Autophagy has been shown to be involved in cancer development and progression in a variety of ways.[6] Genetic evidence supports a tumor suppressive role of autophagy in cancer development. The *Beclin 1* autophagy gene is monoallelically deleted in a subset of human sporadic breast, ovarian and prostate cancer. Heterozygous disruption of *Beclin 1* increases the frequency of spontaneous malignancies in mice.[7] On the other hand, tumor cells display autophagy or autophagic cell death under a variety of stress-inducing conditions as well as anticancer therapies.[8] Therefore, autophagy promotes or inhibits tumor progression which is also dependent on the cell types and stimuli. Recently, sorafenib has been reported to induce autophagosome accumulation, as evidenced by GFP-LC3 markers, in tumor cells.[9–11] However, its biological and clinical significance has not yet been addressed. In the present study, we examined autophagy of hepatoma cells treated with sorafenib and demonstrate that sorafenib not only induces autophagosome formation but also activates autophagic flux which is an adaptive response to this compound, and that concomitant inhibition of autophagy may be therapeutically useful for improving the anti-HCC effect.

Material and Methods

Cell lines

Hepatoma cell lines Huh7, HLF and PLC/PRF/5 were cultured with Dulbecco's modified Eagle medium (DMEM). Huh7 and HLF were obtained from the JCRB/HSRRB cell bank (Osaka, Japan) and PLC/PRF/5 was obtained from ATCC (Manassas, VA). All cell lines were cultured at 37°C in a humidified atmosphere of 5% CO_2.

Western immunoblot

Cells or tissues were lysed and immunoblotted as previously described.[12] For immunodetection, the following antibodies were used: anti-microtubule-associated protein 1 light chain (LC3) polyclonal antibody (Ab) (MBL, Nagoya, Japan); anti-ATG7 polyclonal Ab (MBL); anti-Beclin1 polyclonal Ab (CST, Danvers, MA); anti-p62 polyclonal Ab (MBL); anti-phospho-ERK polyclonal Ab (CST); anti-phospho-S6K polyclonal Ab (CST); anti-phospho-4E-BP1 polyclonal Ab (CST); anti-phospho-Akt polyclonal Ab (CST).

Transfection with fluorescent LC3 plasmid

Cells were transfected with monomeric red fluorescence protein (mRFP)-GFP tandem fluorescent-tagged LC3 expression plasmid (ptfLC3)[13] using Fugene6 (Roche Applied Science, Hague Road, IN) according to the manufacturer's instructions. At 48 hr after transfection, the medium was changed to DMEM containing sorafenib or DMSO, and the cells were further cultured and examined under a BZ8100 fluorescent microscope (Keyence, Osaka, Japan).

In vitro treatment with sorafenib

Hepatoma cells were transfected with 5 nM Silencer Select siRNAs (Ambion, Austin, TX) either of *ATG7* or negative control using RNAiMAX (Invitrogen, Carlsbad, CA) according to the manufacturer's instructions. Forty-eight hours after transfection, the medium was changed to DMEM containing sorafenib or DMSO. Cells were further cultured and assayed for cell viability by WST assay using the cell count reagent SF (Nacalai Tesque, Kyoto, Japan) and analyzed for apoptosis using Annexin V-FITC apoptosis detection kit (Biovision, Mountain View, CA). We defined apoptotic cells as Annexin V-FITC positive and propidium iodide (PI) negative cells. PI negative cells were gated and the positive cell rate of Annexin V-FITC was determined. The supernatant of the cultured cells was assayed for caspase-3/7 activity using Caspase-Glo 3/7 assay (Promega, Madison, WI) as previously reported.[12] For the treatment with a pharmacological inhibitor of autophagy, cells were cultured with DMEM containing chloroquine (Sigma-Aldrich, St. Louis, MO) or bafilomycin A1 (Sigma-Aldrich) with sorafenib or DMSO and assayed for cell viability and caspase-3/7 activity in the same manner.

Electron microscopy

Samples were fixed with 2.5% glutaraldehyde solution buffered at pH 7.4 with 0.1 M Millonig's phosphate at 4°C for 2 hr, postfixed in 1% osmium tetroxide solution at 4°C for 1 hr, dehydrated in graded concentrations of ethanol and embedded in Nissin EM Quetol 812 epoxy resin. Ultrathin sections (80 nm) cut on a Reichert ultramicrotome (Ultracut E) were stained with uranyl acetate and lead citrate, and examined with a Hitachi H-7650 electron microscope at 80 kV.

Xenograft experiments

To produce a xenograft tumor, 3–5 × 10^6 Huh7 cells were subcutaneously injected to Balb/c nude mice. Sorafenib tablets were crushed and orally administered daily with water containing 12.5% cremophor EL (Sigma-Aldrich) and 12.5% ethanol, as previously described.[14] Chloroquine was dissolved in PBS and intraperitoneally administered daily. We estimated the volume of the xenograft tumor using the following formula: tumor volume = $\pi/6 \times$ (major axis) × (minor axis)^2. Mice were maintained in a specific pathogen-free facility and treated with humane care with approval from the Animal Care and Use Committee of Osaka University Medical School.

Statistical analysis

Data are presented as mean ± SD. Comparisons between two groups were performed by unpaired *t* test. Multiple comparisons were performed by ANOVA with Scheffe post-hoc test. $p < 0.05$ was considered statistically significant.

Results

In vitro treatment with sorafenib induces accumulation of autophagosomes in hepatoma cell lines

To examine the effect of sorafenib on autophagy in human HCC, we treated the hepatoma cell line Huh7 with sorafenib *in vitro*. First, we assessed the expression of LC3, a

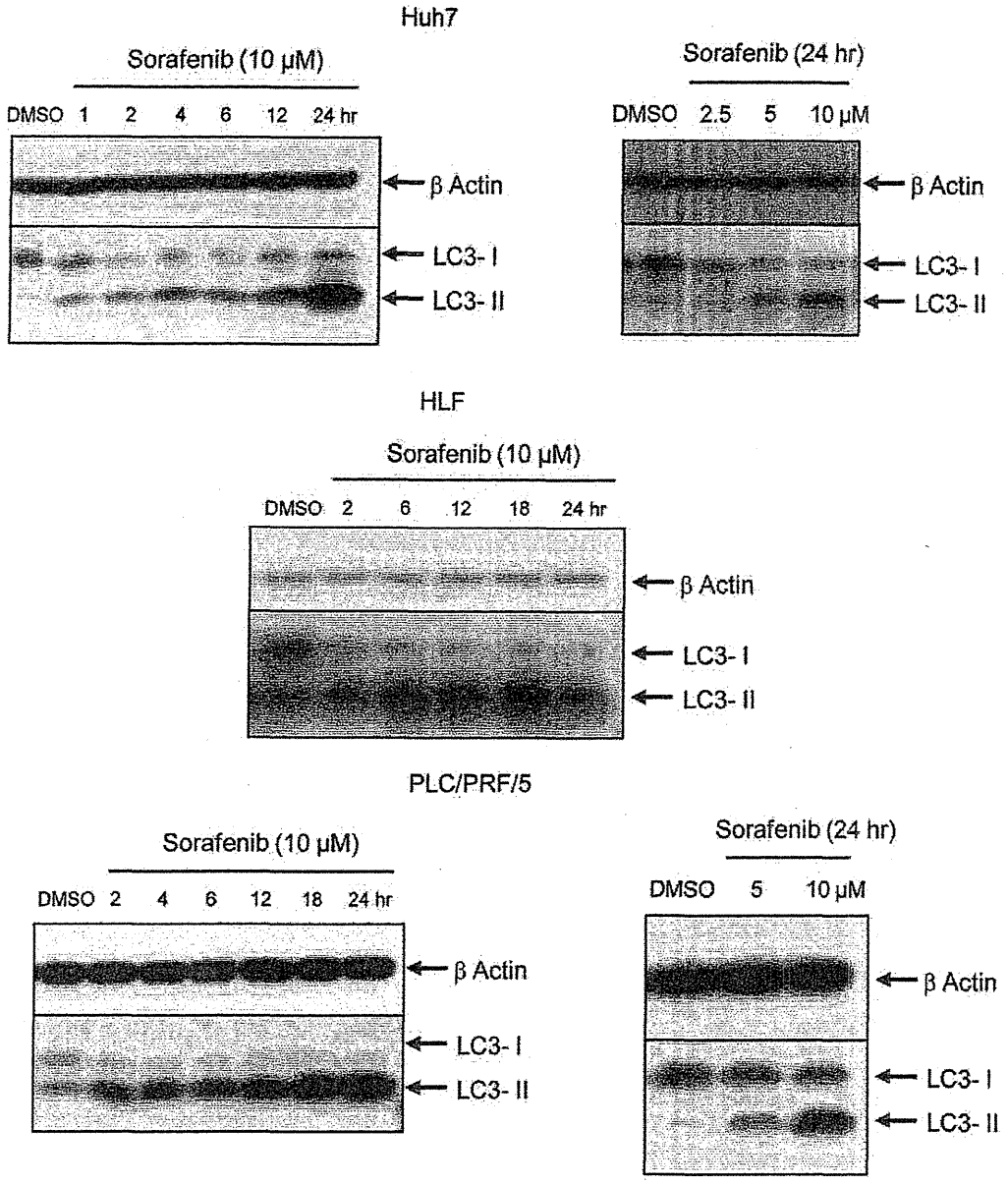

Figure 1. Sorafenib induces accumulation of autophagosomes in hepatoma cells. Western blot showing an increase in LC3-II in Huh7, HLF and PLC/PRF/5 hepatoma cells after treatment with sorafenib. Hepatoma cells were treated with 2.5, 5 or 10 μM sorafenib for the indicated times and analyzed for LC3 expression by western blot. Hepatoma cells treated with DMSO-containing media for 24 hr are shown as the control. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

mammalian homolog of yeast *atg8*, by immunoblot. During the progress of autophagy, the cytoplasmic form LC3-I is converted to the membrane-bound lipidated form LC3-II which is detected by a mobility shift on electrophoresis.[15] When Huh7 cells were treated with 10 μM sorafenib, LC3 conversion was observed as early as 1 hr after the treatment and gradually increased at later time points (Fig. 1). We examined the dose-dependency of this response in Huh7 cells as well. Under 2.5 μM sorafenib treatment, the amount of LC3-II did not show an obvious increase, however, the amount of LC3-I decreased which indicates modest activation of autophagosome formation. Under 5 and 10 μM sorafenib treatment, the amount of LC3-II clearly increased. Next, we investigated the effect of sorafenib on other hepatoma cell lines, HLF and PLC/PRF/5. Under sorafenib treatment, LC3 conversion was observed at 2 hr after the initiation of treatment and gradually increased until 24 hr in HLF cells and PLC/PRF/5 cells in the same manner as in Huh7 cells.

