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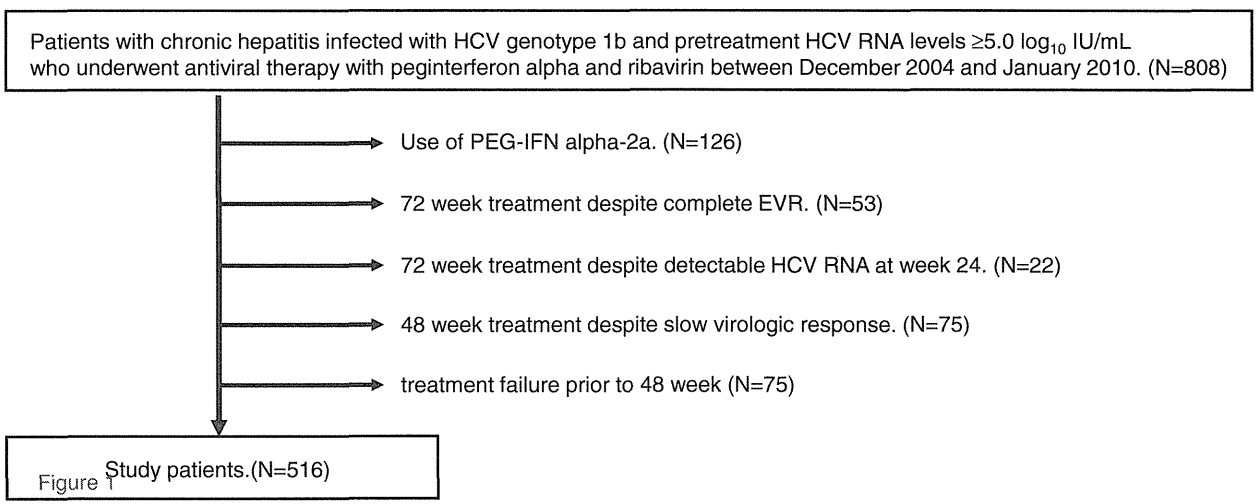
Patients with chronic hepatitis infected with HCV genotype 1b and pretreatment HCV RNA levels ≥5.0 log10 IU/mL
who underwent antiviral therapy with peginterferon alpha and ribavirin between December 2004 and January 2010. (N=808)
Use of PEG-IFN alpha-2a. (N=126)
72 week treatment despite complete EVR. (N=53)
72 week treatment despite detectable HCV RNA at week 24. (N=22)
48 week treatment despite slow virologic response. (N=75)
treatment failure prior to 48 week (N=75)
Study patients.(N=516)

Figure 1

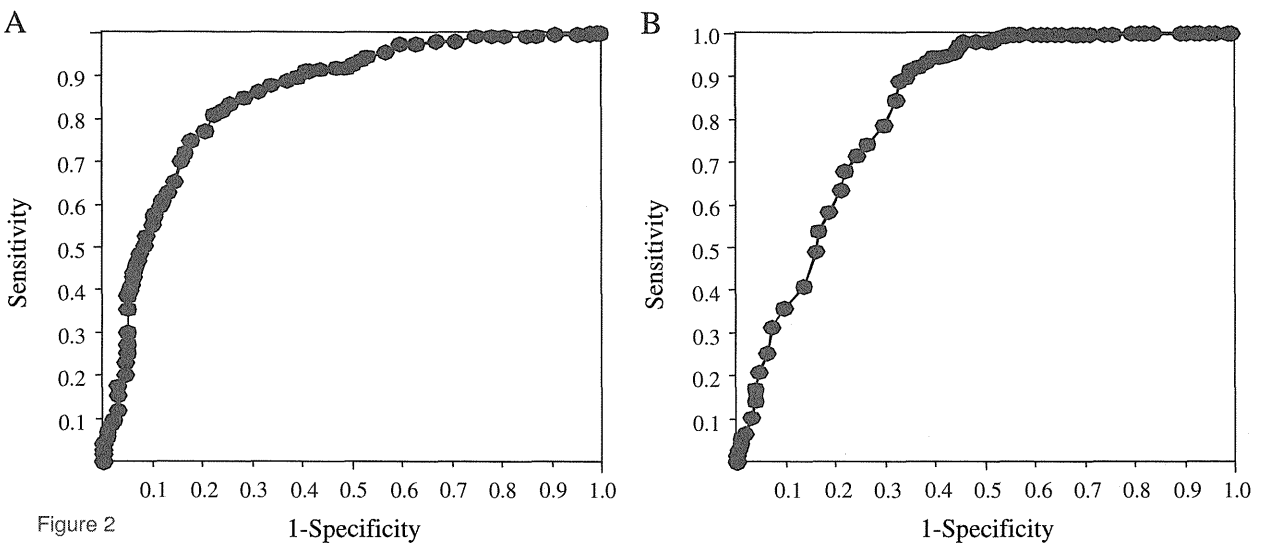
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Figure 2

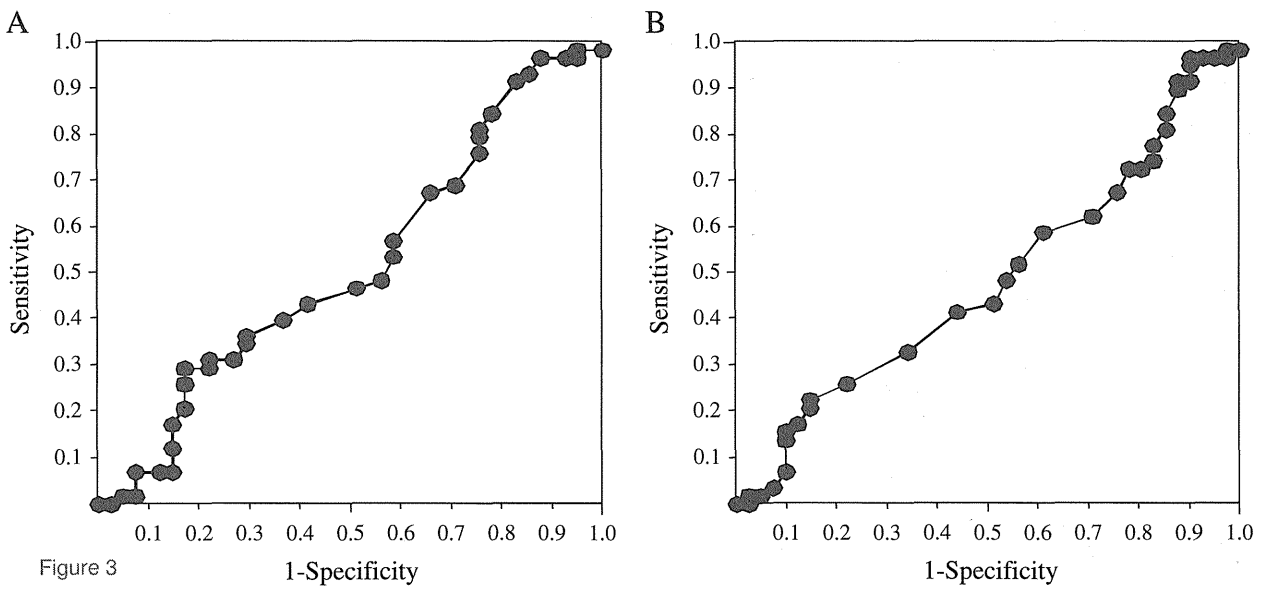
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Figure 3

Journal of Medical Virology 85:65–70 (2013)

Baseline Factors and Early Viral Response (Week 4) to Antiviral Therapy With Peginterferon and Ribavirin for Predicting Sustained Virologic Response in Patients Infected With Hepatitis C Virus Genotype 1: A Multicenter Study

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Both baseline predictive factors and viral response at week 4 of therapy are reported to have high predictive ability for sustained virologic response to peginterferon and ribavirin combination therapy in patients with hepatitis C virus (HCV) genotype 1. However, it is not clear how these baseline variables and week 4 response should be combined to predict sustained virologic response. In this multicenter study, the authors investigated the impact of baseline predictive factors on the predictive value of week 4 viral response. Receiver-operating characteristic curve analyses were performed to evaluate the ability of week 4 reduction in HCV RNA levels to predict sustained virologic response in 293 Japanese patients infected with HCV genotype 1b. Analyses were performed in all patients and in patient subgroups stratified according to baseline variables. Overall, week 4 viral reduction demonstrates a high predictive ability for sustained virologic response. The sensitivity, specificity, positive predictive value (PPV), negative predictive value, and accuracy were higher than those of viral reduction at week 12. However, the best cut-off levels differ depending on the baseline factors and they were lower in patients with unfavorable baseline predictors. When patients had the TG/GG rs8099917 genotype, the best cut-off was markedly low with low PPV. Week 4 viral response can be a predictor of sustained virologic response in patients with HCV genotype 1 and is better than week 12 viral response. However, the cut-off levels should be modified based on the baseline predictive variables. ***J. Med. Virol. 85:65–70, 2013.*** © 2012 Wiley Periodicals, Inc.

