

Divergent Evolution of Norovirus GII/4 by Genome Recombination from May 2006 to February 2009 in Japan^{∇†‡}

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Norovirus GII/4 is a leading cause of acute viral gastroenteritis in humans. We examined here how the GII/4 virus evolves to generate and sustain new epidemics in humans, using 199 near-full-length GII/4 genome sequences and 11 genome segment clones from human stool specimens collected at 19 sites in Japan between May 2006 and February 2009. Phylogenetic studies demonstrated outbreaks of 7 monophyletic GII/4 subtypes, among which a single subtype, termed 2006b, had continually predominated. Phylogenetic-tree, bootscanning-plot, and informative-site analyses revealed that 4 of the 7 GII/4 subtypes were mosaics of recently prevalent GII/4 subtypes and 1 was made up of the GII/4 and GII/12 genotypes. Notably, single putative recombination breakpoints with the highest statistical significance were constantly located around the border of open reading frame 1 (ORF1) and ORF2 ($P \leq 0.000001$), suggesting outgrowth of specific recombinant viruses in the outbreaks. The GII/4 subtypes had many unique amino acids at the time of their outbreaks, especially in the N-term, 3A-like, and capsid proteins. Unique amino acids in the capsids were preferentially positioned on the outer surface loops of the protruding P2 domain and more abundant in the dominant subtypes. These findings suggest that intersubtype genome recombination at the ORF1/2 boundary region is a common mechanism that realizes independent and concurrent changes on the virion surface and in viral replication proteins for the persistence of norovirus GII/4 in human populations.

Norovirus (NoV) is a nonenveloped RNA virus that belongs to the family *Caliciviridae* and can cause acute gastroenteritis in humans. The NoV genome is a single-stranded, positive-sense, polyadenylated RNA that encodes three open reading frames, ORF1, ORF2, and ORF3 (68). ORF1 encodes a long polypeptide (~200 kDa) that is cleaved in the cells by the viral proteinase (3C^{pro}) into six proteins (4). These proteins function in NoV replication in host cells (19). ORF2 encodes a viral capsid protein, VP1. The capsid gene evolved at a rate of 4.3×10^{-3} nucleotide substitutions/site/year (7), which is compara-

ble to the substitution rates of the envelope and capsid genes of human immunodeficiency virus (30). The capsid protein of NoV consists of a shell (S) and two protruding (P) domains: P1 and P2 (47). The S domain is relatively conserved within the same genetic lineages of NoVs (38) and is responsible for the assembly of VP1 (6). The P1 subdomain is also relatively conserved (38) and has a role in enhancing the stability of virus particles (6). The P2 domain is positioned at the most exposed surface of the virus particle (47) and forms binding clefts for putative infection receptors, such as human histo-blood group antigens (HBGA) (8, 13, 14, 60). The P2 domain also contains epitopes for neutralizing antibodies (27, 33) and is consistently highly variable even within the same genetic lineage of NoVs (38). ORF3 encodes a VP2 protein that is suggested to be a

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minor structural component of virus particles (18) and to be responsible for the expression and stabilization of VP1 (5).

Thus far, the NoVs found in nature are classified into five genogroups (GI to GV) and multiple genotypes on the basis of the phylogeny of capsid sequences (71). Among them, genogroup II genotype 4 (GII/4), which was present in humans in the mid-1970s (7), is now the leading cause of NoV-associated acute gastroenteritis in humans (54). The *GII/4* is further subclassifiable into phylogenetically distinct subtypes (32, 38, 53). Notably, the emergence and spread of a new *GII/4* subtype with multiple amino acid substitutions on the capsid surface are often associated with greater magnitudes of NoV epidemics (53, 54). In 2006 and 2007, a *GII/4* subtype, termed 2006b, prevailed globally over preexisting *GII/4* subtypes in association with increased numbers of nonbacterial acute gastroenteritis cases in many countries, including Japan (32, 38, 53). The 2006b subtype has multiple unique amino acid substitutions that occur most preferentially in the protruding subdomain of the capsid, the P2 subdomain (32, 38, 53). Together with information on human population immunity against NoV *GII/4* subtypes (12, 32), it has been postulated that the accumulation of P2 mutations gives rise to antigenic drift and plays a key role in new epidemics of NoV *GII/4* in humans (32, 38, 53).

Genetic recombination is common in RNA viruses (67). In NoV, recombination was first suggested by the phylogenetic analysis of a NoV genome segment clone: a discordant branching order was noted with the trees of the 3D^{pol} and capsid coding regions (21). Subsequently, many studies have reported the phylogenetic discordance using sequences from various epidemic sites in different study periods (1, 10, 11, 16, 17, 22, 25, 40, 41, 44–46, 49, 51, 57, 63, 64, 66). These results suggest that genome recombination frequently occurs among distinct lineages of NoV variants *in vivo*. However, the studies were done primarily with direct sequencing data of the short genome portion, and information on the cloned genome segment or full-length genome sequences is very limited (21, 25). Therefore, we lack an overview of the structural and temporal dynamics of viral genomes during NoV epidemics, and it remains unclear whether NoV mosaicism plays a role in these events.

To clarify these issues, we collected 199 near-full-length genome sequences of *GII/4* from NoV outbreaks over three recent years in Japan, divided them into monophyletic subtypes, analyzed the temporal and geographical distribution of the subtypes, collected phylogenetic evidence for the viral genome mosaicism of the subtypes, identified putative recombination breakpoints in the genomes, and isolated mosaic genome segments from the stool specimens. We also performed computer-assisted sequence and structural analyses with the identified subtypes to address the relationship between the numbers of P2 domain mutations at the times of the outbreaks and the magnitudes of the epidemics. The obtained data suggest that intersubtype genome recombination at the ORF1/2 boundary region is common in the new *GII/4* outbreaks and promotes the effective acquisition of mutation sets of heterogeneous capsid surface and viral replication proteins.

MATERIALS AND METHODS

Stool specimens. The Norovirus Surveillance Group of Japan collected stool specimens from NoV-GII- or *GII/4*-positive individuals with acute gastroenteritis ($n = 247$). Most of the specimens were from NoV outbreaks around the collection sites. The group collected the specimens in spring, summer, autumn, and winter for 3 years: the 2006/2007 season (May 2006 to January 2007), 2007/2008 season (March 2007 to February 2008), and 2008/2009 season (May 2008 to February 2009). The collection sites were located at 20 different regional public health institutes in Japan (five samples from each institute per year). The genogroup of NoVs was evaluated by real-time reverse transcription-PCR (RT-PCR) (23). In some cases, the genotype of NoVs was evaluated by sequencing of the reverse transcription-PCR products of the ORF1 and ORF2 bordering region (29). Near-full-length genome sequences were obtained with 199 of the 247 specimens. Epidemiological information on 37 of the 199 samples from the 2006/2007 season was described previously (38). Information on the rest ($n = 162$) is described in Tables S1 and S2 in the supplemental material. Briefly, the 162 specimens were from outbreaks ($n = 90$), sporadic infection cases ($n = 15$), and undescribed cases ($n = 57$) during December 2006 to February 2009 in Japan. The major sites of the incidences were a nursing care center ($n = 19$), restaurant ($n = 17$), kindergarten ($n = 15$), hotel ($n = 8$), hospital ($n = 7$), sports event ($n = 1$), self-defense force ($n = 1$), family home ($n = 1$), elementary school ($n = 1$), and bank ($n = 1$), and one was undescribed ($n = 91$). The viral RNA copy numbers in the specimens ranged from 5.0×10^4 to 1.9×10^{11} copies/g stool (average, 6.1×10^9 copies/g stool) as judged by the real-time quantitative reverse transcription-PCR assay (23). All stool specimens were stored at -80°C until use.

Viral genome sequencing. NoV *GII/4* genome sequencing was done as described previously (38). Briefly, two overlapping fragments (approximately 5.2 and 2.5 kb) were amplified by RT-PCR from stool specimens. The PCR products were purified and used as a template for sequencing in a 96-well scale using an ABI 3730 xl DNA analyzer (Applied Biosystems, Foster City, CA). The sequences of 5.2-kb and 2.5-kb segments from the same individual were used to reconstruct near-full-length genome sequences (about 7.5 kb) by alignment at an overlapping region using the Staden Package (<http://staden.sourceforge.net>). The 5.2-kb fragment covers the complete ORF1 and the 5' end of ORF2. The 2.5-kb fragment covers the 3' end of ORF1, complete ORF2 and ORF3, and 3'-end noncoding region of the genome. The primers used for reverse transcription and nested PCR for the 5.2-kb fragment were *GII4-1F/GII4r5412* (outer primer pair) and *GII4-2F/GII4r5295* (inner primer pair) (38). Those for the 2.5-kb fragment were *COG-2F/Tx30SXN* (outer primer pair) and *G2SKF/Tx30SXN* (inner primer pair) (38). The initial 22 nucleotides at the 5' ends of the reconstructed genomes were from PCR primers. The final 45 nucleotides at the 3' ends of the genome were excluded from analysis because of the low levels of sequence accuracy. We obtained 199 near-full-length genome sequences from 247 *GII*-positive specimens. The 199 sequences included 37 *GII/4* sequences previously reported between May 2006 and January 2007 (38) and 162 sequences newly obtained between December 2006 and February 2009.

