

**FIGURE 1: COL4A1 mutations in patients with porencephaly or schizencephaly.** (A) Functional domains of COL4A1 protein. The locations of 12 mutations, including 10 missense mutations (bottom), a nonsense mutation, and a frameshift mutation (top) are indicated by arrows. The 7S domain is highlighted with blue and the NC1 domain with red. Gly-X-Y repeats within the collagen triple helical domain are highlighted with yellow. All of the missense mutations occurred at evolutionary conserved amino acids. The positions of the conserved Gly residues in the Gly-X-Y repeats are highlighted in gray. Homologous sequences were aligned using CLUSTALW (<http://www.genome.jp/tools/dustalw/>). (B) The c.1121-2dupA mutation in intron 20 is colored red. Sequences of exons and introns are presented in upper and lower cases, respectively. (C) Reverse transcriptase (RT)-polymerase chain reaction (PCR) analysis of patient 4 and his parents. (D) Schematic presentation of the wild-type (WT; upper) and mutant (lower) transcripts and primers used for analysis. A single band (500bp), corresponding to the WT allele, was amplified using the mother's cDNA template. Conversely, a lower band was detected from the cDNA from the patient and his father. In the mutant transcript, the 165bp exon 21 was deleted. Sequences of exons and introns are presented in upper and lower cases, respectively. (E) The c.1382-1G>C mutation in intron 22 is colored red. (F) RT-PCR analysis of patient 7 and a control. (G) Schematic representation of the WT and mutant transcripts, and primers used for analysis. A single band (183bp), corresponding to the WT allele, was amplified using a control cDNA template. Conversely, upper and lower bands were detected from the patient's cDNA. The upper band (244bp), which was observed only in cycloheximide (CHX)-treated cells, had a 61bp insertion of intron 22 sequences, leading to a frameshift. Absence of the upper band in untreated lymphoblastoid cell lines strongly suggests that the mutant transcript may undergo nonsense-mediated mRNA decay. The lower band had a 33bp insertion of intron 22 and 84bp deletion of the whole of exon 23, leading to an in-frame 51bp deletion.

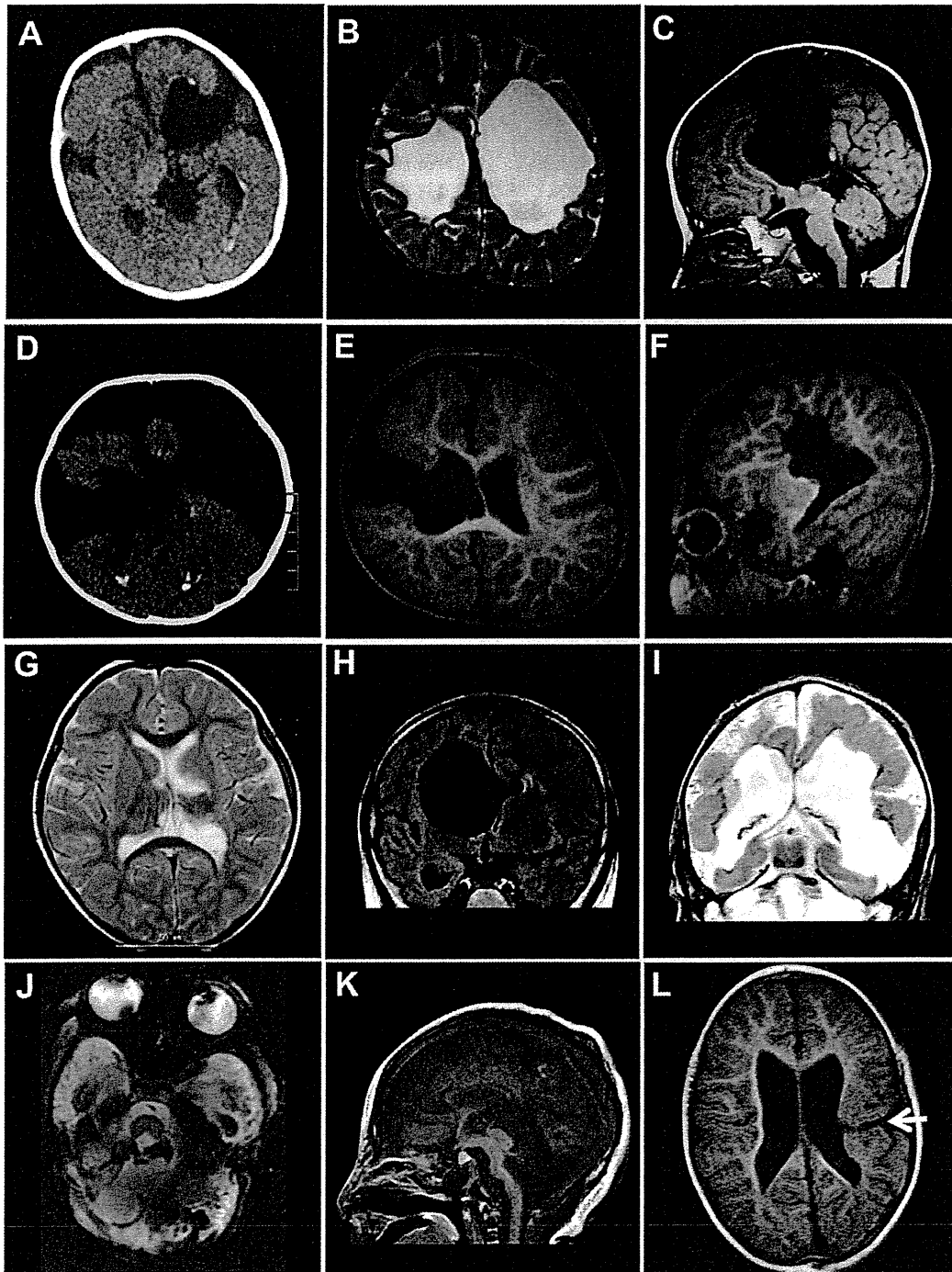
TABLE: Clinical features of patients with COL4A1 mutations

Cases	Age	Sex	Mutation	Inheritance	Brain MRI/ CT findings	CP	Epi	Ocular features	Family history	ID	Hyper-CK	Other
1	14y	M	c.2842G>A (p.Gly948Ser)	de novo	Bilateral POCE, calcification, hemosiderin deposition	Q	+	-	-	+	-	
2	18m	M	c.3976G>A (p.Gly1326Arg)	de novo	Bilateral SCZ, calcification, hemosiderin deposition	Q	+	-	-	+	-	
3	15m	M	c.3995G>A (p.Gly1332Asp)	Absent in mother	Unilateral SCZ, calcification, hemosiderin deposition	H	+	-	-	+	-	
4	6y	M	c.1121-2dupA <sup>1)</sup>	Paternal	Unilateral POCE	H	+	-	-	+	-	FCD
5	2m	F	c.1835G>A (p.Gly612Asp)	ND	Bilateral SCZ, calcification, thin CC, thin brain stem, cerebellar atrophy, absence of SP, hemosiderin deposition, multicystic encephalomalacia,	Q	+	Optic nerve hypoplasia	-	+	+	HA
6	7y	M	c.2931dupT (p.Gly978TrpfsX15)	Paternal	Unilateral POCE	H	+	-	-	+	+	
7	12y	F	c.1382-1G>C <sup>2)</sup>	ND	Unilateral POCE	H	+	-	-	+	+	Myopathy
8	10y	M	c.4887C>A (p.Tyr1629X)	de novo	Unilateral POCE	H	+	-	Hematuria	+	-	
9	3m	F	c.4843G>A (p.Glu1615Lys)	ND	Bilateral POCE, calcification, hypoplastic CC, hemosiderin deposition, thin	Q	+	Microphthalmia Corneal opacity	-	+	-	VSD, HA

