the treatment, monocyte-derived DCs were generated from PBMC obtained from the patients according to methods reported previously [21]. As controls, monocyte-derived DCs were simultaneously generated from healthy donors. As responder cells in mixed lymphocyte reactions (MLR), naive CD4<sup>+</sup> T cells were isolated from PBMC of irrelevant healthy donors by using a naive CD4<sup>+</sup> T cell enrichment kit (Stemcell Technologies, Vancouver, BC). Allogeneic MLR with DC was performed as reported previously [21]. In order to compare the ability of DC among patients, we determined the MLR ratio between patients and controls as counts per minute (cpm) of [<sup>3</sup>H]thymidine incorporated into CD4 T cells at the T cell/DC ratio of 10:1.

#### Statistical analyses

To analyze the relationship between clinical and immunological data at the baseline and virological response, univariate analysis using the Mann–Whitney U test or chisquared test and multivariate analysis using logistic regression analysis were performed. The significance of trends in values was determined with the Mantel–Haenszel chi-square test. Differences of continuous variables between groups were compared by two-way analysis of variance (ANOVA). A two-railed P value less than 0.05 was considered significant. These statistical analyses were performed with SPSS version 15.0 (SPSS Inc. Chicago, IL, USA).

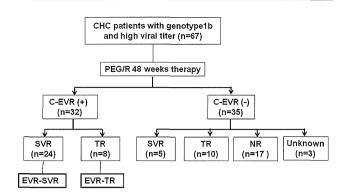
#### Results

Outcome of the PEG-IFNa2b and ribavirin therapy

In 67 patients who had been treated for 48 weeks, 29 (43%) achieved SVR, 18 (27%) were TR, 17 (25%) were NR, and 3 (4%) were unknown (Fig. 1). The clinical backgrounds of these patients are summarized in Table 1. Among these cohorts, 32 patients were c-EVR and were further categorized into 24 SVR (EVR-SVR group) and 8 TR (EVR-TR group). Of the other 35 patients who were not c-EVR, 5 were SVR, 10 were TR, 17 were NR and 3 were unknown. Details of the therapeutic response in the current study are shown in Fig. 1.

Higher platelet counts and Treg increase are involved in SVR in patients who underwent PEG-IFN $\alpha$ 2b and ribavirin therapy

In order to clarify whether the frequency and function of immune cells are involved in the outcomes of the combination therapy, we first compared these parameters between SVR and non-SVR groups. Representative dot



**Fig. 1** Detailed outcomes of chronic hepatitis C patients treated with 48-week PEG-IFNα2b and ribavirin combination therapy. In 67 patients who had been treated for 48 weeks, 29 achieved SVR, 18 were TR, 17 were NR, and 3 were unknown. The complete early virological responders (c-EVR) were defined as those who show a reduction in HCV RNA quantity to an undetectable level by qualitative PCR at week 12 of the therapy. According to this criterion, 32 patients were c-EVR and were further categorized into 24 SVR (EVR-SVR) and 8 TR (EVR-TR). Of the other 35 patients who were not c-EVR, 5 were SVR, 10 were TR, 17 were NR, and 3 were unknown. *SVR* sustained virological responder, *TR* transient responder, *NR* non-responder

Table 1 Demographics and clinical backgrounds of the subjects

• .	•	
Factors	Value	Range
Number	67	
Age (years)	$51.0 \pm 10.3$	(24–67)
Gender (M/F)	44/23	
HCV RNA (KIU) <sup>a</sup>	2415	
Activity: A0/1/2/3 <sup>b</sup>	0/35/30/1	
Fibrosis: F0/1/2/3/4 <sup>b</sup>	2/27/27/9/1	
WBC (/ml)	$5229 \pm 1299$	(2960-9400)
Neutro (/ml)	$2663 \pm 826$	(1077–4516)
Hb (g/dl)	$14.6 \pm 1.2$	(12.0-18.0)
Platelets ( $\times 10^4$ /mm <sup>3</sup> )	$16.6 \pm 4.6$	(5.0-31.0)
ALT (IU/l)	$83.1 \pm 53.9$	(14–269)
T. chol (mg/dl)	$172 \pm 29$	(118–238)
Cr (mg/ml)	$0.8 \pm 0.2$	(0.4–1.3)

All results are expressed as mean  $\pm$  SD and range

T. chol total serum cholesterol, Cr creatinine

plots of the immune cell populations are shown in Fig. 2. The identification and enumeration of immune cells were determined by FACS. The pretreatment percentages of DC in SVR were higher than those in the non-SVR group. However, those of PDC, NK cells, Th1, Th2, Treg, and DC function as judged by MLR were not different between them (Fig. 3).

As for the changes of DC subsets during the therapy, in the SVR group, the frequencies of PDC increased after the



<sup>&</sup>lt;sup>a</sup> Amplicore HCV monitor

<sup>&</sup>lt;sup>b</sup> Ishak's histological scores

C NK Fig. 2 Phenotypic A MDC/PDC identification of blood cells by flow cytometry. Representative MDC analyses of myeloid and HLA-DR plasmacytoid dendritic cells (MDC and PDC), type 1 and PDC type 2 helper T cells (Th1 and Th2), natural killer (NK) cells, and regulatory T cells are Lineage CD3 CD123 shown. The combination of (CD3, CD14, CD16, surface molecules for the CD19, CD20, CD56) identification of cells is described in "Materials and Th1 methods' B Th1/Th2 D Regulatory T cells CD45RO Th<sub>2</sub> CD45RO CD4 CD45RO MDC DC-MLR DC PDC 7.5 2.5 20 1,5 ,5 -,5 0.0 SVR Non SVR SVR Non SVR SVR Non SVR SVR Non SVR Th1 Th2 Th1/Th2 Treg NK 600 140 1.2 500 400

0.8

0.6

0.4

0.2

0.0

SVR Non SVR

Fig. 3 Comparison of pretreatment frequency of blood cells and allostimulatory capacity of monocyte-derived dendritic cells between SVR and non-SVR patients who had been treated with 48-week PEG-IFNα2b and ribavirin therapy. The frequencies of MDC, PDC, Th1 and Th2 cells, Th1/Th2 ratio, NK cells, regulatory T cells, and

500

300

200

100

490

300

200

100

SVR

Non SVR

allogeneic MLR were compared between SVR and non-SVR patients. The MLR ratio between patients and controls was determined from the counts per minute (cpm) of [3H]thymidine incorporated into  $CD4^{+}$  T cells at T cell/DC ratio of 10:1. \*P < 0.05 by Mann-Whitney U test

Non SVR

100

n

SVR Non SVR

120

100

80

60

40

20

SVR Non SVR

beginning of therapy and showed a peak at week 12 of therapy (T12W), which subsided to the end-of-treatment (EOT). Such a PDC increase at the early phase was not observed in the non-SVR group (Fig. 4a). In contrast, the MDC frequency remained at a similar level throughout the therapy, regardless of viral response (data not shown). Alternatively, in the SVR group, the percentages of Treg (CD4+CD25high+ cells) increased through the therapy,



