

Fig. 2. Impact of the monoclonal antibodies on the catalytic activity of ADAMTS13. Dose-dependent inhibitory effects of the monoclonal antibodies were assessed using FRETIS-VWF73 assay. Top left: antibodies to metalloprotease (W688X3-91A) and disintegrin-like (WH2-22-1A, A10) domains. Top right: antibodies to TSP1-1 (W688X3-27) and cysteine-rich/spacer domains (W688X6-1, W688X3-69). Bottom left: antibodies to TSP1-2 to -5 repeats (WHS40-3, WH10, WH2-11-1, WH2-1-1). Bottom right: antibodies to TSP1-6 to -8 repeats (WH63-1, Pep4-5B-1) and CUB (CUB1-3, Cterm20) domains.

the epitopes of three other monoclonal antibodies, W688X3-27, W688X6-1, and W688X3-69, recognizing TSP1-1, cysteine-rich, and spacer domains.

Consequently, we defined the precise epitopes of 11 anti-ADAMTS13 monoclonal antibodies. The results for the monoclonal antibodies from metalloprotease to spacer domains are summarized

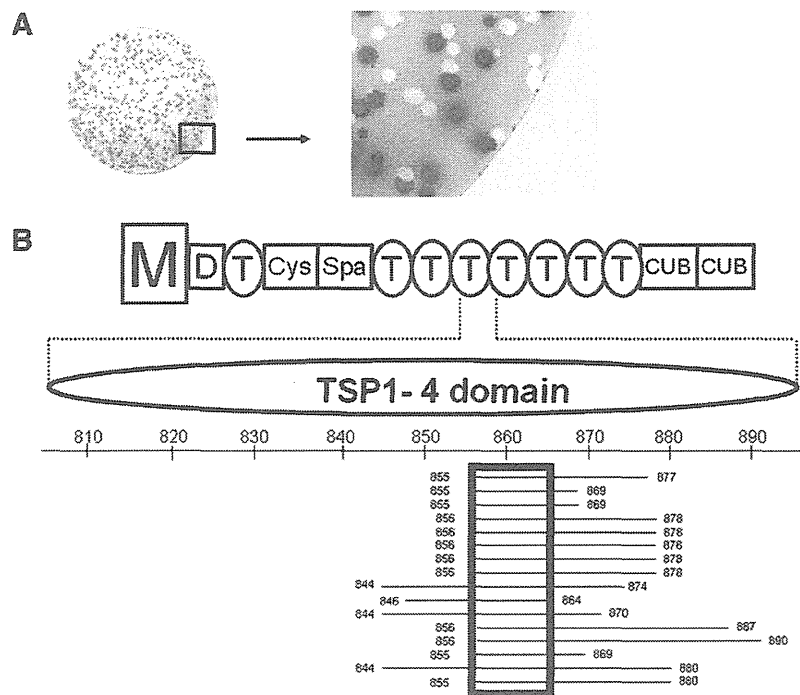


Fig. 3. (A) Immunostaining of each phage clone with the corresponding monoclonal antibody used in the phage library selection. WH2-11-1 is shown as a representative case. AP-conjugated goat anti-mouse antibody was used as a secondary antibody. Positive clones are shown in black. A close-up view is shown in the rectangle. (B) Peptide sequences binding to WH2-11-1. Positive-immunostained phages were picked up and subjected to DNA sequence analysis. The numbers of the first and last amino-acid residues of each clone are shown, thus revealing the epitope as the shared peptide sequence (boxed).

in Fig. 4A, and those from TSP1-2 to CUB domains are shown in Fig. 4B.

Discussion

Cleavage of multimeric VWF under blood flow is strictly controlled by ADAMTS13 using its multi-domain structures. In the present study, we performed epitope mapping of a panel of monoclonal antibodies to ADAMTS13 with or without inhibitory effects on the proteolysis. As a result, we defined 11 epitopes of the monoclonal antibodies and assessed their association with the catalytic activity under static conditions.

Three monoclonal antibodies, W688X3-91A, WH2-22-1A, and A10, showed strong inhibitory effects (Fig. 2, top left). W688X3-91A recognized Gln159-Asp166 on the metalloprotease domain (Fig. 4A), which was likely remote from the active-site cleft or calcium binding sites according to the molecular modeling of ADAMTS1, and -4, -5 [19–21] (Fig.S1). These findings suggest the allosteric effect of the antibody; however, further studies, such as crystal analysis of the metalloprotease domain combined with W688X3-91A, are necessary. WH2-22-1A and A10 recognized Tyr305-Glu327 and Asn308-Glu376 on the disintegrin-like domain (Fig. 4A). The epitope of A10 was somewhat similar to the disintegrin-like domain itself, suggesting that it recognizes the conformational epitope on the structure. According to recent crystal analysis, Tyr305-Glu327, the epitope of WH2-22-1A, is included in the variable loop structure that is thought to be a substrate binding exosite [8] (Fig.S2), indicating that the antibody might interfere with the access of the substrate.

Two monoclonal antibodies, W688X6-1 and W688X3-69, exhibited moderate inhibitory effects (Fig. 2 top right). Both bind to cysteine-rich and spacer domains [12], but the precise epitope sequences were not clarified by our method. These domains include the exosites for the carboxyl-terminal side in the unfolded A2 domain of VWF [8] and the pivotal epitopes of autoantibodies in patients with acquired TTP [5,15,22–29]. Accordingly, the two monoclonal antibodies were thought to interfere with the substrate binding, although their epitopes were so conformational that the phage display system was unable to express them on the surface.

Five monoclonal antibodies, WH10, WH2-11-1, WH2-1-1, WH63-1, Pep4-5B-1, recognizing TSP1-3 to -8 repeats, exhibited weak inhibitory effects on the cleavage of FRET5-VWF73 by less than 50% (Fig. 2 bottom). Previous studies reported that the distal carboxyl-terminal

domains, TSP1 repeats (2–8) and CUB domains, were dispensable for cleaving the unfolded A2 domain of VWF under static conditions, although these domains contributed to the association with globular VWF under flow conditions before initiation of the cleavage process [11]. We speculated that binding of the monoclonal antibodies to the TSP1-3 to -8 repeats might induce conformational changes that affect the catalytic activity or interfere with the substrate access to the exosites. These findings might be associated with the significance of autoantibodies recognizing TSP1 repeats in TTP, contributing to a decrease in ADAMTS13 activity, although further investigation is required.

Four monoclonal antibodies, W688X3-27, WHS40-3, CUB1-3, Cterm20, recognizing TSP1-1, TSP1-2, CUB1, or CUB2 domains, exhibited no inhibitory effects on VWF73 cleavage (Fig. 2). These domains might therefore not be directly involved in the catalytic process.

In conclusion, we defined 11 epitopes recognized by monoclonal antibodies to ADAMTS13. The catalytic activity correlated strongly with the epitopes on metalloprotease and disintegrin-like domains, weakly with those on TSP1-3 to -8 repeats, and negatively with those on TSP1-1, -2 and CUB domains. Further investigation, such as crystal analysis of the ADAMTS13-VWF73 complex, would further elucidate the conformational relationship.

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.thromres.2012.06.006>.

Conflict of Interest Statement

M. Murata: Hematology Consultant for Abbott, Advisory Committees of Daiichi-Sankyo, Advisory Committees of Sanofi-Aventis and Advisory Committees of Pfizer. M. Matsumoto: Member of the Board of Directors or advisory committees of Alexion Pharmaceuticals. Y. Fujimura: Member of the Board of Directors or advisory committees of Baxter BioScience; Member of Board of Directors or advisory committees of Alexion Pharmaceuticals. K. Soejima: Employee of the Chemo-Sero-Therapeutic Research Institute.

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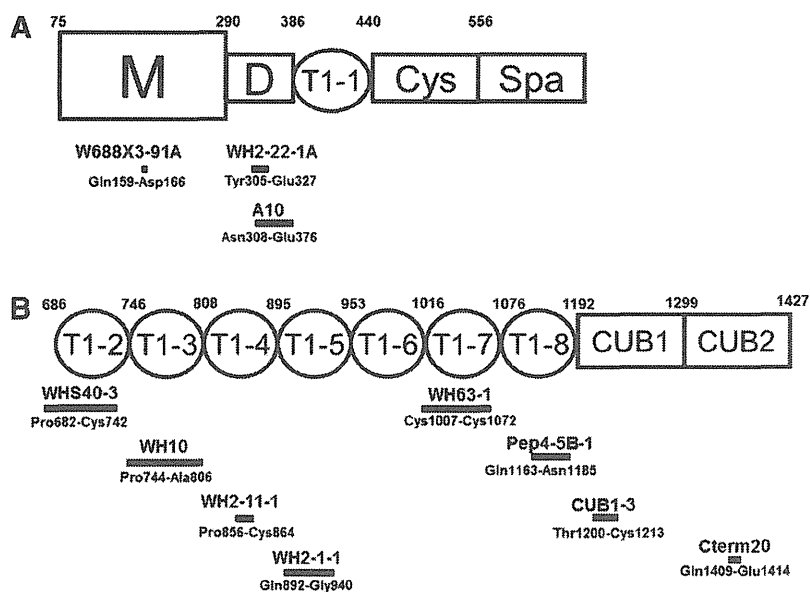


Fig. 4. Epitopes of the 11 anti-ADAMTS13 monoclonal antibodies defined in this study. (A) Epitopes on metalloprotease to spacer domains (B) Epitopes on TSP1-2 to CUB domains.

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Induction of functional platelets from mouse and human fibroblasts by *p45NF-E2/Maf*

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Determinant factors leading from stem cells to megakaryocytes (MKs) and subsequently platelets have yet to be identified. We now report that a combination of nuclear factor erythroid-derived 2 p45 unit (*p45NF-E2*), *Maf G*, and *Maf K* can convert mouse fibroblast 3T3 cells and adult human dermal fibroblasts into MKs. To screen MK-inducing factors, gene expressions were compared between 3T3 cells that do not differentiate into MKs and 3T3-L1 cells known to differentiate into MKs. 3T3 cells transfected with candidate fac-

tors were cultured in a defined MK lineage induction medium. Among the tested factors, transfection with *p45NF-E2/MafG/MafK* lead to the highest frequency of CD41-positive cells. Adult human dermal fibroblasts transfected with these genes were cultured in MK lineage induction medium. Cultured cells had megakaryocytic features, including surface markers, ploidy, and morphology. More than 90% of MK-sized cells expressed CD41, designated induced MK (iMK). Infusion of these iMK cells into immunodeficient mice led

to a time-dependent appearance of CD41-positive, platelet-sized particles. Blood samples from iMK-infused into thrombocytopenic immunodeficient mice were perfused on a collagen-coated chip, and human CD41-positive platelets were incorporated into thrombi on the chip, demonstrating their functionality. These findings demonstrate that a combination of *p45NF-E2*, *Maf G*, and *Maf K* is a key determinant of both megakaryopoiesis and thrombopoiesis. (*Blood*. 2012;120(18):3812-3821)

Introduction

Platelets are essential for hemostatic plug formation, and platelet transfusions are widely used for patients with severe thrombocytopenia.^{1,2} Donor-dependent, platelet transfusions are, however, associated with practical problems, such as the limited supply because of the short storage life of platelets and the risk of bacterial infection, and with serious immune reactions. New strategies for manufacturing megakaryocytes (MKs) and subsequently platelets beginning with nondonor-dependent sources may obviate these and other platelet transfusion concerns.³

Because thrombopoietin was isolated and reported as a cytokine for primary regulation of megakaryopoiesis and thrombopoiesis,⁴⁻⁷ it has been used to generate enriched populations of MKs using in vitro differentiation systems. Terminally differentiated cells of the MK lineage then release platelets during thrombopoiesis.^{8,9} MKs and platelets have been differentiated from hematopoietic stem cells (HSCs),³ fetal liver cells,¹⁰ embryonic stem cells,¹¹⁻¹⁴ and induced pluripotent stem (iPS) cells.¹⁵ Moreover, we have reported the generation of MKs and functional platelets beginning with subcutaneous adipose tissues and the preadipocyte cell line 3T3-L1.^{16,17} The use of HSCs as the starting material is problematic, however, because of their low capacity for in vitro expansion. For all of these various cell sources of MKs and platelets, yields are insufficient for clinical application.^{3,10-17} Therefore, the development of new strategies to generate platelets for transfusion is crucial.