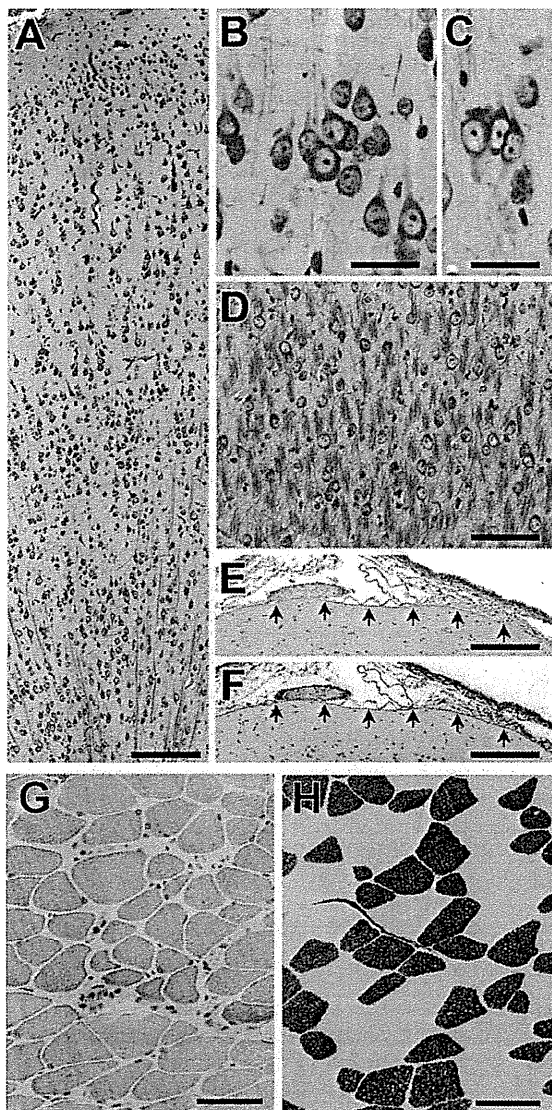
TABLE (Continued)

Cases	Age	Sex	Mutation	Inheritance	Brain MRI/ CT findings	CP	Epi	Ocular features	Family history	ID	Hyper-CK	Other
					brain stem, cerebellar atrophy, multicystic encephalomalacia							
10	2y7m	F	c.1963G>A (p.Gly655Arg)	Paternal <sup>3)</sup>	Bilateral POCE	Q	+	-	POCE, Epi	+	-	-
11	1y	F	c.2608G>A (p.Gly870Arg)	ND	Unilateral POCE, calcification	Q	+	Congenital cataract	-	+	-	-
12	1y5m	M	c.3245G>A (p.Gly1082Glu)	ND	Unilateral SCZ with bilateral POCE, calcification, cerebellar hypoplasia	T	+	Congenital cataract	-	+	-	HA, Hematuria
13	3y7m	M	c.2689G>A (p.Gly897Ser)	de novo	Unilateral POCE	Q	+	-	-	+	+	-
14	9m	F	c.1990+1G>A	de novo	Unilateral POCE, hemosiderin deposition	Q	+	-	-	+	+	HA, Hematuria
15	2y	F	c.3122G>A (p.Gly1041Glu)	ND	Unilateral SCZ, hemosiderin deposition	Q	+	-	-	+	+	HA

1) p.Gly374\_Asn429delinsAsp change was predicted by mRNA analysis  
2) Two alternative protein changes were predicted by mRNA analysis: p.Gly461\_Gly489delinsValHisCysGlyAspPheTrpSerHisValThrArg and p.Gly461ValfsX31. y, years; m, months; M, male; F, female; ND, Not determined; POCE, porencephaly; SCZ, schizencephaly; CC, corpus callosum; SP, septum pellucidum; CP, cerebral palsy; H, hemiplegia; T, Triplegia; Q, quadriplegia; Epi, epilepsy; ID, intellectual disability; CK, creatine kinase; FCD, Focal cortical dysplasia; HA, Hemolytic anemia; VSD, ventricular septal defect  
3) Co-segregation of the p.Gly655Arg mutation with porencephaly was confirmed.



**FIGURE 2:** Computed tomography (CT) scan (A, D) and magnetic resonance imaging (MRI; B, C, E-L) of patients with *COL4A1* mutations. (A–C) Images of patient 1. (A) The CT scan shows calcification along with the dilated lateral ventricular wall. (B) T2-weighted and (C) T1-weighted images (WIs) at 5 years of age showing bilateral porencephaly. (D) The CT image of patient 2 with schizencephaly shows calcification of the lateral ventricular wall and brain parenchyma. (E, F) T1-WIs of patient 3 show unilateral schizencephaly at 15 months of age. (G) T2-WI of patient 4 at 3 years of age shows parenchymal defect of the left thalamus and basal ganglia due to subependymal hemorrhage. (H) Fluid-attenuated inversion recovery image of patient 7 at 6 years of age showing unilateral porencephaly. (I) T2-WI, (J) T2\*-weighted gradient-echo image (WGRE), and (K) T1-WI of patient 9. (I) The MRI at 2 months of age shows bilateral porencephaly with low-intensity lesions along with a deformed ventricular wall, which has hemosiderin deposition and calcification. (J) T2\*-WGRE showing hemosiderin deposition in the atrophic cerebellum. The atrophic pontocerebellar structures are also shown in (K). (L) T1-WI of patient 15 showed schizencephaly in the left hemisphere at 2 years of age.



**FIGURE 3:** Histopathological features of the resected frontal tissue of patient 4 (A–F) and biopsied rectus abdominis muscle of patient 7 (G, H). (A) Low-magnification view of the cortex showing architectural abnormalities. (B, C) Two examples of neuronal clustering. (D) Many neurons scattered within the subcortical white matter. (E, F) Two serial sections demonstrating the superficial layer of the cortex. Note that the basal lamina of the pia mater (arrows in each panel) is continuously labeled with antibodies against collagen type IV (E) and laminin (F). (A–D) Klüver–Barrera stain. (E, F) Immunostained and then counterstained with hematoxylin. (G) Hematoxylin and eosin staining showing variation in fiber size, slightly increased endomysial connective tissue, and internal nuclei. (H) Adenosine triphosphatase (pH 4.5) staining showing type 2B fiber deficiency. There was no increase in number of type 2C fibers. Scale bars indicate 175 $\mu$ m (A, E, F), 30 $\mu$ m (B, C), 80 $\mu$ m (D), and 30 $\mu$ m (G, H).

would cause a truncation of the NC1 domain rather than mRNA degradation by NMD as the mutation was located within 50bp of the exon–intron boundary of the

second to last exon (exon 51).<sup>26</sup> The NC1 domains are the sites for molecular recognition through which the stoichiometry of chains in the assembly of triple-helical formation is directed<sup>1</sup>; therefore, these 2 mutations may alter the assembly of the collagen IV  $\alpha1\alpha1\alpha2$  heterotrimers. In addition, the effect of 2 splice site mutations was examined using LCL, suggesting that in-frame deletion/insertion mutant protein should be produced. Thus, it is highly likely that impairment of the collagen IV  $\alpha1\alpha1\alpha2$  heterotrimer assembly caused by mutant  $\alpha1$  chain is a common pathological mechanism of *COL4A1* mutations. The c.2931dupT mutation found in patient 6 and his father might cause severe truncation of *COL4A1* protein. It is possible that the truncation of *COL4A1* protein can also impair  $\alpha1\alpha1\alpha2$  heterotrimer assembly similar to substitutions of conserved Gly residues in the Gly-X-Y repeat. Alternatively, the mutant transcript might undergo NMD, and haploinsufficiency of *COL4A1* might cause a weakness of basement membrane. Biological analysis using patients' cells will clarify these possibilities.

