

IV. 研究成果の刊行物・別刷

Association of Gene Expression Involving Innate Immunity and Genetic Variation in Interleukin 28B With Antiviral Response

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Innate immunity plays an important role in host antiviral response to hepatitis C viral (HCV) infection. Recently, single nucleotide polymorphisms (SNPs) of *IL28B* and host response to peginterferon α (PEG-IFN α) and ribavirin (RBV) were shown to be strongly associated. We aimed to determine the gene expression involving innate immunity in *IL28B* genotypes and elucidate its relation to response to antiviral treatment. We genotyped *IL28B* SNPs (rs8099917 and rs12979860) in 88 chronic hepatitis C patients treated with PEG-IFN α -2b/RBV and quantified expressions of viral sensors (*RIG-I*, *MDA5*, and *LGP2*), adaptor molecule (*IPS-1*), related ubiquitin E3-ligase (*RNF125*), modulators (*ISG15* and *USP18*), and *IL28* (*IFN λ*). Both *IL28B* SNPs were 100% identical; 54 patients possessed rs8099917 TT/rs12979860 CC (*IL28B* major patients) and 34 possessed rs8099917 TG/rs12979860 CT (*IL28B* minor patients). Hepatic expressions of viral sensors and modulators in *IL28B* minor patients were significantly up-regulated compared with that in *IL28B* major patients (≈ 3.3 -fold, $P < 0.001$). However, expression of *IPS-1* was significantly lower in *IL28B* minor patients (1.2-fold, $P = 0.028$). Expressions of viral sensors and modulators were significantly higher in nonvirological responders (NVR) than that in others despite stratification by *IL28B* genotype (≈ 2.6 -fold, $P < 0.001$). Multivariate and ROC analyses indicated that higher *RIG-I* and *ISG15* expressions and *RIG-I/IPS-1* expression ratio were independent factors for NVR. *IPS-1* down-regulation in *IL28B* minor patients was confirmed by western blotting, and the extent of *IPS-1* protein cleavage was associated with the variable treatment response. **Conclusion:** Gene expression involving innate immunity is strongly associated with *IL28B* genotype and response to PEG-IFN α /RBV. Both *IL28B* minor allele and higher *RIG-I* and *ISG15* expressions and *RIG-I/IPS-1* ratio are independent factors for NVR. (HEPATOLOGY 2012;55:20-29)

Infection with hepatitis C virus (HCV) is a common cause of chronic hepatitis, which progresses to liver cirrhosis and hepatocellular carcinoma in many patients.¹ Pegylated interferon α (PEG-IFN α) and ribavirin (RBV) combination therapy has been used to treat chronic hepatitis C (CH-C) to alter the

natural course of this disease. However, 20% patients are nonvirological responders (NVR) whose HCV-RNA does not become negative during the 48 weeks of PEG-IFN α /RBV combination therapy.² In a recent genome-wide association study, single nucleotide polymorphisms (SNPs) located near interleukin 28B

Abbreviations: CH-C, chronic hepatitis C; γ -GTP, γ -glutamyl transpeptidase; GAPDH, glyceraldehyde-3-phosphate dehydrogenase; HCV, hepatitis C virus; HMBS, hydroxymethylbilane synthase; IL28, interleukin 28; IPS-1, IFN β promoter stimulator 1; ISG15, interferon-stimulated gene 15; MDA5, melanoma differentiation associated gene 5; NVR, nonvirological responders; PEG-IFN α , pegylated interferon α ; SNP, single nucleotide polymorphism; RIG-I, retinoic acid-inducible gene 1; RBV, ribavirin; RNF125, ring-finger protein 125; ROC, receiver operator characteristic; SVR, sustained viral responder; TVR, transient virological responder; USP18, ubiquitin-specific protease 18; VR, virological responder.

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(*IL28B*) that encodes for type III IFN λ 3 were shown to be strongly associated with a virological response to PEG-IFN α /RBV combination therapy.³⁻⁵ In particular, the rs8099917 TG and GG genotypes were shown to be strongly associated with a null virological response to PEG-IFN α /RBV.³ However, mechanisms involving resistance to PEG-IFN α /RBV have not been completely elucidated.

The innate immune system has an essential role in host antiviral defense against HCV infection.⁶ The retinoic acid-inducible gene I (RIG-I), a cytoplasmic RNA helicase, and related melanoma differentiation associated gene 5 (MDA5) play essential roles in initiating the host antiviral response by detecting intracellular viral RNA.^{7,8} The IFN β promoter stimulator 1 (IPS-1)—also called the caspase-recruiting domain adaptor inducing IFN β , mitochondrial antiviral signaling protein, or virus-induced signaling adaptor—is an adaptor molecule. IPS-1 connects RIG-I sensing to downstream signaling, resulting in IFN β gene activation.⁹⁻¹² RIG-I sensing of incoming viral RNA has been shown to be modified by LGP2,^{8,13} a helicase related to RIG-I and MDA5 lacking caspase-recruiting domain. The ubiquitin ligase ring-finger protein 125 (RNF125) has been shown to conjugate ubiquitin to RIG-I, MDA5, and IPS-1 and this suppresses the functions of these proteins.¹⁴ Further, these molecules are ISGylated by the IFN-stimulated gene 15 (ISG15), a ubiquitin-like protein,¹⁵ and ISG15 is specifically removed from ISGylated protein by ubiquitin-specific protease 18 (USP18) to regulate the RIG-I/IPS-1 system.^{16,17} Moreover, the NS3/4A protease of HCV specifically cleaves IPS-1 as part of its immune-evasion strategy.^{9,18} Therefore, the RIG-I/IPS-1 system and its regulatory systems have essential roles in the innate antiviral response.

Recently, we demonstrated that baseline intrahepatic gene expression levels of the RIG-I/IPS-1 system were prognostic biomarkers of the final virological outcome in CH-C patients who were treated with PEG-IFN α /RBV combination therapy.¹⁹ We found that up-regulation of *RIG-I* and *ISG15* and a higher expression ratio of *RIG-I/IPS-1* could predict NVR for subsequent treatment with PEG-IFN α /RBV combination therapy.¹⁹ However, association of gene expression involv-

ing innate immunity and genetic variation of *IL28B* has not yet been elucidated. Hence, the aim of this study was to determine gene expression involving the innate immune system in different genetic variations of *IL28B* and elucidate the relation of gene expression to final virological outcome of PEG-IFN α /RBV combination therapy in CH-C patients.

Patients and Methods

Patients. Among histologically proven CH-C patients admitted at the Musashino Red Cross Hospital, 88 patients with HCV genotype 1b and a high viral load (>5 log IU/mL by TaqMan HCV assay; Roche Molecular Diagnostics, Tokyo, Japan) were included in the present study (Table 1). Patients with decompensated liver cirrhosis, autoimmune hepatitis, or alcoholic liver injury were excluded. No patient had tested positive for hepatitis B surface antigen or anti-human immunodeficiency virus antibody or had received immunomodulatory therapy before enrollment. Forty-two patients had been enrolled in a previous study that determined hepatic gene expression involving innate immunity.¹⁹ Written informed consent was obtained from all patients and the study was approved by the Ethical Committee of Musashino Red Cross Hospital in accordance with the Declaration of Helsinki.

Treatment Protocol. The patients were administered subcutaneous injections of PEG-IFN α -2b (PegIntron, MSD, Whitehouse Station, NJ) at a dose of 1.5 μ g kg⁻¹ week⁻¹ for 48 weeks. RBV (Rebetol, MSD) was administered concomitantly over this treatment period, administered orally twice daily at 600 mg/day for patients who weighed less than 60 kg and 800 mg/day for patients who weighed between 60-80 kg. The dose of PEG-IFN α -2b was reduced to 0.75 μ g kg⁻¹ week⁻¹ when either neutrophil count was less than 750/mm³ or platelet count was less than 80 \times 10³/mm³. The dose of RBV was reduced to 600 mg/day when the hemoglobin concentration decreased to 10 g/dL. More than 80% adherence was achieved in all patients.

Measurement of Hepatic Gene Expression. Liver biopsy was performed immediately before initiating

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Additional Supporting Information may be found in the online version of this article.

Table 1. Patient Characteristics and *IL28B* Genotype

	<i>IL28B</i> Major*	<i>IL28B</i> Minor†	P-value‡
Patients, n	54	34	
Age (SD), year	58.8 (10.0)	59.1 (10.3)	0.918§
Sex, n (%)			0.051
Male	13 (24.1)	15 (44.1)	
Female	41 (75.9)	19 (55.9)	
BMI (SD), kg/m ²	22.7 (3.5)	23.5 (3.6)	0.193§
ALT (SD), IU/L	61.3 (50.7)	62.4 (44.7)	0.962§
γ-GTP (SD), IU/L	36.7 (25.9)	57.3 (52.4)	0.010§
LDL-cholesterol (SD), mg/dL	103.3 (29.8)	91.8 (26.9)	0.067§
Hemoglobin (SD), g/dL	14.1 (1.4)	14.4 (1.3)	0.186§
Platelet count (SD), ×10 ³ /μL	161 (6.4)	163 (4.4)	0.489§
Fibrosis stage, n (%)			0.532
F1, 2	38 (70.4)	26 (76.5)	
F3, 4	16 (29.6)	8 (23.5)	
Viral load (SD), ×10 ^{6.3} IU/mL	1.7 (1.4)	1.9 (2.0)	0.788§
%HCV core 70 & 91 a.a. double mutation¶	8.9	43.5	0.001
%ISDR wild**	43.5	51.7	0.486
Viral response, n (%)			<0.001
SVR	17 (31.5)	13 (38.2)	
TVR	26 (48.1)	3 (8.8)	
NVR	11 (20.4)	18 (52.9)	

Unless otherwise indicated, data are given as mean (SD).

*rs8099917 TT and rs12979860 CC.

†rs8099917 TG and rs12979860 CT.

BMI, body mass index; ALT, alanine aminotransferase; γ-GTP, γ-glutamyl transpeptidase; LDL-C, low-density lipoprotein cholesterol; HCV, hepatitis C virus; ISDR, interferon sensitivity determining region; SVR, sustained virological response; TVR, transient virological response; NVR, nonvirological response.

‡Comparison between *IL28B* major and minor genotypes.