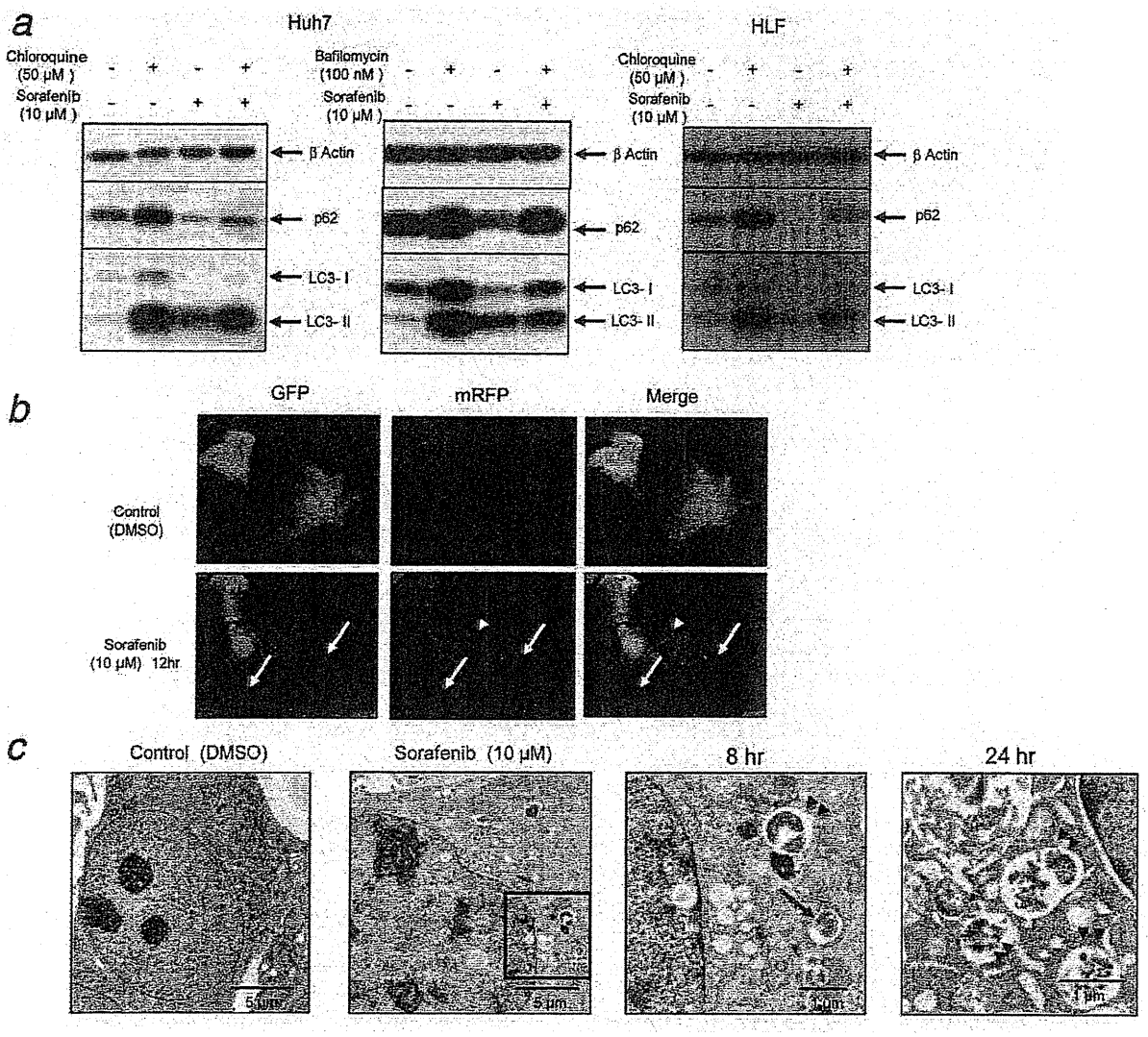

Figure 2. Sorafenib activates autophagic flux in hepatoma cells. (*a*). Western blot showing p62 degradation and LC3 lipidation in Huh7 cells and HLF cells treated with sorafenib and/or lysosomal inhibitors. Huh7 cells or HLF cells were treated with or without 10 μM sorafenib in the presence or absence of 50 μM chloroquine or 100 nM bafilomycin A1 for 12 hr. (*b*). Photographs of fluorescence microscopy of punctate fluorescence of a transfected mRFP-GFP-LC3 construct in Huh7 cells after 12-hr treatment with 10 μM sorafenib. Arrows indicate a typical example of colocalized particles of GFP and mRFP signal, while the arrowhead points to a typical example of a particle with an mRFP signal but without a GFP signal. *C.* Photographs from transmission electron microscopy showing autophagic vacuoles including autophagosomes (arrow) and probably autolysosomes (arrowhead) in Huh7 cells treated with 10 μM sorafenib.

Sorafenib activates autophagic flux in hepatoma cells

To clarify whether the accumulation of autophagosomes induced by sorafenib is a result of induction of autophagosome formation or inhibition of autophagosome degradation, we first measured the amount of p62, a selective substrate of autophagy, by immunoblot. Activation of the autophagic flux leads to a decline in p62 expression, and *vice versa*.[16] When Huh7 cells or HLF cells were treated with sorafenib, the amount of p62 decreased despite the accumulation of LC3-II implying that this accumulation of LC3-II is associated with autophagosome degradation (Fig. 2*a*). In addition, when cells were treated with both sorafenib and chloroquine, accumulation of LC3-II was further enhanced compared to the sorafenib-treated group, while the levels of p62 expression increased. We also used bafilomycin A1, which inhibits fusion of autophagosome and lysosome, and obtained similar results. Our findings indicate that the LC3-II accumulation induced by sorafenib results from activation of autophagosome formation but not from just inhibition of the autophagosome degradation steps. Second, we examined the color

change of mRFP-GFP tandem fluorescent-tagged LC3 (mRFP-GFP-LC3). When Huh7 cells were transfected with the mRFP-GFP-LC3 expression plasmid ptfLC3 and then treated with sorafenib, some punctate signals showed both GFP and mRFP signals but part of the punctate signals exhibited only mRFP signals (Fig. 2*b*). Because GFP fluorescence but not mRFP fluorescence is attenuated under lysosomal acidic condition,[13] this observation supports that autophagy induced by sorafenib proceeds to the lysosomal degradation phase. Finally, electron microscopy revealed abundant autophagic vacuoles such as autophagosomes and probably autolysosomes in sorafenib-treated Huh7 cells, but scarcely in control cells (Fig. 2*c*).

Sorafenib selectively inhibits the activity of TORC1 in hepatoma cells

Sorafenib was initially developed as a Raf kinase inhibitor, however, it can also inhibit other tyrosine kinases such as VEGR-2, Flt-3 and c-Kit.[17] The inhibitory effect of sorafenib on the Raf/MEK/ERK pathway[18] or the STAT3 pathway[19] is widely recognized in several types of cancer, but the effect of sorafenib on the PI3K/Akt pathway and the mTOR pathway has not been established yet. Because the mTOR pathway is known as a major regulatory pathway of autophagy,[20] we next examined the activity of the mTOR signaling pathway in Huh7 cells and HLF cells. Sorafenib clearly inhibited the activity of the mammalian target of rapamycin complex 1 (mTORC1), which is measured by the dephosphorylation of S6K and 4E-BP1 in Huh7 cells and HLF cells (Fig. 3*a*). 4E-BP1 is initially phosphorylated at threonine 37 and threonine 46, which promotes subsequent phosphorylation and decreases electrophoretic mobility.[21] With sorafenib administration, the upper band of phosphorylated 4E-BP1 gradually decreased and shifted to the lower band. At 24 hours after treatment initiation, the lower band diminished as well, indicating further dephosphorylation of 4E-BP1 at threonine 37 and 46. On the other hand, sorafenib treatment increased the phosphorylation of Akt at threonine 308 and serine 473 in these cells. The phosphorylation at threonine 308 suggests the activation of upstream PI3K while the phosphorylation at serine 473 suggests the activation of mTORC2.[22] Therefore, sorafenib can be presumed to possess a selective inhibitory effect on the activity of mTORC1 independent of PI3K and Akt. Administration of sorafenib clearly inhibited the phosphorylation of ERK as early as 2 hours after treatment, which is consistent with a previous report.[18] The expression of ATG7 and Beclin 1, autophagy-related gene products, did not change under sorafenib treatment. Next, we treated Huh7 cells with rapamycin or Torin1[23] to determine the impact of mTORC1 activity on autophagy induction. As expected, the levels of LC3-II increased upon rapamycin treatment in Huh7 cells (Fig. 3*b*). A similar result was obtained using another mTOR inhibitor, Torin1.

Inhibition of autophagy by siRNAs or a pharmacological inhibitor enhanced the apoptotic effect of sorafenib *in vitro*

From these results, we considered two possibilities: sorafenib-induced autophagy may be a mechanism of action of the antitumor effect of sorafenib or a stress-responsive phenomenon leading to survival of tumor cells in the presence of sorafenib treatment. To investigate the role of autophagy under sorafenib treatment, we introduced into Huh7 cells, the siRNA specific for *ATG7*. Administration of *ATG7* siRNA suppressed LC3-II expression in DMSO-treated cells and sorafenib-treated cells, indicating that autophagy is clearly suppressed under physiological conditions as well as with sorafenib treatment (Fig. 4*a*). Sorafenib treatment induced apoptosis, as determined by the elevation of caspase-3/7 activity or by the increase of Annexin V positive cells, and decreased the viability of Huh7 cells (Fig. 4*b*). Of importance is the finding that *ATG7* knockdown significantly enhanced the sorafenib-induced apoptosis and decreased cell viability in Huh7 cells. These observations imply that autophagy plays a protective role for hepatoma cells under sorafenib treatment and could be a target for enhancing its antitumor effects. We performed an ATG7 knockdown experiment using HLF cells as well and obtained a similar result (Fig. 4*c*).

Next, we treated Huh7 cells with sorafenib in combination with the pharmacological autophagy inhibitor chloroquine, which clearly blocks the downstream autophagic pathway in hepatoma cells as shown in Figure 2*a*. Chloroquine itself induced a modest activation of caspase-3/7 at a high dose under our experimental conditions (Fig. 5). However, in combination with sorafenib, chloroquine markedly enhanced the apoptotic effect of sorafenib and reduced cell viability in a dose-dependent manner. We investigated the effect of chloroquine on PLC/PRF/5 cells as well, and obtained a similar result.

Autophagy inhibitor chloroquine enhanced the anti-tumor effect of sorafenib in a xenograft model

To examine the significance of autophagy *in vivo*, nude mice were subcutaneously injected with Huh7 cells to generate xenograft tumors. To examine whether sorafenib induces autophagy in the *in vivo* setting, we administered sorafenib or vehicle for 7 days to mice bearing xenograft tumors. As we reported previously,[14] sorafenib treatment significantly suppressed tumor growth compared with the vehicle alone (data not shown). Consistent with the *in vitro* finding, xenograft tumors from sorafenib-administered mice displayed accumulation of LC3-II on immunoblot compared with those from vehicle-treated mice (Fig. 6*a*). To examine the therapeutic significance of autophagy inhibition for sorafenib therapy, mice with Huh7 xenograft were randomly assigned to two groups when the diameter of the subcutaneous tumor reached about 1 centimeter: sorafenib administration group and sorafenib plus chloroquine administration group. Coadministration of chloroquine and sorafenib for 7 days led to significant suppression of tumor growth compared with