Molecular cloning and sequencing of genome segments. The 5.2-kb, 1.0-kb, and 2.8-kb genome segments were amplified by RT-PCR products as described above and cloned into pPCR-XL-TOPO vectors (Invitrogen, Carlsbad, CA). Each of the segments covers a junction of putative recombination breakpoints around the 5' end of ORF2: the 5.2-kb segment contains the near-full-length ORF1 and 5'-end portion of ORF2, the 2.8-kb segment contains the 3'-end portion of ORF1, complete ORF2, and complete ORF3, and the 1.0 kb segment contains the 3'-end portion of ORF1 and 5'-end portion of ORF2. The primers used for the nested PCR of the 5.2-kb segment were the same ones described above: *GII4-1F/GIIr5412* (outer primer pair) and *GII4-2F/GIIr5295* (inner primer pair) (38). The primers used for the nested PCR of the 2.8-kb fragment were *GII4f4117* (5'-CTGACAAAATTTATGGTAAGATCAAGAAGAGG-3')/*Tx30 SXN* (outer primer pair) and *GII4f4762* (5'-GACCCAGCTGGTTGGTTGGAAAA-3')/*GII4r7516* (5'-ATAGTTTAGCGGCCGCAATTCATTATCACA TTACACCCGTGACTCCCTCG-3') (inner primer pair). The primers used for the nested PCR of the 1.0-kb fragment were *GII4f4117/GII4r5412* (outer primer pair) and *GII4f4223* (5'-GGTATGAATATGAATGAGGATG-3')/*GII4r5295* (inner primer pair). The single clones of the 5.2-kb, 2.8-kb, and 1.0-kb genome segments of the *GII/4* subtypes were randomly chosen and sequenced in 96-well plates using an ABI 3730 xl DNA analyzer as described above.

Phylogenetic analysis. Phylogenetic trees were constructed using the neighbor-joining method and maximum-likelihood method. Briefly, the near-full-length genome sequences from this study were aligned with the available *GII/4* genome sequences from past NoV epidemics occurring over the past 3 decades, using CLUSTAL W software included in the MEGA software package, version 4.0

(58) (<http://evolgen.biol.metro-u.ac.jp/MEGA/>) and the MAFFT multiple sequence alignment software program, version 6.0 (26) (<http://align.bmr.kyushu-u.ac.jp/mafft/software/>). The neighbor-joining trees were constructed with the nucleotide substitution values estimated with the maximum composite likelihood model (59) using MEGA. The maximum-likelihood trees were inferred on the basis of the general time reversible models (31) using the PHYML software program included in the RDP3 software package (35) (<http://darwin.uvigo.es/rdp/rdp.html>). The reliability of interior branches in the phylogenetic tree was assessed by the bootstrap method with 1,000 resamplings. The GII/4 genome reference sequences were from samples taken before 1990 (<1990) (6 sequences, CHDC591-1974, CHDC2490-1974, CHDC4871-1977, CHDC4108-1987, Lordsdale, MD145-12/US/1987, and CHDC3967-1988), before 2000 (<2000) (2 sequences, and Dresden174/US/1997), in 2002/2003 (6 sequences, Farnington Hill, B2S16/2002/UK, B5S22/2002/UK, Langen1061/2002/DE, YURI32073/2002/JPN, and MD-2004/2004/US), and in 2004/2005 (4 sequences, Guangzhou/NVgz01/CHN/2006, Chiba/04-1050/2005/JP, Sakai/04-179/2005/JP, and Ehime/05-30/2005/JP). Accession numbers for the reference genome sequences are given elsewhere (7, 38).

We initially constructed the phylogenetic trees with 199 genome sequences from the Japanese variants from 2006 to 2009 and 6 representative sequences of GII genotypes whose complete genome sequences were available in GenBank in October 2009 (GII/1, GII/3, GII/4, GII/6, GII/10, and GII/12; accession no. U07611, AB067542, X86557, AB039776, AY237415, and AB039775, respectively). The trees showed that the 199 genome sequences reproducibly grouped with the GII/4 reference sequences outside other GII references. The GII/4 cluster was positioned most closely to the GII/12 reference (Saitama U1/JP). Therefore, we used GII/12 as an outgroup in the present study for a better grasp of the relationship of the phylogeny among the Japanese GII/4 variant subgroups and between GII/4 and GII/12 variants.

Bootscanning-plot analysis. Bootsanning-plot analysis was performed as described previously (69). Briefly, each query sequence was aligned with three NoV reference sequences using CLUSTAL W software, version 1.4 (62). The bootstrap values were plotted for a window of 300 bp, moving in increments of 10 bp along the alignment using the software program Simplot (48) (version 3.5.1; <http://sray.med.som.jhmi.edu/SCSoftware/simplot/>). Thus far, 19 genotypes of the NoV GII variants have been reported on the basis of complete capsid sequences (65, 71). Among them, only 7 genotypes have been fully sequenced at the genome level (GII/1, GII/3, GII/4, GII/6, GII/8, GII/10, and GII/12; accession numbers U07611, AB067542, X86557, AB039776, AB067543, AY237415, and AB039775, respectively). To search for sequences that are phylogenetically relevant to the query sequences, we constructed phylogenetic trees of the complete ORF1, ORF2, and ORF3 sequences using all available representatives of the 19 genotypes in the GenBank database. We also used the automated exploratory analysis tool included in the RDP3 software package (35). The genome sequence set used for the analysis consisted of 7 query sequences (2004/05, 2006a, 2006b, 2007a, 2007b, 2008a, and 2008b), all available GII genotype representatives, and all available GII/4 variant subgroups which caused epidemics over the past 34 years (7, 31). Two putative parent sequences with the best confidence values and a single distantly related sequence were used for the bootscanning plots with MEGA. The confidence values of the recombination events were also assessed with tools included in the RDP3 software package, such as RDP, GENECONV, Maxchi, Chimera, 3seq, and Siscan. The query sequences used in this study were Sakai2/2006/JP for the 2004/05 subtype (accession no. AB447448) and representative genomes of the 2007a (Osaka1/2007/JP), 2007b (Iwate5/2007/JP), 2008a (Hokkaido5/2008/JP), and 2008b (Hokkaido4/2008/JP) subtypes obtained in this study. The reference sequences were Saitama_U1/JP (GII/12 genotype [25], accession no. AB039775), B2S16/2002/UK (2002/03 subtype [38], accession no. AY587989), Saitama_U3/JP (GII/6 genotype [25], accession no. AB039776), Sakai2/2006/JP (2004/05 subtype [38], accession no. AB447448), Aomori1/2006/JP (2006a subtype [38], accession no. AB447432), Aichi3/2006/JP (2006b subtype [38], accession no. AB447446), and Hokkaido5/2008/JP and Hokkaido4/2008/JP (2008a and 2008b subtypes, respectively, obtained in this study).

Informative-site analysis. The informative-site analysis was performed as described previously (50). Briefly, each query sequence was aligned with two putative parental sequences and an outgroup sequence. The alignments were used to identify informative sites that support alternative tree topologies between downstream and upstream regions using the Simplot software program (48), version 3.5.1. This information allowed identification of genome regions that were assigned as chimeras of heterologous sequences of distinct evolutionary origins. The statistical significance of the resultant division by the informative sites was evaluated by the maximal χ^2 test using in-house programs. The programs were designed to execute the calculation algorithms described by Robertson et al. (50, 55).

Molecular modeling. Three-dimensional (3-D) structural models of the capsid P-domain dimers were constructed by homology modeling as described previously (38). Briefly, the P-domain monomer models were first constructed using the crystal structure of the NoV capsid P domain of the GII/4 VA387 strain at a resolution of 2.00 Å (PDB code 2OBS [13]) as the template. The P domains of the GII/4 subtypes described in this study have sequence similarities of greater than 90% to that of VA387, high enough to construct models with a root mean square distance (RMSD) of ~1 Å for the main chain between the predicted and actual structures (3). The P-domain monomer models were used to construct the P-domain dimer models by superimposing the chains A and B using the crystal structure of the NoV capsid dimer (PDB code 1IHM [47]).

Nucleotide sequence accession numbers. The DDBJ database accession numbers for the nucleotide sequences of NoV genomes for the 2006/2007 season ($n = 37$) have been reported elsewhere (38). The DDBJ database accession numbers for the nucleotide sequences of NoV genomes for the 2007/2008 and 2008/2009 seasons ($n = 162$) are AB541201 to AB541362. The DDBJ database accession numbers for the nucleotide sequences of NoV genome segment clones ($n = 11$) are AB541190 to AB541200.

