

conducted using logistic regression. The cumulative incidence curve was determined using the Kaplan–Meier method and differences between groups were assessed by the log-rank test. For all methods, the level of significance was set at  $p < 0.05$ . Multivariate analysis of the risk of HCC was carried out using the Cox proportional hazard model. Statistical analyses were performed using the Statistical Package for the Social Sciences software version 11.0 (SPSS, Chicago, IL, USA). In Study 1, age, sex, platelet count, and total bilirubin levels were identified as independent factors for the development of HCC; therefore, these factors were selected for the propensity-matched control study (Study 2) in which 59 patients from the PegIFN $\alpha$ -2a group were included.

## Results

### Study 1

We analyzed the factors involved in the development of HCC in patients who received 90  $\mu$ g PegIFN $\alpha$ -2a weekly or biweekly for more than a year. The incidence of HCC did not differ significantly between the groups treated with PegIFN $\alpha$ -2a weekly and biweekly (34 of 512 vs. 15 of 82, respectively). As shown in Table 2, univariate analysis revealed statistically significant differences in the pre-treatment parameters including age, sex, fibrosis of the liver, platelet count, albumin level, and total bilirubin, between patients who developed HCC and those who did not. Endoscopy was carried out in 375 patients, and esophageal varices were noted in 31 of them. The incidence of HCC was higher in patients with esophageal varices than in those without varices [29.0 % (9 of 31) vs. 6.4 % (22 of 344)]. Assessment of on-treatment factors by univariate analysis revealed statistically significant differences in serum ALT, AFP, and HCV RNA levels 24 weeks after the start of PegIFN $\alpha$ -2a maintenance treatment (Table 2).

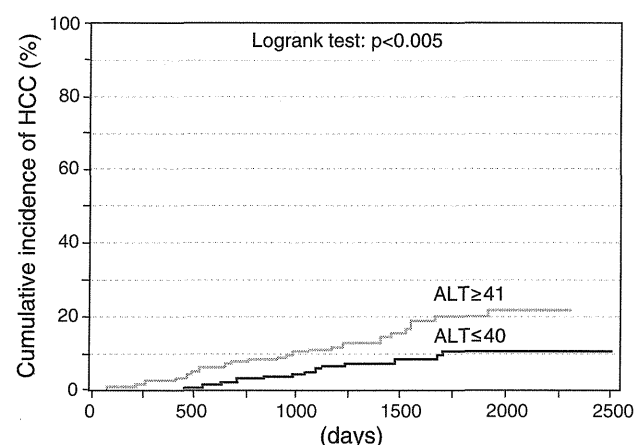
Multivariate analysis including pretreatment parameters revealed that age, sex, fibrosis of the liver, platelet count, and total bilirubin were independent risk factors for HCC development (Table 3). Multivariate analysis including on-treatment parameters identified ALT levels of  $\geq 41$  IU/L and AFP levels of  $\geq 10$  ng/L 24 weeks after the start of the PegIFN $\alpha$ -2a therapy as independent risk factors for HCC development (Table 3).

The incidence of HCC was significantly lower in patients with ALT levels of  $\leq 40$  IU/L than in those with ALT levels of  $\geq 41$  IU/L 24 weeks after the start of observation (Fig. 2). The incidence of HCC was also significantly lower in patients with AFP concentrations of  $< 10$  ng/mL at 24 weeks after the start of observation than in those with AFP concentrations of

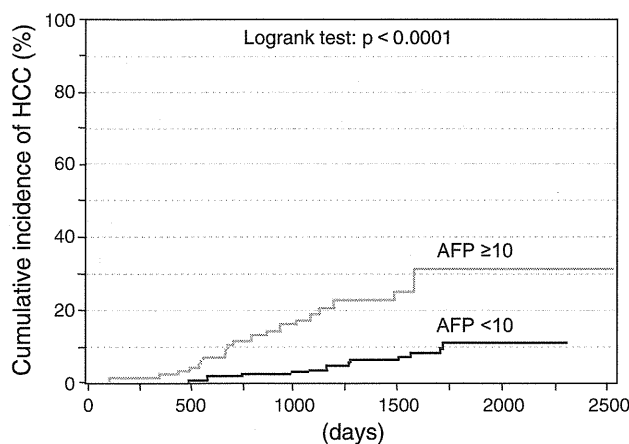
$\geq 10$  ng/mL (Fig. 3). The dose of PegIFN $\alpha$ -2a was reduced to 45  $\mu$ g in 16 patients because of neutropenia and thrombocytopenia. In addition, PegIFN $\alpha$ -2a was discontinued in 18 patients because of adverse events, including depression (7 patients), interstitial pneumonitis (3 patients), thrombocytopenia (3 patients), neutropenia (1 patient), itching (1 patient), and ascites (3 patients). No statistically significant differences were found between the patients with reduced dosage or treatment interruption and those without treatment modifications with respect to overall survival, HCC incidence, ascites formation, variceal bleeding, hepatic encephalopathy, and 2-point increases in the Child-Pugh score. No patients underwent liver transplantation.

**Table 3** Independent risk factors for HCC development in patients treated with 90  $\mu$ g PegIFN $\alpha$ -2a weekly or bi-weekly, evaluated by multivariate analysis (logistic regression analysis)

	Multivariate analysis		
	Odds ratio	95 % Confidence interval (CI)	<i>p</i>
Age (years) (every 5 years)	2.24	1.76–9.33	<0.005
Sex (male/female)	3.16	1.56–10.7	<0.005
Fibrosis (F3, 4/F0, 1, 2)	1.69	1.18–5.2	<0.01
Platelet count ( $< 120 \times 10^3/\mu$ L vs. $\geq 120 \times 10^3/\mu$ L)	3.24	1.44–27.6	<0.01
Total bilirubin (mg/dL)	1.59	1.09–2.58	<0.05
ALT (at 24 weeks) ( $\geq 41$ vs. $< 40$ IU/L)	2.49	1.51–8.28	<0.05
AFP (at 24 weeks) ( $\geq 10$ vs. $< 10$ ng/L)	3.78	1.92–11.8	<0.01



**Fig. 2** Comparison of HCC rates in patients administered with PegIFN $\alpha$ -2a ( $n = 594$ ) with respect to alanine aminotransferase (ALT) levels 24 weeks after the start of therapy. *Black line* patients with ALT  $\geq 41$  IU/L in the first 24 weeks, *gray line* patients with ALT  $\leq 40$  IU/L in the first 24 weeks



**Fig. 3** Comparison of HCC rates in patients administered PegIFN $\alpha$ -2a ( $n = 594$ ) with respect to alpha-fetoprotein (AFP) levels in the first 24 weeks after the start of therapy. *Black line* patients with AFP  $\geq 10$  ng/mL at 24 weeks, *gray line* patients with AFP  $< 10$  ng/mL at 24 weeks

## Study 2

We compared the incidence of HCC between 59 patients in the control group and the same number of patients in the PegIFN $\alpha$ -2a group using the matched-pair test. The backgrounds of the patients are shown in Table 4. The PegIFN $\alpha$ -2a group had higher rates of advanced fibrosis (F3 and F4) and active inflammation (A2 and A3). No other differences were found between the two groups, except for the white blood cell count (Table 4).

Development of HCC was observed in 2 patients in the PegIFN $\alpha$ -2a group and 8 in the control group. The incidence of HCC was compared between the two groups, using the Kaplan–Meier method. The incidence of HCC in the PegIFN $\alpha$ -2a group was significantly lower than that in the control group (log-rank test,  $p = 0.0187$ ; Fig. 4). Among the patients with advanced fibrosis of the liver (F3 and F4), those in the PegIFN $\alpha$ -2a group had a lower incidence of HCC than those in the control group. The independent risk factors for the development of HCC were analyzed using the stepwise Cox proportional hazard model. Only PegIFN $\alpha$ -2a administration and age were identified as independent risk factors for the development of HCC (Table 5).