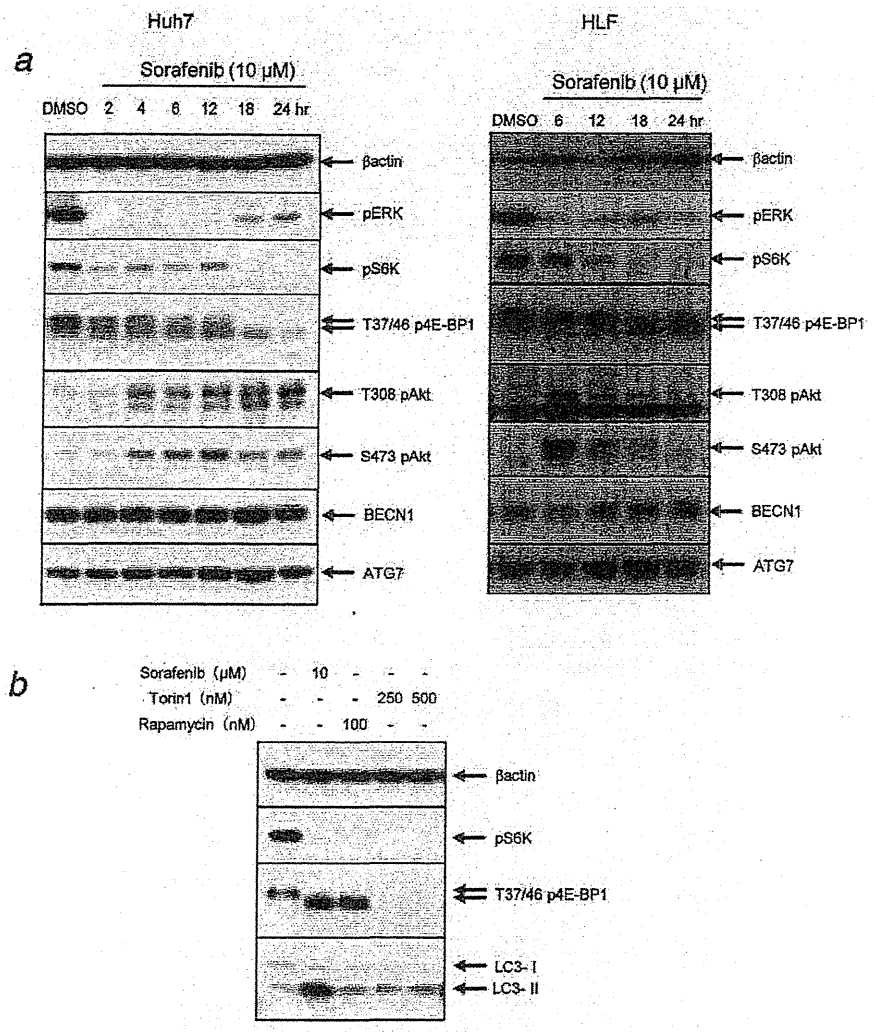

Figure 3. Raf/MEK/ERK and Akt/mTOR/S6K pathways in hepatoma cells treated with sorafenib. (*a*). Western blot showing decrease in ERK, S6K and 4E-BP1 phosphorylation, increase in Akt phosphorylation and stable expression of Beclin 1 and ATG7 in Huh7 cells and HLF cells after treatment with 10 μM sorafenib. (*b*). Western blot showing that rapamycin or Torin1 dephosphorylates both S6K and 4E-BP1 and increases the expression of LC3-II in Huh7 cells. Huh7 cells were treated with 100 nM rapamycin or the indicated concentration of Torin1 for 12 hr. Huh7 treated with sorafenib (10 μM, 12 hr) serves as a positive control. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

administration of sorafenib alone (Fig. 6*b*). Administration of chloroquine alone did not affect the growth of the tumor. We performed TUNEL staining and immunohistological staining of cleaved caspase-3 of the xenograft tumor to examine the contribution of apoptosis in this xenograft model. However, nonspecific staining of the xenograft tumors treated with sorafenib interfered with an accurate evaluation of the apoptotic change (data not shown).

Discussion

Accumulating evidence indicates that cancer therapies such as irradiation and administration of cytotoxic drugs and chemicals induce autophagy and autophagic cell death in a variety of tumor cells.[8] Research has shown that autophagy induced by these treatments sometimes protects tumor cells (autophagic resistance) but promotes cell death in other settings (autophagic Type II programmed cell death). For example, temozolomide, a DNA alkylating agent,[24] and ionizing radiation[25] induce autophagy in malignant glioma cells and a variety of epithelial tumors, respectively, and this inhibition enhances antitumor effects. On the other hand, poly(dI:dC) induces endosome-mediated autophagy leading to cell death in melanoma cells.[26] Arsenic trioxide induces autophagic cell death in leukemia cells.[27] In the present study, we demonstrated that sorafenib, a recently approved molecular targeting drug for HCC, induced autophagy which appeared to

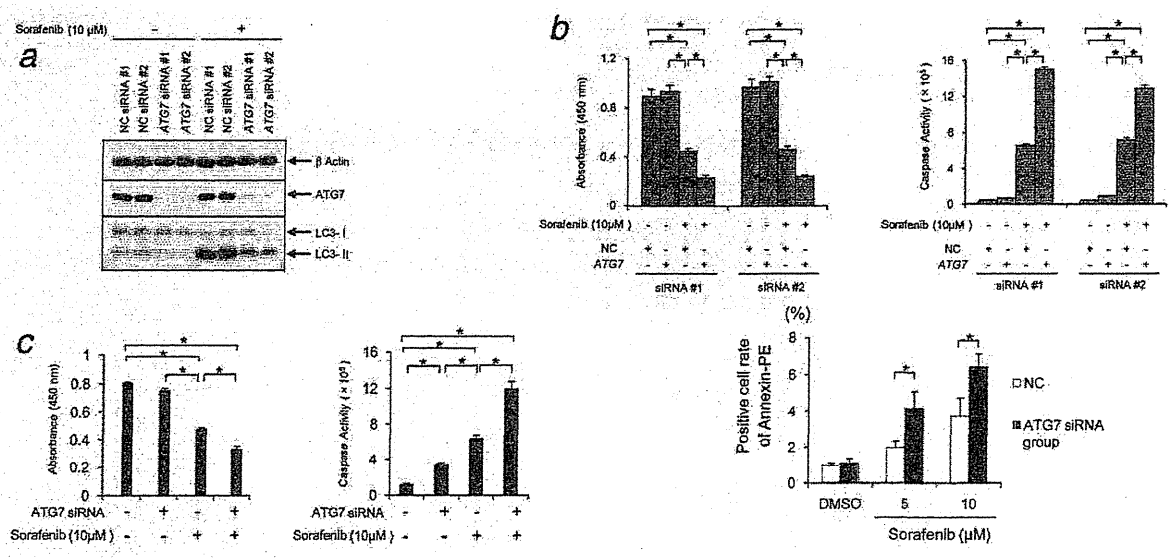

Figure 4. Genetic ablation of autophagy increases sensitivity of hepatoma cells to sorafenib. (*a*,*b*). Huh7 cells were transfected with two different sets of *ATG7* siRNA (no. 1 and 2) or control siRNA (no. 1 and 2) for 48 hr and then treated with the indicated concentration of sorafenib or vehicle for an additional 18 hr. LC3 lipidation and ATG7 expression were determined by western blot (*a*). Cell growth was determined by WST assay, while apoptosis was monitored by the activity of caspase-3/7 in the supernatant or by annexin V positive cell rate ($n = 4$) (*b*). (*c*) HLF cells were transfected with *ATG7* siRNA and examined for cell viability and caspase-3/7 activity in the same manner as Huh7 cells ($n = 4$). *$p < 0.05$. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

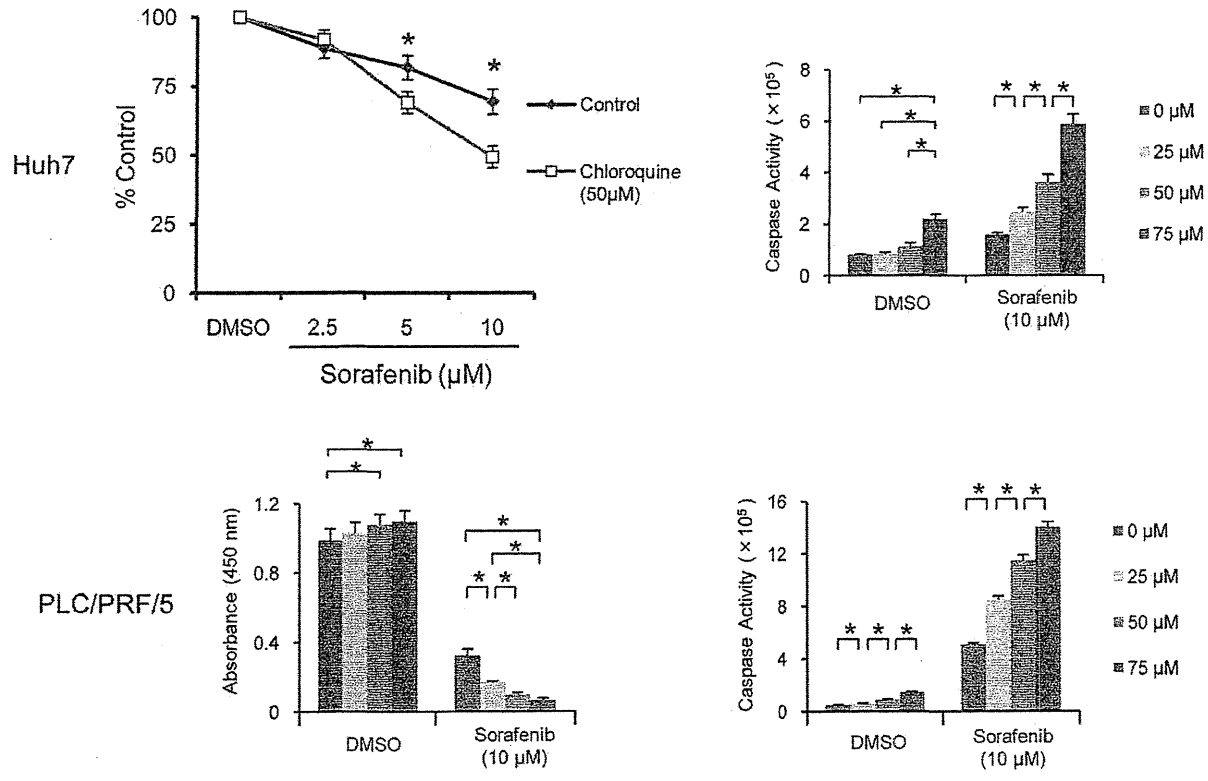

Figure 5. Pharmacological inhibition of autophagy increases sensitivity of hepatoma cells to sorafenib. Huh7 cells or PLC/PRF/5 cells were treated with or without the indicated concentration of sorafenib in the presence or absence of chloroquine for 18 hr. Capsase-3/7 activity was monitored in the supernatant, while cell growth was determined by WST assay ($n = 4$). *$p < 0.05$.

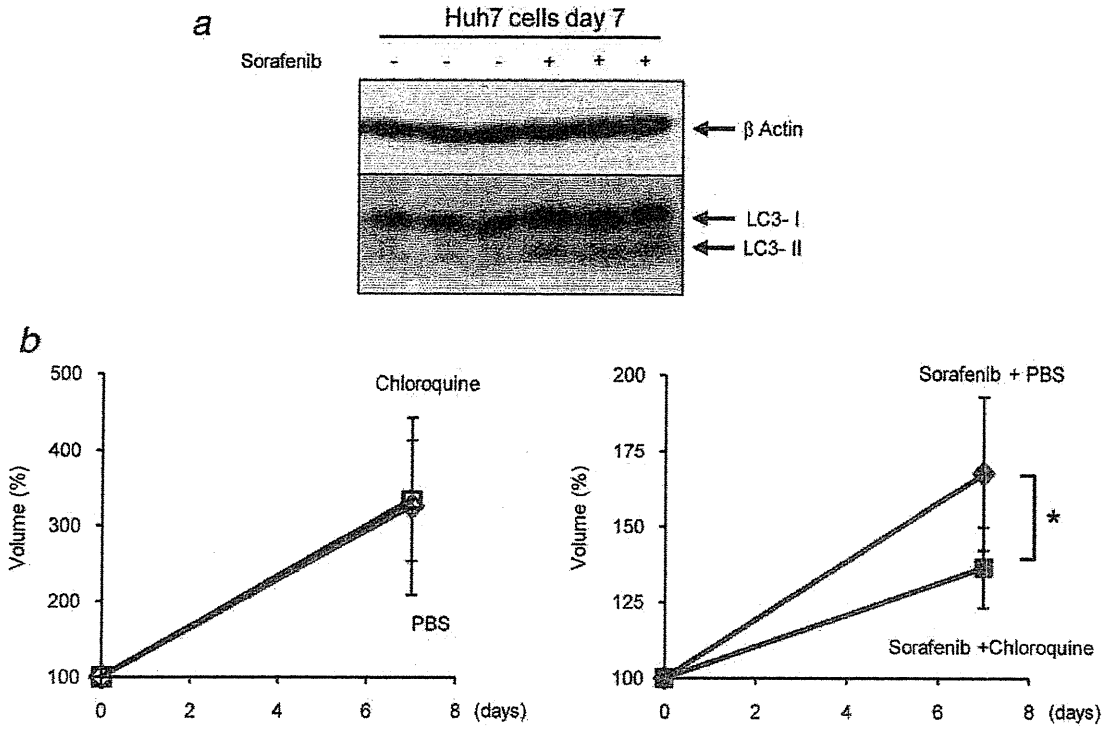

Figure 6. Inhibition of autophagy potentiates sorafenib-induced antitumor effects in Huh7 xenograft. (*a*). Western blot showing increase in LC3-II expression in Huh7 xenograft tumor after sorafenib therapy. Mice bearing xenograft tumor were administered sorafenib (30 mg kg^{-1}) or vehicle for 7 days ($n = 3$/group). (*b*). Chloroquine (60 mg kg^{-1}) itself did not affect the tumor growth of Huh7 xenograft (left panel), ($n = 7$/group), but enhanced the effect of sorafenib (30 mg kg^{-1}) in a synergistic manner (right panel), ($n = 6$/group). Mice bearing xenograft tumor were administered sorafenib and/or chloroquine for 7 days. Tumor volume at 7 days is shown as a percentage of that before initiation of the therapy. *$p < 0.05$. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

promote survival of hepatoma cells and thereby may be a cellular adaptive response related to primary resistance to this compound.

