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Short Communication

CYP3A4*16 and CYP3A4*18 Alleles Found in East Asians Exhibit Differential Catalytic Activities for Seven CYP3A4 Substrate Drugs^[S]

Received April 28, 2010; accepted September 16, 2010

ABSTRACT:

CYP3A4, the major form of cytochrome P450 (P450) expressed in the adult human liver, is involved in the metabolism of approximately 50% of commonly prescribed drugs. Several genetic polymorphisms in CYP3A4 are known to affect its catalytic activity and to contribute in part to interindividual differences in the pharmacokinetics and pharmacodynamics of CYP3A4 substrate drugs. In this study, catalytic activities of the two alleles found in East Asians, CYP3A4*16 (T185S) and CYP3A4*18 (L293P), were assessed using the following seven substrates: midazolam, carbamazepine, atorvastatin, paclitaxel, docetaxel, irinotecan, and terfenadine. The holoprotein levels of CYP3A4.16 and CYP3A4.18 were significantly higher and lower, respectively, than that of CYP3A4.1 when expressed in Sf21 insect cell microsomes together with human NADPH-P450 reductase. CYP3A4.16 exhibited intrinsic clearances (V_{\max}/K_m) that were lowered considerably (by 84–60%)

for metabolism of midazolam, carbamazepine, atorvastatin, paclitaxel, and irinotecan compared with CYP3A4.1 due to increased K_m with or without decreased V_{\max} values, whereas no apparent decrease in intrinsic clearance was observed for docetaxel. On the other hand, K_m values for CYP3A4.18 were comparable to those for CYP3A4.1 for all substrates except terfenadine; but V_{\max} values were lower for midazolam, paclitaxel, docetaxel, and irinotecan, resulting in partially reduced intrinsic clearance values (by 34–52%). These results demonstrated that the impacts of both alleles on CYP3A4 catalytic activities depend on the substrates used. Thus, to evaluate the influences of both alleles on the pharmacokinetics of CYP3A4-metabolized drugs and their drug-drug interactions, substrate drug-dependent characteristics should be considered for each drug.

Introduction

CYP3A4, the major form of cytochrome P450 (P450) expressed in the adult human liver, is involved in the metabolism of approximately 50% of commonly prescribed drugs (Guengerich, 1999). CYP3A4 is capable of oxidizing a wide range of structurally diverse drugs as well as endogenous compounds. For example, many anticancer drugs, such as docetaxel, paclitaxel, etoposide, tamoxifen, irinotecan, vinblastine, and cyclophosphamide, are known to be metabolized by CYP3A4.

The expression and catalytic activity of CYP3A are highly variable among individuals, and this variability is partially attributable to genetic factors (Ozdemir et al., 2000). Several CYP3A4 genetic polymorphisms are known to affect the metabolism of CYP3A4 substrate drugs (www.cypalleles.ki.se/cyp3a4.htm). In addition, CYP3A4 al-

leles were reported to exhibit large ethnic differences in their distribution. In the Japanese, four alleles with amino acid alterations, CYP3A4*6 (D277EfsX8), CYP3A4*11 (T363M), CYP3A4*16 (T185S), and CYP3A4*18 (L293P), are found at frequencies of <0.001, 0.002, 0.014 to 0.05, and 0.013 to 0.028, respectively (Lamba et al., 2002; Yamamoto et al., 2003; Fukushima-Uesaka et al., 2004). Of these alleles, CYP3A4*16 has also been detected in Korean (allele frequency, 0.002) and Mexican populations (allele frequency, 0.05) and CYP3A4*18 is distributed commonly among East Asians such as Chinese (allele frequency, 0.008–0.01), Koreans (allele frequency, 0.012–0.017), and Malaysians (allele frequency, 0.021) (Wen et al., 2004; Hu et al., 2005; Lee et al., 2007; Ruzilawati et al., 2007; Kang et al., 2009).

CYP3A4*16 and CYP3A4*18 are reported to affect both in vitro and in vivo catalytic activities toward several substrates and to be involved in the interindividual differences in the pharmacokinetics and pharmacodynamics of CYP3A4 substrate drugs. CYP3A4.16 exhibited an approximately 50% reduction in intrinsic clearance (V_{\max}/K_m) for testosterone (TST) 6 β -hydroxylation activity in vitro (Murayama et al., 2002). We recently demonstrated the substrate-dependent altered kinetics of CYP3A4.16 for midazolam (MDZ) and carbamazepine (CBZ) (Maekawa et al., 2009). The intrinsic clearance for 1'-hydroxymidazolam (1'-OH-MDZ), 4-hydroxymidazolam (4-

This study was supported in part by the Program for the Promotion of Fundamental Studies in Health Sciences; and the Health and Labor Sciences Research Grants from the Ministry of Health, Labor and Welfare.

K.M., N.H., and T.Y. contributed equally to this work.

Article, publication date, and citation information can be found at <http://dmd.aspetjournals.org>.

doi:10.1124/dmd.110.034140.

[S] The online version of this article (available at <http://dmd.aspetjournals.org>) contains supplemental material.

ABBREVIATIONS: P450, cytochrome P450; APC, 7-ethyl-10-[4-N-(5-aminopentanoic acid)-1-piperidino] carbonyloxycamptothecin; TST, testosterone; MDZ, midazolam; CBZ, carbamazepine; 1'-OH-MDZ, 1'-hydroxymidazolam; ATV, atorvastatin; PTX, paclitaxel; DTX, docetaxel; IRN, irinotecan; TFN, terfenadine; 4-OH-MDZ, 4-hydroxymidazolam; 3'-p-OH-PTX, 3'-p-hydroxypaclitaxel; 2-OH-ATV, 2-hydroxyatorvastatin; 4-OH-ATV, 4-hydroxyatorvastatin; NPC, 7-ethyl-10-(4-amino-1-piperidino) carbonyloxycamptothecin; OR, NADPH P450 reductase.

OH-MDZ), and CBZ 10,11-epoxide formation decreased by 50, 30, and 74%, respectively, compared with the wild type. In vivo, heterozygous *CYP3A4*16* patients administered paclitaxel (PTX) showed significantly reduced 3'-*p*-hydroxypaclitaxel (3'-*p*-OH-PTX)/PTX area under the plasma concentration-time curve ratios (Nakajima et al., 2006). In addition, decreased metabolism of irinotecan (IRN) to the inactive metabolite 7-ethyl-10-[4-*N*-(5-aminopentanoic acid)-1-piperidino] carbonyloxycamptothecin (APC) was observed with *CYP3A4*16* (Sai et al., 2008).

In contrast to *CYP3A4*16*, *CYP3A4*18* seems to be bidirectional in terms of its catalytic activity toward different substrates, although different evaluation systems were used for each study. For example, the CYP3A4.18 protein exhibited increased activity for TST and chlorpyrifos (Dai et al., 2001), but not for nifedipine (Lee et al., 2005) in vitro. On the other hand, for the conventional probe drug MDZ, CYP3A4.18 showed decreased metabolism in vitro but not in vivo (Lee et al., 2007). Kang et al. (2009) demonstrated that *CYP3A4*18* is the gain-of-function allele for metabolism of several CYP3A4 substrates, including sex steroids like estrogens, leading to a relative sex-hormone deficiency that may predispose older women to osteoporosis.

In this study, to evaluate the effects of *CYP3A4*16* and *CYP3A4*18* on the catalytic activity toward structurally diverse substrates, recombinant wild-type (CYP3A4.1) and variant CYP3A4 enzymes (CYP3A4.16 and CYP3A4.18) were expressed using baculovirus-insect cell systems. The seven substrates used in the investigation were MDZ, CBZ, atorvastatin (ATV), PTX, docetaxel (DTX), IRN, and terfenadine (TFN) (Supplemental Fig. S1).

Materials and Methods

Materials. Purified human cytochrome *b*₅ was purchased from either Invitrogen (Carlsbad, CA) or Oxford Biomedical Research (Rochester, MI). MDZ and PTX were obtained from Wako Pure Chemicals (Osaka, Japan). 1'-OH-MDZ and 4-OH-MDZ were obtained from BD Gentest (Woburn, MA). CBZ, CBZ 10,11-epoxide, 3'-*p*-OH-PTX, and TFN and its metabolite *t*-butylhydroxyterfenadine were purchased from Sigma-Aldrich (St. Louis, MO). A second TFN metabolite, α,α -diphenyl-4-piperidinomethanol, was obtained from Fine & Performance Chemicals Ltd (Middlesbrough, UK). ATV, its metabolites 2-hydroxyatorvastatin (2-OH-ATV) and 4-hydroxyatorvastatin (4-OH-ATV), and DTX and its metabolite, DTX hydroxy *tert*-butyl carbamate (M2), were obtained from Toronto Research Chemicals Inc. (North York, ON, Canada). IRN and its CYP3A4 metabolites, APC, and 7-ethyl-10-(4-amino-1-piperidino) carbonyloxycamptothecin (NPC), were kindly supplied by Yakult (Tokyo, Japan). All other chemicals and solvents used were of the highest commercially available grade or analytical grade.

Expression of Recombinant Wild-Type and Mutant CYP3A4 Proteins. Insect cell microsomes coexpressing CYP3A4 (wild type or variants) and NADPH P450 reductase (OR) were prepared according to methods described previously (Maekawa et al., 2009). The cytochrome P450 content and OR activity in microsomes were measured (Phillips and Langdon, 1962; Omura and Sato, 1964), and Western blotting of CYP3A4 and OR was performed as described previously (Maekawa et al., 2009).

Assay for CYP3A4 Activity. To compare alterations in kinetic parameters among substrates, three batches of wild-type and two variant enzyme preparations were used for all kinetic studies. Kinetic analysis on all seven CYP3A4 substrates was performed under proper conditions for the incubation time and P450 concentrations such that linear relationships for metabolite formation were obtained.

Catalytic activities for MDZ 1'- and 4-hydroxylations and CBZ 10,11-epoxide formation were measured as described previously (Maekawa et al., 2009), with slight modifications. For other substrates (ATV, PTX, DTX, IRN, and TFN), the incubation conditions were similar to those used for MDZ and CBZ. For all substrates, CYP3A4s from insect microsomes and purified cytochrome *b*₅ were mixed together (CYP3A4/*b*₅ ratio, 1:4), and protein concentrations and the OR/P450 ratio in the CYP3A4 wild-type and variant

reaction mixtures were adjusted to be equivalent by adding both control (uninfected) microsomes and microsomes expressing solely OR. MDZ (0.2–200 μ M), CBZ (10–500 μ M), ATV (5–120 μ M), PTX (1–50 μ M), DTX (0.25–64 μ M), IRN (5–400 μ M), or TFN (0.0125–160 μ M) was added into aliquots of the above-mentioned enzyme preparations. The reaction was started by adding NADPH generation system and terminated by adding appropriate stop solutions containing suitable internal standard for the measurement of each metabolite. Samples were mixed well and then spun at 13,000g for 3 to 5 min.