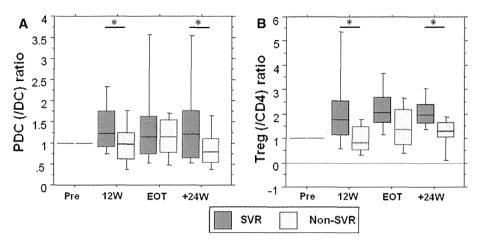


Fig. 4 Changes in frequencies of plasmacytoid dendritic cells and regulatory T cells during and after 48-week PEG-IFNα2b and ribavirin therapy in SVR and non-SVR patients. The ratios of frequencies of PDC (a) and Tregs (b) at each time point to the pretreatment values were compared between SVR and non-SVR

patients. Boxes represent lower and upper quartiles, solid line within each box the median value, whiskers the minimum and maximum values. \*P < 0.05 by Mann-Whitney U test. EOT end-of-treatment (at 48 weeks of the therapy), +24W 24 weeks after the completion of therapy

with cell levels being higher than those in the non-SVR group (Fig. 4b). The other cells, including Th1, Th2, and NK cells, did not differ between the groups (data not shown). Univariate and multivariate analyses were performed to assess the significance of various factors, including demographic, biochemical, virological, immunological parameters, and drug adherence. The allostimulatory capacity of DC after the completion of therapy, whose significance was demonstrated in the previous paper [21], was not included in this study because the numbers of patients examined for it were limited. In univariate analyses, platelet counts, histological activity and fibrosis, dose of PEG-IFNα2b, and attainment of c-EVR were found to be significant in SVR (Table 2). As for immunological markers, pretreatment DC frequency, PDC frequency, their ratio at T12W, and Treg frequency ratio at T12W are significant (Table 3). Based on these parameters, multivariate analysis revealed that platelet counts and Treg frequency at T12W were independent factors involved in SVR (Table 4). These results show that higher platelet counts and Treg increment may be related to SVR in 48 weeks of PEG-IFNα and ribavirin treatment.

Higher platelet counts and PDC increase are independent factors involved in SVR after attainment of c-EVR

Next, we examined the above-mentioned immunological parameters in patients who attained c-EVR, as they were considered to be comparable with respect to the virological response to the therapy. Among 32 patients in the c-EVR group, 24 developed to SVR (EVR-SVR) and the remaining 8 to TR (EVR-TR) (Fig. 1). Univariate analysis disclosed that lower age is a characteristic of the EVR-SVR

Table 2 Univariate analyses of clinical factors involved in SVR

Factors	SVR	Non-SVR
N	29	38
Age (years)	$48.0 \pm 11.8$	$53.3 \pm 8.6$
Gender (M/F)	20/9	24/14
WBC (/mm <sup>3</sup> )	$5361 \pm 1314$	$5127 \pm 1295$
Neutro (/mm <sup>3</sup> )	$2969 \pm 861$	$2461 \pm 753$
Hb (g/dl)	$14.6 \pm 1.2$	$14.5 \pm 1.2$
Platelets ( $\times 10^4$ /mm <sup>3</sup> )	$18.2 \pm 4.4*$	$15.2 \pm 4.4$
ALT (IU/I)	$72 \pm 54$	$92 \pm 53$
HCV RNA (KIU/ml)	2103	2654
Activity: 0-1/2-3/n.d.	29/0/0#	27/10/1
Fibrosis: 0-2/3-4/n.d.	20/9/0*	15/22/1
PEG-IFN dose (μg/kg/day)	$1.43 \pm 0.14^{\#}$	$1.31 \pm 0.22$
Ribavirin dose (mg/kg/day)	$10.6 \pm 1.5$	$9.9 \pm 1.4$
c-EVR: +/-	24/5#	8/27

Mann-Whitney U test, chi-square test

n.d. not determined

\*P < 0.05, \*P < 0.01

patients compared with those in the EVR-TR group (Table 5). As for immunological markers, pretreatment DC frequency, PDC frequency, and PDC ratio at T12W were higher in EVR-SVR patients than those in EVR-TR (Table 6). The pretreatment percentages of MDC, PDC, Th1, Th2, NK cells, and Tregs and those at any all points during the therapy did not differ between EVR-SVR and EVR-TR patients (data not shown). Multivariate analyses revealed that higher platelet counts and PDC increase at T12W were independent factors involved in EVR-SVR (Table 7). These results indicate that the dynamics of PDC



Table 3 Univariate analyses of immunological factors involved in SVR

Factors	SVR	Non-SVR	P value
N	29	38	
DC pre (/µl)	$13.3 \pm 6.5$	$10.3 \pm 5.4$	0.038
PDC-12W (/DC)	$0.23 \pm 0.09$	$0.18 \pm 0.07$	0.017
PDC-12W (/DC) ratio	$1.42 \pm 0.72$	$1.04 \pm 0.63$	0.028
Treg-12W (/CD4) ratio	$2.49 \pm 2.62$	$1.03 \pm 0.64$	0.016

Mann-Whitney U test, chi-square test

Only the factors that are of significance are shown

DC pre DC number before therapy, PDC-12W (/DC) PDC frequency in DC at T12W, PDC-12W (/DC) ratio the ratio of PDC frequency in DC at T12W to the pretreatment value, Treg-12W (/CD4) ratio the ratio of regulatory T cell frequency in CD4 at T12W to the pretreatment value

Table 4 Multivariate analyses of clinical and Immunological factors involved in SVR

Factors	Category	Odds ratio		P value
Platelets		0.531	0.322-0.875	0.013
Treg-12W (/CD4) ratio	<1.2/>1.2	0.026	0.001-0.750	0.033

Logistic regression analysis, stepwise method

**Table 5** Univariate analyses of clinical factors involved in SVR after the attainment of c-EVR in 48 weeks of therapy

Factors	EVR-SVR	EVR-TR
N	24	8
Age (years)	$46.9 \pm 12.3*$	$57.6 \pm 6.5$
Gender (M/F)	17/7	6/2
WBC (/mm <sup>3</sup> )	$5442 \pm 1382$	$5211 \pm 805$
Neutro (/mm <sup>3</sup> )	$2975 \pm 890$	$2587 \pm 759$
Hb (g/dl)	$14.7 \pm 1.1$	$15.1 \pm 1.2$
Platelets ( $\times 10^4$ /mm <sup>3</sup> )	$18.7 \pm 4.5$	$15.0 \pm 3.8$
ALT (IU/I)	$69 \pm 56$	$91 \pm 61$
HCV RNA (KIU/ml)	1723	1296
Activity: 0-1/2-3/n.d.	24/0/0	6/2/0
Fibrosis: 0-2/3-4/n.d.	16/8/0	5/3/0
PEG-IFN dose (μg/kg/day)	$1.43 \pm 0.15$	$1.39 \pm 0.23$
Ribavirin dose (mg/kg/day)	$10.8 \pm 1.5$	$10.1\pm2.1$

Mann-Whitney U test, chi-square test

 $\it n.d.$  not determined,  $\it EVR-SVR$  SVR patients who attained complete EVR at T12W,  $\it EVR-TR$  TR patients who attained complete EVR at T12W

\*P < 0.05

frequency during therapy serve as an independent immunological predictor for SVR in patients who attained c-EVR with PEG-IFN $\alpha$  and ribavirin therapy.

**Table 6** Univariate analyses of immunological factors involved in SVR after the attainment of c-EVR in 48 weeks of therapy

Factors	Category	EVR-SVR	EVR-TR	P value
N		24	8	
DC pre (/µl)		$13.5 \pm 6.8$	$8.9 \pm 4.5$	0.030
PDC-12W (/DC) ratio	<0.8/>0.8	3/21	4/4	0.047

Mann-Whitney U test, chi-square test

Only the factors that are of significance are shown

DC pre, PDC-12 (/DC) ratio: see Table 3

Table 7 Multivariate analyses of clinical and immunological factors involved in SVR after the attainment of c-EVR in 48 weeks of therapy

Factors	Category	Odds ratio	95% CI	P value
Platelets		0.627	0.402-0.978	0.040
PDC-12W (/DC)	<0.18/≥0.18	0.028	0.001-0.787	0.036
PDC-12W (/DC) ratio	<0.8/≥0.8	0.032	0.002-0.673	0.027

Logistic regression analysis, stepwise method

PDC-12W (/DC), PDC-12W(/DC) ratio: see Table 3

#### Discussion

In this study, we demonstrated that the increase of Treg frequency during therapy is involved in SVR, and that of PDC is in SVR patients who attained c-EVR in 48 weeks of PEG-IFN $\alpha$  and ribavirin therapy. Of particular importance is that such significance is independent of viral dynamics (c-EVR), host factors (fibrosis, gender), and drug adherence.

