

Author Summary

Amebiasis is usually transmitted by ingestion of contaminated food or water in developing countries. Recently, however, increased risk for amebiasis among men who have sex with men (MSM) due to oral-anal sexual contact was reported in developed countries, resulting in growing concern on amebiasis in HIV-1-infected MSM. The recommended treatment of amebiasis is metronidazole or tinidazole, followed by a luminal agent to eliminate intestinal cyst colonization. However, the efficacy of luminal treatment in preventing recurrence has not been assessed yet. In this study, we analyzed the medical records of 170 patients with amebiasis and HIV-1 co-infection. Treatment with metronidazole or tinidazole was excellent whereas luminal treatment did not reduce the frequency of recurrence of amebiasis. Recurrence was more frequent in those MSM with signs of sexual activity such as syphilis infection. Luminal treatment following metronidazole or tinidazole treatment does not reduce recurrence of amebiasis in high risk populations.

related symptoms, e.g., fever and liver abscess, or tenesmus and diarrhea, 2) high serum titer ($>1:100$) for antibody against *E. histolytica* in patients with IA-related symptoms in whom microbiological cultures or histological examination of clinical specimens did not identify any pathogen, and who showed improvement of IA symptoms following metronidazole or tinidazole monotherapy [10–12]. The medical records were surveyed for patients' characteristics, presenting forms of clinical IA [e.g., colitis, amebic liver abscess (ALA), and perianal abscess], HIV-1-induced immunocompromised status, and symptoms, laboratory data and serological markers of other sexually-transmitted diseases (STD) including syphilis, hepatitis B and C viruses (HBV and HCV). After completion of treatment for IA, the medical records were followed-up until March 2010, excluding those cases found to have died or lost to follow-up.

Genotyping of *E. histolytica*

To determine the strains of *E. histolytica* among HIV-1-infected Japanese patients, genotyping of *E. histolytica* was performed in patients who were PCR positive. The PCR method was used for the first time in our clinic for the diagnosis of amebiasis in December 2008, and since then 14 patients had been diagnosed as IA based on a positive PCR. For the PCR, DNAs were extracted from various biological specimens (e.g., stool, colon wash and punctuate-exudate) by using QIAamp DNA stool Mini Kit (Qiagen, Valencia, CA). Polymerase chain reactions were performed with specific sets of primers designed to target each of 6 loci (D-A, S-Q, R-R, A-L, S^{TGA}-D, and N-K) of tRNA-linked polymorphic short tandem repeats (STR), as described previously [21]. The PCR product was sequenced by ABI 3130XL Genetic Analyzer (Applied Biosystem, Foster city, CA) in both forward and reverse directions. Phylogenetic analysis and genotyping were performed as described previously [22].

Statistical analysis

Differences in patients' characteristics and clinical features were examined using the chi-square test or nonparametric test. The cumulative risk for recurrence was analyzed by the Kaplan-Meier method, and differences were tested by the log-rank test. The Cox proportional hazards model was used to assess the impact of luminal treatment on the recurrence rate after adjustment for other factors. The hazard ratio and 95% confidence interval were calculated. *P* values less than 0.05 were considered to denote statistical

significance. All statistical analyses were performed using the Statistical Package for Social Sciences (SPSS Inc., Chicago, IL).

Results

Clinical data and response to treatment

IA was diagnosed in 170 HIV-1-infected cases between April 1997 and March 2010 (including amebic colitis, $n = 102$; ALA, $n = 63$; and perianal abscess, $n = 5$, Table 1). Thirty-three patients had two of the above three clinical forms of IA. All patients were males and 164/170 (96.5%) were MSM. High rates of positive TPHA (*Treponema pallidum* hemagglutination assay) (71.2%) and HBV exposure (HBs antigen-positive, HBs antibody-positive, or HBc antibody-positive) (60.0%) were observed. No significant differences were seen in CD4 counts, HIV-1 loads, coexisting AIDS definite disease and the proportion of patients treated with antiretrovirals, suggesting that HIV-induced immunocompromised status did not have an impact on the clinical presentation of amebic infection, in agreement with previous data [12]. In cases of amebic colitis ($n = 102$), diarrhea (69.7%) was the most common symptom followed by dysentery (55.9%) (Table 2). Fever ($>37.5^{\circ}\text{C}$) was seen in only 20 patients (19.6%), including 5 cases with perforative peritonitis. In cases with ALA ($n = 63$), fever (95.2%) was the most common symptom followed by abdominal pain (55.6%). Diarrhea (46.0%) and dysentery (19.0%) were only seen in less than half of ALA cases. Single abscess (72.6%) was identified in most cases. Liver abscesses were seen more frequently in the right lobe (70.5%) than the left (9.8%). Nine patients (14.3%) had pleuritis (considered a co-existing disease), as well as abscesses in the right lobe, and 7 of these presented chest pain. Comparison of physical and laboratory data showed higher peak body temperature (BT), leukocyte count and C reactive protein (CRP) in ALA cases (Table 2) and perforative peritonitis cases (data not shown) compared with colitis cases, indicating that high fever, leukocytosis and high CRP could be the signs of extraluminal amebiasis. It is reported that high fever and leukocytosis are also common in ALA patients free of HIV-1 infection, though both parameters were unusually associated with simple amebic colitis [23]. In ALA cases, however, leukocyte count correlated positively with CD4 count (data not shown in tables; Pearson product-moment correlation coefficient 0.36, p value 0.004) and negatively with HIV-RNA load (Pearson product-moment correlation coefficient -0.28, p value 0.03), but CRP correlated neither with CD4 count nor HIV-RNA load (CRP-CD4, $p = 0.81$, CRP-HIV-RNA, $p = 0.32$). There were also no correlations between CD4 count, HIV-RNA load, BT, leukocyte count or CRP and abscess size or number.

All patients were treated with metronidazole (750 mg t. i. d. for 10 days) for IA, with the exception of two who were treated with tinidazole (2 g q. d. for 3 days). Complete remission of all IA symptoms was observed in 165 patients including the two treated with tinidazole. Five cases died within six months after diagnosis of IA; two from complications related to amebic colitis (one peritoneal perforation and one gastrointestinal bleeding), one from malignant lymphoma, one from *Pneumocystis jirovecii* pneumonia, and one from pulmonary thrombosis. The overall mortality rate was 3% in this study, which was comparable to those reported in non-HIV cases [2,23].

Recurrence after treatment

Luminal agents; paromomycin and diloxanide, are not approved in Japan, and they were not always available in our facility during the study period. After completion of IA treatment with metronidazole or tinidazole, luminal agents were administered when available. Consequently, 83 cases were treated with luminal

Table 1. Patient demographics, state of HIV, and serological markers.

	Colitis (n = 102) ¹	ALA (n = 63) ²	Perianal abscess (n = 5) ³	All (n = 170)	P value ⁴
Age (years) [IQR]	38 [32–43]	37 [31–44]	45	38 [31–44]	0.58
Male sex (%)	102 (100)	63 (100)	5 (100)	170 (100)	–
Homosexual (%)	96 (94.1)	63 (100)	5 (100)	164 (96.5)	0.053
Past History of amebiasis (%)	16 (15.7)	9 (14.3)	1 (20.0)	26 (15.3)	0.81
CD4 count (/μl)	262 [98–398]	271 [123–411]	58	269 [107–403]	0.84
HIV-RNA (log copies/ml)	4.60 [3.89–5.32]	4.66 [3.91–5.11]	5.04	4.66 [3.93–5.28]	0.70
AIDS (%)	18 (17.6)	8 (12.7)	2 (40.0)	28 (16.5)	0.40
ART initiated (%)	18 (17.6)	11 (17.5)	1 (20.0)	30 (17.6)	0.98
TPHA test positive (%)	77 (75.5)	40 (63.5)	4 (80.0)	121 (71.2)	0.10
HBV exposure (%)	59 (57.8)	41 (65.1)	2 (40.0)	102 (60.0)	0.36
HCV Antibody positive (%)	3 (2.9)	3 (4.8)	0 (0)	6 (3.5)	0.42

Data are median [interquartile range: IQR] or number (percentage) of patients.

¹5 cases of perforative peritonitis are included as co-existing diseases. Four cases were diagnosed coincidentally by colonoscopy in asymptomatic patients.

²31 cases of colitis, 1 case of perianal abscess, 9 cases of pleuritis, and 2 cases of peritonitis are included as co-existing diseases.

³1 case of colitis is included as co-existing diseases.

⁴Chi-square test or non-parametric test was performed for data of colitis and ALA.

UD: undetectable, ART: anti-retroviral therapy, TPHA test: *Treponema pallidum* Hemagglutination Assay test, HBV exposure: HBsAg-positive or HBsAb-positive, and/or HBe-Ab positive.

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agents; 38 cases with promomycin (500 mg t. i. d. for 10 days) and 45 cases with diloxanide furate (500 mg t. i. d. for 10 days). No significant differences were seen in patients' characteristics,

Table 2. Clinical features of amoebic colitis and ALA.

	Colitis (n = 102)	ALA (n = 63)	P value
Symptoms			
Diarrhea (%)	71/102 (69.6)	29/63 (46.0)	0.003
Dysentery (%)	57/102 (55.9)	12/63 (19.0)	<0.001
Abdominal pain (%)	23/102 (22.5)	35/63 (55.6)	<0.001
Chest pain (%)	0/102 (0.0)	7/63 (11.1)	<0.001
Peak BT (°C) [IQR] ³	36.8 [36.5–37.4]	39.0 [38.8–39.5]	<0.001
WBC (/μ l) [IQR] ³	5,830 [4490–7580]	11,760 [9460–15170]	<0.001
CRP (mg/dl) [IQR] ³	0.62 [0.16–3.02]	19.15 [10.53–24.75]	<0.001
Frequency of diarrhea¹			
≤ 5 times/day (%)	63/101 (62.4)	–	
6–10 times (%)	26/101 (25.7)	–	
≥ 11 times (%)	12/101 (11.9)	–	
Size of abscess (mm)			
–	–	59 (10–180)	
Location of abscess²			
Right lobe only	–	43/61 (70.5)	
Left lobe only	–	6/61 (9.8)	
Both lobes	–	12/61 (19.7)	
Number of abscesses¹			
Single (%)	–	45/62 (72.6)	
Multiple (%)	–	17/62 (27.4)	

¹Data of one case were not available.

²Data of two cases were not available.

³Data are median [interquartile range: IQR] or number (percentage) of patients.

BT: body temperature, WBC: White Blood Cell counts, CRP: C reactive protein.

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including HIV-1-induced immunocompromised status, serological markers of other STD, and clinical forms and severity of amebiasis between the 83 cases with luminal treatment and 82 cases who did not receive such treatment (Table S1). The median follow-up period after completion of metronidazole or tinidazole treatment was 50 months (inter quartile range: 19–85) in those who received luminal treatment, and 43 months (inter quartile range: 23–98) in those without.

Within the 12-month post-metronidazole treatment period, recurrence of IA was noted in only two patients who did not receive luminal treatment, suggesting reactivation of residual cysts of *E. histolytica* (Figure 1). However, during the entire follow-up period, six in each group experienced recurrence of IA, with no significant difference in the recurrence frequency by the log-rank chi-square test. Multivariate analysis showed that recurrence did not correlate with past history of IA, CD4 count, TPHA, HBV exposure (HBs antigen-positive or HBs antibody-positive), or the presence of extraluminal IA disease (Table 3). However, a positive HCV antibody was significantly associated with IA recurrence. Recurrence also tended to occur in those who acquired new syphilis infection during the follow-up period, though the difference did not reach statistical significance.

Genotypes of *E. histolytica*

Genotyping of *E. histolytica* was performed in samples obtained from 14 patients between December 2009 and March 2010 (colitis, n = 8; ALA, n = 4; colitis and ALA, n = 1; and perianal abscess, n = 1; Table S2). Eleven different genotypes were recognized, including five genotypes (J8, J12, J13, J20, and J23) identified previously in Japan [22], and six newly recognized genotypes (J24–J29). There was no significant relation between *E. histolytica* genotype and clinical presentation.

