Table 2. Characteristics of patients who received Peg-IFN and ribavirin combination therapy and normal control.

Pt.No.	Sex	Age (yr)	Genotype	ALT (IU/ml)	Liver histology		HCV-RNA (Log IU/ml)				Viral response	Outcome
				Before therapy	Before therapy		Before therapy	2 wk	4 wk	24 wk		
					F	A						
1	M	57	1b	68	1	1	6.5	-	-	-	Rsp	SVR
2	F	56	1b	31	1	1	6.5	4.4	-	-	Rsp	SVR
3	M	63	1b	50	1	1	6.1	-	-	-	Rsp	SVR
4	M	44	1b	45	1	1	6.5	3.7	-	-	Rsp	SVR
5	F	51	1b	27	2	1	6.5	4.1	-	-	Rsp	SVR
6	M	58	1b	72	2	1	6.2	-	-	-	Rsp	SVR
7	M	60	1b	71	2	2	6.2	3.9	-	-	Rsp	SVR
8	F	52	1b	58	2	2	6.5	4.1	-	-	Rsp	SVR
9	F	62	1b	60	3	2	5.9	3.8	-	-	Rsp	SVR
10	M	55	1b	106	3	2	6.4	-	-	-	Rsp	SVR
11	M	30	1b	31	1	1	6.4	6.1	5.9	+	nonRsp	NR
12	F	55	1b	23	1	2	6.5	6.1	5.9	+	nonRsp	NR
13	M	58	1b	129	1	2	6.3	6.0	5.8	+	nonRsp	NR
14	M	42	1b	326	2	1	6.6	6.2	5.8	+	nonRsp	NR
15	F	61	1b	77	2	1	6.1	5.9	5.7	+	nonRsp	NR
16	F	44	1b	31	2	2	5.5	5.3	4.7	+	nonRsp	NR
17	M	51	1b	38	2	2	6.5	6.2	5.9	+	nonRsp	NR
18	F	55	1b	97	2	2	6.7	6.3	6.1	+	nonRsp	NR
19	M	59	1b	31	3	2	6.7	5.9	5.7	+	nonRsp	NR
20	F	53	1b	71	3	2	5.9	5.8	5.8	+	nonRsp	NR
21	F	51	-	18	0	0	-	-	-	-	-	-
22	F	78	-	13	0	0	-	-	-	-	-	-
23	M	75	-	20	0	0	-	-	-	-	-	-
24	M	34	-	12	0	0	-	-	-	-	-	-
25	M	64	-	30	0	0	-	-	-	-	-	-
26	M	78	-	9	0	0	-	-	-	-	-	-
27	M	53	-	19	0	0	-	-	-	-	-	-
28	F	64	-	12	0	0	-	-	-	-	-	-
29	F	60	-	20	0	0	-	-	-	-	-	-
30	M	66	-	26	0	0	-	-	-	-	-	-

SVR, sustained viral response; NR, nonresponse; Rsp, viral responder, patients with SVR or TR; nonRsp, non-viral responder; patients with NR.

Preparation of liver tissue samples

Liver biopsy samples were taken from all the patients at around 1 week before treatment and at 1 week after starting therapy (Fig. 1A). The biopsy samples were divided into three parts: the first part was immersed in formalin for histological assessment, the second was immediately frozen in liquid nitrogen tank for future RNA isolation, and the final part was frozen in OCT compound for LCM analysis and stored at −80 °C until use. As a control, a liver tissue sample was surgically obtained from a patient who showed no clinical signs of hepatitis and was analyzed as described previously [11].

CLL and CPA were isolated by LCM using a CRI-337 (Cell Robotics, Albuquerque, NM, USA) (Supplementary Fig. 1) from the liver biopsy specimens frozen in OCT compound. The detailed procedure for LCM is described in the Supplementary materials and methods and was performed as previously described [11,19].

RNA isolation and Affymetrix gene chip analysis

Total RNA in each liver biopsy specimen was isolated using the RNAqueous® kit (Ambion, Austin, TX, USA). Total RNA in the specimens frozen for LCM was isolated with a carrier nucleic acid (20 ng poly C) using RNAqueous®-Micro (Ambion). The quality of the isolated RNA was estimated after electrophoresis using an Agilent 2001 Bioanalyzer (Palo Alto, CA, USA). Aliquots of total RNA (50 ng) isolated from the liver biopsy specimens were subjected to amplification with the WT-Ovation™ Pico RNA Amplification System (NuGen, San Carlos, CA, USA) as recommended by the manufacturer. About 10 μg of cDNA was amplified from 50 ng total RNA, and 5 μg of cDNA was used for fragmentation and biotin labeling using the FL-Ovation™ cDNA Biotin Module V2 (NuGen) as recommended by the manufacturer. The biotin-labeled cDNA was suspended in 220 μl of hybridization cocktail (NuGen), and 200 μl was used for the hybridization. Half of the total RNA isolated from the LCM specimens was amplified twice with the TargetAmp™ 2-Round Aminoallyl-aRNA Amplification Kit 1.0 (EPICENTRE, Madison, WI, USA). Twenty-five micrograms of amplified antisense RNA were used for biotin labeling according to the manufacturer's protocol *Biotin-X-X-NHS* (provided by EPICENTRE). The biotin-labeled aRNA was suspended in 300 μl of hybridization cocktail (Affymetrix Inc., Santa Clara, CA, USA), and 200 μl was used for the hybridization with the Affymetrix Human 133 Plus 2.0 microarray chip containing 54,675 probes. After stringent washing, the microarray chips were stained with streptavidin–phycoerythrin, and probe hybridization was determined using a GeneChip® Scanner 3000 (Affymetrix). Data files (CEL) were obtained with the GeneChip® Operating Software 1.4 (GCOS) (Affymetrix). All the expression data were deposited in Gene Expression Omunibus (GEO; http://www.ncbi.nlm.nih.gov/geo/) (NCBI) and the accession ID is GSM 425,995. The experimental procedure is described in detail in the Supplementary materials and methods.

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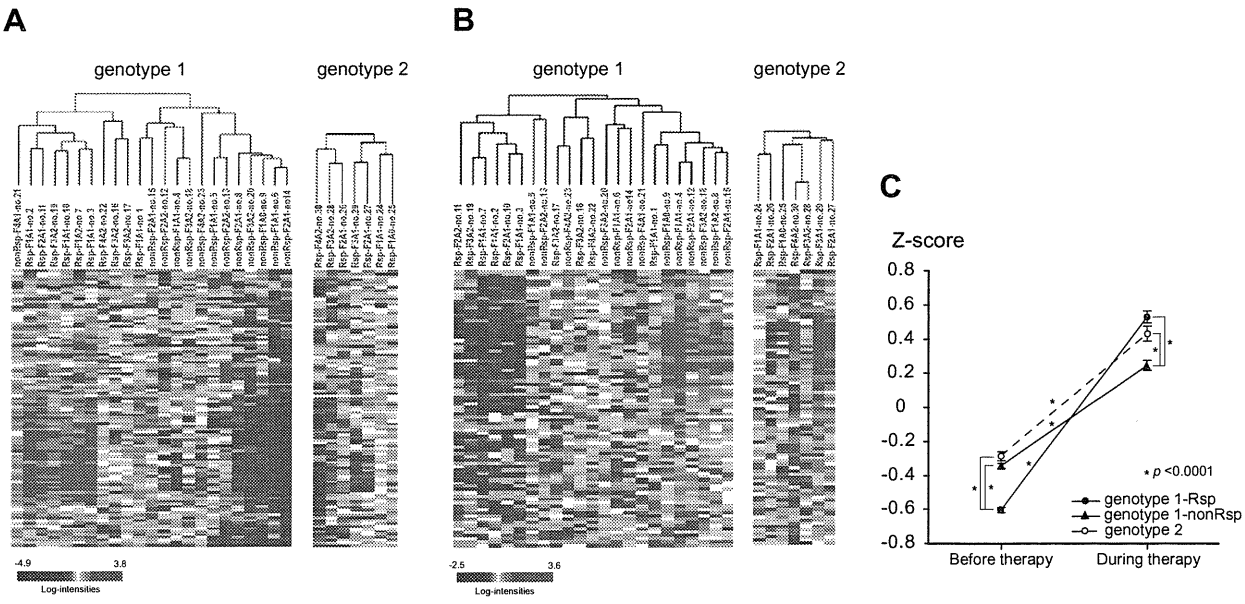

Fig. 1. (A) Hierarchical clustering of expression in genotype 1 and genotype 2 patients during treatment according to fold induction of IRSGs. (B) Hierarchical clustering of expression in genotype 1 and genotype 2 patients before treatment. (C) Serial changes in standardized expression values (*Z*-score) of IRSGs from genotype 1-Rsp, genotype 1-nonRsp, and genotype 2 patients before and during treatment.

Statistical and pathway analysis of gene chip data

Statistical analysis and hierarchical clustering were performed by BRB-ArrayTools (http://linus.nci.nih.gov/BRB-ArrayTools.htm). A class comparison tool based on univariate or paired *t*-tests was used to find differentially expressed genes (p <0.005). To confirm statistical significance, 2000 random permutations were performed, and all of the *t*-tests were re-computed for each gene. The gene set comparison was analyzed using the BioCarta and the KEGG pathway data bases. The Fisher and Kolmogorov–Smirnov tests were performed for statistical evaluation (p <0.005) (BRB-ArrayTools). Functional ontology enrichment analysis was performed to compare the Gene Ontology (GO) process distribution of differentially expressed genes (p <0.05) using MetaCore™ (GeneGo, St. Joseph, MI, USA).

For the comparison of standardized expression values among different pathway groups, standard units (*Z*-score) of each gene expression value were calculated as:

$$Z_i = \frac{X_i - X_m}{S}$$

where X_i is the raw expression value, X_m is the mean of the expression values in the pathway, and S is the standard deviation of the expression values.

The standard units in each pathway were expressed as mean ± SEM. A *P*-value of less than 0.05 was considered significant. Multivariate analysis was performed using a logistic regression model with a stepwise method using JMP7 for Windows (SAS Institute, Cary, NC, USA).

Quantitative real-time detection (RTD)-PCR

We performed quantitative real-time detection PCR (RTD)-PCR using TaqMan Universal Master Mix (PE Applied Biosystems, CA). Primer pairs and probes for Mx1, IFI44 and IFITM1, and GAPDH were obtained from TaqMan assay reagents library (Applied Biosystems, CA).

Results

Serial changes in HCV-RNA after initiation of IFN-α 2b and Rib combination therapy

Serial changes in HCV-RNA were monitored at 48 h, 2 weeks, and 24 weeks after the initiation of therapy (Table 1). The biphasic viral decline after the initiation of IFN therapy has been characterized [14,15,18]. We calculated the first phase decline by comparing viral load before therapy and after 48 h, and the second phase decline by comparing viral load after 48 h and 2 weeks (Table 1) [14,15,18]. Both the first and the second phase declines could be associated with treatment outcome and interestingly, viral responders (Rsp) who achieved SVR or TR showed more than a 1-log drop of first phase decline (Log/24 h) and more than a 0.3-log drop of second phase decline (Log/w) (Table 1). In contrast, non-responders (nonRsp) who exhibited NR failed to meet the criteria. The first phase decline of Rsp and nonRsp were 1.38 ± 0.65 log/24 h and 0.77 ± 0.44 log/24 h (p = 0.005), respectively. The second phase decline of Rsp and nonRsp were 0.71 ± 0.34 log/w and 0.11 ± 0.34 log/w (p = 0.0001), respectively. Therefore, the classification of Rsp or nonRsp according to the treatment outcome might be feasible based on the viral kinetic responses to IFN. All but one patient infected with genotype 2 HCV eliminated the virus within 2 weeks. There were no significant differences in the degree of histological activity or staging, nor in the sex, age, or alanine aminotransferase (ALT) level among these patients (Table 1). The amount of HCV-RNA was significantly lower in genotype 2 patients (4.06 ± 0.32 log IU/ml) than in genotype 1 patients (5.70 ± 1.10 log IU/ml) (Table 1).