LC3 lipidation and its association with the isolation membranes have been established as useful signs for autophagy detectable by immunoblotting and fluorescence microscopy, facilitating research on autophagy. Previous research has shown that sorafenib induces GFP-LC3 punctate structure and LC3-II conversion in tumor cells.[9–11] However, these techniques should be analyzed more carefully, because positive results clearly indicate increased numbers of autophagosomes but do not always mean upregulation of autophagic flux.[28] For example, treatment with vinblastine or nocodazole leads to LC3 conversion and produces GFP-LC3 punctate structures, resulting from blockade of the fusion of autophagosomes and lysosomes but not from autophagy induction.[29,30] In the present study, we applied several methods including LC3 turnover assay using a lysosomal inhibitor of chloroquine or bafilomycin A1, measurement of the amount of a selective autophagy substrate p62, and observation of the mRFP-GFP color change using a fluorescent-tagged LC3 probe, to obtain evidence showing that sorafenib not only increases the number of autophagosomes but also activates the autophagic flux.

The underlying mechanisms by which sorafenib induces autophagy are not completely clear at present. In addition to the well-known target Raf/MEK/MAPK pathway, sorafenib clearly inhibited the mTORC1 pathway in the present study. Because mTOR inhibition by rapamycin or Torin1 activates autophagosome formation in hepatoma cells, sorafenib-induced inhibition of the mTORC1 pathway might be involved in sorafenib-mediated induction of autophagy. Recently, a putative tumor-suppressor gene *p53* has been shown to transactivate an autophagy-inducing gene, *dram*,[31] and *p53*-dependent induction of autophagy has been documented in response to DNA damage or reexpression of p53 in p53-negative tumor cells.[32] Because the hepatoma cells used in the present study (Huh7, HLF and PLC/PRF/5) possess mutant *p53*, sorafenib-induced adaptive autophagy could occur independently of p53. This finding may be important, because more than half of advanced HCC cases are p53-defective.[33] In such cases, our observations could be applicable and relevant.

Study of rodent carcinogenesis has revealed that autophagic protein degradation is reduced in HCC.[34] In human,

malignant HCC cell lines and HCC tissue with recurrent disease display lower autophagic activity with decreased expression of Beclin 1.[35] The autophagic pathway contributes to the growth-inhibitory effect of TGF-beta in hepatoma cells.[36] Taken together, these findings suggest that defects in autophagy may promote development or progression of HCC, focusing on the tumor suppressive or antitumor effect of autophagy in the liver or HCC. In contrast, the present study clearly showed that autophagy induced by sorafenib protects hepatoma cells from apoptotic cell death, thus shedding light on the tumor-promoting effect of autophagy in HCC. Inhibition of autophagy at both an early step (by *ATG7* knockdown) and a late step (by chloroquine treatment) sensitized hepatoma cells by converting the autophagic process to an apoptotic process. Of importance are the findings that sorafenib induced autophagy in a xenograft model and that coadministration of chloroquine and sorafenib led to better suppression of xenograft tumor than sorafenib alone. Although further study is needed to elucidate the mechanism(s) involved in autophagy-mediated protection of tumor cells, the induced autophagy might degrade the damaged or harmful cellular proteins and organelles to suppress apoptosis and promote survival of hepatoma cells under sorafenib treatment.

In conclusion, the present study demonstrates both *in vitro* and *in vivo* that sorafenib induces autophagosome formation and upregulates cellular autophagy in tumor cells, which is an adaptive response to this drug, and raises the important possibility that autophagy may be a novel target for cancer treatment with sorafenib therapy.

Acknowledgements

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Fibroblast growth factor-2 enhances NK sensitivity of hepatocellular carcinoma cells

Hinako Tsunematsu[1]*, Tomohide Tatsumi[1]*, Keisuke Kohga[1], Masashi Yamamoto[1], Hiroshi Aketa[1], Takuya Miyagi[1], Atsushi Hosui[1], Naoki Hiramatsu[1], Tatsuya Kanto[1], Norio Hayashi[2] and Tetsuo Takehara[1]

[1] Department of Gastroenterology and Hepatology, Osaka University Graduate School of Medicine, Osaka, Japan
[2] Kansai-Rosai Hospital, Amagasaki, Hyogo, Japan

The roles of fibroblast growth factor-2 (FGF-2) in the hepatocellular carcinoma (HCC) development are still controversial. In this study, we investigated the expression of FGF-2 in chronic hepatitis (CH) type C patients with or without HCC and the immunoregulation of FGF-2 in NK sensitivity of HCC cells. The FGF-2 expressions were detected in the liver tissues of patients, but not in normal liver. The serum FGF-2 levels of the patients with CH, liver cirrhosis (LC) or HCC were significantly higher than those of healthy volunteers. The serum FGF-2 levels of patients decreased with the progression of chronic liver disease. HCC occurrence of LC patients with high levels of serum FGF-2 was significantly lower than that with low levels of serum FGF-2. Proinflammatory cytokines, such as IL-1β and IL-6, induced FGF-2 expressions in HCC cells and normal hepatocytes. FGF-2 stimulation resulted in increasing the expression of the membrane-bound major histocompatibility complex class I-related chain A (MICA), an NK activating molecule, and decreasing that of human leukocyte antigen (HLA) class I, an NK inhibitory molecule, on HCC cells. This did not occur with normal hepatocytes. Adding anti-FGF receptor-2 neutralizing antibody resulted in inhibiting the change of MICA and HLA class I expressions on FGF-2 stimulated HCC cells. FGF-2 stimulation on HCC cells resulted in increasing NK sensitivity against HCC cells. These findings indicate that FGF-2 produced by HCC cells or normal hepatocytes of chronic liver disease may play critical roles in eliminating HCC cells by innate immunity.

Tumor Immunology

Fibroblast growth factor (FGF)-2 is one of a family of FGFs that includes 22 structurally related members.[1] FGF-2 has been shown to exert a potent angiogenic effect by interacting with tyrosine kinase receptors, FGFR1, FGFR2 and FGFR3, in various cancers including hepatocellular carcinoma (HCC).[2–4] Aside from its angiogenic effect, FGF-2 has also been shown to act as a mitogen for HCC cell proliferation *via* an autocrine mechanism.[5] Uematsu *et al.* reported that the serum FGF-2 of chronic liver disease patients without HCC tended to be higher than that of those with HCC.[6] Decrease of serum FGF-2 could be observed prior to the emergence of HCC, and this suggests that FGF-2 may play a critical role in the surveillance of HCC. However, the immunological significance of elevating the FGF-2 levels in chronic liver disease patients remains unclear.

HCC is one of the leading causes of cancer deaths worldwide. Chronic liver disease caused by hepatitis virus infection and nonalcoholic steatohepatitis leads to a predisposition for HCC, with liver cirrhosis (LC), in particular, being considered a premalignant condition.[7,8] The liver contains a large compartment of innate immune cells (NK cells and NKT cells) and acquired immune cells (T cells),[9,10] but the activation process of these immune cells in HCC development remains unclear. A recent study has demonstrated that the innate immune system may play a critical role in tumor surveillance *via* an NKG2D signal.[11] Knowing the details of how to activate the abundant NK cells in the liver could lead to the establishment of attractive new strategies for HCC treatment.

In this study, we investigated the expression of FGF-2 in chronic hepatitis (CH) type C patients with or without HCC and the immunoregulation of FGF-2 in NK sensitivity of HCC cells. Of importance are the findings that serum FGF-2 levels in patients with CH and LC without HCC were significantly higher than that in those with HCC and that FGF-2 enhanced the NK sensitivity of HCC cells. The present study

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*H.T. and T.T. contributed equally to this work and share the first authorship.
Correspondence to: Tomohide Tatsumi, Department of Gastroenterology and Hepatology, Osaka University Graduate School of Medicine, 2-2 Yamadaoka, Suita, Osaka 565-0871, Japan, Tel.: +81-6-6879-3621, Fax: +81-6-6879-3629, E-mail: tatsumit@gh.med.osaka-u.ac.jp

Table 1. Clinical backgrounds

	Normal	Hepatitis	Cirrhosis	HCC
Number	24	80	84	112
				Stage I/II 51
				Stage III/IV 61
Sex (M/F)	12/12	45/35	44/40	67/45
Age	64 ± 15	56 ± 13	62 ± 13	66 ± 11
Etiology		HCV	HCV	HCV

Abbreviations: Stage: TNM stage; M: male; F: female; HCV: hepatitis C virus.

sheds light on previously unrecognized immunological effects of FGF-2 on HCC cells and thus suggests a role of FGF-2 in HCC development in patients with CH type C.

Material and Methods

Liver tissues and immunohistochemistry

Human HCC tissues (n = 6) and normal liver tissues (n = 2) were obtained at surgical resection. CH tissues (n = 4) and LC tissues (n = 4) were obtained as liver biopsy samples. Informed consent, under an Institutional Review Board-approved protocol, was obtained from all patients before sample acquisition. Liver sections were subjected to immunohistochemical staining using the ABC procedure (Vector Laboratories, Burlingame, CA). The primary antibody (Ab) was antihuman FGF-2 Ab (Abcam, Cambridge, MA). To confirm the specificity of the staining, the primary antibody was incubated with recombinant human FGF-2 protein (R&D Systems, Minneapolis, MN) for 3 hr and then applied onto liver sections in parallel with staining of the primary antibody as the absorption test.

HCC cell lines

HepG2 and PLC/PRF/5, human hepatoma cell lines, were purchased from American Type Culture Collection (Rockville, MD) and were cultured with Dulbecco's Modified Eagle's Medium supplemented with 10% fetal bovine serum (GIBCO/Life Technologies, Grand Island, NY) in a humidified incubator at 5% CO_2 and 37°C.

ELISA

The sera from CH patients (n = 80), LC patients (n = 84), HCC patients (n = 112, StageI/II n = 51 and StageIII/IV n = 61) and age-matched healthy volunteers (HVs) (n = 24) were subjected to analysis of the FGF-2 level. Clinical backgrounds of patients were summarized in Table 1. Informed consent, under an Institutional Review Board-approved protocol, was obtained from all patients before sample acquisition. The level of FGF-2 and soluble major histocompatibility complex class I-related chain A (MICA) were determined using Quantikine Human FGF basic (R&D Systems) and DuoSet MICA eELISA kit (R&D Systems), respectively.

HCC cells and normal hepatocyts cultures

Both HepG2 and PLC/PRF/5 cells or normal hepatocytes (ScienCell Research Laboratories, Carlsbad, CA) were cultured for 72 hr in the presence or absence of human interleukin-1β (IL-1β) (50 ng/ml, Peprotech, Rocky Hill, NJ), human IL-6 (300 ng/ml, Peprotech), human transforming growth factor-β1 (TGF-β1) (50 ng/ml, R&D Systems) and human tumor necrosis factor-α (TNF-α) (100 ng/ml, Peprotech), and the treated cells were harvested and evaluated for expression of FGF-2. In some experiments, HepG2 and PLC/PRF/5 cells were cultured in the presence or absence of recombinant human FGF-2 protein (250 ng/ml, R&D Systems) with or without antihuman FGFR2 neutralizing Ab (10 μg/ml, R&D Systems) for 48 hr, and the hepatoma cells were harvested and evaluated for the immunological regulation of the NK cells.