RESULTS

Phylogenetic classification of NoV GII/4 subtypes in Japan during 2006 and 2009. First, we investigated the phylogeny of the NoV near-full-length genome sequences (about 7.5 kb). For this study, we used sequences obtained in this study from 19 sites in Japan between May 2006 and February 2009 ($n = 199$), various reported GII/4 reference sequences of past global or Japanese epidemics, and various reported outgroup sequences of other NoV genotypes. Figure 1 shows a maximum-likelihood tree constructed with the 199 Japanese genome sequences and the 19 GII/4 reference sequences from past NoV epidemics throughout the world during the 1970s and 1980s (7), <2000, in 2002/2003, and in 2004/2005 (38). The tree shows that the 199 Japanese sequences are divisible into 7 distinct lineage groups within a GII/4 cluster with a high bootstrap value (the 7 colored ovals in Fig. 1). The monophyly of the 7 GII/4 groups was reproducible independently of the algorithms to infer the phylogeny and reference sequences used. We tentatively named the 7 monophyletic subtypes of GII/4 variants 2004/05, 2006a, 2006b, 2007a, 2007b, 2008a, and 2008b.

The 2004/05 genome sequences were first obtained in Japan in the winter of 2004-2005 (accession no. AB220921 to AB220923 [42]). The geographic distribution of the 2004/05 sequences seemed to be restricted to East Asia (54). The 2006a and 2006b genome sequences were first obtained in Japan during the winter of 2006-2007 (accession no. AB447427 to AB447463 [38]). The 2006a and 2006b sequences were detected in many countries in Europe, North America, and East Asia during 2006-2007, wherein the 2006b subtype was generally more dominant than the 2006a subtype (54). The 2007a, 2007b, 2008a, and 2008b genome sequences were newly obtained in this study. Phylogenetic tree analyses showed that the nucleotide sequences of ORF2 of the 2008a subtype were genetically closely related to the ORF2 sequence obtained in the Netherlands in 2008 (accession no. AB445395), and together these sequences formed a single monophyletic group with a high bootstrap value (data not shown). These results suggest that at least 4 of the 7 GII/4 subtypes identified in Japan during 2006 and 2009, i.e., the 2004/05, 2006a, 2006b, and 2008a subtypes, caused NoV infections outside Japan.

We estimated the genetic divergence within and between the 7 monophyletic groups on the basis of the maximum composite

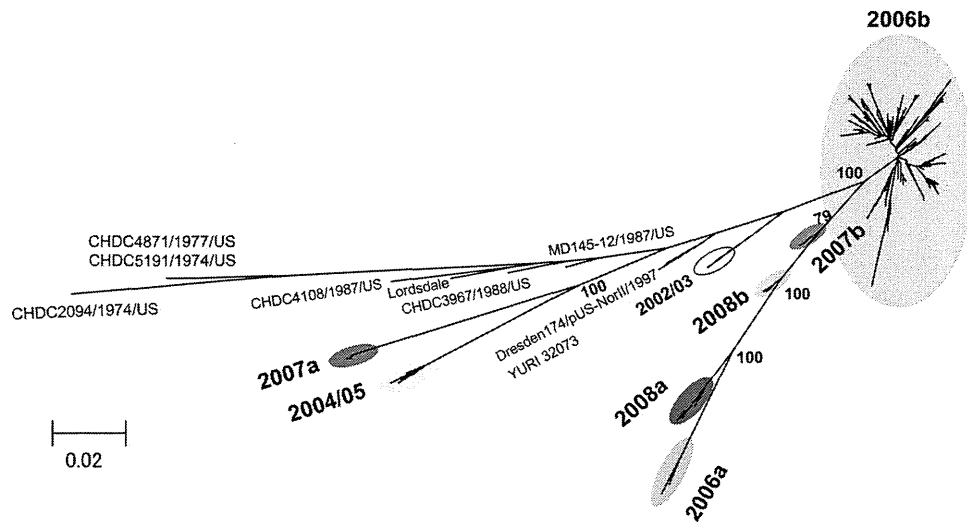


FIG. 1. Phylogenetic classification of the NoV GII/4 subtypes in Japan during 2006 and 2009. The maximum-likelihood tree was constructed with the near-full-length genome sequences (about 7.5 kb) obtained from stool specimens collected at 19 sites in Japan between May 2006 and February 2009 in this study ($n = 199$) and GII/4 reference genome sequences from past epidemics in Japan and other countries in the <2000, 2002/2003, and 2004/2005 winter seasons (7, 38) ($n = 18$). The sequence clusters enclosed by colored ovals indicate the 7 monophyletic GII/4 subtypes identified in Japan in previous (38) and present studies.

likelihood model using MEGA software. The intragroup divergence was comparably high in the 2006b subtype among the 7 groups (see Table S3, diagonal lines, in the supplemental material), suggesting that the diversity of the 2006b genome is higher than that of the other subtypes. This is consistent with the epidemiological data that 2006b had predominated for 3 years in Japan whereas the others emerged only temporally. The intergroup divergence was comparably high between 2004/05 and the other groups and between 2007a and the other groups, and about 12 to 15% sequence divergence existed in the genomes (see Table S3, bottom left portion, in the supplemental material).

Temporal and geographical distribution of Nov GII/4 subtypes in Japan. We then analyzed the temporal and geographical distribution of the 7 GII/4 subtypes in Japan. The 199 near-full-length genome sequences were divided into 3 groups according to the collection periods: the 2006/2007 (May 2006 to January 2007) ($n = 39$), 2007/2008 (March 2007 to February 2008) ($n = 78$), and 2008/2009 (May 2008 to February 2009) ($n = 82$) seasons. The frequencies of detection of particular NoV subtypes were obtained for each of the three seasons. We also used published subtyping data for the analysis of the previous winter season in Japan (November 2005 to March 2006) ($n = 38$) (38, 43).

The 2004/05 and 2006a sequences were detected at multiple collection sites and were prevalent in the 2005/2006 season (38, 43) (Fig. 2A and B, 2004/05 and 2006a). However, they became minor in the 2006/2007 season and were hardly detected thereafter. The 2006b sequences were minor in the 2005/2006 season (38) (Fig. 2A, 2006b). However, they rapidly became dominant in the 2006/2007 season and continually predominated in most of the collection sites in Japan, representing 176 of the 199 genome sequences (88.4%) during the study period. This result is consistent with the data of partial capsid sequences obtained during December 2007 to January 2008 in Japan (28).

The 2007a and 2007b sequences were detected only at single collection sites in the 2007/2008 season (Fig. 2A and B, 2007a and 2007b). The 2008a and 2008b sequences were detected most recently at multiple collection sites in the 2008/2009 season (Fig. 2A and B, 2008a and 2008b). These data indicate that the 2006b subtype displaced the 2004/05 subtype in the 2006/2007 season and continued to predominate for the next 2 years in Japan. During the period of the 2006b predominance, however, several GII/4 subtypes caused NoV outbreaks in Japan, and the frequencies and sites of non-2006b outbreaks increased slightly in the 2008/2009 season.

Phylogenetic evidence for NoV genome mosaicism. Next, we investigated the possibility of genome mosaicism of the 7 GII/4 subtypes. For this purpose, we first compared the branching orders of the subtype clusters in the maximum-likelihood and neighbor-joining trees of the ORF1, ORF2, and ORF3 sequences using representative sequences of the 19 GII genotypes (GII/1 to GII/19) reported to date in the GenBank database. Figure 3A shows the maximum-likelihood trees, in which most of the non-GII/4 sequences were positioned far from the GII/4 cluster and were therefore excluded for a better grasp of the relationship of the phylogeny among the GII/4 variant subgroups. The exception was the ORF1 tree, in which the GII/12 sequence branched inside the GII/4 cluster. The comparisons of the three trees revealed that there was marked inconsistency in the branching orders of the GII/4 subgroups. The inconsistency was reproducible independently of the algorithms to infer the phylogeny and reference sequences used. First, the ORF1 sequences of the 2006b, 2007a, 2007b, and 2008b subtypes formed independent monophyletic clusters, whereas the ORF2 sequences of the 2006b, 2007a, and 2008b subtypes formed a single cluster and the ORF3 sequences of these four subtypes formed the same cluster (Fig. 3A, light blue circles). Second, the ORF1 sequences of the 2004/05 subtype were clustered near the ORF1 sequence of a GII/12