The underlying molecular mechanisms involved in megakaryopoiesis and subsequent thrombopoiesis are only partially understood. Transcription factors as well as cytokines are involved in the lineage commitment, differentiation, and maturation of hematopoietic cells.^{8,9} Although the transcriptional regulation of differentiation into MK lineages has been well-studied, factors determining the induction of differentiation into MKs and platelets have yet to be identified. Identification of these factors may help to establish a new system for in vitro platelet production.

Fibroblasts are differentiated cells. Both mouse and human fibroblasts have been reprogrammed into iPS cells using 4 transcription factors.¹⁸⁻²⁰ Since this development, studies to identify determinant factors for direct transdifferentiation of fibroblasts into specific cells have led to progress in both regenerative medicine and fundamental research in cell development.²¹⁻²³ These reports prompted us to identify the determinant factors for MK differentiation and platelet production, based on our previous findings that MKs and platelets are generated from the preadipocyte cell line 3T3-L1, but not the fibroblast cell line 3T3, the parent cell line for 3T3-L1.¹⁷ In the present study, we first screened for MK-inducing transcription factors by comparing gene expression levels between 3T3 cells and 3T3-L1 cells, and then testing whether adult human dermal fibroblasts (HDFs) could be forced into megakaryopoiesis and subsequent platelet release by ectopic expression of candidate transcription factors.

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Methods

qRT-PCR

3T3 cells and 3T3-L1 cells were maintained as described previously.¹⁷ Primary mouse low-density bone marrow mononuclear cells were obtained as described previously.²⁴ Total RNA samples were prepared from 3T3 cells, 3T3-L1 cells, and mouse low-density bone marrow mononuclear cells using TRIzol reagent (Invitrogen). Total RNA samples also were prepared from HDFs and HDFs transfected with human transcription factors, nuclear factor erythroid-derived 2 (NF-E2) p45 unit (*p45NF-E2*),^{25,32} v-maf musculoaponeurotic fibrosarcoma oncogene homolog (avian; *Maf G*),³¹ and *Maf K*.³¹ cDNA samples were obtained by QuantiTect Reverse Transcription (QIAGEN) according to the manufacturer's protocols. Premade primers (Applied Biosystems) were used for quantitative real-time (qRT)-PCR. Gene expression levels were assessed by the threshold cycles of the target normalized to GAPDH levels.^{15,33}

Retroviral vectors and cell culture

We prepared retroviral vectors for overexpression of the following mouse and human transcription factors: p45NF-E2, *Maf G*, and *Maf K*, as well as mouse CCAAT/enhancer binding protein (CEBP) α .³⁴ The retroviral vectors for mouse *p45NF-E2*, *Maf G*, *Maf K*, and *CEBP α* were used for 3T3 cells. The retroviral vectors for human *p45NF-E2*, *Maf G*, and *Maf K* were used for HDFs. Each cDNA was subcloned into pRetroX-IRES-DsRedExpress Vector (Clontech). A CalPhos Mammalian Transfection kit (Clontech) and AmphoPack-293 cells (Clontech), as packaging cells, were used according to the manufacturer's protocol. AmphoPack-293 cells were transfected with each vector. After 48 hours of transfection, retroviral supernatants were collected. 3T3 cells infected with individual and combinations of transcription factors were cultured in maintenance medium for 3T3 cells¹⁷ for 2 days and then cultured for 8 days to differentiate into MK lineages using MK lineage induction (MKLI) medium³⁵ composed of Iscove modified Dulbecco medium supplemented with 2mM L-glutamine, 100 U/mL penicillin G sodium, 0.1 mg/mL streptomycin sulfate, 0.5% bovine serum albumin, 4 μ g/mL low-density lipoprotein cholesterol, 200 μ g/mL iron-saturated transferrin, 10 μ g/mL insulin, 50 μ M 2- β -mercaptoethanol, 20 μ M each nucleotide (ATP, UTP, GTP, and CTP), and 50 ng/mL thrombopoietin (a gift from Kyowa Hakko Kirin). Adult HDFs derived from the dermis of skin were purchased from Cell Applications and were maintained according to the manufacturer's protocol. HDFs infected with combinations of vectors were cultured in maintenance medium for 5 days and then cultured in MKLI medium for 12 days. Gene expression of cells at 48 hours after the infection was confirmed using qRT-PCR.

Flow cytometric analyses

Expression of cell surface markers was examined using the following directly labeled fluorescein isothiocyanate (FITC)-conjugated antibodies: anti-mouse CD41 antibody (BD Biosciences), anti-mouse CD42b antibody (EMFRET Analytics), anti-human CD41 antibody (Beckman Coulter), and anti-human CD42b antibody (Beckman Coulter). Directly labeled R-phycoerythrin (PE)-conjugated anti-human CD42b antibody (Beckman Coulter) and anti-mouse CD41 antibody (BD Biosciences) also were used. Samples to examine surface marker expression were prepared as described previously.³⁵ Because we used a common gate for cells stained with different antibodies in the analysis, the gate was defined using unstained cells. Thus the cut-off gate for percentage of cells positive was defined using nonstained 3T3 cells and HDFs each transfected with empty vector. DNA ploidy was assessed by propidium iodide (Sigma-Aldrich) staining as described previously.³⁵

Morphologic analyses

The ultrastructure for HDFs and p45NF-E2-, *Maf G*-, and *Maf K*-overexpressing HDFs cultured in MKLI medium was determined. These studies were done by transmission electron microscopy as described previously.³⁶

Analyses of platelet spreading and staining

To examine the spreading of the iMK-derived platelets, these platelets were stimulated with 10 μ M ADP (Trinity Biotech), 10 μ M epinephrine (Daiichi-Sankyo), and 10 μ M PARI-activating peptide (Sigma-Aldrich) simultaneously¹³ to spread on fibrinogen-coated glass coverslips (100 μ g/mL coating concentration) into an imaging chamber.³⁷ Imaging was obtained using an LSM710 fluorescent microscope (Carl Zeiss), and platelets were analyzed by differential interference contrast. The cells were fixed with 4% paraformaldehyde in Ca⁺⁺- and Mg⁺⁺-free phosphate-buffered saline for 10 minutes at room temperature and then permeabilized by 0.2% Triton X-100 in phosphate-buffered saline for 5 minutes at room temperature. The samples were stained with unlabeled anti-VWF antibody (Dako) for 60 minutes at room temperature. Samples stained with anti-VWF antibody were followed by FITC-conjugated anti-rabbit antibody. The cell samples also were stained 4,6-diamidino-2-phenylindole blue and Texas Red Phalloidin (Invitrogen). Imaging was obtained using a TCS-SP5 fluorescent microscope (Leica).

MK infusion studies

Six-week-old female immunodeficient NOD/Shi-scid/IL-2R γ ^{null} (NOG) mice were irradiated with 2.0 Gy to induce mild thrombocytopenia.¹⁵ A week later, these NOG mice were then used for the infusion study. Large-sized cells were isolated using a 2-step density bovine serum albumin (BSA) gradient.^{10,38} Based on flow cytometry analysis, more than 90% of these large-sized cells, designated as induced MKs (iMKs), expressed CD41 (data not shown). We infused 5×10^5 iMKs into 20- to 23-g NOG mice. To examine whether the infused iMKs produce platelets in vivo, tail-vein blood samples were obtained from recipient NOG mice before and 5 minutes, 30 minutes, 90 minutes, 3 hours, and 6 hours after iMK infusion, and each sample was stained with FITC-conjugated anti-human CD41 antibody (Beckman Coulter) for flow cytometric analysis.

To evaluate whether the iMK-derived platelets can be incorporated into ex vivo thrombi, FITC-anti human CD41 antibody (clone SZ22)-labeled blood samples from iMK-infused mildly thrombocytopenic NOG mice 7 days after irradiation with 2.0 Gy were perfused on a type I collagen-coated chip under flow condition (1000 seconds⁻¹) using a microchip flow-chamber system. Total Thrombus-formation Analysis System, designed to monitor platelet thrombus formation.^{39,40} Sodium citrate (final concentration, 3.2%) and hirudin (final concentration, 25 μ g/mL) was used as anticoagulant reagents in this perfusion study.⁴⁰ After perfusion for 10 minutes, the collagen-coated chip was examined by fluorescence microscopy (LSM510; Carl Zeiss) to examine iMK-derived platelets incorporation. The perfusion study was performed in the presence of 50 μ g/mL (final concentration) of blocking anti-human CD42b antibody (HIP1; BD Biosciences) or an isotype control (mouse IgG1 κ ; BD Biosciences).

NOG mice were purchased from the Central Institute Experimental Animals (Tokyo, Japan) and maintained in the animal care facility at Keio University. All animal experiments were performed after approval by the ethics review committee for animal experiments of Keio University.

Statistical analyses

Two-way analysis of variance with Bonferroni/Dunn test was performed to assess the difference in CD41 expression levels among 3T3 cells transfected with individual and combinations of transcription factors. Paired Student *t* test was used to compare the effect on CD41 expression between cells transfected with *p45NF-E2*, *Maf G*, and *Maf K* and cells transfected with *p45NF-E2* alone and to compare CD42b expression levels between 3T3 cells transfected with an empty vector and 3T3 cells transfected with expression vectors for *p45NF-E2*, *Maf G*, and *Maf K*. Statistical analysis was performed using StatView (Version 5.0 for Macintosh; SAS Institute). A *P* value of less than .05 was considered statistically significant.

Table 1. Gene expression assessed by threshold cycle values in qRT-PCR

	3T3 cells	3T3-L1 cells	mBMMNCs
GATA1	ND	ND	8.79 ± 0.31
GATA2	8.81 ± 0.06	8.08 ± 0.07	3.88 ± 0.37
p45NF-E2	ND	17.91 ± 0.14	3.96 ± 0.01
FOG1	9.52 ± 0.07	8.04 ± 0.08	12.00 ± 0.12
Fli1	14.00 ± 0.2	13.21 ± 0.18	4.10 ± 0.01
RUNX1	7.62 ± 0.07	8.50 ± 0.16	6.52 ± 0.00
CEBP α	ND	11.10 ± 0.27	7.04 ± 0.01
PPAR- γ	16.21 ± 0.01	7.14 ± 0.29	10.47 ± 0.61

Values (mean ± SD) are the threshold cycles of target normalized with GAPDH levels. mBMMNCs were used as a control, because expression of GATA 1 was not observed in both 3T3 cells and 3T3-L1 cells.

ND indicates not detected, that is, threshold more than 40 cycles.

