the manufacturer's instructions, for the production of CXCL9, CXCL10, and CXCL11, respectively.

Cell migration assay

Migration assays were performed in transwell culture inserts (BD Falcon) of 6.4-mm diameter and 3-μm pore

filters. MDA-MB231 and MDA-MB468 cells (1×10^6 /well) were cultured in the lower chamber of a 24-well plate (BD Falcon) in 0.5 ml L-15 medium. After 2 days, IL-2-activated NK cells derived from PBMCs and LMNCs in 0.2 ml L-15 medium were added to the upper chamber (1×10^6 /well), and cells were allowed to migrate for 2 h.

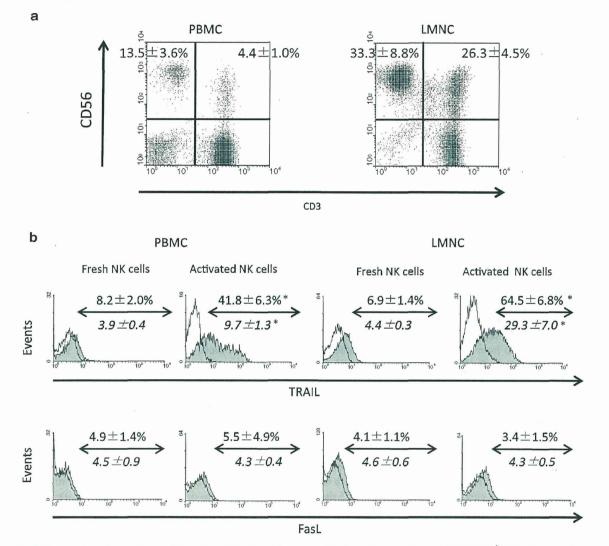


Fig. 2 Phenotypic analyses of human PB and liver NK cells. a Flow cytometric (FCM) analyses of PBMCs and LMNCs cultivated with IL-2 for 5 days were performed after staining with mAbs against CD3 and CD56. FCM profiles are representative of five and six independent experiments (using PBMCs and LMNCs, respectively). Percentages of NK and NKT cells are indicated (mean \pm SEM, PBMC; n=5, LMNC; n=6). b Expression of cytotoxic effector molecules on NK cell subsets among LMNCs or PBMCs freshly isolated or cultivated with or without IL-2 was analyzed. Expression of TRAIL

and FasL on electronically gated CD3 $^-$ CD56 $^+$ NK cells was analyzed by FCM. *Numbers* above the lesion marker line indicate the percentages of cells expressing TRAIL and FasL, and *numbers* below the *line* indicate the median florescence intensity of expression of whole NK cells (mean \pm SEM, n=4 each). PBMCs were obtained from the corresponding LMNC donor. *Histogram* profiles are representative of independent experiments. *Dotted lines* represent negative control staining with isotype-matched mAbs. *P < 0.05 PB NK cells versus liver NK cells



Enzyme-linked immunosorbent assay

The supernatants from the cell migration assay and coculture of MDA-MB231 or MDA-MB468 with IFN- γ for 2 or 6 h were used. IFN- γ and CXCL10 levels in the cell culture supernatants were determined by an enzyme-linked immunosorbent assay (ELISA) with the Quantikine kit (R&D Systems), according to the manufacturer instructions. Absorbance was measured at 492 nm on a microplate reader (MTP-300; CORONA Electric, Ibaraki, Japan).

Statistical analysis

Data are presented as mean \pm SEM. The statistical differences of the results were analyzed by the 2-tailed, paired t test and Mann–Whitney U test, using Excel. P values of <0.05 were considered statistically significant.

Results

Phenotypic properties of human NK cells

NK cells are abundant in the liver in contrast to their relatively small percentage in the peripheral lymphatics and other lymphatic organs in humans [10]. While NK cells in circulating lymphocytes have been phenotypically and functionally defined, those that reside in the liver remain to be characterized. We phenotypically analyzed the LMNCs that were extracted from the perfusates of allograft livers during liver transplantation surgery. The proportions of CD56+CD3- NK and CD56+CD3+ NKT cells in the LMNCs extracted from liver perfusates were significantly higher than those in the PBMCs (Fig. 1a). Although this non-destructive method might allow some extent of contamination with circulating mononuclear cells, these data were consistent with previous reports using the enzymatic dissociation method [15]. Among CD56+CD3- NK cells, CD56^{bright} cells, which constitutively expressed TRAIL, were abundant in LMNCs but were almost undetectable in PBMCs (Fig. 1b-d). On effector molecule analyses, the expression of TRAIL was significantly upregulated in both liver and PB NK cells after cultivation with IL-2 for 5 days. Both the proportion of the TRAIL+ fraction and the staining intensity of liver NK cells were significantly higher than those of PB NK cells (Fig. 2a). Neither PB nor liver NK cells expressed FasL even after IL-2 stimulation (Fig. 2b). We further analyzed the C-type lectin-like receptors CD94, NKG2A, and NKG2C and killer cell immunoglobulin-like receptors (KIR) such as CD158a and CD158b (Fig. 3). CD94 recognizes the non-classical MHC class Ib molecule HLA-E, whereas KIRs are MHC class I-restricted molecules that recognize HLA-A, HLA-B, HLA-C, and HLA-G

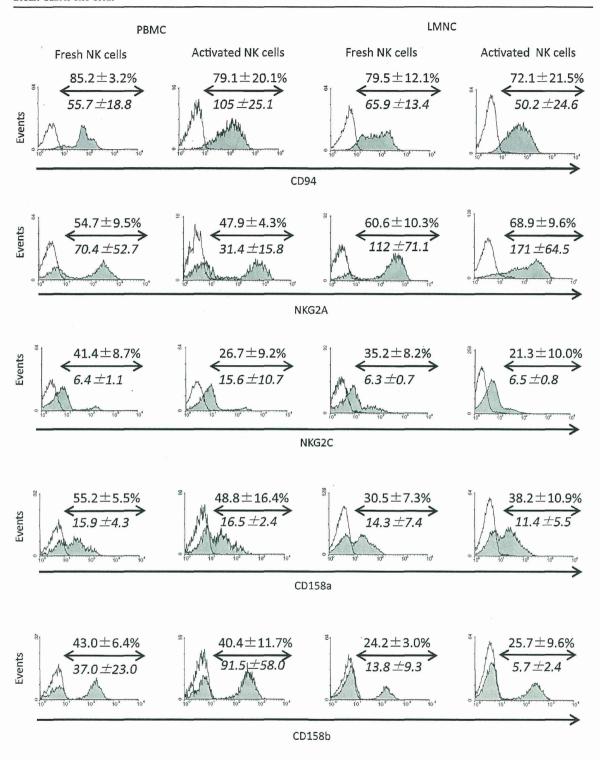
Fig. 3 IL-2 stimulation maintained the expression of C-type lectin-like receptors and killer cell immunoglobulin-like receptors (KIR) in both human PB and liver NK cells. Expression of the C-type lectin-like receptors CD94, NKG2A and NKG2C, and KIR such as CD158a and CD158b on NK cell subsets among LMNCs or PBMCs freshly isolated or cultivated with or without IL-2 was analyzed. Expression on electronically gated CD3⁻CD56⁺ NK cells was analyzed by FCM. Numbers above the lesion marker line indicate the percentages of cells expressing each molecule, and numbers below the line indicate the median florescence intensity of expression of whole NK cells (mean ± SEM, n = 4 each). PBMCs were obtained from the corresponding LMNC donor. Histogram profiles are representative of independent experiments. Dotted lines represent negative control staining with isotype-matched mAbs. *P < 0.05 PB NK cells versus liver NK cells

