

(42.1%) and unilaterally in 5 patients (26.3%). The SD-OCT demonstrated a partially formed macula and cystic changes in the colobomatous area in 1 case (Fig. 1).

Only 2 eyes of 1 patient (5.3%) were identified as having iris colobomata, and 1 eye (2.6%) of another patient was revealed by examination under general anesthesia to have a dislocated and colobomatous lens. No cases of eyelid colobomata were seen, but congenital ptosis was present in 3 eyes (7.9%) of 2 patients who had undergone surgical treatment. All the cases of ptosis were not pseudoptosis associated with microphthalmos and/or cranial nerve palsy, but were true congenital ptosis associated with poor levator function. We evaluated the levator muscle function in each case. None of the patients had a history of acquired causes or signs of oculomotor palsy, such as paralytic strabismus and limited ocular movement.

Microphthalmos was found in 8 eyes (21.1%) of 5 patients (26.3%): bilaterally in 3 patients (15.8%) and unilaterally in 2 patients (10.5%). Microcornea was also present in 7 eyes (18.4%) of 4 patients (21.1%): bilaterally in 3 patients (15.8%) and unilaterally in 1 patient (5.3%). Persistent fetal vasculature was identified in 1 eye (2.6%). Cataracts had developed in 1 eye (2.6%), but neither glaucoma nor retinal detachment was observed in this series.

The refraction could be estimated in 23 eyes of 12 patients (63.2%). Of these eyes, 10 were myopic, 7 were emmetropic, and 6 were hypermetropic. High myopia (-6.00 diopters or more) was found in 5 eyes (13.2%) of 3 patients (15.8%).

The BCVA are shown in Table I. The measurement of VA was possible in 17 patients (89.5%) older than 3 years of age. The remaining 2 patients were infants or mentally retarded. The binocular BCVA or BCVA in the better eye was less than 20/400 in 4 patients (21.1%), less than 20/60 but no less than 20/400 in 7 patients (36.8%), and 20/60 to 20/20 in 6 patients (31.6%) with macular formation (Fig. 1). The overall prevalence of blindness and visual impairment (less than 20/60) [World Health Organization, 1992] among the 17 patients was 65%.

The agreement of anatomical severity between the 2 eyes in each of the 19 patients was evaluated using Cohen's Kappa statistics. The κ statistic of 0.41 suggested a moderate degree of agreement, per the guidelines by Landis and Koch [1977]. Because there was a moderate, if not a substantial, agreement between the severity of the 2 eyes, the severity grading of the more severely affected eye was used as the representative grade for the severity of the eyes in an individual. The correlation between the anatomical severity of the eyes in an individual and the amino acid position where the truncation of the CHD7 protein occurred in the same individual is illustrated in Figure 2. Patients with truncated protein devoid of the SANT domain tended to have severer anatomical defects of the eyes. Subcategorization of the patients according to the presence or absence of the SANT domain (4 cases with intact SANT domain and 10 other cases), and the subcategorization of the anatomical severity of the eyes in an individual (7 cases classified as Grade 1 or 2 vs. 7 cases classified as Grade 3 or 4) revealed a statistically

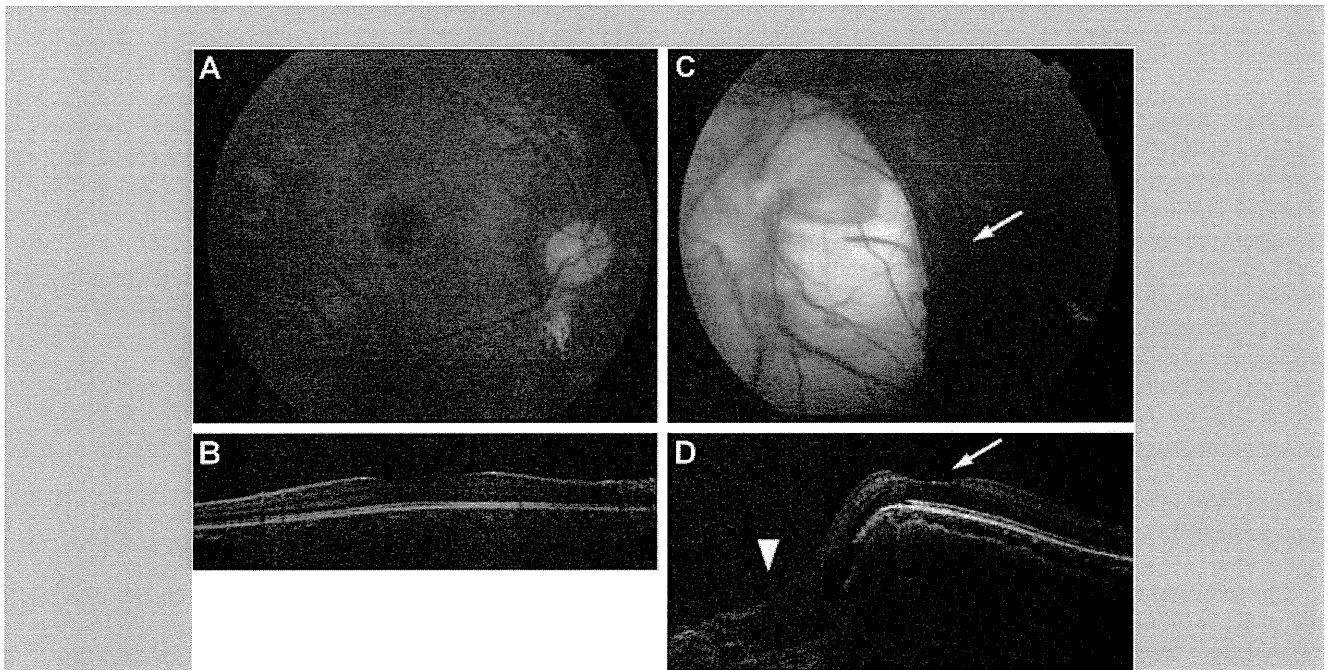


FIG. 1. Fundus photographs and spectral domain optical coherence tomography (SD-OCT) scan of the retina in the right eye (A,B) and the left eye (C,D) in a 6-year-old girl. A: Retinochoroidal colobomata inferior to the optic disk is visible in the right eye. B: The SD-OCT shows a good macular formation in the right eye, resulting in a BCVA of 20/20. C: Retinochoroidal and optic disk coloboma are seen in the left eye. The colobomata partially involved the macula [arrow]. D: The SD-OCT shows a partially formed macula [arrow] and cystic changes in the colobomatous area [arrow head] in the left eye, resulting in a BCVA of 20/50 after amblyopia treatment.

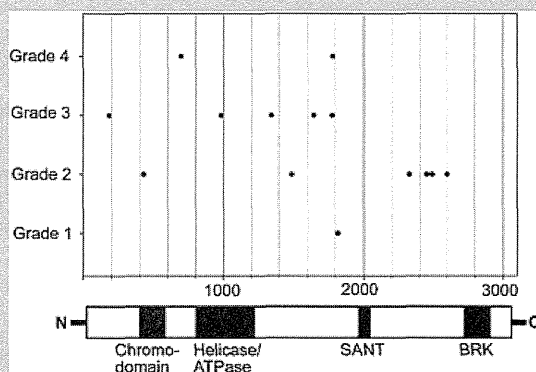


FIG. 2. The correlation between the anatomical severity of the eyes in an individual and the amino acid position where the truncation of the *CHD7* protein occurred in the same individual. Horizontal axis indicates amino acid position of the *CHD7* protein together with the domains of the protein. Vertical axis indicates the anatomical severity of the eye defect classified as follows: Grade 1, Normal; Grade 2, colobomata with macular formation; Grade 3, colobomata including the macula; and Grade 4, colobomata, macular defect, and microphthalmos.

significant correlation between the location of protein truncation and the anatomical severity of the eyes ($P=0.02$, chi-squared test).

DISCUSSION

In the current series, the incidence of coloboma, the major ocular feature of CHARGE syndrome, was 94.7% (18/19), which was much higher than the previously reported incidence. Since most of the authors were ophthalmologists, the number of cases without eye defects might have been underrepresented. Hence, this high incidence should be viewed with caution. Nevertheless, attending clinical geneticists were on duty at all the participating children's hospitals, and thus the bias from such underrepresentation may be relatively small. The finding that there was one mutation-positive patient who did not have abnormal eye findings confirms that no finding in CHARGE syndrome has a 100% penetrance as is sometimes surmised.

Both retinochoroidal and optic disk coloboma occurred in 94.7% of the cases, mostly bilaterally, while the incidence of iris coloboma was only 5.3% (1/19). Coloboma also affected the macula in 68.4% of the cases. We confirmed that bilateral large retinochoroidal colobomata represent a typical ophthalmic feature of CHARGE syndrome with *CHD7* mutations.

The incidence of anomalies in the anterior segment was lower than that in the posterior segment, although microphthalmos, microcornea, PFV, and cataracts were present in some cases bilaterally or unilaterally. The presence of characteristic large

retinochoroidal coloboma indicates the essential role of *CHD7* in the closure of the fetal fissure posteriorly between 5 and 6 weeks of gestation, and the malfunction of *CHD7* may have an effect so severe as to influence the entire ocular morphogenesis to some degree. Although most cases had bilateral colobomata in the posterior segment, the severity and associated features often differed between the two eyes. Other associated features in this series were ptosis in 10.5% and high myopia in 15.8%. Subtle-associated anomalies and refractive errors may have been underestimated in examinations that were not performed under general anesthesia.

The anatomical severity grading of the eye defect was evaluated in two ways: a comparison between the severity in one eye in comparison with that in the other eye and the correlation between the severity and the genotype. The low-to-moderate degree of agreement between the two eyes (i.e., left and right) reflects the general facial asymmetry in patients with CHARGE syndrome [Zentner et al., 2010]. In other words, the lack of substantial or perfect agreement between the anatomical severity of the right and the left eyes indicates a variable phenotypic effect of the same mutation. Yet, the location of protein truncation and the anatomical severity of the eyes were significantly correlated: if the chromodomain, helicase/ATP domain, and SANT domains are intact, the severity of the eyes tends to be milder. Interestingly, all four cases in which those domains were intact had less severe eye defects with intact macula. Further studies are warranted to verify this potential genotype–phenotype correlation.

The visual acuities of the eyes ranged between no light perception and 20/20, and the prevalence of blindness and visual impairment (less than 20/60) was 65% among 17 patients. A poor visual prognosis depended on the presence of a large coloboma involving the macula in the posterior segment and associated microphthalmos or microcornea, as reported previously [Russell-Eggitt et al., 1990; Hornby et al., 2000]. On the other hand, even eyes with large colobomata as a result of *CHD7* mutations were capable of forming maculas, resulting in good central visual acuity with superior visual field defects. As shown in the case illustrated in Figure 1, even a partially formed macula will enable useful vision following the adequate treatment of amblyopia as optical correction and patching during the earlier age of visual development. A recent report of a case examined using OCT revealed additional morphologic characteristics of eyes in patients with CHARGE syndrome carrying *CHD7* mutations [Holak et al., 2008]. Further investigation of retinal morphology and function using OCT and electroretinograms (ERG) may help to clarify the function of *CHD7* in ocular morphogenesis, including macular formation.