Results

Screening for MK-inducing factors

Previously, we reported that the MKs and platelets were generated from the preadipocyte cell line 3T3-L1, but not the parent fibroblast cell line 3T3.¹⁷ Gene expression of candidate transcription factors reported to regulate MK differentiation and platelet production^{8,9} was now compared between 3T3 cells and 3T3-L1 cells (Table 1). Determinant factors for adipocyte markers, CEBP α and peroxisome proliferator-activated receptor (PPAR)- γ , also were examined.^{34,41} Gene expression was assessed by threshold cycle values; thus, lower values indicate higher expression levels. Expression of p45NF-E2 and CEBP α was undetectable in 3T3 cells but detected in 3T3-L1 cells by qRT-PCR. Both cell lines expressed GATA2, FOG1, Fli1, RUNX1, and PPAR- γ , and the levels of GATA1 were undetectable (Table 1). Based on these results, we generated individual retroviruses to express mouse p45NF-E2 and its binding proteins, Maf G and Maf K,^{25,32} and CEBP α . The 3T3 cells transfected with different combination of these genes were differentiated into MKs. MK differentiation was assessed by CD41 expression. Representative data are shown in Figure 1A. The 3T3 cells transfected with a combination of p45NF-E2, Maf G, and Maf K had the frequency of CD41-positive cells of 27 ± 8% versus cells transfected with either CEBP α or p45NF-E2 alone ($P = .003$ and $.19$, respectively), and cells transfected with p45NF-E2 plus CEBP α ($P = .028$), whereas 3T3 cells transfected with vector having no insert cDNA did not react with anti-mouse CD41 antibody (Figure 1B). 3T3 cells transfected with the combination of p45NF-E2, Maf G, and Maf K had the highest frequency of CD41-positive cells. In paired Student *t* test, we observed that this triple combination lead to a significantly higher percentage of CD41-positive cells than transfection with p45NF-E2 alone ($P = .02$). Additional transfection with CEBP α along with the 3 factors did significantly further increase the number of CD41-positive cells ($P = .33$). Approximately 70% of p45NF-E2-, Maf G-, and Maf K-expressing cells were also positive for another MK-specific marker, CD42b (Figure 1C). Expression of CD42b on the 3T3 cells transfected with vector having no insert cDNA was not observed 8 days after culture (Figure 1C). The frequency of CD41- and CD42b-double positive cells was 27.7 ± 2.1% (supplemental Figure 1, available on the Blood Web site; see the Supplemental Materials link at the top of the online article). DNA ploidy of these p45NF-E2-, Maf G-, and Maf K-expressing cells ranged from 2N to 16N (Figure 1D), the DNA ploidy of 3T3 cells

on day 0 was predominantly 2N with little 4N (Figure 1D). These findings suggest that concurrent expression of p45NF-E2, Maf G, and Maf K is sufficient for the induction of polyploid MKs from 3T3 fibroblasts.

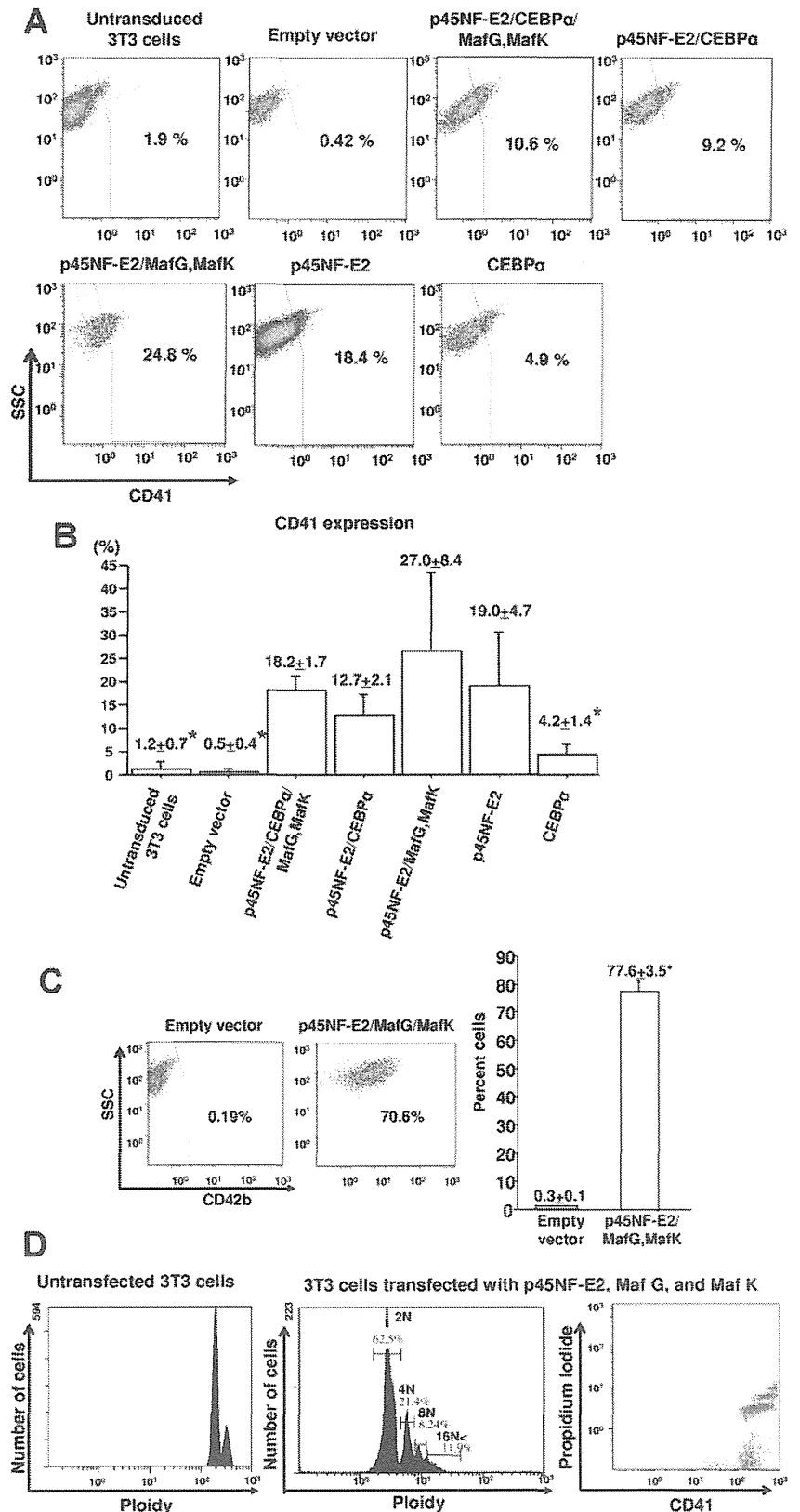
p45NF-E2, Maf G, and Maf K as determinants of MK induction

We then examined whether human adult nonhematopoietic cells could be forced to undergo megakaryopoiesis by ectopic expression of p45NF-E2, Maf G, and Maf K. Adult HDFs were transfected with these genes and then cultured in MKLI medium. We performed a 2-step BSA gradient to isolate large-sized cells^{10,38} and examined for MK phenotype. More than 90% of these large cells were CD41-positive (Figure 2A), with a calculated yield of 8 to 10 × 10⁵ iMKs per 20 × 10⁶ HDF input. Thus, ~ 5% of HDFs were induced to become CD41-expressing MKs. Similar studies beginning with HDFs transfected with an empty vector did not result in any iMKs (supplemental Figure 2A). We examined the frequency of cells with CD41 and/or CD42b. The frequency of CD41-positive cells was 94.3 ± 3.7%. The frequency of CD42b-positive cells was 45.8 ± 7.8%. All of CD42b-positive cells were also CD41-positive (Figure 2B and supplemental Figure 2B). DNA ploidy of these p45NF-E2-, Maf G-, and Maf K-expressing cells ranged from 2N to 16N (Figure 2C), contrasting with the DNA ploidy of HDFs on day 0 that were predominantly 2N cells (supplemental Figure 2C). Furthermore, we examined gene expression of β -1-tubulin, an MK- and platelet-specific factor that is a major downstream target of p45NF-E2.⁴² Gene expression of β -1-tubulin as well as p45NF-E2, assessed by qRT-PCR, was detected in the iMK after 7 days of transfection, but not in HDFs (supplemental Table 1). These findings indicate that iMKs derived from HDFs transfected with human p45NF-E2, Maf G, and Maf K had intracellular as well as surface markers seen on other MK populations.

Morphologic analyses for the iMK and the iMK-derived platelets and characterization of the iMK-derived platelets

Electron microscopic observation of iMKs demonstrated typical MK organelles, including granules, a demarcation membrane system and lobulated nuclei (Figure 3A left), which differed from the cellular organization seen in HDFs before MK induction (Figure 3A middle). Electron micrograph of the iMK-derived platelet showed typical features for platelets, such as mitochondria, granules, and an open canalicular system (Figure 3A right). Granules consistent with lysosomal, dense, and α granules were seen in the iMK-derived platelet. The characteristics of the iMKs were similar to those described for MKs and platelets derived from human bone marrow CD34-positive cells and human adipose tissues.¹⁶ The iMK-derived platelets were larger (diameter, ~ 5 μ m) than peripheral platelets and thus compatible with previous reports regarding platelets produced in in vitro culture system in the absence of shear force.^{14,15} VWF-positive presumably α granules were observed in the iMK-derived platelets by immunostaining (Figure 3B). Isotype controls showed no positive fluorescence (supplemental Figure 3A). These immunostaining experiments were performed on iMK-derived platelets as well as peripheral platelets bound to fibrinogen-coated glass in the presence of agonists, forming filopodia and lamellipodia (Figure 3C and supplemental Figure 3B), supporting that the iMK-derived platelets were functional.

Figure 1. Screening for MK-inducing factors. The 3T3 cells transfected with candidate transcription factors were cultured in MKLI medium. (A) Representative data of CD41 expression on 3T3 cells and 3T3 cells transfected with candidate transcription factors. (B) Mean \pm SEM of percentage of cells that were CD41-positive after transfection with the empty vector or with various expression vectors (N = 3 and *P < .02 vs p45NF-E2, Maf G, and Maf K expression). (C) Representative flow data of CD42 expression on 3T3 cells transfected with either empty vector or p45NF-E2, Maf G, and Maf K vector (left). Bar graph of mean \pm SEM for CD42b expression (N = 3 and *P < .02; right). (D) DNA ploidy analysis on 3T3 cells untransfected versus transfected with p45NF-E2, Maf G, and Maf K expression vector (N = 3; mean \pm SEM %: 2N, 60.7 \pm 2.2; 4N, 21.4 \pm 3.2; 8N, 8.0 \pm 0.9; and > 16N, 9.6 \pm 1.9).