*COL4A1* mutations in schizencephaly were first demonstrated in this study. Schizencephaly was used by Yakovlev and Wadsworth in 1946 to describe true clefts formed in the brain as a result of failure of development of the cortical mantle in the zones of cleavage of the primary cerebral fissures.<sup>19</sup> Schizencephaly is differentiated from clefts in the central mantle that arise as the result of a destruction of the cerebral tissues, which they called encephaloclastic porencephalies, now known simply as porencephaly.<sup>19</sup> Schizencephaly has been understood as a neuronal migration disorder, because the clefts are lined by abnormal gray matter, described as polymicrogyria. Conversely, porencephaly is understood to be a postmigration accident resulting in lesions, without gray matter lining the clefts or an associated malformation of cortical development. It has been suggested that both schizencephaly and porencephaly are caused by encephaloclastic regions, and can be distinguished depending on time of insult.<sup>16,17</sup> The present study clearly demonstrated that *COL4A1* mutations caused both porencephaly and schizencephaly, supporting the same pathological mechanism for these 2 conditions.

The genes responsible for FCD have been elusive, despite extensive investigation. The pathological features of the cortical tubers of tuberous sclerosis (TSC) may be indistinguishable from those of FCD. Apart from FCD due to TSC, there is only 1 gene that may explain the genetic basis of FCD, where a homozygous mutation in *CNTNAP2* has been identified in Amish children with FCD, macrocephaly, and intractable seizures.<sup>27</sup> Surprisingly, the present study discovered a patient with FCD

and porencephaly, in whom aberrant splicing was demonstrated and FCD1A was pathologically confirmed using resected brain tissues. A recent report revealed *COL4A1* mutations in 2 patients with MEB/WWS showing cobblestone lissencephaly,<sup>15</sup> and abnormal cortical development has been observed in mouse models of *COL4A1* mutations.<sup>15,28</sup> Thus, it is possible that *COL4A1* mutations are involved in cerebral cortical malformations, including FCD. Identification of a greater number of cases is required to confirm the association between *COL4A1* mutations and cortical malformations in humans.

In a few children, the sequelae were much more severe than would be expected on the basis of their imaging findings. This is of importance when counseling parents with regard to prediction of neurodevelopmental outcome.

Two patients with *COL4A1* mutations showed intracranial calcification, pontocerebellar atrophy, ocular abnormalities, and hemolytic anemia associated with severe bilateral porencephaly (patient 9) or schizencephaly (patient 5). Severe hemorrhagic destructive lesions in the cerebrum were observed in these patients, and T2\* images also showed hemorrhage in the cerebellum, which may have resulted in a thin brainstem and severe cerebellar atrophy. Thus, these 2 patients could be considered as the most severe manifestations affecting the developing brain and eyes. A common feature of the 2 patients is hemolytic anemia of an unknown cause, which required frequent blood transfusions. Five of 15 patients with *COL4A1* mutations showed hemolytic anemia. Interestingly, 2 reports have demonstrated that mouse *Col4a1* mutants showed a significant reduction in red blood cell (RBC) number and hematocrit.<sup>28,29</sup> Given that *Col4a1* mutations lead to hemorrhage, chronic hemorrhage is possibly involved in RBC loss. Alternatively, the *Col4a1* mutation may directly affect blood progenitor cells, as they transmigrate across basement membranes before entering the peripheral blood.<sup>30</sup> Hemolytic anemia in patients with *COL4A1* mutations would imply the latter explanation. Further studies are required to clarify how *COL4A1/Col4a1* mutations are involved in anemia.

In summary, we found 15 mutations in *COL4A1* among 71 patients with porencephaly or schizencephaly, showing an unexpectedly high percentage of mutations (about 21%) in these patients. Fourteen patients with *COL4A1* mutations had no family history of cerebral palsy. The 15 patients with *COL4A1* mutations showed a variety of phenotypes, further expanding the possible clinical spectrum of *COL4A1* mutations to include schizencephaly, FCD, pontocerebellar atrophy, and hemolytic anemia. Genetic testing for *COL4A1* should be

recommended for children with porencephaly and schizencephaly.

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## Authorship

Y.Y. and K.H. contributed equally to this work.

## Potential Conflicts of Interest

Nothing to report.

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## Reply

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We thank Drs Jellinger and Attems for their interest in our study. In agreement with prior reports, we found that Parkinson disease (PD) pathology, including nigral neuronal loss and Lewy body pathology, is common in older adults without PD. Furthermore, we provide evidence that PD nigral pathology is related to parkinsonian motor signs in persons without a clinical diagnosis of PD.<sup>1</sup> This contrasts with prior studies of incidental Lewy body disease, which found associations with subtle electrophysiologic changes but not with overt motor signs.<sup>2</sup> Interestingly, in the current study, we also found that Alzheimer disease (AD) and cerebrovascular pathology showed independent associations with the severity of parkinsonian motor signs.<sup>1</sup> As requested, the correlations among these common brain pathologies are included in the accompanying Table. It is interesting that Dr Attems and colleagues did not find an association of nigral pathology or cerebrovascular disease with parkinsonian signs among persons with AD.<sup>3</sup> We and others have reported such associations.<sup>4–6</sup> Overall, the findings in the current study have important public health implications. They suggest that mild parkinsonian signs, reported in up to 50% of older adults by age 85 years and associated with significant morbidity and mortality, may be caused by a range of pathologies including PD pathology, AD, and cerebrovascular pathologies. These data underscore the need for more sensitive clinical measures and biomarkers that can detect and differentiate the various neuropathologies underlying the development of parkinsonian signs in old age.

## Potential Conflicts of Interest

Nothing to report.

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Whole Exome Sequencing Identifies *KCNQ2* Mutations in Ohtahara Syndrome

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Recently, Weckhuysen et al revealed that *KCNQ2* mutations are involved in a substantial proportion of patients with a neonatal epileptic encephalopathy.<sup>1</sup> Some cases showed a suppression-burst pattern on electroencephalogram (EEG), tonic seizures, and profound intellectual disability, resembling Ohtahara syndrome (OS). By whole exome sequencing analysis of 12

TABLE: Intercorrelation of Postmortem Indices

Index	Macroinfarcts	Microinfarcts	Arteriolosclerosis	AD Pathology	Nigral Lewy Bodies
Nigral neuronal loss	0.07, 0.068	0.02, 0.628	0.13, <0.001	0.14, <0.001	0.38, <0.001
Macroinfarcts	—	0.39, 0.056	0.26, <0.001	0.09, 0.017	−0.063, 0.072
Microinfarcts		—	0.15, <0.001	0.04, 0.315	−0.10, 0.075
Arteriolosclerosis			—	0.03, 0.385	0.03, 0.491
AD pathology				—	0.07, 0.052

Based on Spearman or tetrachoric correlation and *p* value.