Metabolite analyses for MDZ, CBZ, ATV, and PTX were carried out on a tandem quadrupole mass spectrometer (Micromass Quattro Premier XE; Waters, Milford, MA) interfaced with an Acquity UPLC System (Waters) equipped with an Acquity BEH C18 column (1.7 μ m, 2.1 \times 30 mm; Waters) kept at 50°C. Two solutions (solution A, 10 mM ammonium acetate; solution B, 90% acetonitrile containing 10 mM ammonium acetate) were used as the mobile phase. Metabolites were eluted by linear gradient, increasing solution B. Detections were performed by monitoring the transitions of *m/z* 342 to 203 (1'-OH-MDZ), *m/z* 342 to 234 (4-OH-MDZ), *m/z* 253 to 180 (CBZ 10,11-epoxide), *m/z* 575 to 440 (2-OH-ATV and 4-OH-ATV), and *m/z* 870 to 122 (3'-*p*-OH-PTX).

For IRN, TFN, and DTX, a time-of-flight mass spectrometer (Micromass LCT Premier XE; Waters) interfaced with an Acquity UPLC System, equipped with Acquity BEH C18 column (1.7 μ m, 2.1 \times 100 mm; Waters), and kept at 40°C was used for metabolite analyses. The mobile phase consisted of a mixture of acetonitrile/methanol/distilled water containing 0.1% (v/v) formic acid (14:14:72 for IRN, 21:21:58 for TFN, and 15:45:40 for DTX) delivered isocratically at a flow rate of 0.3 ml/min. Detections were performed by monitoring the M+H⁺ ions, *m/z* 824.3493 \pm 0.02 (*t*-butyl hydroxyl DTX), 519.2243 \pm 0.02 (NPC), 619.2768 \pm 0.02 (APC), 488.3165 \pm 0.02 (*t*-butyl hydroxyl TFN), and 268.1701 \pm 0.02 (α,α -diphenyl-4-piperidinomethanol).

Kinetic parameters were calculated using the computer program designed for nonlinear regression analysis (MULTI program) (Yamaoka et al., 1986). Kinetic parameters for MDZ 4-hydroxylation, ATV 2- and 4-hydroxylation, PTX 3'-*p*-hydroxylation, IRN oxidation to NPC, and DTX *t*-butyl hydroxylation were determined by the hyperbolic Michaelis-Menten model (eq. 1). The substrate inhibition model (eq. 2) was used for MDZ 1'-hydroxylation, TFN C-hydroxylation, and TFN *N*-demethylation, where *K*_s is the substrate inhibition constant. In the case of the 10,11-epoxidation of CBZ, kinetic parameters were determined by the modified two-site equation (*V*_{max1} = 0) (Korzekwa et al., 1998) (eq. 3).

$$V = V_{\max}S/(K_m + S) \quad (1)$$

$$V = V_{\max}S/(K_m + S + S^2/K_s) \quad (2)$$

$$V = (V_{\max 2}S^2/K_{m1}K_{m2})/(1 + S/K_{m1} + S^2/K_{m1}K_{m2}) \quad (3)$$

Kinetic data were determined as the mean \pm S.D. for three microsomal preparations derived from separate baculovirus infections, and statistical analysis was conducted by Dunnett's multiple comparison test in SAS (SAS Institute, Cary, NC). A *p* value of <0.05 was set as a statistically significant difference.

Results and Discussion

Expression of Wild-Type and Variant CYP3A4s in Insect Cells.

Wild-type (CYP3A4.1) and variant proteins (CYP3A4.16 and CYP3A4.18) were coexpressed with human OR in Sf21 insect cells. Typical CO difference spectra with a maximum absorbance at 450 nm were obtained for all microsomal fraction preparations (Supplemental Fig. S2). CYP3A4.18 exhibited a larger peak at 420 nm than either CYP3A4.1 or CYP3A4.16. In three independent expression experiments, holoenzyme contents in the variant CYP3A4.16 (230.8 \pm 25.2 pmol/mg microsomal protein) and CYP3A4.18 microsomes (51.3 \pm 3.2 pmol/mg microsomal protein) were significantly higher and lower (*p* < 0.05), respectively, than that in the wild-type

TABLE 1

Kinetic parameters for 10 catalytic reactions using seven substrates by CYP3A4.1, CYP3A4.16, and CYP3A4.18

Data are represented by mean \pm S.D. of three different expression experiments.

	K_m	V_{max}	Intrinsic Clearance (V_{max}/K_m)	K_s
	μM	$\mu mol/min/\mu mol$ P450	$\mu l/min/\mu mol$ P450	μM
MDZ 1'-hydroxylation				
CYP3A4.1	1.9 \pm 0.1	28.1 \pm 2.8	14.8 \pm 1.5	407 \pm 32
CYP3A4.16	2.6 \pm 0.1***	15.0 \pm 3.5**	5.8 \pm 1.7***	986 \pm 302*
CYP3A4.18	2.0 \pm 0.1	17.5 \pm 3.2*	8.8 \pm 1.6**	713 \pm 168
MDZ 4-hydroxylation				
CYP3A4.1	23.1 \pm 5.2	12.9 \pm 0.1	0.58 \pm 0.14	
CYP3A4.16	51.5 \pm 3.5***	11.7 \pm 1.4	0.23 \pm 0.04*	
CYP3A4.18	22.3 \pm 3.5	9.2 \pm 2.0*	0.42 \pm 0.11	
CBZ epoxidation ^a				
CYP3A4.1	21.9 \pm 5.2 (K_{m1}) 165 \pm 15 (K_{m2})	15.5 \pm 2.5	0.095 \pm 0.018	
CYP3A4.16	48.0 \pm 3.7*** (K_{m1}) 603 \pm 204** (K_{m2})	11.0 \pm 1.0	0.020 \pm 0.008***	
CYP3A4.18	20.0 \pm 2.7 (K_{m1}) 172 \pm 32 (K_{m2})	15.7 \pm 4.5	0.090 \pm 0.011	
ATV 2-hydroxylation				
CYP3A4.1	24.2 \pm 7.6	6.6 \pm 1.1	0.29 \pm 0.08	
CYP3A4.16	87.4 \pm 22.6**	8.2 \pm 1.9	0.10 \pm 0.02*	
CYP3A4.18	20.2 \pm 6.8	3.8 \pm 1.3	0.20 \pm 0.11	
ATV 4-hydroxylation				
CYP3A4.1	19.6 \pm 4.0	16.1 \pm 5.0	0.84 \pm 0.29	
CYP3A4.16	65.4 \pm 19.3**	8.4 \pm 1.4	0.14 \pm 0.05*	
CYP3A4.18	16.1 \pm 3.4	11.1 \pm 4.2	0.71 \pm 0.31	
PTX 3'-p-hydroxylation				
CYP3A4.1	2.9 \pm 0.1	0.55 \pm 0.06	0.19 \pm 0.03	
CYP3A4.16	12.9 \pm 2.4***	0.55 \pm 0.13	0.04 \pm 0.02***	
CYP3A4.18	2.7 \pm 0.1	0.24 \pm 0.07**	0.09 \pm 0.03**	
DTX <i>t</i> -butyl hydroxylation				
CYP3A4.1	2.5 \pm 0.1	0.38 \pm 0.01	0.16 \pm 0.01	
CYP3A4.16	1.8 \pm 0.2**	0.26 \pm 0.03**	0.14 \pm 0.01	
CYP3A4.18	2.3 \pm 0.2	0.24 \pm 0.04**	0.10 \pm 0.03*	
IRN oxidation to NPC				
CYP3A4.1	19.3 \pm 2.7	1.4 \pm 0.2	0.07 \pm 0.02	
CYP3A4.16	34.0 \pm 2.9**	0.9 \pm 0.4	0.03 \pm 0.01*	
CYP3A4.18	19.7 \pm 2.8	0.7 \pm 0.1*	0.04 \pm 0.01*	
TFN <i>t</i> -butyl hydroxylation				
CYP3A4.1	3.4 \pm 0.3	3.4 \pm 0.6	1.0 \pm 0.2	218 \pm 5
CYP3A4.16	3.5 \pm 0.5	2.1 \pm 0.1*	0.6 \pm 0.1*	51 \pm 8
CYP3A4.18	6.0 \pm 1.2*	3.1 \pm 0.5	0.5 \pm 0.1*	311 \pm 131
TFN <i>N</i> -demethylation ^b				
CYP3A4.1	2.4 \pm 0.5	2.2 \pm 0.4	0.95 \pm 0.25	629 \pm 244
CYP3A4.16	2.1 \pm 0.2	1.5 \pm 0.3	0.72 \pm 0.12	92 \pm 8
CYP3A4.18	3.4 \pm 0.3*	1.9 \pm 0.2	0.57 \pm 0.10	

* $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$ versus the wild-type (Dunnett's multiple comparison test).^a For CBZ epoxidation, K_{m1} and K_{m2} , V_{max2} , and intrinsic clearance (V_{max2}/K_{m2}) values are indicated in each column.^b For TFN *N*-demethylation, kinetic profile of CYP3A4.18 was better fitted to the Michaelis-Menten model than to the substrate inhibition model.

CYP3A4.1 microsomes (104.4 ± 23.9 pmol/mg microsomal protein). OR activity varied among the preparations but was not significantly different ($p > 0.05$) among CYP3A4.1 (1032.3 ± 88.2 nmol cytochrome *c* reduced/min/mg protein), CYP3A4.16 (659.4 ± 254.6 cytochrome *c* reduced/min/mg protein), and CYP3A4.18 (1019.1 ± 260.1 cytochrome *c* reduced/min/mg protein). On the other hand, total (apoenzyme and holoenzyme) CYP3A4 protein expression levels in insect cell microsomes were not significantly different ($p > 0.05$) between the wild type and variants by immunoblot analysis (data not shown).

Catalytic Activities of Wild-Type and Variant CYP3A4s. To characterize the substrate-dependent functional alterations of CYP3A4*16 and CYP3A4*18, CYP3A4 catalytic activities of wild type and variants toward the seven substrates (MDZ, CBZ, ATV, PTX, DTX, IRN, and TFN) were measured. For four of the substrates, two different metabolites were detected: 1'- and 4-OH-MDZ from MDZ, 2- and 4-OH-ATV from ATV, APC and NPC from IRN, and *t*-butylhydroxy-TFN and α - α diphenyl-4-piperidinomethanol (azacy-

clonol) from TFN. Because the level of APC formed from IRN was too low to quantify precisely under our experimental conditions, kinetic analysis for IRN was performed only for NPC formation. The kinetic profiles are shown in Supplemental Fig. S3, and kinetic parameters are summarized in Table 1. The variant-to-wild-type ratios (percent) of intrinsic clearance values (V_{max}/K_m) are compared among substrates used (Fig. 1).