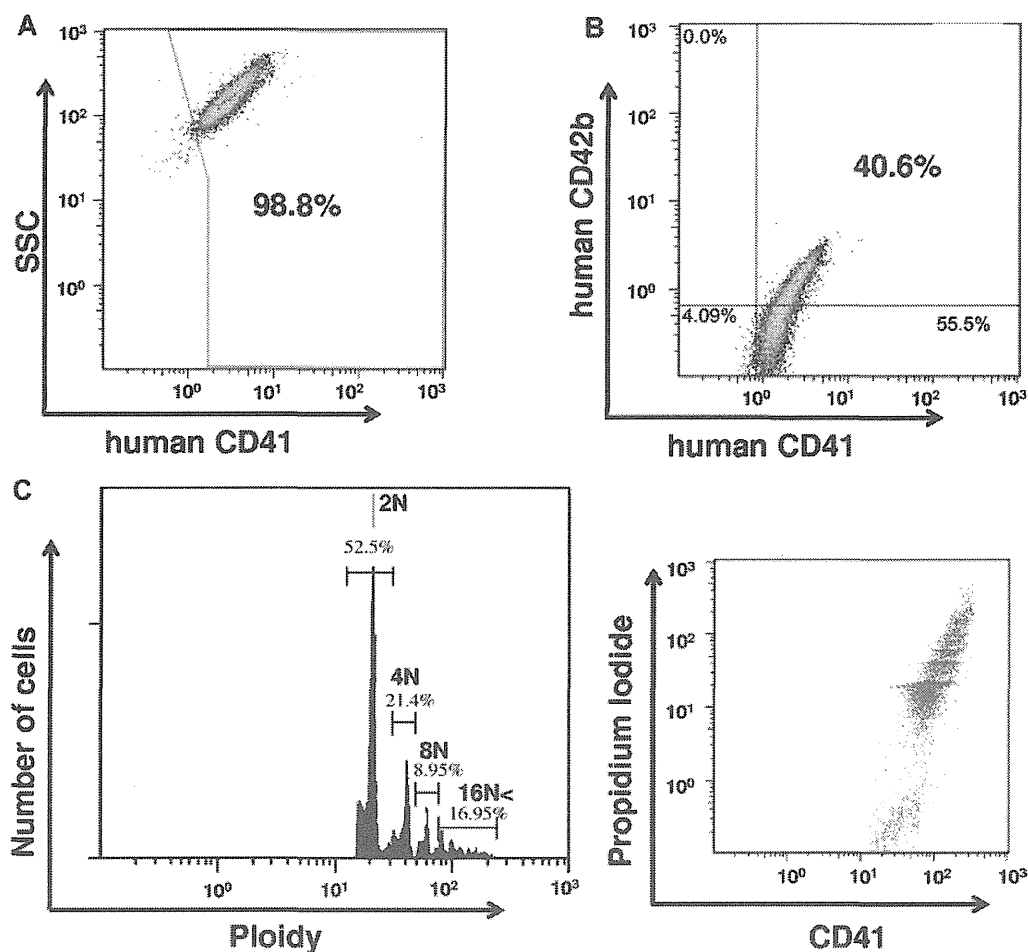


Figure 2. Induction of MKs from transfected adult HDFs. Adult HDFs transfected with *p45NF-E2*, *Maf G*, and *Maf K* were cultured in MKLI medium, and large-sized cells of the cultured cells were isolated by a 2-step BSA gradient. Representative data of CD41 (A) and CD41 and CD42b (B) expression on the large-sized cells from 3 repeats. (C) DNA ploidy analysis on HDFs transfected with *p45NF-E2*, *Maf G*, and *Maf K* ($N = 3$; mean \pm SEM %: 2N, 60.7 ± 4.8 ; 4N, 19.5 ± 1.8 ; 8N, 8.7 ± 1.0 ; and $\geq 16N$, 11.0 ± 3.0).

In vivo platelet release from the iMKs

One of the hallmarks of well-developed mature MKs is the ability to undergo thrombopoiesis. To examine whether iMKs can release platelets *in vivo*, we infused these iMKs taking advantage of 2 observations in the recent literature: large MKs shed platelets in recipient mice^{10,43} and platelets derived from human MKs are most likely to survive in immunocompromised animals such as NOG mice.⁴³ We infused 5×10^5 iMKs into NOG mice with radiation-induced thrombocytopenia ($7\text{--}8 \times 10^4/\mu\text{L}$; Figure 4A). Tail-vein blood samples were obtained from recipient NOG mice before and after iMK infusion. The frequency of human CD41-positive platelet-sized cells increased in a time-dependent manner (Figure 4B and supplemental Figure 4). These infusion studies demonstrated that iMKs release human platelets *in vivo* with an estimated 5 to 10 platelets per infused iMK cell, assuming a 2-mL blood volume in the adult mice and that every infused cell released platelets.

iMK-derived platelets are functional

To examine whether the iMK-derived platelets are functional, we tested *ex vivo* thrombus formation under flow condition (Figure 5A-C). Irradiated, thrombocytopenic NOG mice with platelet counts in the range of 7 to $8 \times 10^4/\mu\text{L}$ were infused with 5×10^5 iMKs and

then phlebotomized 3 hours later. The blood samples were labeled with anti-human CD41 and then perfused on collagen-coated chips. Platelet aggregates were observed on the chip, and cells expressing human CD41 were incorporated into the thrombi on the collagen surface (Figure 5C). This incorporation of human iMK-derived platelets into thrombi was similar to that seen with 5×10^5 infused human platelets (Figure 5D-F). Thrombi were not observed using whole blood samples from irradiated and thrombocytopenic NOG mice when no infusion of human iMKs or platelets was given (Figure 5E). Based on an analysis of the blood samples pre-perfusion that had $93 \pm 12 \times 10^4$ human CD41-expressing cells/mL, and the blood postperfusion that had $37 \pm 5 \times 10^4/\text{mL}$, we believe that $\sim 60\%$ of infused iMK-derived platelets were incorporated into thrombi within the collagen-coated chips.

To examine whether iMK-derived platelets are passively incorporated into thrombi, the perfusion study was performed in the presence of the anti-human CD42b antibody HIP1.⁴⁴ Thrombi on the collagen surface in perfusion studies with human blood were clearly observed (Figure 6A) but were absent with the inclusion of the human whole blood treated with HIP1 (Figure 6B). Thrombi were observed using human blood treated with an isotype control antibody instead of HIP1 (Figure 6C). Thrombi also formed on the chip using HIP1-treated blood from a mice infused iMK cells, but

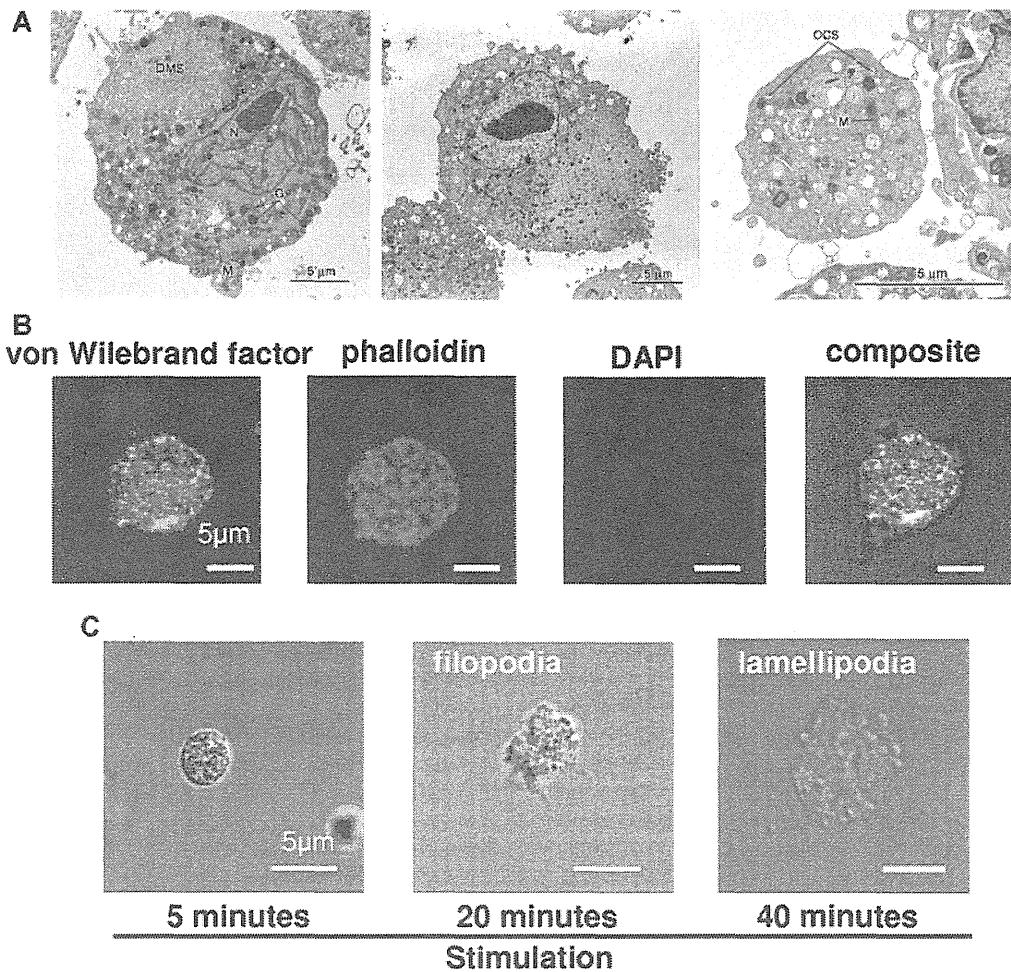


Figure 3. Morphologic analyses of iMKs and iMK-derived platelets and characterization of iMK-derived platelets. (A) Electron micrographs. (Left) An iMK derived from HDF cells transfected with *p45NF-E2*, *Maf G*, and *Maf K*. N indicates nucleus; G, granule; DMS, demarcation membrane system; and M, mitochondria. (Middle) A large adult HDF cell before the induction of MKs as in left panel. (Right) An iMK-derived platelet. M indicates mitochondria; and OCS, open canalicular system. Original magnification, $\times 2500$. A 5- μm bar is included in each right lower corner. (B) Expression of VWF in iMK-derived platelets onto fibrinogen-coated glass in the presence of 10 μM ADP, 10 μM epinephrine, and 10 μM PAR1-activating peptide. Pictures were taken with a 63 \times oil objective. A 5 μm bar is shown in each right lower corner. (C) Temporal sequence of an iMK-derived platelet spreading onto a fibrinogen-coated glass coverslip after activation. Pictures were taken with a 63 \times oil objective. A 5 μm bar is shown in each bottom right corner.

there were no human platelet-like cells incorporated (Figure 6D). These observations suggested that the iMK-derived platelets are functional, being incorporated into thrombi under flow conditions.

Discussion

The present study support transcription factors *p45NF-E2* and its binding proteins *Maf G* and *Maf K* as determinants for megakaryopoiesis and thrombopoiesis. *p45NF-E2* is a tissue-restricted subunit that forms a basic-leucine zipper heterodimeric complex with small *Maf* proteins that are widely expressed in many cells, a complex known as NF-E2.²⁵⁻³² Although NF-E2 was originally identified in erythroid cells,^{25,26} *p45NF-E2*-deficient mice showed mild anemia but significant thrombocytopenia.³² Studies using *p45NF-E2*-deficient MKs suggested that the *p45NF-E2* is important in terminal MK differentiation and platelet release.³² In contrast, in vitro and in vivo studies using *p45NF-E2*-overexpressing mouse bone marrow cells indicated that *p45NF-E2* has additional roles in early megakaryopoiesis.⁴⁵ The present study shows that *NF-E2*

drives megakaryopoiesis and thrombopoiesis from nonhematopoietic adult fibroblasts. The molecular mechanism of how iMKs are induced by the NF-E2 transcriptional complex has yet to be elucidated. Further studies are needed to elucidate the gene regulatory network by which fibroblasts are reprogrammed into iMK.

The frequency of CD41-positive cells was 94% in adult HDFs triple-transfected with *p45NF-E2*, *Maf G*, and *Maf K*. The frequency of CD42b-positive cells was 46%. All of CD42b-positive cells were also CD41-positive. This expression pattern was compatible with previous report.¹³ In contrast, triple-transfected 3T3 cells showed an unusual CD41 and CD42 expression pattern with more CD42b-positive cells than CD41: 27% were CD41-positive cells, whereas the frequency of CD42b-positive cells was 78% and double-positive cells was 27%. We observed normal expression pattern of the CD41 and CD42b expressions in primary adult bone marrow-derived mouse megakaryocytes using the antibodies used in this study (data not shown), so the antibodies used in this study works well. We propose that at least one of the components of the CD42b complex is being overexpressed in these triple-transgenic 3T3 cells but need to study this observation further.