We suggested that the early diagnosis of retinal morphology and function, especially of macular lesions by way of OCT and ERG, may be beneficial to patients, since such attention may determine whether treatment for amblyopia, such as optical correction and patching, will be effective in facilitating the visual potential or whether care for poor vision will be needed. An infant's visual acuity rapidly develops during its first 2–3 years and continues up until 7–8 years of age, but plasticity decreases progressively thereafter. Thus, a better visual prognosis can be obtained with the earlier treatment of amblyopia during the critical period of visual development.

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Clinical Features of Congenital Retinal Folds

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- **PURPOSE:** To investigate the clinical features and prognosis of congenital retinal folds without systemic associations.
- **DESIGN:** Retrospective observational case series.
- **METHODS:** The characteristics, clinical course, ocular complications, and best-corrected visual acuity (BCVA) of eyes with congenital retinal folds were studied during the follow-up periods. The affected and fellow eyes were examined by slit-lamp biomicroscopy, binocular indirect ophthalmoscopy, and fundus fluorescein angiography. The parents and siblings of each patient also underwent ophthalmoscopic examinations. The BCVA was measured using a Landolt ring VA chart.
- **RESULTS:** One hundred forty-seven eyes of 121 patients with congenital retinal folds were examined. Fifty-five patients (45.5%) were female. The fold was unilateral in 95 patients (78.5%), and 69 of those patients (72.6%) had retinal abnormalities in the fellow eye. The meridional distribution of folds was temporal in 136 eyes (92.5%). The family history was positive in 32 patients (26.4%). Secondary fundus complications, including fibrovascular proliferation and tractional, rhegmatogenous, and exudative retinal detachments, developed in 44 eyes (29.9%). The BCVAs could be measured in 119 eyes and ranged from 20/100 to 20/20 in 5 eyes (4.2%), 2/100 to 20/200 in 45 eyes (37.8%), and 2/200 or worse in 69 eyes (58.0%). The follow-up periods ranged from 4 to 243 months (mean, 79.7 ± 58.9 months).
- **CONCLUSIONS:** These clinical features suggested that most congenital retinal folds may result from insufficient retinal vascular development, as in familial exudative vitreoretinopathy, rather than persistent fetal vasculature. Adequate management of active retinopathy and late-onset complications, especially retinal detachment, is required. (*Am J Ophthalmol* 2012;153:81–87. © 2012 by Elsevier Inc. All rights reserved.)

A CONGENITAL RETINAL FOLD (ABLATIO FALCIFORMIS congenital), extending radially from the optic disc toward the peripheral fundus, was first described in 1935 as a rare congenital anomaly.^{1,2} The pathogenesis was investigated histologically, and the

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anomaly was hypothesized to be attributable to persistent hyaloid vessels leading to a pulled dysplastic retina. In 1955, Reese reported the clinical and pathologic features of persistent hyperplastic primary vitreous (PHPV).³ In 1965, Michaelson⁴ introduced the term “posterior PHPV,”⁴ and in 1970 Pruett and Schepens⁵ described a new clinical entity called “posterior hyperplastic primary vitreous,” the posterior form of PHPV, characterized by vitreous membranes extending from the disc toward the peripheral fundus. Those investigators used the term posterior PHPV as a synonym for falciform retinal folds and the term anterior PHPV as a synonym for the PHPV described by Reese.³ Thus, congenital retinal folds often were diagnosed as posterior PHPV afterward. The term PHPV now has evolved to persistent fetal vasculature (PFV), which usually occurs as a nonheritable set of vascular malformations affecting 1 eye of an otherwise normal infant.⁶ However, based on the fundus drawings of Pruett and Schepens,⁵ vitreous membranes and retinal folds were not clearly distinguished. Those authors reported that the vitreous band and retinal folds extended toward the fundus periphery in various meridians but were most commonly nasal.⁵ They also described the pleomorphism of posterior PHPV and complications such as microcornea, retinal detachment, vitreous hemorrhage, cataract, and glaucoma.⁷ In most cases, posterior PHPV is unilateral and rarely familial.

In 1969, familial (dominant) exudative vitreoretinopathy (FEVR), a developmental disorder of the retinal vasculature, was described and suggested to be the possible origin of congenital retinal folds.^{8–10} Recently, congenital retinal folds were thought to occur even after birth and were caused by various infantile diseases such as FEVR, retinopathy of prematurity (ROP), Norrie disease, incontinentia pigmenti, and congenital toxoplasmosis. However, clinically distinguishing retinal folds without systemic associations is often difficult, and their pathogenesis remains controversial.

We conducted the current study to clarify the clinical features of congenital retinal folds without systemic associations.

METHODS

ONE HUNDRED FORTY-SEVEN EYES OF 121 PATIENTS WITH unilateral or bilateral congenital retinal folds, diagnosed at the National Center for Child Health and Development,

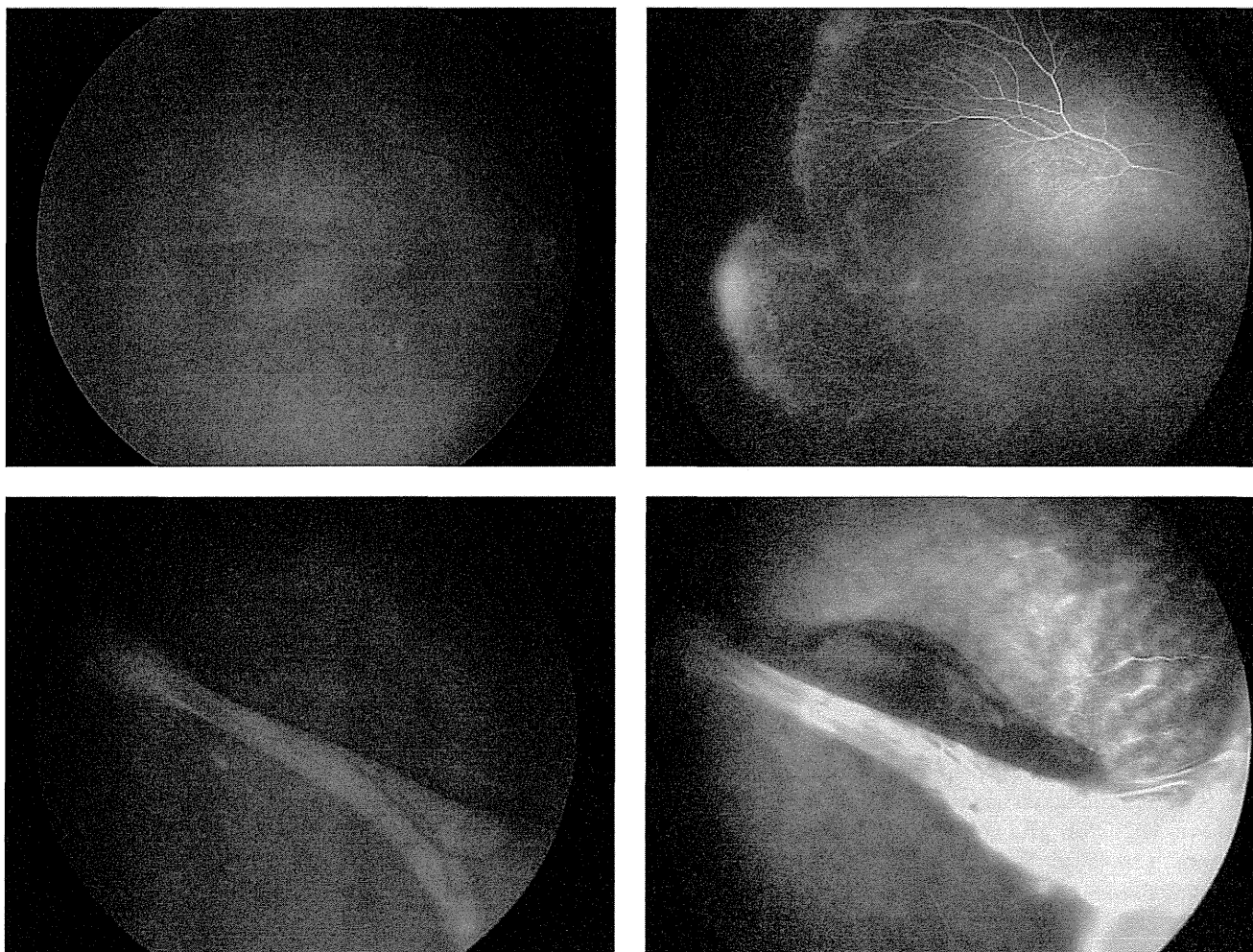


FIGURE 1. Unilateral congenital fold with retinal vascular abnormalities in the fellow eye. Fundus photographs and fluorescein angiography (FA) of a unilateral retinal fold in the left eye (Bottom left and right) and the fellow right eye (Top left and right) in a 4-month-old boy. (Top left) Retinal vascular abnormalities in the peripheral fundus are seen in the fellow right eye. (Top right) FA shows a peripheral avascular zone, supernumerous vascular branchings, arteriovenous shunt formation, a V-shaped area of degeneration, and neovascularization with dye leakage in the fellow right eye. Laser photocoagulation was applied to the peripheral avascular retina. (Bottom left) The retinal vessels within the fold are bundled and pulled toward the peripheral fibrous tissue and decreased in number in the stretched retina. (Bottom right) FA shows hyperfluorescence from folds in which the vessels are bundled and dye leakage from the fibrovascular tissue. Scleral buckling with laser photocoagulation was applied.

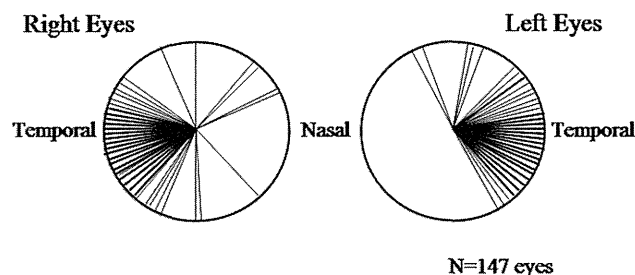


FIGURE 2. Meridional distribution of congenital retinal folds. The meridional distribution of the folds was temporal, superotemporal, or inferotemporal in 92.5% (136/147) eyes.

Tokyo, Japan, between June 1986 and February 2009, and examined between March 2002 and April 2009, were studied retrospectively. Patients with a history of premature birth, oxygen therapy, systemic associations, or positive laboratory examinations for infectious diseases were excluded. Eyes with anterior segment dysgenesis also were excluded.

