Sawai et al. BMC Medical Genetics 2012, 13:47
http://www.biomedcentral.com/1471-2350/13/1/47

BMC Medical Genetics

RESEARCH ARTICLE Open Access

No association for Chinese HBV-related hepatocellular carcinoma susceptibility SNP in other East Asian populations

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Abstract

Background: A recent genome-wide association study (GWAS) using chronic HBV (hepatitis B virus) carriers with and without hepatocellular carcinoma (HCC) in five independent Chinese populations found that one SNP (rs17401966) in *KIF1B* was associated with susceptibility to HCC. In the present study, a total of 580 HBV-derived HCC cases and 1351 individuals with chronic hepatitis B (CHB) or asymptomatic carrier (ASC) were used for replication studies in order to evaluate the reported association with HBV-derived HCC in other East Asian populations.

Results: We did not detect any associations between rs17401966 and HCC in the Japanese cohorts (replication 1: OR = 1.09, 95 % CI = 0.82-1.43; replication 2: OR = 0.79, 95 % CI = 0.54-1.15), in the Korean cohort (replication 3: OR = 0.95, 95 % CI = 0.66-1.36), or in the Hong Kong Chinese cohort (replication 4: OR = 1.17, 95 % CI = 0.79-1.75). Meta-analysis using these cohorts also did not show any associations with P = 0.97.

Conclusions: None of the replication cohorts showed associations between rs17401966 and HBV-derived HCC. This may be due to differences in the genetic diversity among the Japanese, Korean and Chinese populations. Other reasons could be the high complexity of multivariate interactions between the genomic information and the phenotype that is manifesting. A much wider range of investigations is needed in order to elucidate the differences in HCC susceptibility among these Asian populations.

Keywords: Hepatitis B, hepatocellular carcinoma, candidate SNP, replication study, genome-wide association study

Background

Hepatitis B (HB) is a potentially life-threatening liver infection caused by the hepatitis B virus (HBV), and approximately 360 million people worldwide are thought to be chronically infected with HBV. The clinical course of HBV infection is variable, including acute self-limiting infection, fulminant hepatic failure, inactive carrier state and chronic hepatitis with progression to cirrhosis and hepatocellular carcinoma (HCC). Although some HBV carriers spontaneously eliminate the virus, 2-10 % of individuals with chronic HB (CHB) develop liver cirrhosis every year, and a subset of these individuals suffer from liver failure or HCC. Around 600,000 new HCC cases are diagnosed annually worldwide, with HCC being relatively common in Asia-Pacific countries and sub-Saharan Africa; more than 70 % of HCC patients are diagnosed in Asia (with 55 % in China) [1]. However, HCC is relatively uncommon in the USA, Europe and Australia [1,2]. The majority of HCC develops in patients with cirrhosis, which is most often attributable

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to chronic HBV infection followed by chronic HCV in the Asia-Pacific region [3].

A recent genome-wide association study (GWAS) using Japanese CHB cases and controls confirmed that 11 SNPs in a region including *HLA-DPA1* and *-DPB1* were associated with CHB [4]. Moreover, a GWAS using chronic HBV carriers with and without HCC in five independent Chinese populations reported that one SNP (rs17401966) in *KIF1B* was associated with HCC susceptibility [5]. In the present study, we performed replication studies using Japanese, Korean and Hong Kong Chinese cases and controls in order to evaluate the reported association with HBV-derived HCC in other East Asian populations.

Results

We performed SNP genotyping of rs17401966 located in the *KIF1B* gene for the purpose of replication analysis of the previous GWAS report [5]. Four distinct cohorts were used for these replication analyses (Table 1). We first examined two independent Japanese case–control samples including 179 cases and 769 controls from Biobank Japan (replication 1), and 142 cases and 251 controls from various hospitals (replication 2). We did not detect any associations between rs17401966 and HCC in the Japanese cohorts (replication 1: OR = 1.09; 95 % CI = 0.82-1.43, replication 2: OR = 0.79; 95 % CI = 0.54-1.15). We further examined Korean case–control samples comprising 164 cases and 144 controls (replication 3) and Hongkongese 94 HCC cases and 187 CHB controls (replication 4), but again did not detect any association (replication 3: OR = 0.95; 95 % CI = 0.66-1.36, replication 4: OR = 1.17; 95 % CI = 0.79-1.75). Logistic regression analysis adjusted for age and gender also did not show any association (P_{log} = 0.65, 0.27, 0.11, 0.56 for each replication panel). Moreover, we conducted meta-analysis to combine these studies, also not detect any association (P_{meta} = 0.97).

Discussion and conclusions

Zhang et al. [5] reported that SNP rs17401966 was significantly associated with HBV-related HCC (joint OR = 0.61). They conducted a GWAS using 348 cases and 359 controls in a population in Guangxi in southern China, and selected 45 SNPs for the replication study based on the results ($P < 10^{-4}$). In the first replication study, they used 276 cases and 266 controls from Beijing in northern China, and 5 SNPs showed the same direction of association as in the GWAS ($P < 0.05$). They performed a further replication study (of 507 cases and 215 controls) in Jiangsu in eastern China and only one SNP showed the same trend ($P = 3.9 \times 10^{-5}$). Guangdong and Shanghai samples from southern and eastern China were used for further replication studies. The association yielded a p-value of 1.7×10^{-18} on meta-analysis.

We performed four replication analyses using Japanese, Korean and Hong Kong Chinese samples (Table 1). Although sample size of each cohort is smaller than that of the previous GWAS, we conducted mete-analysis of all our study. The result did not show any association between rs17401966 and HBV-derived HCC (P_{meta} = 0.97).

This may be due to differences in genetic diversity among Japanese, Korean and Chinese populations. A maximum-likelihood tree of 126 populations based on 19,934 SNPs showed that Japanese and Korean populations form a monophyletic clade with a 100 % bootstrap value [6]. However, Chinese populations form a paraphyletic clade with two other populations. This indicates that Japanese and Korean populations are genetically closer to one another than the Chinese population.

Table 1 Association between rs17401966 and HBV-derived HCC

cohort	sample size	cases			controls				OR		
	(cases/controls)	GG	AG	AA	GG	AG	AA	HWE p	(95 % CI)	P [a]	P_{het} [b]
replication 1	179/769	13	61	105	45	261	463	0.599	1.09	0.578	
(Japan 1)		(7.2)	(34.1)	(58.7)	(5.9)	(33.9)	(60.2)		(0.82-1.43)		
replication 2	142/251	5	46	91	14	91	146	1	0.79	0.212	
(Japan 2)		(3.5)	(32.4)	(64.1)	(5.6)	(36.2)	(58.2)		(0.54-1.15)		
replication 3	164/144	17	59	88	15	55	74	0.616	0.95	0.790	
(Korea)		(10.4)	(36.0)	(53.6)	(10.4)	(38.2)	(51.4)		(0.66-1.36)		
replication 4	94/187	10	39	44	13	80	94	0.767	1.17	0.432	
(Hong Kong)		(10.6)	(41.5)	(46.8)	(6.9)	(42.8)	(50.3)		(0.79-1.75)		
Meta-analysis [c]									0.996	0.965	0.423
									(0.84-1.18)		

[a]P value of fisher's exact test for allele model.
[b]Result of Breslow-Day test.
[c]Results of meta-analysis were calculated by the Mantel-Haenzel method.

We did not find any association with Hong Kong Chinese cohort (P = 0.43). Moreover, a study using 357 HCC cases and 354 HBV-positive non-HCC controls in Hong Kong Chinese did not show any significant difference (P = 0.91) [7]. Previous population studies have revealed that various Han Chinese populations show varying degrees of admixture between a northern Altaic cluster and a southern cluster of Sino-Tibetan/Tai-Kadai populations in southern China and northern Thailand [6]. Although Hong Kong is located closed to the Guangdong (cohort 3 of Zhang et al study), there is great heterogeneity for rs17401966 between Hong Kong cohorts (our study and Chan's study [7]) and Guangdong cohort (our study versus Zhang's study: $P_{het} = 0.0066$; Chan's study versus Zhang's study: $P_{het} = 0.035$). This result suggests the existence of other confounding factors, which can differentiate the previous study in China and this study.

One of the possible reasons could be the high complexity of multivariate interactions between the genomic information and the phenotype that is manifesting. HCC development is a multiple process which links to causative factors such as age, gender, environmental toxins, alcohol and drug abuse, higher HBV DNA levels, and HBV genotype variations [8]. The eight HBV genotypes display distinct geographical and ethnic distributions. Genotypes B and C are prevalent in Asia. Specific variations in HBV have been associated with cirrhosis and HCC. These variations include in particular mutations in pre-core region (Pre-C), in basal core promoter (BCP) and in ORF encoding Pre-S1/Pre-S2/S and Pre-C/C. Because there is an overlap between Pre-C or BCP mutations and genotypes, these mutations appear to be more common in genotype C as compared to other genotypes [9].

Aflatoxins are a group of 20 related metabolites and Aflatoxin B1 is the most potent naturally occurring chemical liver carcinogen known. Aflatoxin exposures multiplicatively increase the risk of HCC in people chronically infected with HBV, which illustrates the deleterious impact that even low toxin levels in the diet can have on human health [10–12]. Liu and Wu estimated population risk for aflatoxin-induced HCC around the world [13]. Most cases occur in sub-Saharan Africa, Southeast Asia and China, where populations suffer from both high HBV prevalence and largely uncontrolled exposure to aflatoxin in food. But we could not obtain the information of these confounding factors from both of the previous GWAS study and this study. A much wider range of investigations is thus needed in order to elucidate the differences in HCC susceptibility among these Asian populations.

Methods

Samples

Case and control samples used in this study were collected from Japan, Korea and Hong Kong listed in supplementary Additional file 1: Table S1. A total of 179 cases and 769 control subjects were analyzed in the first replication study. DNA samples from both CHB controls and HBV-related HCC cases used in this study were obtained from the BioBank Japan at the Institute of Medical Science, the University of Tokyo [14]. Among the BioBank Japan samples, we selected HBsAg-seropositive CHB patients with elevated serum aminotransferase levels for more than six months, according to the guidelines for diagnosis and treatment of chronic hepatisis from The Japan Society of Hepatology (http://www.jsh.or.jp/medical/gudelines/index.html). The mean (and standard deviation; SD) age was 62.0 (9.4) years for the cases and 54.7 (13.5) years for the controls. The second Japanese replication sample sets for the cases (n = 142) and controls (n = 251) study were obtained from 16 hospitals. The case samples for the second replication included 142 HCC patients and the controls included 135 CHB patients and 116 asymptomatic carriers (ASC). The mean (SD) age was 61.3 (10.2) years for the cases and 56.2 (10.9) years for the controls. The Korean replication samples were collected from Yonsei University College of Medicine. The third replication set was composed of 165 HCC patients and 144 CHB patients. The mean (SD) age was 52.2 (8.9) and 37.3 (11.3) years for the cases and controls, respectively. The samples in Hong Kong were collected from the University of Hong Kong, Queen Mary Hospital. The fourth replication set was composed of 94 HCC patients and 187 CHB patients. The mean (SD) age was 58.0 (10.5) and 56.9 (8.3) years for the cases and controls, respectively. All participants provided written informed consent. This research project was approved by the Research Ethics Committees at the Institute of Medical Science and the Graduate School of Medicine, the University of Tokyo, Yonsei University College of Medicine, the University of Hong Kong, Nationa Center for Global Health and Medicine, Hokkaido University Graduate School of Medicine, Teine Keijinkai Hospital, Iwate Medical University, Saitama Medical University, Kitasato University School of Medicine, Musashino Red Cross Hospital, Kanazawa University Graduate School of Medicine, Shinshu University School of Medicine, Nagoya City University Graduate School of Medical Sciences, Kyoto Prefectural University of Medicine, National Hospital Organization Osaka National Hospital, Kawasaki Medical College, Tottori University, Ehime University Graduate School of Medicine, and Kurume University School of Medicine.