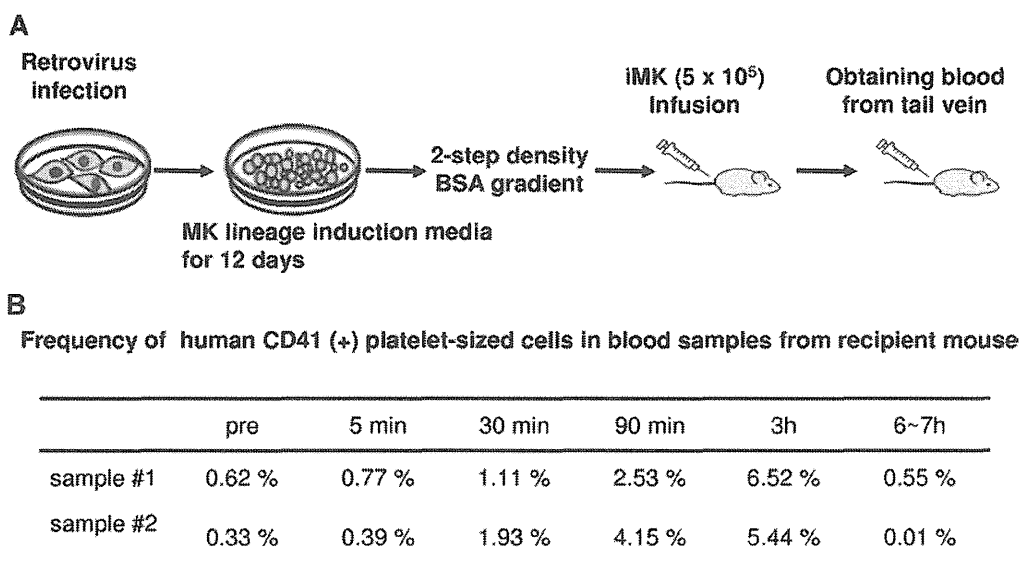


Figure 4. Platelet formation in NOG mice infused with iMKs. (A) Schema of the iMK infusion study. (B) Frequency of human CD41-positive, platelet-sized cells in blood samples from recipient mice.

Fibroblasts have been used to identify determinant factors for direct reprogramming into specific cells, although the use of a direct reprogramming method for regenerative medicine remained largely neglected before the establishment of iPS cells. A pioneering study by Weintraub et al in 1989 revealed that the transcription factor MyoD was sufficient for differentiation of fibroblasts into skeletal muscle cells.⁴⁶ Recently, the direct reprogramming of fibroblasts into neurons,²¹ cardiomyocytes,²² and blood cell progenitors²³ were reported. Compared with the use of iPS cells, there are some advantages of direct reprogramming for studies of specific lineage development and regenerative medicine. Direct reprogramming into specific cells does not require inducing the pluripotent state by dedifferentiation; thus, the differentiated cells can be obtained more rapidly. Also, often fewer transcription factors are needed to induce a specific cell lineage.

Platelets have been generated from human iPS cells transfected with a doxycycline-controlled c-Myc expression vector, providing 200 to 300 iPS clones from 10^5 HDFs.¹⁵ The 1×10^5 cultured iPS cells produced $\sim 17 \times 10^5$ MKs.¹⁵ Although it is extremely difficult to compare reprogramming efficacy between this iPS study and the present study, we obtained 8 to 10×10^5 iMKs from 20×10^6 HDFs. The number of platelets per MK was similarly low in both studies and may reflect the inefficiencies of producing human platelets by present-day strategies.

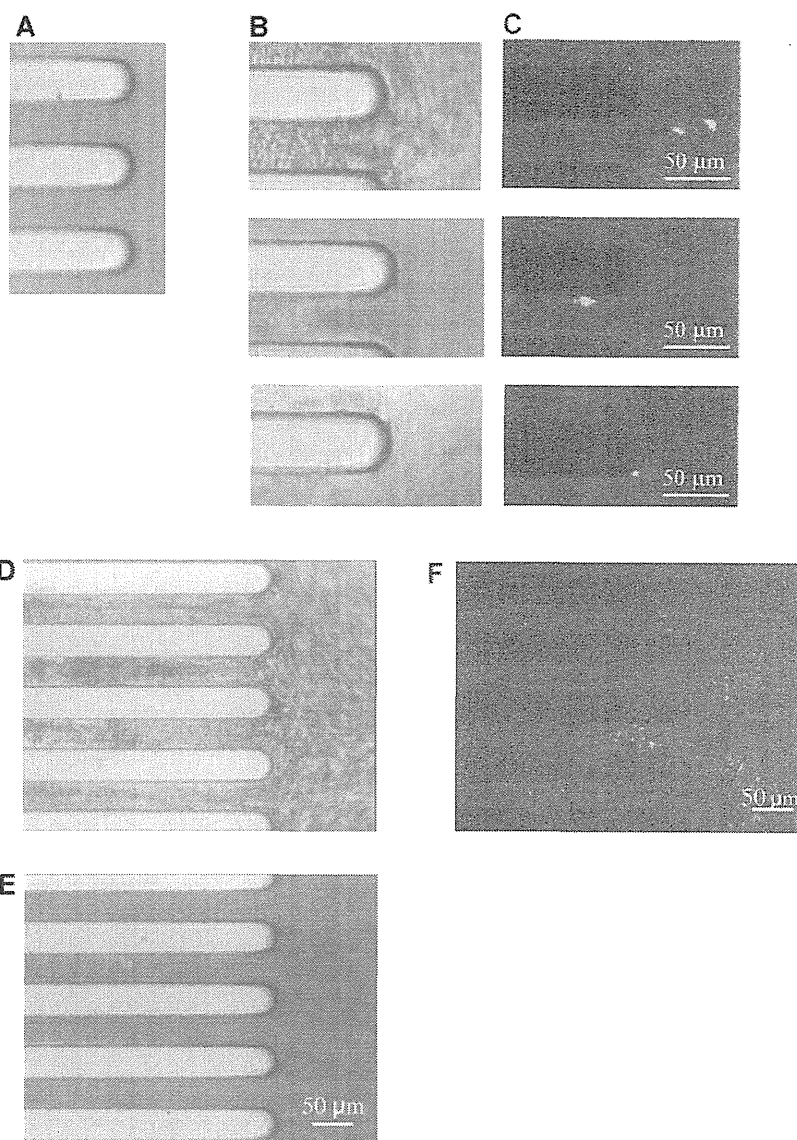
We have shown that iMK-derived platelets were detected in recipient NOG mice, and there were differences in time after infusion to peak platelet release, circulating time of released platelets, and the number of released platelets per infused MK compared with previous reports.^{10,47} As to peak time for platelet release, infused murine platelets were reported to result in an immediate peak count in recipient mice,^{10,47} whereas infused fetal liver-derived large MKs resulted in delayed platelets, with a peak at 90 minutes.¹⁰ In the present study, infused human iMK also resulted in a delay to platelet peak of 3 hours. The small difference in the delay to peak time may reflect differences in the species of MKs being infused or technical issues. Regarding the circulating time of the iMK-derived platelets, infused murine wild-type platelets showed an overall half-life of ~ 36 hours.¹⁰ Human platelet infused into NOD/SCID mice had a reported half-life of

~ 24 hours.⁴⁷ This shorter time of circulation may in part reflect greater clearance of platelets in these mice because of the production of heterophile antibodies. Infused murine fetal liver-derived large MKs resulted in the release of platelets with an estimated half-life of ~ 20 hours.¹⁰ Infused HDF-derived iMK had a circulating time of 6 to 7 hours in recipient NOG mice in our hands and infused human platelets had a half-life of 5 hours (data not shown). Clearly, these shorter platelet half-lives infused into NOG mice might reflect pre-existing antibodies in these immunodeficient mice to human platelets. Studies to further inhibit the immune response in the NOG mice, perhaps by suppressing macrophage functionality,⁴⁸ might extend the half-life of the human platelets. At the same time, human platelets have 3 times the diameter of mice platelets and may not tolerate the high flow velocity in this small mammal.^{49,50} Why there were fewer iMK-derived platelets per infused cell than in that previously reported is unclear but may relate to the lower ploidy of the human MKs versus mice, the shorter half-life of the derived platelets, or poorer capacity of human MKs to shed platelets in the murine pulmonary bed than murine MKs. Clearly, too, the volume of a human platelet is 5- to 10-fold that of a mouse platelet, and this also may affect the number of platelets that can be shed per MK. Future studies will have to define megakaryopoiesis and thrombopoiesis differences based on species studied or based on the origin of the starting cells.

Finally, further studies of how p45NF-E2 can drive megakaryopoiesis and thrombopoiesis may provide insight into how these terminal differentiation events are controlled. On the practical side, these studies suggest that HDF-derived MKs may have clinical utility. HDFs transfected with *p45NF-E2*, *Maf G*, and *Maf K* can proliferate in culture using maintain media and then induced to become MKs. These cells may then be used to produce a large number of platelets in vitro or after infusion of the MKs into a patient. Clearly, additional studies to optimize the production of functional platelets from such cell must be done before carrying these studies to patient application.

In summary, MK-inducible factors were screened based on differences in gene expression between 3T3-L1 that can differentiate into MKs, and the parental human cell line 3T3 fibroblasts that do not differentiate into MKs. Transfecting 3T3 cells with

Figure 5. iMK-derived platelets into thrombus formation on a collagen chip. Representative studies of FITC-anti-human CD41 antibody-labeled blood samples from iMK-infused thrombocytopenic NOG mice perfused on a collagen-coated chip under flow condition ($1000 \text{ seconds}^{-1}$) for 10 minutes. (A) Collagen-coated chip before perfusion. (B) Thrombi on the collagen-coated chip after the perfusion. (C) iMK-derived platelets labeled with FITC anti-human CD41 antibody are shown in bright green fluorescence and are incorporated into the thrombi. (D) Similar to panel B but after human platelets had been infused into recipient mice. (E) Whole blood samples from irradiated and thrombocytopenic NOG mice with no infused human cells were perfused on the collagen-coated chip under flow condition ($1000 \text{ seconds}^{-1}$) for 10 minutes. (F) Similar to panel C but for human platelets. Original magnification, $\times 100$, and a $50 \mu\text{m}$ bar is shown in bottom right corner of images.



3 transcription factors, *p45NF-E2*, *Maf G*, and *Maf K*, lead to the differentiation of these cells into MKs. Adult HDF cells transfected with *p45NF-E2*, *Maf G*, and *Maf K* also produced MKs and were shown to be able to release functional platelets. Thus, these 3 transcription factors are sufficient to lead to platelet formation from nonhematopoietic adult fibroblasts derived from 2 different species. The generation of iMKs from fibroblasts could have important implications for studying the mechanisms of MK differentiation and platelet production and for developing of a new system for in vitro platelet production for clinical application.