Discussion

In the present study, retrospective analysis of the medical records of 170 patients with HIV-1-infection and IA showed no

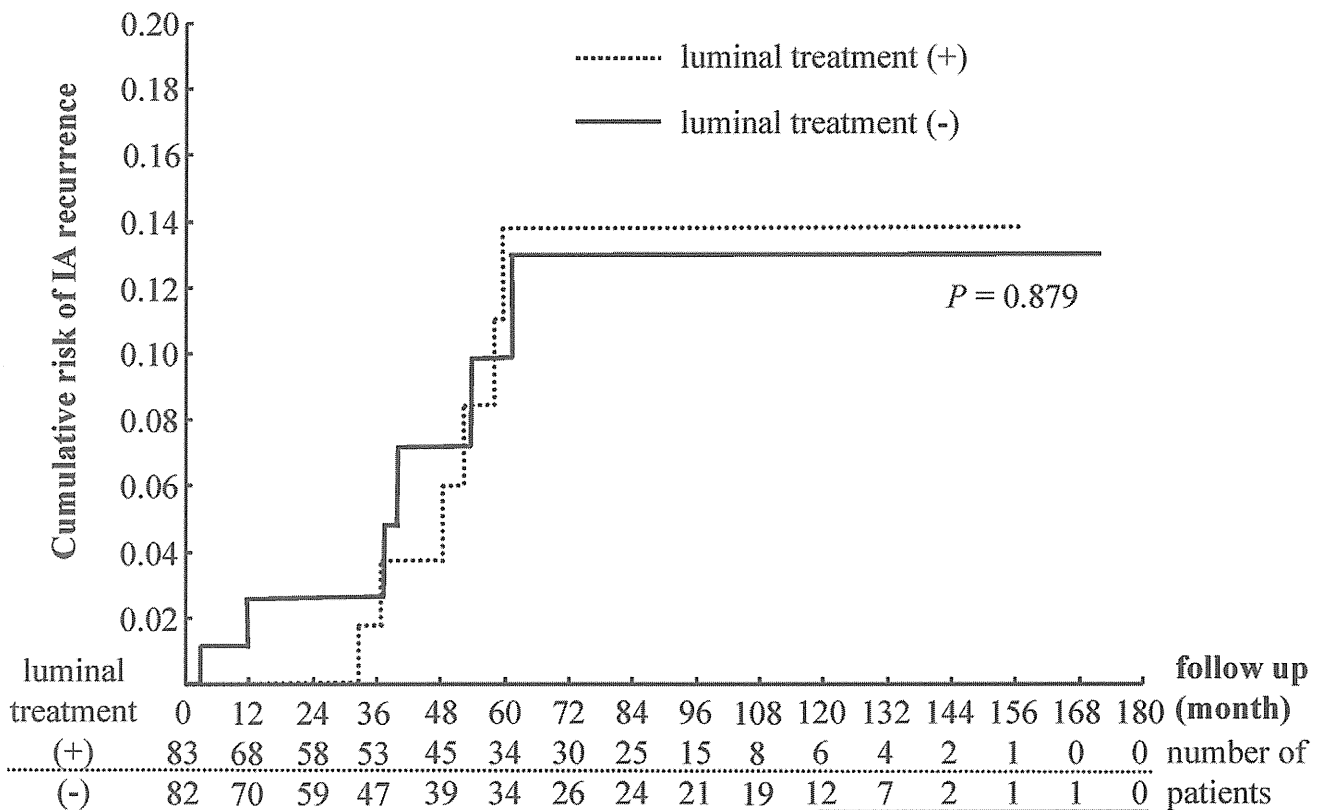


Figure 1. Kaplan-Meier estimates of time to IA recurrence. Cumulative probability of IA recurrence after completion of metronidazole or tinidazole treatment with or without subsequent luminal treatment. doi:10.1371/journal.pntd.0001318.g001

impact for HIV-1-induced immunocompromised status on the clinical forms of amebiasis. The physical and laboratory findings showed that high fever, leukocytosis and high CRP correlated with extraluminal diseases of amebiasis. In ALA cases, however, leukocyte count correlated positively with CD4 count and negatively with HIV-RNA load, indicating that CRP is more sensitive marker for the detection of the extraluminal diseases in advanced immunocompromised patients.

Only five patients died after the diagnosis of IA; two from IA complications and three from other causes. The results indicate

excellent outcome for HIV-1-infected individuals with uncomplicated amebiasis treated with metronidazole or tinidazole, in agreement with previous reports on HIV and non-HIV cases [2,11,12,20,23]. Based on conventional wisdom and written opinion, adequate management of IA should include treatment with a luminal agent following metronidazole or tinidazole treatment, in order to eradicate residual cysts of *E. histolytica* due to the high rate (40–60%) of luminal colonization [2,23–27]. On the other hand, the results of longitudinal observational studies indicated that asymptomatic cyst carriers rarely develop IA, and

Table 3. Multivariate analyses for factors associated with frequency of recurrence.

	No recurrence (n = 153) ¹	Recurrence (n = 12)	Hazard ratio (95.0% CI)	P value
Past history of IA ² (%)	24 (15.7)	2 (16.7)	0.914 (0.186–4.478)	0.911
CD4 counts <200 ² (%)	57 (37.3)	3 (25.0)	0.385 (0.101–1.470)	0.162
TPHA test positive ² (%)	108 (70.6)	10 (83.3)	2.435 (0.501–11.827)	0.270
HBV exposure ² (%)	92 (60.1)	7 (58.3)	1.248 (0.364–4.277)	0.725
HCV Antibody positive ² (%)	3 (2.0)	2 (16.7)	7.664 (1.369–42.890)	0.020
Extraluminal disease ² (%)	66 (43.1)	4 (33.3)	0.559 (0.163–1.921)	0.356
No luminal agent (%)	76 (49.7)	6 (50.0)	1.070 (0.322–3.559)	0.912
Syphilis during follow-up period (%)	33 (21.6)	7 (58.3)	3.332 (0.961–11.547)	0.059

¹Five patients died within 6 months from disease onset and their data were excluded from analysis.

²Status at diagnosis of IA.

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that cyst form ameba often disappears spontaneously without any treatment [28,29]. There is controversy about the need for cyst eradication following metronidazole or tinidazole treatment, especially in endemic areas where re-infection is frequent. In this study, recurrence of IA within the first year of metronidazole treatment was noted in only two patients of 82 patients who did not receive luminal therapy. Moreover, long-term follow-up indicated IA recurrence also in those who received luminal agents, and the benefits obtained from luminal treatment seemed to have disappeared. IA recurred more frequently in those with HCV infection, which was recently reported to be transmittable sexually among MSM [30], and in those who acquired new syphilis infection during the follow-up period, suggesting that sexually active MSM tend to experience IA recurrence due to re-acquisition of new *E. histolytica* infection. HBV exposure and positive TPFA at IA diagnosis did not correlate with IA recurrence probably because the high prevalence of these two parameters in this study masked the difference between recurrence and non-recurrence cases. Educational approach for safer sex may be more appropriate rather than luminal treatment to prevent IA recurrence after treatment.

Eleven genetic strains of *E. histolytica* were identified in this study and none of them had been reported so far from geographic areas other than Japan [21,22,31,32], indicating that diverse Japan-specific isolates of *E. histolytica* are already prevalent among MSM in Japan. In fact, the *E. histolytica* seropositivity rate in HIV-1-infected MSM in our clinic was as high as 17.9% in 2009 (unpublished data), which is comparable with the seropositivity

rate in Japanese MSM reported more than 20 years ago [5]. Unfortunately, we could not compare the genotypes of *E. histolytica* between the incidences of the primary and recurrent IA within the same individuals due to the lack of appropriate stocked samples, which would have probably demonstrated acquisition of new infection.

Considered together, the results emphasize the difficulty of preventing IA recurrence without educational approach to prevent new amebic infection even after successful IA treatment in the high risk groups such as HIV-1-infected MSM. The spread of *E. histolytica* in MSM of other developed countries beyond Asia should be of great concern.

Supporting Information

Table S1 Patient demographics with and without luminal treatment.

(DOC)

Table S2 Genotyping data of 6 STR loci in 14 clinical samples.

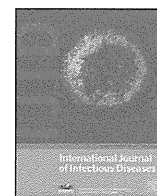
(DOC)

Author Contributions

Conceived and designed the experiments: HG JT SO. Performed the experiments: KW AEdC TN. Analyzed the data: KW HG. Contributed reagents/materials/analysis tools: KW HG JT SO. Wrote the paper: KW HG.

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Trends in early and late diagnosis of HIV-1 infections in Tokyoites from 2002 to 2010

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SUMMARY

Objective: The objective of this study was to delineate the trends in early and late diagnosis of HIV-1 infection in newly diagnosed Tokyoites.

Methods: The BED assay was used to identify cases diagnosed at an early stage of infection. BED-positive non-AIDS cases with a CD4 cell count $\geq 200/\mu\text{l}$ were defined as cases with recent infection. The rates of AIDS and recent infection in 809 newly diagnosed Tokyoites during 2002–2010 were analyzed.

Results: The AIDS rate was 22.5%. AIDS patients were older (40.4 years) than non-AIDS patients (35.0 years), and a smaller proportion were men who have sex with men (MSM) in AIDS patients (81.7%) than in non-AIDS patients (89.9%). The AIDS rate was persistently lower ($\leq 14.3\%$) in ≤ 29 -year-old than in ≥ 30 -year-old MSM. The rate of recent infection was 24.4%. Individuals with recent infection (33.0 years old) were younger than the others (37.2 years). The rate of recent infection was lower ($\leq 18.5\%$) in MSM aged ≥ 40 years than in those aged ≤ 39 years during the study period, except for 2007 and 2008.

Conclusions: Younger MSM Tokyoites appear to be aware of the risk of their sexual behavior, sufficient to take voluntary HIV testing repeatedly, resulting in early diagnosis. Older MSM did not take HIV testing frequently enough and may be a good target for campaigns promoting testing.

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1. Introduction

The overall growth of the global AIDS epidemic appears to have stabilized. The annual number of new cases of HIV infection has been in steady decline since the late 1990s.¹ In Japan, however, the annual number of newly diagnosed cases has almost doubled during the most recent decade (791 cases in 2000 and 1544 cases in 2010), although the prevalence of HIV in the adult population remains $< 0.1\%$.² The distribution of these cases is heavily concentrated in large cities, and approximately 35% of the newly diagnosed cases have been identified in Tokyo.³

Early diagnosis of HIV infection is critically important because some AIDS-defining diseases are fatal, even in the era of combination antiretroviral treatment (ART); also the introduction of ART after the development of AIDS is often complicated with immune reconstitution inflammatory syndrome (IRIS).^{4,5} In this regard, the introduction of ART at the early stages seems to significantly reduce the sexual transmission of HIV-1.^{6,7} Thus, it is important to identify newly infected individuals and provide early ART to reduce the

incidence of AIDS and transmission of HIV. Knowledge about the proportion of patients diagnosed at the early stage of an HIV infection in the newly diagnosed cases is also useful for planning and evaluation of any prevention program and for resource allocation.^{8,9} However, it is usually difficult to distinguish recent from long-standing HIV infections except for acute symptomatic infections.¹⁰ Simple prediction of the infection time from CD4 cell counts appears inaccurate because the disease progression rate varies enormously among infected individuals.¹¹ The BED HIV-1 capture enzyme immunoassay (BED assay) uses the branched peptide to detect HIV-1 IgG antibodies from all subtypes (i.e., HIV-1 B, E, and D gp41 immunodominant sequences are included on a branched peptide used in the assay) and measures levels of anti-HIV-1 IgG relative to total IgG.¹² Since the ratio of anti-HIV-1 IgG to total IgG increases with time shortly after HIV-1 infection, the HIV-1-infected patient is considered to have recently acquired the infection when the normalized optical density (ODn) is less than 0.8 on the BED assay (ODn reaches 0.8 on average 197 days after seroconversion).¹³

The present study was an attempt to delineate the trends in early diagnosis of HIV-1 infection in Tokyo from 2002 to 2010 by using the BED assay. The aim of this analysis was to enhance our understanding of the status of HIV-1 spread in Tokyo and to help in the design of strategies to control the HIV-1 epidemic in Japan.