CYP3A4.16 showed significantly higher K_m values than CYP3A4.1 for seven catalytic reactions: MDZ 1'- and 4-hydroxylations ($p < 0.001$), CBZ 10,11-epoxidation ($p < 0.01$), ATV 2- and 4-hydroxylations ($p < 0.01$), PTX 3'-*p*-hydroxylation ($p < 0.001$), and IRN oxidation to NPC ($p < 0.01$). The V_{max} value of CYP3A4.16 was significantly lower (by 47%) ($p < 0.01$) for MDZ 1'-hydroxylation, but not for MDZ 4-hydroxylation (91% of the wild type), suggesting that catalytic site-dependent changes in V_{max} values occurred. The intrinsic clearance (V_{max}/K_m) of CYP3A4.16 was significantly reduced compared to that of CYP3A4.1 for the following catalytic reactions: MDZ 1'- and 4-hydroxylations (by 61 and 60%,

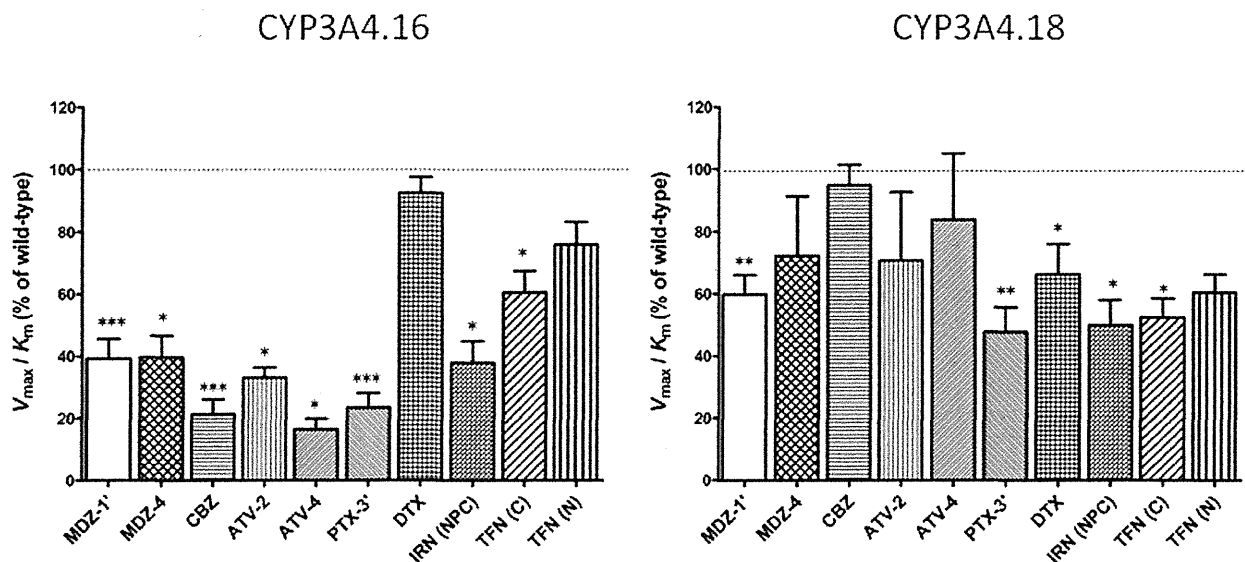


FIG. 1. The percent ratios of intrinsic clearance of variants to that of the wild type. Data are represented by mean \pm S.D. of three different expression experiments. MDZ-1', MDZ 1'-hydroxylation; MDZ-4, MDZ 4-hydroxylation; CBZ, CBZ 10,11-epoxidation; ATV-2, ATV 2-hydroxylation; ATV-4, ATV 4-hydroxylation; PTX-3', PTX 3'-*p*-hydroxylation; DTX, DTX *t*-butyl hydroxylation; IRN (NPC), IRN oxidation to NPC; TFN (C), TFN *t*-butyl hydroxylation; TFN (N), TFN *N*-demethylation. * $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$ versus the wild-type (Dunnett's multiple comparison test).

$p < 0.001$ and $p < 0.05$, respectively), CBZ 10,11-epoxidation (by 79%, $p < 0.001$), ATV 2- and 4-hydroxylation (by 67 and 84%, respectively, $p < 0.05$), PTX 3'-*p*-hydroxylation (by 77%, $p < 0.001$), IRN oxidation to NPC (by 62%, $p < 0.05$), and TFN *t*-butyl hydroxylation (by 40%, $p < 0.05$). In contrast, for DTX hydroxylation and TFN *N*-demethylation, no significant differences ($p > 0.05$) in the intrinsic clearance values were observed between CYP3A4.1 and CYP3A4.16.

Our results were consistent with those by Miyazaki et al. (2008), who found that recombinant CYP3A4.16 expressed in *Escherichia coli* is markedly deficient in MDZ, TST, and nifedipine metabolisms with lower V_{max} and increased K_m relative to CYP3A4.1. Thr185 in the E helix is far away from the active site and is not located in the substrate recognition site. Further studies are necessary to elucidate the role of this residue in the binding of structurally diverse CYP3A4 substrates to the substrate recognition site.

In agreement with the lower in vitro catalytic activity of CYP3A4.16 toward PTX and IRN, CYP3A4*16 heterozygous patients administered PTX or IRN were reported to show significantly reduced metabolite-to-substrate area under the plasma concentration-time curve ratios, which are parameters for in vivo CYP3A4 activity (Nakajima et al., 2006; Sai et al., 2008). As for substrates for which the clinical significance of CYP3A4*16 has not been evaluated, this study demonstrated that ATV metabolism was markedly affected by CYP3A4.16. Because CYP3A4 (but not CYP3A5) is the major enzyme involved in the formation of the two ATV metabolites: 2- and 4-OH-ATV (Park et al., 2008), the clinical relevance of CYP3A4*16 for efficacy and/or adverse reactions of ATV should be further investigated. In contrast, CYP3A4.16 retained its catalytic activity toward DTX, and thus it is predicted that this allele does not substantially influence the metabolism of DTX in vivo.

For CYP3A4.18, the reduced intrinsic clearances were observed for MDZ 1'-hydroxylation (by 40%, $p < 0.01$), PTX 3'-*p*-hydroxylation (by 52%, $p < 0.01$), DTX *t*-butyl hydroxylation (by 32%, $p < 0.05$), IRN oxidation to NPC (by 50%, $p < 0.05$), and TFN *t*-butyl hydroxylation (by 48%, $p < 0.05$) compared with CYP3A4.1. Except for TFN, the lowered V_{max} values for CYP3A4.18 resulted in lower activity in contrast to those for CYP3A4.16, which exhibited increased K_m

values for most substrates. On the other hand, CYP3A4.18 had similar kinetic profiles to CYP3A4.1 in their values for K_m , V_{max} , and intrinsic clearance for oxidation of CBZ (Table 1; Supplemental Fig. S3), which has the lowest molecular weight among the seven substrates (Supplemental Fig. S1).

For the substrates MDZ, PTX, and IRN, it was reported that heterozygous CYP3A4*1/CYP3A4*18 did not affect their pharmacokinetics (Nakajima et al., 2006; Lee et al., 2007; Sai et al., 2008). Because our in vitro results with CYP3A4.18 showed a partial decrease in V_{max} values for these drugs, an in vivo-in vitro correlation was not observed, at least for heterozygotes. Further studies are necessary to evaluate the clinical relevance of homozygous CYP3A4*18.

By molecular modeling studies, Kang et al. (2009) demonstrated that the L293P substitution at the beginning of the I helix caused significant secondary structural changes in the I helix and reduced protein stability. Our spectral analysis that CYP3A4.18 preparations contained more P420 than CYP3A4.1 might also be in agreement with their modeling. These possible conformational changes in CYP3A4.18 may affect substrate access depending on the substrate structure.

In conclusion, the substrate-dependent functional alterations of CYP3A4.16 and CYP3A4.18 were assessed toward seven structurally diverse substrates, MDZ, CBZ, ATV, PTX, DTX, IRN, and TFN. Compared to the wild type, CYP3A4.16 exhibited more than 60% reduced activity toward MDZ, CBZ, ATV, PTX, and IRN due to increased K_m values. In contrast, CYP3A4.18 showed a moderate reduction in its catalytic activity (by 34–52%) for MDZ, PTX, DTX, and IRN due to decreased V_{max} values. Thus, to evaluate the influences of both alleles on the pharmacokinetics of other CYP3A4-metabolized drugs and their drug-drug interactions, substrate drug-dependent characteristics should be elucidated for each drug.

Acknowledgments. We thank Chie Sudo for secretarial assistance and Yakult Honsha Co. Ltd. for providing irinotecan and its CYP3A4 metabolites.

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Recommended nomenclature for five mammalian carboxylesterase gene families: human, mouse, and rat genes and proteins

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Received: 18 May 2010 / Accepted: 27 July 2010 / Published online: 8 October 2010
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Abstract Mammalian carboxylesterase (*CES* or *Ces*) genes encode enzymes that participate in xenobiotic, drug, and lipid metabolism in the body and are members of at least five gene families. Tandem duplications have added more genes for some families, particularly for mouse and rat genomes, which has caused confusion in naming rodent

Ces genes. This article describes a new nomenclature system for human, mouse, and rat carboxylesterase genes that identifies homolog gene families and allocates a unique name for each gene. The guidelines of human, mouse, and rat gene nomenclature committees were followed and “*CES*” (human) and “*Ces*” (mouse and rat) root

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symbols were used followed by the family number (e.g., human *CES1*). Where multiple genes were identified for a family or where a clash occurred with an existing gene name, a letter was added (e.g., human *CES4A*; mouse and rat *Ces1a*) that reflected gene relatedness among rodent species (e.g., mouse and rat *Ces1a*). Pseudogenes were named by adding “P” and a number to the human gene name (e.g., human *CES1P1*) or by using a new letter followed by *ps* for mouse and rat *Ces* pseudogenes (e.g., *Ces2d-ps*). Gene transcript isoforms were named by adding the GenBank accession ID to the gene symbol (e.g., human *CES1_ABI19995* or mouse *Ces1e_BC019208*). This nomenclature improves our understanding of human, mouse, and rat *CES/Ces* gene families and facilitates research into the structure, function, and evolution of these gene families. It also serves as a model for naming *CES* genes from other mammalian species.

Introduction

Five families of mammalian carboxylesterases (CES; E.C.3.1.1.1) have been described, including CES1, the major liver enzyme (Ghosh 2000; Holmes et al. 2009a; Munger et al. 1991; Shibita et al. 1993); CES2, the major intestinal enzyme (Holmes et al. 2009a; Langmann et al. 1997; Schewer et al. 1997); CES3, expressed in brain, liver, and colon (Holmes et al. 2010; Sanghani et al. 2004); CES5 (also called CES7 or cauxin), a major urinary protein of the domestic cat also present in human tissues (Holmes et al. 2008a; Miyazaki et al. 2003, 2006; Zhang et al. 2009); and CES6, a predicted CES-like enzyme in brain (Clark et al. 2003; Holmes et al. 2009a; reviewed by Williams et al. 2010). These enzymes catalyze hydrolytic and transesterification reactions with xenobiotics, anticancer prodrugs, and narcotics (Ohtsuka et al. 2003; Redinbo and Potter 2005; Satoh and Hosokawa 1998, 2006; Satoh et al. 2002), the conversion of lung alveolar surfactant

(Ruppert et al. 2006), and several lipid metabolic reactions (Becker et al. 1994; Diczfalussy et al. 2001; Ghosh 2000; Hosokawa et al. 2007; Tsujita and Okuda 1993); they may also assist with the assembly of low-density lipoprotein particles in liver (Wang et al. 2007).