molecules. All freshly isolated PB and liver NK cells expressed CD94, and cell subpopulations expressed CD158a/CD158b (Fig. 3). No statistically significant differences were observed in the expression of CD94, NKG2A, NKG2C, CD158a, and CD158b between PB and liver NK cells. IL-2 stimulation maintained the expression of these molecules in both liver and PB NK cells, indicating that these cells retain their ability to protect self-MHC class I-expressing cells from NK cell-mediated death. On the analyses of the cytotoxicity-associated receptors, including NKp30, NKp44, NKp46, and NKG2D, no statistically significant differences were found between PB and liver NK cells even after IL-2 stimulation (Fig. 4). Although liver NK cells tended to express higher levels of NKp44 and NKp46 than did PB NK cells, the differences did not reach statistical significance.

Breast cancer cells express the death-inducing receptor

Susceptibility to TRAIL-induced apoptosis may be related to the expression levels of multiple receptors on target cells. TRAIL binds to at least four receptors: two of these are death-inducing receptors (TRAIL-R1/DR4 and TRAIL-R2/DR5) containing cytoplasmic death domains and signal apoptosis, whereas the other two are death-inhibitory receptors (TRAIL-R3/DcR1 and TRAIL-R4/DcR2) that lack a functional death domain and do not mediate apoptosis; all have similar affinities for TRAIL and the latter two may act as decoys [16, 17]. The susceptibility to TRAIL-induced apoptosis is related to the expression levels of those receptors in tumor cells. We investigated the expression patterns of TRAIL-DR and TRAIL-DcR in both normal mammary gland and breast cancer tissue samples. Ductal cells in normal mammary gland tissues expressed TRAIL-DR4 together with TRAIL-DcR1 (Fig. 5a). Breast cancer cells showed a much higher expression of TRAIL-DR4 than did normal mammary gland cells, but little TRAIL-DcR1, regardless of the HER2 type. Similar to the clinical breast cancer tissues, all the tested breast cancer cell lines expressed high TRAIL-DR4 together with TRAIL-DR5, but no TRAIL-DcR1 and TRAIL-DcR2, regardless of their HER2 status (Fig. 5b).





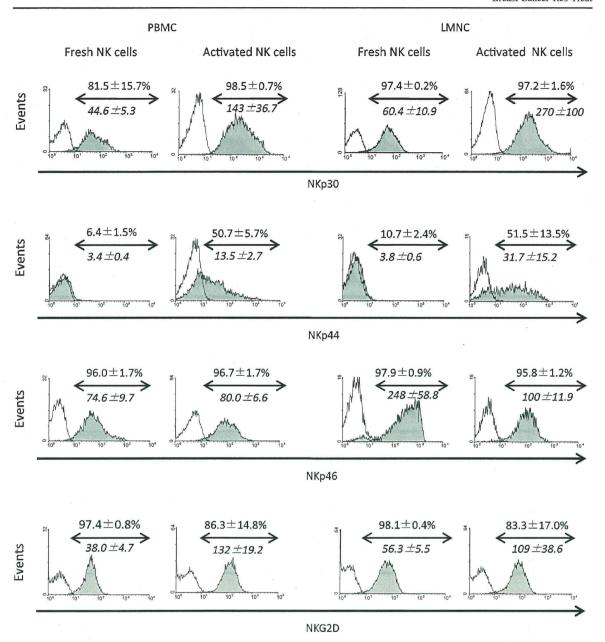


Fig. 4 IL-2 stimulation led to elevated expression of NKp30, NKp44, and NKG2D in both human PB and liver NK cells. Expression of the cytotoxicity-associated receptors, including NKp30, NKp44, NKp46, and NKG2D, in NK cell subsets among LMNCs or PBMCs freshly isolated or cultivated with or without IL-2 was analyzed. Expression in electronically gated CD3⁻CD56⁺ NK cells was analyzed by FCM. Numbers above the lesion marker line indicate the percentages of

cells expressing each molecule, and *numbers* below the *line* indicate the median florescence intensity of expression of whole NK cells (mean \pm SEM, n=4 each). PBMCs were obtained from the corresponding LMNC donor. *Histogram* profiles are representative of independent experiments. *Dotted lines* represent negative control staining with isotype-matched mAbs.

IL-2-stimulated NK cells showed significant cytotoxicity against breast cancer cells

Cytotoxicity assays of NK cells isolated from LMNCs and PBMCs as effectors and various breast cancer cell lines as targets were performed. Cells were stimulated by 5-day culture with IL-2 before use in the cytotoxicity assays. Liver NK cells showed more vigorous cytotoxicity against all tested cell lines (MDA-MB231, MDA-MB453, MDA-MB468, and MCF-7) compared with PB NK cells (Fig. 6). Addition of trastuzumab enhanced the cytotoxicity of both liver and PB NK cells toward MDA-MB231, MDA-MB453, and MCF-7, which express HER2. Although MDA-MB468 has been reported to be a triple-negative breast cancer cell line [12], it seemed to express dim HER2 on phenotypic analysis of the breast cancer cell lines in this study (Fig. 5b). This may explain why trastuzumab did not promote the cytotoxicity of PB NK cells but somewhat enhanced the cytotoxicity of liver NK cells toward MDA-MB468. Nevertheless, these observations suggest the involvement of HER2/trastuzumab-mediated ADCC. Despite the strong cytotoxicity exhibited by IL-2-stimulated donor liver NK cells, their cytotoxicities toward one-haplotype identical allogeneic and autologous lymphoblasts were negligible (data not shown).

TRAIL and perforin are involved in the cytotoxicity of NK cells against breast cancer cells

To determine the contribution of TRAIL to the cytotoxicity of NK cells against breast cancer cells, the effect of a neutralizing anti-TRAIL mAb was examined in a cytotoxicity assay with PB and liver NK cells as effectors and MDA-MB231 cells as the target. Both PB and liver NK cell-induced cytotoxicity was inhibited partially by the anti-TRAIL mAb alone and more profoundly by the combination of the anti-TRAIL mAb and CMA, indicating that TRAIL and perforin are involved in NK cell-mediated cytotoxicity (Fig. 7). Remarkable levels of inhibition of NK cell-induced cytotoxicity were observed with anti-TRAIL mAb at 18-h culture when compared with those at 4 h. This finding is consistent with the results of the previous study with a mouse model demonstrating that death receptor-mediated NK cell kill needs longer incubation times than perforin-mediated NK cell kill [18].

