

Table 4. Factors Associated with Development of HCC After Achieving SVR

Risk Factor	Odds Ratio (95% CI)	P-value
Univariate analysis		
Age (by every 10 year)	3.2 (1.8-5.5)	<0.001
Sex		
Female	1	
Male	3.0 (1.0-8.8)	0.04
Fibrosis stage		
F0/F1/F2	1	
F3/F4	5.9 (2.5-14.0)	<0.001
Degree of steatosis		
<10%	1	
≥10%	5.5 (2.0-15.2)	0.001
BMI (by every 10 kg/m ²)	3.2 (0.8-12.6)	0.09
ALT (by every 10 IU/L)	0.9 (0.7-1.3)	0.7
AST (by every 10 IU/L)	1.1 (0.9-1.4)	0.3
Genotype		
Non-1	1	
1	1.2 (0.6-3.0)	0.5
HCV load (by every 100 KIU/mL)	0.9 (0.8-1.0)	0.2
IFN regimen		
IFN monotherapy	1	
IFN + RBV (24 W)	0.7 (0.2-2.3)	0.5
PEG-IFN monotherapy (48 W)	0.8 (0.2-3.6)	0.8
PEG-IFN + RBV	0.3 (0.03-2.0)	0.2
Multivariate analysis		
Age (by every 10 year)	2.7 (1.5-5.1)	0.002
Sex		
Female	1	
Male	4.1 (0.9-18.9)	0.06
Fibrosis stage		
F0/F1/F2	1	
F3/F4	2.6 (0.9-7.5)	0.08
Degree of steatosis		
<10%	1	
≥10%	5.6 (1.9-16.5)	0.002

Odds ratios for SVR were calculated by logistic regression analysis.

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; HCV, hepatitis C virus; IFN, interferon; HCC, hepatocellular carcinoma; PEG, pegylated; RBV, ribavirin; SVR, sustained virological response.

after completion of interferon therapy was similar between SVR and non-SVR patients in the older age group, and the risk for HCC remained for 9 years after eradication of HCV in our patients. Therefore, HCC patients with SVR who have a risk factor should be screened for at least 5-10 years after the completion of interferon therapy.

It has been reported that coffee consumption has a protective effect against hepatocarcinogenesis^{24,25} and liver disease progression in patients with chronic HCV infection.²⁶ Because we could not review coffee consumption in all the patients and fewer data were available in the previous literature as to whether a habitual change of reducing coffee consumption occurs in older patients, it is unclear whether increased risk for HCC in older patients is an effect of this habitual change in older patients. However, the majority (68%) of Japa-

nese patients who have HCV (n = 1058) drink less than 1 cup of coffee per day, and only 7.6% consume more than 3 cups of coffee per day.²⁷ Therefore, it is unlikely that a habitual change in older patients affects the increased risk for hepatocarcinogenesis in older patients.

Recently, it was reported that interferon therapy might be less effective in preventing HCC among patients with chronic hepatitis C who are positive for anti-HBc antibody,²⁸ but this finding is still controversial.^{29,30} In the present study, anti-HBc was only detected in 4 of 22 patients in whom HCC developed after viral eradication, and age distribution was similar among anti-HBc-positive and anti-HBc-negative patients. Because no significant difference in mean age was found between anti-HBc-positive and anti-HBc-negative patients in the recent study conducted in Japan,²⁸ it is unlikely that previous exposure to hepatitis B virus or occult hepatitis B virus infection is responsible for the difference in risk for HCC between younger and elderly patients found in the present study.

In conclusion, aging has become one of the most important risk factors for HCC. Even after stratification by stage of fibrosis, the risk for HCC after antiviral treatment was significantly higher in older patients, and HCV eradication had a smaller effect on HCC-free survival in older patients. Patients with HCV should therefore be identified at an earlier age and antiviral treatment should be initiated. The present results have potentially important clinical implications for physicians that may influence their decisions about the treatment strategy in individual patients.

References

- Parkin DM. Global cancer statistics in the year 2000. *Lancet Oncol* 2001;2:533-543.
- Di Bisceglie AM. Hepatitis C and hepatocellular carcinoma. *Semin Liver Dis* 1995;15:64-69.
- Llovet JM, Burroughs A, Bruix J. Hepatocellular carcinoma. *Lancet* 2003;362:1907-1917.
- Kiyosawa K, Sodeyama T, Tanaka E, Gigo Y, Yoshizawa K, Nakano Y, et al. Interrelationship of blood transfusion, non-A, non-B hepatitis and hepatocellular carcinoma: analysis by detection of antibody to hepatitis C virus. *HEPATOLOGY* 1990;12:671-675.
- Tanaka Y, Hanada K, Mizokami M, Yao AE, Shih JW, Gojobori T, et al. A comparison of the molecular clock of hepatitis C virus in the United States and Japan predicts that hepatocellular carcinoma incidence in the United States will increase over the next two decades. *Proc Natl Acad Sci U S A* 2002;99:15584-15589.
- U.S. Census Bureau. Population Projections. U.S. Interim Projections by Age, Sex, Race, and Hispanic Origin: 2000-2050. <http://www.census.gov/population/www/projections/usinterimproj/>. Page last modified: September 14, 2009.
- Yancik R. Population aging and cancer: a cross-national concern. *Cancer J* 2005;11:437-441.

8. Imai Y, Kawata S, Tamura S, Yabuuchi I, Noda S, Inada M, et al. Relation of interferon therapy and hepatocellular carcinoma in patients with chronic hepatitis C. *Ann Intern Med* 1998;129:94-99.
9. Ikeda K, Saitoh S, Arase Y, Chayama K, Suzuki Y, Kobayashi M, et al. Effect of interferon therapy on hepatocellular carcinogenesis in patients with chronic hepatitis type C: a long-term observation study of 1,643 patients using statistical bias correction with proportional hazard analysis. *HEPATOLOGY* 1999;29:1124-1130.
10. Yoshida H, Shiratori Y, Moriyama M, Arakawa Y, Ide T, Sata M, et al. Interferon therapy reduces the risk for hepatocellular carcinoma: national surveillance program of cirrhotic and noncirrhotic patients with chronic hepatitis C in Japan. *Ann Intern Med* 1999;131:174-181.
11. Bruno S, Stroffolini T, Colombo M, Bollani S, Benvegnù L, Mazzella G, et al. Sustained virological response to interferon-alpha is associated with improved outcome in HCV-related cirrhosis: a retrospective study. *HEPATOLOGY* 2007;45:579-587.
12. Veldt BJ, Heathcote EJ, Wedemeyer H, Reichen J, Hofmann WP, Zeuzem S, et al. Sustained virologic response and clinical outcomes in patients with chronic hepatitis C and advanced fibrosis. *Ann Intern Med* 2007;147:677-684.
13. Desmet VJ, Gerber M, Hoofnagle JH, Manns M, Scheuer PJ. Classification of chronic hepatitis: diagnosis, grading and staging. *HEPATOLOGY* 1994;19:1513-1520.
14. Hamada H, Yatsushashi H, Yano K, Daikoku M, Arisawa K, Inoue O, et al. Impact of aging on the development of hepatocellular carcinoma in patients with posttransfusion chronic hepatitis C. *Cancer* 2002;95:331-339.
15. Ohishi W, Kitamoto M, Aikata H, Kamada K, Kawakami Y, Ishihara H, et al. Impact of aging on the development of hepatocellular carcinoma in patients with hepatitis C virus infection in Japan. *Scand J Gastroenterol* 2003;38:894-900.
16. Miki D, Aikata H, Uka K, Saneto H, Kawaoka T, Azakami T, et al. Clinicopathological features of elderly patients with hepatitis C virus-related hepatocellular carcinoma. *J Gastroenterol* 2008;43:550-557.
17. Kumar D, Farrell GC, Fung C, George J. Hepatitis C virus genotype 3 is cytopathic to hepatocytes: reversal of hepatic steatosis after sustained therapeutic response. *HEPATOLOGY* 2002;36:1266-1272.
18. Monto A, Alonzo J, Watson JJ, Grunfeld C, Wright TL. Steatosis in chronic hepatitis C: relative contributions of obesity, diabetes mellitus, and alcohol. *HEPATOLOGY* 2002;36:729-736.
19. Moriya K, Fujie H, Shintani Y, Yotsuyanagi H, Tsutsumi T, Ishibashi K, et al. The core protein of hepatitis C virus induces hepatocellular carcinoma in transgenic mice. *Nat Med* 1998;4:1065-1067.
20. Koike K, Moriya K. Metabolic aspects of hepatitis C viral infection: steatohepatitis resembling but distinct from NASH. *J Gastroenterol* 2005;40:329-336.
21. Koike K, Moriya K, Matsuura Y. Animal models for hepatitis C and related liver disease. *Hepato Res* 2010;40:69-83.
22. Tokita H, Fukui H, Tanaka A, Kamitsukasa H, Yagura M, Harada H, et al. Risk factors for the development of hepatocellular carcinoma among patients with chronic hepatitis C who achieved a sustained virological response to interferon therapy. *J Gastroenterol Hepatol* 2005;20:752-758.
23. Toyoda H, Kumada T, Tokuda A, Horiguchi Y, Nakano H, Honda T, et al. Yon-Ken HCV-HCC Follow-up Study Group. Long-term follow-up of sustained responders to interferon therapy, in patients with chronic hepatitis C. *J Viral Hepat* 2000;7:414-419.
24. Larsson SC, Wolk A. Coffee consumption and risk of liver cancer: a metaanalysis. *Gastroenterology* 2007;132:1740-1745.
25. Bravi F, Bosetti C, Tavani A, Bagnardi V, Gallus S, Negri E, et al. Coffee drinking and hepatocellular carcinoma risk: a meta-analysis. *HEPATOLOGY* 2007;46:430-435.
26. Freedman ND, Everhart JE, Lindsay KL, Ghany MG, Curto TM, Shiffman ML, et al. Coffee intake is associated with lower rates of liver disease progression in chronic hepatitis C. *HEPATOLOGY* 2009;50:1360-1369.
27. Inoue M, Kurahashi N, Iwasaki M, Shimazu T, Tanaka Y, Mizokami M, et al. Effect of coffee and green tea consumption on the risk of liver cancer: cohort analysis by hepatitis virus infection status. *Cancer Epidemiol Biomarkers Prev* 2009;18:1746-1753.
28. Ikeda K, Marusawa H, Osaki Y, Nakamura T, Kitajima N, Yamashita Y, et al. Antibody to hepatitis B core antigen and risk for hepatitis C-related hepatocellular carcinoma: a prospective study. *Ann Intern Med* 2007;146:649-656.
29. Stroffolini T, Almasio PL, Persico M, Bollani S, Benvegnù L, Di Costanzo G, et al. Lack of correlation between serum anti-HBcore detectability and hepatocellular carcinoma in patients with HCV-related cirrhosis. *Am J Gastroenterol* 2008;103:1966-1972.
30. Hiraoka T, Katayama K, Tanaka J, Ohno N, Joko K, Komiya Y, et al. Lack of epidemiological evidence for a role of resolved hepatitis B virus infection in hepatocarcinogenesis in patients infected with hepatitis C virus in Japan. *Intervirology* 2003;46:171-176.

Review Article

Predictors of Virological Response to a Combination Therapy with Pegylated Interferon Plus Ribavirin Including Virus and Host Factors

Namiki Izumi, Yasuhiro Asahina, and Masayuki Kurosaki

Department of Gastroenterology and Hepatology, Musashino Red-Cross Hospital, Kyonancho 1-26-1, Musashinoshi, 180-8610 Tokyo, Japan

Correspondence should be addressed to Namiki Izumi, nizumi@musashino.jrc.or.jp

Received 25 April 2010; Revised 29 June 2010; Accepted 19 July 2010

Academic Editor: Ming-Lung Yu

Copyright © 2010 Namiki Izumi et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

A combination therapy with pegylated interferon (PEG-IFN) plus ribavirin (RBV) has made it possible to achieve a sustained virological response (SVR) of 50% in refractory cases with genotype 1b and high levels of plasma HCVRNA. Several factors including virus mutation and host factors such as age, gender, fibrosis of the liver, lipid metabolism, innate immunity, and single nucleotide polymorphism (SNPs) are reported to be correlated to therapeutic effects. However, it is difficult to determine which factor is the most important predictor for an individual patient. Data mining analysis is useful for combining all these together to predict the therapeutic effects. It is important to analyze blood tests and to predict therapeutic effects prior to initiating treatment. Since new anti-HCV agents are under development, it will be necessary in the future to select the patients who have a high possibility of achieving SVR if treatment is performed with standard regimen.