§Mann-Whitney U test.

^{||}Chi-square test.

¶HCV core mutation was determined in 68 patients.

**ISDR was determined in 75 patients.

the therapy. After extraction of total RNA from liver biopsy specimens, the messenger RNA (mRNA) expression of the positive and negative cytoplasmic viral sensor (*RIG-I*, *MDA5*, and *LGP2*), the adaptor molecule (*IPS-1*), the related ubiquitin E3-ligase (*RNF125*), the modulators of these molecules (*ISG15* and *USP18*), and *IFNλ* (*IL28A/B*) was quantified by real-time quantitative polymerase chain reaction (PCR) using target gene-specific primers. In brief, total RNA was extracted by the acid-guanidinium-phenol-chloroform method using Isogen reagent (Nippon Gene, Toyama, Japan) from the liver biopsy specimen, which was 0.2–0.4 cm in length and 13G in diameter. Complementary DNA (cDNA) was transcribed from 2 μg of total RNA template in a 140-μL reaction mixture using the SYBR RT-PCR Kit (Takara Bio, Otsu, Japan) with random hexamer. Real-time quantitative PCR was performed using Smart Cycler version II (Takara Bio) with the SYBR RT-PCR Kit (Takara Bio) according to the manufacturer's instructions. Assays were performed in duplicate and the expression levels

of target genes were normalized to the expressions of glyceraldehyde-3-phosphate dehydrogenase (*GAPDH*) gene and hydroxymethylbilane synthase (*HMBS*), an enzyme that is stable in the liver, as quantified using real-time quantitative PCR as internal controls. For accurate normalization, a set of two housekeeping genes was used in the present study. Sequences of the primer sets were as follows: *RIG-I*, 5'-AAAGCATGCA TGGTGTCCAGA-3', 5'-TCATTCGTGCATGCTC ACTGATAA-3'; *MDA5*, 5'-ACATAACAGCAACATG GGCAGTG-3', 5'-TTTGGTAAGGCCTGAGCTGG AG-3'; *LGP2*, 5'-ACAGCCTTGCAAACAGTACAAC CTC-3', 5'-GTCCCAAATTTCCGGCTCAAC-3'; *IPS-1*, 5'-GGTGCCATCCAAAGTGCCTACTA-3', 5'-CAGC ACGCCAGGCTTACTCA-3'; *RNF125*, 5'-AGGGCA CATATTCGGACTTGTC-3', 5'-CGGGTATTA AAC GGCAAAGTGG-3'; *ISG15*, 5'-AGCGAACTCATCT TTGCCAGTACA-3', 5'-CAGCTCTGACACCGACA TGGA-3'; *USP18*, 5'-TGTTCTGCTTCAATGACT CCAATA-3', 5'-TTTGGGCATTTCCATTAGCACT C-3'; *IFNλ*: 5'-CAGCTGCAGGTGAGGGA-3', 5'-G GTGGCCTCCAGAACCTT-3'; *GAPDH*, 5'-GCACC GTCAAGGCTGAGAAC-3', 5'-ATGGTGGTGAAGA CGCCAGT-3'; *HMBS*, 5'-AAGCGGAGCCATGTCT GGTAAC-3', 5'-GTACCCACGCGAATCACTCTCA-3'.

Genotyping for *IL28B* (rs8099917 and rs12979860) Polymorphism. Genetic polymorphism in a tagged SNP located near the *IL28B* gene (rs8099917 and rs12979860) was determined by direct sequencing of PCR-amplified DNA. In brief, after extraction from whole blood samples, genomic DNA was amplified by PCR. Sequences of the primer sets were: rs8099917, 5'-ATCCTCCTCATCCCTCA TC-3', 5'-GGTATCAACCCACCTCAAAT-3'; rs129 79860, 5'-GGACGAGAGGGCGTTAGAG-3', 5'-AG GGACCGCTACGTAAGTCAC-3'.

Both strands of the PCR products were sequenced by the dye terminator method using BigDye Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems, Chiba, Japan); nucleotide sequences were determined by a capillary DNA sequencer ABI3730xl (Applied Biosystems). Homozygosity (rs8099917 GG and rs12979860 TT) or heterozygosity (rs8099917 TG and rs12979860 CT) of the minor sequence was defined as having the *IL28B* minor allele, whereas homozygosity for the major sequence (rs8099917 TT and rs12979860 CC) was defined as having the *IL28B* major allele.

Western Blotting. Western blotting was performed using samples from 14 patients (six from *IL28B* major patients and eight from *IL28B* minor patients) as described.¹⁹ In brief, liver biopsy specimens of

approximately 10 mg were homogenized in 100 μ L of Complete Lysis-M (Roche Applied Science, Penzberg, Germany). Next, 30 μ g of protein was separated by NuPAGE 4%-12% Bis-Tris gels (Invitrogen, Carlsbad, CA) and blotted on polyvinylidene difluoride membranes. The membranes were immunoblotted with anti-RIG-I (Cell Signaling Technology, Danvers, MA) or anti-IPS-1 (Enzo Life Science, Farmingdale, NY), followed by anti- β -actin (Sigma Aldrich, St. Louis, MO). After immunoblotting with horseradish peroxidase-conjugated secondary antibody, signals were detected by chemiluminescence (BM Chemiluminescence Blotting Substrate, Roche Applied Science, Mannheim, Germany). Optical densitometry was performed using ImageJ software (NIH, Bethesda, MD). Naive Huh7 cells were used for a positive control for full-length IPS-1, and cells transfected with HCV-1b subgenomic replicon²⁰ were used for a positive control for cleaved IPS-1.

Definitions of Response to Therapy. A patient negative for serum HCV-RNA during the first 6 months after completing PEG-IFN α -2b/RBV combination therapy was defined as a sustained viral responder (SVR), and a patient for whom HCV-RNA became negative at the end of therapy and reappeared after completion of therapy was defined as a transient virological responder (TVR). A patient for whom HCV-RNA became negative at the end of therapy (SVR + TVR) was defined as a virological responder (VR). A patient whose HCV-RNA did not become negative during the course of therapy was defined as an NVR. HCV-RNA was determined by TaqMan HCV assay (Roche Molecular Diagnostics).

Statistical Analysis. Categorical data were compared using the chi-square test and Fisher's exact test. Distributions of continuous variables were analyzed by the Mann-Whitney *U* test for two groups. All tests of significance were two-tailed and $P < 0.05$ was considered statistically significant.

Results

Patient Characteristics and IL28B Genotype. Table 1 shows patient characteristics according to *IL28B* genotype. SNPs at rs8099917 and rs12979860 were 100% identical; 54 patients were identified as having the major alleles (rs8099917 TT/rs12979860 CC; *IL28B* major patients) and the remaining 34 had the minor alleles (rs8099917 TG/rs12979860 CT; *IL28B* minor patients). Patients having a minor homozygote (rs8099917 GG or rs12979860 TT) were not found in this study, which is consistent with a recent report

of the rarity of a minor homozygote in Japanese patients.³ *IL28B* minor patients were significantly associated with a higher γ -glutamyl transpeptidase (γ -GTP) level and higher frequency of mutations at amino acid positions 70 and 91 of the HCV core region (glutamine or histidine mutation at amino acid position 70; methionine mutation at amino acid position 91). NVR rate was significantly higher in *IL28B* minor patients than in *IL28B* major patients.

Gene Expression Involving Innate Immunity and IFN λ in the Liver. Hepatic expression levels of cytoplasmic viral sensors (*RIG-I*, *MDA5*, and *LGP2*) were significantly higher in *IL28B* minor patients than in *IL28B* major patients (Fig. 1). Similarly, expressions of *ISG15* and *USP18* were significantly higher in *IL28B* minor patients than in *IL28B* major patients (Fig. 1). In contrast, the hepatic expression of the adaptor molecule (*IPS-1*) was significantly lower in *IL28B* minor patients than that in *IL28B* major patients (Fig. 1). Hepatic expression of *RNF125* was similar among *IL28B* genotypes (Fig. 1). *IFN λ* (*IL28A/B*) expression was higher in *IL28B* minor patients, but not statistically significant (Fig. 1). Because expression of *RIG-I* and *IPS-1* were negatively correlated, the expression ratio of *RIG-I/IPS-1* in *IL28B* minor patients was significantly higher than in *IL28B* major patients (Fig. 1).

Next, to assess the relationship between baseline hepatic gene expression and treatment efficacy, we compared levels of gene expression involving innate immunity and *IFN λ* based on the final virological response (Fig. 2). Overall, hepatic expressions of cytoplasmic viral sensors and the *ISG15/USP18* system in NVR patients were significantly higher than those in VR patients. In a similar but opposite manner, hepatic expressions of *IPS-1* and *RNF125* in NVR patients were significantly lower than that in VR patients, and the expression of *IFN δ* was higher in NVR patients, but the differences were not statistically significant. Expression ratio of *RIG-I/IPS-1* was significantly higher in NVR patients than that in VR patients.