## Discussion

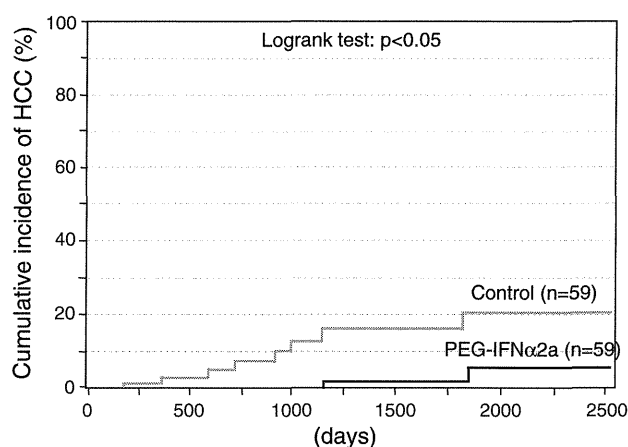
The number of HCC cases resulting from HCV infection continues to increase worldwide [19]. To date, IFN therapy is the most effective preventive measure against HCC in patients with chronic hepatitis C; furthermore, the

**Table 4** Backgrounds of the patients in the propensity-matched control study (PegIFN $\alpha$ -2a group,  $n = 59$ ; control group,  $n = 59$ )

	PegIFN $\alpha$ -2a group ( $n = 59$ )	Control group ( $n = 59$ )	$p$ value
Age (years)	60.5 $\pm$ 13.0	63.3 $\pm$ 10.5	n.s.
Gender (male/female)	24/35	25/34	n.s.
BMI	22.9 $\pm$ 3.6	22.9 $\pm$ 3.4	n.s.
Genotype (1/2)	49/10	46/13	n.s.
History of excess alcohol consumption (60 g/day; yes/no)	10/49	4/55	n.s.
Fibrosis (F0, 1, 2/F3, 4)	37/22	43/16	$< 0.05$
Development of HCC (F0–2/F3, 4)	1/1	1/7	n.s.
Inflammatory activity (A0,1/A2, 3)	19/40	30/29	$< 0.05$
Diabetes mellitus (no/yes)	57/2	56/3	n.s.
LDL cholesterol (mg/dL)	95.3 $\pm$ 23.8	117.0 $\pm$ 4.2	n.s.
White blood cell count (/mm <sup>3</sup> )	4,260 $\pm$ 1,239	5,193 $\pm$ 2,078	$< 0.05$
Red blood cell count ( $\times 10^{-4}/\mu\text{L}$ )	430 $\pm$ 57.8	441 $\pm$ 44.9	n.s.
Hemoglobin (g/dL)	13.6 $\pm$ 1.5	13.6 $\pm$ 1.9	n.s.
Platelet count ( $\times 10^{-3}/\mu\text{L}$ )	14.5 $\pm$ 5.7	15.8 $\pm$ 5.7	n.s.
Albumin (g/dL)	4.1 $\pm$ 0.5	4.1 $\pm$ 0.4	n.s.
Total bilirubin (mg/dL)	0.7 $\pm$ 0.5	0.9 $\pm$ 0.7	n.s.
AST (IU/L)	58.3 $\pm$ 47.7	49.7 $\pm$ 26.6	n.s.
ALT (IU/L)	63.6 $\pm$ 68.7	58.0 $\pm$ 39.2	n.s.
Gamma-GTP (IU/L)	78.3 $\pm$ 81.3	55.3 $\pm$ 75.1	n.s.
Baseline alpha-fetoprotein (AFP) (ng/L)	7.2 (4.3–14.2)	7.7 (3.9–13.8)	n.s.
Baseline HCV RNA level (KIU/mL)	1,230 (24–3,870)	1,024 (38–3,110)	n.s.

incidence of HCC is reduced in patients who achieve an SVR to IFN [6–9]. Therefore, achieving an SVR is the most effective approach for reducing the risk of developing HCC. In Japan, the incidence of HCC is elevated in older patients with hepatitis C. Corroborating this finding, the results of a Japanese study show a higher risk of HCC in patients aged 65 years and more [10]. Therefore, prevention of HCC in aged patients is an important challenge.

In the present multicenter, cooperative, retrospective study conducted in Japan, the incidence of HCC was reduced in patients who received 90  $\mu\text{g}$  PegIFN $\alpha$ -2a weekly or biweekly and had AFP values of  $< 10$  ng/mL and ALT values of  $\leq 40$  IU/L 24 weeks after the start of the treatment. The results of the matched case–control study of the PegIFN $\alpha$ -2a group and the non-IFN control group show that the incidence of HCC was significantly lower in the PegIFN $\alpha$ -2a group than in the control group, especially in patients with advanced fibrosis of the liver (F3 and F4). However, there could have been a selection bias between



**Fig. 4** Comparison of HCC rates between the long-term PegIFN $\alpha$ -2a administration group ( $n = 59$ ) and non-administration group ( $n = 59$ ) in the propensity-matched control study (Kaplan-Meier log-rank test,  $p = 0.019$ )

**Table 5** Risk factors for HCC in the propensity-matched control study (Cox proportional hazard model)

Variables	Risk ratio	95 % CI	$p$ value
PegIFN versus control	0.17	0.03–0.75	<0.05
Age (every 1 year)	1.12	1.02–1.25	<0.05
Fibrosis (F3, 4 vs. F0, 1, 2)	1.70	0.75–4.16	n.s.
Platelet count (every $10 \times 10^3/\mu\text{L}$ )	0.89	0.73–1.09	n.s.
Albumin (every 1.0 g/dL)	0.80	0.10–6.68	n.s.
On-treatment AFP (<10 vs. $\geq 10$ ng/L)	4.07	0.59–40.12	n.s.

the PegIFN $\alpha$ -2a group and the control group (patients who did not agree to receive IFN treatment), because this was a retrospective and non-randomized study. However, concordant with the findings of the HALT-C study [14], the present results show that PegIFN $\alpha$ -2a inhibits the development of HCC in patients with advanced fibrosis of the liver.

Recent studies show that polymorphisms in the host *IL28B* gene are important factors in the response to PegIFN $\alpha$  and ribavirin combination therapy [20, 21]. However, the mechanism of *IL28B* involvement in the response to PegIFN $\alpha$  and ribavirin has not been elucidated completely. A recent report has shown that *IL28B* is a significant factor in the development of HCC as well as in the response to IFN therapy [22]. Further studies are warranted to analyze the relationship between *IL28B* and inhibition of the development of HCC by PegIFN $\alpha$  in chronic hepatitis C.

Risk factors for the development of HCC have been discussed previously. Increased intrahepatic fat is involved in the development of HCC in chronic hepatitis C patients [23, 24]. In addition, diabetes-associated fat disorder [25,

26], hepatic iron overload [27], advanced fibrosis, older age, and fatty deposits in the liver are risk factors for HCC development [4]. Therefore, it is important to establish strategies to mitigate these risk factors to prevent the development of HCC and thus improve the outcomes of hepatitis C patients.

IFN therapy after HCC treatment is reported to inhibit the recurrence of tumors [28, 29], and a meta-analysis has revealed a trend toward inhibition of the recurrence of HCC [30, 31]. The prevention of HCC is an important issue that needs to be addressed to improve the survival of chronic hepatitis C patients. The findings of the present study and the HALT-C trial [14] indicate the effectiveness of long-term administration of maintenance IFN for preventing the development of HCC in chronic hepatitis C patients without an SVR. Improvement in ALT levels is also known to be an important predictor for the prevention of HCC [32]. A low AFP value during IFN administration is also recognized as a significant indicator of a lower risk of HCC [33, 34]. Recently, Osaki et al. [35] reported that a decrease of serum AFP during treatment with IFN was associated with a reduced incidence of HCC. Taking these findings and our own together, we conclude that maintenance administration of low-dose PegIFN $\alpha$ -2a weekly or biweekly to non-SVR patients with chronic hepatitis C decreases the incidence of HCC, especially in patients whose serum ALT and AFP levels are within the normal range 24 weeks after the start of treatment. The preventive effects of IFN against the development of HCC without elimination of the virus may be associated with its anticarcinogenic effects [16, 35]; however, the precise mechanism should be investigated.

The limitations of the present study are that it is retrospective and multicentric; therefore, potentially there may have been a selection bias. However, the reduction of the rate of development of HCC by maintenance administration of PegIFN $\alpha$ -2a in the patients in whom serum ALT and AFP levels were within the normal ranges 24 weeks after the start of treatment may be attributable to the anticarcinogenic effects of IFN without elimination of the virus.

## Conclusion

The incidence of HCC was lower in non-SVR patients with chronic hepatitis C who were administered with maintenance low-dose PegIFN $\alpha$ -2a; especially in those whose serum ALT and AFP levels were within the normal ranges 24 weeks after the start of treatment.

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**Conflict of interest** Namiki Izumi received lecture fees from Chugai Co. and MSD Co. in 2011.