The characteristics of retinal folds in affected eyes and findings in fellow eyes were examined by slit-lamp biomicroscopy and binocular indirect ophthalmoscopy. Thirty-six patients (29.8%) underwent fundus fluorescein angiography (FA) under general anesthesia. In patients with a unilateral retinal fold, the fundus periphery of the fellow eye also was examined and the retinal vascular development was evaluated. The criteria used to diagnose

TABLE. Features of Secondary Ocular Complications in the Fundus in Eyes With Congenital Retinal Folds (N = 44 Eyes)

| | Tractional Retinal Detachment N = 19 Eyes | Rhegmatogenous Retinal Detachment N = 12 Eyes | Fibrovascular Proliferation N = 11 Eyes | Exudative Retinal Detachment N = 2 Eyes |
|-------------------------|--|--|---|--|
| Age at onset (months) | 1–88 (mean, 25.8 ± 27.2) | 33–195 (mean, 87.0 ± 56.5) | 2–121 (mean, 19.4 ± 35.8) | 31, 167 |
| Origin of complications | Excessive fibrovascular proliferation, 15 (79%) Regrowth of fibrovascular tissue, 4 (21%) | Ocular trauma, 5 (42%) Unknown, 7 (58%) | NV, 10 (91%) Recurrence of NV, 1 (9%) | Unknown 2 (100%) |
| Treatment | V + L, 6 (32%) B + PC, 4 (21%) None, 9 (47%) | V + L, 5 (42%) V + L + B, 4 (33%) B, 2 (17%) None, 1 (8%) | V + L, 5 (46%) PC, 4 (36%) B + PC, 1 (9%) None, 1 (9%) | None, 2 (100%) ^a |
| Surgical outcomes | Retinal reattachment, 7/10 (70%) | Retinal reattachment, 3/11 (27%) | NV stabilization, 8/10 (80%) | |

B = scleral buckling; B + PC = scleral buckling with laser photocoagulation; NV = neovascularization; PC = laser photocoagulation; V + L = vitrectomy with lensectomy; V + L + B = vitrectomy with lensectomy and scleral buckling.

^aUntreated retinas reattached spontaneously.

retinal vascular abnormalities were the presence of a peripheral avascular zone, vitreoretinal adhesions, arteriovenous shunt formation, supernumerous vascular branchings, a V-shaped area of retinal degeneration, neovascularization, and cystoid degeneration.^{11–13} Ophthalmoscopic examinations of the parents and siblings of each patient were performed when possible. A family history was judged to be present if retinal vascular abnormalities were found in any family members. The clinical course and the secondary ocular complications were investigated during the follow-up periods. The best-corrected visual acuities (BCVAs) were measured with a standard Japanese VA chart using Landolt rings at 5 meters and converted to Snellen VA. The follow-up periods ranged from 4 to 243 months (mean, 79.7 ± 58.9 months).

RESULTS

• **CHARACTERISTICS OF EYES AND PATIENTS:** Sixty-six of the 121 patients (54.5%) were male and 55 (45.5%) were female. The ages of the patients at the first examination at our hospital ranged from 4 weeks to 9 years 1 month (mean, 17.9 ± 21.6 months). However, the families or pediatricians had observed the clinical manifestations, that is, poor fixation behavior, nystagmus, or strabismus, by 12 months of age in 105 patients (86.8%), and 91 patients (75.2%) had been examined by other ophthalmologists within the first year. A unilateral retinal fold in 16 patients (13.2%) identified after 13 months of age was confirmed not to have any acquired pathogenesis and diagnosed as a congenital retinal fold.

The retinal vessels within the fold were bundled and pulled toward the peripheral fibrous tissue and decreased in number in the stretched retina in 144 of 147 eyes (98.0%) (Figure 1, Bottom left). A peripheral avascular zone was seen more than 3 disc diameters' width in all eyes. Other ophthalmoscopic findings in affected eyes were intravitreal neovascularization in 13 eyes (8.8%), retinal hemorrhages in 8 eyes (5.4%), disc anomalies in 4 eyes (2.7%), retinal exudates in 3 eyes (2.0%), and coloboma and medullated nerve fiber in 1 eye (0.7%) each. Fundus FA, performed on 46 eyes of 36 patients, showed hyperfluorescence from bundling of the retinal vessels in the folds and fibrovascular tissue at the periphery of the folds in all eyes (100%). Dye leakage from an arteriovenous shunt and intravitreal neovascularization within the fibrovascular tissue was detected in 13 eyes (28.3%) (Figure 1, Bottom right).

• **MOST CASES OF CONGENITAL RETINAL FOLD WERE UNILATERAL AND ORIGINATED IN THE TEMPORAL QUADRANTS:** The fold was unilateral in 95 of 121 patients (78.5%) and bilateral in 26 patients (21.5%). The meridional distribution of the folds was temporal, superotemporal, or inferotemporal in 136 of 147 eyes (92.5%) (Figure 2). All folds in the other 11 eyes were unilateral, extending nasally, superonasally, inferonasally, superiorly, or inferiorly.

• **MOST CASES OF UNILATERAL RETINAL FOLD HAD IDENTIFIABLE ABNORMALITIES IN THE FELLOW EYE:** Only 26 cases (27.4%) of the 95 unilateral retinal folds identified demonstrated no pathology in the fellow eye. The remaining 72.6% had identifiable abnormalities as

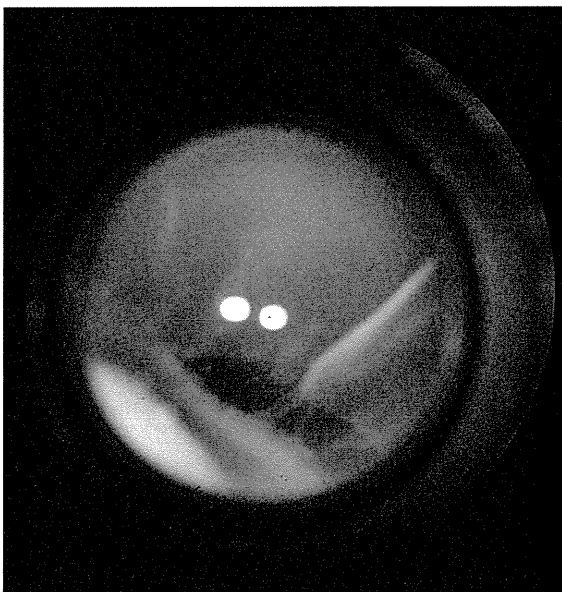
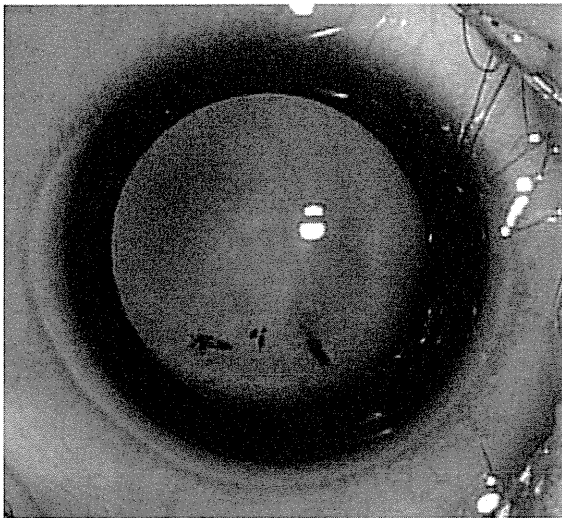


FIGURE 3. Secondary complications of congenital retinal folds. Photographs of secondary complications in the fundus of a 4-month-old girl (Top and Middle) and a 37-month-old boy (Bottom). (Top) Prominent fibrovascular proliferation

follows. Retinal vascular abnormalities in the peripheral fundus were identified in 33 of 95 cases (34.7%): an avascular zone in all eyes (100%), supernumerous vascular branchings in 15 eyes (45.5%), cystoid degeneration in 12 eyes (36.4%), a V-shaped area of retinal degeneration in 9 eyes (27.3%), vitreoretinal adhesions and fibrous membrane in 7 eyes (21.2%) each, arteriovenous shunt formation in 5 eyes (15.2%), and neovascularization in 4 eyes (12.1%) (Figure 1, Top left and right). A total retinal detachment and leukokoria, a dragged retina, and coloboma were found in 18 (18.9%), 17 (17.9%), and 1 (1.1%) of 95 cases, respectively.

The “true” unilateral congenital fold was seen in 26 patients out of all 121 patients (21.5%). Among these 26 patients, the meridional distribution of the folds was temporal in 17 eyes (65.4%) and nasally, superiorly, or inferiorly in 9 eyes (34.6%).

Fundus FA was performed on 24 fellow eyes in patients with a unilateral retinal fold and clearly showed various retinal vascular abnormalities in 18 eyes (75.0%). Hyperfluorescence of the vascular abnormalities in the periphery was seen in 8 of the 24 eyes (33.3%), in which dye leakage from the neovascularization was detected in 4 eyes (4/24; 16.7%) (Figure 1, Top right).

Among the 50 fellow eyes with retinal vascular abnormalities in the periphery or dragged retina, laser photocoagulation was applied to the peripheral avascular retina in 7 eyes (14.0%) and the neovascularization stabilized in all eyes (100%). Scleral buckling was performed in 3 fellow eyes (6.0%) for a late-onset tractional or rhegmatogenous retinal detachment, and retinal reattachment was achieved in all eyes (100%).

• **MOST CASES OF CONGENITAL RETINAL FOLD SUGGEST FAMILIAL INHERITANCE:** Family members were examined in 50 cases (41.3%), and a positive family history was identified in 32 cases (64.0%), with ophthalmoscopic findings of retinal vascular abnormalities in the periphery (81.2%), retinal folds (9.4%), dragged retina (6.3%), and leukokoria (3.1%). A negative family history was suspected by ocular examination of the parents in 18 cases (36.0%). In all cases with a positive family history, the trait originated in 1 of the family lines. In positive cases, the fold was bilateral in 12 cases (37.5%) and unilateral with abnormal retinal vascular changes in the fellow eye in the other 20 cases (62.5%). In negative cases,

progresses with the retinal hemorrhage in the right eye. Laser photocoagulation was applied to the peripheral avascular retina. (Middle) Two months later, a tractional retinal detachment has progressed rapidly. Vitrectomy with lensectomy was performed. (Bottom) Multiple retinal breaks in the periphery at the edge of the retinal fold have induced a rhegmatogenous retinal detachment in the left eye. Vitrectomy with lensectomy and scleral buckling were performed.

the fold was bilateral in 5 cases (27.8%), unilateral with abnormal retinal vascular change in the fellow eye in 9 cases (50.0%), and unilateral with normal fellow eye in 4 cases (22.2%).

• **SECONDARY COMPLICATIONS OF CONGENITAL RETINAL FOLD ARE COMMON AND VISUALLY DEVASTATING:** During the follow-up periods, secondary ocular complications developed in the fundus in 44 of 147 eyes (29.9%) with congenital retinal folds; progression of a tractional retinal detachment in 19 eyes (12.9%), rhegmatogenous retinal detachment in 12 eyes (8.2%), fibrovascular proliferation from the neovascularization in 11 eyes (7.5%), and exudative retinal detachment in 2 eyes (1.4%). The secondary complications in the fundus of 44 eyes are summarized in the Table.

Among the 26 patients with "true" unilateral congenital fold, secondary ocular complications also developed in the fundus in 6 eyes (23.1%); progression of a tractional retinal detachment in 2 eyes (7.7%), rhegmatogenous retinal detachment in 1 eye (3.8%), fibrovascular proliferation from the neovascularization in 2 eyes (7.7%), and exudative retinal detachment in 2 eyes (3.8%).