Because hepatic expressions of the *RIG-I/IPS-1* and *ISG15/USP18* systems were significantly related both to *IL28B* minor and NVR patients, *RIG-I* and *ISG15* expression levels and the *RIG-I/IPS-1* ratio between VR and NVR patients were further stratified by *IL28B* genotype (Fig. 3). Even in the subgroup of *IL28B* minor patients, the expressions of *RIG-I* and *ISG15* were significantly higher in NVR patients than those in VR patients. Similar tendencies were observed in a subgroup of *IL28B* major patients, in whom the *RIG-I/IPS-1* expression ratio was significantly higher in

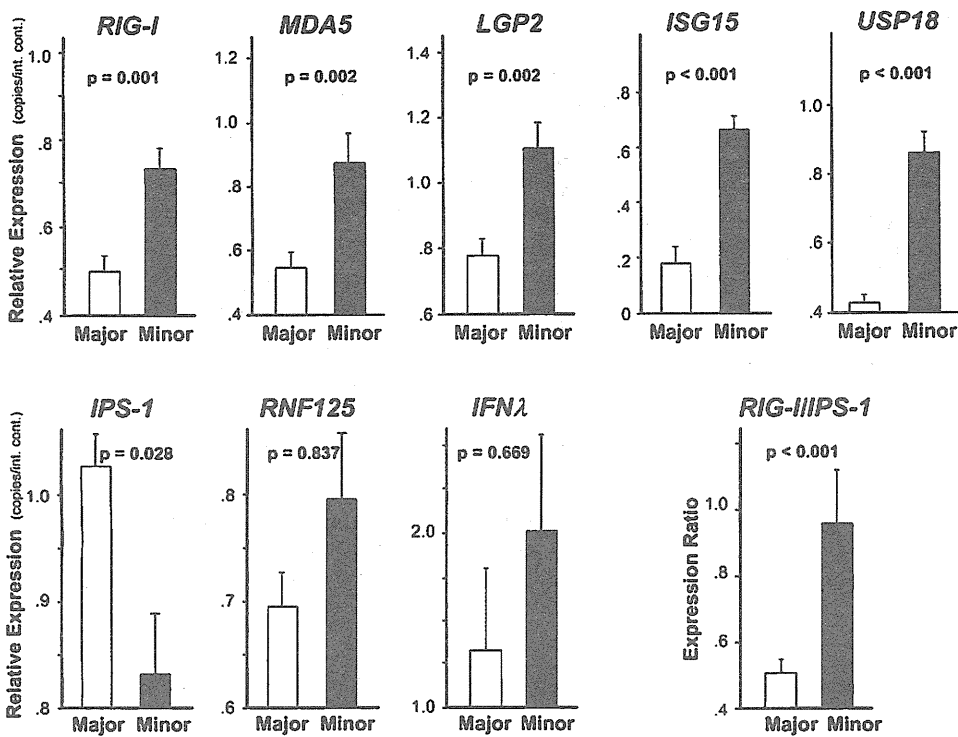


Fig. 1. Comparison of hepatic gene expression levels between *IL28B* major (rs8099917 TT/rs12979860 CC, n = 54) and *IL28B* minor patients (rs8099917 TG/rs12979860 CT, n = 34). Expression levels of cytoplasmic viral sensors (*RIG-I*, *MDA5*, and *LGP2*), modulators (*ISG15* and *USP18*), an adaptor (*IPS-1*), negative regulators (*RNF125*) and *IFNλ*, and expression ratio of the *RIG-I/IPS-1* are shown. Error bars indicate standard error. The P-values were determined by the Mann-Whitney U test.

NVR patients than in VR patients. However, in patients of the same virological response subgroup, *RIG-I* and *ISG15* expression levels and *RIG-I/IPS-1* ratio were higher in *IL28B* minor patients, and the difference in *ISG15* expression in subgroup of VR and NVR patients and that in *RIG-I/IPS-1* ratio in subgroup of VR patients was statistically significant between *IL28B* genotypes (Fig. 3).

Receiver Operator Characteristic (ROC) Analysis. To determine the usefulness of these gene quantifications and *IL28B* genotyping as predictors of NVR, an ROC analysis was conducted (Fig. 4A). The area under the ROC curve for *RIG-I* and *ISG15* expressions and *RIG-I/IPS-1* expression ratio was 0.712, 0.782, and 0.732, respectively, suggesting that quantification of these gene transcripts is useful for

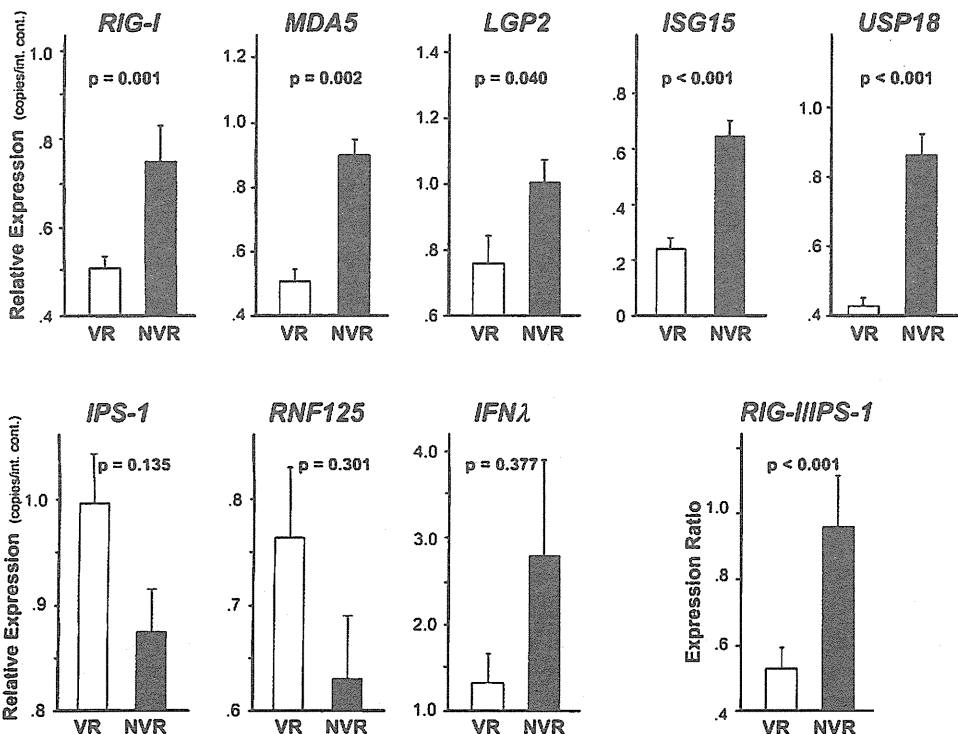


Fig. 2. Comparison of hepatic gene expression levels between virological responders (VR, n = 60) and nonvirological responders (NVR, n = 28). Expression levels of cytoplasmic viral sensors (*RIG-I*, *MDA5*, and *LGP2*), modulators (*ISG15* and *USP18*), an adaptor (*IPS-1*), negative regulators (*RNF125*) and *IFNλ*, and *RIG-I/IPS-1* expression ratio are shown. Error bars indicate standard error. The P-values were determined by the Mann-Whitney U test.

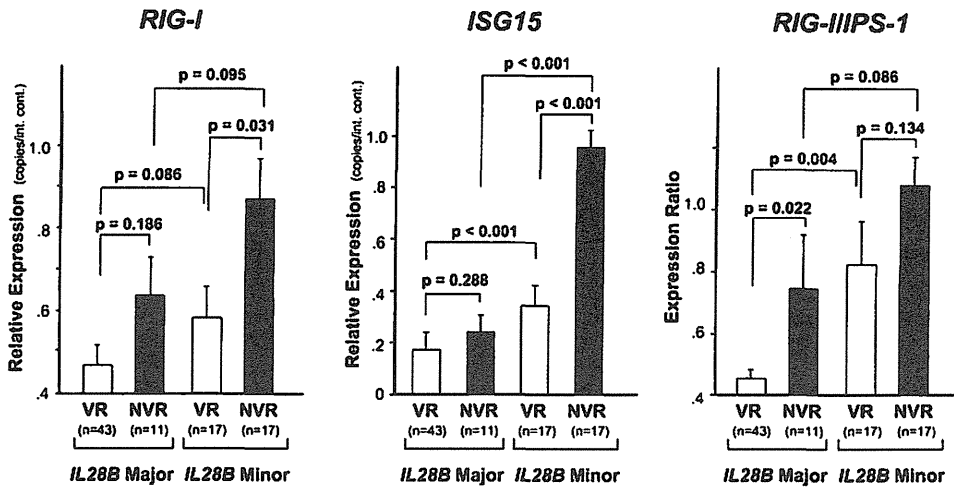


Fig. 3. Comparison of hepatic gene expression levels between virological responders (VR) and nonvirological responders (NVR) in subgroups of the *IL28B* genotype (*IL28B* Major, rs8099917 TT/rs12979860 CC; *IL28B* Minor, rs8099917 TG/rs12979860 CT). Expressions of *RIG-I* and *ISG15* as well as the *RIG-I/IPS-1* expression ratio are shown. Error bars indicate standard error. The numbers of patients in each subgroup are shown in the bottom of the figure.

prediction of NVR (Table 2). The area under the ROC curve for *IL28B* genotype was 0.662, which was lower compared with that for *RIG-I* and *ISG15* expressions and *RIG-I/IPS-1* ratio.

When we stratified the patients by the cutoff value for *RIG-I* and *ISG15* expressions and *RIG-I/IPS-1* ratio, no statistically significant difference was found in

NVR rates among *IL28B* genotypes within the same subgroup (Fig. 4B).

Factors Associated with NVR. In univariate analysis, age, platelet counts, double mutation at amino acid positions 70 and 91 of the HCV core region, *IL28B* minor allele, and hepatic expressions of *RIG-I*, *MDA5*, *LGP2*, *ISG15*, and *USP18*, and *RIG-I/IPS-1* ratio were significantly

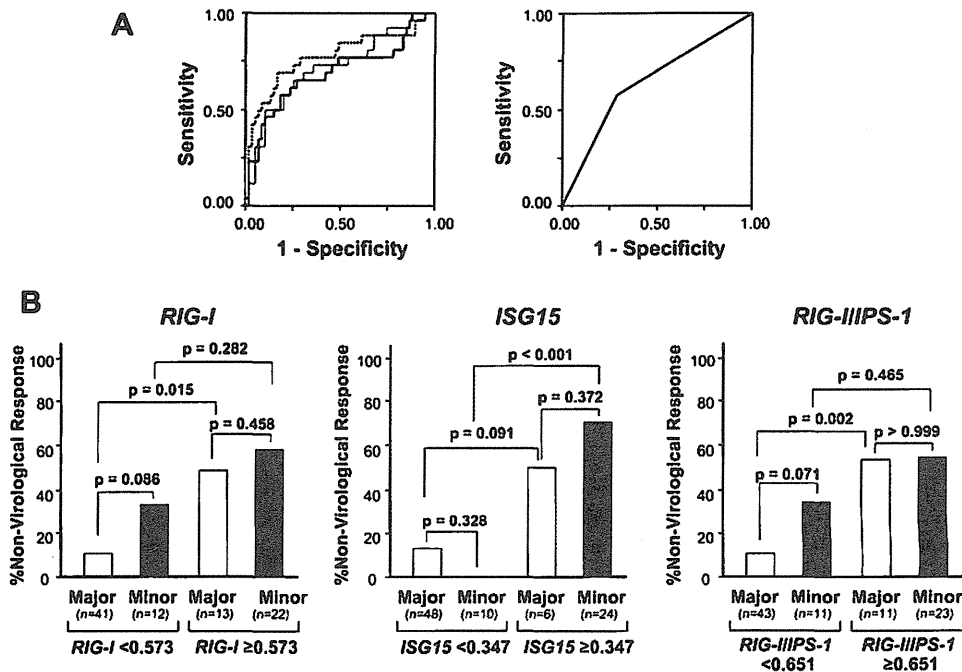


Fig. 4. (A) Receiver operator characteristics (ROC) curve for prediction of nonvirological response. ROC curves were generated to compare *RIG-I* (black line), *ISG15* (dotted line), and *RIG-I/IPS-1* ratio (gray line) (all in the left panel), and *IL28B* genotype (in the right panel). (B) Nonvirological response rate in *IL28B* major (rs8099917 TT/rs12979860 CC) and minor patients (rs8099917 TG/rs12979860 CT) in subgroups divided by the cutoff value of *RIG-I* and *ISG15* expression and the *RIG-I/ISG15* ratio determined by ROC analysis. Cutoff values of *RIG-I* and *ISG15* expression are expressed as expression copy number normalized to the expression of an internal control. The numbers of patients in each subgroup are shown in the bottom of the figure.