Identification of IFN-α 2b plus Rib-induced genes in the livers of patients with chronic hepatitis C infection

To identify the genes induced in the liver by combination treatment with IFN-α 2b plus Rib, the gene expression profiles from samples taken around 1 week before and 1 week after initiation of therapy were compared. The pairwise *t*-test comparison showed that 798 genes were up-regulated and 220 genes were down-regulated significantly (p <0.005). The 100 most up-regulated genes according to p values were selected; these are listed in Supplementary Table 1. Many of the interferon-stimulated

genes (ISGs), such as Myxovirus (influenza virus) resistance 1 (MX), 2′,5′-oligoadenylate synthetase (OAS), chemokine (C–C motif) ligand 8 (CCL8), and interferon alpha-inducible protein 27 (IFI 27), were significantly induced (Supplementary Table 1). We designated these genes as *IFN and Rib-stimulated genes* (IRSGs) and analyzed them further.

Hepatic gene expression and responsiveness to IFN-α 2b and Rib combination therapy

To investigate the relationship between hepatic gene expression and responsiveness to treatment, we applied nonsupervised learning methods, hierarchical clustering analysis using all the expressed genes ($n = 34{,}988$) from samples taken before and 1 week after initiation of therapy. While hierarchical clustering analysis did not form clusters when done for all patients, it formed two clusters – Rsp and nonRsp – when performed within genotype 1 patient (data not shown).

Fold changes in expression in the 100 most up-regulated IRSGs, before and during therapy, were calculated and subjected to hierarchical clustering, and this clearly differentiated Rsp, which exhibited higher IRSGs induction, from nonRsp, as shown in Fig. 1A and Supplementary Table 1. Despite the rapid virus decline in genotype 2 patients, IRSG induction was not so evident in these patients.

Unexpectedly, the hierarchical clustering of IRSG expression in samples taken before treatment showed a reverse pattern of gene expression (Fig. 2B): IRSG induction was significantly higher in nonRsp than in Rsp. Upon treatment, the expression of IRSGs was more induced in Rsp than in nonRsp (Fig. 1C).

The findings were confirmed in patients who were administered Peg-IFN-α 2b and Rib combination therapy (Table 2). IRSG expression was induced in CH-C infected livers and substantially up-regulated in nonRsp compared with Rsp (Supplementary Fig. 1). Multivariate logistic analysis including age, sex, fibrosis stage, activity, HCV-RNA, genotype, treatment regime, ALT and

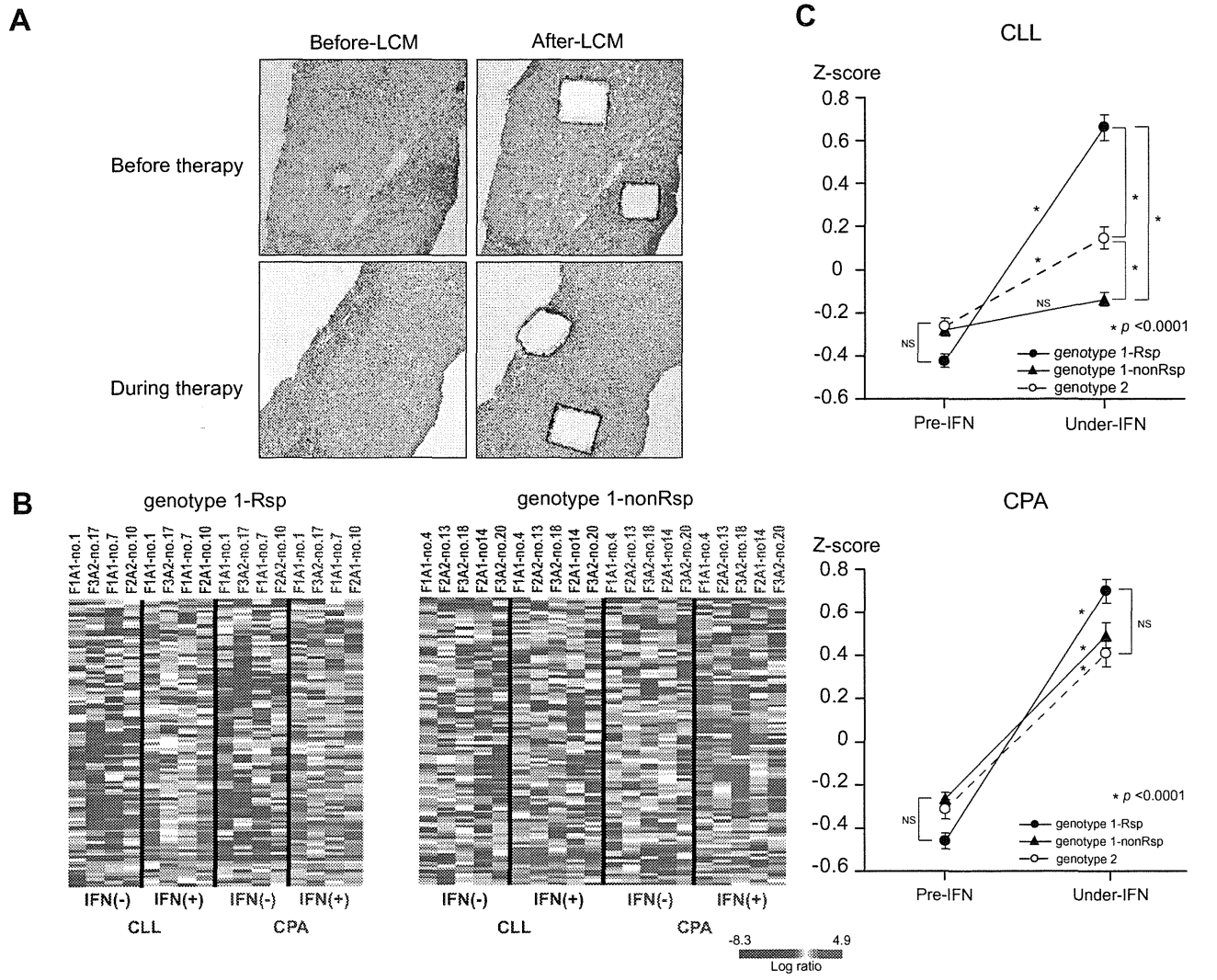

Fig. 2. (A) LCM of liver biopsy samples before and during treatment. (B) Heat map of gene expression of IRSGs in CLL and CPA before and during treatment. (C) Serial changes in standardized expression values (*Z*-score) of IRSGs in CLL and CPA from genotype 1-Rsp, genotype 1-nonRsp, and genotype 2 patients before and during treatment.

expression pattern of IRSGs (up or down) of the 50 patients before treatment showed that genotype 2 (p <0.0001, Odds = 4×10^7) and down-regulated IRSGs (p <0.0001, Odds = 71.2) are significant variables associated with SVR.

Gene expression analysis in cells in liver lobules (CLL) and portal area (CPA)

To explore these findings in more detail, we examined the gene expression profiles of CLL and CPA that had been isolated separately from whole liver biopsy specimens of 12 patients, using the LCM method before and during treatment (Fig. 2A). The representative differentially expressed genes between CLL and CPA are shown in Supplementary Tables 2-1 and 2-2. In CLL, liver-specific proteins and enzymes, such as cytochrome P450, apolipoprotein, and transferrin, were all expressed. In CPA, cytokines, chemokines and lymphocyte surface markers, such as chemokine (C–X–C motif) receptor 4, interleukin-7 receptor and CD83 antigen, were all expressed (Supplementary Tables 2-1 and 2-2). The results confirmed our previous speculation that cells from the lobular area were mostly of hepatocyte origin and that those from the portal area were mostly of liver-infiltrating lymphocyte origin [11,19].

IRSG expression in CLL and CPA from genotype 1-Rsp and non-Rsp is shown in Fig. 2B. In genotype 1-Rsp, IRSG expression was significantly induced in both CLL and CPA by the treatment (Fig. 2B and C). On the other hand, in genotype 1-nonRsp and genotype 2, IRSG induction was impaired especially in CLL, while

Table 3. Up- and down-regulated pathways by gene set comparison between Rsp and nonRsp of genotype 1 patients before therapy (BRB-array tool).

Pathway	No. of genes	LS p value	KS p value	Representative Genes	Mean probe intensity of representative genes		
					Rsp (n = 20)	nonRsp (n = 23)	Normal (n = 10)
Up-regulated in slow viral drop							
IFN alpha signaling pathway	21	0.00001	0.00300	STAT1	1608	3117	686
				IRF9	1249	1842	614
				IFNAR2	1892	1988	903
Apoptotic Signaling in Response to DNA Damage	55	0.00001	0.07974	CASP3	675	870	426
				CASP7	1165	1510	1264
				CASP9	355	403	264
				TP53	1465	1797	1028
Toll-like receptor signaling pathway	150	0.00006	0.06659	CXCL10	1922	3979	193
				CXCL11	176	321	51
				MYD88	1022	1372	723
				TIRAP	582	722	447
Wnt signal pathway	55	0.00009	0.16058	EIF2AK2	664	1190	484
				CCND1	2439	3558	1162
				APC	143	186	154
				PIK3R1	1570	1906	682
Antigen processing and presentation	139	0.00117	0.00091	TAP2	169	317	93
				HLA-A	11005	14726	6221
				HLA-B	13144	17942	6823
				HLA-C	1937	3993	783
Jak-STAT signaling pathway	220	0.00180	0.13154	STAT2	716	1065	274
				IL28RA	390	544	204
				IL10RB	398	506	338
Down-regulated in slow viral drop							
Metabolism of xenobiotics by cytochrome P450	98	0.00018	0.00082	CYP3A4	15219	10118	19256
				CYP2E1	29129	24549	30929
				AKR1C4	6126	4898	6671
Fatty acid metabolism	88	0.00480	0.05373	ACADL	826	687	785
				ALDH2	18325	16337	21844
				HSD17B4	9619	8807	10653
				ACAD11	6858	6238	8279
				ACOX1	6988	5862	8279

No. of genes, the number of genes comprising the pathway, Rsp, viral responder, patients with SVR or TR; nonRsp, non-viral responder; patients with NR.