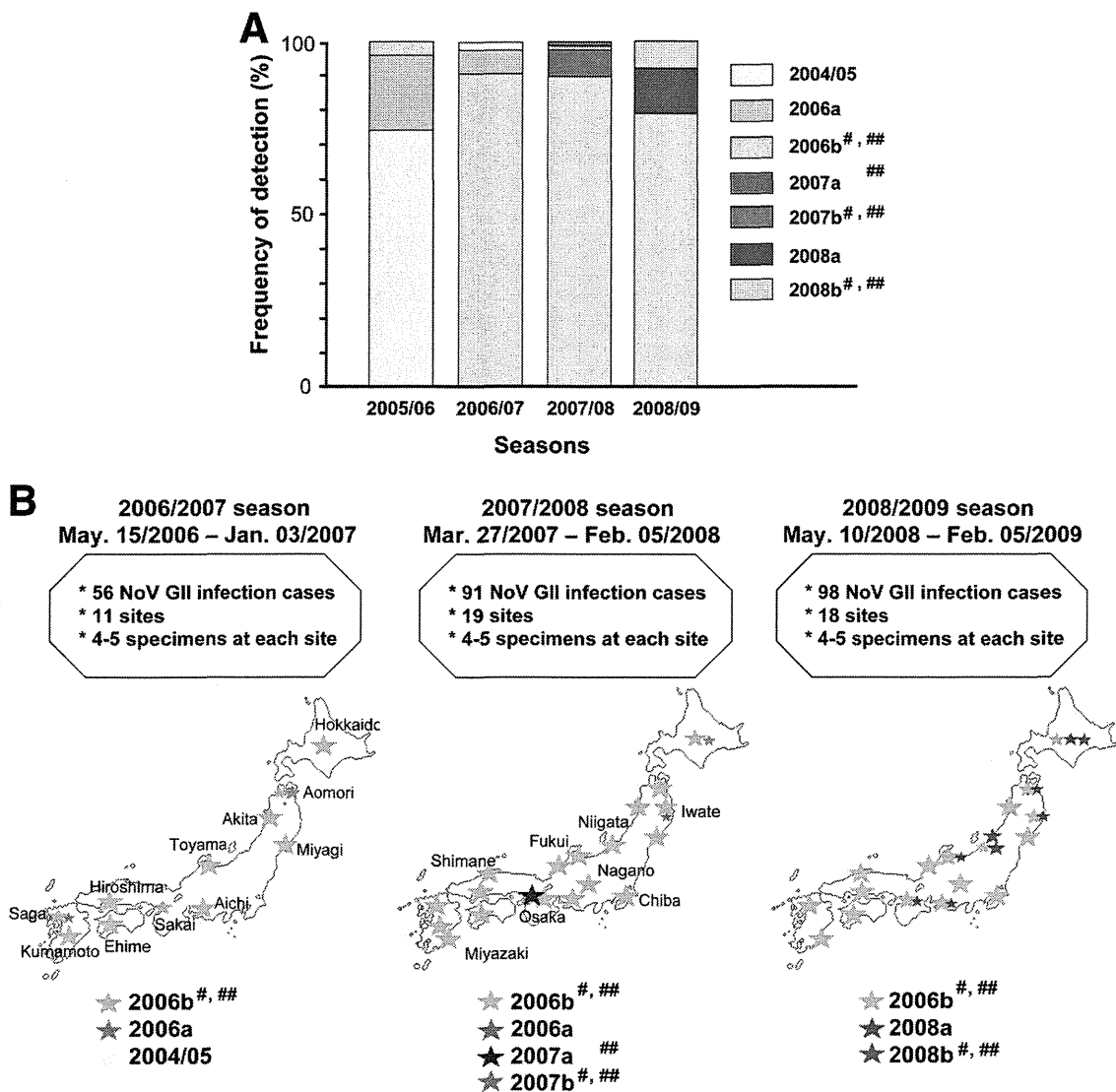


FIG. 2. Temporal and geographical distribution of the NoV GII/4 subtypes in Japan. The 199 near-full-length genome sequences were divided into 3 subgroups according to the collection periods: the 2006/2007 (May 2006 to January 2007) ($n = 39$), 2007/2008 (March 2007 to February 2008) ($n = 78$), and 2008/2009 (May 2008 to February 2009) ($n = 82$) seasons. For the analysis of the 2005/2006 season, published subtyping data (38, 43) were used ($n = 38$). (A) Frequencies of detection of particular NoV GII/4 subtypes in each season in Japan. (B) Geographic locations of the GII/4 subtype outbreaks. Colored stars indicate the locations of sample collection sites. Larger stars indicate the collection sites with greater frequencies of detection. #, ORF2s were classified as the same phylogenetic group (see Fig. 3A, ORF2). ##, ORF3s were classified as the same phylogenetic group (see Fig. 3A, ORF3).

strain (Saitama_U1/JP [25]) and relatively distant from the reported GII/4 reference sequences, whereas the ORF2 and ORF3 sequences of the 2004/05 subtype were very distantly related to the Saitama_U1/JP sequence and closely related to the GII/4 reference sequences (Fig. 3A, yellow circles). Third, the branching orders of the 2008a sequences were also different in the ORF1, ORF2, and ORF3 trees (Fig. 3A, red circles). These results suggested that most subtypes identified in this study had mosaic genomes.

To further assess this possibility, we performed bootscanning-plot analyses as described previously (69). For each bootscanning plot, we used a query genome sequence of a given subtype, two to three reference sequences that were positioned relatively closely to the query sequence in the

neighbor-joining trees, and a distantly related outgroup sequence. The analyses showed that the genomes of the 2004/05, 2007a, 2007b, 2008a, and 2008b subtypes were indeed composed of multiple segments from recently prevalent or as-yet-undefined genogroups, genotypes, and subtypes of NoVs in this and previous reports (2, 7, 25, 38, 53, 65, 71) (Fig. 3B; see also Fig. 3A). The 2004/05 genome (Sakai2/2006/JP) was comprised of the ORF1 related to GII/12 (Saitama_U1/JP) and the ORF2/3 related to GII/4 2002/03 (B2S16/2002/UK). The 2007a genome (Osaka1/2007/JP) was made up of the ORF1 related to GII/12, the ORF2 of as-yet-undefined classes of GII/4, and the ORF3 related to GII/4 2006b (Aichi3/2006/JP). The 2007b genome (Iwate5/2007/JP) was made up of the ORF1 related to GII/4 2006b and 2006a (Aomori1/2006/JP) and the ORF2 and

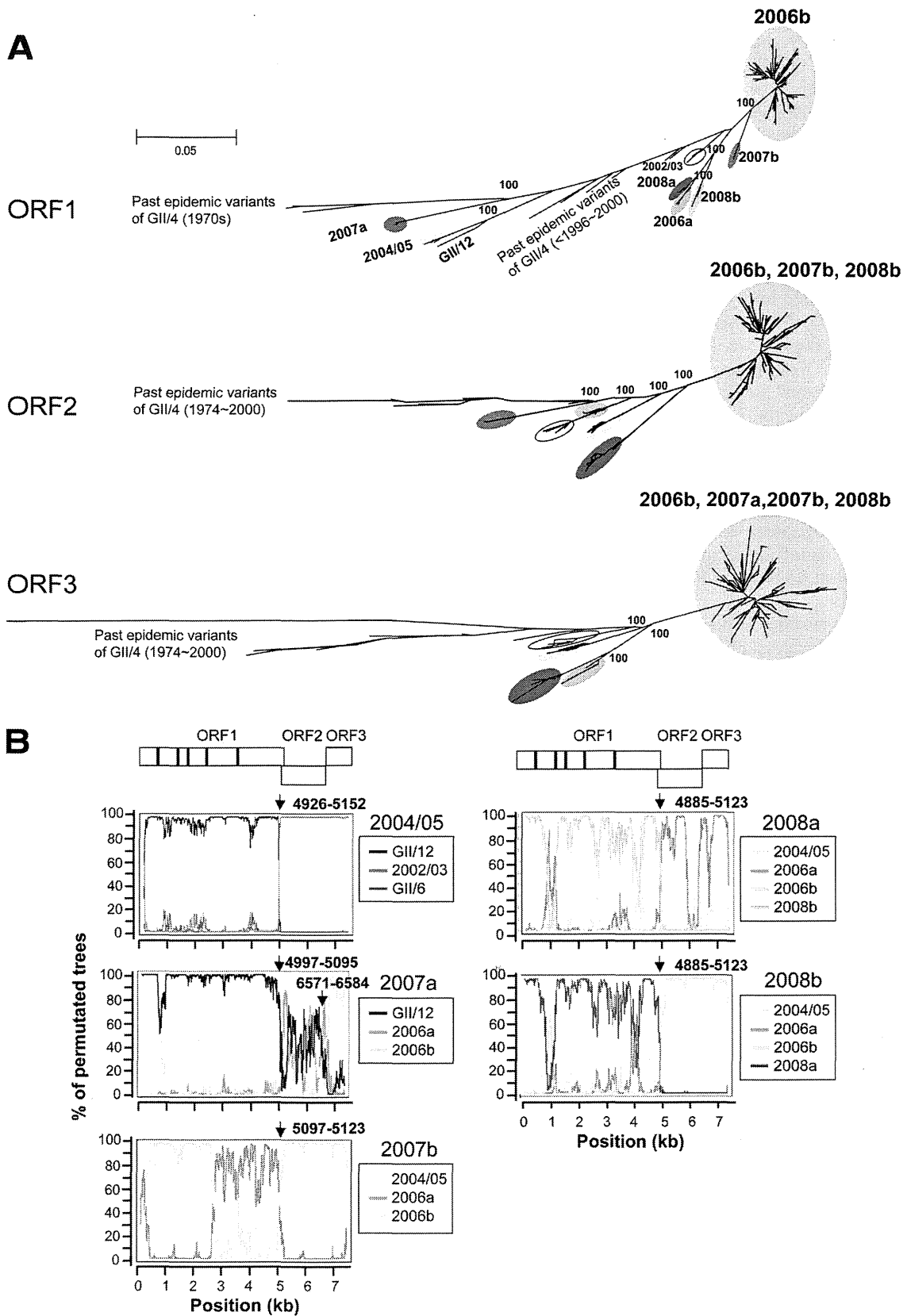


FIG. 3. Phylogenetic evidence for NoV genome mosaicism. (A) Maximum-likelihood trees of the nucleotide sequences of the complete ORF1 (about 5.1 kb), ORF2 (about 1.6 kb), and ORF3 (about 0.8 kb). The trees were constructed with the sequences obtained in previous (38) and present studies ($n = 199$) and the reference sequences described in Fig. 1. The GII/12 sequence (Saitama_U1/JP [25]) was used as an outgroup

ORF3 related to GII/4 2006b. The 2008a genome (Hokkaido4/2008/JP) was comprised of the ORF1 related to GII/4 2008b (Hokkaido5/2008/JP) and as-yet-undefined classes of GII/4 and the ORF2 and ORF3 of GII/4 2006a and as-yet-undefined classes of GII/4. The 2008b genome was made up of the ORF1 of 2008a and the ORF2 and ORF3 related to GII/4 2006b. We also investigated possible genome mosaicism for the 2006a and 2006b subtypes, but we could not identify putative ancestral sequences of ORF1, ORF2, and ORF3 that were genetically closely related to 2006a and 2006b when we used the available NoV sequences in the public database as references.