Table 2. Area Under the ROC Curves, Sensitivity, Specificity, and Negative as Well as Positive Predictive Values of Nonvirological Responses

Variables	AUC	95% CI	Cutoff	Sensitivity	Specificity	NPV	PPV
<i>RIG-I</i> (copies/int. control)	0.712	0.584-0.840	0.573	0.679	0.733	0.830	0.543
<i>ISG15</i> (copies/int. control)	0.782	0.666-0.899	0.347	0.714	0.833	0.862	0.667
<i>RIG-I/IPS-1</i> (copies/int. control)	0.732	0.611-0.852	0.651	0.679	0.750	0.833	0.559
<i>IL28B</i> genotype	0.662	0.537-0.787	TG*/CT†	0.607	0.717	0.796	0.500

AUC, area under the curve; NPV, negative predictive value; PPV, positive predictive value.

*Genotype at rs8099917.

†Genotype at rs12979860.

associated with NVR (Table 3). Among these, multivariate analysis identified old age, HCV core double mutant, and higher hepatic expressions of *RIG-I* and *ISG15* as factors independently associated with NVR (Table 3).

IPS-1 and RIG-I Protein Expression in the Liver. Western blotting revealed that full-length and cleaved IPS-1 were variably present in all the samples from CH-C patients (Fig. 5A). Similar to mRNA

Table 3. Factors Associated with Nonvirological Response

Factors	Univariate Analysis		Multivariate Analysis*	
	Risk Ratio (95% CI)	P-value	Risk Ratio (95% CI)	P-value
Age (by every 10 year)	1.84 (1.10-3.14)	0.027	3.76 (1.19-11.7)	0.023
Sex				
Male	1			
Female	1.62 (0.59-4.42)	0.350		
BMI (by every 5 kg/m ²)	0.87 (0.46-1.65)	0.672		
Fibrosis stage				
F1/F2	1			
F3/F4	1.82 (0.69-4.85)	0.228		
Degree of steatosis				
<10%	1			
≥10%	1.46 (0.43-5.03)	0.544		
Albumin (by every 1 g/dL)	0.41 (0.11-1.56)	0.190		
AST (by every 40 IU/L)	0.89 (0.53-1.56)	0.681		
ALT (by every 40 IU/L)	0.85 (0.57-1.32)	0.481		
γ-GTP (by every 40 IU/L)	1.32 (0.82-2.07)	0.235		
Fasting blood sugar (by every 100 mg/dL)	1.35 (0.74-2.45)	0.340		
Hemoglobin (by every 1 g/dL)	0.93 (0.67-1.31)	0.683		
Platelet counts (by every 10 ⁴ /μL)	0.90 (0.82-0.99)	0.037	0.92 (0.78-1.08)	0.296
HCV load (by every 100 KIU/mL)	1.00 (1.00-1.00)	0.688		
Core 70 & 91 double mutation				
Wild	1		1	
Mutant	3.92 (1.14-13.5)	0.030	11.1 (1.40-88.7)	0.023
ISDR				
Nonwildtype	1			
Wildtype	1.38 (0.13-3.61)	0.513		
<i>IL28B</i> genotype				
Major allele†	1		1	
Minor allele‡	3.91 (1.52-10.0)	0.005	1.53 (0.20-11.9)	0.684
Hepatic gene expression (by every 0.1 copy/int. control)				
<i>RIG-I</i>	1.28 (1.10-1.50)	0.002	1.53 (1.07-2.22)	0.021
<i>MDA5</i>	1.53 (1.12-2.00)	0.001		
<i>LGP2</i>	1.34 (1.04-1.74)	0.026		
<i>IPS-1</i>	0.90 (0.78-1.04)	0.143		
<i>RNF125</i>	0.93 (0.83-1.04)	0.204		
<i>ISG15</i>	1.37 (1.16-1.62)	<0.001	1.28 (1.04-1.58)	0.021
<i>USP18</i>	1.67 (1.27-2.20)	<0.001		
<i>IFNλ</i>	1.02 (0.99-1.05)	0.170		
<i>RIG-I/IPS-1</i> ratio (by every 0.1)	1.21 (1.07-1.36)	0.002		

Risk ratios for nonvirological response were calculated by the logistic regression analysis. BMI, body mass index; AST, aspartate aminotransferase; ALT, alanine aminotransferase; γ-GTP, gamma-glutamyl transpeptidase; HCV, hepatitis C virus; ISDR, IFN sensitivity determining region.

*Multivariate analysis was performed with factors significantly associated with nonvirological response by univariate analysis except for *MDA5*, *LGP2*, *USP18*, and *RIG-I/IPS-1* ratio, which were significantly correlated with *RIG-I* and *ISG15*.

†rs8099917 TT and rs12979860 CC.

‡rs8099917 TG and rs12979860 CT.

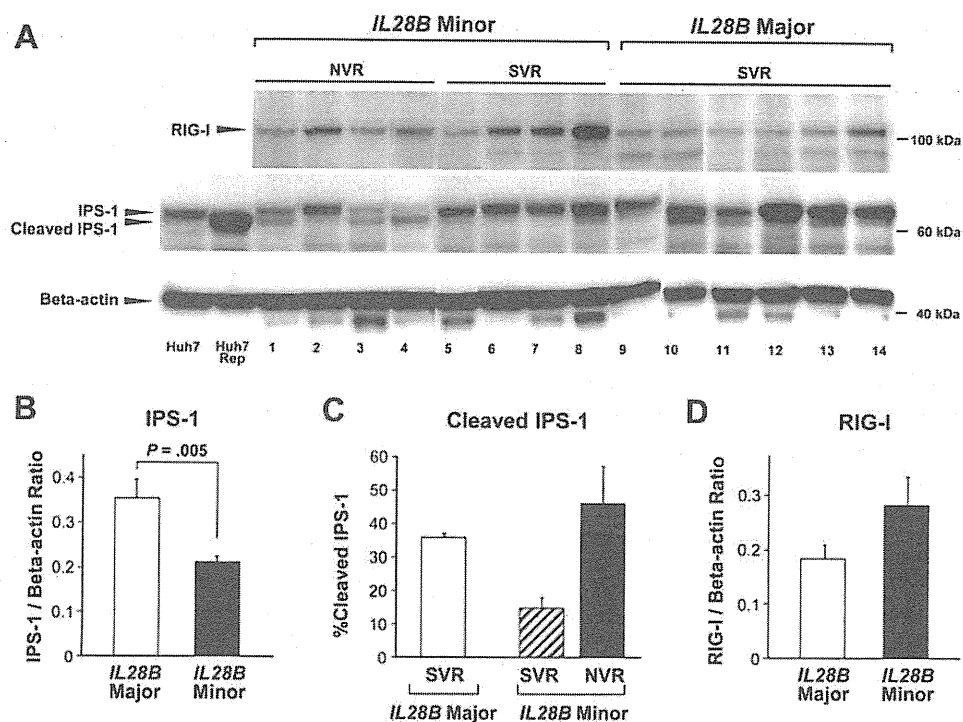


Fig. 5. (A) Western blotting for IPS-1 and RIG-I protein expression levels. Eight lanes contain samples from *IL28B* minor patients (lanes 1-8) and six lanes contain samples from *IL28B* major patients (lanes 9-14). Four lanes contain samples from nonvirological responders (NVR, lanes 1-4) and 10 lanes contain samples from sustained virological responders (SVR, lanes 5-14). Specific bands for RIG-I, full-length IPS-1, cleaved IPS-1, and β -actin are indicated by arrows. Naive Huh7 cells were used for a positive control for full-length IPS-1 (lane Huh7), and cells transfected with HCV-1b subgenomic replicon (Reference #20) were used for a positive control for cleaved IPS-1 (lane Huh7 Rep). (B) Total IPS-1 protein expression levels normalized to β -actin according to *IL28B* genotype. Error bars indicate standard error. *P*-value was determined by Mann-Whitney *U* test. (C) Percentage of cleaved IPS-1 products in total IPS-1 protein according to treatment responses stratified by *IL28B* genotype. Error bars indicate standard error. (D) RIG-I protein expression levels normalized to β -actin according to *IL28B* genotype. Error bars indicate standard error.

expression, total hepatic IPS-1 protein expression was significantly lower in *IL28B* minor patients than in *IL28B* major patients (Fig. 5B). With regard to *IL28B* minor patients, the percentage of cleaved IPS-1 protein in total IPS-1 in SVR was lower than that in NVR (Fig. 5C). In contrast to IPS-1 protein expression, hepatic RIG-I protein expression was higher in *IL28B* minor patients than that in *IL28B* major patients (Fig. 5D).