NK cells were preferentially drawn by chemokines secreted from breast cancer cells, presumably through the CXCL10/CXCR3 axis

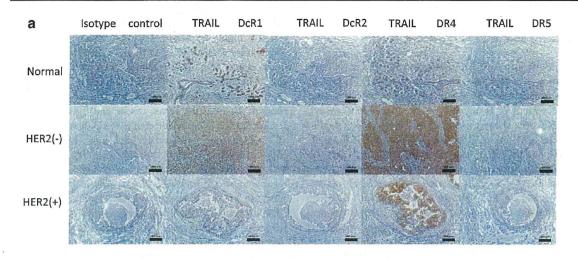
The distribution of NK cells is known to be associated with their expression of receptors and ligands for chemokines secreted from infectious or neoplastic sites [19–21]. We

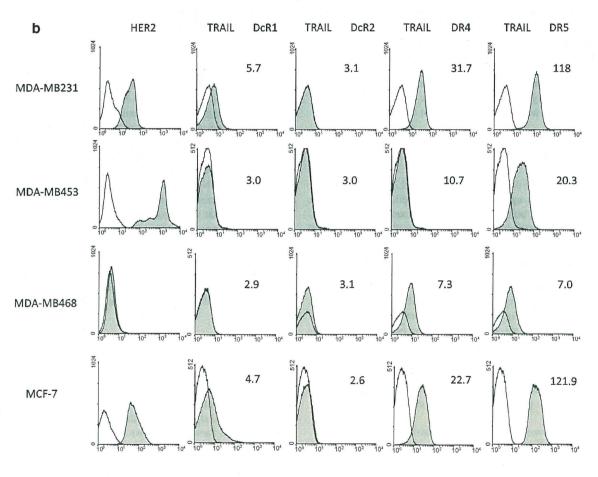
found that NK cells freshly isolated from PBMCs and LMNCs highly expressed CXCR3, which binds to the chemokines CXCL9, CXCL10, and CXCL11 secreted by breast cancer cells. IL-2 activation increased the levels of CXCR3 expression on both NK cell types (Fig. 8a, b). We further investigated the secretion activities of these various chemokines from the breast cancer cell lines. Significant levels of CXCL10 were detected in the culture supernatants of three of four breast cancer cell lines: MDA-MB231, MDA-MB453, and MDA-MB468 (Fig. 9a). PB or liver NK cells activated with IL-2 were cultured in the upper compartment of transwell tissue culture plates in the presence or in the absence of MDA-MB231 or MDA-MB468 cells in the lower compartment for 2 h. The migration of PB and liver NK cells through the membrane was markedly promoted by the presence of tumor cells in the lower compartment, suggesting that NK cells are preferentially drawn by chemokines secreted from tumor cells (Fig. 9b). Significant levels of CXCL10 were detected only in the culture supernatants in the lower compartment with MDA-MB231 (Fig. 9c), suggesting that the CXCL10/ CXCR3 axis plays an important role in the accumulation of NK cells in tumor sites. The MDA-MB231 cell line produced a lot more CXCL10 when PB NK cells were added (Fig. 9a, c), suggesting that soluble factors secreted from NK cells promoted the production of CXCL10 from this cell line. Taken together with the fact that CXCL10 is an IFN-y-inducible protein [22] and that IL-2 augments the active production of IFN-y from NK cells, we could assume that IFN-y secreted from NK cells promotes CXCL10 production from the breast cancer cell line, which in turn accelerates the migration of CXCR3-expressing NK cells into the tumor site. Consistent with this hypothesis, the CXCL10 levels were well correlated with IFN-y levels in the culture supernatants of the cell migration assay (Fig. 9d). In addition, we directly confirmed that IFN-y promoted the production of CXCL10 from MDA-MB231 and MDA-MB468 in a dose-dependent manner (Fig. 9e, f).

Discussion

Human NK cells can be divided into the CD56^{bright} and CD56^{dim} subsets. These subsets have different phenotypic expression and may have different functions, although the direct functional significance of the expression levels of the CD56 antigen remains unknown. We previously demonstrated that CD56^{bright} NK cells, which constitutively express low levels of TRAIL, are abundant in the liver [10]. CD56^{bright} NK cells also constitutively express the high-affinity heterotrimeric IL-2R (IL-2R $\alpha\beta\gamma$) [23, 24]; hence, this subset has a high proliferative response to IL-2 and expand and survive through the upregulation of bcl-2 in







▼Fig. 5 Breast cancer cells express death-inducing TRAIL-DR4 but lack death-inhibitory TRAIL-DcR1 and TRAIL-DcR2. a Immunohistochemical expression of TRAIL-DcR1, TRAIL-DcR2, TRAIL-DR4, and TRAIL-DR5 in normal breast tissue and tumor sites of HER2 (+)- and HER2 (-)-type breast cancer tissues. Immunopathological findings are representative of three individual samples in each breast cancer category. Magnification ×200. Scale bar 100 µm. b Surface expression of HER2 and TRAIL receptors on the surface of MDA-MB231, MDA-MB453, MDA-MB468, and MCF-7 was analyzed by FCM. Dotted lines represent negative control staining with isotype-matched mAbs. Numbers indicate the mean fluorescence intensity (MFI) of cells that stained positively for HER2 and TRAIL receptors. TRAIL, TNF-related apoptosis-inducing ligand; FCM flow cytometric, mAb monoclonal antibody, TNF tumor necrosis factor

vitro in response to IL-2 [25, 26]. In contrast, resting CD56^{dim} NK cells, which express IL-2R $\beta\gamma$ only, show almost no proliferation in response to even high doses of IL-

2 in vitro [23, 26]. In this study, CD56 bright NK cells exclusively survived and significantly upregulated TRAIL expression after in vitro cultivation of both PBMCs and LMNCs with IL-2 (Fig. 2). IL-2 stimulation also increased the surface expression of inhibitory receptors such as the KIR, including CD158a/158b and C-type lectin-like receptors (the CD94/NKG2 complex). CD94, which is expressed on essentially all NK cells, uses HLA-E expression as a sensor for the overall HLA class I level of a cell. In contrast, individual KIR family members are expressed on certain NK cell subsets and exhibit finer specificity for HLA class I allotypes and can distinguish between groups of HLA-A, HLA-B, and HLA-C allotypes. Ligation of such KIRs/CD94 to HLA class I molecules on self cells results in inhibition of NK cell cytotoxic activity, as originally predicted by the "missing-self" hypothesis [1, 27]. This regulation ensures

Fig. 6 Liver NK cells showed more vigorous cytotoxicity against breast cancer cell lines compared with PB NK cells. Cytotoxic activities of NK cells isolated from IL-2-stimulated PBMCs and LMNCs with or without trastuzumab against target cells (MDA-MB231, MDA-MB453, MDA-MB468, and MCF-7) were analyzed by the 51Cr release assay. NK cells were isolated from PBMCs and LMNCs after stimulation with IL-2 for 5 days by magnetic sorting (purity > 90%). Data represent the mean \pm SEM of values from triplicate samples and represent four similar experiments