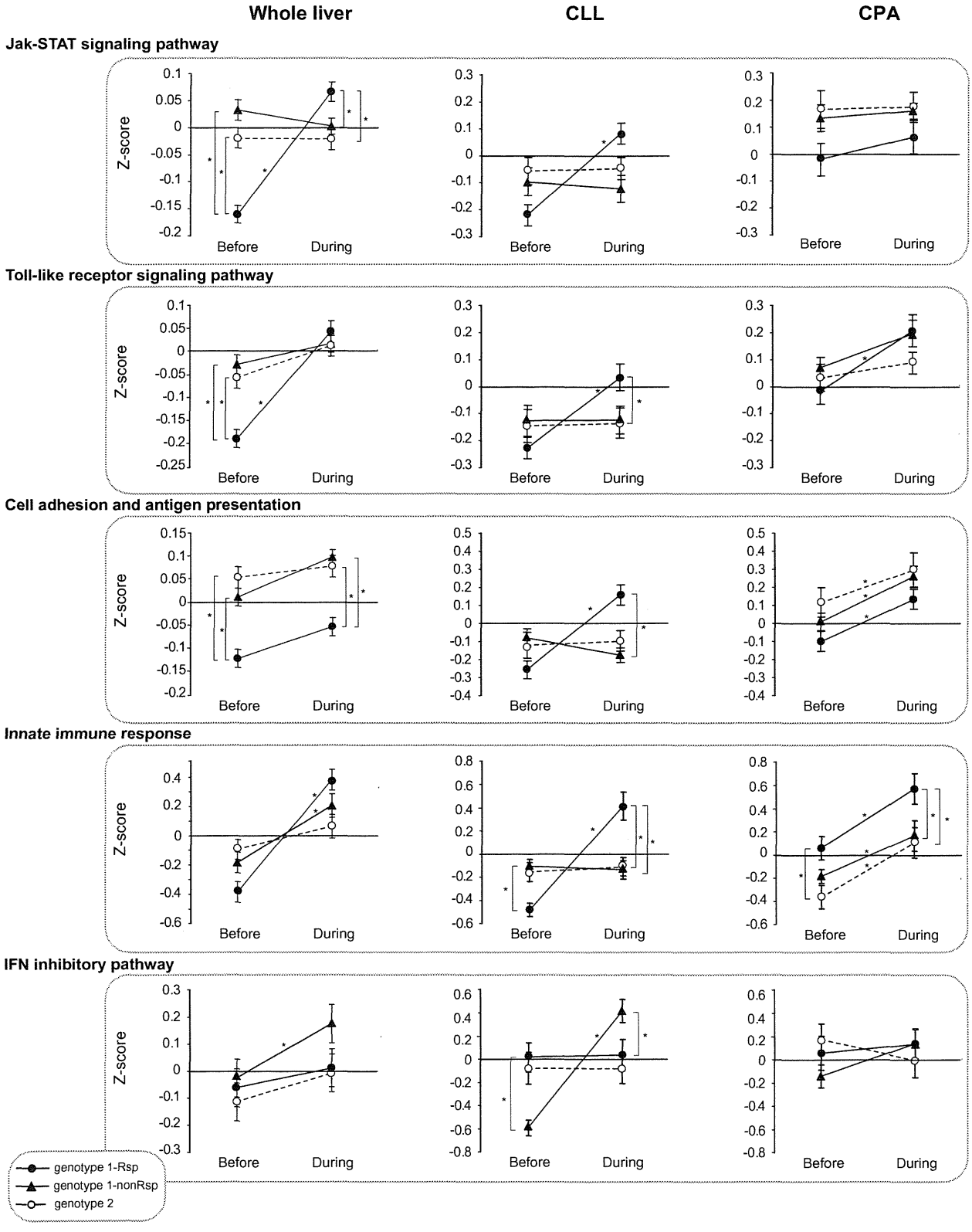

Fig. 3. Serial changes in standardized expression values (Z-score) of differentially expressed pathways from genotype 1-Rsp, genotype 1-nonRsp, and genotype 2 patients before and during treatment in whole liver, CLL, and CPA.

it was nearly preserved in CPA from three of five patients (Fig. 2B and C). Thus, IRSG induction in CLL could play an essential role in the eradication of the virus in genotype 1 CH-C patients.

Pathway analysis of gene expression in the livers of genotype 1-Rsp, genotype 1-nonRsp and genotype 2

To explore which signaling pathway contributed to the impaired IRSG induction, pathway comparisons between genotype 1-Rsp ($n = 20$) and genotype 1-nonRsp ($n = 23$) before treatment were performed (Table 3). Gene set comparison was analyzed based on the database of BioCarta and KEGG pathways. The Fisher and Kolmogorov–Smirnov tests were performed for statistical evaluation ($p < 0.005$) (BRB-ArrayTools). The mean probe intensities of representative genes in individual pathways are shown in Table 3. In genotype 1-nonRsp, the signaling pathways of IFN-α, apoptosis, and many of the immune pathways, such as those involved in antigen presentation, and the toll-like receptor (TRL) and Jak-STAT signaling pathways, were generally expressed at significantly higher levels before treatment than genotype 1-Rsp (Table 3 and Fig. 3). During treatment, the immune pathways were significantly up-regulated in genotype 1-Rsp, while they were not up-regulated in genotype 1-nonRsp and genotype 2 (Fig. 3, whole liver). When the CLL and CPA were analyzed separately, significant induction of these pathways was observed in CLL of genotype 1-Rsp but not of genotype 1-nonRsp and genotype 2 (Fig. 3, CLL). However, similar induction patterns were observed in CPA among genotype 1-Rsp, genotype1-nonRsp, and genotype 2 patients (Fig. 3, CPA). Thus, these immune pathways should be activated in CLL for the elimination of virus.

We then evaluated the extent of the innate immune response to treatment. The expression of 10 innate immune response genes was strongly induced in CLL from patients of genotype 1-Rsp but not from genotype 1-nonRsp and genotype 2 patients, although these genes were similarly induced in CPA among these patients (Supplementary Table 3 and Fig. 3).

To examine which signaling pathways were differentially induced during treatment, we utilized MetaCore™. MetaCore™ is more feasible for pathway analysis using a relatively low number of cases, and was therefore selected to analyze the LCM samples in this study. The network processes involving genes for which the differential expression was statistically significant ($p < 0.05$) in genotype 1 patients are shown in Fig. 4. Before treatment, many of the immune mediated pathways, such as IFN-α, cell adhesion, IFN-γ, and TCR, were up-regulated in whole liver specimens from genotype 1-nonRsp compared with Rsp. Similar

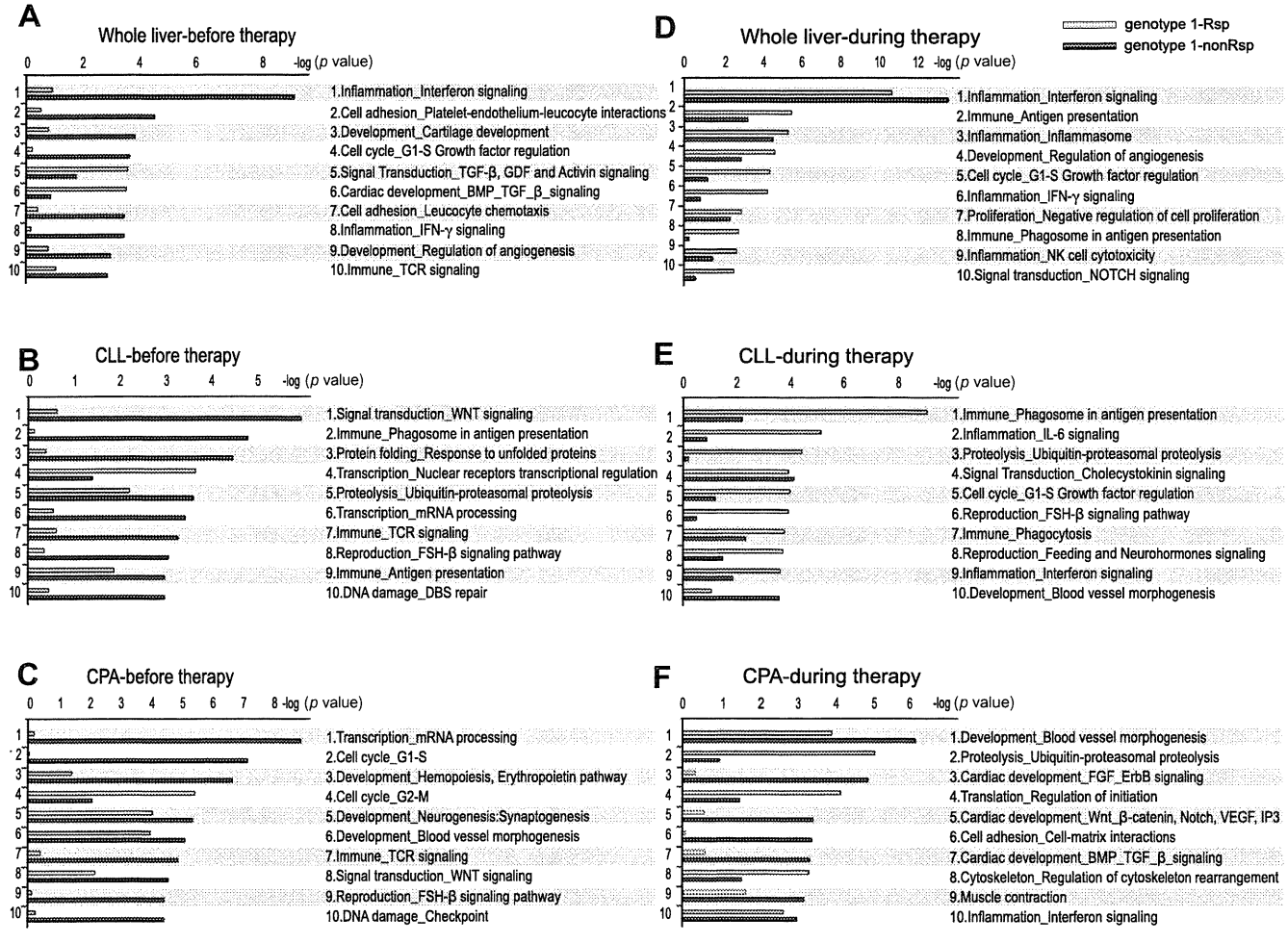

Fig. 4. Functional ontology enrichment analysis of differentially expressed genes ($p < 0.05$) using MetaCore™. GeneGo network process of differentially expressed genes between genotype 1-Rsp (white bar) and genotype 1-nonRsp (blue bar) are listed in order of decreasing statistical significance.

immune-mediated pathways were up-regulated in CLL of genotype 1-nonRsp. In CPA, many of the pathways associated with cell proliferation and DNA damage were up-regulated, reflecting the active inflammatory process in the lymphocytes of genotype 1-nonRsp (Fig. 4A–C). During treatment, many of the immune reactive pathways, such as IFN, NK cell, and antigen presenting, were induced in the whole liver and CLL specimens from genotype 1-Rsp but not in nonRsp (Fig. 4D and E). In contrast, the expression of IFN-inhibitory genes was significantly induced in CLL from nonRsp during treatment (Table 3 and Fig. 4). Interestingly, in CPA, the IFN pathway was induced in genotype 1-Rsp and nonRsp to the same degree; however, signaling pathways related to angiogenesis and fibrogenesis, such as FGF, Wnt, TGF-beta, Nocth, and VEGF signaling, were induced more in CPA from genotype 1-nonRsp than from Rsp (Figs. 3 and 4F). Thus, differential expression of signaling pathways could be observed in CLL and CPA obtained from genotype 1-Rsp and nonRsp.

Discussion

IFN and Rib combination therapy has become a commonly used modality for treating patients with CH-C, although the precise mechanism of treatment resistance is unclear. With the development of methods to quantitatively assess viral kinetics during treatment, studies were able to demonstrate that patients who cleared HCV in the early period showed favorable outcomes, whereas patients who needed a longer time to clear HCV experienced poor outcomes [4,7,17]. Thus, early clearance of virus after initiation of treatment is one of the important determinants for the complete eradication of HCV.

In this study, we analyzed gene expression from liver biopsy samples obtained before and at 1 week after initiation of treatment to investigate the precise mechanisms involved in treatment and treatment resistance. Although global gene expression profiles in the liver and PBMC during IFN treatment in a chimpanzee have been reported [12,13], the relationship between the expression profiles and clinical outcome could not be evaluated.

During the preparation of this study, two reports using a similar approach have been published [6,20]. For example, Feld et al. [6] analyzed gene expression in the livers of CH-C patients on treatment. The authors, however, compared gene expression among different patients at initiation ($n = 19$; 5 rapid responders, 10 slow responders, 4 naive) and during treatment ($n = 11$; 6 rapid responders, 5 slow responders). Because patients were not serially biopsied before and during the treatment, true treatment-related gene induction could not be evaluated. Moreover, half of the on-treatment group was administered Rib alone for three days prior to liver biopsy. In the other report, Sarasin-Filipowicz et al. [20] extensively analyzed serial liver biopsy specimens under the treatment; however, the number of the patients enrolled in their study was relatively low and heterogeneous with respect to the infected genotypes. Our study has extended their findings and provides further insights into the mechanism of IFN resistance by analyzing gene expression in CLL and CPA separately for the first time. The analysis of genotype 2 HCV also enabled us to understand the importance of the differing sensitivities to IFN between strains.