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2. Materials and methods

2.1. Newly diagnosed patients

This study included all ART-naïve HIV-1-infected individuals who met the following criteria: (1) those who visited the AIDS Clinical Center, National Center for Global Health and Medicine, Tokyo, between 2002 and 2010 within 30 days of their diagnosis with an HIV-1 infection and (2) availability of plasma samples taken at the first visit under signed informed consent for use in viral, immunological, and epidemiological studies. Participant information including CD4 count, HIV-1 load, age at the first visit, gender, nationality, probable HIV-1 transmission route, and history of HIV testing, were collected from the medical records. According to the Japanese law for infection control, physicians are obliged to report newly diagnosed HIV/AIDS cases to the National AIDS Surveillance Committee (the Ministry of Health, Labor, and Welfare of the Japanese Government). A total of 11 673 HIV/AIDS cases nationally, including 4048 cases diagnosed in Tokyo (Tokyo cases), which were entered into the registry of this committee from 2002 to 2010, were used as the control populations to evaluate the representativeness of the patients enrolled in the present study (AIDS Clinical Center cases).^{2,3} Plasma samples obtained from the participants were stored at -80°C . The viral subtype in each case was determined from the HIV-1 protease–reverse transcriptase sequence (which was analyzed for drug resistance genotyping) by the neighbor-joining method using the Genetic-Win system (Software Development, Tokyo).¹⁴

This study was conducted according to the principles of the Declaration of Helsinki and was approved by the ethics committee of the National Center for Global Health and Medicine.

2.2. BED assay

The BED HIV-1 capture enzyme immunoassay (BED assay; Calypte Biomedical Corp., Portland, OR, USA) was used to estimate the time of HIV-1 infection.¹² In accordance with the manufacturer's instructions, 5 μl of plasma was diluted with 500 μl of the diluent in the kit, and the proportion of anti-HIV-1-specific IgG to the total IgG in the sample was measured by optical density (OD). The OD values of the test specimens were normalized (ODn) relative to the value of a calibrator (specimen OD/calibrator OD) to minimize inter-run variation. Samples with ODn ≤ 0.8 were considered to be from individuals who had seroconverted within 197 days and were defined as BED-positive.¹³ BED-positive non-AIDS cases with CD4 cell counts $\geq 200/\mu\text{l}$ were defined as individuals with recent infection. The others were defined as chronic infection.

2.3. Statistical analysis

Differences in demographic data including age, gender, risk behavior, nationality, and AIDS development among the AIDS Clinical Center cases, national cases, and Tokyo cases, were examined for significance using one-way analysis of variance (ANOVA) and the Tukey test, or Pearson's Chi-square test. Differences in demographic data including age, CD4 count, logarithmic HIV-1 viral load, nationality, transmission category, HIV-1 subtype, cue for HIV diagnosis, and history of HIV testing, between AIDS and non-AIDS patients and between recent and chronic infection, were examined for significance using the *t*-test or Pearson's Chi-square test. To estimate the correlation with the development of AIDS, binominal logistic regression analysis including age, nationality (Japanese or not), and transmission category (men having sex with men (MSM) or not) was performed. A *p*-value of less than 5% denoted statistical significance. Statistical

analyses were performed with SPSS Statistics 17.0 (IBM Japan Inc., Tokyo, Japan) and Stat Mate II (NANKODO, Tokyo).

3. Results

3.1. Newly diagnosed cases of HIV-1 infection

The study subjects were 809 ART-naïve HIV-1-infected patients. All of them had visited the AIDS Clinical Center, National Center for Global Health and Medicine, Tokyo, within 30 days of the diagnosis of HIV-1 infection (median 8 days) between 2002 and 2010. They included 741 Japanese, 35 Asians other than Japanese, and 33 from other countries. They represented 20.0% of the total number of newly diagnosed Tokyoite cases during the same period (Table 1). There were no significant differences in the proportion of AIDS (22.5% vs. 21.9%), percentage of males (96.2% vs. 94.3%), or proportion of Japanese (91.6% vs. 90.7%) between our study patients and those of the Tokyo registry, although our patients included a significantly smaller proportion of AIDS cases (22.5% vs. 30.4%) and significantly larger population of male patients (96.2% vs. 91.8%) and Japanese patients (91.6% vs. 88.5%) compared with the patients of the national registry. Furthermore, our patients were significantly younger than the patients of the Tokyo and national registries (36.2 vs. 37.7 and 38.0 years), and the proportion of MSM among male patients was significantly higher than in the Tokyo and national registries (88.0% vs. 72.8% and 59.8%).

Subtype analysis successfully determined the HIV-1 subtype in 807 patients (99.8%); the majority were infected with HIV-1 subtype B (742 patients, 91.9%), while 5.7% were infected with HIV-1 subtype AE, which is comparable to previously published subtype data in Japan.¹⁴ The HIV-1 subtype could not be determined in two patients because the viral load was below the detection limit (<40 copies/ml), although they were not being treated with anti-HIV drugs.

3.2. Features of AIDS patients

Among the 809 cases, 182 (22.5%, 95% confidence interval (95% CI) 19.6–25.4) had already developed AIDS at the first visit, while the other 627 were non-AIDS cases (Table 2). AIDS cases were significantly older (40.4 years, 95% CI 38.8–41.9 vs. 35.0 years, 95% CI 34.2–35.9), and as expected, had lower CD4 counts (61.7/ μl , 95% CI 50.6–72.8 vs. 318.0/ μl , 95% CI 303.0–333.0) and higher viral loads (5.22 log VL/ml, 95% CI 5.13–5.31 vs. 4.63 log VL/ml, 95% CI 4.56–4.70) than non-AIDS patients. There were no significant differences in nationality (Japanese 91.8%, 95% CI 87.8–95.8 vs. 91.5%, 95% CI 89.4–93.7) or HIV-1 subtype (subtype B 89.0%, 95% CI 84.5–93.6 vs. 92.5%, 95% CI 90.4–94.6) between AIDS and non-AIDS

Table 1
New cases of HIV-1-infected patients diagnosed between 2002 and 2010

	Japan ^a	Tokyo ^b	This study
Number of cases	11 673	4048	809
Age, years (mean \pm SD)	38.0 \pm 11.8 ^c	37.7 \pm 11.9 ^d	36.2 \pm 11.0
Males	10 721 (91.8%) ^c	3819 (94.3%)	778 (96.2%)
Men having sex with men	6408 (59.8%) ^c	2780 (72.8%) ^c	685 (88.0%)
Japanese	10 335 (88.5%) ^d	3673 (90.7%)	741 (91.6%)
AIDS cases	3551 (30.4%) ^c	885 (21.9%)	182 (22.5%)

Statistical analyses were performed by one-way ANOVA and Tukey test, or Chi-square test.

^a Provided by the National AIDS Surveillance Committee (the Ministry of Health, Labor, and Welfare of the Japanese Government).

^b Provided by the Bureau of Social Welfare and Public Health, Tokyo.

^c *p* < 0.001, compared with the study participants.

^d *p* < 0.01 compared with the study participants.

Table 2
Demographics of participants with and without AIDS

	AIDS (n=182)		Non-AIDS (n=627)		p-Value ^a
	Mean	(95% CI)	Mean	(95% CI)	
Age (years)	40.4	(38.8–41.9)	35.0	(34.2–35.9)	<0.001
CD4 count / μ l	61.7	(50.6–72.8)	318.0	(303.0–333.0)	<0.001
Log viral load/ml	5.22	(5.13–5.31)	4.63	(4.56–4.70)	<0.001
	n	% (95% CI)	n	% (95% CI)	
Nationality					0.424
Japan	167	91.8 (87.8–95.8)	574	91.5 (89.4–93.7)	
Asia other than Japan	11	6.0 (3.3–10.8)	24	3.8 (2.6–5.7)	
North and South America	2	1.1 (0.2–4.0)	17	2.7 (1.7–4.3)	
Africa	2	1.1 (0.2–4.0)	6	1.0 (0.4–2.1)	
East and West Europe	0	0 (0–2.0)	4	0.6 (0.2–1.6)	
Oceania	0	0 (0–2.0)	2	0.3 (0–1.1)	
Transmission category					0.024
Male	175	96.2 (93.4–98.9)	603	96.2 (94.7–97.7)	
MSM	143	81.7 (76.0–87.4)	542	89.9 (87.5–92.3)	
Heterosexual	21	12.0 (7.2–16.8)	43	7.1 (5.4–9.6)	
IDU	1	0.6 (0–3.2)	2	0.3 (0.1–1.2)	
Unknown	10	5.7 (3.0–10.5)	16	2.7 (1.6–4.3)	
Female	7	3.8 (1.7–7.9)	24	3.8 (2.6–5.7)	-
Heterosexual	7	100 (46.8–100)	24	100 (100–100)	
Subtype					0.351
B	162	89.0 (84.5–93.6)	580	92.5 (90.4–94.6)	
AE	16	8.8 (5.4–14.3)	30	4.8 (3.4–6.8)	
C	1	0.5 (0–3.0)	7	1.1 (0.5–2.3)	
G	2	1.1 (0.2–4.0)	3	0.5 (0.1–1.4)	
AG	1	0.5 (0–3.0)	3	0.5 (0.1–1.4)	
A	0	0 (0–2.0)	2	0.3 (0–1.1)	
Unknown	0	0 (0–2.0)	2	0.3 (0–1.1)	
Cue for HIV diagnosis					<0.001
Voluntary testing	12	6.6 (3.7–11.5)	283	45.1 (41.2–49.0)	
Provider-initiated testing	167	91.8 (87.8–95.8)	338	53.9 (50.0–57.8)	
Unknown	3	1.6 (0.4–4.8)	6	1.0 (0.4–2.1)	
Previous testing					<0.001
Yes	29	15.9 (10.6–21.3)	282	45.0 (41.1–48.9)	
No	65	35.7 (28.8–42.7)	254	40.5 (36.7–44.4)	
Unknown	88	48.4 (41.1–55.6)	91	14.5 (11.8–17.3)	
BED assay					<0.001
Recent (ODn \leq 0.8)	47	25.8 (19.5–32.2)	255	40.7 (36.8–44.5)	
Chronic (ODn $>$ 0.8)	135	74.2 (67.8–80.5)	372	59.3 (55.5–63.2)	

CI, confidence interval; MSM, men who have sex with men; IDU, intravenous drug user; ODn, normalized optical density.

^a By *t*-test or Pearson's Chi-square test.

cases (Pearson's Chi-square test). MSM activity was the most frequent transmission route in both groups, and still more frequent in non-AIDS cases (89.9%, 95% CI 87.5–92.3) than in AIDS cases (81.7%, 95% CI 76.0–87.4). A larger proportion of patients in the non-AIDS group than in the AIDS group had undertaken previous HIV testing (45.0%, 95% CI 41.1–48.9 vs. 15.9%, 95% CI 10.6–21.3) and had been diagnosed with HIV-1 infection by voluntary testing (45.1%, 95% CI 41.2–49.0 vs. 6.6%, 95% CI 3.7–11.5), suggesting that repeated voluntary testing may prevent disease progression to AIDS in the high-risk groups.

Binominal logistic regression analysis of age, nationality (Japanese or not), and transmission category (MSM or not) identified age as the most significant factor associated with the development of AIDS (per 1-year increment, (hazard ratio) HR 1.041, 95% CI 1.026–1.057; $p < 0.001$).