Structures for human and animal *CES* genes have been reported, including rodent *CES1*- and *CES2*-“like” genes (Dolinsky et al. 2001; Ghosh et al. 1995; Hosokawa et al. 2007) and human *CES1* and *CES2* genes (Becker et al. 1994; Ghosh 2000; Langmann et al. 1997; Marsh et al. 2004). Predicted gene structures have been also described for the human *CES3*, *CES5*, and *CES6* genes, which are localized with *CES1* and *CES2* in two contiguous *CES* gene clusters on human chromosome 16 (Holmes et al. 2008a, 2009a, b, 2010). In addition, a *CES1*-like pseudogene (currently designated *CES4*) is located with the *CES1*–*CES5* gene cluster (Yan et al. 1999). Mammalian *CES* genes usually contain 12–14 exons of DNA encoding CES enzyme sequences which may be shuffled during mRNA synthesis, generating several *CES* transcripts and enzymes encoded by each of the *CES* genes (see Thierry-Mieg and Thierry-Mieg 2006). There are significant sequence similarities for the five *CES* families, especially for key regions previously identified for human liver CES1 (Bencharit et al. 2003, 2006; Fleming et al. 2005). Three-dimensional structural analyses of human CES1 have identified three major ligand binding sites, including the broad-specificity active site, the “side door,” and the “Z-site,” where substrates, fatty acids, and cholesterol analogs, respectively, are bound; and an active site ‘gate’, which may facilitate product release following catalysis (Bencharit et al. 2003, 2006; Fleming et al. 2005).

Because of the confusion associated with the current nomenclature for mammalian *CES* genes, particularly for mouse and rat *Ces* genes where significant gene duplication events have generated a large number of *Ces1*-like and *Ces2*-like genes (Berning et al. 1985; Dolinsky et al. 2001; Ghosh et al. 1995; Hosokawa et al. 2007; Satoh and Hosokawa 1995), this article proposes a new nomenclature system that enables easy identification of *CES* family members for this enzyme. The nomenclature follows the guidelines of the human, mouse, and rat gene nomenclature committees and allocates a new name for each human (*CES*) or mouse and rat (*Ces*) gene. It also names and identifies the gene family origin for identified *CES* pseudogenes and provides a system for naming transcript isoforms derived from each of the *CES* genes. The nomenclature has the flexibility to accommodate new human, mouse, and rat *CES* genes and will assist further research into the structure, function, and evolution of these gene families as well as serve as a model for naming *CES* genes from other mammalian species.

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Guiding principles for the new *CES* nomenclature

The new nomenclature system for human, mouse, and rat *CES* genes and enzymes is based on the identification of homolog gene families and a subsequent allocation of a unique gene name for each of the genes observed from genome databases or reported from previous studies. It follows the guidelines of the human, mouse, and rat gene nomenclature committees and recommends the naming of homolog *CES* or *Ces* genes among species. The italicized root symbol “*CES*” for human and “*Ces*” for mouse and rat genes were used, followed by a number describing the gene family (examples include *CES1* for human *CES* family 1 or *Ces1* for mouse and rat *Ces* family 1 genes) (Tables 1, 2, 3). For mammalian genomes in which multiple genes were identified or a gene required a name that clashed with an existing name, a capital letter (for human genes) (e.g., *CES4A*) or a lower-case letter (for mouse and rat genes) (e.g., *Ces1a*, *Ces1b* for multiple mouse *Ces1*-like genes) was added after the number. The letter used for multiple genes reflected the relatedness of the genes across species (e.g., reflecting higher degrees of identity for mouse and rat *Ces1a* genes). When a human *CES* pseudogene was identified, a capital “P” and a number were added to the gene name (e.g., *CES1P1*), whereas for mouse and rat *Ces* pseudogenes, a unique lower-case letter was used followed by “-ps” (e.g., *Ces2d-ps*). Transcript isoforms of human (*CES*) and mouse and rat (*Ces*) gene transcripts were designated by following the gene name with the GenBank transcript ID, such as human *CES1_ABI19997* and *CES1_ABI187225*, which differs from the current nomenclature used for human *CES1* isoforms (*CES1A1* and *CES1A2*, respectively) (see Table 1).

Human *CES* genes

Table 1 summarizes the locations and exonic structures for human *CES* genes based upon previous reports for human *CES1* and *CES2* (Becker et al. 1994; Ghosh 2000; Langmann et al. 1997; Marsh et al. 2004) and predictions for human *CES3* (Holmes et al. 2010), *CES4A* (Holmes et al. 2009a), and *CES5A* (Holmes et al. 2008a) [the February 2009 human reference sequence (GRCh37) was used in this study (Rhead et al. 2010)]. Human *CES1P1* (a *CES1*-like pseudogene), *CES1*, and *CES5A* were located in a cluster (cluster 1) on chromosome 16, while *CES2*, *CES3*, and *CES4A* were in a separate cluster (cluster 2) on the same chromosome. Cluster 1 *CES* genes (*CES1* and *CES5A*) were transcribed on the negative strand, whereas cluster 2 genes (*CES2*, *CES3*, and *CES4A*) were transcribed on the positive strand. Figure 1 summarizes the predicted exonic start sites for human *CES* genes, with *CES1* and *CES4A*

containing 14 exons, *CES3* and *CES5A* 13 exons, and *CES2* with 12 exons. These exon start sites were in identical or similar positions to those reported for *CES1* (Ghosh 2000; March et al. 2004). Figure 2 shows the comparative structures for human *CES* reference sequences and transcripts described on the AceView website (<http://www.ncbi.nlm.nih.gov/IEB/Research/Acembly/>) (Thierry-Mieg and Thierry-Mieg 2006). The *CES* gene and transcript sequences varied in size from 11 kb for *CES2* to 79 kb for *CES5A* and exhibited distinct structures in each case. Moreover, several isoforms were generated in vivo for each of the human *CES* genes and have different structures as a result of transcriptional events, including truncation of the 5' ends, differential presence or absence of exons, alternative splicing or retention of introns, or overlapping exons with different boundaries. In addition, the isoforms are differentially expressed in tissues of the body and may perform distinctive metabolic roles. *CES* isoforms were named by using the gene name followed by the GenBank ID for the specific transcript. Recent studies of human *CES1* have described at least two major isoform transcripts, designated as *CES1A1* (*ABI19997*) and *CES1A2* (*ABI19996*) (Tanimoto et al. 2007). These isoforms have been redesignated as *CES1_ABI19997* and *CES1_ABI19997*, respectively (see Table 1) and encode sequences that differ by only four amino acid residues within the N-terminal region (exon 1) (Tanimoto et al. 2007). Distinct 5'-untranslated consensus sequences for binding transcription factors were reported. They suggested differences in transcriptional regulation and functional roles in contributing to CPT-11 chemosensitivity for these isoforms (Hosokawa et al. 2008; Tanimoto et al. 2007; Yoshimura et al. 2008). Fukami et al. (2008) have also examined human *CES* isoform structure and proposed that *CES1P1*, a *CES1*-like pseudogene on chromosome 16 (designated as *CES1A3*), was derived from the *CES1_ABI19997* isoform.

Human *CES* amino acid sequences and structures

An alignment of the amino acid sequences for human *CES*-like protein subunits is shown in Fig. 1, together with a description of several features for these enzymes. The sequences have been derived from previously reported sequences for *CES1* (Munger et al. 1991; Shibata et al. 1993), *CES2* (Langmann et al. 1997; Schewer et al. 1997), *CES3* (Sanghani et al. 2004), *CES4A* (previously *CES6* or *CES8*) (Holmes et al. 2009a); and *CES5A* (previously *CES7*) (Holmes et al. 2008a) (Table 1). Alignments of the human *CES* subunits showed between 39 and 46% sequence identities, which suggests that these are products of separate but related gene families, whereas sequence alignments of human *CES1* and *CES2* with mouse

Table 1 Human *CES1*, *CES1P1*, *CES2*, *CES3*, *CES4A*, and *CES5A* genes and subunits

Human <i>CES</i> gene	Chromosome 16 coordinates	Gene size (bp)	Exons strand	Subunit MW	Amino acids	GenBank ID	Other gene names	Expression tissues (relative level of gene expression)	NCBI RefSeq transcript	UNIPROT ID
<i>CES1</i>	54,394,465–54,424,468	30,004	14 –ve	62,521	567	L07765	<i>hCE-1</i> , <i>CES1A1</i> , <i>HU1</i> , <i>EST1</i>	liver, lung, others [$\times 3.8$]	NM_001025195	P23141
<i>CES1P1</i>	55,794,511–55,808,824	14,314	6 +ve	ps	ps	AF106005	<i>CES4</i>	pseudogene	NR_003276	
<i>CES2</i>	65,527,040–65,535,426	8,387	12 +ve	61,807	559	BC032095	<i>CE-2</i> , <i>HU2</i> , <i>hCE-2</i>	brain, kidney, intestine [$\times 4.5$]	NM_003869	O00748
<i>CES3</i>	65,552,712–65,564,450	11,739	13 +ve	62,282	571	BC053670	<i>ES31</i> , <i>CE3</i>	colon, brain, others [$\times 0.5$]	NM_024922	Q9H6X7
<i>CES4A</i>	65,580,177–65,600,543	20,367	14 +ve	60,366	561	BC166638	<i>ESTHL</i> , <i>CES8</i> , <i>CE5</i>	brain, lung, kidney [$\times 0.7$]	NM_173815	Q5XG92
<i>CES5A</i>	54,437,867–54,466,634	28,768	13 –ve	63,936	575	BC039073	<i>CES7</i> , <i>CE4</i>	brain, lung, testis [$\times 0.1$]	NM_001143685	Q6NT32
Human <i>CES</i> gene	Human <i>CES</i> transcript isoform names	Gene size (bp)	Exons strand	Subunit MW	Amino acids	GenBank ID	Other names for human <i>CES</i> isoforms	AceView ^a human <i>CES</i> isoform name	NCBI RefSeq transcript	Transcript length (bp)
<i>CES1</i>	<i>CES1_AB119997</i>	30,380	14 –ve	62,592	568	AB119997	<i>CES1A1</i>	<i>CES1</i> , variant aApr07	NM_001025195	2,084
	<i>CES1_AB119996</i>	30,380	14 –ve	62,521	567	AB119996	<i>CES1A2</i>	<i>CES1</i> , variant bApr07	NM_001025194	2,081
	<i>CES1_AK290623</i>	30,310	14 –ve	62,393	566	AK290623	<i>CES1A3</i>	<i>CES1</i> , variant cApr07	NM_001266	2,007
<i>CES2</i> ^d	<i>CES2_BC032095</i>	10,890	12 +ve	68,899	559	BC032095	<i>CES2A1</i>	<i>CES2</i> , variant aApr07	NM_003869	4,177
	<i>CES2_AL713761</i>	10,660	12 +ve	67,051	607	AL713761	<i>CES2A2</i>	<i>CES2</i> , variant bApr07	NM_198061	3,901
	<i>CES2_AK095522</i>	10,590	12 +ve	61,566	560	AK095522	<i>CES2A3</i>	<i>CES2</i> , variant cApr07	NM_003869	4,140
<i>CES3</i>	<i>CES3_AY358609</i>	13,920	13 +ve	62,282	571	AY358609	<i>CES3A1</i>	COesterase.1, variant aApr07	NM_024922	3,894
	<i>CES3_BC053670</i>	12,160	13 +ve	61,967	568	BC053670	<i>CES3A2</i>	COesterase.1, variant bApr07	BC053670 ^b	2,123
<i>CES4A</i>	<i>CES4A_BC166638</i>	20,367	14 +ve	60,366	561	BC166638	<i>CES4A1</i>	c	NM_173815	2,135
<i>CES5A</i>	<i>CES5A_BC069501</i>	29,217	13 –ve	63,926	575	BC069501	<i>CES5A1</i>	<i>CES7</i> , variant aApr07	NM_001143685.1	2,285
	<i>CES5A_BC069548</i>	29,217	12 –ve	58,201	525	BC069548	<i>CES5A2</i>	<i>CES7</i> , variant bApr07	NM_145024	2,135