SNP Genotyping

For the first replication samples, we genotyped rs17401966 using PCR-based Invader assay (Third Wave Technologies, Madison, WI) [15], and for the second, third and fourth replication samples, we used TaqMan genotyping assay (Applied Biosystems, Carlsbad, CA). In the TaqMan SNP

genotyping assay, PCR amplification was performed in a 5-μl reaction mixture containing 1 μl of genomic DNA, 2.5 μl of KAPA PROBE FAST qPCR Master Mix (Kapa Biosystems, Woburn, MA), and 40 x TaqMan SNP Genotyping Assay probe (ABI) for this SNP. QPCR thermal cycling was performed as follows: 95°C for 3 min, followed by 40 cycles of 95°C for 15 s and 60°C for 1 min. The SNP call rate of each replication panel was 100 %, 100 %, 99.7 % and 99.6 %.

Statistical analysis

We performed Hardy-Weinberg equilibrium test for the case and control samples in each replication study. Fisher's exact test was applied to two-by-two contingency tables for three different genetic models; allele frequency, dominant and recessive model. Odds ratios and confidence intervals were calculated using the major alleles as references. Meta-analysis was conducted using the Mantel-Haenszel method. Heterogeneity among studies was examined by using the Breslow-Day test. Genotype-phenotype association for the SNP rs17401966 was assessed using logistic regression analysis adjusted for age and gender in plink 1.07 (http://pngu.mgh.harvard.edu/~purcell/plink/index.shtml).

Additional file

Additional file 1: Table S1. Samples used in this study.

Abbreviations

HB: Hepatitis b; HBV: Hepatitis b virus; HCC: Hepatocellular carcinoma; CHB: Chronic hepatitis b; HCV: Hepatitis c virus; GWAS: Genome-wide association study; ASC: Asymptomatic carrier.

Competing interests

The authors declare that they have no competing interests.

Acknowledgements

This study was supported by a grant-in-aid from the Ministry of Health, Labour, and Welfare of Japan (H23-kanen-005), and Japan Science and Technology Agency (09038024). We thank Dr. Minae Kawashima to giving us technical advices.

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Received: 2 March 2012 Accepted: 19 June 2012
Published: 19 June 2012

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doi:10.1186/1471-2350-13-47
Cite this article as: Sawai *et al.*: No association for Chinese HBV-related hepatocellular carcinoma susceptibility SNP in other East Asian populations. *BMC Medical Genetics* 2012 **13**:47.

HEPATOLOGY
Official Journal of the American Association for the Study of Liver Diseases

LecT-Hepa, a Glyco-Marker Derived from Multiple Lectins, as a Predictor of Liver Fibrosis in Chronic Hepatitis C Patients

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Assessment of liver fibrosis in patients with chronic hepatitis C (CHC) is critical for predicting disease progression and determining future antiviral therapy. LecT-Hepa, a new glyco-marker derived from fibrosis-related glyco-alteration of serum alpha 1-acid glycoprotein, was used to differentiate cirrhosis from chronic hepatitis in a single-center study. Herein, we aimed to validate this new glyco-marker for estimating liver fibrosis in a multicenter study. Overall, 183 CHC patients were recruited from 5 liver centers. The parameters *Aspergillus oryzae* lectin (AOL) / *Datura stramonium* lectin (DSA) and *Maackia amurensis* lectin (MAL)/DSA were measured using a bedside clinical chemistry analyzer in order to calculate LecT-Hepa levels. The data were compared with those of seven other noninvasive biochemical markers and tests (hyaluronic acid, tissue inhibitor of metalloproteases-1, platelet count, aspartate aminotransferase-to-platelet ratio index [APRI], Forns index, Fib-4 index, and Zeng's score) for assessing liver fibrosis using the receiver-operating characteristic curve. LecT-Hepa correlated well with the fibrosis stage as determined by liver biopsy. The area under the curve (AUC), sensitivity, and specificity of LecT-Hepa were 0.802, 59.6%, and 89.9%, respectively, for significant fibrosis; 0.882, 83.3%, and 80.0%, respectively, for severe fibrosis; and 0.929, 84.6%, and 88.5%, respectively, for cirrhosis. AUC scores of LecT-Hepa at each fibrosis stage were greater than those of the seven aforementioned noninvasive tests and markers. *Conclusion*: The efficacy of LecT-Hepa, a glyco-marker developed using glycoproteomics, for estimating liver fibrosis was demonstrated in a multicenter study. LecT-Hepa given by a combination of the two glyco-parameters is a reliable method for determining the fibrosis stage and is a potential substitute for liver biopsy. (HEPATOLOGY 2012;56:1448-1456)

Accurate staging of hepatic fibrosis in patients with chronic hepatitis C (CHC) is most important for predicting disease progression and determining the need for initiating antiviral therapy, such as interferon (IFN) therapy.[1,2] Liver biopsy has been considered the gold standard for fibrosis staging for many years.[3] However, liver biopsy is invasive and painful,[4,5] with rare but potentially life-threatening complications.[6] In addition, this method may suffer from sampling errors since only 1/50,000 of the organ is examined.[7] Furthermore, inter- and intraobserver discrepancies reaching levels of 10% to 20% have been

Abbreviations: α2-MG, α2-macroglobulin; AFP, alpha-fetoprotein; AGP, alpha-1 acid glycoprotein; ALT, alanine aminotransferase; AOL, Aspergillus oryzae *lectin; CHC, chronic hepatitis C; DSA,* Datura stramonium *lectin; GGT, gamma-glutamyltransferase; HA, hyaluronic acid; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; IFN, interferon; MAL,* Maackia amurensis *lectin; TIMP1, tissue inhibitors of metalloproteinases 1.*

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Received February 6, 2012; accepted April 22, 2012.

Supported by a grant (22-108) from the National Center for Global Health and Medicine in Japan and a grant from New Energy and Industrial Technology Development Organization of Japan.

reported using this method, leading to misdiagnosis of cirrhosis.[8] Therefore, finding a noninvasive method for diagnosing liver fibrosis is an emerging issue in the care of patients with CHC.

Several methods have been studied for the noninvasive diagnosis of hepatic fibrosis or cirrhosis, including clinical[9] or blood markers,[10,11] and signal analysis (ultrasonography, magnetic resonance imaging, and elastography).[12,13] Although each method can play a substantial role in the diagnosis of cirrhosis, it is evident that the best way of monitoring hepatitis progression employs an accurate serological method for the quantitative evaluation of fibrosis. We developed a new glyco-marker using multiple lectins that performed well in estimating liver fibrosis in a single-center study.[14,15]

Recent progress in glycoproteomics has had a great influence on work toward ideal, disease-specific biomarkers for a number of conditions. Glycoproteins that exhibit disease-associated glyco-alteration and are present in serum or other fluids have the potential to act as biomarkers for the diagnosis of a target disease,[16] because the features of glycosylation depend on the extent of cell differentiation and the stage of the cell. Detecting hepatic disease-associated glyco-markers for clinical applications has been a continuous challenge since the early 1990s, because increased fucosylation on complex-type *N*-glycans has been frequently detected in glycoproteins from patients with hepatocellular carcinoma (HCC) and cirrhosis.[17,18] Of all the alpha-fetoprotein (AFP) glycoforms, more than 30% have been found to react to a fucose-binding lectin, *Lens culinaris* agglutinin. This fraction, designated AFP-L3, was approved by the U.S. Food and Drug Administration (FDA) in 2005 for the diagnosis and prognosis of HCC.[19] We have found that two fibrosis-indicator lectins (*Aspergillus oryzae* lectin [AOL] and *Maackia amurensis* lectin [MAL]) together with an internal, standard lectin (*Datura stramonium* lectin [DSA]) on an alpha 1-acid glycoprotein (AGP) could, using lectin microarray, clearly distinguish between cirrhosis and chronic hepatitis patients.[14] We have further simplified this quantitative method so that it could be performed using bedside, clinical chemistry analyzers.[15]

The aim of the current study was to evaluate this new glyco-marker (LecT-Hepa) using multiple lectins and bedside clinical chemistry analyzers for use in the assessment of liver fibrosis. In this multicenter study we compared the method's efficiency in estimating liver fibrosis with other noninvasive fibrosis markers and tests.

Materials and Methods

Study Population. This study included 183 consecutive adult patients with CHC who had undergone percutaneous liver biopsy at one of the following institutions: Hokkaido University Hospital, Musashino Red Cross Hospital, National Center for Global Health and Medicine, Hyogo College of Medicine Hospital, or Nagoya City University Hospital in Japan. A diagnosis of CHC was defined as detectable serum anti-hepatitis C virus (HCV) antibody and HCV-RNA, found using polymerase chain reaction assays, of at least 2 points. Exclusion criteria were coinfection with hepatitis B virus or human immunodeficiency virus (HIV), and other disorders that commonly cause liver diseases. Informed consent was obtained from each patient who participated in the study. This study was conducted in accordance with the provisions of the Declaration of Helsinki and was approved by our Institutional Review Board.

Histological Staging. Ultrasonography-guided liver biopsy was performed according to a standardized protocol. Specimens were fixed, paraffin-embedded, and stained with hematoxylin-eosin and Masson's trichrome. A minimum of six portal tracts in the specimen were required for diagnosis. All liver biopsy samples were independently evaluated by two senior pathologists who were blinded to the clinical data. Liver fibrosis stages were assessed using METAVIR fibrosis (F) staging.[20] Significant fibrosis was defined as METAVIR F $\geq$2, severe fibrosis as METAVIR F $\geq$3, and cirrhosis as METAVIR F4. Two patients were excluded from the study because of inadequate histological samples.