KEY WORDS: chronic hepatitis C; peginterferon and ribavirin; week 4 viral response; baseline predictive factors, genetic polymorphism near the *IL28B* gene

INTRODUCTION

Although the combination antiviral therapy with peginterferon (PEG-IFN) and ribavirin has increased markedly the rate of patients with a sustained virologic response, that is, the eradication of hepatitis C virus (HCV), only 50% of patients infected with HCV genotype 1 had achieved a sustained virologic response, approximately. Several studies reported that early HCV viral dynamics during therapy have a high

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predictive value for a sustained virologic response in HCV genotype 1-infected patients. Previous studies reported that the response of HCV during combination therapy, that is, the changes in serum HCV RNA levels after starting therapy, has been shown to be an important predictor of the treatment outcome [Zeuzem et al., 2001; Buti et al., 2002; Berg et al., 2006]. Several recent reports have emphasized the importance of evaluating the viral dynamics at 4 weeks after starting therapy to predict a sustained virologic response. A rapid virologic response, in which serum HCV RNA is undetectable at 4 weeks after starting therapy, has been a strong predictive factor of a sustained virologic response reportedly [Martinez-Bauer et al., 2006; Poordad et al., 2008; Martinot-Peignoux et al., 2009; de Segadas-Soares et al., 2009]. In addition to a rapid virologic response, reduced serum HCV RNA levels at 4 weeks after starting therapy has also been reported to have a strong predictive value for the likelihood of achieving sustained virologic response to PEG-IFN and ribavirin combination therapy in patients infected with HCV genotype 1 [Yu et al., 2007; Huang et al., 2010; Toyoda et al., 2011; Marcellin et al., 2012]. These studies suggested that a reduction in HCV RNA levels at week 4 is closely associated with the probability of achieving sustained virologic response.

Aside from early viral response to therapy, several baseline host and viral factors are associated with treatment outcome. Genetic polymorphism near the *IL28B* gene (rs12979860 or rs8099917) is the strongest baseline factor associated with treatment outcome in patients with HCV genotype 1 reportedly [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009; McCarthy et al., 2010; Rauch et al., 2010]. In addition, studies from Japan have reported that amino acid substitutions at residue 70 of the HCV core region and amino acids 2,209–2,248 of the NS5A region of HCV (i.e., interferon sensitivity-determining region, ISDR) are viral factors associated with treatment outcome in patients with HCV genotype 1b [Enomoto et al., 1996; Akuta et al., 2005, 2007a; Donlin et al., 2007; Maekawa and Enomoto, 2009; Hayes et al., 2011]. Given these various predictors for a sustained virologic response, that is, week 4 viral response and baseline variables, how should they be combined to predict treatment outcome more precisely? In the present study, the authors investigated how to incorporate week 4 viral response to PEG-IFN and ribavirin combination therapy with baseline predictive factors to predict a sustained virologic response.

MATERIALS AND METHODS

Patients and Analyses

In this multicenter study, 682 patients who underwent PEG-IFN alpha-2b and ribavirin combination therapy in a standard treatment regimen at one of the participating institutions, (Musashino Red Cross Hospital, Kurume University Hospital, Shin-Matsudo Central General Hospital, Kagawa Prefectural Central Hospital, and Ogaki Municipal Hospital) between December 2004 and January 2010 were initially included into the retrospective analyses. All patients were infected with HCV genotype 1b; patients with HCV genotype 1a are usually not found in the Japanese general population. Pretreatment HCV RNA levels were $\geq$5.0 $\log_{10}$ IU/ml, based on a quantitative real-time PCR-based method (COBAS AmpliPrep/COBAS TaqMan HCV Test; Roche Molecular Systems, Pleasanton, CA; lower limit of quantification, 1.7 $\log_{10}$ IU/ml: lower limit of detection, 1.0 $\log_{10}$ IU/ml) [Colucci et al., 2007; Pittaluga et al., 2008], because the use of ribavirin along with PEG-IFN is not approved by Japanese National Medical Insurance System for patients with pretreatment HCV RNA levels <5.0 $\log_{10}$ IU/ml. No patients had co-infection with hepatitis B virus or human immunodeficiency virus. All patients had 100% medication adherence for both PEG-IFN and ribavirin during the initial 4 weeks of therapy and 80% or more throughout the treatment period. Among these 682 patients, three baseline factors, genetic polymorphism near the *IL28B* gene, amino acid substitution at residue 70 of the HCV core region, and ISDR sequence had been measured prior to treatment in 405 patients. We excluded 112 of these 405 patients with extended treatment duration up to 72 weeks because the extension of treatment duration might influence outcomes, leaving 293 patients who underwent 48-week standard regimen included in the final sample (Fig. 1).

Receiver-operating characteristic (ROC) analyses were performed to evaluate the value of week 4 reduction in HCV RNA levels in predicting sustained virologic response and an area under the ROC curve (AUROC) was generated. Best cut-off levels were determined based on the sensitivity and specificity. Sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), and accuracy were also calculated using these cut-off levels. Analyses were performed for all patients and subgroups according to baseline variables. The same analyses were performed on the reduction in HCV RNA levels at week 12 after starting therapy.

The study protocol was in compliance with the Helsinki Declaration and was approved by the ethics committee of each participating institution.

Measurements of Serum HCV RNA Levels, Amino Acid Substitution at Residue 70 in the HCV Core, Amino Acid Sequence of ISDR, and Genetic Polymorphism Near the *IL28B* Gene

After each patient gave informed consent, serum samples were obtained during the patient's regular hospital visits just prior to beginning treatment, every 4 weeks during the treatment period, and during the 24-week follow-up period after treatment. Serum samples were stored at −80°C until they were analyzed. HCV RNA levels were measured using a quantitative

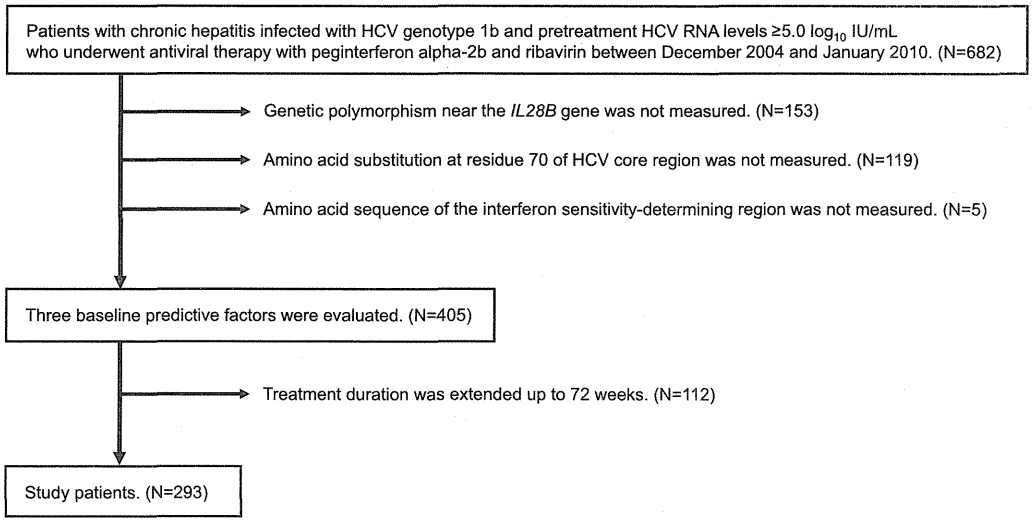

Fig. 1. Schematic representation of the study patients.

real-time PCR-based method (COBAS AmpliPrep/COBAS TaqMan HCV Test). The reductions in HCV RNA 4 and 12 weeks after starting therapy were calculated based on the pretreatment HCV RNA levels. When calculating the reduction in HCV RNA levels, HCV RNA concentration was defined as zero when HCV RNA was undetectable (i.e., rapid virologic response at week 4 and complete early virologic response at week 12).