To delineate the trends in late diagnosis of HIV-1 infection, the annual rates of AIDS cases in newly-diagnosed HIV-1-infected patients were plotted through the study period. The rate of AIDS cases remained around 30% between 2002 and 2004. It decreased to 15.0% in 2005, but then showed a gradual increase annually, reaching 24.8% in 2010 (Figure 1). To identify the population that influenced the increase in the rate of AIDS cases in the most recent years, we selected and categorized the study participants based on their features. Specifically, we focused on MSM patients, because 85% of our patients were MSM. Based on the above results of the

significance of age in the binominal logistic regression analysis in the development of AIDS, we examined the effect of age in more detail by dividing the MSM patients into three age groups: those aged ≤ 29 years (217 patients, 31.7%), 30–39 years (273 patients, 39.9%), and ≥ 40 years (195 patients, 28.5%). In the ≥ 40 years MSM group, the rate was higher than 50% between 2002 and 2004, but decreased to 21.4% in 2005 and further decreased to 14.3% in 2006, but gradually increased and reached $\sim 30\%$ in 2009 and 2010 (Figure 1). On the other hand, in the ≤ 29 years MSM group, the AIDS rate was steadily lower than 20%, indicating that most young HIV-1-infected MSM were diagnosed before the development of AIDS throughout the study period. The AIDS rate in the 30–39 years MSM group was between those of the other two groups during most of the study period. A significantly larger proportion of patients in the ≤ 29 years MSM group had undergone voluntary HIV testing (43.8%, $p = 0.002$, Pearson's Chi-square test) and diagnosis with HIV (48.8%, $p < 0.001$, Pearson's Chi-square test), compared with the 30–39 years MSM group (43.6% and 36.6%, respectively) and the ≥ 40 years MSM group (34.9% and 32.3%, respectively). These results suggest that repeated voluntary testing may have prevented disease progression to AIDS in the younger MSM groups. The high rate of AIDS in all the study participants observed in 2002–2004 seemed mainly due to the ≥ 40 -year-old MSM. Furthermore, the gradual increase in the AIDS rate in the ≥ 40 -year-old MSM since 2006 also seemed to have contributed to

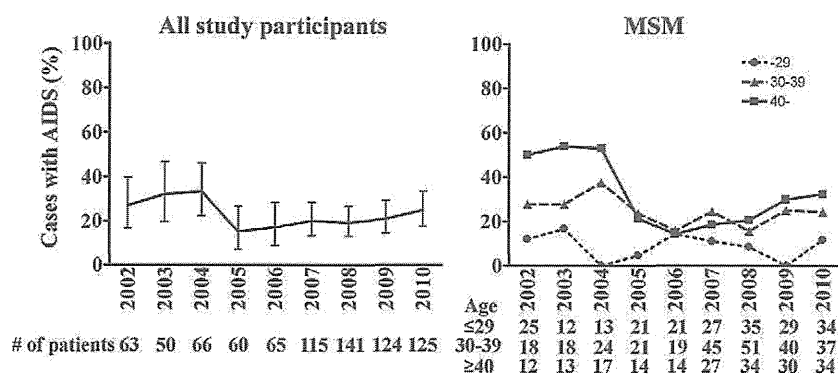


Figure 1. Annual rate of AIDS in newly diagnosed HIV-1-infected individuals. The annual AIDS rate for all study participants (809 patients; left panel), and men who have sex with men (MSM) categorized by age: ≤ 29 years ($n = 217$), 30–39 years ($n = 273$), and ≥ 40 years ($n = 195$) (right panel). The 95% confidence intervals are also shown in the left panel. Data including 95% confidence intervals for the MSM are provided in the [Supplementary Information](#) (Table S1).

the rising AIDS rate in all, suggesting that older MSM should be the main target for interventions aimed at promoting HIV testing for early diagnosis and prevention of the development of AIDS.

3.3. Trends in early HIV diagnosis

To identify individuals with recent HIV-1 infection, we performed a BED assay for the 809 study participants. Before analysis of the results, we dealt with the problem of potential

misclassification. Previous studies reported small levels of anti-HIV-1-specific IgG relative to the total IgG in cases with both recent HIV-1 infection and long-standing chronic cases with severe immunodeficiency, which could result in false classification of chronic cases as recent infection.^{12,15,16} To tackle this problem, previous studies classified AIDS cases and cases with CD4 cell counts $< 200/\mu\text{l}$ as chronic infection cases, in accordance with the Joint United Nations Programme on HIV/AIDS (UNAIDS)/World Health Organization (WHO) guidelines.^{17–21} We applied

Table 3
Demographics of participants with recent and chronic infection

	Recent ($n = 197$)		Chronic ($n = 612$)		p-Value ^a
	Mean	(95% CI)	Mean	(95% CI)	
Age (years)	33.0	(31.7–34.3)	37.2	(36.3–38.1)	<0.001
CD4 count $/\mu\text{l}$	423.2	(399.2–447.3)	207.9	(193.3–222.4)	<0.001
Log viral load/ml	4.61	(4.46–4.76)	4.81	(4.74–4.87)	0.005
	<i>n</i>	% (95% CI)	<i>n</i>	% (95% CI)	
Nationality					0.101
Japan	189	95.9 (93.2–98.7)	552	90.2 (87.8–92.6)	
Asia other than Japan	2	1.0 (0.2–3.7)	33	5.4 (3.9–7.6)	
North and South America	3	1.5 (0.4–4.4)	16	2.6 (1.6–4.2)	
Africa	1	0.5 (0–2.8)	7	1.1 (0.5–2.4)	
East and West Europe	1	0.5 (0–2.8)	3	0.5 (0.1–1.4)	
Oceania	1	0.5 (0–2.8)	1	0.2 (0–0.9)	
Transmission category					0.314
Male	192	97.5 (95.3–99.7)	586	95.8 (94.2–97.3)	
MSM	177	92.2 (88.4–96.0)	508	86.7 (83.9–89.4)	
Heterosexual	11	5.7 (3.1–10.2)	53	9.0 (7.0–11.8)	
IDU	0	0 (0–1.9)	3	0.5 (0.1–1.5)	
Unknown	4	2.1 (0.7–5.3)	22	3.8 (2.5–5.7)	
Female	5	2.5 (1.0–5.9)	26	4.2 (2.9–6.2)	-
Heterosexual	5	100 (34.4–100)	26	100 (81.5–100)	
Subtype					0.029
B	188	95.4 (92.5–98.3)	554	90.5 (88.2–92.8)	
AE	4	2.0 (0.7–5.2)	42	6.9 (5.2–9.3)	
C	1	0.5 (0–2.8)	7	1.1 (0.5–2.4)	
G	1	0.5 (0–2.8)	4	0.7 (0.2–1.7)	
AG	1	0.5 (0–2.8)	3	0.5 (0.1–1.4)	
A	0	0 (0–1.9)	2	0.3 (0–1.2)	
Unknown	2	1.0 (0.2–3.7)	0	0 (0–0.6)	
Cue for HIV diagnosis					<0.001
Voluntary testing	102	51.8 (44.8–58.8)	193	31.5 (27.9–35.2)	
Provider-initiated testing	94	47.7 (40.7–54.7)	411	67.2 (63.4–70.9)	
Unknown	1	0.5 (0–2.8)	8	1.3 (0.6–2.6)	
Previous testing					<0.001
Yes	116	58.9 (52.0–65.8)	195	31.9 (28.2–35.6)	
No	57	28.9 (22.6–35.3)	262	42.8 (38.9–46.7)	
Unknown	24	12.2 (7.6–16.8)	155	25.3 (21.9–28.8)	

CI, confidence interval; MSM, men who have sex with men; IDU, intravenous drug user.

^a By *t*-test or Pearson's Chi-square test.

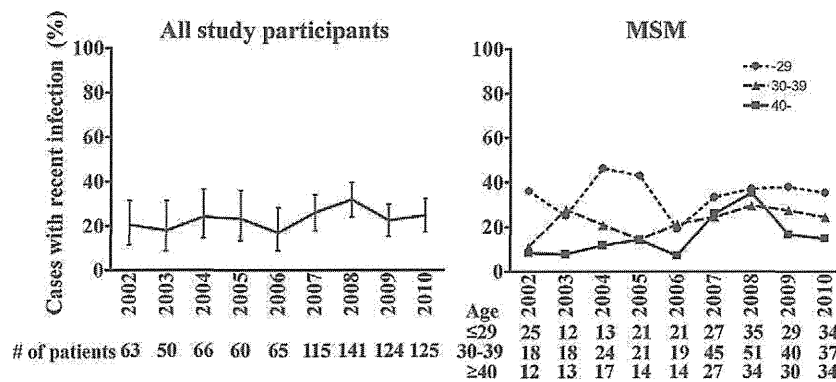


Figure 2. Annual rate of recent infection in newly diagnosed HIV-1-infected cases. The annual rate of recent infection in all study participants (809 patients; left panel), and in men who have sex with men (MSM) categorized by age: ≤ 29 years ($n = 217$), 30–39 years ($n = 273$), and ≥ 40 years ($n = 195$) (right panel). The 95% confidence intervals are also shown in the left panel. Data including 95% confidence intervals for the MSM are provided in the [Supplementary Information](#) (Table S2).

the same strategy in this study and thus defined only BED-positive non-AIDS cases with CD4 cell counts $\geq 200/\mu\text{l}$ as recent infection.

In the 456 non-AIDS cases with CD4 cell counts $\geq 200/\mu\text{l}$, 197 cases were BED-positive and classified as recent infection (43.2%; 24.4% of the total cases) (Table 3). BED-negative cases, AIDS cases, and cases with CD4 cell counts $< 200/\mu\text{l}$ were classified as chronic infection. Patients with recent infection were younger (33.0 years, 95% CI 31.7–34.3 vs. 37.2 years, 95% CI 36.3–38.1) and had higher CD4 counts (423.2/ μl , 95% CI 399.2–447.3 vs. 207.9/ μl , 95% CI 193.3–222.4), as expected, and lower viral load (4.61 log VL/ml, 95% CI 4.46–4.76 vs. 4.81 log VL/ml, 95% CI 4.74–4.87), compared to patients with chronic infection. A larger proportion of recent infection (95.4%, 95% CI 92.5–98.3) was caused by HIV-1 subtype B than in those with chronic infection (90.5%, 95% CI 88.2–92.8). There were no significant differences in the nationality and transmission category between recent and chronic infection cases (Pearson's Chi-square test), although the proportion of Japanese patients was higher in recent infection (95.9%, 95% CI 93.2–98.7) than in chronic infection (90.2%, 95% CI 87.8–92.6) ($p = 0.012$, Chi-square test). A significantly larger proportion of patients underwent previous HIV testing (58.9%, 95% CI 52.0–65.8 vs. 31.9%, 95% CI 28.2–35.6) and were diagnosed with HIV-1 infection by voluntary testing (51.8%, 95% CI 44.8–58.8 vs. 31.5%, 95% CI 27.9–35.2) among recent infection cases than chronic infection cases ($p < 0.001$ in both, Pearson's Chi-square test).

To delineate the trends in early diagnosis of HIV-1 infection, the annual rate of recent infection in all 809 study participants was plotted over the study period (Figure 2). The rate was stable at $\sim 20\%$ between 2002 and 2010, except for 2007 (26.1%) and 2008 (31.9%), when a slight increase was evident. In order to identify the population that influenced the annual trends of early diagnosis, we focused on MSM patients and again divided them into three age groups: ≤ 29 years, 30–39 years, and ≥ 40 years. The rates of recent infection in the ≤ 29 and ≥ 40 years MSM groups were the highest and the lowest, respectively, in most years of the study period. The rate in the ≤ 29 years MSM group was high, ranging from 25.0% to 46.2% between 2002 and 2005, but it decreased to 19.0% in 2006, and increased again in 2007 and remained around 35% between 2007 and 2010. The rate of recent infection in the ≥ 40 -year-old MSM group was steadily low at $\sim 10\%$ between 2002 and 2006, but increased in 2007 to 25.9% and 2008 to 35.3%, then decreased to around 15% in 2009 and 2010. The rate in the 30–39-year-old MSM ranged between those of the other two groups during most part of the study period. These results suggest that younger MSM tend to be diagnosed persistently earlier, whereas older MSM are usually diagnosed at a later stage of the HIV disease.

