

**Step 1: Deletion of a non-significant edge from the constructed network model**

Use 0.05 as the significance level for the determination of the significant regulation among the variables. After the parameters are estimated, the inverse matrix of the Fisher information matrix of parameters is calculated. The inverse matrix of Fisher information represents the asymptotic parameters' covariance matrix. The probability of each parameter is calculated by using this asymptotic parameters' matrix, since all of the parameters are usually normally distributed.

**Step 2: Reconstruction of the network model**

The structure of the network model without the non-significant edge is completely different from that of the former model. Thus, all parameters should be re-calculated from the reconstructed model, and the similarity of the network structure should also be re-calculated.

**Step 3: Iteration of Steps 1 and 2 until all edges become significant**

Since the probabilities of all of the edges in the reconstructed models have also changed, the deletion of the non-significant edges is executed step-by-step.

**Step 4: Addition of a possible causal edge to the reconstructed model**

According to the Modification Index (MI), we add a new causal edge between the observed variables. The MI measures how much the chi-square statistic is expected to decrease if a particular parameter setting is constrained (Joreskog & Sorbom, 1984). The MI value indicates the possibility of new causality between the variables, and thus we add a new edge according to the highest MI score.

**Step 5: Iteration from Steps 1 to 3**

The addition of a new edge to a constructed model changes the structure of the network model again. In other words, all parameters, including the probabilities of all edges, have also changed. Thus, we execute the iteration from Step 1 to Step 3 again.

**Step 6: Determination of significant relationships among error terms**

After all of the edges are significant and all of the MI scores are lower than 10.0 in the constructed model, the significant relationships between the error terms are estimated by the MI scores. The relationships among the error terms have no direction, and thus they are a correlation between error terms. The relationships between the error terms were considered to be other regulatory systems in the living cell. Thus, these relationships among the error terms were used for the calculations, but were not incorporated into the network, and thus they have been excluded from the figures.

**3. Results****3.1 Initial Model Assumption**

To construct the initial network model of each chemical, we utilized our newly developed method. One of the distinguishing features of our new method is its ability to include the cyclic structure in the network model. Cyclic regulation, such as feedback regulation, is considered to be important for living cells to control normal gene expression, and the new method is useful to detect the cyclic regulation from the gene expression data. The initially constructed models are shown in Figure 2. The initial model of TCDD was the most complex structure. The components of the constructed models were 9 genes with 19 relationships in Acrylamide, 8 genes with 12 relationships in Diethylnitrosamine, 9 genes with 23 relationships in TCDD, and 8 genes with 10 relationships in Thalidomide.

There are some obvious features in the network diagram of each initial model. The numbers of exogenous and endogenous genes are different from each other. In the initial Acrylamide model, four genes were arranged as exogenous variables, but only Oct3/4 was arranged as the last endogenous variable. Thus, it is considered that acrylamide quickly affected the expression of many genes, and only one gene was affected later. In contrast, only one gene was arranged as an exogenous variable and many genes were arranged as the last endogenous variables in the initial Thalidomide model. These differences between the initial chemical models summarized the distinctive gene expression profiles for each chemical. The initial TCDD model involved some cyclic regulation, even though the other models had only hierarchical regulation.

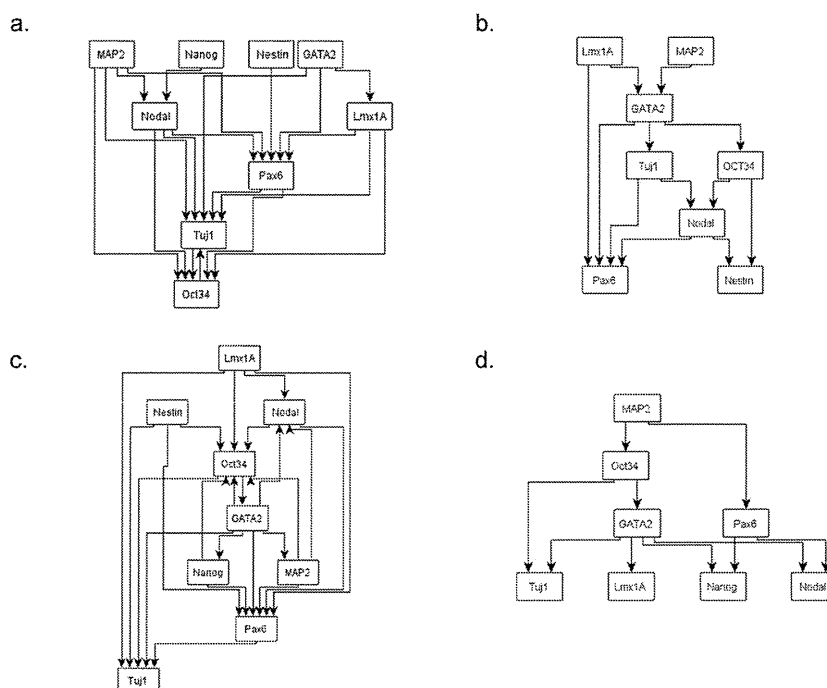


Figure 2. Initial network models

The initial models of the selected chemicals were constructed by the developed approach. One initial model was constructed for each chemical, since the initial model included summarized time-series information and concentration information. (a) Initial model constructed from all gene expression profiles with all Acrylamide exposure. (b) Initial model of Diethylnitrosamine. (c) Initial model of TCDD. (d) Initial model of Thalidomide. The numbers of genes in the initial models were 9 in Acrylamide, 8 in Diethylnitrosamine, 9 TCDD, and 8 in Thalidomide.

Before the calculation of SEM, all of the initial models were simplified, since the initial models included some duplicated interactions among the genes, such as direct interactions between two genes and indirect interactions between them. In the simplification process for the initial models, the longest path between two genes was retained, since the arrows indicated only time precedence, not causalities in the initial model. Therefore, the difference between direct and indirect interactions is not important. By retaining the longest paths, all of the preceding information was included, as the simplest diagram.

### 3.2 Inferred Networks by SEM

The final inferred networks for each chemical and the goodness of fit scores are depicted in Figure 3, and the estimated regression weights of the edges are displayed in Table 1. The inferred networks of the chemicals revealed distinct structures. The differences between the gene regulation by chemicals were clarified by the shapes of the inferred network models. The Acrylamide network was a centralized model, the Diethylnitrosamine network was a ladder-like model, the TCDD network was a closed circular structure, and the Thalidomide network was a diffusion type.