Amino acid 70 of the HCV core region and the amino acid sequence of the ISDR were analyzed by direct nucleotide sequencing of each region as described previously [Enomoto et al., 1996; Akuta et al., 2007b]. The following PCR primer pairs were used for direct sequencing of the HCV core region:

5′-GCCATAGTGGTCTGCGGAAC-3′ (outer, sense primer), 5′-GGAGCAGTCCTTCGTGACATG-3′ (outer, antisense primer), 5′-GCTAGCCGAGTAGTGTT-3′ (inner, sense primer), and 5′-GGAGCAGTCCTTCGTGACATG-3′ (inner, antisense primer). The following PCR primers were used for direct sequencing of ISDR: 5′-TTCCACTACGTGACGGGCAT-3′ (outer, sense primer), 5′-CCCGTCCATGTGTAGGACAT-3′ (outer, antisense primer), 5′-GGGTCACAGCTCCCTGTGAGCC-3′ (inner, sense primer), and 5′-GAGGGTTGTAATCCGGGCGTGC-3′ (inner, antisense primer). When evaluating the ISDR, HCV was defined as wild-type when there were zero or one amino acid substitutions in residues 2,209–2,248 as compared with the HCV-J strain [Kato et al., 1990], and as non-wild-type when there was more than one substitution.

Genotyping of rs8099917 polymorphisms near the *IL28B* gene was performed using the TaqMan SNP assay (Applied Biosystems, Carlsbad, CA) according to the manufacturer's guidelines. A pre-designed and functionally tested probe was used for rs8099917 (C_11710096_10, Applied Biosystems). Genetic polymorphism of rs8099917 reportedly corresponds to rs12979860 in more than 99% of individuals of Japanese ethnicity [Tanaka et al., 2010]. The TT genotype of rs8099917 corresponds to the CC genotype of rs12979860, the GG genotype of rs8099917 corresponds to the TT genotype of rs12979860, and the TG heterozygous genotype of rs8099917 corresponds to the CT of rs12979860.

RESULTS

Patients Characteristics and Baseline Variables

Table I summarizes patient characteristics. The polymorphism of rs8099917 was TT genotype in 204 patients (69.6%). Amino acid substitution at residue 70 was arginine in 200 patients (68.3%). HCV-ISDR was non-wild-type in 78 patients (26.6%). All these variables (TT genotype of rs8099917, arginine at residue 70, and non-wild-type ISDR) were reportedly associated with favorable response to therapy.

As a final outcome, 113 patients (38.6%) achieved sustained virologic response. Sensitivity, specificity, PPV, NPV, and accuracy were 97%, 48%, 54%, 97%, and 67%, respectively, according to genotypes of rs8099917 near the *IL28B* gene. They were 85%, 42%, 48%, 82%, and 59%, respectively, according to amino acid substitutions at residue 70 in the HCV core region, and 43%, 84%, 63%, 70%, and 78%, respectively, according to ISDR of HCV NS5A region.

Association Between Week 4 Viral Reduction and Treatment Outcome Based on Baseline Predictive Factors

Table II shows the predictive value of a reduction in serum HCV RNA levels at week 4 of therapy in all patients and based on each baseline predictive variable. Week 4 viral reduction demonstrates a high predictive ability for a sustained virologic response with

TABLE I. Characteristics of Study Patients

Age (years), median (range)	60 (20–80)
Sex (male/female) (%)	150 (51.2)/143 (48.8)
BMI, median (range)	22.6 (15.8–33.3)
Prior treatment for HCV (no/yes) (%)	201 (68.6)/92 (31.4)
Initial dose of PEG-IFN (μg), median (range)	80.0 (40.0–150.0)
Initial dose of ribavirin (mg), median (range)	600 (200–1,000)
Pretreatment HCV RNA levels (log_{10} IU/ml), median (range)	6.1 (5.0–7.4)
Platelet count ($\times 10^3/\mu l$)	159 (43–373)
Hemoglobin (g/dl)	13.9 (8.6–18.1)
Neutrophil count (μl^{-1})	2,430 (4,670–7,480)
Alanine aminotransferase (IU/L)	49 (10–485)
Genetic polymorphisms of rs8099917 (TT/TG or GG) (%)	204 (69.6)/89 (30.4)
Amino acid at residue 70 of HCV core (arginine/glutamine or histidine) (%)	200 (68.3)/93 (31.7)
Amino acid sequence of ISDR (non-wild-type/wild-type) (%)	78 (26.6)/215 (73.4)

(N = 293).
BMI, body mass index; HCV, hepatitis C virus; PEG-IFN, peginterferon; ISDR, interferon sensitivity-determining region.

a high AUROC in all patients, in which sensitivity, specificity, PPV, NPV, and accuracy were more than 80%. The best cut-off for the prediction was 3.1-log_{10} reduction. When patients were stratified according to baseline predictive factors, AUROC remained above 0.85, indicating retention of high predictive ability. However, the best cut-off levels differ depending on baseline factors, and they were lower in patients with unfavorable baseline predictors (TG/GG genotype of rs8099917 near the *IL28B* gene, glutamine/histidine at residue 70 of the HCV core region, and wild-type of ISDR). Especially, when patients had the TG/GG rs8099917 genotype, the calculated best cut-off level was markedly lower than that of patients with the TT genotype. Sensitivity, specificity, PPV, NPV, and accuracy were more than 70% in all patient subgroups, except for patients with the TG/GG genotype in whom PPV was only 10%.

Association Between Week 12 Viral Reduction and Treatment Outcome Based on Baseline Predictive Factors

Table III shows the predictive value of a reduction in serum HCV RNA levels at week 12 of therapy in all patients and based on each baseline predictive variable. The predictive ability of week 12 viral reduction for sustained virologic response was decreased in comparison to that of week 4 with a low AUROC in all patients. The specificity, PPV, and accuracy of the prediction at week 12 were also lower than those at week 4. The best cut-off levels increased to 5.0-log_{10} reduction. When patients were stratified according to the genetic polymorphisms of rs8099917 near the *IL28B* gene and according to amino acid substitutions at residue 70 of the HCV core region, the differences of the best cut-off levels based on these baseline factors were less marked than those at week 4, although the best cut-off levels remained lower in patients with unfavorable baseline predictors. The difference of best cut-off levels between patients with TT genotype and with TG/GG genotype of rs8099917 also decreased, but PPV in patients with TG/GG genotype remained low (21%). In contrast, the difference in the best cut-off levels increased when patients were stratified according to amino acid sequences in ISDR. The best cut-off level of the reduction in HCV RNA levels at week 12 for predicting sustained virologic response was higher in patients with HCV of wild-type ISDR, an unfavorable baseline variable, than in patients with HCV of favorable non-wild-type ISDR, which was inverse to the evaluation with week 4 viral reduction in which the cut-off level was higher in patients with HCV of non-wild-type ISDR.