RefSeq, GenBank, and UNIPROT IDs provide the sources for the gene and protein sequences; the relative gene expression level for human *CES* genes in comparison with the expression of an average human gene is given in brackets. Gene sizes are given as base pairs of nucleotides. *CES* isoform sequences aligned in Fig. 1 are bold

ps pseudogene (*CES1P1*), +ve and –ve transcription strand direction

^a <http://www.ncbi.nlm.nih.gov/IEB/Research/Acembly/>

^b GenBank ID number

^c No current AceView isoform name available

^d The human *CES2_BC032095* isoform transcript contains multiple transcription start sites with the shorter *CES2* sequence (559 residues) previously reported (Pindel et al. 1997; Schewer et al. 1997)

Table 2 Mouse *Ces* genes and subunits

Mouse CES gene (proposed)	Chr 8 coordinates	Gene size (bp)	Exons Strand ^a	Subunit MW	Amino acids	GenBank ID	MGI ID_YZ	Current MGI symbol_YZ	Current gene symbols	NCBI transcript	Vega ID	Ensembl ID	UNIPROT ID	Tissue expression (relative) ^b
<i>Ces1a</i>	95,544,116–95,572,091	27,979	14 – ve	61,744	563	BC089371	MGI:3648919	Gm4976	<i>EG244595</i>	NM_001013764	None	ENSMUSG 00000071047	Q5FWH4	Fetal liver [0.08]
<i>Ces1b</i>	95,580,789–95,603,815	23,027	13 – ve	62,197	567	*NM_001081372	MGI:3779470	Gm5158	<i>CesN</i>	NM_001081372	None	ENSMUSG 00000078964		Liver [×2.0]
<i>Ces1c</i>	95,622,914–95,655,182	32,268	13 – ve	61,172	554	BC028907	MGI:95420	Es1	<i>Es1, Ces-N</i>	NM_007954	ENSMUSG 00000024453	ENSMUSG 00000057400	P23953	Liver [×2.0]
<i>Ces1d</i>	95,690,157–95,721,618	31,462	14 – ve	61,788	565	BC019198	MGI:2148202	Ces3	<i>Ces3</i>	NM_053200	ENSMUSG 00000024539	ENSMUSG 00000056973	Q8VCT4	Tongue, liver [×2.2]
<i>Ces1e</i>	95,725,306–95,753,320	28,015	14 – ve	61,582	562	BC019208	MGI:95432	Es22	<i>Es22</i>	NM_133660	ENSMUSG 00000024532	ENSMUSG 00000061959	Q64176	Liver, kidney [0.4]
<i>Ces1f</i>	95,780,331–95,803,599	23,269	14 – ve	61,698	561	BC013479	MGI:234564	AU018778	<i>CesML1, TGH-2</i>	NM_144930	ENSMUSG 00000024519	ENSMUSG 00000031725	Q91WU0	Tongue, kidney [2.6]
<i>Ces1g</i>	95,826,807–95,861,053	34,247	14 – ve	62,680	565	BC021150	MGI:88378	Ces1	<i>Ces1</i>	NM_021456	ENSMUSG 00000024535	ENSMUSG 00000057074	Q3UW56	Tongue, kidney [2.6]
<i>Ces1h</i>	95,875,926–95,903,624	27,699	14 – ve	62,087	562	AK009689	MGI:75704	2310039D24Rik	<i>AK009689</i>	XM_134476	ENSMUSG 00000033579	ENSMUSG 00000074156		Tongue, kidney [2.6]
<i>Ces2a</i>	107,257,972–107,265,313	7,342	12 +ve	61,940	558	BC024491	MGI:2142491	Ces6	<i>Ces6</i>	NM_133960	OTTMUSG 00000027410	ENSMUSG 00000055730	Q8QZR3	Liver, colon [×1.0]
<i>Ces2b</i>	107,355,572–107,362,353	6,782	12 +ve	61,927	556	BC015286	MGI:2448547	BC015286	<i>BC015286</i>	NM_198172	OTTMUSG 00000027467	ENSMUSG 00000050097	Q6PDB7	Kidney, colon [0.1]
<i>Ces2c</i>	107,371,033–107,378,161	7,129	12 +ve	62,470	561	BC031170	MGI:2389505	Ces2	<i>Ces2</i>	NM_145603	OTTMUSG 00000027466	ENSMUSG 00000061825	Q91WG0	Kidney, colon [1.2]
<i>Ces2d-ps</i>	107,391,388–107,397,764	3,762	6 +ve			BC034182	MGI:3704319	Gm9756		XR_002069	None	ENSMUSG 00000031884		Pseudogene
<i>Ces2e</i>	107,450,221–107,457,611	7,391	12 +ve	62,735	560	BC055062	MGI:2443170	Ces5	<i>Ces5</i>	NM_172759	None	ENSMUSG 00000031886	Q8BK48	Liver, intestine [0.6]
<i>Ces2f</i>	107,471,256–107,479,862	7,335	12 +ve	62,707	561	BC117742	MGI:1919153	2310038E17Rik		NM_001079865	None	ENSMUSG 00000062826	Q08ED5	Tongue, thymus [0.2]
<i>Ces2g</i>	107,485,688–107,492,328	6,771	10 +ve	52,731	478	BC027185	MGI:1919611	2210023G06Rik		NM_197999	None	ENSMUSG 00000031877		Kidney, stomach [0.7]
<i>Ces2h</i>	107,524,753–107,544,307	19,554					MGI:3648740	Gm5744		XM_488149	None	None		Not available
<i>Ces3a</i>	107,572,572–107,582,000	21,512	13 +ve	61,510	554	AK138932	MGI:102773	Es31	<i>Es31</i>	NM_198672	None	ENSMUSG 00000069922	Q63880	Liver, aorta [1.1]
<i>Ces3b</i>	107,607,670–107,617,468	9,799	14 +ve	63,007	568	BC019047	Gm4738	Es31L	<i>Es31L</i>	NM_144511	None	ENSMUSG 00000062181		Liver [0.5]
<i>Ces4a</i>	107,655,852–107,673,417	17,566	14 +ve	62,123	563	BC026374	BC026374	Ces8	<i>Ces8</i>	NM_146213	OTTMUSG 00000027469	ENSMUSG 00000060560		Skin [0.1]

Table 2 continued

Mouse CES gene (proposed)	Chr 8 coordinates	Gene size (bp)	Exons Strand ^a	Subunit MW	Amino acids	GenBank ID	MGI ID_YZ	Current MGI symbol_YZ	Current gene symbols	NCBI transcript	Vega ID	Ensembl ID	UNIPROT ID	Tissue expression (relative) ^b
<i>Ces5a</i>	96,038,095–96,059,607	21,512	13 +ve	64,167	575	AB186393	MGI:1915185	Ces7	<i>Ces7</i>	NM_001003951	None	ENSMUSG 00000058019	Q8ROW5	Prostate [0.03]

RefSeq, GenBank, UNIPROT, MGI, Vega, and Ensembl IDs provide the sources for the gene and protein sequences; gene sizes are given as base pairs of nucleotides <http://www.ncbi.nlm.nih.gov/IEB/Research/Aceembly/>

ps pseudogene (*Ces2d-ps*)

^a +ve and -ve = transcription strand

^b The relative gene expression level for mouse *Ces* genes in comparison with the expression of an average mouse gene is given in brackets

CES1-like and CES2-like subunits exhibited higher levels of sequence identities with the CES family homolog in each case [66–78% identities for human and mouse CES1-like subunits and 64–72% for human and mouse CES2-like subunits, respectively (data not shown)], suggesting that these are members of the same mammalian CES families, in each case. Similar results were observed for comparisons of human CES3, CES4A (previously CES6 or CES8), and CES5A (previously CES7) with the corresponding mouse CES homolog sequences, with 65, 72, and 69% identities being observed, respectively. This supports the designation of these *CES* genes as members of the same family, in each case.

The amino acid sequences for the human CES subunits examined contained 567 (CES1), 559 (CES2), 571 (CES3), 561 (CES4A), and 575 (CES5A) residues (Fig. 1). Previous studies on human CES1 have identified key residues that contribute to the catalytic, oligomeric, subcellular localization and regulatory functions for this enzyme (sequence numbers refer to human CES1). These included the catalytic triad for the active site (Ser221; Glu354; His468) (Cyglar et al. 1993); disulfide bond-forming residues (Cys87/Cys116 and Cys274/Cys285) (Lockridge et al. 1987); microsomal targeting sequences, including the hydrophobic N-terminus signal peptide (Potter et al. 1998; von Heijne 1983; Zhen et al. 1995) and the C-terminal endoplasmic reticulum (ER) retention sequence (His-Ile-Glu-Leu) (Robbi and Beaufay 1983); and ligand-binding sites, including the “Z-site” (Gly356), the “side door” (Val424-Met425-Phe426), and the “gate” (Phe550) residues (Bencharit et al. 2003, 2006; Fleming et al. 2005). Identical residues were observed for each of the human CES subunit families for the active site triad and disulfide bond-forming residues, although changes were observed for some key residues for CES1 subunits, including the “side-door” and “gate” of the active site, with family-specific sequences or residues in each case. The “Z-site” (Gly356 for human CES1) has been retained for human CES2 and CES5A sequences, but substituted for CES3 (Ser) and CES4A (Asn). The hydrophobic N-terminal sequence for human CES sequences has undergone major changes, although this region retains a predicted signal peptide property. The human CES C-terminal tetrapeptide sequences have also changed, although CES2 (HTEL) and CES3 (QEDL) are similar in sequence with human CES1 (HIEL), which plays a role in the localization of human CES1 within endoplasmic reticulum membranes (Robbi and Beaufay 1983).

Other key human CES1 sequences included two charge clamps that are responsible for subunit-subunit interaction, namely, residues Lys78/Glu183 and Glu72/Arg186, which contribute to the trimeric and hexameric structures for this enzyme (Bencharit et al. 2003, 2006; Fleming et al. 2005).