Clinical and Biological Data. The age and sex of the patients were recorded. Serum samples were collected immediately before or no more than 2 months

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DOI 10.1002/hep.25815

Potential conflict of interest: Nothing to report.

after liver biopsy and were stored at −80°C until analysis. The concentrations of the following variables were obtained by analyzing the serum samples: aspartate aminotransferase (AST), alanine aminotransferase (ALT), gamma-glutamyltransferase (GGT), total bilirubin, albumin, cholinesterase, total cholesterol, platelet count (platelets), prothrombin time, haptoglobin, hyaluronic acid (HA), α2-macroglobulin (α2-MG), tissue inhibitors of metalloproteinases 1 (TIMP1). The aspartate aminotransferase-to-platelet ratio index (APRI), Fib-4 index, Forns index, and Zeng's score were calculated according to published formulae appropriate to each measure.[2,7,21,22]

Rapid Lectin-Antibody Sandwich Immunoassay Using HISCL. Fibrosis-specific glyco-alteration of AGP was qualified from simultaneous measurements of the lectin-antibody sandwich immunoassays using three lectins (DSA, MAL, and AOL). In principle, the glycan part of the AGP was captured by the lectin immobilized on the magnetic beads, and the captured AGP was then quantified by an antihuman AGP mouse monoclonal antibody probe that was cross-linked to an alkaline phosphatase (ALP-αAGP). The assay manipulation was fully automated using a chemiluminescence enzyme immunoassay machine (HISCL-2000i; Sysmex, Kobe, Japan). We used the following criterion formula, named the "LecT-Hepa Test," to enhance the diagnostic accuracy by combining two glyco-parameters (AOL/DSA and MAL/DSA) as described before: $F = \mathrm{Log}_{10}[\mathrm{AOL/DSA}]*8.6-[\mathrm{MAL/DSA}]$.[15]

Statistical Analyses. Quantitative variables were expressed as the mean ± standard deviation (SD) unless otherwise specified. Categorical variables were compared using a chi-squared test or Fisher's exact test, as appropriate, and continuous variables were compared using the Mann-Whitney U test. $P < 0.05$ was considered statistically significant. A multivariate forward stepwise logistic regression analysis was performed to determine the independent predictors of the absence or presence of significant fibrosis, severe fibrosis, and cirrhosis, respectively. Pearson's correlation coefficient was used as necessary. To assess the classification efficiencies of various markers for detecting significant fibrosis, severe fibrosis, and cirrhosis,[23] and to determine area under the curve (AUC) values, receiver-operating characteristic (ROC) curve analysis was also carried out. Diagnostic accuracy was expressed as the diagnostic specificity (specificity), diagnostic sensitivity (sensitivity), positive predictive values (PPV), negative predictive values (NPV), positive likelihood ratio (LR [+]), negative likelihood ratio (LR [−]), and AUC (95% confidence interval [95% CI]). We performed statistical analyses using STATA v. 11.0 (StataCorp, College Station, TX).

Table 1. Baseline Characteristics of the 183 Patients with Chronic Hepatitis C at the Time of Liver Biopsy

Features	Total (n = 183)
Age (years)	57.6 ± 11.4
Male sex	75 (41.0)
AST (IU/L)	57.4 ± 43.9
ALT (IU/L)	62.8 ± 56.8
GGT (IU/L)	51.1 ± 62.6
Bilirubin (mg/dL)	0.7 ± 0.4
Albumin (g/L)	4.1 ± 0.4
Cholinesterase (IU/L)	283.5 ± 97.0
Cholesterol (mg/dL)	174.1 ± 35.5
Platelets (10^9/L)	163 ± 57
Prothrombin time (%)	87.2 ± 33.4
α2-MG (g/L)	356.8 ± 133.1
HA (μg/L)	205.3 ± 428.0
TIMP1 (pg/ml)	210.6 ± 87.7
AOL/DSA	6.3 ± 12.3
MAL/DSA	9.0 ± 3.1
Fibrosis stage (%):	
F0-1	89 (48.6)
F2	46 (25.1)
F3	22 (12.0)
F4	26 (14.2)

Results

Baseline Characteristics of the 183 Patients with Chronic Hepatitis C at the Time of Liver Biopsy. Patient characteristics at the time of liver biopsy are shown in Table 1. The mean age of the 183 patients was 57.6 ± 11.4 years, and 75 (41%) of them were men. F0-F1 was diagnosed in 89 cases (48.6%), F2 in 46 (25.1%), F3 in 22 (12.0%), and F4 (cirrhosis) in 26 (14.2%).

Comparison of Variables Associated with the Presence of Significant Fibrosis by Univariate and Multivariate Analysis. Variables associated with the presence of significant fibrosis were assessed by univariate and multivariate analysis (Table 2). The variables of age ($P = 0.001$), AST ($P < 0.0001$), ALT ($P < 0.0001$), GGT ($P < 0.0001$), bilirubin ($P = 0.014$), α2-MG ($P = 0.002$), HA ($P < 0.0001$), TIMP1 ($P < 0.0001$), and AOL/DSA ($P < 0.0001$) were significantly higher in the significant fibrosis group than in the not significant fibrosis group. The variables albumin ($P < 0.001$), cholinesterase ($P < 0.0001$), cholesterol ($P = 0.005$), platelets ($P < 0.0001$), prothrombin time ($P = 0.0001$), and MAL/DSA ($P < 0.0001$) were significantly lower in the significant fibrosis group than in the not significant fibrosis group. Multivariate analysis showed that platelets (odds ratio [OR]: 0.87,

Table 2. Variables Associated with the Presence of Significant Fibrosis (F2-4) and Severe Fibrosis (F3-4) by Univariate and Multivariate Analysis

Features	No Significant Fibrosis (n = 89)	Significant Fibrosis (n = 94)	*P* Value (Univariate)	Odds Ratio (95% CI) (Multivariate)	No Severe Fibrosis (n = 135)	Severe Fibrosis (n = 48)	*P* Value	Odds Ratio (95% CI) (Multivariate)
Age (years)	54.7 ± 11.8	60.5 ± 10.4	0.001		55.8 ± 11.9	62.9 ± 7.8	0.001	1.15 (1.02-1.31)
Male sex (%)	30 (33.7)	45 (47.9)	0.051		52 (38.5)	23 (47.9)	0.255	
AST (IU/L)	45.7 ± 41.6	68.3 ± 43.5	<0.0001		49.7 ± 40.1	79.1 ± 47.4	<0.0001	
ALT (IU/L)	51.0 ± 56.6	74.0 ± 54.9	<0.0001		55.9 ± 54.9	82.5 ± 57.9	<0.0001	
GGT (IU/L)	40.6 ± 61.7	62.1 ± 63.1	<0.0001		45.5 ± 67.1	65.8 ± 46.7	<0.0001	
Bilirubin (mg/dL)	0.6 ± 0.3	0.7 ± 0.4	0.014		0.6 ± 0.3	0.8 ± 0.4	0.005	
Albumin (g/L)	4.2 ± 0.3	4.0 ± 0.5	<0.001		4.2 ± 0.3	3.8 ± 0.5	<0.0001	
Cholinesterase (IU/L)	329.2 ± 76.0	247.2 ± 96.9	<0.0001		312.4 ± 84.4	217 ± 91.9	<0.0001	
Cholesterol (mg/dL)	181.0 ± 31.5	167.5 ± 36.2	0.005		178.1 ± 34.1	162.4 ± 33.5	0.016	
Platelets (10^9/L)	186 ± 53	142 ± 52	<0.0001	0.87 (0.77-0.99)	180 ± 52	119 ± 46	<0.0001	0.74 (0.58-0.94)
Prothrombin time (%)	94.7 ± 33.4	80.1 ± 32.1	0.0001		89.5 ± 36.2	80.8 ± 23.2	<0.001	
α2-MG (g/L)	326 ± 117.7	389.2 ± 141.1	0.002		331.1 ± 122.5	423.9 ± 137.5	<0.0001	
HA (μg/L)	85.6 ± 154.3	318.7 ± 556.1	<0.0001	1.01 (1.01-1.02)	115.4 ± 201.1	458.2 ± 711.0	<0.0001	
TIMP1 (pg/ml)	183.5 ± 53.3	238.6 ± 106.1	<0.0001		189.7 ± 64.5	263.9 ± 113.8	<0.0001	
AOL/DSA	1.4 ± 1.2	10.9 ± 15.9	<0.0001	1.51 (1.07-2.15)	2.0 ± 2.6	18.3 ± 19.3	<0.0001	
MAL/DSA	10.6 ± 1.7	7.5 ± 3.4	<0.0001		10.2 ± 2.0	5.6 ± 3.4	<0.0001	0.52 (0.37-0.76)

95% CI: 0.77-0.99), HA (OR: 1.01, 95% CI: 1.01-1.02), and AOL/DSA (OR: 1.51, 95% CI: 1.07-2.15) were independently associated with the presence of significant fibrosis.

Comparison of Variables Associated with the Presence of Severe Fibrosis by Univariate and Multivariate Analysis. Variables associated with the presence of severe fibrosis were assessed by univariate and multivariate analysis (Table 2). The variables of age ($P = 0.001$), AST ($P < 0.0001$), ALT ($P < 0.0001$), GGT ($P < 0.0001$), bilirubin ($P = 0.005$), α2-MG ($P < 0.0001$), HA ($P < 0.0001$), TIMP1 ($P < 0.0001$), and AOL/DSA ($P < 0.0001$) were significantly higher in the severe fibrosis group than in the no severe fibrosis group. The variables albumin ($P < 0.0001$), cholinesterase ($P < 0.0001$), cholesterol ($P = 0.016$), platelets ($P < 0.0001$), prothrombin time ($P < 0.001$), and MAL/DSA ($P < 0.0001$) were significantly lower in the severe fibrosis group than in the no severe fibrosis group. Multivariate analysis showed that age (OR: 1.15, 95% CI: 1.02-1.31), platelets (OR: 0.74, 95% CI: 0.58-0.94), and MAL/DSA (OR: 0.52, 95% CI:

Table 3. Variables Associated with the Presence of Cirrhosis (F4) by Univariate and Multivariate Analysis

Features	No Cirrhosis (n=157)	Cirrhosis (n = 26)	*P* Value	Odds Ratio (95% CI) (Multivariate)
Age (years)	56.6 ± 11.7	63.8 ± 7.3	0.0016	
Male sex (%)	60 (38.2)	15 (57.7)	0.061	
AST (IU/L)	54.6 ± 41.7	74.9 ± 53.7	0.016	
ALT (IU/L)	62.1 ± 58.1	67.2 ± 48.2	0.446	
GGT (IU/L)	48.5 ± 63.9	64.9 ± 53.8	0.0031	
Bilirubin (mg/dL)	0.6 ± 0.3	1.0 ± 0.5	<0.0001	
Albumin (g/L)	4.2 ± 0.4	3.6 ± 0.5	<0.0001	
Cholinesterase (IU/L)	305.3 ± 83.9	181.7 ± 90.1	<0.0001	
Cholesterol (mg/dL)	178.4 ± 33.3	146.9 ± 29.8	<0.0001	
Platelets (10^9/L)	172 ± 54	106 ± 36	<0.0001	0.76 (0.58-0.99)
Prothrombin time (%)	88.7 ± 35.5	79.2 ± 16.1	0.0004	
α2-MG (g/L)	346.2 ± 131.6	416.9 ± 127.8	0.019	
HA (μg/L)	137.1 ± 215.7	617.4 ± 915.1	<0.0001	
TIMP1 (pg/ml)	196.4 ± 70.4	287.3 ± 126.6	<0.0001	
AOL/DSA	3.4 ± 7.1	24.0 ± 20.4	<0.0001	
MAL/DSA	9.8 ± 2.4	4.2 ± 2.8	<0.0001	0.67 (0.49-0.90)

0.37-0.76) were independently associated with the presence of severe fibrosis.

Comparison of Variables Associated with the Presence of Cirrhosis by Univariate and Multivariate Analysis. Variables associated with the presence of cirrhosis were assessed by univariate and multivariate analysis (Table 3). Age ($P = 0.0016$), AST ($P = 0.016$), GGT ($P = 0.0031$), bilirubin ($P < 0.0001$), α2-MG ($P = 0.019$), HA ($P < 0.0001$), TIMP1 ($P < 0.0001$), and AOL/DSA ($P < 0.0001$) were significantly higher in the cirrhosis group than in the no cirrhosis group. Albumin ($P < 0.0001$), cholinesterase ($P < 0.0001$), cholesterol ($P < 0.0001$), platelets ($P < 0.0001$), prothrombin time ($P = 0.0004$), and MAL/DSA ($P < 0.0001$) were significantly lower in the cirrhosis group than in the no cirrhosis group. Multivariate analysis showed that platelets (OR: 0.76, 95% CI: 0.58-0.99) and MAL/DSA (OR: 0.67, 95% CI: 0.49-0.90) were independently associated with the presence of cirrhosis.