4. Discussion

The present study analyzed the trends in the proportion of AIDS patients and patients with recent infection among 809 new cases of HIV-1-infection diagnosed between 2002 and 2010. This group recruited from our AIDS Clinical Center represents 20.0% of the total number of newly diagnosed Tokyoites during the same period. We found that MSM, especially younger MSM, tend to be diagnosed at an earlier stage before the development of AIDS, probably because of frequent voluntary HIV testing. The proportion of AIDS cases remained at a steady low level and the rate of recent infection remained at a high level in younger MSM patients, indicating that younger MSM are aware of the risk of their sexual behavior sufficient to take HIV testing repeatedly. On the other hand, in the older MSM, the rate of AIDS was relatively high and the rate of recent infection comparatively low, but transiently increased in 2007 and 2008, suggesting that older MSM with a high-risk of HIV infection usually do not take HIV testing frequently and may respond to campaigns that promote such tests. Interestingly, the Japan Foundation for AIDS Prevention conducted several campaigns to promote voluntary HIV-1 testing in 2007. A popular male Japanese singer took part in one such campaign in July 2007, which was a great surprise among the Japanese in general, and this was followed by an increase in the number of voluntary HIV tests performed in 2007 and 2008.² The event may have prompted older MSM at high risk to take voluntary HIV testing, resulting in the transient increase in the rate of early diagnosis for 2007 and 2008. The sharp decline in the rate of early diagnosis observed in 2009 and 2010 in the older MSM group coincided with reductions in the number of voluntary tests,² and could be an omen of future increases in the number of AIDS patients in this population. Early diagnosis followed by early introduction of ART may reduce the spread of HIV-1 among MSM, which could help to prevent an HIV epidemic in this population.^{6,7,22} A strategy based on the promotion of voluntary testing needs to be formulated, similar to the 2007 campaigns that resulted in significant increases in the rate of early diagnosis in older MSM.

Discordant shifts were observed between the rates of AIDS and recent infection. The reasons may be that AIDS usually develops several years after HIV infection and that disease progression varies enormously among infected individuals. Therefore, the variable length of time during which HIV infection was ignored resulted in the development of AIDS, the proportion of which does not always correlate with the rate of recent infection in the same year.¹¹ Furthermore, disease progression has been suggested to have become faster in a significant portion of Japanese patients, probably because the prevailing HIV-1 strains in Japan have

adapted to the Japanese population by acquiring escape mutations from immune pressure restricted by human leukocyte antigens (HLAs) popular among the Japanese.^{23,24} Based on this point of view, early diagnosis is even more important due to the shorter asymptomatic period before the development of AIDS.

The majority of our study participants were infected with HIV-1 subtype B, and HIV-1 subtype B infection correlated significantly with MSM (crude odds ratio 37.9, $p < 0.001$; Chi-square test). The non-AIDS patients were more likely to be infected with subtype B than AIDS patients (crude odds ratio 1.59, $p = 0.098$). The same was true for recent infection than chronic infection (crude odds ratio 2.81, $p = 0.009$). A previous Japan-wide survey also showed a close relationship between subtype B and MSM in Japan; all cases diagnosed with primary HIV-1 infection ($n = 45$) were caused by subtype B, and such primary infections were significantly frequent among MSM.¹⁴ Considered together, the results indicate that subtype B is the major currently prevalent strain in Japan, especially among MSM, and such strains are probably adapting to the Japanese population by repeated exposure to immune pressure of the Japanese.

This study used case reporting-based surveillance to estimate the number of new HIV-1 infections in Tokyoites between 2002 and 2010. The data were collected at a single center and thus may have included some institutional bias. The study participants were statistically younger and were more likely to be MSM than those of the Tokyo registry. The BED assay was used in this study to determine the rate of recent infection in the selection study group and not to determine the national incidence rate. However, the data from this study suggest the following target-specific differential strategies for controlling the HIV epidemic and for AIDS prevention in Tokyo: campaigns aimed at promoting testing should be directed at older MSM for early diagnosis to prevent/halt the progression of AIDS; commencement of ART for HIV-infected younger MSM at early stages of the disease may effectively reduce the number of new cases based on the control of current hot-spots of HIV transmission among this group.

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Conflict of interest: The authors declare no conflict of interest.

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.ijid.2011.11.003.

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Renal Function Declines More in Tenofovir- than Abacavir-Based Antiretroviral Therapy in Low-Body Weight Treatment-Naïve Patients with HIV Infection

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Abstract

Objective: To compare the rate of decline of renal function in tenofovir- and abacavir-based antiretroviral therapy (ART) in low-body weight treatment-naïve patients with HIV infection.

Design: We conducted a single-center retrospective cohort study of 503 Japanese patients who commenced on either tenofovir- or abacavir-based initial ART.

Methods: The incidence of renal dysfunction, defined as more than 25% fall in estimated glomerular filtration rate (eGFR) from the baseline, was determined in each group. The effect of tenofovir on renal dysfunction was estimated by univariate and multivariate Cox hazards models as the primary exposure. Changes in eGFR until 96 weeks were estimated in both groups with a repeated measures mixed model.

Results: The median body weight of the cohort was 64 kg. The estimated incidence of renal dysfunction in the tenofovir and the abacavir arm was 9.84 per 100 and 4.55 per 100 person-years, respectively. Tenofovir was significantly associated with renal dysfunction by univariate and multivariate analysis (HR = 1.747; 95% CI, 1.152–2.648; $p = 0.009$) (adjusted HR = 2.080; 95% CI, 1.339–3.232; $p < 0.001$). In subgroup analysis of the patients stratified by intertertile baseline body weight, the effect of tenofovir on renal dysfunction was more evident in patients with lower baseline body weight by multivariate analysis (≤ 60 kg: adjusted HR = 2.771; 95%CI, 1.494–5.139; $p = 0.001$) (61–68 kg: adjusted HR = 1.908; 95%CI, 0.764–4.768; $p = 0.167$) (> 68 kg: adjusted HR = 0.997; 95%CI, 0.318–3.121; $p = 0.995$). The fall in eGFR was significantly greater in the tenofovir arm than the abacavir arm after starting ART ($p = 0.003$).

Conclusion: The incidence of renal dysfunction in low body weight patients treated with tenofovir was twice as high as those treated with abacavir. Close monitoring of renal function is recommended for patients with small body weight especially those with baseline body weight < 60 kg treated with tenofovir.

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Introduction

Tenofovir disoproxil fumarate (TDF) and abacavir sulfate (ABC) are widely used nucleot(s)ide reverse transcriptase inhibitors (NRTIs) as part of the initial antiretroviral therapy for patients with HIV infection in the developed countries (URL: <http://www.aidsinfo.nih.gov/ContentFiles/AdultandAdolescentGL.pdf>) (URL: http://www.europeanaidsclinicalociety.org/images/stories/EACS-Pdf/1_treatment_of_hiv_infected_adults.pdf). TDF is generally preferred to ABC, since ABC is reported to cause serious hypersensitivity

reaction in 5–8% of the patients and its efficacy in viral suppression is reported to be inferior to TDF among patients with baseline HIV viral load of $> 100,000$ copies/ml [1,2]. On the other hand, renal proximal tubular damage and renal dysfunction are well-known adverse effects of TDF [3–9]. A meta-analysis study that compared TDF and other NRTIs concluded that the decline in renal function with TDF use is significant but modest, and the ASSERT study conducted in Europe compared randomly-selected treatment naïve patients who commenced treatment with either TDF or ABC with efavirenz and showed no difference in estimated glomerular filtration

rate (eGFR) between the two groups at 48 weeks [9,10]. To date, the nephrotoxicity of TDF have been regarded as mild and tolerable [2,5–7,9–11].

However, the TDF-related nephrotoxicity has hardly been evaluated in patients with small body weight, who are potentially at higher risk for larger drug exposure and thus, more severe toxicity [12–15]. Indeed, some recent studies including ours reported a higher incidence of TDF-related renal dysfunction among Asian patients with low body weight compared with previous studies on mostly Whites and African Americans with larger body weight [13,16]. Thus, it is important to provide more evidence in support of TDF-associated nephrotoxicity in patients with low body weight since such data can elucidate whether TDF-related nephrotoxicity is as mild in low-body-weighted patients as previously reported in Europe and the USA. This is also important because there is increasing use of TDF in resource-limited settings, where patients are often of relatively small body weight, following the revised 2010 WHO guidelines that recommend TDF as one of the components of first line therapies (URL:http://whqlibdoc.who.int/publications/2010/9789241599764_eng.pdf) [13,16–19]. To our knowledge, there are no studies that compared renal function in treatment naïve Asian patients who commenced treatment with TDF or ABC.

Based on the above background, the present study was designed to compare the incidence of renal dysfunction and change in eGFR between treatment-naïve Japanese patients with low body weight who started either TDF or ABC as part of the antiretroviral regimen.

Methods

Ethics Statement

This study was approved by the Human Research Ethics Committee of National Center for Global Health and Medicine, Tokyo. All patients included in this study provided a written informed consent for their clinical and laboratory data to be used and published for research purposes. This study has been conducted according to the principles expressed in the Declaration of Helsinki.

Study Subjects

We performed a retrospective, single-center cohort study of HIV-infected Japanese patients using the medical records at the National Center for Global Health and Medicine, Tokyo, Japan. Our facility is one of the largest clinics for patients with HIV infection in Japan with more than 2,700 registered patients. The study population was treatment-naïve patients with HIV infection, aged >17 years, who commenced treatment with either the recommended 300 mg/day dose of TDF or 600 mg/day dose of ABC-containing antiretroviral regimen at our clinic between January 1, 2004 and March 31, 2009. During this inclusion period, all except two patients at our clinic started ART with either ABC or TDF. Patients with an eGFR of >60 ml/min/1.73 m² were enrolled. Patients were followed up until March 31, 2011. They were excluded if they started ART with both TDF and ABC, their follow-up period at our facility was less than 24 weeks after commencement of ART, or if they had started ART at other facilities. Only Japanese patients were included in order to examine a population with comparatively homogenous basic demographics and background. The attending physician selected either TDF or ABC at baseline, and the use of these two drugs was based on the Japanese guidelines, which place both ABC and TDF as the preferred NRTIs (<http://www.haart-support.jp/guideline2011.pdf>, in Japanese). The attending physician also selected

the key drug [non-nucleoside reverse transcriptase inhibitor (NNRTI), protease inhibitor (PI), or integrase inhibitor (INI)]. All patients received standard ART with two NRTIs combined with either PI, NNRTI, or INI.

Measurements

We defined renal dysfunction as more than 25% decrease in eGFR relative to the baseline [13,16,20,21]. The baseline eGFR was estimated for each patient from the average of two successive serum creatinine measurements made closest to and preceding the commencement of antiretroviral therapy by no more than 90 days. Changes in eGFR were plotted from the baseline measurement until the average value of two successive measurements diminished to less than 75% of the baseline, discontinuation of TDF or ABC, or at the end of the follow-up period. Discontinuation of TDF and ABC was the choice of the attending physician, and was based on virologic failure or ART-related side effects other than renal dysfunction. Before the initiation of ART and until suppression of HIV-1 viral load, patients visited our clinic every month. However, after viral load suppression, the visit interval was extended up to every three months. Serum creatinine and eGFR were measured in every visit, and the frequency of measurements was similar in patients on TDF and ABC. eGFR was calculated using the equation from the 4-variable Modification of Diet in Renal Disease (MDRD) study, $eGFR = 186 \times [\text{serum creatinine}]^{-1.154} \times [\text{age}]^{-0.203} \times [0.742 \text{ if patient is female}] \times [1.212 \text{ if patient is African American}]$ [22]. In this study, the primary exposure variable was TDF use over ABC as part of the initial ART.