1. Progress in Virological Response in the Difficult-to-Treat Patients with Genotype 1 Hepatitis C Virus (HCV) Infection and Factors Correlated to the Efficacy

Recently, the average age of the patients with chronic hepatitis C has been increasing in Japan. Incidence of hepatocellular carcinoma (HCC) in the elderly patients with chronic hepatitis C (65 years or older) has demonstrated to be higher than younger ones when adjusted by the stage of hepatic fibrosis [1]. In Japan, refractory cases with genotype 1b and high HCVRNA levels are seen in as high as 70 percent of chronic hepatitis C patients. The outcome of conventional IFN monotherapy has been an SVR response of 3%–5% after 6 months of treatment in genotype 1b and high HCVRNA patients [2, 3], and virus mutation such as interferon sensitivity-determining region (ISDR) is shown to be correlated with the virological response [2]. The association of ISDR mutations and virological response to IFN monotherapy was denied in an Italian study [4];

however, it was confirmed by a Chinese study [5] and an international meta-analysis [6].

However, pegylated IFN (PEG-IFN) extends the duration of therapy and reduces adverse effects, and for this reason, PEG-IFN has become the cornerstone of therapy. Furthermore, by the combination therapy with PEG-IFN and ribavirin (RBV), the rate of SVR has dramatically improved. Even in the patients with genotype 1b and high HCVRNA level, SVR rate reaches as high as 40%–50%, thereby improving the therapeutic effects both in Western countries [7, 8] and in Japan [9, 10].

It is important to predict the rate of achieving SVR in the individual patient, before initiating treatment. Both virus- and host-related elements have been reported as factors correlated to therapeutic effects of combination therapy [11–13]. A particular focus has been placed on virus mutations, age, gender, fibrosis of the liver, lipid metabolism, and degree of fatty metamorphosis of the liver.

Among these factors related to PEG-IFN and RBV, innate immunity has been shown to be correlated in virological

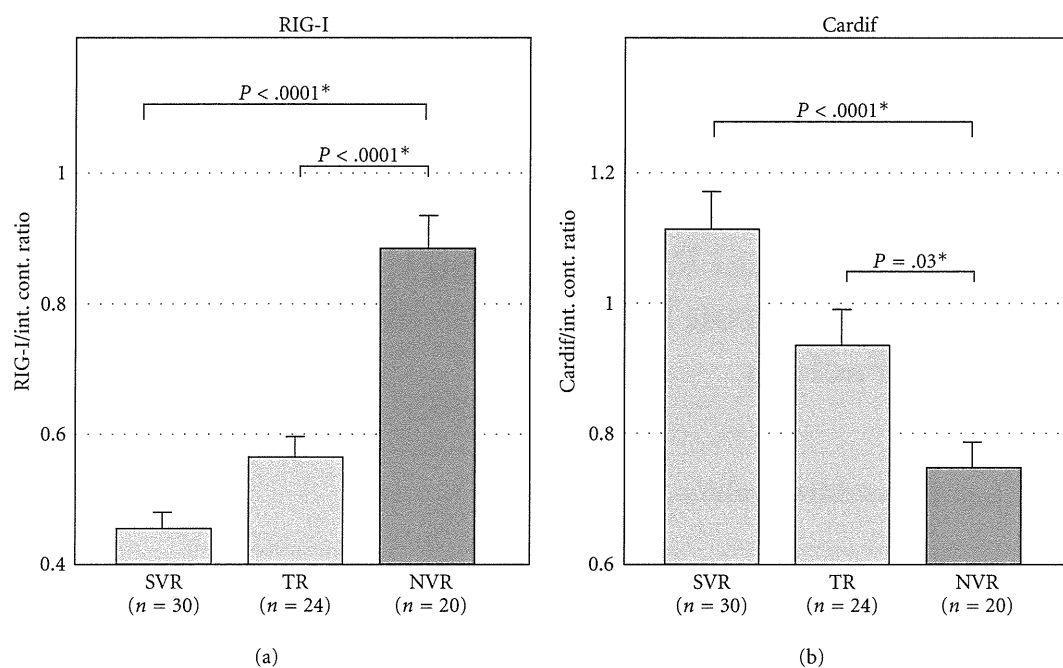


FIGURE 1: Expression of genes correlated to the intrahepatic innate immunity and virological response to PEG-IFN alpha-2b and RBV combination therapy. Open column indicates SVR ($n = 30$), gray column indicates TR ($n = 24$), and closed column indicates NVR ($n = 20$). Error bars indicate the standard error. The P values were analyzed by the Kruskal-Wallis test. Expression of RIG-I was significantly higher in NVR than in SVR patients, and Cardif expression was higher in SVR than in NVR. The figure was cited from [8].

response. Asahina et al. reported that liver biopsies were performed before the PEG-IFN and RBV combination therapy to examine the correlation between the gene expression involved in innate immunity and the therapeutic effects, and in the patients in whom RIG-I expression is high and the expression of Cardif, an adaptor gene, is low, it was found that there are many nonresponders (NVRs) in which HCVRNA does not become negative during the course of treatment [13]. It was therefore revealed that there are many NVRs among the patients in whom the ratio of RIG-I to Cardif in liver tissue is high and that this ratio is low in the SVR patients. Based on these findings, it has become clear that innate immunity is correlated to therapeutic effects (Figure 1).

Furthermore, it was recently discovered that a single nucleotide polymorphism (SNP) of the host gene IL28B is significantly involved in the therapeutic response to the PEG-IFN and RBV combination therapy [14, 15]. The possibility of becoming an NVR is high in cases of the minor allele carriers of IL28B. However, it is not possible to routinely measure an SNP of IL28B in the clinical setting. In this paper, factors which can actually be used in real clinical practice are discussed for the prediction of the efficacy of PEG-IFN and RBV combination therapy.

2. Amount of HCVRNA

In the patients with chronic hepatitis C, it is not possible to directly measure the amount of virus, and the

amount of HCVRNA is measured instead. Currently, a real-time PCR method which has an advantage of wide range and high sensitivity is utilized, and measurements can be taken from a single blood sample of a very small amount, that is, 1.2 log copies/ml, to a very large amount, that is, 8 log copies/ml. This method has a higher level of sensitivity than the conventional Amplicor monitor test and can therefore detect HCVRNA even if only a very small amount exists in the plasma. If the amount of HCVRNA in plasma is less than the range of sensitivity of the real-time PCR method, it is recorded as undetectable level. If the indication is "less than 1.2 log copies/ml of HCVRNA", it means that a very small amount of HCVRNA exists in the plasma. Since the indication of the real-time PCR method is based on log counts, a decrease of 1.0 in the numerical value means that the amount of HCVRNA has decreased to 1/10. With the application of this real-time PCR method, it has become possible to measure amounts of HCVRNA up to 8 log copies/ml, and it has also become possible to predict the efficacy before treatment and to monitor appropriately the reactivity during the course of treatment. However, in the patients in whom a PEG-IFN and RBV combination therapy is performed, SVR can be acquired even when the amount of virus prior to the treatment is quite large. It is therefore difficult to predict the virological response solely from the amount of HCVRNA before starting the treatment. Once treatment has commenced, at what week HCVRNA becomes negative is important for the prediction of therapeutic effects, and this serves as a parameter for deciding the duration of treatment [16].

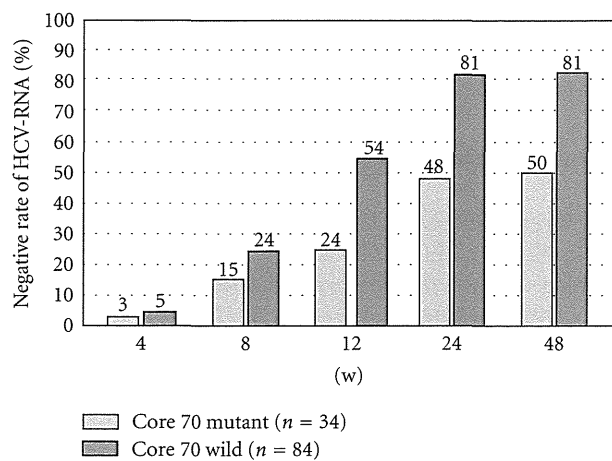


FIGURE 2: Comparison of aa70 mutations in the HCV core region and the rate of HCV RNA becoming negative during the course of treatment. Compared with the wild type, among the patients of aa70 mutations, there were fewer patients in whom HCV RNA had become negative during the course of treatment.

Measuring the rate of viral clearance from serum is helpful for predicting the likelihood of a response to PEGIFN and RBV and useful for determining the optimal duration of therapy if the patients start to receive the treatment [17]. In the AASLD practice guideline, response-guided therapy is highly recommended [18]. In two nationwide registration trials conducted in Japan [9, 10], the SVR rate was high, from 76% to 100%, in patients whose HCV RNA was cleared rapidly from serum by week 4 (rapid virological response; RVR), and 71% to 73% in patients who achieved undetectable HCV RNA from week 5 to week 12 (early virological response; EVR). In contrast, the SVR rate in patients with late clearance of HCV RNA from week 13 to week 24 was low at 29% to 36%. No patients without clearance of HCV RNA by week 24 achieved SVR.

The strategy of extending therapy in patients with delayed virological responses, defined as clearance of HCV RNA between weeks 12 and 24, was evaluated in five studies [19–23]. These results cannot be compared directly with each other because of the heterogeneous study populations, differences in the baseline characteristics, and the different regimens utilized amongst them. Nevertheless, the results showed a trend toward a higher SVR rate by extending therapy from 48 to 72 weeks in patients with delayed virological response.

3. Viral Mutations in Core and NS5A Region

In the patients with genotype 1b HCV infection, the mutations in aa70 and aa91 in the core region have been shown to correlate with early virological response (EVR) during PEG-IFN and RBV combination treatment [11]. If aa70 in the core region is mutated to anything other than arginine and aa91 to anything other than leucine, it is difficult to achieve EVR, and it is thus highly possible that such cases

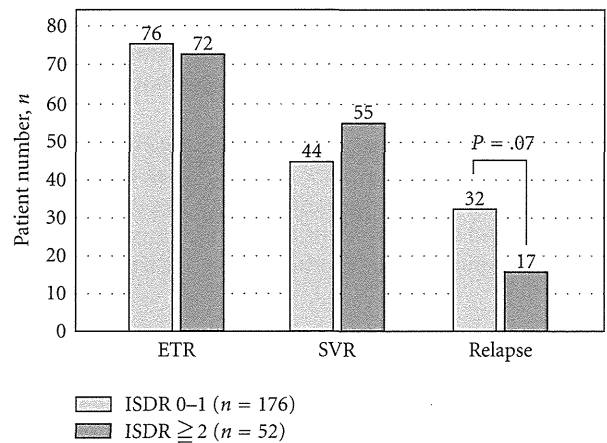


FIGURE 3: Number of ISDR substitutions and the comparison of virological response, SVR, and relapse at the end of the treatment. Compared with the patients with 2 or more sites of substitutions, the rate of SVR was lower and the rate of relapse was higher in the patients in whom there were fewer substitutions in ISDR, that is, 0 or 1 sites.

will become nonresponders. The examination results at our institution including 292 patients with genotype 1b infection demonstrated that, in the cases with mutations in aa70 in the core region, the rate of HCV RNA becoming negative during the course of combination treatment was low compared to the wild type of aa70 (Figure 2). However, core aa70 mutation is shown to have quasispecies detectable by cloning, and 70Q clone was positively selected during combination treatment with PEGIFN and RBV [24].

Furthermore, Enomoto et al. reported that the patients with 4 or more amino acid mutations were observed in interferon sensitivity-determining region (ISDR) within NS5A region [2]; SVR rate is higher than 90% by IFN monotherapy, and SVR is less than 10% in the patients with no mutation in ISDR. It has also been reported that, in PEG-IFN and RBV combination therapy, the number of ISDR mutations is involved in the SVR [12].

We analyzed the relationship between virological response and ISDR mutations in the patients with genotype 1b infection treated by PEG-IFN alpha-2b and RBV combination therapy. In the patients with 0 or 1 ISDR mutation, even if the rate of HCV RNA becoming negative at the end of treatment was the same, the rate of SVR would be lower compared with the patients having 2 or more mutations (Figure 3). This demonstrates that there is a higher incidence of relapse after the end of treatment in the patients with 0 or 1 ISDR mutation.