Evaluation of the Two Glyco-Parameters AOL/DSA and MAL/DSA for Estimating the Progression of Liver Fibrosis. To assess the correlation of the two obtained glyco-parameters with the progression of fibrosis, we analyzed the data of triple lectins from HISCL measurements on the 183 CHC patients. The boxplots of AOL/DSA and MAL/DSA in relation to the fibrosis staging are shown in Fig. 1A,B, respectively. The AOL/DSA values gradually increased with the progression of fibrosis and Pearson's correlation efficient was R = 0.61. On the other hand, the MAL/DSA values gradually decreased with the progression of fibrosis and Pearson's correlation efficient was R = −0.69. Both parameters fitted the quantification of the progression of fibrosis from F2 to F4.

LecT-Hepa, Combined with Two Glyco-Parameters, Was Evaluated in the Diagnosis of Significant Fibrosis, Severe Fibrosis, and Cirrhosis. LecT-Hepa was calculated using two glyco-parameters (AOL/DSA and MAL/DSA). The boxplots of LecT-Hepa in relation to the fibrosis staging are shown in Fig. 2. The LecT-Hepa values gradually increased with the progression of fibrosis. Pearson's correlation coefficient between LecT-Hepa and liver fibrosis was very high (R = 0.72), and was superior to those for AOL/DSA (R = 0.61) and MAL/DSA (R = −0.69). We next examined AUC to characterize the diagnostic accuracy of LecT-Hepa at each stage of fibrosis, i.e., significant fibrosis (F2/F3/F4), severe fibrosis (F3/F4), and cirrhosis (F4). For the prediction of significant fibrosis, AUC (95% CI), sensitivity, specificity, PPV, NPV, LR (+), and LR (−) of the test were 0.802 (0.738-0.865), 59.6%, 89.9%, 85.7%, 66.7%, 5.89, and 0.45,

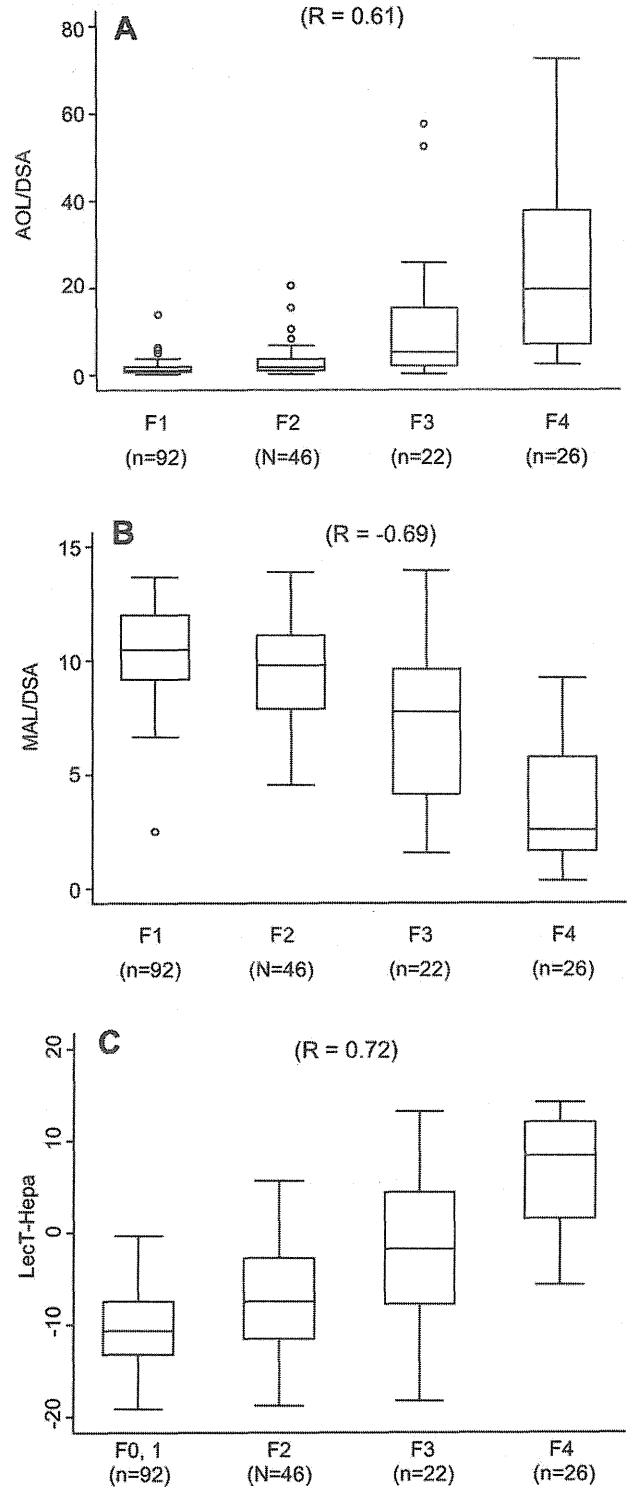

Fig. 1. Boxplot of (A) AOL/DSA, (B) MAL/DSA, and (C) LecT-Hepa in relation to the fibrosis score. The box represents the interquartile range. The whiskers indicate the highest and lowest values, and the dots represent outliers. The line across the box indicates the median value. Correlation of AOL/DSA, MAL/DSA, and LecT-Hepa was measured by HISCL with the progression of liver fibrosis. R: Pearson's correlation coefficient.

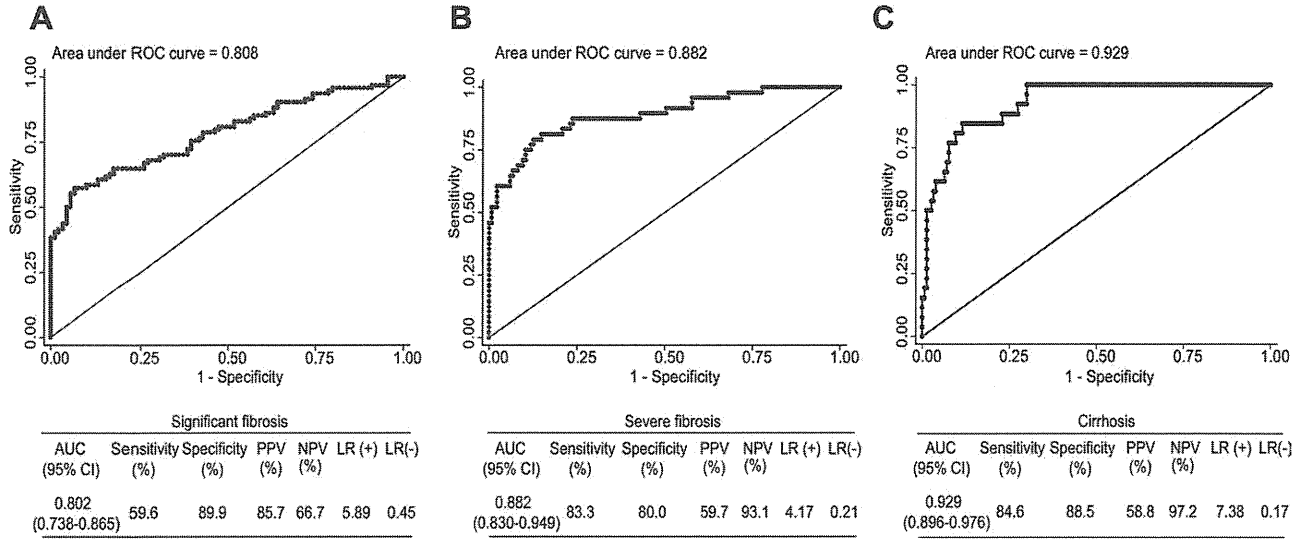

Fig. 2. ROC curves of LecT-Hepa to distinguish between significant fibrosis and no significant fibrosis in patients with chronic hepatitis C (A); severe fibrosis and no severe fibrosis (B); cirrhosis and no cirrhosis (C). AUC: area under the receiver operating characteristic curve; PPV: positive predictive values; NPV: negative predictive values; LR (+): positive likelihood ratio; LR (−): negative likelihood ratio.

respectively (Fig. 3A). For the prediction of severe fibrosis, AUC (95% CI), sensitivity, specificity, PPV, NPV, LR (+), and LR (−) were 0.882, 83.3%, 80.0%, 59.7%, 93.1%, 4.17, and 0.21, respectively (Fig. 3B). For the prediction of cirrhosis, AUC (95% CI), sensitivity, specificity, PPV, NPV, LR (+), and LR (−) were 0.929 (0.896-0.976), 84.6%, 88.5%, 58.8%, 97.2%, 7.38, and 0.17, respectively (Fig. 3C).

Comparison of AUC, Sensitivity, Specificity, PPV, and NPV for Predicting the Diagnosis of Significant Fibrosis, Severe Fibrosis, and Cirrhosis. ROC curves of LecT-Hepa, HA, TIMP1, platelets, APRI, Forns index, Fib-4 index, and Zeng's score for predicting significant fibrosis, severe fibrosis, and cirrhosis were plotted, as shown in Fig. 3A-C. The AUC of LecT-Hepa for predicting significant fibrosis (0.802) was superior to HA (0.756), TIMP1 (0.697), platelets (0.729), APRI (0.777), Fib-4 index (0.747), Forns index (0.783), and Zeng's score (0.791). For predicting severe fibrosis, AUC of LecT-Hepa (0.882) was superior to HA (0.839), TIMP1 (0.753), platelet count (0.821), APRI (0.840), Fib-4 index (0.811), Forns index (0.861), and Zeng's score (0.863). For predicting cirrhosis, AUC of LecT-Hepa (0.929) was superior to HA (0.866), TIMP1 (0.783), platelets (0.851), APRI (0.787), Fib-4 index (0.856), Forns index (0.887), and Zeng's score (0.853). Sensitivity, specificity, PPV, and NPV by eight noninvasive tests and markers are shown in Table 4. In general, indicators of LecT-Hepa were superior to other noninvasive tests and markers. Specificity and PPV used to distinguish significant fibrosis in LecT-Hepa were superior to those in other tests and markers, although sensitivity and NPV by LecT-Hepa (59.6% and 66.7%, respectively) to distinguish significant fibrosis were inferior to those in other tests and markers. When distinguishing severe fibrosis, the categories of sensitivity (83.3%), specificity (80.0%), PPV (59.7%), and NPV (93.1%) for LecT-Hepa were superior to those in other tests and markers, except for specificity (82.2%) and PPV (61.0%) in HA. When distinguishing cirrhosis, the categories of sensitivity (84.6%), specificity (88.5%), PPV (58.8%), and NPV (97.2%) in LecT-Hepa were superior to those in other tests and markers, except for sensitivity by HA (88.5%), Forns index (84.6%), and Zeng's score (92.3%) and NPV by Zeng's score (98.3%).