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# Model Incorporating the *ITPA* Genotype Identifies Patients at High Risk of Anemia and Treatment Failure With Pegylated-Interferon Plus Ribavirin Therapy for Chronic Hepatitis C

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This study aimed to develop a model for predicting anemia using the inosine triphosphatase (*ITPA*) genotype and to evaluate its relationship with treatment outcome. Patients with genotype 1b chronic hepatitis C ( $n = 446$ ) treated with peg-interferon alpha and ribavirin (RBV) for 48 weeks were genotyped for the *ITPA* (rs1127354) and *IL28B* (rs8099917) genes. Data mining analysis generated a predictive model for anemia (hemoglobin (Hb) concentration  $<10$  g/dl); the CC genotype of *ITPA*, baseline Hb  $<14.0$  g/dl, and low creatinine clearance (CLcr) were predictors of anemia. The incidence of anemia was highest in patients with Hb  $<14.0$  g/dl and CLcr  $<90$  ml/min (76%), followed by Hb  $<14.0$  g/dl and *ITPA* CC (57%). Patients with Hb  $\geq 14.0$  g/dl and *ITPA* AA/CA had the lowest incidence of anemia (17%). Patients with two predictors (high-risk) had a higher incidence of anemia than the others (64% vs. 28%,  $P < 0.0001$ ). At baseline, the *IL28B* genotype was a predictor of a sustained virological response [adjusted odds ratio 9.88 (95% confidence interval 5.01–19.48),  $P < 0.0001$ ]. In patients who achieved an early virological response, the *IL28B* genotype was not associated with a sustained virological response, while a high risk of anemia was a significant negative predictor of a sustained virological response [0.47 (0.24–0.91),  $P = 0.026$ ]. For high-risk patients with an early virological response, giving  $>80\%$  of the planned RBV dose increased sustained virological responses by 24%. In conclusion, a predictive model

incorporating the *ITPA* genotype could identify patients with a high risk of anemia and reduced probability of sustained virological response.

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**KEY WORDS:** hemolytic anemia; ribavirin; creatinine clearance; antiviral therapy

## INTRODUCTION

Hepatitis C virus (HCV) infection is a leading cause of cirrhosis and hepatocellular carcinoma worldwide [Kim, 2002]. The rate of eradication of HCV by pegylated interferon (PEG-IFN) plus ribavirin (RBV), defined as a sustained virological response, is around 50% in patients with HCV genotype 1 [Manns et al., 2001; Fried et al., 2002]. Failure of treatment is attributable to the lack of a virological response or relapse after completion of therapy. Genome-wide association studies and subsequent cohort studies

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have shown that single nucleotide polymorphisms (SNPs) located near the *IL28B* gene are the most important determinant of virological response to PEG-IFN/RBV therapy [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009; Rauch et al., 2010]. On the other hand, among patients with a virological response, the probability of a sustained virological response decreases when the patients become intolerant to therapy because of RBV-induced hemolytic anemia and receive a reduced dose of RBV [McHutchison et al., 2002; Kurosaki et al., 2012]. Genome-wide association studies have shown that variants of the inosine triphosphatase (*ITPA*) gene protect against hemolytic anemia [Fellay et al., 2010; Tanaka et al., 2011]. These variants are associated with a reduced requirement for an anemia-related dose reduction of RBV [Sakamoto et al., 2010; Thompson et al., 2010a; Kurosaki et al., 2011d; Seto et al., 2011]. However, factors other than the *ITPA* gene also contribute to the risk of severe anemia or RBV dose reduction [Ochi et al., 2010; Kurosaki et al., 2011d] and the results of studies on the impact of the *ITPA* genotype on treatment outcome are inconsistent [Ochi et al., 2010; Sakamoto et al., 2010; Thompson et al., 2010a, 2011; Kurosaki et al., 2011d].

Data mining is a novel statistical method used to extract relevant factors from a plethora of factors and combine them to predict the incidence of the outcome of interest [Breiman et al., 1980]. Decision tree analysis, a primary component of data mining analysis, has found medical applications recently [Averbook et al., 2002; Miyaki et al., 2002; Baquerizo et al., 2003; Leiter et al., 2004; Garzotto et al., 2005; Zlobec et al., 2005; Valera et al., 2007] and has proven to be a useful tool for predicting therapeutic efficacy [Kurosaki et al., 2010, 2011a,b,c, 2012] and adverse events [Hiramatsu et al., 2011] in patients with chronic hepatitis C treated with PEG-IFN/RBV therapy. Because the results of data mining analysis are presented as a flowchart [LeBlanc and Crowley, 1995], they are easily understandable and usable by clinicians lacking a detailed knowledge of statistics.

For the general application of this genetic information in clinical practice, this study aimed to construct a predictive model of severe anemia using the *ITPA* genotype, together with other relevant factors. This study also aimed to analyze the impact of the risk of anemia on treatment outcome, after adjustment for the *IL28B* genotype. These analyses were carried out at baseline and during therapy, when the early virological response became evident.

## MATERIALS AND METHODS

### Patients

Data were collected from a total of 446 genotype 1b chronic hepatitis C patients who were treated with PEG-IFN alpha and RBV at five hospitals and universities throughout Japan. The inclusion criteria were: (1) infection by hepatitis C genotype 1b; (2) no

co-infection with hepatitis B virus or human immunodeficiency virus; (3) no other causes of liver disease such as autoimmune hepatitis and primary biliary cirrhosis; and (4) availability of DNA for the analysis of the genetic polymorphisms of *IL28B* and *ITPA*. Patients received PEG-IFN alpha-2a (180 µg) and 2b (1.5 µg/kg) subcutaneously every week and a daily weight-adjusted dose of RBV (600 mg for patients weighing <60 kg, 800 mg for patients weighing 60–80 kg, and 1,000 mg for patients weighing >80 kg) for 48 weeks. Dose reduction or discontinuation of PEG-IFN and RBV was primarily based on the recommendations on the package inserts and the discretion of the physicians at each university and hospital. The standard duration of therapy was set at 48 weeks. No patient received erythropoietin or other growth factors for the treatment of anemia. Written informed consent was obtained from each patient, and the study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional ethics review committees.

### Laboratory Tests

Blood samples obtained before therapy were analyzed for hematologic data, blood chemistry, and HCV RNA. Genetic polymorphisms in SNPs of the *ITPA* gene (rs1127354) and the *IL28B* gene (rs8099917) were determined using ABI TaqMan Probes (Applied Biosystems, Carlsbad, CA) and the DigiTag2 assay, respectively. Baseline creatinine clearance (CLcr) levels were calculated using the formula of Cockcroft and Gault [1976]: for males,  $CLcr = [(140 - \text{age in years}) \times \text{body weight in kg}] \div (72 \times \text{serum creatinine in mg/dl})$  and for females,  $CLcr = 0.85 \times [(140 - \text{age in years}) \times \text{body weight in kg}] \div (72 \times \text{serum creatinine in mg/dl})$ . The stage of liver fibrosis was scored according to the METAVIR scoring system: F0 (no fibrosis), F1 (mild fibrosis: portal fibrosis without septa), F2 (moderate fibrosis: few septa), F3 (severe fibrosis: numerous septa without cirrhosis), and F4 (cirrhosis). A rapid virological response was defined as undetectable HCV RNA by qualitative PCR with a lower detection limit of 50 IU/ml (Amplicor, Roche Diagnostic Systems, Pleasanton, CA) at week 4 of therapy and a complete early virological response was defined as undetectable HCV RNA at week 12. A sustained virological response was defined as undetectable HCV RNA at 24 weeks after completion of therapy. Severe anemia was defined as hemoglobin (Hb) <10 g/dl.

### Statistical Analysis

Database for analysis included the following variables: age, sex, body mass index, serum aspartate aminotransferase (AST) levels, alanine aminotransferase (ALT) levels, gamma-glutamyltransferase (GGT) levels, creatinine levels, CLcr, Hb, platelet count, serum levels of HCV RNA, and the stage of liver fibrosis

TABLE I. Patients' Baseline Characteristics

Age (years)	58.6	(9.6)
Gender: male (n, %)	185	(42%)
Body mass index (kg/m <sup>2</sup> )	23.1	(3.7)
AST (IU/L)	59.9	(53.8)
ALT (IU/L)	69.8	(53.8)
GGT (IU/L)	48.5	(41.6)
Creatinine (mg/dl)	0.7	(0.2)
Creatinine clearance (ml/min)	89.5	(23.0)
Hemoglobin (g/dl)	14	(1.4)
Platelet count (10 <sup>9</sup> /L)	154.5	(52.1)
HCV RNA > 600,000 IU/ml (n, %)	354	(79%)
Liver fibrosis: F3-4 (n, %)	108	(24%)
Initial ribavirin dose (n, %)		
600 mg/day	300	(67%)
800 mg/day	138	(31%)
1,000 mg/day	9	(2%)
Pegylated interferon (n, %)		
alpha2a 180 mcg	58	(13%)
alpha2b 1.5 mcg/kg	388	(87%)
<i>ITPA</i> rs1127354: CC (n, %)	317	(71%)
<i>IL28B</i> rs809917: TT (n, %)	311	(70%)

AST, aspartate aminotransferase; ALT, alanine aminotransferase; GGT, gamma-glutamyltransferase.