Enomoto and Maekawa reported that mutations both in NS5A-ISDR (interferon sensitivity-determining region) and core 70Q substitution are associated with no early viral response during PEGIFN and RBV combination therapy [25]. Association of core aa70 substitution and mutations in NS5A region is confirmed to be associated with viral response by PEGIFN and RBV combination therapy in a Japanese multicenter cooperative study [26]. The number of

mutations in the interferon sensitivity-determining region was shown to be associated with the viral response to PEGIFN and RBV combination treatment not only in Japan [27], but also in Tunisia [28].

Recently, a consensus has been established that mutations in aa70 in the core region are important for the prediction of HCVRNA becoming negative during the early course of treatment, and the number of ISDR mutations is important for the prediction of relapse after the end of treatment.

4. Adherence

It has been confirmed that it is important to ensure 80% or more of the scheduled dose of both PEG-IFN and BBV in order to improve the rate of SVR, and together with the duration of treatment, the 80 · 80 · 80 rule has been established. However, Schiffman et al. recently reported that the dose of PEG-IFN in the initial stage of administration is important and that, if a sufficient dose of PEG-IFN is administered, then 60% or more of the RBV dose would be enough [29]. It is therefore of primary importance to ensure the dose of PEG-IFN.

In Japan, the average age of patients with chronic hepatitis C is increasing, and achieving good adherence is difficult in many patients. Consequently, the rate of SVR is low in elderly patients. How to improve the rate of SVR in elderly patients is an important issue. With regard to the dose of RBV, reducing the RBV dose based on the calculation of the total body clearance (CL/F) has been proposed to be useful for decreasing the discontinuation and improving the rate of SVR. Although there is no consensus on an appropriate dose of PEG-IFN in elderly patients, if the initial dose is set lower than the usual dose, discontinuation would be reduced. Thus, it is necessary to investigate whether such an initial dose would improve the rate of SVR.

Recently, the risk of hemolytic anemia was clearly demonstrated to correlate with ITAP gene SNP [30]. The predictive implication should be analyzed prospectively in clinical practice.

5. Host Factors

Zeuzem et al. described the factors related to the less response to interferon-based therapy, and he showed that several host factors such as older age, race, and obesity are responsible factors for the poor response to IFN [31]. Recently, insulin resistance which was examined by homeostasis model assessment index (HOMA-IR) was shown to be associated with a lower rate of SVR, and body mass index (BMI) was not identified as a significant factor for the poor response to PEGIFN and ribavirin combination therapy [32]. Insulin resistance was confirmed as a related factor to the nonresponse to interferon-based treatment [33]. However, Charlton et al. reported that obesity itself is an associated factor for decreased efficacy of interferon-based therapies, and they discussed the possible mechanism [34], and obesity was shown to be associated with the increased enhancement

of suppressor of cytokine signaling (SOCS) family in the hepatocytes [35].

6. Data Mining Analysis

Both virus- and host-related factors are correlated to therapeutic effects of PEG-IFN and RBV. One important question is which of these factors should be focused on in order to predict the therapeutic effects in an individual patient. In addition, in each individual patient, the host and virological factors are different. It is therefore difficult to predict the virological response in each case before treatment. Furthermore, although it is important to predict the relapse rate when HCVRNA becomes within an undetectable level in an individual patient, prediction of the rate of SVR including virological and host factors and adherence to the treatment has never been carried out in an individual patient.

A data mining analysis is the process of analyzing a large amount of data by a computer in order to develop an algorithm. Conventional statistics have been used to examine certain hypothesis. Data mining is superior in that it can set an algorithm, using a computer, based on a large amount of data without a hypothesis.

We therefore conducted at our institute a data mining analysis of the patients with genotype 1b infection having high levels of HCVRNA to whom a PEG-IFN alpha-2b and RBV combination therapy was administered to investigate whether by the 12th week after the commencement of treatment HCVRNA became negative (EVR) (Figure 4) [36]. The most important factor for the prediction of EVR was the steatosis of the liver: when steatosis was observed in 30% or more of hepatocytes, EVR was found to be difficult to achieve. In the patients in whom steatosis was not severe, the second most important factor was the serum LDL cholesterol value. While the rate of EVR was 57% in the patients in whom this value was 100 mg/ml or above, the rate of EVR was 32% in the patients in whom the LDL cholesterol was less than 100 mg/dl.

The higher the LDL cholesterol value, the earlier the HCVRNA became negative. Among the patients with low LDL cholesterol values, while the rate of EVR was 15% in patients 60 years of age or older, the rate was high in the patients under the age of 60 years old, that is, 49%. Among patients under the age of 60, the rate of EVR was low, that is, 31%, in patients with a blood glucose level of 120 mg/dl or above whereas EVR was achieved in 71% of the patients with a blood glucose level of less than 120 mg/dl (Figure 4).

On the other hand, in the patients with high LDL cholesterol values, the next most important factor was age. While the rate of EVR was 50% in patients 50 years of age or older, EVR was achieved in 77% of the patients under the age of 50. Among patients of 50 years of age or older, the next most important factor was the gamma GTP value. While the rate of EVR was 35% in the patients in whom gamma GTP was 40 IU/L or above, EVR was achieved in 60% of the patients where the value was less than 40 IU/L.

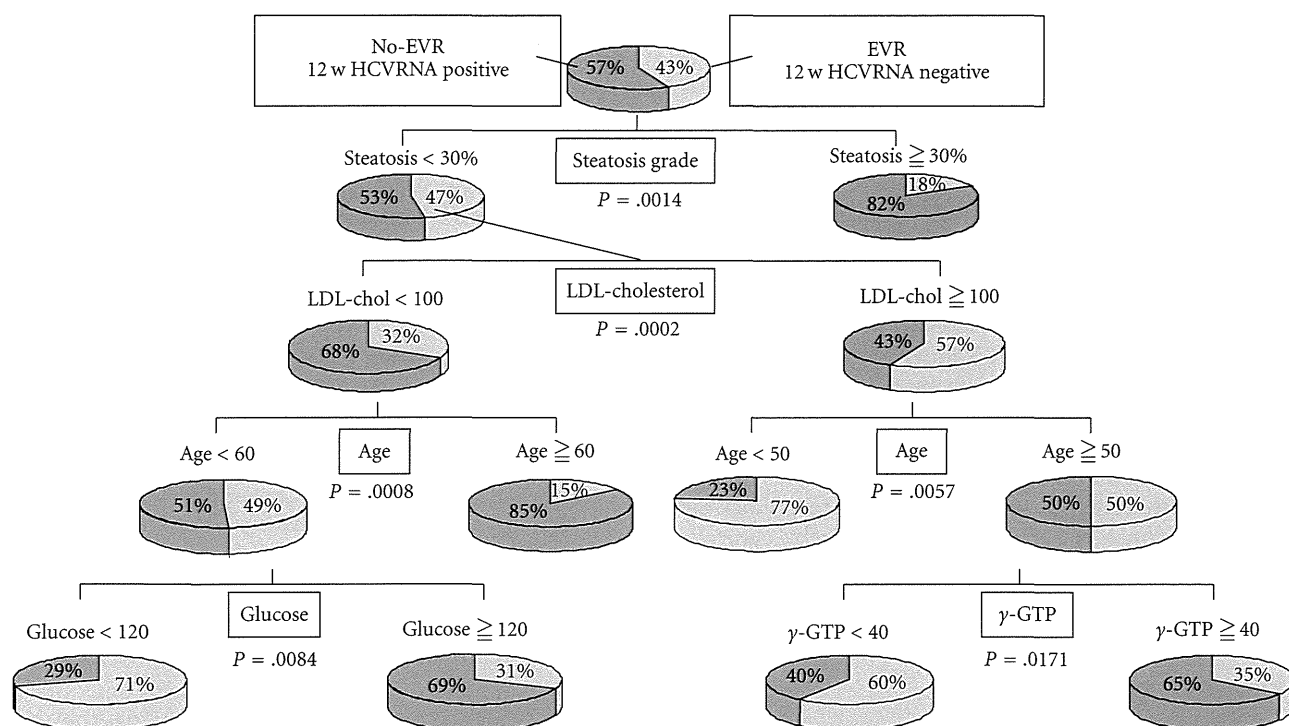


FIGURE 4: HCV RNA negative (EVR) algorithm at 12th week from data mining analysis of PEG-IFN alpha-2b plus RBV combination in the genotype 1b and high levels of HCV RNA. Both virological and host factors were evaluated by data mining analysis software from SPSS. This figure was cited from [36].

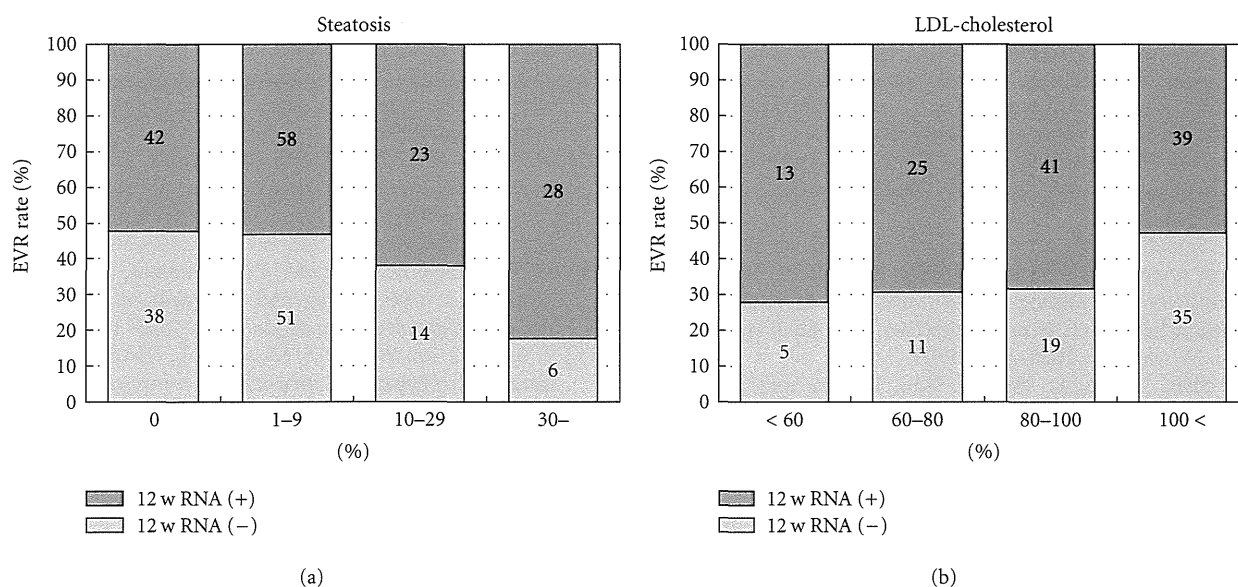


FIGURE 5: The rate of EVR in the patients with genotype 1b and high levels of HCV RNA, based on fatty deposition in the liver (a), and the LDL cholesterol value (b), respectively. EVR was highly achieved in the patients with less steatosis in the liver, and in those with high serum LDL-cholesterol levels. This is univariate analysis, and cited from [36].

We therefore compared these factors based on the original data. A univariate comparison of the fatty infiltration of the liver and the rate of EVR demonstrated that the rate of EVR was higher when the steatosis of the liver was less severe (Figure 5(a)). In addition, a comparison of the LDL cholesterol value and the rate of EVR demonstrated a significant correlation, confirming that the higher the LDL cholesterol value, the higher the rate of EVR (Figure 5(b)). Therefore, it was also proposed by the results of univariate analysis of each factor extracted from the data mining analysis that these factors were correlated to the rate of EVR.

From these observations, it is likely to improve the viral response to PEGIFN and ribavirin by reducing steatosis of the liver through daily walking or abstaining alcohol intake or by refraining from high-fat diet.

By utilizing data mining, it is therefore possible to assess virus- and host-related factors together and to predict the virological response in each patient, and thereby clinically useful information can be obtained. The algorithm should be validated including a large number of the patients.

Acknowledgment

This paper was supported by a grant from the Japanese Ministry of Health, Welfare and Labor.