The potential risk factors for renal dysfunction were determined according to previous studies and collected together with the basic demographics from the medical records [15,23–25]. They included age, sex, body weight, body mass index, (BMI) = {body weight (kg) / [height (m)]²}, baseline laboratory data (CD4 cell count, HIV viral load, and serum creatinine), and presence or absence of other medical conditions (concurrent use of ritonavir-boosted protease inhibitors, concurrent nephrotoxic drugs such as ganciclovir, sulfamethoxazole/trimethoprim, and non-steroidal anti-inflammatory agents, diabetes mellitus defined by using anti-diabetic agents or fasting plasma glucose >126 mg/dl or plasma glucose >200 mg/dl on two different days, co-infection with hepatitis B defined by positive hepatitis B surface antigen, co-infection with hepatitis C defined by positive HCV viral load, hypertension defined by current treatment with antihypertensive agents or two successive measurements of systolic blood pressure >140 mmHg or diastolic blood pressure >90 mmHg at the clinic, dyslipidemia defined by current treatment with lipid-lowering agents, and current smoking). At our clinic, weight and blood pressure were measured on every visit whereas other variables were measured in the first visit and at least once annually. We used the data on or closest to and preceding the day of starting ART by no more than 90 days.

Statistical analysis

The time to 25% decline in eGFR from the baseline was calculated from the date of commencement of treatment to the date of diagnosis of the above-defined renal dysfunction. Censored cases represented those who discontinued ABC or TDF, dropped out, were referred to other facilities, or at the end of follow-up period. The time from the start of ART to >25% decrease in eGFR was analyzed by the Kaplan Meier method for patients who started TDF (TDF arm) and ABC (ABC arm), and the log-rank test was used to determine the statistical significance. The Cox proportional hazards regression analysis was used to estimate the

impact of TDF use over ABC on the incidence of more than 25% decrease in eGFR relative to the baseline. The impact of each basic demographics, baseline laboratory data, and other medical conditions listed above was also estimated with univariate Cox proportional hazards regression.

To estimate the unbiased prognostic impact of TDF use over ABC for renal dysfunction, we conducted three models using multivariate Cox proportional hazards regression analysis. Model 1 was the aforementioned univariate analysis for TDF use over ABC. Model 2 included age and weight plus model 1 in order to adjust for basic characteristics. In model 3, we added variables with P values <0.05 in univariate analysis for adjustment (these included age per 1 year, weight per 1 kg decrement, CD4 count per 1 μl decrement, HIV viral load per \log_{10}/ml , serum creatinine per 1 mg/dl , concurrent use of nephrotoxic drug(s), hepatitis B infection, and diabetes mellitus). The eGFR and the BMI were excluded from multivariate analysis because of their multicollinearity with age and serum creatinine, and weight, respectively, since eGFR and BMI are gained by the equation of those variables [22,26]. We chose to add weight instead of BMI because our previous work showed that weight was more useful and handy information to estimate the risk for TDF-related nephrotoxicity than BMI [16].

As a sensitivity analysis, creatinine clearance was similarly calculated with Cockcroft-Gault equation for each patient, creatinine clearance = $[(140 - \text{age}) \times \text{weight (kg)}] / (\text{serum creatinine} \times 72) (\times 0.85 \text{ for females})$ [27]. Actual body weight was used for the calculation. The impact of TDF use over ABC for $>25\%$ decrement of creatinine clearance from the baseline was estimated in univariate analysis and multivariate analysis adjusted with the before mentioned variables with Cox proportional hazards model.

To estimate the impact of weight on TDF-related nephrotoxicity, we did subgroup analysis for intertertile baseline body weight categories: ≤ 60 , 61–68, and >68 kg. Then, the abovementioned multivariate analysis with eGFR was conducted for each subgroup.

We also used a repeated measures mixed model to estimate and compare changes in eGFR between ABC and TDF from baseline to 2 years after initiation of ART by 6-month intervals adjusted for baseline eGFR and weight [10]. For each patient, the eGFR values at closest to and preceding 24, 48, 72 and 96 weeks after commencement of ART were collected. In this analysis, censoring occurred at discontinuation of TDF or ABC, leaving care, or reaching the end of the observation period before 96 weeks. Sensitivity analysis with creatinine clearance calculated by Cockcroft-Gault equation was similarly conducted.

Statistical significance was defined at two-sided p values <0.05 . We used hazard ratios (HRs) and 95% confidence intervals (95% CIs) to estimate the impact of each variable on renal dysfunction. All statistical analyses were performed with The Statistical Package for Social Sciences ver. 17.0 (SPSS, Chicago, IL).

Results

The study subjects were 199 patients in the TDF arm and 304 patients in the ABC arm who fulfilled the abovementioned criteria. Table 1 shows the demographics, laboratory data, and medical conditions of the study population at baseline. The majority of the study population was males, comparatively young and had a small stature (median weight, 64 kg, median BMI, 22.2 kg/m^2). More than 80% of the patients in the two arms had ritonavir-boosted PI. In the ABC arm, patients had significantly lower CD4 count ($p=0.006$), were significantly more likely to have hypertension

($p<0.001$), and tended to use more nephrotoxic drugs ($p=0.109$). On the other hand, in the TDF arm, patients had marginally higher baseline eGFR ($p=0.098$) and were significantly more likely to have hepatitis B virus infection ($P<0.001$). However, all other major background parameters were similar in the two groups (Table 1).

More than 25% decrement in eGFR from baseline occurred in 44 patients (22.1%) in the TDF arm and 41 (13.5%) in the ABC arm, with an estimated incidence of 9.84 and 4.55 per 100 person-years, respectively. Figure 1 shows the time from ART initiation to $>25\%$ decrease in eGFR by the Kaplan Meier method in the two groups. Patients who started TDF-containing ART were significantly more likely to develop renal dysfunction, compared to the ABC group ($p=0.001$, Log-rank test). The median time from commencement of ART to occurrence of $>25\%$ decrement in eGFR was 246 days (range, 1–1,339 days) for the TDF arm and 501 days (range, 7–2,022) for ABC arm. The total observation period was 447.2 patient-years [median, 339 days, interquartile range (IQR), 357–1137 days] for the TDF arm and 901.7 patient-years (median, 1,119 days, IQR, 660.5–1509 days) for the ABC arm.

Univariate analysis showed a significant relationship between TDF use and $>25\%$ decrement in eGFR (HR = 1.747; 95% CI, 1.152–2.648; $p=0.009$) (Table 2). Furthermore, old age, small body weight, low baseline CD4 count, high HIV viral load, high eGFR, low serum creatinine, concurrent use of nephrotoxic drugs, hepatitis B infection, and diabetes mellitus were associated with renal dysfunction. On the other hand, concurrent use of ritonavir boosted PIs was not associated with renal dysfunction (HR = 1.220; 95% CI, 0.663–2.244; $p=0.523$). Multivariate analysis identified TDF use as a significant risk for $>25\%$ decrement in eGFR after adjustment for age and weight (adjusted HR = 1.893; 95% CI, 1.243–2.881; $p<0.003$) (Table 3, Model 2), and also after adjustment for other risk factors (adjusted HR = 2.080; 95% CI, 1.339–3.232; $p<0.001$) (Table 3, Model 3). We also conducted a sensitivity analysis using BMI decrement instead of weight as a variable in Table 3, Model 3. The results were almost identical; TDF use over ABC use was a risk for renal dysfunction (adjusted HR 1.957, 95% CI 1.262–3.036, $p=0.003$).

Sensitivity analysis with creatinine clearance confirmed the abovementioned findings: both univariate and multivariate analyses showed that TDF use was significantly associated with $>25\%$ decrement in eGFR (univariate analysis: HR = 2.212; 95% CI, 1.340–3.653; $p=0.002$) (multivariate analysis: adjusted HR = 2.544; 95% CI, 1.493–4.335; $p=0.001$).

Subgroup analysis of the patients stratified by intertertile baseline body weight showed that the lower the baseline body weight, the more evident the impact of TDF on renal dysfunction (≤ 60 kg: adjusted HR = 2.771; 95% CI, 1.494–5.139; $p=0.001$) (61–68 kg: adjusted HR = 1.908; 95% CI, 0.764–4.768; $p=0.167$) (>68 kg: adjusted HR = 0.997; 95% CI, 0.318–3.121; $p=0.995$) (Table 4). These findings suggest that there is the effect modification by baseline body weight on TDF-associated renal dysfunction.

Data analysis by repeated measures mixed models showed a significant decrease in adjusted mean eGFR from the baseline to 96 weeks in both groups (TDF: $-9.984 \text{ ml}/\text{min}/1.73\text{m}^2$, 95% CI -12.05 to $-7.914 \text{ ml}/\text{min}/1.73\text{m}^2$, $p<0.001$; ABC: $-5.393 \text{ ml}/\text{min}/1.73\text{m}^2$, 95% CI -7.087 to $-3.699 \text{ ml}/\text{min}/1.73\text{m}^2$, $p<0.001$) (Figure 2). There was a statistically significant interaction between the two arms over time ($p=0.003$), indicating that adjusted mean eGFR decreased more significantly in the TDF group than in the ABC group after initiation of ART. Analysis of eGFR in each group demonstrated a rapid decrease during the first 24 weeks,

Table 1. Baseline demographics and laboratory data of patients who received tenofovir- and abacavir-based antiretroviral therapy (n = 503).

	TDF (n = 199)	ABC (n = 304)	P value
Sex (male), n (%)	196 (98.5)	296 (97.4)	0.539
Median (IQR) age	36 (31–44)	37 (31–43)	0.436
Median (IQR) weight (kg)	64 (58–69)	64 (58.0–70.9)	0.426
Median (IQR) BMI (kg/m ²)	22.1 (20.4–23.9)	22.2 (20.3–24.6)	0.321
Median (IQR) eGFR (ml/min/1.73m ²)	119.4 (103.0–135.0)	115.6 (102.4–132.2)	0.098
Median (IQR) serum creatinine (mg/dl)	0.74 (0.67–0.84)	0.75 (0.68–0.83)	0.250
Median (IQR) CD4 count (/μl)	199 (109–272)	178.5 (75.3–234.8)	0.006
Median (IQR) HIV RNA viral load (log10/ml)	4.63 (4.20–5.20)	4.74 (4.23–5.20)	0.731
Ritonavir-boosted protease inhibitors, n (%)	173 (86.9)	256 (84.2)	0.441
Protease inhibitors (unboosted), n (%)	5 (2.5)	20 (6.6)	0.038
NNRTIs, n (%)	16 (8.0)	26 (8.6)	0.848
INIs, n (%)	5 (2.5)	2 (0.7)	0.119
Hypertension, n (%)	5 (2.5)	53 (17.4)	<0.001
Dyslipidemia, n (%)	4 (2.0)	4 (1.3)	0.718
Diabetes mellitus, n (%)	8 (4.0)	12 (3.9)	1.000
Concurrent use of nephrotoxic drugs, n (%)	65 (32.7)	121 (39.8)	0.109
Hepatitis B, n (%)	35 (17.6)	9 (3.0)	<0.001
Hepatitis C, n (%)	7 (3.5)	7 (2.3)	0.421
Current smoker, n (%)	93 (46.7)	149 (49.3)	0.585