By comparing gene expression in serial liver biopsy specimens obtained at initiation and during treatment, IFN- and Rib-stimulated genes (IRSGs) in the livers of patients with CH-C could be identified (Supplementary Table 1). Our study clearly demonstrated that IRSG induction correlated with the elimination of HCV in patients with genotype 1 in accordance with previous results [6,20]. The patients who did not show a response to treatment had poor induction of IRSGs (Fig. 1A). In contrast, IRSG expression before treatment showed an opposite pattern of expression. IRSGs were induced in genotype 1-nonRsp rather than in genotype 1-Rsp. This finding was first described by Chen et al. [3] and confirmed by others [1,6,20]. Asselah et al. [1] extensively analyzed 58 curated ISGs published previously by RTD-PCR and found that three genes (IFI27, CXCL9 and IFI-6–16) were predictive of treatment outcome. However, only 12 of their 58 curated genes were also included in the 100 most up-regulated genes we observed during treatment (Supplementary Table 1). Therefore, more valuable genes for the prediction of treatment outcome might exist and our gene list could be useful for further selection of predictors of treatment outcome.

We showed that different levels of IRSG induction before treatment was associated with up-regulation of different signaling pathways, such as apoptosis and inflammatory pathways, in genotype 1-nonRsp, although histological assessment of activities and stages could not differentiate the two groups of patients. During treatment, these pathways, including the innate immune response for IFN production, were significantly induced in genotype 1-Rsp but not in genotype 1-nonRsp. The results suggest that previous up-regulation of IRSGs might be linked to impaired induction of IRSGs and contribute to poor treatment response in patients with genotype 1. Interestingly, an impaired IRSG induction was mainly noticeable in CLL, but not in CPA, and the results were confirmed by RTD-PCR (data not shown). These results suggest that IRSG induction in HCV-infected hepatocytes could play an essential role in the eradication of the genotype 1 virus in CH-C patients.

However, these scenarios did not apply in patients with genotype 2 HCV in this study. Despite the presence of active inflammation before treatment and unsatisfactory IRSG induction during treatment, these patients showed rapid responses to treatment and favorable treatment outcomes. It could be speculated that genotype 2 HCV is far more sensitive to IFN than genotype 1 HCV, and small IRSG induction might be enough to eradicate the virus. Further studies are needed to confirm these results.

We precisely analyzed the expression profiles in CLL and CPA which were obtained using the LCM method. Although IRSGs and other immune regulatory genes were similarly induced in the CPA of genotype 1-Rsp and nonRsp, more of the angiogenic- and fibrogenic-related genes were induced in CPA of genotype 1-nonRsp (Fig. 4C and F). Therefore, growth factors released from CPA might be involved in poor IRSG induction in CLL of genotype 1-nonRsp.

In summary, by comparing the hepatic gene expression in CH-C patients with different treatment outcomes, we identified a gene expression signature characteristic of IFN resistance. Our study is very important for two reasons: first, it will help in the development of new therapeutic strategies, and second, we have identified many of the genes found to be up-regulated between genotype 1-Rsp and nonRsp, which encode molecules secreted

in serum (cytokines). Therefore, the study represents a logical functional approach for the development of serum markers as predictors of response to treatment [2]. The precise mechanisms underlying these findings should be clarified further in future studies.

Conflict of interest

The authors who have taken part in this study do not have a relationship with the manufactureres of the drugs involved either in the past or present and did not recieve fundig from the manufactureres to carry out their research. The authors received support from the Japanese Society of Gastroenterology and Ministry of Helath, Labour and Welfare.

Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.jhep.2010.04.036.

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Hepatic ISG Expression Is Associated With Genetic Variation in Interleukin 28B and the Outcome of IFN Therapy for Chronic Hepatitis C

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See related article, Younossi and Stepanova, on page 718 in *CGH*.

BACKGROUND & AIMS: Multiple viral and host factors are related to the treatment response to pegylated-interferon and ribavirin combination therapy; however, the clinical relevance and relationship of these factors have not yet been fully evaluated. **METHODS:** We studied 168 patients with chronic hepatitis C who received pegylated-interferon and ribavirin combination therapy. Gene expression profiles in the livers of 91 patients were analyzed using an Affymetrix genechip (Affymetrix, Santa Clara, CA). The expression of interferon-stimulated genes (ISGs) was evaluated in all samples by real-time polymerase chain reaction. Genetic variation in interleukin 28B (IL28B; rs8099917) was determined in 91 patients. **RESULTS:** Gene expression profiling of the liver differentiated patients into 2 groups: patients with up-regulated ISGs and patients with down-regulated ISGs. A high proportion of patients with no response to treatment was found in the up-regulated ISGs group (P = .002). Multivariate logistic regression analysis showed that ISGs (<3.5) (odds ratio [OR], 16.2; $P < .001$), fibrosis stage (F1-F2) (OR, 4.18; $P = .003$), and ISDR mutation (≥2) (OR, 5.09; $P = .003$) were strongly associated with the viral response. The IL28B polymorphism of 91 patients showed that 66% were major homozygotes (TT), 30% were heterozygotes (TG), and 4% were minor homozygotes (GG). Interestingly, hepatic ISGs were associated with the IL28B polymorphism (OR, 18.1; $P < .001$), and its expression was significantly higher in patients with the minor genotype (TG or GG) than in those with the major genotype (TT). **CONCLUSIONS: The expression of hepatic ISGs is strongly associated with treatment response and genetic variation of IL28B. The differential role of host and viral factors as predicting factors may also be present.**

Keywords: Pegylated Interferon, Ribavirin; Gene Expression; Single Nucleotide Polymorphism.

A human liver infected with hepatitis C virus (HCV) develops chronic hepatitis, cirrhosis, and, in some instances, hepatocellular carcinoma.[1] Interferon (IFN) and ribavirin (RBV) combination therapy is a popular modality for treating patients with chronic hepatitis C (CH-C); approximately 50% of patients usually relapse, particularly those with HCV genotype 1b and a high viral load.[2,3] Therefore, it is beneficial to predict the response of patients with the 1b genotype and a high viral load to pegylated-IFN (Peg-IFN) and RBV combination therapy before starting treatment because therapy can be long, costly, and have many adverse effects. Amino acid (aa) substitutions in the interferon sensitivity determining region (ISDR), located in the HCV nonstructural region 5A, are useful for predicting the response of patients with genotype 1b to IFN therapy.[4] However, viral factors alone do not sufficiently predict the outcome of treatment in every case.[5]

In addition to viral factors, hepatic gene expression before and during IFN treatment has been examined to determine host factors associated with the response to treatment.[6,7] Interferon-stimulated genes (ISGs) up-regulated in the liver prior to treatment might be related to the poor induction of ISGs and the impaired eradication of HCV during treatment.[6–9] This may be because the ISGs have already been maximally induced before treat-

Abbreviations used in this paper: aa, amino acid; AST, aspartate aminotransferase; cDNA, complementary DNA; CH-C, chronic hepatitis C; Down-ISGs, down-regulated ISGs; EVR, early virologic response; GWAS, genome-wide association studies; HCV, hepatitis C virus; IFN, interferon; IFI44, interferon-induced protein 44; IFIT1, interferon-induced protein with tetratricopeptide repeats 1; IL, interleukin; IL28B, interleukin 28B; ISDR, interferon sensitivity determining region; ISGs, interferon stimulated genes; Mx1, myxovirus (influenza virus) resistance 1 interferon-inducible protein p78 (mouse); NR, no response; Peg, pegylated; RBV, ribavirin; ROC, receiver operating characteristic; RTD, real-time detection; PCR, polymerase chain reaction; RTD-PCR, real-time detection-polymerase chain reaction; SNP, single nucleotide polymorphism; SVR, sustained viral response; TR, transient response; Up-ISGs, up-regulated ISGs.

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ment. However, the clinical relevance of the expression of ISGs as predictive factors for the outcome of treatment has not yet been fully evaluated.

In parallel to gene expression analysis, genome-wide association studies (GWAS) have been used to identify loci associated with the response to treatment; genetic variation in interleukin 28B (IL28B) was found to predict hepatitis C treatment-induced viral clearance.[10–12]

In this study, with a relatively large cohort of CH-C patients treated with Peg-IFN and RBV, we validated the clinical relevance of the expression of hepatic ISGs as predictive factors for the outcome of treatment. In addition, we demonstrated that the expression of hepatic ISGs was closely related to genetic variation in IL28B.

Materials and Methods

Patients

We enrolled 168 patients with CH-C at the Graduate School of Medicine, Kanazawa University Hospital and its related hospitals, Japan (Table 1, Supplementary Table 1). The cohort included 92 men and 76 women, ranging from 21 to 73 years of age, who were registered prospectively in 2005 and 2007. All patients had HCV

Table 1. Comparison of Clinical Factors Between Patients With and Without NR

Clinical category	SVR+TR		NR		Univariate *P* value	Multivariate odds (95% CI)	Multivariate *P* value
No. of patients	n = 125		n = 43			—	
Age and sex							
Age, *y*	57	(30–72)	56	(30–73)	.927	—	
Sex (M vs F)	68 vs 57		24 vs 19		.872	—	
Liver factors							
F stage (F1-2 vs F3-4)	95 vs 30		20 vs 23		.001	4.18 (1.61–11.5)	.003
A grade (A0-1 vs A2-3)	68 vs 57		19 vs 24		.248	—	
ISGs (Mx, IFI44, IFIT1)							
(<3.5 vs ≥3.5)	103 vs 22		12 vs 31		<.001	16.2 (6.21–47.8)	<.001
Laboratory parameters							
HCV-RNA (*KIU/mL*)	2300	(126–5000)	1930	(140–5000)	.725	—	
BMI (kg/m^2)	23.2	(16.3–34.7)	23.4	(19.5–40.6)	.439	—	.107
AST (*IU/L*)	46	(18–258)	64	(21–283)	.017	—	
ALT (*IU/L*)	60	(16–376)	82	(18–345)	.052	—	
γ-GTP (*IU/L*)	36	(4–367)	75	(26–392)	<.001	—	
WBC ($/mm^3$)	4800	(2100–11,100)	4800	(2500–8200)	.551	—	
Hb (*g/dL*)	14	(9.3–16.6)	14.4	(11.2–17.2)	.099	—	
PLT ($\times 10^4/mm^3$)	15.7	(7–39.4)	15.2	(7.6–27.8)	.378	—	
TG (*mg/dL*)	98	(30–323)	116	(45–276)	.058	—	
T-Chol (*mg/dL*)	167	(90–237)	160	(81–214)	.680	—	
LDL-Chol (*mg/dL*)	82	(36–134)	73	(29–123)	.019	—	
HDL-Chol (*mg/dL*)	42	(20–71)	47	(18–82)	.098	—	
FBS (*mg/dL*)	94	(60–291)	96	(67–196)	.139	—	
Insulin (*μU/mL*)	6.6	(0.7–23.7)	6.8	(2–23.7)	.039	—	
HOMA-IR	1.2	(0.3–11.7)	1.2	(0.4–7.2)	.697	—	
Viral factors							
ISDR mutations ≤1 vs ≥2	80 vs 44		34 vs 9		.070	5.09 (1.69–17.8)	.003
Treatment factors							
Total dose administered							
Peg-IFN (*μg*)	3840	(960–7200)	3840	(1920–2880)	.916	—	
RBV (*g*)	202	(134–336)	202	(36–336)	.531	—	
Achieved administration rate							
Peg-IFN (%)							
≥80%	84		28		.975	—	
<80%	42		14				
RBV (%)							
≥80%	76		24		.745	—	
<80%	50		18				
Achievement of EVR	101/125 (81%)		0/43 (0%)		<.001	—	