References

- [1] Y. Asahina, K. Tsuchiya, I. Hirayama, et al., "Effect of aging on risk for hepatocellular carcinoma in chronic hepatitis C virus infection," *Hepatology*, vol. 52, no. 2, pp. 518–527, 2010.
- [2] N. Enomoto, I. Sakuma, Y. Asahina et al., "Mutations in the nonstructural protein 5A gene and response to interferon in patients with chronic hepatitis C virus 1b infection," *New England Journal of Medicine*, vol. 334, no. 2, pp. 77–81, 1996.
- [3] S. Iino, F. Ichida, A. Sakuma et al., "A randomized clinical trial with natural interferon- α monotherapy for 24 or 48 weeks on patients with chronic hepatitis C having genotype 1b infection in high viral titers," *Hepatology Research*, vol. 24, no. 4, pp. 338–345, 2002.
- [4] G. Squadrito, M. E. Orlando, I. Cacciola et al., "Long-term response to interferon alpha is unrelated to "interferon sensitivity determining region" variability in patients with chronic hepatitis C virus-1b infection," *Journal of Hepatology*, vol. 30, no. 6, pp. 1023–1027, 1999.
- [5] C. Shen, T. Hu, L. Shen, L. Gao, W. Xie, and J. Zhang, "Mutations in ISDR of NS5A gene influence interferon efficacy in Chinese patients with chronic hepatitis C virus genotype 1b infection," *Journal of Gastroenterology and Hepatology*, vol. 22, no. 11, pp. 1898–1903, 2007.
- [6] M. Pascu, P. Martus, M. Hühne et al., "Sustained virological response in hepatitis C virus type 1b infected patients is predicted by the number of mutations within the NS5A-ISDR: a meta-analysis focused on geographical differences," *Gut*, vol. 53, no. 9, pp. 1345–1351, 2004.
- [7] S. J. Hadziyannis, H. Sette Jr., T. R. Morgan et al., "Peginterferon- α 2a and ribavirin combination therapy in chronic hepatitis C: a randomized study of treatment duration and ribavirin dose," *Annals of Internal Medicine*, vol. 140, no. 5, pp. 346–355, 2004.
- [8] M. P. Manns, J. G. McHutchison, S. C. Gordon et al., "Peginterferon alfa-2b plus ribavirin compared with interferon alfa-2b plus ribavirin for initial treatment of chronic hepatitis C: a randomised trial," *Lancet*, vol. 358, no. 9286, pp. 958–965, 2001.
- [9] S. Iino, E. Tomita, H. Kumada et al., "Prediction of treatment outcome with daily high-dose IFN α -2b plus ribavirin in patients with chronic hepatitis C with genotype 1b and high HCV RNA levels: relationship of baseline viral levels and viral dynamics during and after therapy," *Hepatology Research*, vol. 30, no. 2, pp. 63–70, 2004.
- [10] G. Yamada, S. Iino, T. Okuno et al., "Virological response in patients with hepatitis C virus genotype 1b and a high viral load: impact of peginterferon- α -2a plus ribavirin dose reductions and host-related factors," *Clinical Drug Investigation*, vol. 28, no. 1, pp. 9–16, 2008.
- [11] N. Akuta, F. Suzuki, Y. Kawamura et al., "Predictive factors of early and sustained responses to peginterferon plus ribavirin combination therapy in Japanese patients infected with hepatitis C virus genotype 1b: amino acid substitutions in the core region and low-density lipoprotein cholesterol levels," *Journal of Hepatology*, vol. 46, no. 3, pp. 403–410, 2007.
- [12] H. Shirakawa, A. Matsumoto, S. Joshita et al., "Pretreatment prediction of virological response to peginterferon plus ribavirin therapy in chronic hepatitis C patients using viral and host factors," *Hepatology*, vol. 48, no. 6, pp. 1753–1760, 2008.
- [13] Y. Asahina, N. Izumi, I. Hirayama et al., "Potential relevance of cytoplasmic viral sensors and related regulators involving innate immunity in antiviral response," *Gastroenterology*, vol. 134, no. 5, pp. 1396–1405, 2008.
- [14] D. Ge, J. Fellay, A. J. Thompson et al., "Genetic variation in IL28B predicts hepatitis C treatment-induced viral clearance," *Nature*, vol. 461, no. 7262, pp. 399–401, 2009.
- [15] Y. Tanaka, N. Nishida, M. Sugiyama et al., "Genome-wide association of IL28B with response to pegylated interferon- α and ribavirin therapy for chronic hepatitis C," *Nature Genetics*, vol. 41, no. 10, pp. 1105–1109, 2009.
- [16] P. Ferenci, H. Laferl, T. Scherzer et al., "Peginterferon alfa-2a and ribavirin for 24 weeks in hepatitis C type 1 and 4 patients with rapid virological response," *Gastroenterology*, vol. 135, no. 2, pp. 451–458, 2008.
- [17] N. Izumi, S. Nishiguchi, K. Hino et al., "Management of hepatitis C; Report of the Consensus Meeting at the 45th Annual Meeting of the Japan Society of Hepatology (2009)," *Hepatology Research*, vol. 40, no. 4, pp. 347–368, 2010.
- [18] M. G. Ghany, D. B. Strader, D. L. Thomas, and L. B. Seeff, "Diagnosis, management, and treatment of hepatitis C: an update," *Hepatology*, vol. 49, no. 4, pp. 1335–1374, 2009.
- [19] T. Berg, M. von Wagner, S. Nasser et al., "Extended treatment duration for hepatitis C virus type 1: comparing 48 versus 72 weeks of peginterferon-alfa-2a plus ribavirin," *Gastroenterology*, vol. 130, no. 4, pp. 1086–1097, 2006.
- [20] J. M. Sánchez-Tapias, M. Diago, P. Escartín et al., "Peginterferon-alfa2a plus ribavirin for 48 versus 72 weeks in patients with detectable hepatitis C virus RNA at week 4 of treatment," *Gastroenterology*, vol. 131, no. 2, pp. 451–460, 2006.
- [21] P. Ferenci, H. Laferl, T. M. Scherzer, et al., "Customizing treatment with peginterferon alfa-2a (40kD)(PEGASYS®) plus ribavirin (COPEGUS®) in patient with HCV genotype 1 or 4 infection: interim results of a prospective randomized trial," *Hepatology*, vol. 44, no. 336a, 2006.
- [22] B. L. Pearlman, C. Ehleben, and S. Saifee, "Treatment extension to 72 weeks of peginterferon and ribavirin in hepatitis C

- genotype 1-infected slow responders," *Hepatology*, vol. 46, no. 6, pp. 1688–1694, 2007.
- [23] M. Buti, Y. Lurie, N. G. Zakharova, et al., "Extended treatment duration in chronic hepatitis C genotype 1-infected slow responders: final results of the SUCCESS study," *Journal of Hepatology*, vol. 50, supplement 1, p. S58, abstract 141, 2009.
- [24] F. Kurbanov, Y. Tanaka, K. Matsuura et al., "Positive selection of core 70Q variant genotype 1b hepatitis C virus strains induced by pegylated interferon and ribavirin," *Journal of Infectious Diseases*, vol. 201, no. 11, pp. 1663–1671, 2010.
- [25] N. Enomoto and S. Maekawa, "HCV genetic elements determining the early response to peginterferon and ribavirin therapy," *Intervirology*, vol. 53, no. 1, pp. 66–69, 2010.
- [26] T. Okanoue, Y. Itoh, H. Hashimoto et al., "Predictive values of amino acid sequences of the core and NS5A regions in antiviral therapy for hepatitis C: a Japanese multi-center study," *Journal of Gastroenterology*, vol. 44, no. 9, pp. 952–963, 2009.
- [27] M. Nakagawa, N. Sakamoto, M. Ueyama et al., "Mutations in the interferon sensitivity determining region and virological response to combination therapy with pegylated-interferon alpha 2b plus ribavirin in patients with chronic hepatitis C-1b infection," *Journal of Gastroenterology*, vol. 45, no. 6, pp. 656–665, 2010.
- [28] N. Bouzgarrou, E. Hassen, W. Mahfoudh et al., "NS5AISDR-V3 region genetic variability of Tunisian HCV-1b strains: correlation with the response to the combined interferon/ribavirin therapy," *Journal of Medical Virology*, vol. 81, no. 12, pp. 2021–2028, 2009.
- [29] M. L. Shiffman, M. G. Ghany, T. R. Morgan et al., "Impact of reducing peginterferon alfa-2a and ribavirin dose during retreatment in patients with chronic hepatitis C," *Gastroenterology*, vol. 132, no. 1, pp. 103–112, 2007.
- [30] J. Fellay, A. J. Thompson, D. Ge et al., "ITPA gene variants protect against anaemia in patients treated for chronic hepatitis C," *Nature*, vol. 464, no. 7287, pp. 405–408, 2010.
- [31] S. Zeuzem, "Heterogeneous virologic response rates to interferon-based therapy in patients with chronic hepatitis C: who responds less well?" *Annals of Internal Medicine*, vol. 140, no. 5, pp. 370–381, 2004.
- [32] H. S. Conjeevaram, D. E. Kleiner, J. E. Everhart et al., "Race, insulin resistance and hepatic steatosis in chronic hepatitis C," *Hepatology*, vol. 45, no. 1, pp. 80–87, 2007.
- [33] G. Tarantino, P. Conca, P. Sorrentino, and M. Ariello, "Metabolic factors involved in the therapeutic response of patients with hepatitis C virus-related chronic hepatitis," *Journal of Gastroenterology and Hepatology*, vol. 21, no. 8, pp. 1266–1268, 2006.
- [34] M. R. Charlton, P. J. Pockros, and S. A. Harrison, "Impact of obesity on treatment of chronic hepatitis C," *Hepatology*, vol. 43, no. 6, pp. 1177–1186, 2006.
- [35] M. J. Walsh, J. R. Jonsson, M. M. Richardson et al., "Non-response to antiviral therapy is associated with obesity and increased hepatic expression of suppressor of cytokine signaling 3 (SOCS-3) in patients with chronic hepatitis C, viral genotype 1," *Gut*, vol. 55, no. 4, pp. 529–535, 2006.
- [36] M. Kurosaki, K. Matsunaga, I. Hirayama, et al., "A predictive model of response to peginterferon ribavirin in chronic hepatitis C using classification and regression tree analysis," *Hepatology Research*, vol. 40, no. 3, pp. 251–260, 2010.

Original Article

Hepatic steatosis in chronic hepatitis C is a significant risk factor for developing hepatocellular carcinoma independent of age, sex, obesity, fibrosis stage and response to interferon therapy

Masayuki Kurosaki,¹ Takanori Hosokawa,¹ Kotaro Matsunaga,² Itsuko Hirayama,¹ Tomohiro Tanaka,¹ Mitsuaki Sato,¹ Yutaka Yasui,¹ Nobuharu Tamaki,¹ Ken Ueda,¹ Kaoru Tsuchiya,¹ Teiji Kuzuya,¹ Hiroyuki Nakanishi,¹ June Itakura,¹ Yuka Takahashi,¹ Yasuhiro Asahina,¹ Nobuyuki Enomoto³ and Namiki Izumi¹

Divisions of ¹Gastroenterology and Hepatology, and ²Pathology, Musashino Red Cross Hospital, Tokyo, and ³First Department of Internal Medicine, University of Yamanashi, Yamanashi, Japan

Aim: Hepatic steatosis is linked to development of hepatocellular carcinoma (HCC) in non-viral liver disease such as non-alcoholic steatohepatitis. The present study aimed to assess whether hepatic steatosis is associated with the development of HCC in chronic hepatitis C.

Methods: We studied a retrospective cohort of 1279 patients with chronic hepatitis C who received interferon (IFN) therapy between 1994 and 2005 at a single regional hospital in Japan. Of these patients, 393 had a sustained virological response (SVR) and 886 had non-SVR to IFN therapy. After IFN therapy, these patients were screened for development of HCC every 6 months. The average period of observation was 4.5 years.

Results: HCC developed in 68 patients. The annual incidence of HCC was 2.73% for patients with a steatosis grade of 10% or greater and 0.69% for patients with a steatosis grade of 0–9%.

On multivariate analysis, higher grade of steatosis was a significant risk factor for HCC independent of older age, male sex, higher body mass index (BMI), advanced fibrosis stage and non-SVR to IFN therapy. The adjusted risk ratio of hepatic steatosis was 3.04 (confidence interval 1.82–5.06, $P < 0.0001$), which was higher than that of older age (1.09), male sex (2.12), non-SVR to IFN (2.43) and higher BMI (1.69).

Conclusion: Hepatic steatosis is a significant risk factor for development of HCC in chronic hepatitis C independent of other known risk factors, which suggest the possibility that amelioration of hepatic steatosis may prevent hepatocarcinogenesis.

Key words: hepatocellular carcinoma, interferon, steatosis, virological response.