Regulatory T cells (Treg) are immune suppressors that are supposed to alleviate HCV-induced liver inflammation. In chronic HCV infection, the increment of Tregs has been reported by several investigators, including us, although the underlying mechanisms were unspecified [20, 22]. The increase of Treg in SVR patients observed herein seems to be inconsistent with the previous reports regarding Treg as a tolerance inducer in chronic hepatitis C patients. Several controversial reports have been published with regard to the involvement of Tregs in the efficacy of PEG-IFNα and ribavirin therapy for chronic hepatitis C. Soldevila et al. [23] showed that the pretreatment frequency of Treg is higher in patients with non-response (NR) than those in the non-NR groups. Akiyama et al. [24] reported that Tregs in PBMC increased in SVR patients at earlier time points, while Tregs in liver-infiltrating lymphocytes decreased. By contrast, another group disclosed that frequency, phenotype, and function of Tregs are comparable regardless of the outcomes of PEG-IFNα and ribavirin therapy [25].



The current observation raises the possibility that the reduction of HCV load and/or liver inflammation correlates with the increment of Treg frequency, or vice versa. Recently, it was reported that liver inflammation caused by HCV induces PD-L1 on hepatocytes, which then suppress Treg proliferation in liver [26]. If such a scenario is operative as well in PEG-IFN $\alpha$  and ribavirin therapy, alleviation of liver inflammation may reduce PD-L1 expression on hepatocytes, thereby stimulating Treg proliferation. However, most of the TR patients, who were categorized as being in the non-SVR group, displayed normalized serum ALT levels and negative HCV RNA during treatment, of which conditions are equivalent with the SVR patients. Thus, it is still uncertain whether or not such mechanisms are applicable to the present results.

The other possibility is that phenotypically determined Tregs in this study partly consist of activated T cells. It is well known that CD127<sup>-</sup> and FOXP3<sup>+</sup> are reliable markers of Tregs [27]. In order to examine whether or not the increment of Treg frequency in this study is a contamination of activated T cells, we determined Tregs as CD4<sup>+</sup>CD25<sup>high</sup>FOXP3<sup>+</sup>CD127<sup>-</sup> cells instead of CD4<sup>+</sup> CD25<sup>high</sup> cells in some patients. In the comparison of the ratio of CD4+CD25highFOXP3+CD127 cell frequency between the SVR and non-SVR groups at T12W, similar results were obtained with those of CD4+CD25high cells (SVR vs. non-SVR, 10 patients in each group,  $2.50 \pm 1.20$ vs.  $1.54 \pm 0.53$ , P < 0.05 by Mann–Whitney U test). These results suggest that the analytical results of CD4<sup>+</sup> CD25<sup>high</sup> T cells reflect those of FOXP3<sup>+</sup> Tregs. Further investigation is needed to show that such Tregs are functionally suppressive and to see if the change of frequency parallels with suppressor capacity or not.

According to the AASLD practice guidelines for the treatment of chronic hepatitis C, a combination of PEG/R for 48 weeks is recommended for patients who attained c-EVR at week 12 of therapy [17]. However, in some cohorts with large numbers of patients, approximately 30% of them eventually relapse after cessation of the therapy [5]. The factors involved in post-therapeutic relapse have not been fully explored. We and others have reported that liver fibrosis, female gender, late virological response, and dosage of ribavirin (drug adherence) are critically involved in relapse [19, 28, 29]. It is well known that platelet counts in patients with chronic liver disease are well correlated with the degree of fibrosis. In the present study, multivariate analyses revealed that platelet counts but not fibrosis stage are involved in SVR. The reasons for such discrepant contributions to SVR are not clear; however, it demonstrates that the degree of fibrosis is involved in the therapeutic response in this cohort. In addition, the current study showed that the changes of PDC frequency are also

somewhat involved in virological relapse in patients that once attained c-EVR.

Plasmacytoid DCs (PDC) play crucial roles in antivirus immune responses by producing IFN- $\beta$  and - $\alpha$  [30]. In the previous study by us [14], the increment of PDC is observed in patients with SVR, of which change is more significant in those with c-EVR. No concrete explanation is available for the mechanisms of PDC increase in SVR patients. One of the possibilities is that the PDC increase is a consequence of better response to exogenous IFN-α in patients who have a higher chance of attaining SVR. IFN-α is reported to act as a regulatory factor on CD11c DCs to sustain their viability and to inhibit gaining the ability to stimulate Th2 development [31]. Such a possibility is supported by the findings that higher induction of IFNstimulated genes (ISGs) in hepatocytes after PEG-IFNa and ribavirin therapy, but not higher ISG levels before therapy, is critically involved in successful outcome [32]. Thus, patients who respond well to IFN- $\alpha$ , as demonstrated by better PDC survival during the treatment, are likely to have better chances to eradicate HCV.

Another possible reason for the PDC increase in the periphery of SVR patients is that PDC alter their localization during the treatment. Mengshol et al. [33] reported that PDC and myeloid DC (MDC) are accumulated in inflamed liver through the interactions of chemokines and their receptors. Of particular interest is that the expression of such chemokine receptors on DCs decreased in SVR patients, but not in non-SVR ones [33]. Therefore, it is plausible that PDC may migrate from the liver to periphery/lymphoid tissue after being unleashed from chemokines in the liver. In support for this, it is reported that IFN- $\alpha$  alters the profiles of chemokine receptors on DC, resulting in changes of the DC migrating ability [34].

Recently, numerous other factors were reported to be involved in therapeutic response in chronic hepatitis C patients, such as mutations of HCV genome (core region) [35] or host genetic variation (single nucleotide polymorphisms near the IL28B gene) [36]. In the current study, we were unable to analyze such factors because of the limited numbers of patients. A prospective study is warranted to analyze the involvement of such factors in relation to immune cell markers, in the outcomes of SOC, or the treatment with direct-acting antiviral agents.

In summary, we demonstrated that the increase of Treg frequency is an independent factor involved in SVR in 48 weeks of SOC for chronic hepatitis C patients. In addition, the increase of PDC gains similar significance in SVR patients who attained c-EVR. The assessment of the dynamics of such cells during therapy could offer some clues to identify potential relapsers and give them a better chance of attaining SVR by rescheduling the therapy.



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Conflict of interest The authors declare that they have no conflict of interest.

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## ラミブジン耐性 B 型慢性肝疾患に対する アデホビル併用療法の長期成績と問題点

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### 特集Ⅱ

## B型肝炎の抗ウイルス療法の進歩と耐性

# ラミブジン耐性 B 型慢性肝疾患に対するアデホビル併用療法の長期成績と問題点\*

外 山 隆\*\* 石 田 永\*\* 三 田 英 治\*\*

**Key Words**: hepatitis B virus(HBV), lamivudine, adefovir, hepatitis B e antigen(HBeAg), estimated glomerular filtration rate (eGFR)

#### はじめに

B型慢性肝炎の治療目標は持続的な B型肝炎 ウイルス(以下HBV)の抑制であり、肝炎の鎮静 化である。それにより肝不全への進展阻止や肝 癌の発症を予防し、最終的には生命予後の改善 を目指している。

核酸アナログは B 型慢性肝炎のキードラッグであり、その中でもエンテカビル (entecavir,以下ETV) は強い抗ウイルス活性を有し、薬剤耐性株の出現頻度も非常に低いため、2006年保険適応となって以降、核酸アナログnaïve例の第一選択薬として推奨されている.しかし、ラミブジン (lamivudine,以下LAM) は2000年に最初の核酸アナログとして登場して以来2005年までは唯一の単独で使用できる核酸アナログ製剤であった.LAMを開始した症例では耐性株が高率に出現し、いったん耐性株が出現すると肝炎のコントロールが困難で、耐性株による肝炎の急性増悪(breakthrough hepatitis)により死に至る症例もみられた1)、耐性化をきたした症例に対して、ETVへの変更ではETV耐性ウイルスの出現頻度が

高いため、ラミブジンとアデホビル(adefovir, 以下ADV)の併用療法(LAM+ADV併用療法)が基本治療として行われている。これら核酸アナログ製剤の登場により、B型慢性肝炎の予後は確実に改善されてきているが、長期間使用した場合の安全性や耐性株の問題についてはいまだ明らかにはなっていない。

本稿では国立病院機構共同研究[肝疾患グループ]で集積された178例のデータを中心に,ラミブジン耐性のB型慢性肝炎に対するLAM+ADV併用療法の長期成績について述べる.