TABLE II. AUROC, Best Cut-Off Level, Sensitivity, Specificity, PPV, NPV, and Accuracy of the Reduction in Serum HCV RNA Levels 4 Weeks After Starting PEG-IFN and Ribavirin Combination Therapy From Pretreatment Levels for Predicting Sustained Virologic Response

	N	AUROC	Best cut-off	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)	Accuracy (%)
Overall	293	0.92746	3.1 log_{10}	88	87	81	92	87
IL28B-TT	204	0.88353	3.2 log_{10}	87	78	82	84	83
IL28B-TG or GG	89	0.84302	1.1 log_{10}	100	69	10	100	70
Core 70-R	200	0.91023	3.2 log_{10}	86	83	82	87	85
Core 70-Q or H	93	0.94350	2.8 log_{10}	88	93	75	97	92
ISDR-non-wild type	78	0.93455	3.0 log_{10}	90	90	94	84	90
ISDR-wild type	215	0.92654	2.9 log_{10}	92	84	71	96	87

AUROC, area under the receiver-operating characteristics curve; PPV, positive predictive value; NPV, negative predictive value; HCV, hepatitis C virus; PEG-IFN, peginterferon; R, arginine; Q, glutamine; H, histidine; ISDR, interferon sensitivity-determining region.

TABLE III. AUROC, Best Cut-Off Level, Sensitivity, Specificity, PPV, NPV, and Accuracy of the Reduction in Serum HCV RNA Levels 12 Weeks After Starting PEG-IFN and Ribavirin Combination Therapy From Pretreatment Levels for Predicting Sustained Virologic Response

	N	AUROC	Best cut-off	Sensitivity (%)	Specificity (%)	PPV (%)	NPV (%)	Accuracy (%)
Overall	293	0.86907	5.0 $\log_{10}$	88	73	67	91	79
IL28B-TT	204	0.79216	5.1l $\log_{10}$	81	61	70	73	71
IL28B-TG or GG	89	0.92829	4.6 $\log_{10}$	100	87	21	100	88
Core 70-R	200	0.81791	5.0 $\log_{10}$	88	63	69	86	75
Core 70-Q or H	93	0.94272	4.9 $\log_{10}$	100	84	59	100	87
ISDR-non-wild type	78	0.87298	5.0 $\log_{10}$	88	79	88	79	85
ISDR-wild type	215	0.89572	5.4 $\log_{10}$	84	79	63	92	81

AUROC, area under the receiver-operating characteristics curve; PPV, positive predictive value; NPV, negative predictive value; HCV, hepatitis C virus; PEG-IFN, peginterferon; R, arginine; Q, glutamine; H, histidine; ISDR, interferon sensitivity-determining region.

DISCUSSION

This study was conducted to confirm the predictive value of week 4 viral dynamics of HCV for predicting sustained virologic response to the combination therapy with PEG-IFN and ribavirin in patients infected with HCV genotype 1 and with pretreatment HCV RNA levels of $\geq$5.0 $\log_{10}$ IU/ml in a large multicenter study of Japan. The comparison of the predictability for sustained virologic response between week 4 and week 12 viral reductions revealed the higher predictive ability of week 4 viral response. In a recent study, Marcellin et al., [2012] suggested that a $\geq$3 $\log_{10}$ reduction in HCV RNA levels at week 4 of PEG-IFN and ribavirin combination therapy is a reliable factor for predicting sustained virologic response in patients with HCV genotype 1. Our current results are consistent with their analysis for patients with HCV genotype 1b and those with pretreatment HCV RNA levels $\geq$5.0 $\log_{10}$ IU/ml overall. The reduction in HCV RNA levels at week 4 appears to be a good and reliable predictor for a sustained virologic response. Although week 12 viral response (i.e., early virologic response) has been used as a pivotal decision criterion to extend treatment duration or to discontinue treatment, the predictive value is lower when the reduction in HCV RNA levels is compared to week 4 viral response.

When patients were stratified based on baseline predictive factors, however, the best cut-off levels for sustained virologic response were not constant. The cut-off levels decreased in patients with unfavorable baseline factors, that is, TG/GG genotype of rs8099917, glutamine/histidine at residue 70 of the HCV core region, and wild-type sequence of ISDR, indicating that the reduction in HCV RNA occurs slowly in patients with these unfavorable baseline variables. Conversely and paradoxically, the results may indicate that one can expect sustained virologic response in patients with a smaller reduction in HCV RNA levels at week 4 if they have unfavorable baseline variables.

When predictive value was evaluated using week 12 viral reduction, the best cut-off levels remained lower in patients with unfavorable TG/GG rs8099917 genotype and patients with HCV of unfavorable glutamine/histidine at residue 70 of the HCV core region. In contrast, the best cut-off level was higher in patients with HCV of unfavorable wild-type ISDR. Previous studies reported the association between the genetic polymorphisms near the *IL28B* gene (rs12979860 and rs8099917) and amino acid substitution at residue 70 of HCV core region [Abe et al., 2010; Kobayashi et al., 2010], whereas no associations were reported between these two variables and ISDR mutation. This might explain the difference in the relationship of early viral response during therapy between with two baseline predictive factors, *IL28B* genetic polymorphisms and amino acid substitution of HCV core region and with ISDR mutation.

The calculated PPV was markedly low in patients with the unfavorable TG/GG genotype of rs8099917 (CT/TT genotype of rs12979860) both by the evaluations at weeks 4 and 12 viral responses. Therefore, it appears to be difficult to identify patients in this subgroup who are likely to achieve a sustained virologic response by their week 4 viral response, although week 4 viral response can be a factor used to identify patients with a high likelihood of achieving sustained virologic response in other subgroups.

In conclusion, week 4 viral response can be a predictor of sustained virologic response in patients with HCV genotype 1. However, the cut-off levels should be modified based on baseline host and viral predictive variables. In addition, week 4 viral response is not predictive in patients with unfavorable genotype of genetic polymorphism near the *IL28B* gene.

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Original Article

Combination of hepatitis B viral antigens and DNA for prediction of relapse after discontinuation of nucleos(t)ide analogs in patients with chronic hepatitis B

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Aim: The factors associated with hepatitis recurrence after discontinuation of nucleos(t)ide analogs (NAs) in patients with chronic hepatitis B were analyzed to predict the risk of relapse more accurately.

Methods: A total of 126 patients who discontinued NA therapy were recruited retrospectively. The clinical conditions of a successful discontinuation were set as alanine aminotransferase (ALT) below 30 IU/L and serum hepatitis B virus (HBV) DNA below 4.0 log copies/mL.

Results: Relapse of hepatitis B were judged to occur when maximal serum ALT became higher than 79 IU/L or when maximal serum HBV DNA surpassed 5.7 log copies/mL following NA discontinuation since these values corresponded with mean values of ALT (30 IU/L) and HBV DNA (4.0 log copies/mL), respectively. At least 90% of patients with either detectable hepatitis B e antigen or serum HBV DNA higher than 3.0 log copies/mL at the time of NA discontinuation relapsed within one year. In the remaining patients, higher levels of both hepatitis B surface and core-related antigens at the time of discontinuation, as well as a shorter course of NA treatment, were significantly associated with relapse by multivariate analysis.

Conclusions: It appears that negative results for hepatitis B e antigen and serum HBV DNA lower than 3.0 log copies/mL are essential for successful NA discontinuation, which may be attained by a longer treatment period. Levels of hepatitis B surface and core-related antigens are also significant factors independently associated with relapse of hepatitis.

Key words: discontinuation, hepatitis B core-related antigen, hepatitis B surface antigen, nucleos(t)ide analogs, relapse of hepatitis

INTRODUCTION

HEPATITIS B VIRUS (HBV) infection is a major health concern that has an estimated 350 to 400 million carriers worldwide. Chronic infection with HBV can cause chronic hepatitis, and may eventually develop into liver cirrhosis and hepatocellular carcinoma.[1–3] Over the last decade, major advances in the treatment of chronic hepatitis B have been made with nucleos(t)ide

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analogs (NAs) such as lamivudine (LVD), adefovir dipivoxil (ADV), and entecavir (ETV).[4] NAs are orally administered and are associated with low rates of adverse effects. Treatment with NAs shows strong suppression of HBV replication and consequently rapid improvement of elevated ALT levels. Furthermore, these drugs have been reported to lower the risk of complicating cirrhosis and hepatocellular carcinoma,[5–7] and so NAs are becoming widely used to treat patients with chronic hepatitis B. On the other hand, NAs carry the risk of developing drug-resistance;[8] drug-resistant viruses emerging during treatment may be associated with hepatitis flare-ups. Hepatitis B patients are also required to undergo prolonged treatment with NAs because early discontinuance often leads to relapse of hepatitis and ensuing hepatic failure following rises in alanine aminotransferase (ALT) level.[9,10]

Serum HBV DNA is normally used to monitor the antiviral effect of NAs. HBV DNA decreases rapidly and becomes undetectable in the majority of patients who are treated with NAs,[11–13] but relapse after discontinuation is not rare.[14–17] Since it is also true that favorable virological and biochemical responses to NAs may continue indefinitely in some patients,[9,15] reliable markers that can predict relapse of hepatitis after NA discontinuation are needed. Such markers would benefit not only patients who are considering discontinuation of NA treatment, but also clinicians, hospitals, and the medical economy.