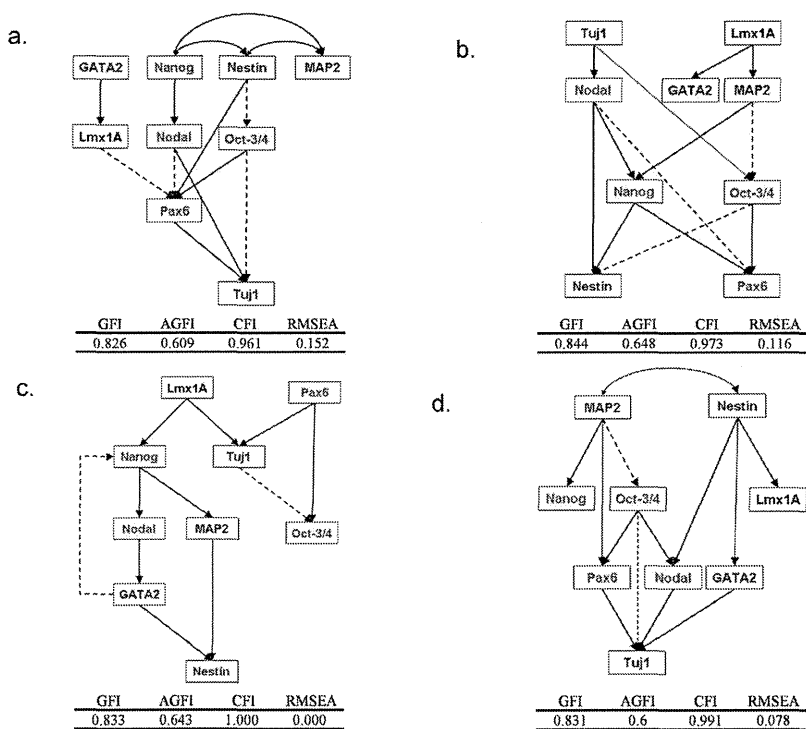


Figure 3. Inferred Toxic-dependent Networks

The optimal model for each chemical, obtained by the developed SEM iteration procedure. A positive relationship between genes is displayed with a solid arrow. A negative relationship between genes is displayed with a dashed arrow. Gene names with blue characters indicate “neurodevelopment related genes”, genes with red characters indicate “cell differentiation-related genes” and genes with black characters indicate “related to transcription of insulin”. (a) Acrylamide model; (b) Diethylnitrosamine model; (c) TCDD model and (d) Thalidomide model. The fitting scores are displayed under each model.

One of the unique features of the inferred Acrylamide network was that many genes were arranged at the top phase in the regulatory network, and only one gene was arranged as the final result of all regulation in the network. On the other hand, the shape of the Diethylnitrosamine network looked like a ladder, and two serial regulations interacted with each other. One serial regulation started from Lmx1A, and the other started from Tuj1. These top phase genes were considered as signal input genes, and they were different from those in the Acrylamide and Thalidomide networks. For example, Tuj1 was arranged as a signal input gene in the Diethylnitrosamine network, but it was arranged as an output object in the Acrylamide and Thalidomide networks. The unique feature of the TCDD network is the involvement of some closed circular structures in the inferred model. Among the parts of the circular structure, the regulatory direction from GATA2 to Nodal was different from the other relationships. Furthermore, the regression weight between GATA2 and Nodal was estimated as a negative value. Thus, it was considered that the inferred regulation from GATA2 to Nodal reflected feedback control by GATA2. In the Thalidomide network, the shape of the network model was reversed, as compared to that of the Acrylamide network. Only two genes were arranged at the top phase in the regulatory network, but many genes were arranged at the middle phase in the model. This means that only a few genes are directly affected by thalidomide, but finally many genes are affected throughout the gene regulatory network.

Table 1. Regression weight and probability of each edge

Acrylamide				Diethylnitrosamine			
Parent	Child	Regression Weight	P	Parent	Child	Regression Weight	P
GATA2	Lmx1A	0.921	***	Tuj1	Nodal	0.702	***
Nanog	Nodal	0.522	0.003	Lmx1A	MAP2	0.378	0.003
Nestin	Oct-34	-0.437	0.01	Tuj1	Oct-34	0.63	***
Nestin	Pax6	0.64	***	MAP2	Oct-34	-0.475	***
Nodal	Pax6	-0.803	***	Nodal	Nanog	0.295	***
Lmx1A	Pax6	-0.232	***	MAP2	Nanog	0.754	***
Oct-34	Pax6	0.592	***	Lmx1A	GATA2	0.636	***
Nodal	Tuj1	0.843	***	Nodal	Nestin	0.33	***
Pax6	Tuj1	1.09	***	Nodal	Pax6	-0.209	0.005
Oct-34	Tuj1	-0.702	***	Nanog	Pax6	0.418	***
				Nanog	Nestin	0.902	***
				Oct-34	Pax6	1.11	***
				Oct-34	Nestin	-0.193	***

TCDD				Thalidomide			
Parent	Child	Regression Weight	P	Parent	Child	Regression Weight	P
GATA2	Nanog	-0.787	***	MAP2	Oct-34	-0.443	0.023
GATA2	Nestin	0.22	***	MAP2	Pax6	0.349	0.005
Lmx1A	Nanog	1.374	***	Nestin	Nodal	1.03	***
Lmx1A	Tuj1	0.476	0.004	Nestin	GATA2	0.664	***
MAP2	Nestin	0.906	***	Oct-34	Pax6	0.932	***
Nanog	MAP2	1.024	***	Oct-34	Nodal	0.258	***
Nanog	Nodal	0.967	***	Oct-34	Tuj1	-0.597	***
Nodal	GATA2	0.931	***	Pax6	Tuj1	1.12	***
Pax6	Oct-34	0.988	***	Nodal	Tuj1	0.349	***
Pax6	Tuj1	0.5	0.003	GATA2	Tuj1	0.167	0.015
Tuj1	Oct-34	-0.324	***	MAP2	Nanog	0.84	***
				Nestin	Lmx1A	0.842	***
				Tuj1	Nanog	0.196	0.002

#### 4. Discussion

Our inferred model revealed the differences between the gene regulation by environmental chemicals. Furthermore, the shapes of the network models reflected the different features of the chemical toxicities well. In the Acrylamide network, the effects of acrylamide toxicity finally aggregated to Tuj1, which is known to contribute to microtubule stability in neuronal cells (Rosenstein et al., 2003). Acrylamide is neurotoxic, and thus it is reasonable that its effect finally aggregated to a neuronal cell-related gene.

In the Diethylnitrosamine network, the cell differentiation genes were arranged from the middle to lower steps. This means that diethylnitrosamine disturbed normal cell differentiation in the embryonic stem cell. These harmful effects were considered to be caused by the carcinogenic genotoxicity of diethylnitrosamine (Ito et al., 1992; Puatanachokchai et al., 2006; Iatropoulos et al., 2006). On the other hand, the neuronal-related genes were arranged at a later phase in the TCDD network model. Although both diethylnitrosamine and TCDD have the same carcinogenic toxicities, their regulatory mechanisms were different.

From the Thalidomide network, it was considered that the receptors of thalidomide toxicity may be rarer than those of other chemicals. However, several types of genes are finally affected by thalidomide chemical toxicity. Among the cell differentiation genes, Nodal and Nanog are important for normal early embryonic development. Nodal is related to the development of the left-right axial structure (Hamada et al., 2002; Grandel & Patel, 2009), and its signaling pathway is important very early in development, for cell fate determination and many other developmental processes (Grandel & Patel, 2009). Nanog is a key factor for maintaining pluripotency in embryonic stem cells (Mitsui, 2003; Chambers et al., 2003). According to the abnormal expression of these cell differentiation-related genes, the thalidomide phenotype, with its harmful side effects, may occur in the human embryo.