Discussion

Our results showed that the LecT-Hepa test, calculated by combining two glyco-parameters (AOL/DSA and MAL/DSA), had higher sensitivity and specificity for diagnosing severe fibrosis and cirrhosis compared to other noninvasive tests and markers for these conditions. The new glyco-marker we have developed is based on the glyco-alteration on the AGP, which is mainly synthesized in the liver. AGP has been considered one of the best candidates for glyco-markers in liver fibrosis or HCC. This is because it is a well-characterized glycoprotein with five highly branched, complex-type *N*-glycans, whose alteration (e.g., desialylation, increased branching, and increased fucosylation) occurs during the progression of liver fibrosis and carcinogenesis.[24] It has already been reported that an

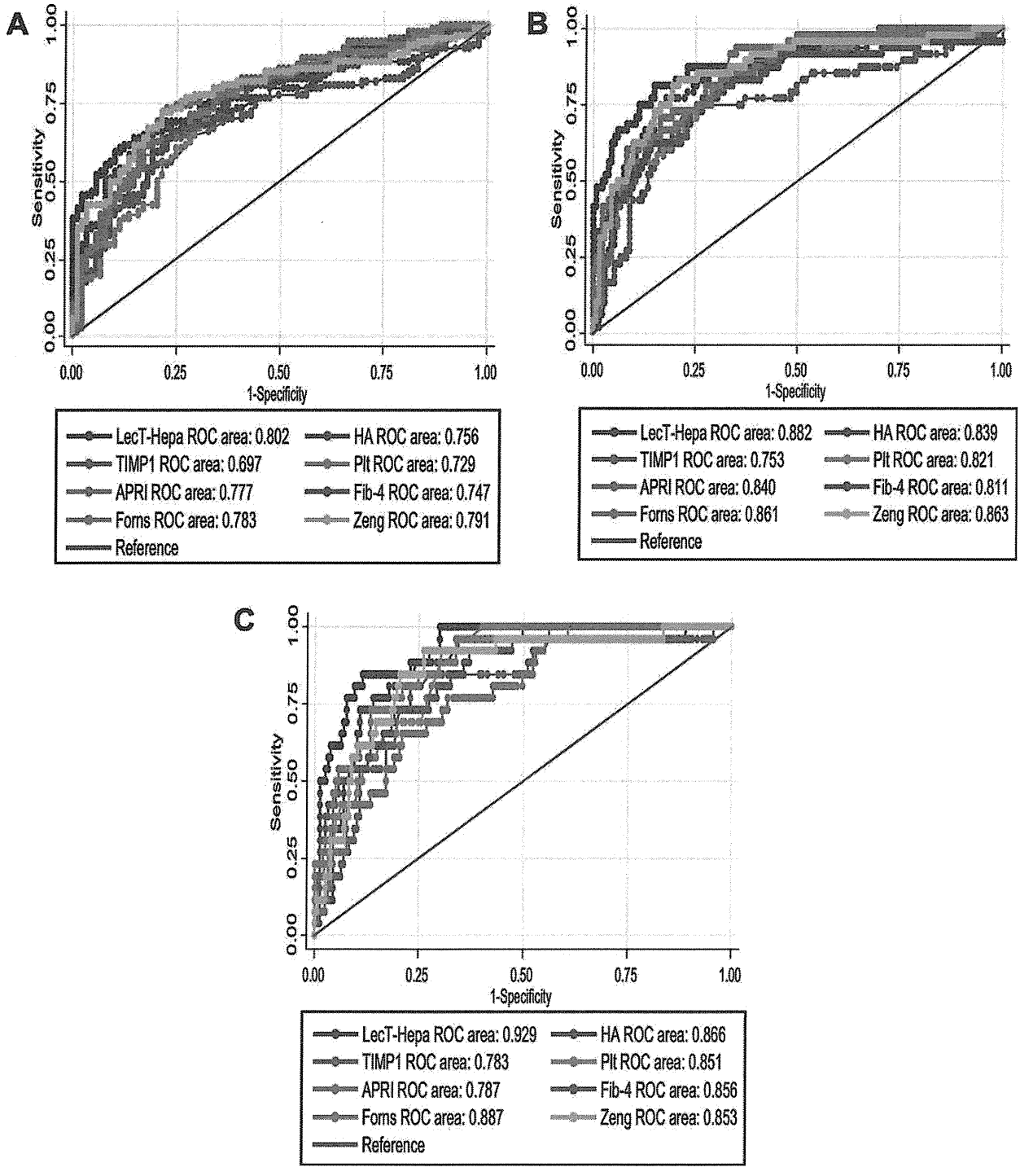

Fig. 3. Comparison of ROC curves in the performance of LecT-Hepa, HA, TIMP1, Plt, APRI, Fib-4 Index, Forns index, Zeng's score for the diagnosis of significant fibrosis (A), severe fibrosis (B), and cirrhosis (C). ROC: receiver operating characteristic curve; TIMP1: tissue inhibitors of metalloproteinases 1; Plt: platelet count; HA: hyaluronic acid.

increased degree of fucosylation was detected in cirrhosis patients using a fucose-binding lectin (AAL)-antibody sandwich ELISA and an automated analyzer.[24] The detection of asialo-AGP using lactosamine-recognition lectin RCA120 has also been reported as an alternative method for finding cirrhosis.[25] Meanwhile, we detected many other aspects of glyco-alteration of AGP using a multiplex sandwich immunoassay with a 43-lectin microarray,[26] resulting in the selection of three lectins—MAL, AOL, and DSA—to serve, collectively, as a fibrosis indicator and a signal normalizer.[14] Since two glyco-parameters (AOL/DSA and MAL/

Table 4. Diagnostic Performance of Biochemical Markers and Scores by Stage of Fibrosis

	No Significant Fibrosis (F0-1) vs. Significant Fibrosis (F2-4)					No Severe Fibrosis (F0-2) vs. Severe Fibrosis (F3-4)					No Cirrhosis (F0-3) vs. Cirrhosis (F4)				
	AUC (95% CI)	Se (%)	Sp (%)	PPV (%)	NPV (%)	AUC (95% CI)	Se (%)	Sp (%)	PPV (%)	NPV (%)	AUC (95% CI)	Se (%)	Sp (%)	PPV (%)	NPV (%)
LecT-Hepa	0.802 (0.738-0.865)	59.6	89.9	85.7	66.7	0.882 (0.830-0.949)	83.3	80	59.7	93.1	0.929 (0.896-0.976)	84.6	88.5	58.8	97.2
HA	0.756 (0.684-0.827)	68.1	78.7	77.8	69.6	0.839 (0.771-0.908)	77.1	82.2	61	90.3	0.866 (0.790-0.942)	88.5	75.8	37.3	96.8
TIMP1	0.697 (0.619-0.774)	65.9	71.9	70.4	60.7	0.753 (0.665-0.841)	75	76.3	53	88.9	0.783 (0.710-0.887)	80.8	74.5	27.8	94.6
Platelets	0.729 (0.656-0.803)	78.7	61.9	68.5	73.5	0.821 (0.751-0.891)	81.3	70.4	49.4	91.3	0.851 (0.785-0.918)	84.6	70.7	32.3	95.8
APRI	0.777 (0.709-0.844)	71.3	71.9	72.2	68.8	0.840 (0.780-0.900)	81.3	72.6	50.6	91.5	0.787 (0.703-0.871)	76.9	68.2	27.9	93.9
Fib-4	0.747 (0.671-0.818)	65.9	76.4	74.7	68	0.811 (0.733-0.889)	77.1	73.3	50	89.2	0.856 (0.788-0.924)	73.1	80.9	37.5	94.1
Forns	0.783 (0.716-0.852)	73.4	77.5	77.5	73.4	0.861 (0.802-0.920)	81.3	71.1	50	91.4	0.887 (0.831-0.943)	84.6	75.2	36.1	96.7
Zeng	0.791 (0.723-0.858)	82.9	70.7	75	79.7	0.863 (0.799-0.925)	81.3	79.8	59.5	92.8	0.853 (0.783-0.933)	92.3	73.9	36.9	98.3

AUC, area under the ROC curve; CI, confidence interval; Se, sensitivity; Sp, specificity; PPV, positive predictive values; NPV, negative predictive values.

DSA) on AGP are normalized by an internal standard lectin (DSA), LecT-Hepa is not influenced by the amount of AGP. We confirmed that the use of this lectin set was statistically superior to the previously selected lectins (AAL and RCA120).

This triplex-sandwich immunoassay employing DSA/MAL/AOL lectins and an anti-AGP antibody from the lectin microarray has already been converted to a fully automated immunoassay analyzer (HISCL-2000i) for clinical use.[15] Pretreatment requires 3 hours, and quantifying the two glyco-parameters for the LecT-Hepa to use this automated analyzer takes 17 minutes. Currently, we can obtain data from LecT-Hepa to predict liver fibrosis on the same day of blood sample collection. This simple and reliable glyco-marker may be suitable for clinical use, and may substitute for liver biopsy in some cases.

We are confident that our study samples are representative of most patients. The AUC scores for distinguishing significant fibrosis, severe fibrosis, and cirrhosis by APRI, HA, Fib-4 index, Forns index, and Zeng's score were not significantly different from those in previous studies.[11,27,28] Every serum sample in this study was obtained from a patient immediately before or no more than 2 months after liver biopsy. As many serum samples as possible were collected from each liver center to eliminate a selection bias in any center. Since we could not perform liver biopsy on the patients who had a tendency to develop hemorrhages, fewer samples of severe fibrosis and cirrhosis were collected than those of milder fibrosis. In fact, the population of fibrosis staging in this study was similar to that of a previous, large prospective study evaluating noninvasive fibrosis markers.[29] In addition, we did not include patients with obvious decompensated cirrhosis. This is because inclusion of patients with severe liver disease would have artificially improved the predictive values of the logistic function. On the other hand, we included many patients with mild histological features (48.6% with F0-1). Sampling variation poses potential difficulties, especially in the early stages of disease, when fibrosis might be unevenly distributed.

There are several advantages in using reliable noninvasive markers for assessing liver fibrosis. First, they can be used to accurately determine the appropriate time for initiating IFN treatment in CHC patients. These markers can also help monitor and assess the therapeutic efficacy of IFN treatment in improving liver function in cases of liver fibrosis and cirrhosis. Finally, these markers will be essential in the development of new, antifibrotic treatments. Recently, many directed or targeted therapies against liver fibrosis,

such as anti-transforming growth factor beta and anti-tumor necrosis factor alpha compounds have been developed.[30,31] To evaluate these new drugs, reliable and simple noninvasive fibrosis markers are needed. LecT-Hepa appears to be one of the most prominent candidates to serve as a marker for developing antifibrotic drugs.

In conclusion, both glyco-parameters (AOL/DSA and MAL/DSA) using lectins in a bedside, clinical chemical analyzer succeeded in the quantification of the progression of liver fibrosis. Using LecT-Hepa, the combination score of both AOL/DSA and MAL/DSA is a reliable method for determining fibrosis staging and can be a good substitute for liver biopsy.

Acknowledgment: We thank K. Saito, S. Unno, T. Fukuda, and M. Sogabe (AIST) for technical assistance. We also thank C. Tsuruno, S. Nagai, and Y. Takahama (Sysmex Co.) for critical discussion.