BMI, body mass index; AST, aspartate aminotransferase; ALT, alanine aminotransferase; IFI44, interferon-induced protein 44; IFIT1, interferon-induced protein with tetratricopeptide repeats 1; EVR, early virologic response; γ-GTP, γ-glutamyl transpeptidase; ISDR, interferon sensitivity determining region; Mx1, myxovirus (influenza virus) resistance 1 interferon-inducible protein p78 (mouse); WBC, leukocytes; HOMA-IR, homeostasis model assessment of insulin resistance; Hb, hemoglobin; RBV, ribavirin; PLT, platelets; TG, triglycerides; TR, transient response; T-chol, total cholesterol; LDL-chol, low-density lipoprotein cholesterol; HDL-chol, high-density lipoprotein cholesterol; FBS, fasting blood sugar; CI, confidence interval.

genotype 1b and high viral loads (≥100K IU/mL) measured by quantitative Cobas Amplicor assays (Roche Diagnostics Co Ltd, Tokyo, Japan). All patients had undergone liver biopsy before combination therapy. Exclusion criteria for patients not eligible for Peg-IFN and RBV combination therapy were as follows: (1) pregnant women or women of childbearing potential, nursing mothers, or male patients whose partner might become pregnant; (2) patients with hepatocellular carcinoma; (3) patients with serious complications in the heart, kidneys, or lungs; (4) patients with autoimmune diseases, such as autoimmune hepatitis, and primary biliary cirrhosis; and (5) patients infected with the hepatitis B virus. Informed consent was obtained from all patients, and ethics approval for the study was obtained from the Ethics Committee for Human Genome/Gene Analysis Research at Kanazawa University Graduate School of Medical Science.

All patients were administered Peg-IFN-α 2b (Schering-Plough KK, Tokyo, Japan) and RBV combination therapy for 48 weeks. Peg-IFN was given in weekly doses and adjusted to body weight according to the manufacturer's instructions (45 kg or less, 60 μg/dose; 46–60 kg, 80 μg/dose; 61–75 kg, 100 μg/dose; 76–90 kg, 120 μg/dose; and 91 kg or more, 150 μg/dose). Similarly, RBV (Schering-Plough KK) was administered in daily doses adjusted to body weight according to the manufacturer's instructions (60 kg or less, 600 mg/day; 61–80 kg, 800 mg/day; and 81 kg or more, 1000 mg/day).

The final outcome of treatment was assessed 24 weeks after the cessation of combination therapy. We defined treatment outcomes according to the decrease in viremia as follows: sustained viral response (SVR), clearance of HCV viremia 24 weeks after the cessation of therapy; transient response (TR), no detectable HCV viremia at the cessation of therapy but relapsed during the follow-up period; and no response (NR), HCV viremia detected at the cessation of therapy. An early virologic response (EVR) (complete EVR) was defined as undetectable HCV-RNA in the serum by 12 weeks. HCV genotypes were determined according to the method of Okamoto et al. Serum HCV RNA was determined using qualitative and quantitative COBAS Amplicor assays (Roche Diagnostics Co, Ltd, Tokyo, Japan). The grading and staging of chronic hepatitis were histologically assessed according to the method of Desmet et al (Table 1).[13]

Preparation of Liver Tissue Samples

Liver biopsy samples were taken from all patients before treatment. The biopsy samples were divided into 2 parts: the first part was immersed in formalin for histologic assessment, and the second was immediately immersed in RNAlater (QIAGEN, Valencia, CA) for RNA isolation. Liver tissue RNA was isolated using the RNeasy Mini kit (QIAGEN) according to the manufacturer's instructions. Isolated RNA was stored at −70°C until use.

Affymetrix Genechip Analysis

The quality of the isolated RNA was estimated after electrophoresis using an Agilent 2001 Bioanalyzer (Agilent, Santa Clara, CA). Aliquots of total RNA (50 ng) isolated from the liver biopsy specimens were subjected to amplification using the WT-Ovation Pico RNA Amplification System (NuGen, San Carlos, CA) according to the manufacturer's instructions. Approximately 10 μg of complementary DNA (cDNA) was amplified from 50 ng of total RNA, and 5 μg of cDNA was used for fragmentation and biotin labeling using the FL-Ovation cDNA Biotin Module V2 (NuGen) according to the manufacturer's instructions. Biotin-labeled cDNA was suspended in 220 μL of hybridization cocktail (NuGen), and 200 μL was used for hybridization to the Affymetrix Human 133U Plus 2.0 GeneChip (Affymetrix, Santa Clara, CA) containing 54,675 probes. After stringent washing, the microarray chips were stained with streptavidin-phycoerythrin, and probe hybridization was determined using a GeneChip Scanner 3000 (Affymetrix). Data files (CEL) were obtained using the GeneChip Operating Software 1.4 (Affymetrix).

Hierarchical Clustering and Pathway Analysis of Genechip Data

Genechip data analysis was performed using BRB-Array Tools (http://linus.nci.nih.gov/BRB-ArrayTools.htm). The data were log transferred, normalized, centered, and applied to the average linkage hierarchical clustering with centered correlation.

For genechip analysis, we selected 37 representative ISGs. Hepatic gene expression profiling was obtained from 30 CH-C patients before and 1 week after the initiation of IFN and RBV combination therapy and the 100 most up-regulated genes were selected (submitted for publication). ISGs were suppressed in patients with a rapid viral response and up-regulated in patients with a slow viral response before treatment. Using the 100 treatment-induced genes, we evaluated hepatic gene expression in 30 patients before treatment. Hierarchical clustering analysis showed that a cluster of 37 ISGs was up-regulated in patients with a slow viral response.

Pathway analysis was performed using MetaCore (GeneGo, St. Joseph, MI). Functional ontology enrichment analysis was performed to compare the gene ontology process distribution of differentially expressed genes ($P < .01$).

Quantitative Real-time Detection-Polymerase Chain Reaction

We performed quantitative real-time detection (RTD)-polymerase chain reaction (PCR) (RTD-PCR) using TaqMan Universal Master Mix (PE Applied Biosystems, Carlsbad, CA). Primer pairs and probes for myxovirus (influenza virus) resistance 1 interferon-inducible protein p78 (mouse) (Mx1), 2′-5′-oligoadenylate synthelase 3 (OAS3), interferon-induced protein 44 (IFI44),

interferon-induced protein 44-like (IFI44L), 2′-5′-oligoadenylate synthetase 2 (OAS2), ubiquitin specific peptidase 18 (USP18), radical S-adenosyl methionine domain containing 2 (RSAD2), interferon-induced protein with tetratricopeptide repeats 1 (IFIT1), interferon induced with helicase C domain 1 (IFIH1), XIAP associated factor 1 (XAF1), cytidine monophosphate (UMP-CMP) kinase 2, mitochondrial (CMPK2), epithelial stromal interaction 1 (EPSTI1), hect domain and RLD 6 (HERC6), poly (ADP-ribose) polymerase family, member 9 (PARP9), phospholipid scramblase 1 (PLSCR1), and glyceraldehyde-3-phosphate dehydrogenase (GAPDH) were obtained from the TaqMan assay reagents library. Primer pairs and probes for IL28B were designed as previously described.[12] The standard curve was obtained in every assay using the RNA obtained from a normal liver.[14,15] The expression values were normalized by GAPDH, and normalized values indicate the relative fold expression to a normal liver.

Amino Acid Substitutions of ISDR in the Nonstructural 5A Region

The nucleotide sequence of ISDR in the nonstructural 5A region was determined by direct sequencing of PCR amplified materials.[4] Mutant-type ISDR was defined as containing 2 or more aa substitutions.

Genetic Variation of IL28B Polymorphism

A single nucleotide polymorphism (SNP) of IL28B was evaluated in 91 patients whose hepatic gene expression profiling was obtained. We genotyped 32 patients using Affymetrix Genome-Wide Human SNP Array 6.0 as previously described.[12] The results for rs8105790, rs11881222, rs8099917, and rs7248668 were retrieved from a database to evaluate the association of these SNPs. rs12979860 was determined by direct sequencing, and rs8099917 was determined using TaqMan Pre-Designed SNP Genotyping Assays (PE Applied Biosystems) as recommended by the manufacturer.

Statistical Analysis

The Mann–Whitney U test was used to analyze continuous variables. Fisher exact test and χ^2 test were used for the analysis of categorical data. The overall plausibility of the treatment response groups was assessed using Fisher C statistic (Supplementary Table 2).[16,17] C is defined by $C = -2 \Sigma \ln(p_i)$, where p_i is the probability (P value) of each independent statement (clinical factors). C follows a χ^2 distribution with 2k degrees of freedom, k being the number of independent statements (clinical factors).[16] A nonsignificant C value means that the treatment response in the 2 groups was not statistically independent.

Multivariate analysis was performed using a stepwise logistic regression model. Each cut-off point for the continuous variables was decided by analysis of the receiver operating characteristic (ROC) curve. A P value of less than .05 was considered significant. Statistical analyses were performed using JMP7 for Windows (SAS Institute, Cary, NC).

Results

Response Rate and Clinical Characteristics

The clinical characteristics of the patients are shown in Table 1 and Supplementary Table 1. All of the patients were infected with HCV genotype 1b and had a high viral load (>100K IU/mL). No patients were coinfected with the hepatitis B virus (HBV). The intention-to-treat analysis showed that SVR, TR, and NR were observed in 70 (42%), 55 (33%), and 43 (25%) patients, respectively (Supplementary Table 1). Before comparing patients with 3 different responses, the overall plausibility of the treatment response groups was assessed using Fisher C statistic. Fisher C statistic utilizes the P values obtained by comparing pretreatment factors including age, gender, liver factors, laboratory parameters, and viral factors. Because the SVR and TR groups could not be defined as different, they were grouped together and compared with NR (Table 1, Supplementary Table 2).

Eleven patients with NR discontinued the therapy after 24 weeks because of an insufficient effect, namely, serum HCV-RNA was still detectable at this time. The remaining patients completed 48 weeks of Peg-IFN and RBV combination therapy. The administration rate of Peg-IFN with 80% or more was achieved in 67% of patients, and the administration rate of RBV with 80% or more was achieved in 60% of patients (Table 1).

Analysis of Hepatic Gene Expression

Prior to treatment, 91 of 168 patients (Supplementary Table 3) were randomly selected, and their hepatic gene expression was determined using Affymetrix genechip analysis.

Hierarchical clustering using 37 representative ISGs (see Materials and Methods) demonstrated 2 clear clusters of patients: one was a group composed of patients with up-regulated ISGs (Up-ISGs), and the other was a group consisting of patients with down-regulated ISGs (Down-ISGs) (Figure 1). In patients with Up-ISGs, 21 (49%) showed NR, whereas 8 (17%) patients with Down-ISGs showed NR ($P = .002$). In contrast, 14 (33%) patients with Up-ISGs showed SVR, whereas 27 (56%) patients with Down-ISGs showed SVR ($P = .03$). There were no significant differences in the frequency of advanced stages of liver fibrosis (F3-F4) between patients with Up-ISGs and patients with Down-ISGs (18 [42%] and 17 [35%], respectively, $P = .664$). These data indicated that the up-regulation of ISGs in the liver before treatment was strongly associated with resistance to IFN treatment.