In the present study, we assessed several factors associated with relapse of hepatitis after discontinuation of NAs in patients with chronic hepatitis B, including hepatitis B viral antigens, which have been reported as new and promising markers for monitoring the effect of antiviral agents, such as interferon and NAs.

METHODS

Patients

A TOTAL OF 126 patients with chronic hepatitis B who underwent and completed NA treatment between 2000 and 2010 were enrolled in this study. Patients were recruited retrospectively from 11 hospitals across Japan (Toranomon Hospital, Hokkaido University Hospital, Nagoya City University Hospital, Shinshu University Hospital, Hiroshima University Hospital, National Hospital Organization Nagasaki Medical Center, Chiba University Hospital, The Hospital of Hyogo College of Medicine, Japanese Red Cross Nagoya Daini Hospital, and Tokyo Women's Medical University Hospital, Sapporo Kosei General Hospital) and met the following conditions: (i) serum ALT higher than 30 IU/L and serum HBV DNA higher than 4.0 log copies/mL were observed at least twice within the 6 months prior to administration of NAs; (ii) stored serum samples at initiation and discontinuation of NAs were available for measurements of viral markers; (iii) clinical outcomes were followed for at least 6 months after the discontinuation of NAs; and (iv) tests for hepatitis C and human immunodeficiency virus antibodies were negative. Hepatitis B surface antigen (HBsAg) was confirmed to be positive on at least two occasions at least 6 months apart in all patients before treatment. Patients complicated with hepatocellular carcinoma or signs of hepatic failure at treatment discontinuation were excluded from the study. Our cohort consisted of 83 men and 43 women with a median age of 46 (range, 19 to 79) years when NA administration was discontinued. Hepatitis B e antigen (HBeAg) was positive in 64 patients (51%) at the initiation of treatment and in 24 patients (19%) at its discontinuation. HBV genotype was A in two (2%) patients, B in five (4%), C in 102 (81%), and undetermined in 17 (13%). Thirty-five of the 126 patients in this study were younger than 35 years old. Although not recommended as the first line treatment for this group by Japanese guidelines,[18] NA treatment was commenced since chronic active hepatitis had been persisting in all cases irrespective of their HBeAg status (26 positive and nine negative) at the initiation of treatment.

The decision to discontinue NAs was made by individual physicians using similar, but not uniform, conditions. Four patients who halted NAs for financial reasons were included. No patient underwent interferon treatment during or after NA treatment. The decision to recommence NA administration was also made by individual physicians, essentially when relapse of hepatitis became obvious. With few exceptions, patients were seen at least once a month during the first year after discontinuation of NAs, and at least once every several months afterwards. Stored serum samples were kept frozen at −20°C or below until assayed. This study was approved by the Ethics Committees of all participating institutions.

Hepatitis B viral markers

Serological markers for HBV, including HBsAg, HBeAg, and antibody to HBe (anti-HBe) were tested using commercially available enzyme immunoassay kits (Abbott Japan Co., Ltd, Tokyo, Japan; Fujirebio Inc., Tokyo, Japan; and/or Sysmex Co., Kobe, Japan) at each hospital. Quantitative measurement of HBsAg[19] was done using a chemiluminescence enzyme immunoassay

(CLEIA)-based HISCL HBsAg assay manufactured by Sysmex Corporation (Kobe, Japan). The assay had a quantitative range of −1.5 to 3.3 log IU/mL. End titer was determined by diluting samples with normal human serum when initial results exceeded the upper limit of the assay range.

Serum concentration of HBV DNA was determined using an Amplicor HBV monitor kit (Roche, Tokyo, Japan),[20] which had a quantitative range of 2.6 to 7.6 log copies/mL. Serum HBV DNA was also determined using a COBAS TaqMan HBV kit (Roche, Tokyo, Japan)[21] with a quantitative range of 2.1 to 9.0 log copies/mL in 43 patients whose serum samples were available at the time of NA discontinuation. According to the manufacturer's instructions, detection of a positive signal below the quantitative range was described as a positive signal, and no signal detection was described as a negative signal. Six HBV genotypes (A–F) were evaluated according to the restriction patterns of DNA fragments from the method reported by Mizokami *et al.*[22]

Serum hepatitis B core-related antigen (HBcrAg) levels were measured using a CLEIA HBcrAg assay kit with a fully automated Lumipulse System analyzer (Fujirebio Inc., Tokyo, Japan) as described previously.[23,24] Briefly, 150 μL of serum was incubated with pretreatment solution and then added to a ferrite microparticle suspension in an assay cartridge. Ferrite particles were coated with a monoclonal antibody mixture against denatured HBcAg, HBeAg, and the 22 kDa precore protein. After incubation and washing, further incubation was carried out with alkaline phosphatase conjugated with two kinds of monoclonal antibodies against denatured HBcAg, HBeAg, and the 22 kDa precore protein. Following washing, a substrate solution was added to the test cartridge and then incubated. The relative chemiluminescence intensity was measured, and HBcrAg concentration was calculated by a standard curve generated using recombinant pro-HBeAg. The immunoreactivity of pro-HBeAg at 10 fg/mL was defined as 1 U/mL. We expressed HBcrAg in terms of log U/mL, with a quantitative range set at 3.0 to 6.8 log U/mL.

Statistical analyses

A linear regression model was used to examine for associations between mean and maximal values of both ALT and HBV DNA. Correlations between variables were calculated using the Spearman's rank correction correlation coefficient test. Each cut-off value was decided using receiver operating characteristic curve (ROC) analysis and results were evaluated by measuring the area under the curve (AUC). The Fisher's exact and Pearson's χ^2 tests were adopted to test for differences between subgroups of patients. To compare continuous data, the Mann–Whitney *U*-test was used. The Kaplan–Meier method was used to estimate rates of non-relapse observations, and the log-rank test was used to test hypotheses concerning differences in non-relapse observations between selected groups. Multivariate analyses were performed using the Cox regression model. Variables associated with a *P*-value < 0.2 in univariate analyses were included in a stepwise Cox regression analysis to identify independent factors associated with relapse of hepatitis after discontinuation of NAs. All tests were performed using the IBM SPSS Statistics Desktop for Japan ver. 19.0 (IBM Japan Inc., Tokyo, Japan). *P*-values of less than 0.05 were considered to be statistically significant.

RESULTS

Definition of hepatitis relapse after discontinuation of NAs

THE CLINICAL CONDITIONS of a successful discontinuation of NAs were set at serum HBV DNA below 4.0 log copies/mL and ALT below 30 IU/L according to the Japanese guidelines for the treatment of hepatitis B.[18] However, these criteria could not be directly applied to our cohort as post-therapy fluctuations in ALT and HBV DNA were difficult to evaluate consistently. In total, 26 (76%) of 34 patients with successful discontinuation of NAs showed transient abnormal levels of ALT and/or HBV DNA, especially during the early phase after cessation. We therefore used mean and maximal values of these markers to evaluate relapse of hepatitis B in this study; mean values were used to evaluate relapse of hepatitis as a whole, and maximal values were used to dynamically assess relapse during the follow-up period after NA discontinuation. Both ALT and HBV DNA were measured 11.0 times per year on average during the first year and 4.1 times per year on average thereafter.