TABLE: Summary of the Clinical Features of Subjects with KCNQ2 Mutations

Case #	Mutation	Sex	Age	Age at Onset, Symptoms Days	Initial Epileptic Attacks	Initial EEG	Age at Onset of SB Pattern, Days	Response to Therapy	Other Drugs Used, but Ineffective	Development	Neurological Examination	Involuntary Movement		
1469	c.1010C>G (p.A337G) de novo	M	7 years	7	Vomiting	7 days, tonic seizure	SB	22	Seizure free and SB on EEG, disappeared after high-dose PB, CPS since age 5 years	B6, ZNS	No meaningful words, able to crawl, stand with support	Severe MR, no pyramidal signs	No	
1654	c.341C>T (p.T114I) de novo	F	7 years	0	Tremor of the upper extremities	2 days, generalized convulsion with cyanosis	SB	2	Seizure free after ZNS, CPS since age 5 years	B6, CZP, PHT	DQ 10, bed-ridden, smiling	Profound MR, spastic quadriplegia	No	
1754	c.794C>T (p.A265V) de novo	M	3 months	1	Apneic spell	1 days, tonic spasms with right opsoclonuslike movement	SB	1	2	Intractable	B6, ZNS, VPA, CZP, CBZ	Delayed, no eye pursuit	Unknown	Myoclonus at the bilateral upper extremities

B6 = vitamin B6; CBZ = carbamazepine; CPS = complex partial seizures; CZP = clonazepam; DQ = developmental quotient; EEG = electroencephalogram; MR = mental retardation; PB = phenobarbital; PHT = phenytoin; SB = suppression-burst; VPA = valproic acid; ZNS = zonisamide.

patients with OS, we found 3 missense mutations in *KCNQ2* (25%): c.341C>T (p.T114I), c.1010C>G (p.A337G), and c.794C>T (p.A265V) in 3 patients. All 3 patients showed initial seizures early in the neonatal period and a characteristic suppression-burst pattern on EEG, leading to diagnosis as OS (Table). Seizures were temporarily well controlled in 2 patients. Consistent with Weckhuysen's report, in which 6 of 8 mutations arose de novo, the 3 mutations in our series are de novo changes. Thus, it is likely that de novo *KCNQ2* mutations are among the common causes of early onset epileptic encephalopathies, including OS. *KCNQ2* mutations have been shown to cause benign familial neonatal seizures, which is distinct from OS.<sup>2,3</sup> We unexpectedly found *KCNQ2* mutations by whole exome sequencing. Exome sequencing using familial trios (patients and their parents) can identify de novo mutations.<sup>4</sup> Novel associations between unexpected gene mutations and early onset epileptic encephalopathies may be validated by such new technologies.

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## Potential Conflicts of Interest

Nothing to report.

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### Brain Death in Children: Why Does It Have to Be So Complicated?

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The authors appreciate the editorial comments by Wijdicks and Smith<sup>1</sup> and would like to address concerns about why the diagnosis of brain death in pediatric patients has to be “so complicated.”

This revised clinical guideline focused specifically on determining brain death and deliberately excluded issues related to ethical concerns and organ donation. Failure to mention the Child Neurology Society (CNS) as the third sponsoring society of this guideline is a major oversight of the editorial.<sup>1</sup> CNS provided significant review by Practice Committee members and the society’s Executive Board.<sup>2</sup> The quality of evidence provided in this guideline was equivalent to, if not more comprehensive than, the revised American Academy of Neurology (AAN) guideline, which reported only class III or IV evidence for 4 of 5 questions posed.<sup>3</sup> We used the GRADE system to develop a consensus guideline because no class I or II studies to determine pediatric brain death exist.<sup>2</sup> Interestingly, the AAN is currently revising guideline development for practicing neurologists to use a modification of the GRADE system.

A wide range of clinical entities can result in brain death in newborns, children, and adolescents. The guideline, the checklist, and Table 3 clearly state that all reversible conditions should be excluded prior to the first brain death examination. However, some uncertainty in the newborn period still exists leading to age-based observation periods. These consensus based recommendations reflect extensive clinical experience across several pediatric disciplines. Additionally, provisions for pediatric trauma patients and neonates were included. Virtually every committee member has cared for acutely injured children who met examination criteria for brain death within the initial 24 hours. Some recovered brain function although most did not which is why 2 examinations over defined time periods is recommended. The recommended time periods are consensus based rather than arbitrary time periods. Neurologic examination findings remaining unchanged and consistent with brain death throughout the observation period was one of the recommended criteria for determining brain death in the 1987 guidelines. The committee retained this recommendation in the current update. We agree that apparent neurologic improvements reported in anecdotal cases are due to diagnostic errors when critically examined; this is precisely the reason why a change in findings between examinations implies the neurological process is potentially reversible, precluding the diagnosis of brain death.

The revised guideline repeatedly states that brain death is a clinical diagnosis, and factors influencing the neurologic

examination must be corrected before initiating brain death evaluation and apnea testing. Ancillary studies do not trump the neurological examination, and we clearly state that ancillary studies should not be viewed as a substitute for the neurologic examination. However, situations exist where ancillary studies are helpful to determine death. The revised guideline and checklist have simplified and clarified many previous sources of confusion. Additionally, the checklist will help standardize determination and documentation of brain death in children.<sup>4</sup>

Prolonging declaration of death does not appear to be a major concern in children—perhaps differing from the experience in adults. Families appreciate the added certainty conferred by the second examination. Patients in children’s hospitals rely on assessments by pediatric specialists who understand the unique needs of children and their families. The approach to caring for children is very different and likely more family centered. These issues are further addressed in the full guideline and we encourage readers to review the entire document published in *Critical Care Medicine and Pediatrics*.<sup>2,5</sup>

Declaring brain death in children is complicated and should be undertaken by physicians who are adequately trained in the complexities involved in this important determination. We agree more research is needed to address some of the other issues raised in the editorial, and we again thank Drs Wijdicks and Smith for their opinion.

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### Potential Conflicts of Interest

Nothing to report.

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## FULL-LENGTH ORIGINAL RESEARCH

# CASK aberrations in male patients with Ohtahara syndrome and cerebellar hypoplasia

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### SUMMARY

**Purpose:** Ohtahara syndrome (OS) is one of the most severe and earliest forms of epilepsy. *STXBPI* and *ARX* mutations have been reported in patients with OS. In this study, we aimed to identify new genes involved in OS by copy number analysis and whole exome sequencing.

**Methods:** Copy number analysis and whole exome sequencing were performed in 34 and 12 patients with OS, respectively. Fluorescence in situ hybridization, quantitative polymerase chain reaction (PCR), and breakpoint-specific and reverse-transcriptase PCR analyses were performed to characterize a deletion. Immunoblotting using lymphoblastoid cells was done to examine expression of CASK protein.

**Key Findings:** Genomic microarray analysis revealed a 111-kb deletion involving exon 2 of *CASK* at Xp11.4 in a male patient. The deletion was inherited from his mother, who was somatic mosaic for the deletion. Sequencing of the mutant transcript expressed in lymphoblastoid cell

lines derived from the patient confirmed the deletion of exon 2 in the mutant transcript with a premature stop codon. Whole exome sequencing identified another male patient who was harboring a c.1A>G mutation in *CASK*, which occurred de novo. Both patients showed severe cerebellar hypoplasia along with other congenital anomalies such as micrognathia, a high arched palate, and finger anomalies. No CASK protein was detected by immunoblotting in lymphoblastoid cells derived from two patients.

**Significance:** The detected mutations are highly likely to cause the loss of function of the CASK protein in male individuals. CASK mutations have been reported in patients with intellectual disability with microcephaly and pontocerebellar hypoplasia or congenital nystagmus, and those with FG syndrome. Our data expand the clinical spectrum of CASK mutations to include OS with cerebellar hypoplasia and congenital anomalies at the most severe end.

**KEY WORDS:** CASK, Ohtahara syndrome, Male, Cerebellar hypoplasia.

Ohtahara syndrome (OS), also known as early infantile epileptic encephalopathy with suppression-burst, is one of the most severe and earliest forms of epilepsy (Ohtahara et al., 1976). It is characterized by early onset of seizures, typically frequent epileptic spasms, seizure intractability, characteristic suppression-burst patterns on electroencephalography (EEG), and poor outcome with severe psychomotor retardation (Djukic et al., 2006; Ohtahara & Yamatogi, 2006). Brain malformations such as cerebral dysgenesis, hemimegalencephaly, Aicardi syndrome, and porencephaly

are often associated with OS (Yamatogi & Ohtahara, 2002). However, mutations of the *ARX* and *STXBPI* gene have been reported in individuals with OS who showed no brain malformations, indicating that mutated genes are involved in OS (Kato et al., 2007, 2009; Fullston et al., 2010; Giordano et al., 2010; Saito et al., 2008, 2010).