• **TRACTIONAL RETINAL DETACHMENTS:** Progression of tractional retinal detachment occurred in patients ranging in age from 1 to 88 months (mean, 25.8 ± 27.2 months). Among the 19 eyes the tractional retinal detachment originated from excessive fibrovascular proliferation and contraction in 15 eyes (79%) (Figure 3, Top and Middle) and regrowth of fibrovascular tissue in 4 eyes (21%). Ten eyes (53%) were treated: vitrectomy with lensectomy was performed in 6 eyes (32%) and scleral buckling with laser photocoagulation in 4 eyes (21%). Retinal reattachment was achieved in 7 of 10 treated eyes (70%).

• **RHEGMATOGENOUS RETINAL DETACHMENTS:** A rhegmatogenous retinal detachment developed in patients ranging in age from 33 to 195 months (mean, 87.0 ± 56.5 months). Among the 12 eyes, ocular trauma including the digito-ocular sign was involved in 5 eyes (42%). Multiple or expanded retinal breaks were seen in the periphery within the stretched and fragile retina at the edge of the retinal folds in 8 eyes (67%) (Figure 3, Bottom), dialysis developed in 1 eye (8.3%), and no breaks were seen in 3 eyes. Nine eyes (75%) with a rhegmatogenous retinal detachment had a total retinal detachment with proliferative vitreoretinopathy (PVR). Treatment was performed in 11 eyes (92%): vitrectomy with lensectomy in 5 eyes (42%), vitrectomy with lensectomy and scleral buckling in 4 eyes (33%), and scleral buckling in 2 eyes (17%); however, retinal reattachment occurred in 3 of 11 treated eyes (27%).

• **FIBROVASCULAR PROLIFERATION:** Fibrovascular proliferation progressed in patients ranging in age from 2 to 121 months (mean, 19.4 ± 35.8 months), within the first year in 9 of 11 eyes (82%). Growth of neovascularization was identified in 11 eyes (100%). Treatment was performed in 10 eyes (91%): vitrectomy with lensectomy in 5 eyes (46%), laser photocoagulation applied to the peripheral avascular retina in 4 eyes (36%), and scleral buckling with laser photocoagulation in 1 eye (9%); treatment stabilized the neovascularization and prevented a retinal detachment in 8 of 10 treated eyes (80%).

• **ANTERIOR SEGMENT COMPLICATIONS:** Secondary complications in the anterior segments developed in 16 of 147 eyes (10.9%) with congenital retinal folds; glaucoma in 9 eyes (6.1%), cataract in 8 eyes (5.4%), and band keratopathy and keratoconus in 1 eye (0.7%) each. Two glaucoma eyes developed cataracts and 1 cataract eye developed glaucoma after cataract surgery. Glaucoma developed in patients ranging in age from 2 to 137 months (mean, 60.1 ± 46.8 months). The main cause was fibrovascular proliferation and contraction that resulted in anterior lens displacement and angle-closure glaucoma. Neovascular glaucoma was identified in 1 eye. Treatment was performed in 6 eyes (67%): medical treatment in 4 eyes and lensectomy and peripheral iridectomy in 1 eye each. Cataract developed in patients ranging in age from 11 to 113 months (mean, 59.1 ± 40.2 months). Lensectomy was performed in 4 eyes (50%).

Among the 26 patients with "true" unilateral congenital fold, secondary complications in the anterior segments developed in 4 eyes (15.4%): glaucoma in 1 eye (3.8%) and cataract in 3 eyes (11.5%).

• **VISUAL OUTCOMES WERE GENERALLY POOR:** The VA could be measured in 119 eyes. Of these, the final BCVA ranged from 20/100 to 20/20 in 5 eyes (4.2%) with macular formation, 2/100 to 20/200 in 45 eyes (37.8%), 2/200 to light perception in 46 eyes (38.7%), and no light perception in 23 eyes (19.3%). Among the total of 147 eyes, an ocular prosthesis was used in 8 eyes (5.4%) with phthisis bulbi or microphthalmos to facilitate orbital growth.

DISCUSSION

IN THE CURRENT SERIES, THE RETINAL VESSELS WITHIN THE folds were bundled and pulled toward the temporal periphery in most cases. The retinal vessels may appear not to enter the fold but to have developed before the retina became folded. The folds mostly were composed of stretched retina rather than vitreous membranes, described by Michaelson⁴ and by Pruett and Schepens,⁵ extending from the disc toward the peripheral fundus. Pruett and Schepens reported that the meridional distribution of

vitreous bands and retinal folds was commonly on the nasal side,⁵ but in the current study, the folds extended temporally in 92.5% (136/147) eyes, although in the “true” unilateral congenital fold group of 26 patients (26 eyes), the folds extended nasally, superiorly, or inferiorly in higher rate of 34.6% (9/26) eyes compared to 7.5% (11/147) eyes.

The affected eye also had a peripheral avascular zone and retinal vascular abnormalities including neovascularization, hemorrhage, and exudates that indicated active retinopathy. The retinal folds were unilateral in 78.5% of eyes; however, 71.5% of patients with a unilateral fold had abnormal retinal vascular changes, a dragged retina, or total retinal detachment and leukokoria in the fellow eye. Insufficient retinal vascular development and abnormal vascular changes were seen frequently in the temporal periphery of the fellow eyes (34.7%). Since the growth of retinal vessels is more likely to be delayed temporally than nasally, these features seemed to indicate that most retinal folds in the current series may have resulted from bilateral incomplete and abnormal vascular retinal development, similar to that of ROP. Most congenital retinal folds may be caused by insufficient retinal vascular development, as in FEVR, rather than by PFV. It is interesting that features in each eye of the same patient are often quite different in this series. It is distinctly unusual in ROP for patients to develop severe retinopathy in 1 eye but not develop a similar degree of pathology in the other eye. Insufficiency of vascular development of this series may originate from gene mutations that related to morphogenesis of the retinal vessels. The molecular mechanism needs further elucidation.

The family history was positive in 64.0% of cases in which family members were examined. All positive cases had bilateral manifestations of incomplete and abnormal vascular development that confirmed the diagnosis of FEVR. Most positive cases were transmitted by autosomal dominant inheritance, while none was transmitted by autosomal recessive or X-linked recessive inheritance. Sporadic cases may exist within 77.8% of negative cases with bilateral manifestations. Gene studies to detect mutations in *FZD4*, *LRP5*, and *NDP* are under way to clarify the genetic characteristics of Japanese patients.

Regarding secondary fundus complications, a high rate of fibrovascular proliferation and rapid progression of tractional retinal detachments indicate the characteristics of active FEVR. Van Nouhuys⁹ and Nishimura and associates¹⁰ reported similar features of retinal folds. Various retinal involvements in FEVR have been studied and reported since 1982 in Japan.^{10–12} There may be differences among races, but FEVR is supposed to be a rather common origin of congenital retinal folds without systemic associations.

The “true” unilateral congenital fold, the small group of patients that most closely resemble “congenital retinal folds” as previously described, seems to have different

pathology. PFV may play a role in the pathogenesis of congenital retinal folds in unilateral cases, especially those associated with coloboma in the affected or fellow eye,¹⁴ in which a tent-shaped retinal detachment (fold) extends inferiorly along with the fetal fissure. In those cases, the tractional fetal tissue pulled on the retina and caused a tent-like configuration.⁷ The term anterior-peripheral PFV and not posterior PHPV should be used for the origin of congenital retinal folds pulled by the fetal fibrous tissue in the periphery. However, it is rare that PFV results in peripheral fibrous proliferation, because PFV usually proliferates along the hyaloid artery.

Few reports have been published on the long-term prognosis of retinal folds. Van Nouhuys reported that 3 different factors play an etiologic role in the pathogenesis of retinal detachments in eyes with FEVR: traction from vitreous membranes, atrophy of the peripheral retina, and subretinal exudation.¹⁵ In that study, the most frequent late complication was a retinal detachment, which developed in 20% of 180 eyes with FEVR, and traction was the most important cause of the retinal detachment. Recently, surgery, including peripheral laser ablation and vitrectomy, has been advocated in FEVR including retinal folds. Previous reports of vitrectomy to treat FEVR mainly involved cases of tractional retinal detachment.^{16,17}

In the current study, nearly 30% of affected eyes with congenital retinal folds developed secondary fundus complications including fibrovascular proliferation, tractional retinal detachment, rhegmatogenous retinal detachment, and exudative retinal detachment. Even in the group of patients with “true” unilateral congenital fold, secondary fundus complications developed in 23.1%. The complication rate with the “true” unilateral fold seems to be also high in fundus and rather higher in the anterior segments.

Fibrovascular proliferation developed from neovascularization of active retinopathy in 7.5% of eyes, mostly within the first year of life. Tractional retinal detachments developed from excessive fibrovascular proliferation and regrowth in 12.9% in infants and younger children under 4 years of age. However, it is noteworthy that fibrovascular proliferation and tractional retinal detachments may develop from regrowth in older children aged 7 to 10 years. Meanwhile, rhegmatogenous retinal detachments and exudative retinal detachments developed in 8.2% and 1.4%, respectively, in older children from 2 to 16 years old. Ocular trauma was highly involved in the development of rhegmatogenous retinal detachments. Retinal breaks mostly occurred in the periphery within the stretched retinal folds, resulting in intractable PVR. Laser photocoagulation, scleral buckling, and vitrectomy with lensectomy were performed in the affected eyes with useful vision; however, the success rates for eyes complicated with fibrovascular proliferation, a tractional retinal detachment, and a rhegmatogenous retinal detachment were 80%, 70%, and 27%, respectively.

These results indicated that very early diagnosis within the first months of life, frequent examinations at a young age, and early intervention with laser and vitreoretinal surgery are essential to prevent serious complications and preserve useful vision. Fundus FA is recommended in cases suspected to arise from neovascularization of active retinopathy. The current findings also confirmed the need for a thorough ophthalmoscopic examination of the fellow eye in patients with unilateral retinal folds and for examinations of siblings at an early age. Early detection of a retinal detachment was extremely hard in eyes with a unilateral fold or in worse eyes with bilateral folds. We also recommend that older children undergo follow-up every 3 months, avoid sports associated with a high risk of ocular trauma, and wear protective glasses. Secondary complications in the anterior segment also developed in nearly 11% with congenital retinal folds in the current series. Glaucoma

and cataract developed in 6.1% and 5.4%, respectively, in patients around 5 years of age; however, those diseases may develop in infants to older children older than 9 years of age. Longer follow-up may increase the morbidity of the anterior and posterior complications. Thus, life-long observation is needed to preserve vision in eyes with a retinal fold.

The final BCVAs were 20/100 to 20/20 in 5 eyes (4.2%), 2/100 to 20/200 in 45 eyes (37.8%), and 2/200 or worse in 69 eyes (58.0%), because the temporal retina including the macula was folded in most eyes. In 5 eyes with VA of 20/100 or better, the folds were pulled nasally, superiorly, or inferiorly to the periphery and the normal macular morphology was preserved. It is suggested that even in eyes with congenital retinal folds, if the macula is rotated, appropriate treatment for amblyopia should be performed to facilitate development of good vision and binocular function.¹⁸

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Clinical Features of Anterior Segment Dysgenesis Associated With Congenital Corneal Opacities

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Purpose: Anterior segment dysgenesis is one of the main causes of congenital corneal opacities. In this study, we investigated the clinical features and visual outcomes of patients with anterior segment dysgenesis in a large number of cases.