Flow cytometry

For the detection of membrane-bound MICA, cells were incubated with anti-MICA specific Ab (2C10, Santa Cruz Biotechnology, Santa Cruz, CA) and stained with Goat F(ab')2 fragment anti-Mouse IgG(H+L)-PE (Beckman Coulter, Fullerton, CA) as a secondary reagent and then subjected to flow cytometric analysis. For the detection of human leukocyte antigen (HLA) class I, cells were incubated with PE-conjugated antihuman HLA-A,B,C Ab (w6/32, BD Biosciences, San Jose, CA). Flow cytometric analysis was performed using a FACScan flow cytometer (Becton Dickinson, San Jose, CA).

Western blotting

The total cellular protein was electrophoretically separated using sodium dodecyl sulfate-12% polyacrylamide gels and transferred onto PVDF membranes. The membranes were blocked in Tris-buffered saline-Tween20 containing 5% skim milk for 1 hr and then probed with rabbit polyclonal Ab to FGF-2 (Abcam) at room temperature overnight. Horseradish peroxidase-conjugated anti-rabbit IgG and SuperSignal West Pico System (Pierce, Rockford, IL) were used for the detection of blots.

Real-time RT-PCR

Total RNA was isolated using RNeasy Mini Kit (Qiagen K.K., Tokyo, Japan) and was reverse transcribed using High Capacity RNA-to-cDNA Master Mix (Applied Biosystems, Foster City, CA). The mRNA levels were evaluated using ABI PRISM 7900 Sequence Detection System (Applied Biosystems). Ready-to-use assay (Applied Biosystems) was used for the quantification of FGF-2 (ID: Hs00960934_m1), MICA (Hs00792195_m1) and β-actin (Hs:99999903_m1) mRNAs according to the manufacturer's instructions. β-actin mRNA from each sample was quantified as endogenous control of internal RNA.

NK cell analysis

NK cells were isolated from human peripheral blood mononuclear cells by magnetic cell sorting using CD56 MicroBeads (Miltenyi Biotech, Auburn, CA).[12] The cytolytic ability of NK cells against FGF-2-treated HepG2 and PLC/PRF/5 cells was assessed by 4-hr ^{51}Cr-release assay with or without antihuman MICA/B Ab (BD Biosciences) as previously described.[12] The expressions of NKG2D and NKG2A on NK cells were analyzed by flow cytometry with PE-conjugated antihuman NKG2D Ab (BD Biosciences) and PE-conjugated IgG antihuman NKG2A Ab (R&D Systems).

Statistics

For human sample data, values were expressed as the median and interquartile range using box plots and the 10th and 90th percentiles as horizontal bars. For comparison of more than two groups, the Kruskal–Wallis rank sum test was used. If the Kruskal–Wallis test was significant, post hoc multiple comparisons were carried out using the Steel-Dwass procedure. Differences between retreatment and post-treatment values were tested by the paired t-test. FGF-2 mRNA values were expressed as the mean and SD, and the statistical significance of differences between the groups was determined by applying Student's t test after each group had been tested with equal variance and Fisher's exact probability test. We defined statistical significance as $p < 0.05$.

Results

FGF-2 is expressed in the liver and serum of patients with chronic liver diseases

We first examined the FGF-2 expressions in the livers of normal volunteers and the patients with chronic liver diseases. Immunohistochemical analysis revealed that FGF-2 was not expressed in normal liver tissues. In contrast, the expressions of FGF-2 were detected in chronic liver tissues (Fig. 1*a*). We evaluated the serum FGF-2 levels by specific ELISA. All of the chronic liver disease patients were hepatitis C virus (HCV)-RNA positive. As shown in Figure 1*b*, the serum FGF-2 levels in CH and LC patients were significantly higher than those of HV, but those in HCC patients were not. Those in CH patients were also significantly higher than those in LC or HCC patients. Those in LC patients tended to be higher than those in HCC patients, although this was not significant. The serum FGF-2 levels in HCC patients were low and significant difference between StageI/II patients and III/IV patients was not observed (data not shown). We compared the serum FGF-2 levels before and after the

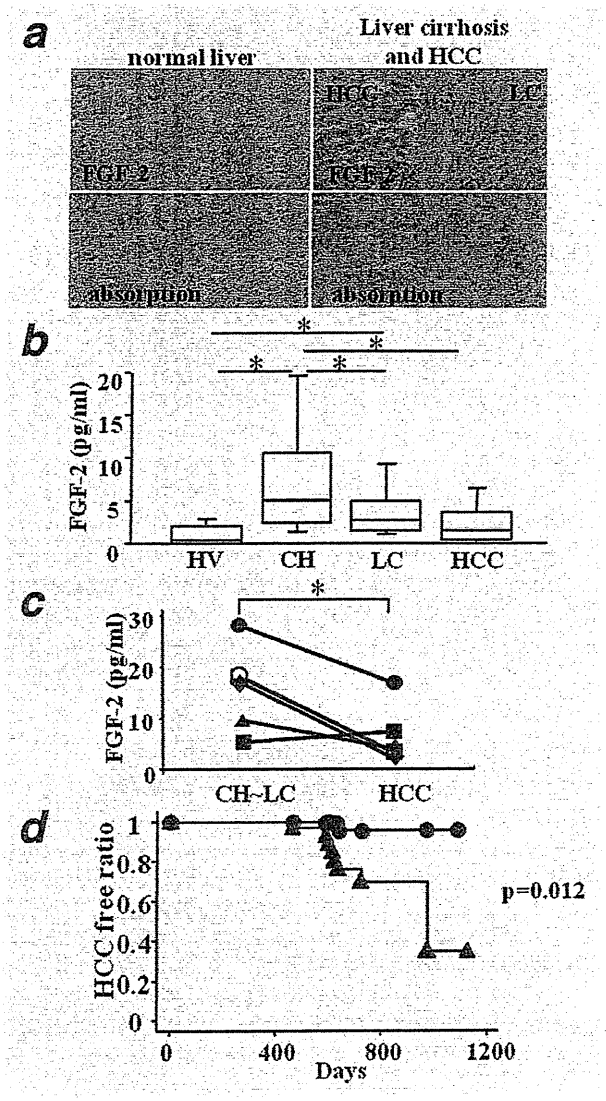

Figure 1. Expressions of FGF-2 in the liver of patients with chronic liver diseases and serum FGF-2 levels in chronic liver disease patients were associated with HCC incidence. (*a*) Immunohistochemical analysis of FGF-2 in normal liver tissues ($N = 2$), chronic hepatitis tissues ($N = 4$), liver cirrhosis (LC) tissues ($N = 4$) and hepatocellular carcinoma (HCC) tissues ($N = 6$). Liver sections were stained with the FGF-2 Ab (*upper panels*). The primary Ab was incubated with recombinant FGF-2 protein and then applied to liver sections in parallel as the absorption test (*lower panels*). Representative pictures are shown. (*b*) Serum FGF-2 levels in chronic hepatitis patients (CH, $N = 80$), liver cirrhosis patients (LC, $N = 84$) and HCC patients ($N = 112$) were evaluated by specific ELISA. All patients were HCV-RNA positive. Comparison of serum FGF-2 levels of each group. * $p < 0.05$. (*c*) Serum FGF-2 levels were compared between before and after HCC development in six chronic liver disease patients. The mean follow-up period was nine years. * $p < 0.05$. (*d*) The correlation of the FGF-2 level and HCC incidence was evaluated. 84 LC patients were divided into two groups according to serum FGF-2 levels; high (serum FGF-2 concentration > 1.8 pg/ml; 40 patients, ●) and low (≦1.8 pg/ml; 44 patients, ▲). We followed these LC patients for three years and compared the rate of HCC-free survival in these groups.

development of HCC in six chronic liver disease patients. The mean follow-up period was nine years. The serum FGF-2 levels of the patients before the occurrence of HCC were significantly higher than those of the same patients after the occurrence of HCC (Fig. 1*c*). These results demonstrated that the serum FGF-2 levels were highest in CH patients and significantly decreased as the liver disease progressed.

FGF-2 levels were associated with the incidence of HCC in chronic liver disease patients

The earlier results suggested that increased FGF-2 levels might prevent HCC tumor development. We investigated the correlation of the serum FGF-2 level and HCC incidence. The 84 LC patients were divided into two groups according to serum FGF-2 levels, high (serum FGF-2 concentration > 1.8 pg/ml; 40 patients) and low ($\leqq$1.8 pg/ml; 44 patients), because the median of FGF-2 levels in these patients was 1.8 pg/ml. We followed these LC patients for three years and compared the rates of HCC-free survival. As shown in Figure 1*d*, the HCC free ratio of the high FGF-2 patients was significantly higher than that of the low FGF-2 patients. These results suggested that FGF-2 production from chronically diseased liver tissues might be associated with the occurrence of HCC.

Inflammatory cytokines increased FGF-2 expression in HCC cells and normal hepatocytes

Previous reports demonstrated that FGF-2 expressions were detected in both tumor cells and normal hepatocytes in addition to sinusoidal endothelial cells in HCC tissues.[5] Some inflammatory cytokines, such as IL-1β, IL-6, TGF-β and TNF-α, are known to increase in CH patients.[13–15] To examine the effect of such inflammatory cytokines on FGF-2 expression in liver cells, we cultured HepG2 and PLC/PRF/5 HCC cells for 72 hr in the presence or absence of these cytokines. As shown in Figure 2*a*, IL-1β and IL-6 increased FGF-2 protein levels in both HepG2 and PLC/PRF/5 cells. FGF-2 mRNA levels in HepG2 and PLC/PRF/5 cells treated with IL-1β and IL-6 were significantly higher than those in nontreated control HCC cells (Fig. 2*b*). We also examined FGF-2 levels in the supernatants of the HCC cells cocultured with inflammatory cytokines. FGF-2 levels of IL-1β- or IL-6-treated HepG2 cells or PLC/PRF/5 cells tended to increase compared with those of nontreated HCC cells (data not shown). FGF-2 mRNA levels in normal hepatocytes treated with IL-1β, but not IL-6, were also significantly higher than those in nontreated control cells (Fig. 2*c*). These results suggested that both IL-1β and IL-6 were capable of inducing FGF-2 expression in HCC cells and normal hepatocytes. We also examined whether TGF-β1 and TNF-α could induce FGF-2 expressions on HCC cells. We found that FGF-2 expression levels in treated HCC cells did not change in Western blotting or real-time RT-PCR analysis (data not shown).

FGF-2 induced the expression of membrane-bound MICA and suppressed the expression of HLA class I on HCC cells, but FGF-2 did not change the expressions of NKG2D and NKG2A on NK cell

The above findings suggested that decreasing FGF-2 might affect the HCC development in the patients with chronic liver disease. To investigate whether or not FGF-2 protein directly activates NK cells, we examined whether FGF-2 affected the expression of NKG2D (activating receptor) or NKG2A (inhibitory receptor) on NK cells. We cultured CD56+ NK cells obtained from HVs with FGF-2 for 24 hr and then subjected them to flow cytometric analysis. The expressions of both NKG2D and NKG2A on NK cells did not change by adding FGF-2 protein (Fig. 3*a*), suggesting that FGF-2 did not have a direct effect on NK cells. We next examined the immunological modification of human HCC cells by adding human FGF-2 protein. We evaluated the expressions of membrane-bound MICA (NK activating molecule) and HLA class I (NK inhibitory molecule) in HepG2 and PLC/PRF/5 cells by flow cytometry. The expressions of MICA on FGF-2-treated cells were higher than those on nontreated cells in both HepG2 and PLC/PRF/5 cells (Fig. 3*b*). In contrast, those of HLA class I on FGF-2-treated cells were lower than those on nontreated cells in both types of HCC cells (Fig. 3*b*). FGF-2-treatment could modify the expressions of MICA and HLA class I on HCC cells in a dose-dependent manner (data not shown). The mRNA level of MICA in FGF-2-treated HepG2 cells was also significantly higher than that in nontreated HepG2 cells. The mRNA level of MICA in FGF-2-treated PLC/PRF/5 tended to be higher than that in nontreated cells, although the difference was not statistically significant (Fig. 3*b*). We examined the expressions of MICA and HLA class I on FGF-2-treated normal hepatocytes. The expressions of both molecules did not change in FGF-2-treated normal hepatocytes (Fig. 3*c*). We also evaluated FGF-2-dependent MICA regulation on a gastric cancer cell line (KATOIII), colon cancer cell lines (HCT116, HT29) and a cervical cancer cell line (Hela). The MICA expression was induced in FGF-2-treated HCT116 cells and weakly in FGF-2-treated Hela cells, but not in the other two cell lines (data not shown). These results suggested that FGF-2 could modify the MICA expressions in several types of cancers.

