The diagnosis was clinically probable ALS-laboratory supported (Brooks et al., 2000) and FTD-ALS.

# 3.2.2. Patient A-II (family A)

This patient was a 57-year-old man who presented with difficulty speaking. He was believed to have suffered from a mental disease after being imprisoned because of his involvement in a fatal car accident. At age 64, he was severely dysfluent and could barely speak. Logoclonia was particularly prominent. However, he did not exhibit any violent behavior or other behavioral abnormalities. He also did not display any clinical features of motor neuron disease. Brain magnetic resonance imaging revealed severe frontotemporal lobar atrophy. PPA was considered the most likely diagnosis.

# 3.2.3. Patient B-I (family B)

Patient B-I was a 72-year-old man who presented with gait disturbance and weakness in the proximal lower extremity muscle. His family history was negative for motor neuron disease and dementia (Fig. 1). The muscle weakness and atrophy progressed and spread to the other parts of his body despite treatment with intravenous gamma globulin. At age 74, he could not roll over while sleeping. A neurological examination showed marked muscle atrophy in his arms and shoulders and prominent fasciculation in his legs. The deep tendon reflexes were decreased in his limbs, and he had no pathological reflexes. Sensations in all 4 modalities were intact. At age 75, he developed dyspnea and dysphagia and started noninvasive positive pressure ventilation and intravenous hyperalimentation. He died of respiratory insufficiency at age 76. An autopsy was not performed. The diagnosis was clinically suspected ALS (Brooks et al., 2000).

Table 1 Frequencies of ALS patients with C9orf72 and SOD1 mutations in different countries

Study	Population	C9orf72 -			SOD1	
		Familial ALS	Sporadic ALS	Mean AAO (range), years	Familial ALS	Sporadic ALS
This study, 2012	Japanese (JaCALS)	0% (0/11)	0.4% (2/552)	64.7 (57–72)	NA	NA
Akimoto et al. (2011)	Japanese (JaCALS)	NA	NA	NA	NA.	1.6% (4/255)
DeJesus-Hernandez et al. (2011)	Mixed <sup>a</sup>	23.5% (8/34)	4.1% (8/195)***	54.5 (41–72)	11.8% (4/34)	0% (0/195)
Renton et al. (2011)	Finish	46.4% (52/112)**	21.0% (61/290)***	53 (30-71)	NA	NA
Gijselinck et al. (2012)	Flanders-Belgian	46.7% (7/15)*	4.9% (6/122)***	54.5 (38-64)	0% (0/16)	0% (0/125)
Stewart et al. (2012)	Unknown <sup>b</sup>	27.4% (17/62)	3.6% (6/169)**	58.2 (39-82)	Total 8.2% (19/231)	
Byrne et al. (2012)	Ireland	40.8% (20/49)*	4.9% (19/386)***	56.3 (NA)	Total 0% (0/191)	
Cooper-Knock et al. (2012)	Northern England	42.9% (27/63)*	7.0% (35/500)***	57.3 (27–74)	Total 2.5% (14/563)	
Chiò et al. (2012)	Italian	37.5% (45/120)*	NA	59.0 (NA-80)	0% (0/141)	NA
, ,	Sardinian	57.1% (12/21)**	NA	60.4 (NA)	NA	NA ·
	German	22.0% (9/41)	NA	56.4 (NA)	NA	NA
Majounie et al. (2012)	England	45.9% (45/98)**	6.8% (62/916)***	NA	NA	NA
	German	21.7% (15/69)	5.2% (22/421)***	NA	NA	NA
	Italian	37.8% (34/90)*	4.1% (19/465)***	NA	NA	NA
	Sardinian	57.9% (11/19)**	7.8% (10/129)***	NA	NA	NA
	USA White	US total 36.2% (59/163)*	5.4% (48/890)***	NA	NA	NA
	USA Hispanic	, ,	8.3% (6/72)***	NA	NA	NA
	USA Black		4.1% (2/49)	NA	NA	NA
	Australian	NA	5.3% (14/263)***	NA	NA	NA
	Israeli	21.4% (3/14)	NA	NA	NA	NA ·
	Indian	NA	0% (0/31)	NA	NA	NA
	Asian	5.0% (1/20)	0% (0/238)	NA	NA	NA
	Pacific islander/Guam	NA	0% (0/90)	NA	NA	NA
Sabatelli et al. (2012)	Italian	NA	3.7% (60/1624)***	58.6 (49-65)	NA	NA
	Sardinian	NA	6.8% (9/133)***	62.9 (58–63)	NA	NA

Key: AAO, age at onset; ALS, amyotrophic lateral sclerosis; JaCALS, Japanese Consortium of Amyotrophic Lateral Sclerosis Research; NA, not available.

# 3.2.4. Subject B-II (family B)

Subject B-II, a sibling of Patient B-I, had a *C9orf72* mutation but did not have symptoms of dementia or motor neuron disease until age 76 (Fig. 1).