Data expressed as mean (standard deviation) unless otherwise mentioned.

(Table I). Based on these data set, a model for predicting the risk of developing severe anemia was constructed by data mining analysis using the IBM-SPSS Modeler 13 as described previously [Kurosaki et al., 2010, 2011a,b,c; Hiramatsu et al., 2011]. Briefly, the software was used to explore the database automatically to search for optimal predictors that discriminated most efficiently patients with severe anemia from those without. The software also determined the optimal cutoff values of each predictor. Patients were divided into two groups according to the predictor and each of the two groups was repeatedly divided in the same way until no significant factor remained or 20 or fewer patients were in a group.

The incidence of severe anemia, the total dose of RBV, and treatment outcome were compared between groups with high and low risks of anemia. On univariate analysis, Student's *t*-test was used for continuous variables, and Fisher's exact test was used for categorical data. Logistic regression was used for multivariate analysis. *P* values of <0.05 were considered significant. SPSS Statistics 18 was used for these analyses.

## RESULTS

### Predictive Model of Severe Anemia

The incidence of severe anemia in the whole cohort was 49% (Fig. 1). The best predictor of severe anemia was the baseline Hb concentration. Patients with a low baseline Hb concentration (<14 g/dl) were more likely to develop severe anemia (67%) than those with a higher Hb (>14 g/dl) (34%). The second best predictor for those patients with a baseline Hb <14.0 g/dl was CLcr. Patients with a CLcr below 90 ml/min had

the highest incidence of severe anemia (76%). In those with a CLcr above >90 ml/min the incidence of severe anemia was 57% in patients with the CC allele of the *ITPA* gene while it was 37% in patients with the CA or AA allele. On the other hand, the second best predictor for those patients with a baseline Hb concentration above 14 g/dl was the *ITPA* genotype. Patients with the AA or AC allele had the lowest incidence of anemia (17%). For those with the *ITPA* CC allele, CLcr was the third best predictor; the optimal cutoff value was 85 ml/min for this group. The incidence of severe anemia was 49% in patients with a CLcr below 85 ml/min while it was 32% in those with a CLcr above 85 ml/min.

Following this analysis, the patients were divided into six groups, with the incidence of severe anemia ranging from 17% to 76%. Three groups with two predictors, having an incidence of anemia >40%, were defined as the high-risk group and the remainder were defined as the low-risk group. The incidence of severe anemia was higher in the high-risk group than the low-risk group (65% vs. 28%, *P* = 0.029) (Fig. 2). Comparison of the *ITPA* genotype and the predictive model showed that the sensitivity for the prediction of severe anemia was similar (75.9% vs. 76.4%) but the specificity of the predictive model was greater (33.6% vs. 59.3%).

### The Risk of Anemia Impacts on Sustained Virological Responses by Patients Who Achieved an Early Virological Response

The impact of *IL28B* genotype, *ITPA* genotype, and risk group of anemia on the rate of sustained virological response was studied at baseline and week 12. At baseline, patients with the TT allele of the *IL28B* gene had a significantly higher rate of sustained virological response than those with the TG or GG allele (43% vs. 10%, *P* < 0.0001), the high-risk group for anemia had a significantly lower rate of sustained virological response than the low-risk group (28% vs. 40%, *P* = 0.011), and the *ITPA* genotype was not associated with a sustained virological response (Fig. 3A–C). At week 4, patients with rapid virological response had a high rate of sustained virological response, irrespective of the *IL28B* genotype (TT vs. TG/GG; 97% vs. 100%, *P* = 1.000), the *ITPA* genotype (CC vs. CA/AA; 95% vs. 100%, *P* = 1.000), and the risk of anemia (high vs. low; 95% vs. 100%, *P* = 1.000). Among the patients who did not achieve a rapid virological response, those with the *IL28B* TT allele had a significantly higher rate of sustained virological response than those with the TG or GG allele (38% vs. 8%, *P* < 0.0001), and the high-risk group for anemia had a significantly lower rate of sustained virological response than the low-risk group (24% vs. 35%, *P* = 0.015). At week 12, in patients who achieved a complete early virological response, the *IL28B* genotype was not associated with a sustained virological response, while the high-risk group for anemia had a

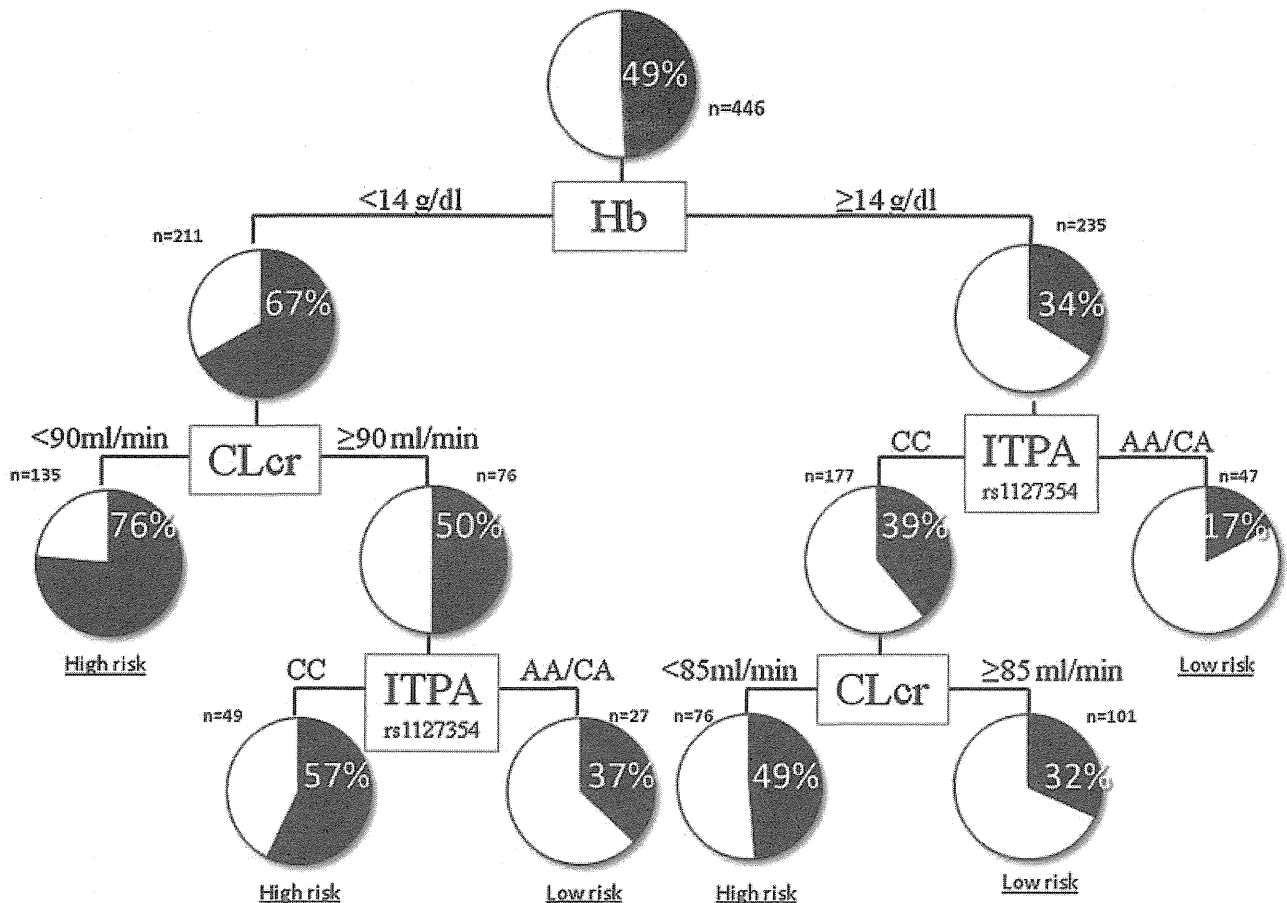


Fig. 1. The predictive model for severe anemia. The boxes indicate the factors used to differentiate patients and the cutoff values for the different groups. The pie charts indicate the rate of severe anemia (Hb <10.0 g/dl) for each group of patients, after differentiation. Terminal groups of patients differentiated by analysis are classified as at high risk if the rate is >40% and low risk if the rate is <40%. *ITPA*, inosine triphosphatase; *CLcr*, creatinine clearance; Hb, hemoglobin.

significantly lower rate of sustained virological response than the low-risk group (59% vs. 76%,  $P = 0.013$ ) (Fig. 3D–F). In patients who did not achieve a complete early virological response, the *IL28B* genotype was a significant predictor of a sustained virological response (TT vs. TG/GG; 14% vs. 2%,  $P < 0.0001$ ) but a high risk for anemia was not (high vs. low; 10% vs. 6%,  $P = 0.361$ ).