#### 抗ウイルス効果

LAM+ADV併用療法のウイルス効果を、HBV-DNAの3log copies/ml未満への低下と定義し、図1にその累積ウイルス効果を示している。ウイルス効果は1年62.4%、2年72.5%、3年87.3%、4年90.8%と投与期間とともに上昇した。1年後に94%の症例が3log copies/ml以下まで減少するETVの場合と比較するとADV追加療法は短期のウイルス効果は弱いものの、HBV-DNA量は投与期間とともに着実に低下していた。加えて長期投与を前提としている核酸アナログ製剤の一番の問題点である耐性ウイルスの出現に関しても、本検討ではいったん低下したHBV-DNA量が上昇するviral breakthroughはいまだ2例しか認められていない。すなわちLAM+ADV併用療法

<sup>\*</sup> Long-term efficacy of lamivudine and adefovir combination therapy.

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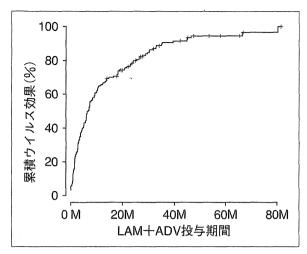


図 1 経時的抗ウイルス効果 HBV-DNA 3 log copies/m/未満を抗ウイルス効果とし て解析した.

は短期でのウイルス効果は弱いものの耐性ウイルスの出現やbreakthrough hepatitisの出現は低く,良好な長期成績であるといえる.

LAM+ADV併用療法のウイルス効果に関与する因子をCox比例ハザードモデルによって検討すると、HBe抗原陽性例やHBV-DNA量が多い症例、慢性肝炎例が効果不良に関与する因子であった(図 2). HBe抗原陽性例やHBV-DNA量が高い場合などHBVの活動性が高い状態ではADVが効きにくいといえる。実際にADVを追加するタイミングについては、LAM耐性ウイルスが出現した時期とLAM耐性出現後のALT上昇時(耐性ウイルスが十分に上昇した後)で比較検討したLamperticoらの報告<sup>2)</sup>において、2年でウイルスが陰性化する割合は前者で100%、後者で78%と肝炎出現後

の投与では治療効果が低かった。本邦のガイドラインでもLAM使用中にHBVのviral breakthroughが生じた場合はHBV-DNAが十分上昇する前にLAM+ADV療法を開始することが推奨されている。

#### ALT正常化率と肝機能改善度

図 3-a にLAM+ADV併用療法開始後のALTの 推移を示す.6か月後には速やかにALT値の低下 を認めており,2年後,3年後のALTの正常化率 はそれぞれ75.8%,79.6%と高く,治療効果は良 好といえる(表1).

核酸アナログの長期投与によりChildスコアの改善を認めるとの報告がある<sup>3)</sup>. 今回, 総ビリルビン(T. Bil), アルブミン(Alb), プロトロンビン活性(PT)の推移を検討したところ, Alb値は図 3-b に示すように有意な改善を認めた. これらのことから, 長期のLAM+ADV併用療法により肝炎の鎮静化および肝予備能の改善も得られるといえる.

#### HBe抗原のseroconversion

表 1 にHBe抗原のclearance率およびsero-conversion率を示している. ADV追加前にHBe抗原が陽性であった108例の2年後,3年後のHBe抗原clearanceはそれぞれ17.6%,24.3%であり,2年後,3年後のHBe抗原のseroconversion率は10.0%,15.1%であった. LAMやETVの初回投与例でのseroconversion率は1年で16~20%といわれており4,LAM+ADV併用療法は治療効果が低

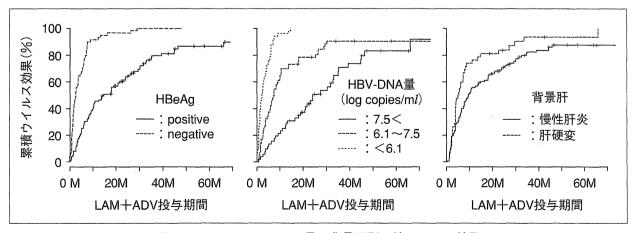


図 2 HBeAg, HBV-DNA量, 背景肝別の抗ウイルス効果

いものの、経時的にはseroconversion率は増加しており、breakthroughが少ないことから、長期投与によりさらにseroconversion率は上昇するものと考えられる。またHBe抗原のclearanceに関与する因子を検討したところ、ほかの報告と同様に、ADV追加前のALT値が高い症例がHBe抗原消失に至る可能性が高かった<sup>5)6)</sup>.

#### 腎機能障害

ADVの注意すべき副作用に、腎機能障害がある。ADVは、近位尿細管上皮細胞に存在するトランスポーターによって尿細管細胞内に取り込まれ、細胞内濃度が高くなることにより、尿細管障害を発現させると考えられている。したがって、本剤の投与中には腎機能障害や腎不全があらわれる可能性があるので、定期的な腎機能検

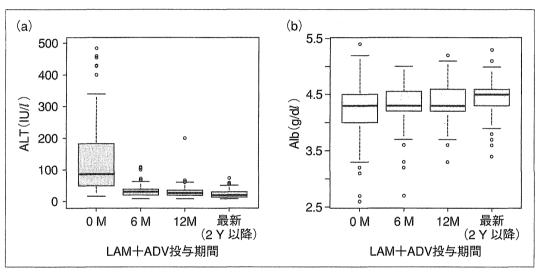


図3 ALT値, Alb値の経時的推移

表 1 LAM+ADV併用療法の抗HBV効果とALT正常化

	month 24	month 36	at the end of follow-up
HBeAg clearance	17.6%	24.3%	36.6%
HBe seroconversion	10.0%	15.1%	18.2%
ALT normalization	75.8%	79.6%	83.3%

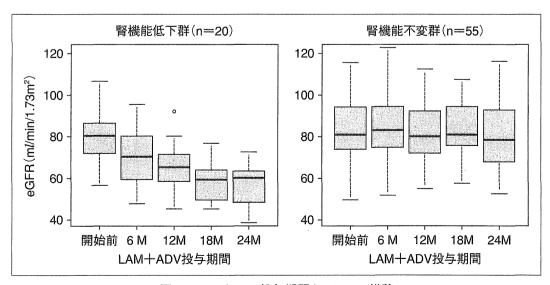


図 4 LAM+ADV投与期間とeGFRの推移

査を行う必要がある. われわれの検討でも腎機能をフォローしえた75症例のうち20症例で20%以上の推定糸球体濾過量(以下eGFR)の低下を認めた. 腎機能低下症例のeGFRは投与初期より徐々に低下し(図 4), 腎機能低下がADVの血中濃度の上昇を導き, さらなる尿細管障害につながるため,投与間隔の調節など調整が必要となる.