*CASK* (Genbank accession number NM\_003688.3) at Xp11.4 encodes a calcium/calmodulin-dependent serine protein kinase of 921 amino acids belonging to the membrane-associated guanylate kinase protein family (Hsueh, 2006). Accumulating evidence indicates that CASK is essential for synapse formation at both presynaptic and postsynaptic junctions. In addition, CASK enters the nucleus and regulates expression of genes involved in cortical development (Hsueh, 2006). Recently, heterozygous loss-of-function mutations in *CASK* were found in four female patients with X-linked intellectual disability (ID);

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microcephaly and pontocerebellar hypoplasia (MICPCH) and a hemizygous synonymous c.915G>A mutation, which caused skipping of exon 9 of *CASK* in about 20% of the mutant transcripts, was found in a male patient with the same disease and presentation (Najm et al., 2008). To date, 32 additional female cases have been reported, suggesting that ID, MICPCH, growth retardation, axial hypotonia with or without hypertonia of extremities, and optic nerve hypoplasia are caused by loss-of-function mutations of *CASK* in female cases (Moog et al., 2011; Hayashi et al., 2012). On the other hand, a missense mutation causing a partial skipping of exon 2 of *CASK* was found in affected male individuals in an Italian family with FG syndrome, which is characterized by multiple congenital anomalies and ID (Piluso et al., 2009). More recently, five missense mutations and a splice mutation, causing amino acid changes or in-frame deletions of the *CASK* protein, were found in male patients and variably affected carrier female patients with ID, often accompanied by congenital nystagmus (Tarpey et al., 2009; Hackett et al., 2010). Therefore it has been postulated that hypomorphic *CASK* alleles cause ID in male individuals. Collectively, mutations of *CASK* could cause a wide spectrum of ID, ranging from nonsyndromic mild ID to syndromic severe ID with structural brain abnormalities in both male and female patients.

Herein, we report on two male patients with OS, cerebellar hypoplasia, and multiple congenital anomalies. One patient had a *CASK* deletion and the other had a mutation at the translation initiation codon, both likely leading to a loss of *CASK* function. Detailed clinical and molecular data are presented.

## METHODS

### Patients

A total of 34 Japanese patients (20 male and 14 female) with OS were analyzed for copy number aberrations. Twelve of them were additionally analyzed by whole exome sequencing. The diagnosis was made based on clinical features and characteristic patterns on EEG. Mutations in *STXBPI* were not identified in these patients (including Patients 1 and 2) by high-resolution melting analysis. Thirteen male patients, including Patient 1, and three female Patients were negative for *ARX* mutation. The experimental protocols were approved by the Yokohama City University School of Medicine Institutional Review Boards for Ethical Issues. Written informed consent was obtained from all individuals and/or their families in compliance with the relevant Japanese regulations.

### Genomic microarray and cloning of deletion breakpoint

Genomic DNA obtained from peripheral blood leukocytes was used. Copy number alterations were studied by using Cytogenetics Whole-Genome 2.7M Array (Affymetrix, Santa Clara, CA, U.S.A.) for 30 patients and GeneChip

Human Mapping 250K NspI (Affymetrix) for four patients. Copy number alterations were analyzed using the Chromosome Analysis Suite (ChAS; Affymetrix) with NA30.1 (hg18) annotations (for 2.7M Array) or using CNAG2.0 (for 250K) (Nannya et al., 2005). The junction fragment spanning the deletion was amplified by long polymerase chain reaction (PCR), using several primer sets based on putative breakpoints from the microarray data. The junction fragment was amplified using following primers: forward, 5'-ACCCAGCGTTTCACCAAGGTCTCT-3'; reverse, 5'-GTGGCTTCAGAATTAGGCCACAAA-3' (product size = 1,136 bp). PCR products were electrophoresed in agarose gels, stained with ethidium bromide, extracted from the gels using a QIAquick Gel extraction kit (Qiagen, Tokyo, Japan), and sequenced.

### Quantitative real-time PCR

The deletion of *CASK* was analyzed using the patient's and parental genomic DNA by quantitative real-time PCR (qPCR) on a Rotor-Gene Q thermal cycling system (Qiagen). DNA extracted from two independent blood samples each from the patient and mother were used for analysis. PCR was performed in a volume of 15  $\mu$ l containing 10 ng of genomic DNA, 1 $\times$  Rotor-Gene SYBR Green PCR Master Mix (Qiagen), and 1.0  $\mu$ M each primer. qPCR was carried out using the two standard curve relative quantification method with four standard samples including 30, 10, 3.33, and 1.11 ng DNA, respectively. Three primer sets for exons 2, 3, and 4 of *CASK*, and one reference primer set for an area on chromosome 9 were used. Relative copy number of test regions was calculated in comparison with that of the reference region. The experiments were independently repeated three times. The data were averaged, and the standard deviation was calculated. Primer information is available on request.

### Fluorescent in situ hybridization (FISH)

RP11-977L20 covering the deletion of *CASK* was labeled with SpectrumGreen -11-dUTP (Abbott, Tokyo, Japan) by nick translation. Probe-hybridization mixtures (15  $\mu$ l) were denatured at 70°C for 5 min, applied to chromosomes, incubated at 37°C for 20 h, and then washed and mounted with antifade solution (Vector Laboratories, Burlingame, CA, U.S.A.) containing 4,6-diamidino-2-phenylindole. Photographs were taken on an AxioCam MR Charge Coupled Device camera fitted to an Axioplan2 fluorescence microscope (Carl Zeiss, Tokyo, Japan). The mosaic ratio was examined by two independent investigators, who each counted 100 interphase nuclei.

### RNA analysis

RNA analysis using lymphoblastoid cell lines was performed as described previously (Saitsu et al., 2011). Briefly, total RNA was extracted using an RNeasy Plus Mini Kit (Qiagen); 2  $\mu$ g of total RNA was subjected to reverse transcription, and 1  $\mu$ l of cDNA was used for PCR.

Primer sequences are ex1-F (5'-ATGTGTACGAGCTGTGCGAGGTGAT-3') and ex4-R (5'-AGCGTCAGCTCGCTTACGATTTC-3'). Two separately extracted RNA samples were used in each duplicated experiment. The DNA in each PCR band was purified using a QIAquick Gel extraction kit (Qiagen) and sequenced.

### Whole exome sequencing

DNAs were captured using the SureSelect<sup>XT</sup> Human All Exon 50 Mb Kit (Agilent Technologies, Santa Clara, CA, U.S.A.) and sequenced with one lane per sample on an Illumina GAIIX platform (Illumina, San Diego, CA, U.S.A.) with 108-bp paired-end reads. Image analysis and base calling were performed by sequence control software real-time analysis and CASAVA software v1.7 (Illumina). A total of 94,106,348 paired-end reads were obtained for Patient 2 and aligned to the human reference genome sequence (GRCh37/hg19) using MAQ (Li et al., 2008) and NextGENe software v2.00 with sequence condensation by consolidation (SoftGenetics, State College, PA, U.S.A.). Single nucleotide variants (SNVs) were called using MAQ and NextGENe. Small insertions and deletions were detected using NextGENe. Called SNVs were annotated with SeattleSeq Annotation. The number of variants identified by exome sequencing in Patient 2 is shown in Table S1.