The signal via FGF-2/FGF-receptor2 is essential for the induction of MICA and HLA class I expressions on HCC cells

We examined the FGF receptors (FGFR1, FGFR2, and FGFR3) on both types of HCC cells by flow cytometry. The expressions of FGFR2 were high for both cell types. While FGF-2 has cross-reactivity with FGFR1 and FGFR3, the expressions of FGFR1 and FGFR3 were very low on both types of HCC cells (Fig. 4*a*). To examine whether the interaction between FGF-2 and FGFR2 could induce the expressions of MICA and HLA class I on both types of HCC cells, we evaluated the expressions of both molecules on FGF-2-treated

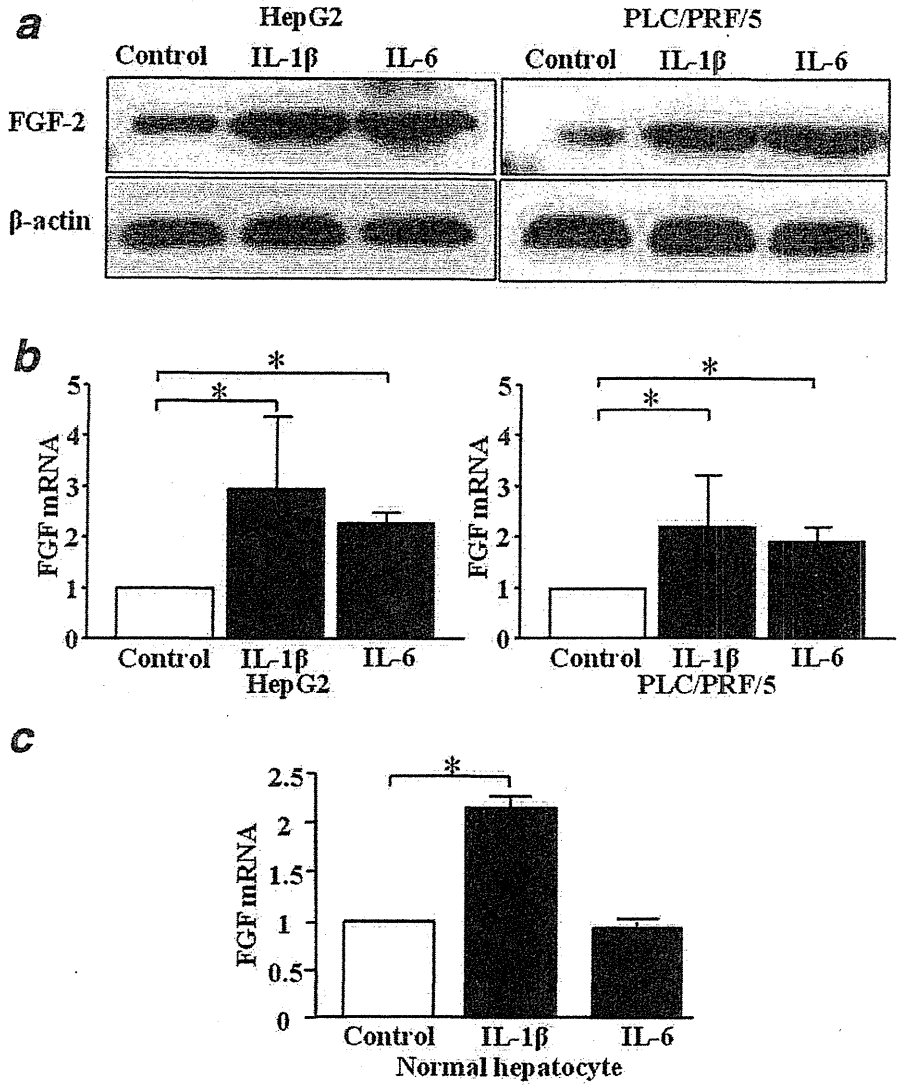

Figure 2. IL-1β and IL-6 increased FGF-2 expressions on human HCC cells and normal hepatocytes. To examine the effect of IL-1β and IL-6 on FGF-2 expression, HepG2 and PLC/PRF/5 cells (*a,b*) or normal hepatocytes (*c*) were cultured for 72 hr in the presence or absence of IL-1β (50 ng/ml) and IL-6 (300 ng/ml). FGF-2 expression in these cells was evaluated by Western blotting analysis (*a*) and real-time RT-PCR analysis (*b,c*). (*a*) The proteins were subjected to Western blot assay using each specific Ab. *Upper panel* is FGF-2 and *lower panel* is β-actin. (*b,c*) Total RNA was extracted and reverse transcribed. Relative copy numbers of FGF-2 were determined by real-time PCR analysis and normalized with β-actin expression. Results are expressed as mean ±SD. Similar results were obtained in two independent experiments. * $p < 0.05$.

HCC cells with anti-FGFR2 neutralizing Ab. The anti-FGFR2 Ab blocks the ability of FGF-2 to modulate MICA and HLA class I on both HepG2 and PLC/PRF/5 cells (Fig. 4*b*).

FGF-2 enhanced susceptibility to NK cells of HCC cells and the correlation of serum FGF-2 and soluble MICA levels in patients with chronic liver disease

The earlier results suggested that FGF-2 might enhance the susceptibility to NK cells of HCC cells. We next examined whether FGF-2 could modify the NK sensitivity of human HCC cells. The cytolytic activities of NK cells against FGF-2-treated HepG2 and FGF-2-treated PLC/PRF/5 cells were higher than those against nontreated HCC cells (Fig. 5*a*). The cytolytic activity against FGF-2-treated HCC cells decreased to the control levels on addition of anti-MICA/B blocking antibody (Fig. 5*a*) but not on addition of isotype IgG antibody (Fig. 5*b*). These results demonstrated that adding FGF-2 enhanced the NK sensitivity of HCC cells *via*

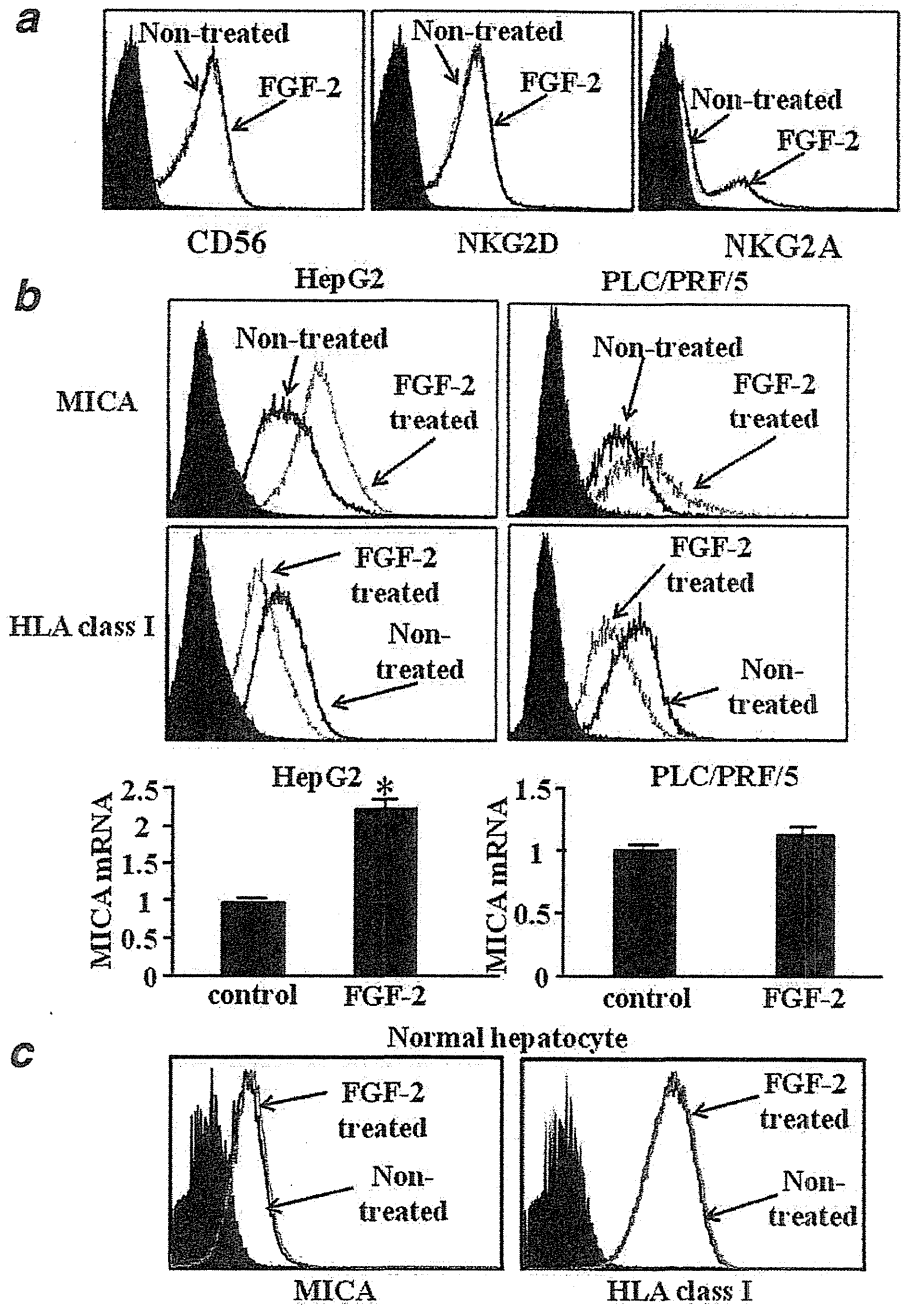

Figure 3. The expressions of NKG2D and NKG2A on FGF-2-treated NK cells and the expressions of MICA and HLA class I on FGF-2-treated hepatoma cells. (*a*) The expressions of NKG2D or NKG2A on FGF-2-treated or nontreated NK cells were evaluated. NK cells obtained from healthy volunteers (2×10^6 cells/well) were cultured with or without FGF-2 protein (250 ng/ml) for 24 hr, and the expressions of NKG2D and NKG2A on NK cells were evaluated by flow cytometry. Representative results were shown. (*b,c*) HCC cells (B: HepG2 and PLC/PRF/5) or normal hepatocytes (*c*) were treated with 250 ng/ml FGF-2 or control medium for 48 hr and subjected to flow cytometric analysis of MICA and HLA class I surface expression. Black line histograms: MICA or HLA class I staining of nontreated cells; gray line histograms: MICA or HLA class I staining of FGF-2-treated cells; shaded/black histograms: control IgG isotype Ab staining of each molecule. (*b*) *Lower panel*, mRNA levels of MICA in FGF-2-treated or nontreated HCC cells were examined by real-time PCR. Representative data are shown. Similar results were obtained from two independent experiments. * $p < 0.05$.