From multivariate analysis (Table II), the *IL28B* genotype was the most important predictor of a sustained virological response at baseline [adjusted odds ratio 9.88 (95% confidence interval 5.01–19.48),  $P < 0.0001$ ], along with female sex [0.42 (0.26–0.68),  $P < 0.0001$ ], platelet count [1.09 (1.04–1.15),  $P < 0.0001$ ], advanced fibrosis [0.49 (0.27–0.91),  $P = 0.024$ ], and baseline HCV RNA load [4.14 (2.27–7.55),  $P < 0.0001$ ]. At week 4, in patients without a rapid virological response, the *IL28B* genotype remained the most important predictor of a sustained virological response [7.16 (3.60–14.25),  $P < 0.0001$ ], along with female sex and platelet count. At week 12, in patients with a complete early virological response, the risk of anemia was an independent and significant

predictor of a sustained virological response [0.47 (0.24–0.91),  $P = 0.026$ ], together with the platelet count and HCV RNA load, but the *IL28B* genotype was not associated with a sustained virological response. In patients without a complete early virological response, the *IL28B* genotype was a predictor of a sustained virological response [9.13 (2.02–41.3),  $P = 0.004$ ] along with the platelet count. Thus, *IL28B* was a significant predictor of a sustained virological response at baseline and among virological non-responders at weeks 4 and 12. On the other hand, once a complete early virological response was achieved, the *IL28B* genotype was no longer associated with a sustained virological response but the risk of anemia was an independent predictor of a sustained virological response.

#### The Risk of Anemia, RBV Dose, and Treatment Outcome in Patients With a Complete Early Virological Response

Patients who achieved a complete early virological response were stratified according to adherence to

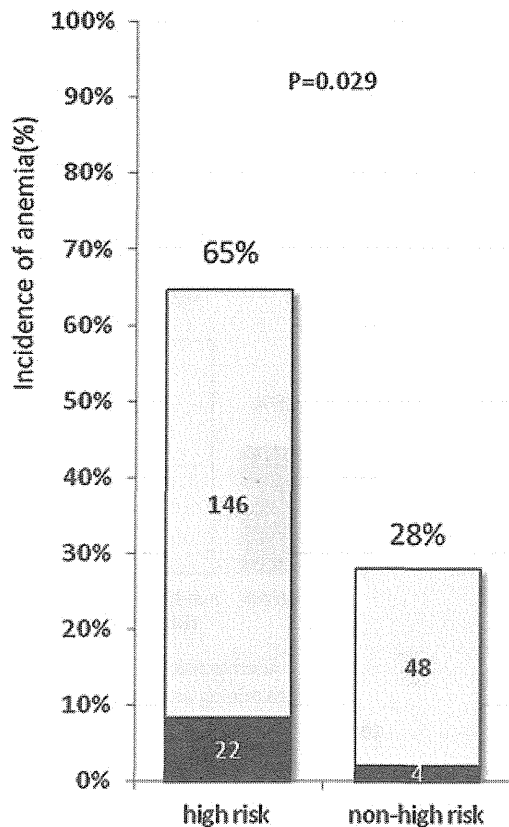


Fig. 2. The incidence of severe anemia stratified by risk of anemia. The incidence of anemia during therapy is shown for each group of patients at high and low risk of anemia. The black and white bars represent the percentages of patients with Hb concentrations below 8.5 g/dl and above 10 g/dl, respectively.

RBV ( $\leq 40\%$ , 41–60%, 61–80%, and  $>80\%$ ), which showed that patients with a high risk of anemia were predominantly in subgroups with a lower adherence to RBV ( $\leq 40\%$ , 41–60%, and 61–80%), whereas patients with a low risk of anemia were predominantly in subgroups with a higher adherence to RBV ( $>80\%$ ) (Fig. 4, upper panel). The percentage of patients who received  $>80\%$  of the planned dose of RBV was significantly higher in the low-risk group for anemia than in the high-risk group (74% vs. 55%,  $P < 0.0001$ ).

Within the groups with high and low risks of anemia, there was a stepwise increase in the rate of sustained virological response according to the increase in adherence to RBV (Fig. 4, lower panel). The rate of sustained virological response was higher in patients who received  $>80\%$  of the planned dose of RBV than those who received less, for both high-risk patients (71% vs. 47%,  $P = 0.016$ ) and low-risk patients (81% vs. 60%,  $P = 0.072$ ). Within the same subgroup of RBV adherence, however, the rate of sustained virological response did not differ between patients with a high risk and a low risk of anemia. Taken together, these results suggest that patients with a high risk of anemia have a disadvantage because they are likely

to be intolerant to RBV, leading to reduced adherence to RBV throughout the 48 weeks of therapy and a reduced rate of sustained virological response. However, if  $>80\%$  adherence to RBV could be obtained, the rate of sustained virological response would increase by 24%.

## DISCUSSION

This study confirmed previous reports that the *IL28B* genotype is the most significant predictor of a sustained virological response to PEG-IFN plus RBV therapy in chronic hepatitis C patients at baseline [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009; Rauch et al., 2010; Kurosaki et al., 2011c] and at week 4 [Thompson et al., 2010b], but it had no impact on the rate of sustained virological response among those patients who achieved a complete early virological response [Thompson et al., 2010b; Kurosaki et al., 2011c]. In contrast, the risk of anemia, assessed by the combination of the *ITPA* genotype, baseline Hb concentration, and baseline CLcr, was found to be associated with a sustained virological response in patients who achieved a complete early virological response. Generally, a complete early virological response is the hallmark of a high probability of a sustained virological response, but the rate of sustained virological responses in patients who achieved a complete early virological response and had a high risk of anemia was as low as 59%. This reduced rate of sustained virological response in these patients was attributable to poor adherence to RBV throughout the 48 weeks of therapy. Because administration of  $>80\%$  of the planned RBV dose increased the rate of sustained virological response by 24%, it may be postulated that personalizing the treatment schedule to achieve a sufficient dose of RBV, such as extension of treatment duration, may improve sustained virological response rates in these patients. Clearly, this postulate needs to be confirmed in future study. Thus, the findings presented here may have the potential to support selection of the optimum, personalized treatment strategy for an individual patient, based on the risk of anemia.

The degree of hemolytic anemia caused by RBV varies among individuals. A reduction of the Hb concentration early during therapy predicts the likely development of severe anemia [Hiramatsu et al., 2008, 2011] but there are no reliable predictors at baseline. A breakthrough came from the results of a genome-wide association study that revealed that variants of the *ITPA* gene are protective against hemolytic anemia [Fellay et al., 2010]. The *ITPA* genotype has been shown repeatedly to be associated with the degree of hemolytic anemia and dose reduction of RBV [Fellay et al., 2010; Sakamoto et al., 2010; Thompson et al., 2010a; Seto et al., 2011; Tanaka et al., 2011; Kurosaki et al., 2011d]. However, factors other than the *ITPA* gene, such as baseline Hb concentrations [Ochi et al., 2010; Kurosaki et al., 2011d], platelet counts [Ochi