### Immunoblotting

Lymphoblastoid cells were washed twice in ice-cold phosphate-buffered saline (PBS), and lysed in sodium dodecyl sulfate sample buffer. Samples were size-fractionated by sodium dodecyl sulfate–polyacrylamide gel electrophoresis, transferred to the polyvinylidene fluoride membrane, and analyzed with anti-CASK monoclonal antibody, which is produced by a synthetic peptide corresponding to residues surrounding Glu327 of human CASK protein (1:1,000 dilution, D24B12; Cell Signaling, Tokyo, Japan). Anti-Lamin B polyclonal antibody (1:500 dilution, sc-6217; Santa Cruz Biotechnology Inc., Santa Cruz, CA, U.S.A.) was used as a control. Secondary antibody was peroxidase-conjugated goat anti-rabbit IgG or bovine anti-goat IgG (Jackson ImmunoResearch, West Grove, PA, U.S.A.). Blots were detected using the Supersignal West dura (Pierce, Yokohama, Japan). Chemiluminescence was visualized using a FluorChem 8900 (Alpha Innotech, San Leandro, CA, U.S.A.). Experiments were repeated twice using two separately prepared samples.

## RESULTS

### Clinical information

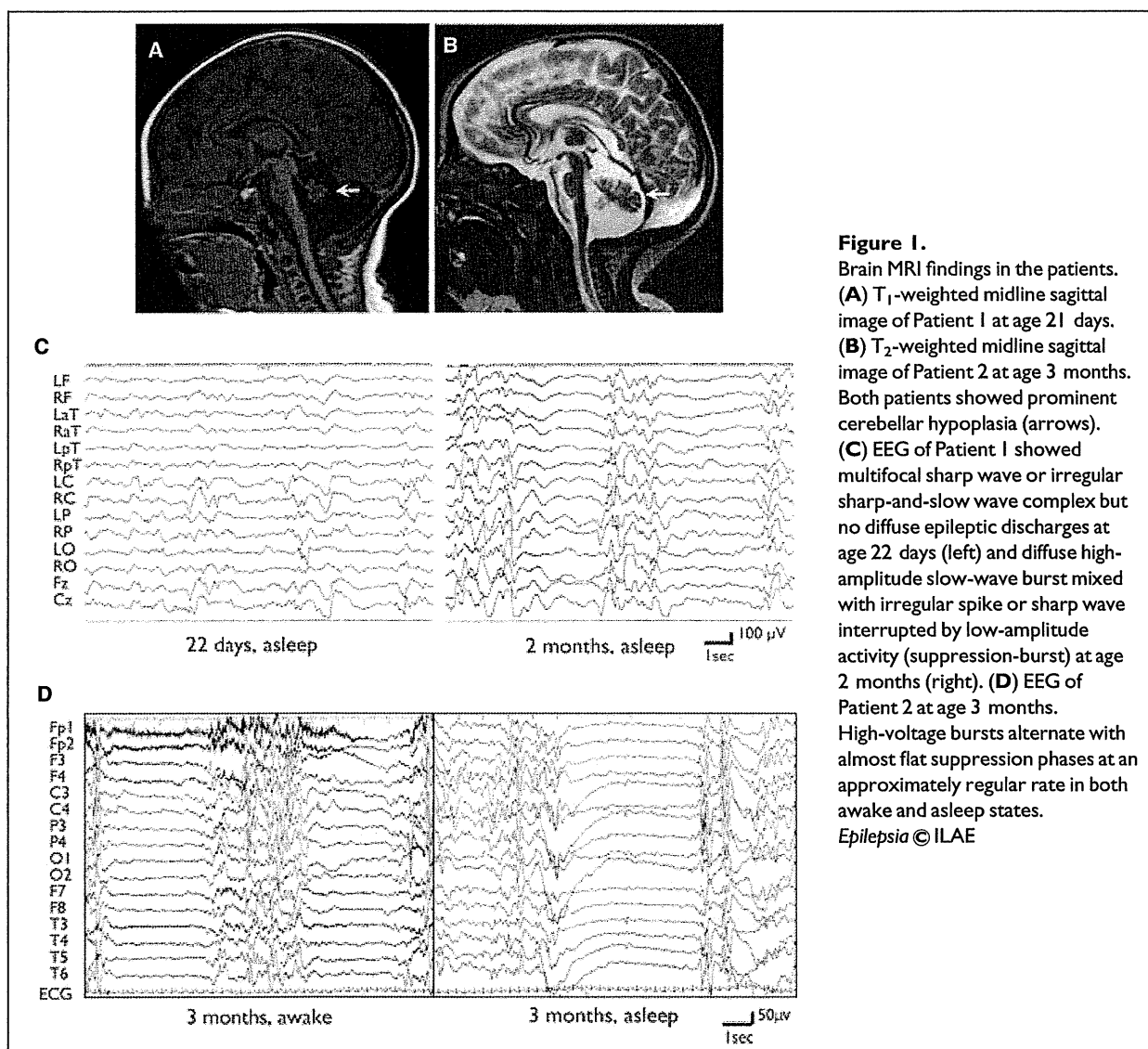
Patient 1 is a 4-year-old boy born to nonconsanguineous parents. The pregnancy was uneventful, and he was born at term (gestational age 41 weeks and 2 days) with induced labor but no asphyxia. His body weight was 2,606 g (−2.0 standard deviation [SD]), his height was 47.5 cm (−1.4 SD),

and his head circumference was 32.2 cm (−1.2 SD). An apneic event with cyanosis, which was not improved by positioning or oxygen inhalation, was evident 2 days after birth. Brain magnetic resonance imaging (MRI) demonstrated prominent cerebellar hypoplasia (Fig. 1A). EEG showed multifocal epileptic discharges with a short period (1 s) of flat basic rhythm (Fig. 1C, left). Phenobarbital was administered at 21 days and was effective for the apneic event. At the age of 2 months, he developed daily clustering of tonic seizures with suppression-burst pattern on both awake and asleep EEG (Fig. 1C, right) and poor feeding. EEG at 5 months demonstrated hypersarrhythmia, which is characteristically seen in West syndrome. He exhibited long slender fingers, micropenis, micrognathia, and a short neck with obstructive respiration, and then required tracheostomy with laryngotracheal separation and gastrostomy. His head circumference was 47.1 cm (−2.7 SD) at 1 years and 4 months. On examination at 4 years, he was bedridden and unable to track objects. Tonic seizures lasting 10–30 s several times a day and frequent myoclonic seizures were seen regardless of treatment with phenobarbital, pyridoxal phosphate, zonisamide, clobazam, and lamotrigine. EEG during sleep at 3 years of age demonstrated multifocal sharp and slow-wave complexes and diffuse low-voltage fast-wave bursts or a desynchronization pattern.

Patient 2 is a 4-year-old boy born to nonconsanguineous parents. He was born at 39 weeks of gestation without asphyxia after uneventful pregnancy. His body weight was 2,000 g (−3.3 SD), his height was 43.0 cm (−2.8 SD), and his head circumference was 29.5 cm (−2.7 SD). He was poorly fed with milk and referred to us at 27 days after birth. Multiple anomalies were recognized such as micrognathia, high arched palate, shortened upper arms, bilateral overlapping fingers and clinodactyly, and persistent hypertrophic primary vitreous. He underwent ophthalmic surgery at 33 days after birth. Brain MRI demonstrated prominent cerebellar hypoplasia (Fig. 1B). At 3 months of age, he showed frequent generalized tonic seizures, and EEG showed a suppression-burst pattern in both awake and asleep states (Fig. 1D). He showed normal auditory brain responses. Laboratory data, including lactate, pyruvate, and very long fatty acids, were all normal. Phenobarbital was initiated and only partially effective for his seizures. Topiramate, clobazam, and sodium bromide were added, and seizure frequencies were decreased from daily to weekly. His development was severely delayed with no head control or eye pursuit. His deep tendon reflexes are exaggerated, with positive bilateral Babinski signs. He shows muscle hypertonus with rigidity of both upper and lower limbs.