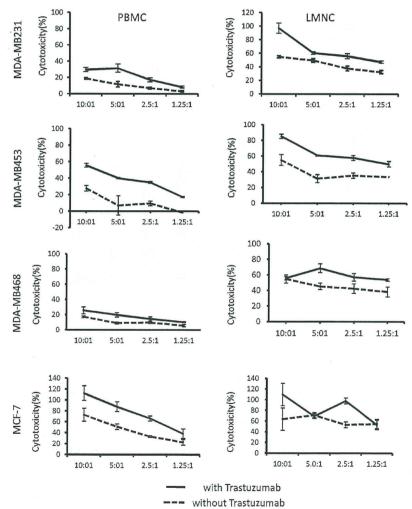
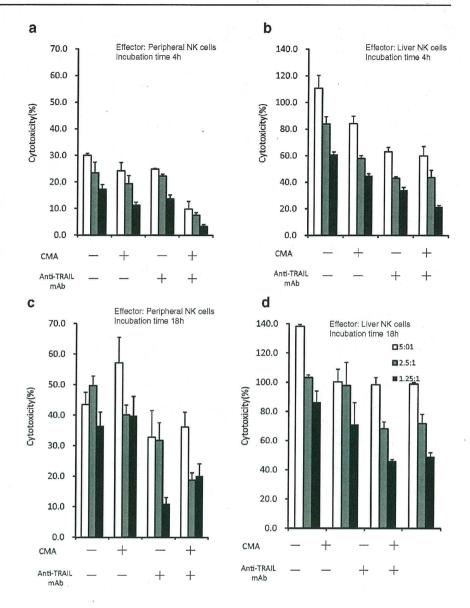




Fig. 7 NK cell-induced cytotoxicity was inhibited partially by the anti-TRAIL mAb alone and more profoundly by the combination of anti-TRAIL mAb and CMA. Isolated PB and liver NK cell populations were used as effector cells (E) in assays of cytotoxicity against the target (T) MDA-MB231 human breast cancer cell line. Cytotoxicity assays were performed at an El T ratio of 10:1, 5:1, or 2.5:1 in the presence or in the absence of anti-TRAIL (N2B2) mAb (10 µg/ml) and/or concanamycin A (CMA) (50 nmol/l). Data are the average ± SEM values from triplicate samples and represent four similar experiments, in which five different donor individuals were used (the results of four other experiments are shown in Supplementary Figures 1 and 2). Error bars not shown appear within the data point. NK natural killer, TRAIL tumor necrosis factor-related apoptosis-inducing ligand, mAb monoclonal antibody, FasL Fas ligand, El T effector-to-target, CMA concanamycin A



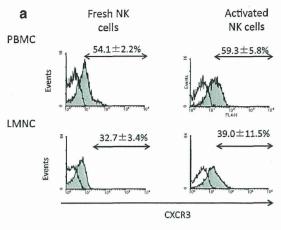
that cells expressing none, altered, or reduced MHC-I molecules, such as malignant or virus-infected cells, are eliminated by NK cells. The modulated expression of KIRs/CD94 by IL-2 is likely associated with the changed cytotoxic target-discriminating ability of NK cells upon their exposure to IL-2.

The significantly upregulated TRAIL expression on the IL-2-stimulated NK cells implies that they have the ability to target cancer cells expressing death-inducing receptors. TRAIL is a member of the TNF superfamily, which includes TNF and FasL [28]. The expression of TNF and FasL leads to damage of normal tissues in addition to their proapoptotic

effect on transformed cells [29, 30], limiting their clinical applications. Conversely, TRAIL selectively induces apoptosis in transformed cells but not in most normal cells [28, 31, 32], making it a promising candidate for tumor therapy. However, intravenous delivery of recombinant TRAIL has met with problems, including a short pharmacokinetic half-life [32], necessitating frequent and high doses to produce the desired effect. The use of TRAIL-expressing NK cells as a delivery vector might promise both targeted and prolonged delivery of this death ligand.

TRAIL binds DR4 and DR5, leading to the formation of the death-inducing signaling complex and the





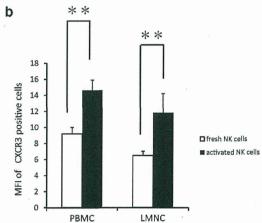


Fig. 8 CXC chemokine receptor 3 (CXCR3) expression was significantly upregulated on both liver and PB NK cells after cultivation with IL-2. a Histograms representing the log fluorescence intensities for CXCR3 expression on freshly isolated and IL-2-stimulated liver and PB NK cells. Dotted lines represent negative control staining with isotype-matched mAbs. Numbers (mean ± SEM) indicate the percentages of cells in each group that were positive for CXCR3 expression (PBMCs; n = 6, LMNCs; n = 7). Histogram profiles are representative of independent experiments. b Numbers indicate the mean fluorescence intensity (MFI) of cells that stained positively for CXCR3 on liver and PB NK cells (fresh NK cells open column, activated NK cells closed column). Data represent mean ± SEM (PBMCs; n = 6, LMNCs; n = 7). Statistical analyses were performed using the paired Student's t test (**P < 0.01). NK natural killer, LMNC liver mononuclear cell, PBMC peripheral blood mononuclear cell, mAb monoclonal antibody

Fas-associated protein with death domain. In turn, these complexes recruit caspase-8 (or caspase-10), which plays an important role in apoptosis induction either by direct activation of downstream effector caspases (caspase-3, caspase-6, and caspase-7) or by cleaving apoptotic molecules (Bcl-2 and Bcl-xL), resulting in further activation of the caspase-9 complex [33]. In this study, breast cancer cells of clinical

samples showed much higher expression of TRAIL-DR4 than normal mammary glands but exhibited little TRAIL-DcR1, regardless of HER2 type. Similarly, all the tested breast cancer cell lines expressed TRAIL-DR4 but not TRAIL-DcR1 and TRAIL-DcR2, regardless of their HER2-status, suggesting that they are susceptible to TRAIL-induced apoptosis.

We tested various breast cancer cell lines to evaluate their susceptibility to NK cell-mediated cytotoxicity. Notably, liver NK cells showed more vigorous cytotoxicity against all the tested cell lines than did PB NK cells (Fig. 6), although the underlying mechanism remains unclear. The contribution of TRAIL to NK cell cytotoxicity was determined using the neutralizing anti-TRAIL mAb (Fig. 7). Trastuzumab addition remarkably enhanced the cytotoxicity of both NK cell types toward HER2-overexpressing breast cancer cell lines, indicating that HER2/trastuzumab-mediated ADCC was involved. As ADCC requires the activation and engagement of the CD16 FcγR on NK cells by Ab-coated targets, CD56^{dim} NK cells, which highly express CD16 (Fcy receptor III), are generally thought to exhibit greater levels of ADCC than do the CD56^{bright} subset [34]. On the other hand, the majority of CD56bright NK cells expanded after activation with IL-2 expressed CD16 and efficiently mediated ADCC [20], explaining the HER2/trastuzumab-mediated ADCC observed in this study.

The cytotoxic ability of NK cells against cancer cells presumably requires contact between NK cells and their target cells. In general, NK cells are detected infrequently in tumors and their presence in the infiltrate consistently correlates with a good prognosis and increased patient survival [35, 36]. Chemokines acting on CXCR3 and CX3CR1 are considered major determinants of NK cell infiltration. CX3CR1 expression in gastric adenocarcinoma samples directly correlates with the number of NK cells infiltrating the tumor, and patients with higher CX3CL1 levels had a significantly better prognosis than patients with low CX3CL1 levels [37]. Similarly, our in vitro demonstration that the CXCL10/CXCR3 axis plays a role in the attraction between activated NK cells and breast cancer cells suggests that this chemokine system recruits NK cells to cancer cell sites and elicits antitumoral responses. In addition, we proposed a novel mechanistic paradigm in which IFN-γ secreted from NK cells promotes the production of CXCL10 from breast cancer cells, which in turn further accelerates the migration of CXCR3expressing NK cells into the tumor site (Fig. 10).