We applied an improved SEM approach to reconstruct a gene regulatory model from the gene expression data in human embryonic stem cells, and we have shown that SEM is a powerful approach to estimate the gene

regulation caused by chemical toxicity. The inferred networks clarified the differences between the gene regulation by chemicals, and the features of the chemical toxicities were well reflected in the network structures. Thus, the network construction by SEM is one of the useful approaches for inferring the regulatory relationships among genes. Furthermore, the inferred network among genes can be utilized for the estimation of a chemical's effect, from experimentally obtained expression profiles. The ability to identify expression profiles and the corresponding biological functions is expected to provide further possibilities for SEM in the inference of the effects of chemical toxicity on regulatory mechanisms.

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## REVIEW

# Splice isoforms as therapeutic targets for colorectal cancer

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**Alternative pre-mRNA splicing allows exons of pre-mRNA to be spliced in different arrangements to produce functionally distinct mRNAs. More than 95% of human genes encode splice isoforms, some of which exert antagonistic functions. Recent studies revealed that alterations of the splicing machinery can cause the development of neoplasms, and understanding the splicing machinery is crucial for developing novel therapeutic strategies for malignancies. Colorectal cancer patients need novel strategies not only to enhance the efficacy of the currently available agents but also to utilize newly identified therapeutic targets. This review summarizes the current knowledge about the splice isoforms of VEGFA, UGT1A, PXR, cyclin D1, BIRC5 (survivin), DPD, K-RAS, SOX9, SLC39A14 and other genes, which may be possible therapeutic targets for colorectal cancer. Among them, the VEGFA splice isoforms are classified into VEGFAxxx and VEGFAxxx, which have proangiogenic and antiangiogenic properties, respectively; UGT1A is alternatively spliced into UGT1A1 and other isoforms, which are regulated by pregnane X receptor isoforms and undergo further splicing modifications. Recently, the splicing machinery has been extensively investigated and novel discoveries in this research field are being reported at a rapid pace. The information contained in this review also provides suggestions for how therapeutic strategies targeting alternative splicing can be further developed.**

## Introduction

Colorectal cancer (CRC) is the third most common cancer worldwide and the second most common cause of cancer mortality; ~608 000 deaths are attributed to this disease annually (1). As indicated in the National Comprehensive Cancer Network (NCCN) guidelines version 1.2013 (2), the anticancer agents used for CRC include 5-fluorouracil (5-FU), irinotecan hydrochloride (CPT-11), oxaliplatin and molecularly targeted agents such as bevacizumab, cetuximab and panitumumab. According to the guidelines, in the adjuvant setting for stage II or III patients with resectable CRC, regimens including 5-FU-based agents and leucovorin with or without oxaliplatin are recommended, and for stage IV patients with unresectable CRC, regimens including 5-FU-based agents and leucovorin with oxaliplatin or CPT-11 and the addition of bevacizumab, cetuximab or panitumumab are considered

**Abbreviations:** 5-FU, 5-fluorouracil; 2'-OMe, 2'-O-methyl; 2'-MOE, 2'-O-methoxyethyl; 3'SS, 3' splice site; 5'SS, 5' splice site; BIR, baculovirus IAP repeat; BIRC5, baculoviral IAP repeat-containing 5; CCND1, cyclin D1; CDK, cyclin-dependent kinase; CPT-11, irinotecan hydrochloride; CRC, colorectal cancer; CRNDE, colorectal neoplasia differentially expressed; DPD, dihydropyrimidine dehydrogenase; ESE, exonic splice enhancer; ESS, exonic splice silencer; hnRNP, heterogeneous nuclear ribonucleoprotein; IAP, inhibitor of apoptosis protein; ISE, intronic splice enhancer; ISS, intronic splice silencer; pre-mRNA, precursor messenger RNA; PXR, pregnane X receptor; siRNA, small interfering RNA; SR protein, serine/arginine-rich protein; UGT1A1, UDP glucuronosyltransferase 1A1; VEGF, vascular endothelial growth factor; VEGFR, VEGF receptor.

(2). Over the past two decades, there have been advances in the treatment of CRC; however, patients need novel strategies not only to enhance the efficacy of the above agents but also to utilize newly identified therapeutic targets.

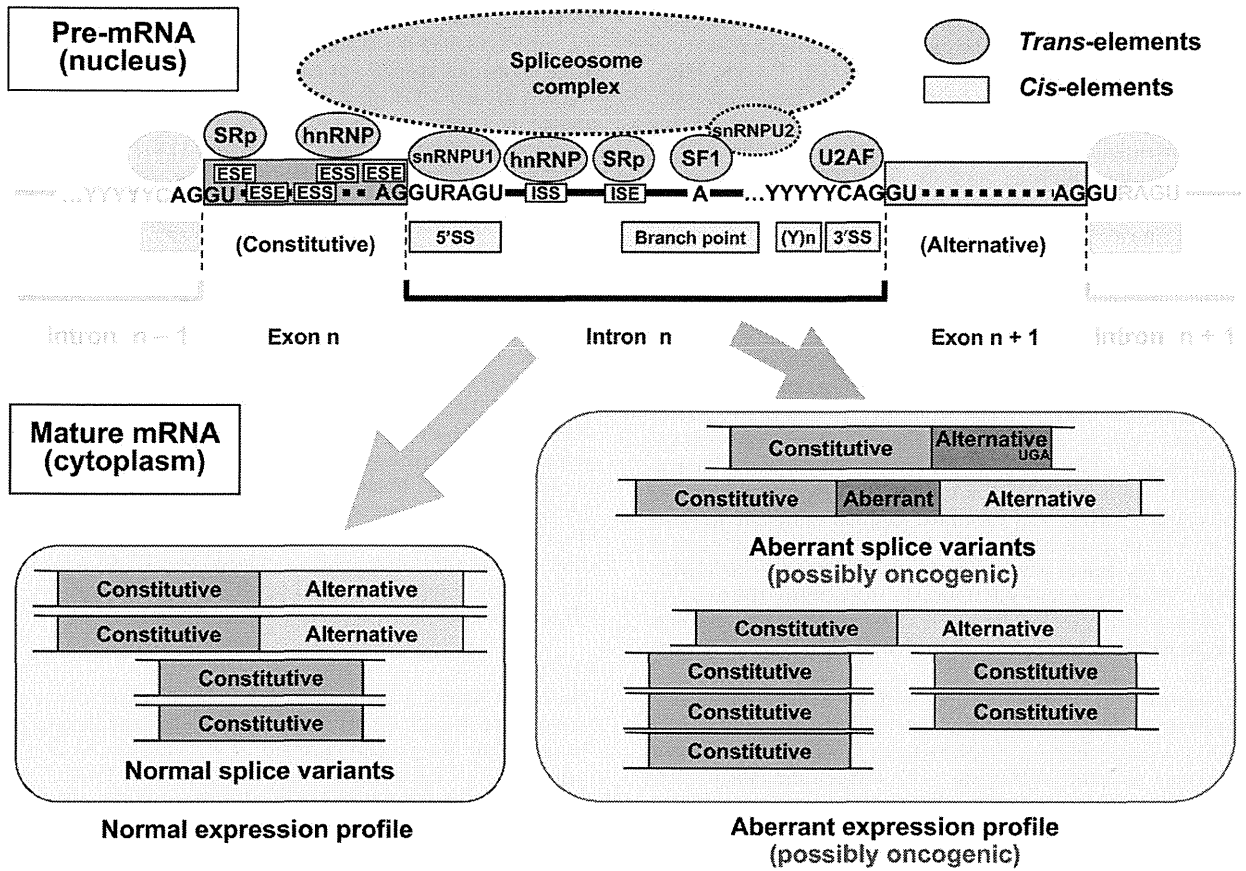
Alternative precursor messenger RNA (pre-mRNA) splicing is the process by which the exons of pre-mRNA are spliced in different arrangements to produce structurally and functionally distinct mRNAs and proteins (Figure 1A) (3). After the completion of the Human Genome Project in 2004, alternative splicing has been recognized as one of the most important mechanisms that maintains genomic and functional diversity. It is well known that >95% of human genes encode splice isoforms (4), some of which exert antagonistic functions. A recent study revealed that alterations of the splicing machinery can cause the development of myeloid neoplasms (5), and understanding the splicing machinery is crucial for the development of novel therapeutic strategies for malignancies. Another recent study revealed that a series of functionally associated splice isoforms are simultaneously expressed under a common regulatory network (6), which supports the notion that an entire set of splice isoforms or their common regulatory network should be considered as therapeutic targets, rather than focusing on a single gene as a target. In this review, we summarize the current knowledge about the potential of using splice isoforms as therapeutic targets, focusing on CRC, and discuss the future work that needs to be done to develop therapeutic strategies targeting these splice isoforms.