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HEPATOLOGY
Official Journal of the American Association for the Study of Liver Diseases

FGF3/FGF4 Amplification and Multiple Lung Metastases in Responders to Sorafenib in Hepatocellular Carcinoma

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The response rate to sorafenib in hepatocellular carcinoma (HCC) is relatively low (0.7%-3%), however, rapid and drastic tumor regression is occasionally observed. The molecular backgrounds and clinico-pathological features of these responders remain largely unclear. We analyzed the clinical and molecular backgrounds of 13 responders to sorafenib with significant tumor shrinkage in a retrospective study. A comparative genomic hybridization analysis using one frozen HCC sample from a responder demonstrated that the 11q13 region, a rare amplicon in HCC including the loci for *FGF3* and *FGF4*, was highly amplified. A real-time polymerase chain reaction–based copy number assay revealed that *FGF3/FGF4* amplification was observed in three of the 10 HCC samples from responders in which DNA was evaluable, whereas amplification was not observed in 38 patients with stable or progressive disease ($P = 0.006$). Fluorescence *in situ* hybridization analysis confirmed *FGF3* amplification. In addition, the clinico-pathological features showed that multiple lung metastases (5/13, $P = 0.006$) and a poorly differentiated histological type (5/13, $P = 0.13$) were frequently observed in responders. A growth inhibitory assay showed that only one *FGF3/FGF4*-amplified and three *FGFR2*-amplified cancer cell lines exhibited hypersensitivity to sorafenib *in vitro*. Finally, an *in vivo* study revealed that treatment with a low dose of sorafenib was partially effective for stably and exogenously expressed *FGF4* tumors, while being less effective in tumors expressing *EGFP* or *FGF3*. *Conclusion:* *FGF3/FGF4* amplification was observed in around 2% of HCCs. Although the sample size was relatively small, *FGF3/FGF4* amplification, a poorly differentiated histological type, and multiple lung metastases were frequently observed in responders to sorafenib. Our findings may provide a novel insight into the molecular background of HCC and sorafenib responders, warranting further prospective biomarker studies. (HEPATOLOGY 2012;00:000-000)

Abbreviations: 5FU, 5-fluorouracil; CGH, comparative genomic hybridization; DMEM, Dulbecco's modified Eagle's medium; EGFR, epidermal growth factor receptor; FBS, fetal bovine serum; FFPE, formalin-fixed, paraffin-embedded; FISH, fluorescence in situ *hybridization; HCC, hepatocellular carcinoma; IC_{50}, 50% inhibitory concentration; mRNA, messenger RNA; PCR, polymerase chain reaction; PIVKA-II, protein induced by vitamin K absence or antagonist-II; RPMI-1640, Roswell Park Memorial Institute 1640; RT-PCR, reverse-transcription PCR.*

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Received October 7, 2011; accepted June 25, 2012.

Supported by the Third-Term Comprehensive 10-Year Strategy for Cancer Control (K. N.), a National Cancer Center Research and Development Fund (H22-015, to M. K.), and a Grant-in-Aid for Scientific Research (23650627, to K. N.).

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Hepatocellular carcinoma (HCC) is the sixth most common cancer-related cause of death in the world annually, and the development of new primary tumors, recurrences, and metastasis are the most common causes of mortality among patients with HCC.[1,2] Sorafenib (Nexavar; Bayer Healthcare Pharmaceuticals Inc.) is a small molecule kinase inhibitor that is classified as an anti-angiogenic inhibitor.[3] Sorafenib inhibits the kinase activities of Raf-1 and B-Raf in addition to vascular endothelial growth factor receptors, platelet-derived growth factor receptor β, Flt-3, and c-KIT. Two large randomized controlled trials reported a significant clinical benefit of single-agent sorafenib in extending overall survival in both Western and Asian patients with advanced unresectable HCC.[4,5] Consequently, sorafenib is now used as a standard therapy for HCC. The mechanisms of action that lead to these remarkably prolonged overall survival periods are thought to result from the anti-angiogenic effects of sorafenib and its characteristic inhibitory effect on Raf-1 and B-Raf signaling. In these trials, a partial response was observed in 0.7% (2/299) and 3.3% (5/150) of the patients treated with sorafenib.[4-5]

Recently, emerging evidence has demonstrated that some responders exhibit rapid tumor regression as a result of sorafenib treatment for HCC. Complete responses were observed in two patients with advanced HCC and multiple lung metastases, with rapid tumor regression observed even after short-term treatment with sorafenib.[6,7] The drastic tumor response to sorafenib seems to be similar to the tumor response obtained using other tyrosine kinase inhibitors to target a deregulated signal in cancer cells. For example, constitutively active mutations of epidermal growth factor receptor (EGFR) tyrosine kinase in non–small cell lung cancer are associated with a striking treatment response to gefitinib, a selective EGFR tyrosine kinase inhibitor.[8,9] We hypothesized that these HCC cells may harbor a genetic background conducive to a drastic response to sorafenib, rather than the typical anti-angiogenic effect. In this study, we retrospectively searched for genetic changes using mainly formalin-fixed, paraffin-embedded (FFPE) samples from patients with HCC who had undergone sorafenib treatment.

Patients and Methods

Reagent and Cell Culture. Sorafenib was provided by Bayer Healthcare Pharmaceuticals Inc. (Montville, NJ). All cell lines used in this study were maintained in Roswell Park Memorial Institute 1640 (RPMI-1640) medium (Sigma, St. Louis, MO) except for IM95, OUMS23, Colo320, WiDr, HLF, HLE, Huh7, and HepG2 (Dulbecco's modified Eagle's medium [DMEM]; Nissui Pharmaceutical, Tokyo, Japan); LoVo (F12; Nissui Pharmaceutical, Tokyo, Japan); KYSE180, KYSE220, and KYSE270 (RPMI-1640:F12, 1:1); KYSE150 (F12); and KYSE70 (DMEM) supplemented with 10% heat-inactivated fetal bovine serum (FBS) (Gibco BRL, Grand Island, NY) or 2% FBS for the KYSE series plus penicillin and streptomycin in a humidified atmosphere of 5% CO_2 at 37°C. These cell lines were obtained from the American Type Culture Collection (Manassas, VA) and the Japanese Collection of Research Bioresources Collection (Sennan-shi, Osaka, Japan).

Patients and Samples. The inclusion criteria for the study were as follows: patients with histologically confirmed HCC who had been treated with sorafenib, from whom pretreatment tumor samples were available. Finally, the clinical characteristics of a total of 55 cases of HCC from 12 medical centers were evaluated retrospectively. In the gene copy number analysis, four samples were excluded because of an insufficient quantity of DNA, two samples were excluded because of the poor quality of the DNA and two samples were response not evaluable. One not evaluable sample was poor DNA quality. Thus, the copy number assay was performed using the remaining 48 samples. Meanwhile, a series of 82 HCC samples were obtained from frozen specimens of surgical specimens at the Kinki University Faculty of Medicine. The tumor response was evaluated using computerized tomography according to the Response Evaluation Criteria in Solid Tumors; the response was then classified as a complete response, a partial response, stable disease, progressive disease, or not evaluable. The clinico-pathological features evaluated included age, sex, viral infection, alpha-fetoprotein level, protein induced by vitamin K absence or antagonist-II (PIVKA-II), clinical stage, primary tumor size, metastatic lesion, histological type,

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View this article online at wileyonlinelibrary.com.
DOI 10.1002/hep.25956
Potential conflict of interest: Nothing to report.

treatment response, and duration of sorafenib treatment. The present study was approved by the institutional review boards of all the centers involved in the study, and informed consent was obtained from the patients.

Isolation of Genomic DNA. Genomic DNA samples were extracted from deparaffinized tissue sections preserved as FFPE tissue using a QIAamp DNA Micro kit (Qiagen, Hilden, Germany) according to the manufacturer's instructions. Genomic DNA samples were extracted from surgical frozen sections using a QIAamp DNA Mini kit (Qiagen) according to the manufacturer's instructions. The DNA concentration was determined using the NanoDrop2000 (Thermo Scientific, Waltham, MA).

Comparative Genomic Hybridization Analysis. The Genome-wide Human SNP Array 6.0 (Affymetrix, Santa Clara, CA) was used to perform array comparative genomic hybridization (CGH) on genomic DNA from HCC and paired liver samples according to the manufacturer's instructions. A total of 250 ng of genomic DNA was digested with both Nsp I and Sty I in independent parallel reactions, subjected to restriction enzymes, ligated to the adaptor, and amplified using polymerase chain reaction (PCR) with a universal primer and TITANIUM Taq DNA Polymerase (Clontech, Palo Alt, CA). The PCR products were quantified, fragmented, end-labeled, and hybridized onto a Genome-wide Human SNP6.0 Array. After washing and staining in Fluidics Station 450 (Affymetrix), the arrays were scanned to generate CEL files using the GeneChip Scanner 3000 and GeneChip Operating Software version 1.4. In the array CGH analysis, sample-specific copy number changes were analyzed using Partek Genomic Suite 6.4 software (Partek Inc., St. Louis, MO).

Copy Number Assay. The copy numbers for *FGF3* and *FGF4* were determined using commercially available and predesigned TaqMan Copy Number Assays according to the manufacturer's instructions (Applied Biosystems, Foster City, CA) as described.[10] The primer IDs used for the *FGFs* were as follows: *FGF3*, Hs06336027_cn; *FGF4*, HS01235235_cn. The *TERT* locus was used for the internal reference copy number. Human Genomic DNA (Clontech) and DNA from noncancerous FFPE tissue were used as a normal control.

Real-Time Reverse-Transcription PCR. Real-time reverse-transcription PCR (RT-PCR) was performed as described.[11] In brief, complementary DNA was prepared from the total RNA obtained from each surgical frozen section using a GeneAmp RNA-PCR kit (Applied Biosystems). Real-time RT-PCR amplification was performed using a Thermal Cycler Dice (TaKaRa, Otsu, Japan) in accordance with the manufacturer's instructions under the following conditions: 95°C for 5 minutes, followed by 50 cycles of 95°C for 10 seconds and 60°C for 30 seconds. The primers used for the real-time RT-PCR were as follows: *FGF3*, 5′-TTT GGA GAT AAC GGC AGT GGA-3′ (forward) and 5′-CGT ATT ATA GCC CAG CTC GTG GA-3′ (reverse); *FGF4*, 5′-GAG CAG CAA GGG CAA GCT CTA-3′ (forward) and 5′-ACC TTC ATG GTG GGC GAC A-3′ (reverse); *GAPD*, 5′-GCA CCG TCA AGG CTG AGA AC-3′ (forward) and 5′-ATG GTG GTG AAG ACG CCA GT-3′ (reverse). *GAPD* was used to normalize expression levels in the subsequent quantitative analyses.

Fluorescence* In Situ *Hybridization Analysis. Fluorescence *in situ* hybridization (FISH) was performed as described.[10] Probes designed to detect the *FGF3* gene and *CEN11p* on chromosome 11 were labeled with fluorescein isothiocyanate or Texas red and were designed to hybridize to the adjacent genomic sequence spanning approximately 0.32 Mb and 0.63 Mb, respectively. The probes were generated from appropriate clones from a library of human genomic clones (GSP Laboratory, Kawasaki, Japan).

Immunoblotting. Western blot analysis was performed as described.[11] The following antibodies were used: monoclonal FGF3 (R&D Systems, Minneapolis, MN), FGF4 and FGFR2 antibodies (Santa Cruz Biotechnology, Santa Cruz, CA), and phosphorylated FGFR and horseradish peroxidase–conjugated secondary antibodies (Cell Signaling Technology, Beverly, MA). NIH-3T3 cells were exposed to the indicated concentrations of sorafenib for 2 hours and were then stimulated with FGF4-conditioned medium for 20 minutes.