Table 3 Rat *Ces* genes and subunits

Rat CES gene (proposed)	Chromosomes 19 (and 1) coordinates	Gene size (bp)	Exons strand ^a	Subunit MW	Amino acids	GenBank ID	RGD ID	Ortholog	Current gene symbols	NCBI RefSeq ID	Ensembl transcript ID	UNIPROT ID	Tissue expression [relative]
<i>Ces1a</i>	19:15,025,350–15,051,534	26,185	14 +ve	62,362	563		RGD:1583671	Mouse Gm4976	<i>LOC679817</i>	XM_001054575	ENSRNOT00000060929	D4AA05	[0.01]
<i>Ces1c</i>	19:14,981,539–15,021,040	39,502	14 +ve	60,501	550	BC088251	RGD:2571	Mouse <i>Es1</i>	<i>Es1</i>	NM_017004	ENSRNOT00000024622	P10959	Liver [0.2]
<i>Ces1d</i>	19:14,928,590–14,966,890	38,301	14 +ve	62,150	565	BC061789	RGD:70896	Mouse <i>Ces3</i>	<i>Ces3</i>	NM_133295	ENSRNOT00000021812	P16303	Liver, lung [0.4]
<i>Ces1e</i>	19:14,887,969–14,924,191	36,223	14 +ve	61,715	561	X81395	RGD:621508	Mouse <i>Es22</i>	<i>Ces1, Es22</i>	NM_031565	ENSRNOT00000020775	Q924V9	Liver [0.1]
<i>Ces1f</i>	19:14,849,955–14,876,723	26,769	14 +ve	62,495	561	BC128711	RGD:1642419	None specified	<i>LOC100125372</i>	NM_001103359	ENSRNOT00000024187	Q64573	Kidney, liver [0.1]
<i>Ces2a</i>	19:37,855–44,723	6,869	13 –ve	61,802	558	AY834877	RGD:708353	Mouse <i>Ces6</i>	<i>Ces6</i>	NM_144743	ENSRNOT00000015451	Q8K3RO	Liver [0.05]
<i>Ces2c</i>	1:267,887,436–267,894,795	7,360	12 +ve	62,170	561	AB010632	RGD:621510	Mouse <i>Ces2</i>	<i>Ces2l</i>	NM_133586	ENSRNOT00000045656	O70631, O70177	Brain, liver [0.1]
<i>Ces2e</i>	19:65,698–80,142	14,445	12 +ve	62,410	557	D50580	RGD:621563	Mouse <i>Ces5</i>	<i>Ces5</i>	NM_001100477	ENSRNOT00000015724	O35535	Liver [0.01]
<i>Ces2g</i>	19:34,883,500–34,890,289	6,790	12 +ve	62,909	560	CH473972	RGD:1308358	Mouse 2210023G05Rik	<i>2210023G05Rik</i>		ENSRNOT00000048385	D3ZXQ0	Kidney, liver [0.06]
<i>Ces2h</i>	19:34,910,987–34,925,261	14,275	12 +ve	62,280	557	BC107806	RGD:1560889	Gm5744	<i>Ces2</i>	NM_001044258	ENSRNOT00000019072	Q32Q55	Intestine [0.08]
<i>Ces2i</i>	1:267,807,848–267,815,235	7,388	11 +ve	62,072	559	XM212849	RGD:1565045	Mouse <i>Ces2</i>	<i>RGD1565045</i>	XM_001074128	ENSRNOT00000015997	D3ZE31	Not available
<i>Ces2j</i>	19:215,376–222,512	7,137	12 +ve	61,795	556		RGD:1591368	Mouse <i>Ces2</i>	<i>LOC685645</i>	XM_001074128	ENSRNOT00000061734	D3ZP14	[0.01]
<i>Ces3a</i>	19:34,929,247–34,937,264	8,018	14 +ve	62,393	563		RGD:1588734	Human CES3			ENSRNOT00000040499		Not available
<i>Ces4a</i>	19:34,948,579–34,965,647	17,069	14 +ve	63,446	563		RGD:1307418	Mouse <i>Ces8</i>	<i>Ces8</i>	NM_001106176	ENSRNOT00000019169	D4AE76	[0.01]
<i>Ces5a</i>	19:11,910,831–11,938,412	27,582	11 +ve	64,401	575	AF479659	RGD:1549717	Mouse <i>Ces7</i>	<i>Ces7</i>	NM_001012056	ENSRNOT00000049452	Q5GRG2	[0.01]

RefSeq, GenBank, UNIPROT, RGD, Vega, and Ensembl IDs provide the sources for the gene and protein sequences; gene sizes are given as base pairs of nucleotides; the relative gene expression level for rat *Ces* genes in comparison with the expression of an average rat gene is given in brackets

<http://www.ncbi.nlm.nih.gov/IEB/Research/Acembly/>

^a +ve and –ve = transcription strand direction

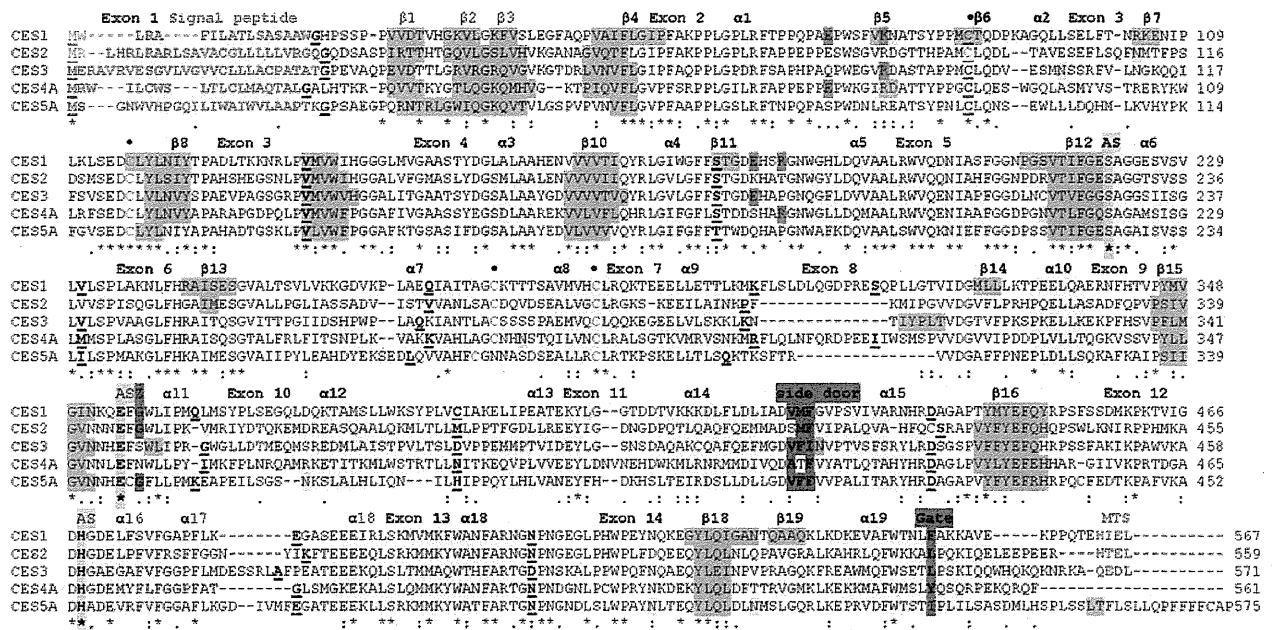


Fig. 1 Amino acid sequence alignments for human *CES1*, *CES2*, *CES3*, *CES4A*, and *CES5A* subunits. See Table 1 for CES isoform sequences aligned. Asterisk identical residues for CES subunits; colon similar alternate residues; dot dissimilar alternate residues. Signal peptide sequences for *CES1* (1–17), *CES2* (1–25), *CES3* (1–27), *CES4A* (1–19), and *CES5A* (1–24) and C-termini (MTS) microsomal targeting sequences for *CES1* (564–567), *CES2* (556–569), and *CES3* (568–571) are shown in red. Active site (AS) triad residues (human *CES1*) Ser221, Glu354, and His468 are highlighted in green. “Side door” (Val424-Met425-Phe426), “Gate” (Phe550), and cholesterol binding residue (“Z site”) (Gly356) for human *CES1* (Fleming et al. 2005) are highlighted in khaki. Disulfide bond Cys residues for human *CES1* (filled circle) are shown in blue. Charge clamp residues identified for human *CES1* (Glu72–Arg186; Lys78–Glu183)

Other human *CES* subunit sequences for these charge clamp sites included substitutions with neutral amino acids for the human *CES2* and *CES5A* sequences, while the *CES3* and *CES4A* sequences retained one potential clamp site (Fig. 1). Pindel et al. (1997) and Holmes et al. (2009b) have reported monomeric subunit structures for human and baboon *CES2*, which is consistent with the absence of charge clamps for this enzyme. This could have a major influence on the kinetics and biochemical roles for human *CES* isozymes since three-dimensional studies have indicated that ligand binding to the human *CES1* “Z-site” shifts the trimer–hexamer equilibrium toward the trimer that facilitates substrate binding and enzyme catalysis (Redinbo and Potter 2005). The *N*-glycosylation site for human *CES1* at Asn79–Ala80–Thr81 (Bencharit et al. 2003, 2006; Fleming et al. 2005; Kroetz et al. 1993) was not retained for any of the other human *CES* sequences, although potential *N*-glycosylation sites were observed at other positions, including *CES2* (site 3), *CES3* (site 2), *CES4A* (sites 4, 5, and 7), and *CES5A* (sites 6, 8, and 9)

(Fleming et al. 2005) are highlighted in purple. Confirmed (*CES1*) (Asn79–Ala80–Thr81) [site 1] or predicted *N*-glycosylation sites for human *CES2* (Asn111–Met112–Thr113) [site 3]; *CES3* (Asn105–Ser106–Ser107) [site 2]; *CES4A* (Asn213–Val214–Thr215) [site 4], Asn276–Ser277–Thr278) [site 5], and Asn388–Ile389–Thr390) [site 7]; and *CES5A* (Asn363–Lys364–Ser365) [site 6], (Asn513–Leu514–Thr515) [site 8], and (Asn524–Met525–Ser526) [site 9] are highlighted in blue. α -Helix (human *CES1* or predicted) and β -sheet (human *CES1* or predicted) regions are highlighted in yellow and gray, respectively. α -Helices and β -sheets are numbered according to the reported human *CES1* 3D structure (Fleming et al. 2005). Bold underlined font shows known or predicted exon start sites; exon numbers refer to the human *CES1* gene (see Langmann et al. 1997). (Color figure online)

(Table 4). Given the reported role of the *N*-glycosylated carbohydrate group contributing to *CES1* stability and maintaining catalytic efficiency (Kroetz et al. 1993), the *N*-glycosylation sites predicted for other human *CES* subunits may perform similar functions or indeed may serve new functions specific to a particular *CES* family.