高血圧や腎機能低下例などが腎機能悪化に関与していると海外では報告されているが、本検討では年齢、特に50歳以上の症例が腎機能悪化に関与した。これは小関らの報告かと合致しており、本邦では50歳以上の症例に投与する場合は腎機能に注意する必要があると考えられた。

#### おわりに

B型慢性肝疾患に対する核酸アナログ治療は 長期投与になるケースが多い。各薬剤の特性を 理解した診療が重要である。特にLAM+ADV併 用療法では定期的な腎機能チェックが重要であ ることを認識すべきである。

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## Prediction of early HBeAg seroconversion by decreased titers of HBeAg in the serum combined with increased grades of lobular inflammation in the liver

#### **Authors' Contribution:**

- A Study Design
- B Data Collection
- C Statistical Analysis
- D Data Interpretation

  Manuscript Propagation
- E Manuscript Preparation
- F Literature Search
- G Funds Collection

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Source of support: Self financing

#### **Summary**

#### **Background:**

Hepatitis B e antigen (HBeAg) seroconversion is an important hallmark in the natural course of chronic hepatitis B. This study was designed to predict early HBeAg seroconversion within 1 year, by not only biochemical and virological markers, but also pathological parameters in patients with chronic hepatitis B.

#### Material/Methods:

In a retrospective cohort study, 234 patients with HBeAg were reviewed for demographic, biochemical, virological and pathological data at the time of liver biopsy. Then, the patients who accomplished HBeAg seroconversion within 1 year thereafter were compared with those who did not, for sorting out factors predictive of early HBeAg seroconversion.

#### Results:

Early HBeAg seroconversion occurred in 58 (24.8%) patients. In univariate analysis, factors predictive of early HBeAg seroconversion were: alanine aminotransferase (ALT) (p=0.002), IP-10 (p=0.029), HBsAg (p=0.003), HBeAg (p<0.001), HBV DNA (p=0.001), HBcrAg (p=0.001), corepromoter mutations (p=0.040), fibrosis (p=0.033) and lobular inflammation (p=0.002). In multivariate analysis, only serum HBeAg levels <100 Paul Ehrlich Institute (PEI) U/ml and grades of lobular inflammation >2 were independent factors for early HBeAg seroconversion (odds ratio 8.430 [95% confidence interval 4.173–17.032], p<0.001; and 4.330 [2.009–9.331], p<0.001; respectively).

#### **Conclusions:**

HBeAg levels < 100 PEIU/ml combined with grades of lobular inflammation >2 are useful for predicting early HBeAg seroconversion. In patients without liver biopsies, high ALT levels (>200 IU/L) can substitute for lobular inflammation (grades >2).

#### key words:

alanine aminotransferase • chronic hepatitis • hepatitis B virus • hepatitis B e antigen • lobular inflammation • seroconversion

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#### **BACKGROUND**

Worldwide, an estimated 350 million people are infected with hepatitis B virus (HBV) persistently [1,2]. HBV infection is a major global concern, because up to 40% of patients can develop grave complications, such as decompensated cirrhosis and hepatocellular carcinoma (HCC) [3]. In the natural course of chronic hepatitis B, HBeAg seroconversion, defined by the loss of HBeAg and development of the corresponding antibody (anti-HBe), is an important hallmark, because it is highly correlated with a favorable long-term outcome. Seroconversion is usually followed by sustained suppression of HBV DNA, normalization of alanine aminotransferase (ALT) levels, and clinical remission accompanied by ameliorated necro-inflammatory activities in the liver [4-6].

To date, a number of factors have been found to predispose patients to spontaneous HBeAg seroconversion [7–19]. However, few studies have evaluated pathological factors for predicting early HBeAg seroconversion. In a small series of patients from Spain, the Knodell's index of histological activity was one of the independent predictors of early HBeAg seroconversion [14]. Recently, novel markers of the replication of HBV were introduced, such as levels of HBsAg, HBeAg and HBcrAg (HBV core-related antigen), which can replace HBV DNA levels. These serological markers of HBV replication have been evaluated for sensitive and reliable prediction of early HBeAg seroconversion [20-23]. In the present study, an attempt was made to select factors predictive of early HBeAg seroconversion, from among many biochemical, virological and pathological parameters, based on the data of 234 HBeAg-positive patients with chronic hepatitis B.

#### **MATERIAL AND METHODS**

#### Patients and study design

This is a retrospective cohort study with use of stored sera and liver biopsy specimens from patients with chronic hepatitis B who were taken care of in the Hepatology Department, Nagasaki Medical Center, Japan, during 1991 through 2005. The clinical database was reviewed to identify consecutive patients who underwent liver biopsies and had been followed for longer than 1 year. The inclusion criteria were presence of hepatitis B surface antigen (HBsAg) for 6 months or longer, positivity for HBeAg at the time of liver biopsy, and lack of antiviral treatments before receiving liver biopsies. The exclusion criteria were co-infection with hepatitis C virus (HCV) or human immunodeficiency virus type-1, serological markers suggestive of autoimmune disease, daily intake of alcohol >50 g, recent exposure to hepatotoxic drugs, and no stored sera available. They were followed every 3 months or more frequently, if indicated clinically, and their serum samples were monitored for liver biochemistry and serologic markers of HBV infection, including HBsAg, HBeAg, anti-HBe, HBV DNA and HBcrAg. Serum samples had been stored at -20°C until use.

Antiviral therapy was commenced immediately in the patients with: (1) significant fibrosis/cirrhosis detected by liver biopsy; and (2) evidence of decompensation, such as ascites, varices and hepatic encephalopathy.

To identify predictors of early HBeAg seroconversion, clinical, biological, virological and pathological data at the time of liver biopsy were compared between patients who did and who did not achieve early HBeAg seroconversion, within 1 year after receiving liver biopsies, by univariate and multivariate analyses. Further, patients were stratified by independent factors for HBeAg seroconversion, and the cumulative incidence of HBeAg seroconversion was compared between groups using the Kaplan-Meier method. The study protocol complied with the Good Clinical Practice Guidelines and the 1975 Declaration of Helsinki, and was approved by the review board of the institution. Each patient gave a written informed consent before participating in this study.

#### Routine laboratory tests for HBV markers

Quantitative measurements of HBsAg and HBeAg were carried out using commercial enzyme-linked immunosorbent assay (ELISA) kits in the ARCHITECT ANALYSER i2000 (Abbott Japan Co., Ltd., Tokyo, Japan) in accordance with the manufactures' instructions in Nagasaki Medical Center. The sensitivity of HBsAg assay ranged from 0.05 to 250 IU/ml. Sera with HBsAg >250 IU/ml were serially diluted 100-fold so as to include them within the dynamic range. HBeAg was quantified by a two-step immunoassay with use of chemiluminescence microparticles. Briefly, undiluted samples were mixed with paramagnetic beads coated with anti-HBe. After a washing step, conjugate and reactants were added for exciting emission of the light that is proportional to the concentration of HBeAg. The result was expressed by the ratio of relative light unit (RLU) of the sample to the cut-off RLU (S/CO). Samples with S/CO values >1.0 were regarded positive for HBeAg. Then, serial dilutions of the reference standard of PE HBeAg (Paul-Ehrlich Institute, Langen, Germany) were used to define the linear range of the assay and create a reference curve for linear regression. The linear range was 0.024-100 PEIU/ml. A standard curve was produced, and linear regression was used to convert assay results into appropriate units (PEIU/ml). For samples that fell outside the linear range of the assay, the assay was performed on serial dilutions to ensure the linearity.