To define statistically the possible recombination breakpoints of the 2004/05, 2007a, 2007b, 2008a, and 2008b genomes, we performed informative-site analysis (50) using the same reference sequences used in the bootscanning-plot analysis. With this approach, we identified several patches of genome regions that were assigned with statistical significance as putative recombination breakpoints. Notably, a putative breakpoint located around the junction of ORF1 and ORF2 constantly gave the highest statistical significance, i.e., maximum χ^2 values, in the 2004/05, 2007a, 2007b, 2008a, and 2008b genomes ($P \leq 0.000001$) (Fig. 3B, arrows). The results were in good agreement with the phylogenetic-tree and bootscanning-plot analyses. These data consistently suggest that the new GII/4 subtypes identified in Japan were mostly hybrid viruses composed of viral protein elements from distinct genetic lineages of NoVs.

We further assessed possible genome recombination events using other tools included in the RDP3 software package (30). The analysis again identified single recombination breakpoints with the best or second-best confidence values around the junction of ORF1 and ORF2 in the 2004/05, 2007a, 2007b, 2008a, and 2008b genomes ($P < 0.001$). The analysis also identified additional putative breakpoints around the junction of ORF2 and ORF3 of 2007a. However, we could not obtain evidence for genome mosaicism with 2006a and 2006b using a selected sequence data set of the NoV GII genotypes reported to date (GII/1 to GII/19) (25, 65, 71) and GII/4 subtypes (7, 38, 53). Because information on the entire genome sequences of NoV is very limited, it remains to be determined whether 2006a and 2006b also have mosaic genomes.

Isolation of NoV mosaic genome segments. To clarify the presence of the mosaic viral genomes in nature, we cloned and sequenced the genomes of the 2007a, 2007b, 2008a, and 2008b subtypes. For this study, we cloned the genome segments, i.e., the 5.2-kb, 2.8-kb, and 1.0-kb genome segments, that presumably contain a junction of putative recombination breakpoints around the ORF1/ORF2 junction (Fig. 4). The subtype 2004/5 was not included in the cloning analysis because we did not have sufficient amounts of clinical specimens for the cloning.

Likewise, the subtypes 2006a and 2006b were not included because the major parental sequences are not clear in the present study. We successfully obtained the molecular clones except for the 5.2-kb fragment of 2007a. We could amplify but failed to clone the 5.2-kb fragment of 2007a. Although the precise reason for the failure is unclear at present, it might be due to the decreased cloning efficiency of the larger insert by the TA-cloning method. Because the appropriate restriction enzyme sites for the cloning were absent in the 2007a 5.2-kb fragment, we did not include this fragment in further analyses. Nucleotide sequences of the segments were used for the bootscanning-plot analysis using the same sets of reference sequences described in Fig. 3B, and the statistically significant putative recombination breakpoints were assessed by informative-site analysis.

Figure 4 shows representative results of the bootscanning-plot and informative-site analyses with the 5.2-, 1.0-, and 2.8-kb segment clones. Importantly, all 11 clones from the 2007a, 2007b, 2008a, and 2008b stool specimens had the same putative recombination breakpoints, with the highest statistical significance around the ORF1/ORF2 junction region identified with direct sequencing analyses (Fig. 4A and B, arrows). In addition, the patterns of the bootscanning plots were almost identical over the viral genomes examined between the sequences of the uncloned and cloned genome segment except for the 5'-half region of the 2007b ORF1 (Fig. 3B and 4). Although the precise reason for the discrepancy is unclear at present, it might be due to the cloning of the minor population of the 2007b quasispecies in the stool specimens. The overall good agreement of the results by the two sequencing strategies strongly suggests that the genome mosaicism we found by analysis of the direct sequencing data were intrinsic rather than an artifact of the analysis. Taken together, these data indicate that the NoV mosaic genomes were present in the human stool specimens and that the ORF1/ORF2 junction region is the common hot spot for generating the mosaic genomes in GII/4 subtypes in nature.

Amino acid signatures of the NoV GII/4 subtypes. We then investigated sequential characteristics of the proteins of the 7 GII/4 subtypes by searching for unique amino acid signatures in viral proteins. The deduced amino acid sequences of ORF1, ORF2, and ORF3 of a given subtype were aligned with reference sequences of the past GII/4 subtypes (38) that were identified before detection of the query subtype. Amino acids specific to the query subtype were extracted and referred to as amino acid signatures of the new epidemic subtype. In the case of the 2006b subtype, we also analyzed the changes in the signatures in the capsid protein VP1 between 2006 and 2009, because information on the structure and function is more abundant for the capsid than for other viral proteins.

sequence in each tree but is shown only in the ORF1 tree. In the ORF2 and ORF3 trees, the GII/12 sequence was located far apart from the GII/4 cluster and is not shown for simplicity. (B) Bootscanning plots of nucleotide sequences of near-full-length NoV genomes. A query genome sequence (2004/05, 2007a, 2007b, 2008a, or 2008b) was aligned with three reference sequences, two sequences that were positioned relatively closely to the query sequence in the neighbor-joining trees and a sequence that was distantly related to the query sequence, using CLUSTAL W software, version 1.4 (62). The bootstrap values are plotted for a window of 300 bp moving in increments of 10 bp along the alignment using the program Simplot (48). Informative-site analyses (50) were performed using the same query and reference sequence set. Arrows indicate putative recombination breakpoints with the highest statistical significance ($P \leq 0.000001$) in the informative-site analysis.