Discussion

In the present study we found that the baseline expression levels of intrahepatic viral sensors and related regulatory molecules were significantly associated with the genetic variation of *IL28B* and final virological outcome in CH-C patients treated with PEG-IFN α /RBV combination therapy. Although the relationship between the *IL28B* minor allele and NVR in PEG-IFN α /RBV combination therapy is evident, mechanisms responsible for this association remain unknown. *In vitro* studies have suggested that cytoplasmic viral sensors, such as RIG-I and MDA5, play a

pivotal role in the regulation of IFN production and augment IFN production through an amplification circuit.^{7,8} Our results indicate that expressions of *RIG-I* and *MDA5* and a related amplification system may be up-regulated by endogenous IFN at a higher baseline level in *IL28B* minor patients. However, HCV elimination by subsequent exogenous IFN is insufficient in these patients, as reported,¹⁹ suggesting that *IL28B* minor patients may have adopted a different equilibrium in their innate immune response to HCV. Our data are further supported by recent reports of an association between intrahepatic levels of IFN-stimulated gene expression and PEG-IFN α /RBV response as well as with *IL28B* genotype.²¹⁻²³

In contrast to cytoplasmic viral sensor (*RIG-I*, *MDA5*, and *LGP2*) and modulator (*ISG15* and *USP18*) expression, the adaptor molecule (*IPS-1*) expression was significantly lower in *IL28B* minor patients. Moreover, western blotting further confirmed IPS-1 protein downregulation in *IL28B* minor patients by revealing decreased protein levels. Because IPS-1 is one of the main target molecules of HCV evasion,^{9,18}

transcriptional and translational *IPS-1* expression are probably suppressed by HCV with resistant phenotype, which may be more adaptive in *IL28B* minor patients than in *IL28B* major patients. When we analyzed the proportion of full-length or cleaved IPS-1 to the total IPS-1 protein in a subgroup of *IL28B* minor patients, cleaved IPS-1 product was less dominant in SVR than in NVR, whereas uncleaved full-length IPS-1 protein was more dominant in SVR than in NVR. Therefore, the ability of HCV to evade host innate immunity by cleaving IPS-1 protein and/or host capability of protection from IPS-1 cleavage is probably responsible for the variable treatment responses in *IL28B* minor patients.

Our results indicated a close association between *IL28B* minor patients with higher γ -GTP level and higher frequency of HCV core double mutants, which are known factors for NVR. In contrast, no significant association was observed between *IL28B* genotype and age, gender, or liver fibrosis, which are also known to be unfavorable factors for virological response to PEG-IFN α /RBV. Therefore, certain factors other than the *IL28B* genotype may independently influence virological response. To elucidate whether gene expression involving innate immunity independently associates with a virological response from the *IL28B* genotype, we performed further analysis in a subgroup and conducted a multivariate regression and ROC analyses. Our multivariate and ROC analyses demonstrate that higher expressions of *RIG-I* and *ISG15* as well as a higher ratio of *RIG-I/IPS-1* are independently associated with NVR, and quantification of these values is more useful in predicting final virological response to PEG-IFN α /RBV than determination of *IL28B* genotype in each individual patients. However, the SVR rates in our patients were similar among *IL28B* genotypes, which suggests more SVR patients with the *IL28B* minor allele were included in the present study than those in the general CH-C population. Hence, our data did not necessarily exclude the possibility of the *IL28B* genotype in predicting NVR, although our multivariate analysis could not identify the *IL28B* minor allele as an independent factor for NVR. Interestingly, an association between *IL28B* genotype and expressions of *RIG-I* and *ISG15* as well as *RIG-I/IPS-1* expression ratio is still observed even in patients with the same subgroup of virological response (Fig. 3).

In the present study, although hepatic *IFN λ* expression was observed to be higher in *IL28B* minor and NVR patients, it was not statistically significant. Because *IL28B* shares 98.2% homology with *IL28A*, our primer could not distinguish the expression of

IL28B from that of *IL28A*, and moreover, we could not specify which cell expresses *IFN λ* (i.e., hepatocytes or other immune cells that have infiltrated the liver). Therefore, the precise mechanisms underlying *IL28B* variation and expression of *IFN λ* in relation to treatment response need further clarification by specifying type of *IFN λ* and uncovering the producing cells.

In the present study we included genotype 1b patients because it is imperative to designate a virologically homogenous patient group to associate individual treatment responses with different gene expression profiles that direct innate immune responses. We have reported that the *RIG-I/IPS-1* ratio was significantly higher in NVR with HCV genotype 2.¹⁹ However, our preliminary results indicated that baseline hepatic *RIG-I* and *ISG15* expression and the *RIG-I/IPS-1* expression ratio is not significantly different among *IL28B* genotypes in patients infected with genotype 2 (Supporting Figure). This may be related to the rarity of NVR with HCV genotype 2 and the lower effect of *IL28B* genotype on virological responses in patients infected with HCV genotype 2.²⁴ The association among treatment responses in all genotypes, the different status of innate immune responses, and *IL28B* genotype needs to be examined further.

Differences in allele frequency for *IL28B* SNPs among the population groups has been reported. The frequency of *IL28B* major allele among patients with Asian ancestry is higher than that among patients with European and African ancestry.²⁵ Because *IL28B* polymorphism strongly influences treatment responses within each population group,⁵ our data obtained from Japanese patients can be applied to other population groups. However, the rate of SVR having African ancestry was lower than that having European ancestry within the same *IL28B* genotype.⁵ Hence, further study is required to clarify whether this difference among the population groups with the same *IL28B* genotype could be explained by differences in expression of genes involved in innate immunity.

In a recent report, an SVR rate of telaprevir with PEG-IFN α /RBV was only 27.6% in *IL28B* minor patients.²⁶ Because new anti-HCV therapy should still contain PEG-IFN α /RBV as a platform for the therapy, our findings regarding innate immunity in addressing the mechanism of virological response and predicting NVR remain important in this new era of directly acting anti-HCV agents, such as telaprevir and boceprevir.

In conclusion, this clinical study in humans demonstrates the potential relevance of the molecules involved in innate immunity to the genetic variation

of *IL28B* and clinical response to PEG-IFN α /RBV. Both the *IL28B* minor allele and higher expressions of *RIG-I* and *ISG15* as well as higher *RIG-I/IPS-1* ratio are independently associated with NVR. Innate immune responses in *IL28B* minor patients may have adapted to a different equilibrium compared with that in *IL28B* major patients. Our data will advance both understanding of the pathogenesis of HCV resistance and the development of new antiviral therapy targeted toward the innate immune system.

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Original article

Age and total ribavirin dose are independent predictors of relapse after interferon therapy in chronic hepatitis C revealed by data mining analysis

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Background: This study aimed to define factors associated with relapse among responders to pegylated interferon (PEG-IFN) plus ribavirin (RBV) therapy in chronic hepatitis C.

Methods: A cohort of genotype 1b chronic hepatitis C patients treated with PEG-IFN plus RBV and who had an undetectable HCV RNA by week 12 ($n=951$) were randomly assigned to model derivation ($n=636$) or internal validation ($n=315$) groups. An independent cohort ($n=598$) were used for an external validation. A decision tree model for relapse was explored using data mining analysis.

Results: The data mining analysis defined five subgroups of patients with variable rates of relapse ranging from 13% to 52%. The reproducibility of the model was confirmed by internal and external validations ($r^2=0.79$

and 0.83, respectively). Patients with undetectable HCV RNA at week 4 had the lowest risk of relapse (13%), followed by patients <60 years with undetectable HCV RNA at week 5–12 who received ≥ 3.0 g/kg of body weight of RBV (16%). Older patients with a total RBV dose <3.0 g/kg had the highest risk of relapse (52%). Higher RBV dose beyond 3.0 g/kg was associated with further decrease of relapse rate among patients <60 years (up to 11%) but not among older patients whose relapse rate remained stable around 30%.

Conclusions: Data mining analysis revealed that time to HCV RNA negativity, age and total RBV dose was associated with relapse. To prevent relapse, ≥ 3.0 g/kg of RBV should be administered. Higher dose of RBV may be beneficial in patients <60 years.

Introduction

The currently recommended therapy for chronic hepatitis C is a combination of pegylated interferon (PEG-IFN) plus ribavirin (RBV) [1]. This therapy is effective in 50% of patients with HCV genotype 1b [2,3]. The most reliable predictor of sustained virological response (SVR) is the response during early weeks of therapy. A satisfactory response to therapy in

the early weeks is associated with a high rate of SVR [4–8]. A basic concept of response-guided therapy is to modify the duration of therapy according to the time to HCV RNA negativity. Extended therapy may be given to patients with delayed virological response [9–13]. Modification of duration of therapy or drug dose may also be necessary in patients with early virological

response (EVR), because approximately 20% of these patients experience relapse after the completion of 48 weeks of therapy. Recent reports have revealed that single nucleotide polymorphisms located near the *IL28B* gene are strongly associated with SVR or a null response to PEG-IFN plus RBV therapy [14–16]. However, single nucleotide polymorphisms located near the *IL28B* gene are not associated with relapse after EVR [17]. Identification of risk factors for relapse among patients with virological response may lead to more individualized therapy and improved SVR rate.

Decision tree analysis, a core component of data mining analysis, is a method that explores data to develop predictive models [18]. This method has been originally used in business and recently in medical fields [19–25]. Decision tree analysis was successfully used to build a predictive model of EVR [26] and SVR to PEG-IFN plus RBV combination therapy in chronic hepatitis C [17,27,28]. The results of the analysis are presented as a tree structure, which is easy to understand and use in clinical practice. Patients can be allocated into

subgroups by simply following the flowchart form of the decision tree [29].

In the present study, we used decision tree analysis to identify predictors of relapse among patients who achieved EVR to PEG-IFN plus RBV therapy, and to define a more individualized therapeutic strategy beyond response-guided therapy.