#### **HBV DNA and HBcrAg**

HBV DNA was determined by the COBAS Tagman HBV test (Roche Diagnostics K.K., Tokyo, Japan). Values under or over the detection range were recorded as 2.1 or 9.1 log copies/ml. HBcrAg was measured by the CLEIA HBcrAg assay kit (Fujirebio, Inc., Tokyo, Japan) in a fully automated analyzer (Lumipulse system, Fujirebio, Inc.). Values under or over the detection range were recorded as 3.0 or 7.0 log copies/ml. Assays for HBV DNA and HBcrAg were performed in a commercial clinical laboratory (SRL, Inc., Tokyo, Japan). Sera with values over the detection range were diluted to include them within the dynamic range.

#### Interferon-inducible protein 10 (IP-10)

IP-10 was quantified by the Invitrogen Human IP-10 ELISA (Invitrogen Corporation, Carlsbad, CA, USA) according to the manufacturer's protocol in Nagasaki Medical Center.

#### **HBV** genotyping

HBV DNA was extracted from serum (100 µl) with use of the SMITEST EX R&D extraction kit (MBL Co., Ltd., Nagoya, Japan). It was amplified for determination of genotypes by

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**Table 1.** Histological evaluation of liver biopsy specimens.

		(A) Fibrosis staging	
Stage	Fibrosis		
0	None		
1	Enlarged, fibrotic portal tracts		
2	Periportal or portal-portal septa but	intact architecture	
3	Fibrosis with architectural distortion	without obvious cirrhosis	
4	Probable or definite cirrhosis		
		(B) Inflammation grading	
Grade	Portal/po	eriportal activity	Lobular inflammation
	Piecemeal necrosis	Lymphocyte aggregation	
0	None or minimal	None	None
1	Inflammation only	< 1/3 in portal triad	Inflammation alone
2	Mild	1/3–2/3 in portal areas	Focal necrosis or acidphil bodies
3	Moderate	> 2/3 in portal areas	Severe focal cell damages

Entire portal triad

the SMITEST HBV Genotyping Kit (MBL Co., Ltd.) based on hybridization with type-specific probes immobilized on a solid-phase support [24].

#### Precore stop codon (G1896A) and core promoter (A1762T/G1764A) mutations

Severe

A1896 mutation in the precore (PreC) region was detected by the enzyme-linked minisequence assay (SMITEST HBV PreC ELMA, Roche Diagnostics, Tokyo, Japan), and mutations in the core promoter (CP) region for T1762/A1764 by the enzyme-linked specific probe assay (SMITEST HBV Core Promoter Mutation Detection Kit, Roche Diagnostics K.K.). The results were recorded as "the wild-type" and "mutant types" dominantly expressed by HBV isolates [25].

#### Histological examination

4

Liver biopsy was taken by fine-needle aspiration (16G sonopsy) guided by ultrasonography. Biopsy specimens were fixed in 10% neutral formalin, cut at 3- to 4-µm thickness, and stained with Hematoxyline-Eosin and Azan-Mallory, as well as for silver to visualize reticuline fibers. Tissue sections were examined independently by two senior liver pathologists. For each biopsy specimen, a protocol was filled out for grading necro-inflammation and staging fibrosis by the criteria of Desmet et al. [26] and Scheuer [27] (Table 1). As for the portal activity, not only piecemeal necrosis, but also lymphocytic aggregation was categorized into 5 (0-4) grades in the respective area involved.

#### Statistical analysis

Continuous variables were compared between groups by the Mann-Whitney U test, and categorical variables by  $\chi^2$  and Fisher's exact tests. The cumulative incidence of HBeAg seroconversion was calculated using the Kaplan-Meier

method, and the difference was evaluated by the log-rank test. Multiple logistic regression analysis was performed to identify independent factors in significant association with early HBeAg seroconversion. A p value <0.05 was considered significant. Statistical analyses were performed using the SPSS version 17.0 software package (SPSS Inc., Chicago, IL, USA).

Damage with bridging necrosis

#### **RESULTS**

#### Baseline characteristics of patients

Among the 673 patients with HBsAg who had received liver biopsies in our hospital during 1991 through 2005, 234 (34.8%) patients who met the inclusion criteria were enrolled in this study. Demographic and laboratory characteristics at the time of liver biopsy are listed in Table 2. They had a median age of 37 years (range: 12-74), and 161 (69%) were men. Of them, 231 (99%) were infected with HBV of genotype C. The median serum ALT level at the baseline was 141 IU/l (range: 13-2644 IU/l), and the median duration of follow-up was 86.5 months (range: 12.0-213.0 months). During the follow-up, 91 (39%) received antiviral treatment, with interferon (IFN) or lamividine, or the combination thereof.

#### Comparison of clinical features between patients with and without early HBeAg seroconversion

Early HBeAg seroconversion, within 1 year after receiving liver biopsies, was achieved by 58 of the 234 (24.8%) patients. In univariate analysis, factors predictive of early HBeAg seroconversion were: ALT (p=0.002), IP-10 (p=0.029), HBsAg (p=0.003), HBeAg (p<0.001), HBV DNA (p=0.001), HBcrAg (p<0.001), CP mutations (p=0.040), fibrosis (p=0.033) and lobular inflammation (p=0.002). Other factors including age, albumin, platelets, AFP, PreC mutation, cell infiltration and

**Table 2.** Baseline characteristics of patients.

Features	Total (n=234)
Demographic data	
Age (years)	37 (12–74)
Men (%)	161 (69)
Biolochemical markers	
Albumin (g/dl)	4.1 (2.5–5.0)
Platelets (×10³/mm³)	179 (43–338)
ALT (IU/I)	141 (13–2644)
AFP (ng/ml)	7 (0–1863)
IP-10 (ng/ml)	214 (66–3253)
Virological markers	
HBV genotypes: A/B/C (%)	1/2/231 (0/1/ 99)
HBsAg (IU/ml)	8039 (2–261647)
HBeAg (PEIU/ml)	245.3 (0.01–3179.7)
HBV DNA (log copies/ml)	7.7 (3.6–8.9)
HBcrAg (log U/ml)	7.8 (5.4–9.2)
PC mutations: wild/mix/ mutant (%)	132/100/2 (56/43/1)
CP mutations: wild/mix/ mutant/others (%)	55/50/126/3 (24/21/54/1)
Pathological features	
Fibrosis stages: 0/1/2/3/4 (%)	15/73/54/38/54 (7/31/23/16/ 23
Lymphocytic aggregation: 0/1/2/3/4 (%)	6/65/107/45/11 (2/28/46/19/5
Piecemeal necrosis: 0/1/2/3/4 (%)	59/52/57/58/8 (25/22/24/25/4)
Lobular inflammation: 0/1/2/3/4 (%)	4/91/104/32/3 (2/39/44/14/1)
Antiviral treatments	
Within 1 year of biopsy (%)	91 (39)
Antiviral agents: 1/2/3/4* (%)	44/33/13/1 (49/36/14/1)
Duration of follow up (months)	86.5 (12.0–213.0)

Qualitative variables are expressed in the number with percentage in parentheses, and quantitative variables are expressed in the median with range in parentheses. ALT — alanine aminotransferase; AFP — alpha-fetoprotein; IP-10 — the interferon-gamma inducible protein-10; HBV — hepatitis B virus; HBsAg — hepatitis B surface antigen; HBeAg — hepatitis B e antigen; HBcrAg — hepatitis B virus core-related antigen; PC — precore; CP — core promoter. \* 1, Interferon alpha; 2, Iamivudine; 3, Iamivudine plus interferon-alpha; 4, entecavir.

piecemeal necrosis in the liver, as well as treatments within 1 year after the entry and type of antiviral agents, were not associated with early HBeAg seroconversion (Table 3).