INTRODUCTION

HEPATOCELLULAR CARCINOMA (HCC) is one of the most common cancers worldwide and its incidence has been increasing. This recent increase in HCC incidence may likely be attributed to the higher

prevalence of non-alcoholic fatty liver disease (NAFLD) and hepatitis C virus (HCV) infection.¹

Non-alcoholic fatty liver disease is characterized by hepatic steatosis with or without inflammation in the absence of excessive alcohol consumption. Several studies have indicated the etiological association between NAFLD and development of HCC.^{2–4} Other studies have shown that obesity or diabetes, a common etiology of non-alcoholic hepatic steatosis, is associated with development of HCC.^{5–7} Although the mechanism of carcinogenesis in NAFLD has not been determined, an animal model showed that obesity-related hepatic steatosis leads to the development of hepatic

Correspondence: Dr Namiki Izumi, Division of Gastroenterology and Hepatology, Musashino Red Cross Hospital, 1-26-1 Kyonan-cho, Musashino-shi, Tokyo 180-8610, Japan. Email: nizumi@musashino.jrc.or.jp

Received 23 January 2010; revision 10 May 2010; accepted 21 May 2010.

hyperplasia, suggesting the possibility that hepatic steatosis is a pre-malignant condition.⁸

Another important etiological agent for HCC is HCV infection. Because steatosis is a common pathological feature of HCV-infected patients,⁹ the important question is whether steatosis influences the progression of liver disease in hepatitis C, by analogy with NAFLD. Several studies, including ours¹⁰ indicated that hepatic steatosis promotes the progression of hepatic fibrosis.^{11–15} The association between hepatic steatosis and the development of HCC in chronic hepatitis C has been proposed¹⁶ and was confirmed in two studies^{17,18} while another study failed to show such an association.¹⁹ The present study was conducted to analyze the association between hepatic steatosis and development of HCC in a large cohort of chronic hepatitis C patients, which enabled to adjust for known risk factors for HCC.

METHODS

Patients

A TOTAL OF 1437 chronic hepatitis C patients were treated with interferon (IFN) at Musashino Red Cross Hospital between October 1994 and October 2005. Among them, 1279 patients who fulfilled the following inclusion criteria were enrolled in this study: (i) positive for HCV RNA by reverse-transcription polymerase chain reaction before IFN therapy; (ii) absence of other causes of liver disease, such as co-infection with hepatitis B virus, autoimmune hepatitis or primary biliary cirrhosis; (iii) had undergone liver biopsy within the 12 months prior to IFN treatment; (iv) were followed for more than 1 year after the completion of IFN therapy; and (v) absence of HCC during and within 1 year after the completion of therapy. A total of 158 patients were excluded: two patients who were positive for hepatitis B surface antigen, 97 patients lacking liver biopsy, 53 patients with less than 1 year's duration of follow up, and six patients who developed HCC within 1 year of the completion of IFN therapy. The study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional ethics review committee.

Patients were followed up by regular visits to our hospital every 1–3 months. Six patients died of liver-unrelated disease (two patients with gastric cancer and one patient each with lung cancer, colon cancer, pancreatic cancer and leukemia). There were 122 patients who were lost to follow up because of relocation. We included their data in the analysis, censored at the time

of their last visit. The start of follow up was defined as the date of completion of first IFN therapy and the end of follow up was defined as the date of diagnosis of HCC or the date of the last visit. The average period of follow up was 4.5 years.

Clinical characteristics and laboratory data were collected at the most recent time point before liver biopsy. Diabetes mellitus was diagnosed based on a fasting plasma glucose concentration that exceeded 126 mg/dL, a casual plasma glucose concentration that exceeded 200 mg/dL, or the need for insulin or oral anti-hyperglycemic drugs. Information regarding alcohol consumption was obtained through an interview. Body mass index (BMI) was calculated using the following formula: weight in kilograms/height in meters squared. The baseline clinical features of patients at enrollment are summarized in Table 1.

Histological examination

Liver biopsy specimens were obtained from all patients before therapy. The median length of liver biopsy specimens was 13 mm (range 10–42 mm) and median number of portal tracts was 11 (range 4–30). Histological findings were re-evaluated recently by three independent pathologists who were blinded to the clinical details to ensure consistency over time. Fibrosis and activity were scored according to the METAVIR scoring system.²⁰ Fibrosis was staged on a scale of 0–4: F0 (no fibrosis); F1 (mild fibrosis: portal fibrosis without septa); F2 (moderate fibrosis: few septa); F3 (severe fibrosis: numerous septa without cirrhosis); and F4 (cirrhosis). Activity of necroinflammation was graded on a scale of 0–3: A0 (no activity); A1 (mild activity); A2 (moderate activity); and A3 (severe activity). Percentage of steatosis was quantified by determining the average proportion of hepatocytes affected by steatosis and graded on a scale of 0%, 1–9%, 10–29% and 30% or greater as reported previously.¹⁰ All three pathologists assigned the same scale in 85% of cases for fibrosis staging, 87% for inflammation grading and 95% for steatosis grading. If there was discordance, the scores assigned by two pathologists were used for the analysis.

Screening for HCC

At enrollment, no patient had HCC or any suspicious lesion on abdominal ultrasonography or computed tomography. Patients were examined for HCC by abdominal ultrasonography or computed tomography at least every 6 months. Suspicious lesions were examined further by a triphasic contrast-enhanced computerized tomography or magnetic resonance imaging,

Table 1 Clinical characteristics of patients

Male, <i>n</i> (%)	643 (50%)
Age (years)	54.2 ± 11.9
BMI (kg/m ²)	23.4 ± 3.1
Alcohol consumption ≥20 g/day, <i>n</i> (%)	44 (3%)
Diabetes Mellitus, <i>n</i> (%)	197 (15%)
AST level (IU/L)	68.9 ± 45.3
ALT level (IU/L)	92.9 ± 75.9
GGT level (IU/L)	41.2 ± 38.2
Platelet count (×10 ¹⁰ /L)	16.4 ± 5.2
HCV genotype, <i>n</i> (%)	
1b	873 (68.2%)
2a	236 (18.4%)
2b	139 (10.9%)
3	2 (0.2%)
Not determined	29 (2.3%)
Histological findings	
Grade of activity, <i>n</i> (%)	
A0	154 (12%)
A1	574 (45%)
A2	441 (34%)
A3	110 (9%)
Stage of fibrosis, <i>n</i> (%)	
F0	24 (2%)
F1	591 (46%)
F2	378 (30%)
F3	242 (19%)
F4	44 (3%)
Grade of steatosis, <i>n</i> (%)	
0%	384 (30%)
1–9%	543 (42%)
10–29%	215 (17%)
≥30%	137 (11%)
SVR to interferon therapy, <i>n</i> (%)	393 (31%)
Development of HCC, <i>n</i> (%)	68 (5%)

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; GGT, γ -glutamyltransferase; HCC, hepatocellular carcinoma; SVR, sustained virological response.

angiography or tumor biopsy to confirm the diagnosis. Diagnostic criteria of HCC on radiological findings were hyper-vascularity at angiography or hyper-attenuation at triphasic contrast-enhanced computerized tomography or magnetic resonance imaging during the hepatic arterial phase.

Statistical analysis

The SPSS software package ver. 15.0 was used for statistical analysis. Categorical data were analyzed using Fisher's exact test. Continuous variables were compared with Student's *t*-test. The time for the development of HCC was defined as the time from the completion of IFN therapy to the time of diagnosis. Annual incidence of

HCC was calculated using the person-years method. Effect of hepatic steatosis on time to development of HCC was analyzed by the Kaplan–Meier method and log-rank test, after stratification by age, sex, BMI, degree of fibrosis and response to IFN therapy, as well as multivariate analysis using Cox proportional hazards regression analysis. A *P*-value of less than 0.05 was considered statistically significant.

RESULTS

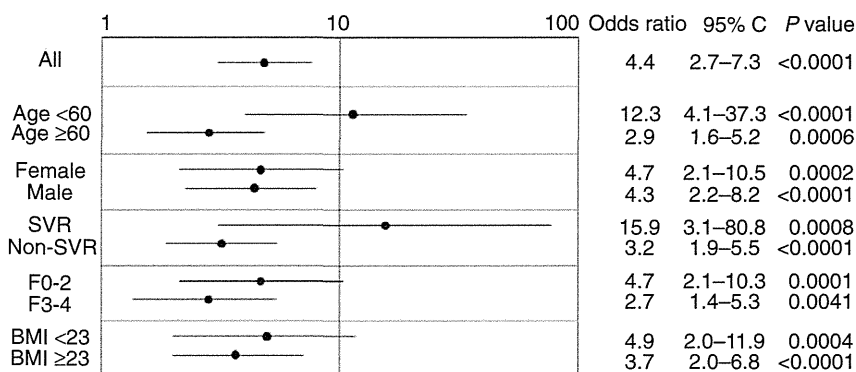
Background factors for steatosis

PATIENTS WITH A steatosis grade of 10% or greater were older (53.6 ± 12.6 vs 56.0 ± 9.8, *P* = 0.001), had a higher BMI (23.0 ± 3.0 vs 24.6 ± 3.3, *P* < 0.0001), higher frequency of diabetes (12% vs 24%, *P* < 0.0001), higher serum levels of aspartate aminotransferase (AST) (66 ± 46 vs 75 ± 43, *P* = 0.002), γ -glutamyltransferase (GGT) (37 ± 52 vs 52 ± 33, *P* < 0.0001), total cholesterol (173 ± 32 vs 179 ± 33, *P* = 0.005), triglycerides (123 ± 56 vs 145 ± 68, *P* < 0.0001), and a lower serum level of albumin (4.2 ± 0.3 vs 4.1 ± 0.3, *P* = 0.005) and lower platelet counts (16.6 ± 5.2 vs 15.7 ± 5.1, *P* = 0.007). Histological grade of activity (A2–3: 39% vs 54%, *P* < 0.0001), and stage of fibrosis (F3–4: 18% vs 34%, *P* < 0.0001) were higher. The proportion of non-sustained virological response (SVR) to IFN also was higher (35% vs 19%, *P* < 0.0001). These results indicate that hepatic steatosis in hepatitis C is related to metabolic factors and associated with other risk factors for the development of HCC such as older age, advanced stage of fibrosis, and non-SVR to IFN therapy.

Factors associated with the development of HCC

Hepatocellular carcinoma developed in 68 patients during follow up. An overall annual incidence of HCC development was 1.19% by person-years. The annual incidence of HCC development by person-years was higher in patients with higher grade of steatosis: 0.45% for patients without steatosis, 0.78% for patients with 1–9% of steatosis, 2.30% for patients with 10–29% of steatosis, and 3.56% for patients with 30% of steatosis. The relative risk of hepatic steatosis (grade of ≥10%) for HCC development was 4.39 (95% confidence interval 2.66–7.26, *P* < 0.0001). The difference remained significant, even after stratification for other risk factors such as IFN therapy, stage of fibrosis, age, sex and BMI (Fig. 1). When analyzed by the multivariate Cox proportional hazards regression method, a higher grade of steatosis,

Figure 1 Relative risk differences of hepatocellular carcinoma (HCC) among patients with and without steatosis. The relative risk of hepatic steatosis (grade $\geq 10\%$) for HCC development was analyzed, after stratification for other risk factors such as interferon (IFN) therapy, stage of fibrosis, age, sex and body mass index (BMI). SVR, sustained virological response.



older age, male sex, higher BMI, an advanced stage of fibrosis and non-SVR to IFN therapy were independent risk factors associated with the development of HCC (Table 2). The adjusted risk ratio of hepatic steatosis was 3.04 (95% confidence interval 1.82–5.06, $P < 0.0001$). The presence of diabetes and consumption of ethanol were not significant. Figure 2(a) shows the Kaplan–Meier curve of the time to development of HCC in the entire cohort. The cumulative incidence of HCC was significantly higher with hepatic steatosis of 10% or greater. To adjust for other risk factors, patients were stratified according to response to IFN therapy, stage of fibrosis, age, sex and BMI. The difference remained significant, even after stratification for these confounding factors (Fig. 2b–f). Three patients died after the development of HCC. All were over 60 years old, and had significant steatosis. The impact of hepatic steatosis on the survival rate could not be analyzed due to the small number of death.

DISCUSSION

IN THIS STUDY, we have shown that the presence of significant steatosis is an independent risk factor for

the development of HCC in chronic hepatitis C. Our study involved the largest number of patients, compared to previous reports, and this enabled us to adjust for other known risk factors for HCC. The impact of steatosis on HCC development remained significant even after adjusting for other risk factors such as older age, male sex, higher BMI, advanced fibrosis and non-SVR to IFN therapy. These findings indicate the need of intensive surveillance for HCC in patients with significant steatosis and provide an argument for therapeutic interventions aimed at reducing steatosis, in order to reduce the risk of HCC.