TDF: tenofovir, ABC: abacavir, IQR: interquartile range, BMI: body mass index, eGFR: estimated glomerular filtration rate, NNRTI: non- nucleoside reverse transcriptase inhibitor, INI: integrase inhibitor.
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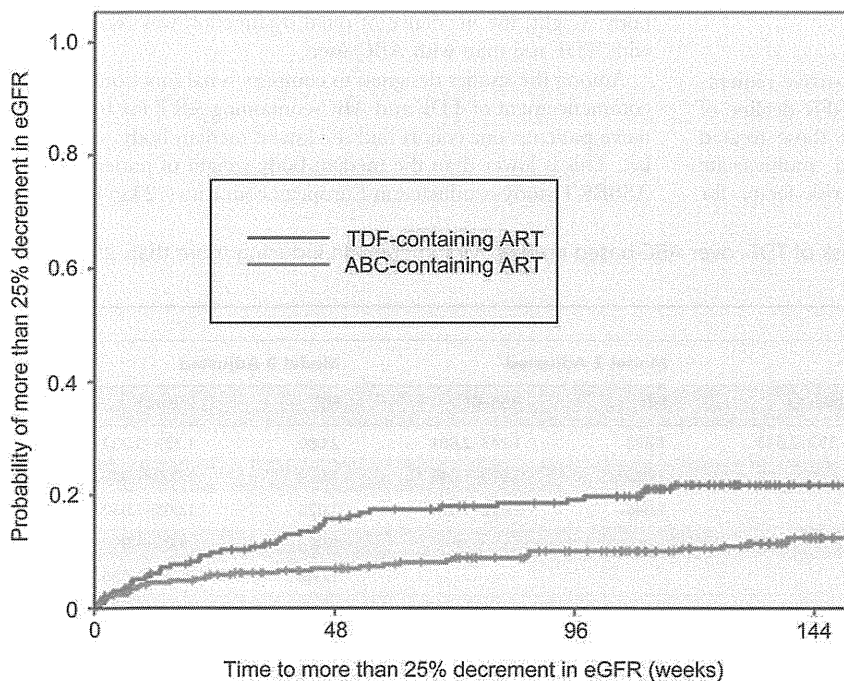


Figure 1. Kaplan-Meier curve showing the time to renal dysfunction in patients treated with TDF or ABC. Compared to treatment-naïve patients who commenced treatment with ABC, those on TDF were more likely to develop >25% fall in eGFR (p = 0.001, Log-rank test). TDF: tenofovir, ABC: abacavir, ART: antiretroviral therapy, eGFR: estimated glomerular filtration rate.
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Table 2. Univariate analysis to estimate the risk of various factors in inducing more than 25% fall in eGFR.

	Hazard ratio	95% CI	P value
TDF vs. ABC use	1.747	1.152–2.648	0.009
Female gender	0.048	0.000–16.93	0.310
Age per 1 year	1.031	1.011–1.051	0.002
Weight per 1 kg decrement	1.047	1.023–1.072	<0.001
BMI per 1 kg/m ² decrement	1.152	1.066–1.244	<0.001
CD4 count per 1 /μl decrement	1.006	1.004–1.008	<0.001
HIV viral load per log ₁₀ /ml	1.562	1.179–2.071	0.002
Ritonavir-boosted protease inhibitors	1.220	0.663–2.244	0.523
Baseline eGFR per 1 ml/min/1.73m ²	1.009	1.005–1.014	<0.001
Baseline serum creatinine per 1mg/dl	0.016	0.003–0.086	<0.001
Concurrent nephrotoxic drug	2.134	1.417–3.214	<0.001
Hepatitis B	1.866	1.038–3.356	0.037
Hepatitis C	1.721	0.631–4.695	0.289
Diabetes mellitus	2.558	1.181–5.540	0.017
Hypertension	0.865	0.448–1.669	0.664
Current smoking	0.989	0.657–1.489	0.958

eGFR: estimated glomerular filtration rate, CI: confidence interval, TDF: tenofovir, ABC: abacavir, BMI: body mass index.
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followed by a plateau until 96 weeks. In sensitivity analysis with creatinine clearance calculated by Cockcroft-Gault equation, the result was the same; a significant decrease from the baseline to 96 weeks in both groups (TDF: -10.62 ml/min, 95%CI -13.78 to -7.458 ml/min; ABC: -4.325 ml/min, 95%CI -6.893 to -1.756 ml/min) and significantly more eGFR decrement in the TDF group ($p = 0.019$).

Discussion

In this observational Japanese cohort, treatment-naïve patients who started TDF-containing ART experienced eGFR decline of >25% approximately twice as likely compared to those treated with ABC-containing regimen. Univariate and multivariate analyses identified TDF use as an independent risk factor for

Table 4. Multivariate analysis to estimate the risk of TDF-over ABC-based antiretroviral therapy in the induction of more than 25% fall in eGFR according to baseline body weight.

	Adjusted HR	95% CI	P value
Baseline body weight ≤60 kg (n = 171)			
TDF vs. ABC use	2.771	1.494–5.139	0.001
Baseline body weight 61–68 kg (n = 167)			
TDF vs. ABC use	1.908	0.764–4.768	0.168
Baseline body weight >68 kg (n = 165)			
TDF vs. ABC use	0.997	0.318–3.121	0.995

TDF use was adjusted with the same variables indicated in Model 3, Table 3: age per 1 year, weight per 1 kg decrement, CD4 count per 1 /μl decrement, HIV viral load per log₁₀/ml, serum creatinine per 1 mg/dl, concurrent use of nephrotoxic drugs, hepatitis B infection, and diabetes mellitus.
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renal dysfunction. Subgroup analysis showed that the effect of TDF on renal dysfunction was more evident in patients with lower body weight. Furthermore, eGFR decrement was significantly larger in the TDF group than in ABC group over the 2-year observation period.

In our previous study, we demonstrated a high incidence of TDF-associated nephrotoxicity in patients with low body weight, and the use of a robust statistical model indicated a greater decline in renal function in patients of low body weight treated with TDF [16]. The results of the present study further emphasize the importance of low body weight as a risk factor for TDF-related nephrotoxicity by showing that in a cohort of patients with low body weight, the incidence of renal dysfunction was twice higher with TDF use than with ABC use.

Among the studies designed to compare renal function after the commencement of TDF and ABC-containing ART for treatment-naïve patients, our cohort had the lowest median body weight (64 kg). This is lower than the median body weight of patients of the ASSERT study conducted in European countries (72 kg) [10]. The

Table 3. Multivariate analysis to estimate the risk of TDF- over ABC-based antiretroviral therapy in inducing more than 25% fall in eGFR.

	Model 1 Crude		Model 2 Adjusted		Model 3 Adjusted	
	HR	95% CI	HR	95%CI	HR	95%CI
TDF vs. ABC use [†]	1.747	1.152–2.648	1.893	1.243–2.881	2.080	1.339–3.232
Age per 1 year			1.029	1.010–1.048	1.020	1.000–1.040
Weight per 1 kg decrement [†]			1.046	1.022–1.071	1.028	1.005–1.052
CD4 count per 1 /μl decrement [†]					1.004	1.002–1.007
HIV viral load per log ₁₀ /ml					1.048	0.749–1.466
Serum creatinine per 1 mg/dl [†]					0.053	0.009–0.304
Use of nephrotoxic drug					1.309	0.825–2.077
Hepatitis B					1.070	0.573–2.000
Diabetes mellitus					1.565	0.684–3.582

[†]P<0.05 in Model 3.

TDF: tenofovir, ABC: abacavir, eGFR: estimated glomerular filtration rate, HR: hazard ratio, CI: confidence interval.
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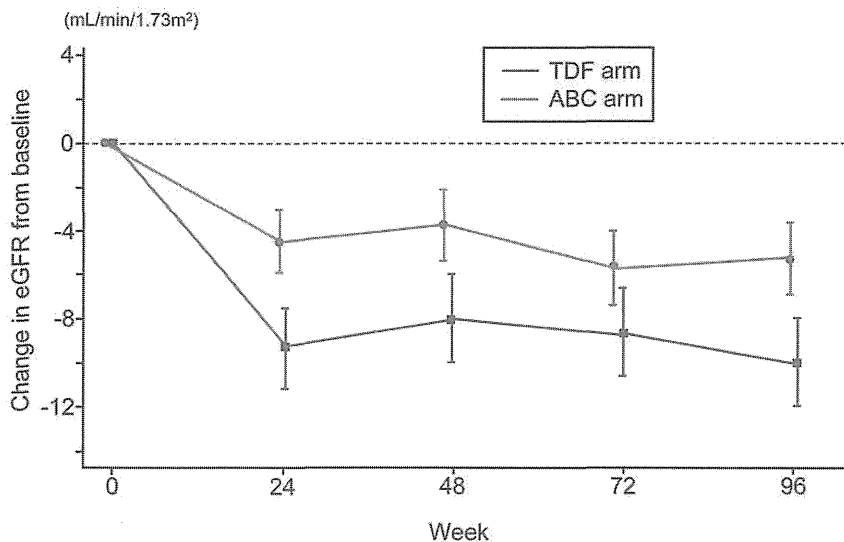


Figure 2. Changes in eGFR in patients treated with TDF or ABC between baseline and 96 weeks. The fall in eGFR was significantly greater in the TDF group than the ABC group ($p=0.003$). Data are adjusted mean \pm 95% confidence interval. eGFR: estimated glomerular filtration rate, TDF: tenofovir, ABC: abacavir.

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results of the present study on TDF-related nephrotoxicity differ from the findings of randomized clinical trials that demonstrated no major change in renal function of TDF- and ABC-treated patients over 48–96 week follow-up [2,10,11]. The discrepant results might arise from differences between observational cohort and clinical trials, since observational studies tend to express the results in “real world setting” whereas clinical trials include patients who fulfill more strict criteria, therefore with better profile [9]. The discrepant results could be also due to the use of different definitions for renal dysfunction in these studies. However, the discrepant results could also reflect the difference in median body weight between the present study and these clinical trials. The results of our subgroup analysis support this hypothesis by showing that the effect of TDF on renal dysfunction was more evident in patients with low body weight. Apart from being low-body-weighted, the patients in this study did not appear to have many of other established risks for TDF-related nephrotoxicity; they were comparatively young, had relatively stable CD4 count, and had only a few co-morbidities (Table 1). Although the majority concurrently used ritonavir-boosted PIs, which are a probable risk for TDF-related nephrotoxicity, ritonavir-boosted PIs were not significantly associated with renal dysfunction in our cohort (Table 2) [24].

Changes in eGFR in those patients treated with TDF-containing ART were characterized by a rapid decline during the first 24 weeks of therapy, followed by a plateau until 96 weeks (Fig. 2). This finding is consistent with that reported from the Johns Hopkins group [9,28]. Together with the finding that the median time from commencement of ART to the $>25\%$ decline in eGFR in the TDF-treated patients was 246 days, these results suggest that careful monitoring of renal function is particularly warranted in the first year of TDF-based therapy. Thus, we suggest that renal function should be monitored by measurement of serum creatinine at least once annually in resource-limited settings and twice annually in resource-rich settings in patients starting TDF-containing ART, especially those with baseline body weight <60 kg.

The Department of Health and Human Services guideline for the treatment of HIV infection in the U.S. lists ABC as an

alternative NRTI because it can potentially cause serious hypersensitivity reaction and cardiovascular diseases (URL:<http://www.aidsinfo.nih.gov/ContentFiles/AdultandAdolescentGL.pdf>). However, some international guidelines consider both TDF and ABC as the preferred NRTIs under the condition that ABC should be used with caution in patients with viral load $>100,000$ copies/mL, based on the low incidence of ABC-related hypersensitivity among HLA-B*5701-negative population and the controversial association between ABC and cardiovascular diseases [1,29–32] (URL:http://www.europeanclinicalaidsociety.org/images/stories/EACS-Pdf/1_treatment_of_hiv_infected_adults.pdf) (<http://www.haart-support.jp/guideline2011.pdf>, in Japanese). The present study, together with our previous analysis that demonstrated preferential TDF-related nephrotoxicity in patients with low body weight, emphasize the advantage of ABC over TDF with regard to prognosis of renal function in low body weight patients [16].