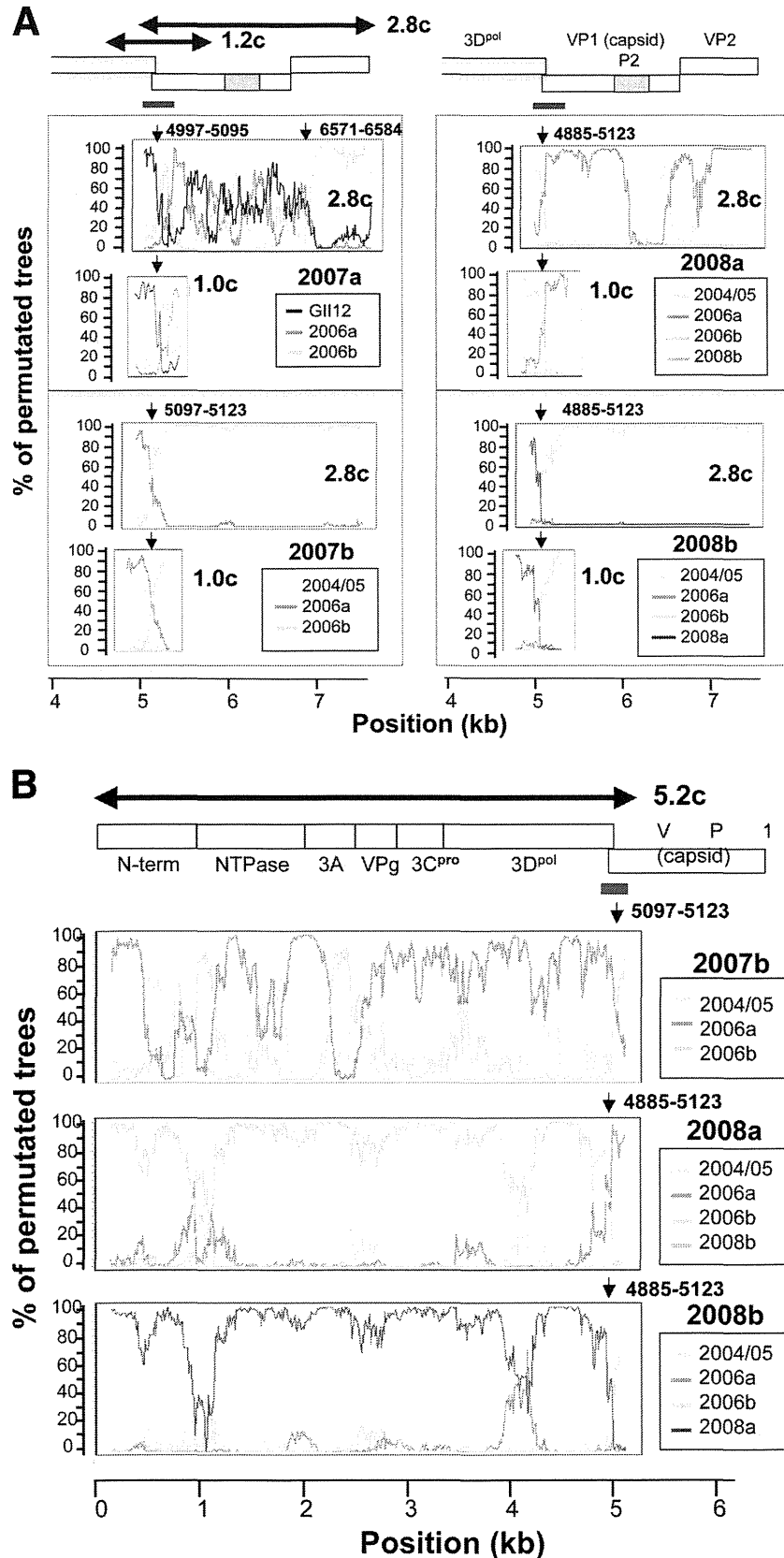


FIG. 4. Isolation of NoV mosaic genome segments. Three genome segments (5.2, 1.0, and 2.8 kb) were amplified from the 2007a, 2007b, 2008a, and 2008b stool specimens, cloned into plasmid vectors, and sequenced. Nucleotide sequences of the cloned segments were subjected to the bootscanning-plot analysis using the same sets of reference sequences described in Fig. 3B, and the putative recombination breakpoints were assessed by informative-site analysis. (A) Results for the 2.8-kb and 1.0-kb genome segment clones (2.8c and 1.0c). (B) Results for the 5.2 kb-genome segment clones (5.2c). Red bars indicate the ORF1/ORF2 bordering region. Arrows indicate the putative recombination breakpoints with the highest statistical significance ($P \leq 0.000001$) in the informative-site analysis.

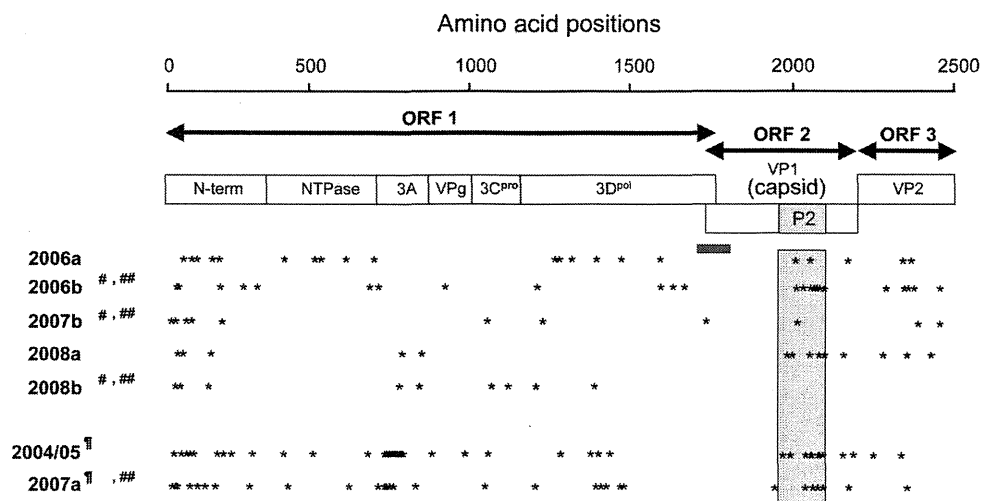


FIG. 5. Amino acid signatures of the NoV GII/4 subtypes. The deduced amino acid sequences of ORF1, ORF2, and ORF3 of a given GII/4 subtype were aligned with the GII/4 sequences identified before the outbreak season of the subtype. Amino acids specific to each subtype at the time of its first outbreak season were extracted and referred to as amino acid signatures of the new epidemic subtype. Asterisks illustrate approximate locations of the amino acid signatures in ORF1, ORF2, and ORF3. A light-blue box denotes approximate locations of the capsid P2 domain in ORF2. A red bar indicates the ORF1/2 boundary region where the single putative recombination breakpoint was assigned for each subtype genome by informative-site analyses (50). †, ORF1s were similar to those for GII/12 (see Fig. 4, ORF2). 2004/05 and 2007a had 27 and 63 amino acid substitutions, respectively, in ORF1s compared to the two available complete ORF1 sequences of GII/12 (accession numbers AB045603 and AB039775). #, ORF2s were classified as the same phylogenetic group (see Fig. 3A, ORF2). ##, ORF3s were classified as the same phylogenetic group (see Fig. 3A, ORF3).

The amino acid signatures of the 7 GII/4 subtypes were distributed throughout the three ORFs (Fig. 5, asterisks). 2004/5 and 2007a had more substitutions in ORF1 than the others because their ORF1s seemed to have originated with the GII/12 relatives (Fig. 3A and 4). When they were compared with the two available complete ORF1 sequences of GII/12, they still had many amino acid substitutions (27 and 63 for 2004/05 and 2007a, respectively). 2007b and 2008b had fewer substitutions in ORF2s and ORF3s than the others because these regions seemed to have originated from the 2006b relatives.

As seen in the 2006b variants in the 2006/2007 season (38), the capsid protein signatures were preferentially distributed on the P2 domain in other GII/4 subtypes (Fig. 5, blue box). All 7 capsid signatures identified in the 2006b variants in the 2006/2007 season were highly conserved during the 2006/2007 season, although two of them (P357 and N412) were gradually lost in the 2006b variant population during 2007 and 2009. Instead, other amino acid substitutions were sporadically accumulated in the P2 domain of the later 2006b variants (data not shown). The 7 signatures in the P2 domain were also well retained in the 2007b and 2008b subtypes, whose genomes had capsid gene segments from the 2006b relatives (Fig. 3B). These data indicate that (i) all of the 7 GII/4 subtypes had unique amino acid substitutions in viral capsid and replication proteins at the time of their outbreaks in Japan, (ii) the dominant 2006b subtype retained the capsid signatures during its persistence between 2006 and 2009, and (iii) some GII/4 subtypes acquired unique mutation sets of the 2006b capsid P2 domain by putative genome recombination events.

3-D locations of the subtype-specific amino acids in the capsid P domain dimer. To clarify 3-D locations of the capsid signatures, we constructed structure models of the VP1 P-

domain dimer of the GII/4 subtypes by the homology modeling method as described previously (38). The 2007b and 2008b models were not included for the study because their capsid proteins had no signature or a single signature in the P2 domain due to putative genome recombination with 2006b (Fig. 3, 4, and 5). The thermodynamically and sterically optimized structural models of the P-domain dimer of the 2004/05, 2006a, 2006b, 2007a, and 2008a subtypes showed no major differences in the folding of the main chains (Fig. 6). This result suggests that the capsid amino acid substitutions primarily influenced physicochemical properties around the substitution sites by changing the size and chemical properties of the side chains. These models were then used to map the 3-D locations of the P2 domain mutations.

Importantly, the capsid P2 domain signatures were mostly mapped on the outer surface loops in all of the GII/4 subtypes examined (Fig. 6). These loops form an accessible protein surface with which host proteins, such as a cellular receptor(s) and antibodies, can directly interact. The P2 mutations are often positioned near the putative functional sites for virus entry into the cells: the fucose ring binding sites formed by the P-domain dimer (8, 13) (blue-dotted ovals) and an RGD motif (60) on the β 2 sheet of the P domain (cyan chain). Notably, the P2 mutations were more abundant in the widely prevalent subtypes, 2004/05 and 2006b, than in the others (Fig. 2 and 6). The 2008a subtype, which was detected most recently and caused NoV epidemics at multiple sites in the 2008/2009 season, also had 5 unique substitutions in the P2 domain (Fig. 2 and 6). These data indicate that the GII/4 subtypes that were dominant between 2004 and 2009 in Japan had a greater number of unique amino acids preferentially positioned on their capsid surfaces at the time of their first outbreaks.