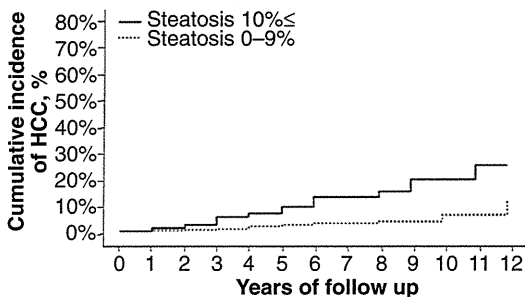
The association between hepatic steatosis and the development of HCC in chronic hepatitis C has been proposed and the possible mechanism has been discussed.¹⁶ There are several cohort studies on this topic but their results are conflicting. The first report included 20 patients with SVR to IFN, 51 patients with non-SVR to IFN and 90 patients who did not receive IFN therapy.¹⁷ In this cohort of 161 patients, older age, absence of IFN therapy, cirrhosis and steatosis were associated with HCC development. Another study involved 25 patients with HCC and an equal number of patients who did not develop HCC, matched for

Table 2 Multivariate analysis of risk factors for hepatocellular carcinoma

Predictor		Odds ratio (95% CI)	P-value
Age	By every 10 years	1.09 (1.05–1.13)	<0.0001
Sex	Male vs female	2.12 (1.28–3.51)	0.004
Stage of fibrosis	F3–4 vs F0–2	4.30 (2.59–7.14)	<0.0001
Grade of steatosis	$\geq 10\%$ vs <10%	3.04 (1.82–5.06)	<0.0001
Response to IFN	Non-SVR vs SVR	2.43 (1.13–5.23)	0.023
Diabetes	Present vs absent	0.75 (0.42–1.33)	0.319
Ethanol consumption (g/day)	≥ 20 vs <20	0.50 (0.07–3.60)	0.478
BMI (kg/m ²)	≥ 23 vs <23	1.69 (1.02–2.86)	0.043

BMI, body mass index; CI, confidence interval; IFN, interferon; SVR, sustained virological response.

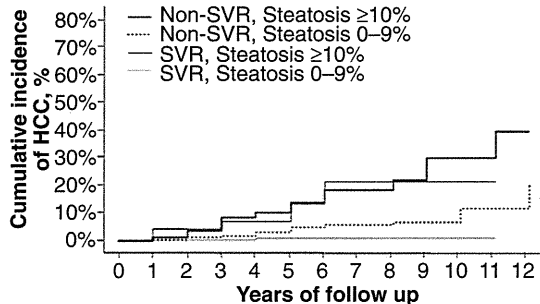
(a) **Entire cohort**



Number of patients at risk

Steatosis 0-9%	927	824	620	503	320	227	161	117	77	49	27	10
Steatosis ≥10%	352	271	207	157	113	83	54	48	32	17	9	1

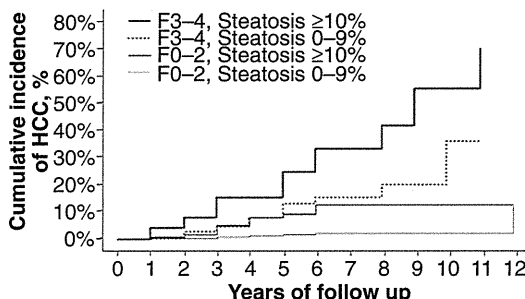
(b) **Stratified by response to IFN therapy**



Number of patients at risk

SVR												
Steatosis 0-9%	326	254	204	153	81	55	33	21	15	10	5	0
Steatosis ≥10%	67	50	34	22	14	10	4	4	4	2	2	0
Non-SVR												
Steatosis 0-9%	601	507	416	350	239	172	128	96	62	39	22	10
Steatosis ≥10%	285	221	173	135	99	73	50	44	28	15	7	1

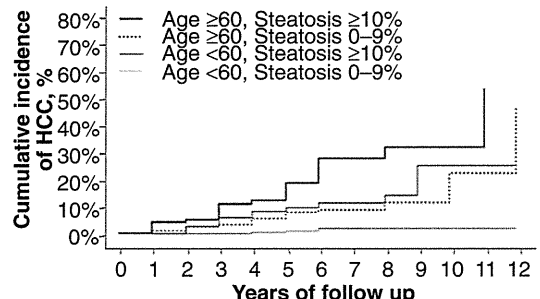
(c) **Stratified by stage of fibrosis**



Number of patients at risk

F0-2												
Steatosis 0-9%	759	623	509	415	266	188	137	99	64	39	25	10
Steatosis ≥10%	234	190	146	107	77	55	37	32	19	11	6	1
F3-4												
Steatosis 0-9%	118	81	61	50	36	28	17	16	13	6	3	0
Steatosis ≥10%	168	138	111	88	54	39	23	18	13	10	2	0

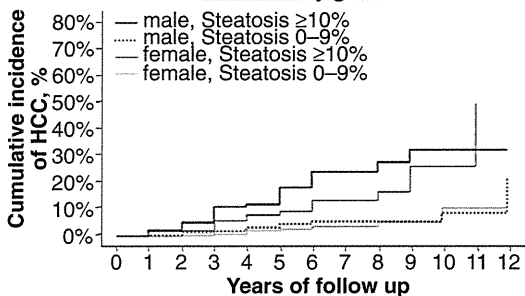
(d) **Stratified by age**



Number of patients at risk

Age <60												
Steatosis 0-9%	549	457	367	298	188	148	111	83	53	33	19	7
Steatosis ≥10%	193	154	111	83	61	48	34	31	23	12	6	1
Age ≥60												
Steatosis 0-9%	378	304	253	205	132	79	50	34	24	16	8	3
Steatosis ≥10%	159	117	96	74	52	35	20	17	9	5	3	0

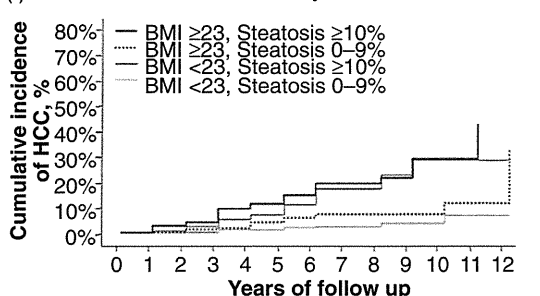
(e) **Stratified by gender**



Number of patients at risk

Male												
Steatosis 0-9%	470	389	319	265	169	126	90	65	46	30	17	7
Steatosis ≥10%	173	134	98	73	54	40	21	21	15	8	6	1
Female												
Steatosis 0-9%	457	372	301	238	151	101	71	52	31	19	10	3
Steatosis ≥10%	179	137	109	84	59	43	33	27	17	9	3	0

(f) **Stratified by BMI**



Number of patients at risk

BMI ≥23												
Steatosis 0-9%	417	346	269	213	129	94	66	49	31	19	8	4
Steatosis ≥10%	226	176	137	101	71	55	34	33	20	10	5	0
BMI <23												
Steatosis 0-9%	510	415	351	290	191	133	95	68	46	30	19	6
Steatosis ≥10%	126	95	70	56	42	28	20	15	12	7	4	1

Figure 2 Cumulative incidence of hepatocellular carcinoma (HCC) among patients with steatosis (solid line) and without steatosis (dotted line), stratified by other risk factors. The cumulative incidence of HCC was (a) significantly higher in patients with a steatosis grade of 10% or greater ($P < 0.0001$ by the log-rank test), even after (b) stratification by the response to interferon therapy ($P < 0.0001$ for sustained virological response [SVR] and non-SVR by the log-rank test), (c) stratification by the stage of fibrosis ($P < 0.0001$ for F0–2 and $P = 0.0036$ for F3–4 by the log-rank test), (d) stratification by age ($P = 0.0001$ for age ≥ 60 and $P < 0.0001$ for age < 60 by the log-rank test), (e) stratification by sex ($P < 0.0001$ for men and women by the log-rank test), and (f) stratification by body mass index (BMI) ($P < 0.0001$ for BMI ≥ 23 kg/m² and < 23 kg/m² by the log-rank test). The number of patients at risk is shown below each graph.

age, sex, HCV genotype and stage of fibrosis.¹⁹ In this study, only ALT and albumin were identified as predictors of HCC and steatosis was not. The authors acknowledged the small size of the cohort as a limitation and emphasized the need for larger cohort studies. The third study analyzed explanted liver from cirrhotic patients who underwent liver transplantation and included 32 patients with HCC and 62 patients without HCC.¹⁸ The authors found that older age, higher α -fetoprotein levels and steatosis were significantly associated with HCC. The major advantage of this study was the standardization of fibrosis stage to cirrhosis. On the other hand, a limitation was the retrospective nature of the study; steatosis was evaluated after the diagnosis of HCC, when cirrhosis already was present (fibrosis stage F4). Because steatosis has been reported to decrease once cirrhosis has developed, this study may have underestimated the grade of steatosis present prior to the development of HCC. Thus, we cannot simply apply their findings to a clinical setting where biopsies are usually obtained before the development of cirrhosis and years before the development of HCC. Based on that background, the principal aim of this study was to analyze the association between hepatic steatosis and the development of HCC in chronic hepatitis C patients, adjusting for known risk factors. We found that steatosis was an independent risk factor by the multivariate Cox proportional hazards regression analysis and by the Kaplan–Meier method and log-rank test after stratification by other risk factors. To our surprise, the adjusted risk ratio of hepatic steatosis was higher than that of older age, male sex, non-SVR to IFN and higher BMI.

How steatosis contributes to the development of HCC remains unclear. Several studies including ours,¹⁰ indicated that hepatic steatosis promotes the progression of hepatic fibrosis,^{11–15} which potentiates the risk of HCC indirectly. On the other hand, the ob/ob mouse model of NAFLD showed that hepatic neoplasia developed in the absence of advanced fibrosis, supporting the concept that metabolic abnormalities related to obesity initiate

the neoplastic process.⁸ Leptin, an adipocytokine related to steatosis in chronic hepatitis C,²¹ was shown recently to be mitogenic in human liver²² and thus may be a link between steatosis and HCC development. Otherwise, steatosis may be responsible for increased lipid peroxidation and reactive oxygen species which induce genetic damage.^{23–25} Another study showed that mice transgenic for the HCV core gene developed hepatic steatosis early in life and thereafter HCC which indicates that the HCV core protein has a chief role in the development of both steatosis and HCC development.²⁶ The precise mechanism of the association between steatosis and carcinogenesis needs further investigation.

The higher incidence of HCC in patients with significant steatosis has important clinical implications. The most important question is whether therapeutic interventions aimed at reducing steatosis could reduce the risk of HCC in chronic hepatitis C. Because the adjusted risk ratio of hepatic steatosis was higher than that of older age, male sex, non-SVR to IFN and higher BMI, we hypothesize that modification of lifestyle and the amelioration of hepatic steatosis may efficiently prevent hepatocarcinogenesis in patients having concomitant risk factors. Apparently, further prospective studies focusing on this point are necessary. Weight reduction may provide an important treatment strategy because one study indicated that weight reduction in chronic hepatitis C leads to a reduction in steatosis and an improvement in fibrosis despite the persistence of HCV infection.²⁷ Alternatively, insulin resistance may be another target of therapy because a study showed that the administration of pioglitazone led to metabolic and histological improvement in subjects with non-alcoholic steatohepatitis.²⁸ A limitation of the present study was that data for the plasma insulin concentration was not available and thus insulin resistance could not be assessed. Whether insulin resistance plays a role in hepatocarcinogenesis or its amelioration could improve steatosis and ultimately prevent development of HCC in chronic hepatitis C awaits future investigation.

Another important finding of the present study was that steatosis was a significant risk factor for the development of HCC in patients with SVR to IFN therapy. Thus, steatosis may play a role in carcinogenesis in patients who have cleared HCV. Several studies have shown that the incidence of HCC is reduced but not eliminated in those with SVR to IFN.^{29–31} Because the predictors of HCC development in SVR patients have not been established to date, steatosis may be used to identify patients who need intensive surveillance and long-term follow up, even after the clearance of HCV. In conclusion, we showed that hepatic steatosis is significantly associated with the development of HCC in chronic hepatitis C independent of age, sex, BMI, degree of fibrosis and response to previous IFN therapy. Steatosis may be a useful marker for identifying patients at higher risk for HCC. Further studies are needed to evaluate the hypothesis that therapeutic interventions aimed at reducing steatosis may prevent hepatocarcinogenesis.