Methods: The medical records of patients with congenital corneal opacities in relation to anterior segment dysgenesis seen in the National Center for Child Health and Development, Japan, between April 2002 and October 2009, were retrospectively studied.

Results: Records of 220 eyes of 139 patients were reviewed. Mean follow-up period was 5 years. Clinical diagnoses were Peters anomaly (72.7%), anterior staphyloma (11.4%), Rieger anomaly (7.7%), sclerocornea (6.4%), and others (1.8%). Visual acuity was measured in 61 patients. The best-corrected visual acuity in the better eye of bilaterally involved patients was 20/60 to 20/1000 (low vision according to the *International Classification of Diseases, Ninth Revision, Clinical Modification*) in 43.2% and less than 20/1000 (legally blind) in 24.3%. Fundus examination was performed in 82 eyes, and disorders were seen in 12 (12 of 82; 14.6%). Systemic abnormalities were present in 35 patients (35 of 139; 25.2%); a family history was present in 5 patients (5 of 139; 3.6%). Of the 160 eyes of 109 patients with Peters anomaly, 51 patients (51 of 109; 46.8%) had bilateral Peters anomaly, 30 (30 of 109; 27.5%) had fellow eyes that were normal, and 28 (28 of 109, 25.7%) showed other abnormal ocular findings in the fellow eye.

Conclusions: Anterior segment dysgenesis shows diverse clinical features, various severities of corneal opacities, and visual outcomes. Further understanding of the disease as an abnormality during embryogenesis and neural crest cell differentiations may be required.

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The causes of congenital corneal opacities (CCOs) are diverse. CCO can be genetic, glaucomatous, infectious, traumatic, developmental, metabolic, idiopathic, or toxic. Furthermore, these causes can also overlap.^{1–3} When we consider the congenital causes, indicating that the corneal opacity exists in a neonate, one of the main causes, of CCO is anterior segment dysgenesis (ASD). A number of these cases are bilaterally involved and are also accompanied by other ocular malformations, sometimes with complex systemic diseases.⁴ However, only a few reports concerning these abnormalities in series with a large number of cases are present.^{1,5} This is because of the difficulty in performing an epidemiological study that samples a large number of newborns. Furthermore, making a precise diagnosis of a rare entity such as ASD is difficult.

ASD is induced by abnormalities during embryogenesis and neural crest cell differentiations.^{6–13} Previously, ASD was called anterior chamber cleavage syndrome¹² or mesodermal dysgenesis of the iris and cornea.¹⁴ Because it is now known that no development of a cleavage plane as the anterior segment forms and differentiates occurs⁸ and because no mesoderm is involved,⁷ these terms have been deemed inappropriate. Mutations in the ASD genes, *PAX6*, *PTX2*, *FOXC1*, *FOXE3*, and *CYP1B1*, have been identified.^{15,16} Investigators have suggested various ASD classifications based on embryological contribution,⁷ developmental arrest,⁹ neural crest proliferation and migration patterns,¹⁰ neural crest origin,¹¹ and anatomical findings.¹² ASD classification is sometimes complicated because it is not unusual that dysgenesis exists not only alone but also in combination with other disorders. In this study, we investigated the clinical features and visual outcomes of ASD-associated CCO in a large number of patients. We also reviewed the classification of ASD^{3,6–13,15,17,18} and compared the diagnosis of both eyes of patients with Peters anomaly in 1 eye to study ASD overlap.^{19–21}

SUBJECTS AND METHODS

We retrospectively reviewed the computerized medical records of all patients with ASD-associated CCO seen at the National Center for Child Health and Development (Tokyo, Japan) between April 1, 2002, and October 31, 2009.

The data were collected from computerized medical records, entering the diagnosed disease name as a key word, and all the medical records were reviewed again. The adult patients who had ASD-associated CCO diagnosed when they were younger at the former National Children's Hospital (from 1965 to March 2002) who came to the National Center for Child Health and Development for the first time were also included. In this study, ASD cases without the risk of emerging CCO and congenital aniridia were excluded. We evaluated laterality, ASD type, visual outcome, location of opacity, posterior segment abnormalities, systemic diseases, family history, and clinical course of the disorder.

Laterality (unilateral or bilateral) was diagnosed only by the existence of ASD, and other ocular findings were excluded. ASD type was diagnosed by slit-lamp examination and, when possible, with the assistance of ultrasound biomicroscopy (UBM) and anterior segment optical coherence tomography (AS-OCT). Visual outcomes were measured considering the child's age and mental development. Picture tests were used for preverbal children. In older children, angular vision using Landolt rings followed by cortical vision was measured and converted to Snellen visual acuity. Corneal opacity location was categorized into 5 groups: diffuse, central, center to periphery, peripheral opacity, and other (including minimal corneal involvement and location not classifiable). Posterior segment abnormalities were diagnosed by clinical examination, using the slit lamp and funduscope, with the help of B-mode echography when the posterior segment was invisible because of CCO. Records of systemic disease and family history from interviews during the clinical course of the child's condition were reviewed. For a better understanding of ASD overlap, we analyzed diagnosis of both eyes of patients with Peters anomaly to observe differences in ASD diagnosis between the eyes.

RESULTS

Medical records of 220 eyes of 139 patients with ASD-associated CCO were reviewed. Among the patients, 68 were men (109 eyes) and 71 were women (111 eyes). Age at the first examination ranged from 0 months to 25 years (mean, 1.2 years; SD, 2.7). The mean follow-up period was 5 years (range, 0 months to 21 years).

Eighty-one patients (162 of 220 eyes; 73.6%) had bilateral corneal opacities and 58 (58 of 220 eyes; 26.4%) had unilateral ones. Clinical diagnosis was as follows: Peters anomaly in 160 eyes (72.7%), anterior staphyloma in 25 eyes (11.4%), Rieger anomaly in 17 eyes (7.7%), sclerocornea in 14 eyes (6.4%), and other (of unknown origin) in 4 eyes (1.8%) (Fig. 1).

Diagnosis was made by slit-lamp examination with UBM and AS-OCT assistance in cases of severe corneal opacity. Figure 2 shows the slit-lamp photograph and corresponding image of UBM and AS-OCT in patients with bilateral Peters anomaly. The iridocorneal angle structure can be seen in detail.

Visual acuity was measured in 98 eyes of 61 patients (37 bilateral and 24 unilateral cases). Table 1 shows the best-corrected visual acuity of the eyes in bilateral and unilateral cases, and Table 2 shows the visual acuity ranges based on the better eye. The best-corrected visual acuity in the better eye of bilaterally involved patients was lower than 20/60 (low vision

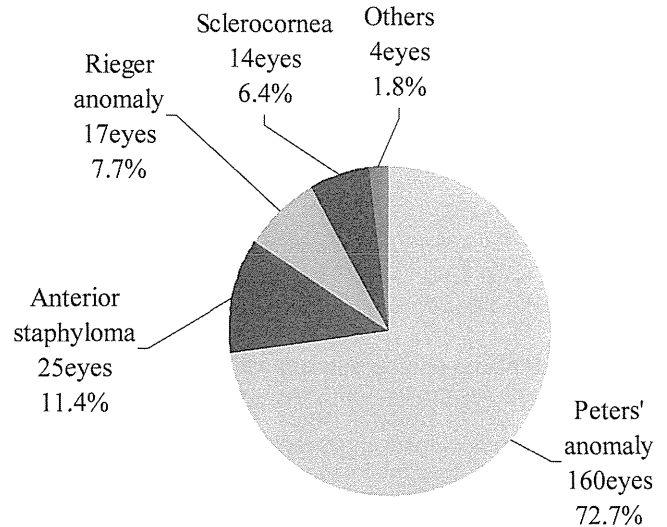


FIGURE 1. Clinical diagnosis of ASD with CCO (n = 220 eyes).

according to the *International Classification of Diseases, Ninth Revision, Clinical Modification*) in 43.2% and 20/1000 or worse (legally blind) in 24.3%. In total, 67.5% of patients with bilateral CCO had visual disability diagnosed.

Corneal opacity was diffuse in 48.6% of eyes, central in 17.7%, and peripheral and center to peripheral in approximately 10% each (Table 3). Of the 170 eyes of patients with corneal opacity whom we were able to follow-up, 142 (83.5%) showed no noticeable change and 28 (16.5%) showed a slight improvement. Improvement of the corneal opacity was mostly seen in patients with Peters anomaly.

Fundus examination was performed using the funduscope in 82 eyes, and fundus disorders were seen in 12. However, 138 eyes could not be examined by funduscope because of haziness. Among those 138 eyes, 125 were without major disorders, as examined by B-mode echography (Table 4). The most common disorders were persistent fetal vasculature in 4 eyes, followed by coloboma, chorioretinal atrophy, and optic nerve hypoplasia (Table 5).

Systemic abnormalities were present in 35 patients (25.2%). Multiple deformations, such as chromosome abnormality, hydrocephalus, polysyndactyly, and syndactylia, were seen in 16 patients, followed by cardiovascular disease in 5, neurologic disease (including brain hypoplasia, mental retardation, cerebral palsy, and seizure) in 5, craniofacial disease in 3 (cleft lip and palette, macroglossia and oral tumor, and dental hypoplasia), thyroid disease in 2, urinary disease in 2, and otologic disease (deafness and preauricular appendage) in 2 (Table 6). Axenfeld-Rieger syndrome, which is characterized by components of the ocular symptoms of Axenfeld anomaly and Rieger anomaly, and nonocular symptoms of Rieger syndrome were seen in 4 patients. There was a family history of ocular disorders in 5 patients (3.6%); 4 patients had a family history of Peters anomaly and 1 had a history of anterior staphyloma.