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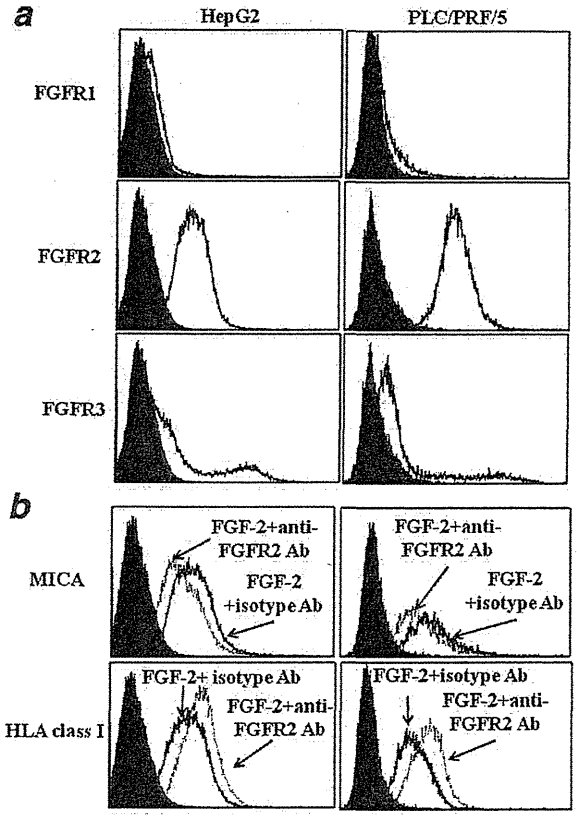

Figure 4. The expressions of FGF receptors on hepatoma cells. (*a*) The expressions of FGF receptors (FGFR1, FGFR2, and FGFR3) on both HepG2 and PLC/PRF/5 cells were evaluated by flow cytometry. Black line histograms: staining of each FGF receptors (FGFR1, FGFR2, FGFR3), shaded/black histograms: control isotype Ab staining of each molecule. (*b*) To confirm that adding of FGF-2 protein resulted in modifying the expressions of MICA and HLA class I on both HCC cells, the expressions of both molecules on FGF-2- (250 ng/ml) treated HCC cells with anti-FGFR2 neutralizing Ab (10 μg/ml) or isotype control Ab (murine isotype control IgG 10 μg/ml) were evaluated by flow cytometry. FGF-2+anti-FGFR2 Ab, the expression of MICA or HLA class I on FGF-2-treated HCC cells with anti-FGFR2 neutralizing Ab. FGF-2+isotype Ab, the expression of MICA or HLA class I on FGF-2-treated HCC cells with isotype control Ab. shaded/black histograms: control isotype Ab staining of each molecule. Representative results were shown. Similar results were obtained in three independent experiments.

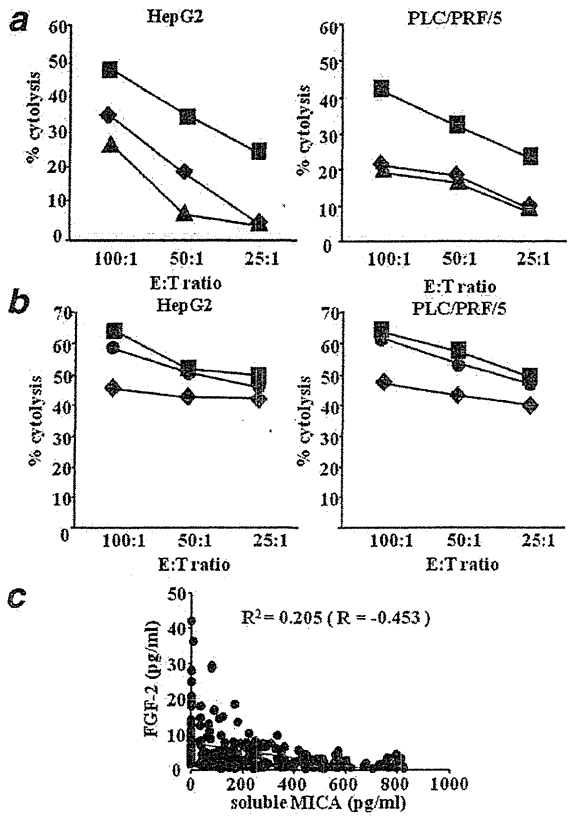

Figure 5. The cytolytic activity against FGF-2-treated HCC cells and the correlation between serum FGF-2 and soluble MICA in patients with chronic liver disease. (*a,b*) Both HepG2 and PLC/PRF/5 cells were cultured with or without FGF-2 protein (250 ng/ml) for 48 hr, and the cytolytic activities of NK cells against FGF-2-treated HepG2 and PLC/PRF/5 cells or nontreated HCC cells were evaluated by ^{51}Cr-release assay. Nontreated HCC cells (◆) or FGF-2-treated HCC cells without (■) or with blocking Ab of MICA/B (6D4) (*a*, ▲) or isotype IgG Ab (*b*, ●). Representative results are shown. Similar results were obtained from three independent experiments. (*c*) Correlation of serum FGF-2 levels and soluble MICA levels in patients with chronic liver disease (chronic hepatitis patients, N = 80, liver cirrhosis patients, N = 84 and HCC patients, N = 112). The serum FGF-2 and soluble MICA were evaluated by specific ELISA respectively.

increased expression of membrane-bound MICA. We next examined the correlation of serum FGF-2 and soluble MICA in patients with chronic liver disease. Serum FGF-2 levels in patients with chronic liver disease correlated with soluble MICA levels (Fig. 5*c*). These results suggested that high FGF-2 levels in patients with chronic liver disease may prevent the shedding of MICA in liver tissues.

Discussion

The FGF-2 levels in chronic liver disease, a premalignant condition, have not been well studied. Uematsu *et al.* reported that the serum FGF-2 levels of patients with LC or HCC were significantly higher than those of HVs, and serum FGF-2 levels of HCC patients tended to be lower than those of LC patients without HCC.[6] In contrast, Jinno *et al.* reported that the circulating FGF-2 levels in HCC patients were significantly higher than those in CH and LC patients.[16] In the present study, we analyzed the serum FGF-2 levels on

a larger scale for patients with chronic liver disease. Consistent with Uematsu's report, the serum FGF-2 levels significantly decreased along the progression of chronic liver disease and those in HCC patients were significantly lower than those in CH or LC patients. These results suggested that decreasing FGF-2 levels might be associated with the occurrence of HCC during the progression of chronic liver disease. FGF-2 has been shown to act as a potent angiogenic factor in a number of cell lines and solid tumors.[1,2] As for HCC development, FGF-2 has been reported to augment vascular endothelial growth factor (VEGF)-mediated angiogenesis in HCC development.[17] However, at present, in contrast to the clear roles of VEGF in the angiogenesis of HCC, the roles of FGF-2 in the HCC development are still controversial and should be elucidated.

Immunohistochemical analysis revealed that hepatocytes in patients with chronic liver diseases seemed to produce FGF-2, but those in healthy donors did not. This suggested that inflammatory responses in liver tissues might have roles in the production of FGF-2. Some inflammatory cytokines, such as IL-1β and IL-6, increased in CH or LC patients.[13–15] Aside from liver cells, IL-6 could induce FGF-2 expressions in basal cell carcinoma cell line[18] or Kaposi's sarcoma cell and human umbilical vein endothelial cells.[19] On the basis of these reports, we examined the effect of such inflammatory cytokines on FGF-2 expression in HCC cells and normal hepatocytes. The FGF-2 expression could be, at least in part, induced by IL-1β and IL-6. Both IL-1β and IL-6 are produced mainly by local immune cells, including activated Kupffer cells.[20] Although the detail mechanism of the induction of FGF-2 expression in HCC cells and normal hepatocytes is little known, the production of these cytokines might contribute to preventing HCC development *via* promoting FGF-2 expression in the liver.

Guerra *et al.* reported that NKG2D-deficient mice are defective in tumor surveillance in models of spontaneous malignancy,[11] suggesting that NK-dependent immune-surveillance might play a critical role in tumor development. However, the mechanism of tumor surveillance of NK cells remains unclear in HCC development. We previously demonstrated that membrane-bound MICA on HCC cells plays essential roles in the NK sensitivity of HCC cells.[21] We therefore evaluated the MICA (activating molecule of NK cells) and HLA class I (inhibitory molecule of NK cells) on HCC cells treated with FGF-2. This treatment resulted in increasing MICA expression and decreasing HLA class I on HCC cells. Consistent with these results, the cytolytic activity of NK cells against FGF-2-treated HCC cells was higher than that against nontreated HCC cells. These results suggested that FGF-2 enhanced the NK sensitivity of HCC cells by upregulating MICA expression and downregulating HLA class I on the cellular surface. Interestingly, adding FGF-2 did not change the expressions of MICA and HLA class I on normal hepatocytes. These demonstrated that FGF-2 could enhance the NK sensitivity of HCC cells but not that of normal hepatocytes. We also evaluated the expressions of MICA and HLA class I on other growth factors (such as VEGF or PDGF)-treated HCC cells. The expressions of MICA and HLA class I on VEGF- or PDGF-treated HCC cells were similar to those on nontreated HCC cells (Tsunematsu H, unpublished data). In this study, we demonstrated that FGF-2 production from liver tissues decreased along the progression of chronic liver disease. FGF-2 production from liver tissues might prevent the occurrence of HCC by eliminating HCC cell by enhancing NK sensitivity. If the innate immunity of the liver can be efficiently activated, preventing the occurrence of HCC could be expected. We previously demonstrated that anti-HCC chemotherapy and molecular targeted therapy using sorafenib resulted in enhancing NK sensitivity of HCC cells *via* upregulation of membrane-bound MICA on HCC cells.[12,22] These results suggested the possibility of new routes for chemoprevention of HCC, which could improve the prognosis of chronic liver disease patients. Also, on the basis of our results, FGF-2 supplementation therapy may be a rational approach for eliminating HCC cells in the chronic liver disease.

The concentration of FGF-2 in our *in vitro* study was high compared with the serum FGF-2 concentration level. Previous reports demonstrated that FGF-2 produced in the liver tissues acts in an autocrine or paracrine fashion.[2,5] We demonstrated that serum FGF-2 levels in chronic liver disease were significantly higher than those in HVs and that serum FGF-2 levels decrease with the progression of liver disease. These results suggested that FGF-2 production from liver tissues might also decrease with the progression of liver disease. Although the local FGF-2 concentration in the liver tissues still remains unknown and may differ from the serum FGF-2 concentration, our results have at least demonstrated that FGF-2 could enhance NK sensitivity of HCC cells *via* modification of the activating and inhibitory molecules on HCC cells.

The expression of NKG2D has been reported in all NK cells. However, this has also been reported in most NKT cells, subsets of γδ T cells and all human CD8+ T cells and a subset of CD4+ T cells.[23] In addition to NK cells, the MICA-NKG2D pathway plays roles in the costimulation or recognition of each cell. Our results demonstrated that FGF-2 might increase the membrane-bound MICA on HCC cells. It might be possible that the increased expression of MICA may also activate other lymphocytes expressing NKG2D and that these cells may also contribute to the elimination of HCC cells.

The earlier results suggested that FGF-2 levels might contribute to the eradication of HCC cells in liver tissues, which would prevent the incidence of HCC in chronic liver disease. Our patients' data demonstrated that HCC occurrence of the patients with high levels of FGF-2 was significantly lower than that with low levels of FGF-2, which is consistent with the results of NK sensitivity of FGF-2-treated HCC cells. Moreover, the FGF-2 levels in patients before HCC occurrence were significantly higher than those in the same

patients after HCC occurrence. The decreasing levels of serum FGF-2 may be a prediction factor for the occurrence of HCC in chronic liver disease.

Despite recent progress in understanding HCC development, unknown mechanisms remain. We have shown here that FGF-2 levels in chronic liver disease were significantly higher than those in HVs, and serum FGF-2 levels decreases along the progression of liver disease. Importantly, FGF-2 enhances NK sensitivity of HCC cells *via* modification of the activating and inhibitory molecules on HCC cells. These findings suggested that FGF-2 might play roles in eliminating occurring HCC cells by innate immunity.