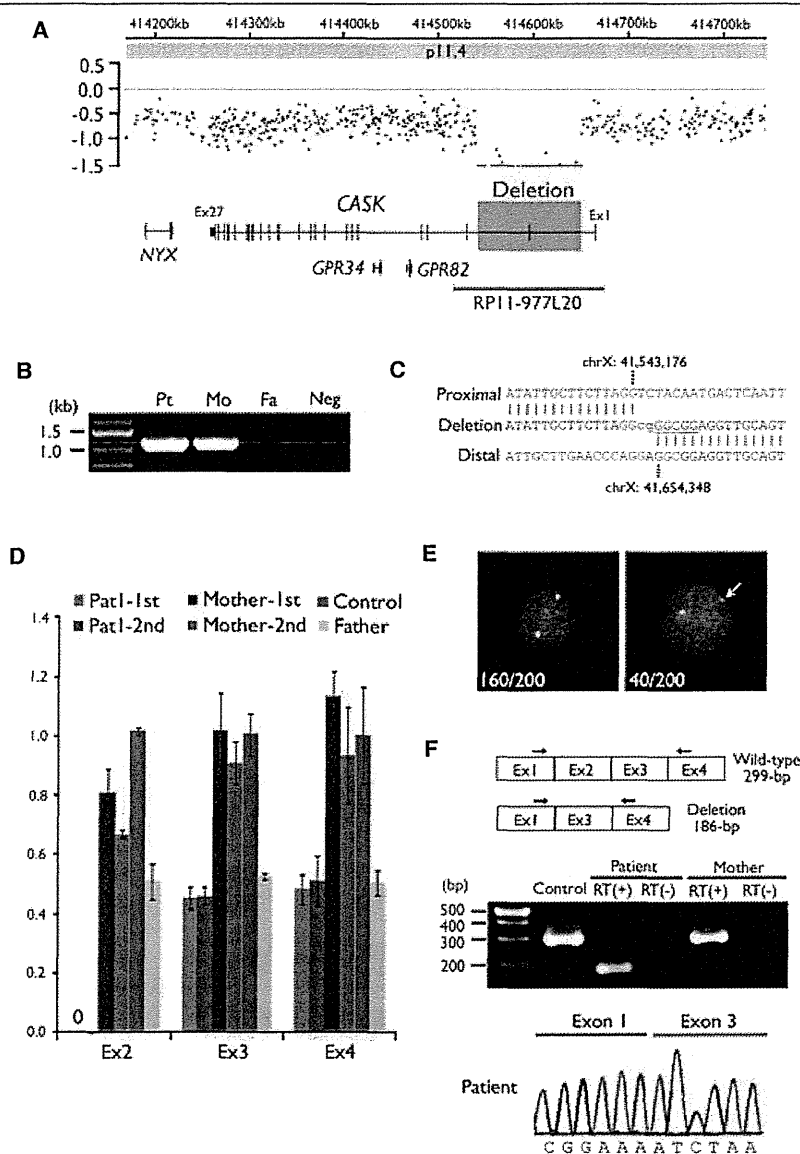
### Copy number analysis

Through screening for copy number alterations by genomic microarray analysis, we identified an approximately 110-kb microdeletion involving exon 2 of *CASK* at Xp11.4 in Patient 1 (Fig. 2A). Breakpoint-specific PCR analysis of



the family showed that the deletion was inherited from his mother (Fig. 2B). The sequence of the junctional fragment confirmed a 111,172-bp deletion (NG\_016754.1: g.17883\_129055del) (Fig. 2C). Sequencing also identified 5-bp duplicated sequences as well as a 2-bp insertion at the deletion junction. We were surprised that the healthy mother possessed this deletion, because the deletion is predicted to lead to a frameshift with presumably premature termination of the translation. The deletion was further examined by qPCR and FISH analyses. Whereas the relative copy numbers of exons 3 and 4 (not deleted) were nearly 1.0 in the two maternal DNA samples, as expected, those for deleted exon 2 in the two samples were 0.67 and 0.81 (Fig. 2D). Because the relative copy number is expected to be 0.5 if one of two copies is deleted (as the healthy father showed), this result suggested that the mother may be

somatic mosaic for the deletion. In fact, FISH analysis revealed that only 40 of 200 interphase nuclei showed one clear signal and another weaker signal, consistent with partial deletion within the bacterial artificial chromosome probe (Fig. 2E). Based on these findings, we concluded that the mother is somatic mosaic for the deletion, and that the percentage of mosaicism is approximately 20%. To explore the effect of the deletion on the transcription of *CASK*, reverse transcriptase PCR designed to amplify exons 1–4 was performed using total RNA extracted from lymphoblastoid cell lines (LCLs) derived from the patient and his mother (Fig. 2F). A single band (299-bp) corresponding to the wild-type *CASK* allele was amplified using a complementary DNA (cDNA) template from a control LCL (Fig. 2F). By contrast, only a smaller band, in which exon 2 had been deleted, was detected from the patient's cDNA



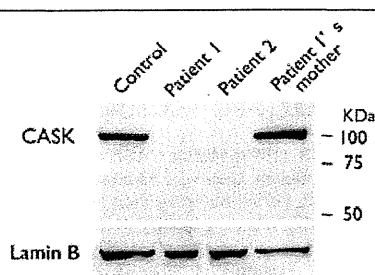
**Figure 2.**

A 1.1-kb deletion involving exon 2 of *CASK*. (A) The 2.7M array profile clearly shows a deletion involving exon 2 of *CASK* at Xp11.4. The x- and y-axes show the genomic location from the p telomere of chromosome X (UCSC coordinates, May, 2006) and  $\log_2$  signal ratio values, respectively. Four RefSeq genes including *CASK* and RPI1-977L20 clone used for FISH are shown. (B) Breakpoint-specific PCR analysis of the family. Primers flanking the deletion were able to amplify a 1,136-bp product from both the Patient I and his mother. Pt, patient; Mo, mother; Fa, Father; Neg, negative control (no template DNA). (C) Deletion junction sequence. Top, middle, and bottom strands show proximal, deleted and distal sequences, respectively. The two nucleotides inserted are presented in lower case. A 5-bp sequence that appears twice at the breakpoint region is colored red or underlined. (D) qPCR analysis of the family, and a female control. Two DNA samples extracted from two independent blood samples were used for analysis of the patient and his mother. Relative copy numbers of deleted exon 2 were 0.67 and 0.81 (both above 0.5) in the mother, suggesting somatic mosaicism of the deletion. (E) FISH images of RPI1-977L20, covering the deletion, on the mother's chromosomes. One-hundred sixty nuclei showed two clear signals (left), and 40 nuclei showed one clear signal and a weaker signal (right, white arrow) consistent with partial deletion within the probe. (F) Schematic representation of the transcript from exons 1–4 of *CASK*. Exons and primers are depicted as boxes and arrows, respectively (top). A single wild-type amplicon was detected in a control and the mother. A smaller product was amplified only from the patient's cDNA. RT (+): with reverse transcriptase, RT (–): without reverse transcriptase as a negative control. Sequence of a smaller amplicon clearly demonstrated the exon 2 deletion (bottom).

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**Figure 4.**

Expression of *CASK* protein in LCL. Immunoblot analysis by using a monoclonal *CASK* antibody (top). Expression of *CASK* protein was not detected in LCL derived from two patients, whereas LCL of a control and Patient 1's mother showed strong *CASK* expression. The observed differences in expression were not due to difference of loading conditions, because the level of Lamin B protein was similar in all cases (bottom).