Host and Viral Factors Associated With the Response to Combination Therapy

To evaluate the multiple host and viral factors associated with the response to Peg-IFN and RBV combination therapy in all patients, univariate and multivariate analyses were performed. To assess the expression of hepatic ISGs, 15 genes (Mx1, OAS3, IFI44, IFI44L, OAS2,

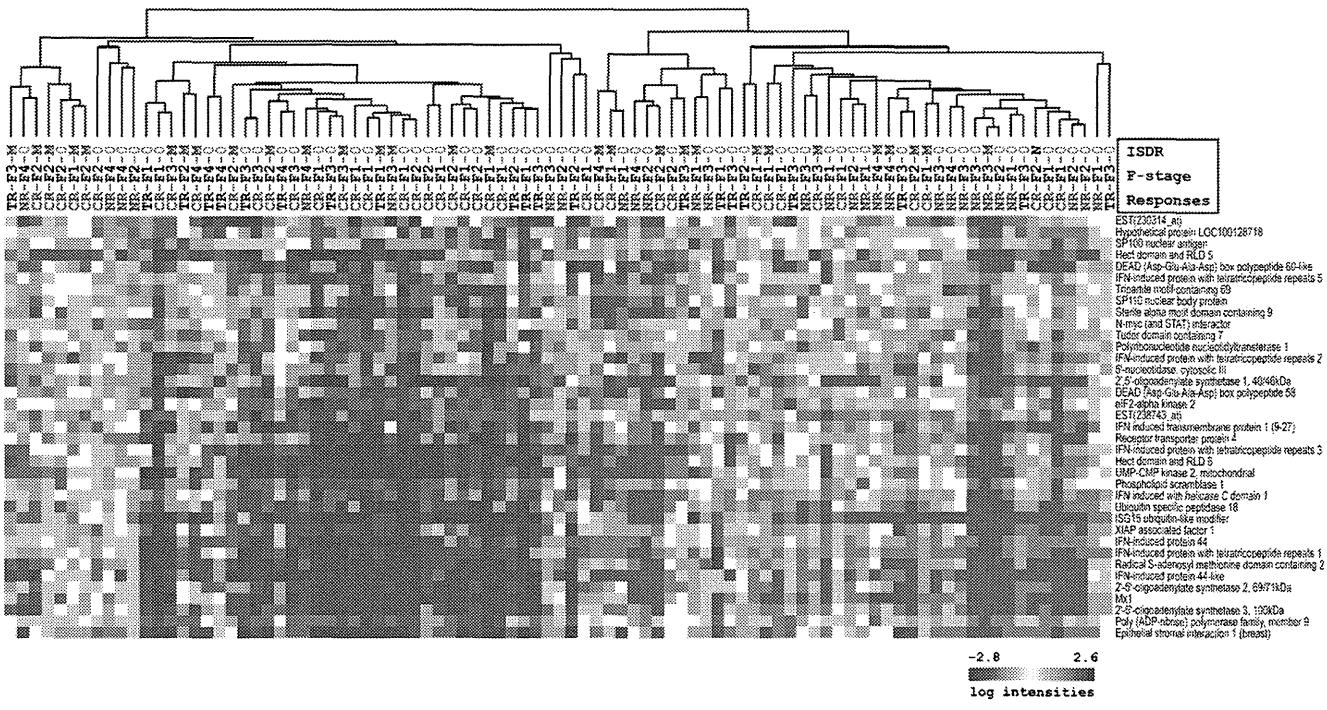

Figure 1. Hierarchical clustering analysis of 91 patients using 37 representative ISGs. Responses to therapy (SVR, TR, and NR), fibrosis stage (F1–F4), and status; ISDR mutations are also shown. ISDR mutation ≥2 = M, ≤1 = 0.

USP18, RSAD2, IFIT1, IFIH1, XAF1, CMPK2, EPSTI1, HERC6, PARP9, and PLSCR1) out of 37 representative ISGs were selected for their expression values of probe intensity, and their expression was confirmed in liver tissue obtained from 168 patients by RTD-PCR. Although there were significant correlations of their expression with each other, except RARP9 and PLSCR1 (Supplementary Table 4), the dynamic range of gene expression was high for 3 genes, namely, Mx1, IFI44, and IFIT1 (Supplementary Figure 1*A*). We averaged the expression values of Mx1, IFI44, and IFIT1 and used them for further study.

When we compared patients with SVR+TR and NR, the fibrosis stage of the liver ($P = .001$), expression of hepatic ISGs ($P < .001$), aspartate aminotransferase (AST) serum level ($P = .017$), γ-glutamyl transpeptidase (γ-GTP) ($P < .001$), low-density lipoprotein cholestrol (LDL-Chol) ($P = .019$), and insulin (μU/mL) ($P = .039$) were significantly different prior to treatment (Table 1). For treatment factors, the total dose and administration of IFN and RBV were not significantly different between these 2 groups. EVR was observed in 101 (81%) patients, and the proportion was significantly different ($P < .001$) between patients with SVR+TR and NR (Table 1).

Regression analysis of pretreatment factors showed a strong correlation among γ-GTP, alanine aminotransferase (ALT), and aspartate aminotransferase (AST); and homeostasis model assessment-insulin resistance (HOMA-IR), fasting blood sugar, and insulin; and total cholesterol (T-Chol), high-density lipoprotein cholestrol (HDL-Chol), and LDL-Chol (data not shown). We selected fibrosis stage, ISGs, HCV-RNA, ISDR mutation, and body mass index (BMI) as factors for multivariate analysis. Stepwise multivariate logistic regression analysis was performed using the selected factors. From the ROC curve, we set the cut-off value for the expression of ISGs as 3.5 (Supplementary Figure 1*B*). The results showed that expression of hepatic ISGs (<3.5), fibrosis stage (F1-F2), and ISDR mutation (≥2) were significant pretreatment factors contributing to SVR+TR (Table 1).

Clinical Parameters Associated With the Expression of Hepatic ISGs

Univariate and multivariate analyses revealed that the expression of hepatic ISGs was a strong predictor of the treatment outcome for SVR+TR patients. We next examined which clinical parameters were associated with the expression of hepatic ISGs (Table 2). Univariate analysis showed that the expression of ISGs was strongly correlated with the serum levels of γ-GTP ($P < .001$) and AST ($P < .001$) and weakly correlated with HCV-RNA, fasting blood sugar, insulin, HOMA-IR, triglyceride (TG), and LDL-Chol. Multivariate analysis showed that γ-GTP ($P < .001$), HCV-RNA ($P < .001$), and LDL-Chol ($P = .048$) were significantly associated with hepatic ISGs. Noticeably, the expression of ISGs was negatively correlated with HCV-RNA in SVR+TR patients ($P = .009$), whereas this correlation was not evident in NR patients ($P = .298$) (Table 2, Supplementary Figure 2). These results may indicate that endogenous ISGs suppress HCV in SVR+TR patients, whereas they are not active in NR patients.

Table 2. Clinical Factors Associated With Expression of Hepatic Interferon-Stimulated Genes

	Univariate analysis				Multivariate analysis			
Clinical factor	β	95% CI		*P* value	β	95% CI		*P* value
AST (*IU/L*)	0.274	0.13	0.42	<.001	—	—	—	
γ-GTP (*IU/L*)	0.326	0.18	0.47	<.001	0.288	0.14	0.43	<.001
HCV-RNA (*KIU/mL*)	−0.170	−3.19	−0.02	.025	−0.255	−0.40	−0.11	<.001
SVR+TR	−0.237	−0.32	−0.05	.009	—	—	—	
NR	−0.168	−0.57	0.18	.298	—	—	—	
FBS (*mg/dL*)	0.182	0.03	0.35	.021	—	—	—	
Insulin (*μU/mL*)	0.190	0.03	0.34	.016	—	—	—	
HOMA-IR	0.181	0.03	0.33	.017	—	—	—	.073
TG (*mg/dL*)	0.201	0.05	0.35	.011	—	—	—	.089
LDL-Chol (*mg/dL*)	−0.177	−0.33	−0.02	.025	−0.143	−0.28	0.00	.048

γ-GTP, γ-glutamyl transpeptidase; AST, aspartate aminotransferase; FBS, fasting blood sugar; TG, triglycerides; TR, transient response; NR, no response; SVR, sustained viral response; HOMA-IR, homeostasis model assessment of insulin resistance; LDL-chol, low-density lipoprotein cholesterol; CI, confidence interval; β, β coefficient; CI, confidence interval.

Expression of Hepatic ISGs Before Treatment Is Associated With Genetic Variation of IL28B

Recently, a GWAS successfully identified the genomic locus associated with the treatment response to Peg-IFN and RVB combination therapy for CH-C. Genetic variation in IL28B predicts HCV treatment-induced viral clearance.[11,12] We determined the genetic variation in IL28B of 32 patients[12] (Table 3). The SNPs rs8105790, rs11881222, rs8099917, and rs7248668 had a significant association with treatment response (odds ratio: 24.7–27.1, $P = 1.84 \times 10^{-30}$–2.68×10^{-32}). These SNPs are located in block 2 of the IL28B haplotype and show significant linkage disequilibrium in the HapMap data.[12] Ge et al[11] reported a different SNP (rs12979860) that was located between rs11881222 and rs8099917. The nucleotide sequence of rs12979860 was determined by direct sequencing, and the results are shown in Table 3. There was a strong association of rs12979860 and the other 4 SNPs indicating that this SNP was located within the same haplotype block. We confirmed these findings in multiple samples from Japanese patients (data not shown).

We selected rs8099917 for further study and evaluated it using TaqMan Pre-Designed SNP Genotyping Assays. The G nucleotide of rs8099917 was associated with a poor response to treatment (minor allele), whereas the T was associated with a fair response to treatment (major allele).[12] Out of 91 patients (Supplementary Table 3), the proportion of major homozygotes (TT), heterozygotes (TG), and minor homozygotes (GG) were 66% (60/91), 30% (27/91), and 4% (4/91), respectively (Table 4); 86% (51/60) of the major genotype (TT) patients had SVR or TR, whereas 65% (20/31) with the minor genotypes (TG or GG) had NR ($P < .001$).

Interestingly, hepatic gene expression profiles revealed that patients with the minor genotype showed higher expression of hepatic ISGs, whereas patients with the major genotype showed lower expression of hepatic ISGs (Figures 2 and 3). To examine further the relationship of the genetic variation in IL28B and its expression levels, we evaluated the expression of IL28B in the liver by RTD-PCR (Figure 3). IL28B expression was approximately 10-fold less than the expression of ISGs. Although IL28B expression tended to be higher in some patients with the major genotype, there was no significant difference in IL28B expression in the liver between the major and minor genotypes (Figure 3*A*). Nevertheless, the expression of ISGs was clearly high in patients with the minor genotype ($P < .0001$) (Figure 3*B*). IL28 activates signal tranducers and activators of transcription 1 (STAT1) through downstream signaling from a heterodimeric class II cytokine receptor that consists of IL-10 receptor β (IL-10Rβ) and IL-28 receptor α (IL-28Rα).[18,19] Therefore, we examined the correlation between the expression of IL28B and ISGs. IL28B expression correlated with the expression of ISGs ($r = 0.44$, $P < .001$); however, the correlation was different according to the SNP genotype. We observed a steep-slope correlation for the minor genotype and a slow-slope correlation for the major genotype (Figure 3*C* and *D*). Interestingly, 4 minor homozygotic (GG) patients showed a steeper correlation than the heterozygotes (TG) (Figure 3*D*). Thus, the IL28B polymorphism might differentially regulate the expression of ISGs in the liver, leading to the different treatment outcomes.

We performed univariate and multivariate analyses to identify the clinical factors associated with the major and minor genotypes (Table 4). Univariate analysis showed that higher hepatic ISGs and lower body mass index were significantly associated with the minor genotype; however, multivariate analysis showed that only hepatic ISGs (≥3.5) were associated with the minor genotype ($P < .001$; OR, 18.1; 95% confidence interval: 3.95–113). We further compared the predictive capacity of multivariate models using the expression of hepatic ISGs (<3.5 vs ≥3.5) or the IL28B genotype (major vs minor) (Supplementary Table 6). The predictive performance and fitness of the multivariate model using the IL28B genotype was superior to that using the expression of hepatic ISGs. However, when these factors were included in the same model, the expression of hepatic ISGs was still useful for the predictive model independent of the IL28B genotype (Supplementary Table 6).