Predicted secondary structures for human *CES2* (Holmes et al. 2009b), *CES3* (Holmes et al. 2010), *CES4A* (Holmes et al. 2009a), and *CES5A* (Holmes et al. 2008a) sequences were compared with those reported for human *CES1*, and similar α -helix β -sheet structures were observed for all of the *CES* subunits examined (Bencharit et al. 2003, 2006) (Fig. 1). This was especially apparent near key residues or functional domains such as the α -helix within the *N*-terminal signal peptide, the β -sheet and α -helix structures near the active site Ser221 (human *CES1*) and “Z-site” (Glu354/Gly356, respectively), the α -helices bordering the “side door” site, and the α -helix containing the “gate” residue (Phe550 for human *CES1*). The human *CES5A* sequence, however, contained a predicted helix at

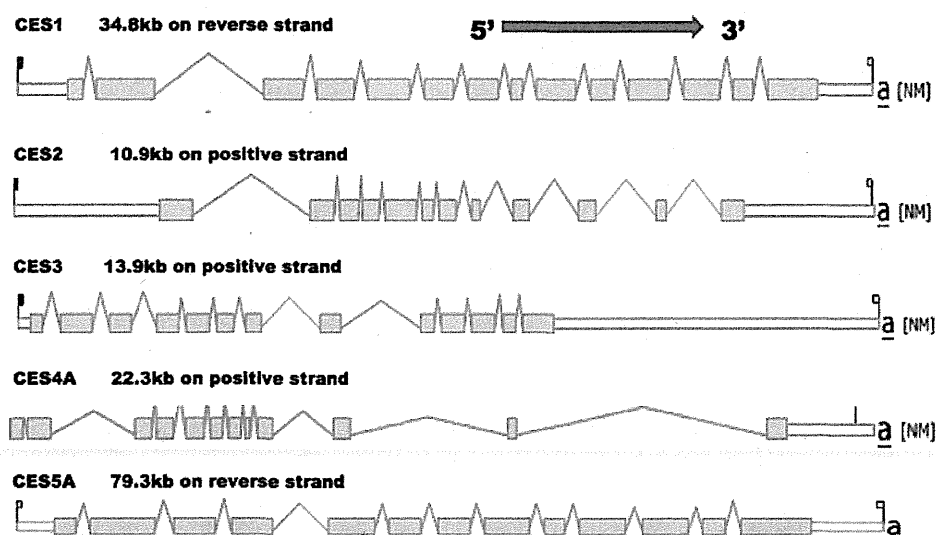


Fig. 2 Gene structures and major isoforms for human *CES1*, *CES2*, *CES3*, *CES4A*, and *CES5A* genes. Derived from AceView website <http://www.ncbi.nlm.nih.gov/IEB/Research/AceView/> (Thierry-Mieg and Thierry-Mieg 2006). Mature isoform variants (a) are shown with capped 5' and 3' ends for the predicted mRNA sequences. Exons are in solid color. 5' and 3' untranslated regions of the genes are shown as

open boxes. Introns are shown as a line. The 5' → 3' transcription directions are shown. a refers to the major transcript isoform for each human *CES* gene. Note that each *CES* gene structure is drawn to a different scale and that the respective gene sizes are shown: *CES1*, 34.8 kb; *CES2*, 10.9 kb; *CES3*, 13.9 kb; *CES4A*, 22.3 kb; and *CES5A*, 79.3 kb. (Color figure online)

the hydrophobic C-terminus not observed for other CES subunits which may perform a family-specific function. Predicted 3D structures have been previously described for each of the human CES subunits (Holmes et al. 2008a, 2009a, b, 2010); they were similar to the human *CES1* structure (Bencharit et al. 2003, 2006).

Mouse *Ces* genes and enzymes

Table 2 summarizes the proposed names, locations, and overall structures for the *Ces* genes observed for the mouse genome (July 2007 mouse [*Mus musculus*] genome data obtained from the Build 37 assembly by NCBI and the Mouse Genome Sequencing Consortium) (<http://www.ncbi.nlm.nih.gov> was used in this study). The italicized gene name *Ces* is consistent with other mouse gene nomenclature and is preferred to the *CES* stem used for human genes. At least 20 mouse *Ces* genes are recognized on the Mouse Genome Database <http://www.informatics.jax.org/> (MGI) and further described in terms of their locations on mouse chromosome 8, the number of predicted exons for each gene, predicted strand for transcription, number of amino acid residues and subunit molecular weights (MWs) for the encoded CES subunits, and identification symbols from MGI (e.g., MGI3648919 for *Ces1a*), NCBI (Reference Sequences were identified from the National Center for Biotechnology Information database) (<http://www.ncbi.nlm.nih.gov/>), Vega (the VErtebrate

Genome Annotation database) (<http://vega.sanger.ac.uk/index.html>), UNIPROT (Universal Protein Resource) (<http://www.ebi.ac.uk/uniprot/>), and Ensembl (Genome Database) (<http://www.ensembl.org/>) database sources.

Eight *Ces1*-like genes are located in tandem within a 360-kb segment of mouse chromosome 8, with an average gene size of 28 kb. The names for these genes (*Ces1a*, *Ces1b*, ..., *Ces1h*) are allocated in the same order as their locations on the mouse genome (Table 3). The *Ces1*-like gene cluster is also located near the mouse *Ces5a* gene, which is comparable to the *CES1P1*–*CES1*–*CES5A* cluster observed for human chromosome 16. Each of these genes contained 13 or 14 exons predicted for transcription on the negative strand and with encoded CES subunits exhibiting distinct but similar amino acid sequences (554–567 residues). The subunits were 63–85% identical with each other and with the human *CES1* sequence, which is consistent with these being members of the mouse *Ces1* gene family. Mouse *Ces1*-like genes included several that have been previously investigated, including *Ces1c* (previously called *Es1*), encoding a major mouse plasma esterase with 554 amino acid residues and also exhibiting lung surfactant convertase activity (Genetta et al. 1988; Krishnasamy et al. 1998); *Ces1d* (previously *Ces3*), encoding a mouse liver enzyme with 565 residues and exhibiting triacylglycerol hydrolase activity (Dolinsky et al. 2001); *Ces1e* (previously called *Es22* or *egasyn*), encoding a liver CES with 562 residues and exhibiting β -glucuronidase-binding properties (Ovnic et al. 1991); and *Ces1g* (previously

Ces1), encoding a liver CES with 565 amino acid residues and exhibiting lipid metabolizing activity (Table 4) (Ellingham et al. 1998).

Eight *Ces2*-like genes were also observed in a second 286-kb gene cluster on mouse chromosome 8, with an average gene size of approximately 8 kb (Table 2). These genes were named according to their sequence of position on the mouse genome (*Ces2a*, *Ces2b*, ..., *Ces2h*) and included a pseudogene designated *Ces2d-ps*. Three of these mouse *Ces2*-like genes have been previously described, including *Ces2c* (previously *Ces2*), encoding an inducible liver acyl-carnitine hydrolase enzyme with 561 residues (Furihata et al. 2003); *Ces2e* (previously *Ces5*), encoding a liver and intestinal enzyme with 560 amino acid residues (The MGC Project Team 2004); and *Ces2a* (previously *Ces6*), encoding a liver and colon enzyme with 558 residues (The MGC Project Team 2004). The *Ces2*-like cluster was located alongside two *Ces3*-like mouse genes (*Ces3a* and *Ces3b*) and a *Ces4a* gene (Table 3); this is comparable to the *CES2-CES3-CES4A* gene cluster on human chromosome 16 (Table 1). The *Ces3a* gene (previously mouse *esterase 31* or *Est31*) is expressed strongly in male mouse livers and encodes a 554-residue CES3-like subunit (Aida et al. 1993), whereas the *Ces3b* gene (previously *Es31L* or *EG13909*) is also expressed in liver and encodes a 568-residue subunit (The MGC Project Team 2004). The *Ces4a* gene (previously called *EST8* or *Ces8*) encodes an enzyme predicted for secretion in epidermal cells with 563 amino acid residues and showing 72% identity with human CES4A (The MGC Project Team 2004).

Rat *Ces* genes and enzymes

Table 3 summarizes the proposed names, locations, and structures for *Ces* genes observed for the rat genome [the November 2004 rat (*Rattus norvegicus*) genome assembly based on version 3.4 produced by the Baylor Human Genome Sequencing Center (Gibbs et al. 2004) was used in this study]. Fifteen rat *Ces* genes were identified on the Rat Genome Database (RGD) (<http://rgd.mcw.edu/>) and further characterized by their locations on rat chromosomes 1 and 19, the number of predicted exons for each gene, the predicted strand for transcription, current gene symbols, the number of amino acid residues and subunit MWs for the encoded CES subunits, and the identification symbols from RGD (e.g., RGD1583671 for *Ces1a*), NCBI Reference Sequences (<http://www.ncbi.nlm.nih.gov/>), Vega (<http://vega.sanger.ac.uk/index.html>), UNIPROT (<http://www.ebi.ac.uk/uniprot/>), and Ensembl (<http://www.ensembl.org/>) database sources.

Five *Ces1*-like genes were located in tandem within a 201-kb segment of rat chromosome 19, with an average

gene size of 33 kb (Table 3). The names for these genes (*Ces1a*, *Ces1c*, ..., *Ces1f*) were allocated according to their degree of identity with the corresponding mouse *Ces1*-like genes (Table 3). The genes were located in tandem in the same order as the mouse *Ces1*-like genes and were near the rat *Ces5a* gene. This is comparable to the *CES1P1-CES1A-CES5A* gene cluster observed for human chromosome 16. The rat *Ces1*-like genes contained 14 exons and were predicted for transcription on the positive strand, with encoded CES subunits exhibiting similar amino acid sequences (550–565 residues). The subunits were 65–73% identical with each other and with the human CES1 sequence, which is consistent with membership of the rat *Ces1* gene family. The encoded rat *Ces1*-like subunit sequences showed higher levels of identity with the corresponding mouse *Ces1*-like sequences (81–92% for rat and mouse CES1a, CES1c, CES1d, CES1e, and CES1f amino acid sequences). At least three rat *Ces1*-like genes have been previously described, including *Ces1c* (previously called *Es1*), encoding a rat plasma esterase (Sanghani et al. 2002; Vanlith et al. 1993); *Ces1d* (previously *Ces3*), encoding a rat liver enzyme with 565 residues and exhibiting cholesteryl ester hydrolase activity (Ghosh et al. 1995; Robbi et al. 1990); and *Ces1e* (previously called *ES-3* or *egasyn*), encoding a rat liver Ces with 561 residues and having β -glucuronidase-binding properties (Robbi and Beaufay 1994).

Seven rat *Ces2*-like genes were observed on the rat genome and were localized on two chromosomes: chromosome 1 (*Ces2c* and *Ces2i*) and chromosome 19 in three locations: *Ces2a* and *Ces2e*; *Ces2j*; and *Ces2g* and *Ces2h* (Table 3). The genes were named according to the degree of sequence identity with the corresponding mouse *Ces2*-like genes. Rat *Ces2*-like genes have been previously investigated, including *Ces2c* (previously *Ces2*), encoding an inducible liver acyl-carnitine hydrolase enzyme with 561 residues (Furihata et al. 2003); *Ces2e* (previously *Ces5*), encoding a liver and intestinal enzyme with 560 amino acid residues (The MGC Project Team 2004); and *Ces2a* (previously *Ces6*), encoding a liver and colon enzyme with 558 residues. (The MGC Project Team 2004). The rat *Ces2*-like cluster was located alongside a *Ces3*-like gene (*Ces3a* and *Ces3b*) and a *Ces4a* gene (Table 3), which is comparable to the *CES2A-CES3A-CES4A* gene cluster on human chromosome 16 (Table 1).