Cell Growth Inhibitory Assay. To evaluate growth inhibition in the presence of various concentrations of sorafenib, we used an MTT assay as described.[12]

Plasmid Construction, Viral Production, and Stable Transfectants. The methods used in this section have been described.[12] The complementary DNA fragment encoding human full-length *FGF3* or *FGF4* was isolated using PCR and Prime STAR HS DNA polymerase (TaKaRa, Otsu, Japan) with following primers: *FGF3*, 5′-GG GAA TTC GCC GCC ATG GGC CTA ATC TGG CTG CTA-3′ (forward) and 5′-CC CTC GAG GCC CAG CTA GTG CGC ACT GGC CTC-3′ (reverse); *FGF4*, 5′-GG GAA TTC GCC GCC ATG TCG GGG CCC GGG ACG GCC GCG GTA GCG C-3′ (forward) and 5′-CC CTC GAG

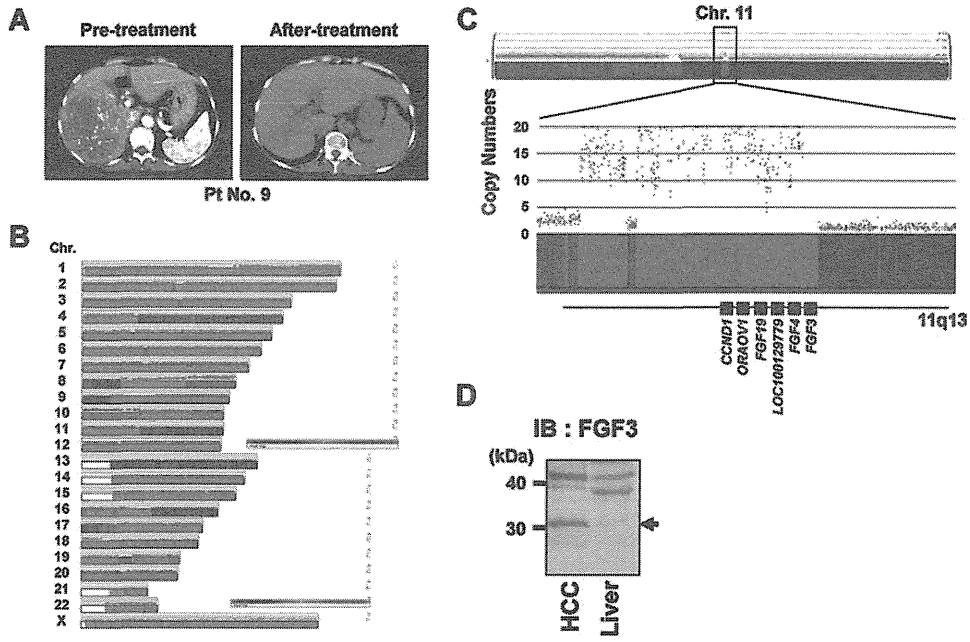

Fig. 1. HCC exhibiting a marked response to sorafenib treatment harbors *FGF3/FGF4* gene amplification. (A) Abdominal CT images obtained pretreatment (left panel) and 2 months after treatment (right panel). (B) CGH analysis of the tumor. Paired background liver tissue was used as a reference sample. A gain (>4 copies, red) and a loss (<0.5 copies, blue) of genomic copy number are shown. (C) Whole copy numbers of chromosome 11 are shown. A highly amplified region is described in the lower panel. (D) Western blot analysis of FGF3 (arrow) in HCC and paired background liver samples. IB, immunoblotting.

GGA GGG TCA CAG CCT GGG GAG GAA GTG GGT GAC CTT C-3′ (reverse). The stable transfectants expressing *EGFP* or *FGF3* or *FGF4* for each cell line were designated as A549/EGFP, A549/FGF3, and A549/FGF4.

Xenograft Studies. Nude mice (BALB/c nu/nu, 6-week-old females; CLEA Japan Inc., Tokyo) were used for *in vivo* studies and were cared for in accordance with the recommendations for the handling of laboratory animals for biomedical research compiled by the Committee on Safety and Ethical Handling Regulations for Laboratory Animal Experiments, Kinki University. Mice were subcutaneously inoculated with a total of 5×10^6 A549/EGFP, A549/FGF3, or A549/FGF4 cells. Two weeks after inoculation, the mice were randomized according to tumor size into two groups to equalize the mean pretreatment tumor size among the three groups (n = 20 mice per group). The mice were then treated with a low dose of oral sorafenib (n = 10, 15 mg/kg/day) or vehicle control (n = 10, Cremophor EL/ethanol/water) for 9 days. Tumor volume was calculated as length × width^2 × 0.5 and was assessed every 2 to 3 days.

Statistical Analysis. The statistical analyses were performed to test for differences between groups using the Student *t* test or Fisher's exact test. $P < 0.05$ was considered statistically significant. All analyses were performed using PAWS Statistics 18 (SPSS Japan Inc., Tokyo, Japan).

Results

Responder to Sorafenib Who Harbored* FGF3/FGF4 *Gene Amplification. A 58-year-old woman was diagnosed as having histologically confirmed advanced HCC (Fig. 1A, left panel) with multiple lung metastases. She received combination treatment with sorafenib, 5-fluorouracil (5FU), and interferon, and a subsequent treatment assessment revealed a partial response. Because the disease was well controlled with sorafenib treatment for 14 months (Fig. 1A, right panel), surgery was performed. To characterize this tumor molecularly, we performed array CGH analysis using frozen surgical specimens of the HCC region and paired background liver tissue as a reference control. The array CGH analysis revealed a low-level gain in the genomic DNA copy number for 1q, 8q, 10p, and 18p and a high level gain at 11q13 (Fig. 1B). Interestingly, the 11q13 region, a rare amplicons in HCC that contains several genes, including *FGF3*, *FGF4*, *CCND1*, and *FGF19*, was highly amplified over 20 copies (Fig. 1C). Western blot analysis revealed that FGF3 was overexpressed in the HCC specimen compared with the paired background liver specimen (Fig. 1D).

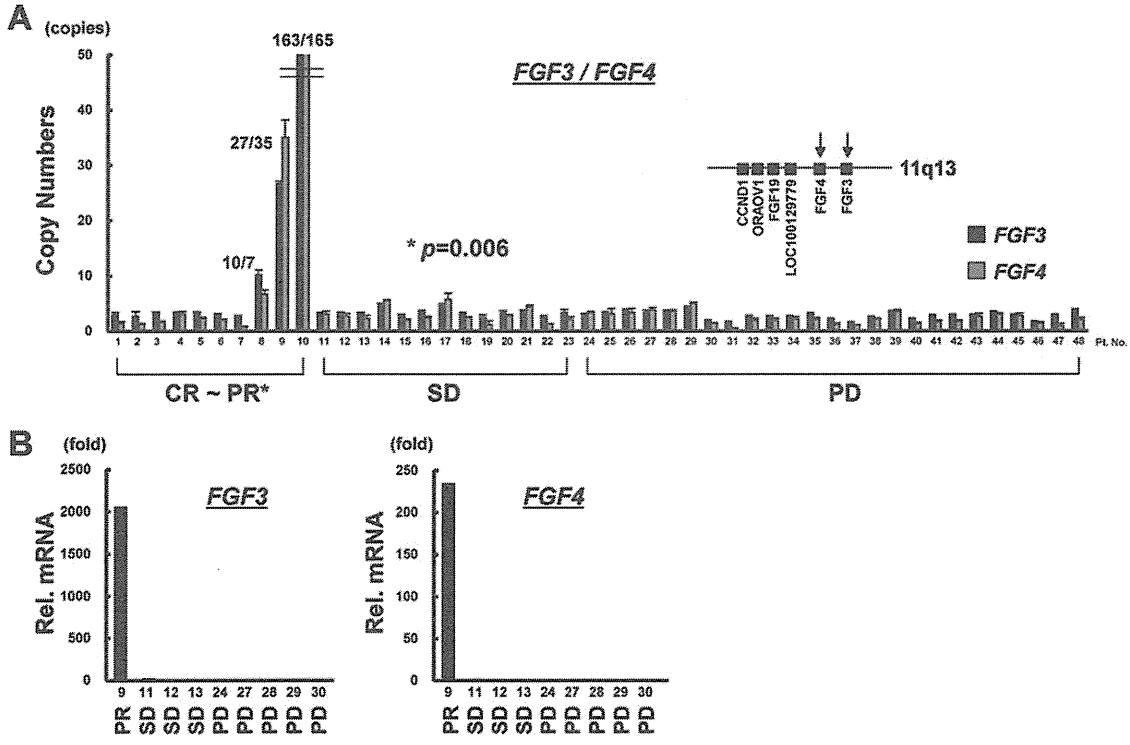

Fig. 2. *FGF3/FGF4* gene amplification is frequently observed in responders to sorafenib in HCC. (A) *FGF3/FGF4* gene amplification was determined using the TaqMan copy number assay in DNA samples obtained from 48 HCC samples that had been treated with sorafenib. *FGF3* amplification of >5 copies was observed in three of the sorafenib responders. *Complete response + partial response versus stable disease + progressive disease. (B) *FGF3/FGF4* gene amplification mediates the overexpression of *FGF3/FGF4* mRNA. The mRNA expression levels of *FGF3* and *FGF4* were examined in nine HCC samples that were available as frozen samples among 48 HCC samples that were treated with sorafenib. Rel. mRNA, *target gene/GAPD* $\times$ 10^6.

The 11q13 locus is known to be a frequently amplified region in several human cancers except HCC.[13] Thus, we hypothesized that the amplification of 11q13 may be involved in a marked response to sorafenib.

FGF3/FGF4 ***Gene Amplification Is Frequently Observed in Responders to Sorafenib.*** To address the question of whether *FGF3/FGF4* gene amplification is also found in the HCC of other responders to sorafenib, we examined HCC specimens collected from 11 other medical centers in Japan. Because most of the HCC samples were collected as FFPE samples, we used a TaqMan Copy number assay.[10] A copy number assay revealed that *FGF3/FGF4* amplification was observed in three of the 10 (30%) HCC samples that responded to sorafenib, whereas no amplification was observed in the 38 specimens from patients with stable or progressive disease (P = 0.006, Fig. 2A). The copy numbers for *FGF3/FGF4* were 10.2 $\pm$ 0.8/6.7 $\pm$ 0.8, 26.7 $\pm$ 0.4/35.1 $\pm$ 3.1, and 162.5 $\pm$ 9.0/165.0 $\pm$ 12.5 copies in the amplified samples, whereas the copy numbers of *FGF3* for all the other samples were below 5 copies. The correlation between the *FGF3* locus and the *FGF4* locus copy numbers was very high (R = 0.998), indicating that the DNA copy number assay for *FGF3/FGF4* was a sensitive and reproducible method.

FGF3/FGF4 ***Gene Amplification Mediates the Overexpression of*** **FGF3/FGF4** ***Messenger RNA.*** We examined the messenger RNA (mRNA) expression levels of *FGF3/FGF4* in nine HCC samples that were available as frozen samples among the 48 sorafenib-treated samples, as shown in Fig. 2A. One amplified sample expressed extremely high mRNA levels of *FGF3/FGF4* compared with nonamplified samples (Fig. 2B). The results demonstrated that *FGF3/FGF4* gene amplification mediates the overexpression of *FGF3/FGF4* mRNAs and proteins (Figs. 2B and 1D).