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mutation. In Patient 1, the deletion is likely to be an almost null mutation as the mutant *CASK* transcript with exon 2 deletion has a frameshift with premature termination. Deletions in *CASK* have been reported in 16 female patients, and a skewed X-inactivation pattern was observed in two of them (the others had random inactivation pattern or not determined) (Froyen et al., 2007; Hayashi et al., 2008; Najm et al., 2008; Moog et al., 2011; Hayashi et al., 2012). Of interest, partial skipping of the exon 2 of *CASK* (approximately 3–6% of the unskipped transcripts) has been reported in male patients with FG syndrome showing ID, relative macrocephaly, hypotonia, severe constipation, and behavioral disturbance (Piluso et al., 2003, 2009). By contrast, our Patient 1 with complete deletion of exon 2 showed a more severe phenotype, suggesting that he showed one of the most severe phenotypes caused by *CASK* abnormalities. In Patient 2, the mutation of the first ATG codon could produce a truncated protein without the amino terminal 67 amino acids. However, this alternative in-frame ATG codon does not conform to the Kozak consensus, suggesting that its translation would be significantly reduced. In fact, *CASK* protein was not detected in the LCL of two patients, suggesting that expression of *CASK* protein should be extremely low. Because only partial skipping of exon 9 (about 20% of the mutant transcripts) (Najm et al., 2008) or of exon 2 (3–6% of the unskipped transcripts) (Piluso et al., 2009) is sufficient to cause ID and other features in male cases, it is likely that the maintenance of expression level of functional *CASK* protein is essential.

Two male patients with *CASK* abnormalities showed typical OS features, revealing an association between OS and *CASK* abnormalities in male patients, which has to date never been shown. Microcephaly and prominent cerebellar hypoplasia were also recognized, consistent with previous

reports (Najm et al., 2008; Moog et al., 2011; Hayashi et al., 2012). Of interest, our patients also showed reduced body size and multiple congenital anomalies such as high arched palate, micrognathia, finger anomalies, and persistent hypertrophic primary vitreous. This suggests that *CASK* may be involved in overall body growth and development of these organs in humans. Supporting this idea, growth retardation and small jaw have been reported in patients with *CASK* abnormalities (Najm et al., 2008; Hackett et al., 2010; Moog et al., 2011). In addition, *CASK*-deficient mice showed micrognathia and cleft palate with male lethality (Lavery & Wilson, 1998), and hypomorphic *CASK* mutant mice are significantly smaller than littermate control mice (Atasoy et al., 2007). Therefore, it is likely that loss-of-function mutations in *CASK* cause reduced body size and multiple congenital anomalies, as well as OS and cerebellar hypoplasia.

The same deletion was found in both the mother and the affected son, indicating a germline mosaicism in the mother associated with recurrence risks. This information is useful for genetic counseling in the family. The maternal somatic mosaicism was confirmed by different methods including FISH, qPCR, and breakpoint-specific PCR analyses. We would like to emphasize the importance of breakpoint-specific PCR analysis, in which a specific band undoubtedly indicates the presence of the deletion allele. Because PCR is a powerful tool for amplifying target sequences, we could easily detect the somatic mosaic, even though it existed in approximately 20% of cells. In addition, it has been reported that PCR analyses of the deletion junction can detect extremely low-level mosaicism not detected by array comparative genomic hybridization (Zhang et al., 2009). The increasing density of available oligonucleotide arrays allows us to design long (or even regular) PCR primers for junctional cloning. Once junctional cloning is successful (though it is sometimes difficult), it is highly useful for examining parental states.

It has been determined that mutations in three genes (*STXBPI*, *ARX*, and *CASK*) cause OS. Screening for *STXBPI* mutations should be considered in OS patients with no brain anomalies in both male and female patients. Screening for *ARX* mutations would be reasonable in male patients with OS, and the presence of micropenis may encourage its screening (Kato et al., 2007). Based on this study, *CASK* mutations should be considered in patients with OS and cerebellar hypoplasia.

In conclusion, we report for the first time *CASK* abnormalities in male individuals with OS. Maternal somatic mosaicism of a *CASK* deletion is also described, suggesting that somatic and germline mosaicism of a microdeletion should be carefully considered in the examination of parental samples. Our data expand the clinical spectrum of *CASK* mutations to include OS with cerebellar hypoplasia and congenital anomalies at the most severe end of clinical presentation.

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## DISCLOSURE

None of the authors has any conflict of interest to disclose. We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

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**Table S1.** All variants identified by exome sequencing in Patient 2.

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## SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

## Mutations affecting components of the SWI/SNF complex cause Coffin-Siris syndrome

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**By exome sequencing, we found *de novo* SMARCB1 mutations in two of five individuals with typical Coffin-Siris syndrome (CSS), a rare autosomal dominant anomaly syndrome. As SMARCB1 encodes a subunit of the SWI/SNF complex, we screened 15 other genes encoding subunits of this complex in 23 individuals with CSS. Twenty affected individuals (87%) each had a germline mutation in one of six SWI/SNF subunit genes, including SMARCB1, SMARCA4, SMARCA2, SMARCE1, ARID1A and ARID1B.**

Chromatin remodeling factors regulate the gene accessibility and expression by dynamic alteration of chromatin structure. SWI/SNF complexes have important roles in lineage specification, maintenance of stem cell pluripotency and tumorigenesis<sup>1–5</sup>. These complexes are composed of evolutionarily conserved core subunits and variant subunits. Brahma-associated factor (BAF) and Polybromo BAF (PBAF) complexes constitute two major subclasses<sup>1–5</sup>. It has been suggested that the BAF complex is similar to the yeast SWI/SNF complex and that the PBAF complex is more like the chromatin remodeling complex (RSC) in yeast, which is required for cell cycle progression through mitosis<sup>6</sup>. However, several subunits that are common

to both BAF and PBAF complexes are predicted to be related to the regulation of lineage- and tissue-specific gene expression<sup>2</sup>.

Coffin-Siris syndrome (MIM 135900) is a rare congenital anomaly syndrome characterized by growth deficiency, intellectual disability, microcephaly, coarse facial features and hypoplastic nail of the fifth finger and/or toe (Fig. 1 and Supplementary Table 1)<sup>7</sup>. The majority of affected individuals represent sporadic cases, which is compatible with an autosomal dominant inheritance mechanism. The genetic cause for this syndrome has not been elucidated.

To identify the genetic basis of CSS, we performed whole-exome sequencing of five typical affected individuals (Supplementary Methods). Taking into account our model that assumes that an abnormality in a causal gene would be shared in two or more subjects, 51 variants were identified as candidates (Supplementary Table 2). All the variants were also examined by Sanger sequencing of PCR products amplified using genomic DNA from the five affected individuals and their parents. Nine variants were found to be false positives, 40 were inherited from either the father or mother, and 2 *de novo* heterozygous mutations of *SMARCB1* were found in 2 affected individuals (c.1130G>A (p.Arg377His) and c.1091\_1093del AGA (p.Lys364del)) (Table 1, Supplementary Fig. 1 and Supplementary Methods). Two *de novo* coding-sequence mutations occurring within a specific gene is an extremely unlikely event<sup>8</sup>, supporting the idea that *SMARCB1* is a causative gene in CSS. Next, we screened *SMARCB1* in 23 individuals with CSS by high-resolution melting analysis<sup>9</sup> and identified the mutation encoding the p.Lys364del alteration in two additional individuals, including one of Arab descent (subject 22) (Table 1 and Supplementary Fig. 1). As the mutation detection rate was relatively low (4 of 23, only 17.4%), we screened 15 additional genes encoding other SWI/SNF subunits (Supplementary Table 3). Unexpectedly, four other subunits, *SMARCA4* (also known as *BRG1*), *SMARCE1*, *ARID1A* and *ARID1B* were also found to be mutated (Table 1 and Supplementary Figs. 2–5). In subject 10, a, c.2144C>T mutation in *ARID1B* (encoding p.Pro715Leu) was found in addition to the c.5632delG mutation in *ARID1B*. RT-PCR products that were amplified from total RNA from this subject's lymphoblastoid cells were cloned into the pCR4-TOPO vector. The two mutations were present on different alleles, according to sequencing of clones containing each allele (data not shown). As the c.5632delG mutation is

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