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Research Article

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Efficacy of pegylated interferon alpha-2b and ribavirin treatment on the risk of hepatocellular carcinoma in patients with chronic hepatitis C: A prospective, multicenter study☆

Eiichi Ogawa[1], Norihiro Furusyo[1], Eiji Kajiwara[2], Kazuhiro Takahashi[3], Hideyuki Nomura[4], Toshihiro Maruyama[5], Yuichi Tanabe[6], Takeaki Satoh[7], Makoto Nakamuta[8], Kazuhiro Kotoh[9], Koichi Azuma[10], Kazufumi Dohmen[11], Shinji Shimoda[12], Jun Hayashi[1,*], The Kyushu University Liver Disease Study (KULDS) Group

[1]*Department of General Internal Medicine, Kyushu University Hospital, Fukuoka, Japan;* [2]*Department of Internal Medicine, Steel Memorial Yawata Hospital, Kitakyushu, Japan;* [3]*Department of Medicine, Hamanomachi Hospital, Fukuoka, Japan;* [4]*The Center for Liver Disease, Shin-Kokura Hospital, Kitakyushu, Japan;* [5]*Department of Medicine, Kitakyushu Municipal Medical Center, Kitakyushu, Japan;* [6]*Department of Medicine, Fukuoka City Hospital, Fukuoka, Japan;* [7]*Center for Liver Disease, National Hospital Organization Kokura Medical Center, Kitakyushu, Japan;* [8]*Department of Gastroenterology, Kyushu Medical Center, National Hospital Organization, Fukuoka, Japan;* [9]*Department of Medicine and Bioregulatory Science, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan;* [10]*Department of Medicine, Kyushu Central Hospital, Fukuoka, Japan;* [11]*Department of Internal Medicine, Chihaya Hospital, Fukuoka, Japan;* [12]*Department of Medicine and Biosystemic Science, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan*

Background & Aims: The effects of pegylated interferon (PegIFN) α and ribavirin (RBV) treatment of chronic hepatitis C on the incidence of hepatocellular carcinoma (HCC) have not been well established. This study investigated the impact of treatment outcome on the development of HCC by chronic hepatitis C patients treated with PegIFNα2b and RBV.

Methods: This large-scale, prospective, multicenter study consisted of 1013 Japanese chronic hepatitis C patients with no history of HCC (non-cirrhosis, n = 863 and cirrhosis, n = 150). All patients were treated with PegIFNα2b and RBV and the follow-up period started at the end of the antiviral treatment (median observation period of 3.6 years). The cumulative incidence rate of HCC was estimated using the Kaplan–Meier method, according to treatment outcome.

Results: Forty-seven patients (4.6%) developed HCC during the observation period. In the non-cirrhosis group, the 5-year cumulative incidence rates of HCC for the sustained virological response (SVR) (1.7%) and transient virological response (3.2%) (TVR: defined as relapse or breakthrough) groups were significantly lower than those of the non-virological response (NVR) group (7.6%) ($p = 0.003$ and $p = 0.03$, respectively). A significantly low rate of incidence of HCC by TVR patients in comparison with NVR patients was found for patients aged 60 years and over, but not for those under 60 years of age. In the cirrhosis group, the 5-year cumulative incidence rates of HCC for the SVR (18.9%) and TVR groups (20.8%) were also significantly lower than those of the NVR group (39.4%) ($p = 0.03$ and $p = 0.04$, respectively).

Conclusions: SVR and complete viral suppression during treatment with relapse (TVR) were associated with a lower risk of HCC development when compared with NVR.

Keywords: Hepatitis C; Pegylated interferon; Ribavirin; Hepatocellular carcinoma.

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* Corresponding author. Address: Department of General Internal Medicine, Kyushu University Hospital, Higashi-Ku, Fukuoka 812-8582, Japan. Tel.: +81 92 642 5909; fax: +81 92 642 5916.

E-mail address: hayashij@gim.med.kyushu-u.ac.jp (J. Hayashi).

Abbreviations: HCV, hepatitis C virus; HCC, hepatocellular carcinoma; SVR, sustained virological response; IFN, interferon; PegIFN, pegylated interferon; RBV, ribavirin; NVR, non-virological response; TVR, transient virological response; KULDS, Kyushu University Liver Disease Study; AFP, α-fetoprotein; HIV, human immunodeficiency virus; EASL, European Association for the Study of the Liver; ALT, alanine aminotransferase; HbA1c, hemoglobin A1c; EPV, events per predictor variable; HR, hazard ratio; CI, confidence interval; DAAs, direct acting antivirals.

Introduction

Hepatitis C virus (HCV) is a major human pathogen responsible for chronic hepatitis, which often progresses to cirrhosis and hepatocellular carcinoma (HCC) [1–3]. While recent advances in HCV have led to a markedly improved treatment, HCC is at present the sixth most common cancer and the third cause of cancer death worldwide [4]; moreover, its incidence is increasing due to HCV infection [5].

Previous studies have reported that patients who achieved a sustained virological response (SVR) after interferon (IFN) monotherapy demonstrated improvement in liver fibrosis and a

Cancer

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reduction in the incidence of decompensated liver disease and HCC compared with non-SVR patients [6–9]. In the past 10 years, a combination of pegylated IFN (PegIFN) α and ribavirin (RBV) has become the standard treatment and has resulted in an increased SVR rate [10–12]. Therefore, whether or not PegIFNα and RBV treatment is effective in preventing HCC is important, but its effect on the incidence of HCC has not been adequately studied, particularly in a large prospective study.

A recent prospective study from the United States reported that the cumulative incidence rate of HCC in an SVR group was significantly lower than in a non-virological response (NVR) group. It was also lower in a transient virological response (TVR) group than in an NVR group, although the difference did not reach statistical significance [13]. The number of aging chronic hepatitis C patients has been increasing in Japan, earlier than in other countries [14], thus investigation into the development of HCC by Japanese chronic hepatitis C patients treated with PegIFNα and RBV is highly important. Furthermore, the risk factors for the development of HCC by patients who achieve an SVR after treatment with PegIFNα and RBV have not been adequately clarified in a prospective study, although a recent report suggested that SVR reduced the risk of all-cause mortality in patients treated with PegIFNα and RBV [15]. Clarification of the demographic and clinical factors associated with HCC development, such as advanced age, lower albumin, lower platelet count and higher α-fetoprotein (AFP) level, is important.

The aim of this large-scale, multicenter, prospective study was to evaluate the relationships among pretreatment clinical factors, virological response, and development of HCC by chronic hepatitis C patients with no history of HCC, who were treated with PegIFNα2b and RBV.

Cancer

Patients and methods

Patients

The Kyushu University Liver Disease Study (KULDS) Group consists of the Kyushu University Hospital and affiliated hospitals in the Northern Kyushu area of Japan. We conducted a prospective study to investigate the efficacy and safety of PegIFNα2b and RBV for chronic hepatitis C patients. The design of the KULDS project has been described previously [12,16,17]. This prospective study consisted of 1013 Japanese patients with chronic HCV infection aged 18 years or older, treated with PegIFNα2b and RBV between December 2004 and November 2009.

The exclusion criteria were: (1) history of HCC; (2) HCC development during antiviral treatment; (3) previous PegIFNα and RBV treatment; (4) positivity for antibody to human immunodeficiency virus (HIV) or positivity for hepatitis B surface antigen; (5) clinical or biochemical evidence of hepatic decompensation at entry; (6) excessive active alcohol consumption (a daily intake of more than 40 g of ethanol) or drug abuse; (7) other forms of liver disease (e.g., autoimmune hepatitis, alcoholic liver disease, hemochromatosis); or (8) treatment with antiviral or immunosuppressive agents prior to enrollment.

The study was conducted in accordance with the ethical principles of the Declaration of Helsinki and was approved by the Ethics Committee of each participating hospital. Informed consent was obtained from all patients before enrollment.

Antiviral treatment and patient follow-up

All HCV genotype 1 patients received a combination treatment of PegIFNα2b (PEG-Intron; MSD, Tokyo, Japan) and RBV (Rebetol; MSD) for 48 weeks: the same regimen was prescribed for 24 weeks for genotype 2 patients. In order to investigate the incidence of HCC after treatment, the length of the follow-up period was calculated from the end of antiviral treatment to the diagnosis of HCC or last follow-up visit. Serum AFP and abdominal imaging (ultrasonographic examination, or computed tomography) were performed every 3–6 months, for each patient. The HCC diagnosis was based on histology or non-invasive criteria according to the guidelines of the European Association for the Study of the Liver (EASL) [18].

Clinical and laboratory assessment

Clinical parameters included serum albumin, alanine aminotransferase (ALT), serum AFP, hemoglobin, platelet count, hemoglobin A1c (HbA1c), HCV genotype, and HCV RNA. All were measured by standard laboratory techniques in a commercial laboratory (SRL Laboratory, Tokyo, Japan). The HbA1c levels that we report are expressed as National Glycohemoglobin Standardization Program units (%). Body mass index was calculated as weight in kilograms/height in square meters.

Assessment of liver fibrosis

Liver biopsy for 613 (60.5%) of the 1013 patients was performed by experienced hepatologists. The antiviral treatment was initiated within 1 month after liver biopsy. The minimum length of liver biopsy was 15 mm and at least 10 complete portal tracts were necessary for inclusion. For each specimen, the stage of fibrosis was established according to the METAVIR score [19]. Liver cirrhosis in patients with no liver biopsy was diagnosed by ultrasonographic findings (nodules in the hepatic parenchyma, portal vein >16 mm) (mandatory inspection) at the time of antiviral treatment initiation. Moreover, the diagnosis of liver cirrhosis was made based on at least one of the following: (1) endoscopic findings (varices, portal gastropathy); (2) serological markers (aspartate aminotransferase to platelet ratio index >2.0; the cut-off value that indicates a negative predictive value for cirrhosis is 93%) [20]; or (3) transient elastography (FibroScan value ≥14.9 kiloPascal; the cut-off value that indicates that the negative predictive value for cirrhosis is 100%) [21]. The EASL HCV guidelines of 2011 describe the accuracy of these non-invasive tests of liver fibrosis as sufficient for identifying patients with cirrhosis [22].

Efficacy of treatment

Successful treatment was an SVR, defined as undetectable HCV RNA at 24 weeks after the end of treatment. A TVR was defined as relapse of serum HCV RNA after treatment of patients whose HCV RNA level was undetectable at the end of treatment and the reappearance of HCV RNA at any time during treatment after virological response (breakthrough). An NVR was defined as a decrease in the HCV RNA level of less than 2 $\log_{10}$ IU/ml at week 12 (null response) and a more than 2 $\log_{10}$ IU/ml decrease in the HCV RNA level from baseline at week 12, but detectable HCV RNA at weeks 12 and 24 (partial response).

HCV RNA level and HCV genotype

Clinical follow-up of HCV viremia was done by real-time reverse transcriptase PCR assay (COBAS TaqMan HCV assay) (Roche Diagnostics, Tokyo, Japan), with a lower limit of quantitation of 15 IU/ml and an outer limit of quantitation of 6.9×10^7 IU/ml (1.2 to 7.8 log IU/ml referred to $\log_{10}$ IU/ml). HCV genotype determination was by sequence determination in the 5′ non-structural region of the HCV genome, followed by phylogenetic analysis [23].

Statistical analysis

Statistical analyses were conducted using SPSS Statistics 19.0 (IBM SPSS Inc., Chicago, IL, USA). Baseline continuous data are expressed as median (first-third quartiles) and categorical variables are reported as frequencies and percentages. Univariate analyses were performed using the Chi-square, Fisher's Exact, Mann–Whitney U tests or analysis of variance (ANOVA) as appropriate. Variables with $p < 0.05$ in univariate analysis were evaluated using multivariate logistic regression to identify those significantly associated with the incidence of HCC. As a rule of thumb, 10 events per predictor variable (EPV) are needed when performing a logistic regression analysis. However, 5 to 9 EPV with a large sample size (over 1000) showed robust results of as much as 10 to 16 EPV [24]. Thus, our sample size and 5 to 9 EPV might be sufficient to insure the robustness of our model. Results are expressed as hazard ratios (HR) and their 95% confidence interval (CI).

The main outcome of this study was HCC incidence. Cumulative incidence curves of HCC according to response to antiviral treatment were plotted using the Kaplan–Meier method. Differences between groups were assessed using

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