Methods

Patients

This is a multicentre retrospective cohort study involving Musashino Red Cross Hospital, Toranomon Hospital, Tokyo Medical and Dental University, Osaka University, Nagoya City University, Yamanashi University, Osaka City University, and their related hospitals. The inclusion criteria were chronic hepatitis C patients treated with PEG-IFN- α 2b plus RBV, genotype 1b, pretreatment HCV RNA titre >100 KIU/ml as confirmed by quantitative PCR; Cobas Amplicor HCV Monitor version 2.0; Roche Diagnostic Systems, Pleasanton, CA, USA), an undetectable HCV RNA level within week 12 after the start of therapy, no coinfection with HBV or HIV, and no other causes of liver disease. Patients were treated with PEG-IFN- α 2b (1.5 μ g/kg) subcutaneously every week plus a daily weight-adjusted RBV dose (600 mg for patients weighing <60 kg, 800 mg for patients weighing 60–80 kg and 1,000 mg for patients weighing >80 kg). Dose reduction or discontinuation of PEG-IFN and RBV was considered based on the recommendations of the package inserts and the discretion of physicians at each university and hospital. The standard duration of therapy was set at 48 weeks, but extension of duration was allowed and implemented at the discretion of each physician. The duration of therapy was extended beyond 48 weeks in 118 patients (mean duration was 56.3 weeks, ranging from 49 to 72 weeks). Although the exact reason for the prolonged treatment in each case was not available, one reason may be that each physician tried to achieve high adherence of RBV by extending the duration of therapy. Another reason may be the late time point of HCV RNA negativity even within early virological response. Among 118 patients, time to HCV RNA negativity was between 9 to 12 weeks in 56% of patients.

A total of 951 patients fulfilled the study criteria. The baseline characteristics and representative laboratory test results are listed in Table 1. For analysis, patients were randomly assigned to either the model derivation (636 patients) or internal validation (315 patients) groups. There were no significant differences in the clinical backgrounds between these two groups. For external validation of the model, we collaborated with another multicentre study group consisting of 29 medical centres and hospitals belonging to the National

Table 1. Background of study population

Characteristic	Value
Age, years	54.9 (10.8)
Gender	–
Male, <i>n</i> (%)	557 (59)
Female, <i>n</i> (%)	394 (41)
Body mass index, kg/m ²	23.2 (3.3)
Albumin, g/dl	4.1 (1.8)
Creatinine, mg/dl	0.7 (0.2)
AST, IU/l	60.6 (46.2)
ALT, IU/l	80.7 (77.2)
GGT, IU/l	52.0 (60.0)
White blood cell count, cells/ μ l	4,993 (1,363)
Haemoglobin, g/dl	15.9 (52.6)
Platelets, 10 ⁹ /l	174.4 (6.1)
HCV RNA, KIU/ml	1,655 (1,455)
Fibrosis stage	–
F1–2, <i>n</i> (%)	626 (66)
F3–4, <i>n</i> (%)	98 (10)
NA, <i>n</i> (%)	227 (24)
Time to HCV RNA negativity 4/8/12 weeks	–
4 Weeks, <i>n</i> (%)	233 (24)
8 Weeks, <i>n</i> (%)	386 (41)
12 Weeks, <i>n</i> (%)	332 (35)
Treatment duration, weeks	42 (13)
Total RBV dose, g/kg body weight	3.1 (1.3)
Total PEG-IFN dose, μ g/kg body weight	62.5 (38.6)
Outcome	–
Relapse, <i>n</i> (%)	238 (25)
SVR, <i>n</i> (%)	713 (75)

Total *n*=951. Data are expressed as mean (sd) unless otherwise indicated. ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT, γ -glutamyltransferase; NA, not available; PEG-IFN, pegylated interferon; RBV, ribavirin; SVR: sustained virological response.

Hospital Organization (Japan). A dataset collected from 598 patients who were treated with PEG-IFN- α 2b plus RBV and had undetectable HCV RNA within week 12 were used for external validation. Informed consent was obtained from each patient. The study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional review committees of all concerned hospitals.

Laboratory tests

Haematological tests, blood chemistry and HCV RNA titre were analysed before therapy and at least once every month during therapy. Rapid virological response (RVR) was defined as an undetectable HCV RNA level at week 4, and complete early virological response (cEVR) was defined as an undetectable HCV RNA level at week 5 through week 12 after the start of therapy. SVR was defined as an undetectable HCV RNA level 24 weeks after the completion of therapy. Detection of HCV RNA level was based on qualitative PCR with a lower detection limit of 50 IU/ml (Amplicor; Roche Diagnostic Systems). A database of pretreatment variables included haematological tests (haemoglobin level, white blood cell count and platelet count), blood chemistry tests (serum levels of creatinine, albumin, aspartate aminotransferase, alanine aminotransferase, γ -glutamyltransferase, total cholesterol, triglycerides and HCV RNA titre), stage of histological fibrosis and patient characteristics (age, sex and body mass index). Post-treatment variables included time to HCV RNA negativity, calculated total RBV dose (g/kg of body weight), and calculated total PEG-IFN dose (μ g/kg of body weight).

Statistical analysis

The Student's *t*-test was used for the univariable comparison of quantitative variables and Fisher's exact test was used for the comparison of qualitative variables. Logistic regression models with backward selection procedures were used for multivariable analysis of factors associated with relapse. IBM SPSS software version 18.0 (SPSS Inc., Chicago, IL, USA) was used for analysis. For the decision tree analysis [30], the data mining software IBM SPSS Modeler 14 (SPSS Inc.) was used, as reported previously [17,26–28]. The decision tree analysis, the core component of the data mining, belongs to a family of non-parametric regression methods based on binary recursive partitioning of data. In this analysis, the software automatically explored the database to determine optimal split variables to build a decision tree structure. A statistical search algorithm evaluate the model derivation group to determine the optimum variables and cutoff values and to yield the most significant division of patients into two subgroups that were as homogeneous as possible for the probability

of relapse. Once patients were divided into 2 subgroups, the analysis was automatically repeated on each subgroup in the same way until either no additional significant variable was detected or the number of patients was <20. Finally all patients were classified into particular subgroups that are homogeneous with respect to the probabilities of relapse.

Results

The decision tree model for the prediction of relapse

The overall rate of relapse was 26% in the model derivation group. The decision tree analysis selected three variables that are associated with relapse: time to HCV RNA negativity, age and total RBV dose (Figure 1). Time to HCV RNA negativity was selected as the best predictor of relapse. The rate of relapse was 13% for patients with RVR compared to 30% for patients with cEVR. Among patients with cEVR, age was selected as the variable of second split. Patients <60 years had a lower probability of relapse (22%) compared with those \geq 60 years (41%). The total RBV dose was selected as the third variable of split with an optimal cutoff of 3.0 g/kg of body weight. The rate of relapse was lower in patients who received \geq 3.0 g/kg of body weight of RBV compared to patients who received <3.0 g/kg of body weight (among patients <60 years rates were 16% versus 32% and among patients \geq 60 years rates were 26% versus 52%, respectively).

According to this decision tree, the patients were divided into five groups with different rates of relapse ranging from 13% to 52%. Patients with RVR had the lowest risk of relapse. Among patients with cEVR, patients <60 years who received \geq 3.0 g/kg of body weight of RBV also had a low risk of relapse (16%). By contrast, patients who received <3.0 g/kg of body weight of RBV had higher than the average risk of relapse, especially in patients \geq 60 years (52%).

Validation of the decision tree model

The decision tree model was validated using an internal validation group that was not included in the model derivation. The rates of relapse for each subgroup of patients were correlated closely between the model derivation and the internal validation group ($r^2=0.79$; Figure 2A). When validated using an external validation group, the rates of relapse for each subgroup of patients were again correlated closely between the model derivation and the external validation group. ($r^2=0.83$; Figure 2B).

Multivariable logistic regression analysis for factors associated with relapse

Univariable and multivariable analysis was performed using the combined population of model derivation and internal validation group. Univariable analysis found

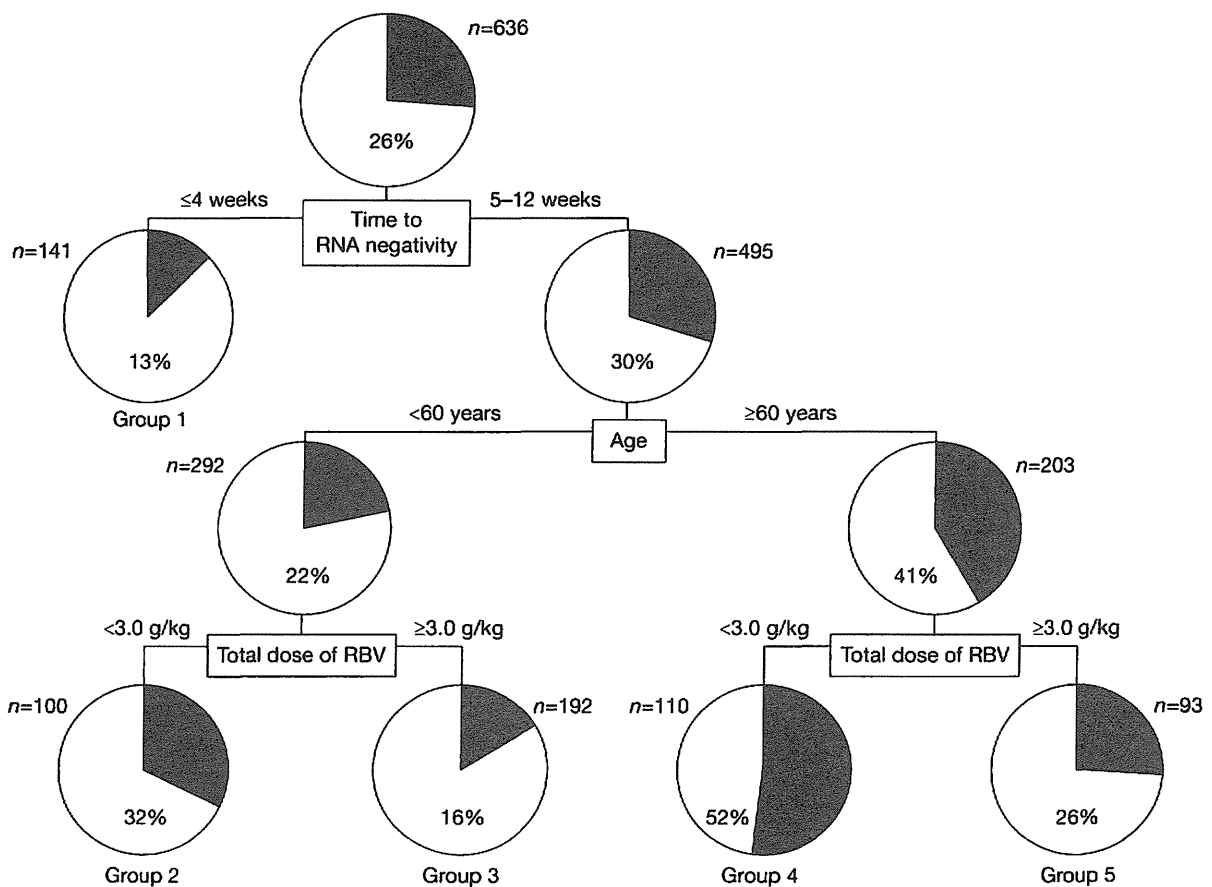
that age, sex, serum levels of creatinine, haemoglobin, platelet count, HCV RNA titre, time to HCV RNA negativity, total PEG-IFN dose and total RBV dose were associated with relapse. Duration of therapy was not associated with reduction in relapse rate. Multivariable analysis including these factors showed that age, total RBV dose, serum level of creatinine, and time to HCV RNA negativity were independent predictors of relapse (Table 2). Creatinine was not selected as a splitting variable in data mining analysis probably due to the limitation to stop the analysis when the number of patients was <20. Using the combined population of model derivation and internal validation group, patients in each subgroup of decision tree model were further stratified by creatinine levels and the effect of creatinine level on relapse was analysed. Among patients with RVR, the rate of relapse did not differ