Table 3. Clinical Characteristics of 32 Patients Genotyped by GWAS and 5 SNPs in Strong Linkage Disequilibrium With IL28B,[11] Including rs12979860

Patient No.	Response	Age, y	Sex	F stage	ISGs	IL28B	RefSNP (chr pos) Minor allele	rs8105790 (44424341) C	rs11881222 (44426763) G	rs12979860 (44430627) T	rs8099917 (44435005) G	rs7248668 (44435661) A
1	SVR	42	M	1	4.20	83.8		TT	AA	CC	TT	GG
2	SVR	59	M	1	2.62	45.5		TT	AA	CC	TT	GG
3	SVR	41	F	1	1.54	1.3		TT	AA	CC	TT	GG
4	TR	57	M	1	3.18	21.7		TT	AA	CC	TT	GG
5	TR	68	F	1	1.43	20.3		TT	AA	CC	TT	GG
6	SVR	44	M	1	0.97	4.6		TT	AA	CC	TT	GG
7	SVR	61	M	2	2.15	6.1		TT	AA	CC	TT	GG
8	SVR	50	M	2	3.25	66.4		TT	AA	CC	TT	GG
9	SVR	49	M	2	1.25	ND		TT	AA	CC	TT	GG
10	TR	59	F	2	1.29	17.4		TT	AA	CC	TT	GG
11	SVR	48	F	2	1.00	90.2		TT	AA	CC	TT	GG
12	TR	65	F	2	2.86	36.4		TT	AA	CC	TT	GG
13	NR	34	M	3	0.82	17.8		TT	AA	CC	TT	GG
14	SVR	55	M	3	0.83	13.8		TT	AA	CC	TT	GG
15	TR	68	M	3	0.75	20.6		TT	AA	CC	TT	GG
16	SVR	64	M	3	0.94	15.7		TT	AA	CC	TT	GG
17	SVR	67	F	3	1.50	25.7		TT	AA	CC	TT	GG
18	SVR	48	M	4	1.69	7.9		TT	AA	CC	TT	GG
19	NR	66	F	1	4.57	16.5		TC	AG	CT	TG	GA
20	SVR	52	F	1	5.23	29.3		TC	AG	CT	TG	GA
21	NR	55	F	1	8.25	57.2		TC	AG	CT	TG	GA
22	SVR	49	F	1	5.36	ND		TC	AG	CT	TG	GA
23	TR	44	M	1	2.08	7.0		TC	AG	CT	TG	GA
24	NR	63	M	1	2.77	10.5		TC	AG	CT	TG	GA
25	NR	61	F	2	3.98	39.1		TC	AG	CT	TG	GA
26	NR	42	M	2	4.89	5.9		TC	AG	CT	TG	GA
27	SVR	49	M	3	3.31	6.9		TC	AG	CT	TG	GA
28	TR	71	F	3	5.53	27.3		TC	AG	CT	TG	GA
29	TR	63	M	3	3.40	33.5		TC	AG	CT	TG	GA
30	NR	70	F	3	4.78	8.1		TC	AG	CT	TG	GA
31	TR	62	F	3	3.53	14.0		TC	AG	CT	TG	GA
32	NR	56	M	4	7.37	30.8		CC	GG	TT	GG	AA

NOTE. The Pearson correlation of the r^2 estimates for adjacent pairs; rs8099917 vs rs8105790, rs8099917 vs rs11881222, rs8099917 vs rs12979860, and rs8099917 vs rs7248668 = 0.99, 0.99, 0.98, and 0.97, respectively.
IL28B, interleukin 28B; GWAS, genome-wide association studies; ISGs, interferon stimulated genes; SNP, single nucleotide polymorphism; SVR, sustained viral response; TR, transient response; NR, no response; M, male; F, female.

To examine further the different hepatic gene expression of patients with the major or minor genotypes, pathway analysis of differentially expressed genes between the 2 groups was performed. By comparing the expression of hepatic genes between patients with the major and minor genotypes, 1359 differentially expressed genes were identified ($P < .01$; 711 genes were up-regulated with the minor genotype, and 648 genes were up-regulated with the major genotype). Pathway analysis of these genes demonstrated that signaling pathways related to interferon action, apoptosis, and Wnt signaling were up-regulated in the liver of patients with the minor genotype, whereas B-cell-, dendritic cell-, and natural killer cell-related genes were up-regulated in the liver of patients with the major genotype (Supplementary Figure 3). These results suggest that IL28B may be involved in innate and adaptive immune responses and that different antiviral signaling pathways might be involved in the liver of patients with different SNPs.

Discussion

Multiple viral and host factors may be related to the treatment response to Peg-IFN and RBV combination therapy. For the viral factors, a higher number of aa substitutions in the ISDR of nonstructural 5A region was strongly associated with a favorable response to IFN-α monotherapy in patients with genotype-1 HCV.[4]

Besides viral factors, host factors such as age, gender, fibrotic stage of the liver, and the presence of steatosis and insulin resistance were associated with the treatment outcome.[20] Analysis of hepatic gene expression demonstrated that the up-regulation of ISGs in the liver before treatment may be related to a poor treatment response.[6-9] To reveal the underlying mechanism of treatment resistance, 2 reports compared gene expression profiling in the liver before and during therapy and showed that patients with up-regulated ISGs in the liver prior to treatment failed to further induce ISGs following the ad-

Table 4. Comparison of Clinical Factors Between Patients With Major (TT) and Minor (TG+GG) Alleles

Clinical category	TT		TG+GG		Univariate *P* value	Multivariate odds (95% CI)	Multivariate *P* value
No. of patients	n = 60		n = 31			—	
Treatment response							
SVR+TR vs NR	51 vs 9		11 vs 20		<.001	—	
Age and gender							
Age, *y*	56	(30–69)	56	(30–71)	.843	—	
Sex (M vs F)	39 vs 21		19 vs 12		.518	—	
Liver factors							
F stage (F1-2 vs F3-4)	36 vs 24		23 vs 17		.905	—	
A grade (A0-1 vs A2-3)	27 vs 33		20 vs 11		.075	—	
ISGs (Mx, IFI44, IFIT1) (<3.5 vs ≥3.5)	46 vs 14		5 vs 26		<.001	18.1 (3.95–113)	<.001
Laboratory parameters							
HCV-RNA (*KIU/mL*)	2055	(160–5000)	1970	(126–5000)	.602	—	
BMI (kg/m^2)	24.5	(16.3–40.5)	22.9	(19.1–26.6)	.006	—	.077
AST (*IU/L*)	59	(22–258)	54	(21–283)	.227	—	
ALT (*IU/L*)	75	(24–376)	60	(18–236)	.077	—	
γ-GTP (*IU/L*)	61	(4–392)	53	(20–229)	.517	—	.167
WBC ($/mm^3$)	4450	(2100–11,100)	4600	(2500–8200)	.947	—	
Hb (*g/dL*)	14.2	(11.4–16.7)	14.5	(11.2–17.2)	.606	—	
PLT ($\times 10^4/mm^3$)	15.4	(7–39.4)	16.2	(9.2–27.7)	.832	—	
TG (*mg/dL*)	98	(58–248)	131	(30–303)	.053	—	.055
T-Chol (*mg/dL*)	172	(115–222)	168	(129–237)	.910	—	
LDL-Chol (*mg/dL*)	84	(42–123)	69	(51–107)	.052	—	.055
HDL-Chol (*mg/dL*)	44	(18–72)	45	(29–77)	.218	—	
FBS (*mg/dL*)	95	(59–291)	96	(66–206)	.849	—	
Insulin (*μU/mL*)	7.5	(0.7–23.2)	9.2	(2–23.2)	.195	—	
HOMA-IR	1.3	(0.3–11.7)	1.2	(0.4–9.6)	.339	—	
Viral factors							
ISDR mutations (≤1 vs ≥2)	38 vs 22		23 vs 7		.194	—	.083
Treatment factors							
Total dose administrated							
Peg-IFN (*μg*)	3960	(1500–7200)	3840	(1920–5760)	.377	—	
RBV (*g*)	203	(26–336)	201	(106–268)	.777	—	
Achieved administration rate							
Peg-IFN (%)							
≥80%	41		17		.207	—	
<80%	19		14				
RBV (%)							
≥80%	34		19		.671	—	
<80%	26		12				
Achievement of EVR	40/60 (62%)		9/31 (29%)		<.001	—	

BMI, body mass index; AST, aspartate aminotransferase; ALT, alanine aminotransferase; IFI44, interferon-induced protein 44; IFIT1, interferon-induced protein with tetratricopeptide repeats 1; EVR, early virologic response; γ-GTP, γ-glutamyl transpeptidase; ISDR, interferon sensitivity determining region; Mx1, myxovirus (influenza virus) resistance 1 interferon-inducible protein p78 (mouse); WBC, leukocytes; HOMA-IR, homeostasis model assessment of insulin resistance; Hb, hemoglobin; RBV, ribavirin; PLT, platelets; TG, triglycerides; TR, transient response; T-chol, total cholesterol; LDL-chol, low-density lipoprotein cholesterol; HDL-chol, high-density lipoprotein cholesterol; FBS, fasting blood sugar; CI, confidence interval.

ministration of IFN and could not eliminate HCV.[6,7] We performed a similar analysis and observed that these findings were more evident in liver lobular cells than in infiltrating lymphocytes in the portal area (submitted for publication). Thus, both viral and host factors might be closely related to the treatment response to Peg-IFN and RBV combination therapy. However, the clinical relevance and relationships of these factors have not been fully evaluated. In this study, we validated the clinical significance of the expression of hepatic ISGs on treatment outcome using a relatively large cohort of patients and compared its significance with other viral and host factors. To compare the patients with SVR, TR, and NR, we assessed the overall plausibility of each group using Fisher C statistic,[16] and patients with SVR and TR were grouped together for further analysis.