## Alternative pre-mRNA splicing

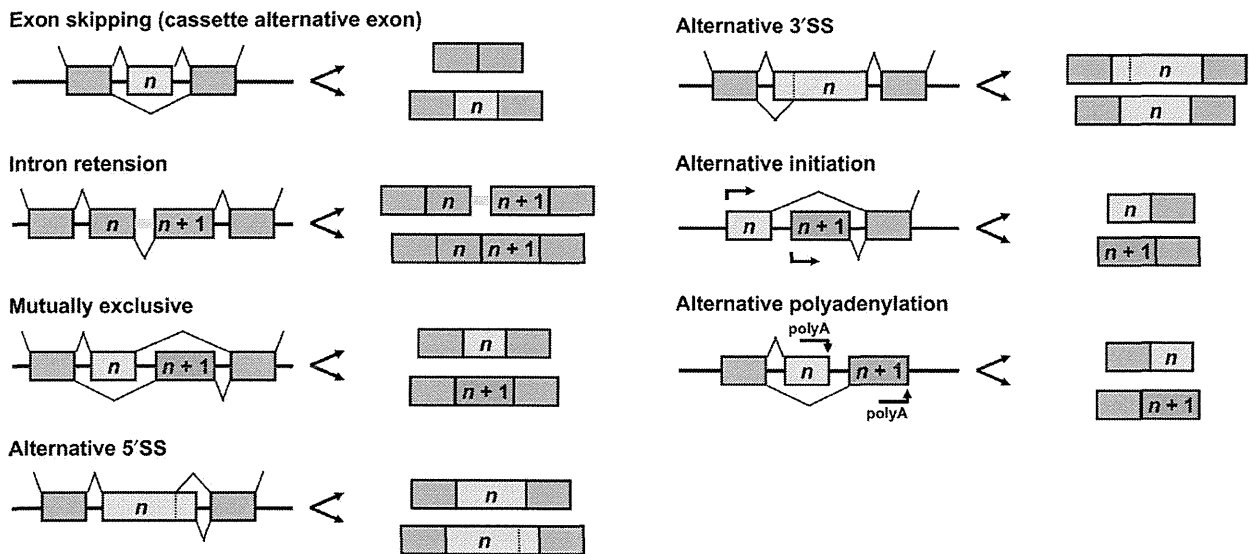
The first studies on pre-mRNA splicing were published in 1977 (7,8). Two regulatory factors have been the focus of most investigations related to the splicing machinery: cis-elements and trans-elements (Figure 1A). Among the cis-elements, consensus splice sites such as the 5' splice site (5'SS; also known as a splice donor site), the branch point motif, the poly-pyrimidine tract [(Y)n] and the 3' splice site (3'SS; also known as a splice acceptor site) are essential for pre-mRNA splicing. Splice enhancers and silencers are also categorized into cis-elements, both of which are important for the recognition of the 5'SS and 3'SS sites. Depending on their localization within the genome, splice enhancers and silencers are subclassified into exonic splice enhancers (ESEs), intronic splice enhancers (ISEs), exonic splice silencers (ESSs) and intronic splice silencers (ISSs). Cis-elements are bound by trans-elements. Among the trans-elements, spliceosomes are multicomponent complexes comprising >200 subunits. Among the subunits of spliceosomes, serine-/arginine-rich proteins (SR proteins, SRp) predominantly bind to ESEs and ISEs; in contrast, heterogeneous nuclear ribonucleoproteins (hnRNPs) commonly bind to ESSs and ISSs. In many cases, hnRNPs block spliceosome assembly, resulting in exon skipping. Recently, tissue- or organ-specific SR proteins and hnRNPs have been extensively investigated (9,10). Figure 1B shows several patterns of alternative splicing in which splice isoforms are generated: (i) exon skipping in which an alternative exon is excluded or included, (ii) intron retention between constitutive exons, (iii) inclusion of one of the exons in a mutually exclusive manner, (iv) use of alternative 5'SSs, (v) alternative 3'SSs, (vi) alternative initiation sites and (vii) alternative polyadenylation sites.

The normal expression profile is indicated in the lower left panel, whereas the aberrant splicing that is observed in malignancies is shown in the lower right panel of Figure 1A, and can be subclassified into two categories: (i) aberrant splice isoforms as individual transcripts and (ii) an aberrant expression profile of splice isoforms as an entire set of transcripts; both of which occur at the germ cell or somatic cell level. Herein the word 'change' is used to encompass both 'genetic polymorphism' and 'genetic alterations'. The former

**A**



**B**



**Fig. 1.** (A) The regulation of alternative pre-mRNA splicing and its alteration in malignancies (adapted from ref. 3). *Cis*-elements and *trans*-elements are indicated with rectangles and ellipses, respectively. In the nucleotide sequences, Y denotes a pyrimidine (U or C) and R denotes a purine (G or A). ESE, exonic splice enhancer; ESS, exonic splice silencer; hnRNP, heterogeneous nuclear ribonucleoprotein; ISE, intronic splice enhancer; ISS, intronic splice silencer; snRNP, small nuclear ribonucleoprotein; SRp, serine/arginine-rich protein; SS, splice site; U2AF, U2 small nuclear ribonucleoprotein auxiliary factor. (B) Alternative pre-mRNA splicing (adapted from ref. 3). The green boxes indicate constitutive exons and the blue boxes indicate alternatively spliced exons.

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category 'aberrant splice isoforms' can be caused by changes in the 5'-untranslated region (UTR), coding regions and 3'-UTR, as well as ESEs and ESSs, and altered expression of trans-elements may also cause aberrant splice isoforms. In contrast, the latter category 'aberrant expression profiles' can be caused by altered expression and structures of trans-elements, changes in the 5'-UTR, 3'-UTR, ESEs, ESSs, ISEs and ISSs, and possibly by changes of the consensus splice sites in introns. Recent evidence has demonstrated that most splicing occurs cotranscriptionally, and transcription modulates the splicing as well (11). In the following sections, the potential use of splice isoforms as therapeutic targets for CRC, all of which were recently identified, is discussed.