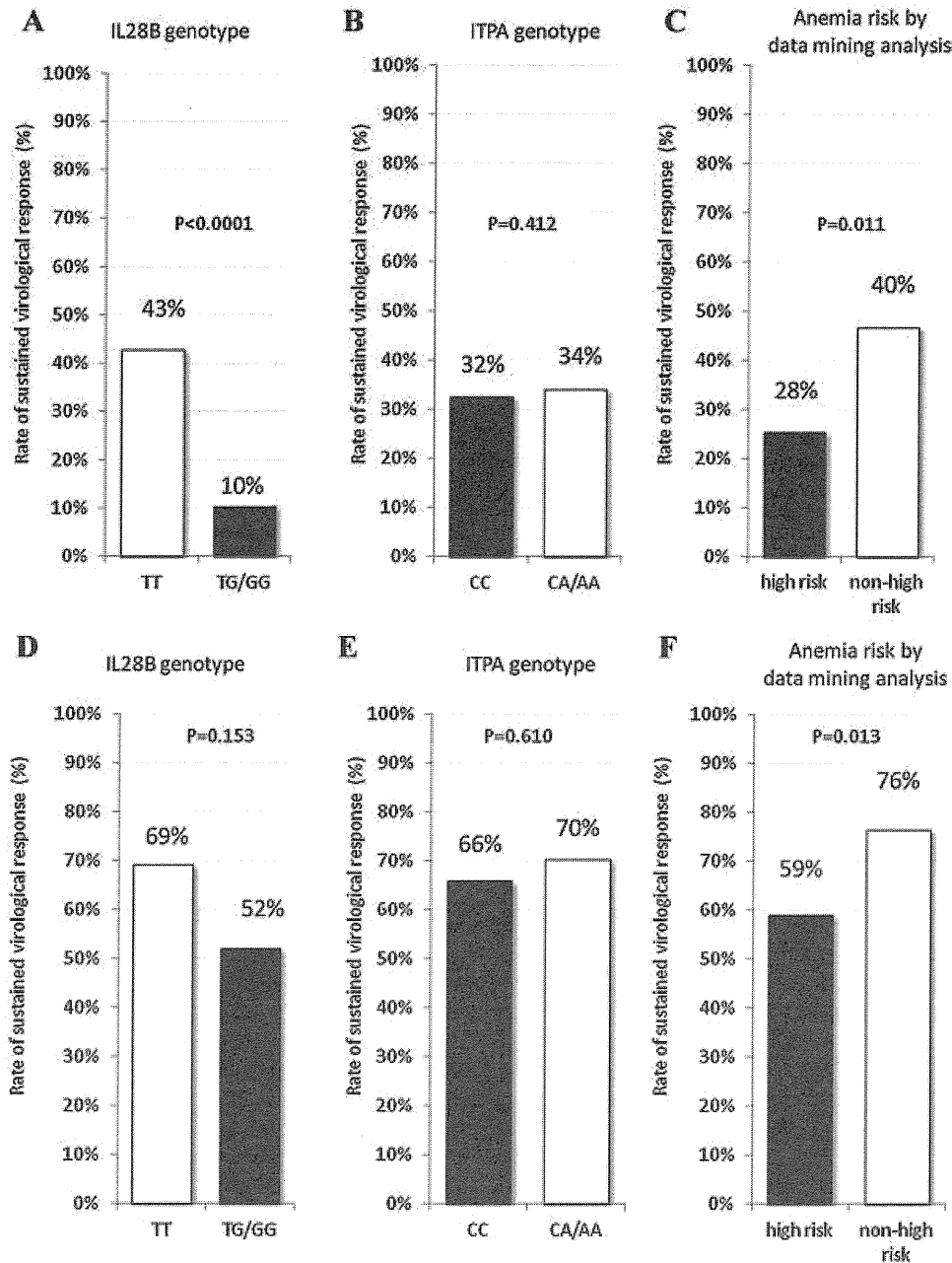


Fig. 3. Rates of sustained virological responses at baseline and among those with a virological response at week 12. The impacts of *IL28B* genotype, *ITPA* genotype, and risk group of anemia on the rate of sustained virological response were studied at baseline (A–C) and among those with complete early virological responses (defined as undetectable HCV RNA at week 12) (D–F). At baseline, those with the TT allele of the *IL28B* gene had a significantly higher rate of sustained virological response than those with the TG or GG allele and the group at high-risk of anemia had a significantly lower rate of sustained virological response than the low-risk group. Among patients with complete early virological responses, the *IL28B* genotype was not associated with a sustained virological response, while the group at high-risk of anemia had a significantly lower rate of sustained virological response than the low-risk group.

et al., 2010], and CLcr [Kurosaki et al., 2011d], also contribute to the risk of severe anemia or RBV dose reduction. In the present study, the predictive model of anemia based on the data mining analysis selected the *ITPA* genotype, baseline Hb concentration, and

baseline CLcr as predictive factors and identified six subgroups of patients with a variable rate of severe anemia, ranging from 17% to 76%. The specificity of the prediction of severe anemia was improved by 25.7% in the predictive model, compared to *ITPA*



TABLE II. Logistic Regression Analysis for Factors Associated With Sustained Virological Response at Baseline, Week 4 and Week 12

	Multi-variable		
	Odds	95% CI	P-value
<b>Pre-treatment</b>			
Sex: female	0.42	0.26–0.68	<0.0001
Platelet ( $10^9/L$ )	1.09	1.04–1.15	<0.0001
Fibrosis: F3-4	0.49	0.27–0.91	0.024
HCV RNA: <600,000 IU/L	4.14	2.27–7.55	<0.0001
<i>IL28B</i> rs8099917: TT	9.88	5.01–19.48	<0.0001
<b>At week 4</b>			
Non-RVR patients			
Sex: female	0.45	0.28–0.72	0.001
Platelet ( $10^9/L$ )	1.10	1.05–1.16	0.000
<i>IL28B</i> rs8099917: TT	7.16	3.60–14.25	<0.0001
<b>At week 12</b>			
cEVR patients			
Platelet ( $10^9/L$ )	1.09	1.02–1.17	0.015
HCV RNA: <600,000 IU/L	3.21	1.39–7.55	0.007
High-risk of anemia <sup>a</sup>	0.47	0.24–0.91	0.026
<b>At week 12</b>			
Non-cEVR patients			
Platelet ( $10^9/L$ )	1.11	1.02–1.21	0.017
<i>IL28B</i> rs8099917: TT	9.13	2.02–41.3	0.004

RVR: rapid virological response, defined as undetectable HCV RNA at week 4.

cEVR: complete early virological response, defined as undetectable HCV RNA at week 12.

<sup>a</sup>High-risk of anemia defined by decision tree analysis includes the following groups: (1) baseline hemoglobin <14.0 g/dl and creatinine clearance <90 ml/min, (2) baseline hemoglobin <14.0 g/dl, creatinine clearance  $\geq$ 90 ml/min and *ITPA* rs1127354 genotype CC, and (3) baseline hemoglobin  $\geq$ 14.0 g/dl, *ITPA* rs1127354 genotype CC, and creatinine clearance <85 ml/min.

genotyping alone. Because hemolytic anemia induced by RBV is one of the major adverse events leading to premature termination of therapy [Fried et al., 2002], a method to predict the risk of severe anemia before treatment is important clinically. A predictive model of anemia may have the potential to support individualized treatment strategies; patients at high risk of anemia may be tested intensively for anemia or may be candidates for erythropoietin therapy, whereas those with a low risk of anemia may be treated with a higher dose of RBV. Prediction of anemia will remain important in the era of direct antiviral agents for chronic hepatitis C, because these newer therapies still require RBV and PEG-IFN in combination, and the degree of anemia complicating these therapies may be even greater than with the current combination therapy [McHutchison et al., 2009; Kwo et al., 2010].

Studies of the impact of the *ITPA* genotype on treatment outcome have produced conflicting results. Previous studies of American [Thompson et al., 2010a] and Italian [Thompson et al., 2011] cohorts did not find any association between the *ITPA* genotype and treatment outcome, whereas a marginal difference was observed in a report from Japan [Ochi et al., 2010]. Moreover, with a subgroup analysis of Japanese patients, the variant of the *ITPA* gene was

associated with a sustained virological response in patients with the *IL28B* major genotype [Kurosaki et al., 2011d], in patients infected with HCV other than genotype 1 [Sakamoto et al., 2010], and in patients with pre-treatment Hb concentrations between 13.5 and 15 g/dl [Azakami et al., 2011]. These inconsistent results may be because the impact of anemia may be greater on a cohort of aged patients, such as in Japan. Another reason may be that the *ITPA* genotype is not the sole determinant of anemia; the *ITPA* genotype alone was not associated with treatment outcome in the present study but a high-risk of anemia, defined by the combination of the *ITPA* genotype, baseline Hb concentration, and baseline CLcr, was associated with sustained virological responses by patients with complete early virological responses, even after adjustment for the *IL28B* genotype and other relevant factors. This is in contrast to the finding that the *IL28B* genotype is an independent and significant predictor at baseline of a sustained virological response by patients without a rapid virological response and those without a complete early virological response, but not those with a complete early virological response. These results indicate that the *IL28B* genotype could be used to predict a sustained virological response at baseline or during therapy in patients in whom HCV RNA has not yet become undetectable, but it has no predictive value in patients in whom HCV RNA has become undetectable. The risk of anemia may be used to predict sustained virological responses in a selected subgroup of patients who achieve a complete early virological response.