ACKNOWLEDGMENTS

THIS STUDY WAS supported by a Grant-in-Aid from the Ministry of Health, Labor and Welfare, Japan.

REFERENCES

- 1 El-Serag HB, Rudolph KL. Hepatocellular carcinoma: epidemiology and molecular carcinogenesis. *Gastroenterology* 2007; **132**: 2557–76.
- 2 Shimada M, Hashimoto E, Taniai M *et al.* Hepatocellular carcinoma in patients with non-alcoholic steatohepatitis. *J Hepatol* 2002; **37**: 154–60.
- 3 Bugianesi E, Leone N, Vanni E *et al.* Expanding the natural history of nonalcoholic steatohepatitis: from cryptogenic cirrhosis to hepatocellular carcinoma. *Gastroenterology* 2002; **123**: 134–40.
- 4 Marrero JA, Fontana RJ, Su GL, Conjeevaram HS, Emick DM, Lok AS. NAFLD may be a common underlying liver disease in patients with hepatocellular carcinoma in the United States. *Hepatology* 2002; **36**: 1349–54.
- 5 Calle EE, Rodriguez C, Walker-Thurmond K, Thun MJ. Overweight, obesity, and mortality from cancer in a prospectively studied cohort of U.S. adults. *N Engl J Med* 2003; **348**: 1625–38.
- 6 El-Serag HB, Tran T, Everhart JE. Diabetes increases the risk of chronic liver disease and hepatocellular carcinoma. *Gastroenterology* 2004; **126**: 460–8.
- 7 Davila JA, Morgan RO, Shaib Y, McGlynn KA, El-Serag HB. Diabetes increases the risk of hepatocellular carcinoma in the United States: a population based case control study. *Gut* 2005; **54**: 533–9.
- 8 Yang S, Lin HZ, Hwang J, Chacko VP, Diehl AM. Hepatic hyperplasia in noncirrhotic fatty livers: is obesity-related hepatic steatosis a premalignant condition? *Cancer Res* 2001; **61**: 5016–23.
- 9 Lefkowitz JH, Schiff ER, Davis GL *et al.* Pathological diagnosis of chronic hepatitis C: a multicenter comparative study with chronic hepatitis B. The Hepatitis Interventional Therapy Group. *Gastroenterology* 1993; **104**: 595–603.
- 10 Kurosaki M, Matsunaga K, Hirayama I *et al.* The presence of steatosis and elevation of alanine aminotransferase levels are associated with fibrosis progression in chronic hepatitis C with non-response to interferon therapy. *J Hepatol* 2008; **48**: 736–42.
- 11 Hourigan LF, Macdonald GA, Purdie D *et al.* Fibrosis in chronic hepatitis C correlates significantly with body mass index and steatosis. *Hepatology* 1999; **29**: 1215–19.
- 12 Adinolfi LE, Gambardella M, Andreana A, Tripodi MF, Utili R, Ruggiero G. Steatosis accelerates the progression of liver damage of chronic hepatitis C patients and correlates with specific HCV genotype and visceral obesity. *Hepatology* 2001; **33**: 1358–64.
- 13 Westin J, Nordlinder H, Lagging M, Norkrans G, Wejstal R. Steatosis accelerates fibrosis development over time in hepatitis C virus genotype 3 infected patients. *J Hepatol* 2002; **37**: 837–42.
- 14 Fartoux L, Chazouilleres O, Wendum D, Poupon R, Serfaty L. Impact of steatosis on progression of fibrosis in patients with mild hepatitis C. *Hepatology* 2005; **41**: 82–7.
- 15 Leandro G, Mangia A, Hui J *et al.* Relationship between steatosis, inflammation, and fibrosis in chronic hepatitis C: a meta-analysis of individual patient data. *Gastroenterology* 2006; **130**: 1636–42.
- 16 Koike K. Hepatitis C virus contributes to hepatocarcinogenesis by modulating metabolic and intracellular signaling pathways. *J Gastroenterol Hepatol* 2007; **22** (Suppl 1): S108–11.
- 17 Ohata K, Hamasaki K, Toriyama K *et al.* Hepatic steatosis is a risk factor for hepatocellular carcinoma in patients with chronic hepatitis C virus infection. *Cancer* 2003; **97**: 3036–43.
- 18 Pekow JR, Bhan AK, Zheng H, Chung RT. Hepatic steatosis is associated with increased frequency of hepatocellular carcinoma in patients with hepatitis C-related cirrhosis. *Cancer* 2007; **109**: 2490–6.
- 19 Kumar D, Farrell GC, Kench J, George J. Hepatic steatosis and the risk of hepatocellular carcinoma in chronic hepatitis C. *J Gastroenterol Hepatol* 2005; **20**: 1395–400.
- 20 Bedossa P, Poynard T. An algorithm for the grading of activity in chronic hepatitis C. The METAVIR Cooperative Study Group. *Hepatology* 1996; **24**: 289–93.
- 21 Romero-Gomez M, Castellano-Megias VM, Grande L *et al.* Serum leptin levels correlate with hepatic steatosis in chronic hepatitis C. *Am J Gastroenterol* 2003; **98**: 1135–41.
- 22 Ramani K, Yang H, Xia M, Ara AI, Mato JM, Lu SC. Leptin's mitogenic effect in human liver cancer cells requires induc-

- tion of both methionine adenosyltransferase 2A and 2beta. *Hepatology* 2008; 47: 521–31.
- 23 Okuda M, Li K, Beard MR *et al.* Mitochondrial injury, oxidative stress, and antioxidant gene expression are induced by hepatitis C virus core protein. *Gastroenterology* 2002; 122: 366–75.
- 24 Cai D, Yuan M, Frantz DF *et al.* Local and systemic insulin resistance resulting from hepatic activation of IKK-beta and NF-kappaB. *Nat Med* 2005; 11: 183–90.
- 25 Arkan MC, Hevener AL, Greten FR *et al.* IKK-beta links inflammation to obesity-induced insulin resistance. *Nat Med* 2005; 11: 191–8.
- 26 Moriya K, Fujie H, Shintani Y *et al.* The core protein of hepatitis C virus induces hepatocellular carcinoma in transgenic mice. *Nat Med* 1998; 4: 1065–7.
- 27 Hickman IJ, Clouston AD, Macdonald GA *et al.* Effect of weight reduction on liver histology and biochemistry in patients with chronic hepatitis C. *Gut* 2002; 51: 89–94.
- 28 Belfort R, Harrison SA, Brown K *et al.* A placebo-controlled trial of pioglitazone in subjects with nonalcoholic steatohepatitis. *N Engl J Med* 2006; 355: 2297–307.
- 29 Yoshida H, Shiratori Y, Moriyama M *et al.* Interferon therapy reduces the risk for hepatocellular carcinoma: national surveillance program of cirrhotic and noncirrhotic patients with chronic hepatitis C in Japan. IHIT Study Group. Inhibition of Hepatocarcinogenesis by Interferon Therapy. *Ann Intern Med* 1999; 131: 174–81.
- 30 Nishiguchi S, Shiomi S, Nakatani S *et al.* Prevention of hepatocellular carcinoma in patients with chronic active hepatitis C and cirrhosis. *Lancet* 2001; 357: 196–7.
- 31 Shiratori Y, Ito Y, Yokosuka O *et al.* Antiviral therapy for cirrhotic hepatitis C: association with reduced hepatocellular carcinoma development and improved survival. *Ann Intern Med* 2005; 142: 105–14.

Pre-treatment prediction of response to pegylated-interferon plus ribavirin for chronic hepatitis C using genetic polymorphism in *IL28B* and viral factors

Masayuki Kurosaki¹, Yasuhito Tanaka², Nao Nishida³, Naoya Sakamoto⁴, Nobuyuki Enomoto⁵, Masao Honda⁶, Masaya Sugiyama², Kentaro Matsuura², Fuminaka Sugauchi², Yasuhiro Asahina¹, Mina Nakagawa⁴, Mamoru Watanabe⁴, Minoru Sakamoto⁵, Shinya Maekawa⁵, Akito Sakai⁶, Shuichi Kaneko⁶, Kiyooki Ito⁷, Naohiko Masaki⁷, Katsushi Tokunaga³, Namiki Izumi^{1,*}, Masashi Mizokami^{2,7}

¹Division of Gastroenterology and Hepatology, Musashino Red Cross Hospital, Tokyo, Japan; ²Department of Virology, Liver Unit, Nagoya City University, Graduate School of Medical Sciences, Nagoya, Japan; ³Department of Human Genetics, Graduate School of Medicine, University of Tokyo, Tokyo, Japan; ⁴Department of Gastroenterology and Hepatology, Tokyo Medical and Dental University, Tokyo, Japan; ⁵First Department of Internal Medicine, University of Yamanashi, Yamanashi, Japan; ⁶Department of Gastroenterology, Kanazawa University, Graduate School of Medicine, Kanazawa, Japan; ⁷Research Center for Hepatitis and Immunology, International Medical Center of Japan, Konodai Hospital, Ichikawa, Japan

Background & Aims: Pegylated interferon and ribavirin (PEG-IFN/RBV) therapy for chronic hepatitis C virus (HCV) genotype 1 infection is effective in 50% of patients. Recent studies revealed an association between the *IL28B* genotype and treatment response. We aimed to develop a model for the pre-treatment prediction of response using host and viral factors.

Methods: Data were collected from 496 patients with HCV genotype 1 treated with PEG-IFN/RBV at five hospitals and universities in Japan. *IL28B* genotype and mutations in the core and IFN sensitivity determining region (ISDR) of HCV were analyzed to predict response to therapy. The decision model was generated by data mining analysis.

Results: The *IL28B* polymorphism correlated with early virological response and predicted null virological response (NVR) (odds ratio = 20.83, $p < 0.0001$) and sustained virological response (SVR) (odds ratio = 7.41, $p < 0.0001$) independent of other covariates. Mutations in the ISDR predicted relapse and SVR independent of *IL28B*. The decision model revealed that patients with the minor *IL28B* allele and low platelet counts had the highest NVR (84%) and lowest SVR (7%), whereas those with the major *IL28B* allele and mutations in the ISDR or high platelet counts had the lowest NVR (0–17%) and highest SVR (61–90%). The model had high reproducibility and predicted SVR with 78% specificity and 70% sensitivity.

Conclusions: The *IL28B* polymorphism and mutations in the ISDR of HCV were significant pre-treatment predictors of response to PEG-IFN/RBV. The decision model, including these host and viral factors may support selection of optimum treatment strategy for individual patients.

© 2010 European Association for the Study of the Liver. Published by Elsevier B.V. All rights reserved.

Introduction

Hepatitis C virus (HCV) infection is the leading cause of cirrhosis and hepatocellular carcinoma worldwide [1]. The successful eradication of HCV, defined as a sustained virological response (SVR), is associated with a reduced risk of developing hepatocellular carcinoma. Currently, pegylated interferon (PEG-IFN) plus ribavirin (RBV) is the most effective standard of care for chronic hepatitis C but the rate of SVR is around 50% in patients with HCV genotype 1 [2,3], the most common genotype in Japan, Europe, the United States, and many other countries. Moreover, 20–30% of patients with HCV genotype 1 have a null virological response (NVR) to PEG-IFN/RBV therapy [4]. The most reliable method for predicting the response is to monitor the early decline of serum HCV-RNA levels during treatment [5] but there is no established method for prediction before treatment. Because PEG-IFN/RBV therapy is costly and often accompanied by adverse effects such as flu-like symptoms, depression and hematological abnormalities, pre-treatment predictions of those patients who are unlikely to benefit from this regimen enables ineffective treatment to be avoided.

Recently, it has been reported through a genome-wide association study (GWAS) of patients with genotype 1 HCV that single nucleotide polymorphisms (SNPs) located near the *IL28B* gene are strongly associated with a response to PEG-IFN/RBV therapy in

Keywords: *IL28B*; ISDR; Peg-interferon; Ribavirin; Data mining; Decision tree.
Received 14 March 2010; received in revised form 22 June 2010; accepted 7 July 2010;
available online 19 September 2010

* Corresponding author. Address: Division of Gastroenterology and Hepatology, Musashino Red Cross Hospital, 1-26-1 Kyonan-cho, Musashino-shi, Tokyo 180-8610, Japan. Tel.: +81 422 32 3111; fax: +81 422 32 9551.

E-mail address: nizumi@musashino.jrc.or.jp (N. Izumi).



ELSEVIER

Research Article

Table 1. Baseline characteristics of all patients, and patients assigned to the model building or validation groups.