#### Vascular endothelial growth factor

The vascular endothelial growth factor (*VEGF*) gene superfamily consists of at least six ligands, many of which are spliced to generate a multitude of ligand isoforms (12). The *VEGF* molecules bind specifically to one or two of the three *VEGF* receptors (*VEGFRs*), with *VEGFA* binding to *VEGFR-1* and *VEGFR-2* (13). *VEGFA* and its receptors have been the most common research focus with regard to therapeutic targets with antiangiogenic effects. In addition to the previously known subfamily of *VEGFA* isoforms (*VEGFAxxx*), another subfamily, *VEGFAxxx*b, was identified in 2002 (14). The terminal exon 8 of *VEGFA* gene is spliced in a mutually exclusive manner, resulting in a six amino acid substitution (*CDKPRR* to *SLTRKD*) to generate *VEGFAxxx* and *VEGFAxxx*b, respectively (Figure 2A). Recent studies have revealed the *VEGFAxxx* isoform to have proangiogenic properties, whereas *VEGFAxxx*b has antiangiogenic properties (15,16).

Bevacizumab (17), which was approved for clinical use against CRC in 2004, is a humanized monoclonal antibody that inhibits both the *VEGFAxxx* and *VEGFAxxx*b isoforms by blocking their common kinase domain receptor binding site (18). Despite the effectiveness of bevacizumab when it is combined with cytotoxic agents, its low response rate, high rate of resistance and adverse events have been discussed (19). These disadvantages of using bevacizumab may be caused by non-specific targeting, probably resulting from the non-specificity of the antibody for the pro- and antiangiogenic isoforms. In response to these findings, strategies specifically blocking the *VEGFAxxx* subfamily have been explored and pegaptanib was developed as a short modified RNA aptamer that specifically binds to *VEGFAxxx* but not *VEGFAxxx*b (20). Another possible target for CRC is a trans-element, *SRp55*, which is known to increase *VEGFAxxx*b expression, leading to antiangiogenic effects (21). In addition, *IGF1*, *TNF- $\alpha$*  and *TGF- $\beta$ 1* were also identified as being involved in regulating the alternative splicing of *VEGFA* (21).

#### UDP glucuronosyltransferase 1A1 and pregnane X receptor

CPT-11, a semisynthetic camptothecin derivative that functions as a topoisomerase I-inhibitor, has been used as an effective anticancer prodrug against CRC. CPT-11 is anabolized to its active metabolite, SN-38, by carboxylesterase (22), and catabolized to its inactive metabolite by glucuronidation (23). UDP glucuronosyltransferase 1A1 (*UGT1A1*) is the main enzyme involved in glucuronidation of UDP, and genetic polymorphisms of this enzyme, such as *UGT1A1*\*28 (leading to a TA insertion in the promoter region) (24) and single nucleotide polymorphisms (25,26), are known to affect its glucuronidation activity. However, it is widely recognized that the *UGT1A1* activity cannot be explained by the polymorphisms alone. One of the main reasons may be the alternative splicing of the *UGT1A* gene. Based on a search of the National Center for Biotechnology Information (NCBI) database and a PubMed literature survey, at least nine isoforms, including *UGT1A1*, are generated by the alternative splicing of *UGT1A* (Figure 2B), among which *UGT1A1*, *UGT1A7*, *UGT1A9* and *UGT1A10* have glucuronidation activity (27,28), but some of the other isoforms are non-functioning. This means that controlling the alternative splicing of *UGT1A* is important to avoid or decrease the adverse effects associated with CPT-11 and to enhance its efficacy. Recently, Guillemette's group found that the *UGT1A*

locus encodes a previously unknown splice isoform, *UGT1A-i2*, which is different from the previously known isoform, *UGT1A-i1*, which results from the alternative splicing of the terminal exon 5 (29). They found that *UGT1A-i1* has glucuronosyltransferase activity, but *UGT1A-i2* is inactive. By an immunohistochemical analysis using antibodies specific for each of the isoforms, they revealed that *UGT1A-i1* and *UGT1A-i2* are coproduced in the same structural regions in various organs (30). They further clarified that there is decreased expression of both *UGT1A-i1* and *UGT1A-i2* in CRC compared with corresponding normal tissues. Knockdown of endogenous *UGT1A-i2* enhanced the cellular *UGT1A-i1* activity (31), which supports the notion that *UGT1A-i2* has a dominant-negative function and is a potential target for regulating the efficacy of CPT-11.

In addition, the *UGT1A1* activity is regulated by splice isoforms of the *pregnane X receptor (PXR)* gene, which encodes a xenoreceptor that regulates drug metabolism and transporter genes (32). Currently, the *PXR* is known to have three major splice isoforms, T1, T2 and T3 (Figure 2C) (33). The expression of *UGT1A1* isoforms, as well as that of *UGT1A3* and *UGT1A4*, is upregulated by the T1 and T2 isoforms, but not by T3, which indicates that splice isoforms of *PXR* are potential therapeutic targets that may regulate the efficacy of CPT-11. In contrast, CPT-11 treatment of HCT116 cells preferentially affected the alternative splicing of factors such as *RBM8A*, which was not observed in cells treated with cisplatin or vinblastine (34). This indicates that the alternative splicing induced by CPT-11 was not simply due to reduced topoisomerase I activity, but rather was due to rapid RNA polymerase II hyperphosphorylation caused by CPT-11 (34).

#### Cyclin D1

The cyclin family is composed of proteins that control the progression of the cell cycle by activating cyclin-dependent kinases (CDKs). Among them, the protein encoded by *CCND1* (*cyclin D1*) forms a complex with *CDK4* and *CDK6*. The cyclin D1-*CDK4/CDK6* complex induces the phosphorylation of retinoblastoma protein, which releases transcription factors from the phosphorylation of retinoblastoma protein complex, thereby promoting cell division through the G<sub>1</sub>-S checkpoint (35). For this reason, *cyclin D1* has been regarded as a proto-oncogene and overexpression of *cyclin D1* occurs at a high frequency in patients with CRC (36,37), esophageal cancer (38) and other malignancies. In addition, *cyclin D1* can activate estrogen receptors in a CDK-independent manner in breast cancer (39) and an abundance of *cyclin D1* affects the radiation sensitivity in some malignancies (40). The transcriptional mechanisms and other functions of cyclin D1 have recently been analyzed (41).