between patients with creatinine levels of <0.7 g/dl and ≥ 0.7 g/dl and were 12% and 12%, respectively. Among patients with cEVR, the rate of relapse was higher in patients with creatinine levels of <0.7 g/dl compared to those with creatinine levels of ≥ 0.7 g/dl and were 39% versus 23%, respectively, for patients <60 years who received <3.0 g/kg of body weight of RBV, 19% versus 14% for patients <60 years who received ≥ 3.0 g/kg of body weight of RBV, 58% versus 41% for patients ≥ 60 years who received <3.0 g/kg of body weight of RBV, and 42% versus 26% for patients ≥ 60 years who received ≥ 3.0 g/kg of body weight of RBV.

Effect of age and total RBV dose on relapse among patients with cEVR

The effect of total RBV dose on relapse was analysed among patients with cEVR in a combined group of

Figure 1. The decision-tree model of relapse among patients with rapid virological response or complete early virological response



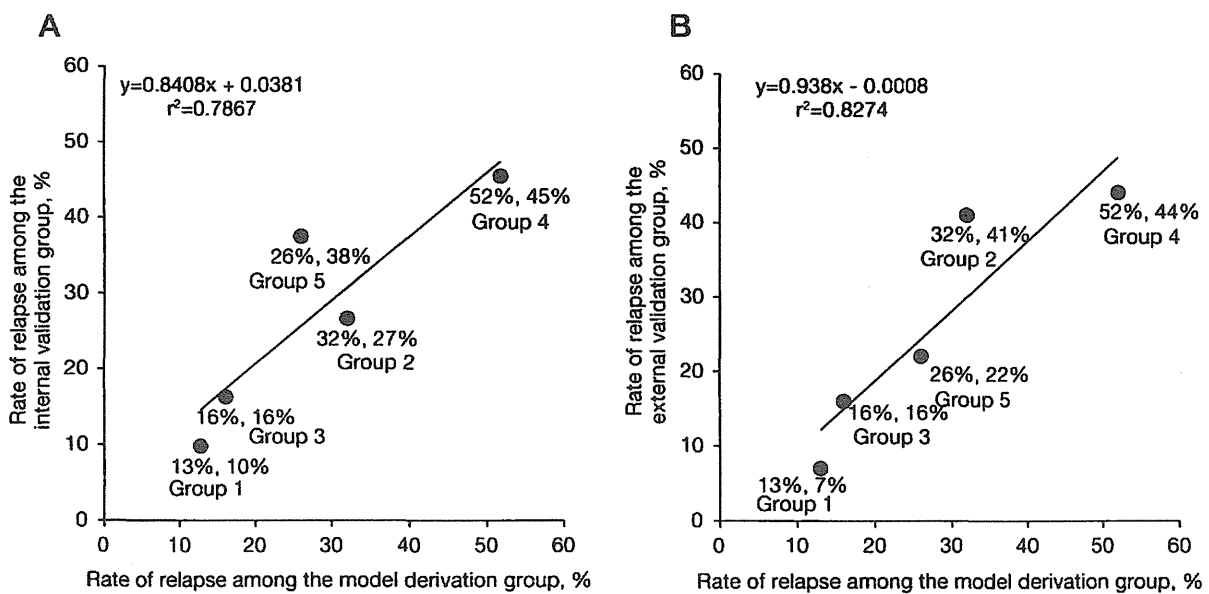
Boxes indicate the factors used for splitting and the cutoff values for the split. Pie charts indicate the rate of relapse for each group of patients after splitting. Terminal groups of patients discriminated by the analysis are numbered from 1 to 5. The rate of relapse was higher than average (>26%) in subgroups 2 and 4, where total ribavirin (RBV) dose was <3 g/kg of body weight.

model derivation and internal validation ($n=718$). The relapse rate decreased with an increase in RBV dose (Figure 3A). When patients were stratified into two groups according to age, the relapse rate decreased with an increase in RBV dose in patients <60 years. The relapse rate was lowest (11%) in patients <60 years who received ≥ 4.0 g/kg of body weight of RBV. By contrast, among patients ≥ 60 years, the relapse rate decreased with an increase in RBV dose up to 3.0 g/kg of body weight, but remained relatively stable despite a further increase in the RBV dose beyond 3.0 g/kg of body weight. The rate of relapse was 31% to 33% in patients who received ≥ 3.0 g/kg of body weight.

Patients ≥ 60 years had higher relapse rate compared with patients <60 years after stratification by RBV dose ($P=0.044$ for RBV <2.5 g/kg, $P=0.009$ for RBV 2.5–2.9 g/kg, $P=0.150$ for RBV 3.0–3.4 g/kg, $P=0.036$ for RBV 3.5–3.9 g/kg and $P=0.006$ for RBV ≥ 4.0 g/kg).

To exclude the effect of the duration of therapy, patients who received 42–54 weeks of therapy were selected ($n=544$). Again, the relapse rate decreased with an increase in RBV dose in patients <60 years but remained stable despite a further increase in the RBV dose beyond 3.0 g/kg of body weight in patients ≥ 60 years (Figure 3B); in addition, patients ≥ 60 years had a higher relapse rate compared with younger patients after stratification by

Figure 2. Internal and external validation of the decision-tree model: subgroup-stratified comparison of the rate of relapse between the model derivation and validation groups



Each patient in the internal and external validation population was allocated to groups 1 to 5 following the flowchart of the decision tree. The rates of relapse were then calculated for each group and a graph was plotted. The rate of relapse in the (A) internal and (B) external validation groups are shown. The rates of relapse are shown as percentages below data points: the value on the left is from the model derivation group and on the right is from the validation group. The rates of relapse in each group of patients correlated closely between the model derivation group and the validation group (correlation coefficient: $r^2=0.79$ and 0.83 , respectively).

Table 2. Multivariable analysis of factors associated with relapse among patients with RVR/cEVR

Factor	OR	95% CI	P-value
No-RVR	4.07	2.57–6.43	<0.0001
Total RBV dose <3.0 g/kg body weight	2.19	1.58–3.03	<0.0001
Creatinine <0.7 g/dl	1.67	1.22–2.29	0.001
Age ≥ 60 years	2.37	1.73–3.24	<0.0001

cEVR, complete early virological response (HCV-RNA-positive at week 4, but negative at week 12); RBV, ribavirin; RVR, rapid virological response (HCV-RNA-negative at week 4).

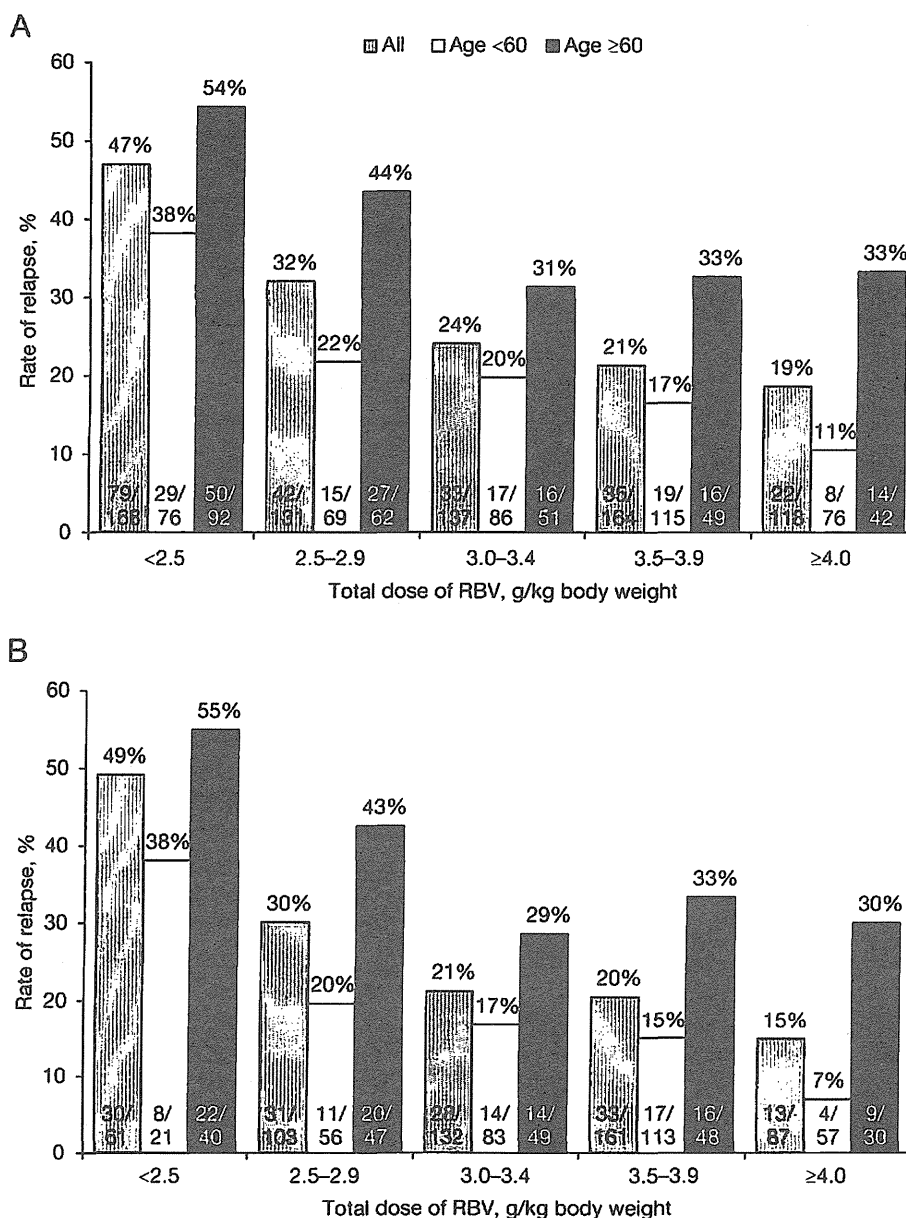
RBV dose ($P=0.283$ for RBV <2.5 g/kg, $P=0.017$ for RBV 2.5–2.9 g/kg, $P=0.127$ for RBV 3.0–3.4 g/kg, $P=0.011$ for RBV 3.5–3.9 g/kg and $P=0.009$ for RBV ≥ 4.0 g/kg).