Given the efficacy of NK cells to selectively eliminate abnormal cells, a variety of approaches have been taken to selectively augment NK cell response to tumors [38, 39]. Several therapeutic cytokines primarily act through NK cells (e.g., IL-2, IL-12, IL-15, and IFNs), and many studies have shown that activation of NK cell differentiation and



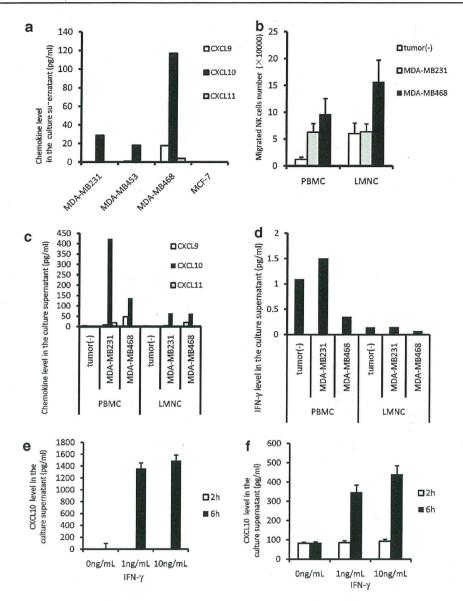
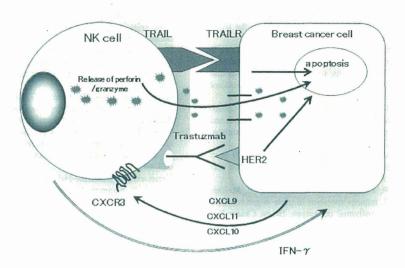


Fig. 9 NK cells were preferentially drawn by chemokines secreted from breast cancer cells, presumably through the CXCL10/CXCR3 axis. a Levels of various chemokines (CXCL9, CXCL10, and CXCL11) in the culture supernatants of breast cancer cell lines (MDA-MB231, MDA-MB453, MDA-MB468, and MCF-7) were analyzed using CBA Flex Sets. Supernatants were collected after 2 days of cultivation. b Migration assays were performed in transwell culture inserts with 3-μm pore filters. MDA-MB231 and MDA-MB468 cell lines were cultured in the lower chamber of the plate for 2 days and IL-2-activated NK cells from PBMCs and LMNCs were added to the upper chamber. After 2 h, the migrated NK cells were counted. Results are presented as mean migrated cell numbers ± SEM (n = 3). c Levels of chemokine in the medium of lower chambers in the migration assays described above were

measured by CBA assay. Results are the average \pm SEM values from triplicate samples and represent three individual experiments. d Levels of IFN- γ in the medium of lower chambers in the migration assays described above were measured by ELISA. The results are the average \pm SEM values from triplicate samples and represent three individual experiments. e MDA-MB231 cells were cultured with various doses of IFN- γ for 2 and 6 h, and the levels of CXCL10 in the medium were measured by CBA assay. Results are the average \pm SEM values from triplicate samples and represent three individual experiments. f MDA-MB468 cells were cultured with various doses of IFN- γ for 2 and 6 h, and the levels of CXCL10 in the medium were measured by CBA assay. Results are the average \pm SEM values from triplicate samples and represent three individual experiments





Enhancement

Fig. 10 Mechanistic paradigm of interaction between NK and breast cancer cells. IFN-γ secreted from NK cells promotes the production of CXCL10 from breast cancer cells, which in turn further accelerates migration of CXCR3-expressing NK cells into the tumor site. Migrated NK cells kill breast cancer cells by either of the two major mechanisms that require direct contact between NK cells and target

cells. In the first, cytoplasmic granule toxins, perforin, and granzymes are secreted by exocytosis and together induce apoptosis of the target cell. The second mechanism involves the engagement of death receptors on target cells by expressing of their cognate ligands (TRAIL) on NK cells, resulting in apoptosis of the target cells

function leads to more efficient elimination of tumor growth 9 [40-44]. Despite these promising advances, the systemic administration of cytokines, such as IL-2, which non-specifically activate a broad range of immune cell types, is associated with significant toxicity [40, 45]. Recent animal experiments have demonstrated the ability of adoptive transfer of NK cells to mount a therapeutic antitumor response [46, 47], and translational clinical research suggests that NK cells are useful for controlling human malignancy [48-50]. Our results have proven that PB NK cells can kill breast cancer cells and liver NK cells can hinder metastasis of breast cancer to the liver, which suggests the potential therapeutic use of NK cells, i.e., by either activation of endogenous NK cells or adoptive transfer of in vitro-activated autologous NK cells. Although liver NK cells displayed higher cytotoxicity than PB NK cells, no clinically applicable method for obtaining liver. NK cells from patients with breast cancer has yet been established. Alternatively, locally infusing IL-2 into the liver through the portal vein likely activates endogenous liver NK cells, which in turn might infiltrate or accumulate to the tumor site probably through the CXCL10/CXCR3 axis.

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Conflict of interest None.

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特集

肝癌の診療 Up to date

肝癌再発予防

Prevention for recurrence after curative treatment for hepatocellular carcinoma

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肝細胞癌の高率な再発には癌転移再発と多中心性発癌という再発形式が複雑に関与している。肝細胞癌の根治療法後の再発を予防する目的で行われる補助療法として、インターフェロン療法は一定のサブグループの予後に寄与すると考えられるが、進行肝細胞癌に対して転移再発を抑制する有効な治療法はいまだ確立されていない。肝移植後には転移再発が重要だが、免疫抑制状態や肝炎ウィルスへの対策も重要である。分子生物学的あるいは免疫学的機序を応用した新たな治療戦略を期待する。

はじめに

肝細胞癌は根治療法を施しても再発の多い癌である。肝細胞癌の特殊性として、癌転移再発に加えて背景に高頻度に存在する B 型肝炎ウィルスや C 型肝炎ウィルス感染による障害肝からの多中心性発癌がある。このことが肝細胞癌の初期治療ならびに再発予防戦略を複雑にしていると考えられる。

肝細胞癌に対する治療法の選択に関して、科学的根拠に基づく肝癌診療ガイドライン(2009年版)によると肝障害度、腫瘍数、腫瘍径の3因子を基に設定され、肝切除、局所療法、肝動脈塞栓療法、肝動注化学療法、肝移植、緩和ケアが推奨されている".これらの治療法のうち根治が期待できる治療法には肝切除、局所療法、肝移植が位置づけられるが、根治療法後の再発率は高く、再発を予

防する目的で行われる補助療法として確立された ものはない. 再発を予防する目的で行う補助療法 は, 再発高危険群に対して行うべきであり, 補助 療法にはそれぞれの危険因子に応じた戦略が必要 と考えられる.

本稿では、肝細胞癌に対する根治療法後の再発 予防の現状と今後の展望について述べる.