Of the 220 eyes of 139 patients in this study, we diagnosed Peters anomaly in 160 eyes of 109 patients. We reviewed the condition of the fellow eye among these 109

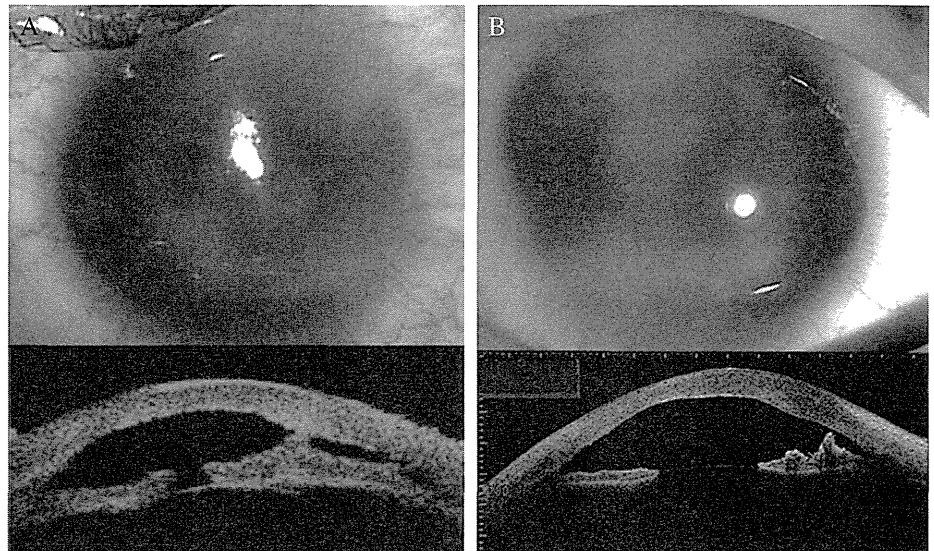


FIGURE 2. Slit-lamp photograph and corresponding image by UBM (A) and AS-OCT (B) of bilateral Peters anomaly. The iridocorneal angle can be seen more clearly in the image of AS-OCT, although there are limitations from the sclera and poor visualization of the ciliary body.

patients with Peters anomaly. Thirty patients (27.5%) had normal fellow eyes and 79 (72.5%) showed abnormal ocular findings in the fellow eye. The abnormal ocular findings were Peters anomaly in 51 eyes, anterior staphyloma in 9, sclerocornea in 6, Rieger anomaly in 5, persistent pupillary membrane in 3, macular hypoplasia in 2, and optic disc hypoplasia, high myopia, and aniridia in 1 each. Thus, within patients with ocular abnormalities in the fellow eyes of Peters anomaly, 64.6% had bilateral Peters anomaly and 93.7% had bilateral ASD. From another viewpoint, 67.9% of patients with Peters anomaly also had bilateral ASD (Fig. 3).

DISCUSSION

Ocular malformation incidence in newborns is reported to be low, from 3.3 to 6.0 per 10,000 newborns^{22,23}; although extremely rare, severe ocular malformations are a lifelong

vision-threatening disease. Bermejo and Martinez-Frias⁵ indicate that the range in statistics emerges from a statistical bias based on samples taken from special schools or clinics and the time of data sampling. Furthermore, they also report the incidence of CCO to be 3.1 per 100,000.

Among the diverse causes of CCO, ASD stands out as the main cause at present. Furthermore, ASD incidence is rare, and our study presents the largest series of evidence assembled to date on the diagnosis of this disorder. The description of clinical features and visual outcomes for a large number of cases would be valuable for understanding the disease and a further study of the disease. Because ASD is induced by the abnormalities during embryogenesis and neural crest cell differentiations, the key to understanding ASD is to review the embryology of anterior segment. At approximately the sixth week of gestation, separation of lens vesicle and basement membrane of the surface ectoderm, which will become corneal

TABLE 1. Best-corrected Visual Acuity of Eyes of Bilateral and Unilateral Cases Based on the *International Classification of Diseases, Ninth Revision, Clinical Modification*

| Visual Acuity | Bilateral (n = 74) | | Unilateral (n = 24) | |
|---------------------------------------|--------------------|------|---------------------|------|
| | Eyes | % | Eyes | % |
| Near-normal vision | | | | |
| Range of normal vision (>20/25) | 10 | 13.5 | 3 | 12.5 |
| Near-normal vision (<20/25) | 4 | 5.4 | 4 | 16.7 |
| Low vision | | | | |
| Moderate low vision (<20/60) | 12 | 16.2 | 1 | 4.2 |
| Severe low vision (<20/160) | 11 | 14.9 | 1 | 4.2 |
| Profound low vision (<20/400) | 5 | 6.8 | 2 | 8.3 |
| Near-blindness | | | | |
| Near-blindness (<20/1000) | 23 | 31.1 | 4 | 16.7 |
| Total blindness (no light perception) | 9 | 12.2 | 9 | 37.5 |

n, number of eyes.

TABLE 2. Best-corrected Visual Acuity in the Better Eye Based on the *International Classification of Diseases, Ninth Revision, Clinical Modification*

| Visual Acuity | Bilateral (n = 37) | | Unilateral (n = 24) | |
|---------------------------------------|--------------------|------|---------------------|------|
| | Cases | % | Cases | % |
| Near-normal vision | | | | |
| Range of normal vision (>20/25) | 8 | 21.6 | 21 | 87.5 |
| Near-normal vision (<20/25) | 4 | 10.8 | 3 | 12.5 |
| Low vision | | | | |
| Moderate low vision (<20/60) | 10 | 27.0 | — | — |
| Severe low vision (<20/160) | 5 | 13.5 | — | — |
| Profound low vision (<20/400) | 1 | 2.7 | — | — |
| Near-blindness | | | | |
| Near-blindness (<20/1000) | 9 | 24.3 | — | — |
| Total blindness (no light perception) | 0 | 0 | — | — |

n, number of cases.

TABLE 3. Frequencies of Corneal Opacity Location

| Opacity Location | Eyes (n = 220) | % |
|-------------------------|----------------|------|
| Diffuse | 107 | 48.6 |
| Center | 39 | 17.7 |
| Center to the periphery | 24 | 10.9 |
| Periphery | 21 | 9.5 |
| Others | 29 | 13.2 |

n, number of eyes.

epithelium, occurs and is followed by 3 successful waves. The first wave gives rise to the corneal endothelium and trabecular meshwork, the second gives rise to the corneal keratocytes and corneal stroma, and the third becomes the iris. The arrest of any of these developmental stages will induce ASD.

Three-fourths of our patients showed bilateral CCO. This rate was higher than that in the previous reports from Rezende et al¹ (55.3%). We postulate that the difference emerges because of patient samples of CCO. We limited our patients to those with ASD; therefore, patients with popular unilateral CCO, such as limbal dermoid, were excluded from our study. Furthermore, because our data were from the corneal practice of a specialized children’s hospital, the patient population seems to fall into the range of severe bilateral CCO.

In our study, the majority of ASD was diagnosed as Peters anomaly. Clinical features of Peters anomaly are diverse, from mild to severe. ASD is classified in detail by its characteristics, although it has a broad spectrum. Some cases overlap other conditions and therefore are impossible to classify. Rieger anomaly is classified as a mild ASD type, and sclerocornea and anterior staphyloma are classified as severe ASD types. The remaining cases will be classified in a broad range of Peters anomaly. Therefore, further understanding of ASD as a disease of abnormalities during embryogenesis and neural crest cell differentiations as a whole is required.

Visual acuity outcome was severe. Forty percent to 50% of both bilateral and unilateral eyes were less than 20/1000, and patients had legal blindness diagnosed according to the *International Classification of Diseases, Ninth Revision, Clinical Modification*. In unilateral and bilateral cases with difference in severity, deprivation of form vision occurs in the worse eye and development of vision seems to be disturbed. Classifying by the better eye, 43.2% of patients with bilateral Peters anomaly had low vision and 24.3% were legally blind. Management for prevention of amblyopia was performed in treatable patients, although it still remains a life-long disability.

Opacity location plays an important role in the future of vision, although it is well-known that visual acuity will

TABLE 4. Posterior Segment Abnormalities Diagnosed by Funduscopy or B-mode Echography

| Examination | Normal (%) | Abnormal (%) |
|-----------------------------|------------|--------------|
| Funduscopy (n = 82) | 70 (85.4) | 12 (14.6) |
| B-mode echography (n = 138) | 125 (90.6) | 13 (9.4) |

n, number of eyes.

TABLE 5. Fundus Disorders Among Patients With ASD Examined by Funduscopy

| Fundus Disorders | Eyes (n = 82) | % |
|------------------------------|---------------|------|
| Persistent fetal vasculature | 4 | 4.9 |
| Coloboma | 3 | 3.7 |
| Chorioretinal atrophy | 3 | 3.7 |
| Optic disc hypoplasia | 2 | 2.4 |
| No major disorders | 70 | 85.4 |

n, number of eyes.

eventually be influenced by many factors. These factors include not only the location and density of the corneal opacity but also laterality, other ocular malformations, and systemic diseases, including intellectual growth. When we predict future vision of the patient, we have to take these factors into consideration.

Posterior segment abnormalities were identified by funduscope in 12 of 82 eyes (14.6%). Among patients who underwent B-mode echography, 125 eyes were without major disorders (90.6%). Thus, in our results, approximately 10% of ASD was combined with posterior segment abnormalities. The combination of posterior segment abnormalities seems to be not very common. Ocular findings were diverse, but they all were derived because of the arrest of some stage or stages of embryology. As reported previously by Trauboulsi and Maumenee,⁴ anterior and posterior segment abnormalities exist as a complex malformative syndrome affecting the globe as a whole, rather than independently.

Systemic abnormalities were seen in 25.2% of our patients (Table 6), and this percentage is similar to that of the report from Rezende et al¹ (21.3%). Because nonocular neural crest cells form cartilage, bone, connective tissue, teeth components (except enamel), pigment cells, and peripheral nervous system of the face,¹⁰ the majority of the systemic abnormalities also have their origin in the primary neural crest cell,⁷ which is sometimes called systemic neurocristopathy.²⁴ Trauboulsi and Maumenee⁴ also suggest that midline body structures seem to be selectively involved in some patients with Peters anomaly, resulting from the contiguous gene syndrome or a defective homeotic gene controlling the development of the eye and body structure. Our results seem to support their theory.

TABLE 6. Systemic Abnormalities Among Patients With ASD

| Systemic Abnormalities | Cases (n = 139) | % |
|------------------------|-----------------|------|
| Multiple deformation | 16 | 11.5 |
| Cardiovascular disease | 5 | 3.6 |
| Neurologic disease | 5 | 3.6 |
| Craniofacial disease | 3 | 2.2 |
| Thyroid disease | 2 | 1.4 |
| Urinary disease | 2 | 1.4 |
| Otologic disease | 2 | 1.4 |
| No major complications | 104 | 74.8 |

n, number of cases.

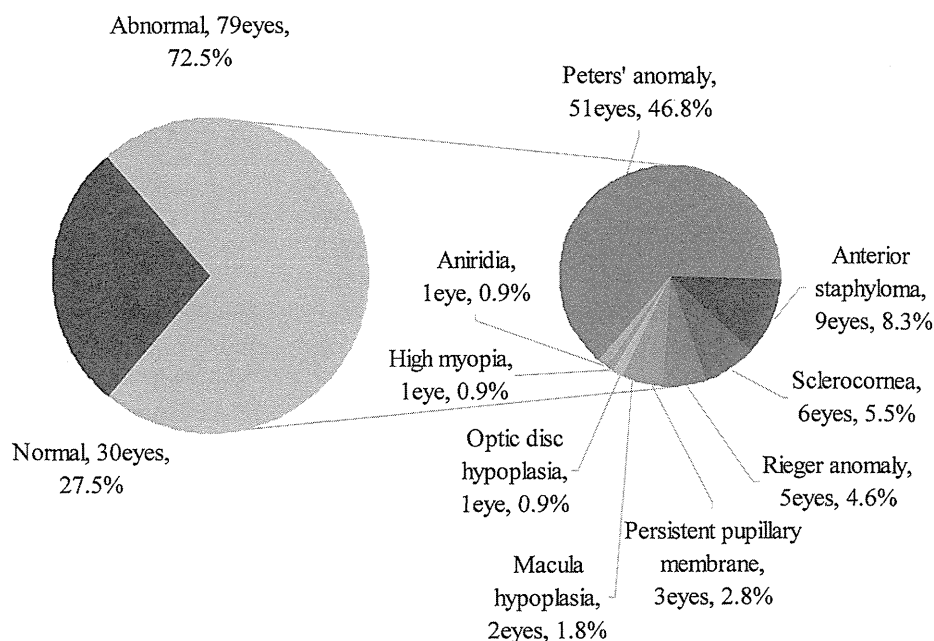


FIGURE 3. Diagnosis of the fellow eye in Peters anomaly ($n = 109$ eyes); 93.7% had bilateral ASD.