The mean values of HBV DNA were significantly ($P < 0.001$) correlated with maximal values with a correlation coefficient of 0.853. Similarly, the mean values of ALT were significantly ($P < 0.001$) correlated with maximal values with a correlation coefficient of 0.940 (Fig. 1). The mean HBV DNA value of 4.0 log copies/mL corresponded to a maximal HBV DNA value of 5.7 by ROC analysis (AUC = 0.930, $P < 0.001$), and the mean ALT value of 30 IU/L corresponded to a maximal ALT value of 79 IU/L (AUC = 0.988, $P < 0.001$). These results suggested that patients having serum HBV DNA higher

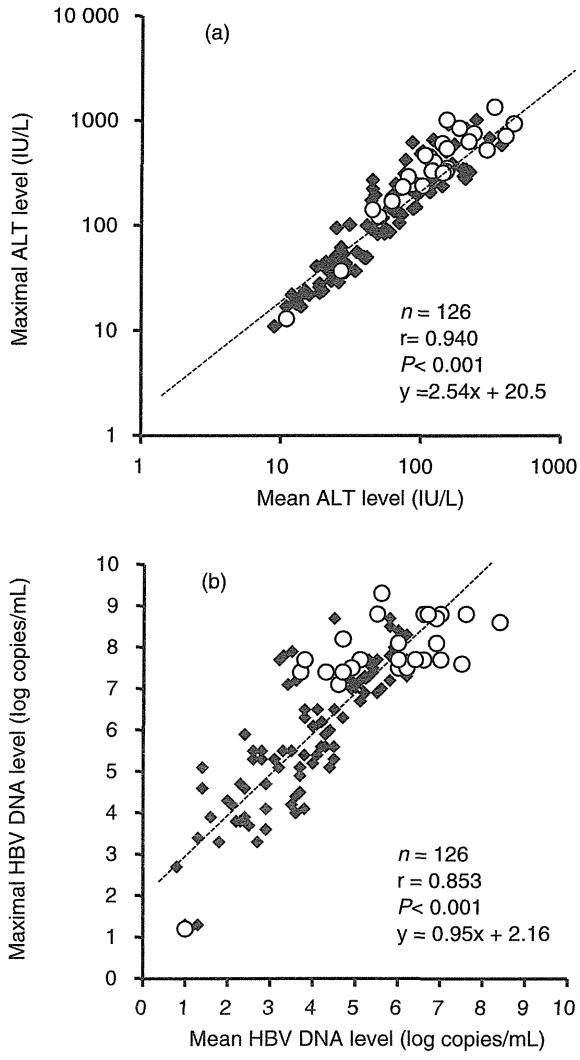

Figure 1 Correlation between maximal and mean levels of alanine aminotransferase (ALT) (a) and hepatitis B virus (HBV) DNA (b) after discontinuation of nucleos(t)ide analogs (NAs). Open circles indicate patients with detectable hepatitis B e antigen (HBeAg) and closed squares indicate patients without detectable HBeAg.

than 5.7 log copies/mL during the follow-up period after NA discontinuation were not likely to achieve the HBV DNA criterion of a successful discontinuation of below 4.0 log copies/mL. Similarly, it could be inferred that patients reaching ALT levels higher than 79 IU/L would also not likely achieve the ALT criterion of a successful discontinuation of below 30 IU/L.

Based on our findings, we judged that a relapse of hepatitis B occurred when serum ALT exceeded 79 IU/L or when serum HBV DNA exceeded 5.7 log copies/mL following NA discontinuation. Accordingly, 92 (73%) of the 126 patients enrolled in the present study showed a relapse. We set the follow-up period as discontinuation to relapse for relapse patients and as discontinuation to the last recorded examination for patients without relapse. Whereas re-administration of NAs due to relapse was commenced in 70% of relapse patients in the follow-up period, none was performed in non-relapse patients during that time.

Elimination of cases likely to show relapse of hepatitis

As it is generally believed that patients who are positive for HBeAg and/or have a higher level of HBV DNA at discontinuation of NAs are likely to relapse, these factors were assessed first. The progression of analyses in the present study and the population structure of each analysis are shown in Figure 2.

The non-relapse rate was compared using the Kaplan–Meier method between 31 patients with HBV DNA equal to or higher than 3.0 log copies/mL and 95 patients with levels lower than 3.0 log copies/mL when NAs were discontinued (Fig. 3). The revised cut-off value of 3.0 log copies/mL was determined by ROC analysis (AUC = 0.709, $P < 0.001$). Thirty (97%) of 31 patients with HBV DNA equal to or higher than 3.0 log copies/mL relapsed within one year of discontinuation. On the other hand, approximately 30% of patients with levels lower than 3.0 log copies/mL showed prolonged non-relapse. Thus, the 31 patients with high HBV DNA at the time of discontinuation were eliminated from the following analyses.

In the remaining 95 patients, the non-relapse rate was compared using the Kaplan–Meier method between 10 patients with detectable HBeAg and 85 patients without HBeAg when NAs were discontinued (Fig. 4). Ninety percent of patients with HBeAg experienced relapse within one year, which was significantly ($P = 0.005$) higher than in cases without HBeAg. In patients without HBeAg, the non-relapse rate decreased rapidly during the first year to approximately 45%, and then decreased relatively slowly over the following 3 years to nearly 30%. It is noteworthy that this subgroup did not relapse afterwards. Since the relapse rate was high among patients with detectable HBeAg, they were excluded from the following analyses as well.

Factors associated with relapse of hepatitis after discontinuation of NAs

Additional factors associated with relapse of hepatitis were analyzed in the remaining 85 patients who were

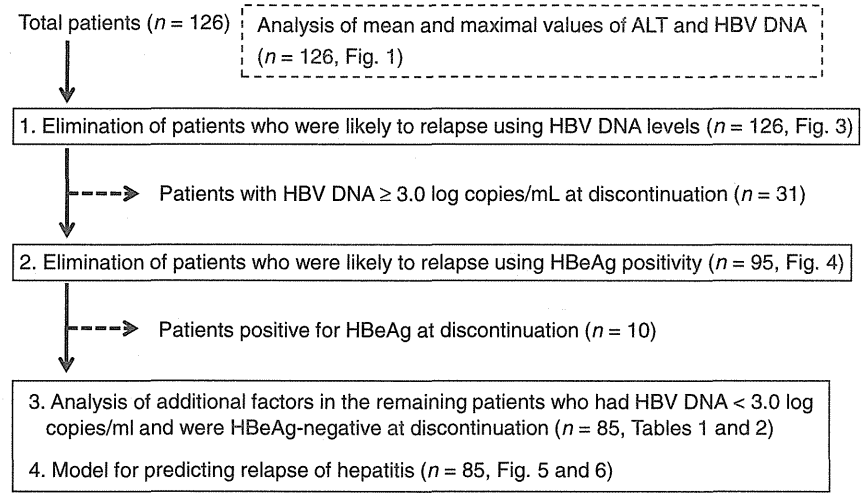

Figure 2 The progression of analyses in the present study and population structure of each analysis.

both negative for HBeAg and whose serum HBV DNA was lower than 3.0 log copies/mL at NA cessation. Table 1 shows the comparison of clinical and virological backgrounds between the 53 relapse and 32 non-relapse patients using univariate analysis. Age and gender distributions were similar between the groups. Approximately 75% of the 85 patients had HBV genotype C, but the distribution of genotypes did not differ between the groups. Approximately 90% of patients were being treated with LVD alone at the time of discontinuation, compared with 6% of patients being given ETV. The median duration of NA treatment was about two times longer in patients without relapse. Levels of both HBsAg and HBcrAg were significantly lower in non-relapse patients than in relapse patients at the time of NA discontinuation. The difference between serum HBsAg was also significant at the initiation of NAs, but not that of HBcrAg. As only patients with HBV DNA lower than 3.0 log copies/mL were analyzed, the majority of these cases showed levels below the 2.6 log copies/mL lower detection limit of the Amplicor assay at NA discontinuation. We therefore also tested HBV DNA with a TaqMan assay, which had a higher sensitivity than the Amplicor assay, in 43 patients whose serum samples were available. The prevalence of patients having a negative detection signal did not differ between the two groups. The number of

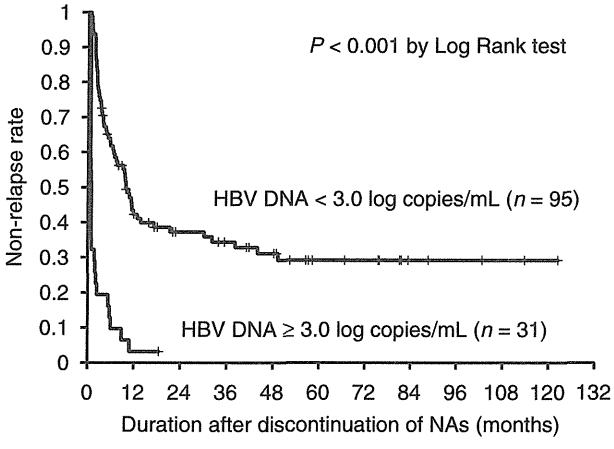

Figure 3 Comparison of non-relapse rates using the Kaplan–Meier method between 31 patients with serum hepatitis B virus (HBV) DNA equal to or higher than 3.0 log copies/mL and 95 patients with serum HBV DNA lower than 3.0 log copies/mL at the time of nucleos(t)ide analog (NA) discontinuation.