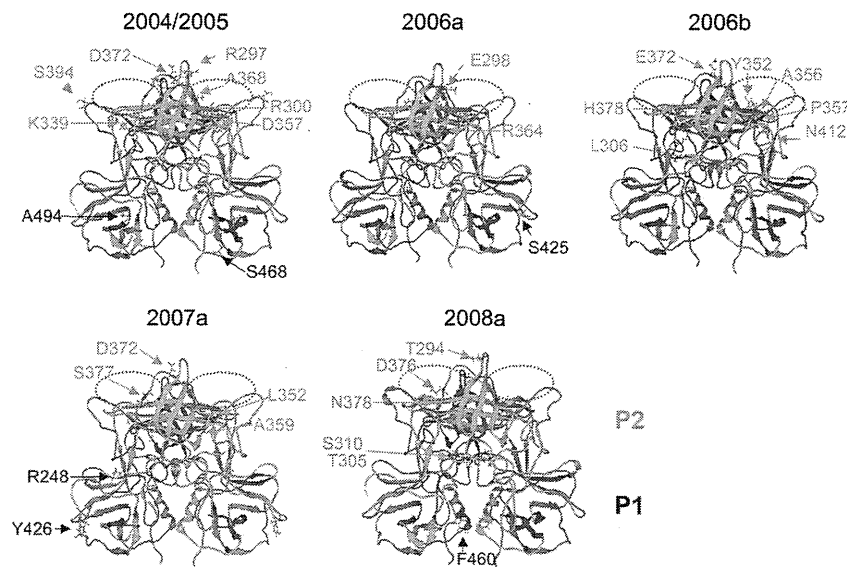


FIG. 6. 3-D locations of the subtype-specific amino acids in the capsid P domain dimer. Structural models of the capsid P domain dimers of recent NoV subtypes were constructed by homology modeling as described previously (38). The 2007b and 2008b capsid models were not included because their ORF2s were classified as belonging to the same phylogenetic group as ORF2 of 2006b due to putative genome recombination (Fig. 3 and 4), and their capsid proteins had no signature or only a single signature in the P2 domain with 2006b (Fig. 5). Orange arrows and letters indicate locations and types of the unique amino acids in each GII/4 subtype at the time of its first outbreak season. Putative functional sites for virus entry into the cells are highlighted. Blue-dotted ovals, the fucose ring binding sites formed by the P domain dimer (8, 13); cyan chain, an RGD motif (60) on the $\beta 2$ sheet of the P domain.

DISCUSSION

In this study, we have examined the possible involvement of genome recombination in the generation of new outbreaks of the NoV GII/4 variants. We first analyzed the evolutionary lineage of the GII/4 variants that were present in Japan during 2006 to 2009 and clarified their temporal and geographical distribution. We showed the following: (i) that at least 7 monophyletic GII/4 subtypes were present in humans during the 3-year period, (ii) that 3 to 4 subtypes were cocirculated in each NoV season, and (iii) that the 2006b subtype had spread and persisted more effectively in human communities than the other GII/4 subtypes during the study period (Fig. 1 and 2). These and other findings on the recent GII/4 subtypes (32, 38, 53, 54) consistently support the notion that the 2006b subtype had some selective advantages over the other GII/4 subtypes, which allowed it to quickly spread throughout human communities at the time of its initial appearance in the early winter of 2006. Our study additionally suggests that the possible advantages of the 2006b subtype remained effective over the subsequent 2 years in Japan. However, we could not obtain evidence for genome mosaicism with the 2006b subtype using the available sequence data set of the NoV genogroups, genotypes, and subtypes reported to date (2, 7, 25, 38, 53, 65, 71). Therefore, it is not clear whether genome recombination played a significant role in the generation of new large-scale outbreaks. Because information on the entire genome sequences of NoV is very limited at present, further genome study of NoVs is necessary to clarify this issue.

We then analyzed the GII/4 subtypes to determine whether they showed genome mosaicisms. We demonstrated clearly and for the first time that intersubtype genome recombination is common in the new NoV GII/4 outbreaks: 4 of the 7 new

GII/4 subtypes (2007a, 2007b, 2008a, and 2008b) were mosaics comprised primarily of sequences of the recently prevalent distinct GII/4 subtypes, and 1 (2004/05) was made up of GII/4 and GII/12 genotypes (Fig. 3 and 4). Because of the genome mosaicism, the number of monophyletic clusters of the new variants in the phylogenetic trees differed depending on the region of the genome studied; the numbers of clusters were 7, 7, 5, and 4 for the near-full-length genome, ORF1, ORF2, and ORF3, respectively. Sequences of 11 randomly selected genome segment clones all exhibited bootscanning-plot profiles identical to those obtained with the direct sequencing data except in one case, suggesting dominance of the specific mosaic genomes in the corresponding stool specimens. Phylogenetic-tree, bootscanning-plot, and informative-site analyses consistently provided the same conclusions in regard to genome mosaicism: these virus genomes encoded capsid proteins whose evolutionary lineages were distinct from those of nonstructural proteins. The good agreement of the results by the two sequencing strategies and by three evolutionary methods strongly suggests that the mosaic genomes made from multiple GII/4 subtypes were indeed constantly arising *in vivo* and became the dominant species in infected individuals in some of the NoV GII/4 outbreaks.

We failed to find evidence for the genome mosaicism of the 2006a and 2006b subtypes by using available NoV sequences. These subtypes are unlikely to be the intergenotype or intergenogroup recombinants, because their ORF1, ORF2, and ORF3 sequences constantly showed the strong monophyly within the GII/4 cluster out of the other genotypes and genogroups at their first (38) and successive outbreaks (Fig. 3A). However, the possibility of intersubtype recombination among as-yet-defined classes of GII/4 subtypes remains undetermined for the 2006a and 2006b subtypes.

Interestingly, the mosaic genomes that caused the new NoV GII/4 outbreaks all had the putative recombination breakpoints with the highest statistical significance in the ORF1/2 boundary region ($P \leq 0.000001$) (Fig. 3B and 4). This breakpoint location is consistent with previous reports on intergenogroup and intergenotype recombination (1, 10, 11, 17, 21, 22, 25, 40, 41, 44–46, 49, 57, 63, 64, 66), suggesting the presence of a common hot spot for generation and survival of recombinant NoVs in nature. To a lesser extent, a putative recombination event around the ORF2/3 boundary was identified in 1 of 7 new variant subgroups (Fig. 4A, 2007a). A recombination event around the ORF2/3 junction has also been reported for GII/4 variants circulating in Cairo, Egypt, between 2006 and 2007 (24). The ORF1/2 boundary region is highly conserved in NoV GII/4, as shown previously by very low scores of Shannon entropy within the reported GII/4 sequences (38). This and our present findings on the presence of the putative parent GII/4 sequences of the mosaic genomes suggest that the ORF1/2 mosaic genomes we identified were generated by homologous recombination, as seen in other single-stranded, positive-sense RNA viruses, including poliovirus (20), foot-and-mouth disease virus (36), brome mosaic virus (9, 39), turnip crinkle virus (70), and tomato ringspot virus (52). If this were the case, the intersubtype recombination at the ORF1/2 boundary region would occur and generate variable recombinant viruses *in vivo* more frequently than the intergenotype and intergenogroup recombination would, because the boundary region and neighboring sequences are more similar within the NoV subtype than within the genotype and genogroup. Our results are consistent with this possibility.

The presence of putative recombination at the ORF1/2 boundary region has a direct impact on the modes of NoV subtype evolution *in vivo*. First, the presence of the breakpoint at this region drives independent evolution of ORF1 and ORF2/3 nucleotide sequences and thus of nonstructural and capsid proteins (Fig. 3), leading to divergent evolution of the NoV GII/4 genome (Fig. 1). Second, the presence of the breakpoint allows concurrent acquisition of new mutation sets that arise independently in ORF1 and ORF2/3 among distinct GII/4 subtypes. However, further study is necessary to clarify whether the genome recombination indeed confers any fitness advantage to the virus within a mixed NoV variant population *in nature*.

The high levels of sequence homology of the ORF1/2 boundary region (38) also suggest that the region is functionally and/or structurally very important for NoV replication and receives strong selective constraints against diversity for NoV survival in nature. Consistently, this region is indicated to contain an important functional motif that regulates capsid expression from a full-length genome in bovine NoV (37). Thus, the ORF1/2 boundary region may be a multifunctional region critical for both replication and evolution of NoVs.

The relatively high detection frequency of the ORF1/2 mosaic genomes in the new GII/4 subtypes (5 of 7) was rather unexpected, because multiple factors, such as retention of virion stability, viral infectivity, and viral replication capabilities in human cells, should restrict the generation of viable hybrid viruses. The present findings therefore raise the possibility of large-scale coinfections by distinct lineage groups of NoVs and of natural selection for the particular ORF1/2 hy-

brid viruses. The former possibility remains to be clarified but is feasible (57) if one considers the high stability of the NoV virion outside the host, as well as NoV transmission modes, i.e., ingestion of contaminated food and water, direct person-to-person contact, and exposure to contaminated airborne vomitus droplets in a semiclosed community (15).

The latter possibility of natural selection also remains to be clarified. However, it is possible that some of the unique mutations identified in each ORF1/2 hybrid genome at the time of their outbreaks (Fig. 5) may be involved in the survival of the hybrid viruses. In this regard, it is noteworthy that the hybrid viruses had multiple mutations in the N-term, NTPase, 3A-like, Vpg, 3C^{pro}, and 3D^{pol} proteins. These proteins are likely to function primarily in NoV replication in host cells (19). Therefore, acquisition of an appropriate mutation set in ORF1 might confer some advantages in replication of the hybrid viruses in particular hosts. It should also be noted that the 2007b and 2008b subtypes encoded the VP1 and VP2 proteins from 2006b (Fig. 3 and 4). VP1 plays critical roles in binding to the putative infection receptors (8, 60, 61) and antibody neutralization (33, 34). The VP2 protein is also essential for the production of infectious virions in caliciviruses (56). Therefore, acquisition of an appropriate mutation set in ORF2 and ORF of 2006b might confer some advantages in infection and/or immune escape of the hybrid viruses at some outbreaks.