Family history was present in 3.6% of our patients. Rezende et al¹ reported 2 out of 47 patients to have a family history; hence, this percentage may be appropriate. In our study, most of the patients showed Peters anomaly. Peters anomaly is known to be sporadic, but sometimes it is inherited. Because Peters anomaly appeared in the majority in our study, this percentage may represent the frequency of inheritance of Peters anomaly.

As shown previously in our study, 72.5% of patients with Peters anomaly had bilateral ocular abnormalities. The majority (93.7%) had ASD, and two-thirds had Peters anomaly.

Peters anomaly was first reported by Von Hippel²⁵ in 1897, although the cause was unclear at that time. In 1906, Peters²⁶ defined it as a dysplasia of the anterior chamber, an incomplete separation between the lens capsule or iris tissue and the Descemet membrane.²⁰ The essential feature of Peters anomaly is a congenital central corneal opacity with defects in the posterior stroma, Descemet membrane, and endothelium.^{6,13} It shows a wide range of morphological characteristics and severity. Some cases were complicated and difficult to diagnose under slit-lamp examination because the anterior chamber was invisible because of the severe corneal opacity.

High-frequency UBM²⁷⁻³⁵ and AS-OCT³⁶ assistance were effective in these cases. The UBM method was reported in 1989 by Pavlin et al,³³ and the possibility of rendering images of the invisible anterior segment apparent made this apparatus come into wide use. Recently, another apparatus has been developed, and clinical data have been reported. Comparative studies evaluating AS-OCT show that it produces both more accurate and consistent images of the anterior segment compared with UBM.³⁶ However, limitation by the sclera and poor visualization of the ciliary body still remain a challenge to diagnosis by imaging.

ASD shows diverse clinical features, various severities of CCO, and visual outcomes. Clinical diagnosis of each eye may differ in bilateral cases. Further understanding of the disease as

an abnormality during embryogenesis and neural crest cell differentiations may be required. Accurate diagnosis with UBM and AS-OCT assistance surely will be a step forward for the management of ASD-associated CCO in the future.

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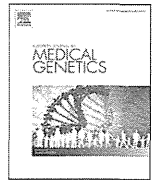
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Short clinical report

Concurrent deletion of *BMP4* and *OTX2* genes, two master genes in ophthalmogenesis

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ABSTRACT

BMP4 and *OTX2* are master genes in ophthalmogenesis. Mutations of *BMP4* and *OTX2* often lead to eye defects, including anophthalmia–microphthalmia. A significant degree of variable expressivity has been reported in heterozygous individuals with *BMP4* or *OTX2* mutation. Interestingly, both *BMP4* and *OTX2* reside on 14q22, being only 2.8 Mb apart. Previous studies reported that among three patients with 14q22 deletion involving *BMP4* and *OTX2*, all had severe eye defects. The minimal degree of variable expressivity among these individuals who were doubly deleted for *BMP4* and *OTX2* could be attributed to the combinatorial relationship of the two genes observed in animal models. We herein report a patient with a concurrent deletion of *BMP4* and *OTX2* who exhibited bilateral microphthalmia, more specifically, anterior segment dysgenesis with microcornea. Evolutionarily conserved physical linkage of *Bmp4* and *Otx2* loci may suggest an advantage of the proximal alignment of the two genes. Another striking feature in the proband was the progressive white matter loss observed by serial neuroimaging. A review of twelve previously reported patients with 14q22 microdeletion revealed decreased white matter volume in half of the patients. It remains to be elucidated whether the white matter lesion is age-dependent and progressive. In conclusion, anterior segment defects of the eyes, especially when accompanied by decreased white matter volume on neuroimaging, should raise the clinical suspicion of 14q22 microdeletion.

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1. Introduction

14q22 microdeletion syndrome has been proposed as a recognizable contiguous gene deletion syndrome, mainly characterized by anophthalmia–microphthalmia (AM) and developmental delay [1]. In terms of AM, it is noteworthy that the 14q22 region contains two master genes for ophthalmogenesis, *BMP4* and *OTX2*, the two genes being only 2.8 Mb apart. *Bmp4* belongs to the *Tgfb1* superfamily and plays a pivotal role in ocular development as well as in the development of the teeth, limbs and bones [2]. *Otx2* is a critical gene for tissue specification in the forebrain and its derivative, eyes [3].

Mutations of *BMP4* lead to eye defects, including AM [4]. Similarly, mutations of *OTX2* can also cause an indistinguishable phenotype [5]. In both humans and mice, with heterozygous mutation of *BMP4* [4,6], and in those with heterozygous mutations of *OTX2* [5,7], a significant degree of variable expressivity of the ocular phenotype is the rule. In mice, *Bmp4* +/- causes anterior segment dysgenesis, but the penetrance and severity of the ocular phenotype is strongly influenced by the genetic background. On the C57BL/6J background, most of *Bmp4* +/- mice exhibit a bilateral severe ocular phenotype, whereas on other genetic backgrounds, few heterozygous mice show clinically detectable ocular phenotypes [6]. Similarly, in humans, the severity of the ocular phenotype varies significantly among affected patients, and truncating mutation of *OTX2* identified in children with bilateral AM have been detected in unaffected parents, providing evidence for incomplete penetrance [5].

Among three patients with 14q22 deletion involving *BMP4* and *OTX2*, all had severe eye defects. The minimal degree of variable

Abbreviations: AM, anophthalmia–microphthalmia.

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expressivity among these individuals with doubly heterozygous mutations of *BMP4* and *OTX2* could be attributed to the functionally related roles of the two genes observed in animal models. Both *Otx2* and *Bmp4* are involved in retinal pigment epithelial differentiation and formation of the anterior structures of the eyes in vertebrates [8]. Co-expression of the two genes was observed in some species [9]. Here, we present a patient with concurrent deletion of *OTX2* and *BMP4* that lends support to the aforementioned hypothesis, and to better delineate, in further detail, the phenotypic characteristics of the 14q22 microdeletion syndrome.

2. Clinical report

The proband is a Japanese girl born to non-consanguineous parents. Her family history was non-contributory. The pregnancy was uneventful and she was born at 37 and 5/7 weeks of gestation via cesarean section for decreased fetal movements. Her birth weight was 2335 g and head circumference was 33 cm. Her face was characterized by a prominent forehead, microphthalmia, thin upper lip, long palpebral fissures, and long eyelashes (Fig. 1-A). Ophthalmic slit-lamp examination revealed bilateral extreme microcornea with a corneal diameter of 4 mm in the right eye and 2 mm in the left eye, severe anterior segment dysgenesis with bilateral corneal opacities, iris coloboma in the right eye, and occluded pupil in the left eye (Fig. 1-B). No posterior segment

abnormalities were identified in either eye by ultrasonography. Both eyes showed light perception, but grating visual acuity could not be measured. Involuntary upward movements of the eye were seen on both sides. There was a prominent finger pad and a small but deep sacral dimple. She also had a small atrial septal defect, which closed spontaneously. She showed delayed tooth eruption. At two weeks of age, a computed tomography of the head revealed only an extremely thin corpus callosum without significant cerebral volume changes (Fig. 1-C). A magnetic resonance imaging at the age of 21 months demonstrated significant and progressive global atrophy, most prominent in the frontal lobes. The striking volume loss predominantly involved the white matter, with relative preservation of the gray matter (Fig. 1-D). Neuroimaging did not reveal any suprasellar abnormalities. Her thyroid function test results were all within normal limits (thyroid-stimulating hormone 4.28 mU/L (reference for age: 0.7–6.4 mIU/L); free triiodothyronine 4.1 pg/mL (2.3–5.6 pg/mL); free thyroxine 1.2 ng/dL (0.8–2.2 ng/dL)). Serum somatomedin C was normal for age, i.e., 93.0 ng/mL (74–202 ng/mL). Her development was profoundly delayed despite the absence of microcephaly, failure to thrive, or deafness on auditory brainstem response. Currently, she is 2 years and 10 months old and her height is 85.7 cm (–1.35SD), weight is 10.34 kg (–1.64SD), and head circumference is 48.4 cm (+0.08SD). She is only able to sit without support. She does not follow commands or speak any meaningful words. She has never had seizures. On

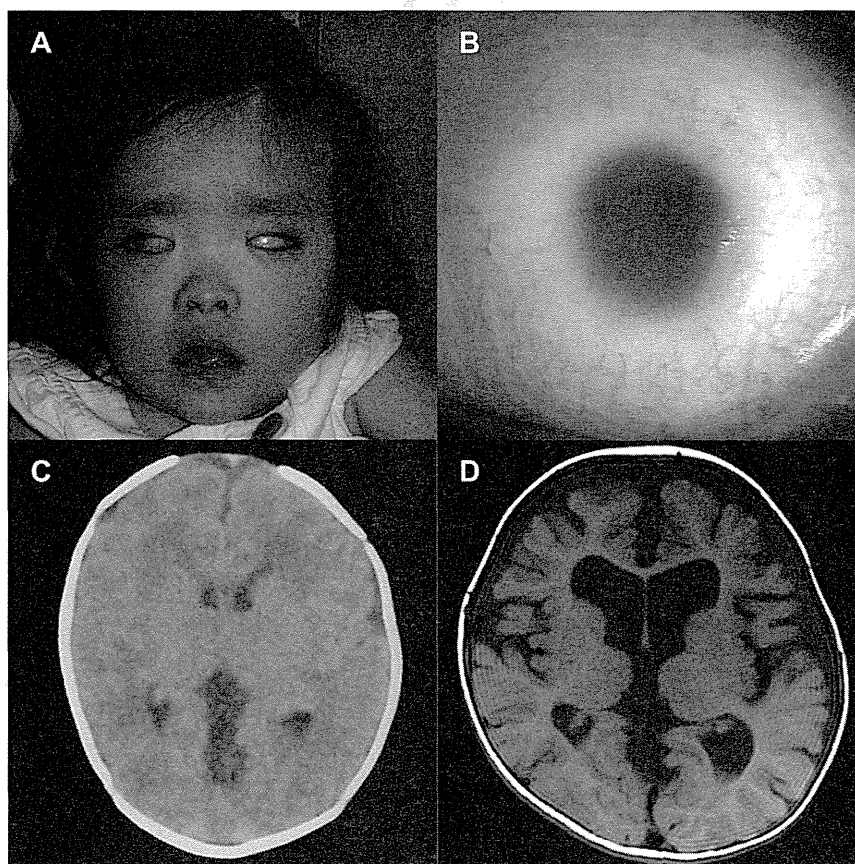


Fig. 1. Clinical and radiographic features of the proband. A: Facial appearance of the proband. Note the prominent forehead, microphthalmia, thin upper lip, long palpebral fissures, and long eyelashes. B: Appearance of the left eye at the age of 2 years: extreme microcornea with an occluded pupil can be seen. C: Axial computed tomography at 2 weeks of age. Note the preserved white matter volume. D: Axial magnetic resonance imaging of the brain at 21 months of age, demonstrating significant global volume loss predominantly involving white matter.