## Evaluation of HBV markers for predicting early HBeAg seroconversion

HBV markers were compared for sensitivity and specificity in predicting early HBeAg seroconversion by the receiver operating characteristic analysis (Figure 1). HBeAg at the time of liver biopsy was the best predictor of early HBeAg seroconversion, with the widest area under the curve of 0.750; it was larger than those of HBcrAg (0.708), HBV DNA (0.650) and HBsAg (0.630). Hence, HBeAg was selected as the best HBV marker predictive of early seroconversion. Based on the receiver operating characteristic curve, HBeAg titers were dichotomized by 100 PEIU/ml in the immunoassay.

#### Independent predictors for early HBeAg seroconversion

A multivariate logistic regression analysis was performed to select independent predictors of early HBeAg seroconversion from among variables significant in the univariate analysis (Table 4). Of all factors, including histological characteristics, HBeAg <100 PEIU/ml and grades >2 lobular inflammation remained as independent factors predictive of early HBeAg seroconversion (Table 4A). Of factors exclusive of histological parameters, HBeAg <100 PEIU/ml and ALT >200 IU/ml remained as independent factors for early HBeAg seroconversion (Table 4B).

## Combinations of two independent factors for predicting early HBeAg seroconversion

Two combinations of independent factors were evaluated for the performance in predicting early HBeAg seroconversion. The patients who had two predictors in combination, HBeAg <100 PEIU/ml and grades ≥2 lobular inflammation, achieved early HBeAg seroconversion in the highest frequency at 66.0% (31/47). In a remarkable contrast, merely 6.9% (4/58) of the patients without either of these predictors achieved early HBeAg seroconversion (Figure 2A).

Likewise, early seroconversion was achieved by 18 of the 30 (60.0%) patients with the other combination of independent factors, exclusive of pathological parameters, HBeAg <100 PEIU/ml and ALT >200 IU/l. By contrast, only 6 of the 99 (6.1%) patients without either of them achieved early HBeAg seroconversion (Figure 2B).

Sensitivity, specificity, positive predictive value and negative predictive value of predicting early HBeAg seroconversion are: 74.5% (31/58), 90.9% (160/176), 66.0% (31/47) and 85.6% (160/187), respectively, for the combination of HBeAg <100 PEIU/ml and grades >2 lobular inflammation; and 31.0% (18/58), 93.2% (164/176), 60.0% (18/30) and 80.4% (164/204), respectively, for the combination of HBeAg <100 PEIU/ml and ALT >200 IU/l.

#### Long-term clinical outcomes

Besides the 58 patients with early HBeAg seroconversion, an additional 97 patients achieved HBeAg seroconversion during a median follow-up period of 86.5 months. Cumulative

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**Table 3.** Univariate analysis of risk factors for early HBeAg seroconversion.

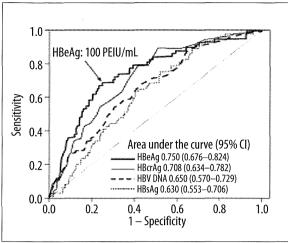
	Early HBeAg	Early HBeAg seroconversion		
Variables	Achieved	Not achieved	p value	
	(n=58)	(n=176)		
Demographic data				
Age (years)	36 (17–69)	37 (12–74)	0.303	
Men (%)	41 (71)	120 (68)	0.721	
Biolochemical markers				
Albumin (g/dl)	4.1 (2.8–4.8)	4.1 (2.5–5.0)	0.877	
Platelets (×10³/mm³)	171 (43–291)	186 (57–338)	0.487	
ALT (IU/I)	227 (18–2072)	121 (13–2644)	0.002	
AFP (ng/ml)	12 (1–1863)	6 (0–683)	0.070	
IP-10 (ng/ml)	259 (77–1743)	204 (66–3253)	0.029	
Virological markers				
HBV genotypes A/B/C (%)	0/0/58 (0/0/100)	1/2/173 (1/1/98)	1	
HBsAg (IU/ml)	5127 (8–261647)	9033 (2–128511)	0.003	
HBeAg (PEIU/ml)	20.9 (0.01–1985.0)	377.1 (0.01–3179.7)	< 0.001	
HBV DNA (log copies/ml)	7.2 (3.7–8.7)	7.8 (3.6–8.9)	0.001	
HBcrAg (log U/ml)	7.2 (5.7–9.2)	8.0 (5.4–9.1)	< 0.001	
PC mutations: wild/mix/mutant (%)	26/31/1 (45/53/2)	106/69/1 (60/39/1)	0.075	
CP mutations: wild/mix/mutant/others (%)	8/9/40/1 (14/15/69/2)	47/41/86/2 (27/23/49/1)	0.040	
Pathological features				
Fibrosis stage: 0/1/2/3/4 (%)	1/12/18/14/13 (2/21/31/24/22)	14/61/36/24/ 41 (8/35/20/14/23)	0.033	
Lymphocytic aggregation: 0/1/2/3/4 (%)	0/11/27/17/3 (0/19/47/29/5)	6/54/80/28/8 (3/31/45/16/5)	0.087	
Piecemeal necrosis: 0/1/2/3/4 (%)	7/12/18/19/2 (12/21/31/33/3)	52/40/39/39/6 (30/23/22/22/3)	0.068	
Lobular inflammation: 0/1/2/3/4 (%)	0/13/29/15/1 (0/22/50/26/2)	4/78/75/17/2 (2/44/43/10/1)	0.002	
Antiviral treatments within 1 year after biopsy (%)	28 (48)	63 (36)	0.091	
Antiviral agents: 1/2/3/4* (%)	18/5/5/0 (64/18/18/0)	26/28/8/1 (41/44/13/2)	0.051	

Qualitative variables are expressed by the number of patients with percentage in parentheses, and quantitative variables are expressed by the median with range in parentheses. ALT – alanine aminotransferase; AFP – alpha-fetoprotein; IP-10 – the interferon-gamma inducible protein-10; HBV — hepatitis B virus; HBsAq — hepatitis B surface antigen; HBeAq — hepatitis B e antigen; HBcrAq — hepatitis B virus core-related antigen; PC – precore; CP – core promoter. \* 1, Interferon alpha; 2, Iamivudine; 3, Iamivudine plus interferon-alpha; 4, entecavir.

rates of HBeAg seroconversion at 1, 3, 5, 7 and 10 years were 24.8%, 50.1%, 66.3%, 71.3% and 73.1%, respectively, during the follow-up >10 years after liver biopsies (Figure 3). Of note, HCC developed in 18 of the 234 (7.7%) patients during the follow-up.

Figure 4A compares cumulative HBeAg seroconversion rates stratified by HBeAg titers and grades of lobular

inflammation. The patients, who had the combination of HBeAg <100 PEIU/ml and lobular inflammation grades ≥2, gained an HBeAg seroconversion rate higher than those having 3 other combinations. Likewise, cumulative HBeAg seroconversion rates stratified by HBeAg titers and ALT levels are compared in Figure 4B. HBeAg seroconversion rate of the patients, who had the combination of HBeAg <100 PEIU/ml and ALT >200 IU/l, was higher than those with 3



**Figure 1.** Receiver operating characteristic curves for evaluation of the power of predicting early HBeAg seroconversion.

other combinations, with definitive (p=0.003 and p<0.001) or marginal (p=0.061) significance.

#### DISCUSSION

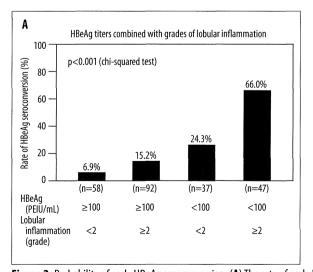
HBeAg seroconversion is important as a clinical target in the management of chronic hepatitis B. In the absence of therapeutic interventions, HBeAg seroconversion occurs spontaneously at a rate of 0.8–15% per year [28]. To date, many factors have been found in association with HBeAg seroconversion, including older age, high ALT levels, genotype B (compared with C), the Knodell's index of histologic activities, the amount of HBV core antigen in the liver, high serum AFP levels, increased immunoglobulin-M anti-HBc titers, increased serum  $\beta_2$ -microglobulin concentrations, enhanced expression of HLA-antigens on the membrane of hepatocytes, non-vertical transmission modes, low HBV DNA levels, and high serum levels of IL-10 as well as IL-12 [7–19].