I. 肝細胞癌の再発危険因子

肝細胞癌根治療法後の再発危険因子としては、 Stage 分類,脈管侵襲,腫瘍数,腫瘍径,被膜形成,肝機能などがあげられる²¹³¹.これらのうち Stage 分類,脈管侵襲,腫瘍数,腫瘍径,被膜形成は主に転移再発に関与していると考えられるが,肝機能に関しては主に多中心性発癌に関与し

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ていると考えられる。補助療法にはそれぞれの危険因子に応じた戦略が必要であり、高率に転移再発が疑われる症例に対しては転移再発を予防可能な治療が必要である。また HCVや HBV 陽性肝細胞癌のように多中心性発癌による再発が予想される症例には、積極的なウィルス駆除療法を含めた肝庇護療法が合理的と考えられる。

一方で肝細胞癌に対する肝移植術後の再発には、脈管侵襲と腫瘍分化度が重要な位置を占める¹⁵³. 肝移植は背景肝と肝癌を同時に治療できる治療法であり、背景肝因子は再発に大きく影響しないと考えられる. 肝移植後早期の再発はほとんどの場合、術前にすでに存在した微小転移の増大、あるいは循環血液中の腫瘍細胞が着床して生じる転移再発と考えられ、抗腫瘍効果を期待した治療戦略が求められる. 一方で免疫抑制剤の使用が必須でありまた肝炎ウィルス陽性例では肝移植後の肝炎ウィルス再燃が危惧されるため、これらに対する対策も重要となる.

II. 術前補助療法

1. 術前肝動脈(化学)塞栓療法(transcatheter arterial embolization/chemoembolization: TAE/TACE)

経肝動脈的に塞栓物質を投与するあるいは抗癌 剤を併用する方法が肝動脈(化学)塞栓療法として 切除不能肝細胞癌に対して行われてきた. 2002 年に報告された2件のRCTの結果から、切除不 能な肝細胞癌に対してTAE/TACE は対症療法 と比較して抗腫瘍効果および生存率向上に寄与す るとされる⁶⁹⁷。肝癌診療ガイドラインでは、「肝 障害度 A, Bの進行肝細胞癌(手術不能で,かつ穿刺局所療法の対象とならないもの)に対する治療法として推奨」されている。切除可能な肝細胞癌に対しては,TAE/TACEを術前化学療法として肝切除や穿刺局所療法の前に,最近では肝移植の術前に行われている。

1) 肝切除術前

肝切除術前 TAE/TACE に関する論文は多数 みられ、予後改善効果があるとするものと無効とするものが複数存在する。これまでに3件のランダム化比較試験(RCT)が報告されたが⁸¹⁻¹⁰、いずれの試験でも主要評価項目である無再発生存を延長する結果は得られなかった(表1).対象や方法が異なってはいるが、これまでの報告では否定的な結果に終わっている。

2) 穿刺局所療法前

肝癌診療ガイドラインでは、「Child 分類の A またはBの肝機能, 腫瘍径3cm以下, 腫瘍数3 個以下」を穿刺局所療法のよい適応としている. 従来は経皮的エタノール注入療法(PEI)が、最近 ではラジオ波焼灼療法(RFA)が中心に行われて いるが、肝切除に比較していずれも局所再発が多 い傾向にある. 穿刺局所療法の前に TAE/TACE を組み合わせた併用療法が試みら れており、穿刺局所療法単独と比較した RCT が これまでに2件報告されている110120.1件は併用 療法と PEI 単独療法の比較¹¹⁾, 1件は併用療法と PEI あるいは RFA 単独療法の比較であり¹²⁾, 併 用療法は PEI 単独に対しては局所再発を有意に 抑制したが、RFA 単独に対しては局所再発に有 意な差はみられなかった. いずれの試験も生存率 の改善には寄与せず, ガイドラインで推奨される

表 I 肝切除術前 TACE に関するランダム化比較試験

著 者	Year	症例	腫瘍径	抗癌剤	5 年生存率 (vs control)	5 年無再発生存率 (vs control)	結果
Wu	1995	52	10 cm以上	EPI	40% vs 50% (3yr)	32% vs 60% (3yr)	無効
Yamasaki	1996	97	2 cm ≤, ≤ 5 cm	CDDP	63% vs 62%	39% vs 31%	無効
Zhou	2009	108	5 cm 以上	CDDP, MMC, FU	30.7% vs 21.1%	12.8% vs 8.9%	無効

 ${\tt EPI:epirubicin\ CDDP:cisplatin\ MMC:mitomycin\ C\ FU:fluorouracil}$

には至っていない。

3) 肝移植術前

肝移植後の肝細胞癌再発を予防する目的で, 術 前に肝細胞癌に対する治療を行うことが予後を改 善するのかどうかは結論が得られていない。これ までに肝移植前の TACE が肝細胞癌の再発を抑 制するのかを論じた報告が複数みられるが、いず れもレトロスペクティブな検討であり、RCT は まだ報告されていない、Maino らは、肝細胞癌 に対する肝移植患者111例の検討で, 術前 TACE 群(54例)と術前無治療群(57例)の無再発生存率に 差を認めなかったと報告している¹³⁾. Decaens ら は多施設共同症例対照研究により、術前 TACE 群100例と術前無治療群100例を比較したが、5 年生存率でそれぞれ59.4%, 59.3%, 5年無再発 生存率でそれぞれ69.3%, 64.1%と有意な差を認 めなかったと報告している10. TACE によく反 応した症例では肝移植後の予後も良いという報告 や. 肝移植待機中のドロップアウトを減らすため に術前に TACE を行うことは有用であるという 報告もあるが, 肝細胞癌の無再発生存率や生存率 に寄与するエビデンスはない15116).

III. 術後補助療法

1. ウィルス性肝炎合併例に対する抗ウィルス療法

C 型慢性肝炎,代償性 C 型肝硬変患者の発癌 予防にはインターフェロン療法によるウィルス駆

除が有用であることが示されている。 肝細胞癌は 高率にウィルス性肝炎を合併しており、根治療法 後にウィルス排除ないし炎症の改善、さらに肝細 胞癌再発抑制を目的としてインターフェロン療法 が行われ, これまでに肝細胞癌根治療法後のイン ターフェロン療法に関する RCT が7件報告され ている¹⁷⁾⁻²³⁾(表 2). Shiratori らは74例の HCV 陽 性でかつ腫瘍個数3個以下の肝細胞癌患者に対し て PEI を行った後に、49例にインターフェロン 療法を行った. 再発率に差はみられなかったが, インターフェロン療法は有意に生存率を改善させ た¹⁹⁾. しかし Mazzaferro らは150例の HCV 陽 性肝細胞癌に肝切除を行った後に、76例にインタ ーフェロン療法を行ったが、5年無再発生存率が 24.3%とコントロール群の5.8%と有意な差がなか ったと結論している²²⁾. それぞれの RCT からは 肝細胞癌根治療法後のインターフェロン療法は再 発抑制効果や生存率の改善がみられたとする報告 や,一定のサブグループにのみ効果がみられたと する報告がある. しかし複数のメタアナリシス論 文でいずれもインターフェロン療法が再発抑制お よび予後延長に効果があるとする結果であっ た24)25)