241 examination, she has bilateral microphthalmia, a wide open anterior
242 fontanelle measuring approximately 3 × 3 cm, and diffuse
243 hypotonia. There are no dystonic movements or diurnal fluctuations
244 in her muscle tone. A G-band analysis was reportedly normal.
245 A microarray analysis demonstrated a de novo 6.2-Mb deletion in
246 14q22.2–22.1 from position 52,830,547 to 59,031,284 (NCBI36/
247 hg18, March 2006), which included approximately 53 genes (Fig. 2).

249 3. Discussion

250 Here, we report a patient with severe anterior segment
251 dysgenesis due to concurrent heterozygous deletion involving
252 both the *OTX2* and *BMP4* loci. A review of the previously reported
253 patients with concurrent deletion of *OTX2* and *BMP4*, i.e. [1], Case
254 1 and 2 in Ref. [4] reveals that the severe AM phenotype indeed
255 showed high penetrance: all three patients with such concurrent
256 deletion showed severe AM. Our clinical observation is compatible
257 with the notion that *BMP4* and *OTX2* act via a common
258 pathway.

260 It is intriguing that two functionally close genes such as *BMP4*
261 and *OTX2* are in physical proximity to each other. Another example
262 of functional proximity between two neighboring genes has been
263 reported for the combination of *EVC* and *EVC2* at the Ellis-van
264 Creveld syndrome (MIM 225500) locus: mutations in the two
265 genes, which share no sequence homology, lead to the same syndromic
266 phenotype [10]. It is speculated that the two genes, namely,
267 *EVC* and *EVC2*, are under the control of the same regulatory
268 element, and a similar explanation could apply to the combination
269 of *BMP4* and *OTX2*. Phylogenetic analysis of the alignment of *Bmp4*
270 and *Otx2* reveals that the proximity of the two genes is conserved
271 down to the chicken. This genomic observation does not prove, but
272 lends support to the idea that the alignment of the two genes in
273 proximity may be advantageous from an evolutionary standpoint.
274 Alternatively, *OTX2* and *BMP4* may not have any functional
275 complementarity despite their physical proximity, since *Otx2*
276 interacts with *Sox2*, whereas *Bmp4* interacts with *Pax6* and *Bmp7* in
277 lens formation [11].

278 We confirmed that AM represents a cardinal sign of the 14q22
279 microdeletion syndrome. In order to define 14q22 microdeletion

306 syndrome as a clinically recognizable entity, we further attempted
307 to delineate the extra-ocular phenotypes in patients with 14q22
308 microdeletion. At least seven out of thirteen patients with micro-
309 deletion involving 14q22 showed decreased white matter volume
310 or increased ventricular size at some point in their clinical course
311 [1,12];, case 1 and 2 in Ref. [4], III-5 and III-6 in Ref. [13] and the
312 propositus.

313 Inclusion mapping among patients with 14q22 microdeletion
314 suggests that the shortest region of overlap for decreased white
315 matter volume is located between the centromeric end of the
316 deletion interval of the propositus and the telomeric end of the
317 deletion interval reported by Hayashi et al. (Fig. 3) [12]. Exclusion
318 mapping with Case 1 described in the report by Wyatt et al., who
319 had no abnormal findings on computed tomography findings,
320 indicated *BMP4* as the only candidate gene for the white matter
321 lesion [14]. However, intragenic loss-of-function mutations in *BMP4*
322 have been reported to cause ophthalmic lesions without exerting
323 any effect on the white matter [15]. Lumaka et al. described a family
324 with microscopic deletions involving *BMP4*, and only half of
325 affected members had brain lesions [13]. A possible explanation for
326 this inconsistency in the mappings is that decreased white matter
327 volume associated with 14q22 microdeletion could be an age-
328 dependent lesion. Indeed, serial neuroimaging in the propositus
329 showed normal white matter volume at 2 weeks of age, but
330 a striking progressive white matter loss at 21 months of age. We
331 suggest that serial neuroimaging be performed in other patients
332 with 14q22 microdeletion to confirm whether the decreased white
333 matter volume associated with 14q22 microdeletion might be age-
334 dependent and progressive. If so, the above mapping data require
335 some modification.

336 From the genetic counseling standpoint, it is critical to investi-
337 gate the exact etiology of AM. 14q22 microdeletion syndrome,
338 which is essentially a de novo condition, carries a low risk of
339 recurrence, whereas the risk can be as high as 25% in other auto-
340 somal recessive conditions [16]. In conclusion, 14q22 microdeletion
341 should be included in the differential diagnosis of patients pre-
342 senting with anterior segment dysgenesis of the eyes, and
343 decreased white matter volume on brain imaging may be helpful
344 for the clinical diagnosis.

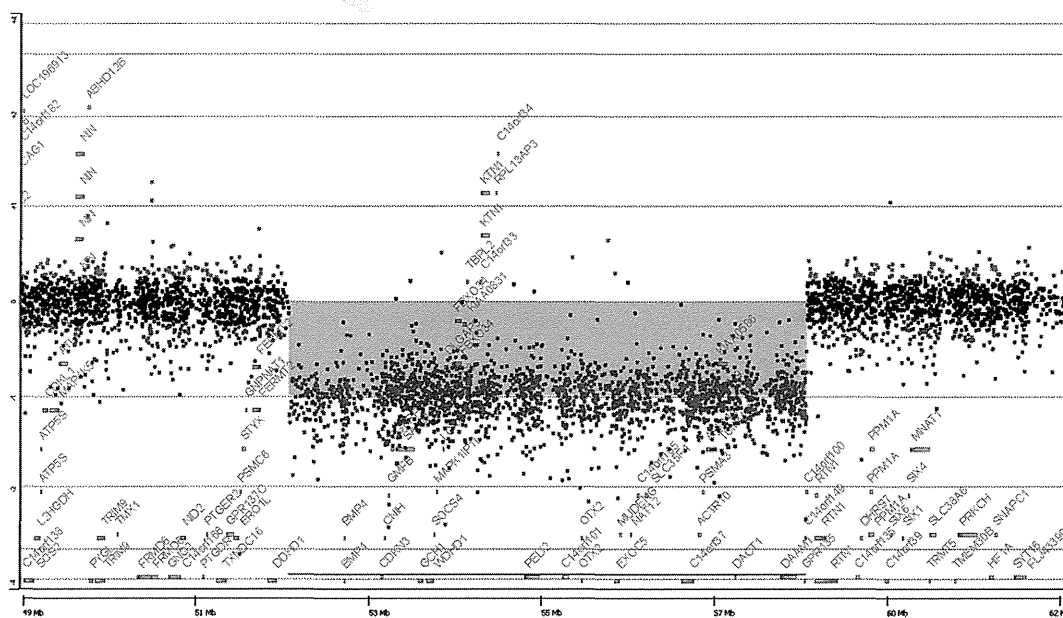


Fig. 2. Result of the microarray analysis. Note the deleted region highlighted in green.

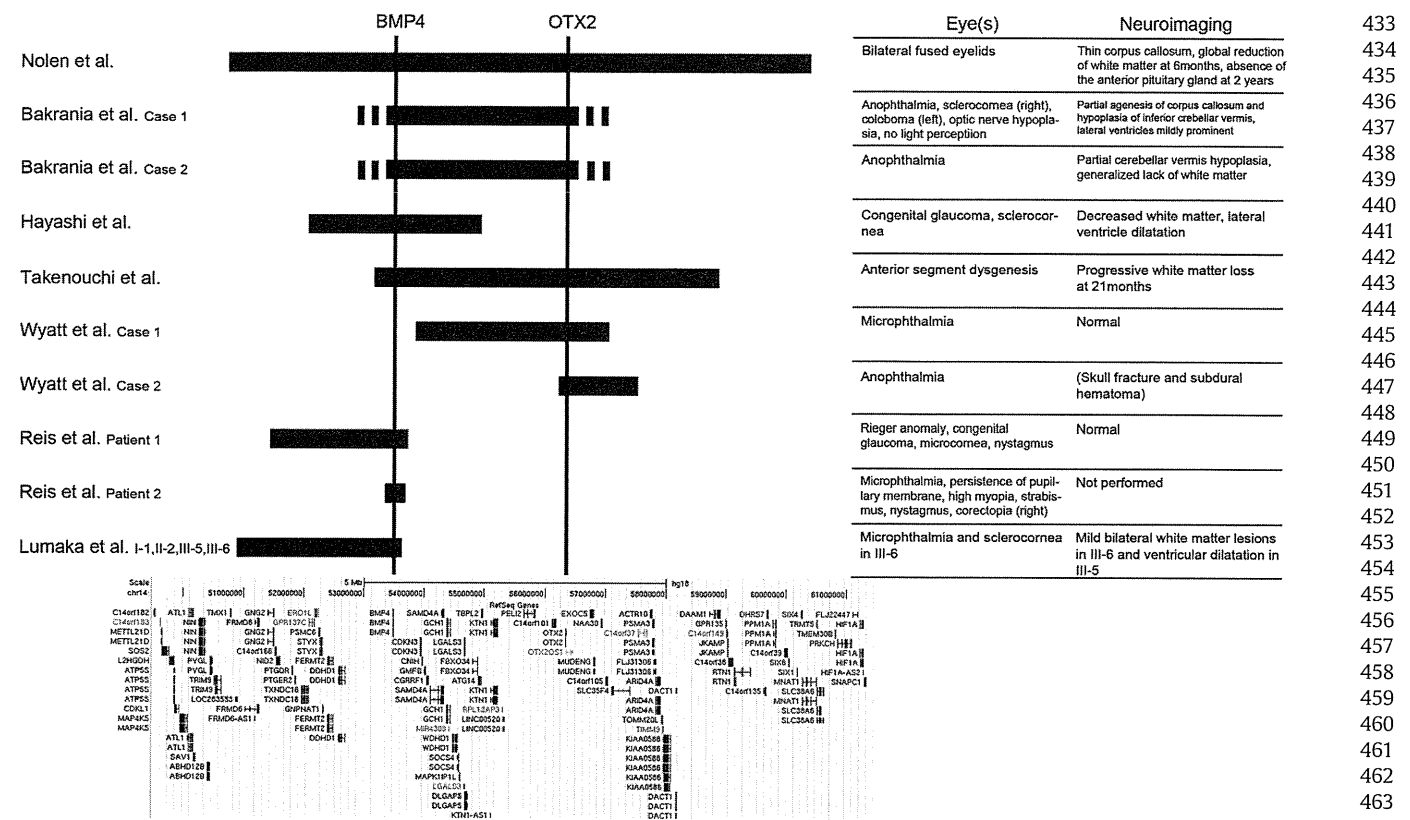


Fig. 3. Schematic mapping of patients with 14q22 microdeletion. The black vertical lines represent the extent of deletion on the UCSC genome browser (<http://genome.ucsc.edu/>) (NCBI36/hg18, March 2006) in each patient, whose ocular and neuroimaging characteristics are listed on the right.

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