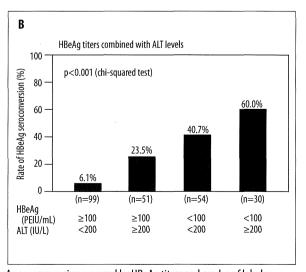
It would be clinically useful to predict early HBeAg seroconversion, because antiviral treatments can be withheld in the patients in whom HBeAg disappears and anti-HBe develops within a certain time limit, perhaps 1 year. In the present study, the majority of patients (99% of the 234 examined) were infected with HBV of genotype C. Patients with persistent HBV infection in Japan are infected with HBV of either genotype B or C, with an increasing gradient of C toward the south [29,30]. All

**Table 4.** Multivariate analysis for the risk of early HBeAg seroconversion.

Variables	Odds ratio	95% confidence interval	p value
(A) A	ll factors including histological cl	haracteristics	
HBeAg (<100 PEIU/ml)	8.430	4.173–17.032	<0.001
Lobular inflammation (≥2)	4.330	2.009–9.331	<0.001
(B) F	actors exclusive of histological ch	naracteristics	
HBeAg (<100 PEIU/ml)	7.327	3.703-14.497	<0.001
ALT (≥200 IU/I)	3.093	1.562–6.127	0.001

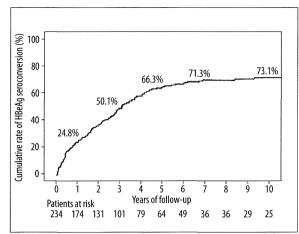
HBeAg — hepatitis B e antigen; ALT — alanine aminotransferase.





**Figure 2.** Probability of early HBeAg seroconversion. (**A**) The rate of early HBeAg seroconversion assessed by HBeAg titers and grades of lobular inflammation. (**B**) The rate of early HBeAg seroconversion assessed by HBeAg titers and ALT levels.

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**Figure 3.** Cumulative rates of HBeAg seroconversion in the 234 patients during 10 years. Cumulative rates of HBeAg seroconversion at 1, 3, 5, 7 and 10 years were 24.8%, 50.1%, 66.3%, 71.3% and 73.1%, respectively, during the follow-up.

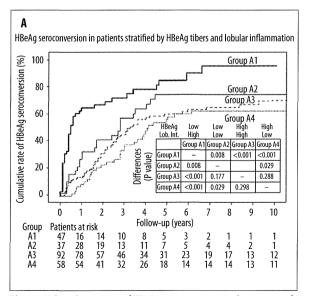
the 234 patients had received liver biopsies before they were started to be followed for HBeAg seroconversion. The present study is unique in that, not only serological variables, but also histological parameters were evaluated for the association with early HBeAg seroconversion within 1 year. By univariate analysis, many factors that have been reported in association with HBeAg seroconversion predicted early HBeAg seroconversion. Among them, only HBeAg (<100 PEIU/ml) and lobular inflammation (grades >2) remained as independent factors for early HBeAg seroconversion by multivariate analysis.

Previous clinical studies have indicated that serial monitoring of HBsAg, HBeAg and HBV DNA levels during antiviral treatments is useful for predicting HBeAg seroconversion [20–23]. Although the determination of HBV DNA in sera remains as an important tool for monitoring outcomes of patients with

chronic hepatitis B, it is technically challenging, costly, and subject to inconsistency. Hence, three serological markers of HBV replication, HBsAg, HBeAg and HBcrAg, were quantitated for evaluating the performance in predicting early HBeAg seroconversion, in comparison with HBV DNA levels. In the receiver operating characteristic analysis, HBeAg levels performed the best amongst these four replication markers, with an area under curve wider than those of the other three. Since the quantitation of HBeAg is relatively easy, fast, and inexpensive, HBeAg would be qualified as a sensitive and practical predictor of early HBeAg seroconversion [20–23].

The histological activity has been reported to predict early HBeAg seroconversion in previous studies [14,31]. Therefore, pathological parameters including the stage of fibrosis, as well as grades of portal inflammation, piecemeal necrosis and lobular inflammation, were evaluated in this study. By multivariate analysis, lobular inflammation of grades ≥2, represented by focal necrosis or acidophil bodies, was identified as an independent factor for early seroconversion. Hence, portal inflammation without necrosis would not be enough, but instead, severe lobular inflammation may be required for predicting early seroconversion.

Many previous studies have identified a variety of factors associated with HBeAg seroconversion [7–19], but a combination of serum markers of HBV with pathological parameters was evaluated rarely. Therefore, the combination of HBeAg <100 PEIU/ml and grades >2 lobular inflammation was evaluated for the predictability of early HBeAg seroconversion. Patients with neither HBeAg <100 PEIU/ml nor grades >2 lobular inflammation had a minimal chance for early HBeAg seroconversion (6.9% [4/58]), whereas a high proportion of patients with both of these predictors did accomplish early seroconversion (66.0% [31/47]) (Figure 2A). Thus, the combination of histologic activity and serum HBV marker would be very useful for predicting early HBeAg seroconversion, and serve in decision making whether or not



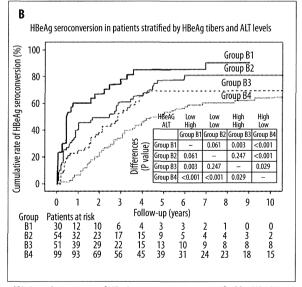


Figure 4. Cumulative rates of HBeAg seroconversion in four groups of patients. (A) Cumulative rates of HBeAg seroconversion stratified by HBeAg titers and grades of lobular inflammation. (B) Cumulative rates of HBeAg seroconversion stratified by HBeAg titers and ALT levels. HBeAg titers were dichotomized into low (<100 PEIU/ml) or high (≥100 PEIU/ml); lobular inflammation grades into low (<2) or high (≥2); and ALT levels into low (<200 IU/l) or high (≥200 IU/l).

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to commence antiviral treatments in HBeAg-positive patients with chronic hepatitis B. Although some patients received antiviral treatments, they would not have influenced the evaluation to any serious extent. Within the first 1 year of follow-up, antiviral treatments were given comparably frequently to patients with and without early HBeAg seroconversion (48% vs. 36%, p=0.091). In addition, HBeAg seroconversion is achieved by at most 12–27% of patients who had received antiviral treatments during the first year [28].

Although liver biopsy is essential for defining the stage of disease progression, it has some limitations, in that it is invasive and accompanies the risk of complications. By multivariate analysis, exclusive of pathological factors, ALT >200 IU/l remained as an independent factor (Table 4). ALT >200 (IU/l), corresponding to 5 × the upper limit of normal [ULN], coincided with the cut-off point recognized by the receiver operating characteristic curve (data not shown). In previous studies, also, ALT levels >5 × ULN were predictive of early HBeAg seroconversion [19,32–33]. Present results are in line with these observations, and point to the capability of ALT >200 IU/l to replace lobular inflammation of grades >2 in the patients in whom liver biopsy is not feasible.

#### **CONCLUSIONS**

The results of this study indicate that the combination of low HBeAg titers and high grades of lobular inflammation is clinically useful for predicting early HBeAg seroconversion in patients with chronic hepatitis B. When and if liver biopsy is not to be performed, ALT can substitute for lobular inflammation. The combination of low HBeAg titers, with either high grades of lobular inflammation or elevated ALT levels, predicted not only early, but also long-term HBeAg seroconversion.

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