これらの報告はインターフェロン単剤療法によるものであるが、現在では C型肝炎に対してはペグインターフェロン・リバビリン併用療法が標準治療となっている。ペグインターフェロン・リバビリン併用療法は単剤療法に比べてより高い著効率を示しており、根治療法後の補助療法として

著 者	Year	症例	対 象	IFN	5 年生存率 (vs control)	5 年再発率 (vs control)	結 果
Ikeda	2000	20	HCV	IFN beta	ND	0% vs 100% (2yr)	有効(再発)
Kubo	2002	30	HCV	IFN alpha	ND	ND	有効(再発)
Shiratori	2003	74	HCV	IFN alpha	68% vs 48%	82% vs 92%	有効(生存)
Lin	2003	30	HBV, HCV	IFN alpha	ND	40% vs 90% (4yr)	有効(再発)
Sun	2006	236	HBV	IFN alpha	63.8 m vs 38.8 m*	31.2 m vs 17.7 m*	有効(生存, 再発)
Mazzaferro	2006	150	HCV	IFN alpha	ND	24.3% vs 5.8% §	無効
Lo	2007	80	HBV	IFN alpha	79% vs 61%	ND	無効

表 2 肝細胞癌根治療法後インターフェロン療法に関するランダム化比較試験

IFN:インターフェロン ND: not described *生存期間中央値 [§]無再発生存率

の成績も報告がみられる。 広島大学病院では現在 HCV 陽性肝細胞癌に対して肝切除後にペグイン ターフェロン・リバビリン併用療法を積極的に行 っており、5年生存率で91.7%とヒストリカルコ ントロールの50.6%に比べて有意に良好であっ た²⁶. また最近 HCV genotype 1 のタンパク分解 酵素阻害薬である Telaprevir に関する RCT の 結果が2件報告された。ADVANCE 試験では、 治療歴のない HCV genotype 1 の肝炎患者1,088 例に対してペグインターフェロン・リバビリン療 法(PR群)に対する Telaprevir の上乗せ効果を 検討している。ウィルス消失率(Sustained virological response: SVR)において PR 群44%に対 して Telaprevir 併用群 (T12PR 群:Telaprevir 12週併用, T8PR 群: Telaprevir 8 週併用)では 75%, 69%と著明な上乗せ効果を認めた20. 一方, REALIZE 試験では、治療歴のある HCV genotype 1 の肝炎患者663例に対してペグインターフ ェロン・リバビリン療法(PR群)に対する Telaprevir の上乗せ効果を検討している. PR 群 17%に対して Telaprevir 併用群(T12PR 群: Telaprevir12週併用, lead-in T12PR48群:PR 4 週後に Telaprevir12週併用)では64%, 66%と 著明な SVR の改善を認めた²⁸⁾. 今後 HCV genotype 1 型に対して Telaprevir 併用療法が標準治 療となる可能性があり、肝細胞癌根治療法後にも 応用されることが期待される.

一方, B型肝炎においては核酸アナログ製剤の有効性が明らかとなり, RCTでラミブジンが B型慢性肝炎からの発癌を抑制することが証明されている²⁰⁾. 核酸アナログ製剤は, B型肝炎ウィルスの増殖を抑制し, 肝の炎症を沈静化させ, 肝線維化を寛解させる. 根治療法後の補助療法としてのエビデンスはないが, 今後治療後の再発抑制を目的とした使用法も注目される.

2. 術後補助化学療法

一般に肝細胞癌は抗癌剤の感受性が低く、切除 不能な進行肝細胞癌に対する全身化学療法の奏効 率は20%以下と報告されている。加えて背景に障 害肝が併存することが多く、十分な投与量が確保できない、あるいは肝機能増悪のために予後を悪化させるなど複雑な側面を持つ。したがって切除不能な肝細胞癌においても、ソラフェニブの登場までは有効性が証明された標準治療といえるものはなかった。一方、肝動注化学療法は、肝細胞癌局所に高濃度の抗癌剤を投与できることと、全身への抗癌剤濃度が低く抑えられるために全身の副作用が低く抑えられると考えられている。

肝細胞癌根治療法後に転移再発を抑制する目的 で多種多様な補助療法が考案され、これまでに小 規模ながら多数の RCT の結果が報告されてい る301-411(表3). 経口投与,経静脈投与,経肝動脈 投与の単独あるいは複数の投与経路の併用など多 様な方法が試みられている. 経口投与に関しては 2つの RCT で有効性が否定されたが、最近小規 模な RCT ではあるが Capecitabine が再発を抑 制する可能性が示された30)-32), 経静注投与として 有効性が示されたレジメンはなく, 肝機能不良例 ではむしろ予後を悪化させたとの報告もある35)36). 最も多く用いられているのは経肝動脈経路であ り、メタアナリシス論文では肝動注化学療法の有 効性が示されている™. それぞれが単一施設から の少数例の報告で、レジメンがさまざまであるこ とから十分なエビデンスはないが、その中でも門 脈腫瘍栓を伴う進行肝細胞癌に対象を絞った RCT では、再発を抑制あるいは生存率に寄与し たとする結果がみられる³³⁾³⁹⁾⁴¹⁾。 肝細胞癌は経門 脈的に進展すると考えられており、門脈腫瘍栓合 併例は肉眼的に根治切除したとしても高率に転移 再発する症例が多いため、積極的な肝動注化学療 法の意義がより大きいと考えられる。このように 転移再発の高リスク群に対して適切にデザインさ れた多施設共同研究により, さらなる検討が必要 である.

分子標的薬ソラフェニブは、血管新生因子受容体型チロシンキナーゼである VEGF レセプターや PDGF レセプター、MAP キナーゼカスケードのセリンスレオニンキナーゼ Raf を選択的に抑制する。欧米を中心に行われた多施設共同大規

表 3 肝細胞癌根治療法後化学療法に関するランダム化比較試験

30 川崎田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田								
著者	Year	症例	対 象	治療内容	5 年生存率 (vs control)	5 年無再発生存率 (vs control)	結 果	
経口抗癌剤								
Yamamoto	1996	76	StageII	経口 HCFU vs 無治療	ND	ND	肝機能良好例で 有効	
Hasegawa	2006	159	Child A/B, TT(-)	経口 UFT vs 無治療	58% vs 73%	29% vs 29%	無効	
Xia	2010	60	Child A, 3個 以内, TT(-)	経口 capecitabine vs 無治療	62.5% vs 39.8%	46.7% vs 23.3%	有効(再発抑制)	
静注・肝動注								
Izumi	1994	50	TT(+) and/or IM(+)	肝動注(DXR, MMC) vs 無治療	50.3% vs 28.8%	32.0% vs 11.7%	有効(再発抑制)	
Kohno	1996	88	治癒切除	経口 UFT+肝動注 EPI vs 経口 UFT	30% vs 35%	17% vs 14%	無効	
Ono	1997	58	Child A/B, 治 癒切除	肝動注 EPI+静注 EPI+ 経口 HCFU vs 無治療	31.5% vs 57.1%	32.0% vs 22.5%	無効	
Lai	1998	66	治癒切除	静注 EPI+肝動注 CDDP vs 無治療	ND	18% vs 48%	有害(再発增加)	
Kwok	2003	40	Child A/B	肝動注 CDDP 4 コース vs 1コース	40% vs 55% (3yr)	40% vs 44% ^(3yr)	無効	
Shuqun	2004	57	治癒切除	肝動注(CDDP, EPI, M M C) + 皮 下 注 thymosin alphal vs 肝 動注 vs 無治療	10 m vs 7 m vs 8 m*	7 m vs 5 m vs 4 m*	有効	
Tanaka	2005	15	Vp4 or IM3	肝動注(CDDP, FU) vs 無治療	75% vs 25% (3yr)	19% vs 12.5% ^(2yr)	有効(生存延長)	
Zhong	2009	115	StageIII	肝動注 vs 無治療	22.8% vs 17.5%	9.3% vs 1.7%	有効	
Peng	2009	126	Child A, 3 個 以内, Vp4	肝動注(FU, DXR) vs 無治療	21.5% vs 8.5%	ND	有効(生存延長)	