# 4. Discussion

We began this study considering patients without family histories of ALS to be SALS because our cohort included only family histories of ALS but not FTD or PPA. Although it may be difficult to describe the real frequency in SALS because 1 of the SALS patients had a family member who developed PPA, the frequencies of the C9orf72 mutation in Japanese patients were 0.4% (2/552) in SALS and 0% (0/11) in FALS according to this classification. In contrast, the frequencies of the C9orf72 mutation fall within the ranges of 21%–57% in FALS and 3%–21% in SALS in Western populations (Table 1), and the C9orf72 mutation has been reported as the most common genetic cause of FALS and SALS in Western populations (Byrne et al.,

2012; Chiò et al., 2012; Cooper-Knock et al., 2012; DeJesus-Hernandez et al., 2011; Gijselinck et al., 2012; Majounie et al., 2012; Renton et al., 2011; Sabatelli et al., 2012; Stewart et al., 2012). However, the C9orf72 mutation in this study was not more frequent than the SODI mutation in Japanese SALS patients (0.4% and 1.6%, Table 1) (Akimoto et al., 2011). Considering these data, the C9orf72 mutation is more common than the SODI mutation in Western populations but not in Japan, suggesting different genetic backgrounds. Our results may explain the association study of rs2814707 on 9p21.2, which was reported to be the most significantly associated SNP with SALS in Caucasian but not in Japanese and Chinese populations (Iida et al., 2011). A recent report revealed that the rate of expansion in Asian FALS and SALS was 5% (1/20) and 0% (0/238), respectively (Majounie et al., 2012). An analysis of the SNPs on chromosome 9p revealed that all 4 subjects with the C9orf72 mutation and another Japanese subject from the previously mentioned report (Majounie et al., 2012) share a shorter region of the risk haplotype

Mixed included 229 ALS patients from Mayo Clinic, Florida: White (212), Asian (1), Pacific Islander (1), and Black or African American (15).
 Unknown included 231 ALS patients from the ALS Clinic of Vancouver Coastal Health and the University of British Columbia (Vancouver General

Hospital and GF Strong Rehabilitation Centre sites). \* p < 0.05, compared with our results (2-tailed, Yates's  $\chi^2$  test).

<sup>\*\*</sup> p < 0.01, compared with our results (2-tailed, Yates's  $\chi^2$  test).

<sup>\*\*\*</sup>p < 0.001 compared with our results (2-tailed, Yates's  $\chi^2$  test).

than Western populations. Thus, the haplotype bearing the *C9orf72* mutation was only shared in a narrow region between Western and Asian populations, suggesting that the *C9orf72* mutation may be an old mutation in human migration history from Western to East Asia. This mutation was estimated to be approximately 1500 years old (Majounie et al., 2012).

Bulbar onset and cognitive impairment have been reported to be more common in ALS patients with the C9orf72 repeat expansion (Chio et al., 2012; Cooper-Knock et al., 2012; DeJesus-Hernandez et al., 2011; Sabatelli et al., 2012; Stewart et al., 2012). We did not find any patients with bulbar onset, but we identified 2 patients with dementia. Although the age at onset has been known to be lower in SALS patients with the C9orf72 mutation than in those without this mutation (Sabatelli et al., 2012), our patients exhibited a relatively older age at onset (Table 1).

Although apparently sporadic patients with C9orf72 mutation have been detected worldwide (Byrne et al., 2012; Cooper-Knock et al., 2012; Sabatelli et al., 2012), it was not known whether this phenomenon was due to incomplete penetrance or to spontaneous expansion of the GGGGCC hexanucleotide repeat from a nonpathogenic parental form (ie, a de novo expansion). In this study, we found a 76-yearold healthy individual with a C9orf72 mutation (Subject B-II), as described in previous studies (Majounie et al., 2012; Renton et al., 2011). This discovery suggests not de novo expansion but incomplete penetrance, which explains the existence of apparently sporadic patients with the C9orf72 mutation. Although it has been reported that the penetrance of the C9orf72 mutation is almost full by 80 years by Kaplan-Meier analysis of 603 mutant gene carriers and 5 neurologically healthy individuals, further studies of family members of patients with the C9orf72 mutation will be required to calculate the true penetrance and to improve genetic counseling.

Finally, we found a PPA patient with the *C9orf72* mutation after detecting the mutation in a SALS patient, suggesting the importance of collecting information regarding whether SALS patients have a family history of dementia or aphasia. Therefore, the possibility of *C9orf72* mutation should be investigated when clinicians meet with SALS patients after determining their family histories of FTD or PPA. Furthermore, our data supported Byrne and colleagues' suggestion that a family history of FTD should also be included in the revised definition of FALS (Byrne et al., 2012).

# Disclosure statement

All of the authors disclose no conflicts of interest. The study was approved by the ethical committees of the participating centers. All participants gave written informed consent.

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# Appendix B. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.neurobiolaging.2012.05.011.

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