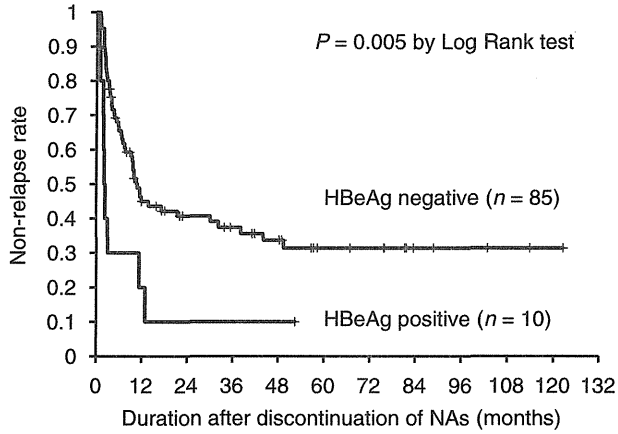

Figure 4 Comparison of non-relapse rates using the Kaplan–Meier method between 10 patients with detectable hepatitis B e antigen (HBeAg) and 85 patients without detectable HBeAg at the time of nucleos(t)ide analog (NA) discontinuation.

Table 1 Comparison of clinical and virological backgrounds between patients with and without relapse of hepatitis at initiation and discontinuation of nucleos(t)ide analogs (NAs)

Background	Non-relapse patients ($n = 32$)	Relapse patients ($n = 53$)	*P*-value
At initiation of NAs			
Age (years)†	47 (17–75)	48 (26–74)	>0.2
Gender (M : F)	23:9	32:21	>0.2
ALT (IU/L)†	183 (9–1182)	187 (20–2052)	>0.2
Genotype (A : B : C : UD)	1:2:21:8	0:3:44:6	0.193
HBeAg (positive)‡	11 (34%)	16 (30%)	>0.2
HBV DNA			
Amplicor assay (log copies/mL)†	6.2 (<2.6->7.6)	6.5 (<2.6->7.6)	0.099
HBsAg (log IU/mL)†	2.7 (0.1–4.3)	3.3 (1.6–3.9)	0.018
HBcrAg (log U/mL)†	5.2 (<3.0->6.8)	5.6 (<3.0->6.8)	>0.2
At discontinuation of NAs			
Age (years)†	50 (21–78)	49 (26–79)	>0.2
NAs (LVD : LVD+ADV : ETV : ADV)	28:1:3:0	50:0:2:1	>0.2
Duration of NA treatment (months)†	36 (4–129)	17 (4–84)	0.007
Follow-up period after discontinuation of NAs (months)†	45 (6–123)	12 (1–111)	0.002
ALT (IU/L)†	16 (7–38)	20 (9–65)	0.002
HBV DNA			
Amplicor assay (log copies/mL)†	<2.6 (<2.6–2.9)	<2.6 (<2.6–2.9)	>0.2
TaqMan assay (negative signal)‡	5 (23%) ($n = 22$)	3 (14%) ($n = 21$)	>0.2
TaqMan assay (negative or positive signal)‡	13 (59%) ($n = 22$)	13 (62%) ($n = 21$)	>0.2
HBsAg (log IU/ml)†	2.0 (<–1.5–4.3)	3.1 (0.6–4.0)	0.001
HBcrAg (log IU/mL)†	3.4 (<3.0–4.9)	4.3 (<3.0->6.8)	0.003

†Data are expressed as the median (range)
‡Data are expressed as a positive number (%)
ADV; adefovir dipivoxil; ALT, alanine aminotransferase; ETV, entecavir; HBcrAg, hepatitis B core-related antigen; HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; LVD, lamivudine; UD, undetermined.

patients with a negative detection signal or a positive signal also did not vary significantly. The follow-up period after discontinuation of NAs was significantly shorter in patients with relapse than in those without because formal follow-up ended once patients relapsed. The median period of follow-up was 45 months in patients without relapse.

Multivariate analyses revealed that a shorter duration of NA treatment and higher levels of HBsAg and HBcrAg at discontinuation were significantly associated with the occurrence of hepatitis relapse (Table 2). The cut-off values that showed the highest significance by ROC analysis were 1.9 log IU/mL for HBsAg (AUC = 0.707, $P = 0.001$), 4.0 log U/mL for HBcrAg (AUC = 0.692, $P = 0.003$), and 16 months (AUC = 0.674, $P = 0.007$) for treatment duration.

Model for predicting relapse of hepatitis using levels of HBsAg and HBcrAg

The existence of a second cut-off value was suggested by ROC analysis for both of HBsAg (2.9 log IU/mL) and HBcrAg (3.0 log IU/mL) to discriminate between

Table 2 Multivariate analysis of factors associated with relapse of hepatitis after discontinuation of nucleos(t)ide analogs (NAs)

Factor	Hazard ratio	95%CI	*P*-value
HBsAg at discontinuation ≥ 1.9 log IU/mL	5.21	1.87–14.55	0.002
HBcrAg at discontinuation ≥ 4.0 log U/mL	2.20	1.25–3.87	0.006
Duration of NA treatment ≥ 16 months	0.54	0.31–0.93	0.027

CI, confidence interval; HBcrAg, hepatitis B core-related antigen; HBsAg, hepatitis B surface antigen.

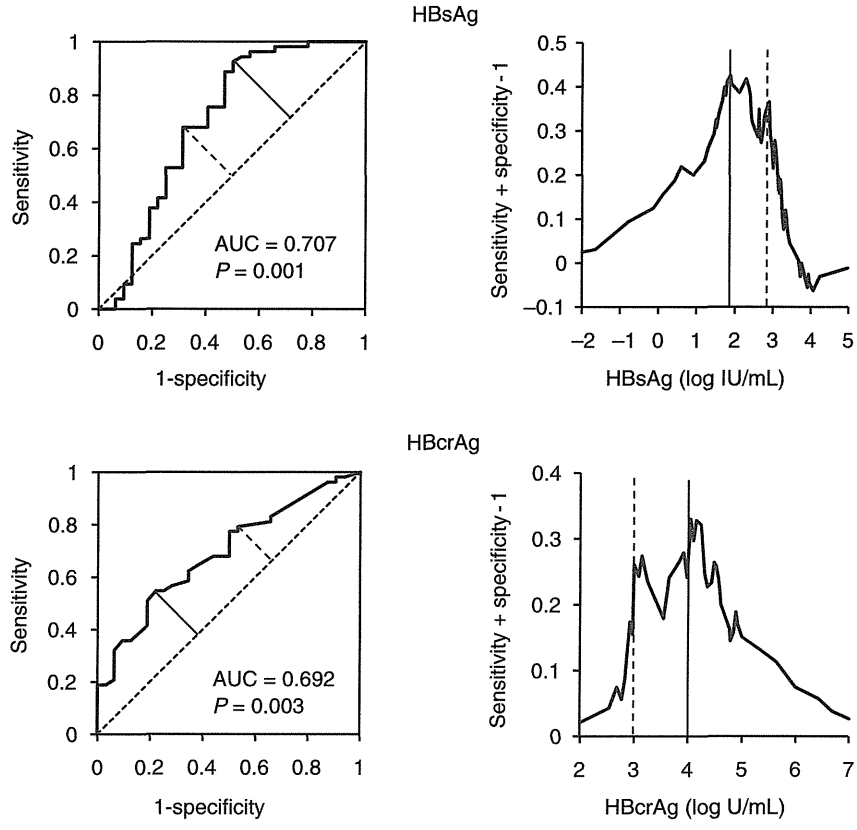

Figure 5 Receiver operating characteristic curve (ROC) analysis of hepatitis B surface antigen (HBsAg) and hepatitis B core-related antigen (HBcrAg) to discriminate between patients with and without hepatitis relapse. The existence of two inflection points is suggested for both HBsAg and HBcrAg. Short diagonal lines indicate main inflection points and short broken diagonal lines indicate second inflection points. Vertical lines indicate actual values of antigens that correspond to the main inflection points and vertical broken lines indicate actual values of antigens that correspond to the second inflection points.

patients with and without relapse (Fig. 5). Thus, we set cut-off values as 1.9 and 2.9 log IU/mL for HBsAg and 3.0 and 4.0 log U/mL for HBcrAg in our model for predicting hepatitis relapse.