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Authorship

Contribution: Y.O. and Y.W. performed the described studies and interpreted data; H.S. performed the morphologic analysis with transmission electron microscopy; S.O. interpreted data and provided critical suggestions; Y.I. analyzed and interpreted data and prepared the manuscript; M.M. analyzed and interpreted data and prepared the manuscript; M.P. advised on study design, interpreted data, and prepared the manuscript; and Y.M. designed and supervised the study and prepared the manuscript.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

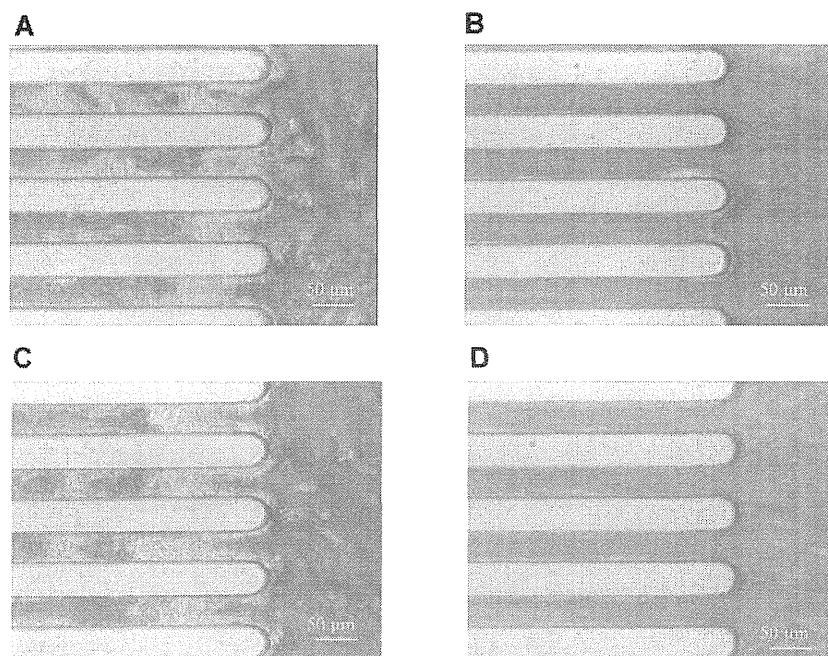


Figure 6. Active incorporation of iMK-derived human platelets into thrombi. Similar studies were done as described in Figure 5, but panels A and B are whole human blood studied on the collagen chip. Panel B has been exposed to 50 µg/mL anti-human CD42b antibody HIP1 for 20 minutes before study. (C) Similar to panel B but whole human blood has been exposed to an isotype control antibody. (D) Representative collagen chip thrombus study from NOG mice postinfusion with 5×10^5 iMKs per mouse exposed to HIP1 as in panel B. A 50 µm bar is shown in bottom right corner of each image.

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A Survey of the Clinical Course and Management of Japanese Patients Deficient in Natural Anticoagulants

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Abstract

Few data are available on the clinical course of Japanese patients deficient in natural anticoagulants (antithrombin (AT), protein C, and protein S). We conducted a nationwide survey to reveal the clinical course of these patients. Questionnaires were sent to 321 council members of the Japanese Society on Thrombosis and Hemostasis, Japanese Society for Vascular Surgery, and Japanese Society of Phlebology. A total of 103 responses were obtained and data of 183 patients were collected. Of 183 patients, 142 (78%) experienced at least one episode of venous thromboembolism (VTE). The first VTE occurred before the age of 40 years in 71 patients (45%). Venous thromboembolism recurred in 15 (39%) patients with AT deficiency and 19 (18%) patients with other deficiencies. These findings suggest that half of the first episodes of VTE in patients deficient in natural anticoagulants occur before middle age and the risk of VTE recurrence is high in patients with AT deficiency.

Keywords

anticoagulants, thrombophilia, venous thromboembolism

Introduction

Patients with inherited thrombophilia, that is, those deficient in natural anticoagulants, such as antithrombin (AT), protein C (PC), or protein S (PS), and polymorphisms of coagulation factors, such as factor V Leiden (FVL) or prothrombin G20210A (PT G20210A), have an increased risk of venous thromboembolism (VTE). Inherited thrombophilia is detected in at least 30% to 40% patients with VTE and is a concern in patients with early-onset thrombotic events, a tendency toward recurrent thrombotic events, a family history of VTE, thrombosis at unusual sites, or idiopathic thrombosis.¹ Although deficiencies in AT, PC, and PS are well-known hereditary risk factors for VTE, they are very rare, presenting in much less than 1% of the general population,² and account for 5% to 10% of cases of VTE in Western countries.^{3,4} On the other hand, in Western countries, FVL and PT G20210A are more frequently found in the general population compared with deficiencies of natural anticoagulants. The estimated prevalence of FVL and PT G20210A is 5% and 2%, respectively, in whites and FVL is present in 12% to 20% of patients with VTE in Western countries.^{5,6} Several studies have reported the clinical course of subjects with inherited thrombophilia in Western countries,⁷⁻¹¹ revealing that deficiencies of natural anticoagulants cause a 5- to 10-fold increase in VTE and the annual incidence of VTE is greater than 1%. On the other hand, FVL and PT G20210A

are associated with a lower increase (2- to 5-fold) in VTE and the annual incidence of VTE is less than 0.5%. These data are essential to establish adequate management of patients with inherited thrombophilia. The results of studies in Western countries, however, may not apply to the Japanese because the frequencies of carriers of inherited thrombophilia in the Japanese are quite different from those in whites. For example, the

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Table 1. Questionnaire Regarding the Status of Japanese Patients Deficient in Natural Anticoagulants (AT, PC, and PS; Originally Written in Japanese)

Return form in reply-paid envelope	
Please clearly check the correct response or write an alternative answer where appropriate	
Are you currently taking care of patients with inherited thrombophilia (eg, AT deficiency, PC deficiency, or PS deficiency)?	
No/Yes, Institute/Name/e-mail address (OPT)	
If you answered "Yes" to the above question, please answer the following questions.	
1. Diagnosis	AT deficiency/PC deficiency/PS deficiency
2. Age at diagnosis (years)	0-9/10-19/20-29/30-39/40-49/50-59/60+
3. Sex	Male/Female
4. Laboratory data (if applicable)	AT antigen/AT activity/PC antigen/PC activity/PS antigen/ PS activity
5. Family history	Yes/No
6. Prescription of VKA	No/Yes if "Yes", dose and PT-INR
7. Occurrence of VTE	None/Once/Twice or more
If you answered "Once" for question 7, please answer question 8, and if you answered "Twice or more" please answer questions 8 and 9.	
8. About the first episode of VTE	
1. Age at occurrence of VTE (years)	0-9/10-19/20-29/30-39/40-49/50-59/60+
2. Location of VTE	
3. Risk factor for VTE	idiopathic/provoked if "provoked", please specify
4. Prescription of VKA at the occurrence	No/Yes if "Yes", dose and PT-INR
5. Anticoagulants other than VKA at the occurrence	No/Yes if "Yes", name of anticoagulants
9. About the recurrence of VTE	
1. Age at recurrence of VTE (years)	0-9/10-19/20-29/30-39/40-49/50-59/60+
2. Location of VTE	
3. Risk factor for VTE	idiopathic/provoked if "provoked", please specify
4. Prescription of VKA at the recurrence	No/Yes if "Yes", dose and PT-INR
5. Anticoagulants other than VKA at the recurrence	No/Yes if "Yes", name of anticoagulants

Abbreviations: AT, antithrombin; PC, protein C; OPT, optional; PS, protein S; VKA, vitamin K antagonist; VTE, venous thromboembolism; PT-INR, prothrombin time-international normalized ratio.

frequency of PS deficiency is as high as 2.04% in the Japanese,¹² whereas the frequency of PS deficiency is less common in whites.¹³ Although carriers of FVL or PT G20210A are not rare in whites, they neither has been detected in the Japanese.¹⁴⁻¹⁸ Therefore, it is necessary to clarify the clinical course of Japanese patients with inherited thrombophilia, but few data are available. To investigate this issue, we conducted a nationwide survey of Japanese patients deficient in natural anticoagulants.

Materials and Methods

Questionnaires were sent to 321 council members of the Japanese Society on Thrombosis and Hemostasis, Japanese Society for Vascular Surgery, and Japanese Society of Phlebology in April 2009. Physicians who belong to these societies should be specialists of VTE with detailed knowledge of inherited thrombophilia and were assumed to be involved in the management of patients deficient in natural anticoagulants in their institutes. The society members included hematologists, cardiologists, physicians of respiratory medicine, and vascular surgeons. The questionnaire comprised mainly multiple choice questions regarding diagnosis of inherited thrombophilia, family history, oral vitamin K antagonists (VKA) prescription, occurrence of the first episode of VTE, and VTE recurrence. Reply-paid envelopes were used for return of data (Table 1). This study was approved by the Institutional Ethical Review Board of Keio University School of Medicine.

Results

Response

Of 321 physicians, 103 (32%) replied to the questionnaires and 33 of them were taking care of patients deficient in natural anticoagulants. Among the 33 physicians, 23 were doing clinical practice in University hospitals, 10 in nonuniversity teaching hospitals, 17 were vascular surgeons, 13 were hematologists, 2 were neurologists, and 1 was a pediatrician.

Patient Characteristics

Detailed information of the clinical course and management was obtained for 183 patients deficient in natural anticoagulants: 50 patients (male to female ratio: 17:33) with AT deficiency, 62 patients (29:33) with PC deficiency, 59 patients (24:35) with PS deficiency, and 12 patients (8:4) with combined deficiencies. Of 17 male patients and 33 female patients, 10 (59%) and 20 (61%) patients, respectively, were diagnosed with AT deficiency before the age of 40 years, whereas 2 (12%) male and 5 (15%) female patients were diagnosed at the age of 60 years or above. Of 29 male patients and 33 female patients, 12 (41%) and 14 (42%), respectively, were diagnosed with PC deficiency before the age of 40 years, whereas 5 (17%) and 7 (21%) patients were diagnosed at the age of 60 years or above. Of 24 male patients and 35 female patients, 11 (46%) and 17 (49%) patients, respectively, were diagnosed with PS

Table 2. General Characteristics of the Patients Based on the Responses to the Questionnaire

Type of Deficiency	AT, n = 50	PC, n = 62	PS, n = 59	Combined, n = 12
Male:Female	17:33	29:33	24:35	8:4
Age at diagnosis (years)			Male: female	
0-39	10:20	12:14	11:17	2:1
40-59	5:8	12:12	9:8	3:3
60+	2:5	5:7	4:10	3:0

deficiency before the age of 40 years, whereas 4 (17%) and 10 (29%) were diagnosed at the age of 60 years or above. Of 8 males and 4 females, 2 (25%) and 1 (25%), respectively, were diagnosed with combined deficiencies before the age of 40 years, whereas 3 (38%) male and 0 (0%) female patients were diagnosed at the age of 60 years or above (Table 2). There were 4 patients with combined AT + PC deficiencies, 3 patients with AT + PS deficiencies, and 5 patients with PC + PS deficiencies.

Activity and Antigen Level of Anticoagulants

The activities of AT or PC were measured in all patients with a diagnosis of AT or PC deficiency. Activity of AT was $48.0\% \pm 11.4\%$ ($n = 50$; mean \pm standard deviation [SD]) and activity of PC was $43.9\% \pm 15.0\%$ ($n = 62$; mean \pm SD). Levels of AT antigen were measured in 13 patients with AT deficiency; of them, 10 were diagnosed with AT deficiency type I and 3 were diagnosed with AT deficiency type II. Levels of PC antigen were measured in 32 patients with PC deficiency; of them, 24 were diagnosed with PC deficiency type I and 8 were diagnosed with PC deficiency type II. Activity of PS, measured in 36 of 59 patients with PS deficiency, was $30.5\% \pm 17.5\%$ ($n = 36$; mean \pm SD). Total PS antigen and/or free PS antigen levels, but not activity of PS, were measured in 23 patients. Both activity and antigen level were measured in 14 patients; 1 was diagnosed with PS deficiency type I and 13 were diagnosed with PS deficiency type II.