Functions of mammalian *CES* families

Mammalian CES families exhibit broad substrate specificities, and specific roles for these enzymes have been difficult to establish because of the promiscuity of the CES active site toward a wide range of substrates and the

Table 4 Functions and substrates for human *CES* and mouse and rat *Ces* genes and enzymes

Mammal	<i>CES</i> (<i>Ces</i>) gene	Current gene symbol(s)	Substrates and function (hydrolysis or detoxification)
Human	<i>CES1</i>	<i>CES1, hCE-1, CES1A1, HUI</i>	Heroin, cocaine ¹⁻³ , methyl phenidate ⁴ , temocapril ⁵ , CPT-11 ⁶ , flurbiprofen ⁷
		<i>CES1</i>	Fatty acid ethyl ester synthase ⁸ , sarin ⁹ , ciclesonide ¹⁰ , cholesteryl ester hydrolase ¹¹ , triacylglycerol hydrolase ¹¹
	<i>CES2</i>	<i>CES2, hCE-2, HU2</i>	Procaine ⁵ , heroin, cocaine ¹⁻³ , temocapril ⁵ , CPT-11,6 flurbiprofen ⁷ , doxazolidine ¹²
Mouse	<i>CES3</i>	<i>CES3</i>	CPT-11 ⁶
	<i>Ces1c</i>	<i>Es1, Ces-N</i>	Lung surfactant convertase ¹³ , CPT-11 ¹⁴
	<i>Ces1d</i>	<i>Ces3</i>	Triacylglycerol hydrolase ¹⁵
	<i>Ces1e</i>	<i>Es22, egasyn</i>	β -glucuronidase binding in the liver endoplasmic reticulum ¹⁶ , retinyl ester hydrolase ²⁶
	<i>Ces1f</i>	<i>CesML1, TGH-2</i>	Triacylglycerol hydrolase ²⁷ , monoacylglycerol hydrolase ²⁷ , cholesteryl ester hydrolase ²⁷ , phospholipase ²⁷
	<i>Ces1g</i> <i>Ces2c</i>	<i>Ces1</i> <i>Ces2</i>	Lipid metabolism ¹⁷ Inducible liver acylcarnitine hydrolase ¹⁸
Rat	<i>Ces1c</i>	<i>Es1</i>	Retinyl palmitate ¹⁹
	<i>Ces1d</i>	<i>Ces3</i>	Cholesterol ester hydrolase ²⁰ , triacylglycerol hydrolase ²⁷ , retinyl ester hydrolase ²⁸
	<i>Ces1e</i>	<i>ES-3</i>	β -glucuronidase binding in the liver endoplasmic reticulum ²¹
	<i>Ces2a</i>	<i>Ces6</i>	Intestinal first pass metabolism ²²
	<i>Ces2c</i>	<i>Ces2</i>	Inducible liver acylcarnitine hydrolase ¹⁸ , intestinal first pass metabolism ²²
	<i>Ces2e</i>	<i>Ces5</i>	Intestinal first pass metabolism ²²
Cat	<i>CES5A</i>	<i>CES7, cauxin</i>	3-Methylbutanol-cysteinyglycine hydrolysis in urine releasing pheromone ²³
Rat, sheep	<i>CES5A</i>	<i>CES7, cauxin</i>	Lipid transfer reactions in epididymis ²⁴

¹ Pindel et al. 1997, ² Bencharit et al. 2003, ³ Satoh and Hosokawa 2006, ⁴ Sun et al. 2004, ⁵ Takai et al. 1997, ⁶ Humerickhouse et al. 2000, Xu et al. 2002, Ohtsuka et al. 2003, Morton et al. 2005, ⁷ flurbiprofen derivatives serve as substrates, Imai 2006, Taketani et al. 2007, Hosokawa 2008, ⁸ Diczfalussy et al. 2001, ⁹ Hemmert et al. 2010, ¹⁰ Mutch et al. 2007, ¹¹ Becker et al. 1994, ¹² Barthel et al. 2008, ¹³ Krishnasamy et al. 1998, Ruppert et al. 2006, ¹⁴ Morton et al. 2005, ¹⁵ Dolinsky et al. 2005, ¹⁶ Ovnicek et al. 1991, ¹⁷ Ellingham et al. 1998, Ko et al. 2009, ¹⁸ Furihata et al. 2003, ¹⁹ Sanghani et al. 2002, ²⁰ Ghosh et al. 1995, Okazaki et al. 2008, ²¹ Robbi and Beaufay 1994, ²² Masaki et al. 2007, ²³ Miyazaki et al. 2006, ²⁴ Ecroyd et al. 2006, Zhang et al. 2009, ²⁵ Gilham et al. 2005, ²⁶ Schreiber et al. 2009, ²⁷ Lehner and Vance 1999, ²⁸ Okazaki et al. 2006, ²⁹ Linke et al. 2005

existence of multiple forms with overlapping specificities (Fleming et al. 2005; Imai 2006; Leinweber 1987; Redinbo and Potter 2005; Satoh and Hosokawa 1998, 2006). Table 4 summarizes current knowledge concerning substrates and functions reported for human, mouse, and rat *CES* gene family members.

Studies on human *CES1* have examined its role in the metabolism of various drugs, including narcotics such as heroin and cocaine (Bencharit et al. 2003; Pindel et al. 1997), warfare nerve agents (Hemmert et al. 2010), psychostimulants (Sun et al. 2004), analgesics (Takai et al. 1997), and chemotherapy drugs (Sanghani et al. 2004). Mammalian liver is predominantly responsible for drug clearance from the body, with *CES1* and *CES2* (with *CES1* > *CES2*) playing major roles, following absorption of drugs into the circulation (Imai 2006; Pindel et al. 1997). Mammalian intestine (with *CES2* > *CES1*) plays a major role in first-pass clearance of several drugs, predominantly

via *CES2* in the ileum and jejunum (Imai et al. 2003). *CES1* and *CES2* also have different roles in prodrug activation, as shown for the anticancer drug irinotecan (CPT-11), which is converted to its active form SN-38 predominantly by *CES2* (Humerickhouse et al. 2000). Recent modeling studies have shown that the human *CES2* active site cavity is lined with negatively charged residues; this may explain the preference of this enzyme for neutral substrates (Vistoli et al. 2010). The role for human *CES3* has not been studied extensively, although the enzyme is capable of activating prodrugs such as irinotecan (Sanghani et al. 2004). There are no reports concerning the metabolic role(s) for human *CES4A*, and functional studies on mammalian *CES5* function are limited to feline species, where the enzyme is secreted into cat urine and apparently regulates the production of a cat-specific amino acid "felinine," a putative pheromone precursor (Miyazaki et al. 2006).

Evolution of mammalian *CES* gene families

Recent comparative and evolutionary studies (Holmes et al. 2008b; Williams et al. 2010) have concluded that there are at least five major mammalian *CES* gene families. In addition, the gene duplication events that generated the ancestral mammalian *CES1*, *CES2*, *CES3*, *CES4*, and *CES5* genes have apparently predated the common ancestor for marsupial and eutherian mammals (Holmes et al. 2008b) which has been estimated at approximately 173–193 million years ago (Woodburne et al. 2003) and may coincide with the early diversification of tetrapods approximately 350–360 million years ago (Donoghue and Benton 2007). The mammalian *CES* gene families are ancient in their genetic origins and were established prior to the appearance of mammals during evolution. Further *CES/Ces* gene duplication events have subsequently occurred during mammalian evolution, however, especially for rodent species, for which the mouse and rat *Ces1*-like and *Ces2*-like genes have apparently undergone successive duplication events. At least three of these are likely to have occurred in the common ancestor for rat and mouse during rodent evolution since several homolog genes and proteins were recognized, including *Ces1c* (previously *Es1*), *Ces1d* (*Ces3*), *Ces1e* (*Es22*), *Ces2a* (*Ces6*), *Ces2c* (*Ces2*), and *Ces2e* (*Ces5*) (Tables 3, 4). With the exception of the rat *Ces2*-like genes, which were located in multiple clusters on chromosomes 1 and 19, human, mouse, and rat *CES* genes were localized within two clusters of genes on the same chromosome, namely, *Ces1–Ces5A* (with multiple *Ces1*-like genes) and *Ces2–Ces3–Ces4A* (with multiple *Ces2*-like genes in mouse and rat). The presence of two *Ces3*-like genes in the mouse suggests that a further duplication event also took place in this species.

Conclusions

This article has examined human, mouse, and rat carboxylesterase genes and encoded subunits and has proposed a new nomenclature system, identifying each of five gene families (designated as *CES1*, *CES2*, ..., *CES5* for human genes and *Ces1*, *Ces2*, ..., *Ces5* for mouse and rat genes) and allocating a unique gene name for each of the genes. The italicized root symbol “*CES*” for human and “*Ces*” for mouse and rat genes followed by a number for the family were used, which is consistent with current practice. When multiple genes were identified for a gene family or where a gene required a name that clashed with an existing name, a capital letter (for human genes) (e.g., *CES4A*) or a lower-case letter (for mouse and rat genes) (e.g., *Ces1a*, *Ces1b*) was added after the number. A human *CES* pseudogene was named, using a capital “P” and a number (e.g., *CES1P1*), whereas mouse and rat *Ces* pseudogenes were

named with a unique lower-case letter followed by “-ps” (e.g., *Ces2d-ps*). This new nomenclature will also assist in naming multiple *CES* genes and proteins from other mammalian species. As an example, Holmes et al. (2009c) and Williams et al. (2010) have reported multiple *CES1*-like genes on the horse genome that may be designated in accordance with the recommended nomenclature as *CES1A*, *CES1B*, *CES1C*, and so on, in order of the tandem locations of these genes on chromosome 3. Transcript isoforms of *CES* gene transcripts were named by following the gene name with the GenBank ID for the specific transcript. This nomenclature will assist our understanding of the genetic relatedness and the *CES* family origins for individual human, mouse, and rat *CES* genes and proteins and facilitate future research into the structure, function, and evolution of these genes. It will also serve as a model for naming *CES* genes from other mammalian species.

Acknowledgments This research was supported by NIH Grants P01 HL028972 and P51 RR013986 (to LAC); R01 ES07965 (to BY); and CA108775, and a Cancer Center Core Grant CA21765, the American Lebanese and Syrian Associated Charities (ALSAC) and St. Jude Children’s Research Hospital (SJCRH) (to PMP); and a program project grant HG000330 entitled ‘Mouse Genome Informatics’ from the National Human Genome Research Institute of the NIH (to LJM). Acknowledgement is also given to members of the Redinbo laboratory and NIH grants CA98468 and NS58089 (to MRR).

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