Although genetic alterations of the *cyclin D1* locus are rarely observed, recent studies have demonstrated that the alternative splicing of *cyclin D1* can influence the cancer risk and carcinogenesis (42). The *cyclin D1* gene is known to produce two alternative splice isoforms: CD1a and CD1b (Figure 2D). CD1a is a canonical isoform that consists of five exons, whereas CD1b includes exons 1-4 and a partial intron 4 (43). In colon cancer and other malignancies, the single nucleotide polymorphism G870A, which is the last nucleotide of exon 4 (CCG and CCA) and is located adjacent to the 5'SS of intron 4 (GURAGU in Figure 1A), modulates the alternative splicing between exon 5 and intron 4, thus generating CD1a and CD1b, respectively (44). In addition, trans-elements ASF/SF2 (45) and Sam68 (46) regulate the alternative splicing toward the generation of CD1b. Although both CD1a and CD1b can associate with *CDK4* and *CDK6*, they show distinct functions and cellular localizations. Phosphorylation of Thr286, which is located within exon 5 (Figure 2D), allows for the nucleocytoplasmic translocation of *cyclin D1* and its subsequent degradation (47); hence, CD1a can translocate to the cytoplasm, whereas CD1b remains constitutively in the nucleus. Although such functions of CD1a have not been observed, CD1b can cause cellular transformation and has been linked to human carcinogenesis (42,47). By performing the immunocytochemical analyses using antibodies for each of the isoforms, Li *et al.* (40) showed that CD1a, but not CD1b, elicited the DNA damage response in colon cancer cells when stably associated with chromatin. Considering the above results, the

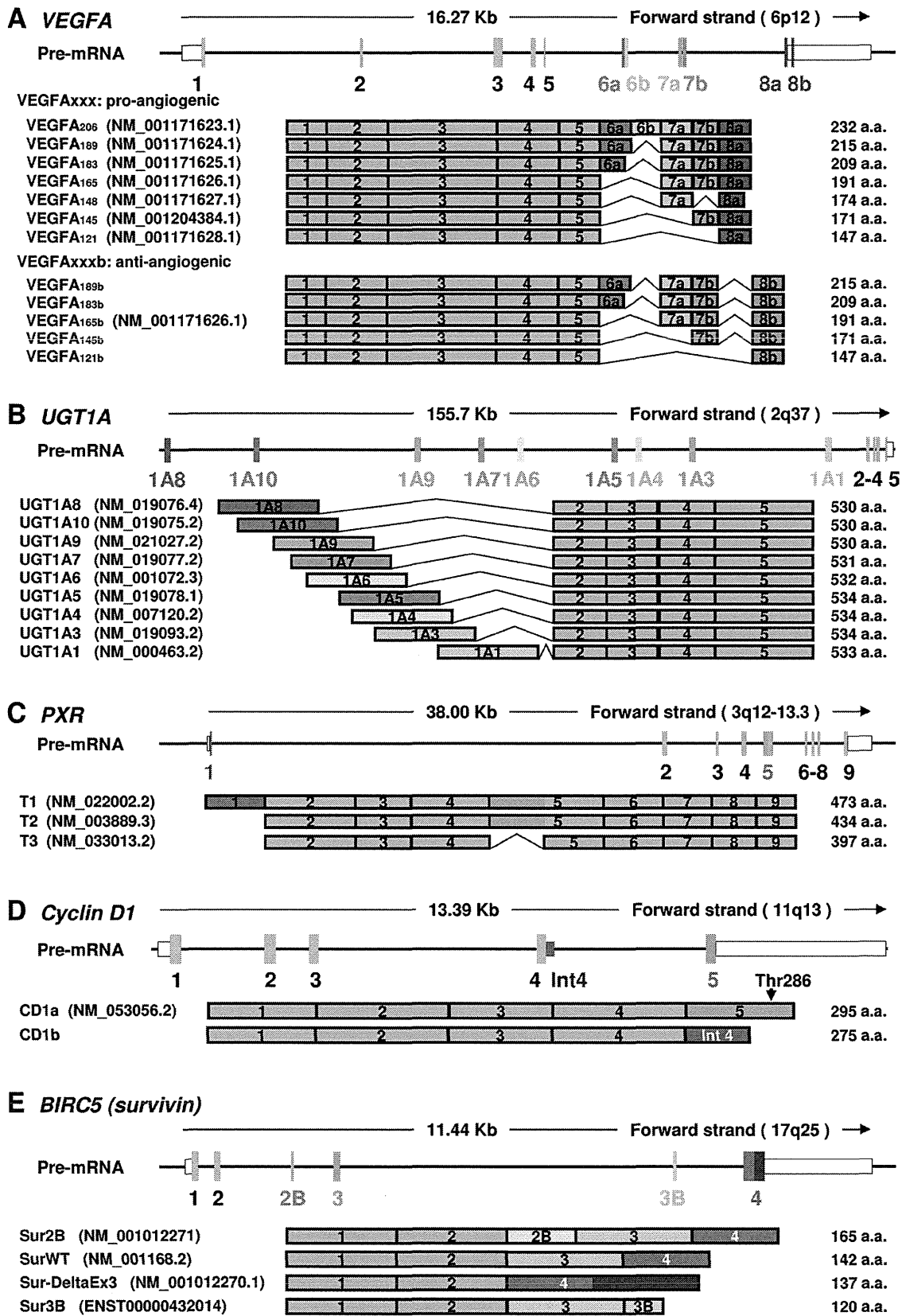


Fig. 2. Splice isoforms of *VEGFA* (A), *UGT1A* (B), *PXR* (C), *cyclin D1* (D) and *survivin* (E). For each of the genes, the pre-mRNA is indicated at the top and mature mRNAs are indicated below. White boxes indicate 5'-UTR and 3'-UTR. The NM numbers and the numbers of amino acids were provided based on the information contained in the NCBI database (as of 30 September 2012).

two splice isoforms of *cyclin D1* must be distinguished in order to develop therapeutic strategies targeting cyclin D1, and CD1b should be targeted for downregulation to maintain the inherent cell cycle control.

#### *Baculoviral inhibitor of apoptosis protein repeat-containing 5 (survivin)*

The inhibitor of apoptosis protein (IAP) family, which is characterized by the presence of baculovirus IAP repeat (BIR) domains (48,49), prevents apoptosis through direct inhibition of caspases and procaspases, and these proteins are expressed at elevated levels in the majority of human malignancies (50). Currently, seven genes in the IAP family have been isolated, among which the baculoviral IAP repeat-containing 5 (BIRC5) gene, also known as survivin, has been the most investigated as a therapeutic target for malignancies, and novel agents targeting this gene or protein are currently under development. Among them, YM155 (Astellas Pharma, Tsukuba, Japan) is a small molecule inhibitor of survivin (51). In 2012, Nakamura *et al.* (51) revealed that YM155 suppresses the expression of survivin through binding to the C-terminal region of interleukin enhancer-binding factor 3, although their study on the molecular mechanism is still underway. LY2181308 (Eli Lilly and Co., Indianapolis, IN) is a second-generation antisense oligonucleotide with a phosphorothioate backbone and other structural modifications, which targets the translation initiation site of the survivin transcripts (52). Both of these agents are designed to block all of the survivin transcripts. Recently, amiloride was reported to regulate the alternative splicing of survivin, as well as that of APAF1 and CRK (53).