Total dose of RBV was associated with relapse independently of PEG-IFN dose. The cutoff value of 58 $\mu\text{g}/\text{kg}$ of PEG-IFN was selected, which corresponds to the 80% of 1.5 $\mu\text{g}/\text{kg}$ dose for 48 weeks. In patients who received <58 $\mu\text{g}/\text{kg}$ of body weight of PEG-IFN,

the rate of relapse for patients who received ≥ 3.0 g/kg or <3.0 g/kg of body weight of RBV was 24% and 42%, respectively. In patients who received ≥ 58 $\mu\text{g}/\text{kg}$ of body weight of PEG-IFN, the rate of relapse for patients who received ≥ 3.0 g/kg or <3.0 g/kg of body weight of RBV was 21% and 38%, respectively.

The data mining analysis procedure did not select further split variables among RVR patients. However,

Figure 3. Correlation between the rate of relapse and total RBV dose among patients with cEVR after stratification by age



Association between the total ribavirin (RBV) dose and the rate of relapse among patients with complete early virological response (cEVR) is shown. (A) Higher dose of RBV was associated with reduced rate of relapse. (B) These associations were also confirmed in selected patients who received 42–54 weeks of therapy.

when analysed separately, the rate of relapse was also associated with age and total RBV dose among patients with RVR. The rate of relapse for patients who received ≥ 3.0 g/kg or < 3.0 g/kg of body weight of RBV was 5% and 14%, respectively. The rate of relapse for patients < 60 and ≥ 60 years was 9% and 18%, respectively. Collectively, the rate of relapse for patients < 60 years who received ≥ 3.0 g/kg or < 3.0 g/kg of body weight of RBV was 2% and 11%, respectively, whereas the rate of relapse for patients ≥ 60 years who received ≥ 3.0 g/kg or < 3.0 g/kg of body weight of RBV was 12% and 20%, respectively.

Discussion

The result of the present study shows that older age and insufficient dose of RBV are significant and independent risk factors for relapse among patients with cEVR to PEG-IFN plus RBV. Older patients (≥ 60 years) who received a total RBV dose < 3.0 g/kg of body weight had the highest risk of relapse (52%), whereas younger patients who received a total RBV dose ≥ 3.0 g/kg of body weight had the lowest risk of relapse (16%). The rate of relapse decreased depending on the total RBV dose in younger patients, but remained stable in older patients despite a further increase in the RBV dose beyond 3.0 g/kg of body weight. These findings imply that the target dose of total RBV can be set at 3.0 g/kg of body weight in patients who achieved cEVR, and further increase in RBV dose up to 4.0 g/kg of body weight or greater may be recommended in patients < 60 years.

The associations between the drug adherence and virological response had been reported with inconsistent results. In an earlier study, patients who received $> 80\%$ of the planned dose of PEG-IFN plus RBV for $> 80\%$ of the planned duration of therapy had a higher rate of SVR compared to those who received a lesser dose (51% versus 34%) [31]. Consistent results were obtained in a study reporting that patients who received $> 80\%$ of the planned dose of PEG-IFN and RBV within the first 12 weeks of therapy had a higher rate of EVR compared with those who received a lesser dose of both drugs (80% versus 33%) [4]. By contrast, a large-scale multicentre study showed that reducing the PEG-IFN dose during the first 20 weeks reduced SVR; however, reducing RBV did not affect SVR as long as RBV was not prematurely discontinued [32]. The reason for these inconsistencies is unclear. One reason may be the differences in the backgrounds of patients enrolled in the study, and hence the last study was limited to patients with advanced fibrosis and prior non-responders to PEG-IFN therapy. Because the probability of SVR is affected by virological response and relapse after response, the effect of drug dosing should be analysed separately with respect to these two factors.

In the present study, we focused on factors predictive of relapse after early virological response. According to the decision tree model, relapse was less likely in patients with RVR compared with cEVR. Among patients with cEVR, older patients (≥ 60 years) had a higher risk of relapse compared to younger patients (41% versus 22%). In addition, our results emphasized the effect of RBV dose for the prevention of relapse. In our study, a total RBV dose of ≥ 3.0 g/kg of body weight was repeatedly associated with a suppressed rate of relapse in the model derivation and validation groups. The rate of relapse in patients < 60 years who received an RBV dose of < 3.0 versus ≥ 3.0 g/kg of body weight in the model derivation, internal validation and external validation groups were 32% versus 16%, 27% versus 16%, and 41% versus 16%, respectively. The rate of relapse in patients ≥ 60 years who received an RBV dose of < 3.0 versus ≥ 3.0 g/kg of body weight in the model derivation, internal validation and external validation groups were 52% versus 26%, 45% versus 38%, and 44% versus 22%, respectively. It has been reported that the rate of relapse is suppressed in 48 weeks of IFN plus RBV combination therapy compared to IFN monotherapy, indicating that RBV contributes to the increase in SVR by reducing relapse [2,3]. Another study, focused on the associations between the drug dose reduction and relapse in patients with virological response, found that maintaining RBV dose ≥ 12 mg/kg/day during 48 weeks of treatment, which can be translated into a total dose of 4.0 g/kg of body weight, suppressed relapse [33]. Results of the present study are in accordance with this report.

The importance of drug dosing on reduction in relapse is also supported by the findings that extending therapy from 48 to 72 weeks in patients with delayed virological response improved SVR rates by reducing relapse [9–13]. Apart from these clinical studies, in the real world of clinical practice, duration of therapy is extended – even in patients with cEVR – at the physician's discretion. The relationship between duration of therapy or RBV dose, and relapse among patients with cEVR and treated with various lengths of therapy has not been examined. In the combined group of our study, extending the duration of therapy was not associated with a reduction in relapse rate. Rather, the rate of relapse decreased depending on the total RBV dose. These findings suggest that acquiring a sufficient total RBV dose, either within 48 weeks or by extending the duration of therapy, is essential to prevent relapse among patients with cEVR. The limitation of the present study was that the mean duration of therapy was only 56.3 weeks in patients whose duration of therapy was extended beyond 48 weeks. It is probable that extended duration of therapy was not long enough for the prevention of relapse. Further studies with

longer durations of therapy are necessary to confirm the effect of extended duration of therapy on reduction of relapse among patients with cEVR.

Previous reports did not consider the effects of age in setting the optimal dose of RBV. In the present study, the relapse rate decreased with an increase in RBV dose from <2.5 to 3.0–3.5 g/kg of body weight, but remained relatively stable despite a further increase in the RBV dose in older patients. Thus, a total RBV dose ≥ 3.0 g/kg of body weight should be the target dose for patients ≥ 60 years with cEVR. By contrast, ≥ 3.0 g/kg of body weight of RBV was associated with lower risk of relapse in patients <60 with cEVR (16% versus 32%), and a further increase in RBV dose led to a more profound reduction in relapse rates, as low as 11% in patients who received ≥ 4.0 g/kg of body weight. Thus, a total dose of ≥ 4.0 g/kg of body weight or even greater should be the target dose in patients <60 years.

In the near future, more potent therapies, such as direct antiviral agents [34,35], may become available. These drugs require RBV and PEG-IFN in combination. However, not all patients may be able to tolerate this triple combination therapy due to adverse drug reactions, such as severe anaemia or skin eruption. In particular, it may be difficult to administer a full dose of triple drugs to older patients. Thus, personalizing the PEG-IFN and RBV combination therapy based on this model may be beneficial to patients who were intolerant to triple combination therapy.

In the present study creatinine was an independent predictor of relapse by multivariable logistic regression analysis. However creatinine was not selected as a splitting variable in decision tree, which may be due to the unique property of data mining analysis. In data mining analysis, limitation is imposed to stop the analysis when the number of patients is <20. This limitation is used to avoid dividing patients into too small subgroups which lead to the generation of rules that only apply to the model derivation population and not reproduced when applied to other populations. This phenomenon is called the over-fitting of the model. Due to this limitation, the variables selected in the data mining analysis are not necessarily identical to the variables that are significant by ordinary multivariable analysis. In a separate analysis, lower level of creatinine was associated with higher rate of relapse in each subgroup of patients with cEVR. The reason for this association is not clear, but lower creatinine level may be related to more efficient clearance of RBV leading to lower serum level of RBV. Further research is needed to confirm this speculation.

A potential limitation of the present study is that data mining analysis has an intrinsic risk of showing relationships that fit to the original dataset, but

are not reproducible in different groups. Although internal and external validations showed that our model had high reproducibility, we recognized that further validation on a larger external validation cohort, especially in groups other than Japanese, may be necessary to further verify the reliability of our model.

In conclusion, we built a decision tree model for the prediction of relapse among patients with EVR to PEG-IFN plus RBV. The result of the present study shows that older age and insufficient dose of RBV are significant and independent risk factors for relapse. The target dose of total RBV can be set at 3.0 g/kg of body weight in patients who achieved cEVR. A further increase in RBV dose up to 4.0 g/kg of body weight may be warranted in patients <60 years.

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Disclosure statement

The authors declare no competing interests.

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