TT:tumor thrombus IM:intrahepatic metastasis:ND:not described *生存期間中央値

 $HCFU \stackrel{\cdot}{\cdot} 1-hexylcarbamoyl-5-fluorouracil \\ UFT \stackrel{\cdot}{\cdot} uracil-tega fur \\ DXR \stackrel{\cdot}{\cdot} doxorubicin \\ MMC \stackrel{\cdot}{\cdot} mitomycin \\ C \quad EPI \stackrel{\cdot}{\cdot} epirubicin \\ DXR \stackrel{\cdot}{\cdot} doxorubicin \\ DXR \stackrel{\cdot}{\cdot} doxo$

CDDP: cisplatin FU: fluorouracil

模臨床試験である SHARP 試験において、ソラフェニブは進行肝細胞癌の予後を有意に延長することが2008年に発表された⁴³³. ソラフェニブは大規模な第 III 相試験で肝細胞癌の生存期間を有意に延長することが示された初めての薬剤である. 現在、肝細胞癌根治療法後の再発予防としてソラフェニブを投与するという大規模臨床試験が症例登録を終了し、結果が期待される (STORM trial).

その他の補助療法として、Takayama らは肝 細胞癌患者150例に対して肝切除術後の養子免疫 療法に関するランダム化比較試験を行い、再発率 を有意に抑制したと報告している 40 . またレチノイドが二次発癌を抑制することが示され、小規模な RCT ではあるが術後補助療法として有効性が報告されている 50 . Lau らは放射性同位元素を用いて 131 I-リピオドールを経肝動脈的に投与し、無再発生存率、生存率ともに有意に延長したと報告している 40 . しかしいずれも単一施設からの少数例の報告であり、推奨されるには至っていない。ビタミン K が二次発癌を抑制するとの報告があり、RCT が行われたが有意な再発抑制効果を証明できなかった 47 .

3. 肝移植後の補助療法

肝移植は肝機能因子により肝切除不能な肝細胞癌に対して最も効果の高い治療法であり、5年無再発生存率が60~80%に達する。肝移植は肝細胞癌の根治切除と背景に存在する障害肝を同時に治療できる理想的な治療であるが、移植後の免疫抑制が必須であり、かつウィルス性肝炎の再発など複雑な要素が関連して、現在のところ肝細胞癌に対する肝移植後の補助療法は確立されていない。こういった現状で、Toso らは治療戦略として以下の5点をあげている。

- ①循環腫瘍細胞が少ないレシピエントを選択する.
 - ②術中操作による播種を最小限にする,
- ③循環腫瘍細胞の肝内着床を防止する(虚血再 灌流障害は癌細胞の着床を促進する),
 - ④抗癌剤の使用(循環腫瘍細胞を死滅させる),
- ⑤癌細胞を排除できるよう免疫系を調節すること,

である⁴. したがって再発予防のための補助療法として、新規分子標的薬を含めた抗癌剤の導入や、免疫療法などが試みられている.

4. 肝細胞癌に対する肝移植後の再発予防としてのドナー肝由来活性化 NK 細胞療法: われわれの試み

肝移植後の肝細胞癌再発機構は、術前にすでに存在する癌細胞の肝外播種、あるいは手術操作に起因する癌細胞の物理的播種などが関連すると考えられる。肝移植後には免疫抑制剤の使用が不可欠であるが、これに伴う非特異的な生体防御機構の減弱のために、遺残する微量な癌細胞は排除されにくくなる。生体防御機構は自然免疫応答と獲得免疫応答からなるが、拒絶反応や免疫抑制療法に大きく影響を受けるのは獲得免疫応答である。そこでわれわれは、肝移植後に自然免疫応答を選択的に増強する制癌免疫療法の可能性について研究を重ねてきた。自然免疫応答をつかさどるNK細胞は、癌転移形成の初期段階に癌細胞を自己正常細胞から識別し、選択的に殺傷する能力を有す

る。自己の正常細胞に表出する MHC class I を 認識すると抑制性シグナル伝達により細胞傷害は 生じないが、癌細胞上に表出する変異 MHC classは NK 細胞に抑制性シグナルを伝達できず 傷害を受けると考えられている(missing-self theory). われわれは、ヒト肝臓内には大量のNK 細胞が含有され、末梢血由来の NK 細胞と異な り、IL-2 による刺激で強力な抗腫瘍分子(tumor necrosis factor-related apoptosis-inducing ligand, TRAIL:健常な細胞には影響せず腫瘍細 胞のみを選択的に標的にする分子)を誘導し得る ことを確認した4%。 さらに、術後再発率が高い中 ~低分化肝細胞癌は TRAIL 受容体(death receptors)を高発現し、TRAILを介した細胞死 が誘導されやすかった.マウス肝癌モデルにおい て、TRAIL 表出肝 NK 細胞を外来的に移入する ことで肝癌細胞の肝内生着を抑止することが可能 であった49)。肝移植の際にはドナーから摘出した 肝臓をレシピエントに移植する前に臓器保存液で 肝臓内血液を置換するために灌流を行うが、この 際に回収される灌流液から無菌操作で NK 細胞 を効率よく回収するシステムを開発した. 以上の 基礎研究に基づき, 広島大学病院倫理委員会の承 認(第414号)の下、肝癌症例に対する肝移植後の 癌再発予防を目的としたドナー肝由来活性化 NK 細胞療法を2006年1月より臨床導入した。現在 までに Stage II 以上の肝細胞癌合併肝硬変18例 に対し本治療を行い、安全性を確認し、経過観察 中である. 今後長期の経過観察が必要であるが, 現在のところ治療群で有意に再発が少ない傾向に ある. また本治療法を脳死肝移植へ適応拡大する 目的で, 現在われわれはマイアミ大学と共同研 究を行っている. 本治療法は米国において FDA 承認を得て、Phase I study が進行中である (Clinical Trial.gov#: NCT01147380).

おわりに

肝細胞癌の再発には癌転移再発と多中心性発癌 という再発形式が複雑に関与している. 術後のイ ンターフェロンを中心とするウィルス駆除療法は 一定のサブグループの補助療法として予後に寄与すると考えられるが,進行肝細胞癌に対して転移 再発を抑制する有効な治療法はいまだ確立されて いない。明らかになりつつある分子生物学的ある いは免疫学的機序を応用した新たな治療戦略を期 待する。

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