Several splice isoforms of survivin have been reported (Figure 2E). In 2007, Sampath and Pelus (54) published a detailed review on the splice isoforms of survivin. The splice isoform Sur2B was regarded to be proapoptotic until the middle of the 2000s. However, the results of recent studies in CRC (55) and other malignancies (56,57) indicated different outcomes. In 2010, Sawai *et al.* (55) reported that Sur2B expression in CRC is an important factor involved in the invasive capacity of tumors in the presence of 5-FU. In 2011, Huang *et al.* (56) reported that the SurWT, Sur-DeltaEx3 and Sur2B isoforms were significantly elevated in astrocytoma and were associated with a poorer prognosis and Vivas-Mejia's study on ovarian cancer cells showed that Sur2B was more abundant in taxane-resistant cells than in taxane-sensitive cells (57). Using CRC samples and corresponding normal tissues, Pavlidou *et al.* (58) analyzed the expression levels of the isoforms, and Antonacopoulou *et al.* (59) analyzed the correlation between the expression of survivin isoforms and single nucleotide polymorphisms. It is still difficult to integrate all of the information on the survivin isoforms because some of the results have been contradictory, but the information will be important to design therapeutic strategies targeting survivin.

#### *Dihydropyrimidine dehydrogenase*

After its development in 1957 (60), 5-FU has been a core anticancer agent used for CRC. Approximately 90% of the administered 5-FU is catabolized by dihydropyrimidine dehydrogenase (DPD), mainly in the liver, whereas the remaining 10% of 5-FU is anabolized to exert cytotoxic activity (61), making DPD the most important determinant of 5-FU metabolism (62). Screening for genetic alterations with genomic DNA and mRNA sequencing, van Kuilenburg *et al.* (63) identified genetic alterations in deep intronic regions such as c.1129-5923C>G, which caused aberrant splice isoforms of the *DPD* gene. Their study indicates that caution should be exercised when screening for introns, as well as for exons, when identifying DPD-deficient patients and determining the likely efficacy of 5-FU.

#### *Other splice isoforms implicated in CRC*

Some of the other genes encoding splice isoforms that may be possible therapeutic targets for CRC are the *K-RAS* (64), *macroH2A1* (65,66), *SOX9* (67), *SLC39A14* (68,69), colorectal neoplasia differentially expressed (*CRNDE*) (70), *BARD1* (71), *CDH17* (72), *CYP24A1* (73)

and *PPARG* genes (74). It is well known that somatic mutation of the *K-RAS* gene is an early event in colorectal carcinogenesis. However, since the middle of the 2000s, the splice isoforms *K-RAS4A* and *K-RAS4B* have been reported to have differential functions in apoptosis (75) and differentiation (76) in the intestinal epithelia. In 2009, Abubaker *et al.* (64) analyzed CRC tissues for somatic mutations in the *K-RAS* gene, as well as performing an immunohistochemical analysis of the splice isoforms. Their study demonstrated that the expression of *K-RAS4A* and *K-RAS4B* was associated with several clinicopathological features of CRC, and both the *K-RAS* mutation and *K-RAS4A* expression were independent prognostic markers in a multivariate analysis.

MacroH2A1 is the founding member of the macroH2As family, which has the ability to replace the functions of canonical histones, and has two splice isoforms: macroH2A1.1 and macroH2A1.2. In 2011, Novikov *et al.* (65) demonstrated that the expression of macroH2A1.1 is suppressed in CRC and other malignancies compared with normal tissues. An immunohistochemical study of the two isoforms by Sporn *et al.* (66) in 2012 revealed that the loss of macroH2A1.1 was associated with a worse prognosis of CRC.

The *SOX9* transcription factor, which has antioncogenic potential in CRC, generates two isoforms: canonical *SOX9* and Mini*SOX9*, which is a truncated isoform of *SOX9* expressed at high levels in CRC (67). An immunohistochemical analysis of CRC and corresponding normal tissues using isoform-specific antibodies revealed that Mini*SOX9* behaves as a *SOX9* inhibitor and increases the oncogenic potential of CRC cells (67). This indicates that Mini*SOX9* may be a therapeutic target for CRC.

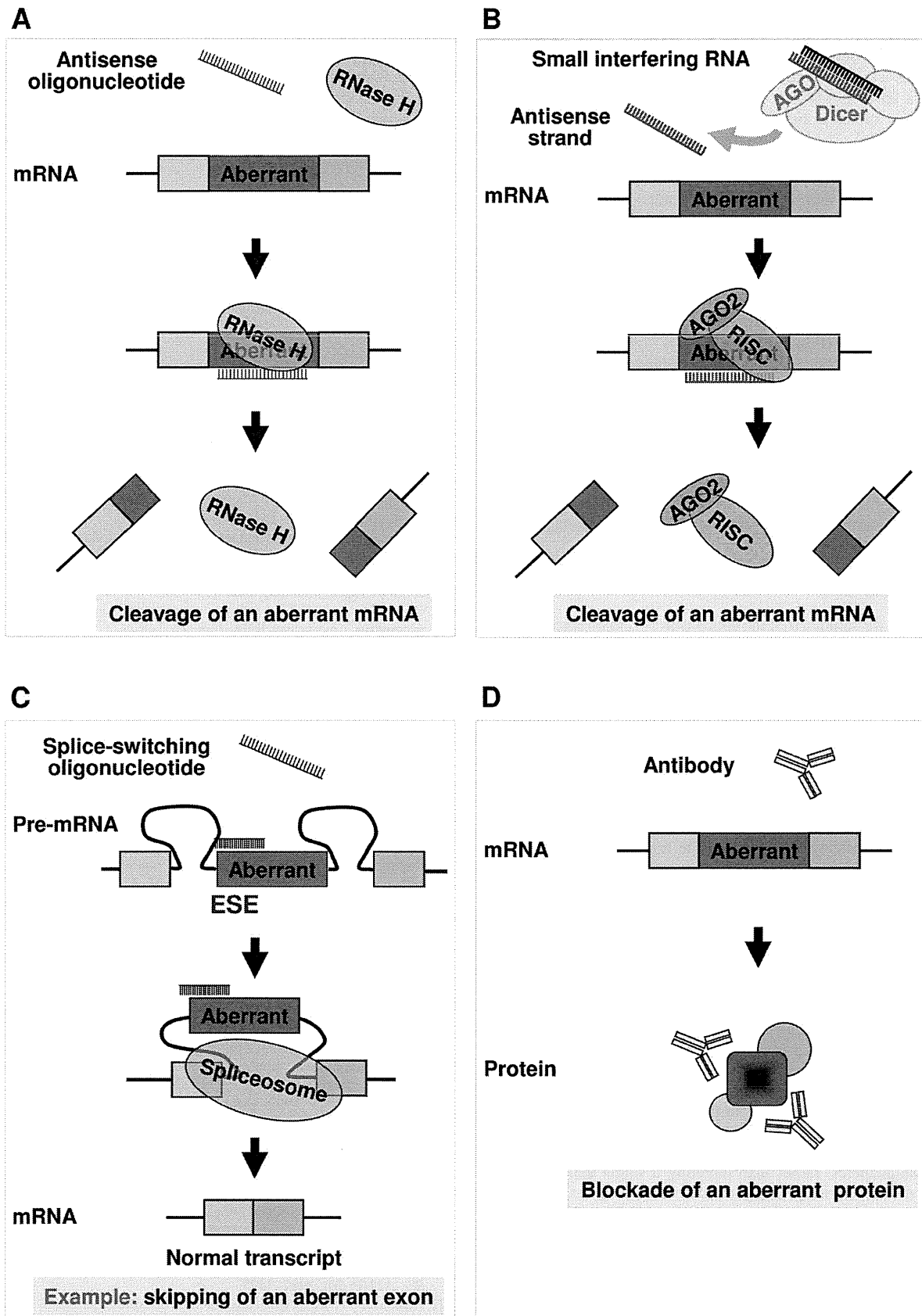
*SLC39A14* is a divalent cation transporter, which consists of nine exons and has two splice isoforms with a mutually exclusive exon 4, which generates two isoforms: *SLC39A14-4A* and *SLC39A14-4B*. In 2011, Thorsen *et al.* (69) demonstrated that *SLC39A14-4B* mRNA is highly expressed in colonic adenoma and CRC tissues compared with the *SLC39A14-4A* mRNA. In 2011, Sveen *et al.* (68) reported that *SLC39A14-4B* can be used as a marker to distinguish CRC from other pathological conditions of the colon. In addition, Graham *et al.* (70) indicated that splice isoforms of the *CRNDE* gene seem to be differentially expressed in different stages of CRC.