Sites of the First VTE Episode

Of 17 male and 33 female patients with AT deficiency, 12 and 26, respectively, had at least one episode of VTE. The first VTE episode was leg deep vein thrombosis (DVT) in 24 (male to female ratio: 6:18), pulmonary embolism (PE) in 4 (2:2), leg DVT + PE in 6 (4:2), and VTE at unusual sites in 4 (0:4; 1 portal vein thrombosis, 2 cerebral venous sinus thrombosis, and 1 leg DVT + cerebral venous sinus thrombosis). Of 29 males and 33 females with PC deficiency, 21 and 25, respectively, had at least one episode of VTE. The first VTE episode was leg DVT in 29 (male to female ratio: 16:13), PE in 6 (2:4), leg DVT + PE in 9 (3:6), and VTE at unusual sites (cerebral venous sinus thrombosis) in 2 (0:2). Of 24 males and 35 females with PS deficiency, 22 and 25, respectively, had at least one episode of VTE. The first VTE episode was leg DVT in 39 (male to female ratio: 18:21), PE in 1 (0:1), leg DVT + PE in 5 (4:1), and VTE at unusual sites in 2 (0:2; 1 leg DVT + mesenteric

venous thrombosis and 1 cerebral venous sinus thrombosis). Of 8 males and 4 females with combined deficiencies, 7 and 4, respectively, had at least one episode of VTE. The first VTE episode was in the leg in 6 (male to female ratio: 4:2) and leg DVT + PE in 5 (3:2) (Table 3). In total, of the 183 patients deficient in natural anticoagulants, 142 (78%) experienced at least one episode of VTE. Further analysis was performed in these 142 patients.

Age at Occurrence and Predisposing Factors for First VTE Episode

Of the 12 first VTE episodes in male patients with AT deficiency, 8 (66%) occurred before the age of 40 years and 1 (8%) occurred at the age of 60 years or above. Of the 12 VTE episodes, 10 (83%) were idiopathic and the other 2 (17%) were with provoked VTE. Of the 21 first VTE episodes in male patients with PC deficiency, 9 (43%) occurred before the age of 40 years and 4 (19%) occurred at the age of 60 years or above. Of the 21 VTE episodes, 14 (67%) were idiopathic and 7 (33%) were with provoked VTE. Of the 22 first VTE episodes in male patients with PS deficiency, 10 (45%) occurred before the age of 40 years and 4 (18%) occurred at the age of 60 years or above. Of the 22 VTE episodes, 14 (64%) were idiopathic and 8 (36%) were with provoked VTE. Of the 7 first VTE episodes in male patients with combined deficiencies, 3 (43%) occurred before the age of 40 years and 2 (29%) occurred at the age of 60 years or above. Of the 7 VTE episodes, 6 (86%) were idiopathic and 1 (14%) was provoked VTE (Figure 1A).

Of the 26 first VTE episodes in female patients with AT deficiency, 15 (58%) occurred before the age of 40 years and 4 (15%) occurred at the age of 60 years or above. Of the 26 VTE episodes, 13 (50%) were idiopathic and 13 (50%) were with provoked VTE. Of the 9 provoked VTE that occurred before the age of 40 years, 8 (89%) were associated with pregnancy or parturition. Of the 25 first VTE episodes in female patients with PC deficiency, 12 (48%) occurred before the age of 40 years and 6 (24%) occurred at the age of 60 years or above. Of the 25 VTE episodes, 14 (56%) were idiopathic and 11 (44%) were provoked VTE. Three of the 6 patients with provoked VTE that occurred before the age of 40 years were associated with pregnancy or parturition. Of the 25 first VTE episodes in female patients with PS deficiency, 12 (48%) occurred before the age of 40 years and 9 (36%) occurred at the age of 60 years or above. Of the 25 VTE episodes, 14 (56%)

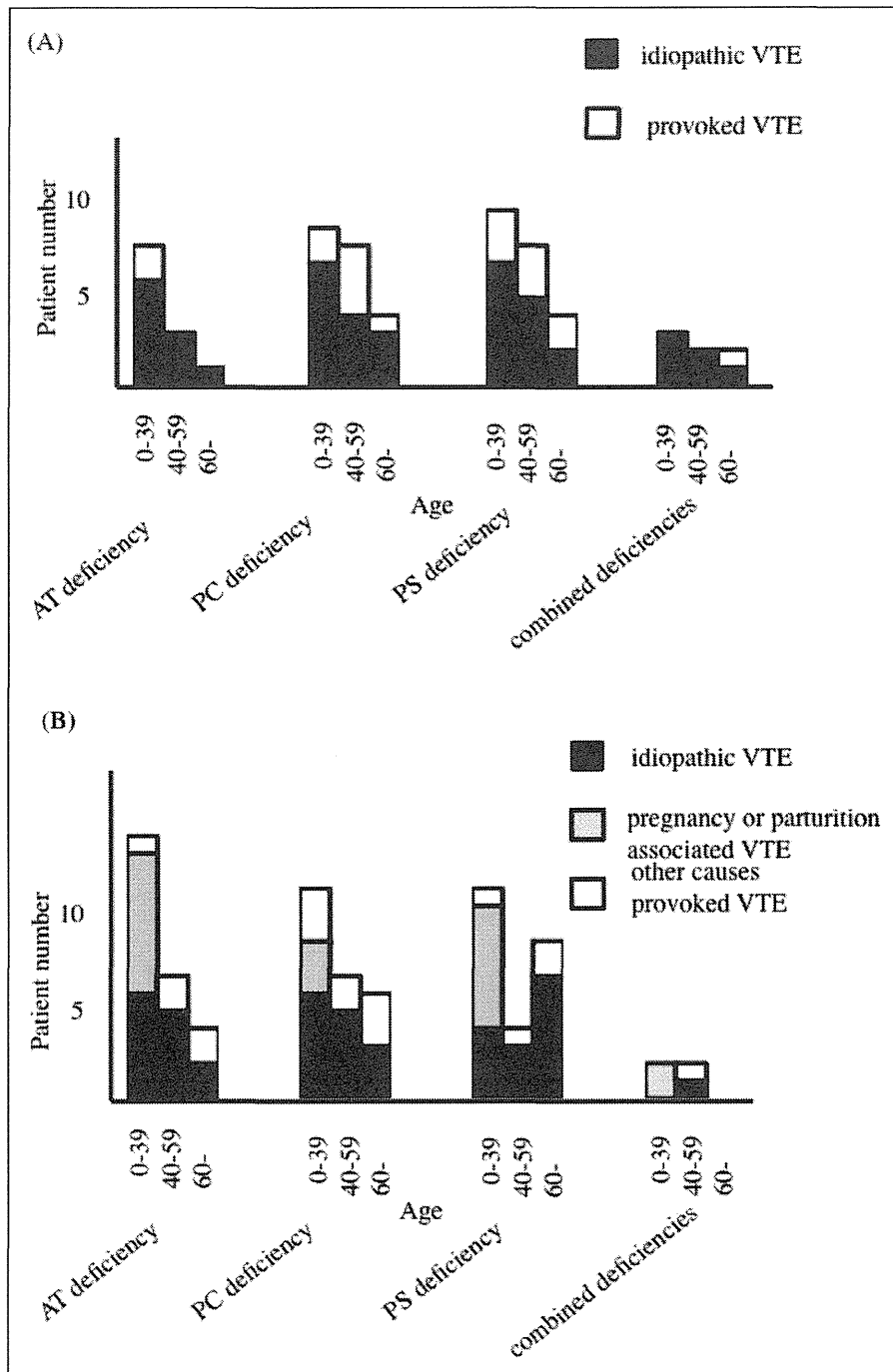


Figure 1. Age at occurrence and predisposing factors of the first episodes of venous thromboembolism (VTE) in male (A) or female (B) patients deficient in natural anticoagulants.

were idiopathic and 11 (44%) were provoked VTE. Of the 8 provoked VTE occurring before the age of 40 years, 7 (88%) were associated with pregnancy or parturition. Of the 4 first episodes of VTE in female patients with combined deficiencies, 2 (50%) occurred before the age of 40 years and none (0%) occurred at the age of 60 years or above. Of the 4 episodes of VTE, 1 (25%) was idiopathic and 3 (75%) were provoked VTE. Both cases (100%) of provoked VTE that occurred before

the age of 40 years were associated with pregnancy or parturition (Figure 1B).

Prescription of Anticoagulants and VTE Recurrence

In 62 male patients with first episode of VTE, VTE recurred in 3 (38%) of 8 with AT deficiency, 1 (7%) of 14 with PC deficiency, none (0%) of 14 with PS deficiency, and none (0%)

Table 3. Sites of the First Episodes of VTE in Patients Deficient in Natural Anticoagulants

Type of Deficiency	AT, n = 38	PC, n = 46	PS, n = 47	Combined, n = 11
Male:Female	12:26	21:25	22:25	7:4
Sites of VTE				
Leg DVT	6:18	16:13	18:21	4:2
PE	2:2	2:4	0:1	0:0
Leg DVT + PE	4:2	3:6	4:1	3:2
Unusual sites	0:4	0:2	0:2	0:0

Abbreviations: AT, antithrombin; PC, protein C; PS, protein S; DVT, deep vein thrombosis; PE, pulmonary embolism; VTE, venous thromboembolism.

Table 4. Relationship Between Anticoagulant Therapy After First Episode of VTE in Male Patients Deficient in Natural Anticoagulants and Recurrence of VTE

Type of Deficiency	AT, n = 12	PC, n = 21	PS, n = 22	Combined, n = 7
Continued	8	14	14	4
Recurrence of VTE (%)	3 (38)	1 (7)	0 (0)	0 (0)
Discontinued	4	7	8	3
Recurrence of VTE (%)	4 (100)	4 (57)	4 (50)	1 (33)

Abbreviations: AT, antithrombin; PC, protein C; PS, protein S; VTE, venous thromboembolism.

Table 5. Relationship Between Anticoagulant Therapy After First Episode of Idiopathic VTE in Female Patients Deficient in Natural Anticoagulants and Recurrence of VTE

Type of Deficiency	AT, n = 13	PC, n = 14	PS, n = 14	Combined, n = 1
Continued	9	7	10	1
Recurrence of VTE (%)	2 (22)	2 (29)	1 (10)	1 (100)
Discontinued	4	7	4	0
Recurrence of VTE (%)	4 (100)	0 (0)	1 (25)	0 (0)

Abbreviations: AT, antithrombin; PC, protein C; PS, protein S; VTE, venous thromboembolism.

of 4 with combined deficiencies who continued anticoagulant therapy after the first episode, while VTE recurred in 4 (100%) of 4 with AT deficiency, 4 (57%) of 7 with PC deficiency, 4 (50%) of 8 with PS deficiency, and 1 (33%) of 3 with combined deficiencies who had stopped anticoagulants (Table 4). In 42 female patients with first episode of idiopathic VTE, VTE recurred in 2 (22%) of 9 with AT deficiency, 2 (29%) of 7 with PC deficiency, 1 (10%) of 10 with PS deficiency, and 1 (100%) of 1 with combined deficiencies who continued anticoagulant therapy after the first episode, while VTE recurred in 4 (100%) of 4 with AT deficiency, none (0%) of 7 with PC deficiency, and 1 (25%) of 4 with PS deficiency who had stopped anticoagulants (Table 5). In 38 female patients with first episode of provoked, including pregnancy- or parturition-associated VTE, VTE recurred in 1 (13%) of 8 with

Table 6. Relationship Between Anticoagulant Therapy After First Episode of Provoked VTE in Female Patients Deficient in Natural Anticoagulants and Recurrence of VTE

Type of Deficiency	AT, n = 13	PC, n = 11	PS, n = 11	Combined, n = 3
Continued	8	5	6	1
Recurrence of VTE (%)	1 (13)	1 (20)	0 (0)	0 (0)
Discontinued	5	6	5	2
Recurrence of VTE (%)	1 (20)	0 (0)	2 (40)	1 (50)

Abbreviations: AT, antithrombin; PC, protein C; PS, protein S; VTE, venous thromboembolism.