TDF is the prodrug of acyclic nucleotide analog tenofovir, which is excreted by both glomerular filtration and active tubular secretion. Tenofovir is considered to cause mitochondrial damage in proximal renal tubular cells [33]. The concentration of tenofovir in the proximal renal tubules could be augmented with the complex interactions of pharmacological, environmental, and genetic factors, including small body weight, consequently resulting in renal tubular dysfunction [34]. Body weight has been identified as an important factor in TDF-related nephrotoxicity not only in clinical trials, but also in *in vitro* and pharmacokinetic studies [35–37].

The present study has several limitations. First, because of its retrospective nature, it was not possible to control the baseline characteristics of the enrolled patients. Thus, it is possible that patients with potential risk for TDF-related nephrotoxicity were not prescribed TDF. A proportion of patients treated with ABC had low CD4 count and others were hypertensive, both conditions are known risk factors for renal dysfunction [23,25]. However, for these reasons, the incidence of TDF-associated renal dysfunction might have been underestimated. Second, the definition of TDF-related nephrotoxicity, especially the criteria used to evaluate proximal renal tubular damage, is not uniformly established in the field and is different in the published studies. Accordingly, we

decided to adopt changes in eGFR, instead of parameters for proximal renal tubular damage. Using the eGFR as a marker for TDF-associated renal dysfunction, our results might have underestimated the incidence of TDF-related renal tubular dysfunction. However, the result of this study could be informative to resource-limited settings, where it is difficult to evaluate renal tubular markers. The rationale and limitation of adopting more than 25% decrement in eGFR as the criterion for renal dysfunction were discussed in detail in our previous study [16]. Third, our cohort was characterized by the high prevalence of ritonavir-boosted PI use, which is considered by some groups a risk for TDF-related nephrotoxicity [24]. While it is difficult to completely exclude the impact of concurrent ritonavir-boosted PI in this study, it should be noted that the use of ritonavir-boosted PIs did not correlate with renal dysfunction in univariate analysis in this cohort (Table 2). Fourth, the study subjects were mainly men (mostly men who have sex with men and very few injection drug users). Further studies are needed to determine whether the findings of this study are also applicable to females, patients with different route of transmissions, and patients of different racial background.

In conclusion, the present study demonstrated a high incidence of renal dysfunction with TDF use, compared to ABC, among treatment-naïve patients with low body weight. TDF use was identified as an independent risk for renal dysfunction in a

statistical model that included TDF as a primary exposure. At 96 weeks, patients with TDF showed greater eGFR decrement than patients treated with ABC. TDF is certainly a drug of choice in the treatment of HIV infection, but the importance of close monitoring of renal function in patients with small body weight, especially those with baseline body weight <60 kg should be emphasized for early detection of TDF-related nephrotoxicity. Further studies are warranted to elucidate the long-term prognosis of renal function with TDF use in patients with low body weight.

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Author Contributions

Conceived and designed the experiments: TN HK HG TS EK JT SO. Performed the experiments: TN HK TS TA KW EK MH. Analyzed the data: TN HK HG TS HH HY K. Tsukada MH K. Teruya YK. Contributed reagents/materials/analysis tools: TA KW HH JT HY K. Tsukada MH K. Teruya YK. Wrote the paper: TN HK HG TS EK SO.

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The relationship between HIV testing and CD4 counts at HIV diagnosis among newly diagnosed HIV-1 patients in Japan

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Summary: The aim of this study was to investigate the factors relating to CD4 level at HIV diagnosis and HIV testing behaviour. Participants were newly diagnosed patients ($n = 654$) in Japan from 2000 to 2005. Around 75% of participants were diagnosed at hospital and clinics. Mean CD4 counts at diagnosis through voluntary HIV testing, screening tests and testing due to concomitant sexually transmitted infection (STI) were 368, 336 and 316 cells/ μL , respectively. In contrast, the mean CD4 count where testing was due to the presence of HIV-related clinical symptoms was 151 cells/ μL ($P < 0.0001$). Compared with those diagnosed at their first HIV test, those who had undertaken multiple HIV tests prior to diagnosis showed CD4 counts that increased significantly ($P < 0.0001$) in relation to the number of tests undertaken: CD4 count at first test was 232 cells/ μL , second test 346 cells/ μL and third or additional tests 439 cells/ μL . According to our results, HIV testing policy that promotes HIV testing in medical settings and among STI patients is needed to facilitate earlier HIV diagnosis in Japan.

Keywords: HIV, testing, early diagnosis, Japan, CD4 count

INTRODUCTION

Combination antiretroviral therapy has dramatically reduced the morbidity and mortality of HIV-1 infection and has led to the extension of long-term survival rates for people with HIV and AIDS.^{1–3} Late diagnosis is currently the major factor that increases the risk of morbidity and mortality related to HIV infection. Short-term mortality (death within a year of diagnosis) has been reported to be 6.1% for patients diagnosed late (CD4 counts < 200 cells/ μL) and 9.7% for patients who start therapy with CD4 counts < 50 cells/ μL .^{4,5} An increase in the proportion of people with HIV who are aware of their serostatus can also contribute to the prevention of HIV infection transmission;⁶ thus earlier diagnosis has benefits for the patient as well as for public health promotion.

Incidence and factors relating to the late diagnosis of HIV-1 infection have been investigated in a number of countries,^{7–11} and factors identified as contributing to late diagnosis include being male, heterosexual, older in age and from minority racial/ethnic groups. Greater access to HIV testing for those infected is necessary in order to reduce late diagnosis.¹² In 2001, the Centers for Disease Control and Prevention (CDC) in the USA proposed routine testing for high-risk groups, such as patients with sexually transmitted infections (STIs) or tuberculosis, men who have sex with men (MSM), injecting drug users and people with multiple sexual partners.¹³

Furthermore, in 2006, new CDC recommendations have promoted 'opt-out' screening for HIV-1 infection, in which medical professionals offer HIV testing as a part of routine clinical care for all patients aged 13–64 years in all health-care settings. Recommendations also state that health-care providers should facilitate screening for HIV-1 infection at least annually for all people likely to be in a high-risk category.¹⁴ Actually, the number of people who have undergone HIV testing has increased 3.4–6.8 times due to routine testing or opt-out screening.^{15,16} Opt-out screening in antenatal care has led to a reduction in undiagnosed maternal infection, and to higher CD4 counts at diagnosis in pregnant women than in non-pregnant women.¹⁷ Cost and consequence evaluation has found that opt-out screening may lead to increased diagnosis of patients with unknown HIV infection.¹⁸ However, due to insufficient investigation, it is not yet known whether opt-out screening is effective for earlier detection and improved prognosis of HIV-1 infection in general populations.

Japanese Ministry of Health surveillance data report 13,894 cases of HIV infection to the end of 2007, which translates to the cumulative number of HIV/AIDS patients per 100,000 population as 10.9 nationally, and 38.7 in Tokyo. The number of newly infected HIV/AIDS patients has been increasing annually and in 2007 the number of reported new HIV diagnoses was 1500 cases. Among new cases reported in 2007 approximately 30% had developed AIDS at the time of diagnosis. Incidence modelling indicates that the actual number of people with undiagnosed HIV is estimated to be 4.2 times higher than the reported number of cases.¹⁹ Given the large number of patients who had developed AIDS at the time of

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diagnosis and the high level of undiagnosed HIV-1 infection in Japan, it is a priority to identify factors associated with early and late HIV-1 diagnosis. Therefore, the aim of this study was to clarify the factors for early and late diagnosis by investigating when and why HIV patients undertake HIV testing, their CD4 counts at diagnosis, and the number of previous HIV tests undertaken prior to diagnosis.

METHODS

Study population

Patients with newly diagnosed HIV-1 infection who attended the AIDS Clinical Center at the National Center for Global Health and Medicine from April 2000 to March 2005 were included in this study. The AIDS Clinical Center is the largest national HIV treatment and research centre in Japan, treating approximately 40% of HIV patients in Tokyo and the surrounding regions.

The study was approved by the Ethics Committees of the National Center for Global Health and Medicine and the University of Tsukuba. Written consent from respondents was not required because the study was a retrospective observational study. The study protocol was publicized on the University Hospital Medical Information Network-Clinical Trials Registry database and followed the ethical guidelines for epidemiological studies set by the Japanese Ministry of Health, Labor and Welfare.

As the study aimed to analyse the risk behaviours of patients with HIV-1, patients with perinatally and occupationally acquired HIV were excluded. Study participation was limited to Japanese nationals diagnosed with HIV infection in Japan because the HIV testing experiences of non-Japanese people is affected by visa type, health insurance status, and accessibility to HIV information and testing services.

Data collection

Data collection was conducted from a medical record review of patient medical charts. Data were collected on patient demographics (age, gender, sexual orientation), CD4 count at diagnosis, stage of HIV-1 infection, place of HIV testing, reasons for undertaking the HIV test and the number of HIV tests undertaken before diagnosis. Patients with CD4 counts <200 cells/ μ L at diagnosis were defined as 'late testers' in this study. CD4 count at diagnosis was defined as the count closest to, and within six months of, the date of diagnosis. AIDS patients were defined according to the CDC category C disease listing,²⁰ regardless of CD4 count at diagnosis.

Reasons for HIV testing

Reasons for HIV testing were classified into four categories: (1) voluntary, (2) screening for other reasons, (3) concomitant STI-related testing and (4) clinical symptoms of HIV-1 infection. The voluntary group included patients who undertook the test voluntarily at any site (for example, public health centres, HIV testing centres, private clinics and self-conducted home testing). The screening group included patients who undertook tests before surgery, before blood donation, as part of a health check-up, and for antenatal screening. The STI group consisted of patients who were advised to undertake HIV testing by a medical provider following an STI diagnosis. Patients in the

fourth group had clinical signs or symptoms suggesting HIV-1 infection (for example, *Pneumocystis Pneumonia*, oropharyngeal or oesophageal candidiasis, aseptic meningitis, fever of unknown origin and lymphadenopathy) and were offered HIV testing by a medical provider on the basis of these signs or symptoms.

Statistical analyses

The mean CD4 counts at diagnosis were compared according to the reason for testing and number of tests undertaken, using one-way analysis of variance and a Tukey multiple comparison test. The characteristics of patients with CD4 counts <200 cells/ μ L at diagnosis were examined using the chi-square test. Logistic regression analysis was used to assess associations between CD4 counts <200 at diagnosis and patient characteristics. All statistical analyses were performed by using SPSS v.14.0 (SPSS Inc, Chicago, IL, USA). *P* values of <0.05 were considered statistically significant.

RESULTS

Patient characteristics

A total of 830 new patients visited the AIDS Clinical Center for consultation from April 2000 to March 2005. Among these, 110 foreigners, four patients with perinatal HIV transmission, one patient with occupational exposure and 16 patients diagnosed outside Japan were excluded from the study. Additionally, 45 patients were excluded because of insufficient data regarding CD4 count within the six months following HIV-1 diagnosis, sexual orientation, reason for HIV testing and/or number of tests taken previously. As a result, 654 patients were identified as being eligible for the study. Participant characteristics are shown in Table 1. The participant characteristics in this study

Table 1 Characteristics of newly diagnosed HIV-1 patients from April 2000 to March 2005 (*n* = 654)

Characteristics <i>n</i> = 654	<i>n</i>	%
Gender		
Men	603	92.2
Women	51	7.8
Age (years)		
19–29	199	30.4
30–39	245	37.5
40–49	102	15.6
\geq 50	108	16.5
Sexual orientation		
Homosexual/bisexual	538	82.3
Heterosexual	116	17.7
HIV stage		
AIDS	189	28.9
Non-AIDS	391	59.8
Primary infection	74	11.3
CD4 count at HIV-1 diagnosis		
0–49	144	22.0
50–199	132	20.2
200–349	156	23.9
350–499	122	18.6
\geq 500	100	15.3
Place of HIV testing for HIV diagnosis		
Hospital	425	65.0
Clinic	77	11.8
Public health centre/HIV testing site	130	19.9
Other*	22	3.4

*Other included 18 subjects for blood donation, three for mail-order test and one for prison intake