We tentatively defined three groups using the sum of the scores for HBsAg and HBcrAg levels at the time of NA discontinuation for our model. Conversions were made by assigning a score of 0 for an HBsAg level lower than 1.9 log IU/mL, 1 for a level from 1.9 to 2.8 log IU/mL, and 2 for a level equal to or higher than 2.9 log IU/mL. HBcrAg was scored as 0 for a level lower than 3.0 log U/mL, 1 for a level from 3.0 to 3.9 log U/mL, and 2 for a level equal to or higher than 4.0 log U/mL. Overall, group 1 consisted of patients with a total score of 0, group 2 of patients with a total score of 1 or 2, and group 3 of patients with a total score of 3 or 4.

Patients whose HBV DNA was lower than 3.0 log copies/mL and in whom HBeAg was negative at the time of NA discontinuation were assigned to one of the three groups. Figure 6 shows the comparison of non-relapse rates among the three groups using Kaplan–Meier analysis, which differed significantly. The non-relapse rate was approximately 90% in group 1, as low as 10% in group 3, and intermediate in group 2. When factors associated with relapse were analyzed in group 3 patients, an age of over 40 years at the time of discontinuation was calculated as a significant factor (hazard

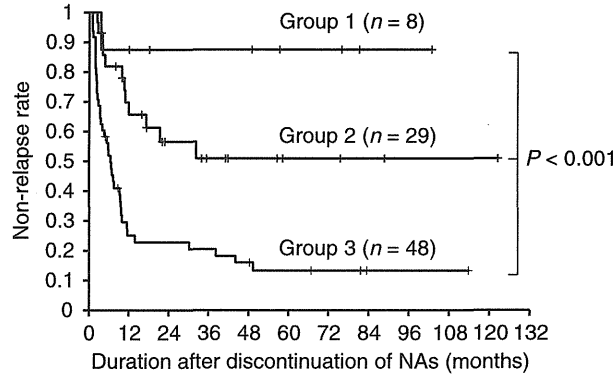

Figure 6 Comparison of non-relapse rates using the Kaplan–Meier method among three groups classified by the sum of the scores of hepatitis B surface antigen (HBsAg) and hepatitis B core-related antigen (HBcrAg) levels at the time of nucleos(t)ide analog (NA) discontinuation.

ratio = 5.25, range 2.37–11.65, $P < 0.001$). No significant factors were associated with relapse in group 2 patients.

DISCUSSION

THE EUROPEAN ASSOCIATION for the Study of the Liver recommends continuation of NA treatment until HBsAg is cleared.[25] Liu *et al.* came to a similar conclusion in their study of chronic hepatitis B patients treated with LVD.[14] Indeed, the clearance of HBsAg is a reliable marker for the safe discontinuation of NAs, but the rate of patients who can clear HBsAg is relatively low (1–3%/year).[26–28] Thus, additional factors associated with relapse of hepatitis B after discontinuation of NAs were analyzed in the present study to better identify candidates who could achieve drug-free status. Such studies are relatively few, possibly because patients who discontinue NAs prematurely often experience severe complicating relapse and hepatic failure.[9] Although prospective studies are desirable to obtain accurate results, retrospective studies, such as ours, are also necessary to minimize the risk of adverse complications.

Since HBV cannot be completely eradicated in hosts, the primary goal in treating chronic hepatitis B is to convert symptomatic patients into inactive carriers in whom HBeAg is negative (usually anti-HBe-positive), serum HBV DNA is low, and serum ALT is normal.[1,2,18,29] Thus, we set the clinical conditions of a successful discontinuation of NAs as serum HBV DNA level below 4.0 log copies/mL and ALT below 30 IU/L following NA cessation. Patients who satisfy these conditions are not recommended for treatment by the Japanese guidelines for hepatitis B,[18] and it is also widely accepted that the risk of developing cirrhosis or complicating hepatocellular carcinoma is very low in such patients.[30,31] We used our cohort's mean and maximal values of HBV DNA and ALT for relapse analyses. Mean values were useful for evaluating relapse of hepatitis as a whole since parameter levels often fluctuated after discontinuation, and maximal values were used to evaluate relapse in a real-time fashion during the follow-up period. It is noteworthy that the mean and maximal values correlated very closely for both HBV DNA and ALT. The mean HBV DNA value of 4.0 log copies/mL corresponded to the maximal HBV DNA value of 5.7 by ROC analysis, and similarly the mean ALT value of 30 IU/L corresponded to the maximal ALT value of 79 IU/L. Thus, relapse of hepatitis B was judged to occur when serum ALT became higher than 79 IU/L or when serum HBV DNA surpassed 5.7 log copies/mL after the time of NA discontinuation. Such criteria may also be useful for physicians to detect relapse at an early phase and avoid the occurrence of severe reactivation or unnecessary discontinuation of NAs.

It is generally understood that patients with a higher level of HBV DNA at the time of NA discontinuation are likely to relapse, but this cut-off value has not been analyzed sufficiently. Our findings using ROC analysis showed that patients with levels lower than 3.0 log copies/mL have a good possibility to achieve successful discontinuation. The presence of HBeAg is also generally accepted as a reliable factor to predict relapse of hepatitis. Our study showed that patients with detectable HBeAg at the time of NA discontinuation were likely to relapse, even if their HBV DNA levels were lower than 3.0 log copies/mL. Therefore, we next analyzed additional factors associated with a relapse of hepatitis after discontinuation of NAs by selecting patients who met both of these criteria.

Nucleos(t)ide analog treatment produces a rapid decrease in serum HBV DNA by suppressing reverse transcription of pregenomic HBV RNA. However, the key intrahepatic HBV replicative intermediate, covalently closed circular DNA (cccDNA), tends to remain and is capable of reinitiating replication once NAs are ceased.[32] Measurement of HBV cccDNA has been reported to be useful for monitoring and predicting responses to antiviral treatments.[33] However, its measurement is difficult in the clinical setting as it requires a liver biopsy. Due to the mechanism of action of NAs mentioned above, serum HBV DNA does not reflect intrahepatic HBV cccDNA in patients undergoing NA treatment.[34] To address this, quantitative measurement of HBV antigens has been reported to be useful for predicting the effect of antiviral treatment in patients with chronic hepatitis B. Although HBsAg is usually used as a serum marker for the diagnosis of HBV infection, several groups have shown that HBsAg levels can also be reflective of the response to peg-interferon in chronic hepatitis B.[28,35,36] The HBcrAg assay measures serum levels of HB core and e antigens simultaneously using monoclonal antibodies that recognize the common epitopes of these two denatured antigens. Since the assay measures all antigens transcribed from the pre-core/core gene, it is regarded as core-related.[37] Serum HBcrAg has been reported to accurately reflect intracellular levels of HBV cccDNA even during NA treatment,[24,34,38] and was found to be useful for identifying patients who were likely to show relapse of hepatitis after the discontinuation of NAs.[39,40] It is possible that levels of HBsAg and HBcrAg have different roles in