AT deficiency, 1 (20%) of 5 with PC deficiency, none (0%) of 6 with PS deficiency, and none (0%) of 1 with combined deficiencies who continued anticoagulant therapy after the first episode, while VTE recurred in 1 (20%) of 5 with AT deficiency, none (0%) of 6 with PC deficiency, 2 (40%) of 5 with PS deficiency, and 1 (50%) of 2 with combined deficiencies who had stopped anticoagulants (Table 6). All 17 episodes of recurrent VTE in male patients were idiopathic; whereas in the female patients, 9 were idiopathic, 8 were provoked, and 4 were associated with pregnancy or parturition.

Discussion

Several case control studies have reported the incidence of inherited thrombophilia in Japanese patients with VTE. One study demonstrated that 113 Japanese patients are with VTE, 32 (28.3%) had an AT, PC, or PS deficiency,¹⁹ and another study demonstrated that 13 (12%) of 108 patients with AT or PC deficiency had VTE.²⁰ Of 161 patients with VTE, 15 (9.3%) were carriers of the PS K196E mutation compared with 1.8% of the general population and this mutation is a confirmed genetic risk factor for VTE in the Japanese.²¹ These studies demonstrated that deficiencies of natural anticoagulants are more common in Japanese patients with VTE than in whites with VTE, but the clinical course of Japanese patients deficient in natural anticoagulants is not clear. Therefore, we conducted a nationwide survey to elucidate the clinical course of these patients. Detailed information about the clinical course and management of 183 Japanese patients deficient in natural anticoagulants was obtained. Of the patients in our survey, 41 (22%) were diagnosed without previous history of VTE. Antigen and/or activity level of their natural anticoagulants are supposed to be measured because their relatives had been thought to be inherited thrombophilia. Rest of the patients in our survey had had at least one episode of VTE and 60% of the first VTE episodes in patients with AT deficiency occurred before the age of 40 years and approximately 45% of the first episodes of VTE in patients with PC or PS deficiency occurred before the age of 40 years. Approximately 60% of first VTE episodes were idiopathic and some of the first VTE episodes occurred at unusual sites, such as the mesenteric vein and cerebral sinus. Approximately 40% of patients with AT deficiency and 17% of patients with PC or PS deficiency with VTE experienced a recurrence.

These findings are comparable with those of a recent prospective study in Europe, demonstrating that 58% of first VTE episodes in thrombophilic individuals were idiopathic and mean age of onset was around the age of 40 years.⁹

Women are at increased risk of VTE during pregnancy and the puerperium with an estimated incidence of 0.7 to 1.3 per 1000 pregnant women,^{22,23} which is approximately 10 times higher than that in nonpregnant women of fertile age. Growing evidence suggests that pregnant women with inherited thrombophilia have a greater risk of VTE than pregnant women without thrombophilia. Administration of prophylactic anticoagulants during pregnancy and postpartum is a common practice for pregnant women with prior VTE and inherited thrombophilia. The management of pregnant women with no prior VTE, but inherited thrombophilia, is controversial, however, because the actual risk of VTE during pregnancy and parturition is uncertain. In a systematic review of 9 studies that estimated the risk of VTE in pregnant women with inherited thrombophilia, deficiencies of natural anticoagulants were associated with a moderately increased risk (AT deficiency: odds ratio [OR] 4.69, PC deficiency: OR 4.76, and PS deficiency: OR 3.19²⁴). A case-control study of 119 women with first VTE episodes during pregnancy or parturition showed that the relative risk of VTE associated with AT, PC, or PS deficiency was increased by as much as 13-fold.²⁵ In a retrospective study of 72 000 pregnancies, the incidence of VTE was 1 in 113 pregnancies for those with PC deficiency, 1 in 2.8 pregnancies for those with AT deficiency type I, and 1 in 42 pregnancies for those with AT deficiency type II.²⁶ Considering these results, pregnant women with no prior VTE but AT deficiency should receive prophylactic anticoagulants, while pregnant women with no prior VTE but PC or PS deficiency should be under clinical surveillance or receive prophylactic anticoagulants antepartum and prophylactic anticoagulants postpartum based on the eighth The American College of Chest Physicians (ACCP) guideline.²⁷ Although the risk of VTE in Japanese pregnant women deficient in natural anticoagulants could not be estimated by our survey, approximately half of the first VTE episodes in female patients occurring before the age of 40 years were associated with pregnancy or parturition. These results suggest that pregnant Japanese as well as white women deficient in natural anticoagulants have an increased risk of VTE, and prophylactic anticoagulants might be beneficial even when the patient has had no prior VTE.

Recurrence of VTE and hemorrhagic complications during anticoagulant therapy are the major problems in the management of patients with VTE. Optimal duration of VKA therapy after the first VTE episode depends on the estimated risk of recurrence and the risk of hemorrhagic complications in patients with prolonged VKA, which is reported to be 1% to 3%.^{28,29} A recent patient level meta-analysis demonstrated that the 5-year cumulative incidence of recurrent VTE after discontinuation of anticoagulants was 43.1% in men with idiopathic VTE, which was 2.2 times higher than in women with idiopathic VTE, but the risk of recurrence did not differ between men and women with provoked VTE.³⁰ The relationship

between inherited thrombophilia and risk of recurrent VTE was not mentioned in that study. Two recent prospective cohort studies reported a similar risk of recurrence in patients with a first VTE episode regardless of whether they had a thrombophilic defect,^{31,32} but these two studies included patients with an FVL or PT G20210A mutation as well as patients with AT, PC, or PS deficiency. On the other hand, a recent retrospective analysis involving only patients with AT, PC, or PS deficiency confirmed that relative risk of recurrent VTE was 1.4 for these patients compared with patients without natural anticoagulant deficiencies. The risk of recurrence increased after a first idiopathic VTE as well as with the concomitance of other thrombophilic defects.³³ A total of 34 patients deficient in natural anticoagulants experienced VTE recurrence and 22 episodes occurred after cessation of anticoagulant therapy in our survey. Recurrence of VTE seemed to be more frequent in patients with AT deficiency compared with patients with PC or PS deficiency. Although the optimal duration of anticoagulant therapy after first VTE episode for patients deficient in natural anticoagulants has not been established, based on the previous retrospective analysis and the results of our survey, long-term anticoagulants may be beneficial for male patients deficient in natural anticoagulants after the first VTE episode, and female patients after the first episode of idiopathic VTE, especially in patients with AT deficiency.

Our study has some limitations. All cases with AT or PC deficiency were diagnosed by the measurement of activity of AT or PC. In some cases, antigen levels were also measured to classify the deficiency as type I or type II. Activity of PS was measured in 61% of patients with PS deficiency and other patients with PS deficiency were diagnosed by the measurement of PS antigen only. A higher incidence of VTE in Japanese patients with AT deficiency type I than patients with AT deficiency type II³⁴ and a high prevalence of PS deficiency type II in the Japanese has been reported.¹² These previous reports led us to measure both antigen levels and activities of AT, PC, and PS in Japanese patients with suspected natural anticoagulant deficiencies, but our questionnaires revealed that all of them were measured in only a limited number of patients. All Japanese are basically covered by national health insurance. The measurement of activities of AT and PC and PS antigen is covered by insurance, but the measurement of activity of PS was not covered at the time of survey. This restriction made it difficult to measure both activities and antigen levels of anticoagulants in all patients with suspected natural anticoagulant deficiencies in clinical practice. We did not ask the cutoff value of the levels of natural anticoagulants in each institute and the variation of cutoff value might exist among institutes; however, the results of our survey suggested that cutoff value was 65% to 70% for the activity of AT and 60% to 65% for the activity of PC. Cutoff value for the activity of PS and PS antigen seemed to be 50% to 55% and 55% to 60%, respectively, except for pregnant women. We asked family history and genetic diagnosis in the questionnaires; however, genetic analysis of natural anticoagulants is not covered by the health insurance and response to the questionnaires about relatives or genetic diagnosis of patients' might conflict with the

privacy policy at some institutes. In addition, some patients were only recently diagnosed and attending physicians might have sufficient information about the patients' relatives, while others were diagnosed years ago and information about the patients' relatives might be uncertain because of changes in attending physicians. These limitations could result in insufficient information of family history or genetic diagnosis of patients. Patients with PS K196E might be included in patients with PS deficiency in our survey and it is interesting to compare the clinical course of these patients to those of other patients deficient in natural anticoagulants; however, we could not do it because of above reason. Furthermore, it cannot be completely denied that patients with secondary deficiency in natural anticoagulants might be included in our survey. Finally, most patients in our survey visited their physicians regularly. Because patients without medication or patients with no prior VTE might not continue to visit their physicians, most individuals deficient in natural anticoagulants and with no prior VTE could be excluded from this study. These might result in a high frequency of first or recurrent episodes of VTE and a high VKA prescription rate in patients with prior VTE.

In conclusion, our survey revealed useful information regarding the occurrence of VTE in Japanese patients deficient in natural anticoagulants and the clinical management of these patients. Most of the patients in our survey were followed-up by a vascular surgeon or hematologist. Societies of these specialists should collaborate to create a central registry for Japanese patients with deficiency in natural anticoagulants to determine the actual incidence of VTE in these patients and to establish guidelines for adequate management of these patients to prevent a first or recurrent VTE.

Appendix

Name and institute of the physicians who provided the information of patients deficient in natural anticoagulants are as follow (INPO): H Shikata (Kanazawa Medical University); M Yamazaki (Tokyo Women's Medical University); U Yatomi (Tokyo University); M Ieko (Hokkaido University); E Morishita (Kanazawa University); H Satokawa (Fukushima Medical University); K Okamoto and M Sakai (University of Occupational and Environmental Health, Japan); H Komai (Tokyo Medical University); M Uchiba (Kumamoto University); K Ijima (Tottori University); O Sato (Saitama Medical Center); T Koyama (Tokyo Medical Dental University); N Yamamoto (Hamamatsu Medical University); T Okamura (Kurume University); H Wada (Mie University); S Madoiwa (Jichi Medical University); K Ota, H Ishibashi, and T Yamada (Aichi Medical University); N Shirasugi (Aiseikai Aisei Hospital); M Yoshida (Hyogo Brain and Heart Center); N Morimoto (Jikeikai Kitami Central Hospital); S Sugiyama (Hiroshima Teishin Hospital); Y Shigekiyo (Tokushima Prefectural Hospital); N Nishikimi (Japanese Red Cross Nagoya Daiichi Hospital); K Niimi (KKR Tokai Hospital); K Naito (Hamamatsu

Medical Center); S Matsumoto (National Hospital Organization Tokyo Medical Center); M Yasaka (National Hospital Organization Kyushu Medical Center); T Kawasaki (Osaka University); T Kojima (Nagoya University); K Yokoyama (Keio University).

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