Patients who received more than 80% of the planned dose of PEG-IFN or RBV had a higher rate of sustained virological responses than those who received a lower cumulative dose [McHutchison et al., 2002; Davis et al., 2003]. Patients who achieve a complete early virological response usually have a good chance of a sustained virological response and the treatment duration is not extended beyond 48 weeks. However, reduced adherence to drugs in these patients was related to relapse after the completion of 48 weeks of therapy [Hiramatsu et al., 2009; Kurosaki et al., 2012]. In the present study, the rate of sustained virological response was 59% in patients who achieved a complete early virological response but had a high risk of anemia, 17% lower than in patients with a low risk of anemia. However, there was a step-wise increase in the rate of sustained virological response according to the increase in adherence to RBV, and the rate of sustained virological response was higher in high-risk patients who received >80% of the planned dose of RBV (71% vs. 47%). This 24% increase in sustained virological response was observed among the patients in the present study who received 48 weeks of treatment. These findings suggest that receiving a sufficient RBV dose is essential for patients with a complete early virological response to attain a sustained virological response and that the treatment strategy should be personalized for patients with a



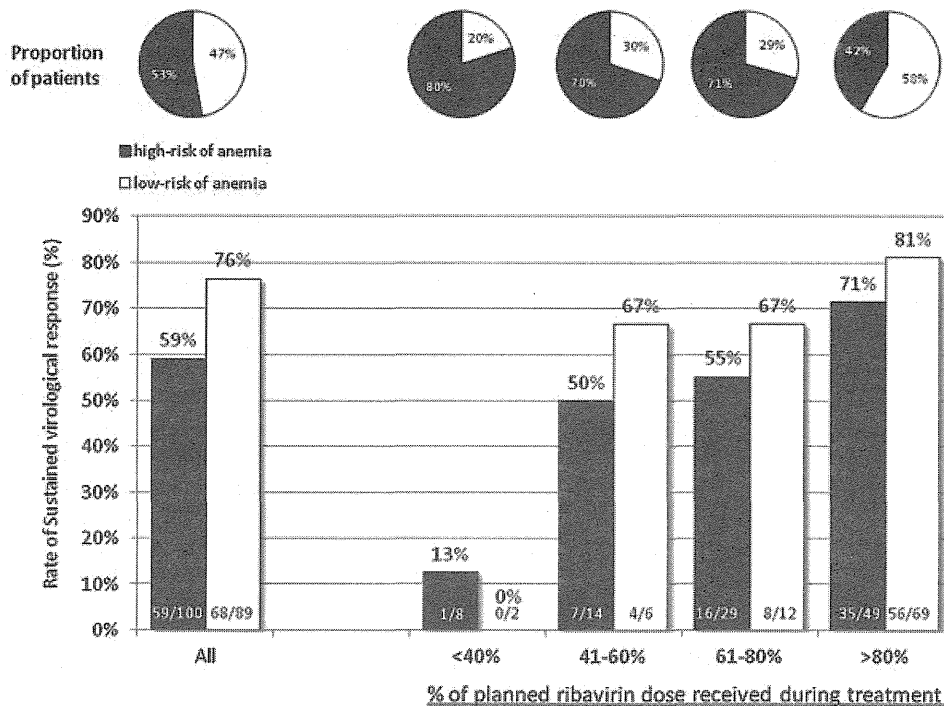


Fig. 4. The impact of risk of anemia and RBV dose on treatment outcome after a complete early virological response. Patients with complete early virological responses were divided into subgroups according to their adherence to RBV: <40%, 41–60%, 61–80%, and >80%. For each subgroup, the proportion of patients with a high risk and a low risk of anemia is shown in the upper panel by pie charts, and the rates of sustained virological responses, stratified by high risk and low risk of anemia, are shown in the lower panel by bar graphs. The black and white bars or charts represent patients with high and low risks of anemia, respectively.

high risk of anemia to extend the duration of treatment, even those patients with a complete early virological response, to obtain >80% adherence to RBV.

In conclusion, the combination of the *ITPA* genotype, baseline Hb concentration, and baseline CLcr could be used as a pre-treatment predictor of anemia. The risk of anemia thus identified is associated with adherence to RBV and impacts on the treatment outcome of patients who achieve a complete early virological response. This is in contrast to the major role of the *IL28B* genotype in the prediction of sustained virological responses at baseline and among non-responders at weeks 4 and 12. Patients who achieve a complete early virological response generally have a high probability of a sustained virological response but those who have a high risk of anemia have a high rate of relapse because of reduced adherence to RBV. To improve the rate of sustained virological responses in these patients, it may be postulated that the treatment schedule may be personalized to obtain >80% adherence to RBV. Clearly, this postulate needs to be confirmed in a future study.

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**Erratum**

## Review Article

## Prediction and prevention of intrahepatic recurrence of hepatocellular carcinoma

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Hepatocellular carcinoma (HCC) has characteristic features of the coexistence of two life-threatening conditions, cancer and cirrhosis, which makes prognostic assessment difficult. In addition, the high rate of intrahepatic recurrence is a key feature that correlates with poor prognosis and its prevention is an issue for urgent investigation. Gene expression in the tumor and adjacent liver tissue for the prediction of intrahepatic recurrence of HCC has been extensively investigated. Among them, the expression of progenitor cell feature markers in the cancer cells such as epidermal cell adhesion molecule (EpCAM), cytokeratin 19 (CK19) and CD 133 have been shown to be associated with intrahepatic recurrence of HCC. Gene expression patterns from adjacent tissues were shown to predict early and overall recurrence in patients with HCC. Insulin resistance should be included in the analysis for

the prevention of recurrence. To suppress or eradicate the replication of hepatitis B and C virus must be the most important issue for prevention. Supplementation by branched chain amino acid-enrichment and administration of vitamin K, acyclic retinoid and chemotherapeutic agents have been examined. There is an urgent need to develop a predictive tool and an effective treatment for prevention. It would be extremely valuable to find a useful biomarker for prediction and to develop new molecular targeting agents for the prevention of HCC recurrence in the near future.

**Key words:** acyclic retinoid, CD 133, epidermal cell adhesion molecule, hepatocellular carcinoma, CK19, progenitor cell markers, vitamin K2

## INTRODUCTION

HEPATOCELLULAR CARCINOMA IS the fourth leading cause of death from malignant neoplastic disease in Japan, and improvement of the prognosis is an important issue to be solved.<sup>1</sup> Because HCC develops from hepatitis B or C virus infection in more than 80% of patients, unlike most solid tumors, the coexistence of two life-threatening conditions, cancer and cirrhosis, makes prognostic assessments difficult. In addition, the high rate of intrahepatic recurrence is a key feature that correlates with poor prognosis, and its prevention is an issue for urgent investigation. The long-term prognosis after surgical resection of HCC remains unsatisfactory

compared with other common human cancers because of the high rate of recurrence and lack of effective adjuvant therapy. Poon reported from an extensive analysis of the published reports that the 5-year recurrence rate is more than 70% and this is the main cause of long-term death rather than the underlying cirrhosis.<sup>2</sup> Gene signatures from the tumor and adjacent tissue have been shown to be useful for predicting not only recurrence but also outcome.<sup>3</sup>

Investigation of gene expression in the tumor and adjacent liver tissue is very important for the prediction of intrahepatic recurrence of HCC and its prevention. Following such investigations, there is an urgent need to develop an effective treatment for the prevention of HCC recurrence. To suppress or eradicate the replication of hepatitis B and C virus must be the most important issue for prevention. This will be effective for the prevention of HCC recurrence and improving liver function, when HCC has developed on a background of liver cirrhosis. It would be extremely valuable to find a useful biomarker for prediction and to develop new molecular targeting agents for the prevention of HCC recurrence in the near future.