	All patients n = 496	Model group n = 331	Validation group n = 165
Gender: male	250 (50%)	170 (51%)	80 (48%)
Age (years)	57.1 ± 9.9	56.8 ± 9.7	57.5 ± 10.2
ALT (IU/L)	78.6 ± 60.8	78.1 ± 61.4	79.7 ± 59.6
GGT (IU/L)	59.3 ± 63.6	58.9 ± 62.0	60.2 ± 66.9
Platelets (10 ⁹ /L)	154 ± 53	153 ± 52	154 ± 56
Fibrosis: F3-4	121 (24%)	80 (24%)	41 (25%)
HCV-RNA: >600,000 IU/ml	409 (82%)	273 (82%)	136 (82%)
ISDR mutation: ≤1	220 (88%)	290 (88%)	145 (88%)
Core 70 (Arg/Gln or His)	293 (59%)/203 (41%)	197 (60%)/134 (40%)	96 (58%)/69 (42%)
Core 91 (Leu/Met)	299 (60%)/197 (40%)	200 (60%)/131 (40%)	99 (60%)/66 (40%)
<i>IL28B</i> : Minor allele	151 (30%)	101 (31%)	50 (30%)
SVR	194 (39%)	129 (39%)	65 (39%)
Relapse	152 (31%)	103 (31%)	49 (30%)
NVR	150 (30%)	99 (30%)	51 (31%)

ALT, alanine aminotransferase; GGT, gamma-glutamyltransferase; ISDR, interferon sensitivity determining region; Arg, arginine; Gln, glutamine; His, histidine; Leu, leucine; Met, methionine; Minor, heterozygote or homozygote of minor allele; SVR, sustained virological response; NVR, null virological response.

Japanese [6], European [7], and a multi-ethnic population [8,9]. The last three studies focused on the association of SNPs in the *IL28B* region with SVR [7–9] but we found a stronger association with NVR [6]. In addition to these host genetic factors, we have reported that mutations within a stretch of 40 amino acids in the NS5A region of HCV, designated as the IFN sensitivity determining region (ISDR), are closely associated with the virological response to IFN therapy: a lower number of mutations is associated with treatment failure [10–13]. Amino acid substitutions at positions 70 and 91 of the HCV core region (Core70, Core91) also have been reported to be associated with response to PEG-IFN/RBV therapy: glutamine (Gln) or histidine (His) at Core70 and methionine (Met) at Core91 are associated with treatment resistance [4,14]. The importance of substitutions in the HCV core and ISDR was confirmed recently by a Japanese multicenter study [15]. How these viral factors contribute to response to therapy is yet to be determined. For general application in clinical practice, host genetic factors and viral factors should be considered together.

Data mining analysis is a family of non-parametric regression methods for predictive modeling. Software is used to automatically explore the data to search for optimal split variables and to build a decision tree structure [16]. The major advantage of decision tree analysis over logistic regression analysis is that the results of the analysis are presented in the form of flow chart, which can be interpreted intuitively and readily made available for use in clinical practice [17]. The decision tree analysis has been utilized to define prognostic factors in various diseases [18–25]. We have reported recently its usefulness for the prediction of an early virological response (undetectable HCV-RNA within 12 weeks of therapy) to PEG-IFN/RBV therapy in chronic hepatitis C [26].

This study aimed to define the pre-treatment prediction of response to PEG-IFN/RBV therapy through the integrated analysis of host factors, such as the *IL28B* genetic polymorphism and various clinical covariates, as well as viral factors, such as mutations in the HCV core and ISDR and serum HCV-RNA load. In addition,

for the general application of these results in clinical practice, decision models for the pre-treatment prediction of response were determined by data mining analysis.

Materials and methods

Patients

This was a multicentre retrospective study supported by the Japanese Ministry of Health, Labor and Welfare. Data were collected from a total of 496 chronic hepatitis C patients who were treated with PEG-IFN alpha and RBV at five hospitals and universities throughout Japan. Of these, 98 patients also were included in the original GWAS analysis [6]. The inclusion criteria in this study were as follows (1) infection by genotype 1b, (2) lack of co-infection with hepatitis B virus or human immunodeficiency virus, (3) lack of other causes of liver disease, such as autoimmune hepatitis, and primary biliary cirrhosis, (4) completion of at least 24 weeks of therapy, (5) adherence of more than 80% to the planned dose of PEG-IFN and RBV for the NVR patients, (6) availability of DNA for the analysis of the genetic polymorphism of *IL28B*, and (7) availability of serum for the determination of mutations in the ISDR and substitutions of Core70 and Core91 of HCV. Patients received PEG-IFN alpha-2a (180 µg) or 2b (1.5 µg/kg) subcutaneously every week and were administered a weight adjusted dose of RBV (600 mg for <60 kg, 800 mg for 60–80 kg, and 1000 mg for >80 kg daily) which is the recommended dosage in Japan. Written informed consent was obtained from each patient and the study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional ethics review committee. The baseline characteristics are listed in Table 1. For the data mining analysis, 67% of the patients (331 patients) were assigned randomly to the model building group and 33% (165 patients) to the validation group. There were no significant differences in the clinical backgrounds between these two groups.

Laboratory and histological tests

Blood samples were obtained before therapy and were analyzed for hematologic tests and for blood chemistry and HCV-RNA. Sequences of ISDR and the core region of HCV were determined by direct sequencing after amplification by reverse-transcription and polymerase chain reaction as reported previously [4,11]. Genetic polymorphism in one tagging SNP located near the *IL28B* gene (rs8099917) was determined by the GWAS or DigiTag2 assay [27]. Homozygosity (GG) or heterozygosity (TG) of the minor sequence was defined as having the *IL28B* minor allele, whereas homozygosity for the major sequence (TT) was

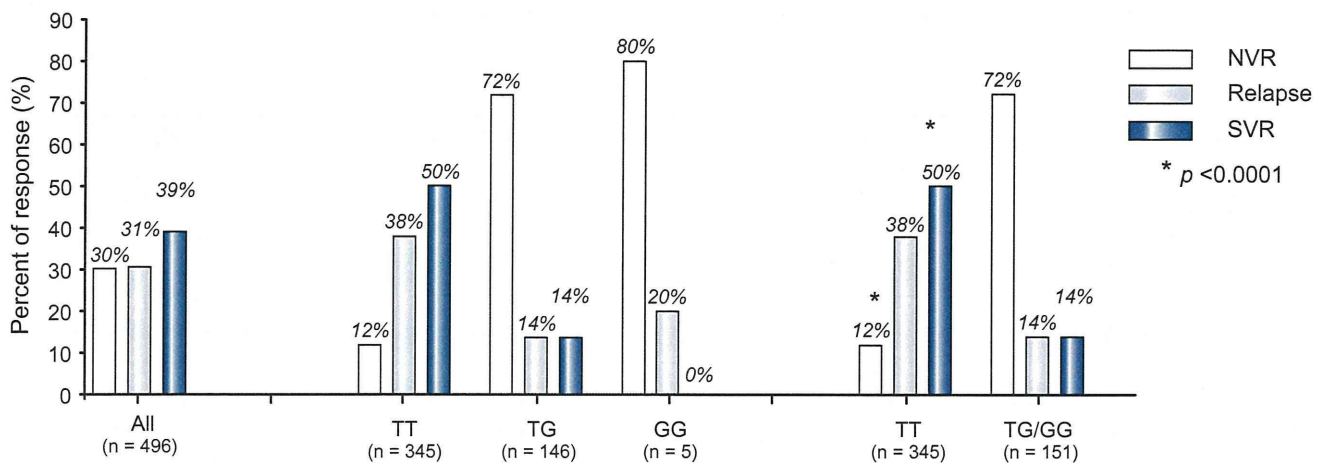


Fig. 1. Association between the IL28B genotype (rs8099917) and treatment response. The rates of response to treatment are shown for each rs8099917 genotype. The rate of null virological response (NVR), relapse, and sustained virological response (SVR) is shown. The *p* values are from Fisher's exact test. The rate of NVR was significantly higher (*p* <0.0001) and the rate of SVR was significantly lower (*p* <0.0001) in patients with the IL28B minor allele compared to those with the major allele.

defined as having the IL28B major allele. In this study, NVR was defined as a less than 2 log reduction of HCV-RNA at week 12 and detectable HCV-RNA by qualitative PCR with a lower detection limit of 50 IU/ml (Amplicor, Roche Diagnostic systems, CA) at week 24 during therapy. RVR (rapid virological response) and complete early virological response (cEVR) were defined as undetectable HCV-RNA at 4 weeks and 12 weeks during therapy and SVR was defined as undetectable HCV-RNA 24 weeks after the completion of therapy. Relapse was defined as reappearance of HCV-RNA after the completion of therapy. The stage of liver fibrosis was scored according to the METAVIR scoring system: F0 (no fibrosis), F1 (mild fibrosis: portal fibrosis without septa), F2 (moderate fibrosis: few septa), F3 (severe fibrosis: numerous septa without cirrhosis) and F4 (cirrhosis). Percentage of steatosis was quantified in 111 patients by determining the average proportion of hepatocytes affected by steatosis.

Statistical analysis

Associations between pre-treatment variables and treatment response were analyzed by univariate and multivariate logistic regression analysis. Associations between the IL28B polymorphism and sequences of HCV were analyzed by Fisher's exact test. SPSS software v.15.0 (SPSS Inc., Chicago, IL) was used for these analyses. For the data mining analysis, IBM-SPSS Modeler version 13.0 (IBM-SPSS Inc., Chicago, IL) software was utilized as reported previously [26]. The patients used for model building were divided into two groups at each step of the analysis based on split variables. Each value of each variable was considered as a potential split. The optimum variables and cut-off values were determined by a statistical search algorithm to generate the most significant division into two prognostic subgroups that were as homogeneous as possible for the probability of SVR. Thereafter, each subgroup was evaluated again and divided further into subgroups. This procedure was repeated until no additional significant variable was detected or the sample size was below 15. To avoid over-fitting, 10-fold cross validation was used in the tree building process. The reproducibility of the resulting model was tested with the data from the validation patients.

Results

Association between the IL28B (rs8099917) genotype and the PEG-IFN/RBV response

The rs8099917 allele frequency was 70% for TT (*n* = 345), 29% for TG (*n* = 146), and 1% for GG (*n* = 5). We defined the IL28B major allele as homozygous for the major sequence (TT) and the IL28B minor allele as homozygous (GG) or heterozygous (TG) for the minor sequence. The rate of NVR was significantly higher (72% vs. 12%, *p* <0.0001) and the rate of SVR was significantly lower (14% vs. 50%, *p* <0.0001) in patients with the IL28B minor allele compared to those with the major allele (Fig. 1).

Effect of the IL28B polymorphism, substitutions in the ISDR, Core70, and Core91 of HCV on time-dependent clearance of HCV

Patients were stratified according to their IL28B allele type, the number of mutations in the ISDR, the amino acid substitutions in Core70 and Core91, and the rate of undetectable HCV-RNA at 4, 8, 12, 24, and 48 weeks after the start of therapy were analyzed (Fig. 2A–D). The rate of undetectable HCV-RNA was significantly higher in patients with the IL28B major allele than the minor allele, in patients with two or more mutations in the ISDR compared to none or only one mutation, in patients with arginine (Arg) at Core70 rather than Gln/His, and in patients with leucine (Leu) at Core91 rather than Met. The difference was most significant when stratified by the IL28B allele type. The rate of RVR and cEVR was significantly more frequent in patients with the IL28B major allele compared to those with the IL28B minor allele: 9% vs. 3% for RVR (*p* <0.005) and 57% vs. 11% for cEVR (*p* <0.0001). These findings suggest that IL28B has the greatest impact on early virological response to therapy.

Association between substitutions in the ISDR and relapse after the completion of therapy

Patients were stratified according to the IL28B allele, number of mutations in the ISDR, and amino acid substitutions of Core70 and Core91, and the rate of relapse was analyzed (Fig. 3A and B). Among patients who achieved cEVR, the rate of relapse was significantly lower in patients with two or more mutations in the ISDR compared to those with only one or no mutations (15% vs. 31%, *p* <0.005) (Fig. 3 B). On the other hand, the relapse rate was not different between the IL28B major and minor alleles within patients who achieved RVR (3% vs. 0%) or cEVR (28% vs. 29%) (Fig. 3A). Amino acid substitutions of Core70 and Core91 were not associated with the rate of relapse (data not shown).

Factors associated with response by multivariate logistic regression analysis

By univariate analysis, the minor allele of IL28B (*p* <0.0001), one or no mutations in the ISDR (*p* = 0.03), high serum level of