In 2011, Yi and Tang reported a review article on the potential use of splice variants as diagnostic, predictive and prognostic markers for CRC (77), which included information about *APC*, *TIMP-1*, *VEGFA*, *DYX1C1* and *c-FLIP*, among other genes. Their article provided information about the splice isoforms with regard to the use of chemotherapy for CRC. A genome-wide exon array analysis in 2011 detected several CRC-specific splice isoforms (*TCF12*, *OSBPL1A*, *TRAK1*, *ANK3*, *CHEK1*, *UGP2*, *LMO7*, *ACSL5* and *SCIN*) (78). In addition, trans-elements, such as SR protein kinase 1 and SR protein kinase 2, have also been discussed as therapeutic targets for CRC and other malignancies (79), although trans-elements are not described in detail in this review. Most of the studies presented here were reported after 2011, and the information on splice isoforms is still being accumulated.

#### *Therapeutic strategies to target splice isoforms*

Therapeutic targeting of splice isoforms may be achieved through conventional small molecules, but these molecules can only target a small subset of proteins, such as enzymes (e.g. tyrosine kinases) and receptors (e.g. the epidermal growth factor receptor). On the other hand, RNA-based therapeutics can theoretically target all of the pre-mRNAs and mRNAs with a wider range and higher selectivity than small molecules (80), although almost all of these modalities are still in preclinical development. Currently, the most important issue to be resolved for the use of RNA-based therapeutics as macromolecules is the development of an optimal drug delivery system.

The RNA-based therapeutics include antisense oligonucleotides, small interfering RNA (siRNA), splice-switching oligonucleotides and other molecules such as ribozymes and aptamers. Among them, synthetically modified antisense oligonucleotides are about 20 nucleotides long (Figure 3A) and the annealing of the oligonucleotides



**Fig. 3.** Macromolecules targeting splice isoforms associated with malignancy. (A) A synthetically modified antisense oligonucleotide, (B) siRNA, (C) a splice-switching oligonucleotide and (D) an antibody. In (C), an example of splice switching is presented, in which an ESE located in the aberrant exon is annealed to an oligonucleotide, and the aberrant exon is skipped. AGO, argonaute; ESE, exonic splice enhancer; RISC, RNA-induced silencing complex; RNase H, ribonuclease H.

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to mRNA allows the cleavage of the mRNA by ribonuclease H. To provide enhanced structural stability and pharmacological qualities while not interfering with the activity of ribonuclease H, various modifications of their chemical structures have been made, such as the use of a phosphorothioate linkage instead of natural phosphates as a backbone of nucleotides, and 2'-O-methyl (2'-OMe) residues, 2'-O-methoxyethyl (2'-MOE) residues or locked nucleic acids (81) have also been developed. In addition, the chemistry-dependent toxicities induced by their structures is another important issue to be considered. siRNA (Figure 3B) is another modality that consists of a double-stranded RNA fragment 21–22 nucleotides long. After interacting with the multiprotein RNA-induced silencing complex, the antisense strand of the siRNA anneals to the complementary mRNA as a target, and the endonuclease argonaute 2 cleaves the annealed mRNA. In this modality, off-target effects and the innate immune response via the activation of Toll-like receptors should be carefully managed. Splice-switching oligonucleotides (Figure 3C) modulate pre-mRNA splicing with spliceosomes and repair the defective pre-mRNA to generate proteins that have distinct functions. Monoclonal antibodies (82) have also been used for various targets (Figure 3D). In contrast with the RNA-based therapeutics, some antibodies targeting oncogenic proteins have already been in clinical use; and those for each of the splice isoforms will likely be further developed for clinical use. The development of antibodies is still very expensive, and further considerations for their development are discussed elsewhere (83). Targeting trans-elements that act as spliceosomes or splicing modulators is another option.

### Future perspectives

In this review, we summarized the splice isoforms that represent possible therapeutic targets for CRC. As discussed in this review, isoform-specific antibodies for VEGFA (16), UGT1A (30), cyclin D1 (40), K-RAS (64) and SOX9 (67) are currently available, and they can be utilized for immunohistochemical analyses and other purposes, and may eventually be useful for clinical applications. With the recent advances in nucleotide sequencing technologies, an entire set of genomic DNA sequences has been analyzed, and in the next stage, an entire set of RNA sequences will be further analyzed; the interpretation of the latter, however, is far more complex compared with the former, mainly due to the wide variety of mature mRNAs resulting from alternative splicing. To elucidate the regulatory mechanism(s) for alternative splicing as a whole, the two sets of sequence information will have to be integrated. Although the importance of individual cis-elements in the splicing machinery has been widely discussed, the concept of a 'splicing code', which is defined as a complex combination of the cis-elements that direct constitutive or alternative splicing, was proposed as early as the 1970s. To experimentally prove this concept had been a major challenge, but recent studies combining transcriptome-wide data with advanced machine learning algorithms were able to predict new classes of alternative splicing events under regulation by the splicing code (84,85). Furthermore, in a recent genome-wide siRNA screening, Moore *et al.* (6) identified a coordinated alternative splicing of *Bcl-X*, *MCL1*, *CASP9* and other apoptosis-associated genes under a common regulatory network. These findings suggest that we should consider a set of splice isoforms or their common regulatory network when developing therapeutic strategies for malignancies, rather than targeting a single gene. To what extent the mechanisms regulating alternative splicing are organ-specific remains unclear, but the phenomenon is complex, and is the subject of many ongoing studies.

Research in these various areas is still ongoing, and new discoveries are being reported at a rapid pace. Recent reports have demonstrated that alternative splicing is also affected by newly identified regulatory factors, such as RNA polymerase II elongation (86), the chromatin structure (87,88), histone modifications (89), the RNA structure (90) and the spliceosome structure (91), most of which are interwoven bidirectionally (87,88). Importantly, the splicing machinery is regulated by innate microRNAs, siRNAs, small nucleolar RNAs and other

non-coding RNAs (92,93), and these should also be considered as therapeutic targets. The rapidly increasing information available about nucleotide sequences, trans-elements, and newly identified regulatory factors, along with novel bioinformatics technology, such as the multi-mapping Bayesian gene eXpression (MMBGX) program by Turro *et al.* (94), which enables the detection of differential splicing at the isoform level, will provide additional information about how therapeutic strategies targeting alternative splicing in malignancies can be developed.

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