FISH Analysis Confirmed **FGF3/FGF4** ***Gene Amplification.*** We used FISH analysis to examine *FGF3/FGF4* amplification and to verify the results of the above-described PCR-based DNA copy number assay. All *FGF3/FGF4*-amplified clinical samples were confirmed as exhibiting high-level *FGF3* amplification using FISH analysis (Fig. 3). One patient showed multiple scattered signals, whereas two patients showed large clustered signals. Nonamplified HCC yielded a negative result for gene amplification. These results clearly demonstrate the presence of *FGF3/FGF4*-

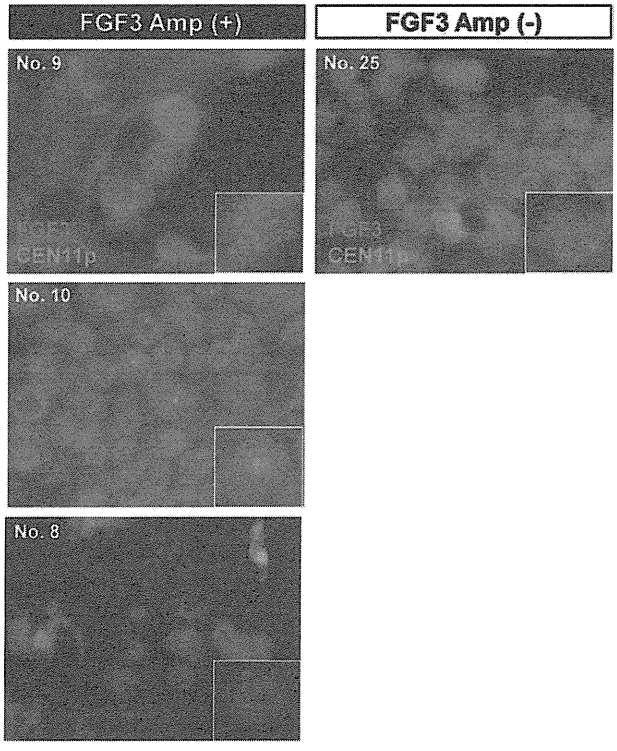

Fig. 3. FISH analysis of *FGF3*-amplified HCC. Patient numbers were indicated. Green staining indicates *CEN11P* loci; red staining indicates *FGF3* loci. High-power images are presented in each inset for a single cancer cell. Amp, gene amplification.

amplified HCC among the clinical samples, and the FISH analysis results were consistent with those for the copy number assay.

Frequency of* FGF3/FGF4 *Gene Amplification in HCC. To determine the frequency of *FGF3/FGF4* gene amplification in HCC, we performed a copy number assay for HCC samples without sorafenib treatment in a series of surgical specimens. Two of the 82 (2.4%) HCC samples exhibited *FGF3/FGF4* gene amplification, with copy numbers of 10.7/15.3 and 133.3/112.7 copies, respectively (Fig. 4). One amplified HCC was a poorly differentiated tumor, whereas the other was a moderately differentiated tumor.

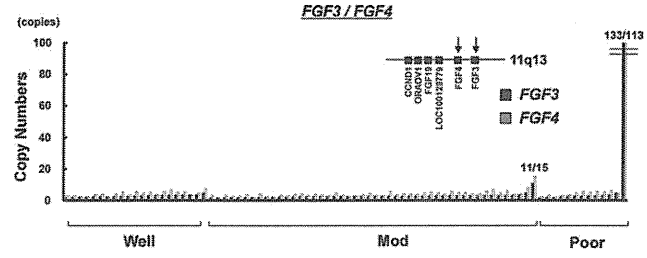

Fig. 4. *FGF3/FGF4* gene amplification in a series of HCC samples without sorafenib treatment. TaqMan copy number assay for *FGF3* and *FGF4* was used to examine DNA samples obtained from 82 surgical specimens. Human normal genomic DNA was used as a normal control. Well, well-differentiated HCC; Mod, moderately differentiated HCC; Poor, poorly differentiated HCC.

Clinicopathological Features of Responders to Sorafenib. The clinico-pathological features of the sorafenib responders are shown in Table 1. A comparison of clinical factors (age, sex, viral status, alpha-fetoprotein level, PIVKA-II, clinical stage, primary tumor size, metastatic status, histological type, and tumor response between responders and nonresponders) is given in Table 2. Notably, multiple lung metastases over five nodules was significantly higher among responders to sorafenib (responders, 5/13 [38%]; nonresponders, 2/42 [5%]; $P = 0.006$). Although the difference was not significant, poorly differentiated HCC tended to be

Table 1. Clinico-pathological Characteristics in Sorafenib Responders

Patient No.	Age, Years	Sex	Viral Status	AFP, ng/mL	PIVKA-II, mAU/mL	Clinical Stage	HCC in the Liver	Lung Metastasis	Other Metastases	Histological Type	Combination Treatment	Treatment Response	*FGF3/FGF4* Amplification
1	52	M	B	198	140	IV	2 cm, ×3	multi	Adrenal gland	Mod	(−)	PR	(−)
2	63	M	B	24	1,983	III	6 cm	(−)	(−)	Mod	(−)	CR	(−)
3	58	M	C	16	14	III	9 cm, multiple	(−)	(−)	Well	(−)	PR	(−)
4	62	M	B	8	130	IV	(−)	×3	(−)	Mod-Poor	(−)	PR	(−)
5	47	F	C	1,872	728	IV	2 cm, multiple	Multiple	(−)	Poor	+TAI	CR	(−)
6	66	M	C	290	18,507*	IV	5 cm	(−)	(−)	Mod	(−)	CR	(−)
7	71	M	C	404,100	1,328	IV	5 cm, multiple	Multiple	(−)	Poor	(−)	CR	(−)
8	66	M	Non	49	7,173	IV	(−)	×2	Pleural, LN	Mod	(−)	PR	Amplification
9	58	F	B	715	101	IV	11 cm	Multiple	(−)	Combination†	+5FU/IFN	PR	Amplification
10	80	F	C	378	21	III	3 cm, ×3	(−)	(−)	Poor, Mod‡	(−)	CR	Amplification
11	57	M	C	46,835	2,730	IV	14 cm, multiple	Multiple	(−)	Mod	(−)	CR	ND
12	77	M	B	435	71,000	IV	4 cm, multiple	(−)	(−)	Mod	(−)	PR	ND
13	84	M	Non	5,410	847,000*	IV	13 cm, multiple	(−)	(−)	Poor	(−)	PR	ND

Abbreviations: AFP, alpha-fetoprotein; CR, complete response; F, female; IFN, interferon; LN, lymph node; M, male; Mod, moderately differentiated; ND, not done; Non, non-B, non-C; Poor, poorly differentiated; PR, partial response; TAI, transcatheter arterial infusion; Well, well differentiated.

*Warfarin treatment (+).

†HCC with cholangiocarcinoma component.

‡From two different HCC nodules.

Table 2. Clinicopathological Characteristics and *FGF3/FGF4* Gene Amplification in Responders and Nonresponders to Sorafenib

Characteristic	Responders (n = 13)	Nonresponders (n = 42)	*P* Value*
Age, years (range)	63 (47-84)	66 (22-89)	0.98
Sex, M/F	10/3	30/12	0.97
Viral status, no.			0.69
HBV	5	10	
HCV	6	16	
B+C	0	1	
Non-B, non-C	2	15	
AFP, ng/mL (range)	378 (8-404,100)	56 (2-114,248)	0.33
PIVKA-II, mAU/mL (range)	728 (14-847,000)	81 (11-147,000)	0.78
Clinical stage, no.			0.73
II	0	1	
III	3	13	
IV	10	28	
Primary tumor, cm (range)	5 (0-14)	3 (0-15)	0.20
Lung metastasis, no.			0.13
(−)	6	31	
(+)	7	11	
Multiple lung metastases, no.			0.006
<5	8	40	
≥5	5	2	
Other metastases, no.			0.24
(−)	11	26	
(+)	2	16	
Histological type, no.			0.13
Well	1	7	
Moderate	6	26	
Poor	5	6	
Combination†	1	3	
Response, no.			ND
Complete response	6	–	
Partial response	7	–	
Stable disease	–	16	
Progressive disease	–	24	
Not evaluable	–	2	

Abbreviations: AFP, alpha-fetoprotein; HBV, hepatitis B virus; HCV, hepatitis C virus; ND, not done.

*P values of viral status and histological type were calculated between HBV versus HCV and poorly differentiated versus nonpoorly differentiated.

†HCC with cholangiocarcinoma component.

more common among responders to sorafenib (responders, 5/13 [38%]; nonresponders, 6/42 [14%]; $P = 0.13$). These results suggest that multiple lung metastases and a poorly differentiated histology may be clinical biomarkers for sorafenib treatment in patients with HCC.

Sorafenib Potently Inhibits Cellular Growth in* FGF3/FGF4-*Amplified and* FGFR2-*Amplified Cell Lines. We examined the growth inhibitory effect of sorafenib in various cancer cell lines to evaluate whether activated FGFR signaling is involved in the response to sorafenib. Among 26 cell lines, KYSE220 was the only *FGF3/FGF4*-amplified cell line (data not shown), and HSC-43, HSC-39, and KATOIII were the only *FGFR2*-amplified cell lines.[14] Sorafenib potently inhibited cellular growth in these four cell lines at a sub-μM 50% inhibitory concentration (IC_{50}) (Fig. 5A). The IC_{50} values were as follows: HSC43, 0.8 μM; HSC39, 0.6 μM; KATOIII, 0.4 μM; and KYSE220, 0.18 μM. These results suggest that activated FGFR signaling may be involved in the response to sorafenib.

***Sorafenib Inhibits Tumor Growth in* FGF4-*Introducing Cell Lines* In Vivo.** Finally, we established cancer cell lines stably overexpressing *EGFP*, *FGF3*, or *FGF4* to examine the relationship between the gene function of *FGF3* or *FGF4* and drug sensitivity to sorafenib *in vivo*. Western blotting confirmed that exogenously expressed FGF3 and FGF4 were secreted into the culture medium (Fig. 5B). Sorafenib inhibited the FGF4-conditioned, medium-mediated expression levels of phosphorylated FGFR (Figure 5C). A similar result was obtained using recombinant FGF4 (data not shown). Mice inoculated with these cell lines were treated with a low dose of oral sorafenib (15 mg/kg/day) or without sorafenib (vehicle control). *FGF3* overexpression did not increase the tumor volume compared with EGFP tumors; however, *FGF4* overexpression aggressively increased tumor volume and clearly enhanced the malignant phenotype (Fig. 5D). Notably, the low-dose sorafenib treatment significantly inhibited the growth of the A549/FGF4 tumors, whereas it was not effective against A549/EGFP and A549/FGF3 tumors (Fig. 5D). These results suggest that overexpression of *FGF4* is partially involved in the response to sorafenib.

Discussion

The *FGF3* gene was first identified and characterized based on its similarity to the mouse *fgf3/int-2* gene, which is a proto-oncogene activated in virally induced mammary tumors in mice.[15] Meanwhile, the *FGF4* gene was first identified in gastric cancer as an oncogene *HST*, which has the ability to induce the neoplastic transformation of NIH-3T3 cells upon transfection.[16] These genes were initially regarded as proto-oncogenes. *FGF3* and *FGF4* genes are located side-by-side and are also closely located to the *FGF19* and *CCND1* genes (within 0.2 Mb of the 11q13 region).[13] The 11q13 region is known as a gene-dense region, and gene amplification of this region is frequently observed in various solid cancers (including breast cancer, squamous cell carcinoma of the head and neck, esophageal cancer, and melanoma) at frequencies of 13%-60%.[13] On the other hand, the frequency of *FGF3/FGF4* amplification in HCC remains