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## FACTORS ASSOCIATED WITH INTRAHEPATIC HCC RECURRENCE, INCLUDING THE TUMOR, SURROUNDING LIVER AND SYSTEMIC COMPLICATIONS

### Factors in the tumor associated with HCC recurrence

THE RELATIONSHIP BETWEEN the gene expression signature of HCC and the gross tumor morphology may be investigated using surgically resected liver tissue and the confluent multinodular (CM) type has been shown to be associated with poor prognosis in terms of overall survival and recurrence-free survival.<sup>4</sup> The molecules associated with intrahepatic recurrence of HCC have been investigated in the tumor itself. In particular, a stem-cell epidermal cell adhesion molecule (EpCAM) was shown to be expressed in CM-type HCC, and this was confirmed by immunohistochemical studies of HCC cancer cells of the CM-type by Murakata *et al.* In their analysis, EpCAM was shown to be predictive of poor prognosis in terms of overall and recurrence-free survival.<sup>3</sup> EpCAM is one of the markers of HCC cells derived from cancer stem cells (CSC), which have been shown to have a great capacity for colony formation rate.<sup>5</sup> Recently, EpCAM has been shown to correlate with HCC growth and a new avenue for cancer eradication by targeting Wnt/beta-catenin signaling components such as EpCAM was suggested by Yamashita *et al.*<sup>6</sup>

Komuta *et al.* reported that cholangiolocellular carcinoma may be of progenitor cell origin, and that some HCCs also are derived from hepatic progenitor cells, because immunohistological staining of keratin 19 is positive in the cytoplasm.<sup>7</sup> Intermediate hepatobiliary cells that are defined by cytokeratin (CK) 7 and 19 positive immunohistological staining, have been seen in the liver tissue of hepatitis C virus-related cirrhosis and are related independently to HCC occurrence.<sup>8</sup> CK 19 expression was reported to be a useful predictive marker for detecting more aggressive HCCs after curative resection, because tumors with CK 19 expression have a poorer prognosis<sup>9,10</sup> and higher rates of recurrence after curative resection.<sup>11,12</sup> Recently, Tsuchiya *et al.* examined CK 19 expression in tumor biopsy tissue from nodules that were treated completely by radiofrequency ablation (RFA), and positive staining of cytoplasmic CK 19 was related to high recurrence of HCC after curative RFA<sup>13</sup> (Fig. 1).

Sasaki *et al.* reported that cytoplasmic and membranous expression of CD 133 was observed in 22% of HCC patients and cytoplasmic expression of CD 133 was identified as a significant risk factor for the overall

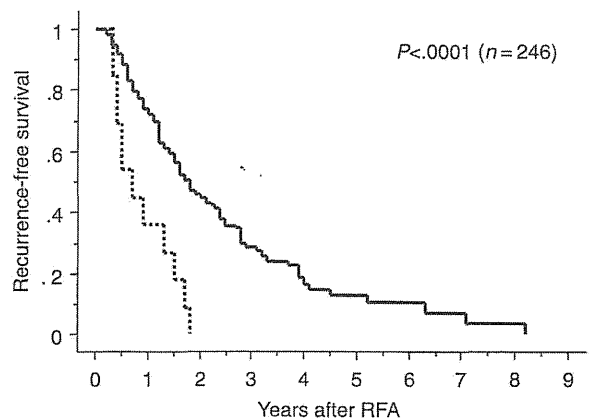


Figure 1 Comparison of recurrence-free survival between K19 positive and negative cases. Recurrence-free survival was lower in patients with positive staining of the biopsied tumor tissue before radiofrequency ablation than in those without K19 staining, as reported by Tsuchiya *et al.*<sup>12</sup> —, CK19 < 1%, n = 233; ---, CK19 ≥ 1%, n = 13.

survival of the patients.<sup>14</sup> Because CD 133 is a cancer stem-cell marker, other markers of progenitor cells should be investigated as biomarkers of early recurrence and poor prognosis in patients with HCC. Investigation of biomarkers associated with early recurrence of HCC should enable the development of clinically useful molecular targeting agents.

Apart from progenitor cell feature markers, the hepatocyte-specific, organic anion transporter peptides (OATP) 1B1 and 1B3 have been shown to be negatively correlated with HCC-related death after recurrence.<sup>15</sup>

### HCC recurrence and background liver disease

Liver dysfunction, evaluated by determining serum albumin levels and the branched chain amino-acid to tyrosine ratio (BTR), have been shown by Nakamura *et al.* to be associated with recurrence of HCC after curative resection.<sup>16</sup> Gene expression was investigated in fixed tissue from the liver surrounding HCC following surgical resection and a reproducible gene expression signature that correlated with survival was established in patients with HCC.<sup>17</sup> The same group reported gene expression patterns that predict recurrence of HCC from the tumor itself and adjacent tissues and these gene signatures were shown to predict early and overall recurrence in patients with HCC.<sup>18</sup> Researchers from Germany reported a prognostic model for HCC recurrence, based on gene expression patterns in tumor and

adjacent tissues.<sup>19</sup> They reported that these signatures were useful to predict early and overall recurrence in patients with HCC and that they are helpful for predicting HCC recurrence along with complementary findings from clinical and pathological analysis.

The expression of vascular endothelial growth factor (VEGF) was examined in tumor and non-tumor liver tissue after surgical resection and the tumor/non-tumor ratio of the expression of VEGF was shown to be higher in cases with early recurrence cases than those without and the expression of VEGF was correlated with  $\alpha$ -fetoprotein (AFP), protein induced by vitamin K absence-II (PIVKA II) and histological grade.<sup>20</sup>

### HCC RECURRENCE AND TUMOR MARKER

PRETREATMENT LEVELS OF tumor markers such as lens culinaris agglutinin A-reactive fraction of AFP (AFP-L3) and des-gamma carboxy prothrombin (DCP) were reported to be useful for the prognostic value in patients treated by locoregional thermal ablation, not in those treated by surgical resection.<sup>21</sup> In the patients treated by surgical resection, postoperative AFP levels were useful for predicting recurrence.<sup>22</sup> However, Yamamoto *et al.* reported that DCP was a more accurate marker for the recurrence than AFP.<sup>23</sup> Positive postoperative AFP-L3 levels were shown to be a marker of prognosis and recurrence in China.<sup>24</sup> In the patients treated by radiofrequency ablation, Beppu *et al.* reported that AFP-L3 fraction levels were significantly predictive of recurrence-free survival by multivariate analysis.<sup>25</sup>

Recently, the micro-total analysis system which is a new sensitive AFP-L3 assay was established in Japan and was reported to provide great utility in determining HCC recurrence, even in the patients with low AFP concentrations.<sup>26,27</sup>

### HCC RECURRENCE AND DIABETES MELLITUS OR INSULIN RESISTANCE

COMPLICATION OF DIABETES mellitus (DM) is occasionally observed in patients with HCC and its impact on postoperative recurrence remains controversial. In patients with HCV-related HCC, diabetes was shown to be a risk factor for recurrence and lower rates of overall survival after surgical resection.<sup>28</sup> Insulin resistance as estimated by Homeostatic Model Assessment of Insulin Resistance (HOMA-IR) was shown to be an independent risk factor for recurrence of stage 1 HCC after curative RFA.<sup>29</sup> DM also has been shown to be a

Table 1 Candidate useful agents for the prevention of intrahepatic recurrence of hepatocellular carcinoma (HCC)

Antiviral treatment
Nucleotide analogue for hepatitis B
Interferon plus ribavirin for HCV
Systemic agents
Branched chain amino acid
Vitamin K2
Acyclic retinoid
Chemotherapeutic and molecular targeted agents

poor prognostic predictor among those with small HCC with Child–Pugh A reserve.<sup>30</sup>

However, DM did not affect intra-hepatic HCC recurrence and survival of patients with HCC after RFA but persistent hepatitis was shown to affect intra-hepatic HCC recurrence and survival.<sup>31</sup> A meta-analysis of the prognostic role of DM was reported in HCC patients after curative treatment and HCC patients with coexisting DM have a shorter survival time and higher risk of tumor recurrence after curative treatment.<sup>32</sup>

In addition, visceral fat accumulation has been shown to be an independent risk factor for HCC recurrence after curative RFA in patients with non-alcoholic steatohepatitis (NASH).<sup>33</sup> HCC recurrence was shown to be very high in patients with NASH after curative treatment compared with HCC caused by hepatitis C virus infection, which was suggested to be of multicentric origin, similar to HCC associated with HCV infection.<sup>34</sup>

### SPECIFIC TRIALS FOR THE PREVENTION OF HCC RECURRENCE

TO PREVENT INTRAHEPATIC recurrence of HCC, several important trials including specific antiviral treatment and systemic agents have been reported (Table 1).

#### Antiviral treatment for hepatitis B virus

In the patients with hepatitis B virus related HCC, a high HBV DNA load was identified as an independent risk factor for HCC recurrence after curative RFA.<sup>35</sup> Lamivudine administration after RFA was investigated in HBV-related HCC but the overall survival and recurrence-free survival did not differ between the patients who received lamivudine treatment and those who did not, although the serum albumin level was shown to improve.<sup>36</sup> However, Chan *et al.* reported that antiviral therapy after surgical resection improved the prognosis, especially disease-free survival in HBV-related HCC.<sup>37</sup>