We examined hepatic gene expression in 91 of 168 patients using the Affymetrix genechip. Expression profiling using 37 representative ISGs (see Materials and Methods), which were selected from gene expression profiling comparing pretreatment and under treatment liver, differentiated 2 groups of

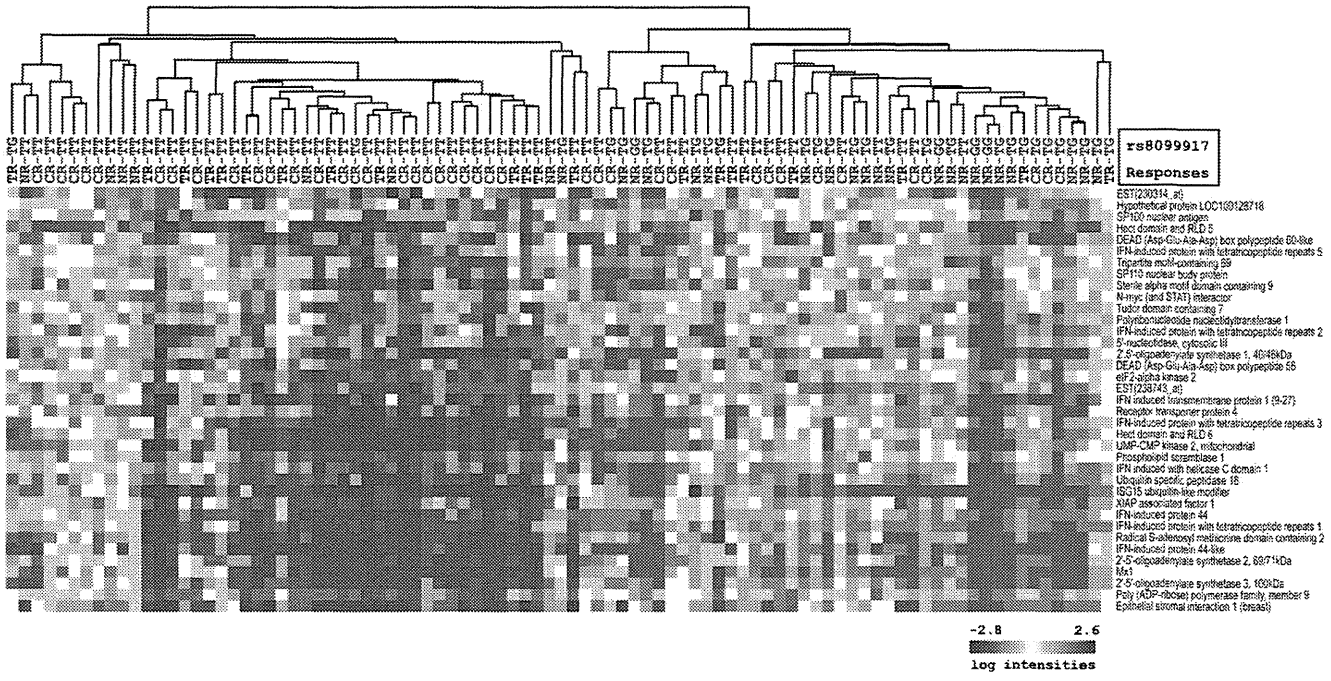

Figure 2. Hierarchical clustering analysis of 91 patients with the defined genotype of IL28B. Responses to therapy (SVR, TR, and NR) and IL28B genotype (TT, TG, or GG) are shown. The structure of the dendrogram and heat map is the same as in Figure 1.

patients: the Up-ISG and Down-ISG groups (Figure 1). The proportion of patients with NR to treatment was significantly higher in the Up-ISGs group.

Multivariate analysis showed that hepatic ISGs (<3.5), fibrosis stage (F1-F2), and ISDR mutations (≥2) significantly contributed to the outcome for the SVR+TR group (Table 1). Discriminate analysis using variables selected by multivariable analysis predicted the SVR+TR patients with 82% accuracy and NR patients with 79% accuracy. However, the accuracy decreased to 67% for SVR+TR patients and 53% for NR patients when the expression of hepatic ISGs was removed from the variables (data not shown). Interestingly, the expression of hepatic ISGs was strongly correlated with γ-GTP and weakly correlated with insulin resistance. A recent study describing the association between insulin resistance and poor treatment outcome might be partially explained by this observation.[20]

In this study, we utilized 3 ISGs (Mx1, IFI44, and IFIT1) out of 15 validated by RTD-PCR. The expression values of these ISGs were higher than those of other ISGs (Supplementary Figure 1*A*). We averaged these ISGs and set the cut-off value as 3.5 from the ROC curve (Supplementary Figure 1*B*). The sensitivity, specificity, and positive and negative predictive values on the likelihood of achieving SVR+TR using this cut-off value were 82% (103/125), 72% (31/43), 90% (103/115), and 58% (31/53), respectively. The results were compared with those observed for the 15 ISGs (Supplementary Table 5). These results showed that the 3.5 cut-off value for Mx1, IFI44, and IFIT1 would be valuable for clinical use.

Despite the importance of the expression of hepatic ISGs, viral factors may also allow us to predict the outcome of treatment. Multivariate analysis showed that ISDR mutations (≥2) independently contributed to the treatment outcome, although univariate analysis did not show significance (P = .07); therefore, ISDR might be uniquely and differentially involved in treatment resistance.

What causes the differences in the expression of hepatic ISGs? In parallel to the gene expression analysis, a GWAS was applied to identify genomic loci associated with treatment response, and a polymorphism in IL28B was found to predict hepatitis C treatment-induced viral clearance.[10–12] To examine the relationship between the genetic variation of IL28B and hepatic gene expression, we determined the IL28B polymorphism in 91 patents (Table 3). The patients with the minor genotype (TG or GG) had an increased expression of hepatic ISGs compared with the patients with major genotype (TT) (Figures 2 and 3). In European-Americans, the proportion of major homozygotes is 39% (CC at rs1297986), 49% for heterozygotes (TC), and 12% for minor homozygotes (TT).[11] Although the proportion of minor homozygotes was much less in this study (GG, 4%), as reported in a previous study in Japan,[12] more patients are required for proper evaluation. It is interesting that the expression of hepatic ISGs in minor homozygotes (GG) was higher than in heterozygotes (TG) in this study.

The results clearly showed that the differences in the expression of hepatic ISGs before treatment are associated with the IL28B polymorphism and results in different treatment outcomes. Although we could not detect significant differences in the expression levels of IL28B depending on the different SNP, some patients with the major genotype showed a higher expression of IL28B. Because IL28B expression was approximately 10-fold less than the expression of ISGs, the lower

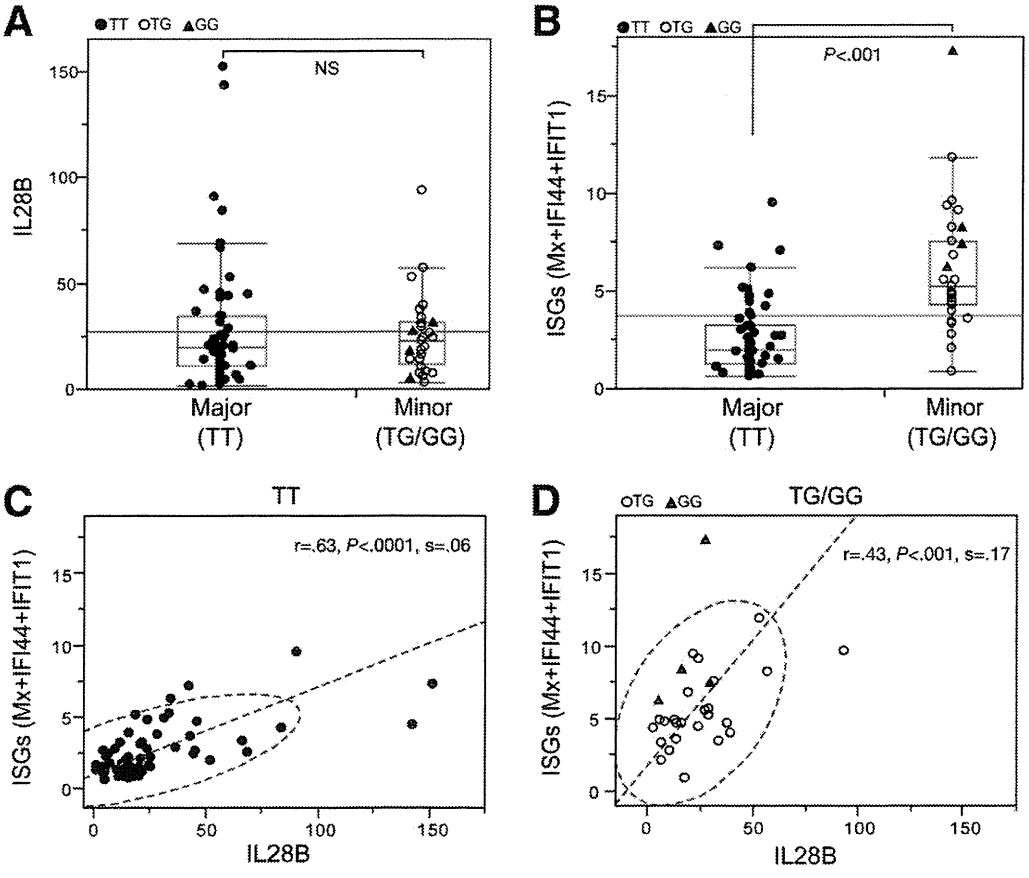

Figure 3. (*A*) IL28 expression in the liver of 91 patients with the major (TT) or minor (TG or GG) genotype (rs8099917). (*B*) Expression of ISGs in the liver of patients with the major (TT) or minor (TG or GG) genotype (rs8099917). (*C*) Relationship between IL28 and ISGs in the liver of patients with the major (TT) genotype (rs8099917). (*D*) Relationship between IL28 and ISGs in the liver of patients with the minor (TG or GG) genotype (rs8099917).

expression of IL28B may be a reason for the decreased ability to distinguish differences in its expression. Another possibility may be the specificity of the IL28B primers used in this study; because IL28B shares a 98.2% nucleotide sequence homology with IL28A, IL28B specific primers are not available.[21] When the expression of IL28B and hepatic ISGs were compared, a significant correlation was observed, and, interestingly, IL28B and ISGs derived from different SNPs were correlated in a different way (Figure 3*C* and *D*). It appeared that hepatic ISGs were more induced by the reduced amounts of IL28B in patients with the minor genotype. The mechanism behind these findings has yet to be determined; however, IL28B interacts with a heterodimeric class II cytokine receptor that consists of IL-10 receptor β (IL-10Rβ) and IL-28 receptor α (IL-28Rα).[18,19] It is possible that IL28B could mediate antiviral signaling through IL-10 signaling as well as STAT1 activation. The Th 2 dominant signaling of IL28B may modulate signaling pathways in livers with CH-C and contributes to the different expression of ISGs. Another possibility may be that the cell origin of hepatic ISGs is different. A recent study revealed cell-type specific ISG expression in macrophages and hepatocytes, which could be related to the IFN response.[22] As more of the B-cell-, dendritic cell-, and natural killer cell-related genes were up-regulated in the liver of patients with the major genotype, ISGs could be expressed by these cells, whereas they are expressed by hepatocytes in the liver of patients with the minor genotype. It is known that the induction of ISGs in lymphocytes is lower than that in hepatocytes. The precise mechanism should be investigated further as a different regulatory mechanism for the expression of ISGs may be present.

In conclusion, we presented the clinical relevance of the expression of hepatic ISGs for the treatment outcome of Peg-IFN and RBV combination therapy. The different expressions of hepatic ISGs before treatment might be due to polymorphisms in IL28B. Further studies are required to clarify the detailed pathways of IL28B and hepatic gene expression through molecular biologic and immunologic aspects.

Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at www.gastrojournal.org, and at doi: 10.1053/j.gastro.2010.04.049.

Appendix 1. The Hokuriku Liver Study Group (HLSG) is Composed of the Following Members:

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Participating investigators are listed in Appendix 1.

Conflicts of interest

The authors disclose no conflicts.

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Genome-wide association study identified *ITPA/DDRGK1* variants reflecting thrombocytopenia in pegylated interferon and ribavirin therapy for chronic hepatitis C

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Hematologic abnormalities during current therapy with pegylated interferon and ribavirin (PEG-IFN/RBV) for chronic hepatitis C (CHC) often necessitate dose reduction and premature withdrawal from therapy. The aim of this study was to identify host factors associated with IFN-induced thrombocytopenia by genome-wide association study (GWAS). In the GWAS stage using 900K single-nucleotide polymorphism (SNP) microarrays, 303 Japanese CHC patients treated with PEG-IFN/RBV therapy were genotyped. One SNP (rs11697186) located on *DDRGK1* gene on chromosome 20 showed strong associations in the minor-allele-dominant model with the decrease of platelet counts in response to PEG-IFN/RBV therapy [$P = 8.17 \times 10^{-9}$; odds ratio (OR) = 4.6]. These associations were replicated in another sample set ($n = 391$) and the combined *P*-values reached 5.29×10^{-17} (OR = 4.5). Fine mapping with 22 SNPs around *DDRGK1* and *ITPA* genes showed that rs11697186 at the GWAS stage had a strong linkage disequilibrium with rs1127354, known as a functional variant in the *ITPA* gene. The

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