Computer-assisted modeling studies provide a structural basis for addressing the potential selective advantages of the capsids of the new GII/4 subtypes. We showed that unique capsid amino acids of the 7 GII/4 subtypes identified in this study were preferentially positioned on the outer surface loops of the protruding P2 domain and were more abundant in the dominant subtypes (Fig. 6). This is also a common characteristic of the past epidemic GII/4 subtypes (32, 38, 53). These findings suggest that physicochemical changes in the capsid surface are a prerequisite for effective virus spread of NoV GII/4 in humans. The specific mutations around the outer surface loops of the protruding P2 domain can modulate the local electrostatic environment and shape of the exposed capsid surface by changing the chemical properties and the size of side chains, respectively. Therefore, acquisition of an adequate set of capsid P2 mutations might be able to decrease antibody affinity without decreasing affinity to the infection receptors of GII/4. This would confer an advantage to the variants that would allow them to spread in human communities in the presence of immunity against precirculated variants. To effectively gain such a set of P2 domain mutations, as well as those of nonstructural proteins, genetic recombination around the ORF1/2 boundary region may be an ideal mechanism. Establishment of a tissue culture system to support effective replication of human NoVs, as well as a reverse genetics system to study the roles of mutations in NoV infection and replication, will be critical to clarify each of these possibilities.

It should be noted that despite the prolonged dominance of the 2006b subtype, the magnitudes of NoV epidemics in Japan have gradually declined since 2007: the total numbers of reported NoV infection cases during October and March of 2007–2008 and 2008–2009 showed more than 2- and 5-fold decreases, respectively, compared with the same period in 2006–2007 under the same surveillance system (Infectious Disease Surveillance Center [<http://idsc.nih.go.jp/iasr/index>]

.html]). These observations may imply that biological niches within human communities that support replication of the 2006b subtype have gradually been shrinking in Japan since 2007. A possible explanation for this phenomenon is that immunity against the 2006b subtype has been gradually strengthened in human populations due to the persistence of the 2006b infections in Japan. Nevertheless, none of the new GII/4 variant subtypes were able to replace the 2006b epidemic in the 2007/2008 and 2008/2009 seasons. In addition, two of the four new putative recombinants (2007b and 2008b), which appeared in the 2007/2008 and 2008/2009 seasons, gained ORF2/3 of 2006b. These observations may imply that 2006b still had some selective advantages over other GII/4 variant subgroups in the 2008/2009 seasons. Further follow-up study is necessary to address these possibilities.

Our findings on genome mosaicism may have an impact on epidemiological and virological studies of NoVs. For example, mosaicism could influence the validity of NoV classification, which is based on the sequences of parts of the NoV genome. Because hybrid viruses that cause epidemics seem to share a recombination breakpoint around the ORF1/2 boundary region, this junction segment may be useful for monitoring the prevalence of hybrid NoVs in nature. The genome mosaicism could also impact measurement of the mutation rates of NoVs in nature: careful selection of the genome segments that contain no recombination breakpoints would be critical to measure the nucleotide substitution rates. Continual accumulation of information on the complete genome sequences of NoVs in natural and living environments will provide genetic bases for dealing with these issues and illustrate mechanisms by which NoV evolves to generate and sustain new epidemics in human populations.

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Inhibition of Cellular Protein Secretion by Norwalk Virus Nonstructural Protein p22 Requires a Mimic of an Endoplasmic Reticulum Export Signal

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Abstract

Protein trafficking between the endoplasmic reticulum (ER) and Golgi apparatus is central to cellular homeostasis. ER export signals are utilized by a subset of proteins to rapidly exit the ER by direct uptake into COPII vesicles for transport to the Golgi. Norwalk virus nonstructural protein p22 contains a YXΦESDG motif that mimics a di-acidic ER export signal in both sequence and function. However, unlike normal ER export signals, the ER export signal mimic of p22 is necessary for apparent inhibition of normal COPII vesicle trafficking, which leads to Golgi disassembly and antagonism of Golgi-dependent cellular protein secretion. This is the first reported function for p22. Disassembly of the Golgi apparatus was also observed in cells replicating Norwalk virus, which may contribute to pathogenesis by interfering with cellular processes that are dependent on an intact secretory pathway. These results indicate that the ER export signal mimic is critical to the antagonistic function of p22, shown herein to be a novel antagonist of ER/Golgi trafficking. This unique and well-conserved human norovirus motif is therefore an appealing target for antiviral drug development.

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Introduction

Maintenance of cellular homeostasis is directly dependent on the proper functioning of the Golgi apparatus, which is central to lipid trafficking and protein secretion. Protein trafficking from the endoplasmic reticulum (ER) to the Golgi is mediated by vesicles coated in COPII protein complexes, whereas the retrograde Golgi-to-ER pathway is mediated by COPI-coated vesicles [1]. Upon export from the ER at ER exit sites (ERES), cellular proteins accumulate and traffic into budding COPII vesicles, which are minimally composed of the GTPase Sar1 and heteromeric complexes of Sec13/31 and Sec23/24 [2,3]. COPII vesicles then traffic along microtubules through the ER/Golgi intermediate compartment to the *cis* Golgi, where vesicles lose their COPII coat, fuse with the Golgi, and progress to the *trans* Golgi [4–6]. A subset of cellular and viral proteins that rapidly exit the ER employ either di-hydrophobic [7], di-basic [8] or di-acidic [9,10] ER export signals that mediate their specific uptake into COPII vesicles by direct interaction with either Sec24 or Sar1 at ERES. Export of proteins from the ER and subsequent trafficking of COPII vesicles to the Golgi is mediated by a number of cellular factors, and proteins of both cellular and microbial origin are known to antagonize this pathway.

Perhaps the most well-known ER/Golgi trafficking antagonist, the fungal metabolite brefeldin A (BFA) targets the GTPase ADP-ribosylation factor 1 (Arf1) responsible for COPI vesicle budding at the Golgi by stabilizing an Arf/Sec7 intermediate during nucleotide exchange [11]. This prevents nucleotide dissociation and ultimately deactivates Arf1 to induce a global inhibition of cellular protein secretion. The 3A proteins encoded by the picornaviruses coxsackievirus B3 (CVB3) and poliovirus (PV) also target Arf1. 3A inhibits GBF1, a guanine exchange factor necessary for Arf1 activation [12,13], resulting in Golgi disruption and inhibition of protein secretion. Consequently, surface expression of MHC Class I decreases and the normal cytokine release that aids in clearance of infected cells is inhibited [14–16]. This results in a prolonged period of viral replication before the infected cell can be cleared by the immune system [12,15].

Human noroviruses are the causative agent of approximately 23 million annual cases of gastroenteritis in the U.S. and are classified as Category B biodefense pathogens [17,18]. Noroviruses are composed of five genogroups within the family *Caliciviridae*, and viruses in genogroups I (GI) and II (GII) are the most frequently detected in humans [19–21]. Noroviruses code for six nonstructural and two structural proteins [22]; however, one of these

proteins, the nonstructural protein p22, has no identified function in any calicivirus, although an early study on the immune response following infection with Norwalk virus (NV), the prototype human norovirus and calicivirus, demonstrated an immune response directed against p22 in convalescent sera [23]. The study of p22 and other human norovirus proteins is complicated by the lack of both an efficient tissue culture system to grow noroviruses and a reverse genetics system to directly examine protein function during viral infection.

Replication of two cultivatable animal caliciviruses, feline calicivirus (FCV) and murine norovirus (MNV), induces cellular membrane rearrangements as well as alterations in Golgi architecture [24,25], suggesting that Golgi disassembly may be a common consequence of infection. In support of this, FCV p30, a homologue of NV p22, is membrane associated and independently induces ultrastructural changes in several secretory pathway organelles [26], thus proposing ER-derived membranes as a source of membranes to anchor viral genome replication. Similarly, Fernandez-Vega and colleagues demonstrated that the NV nonstructural protein p48 induces Golgi disassembly [27]; however, the possibility of additional viral proteins contributing to alterations in Golgi phenotype and antagonism of protein secretion, as is the case for several picornaviruses [28,29], has not been examined.

In the current study, we asked if the Golgi rearrangements observed during animal calicivirus and picornavirus replication also occur during human norovirus replication, and if p22 has a role in this process. We discovered that p22 has a highly conserved motif that mimics a traditional di-acidic ER export signal and is required for inhibition of ER/Golgi trafficking. This represents a novel approach to antagonize ER/Golgi trafficking, as no other

cellular or microbial protein has been described to use a motif similar to an ER export signal to gain access to and antagonize the secretory pathway.

Results

The Golgi apparatus is disassembled during NV replication

Due to Golgi rearrangements observed during FCV [24] and MNV [25] replication, we first determined if the Golgi is morphologically changed during human NV replication. Golgi integrity was examined in Huh7 cells 24 hours post-transfection (hpt) of NV RNA that results in a single cycle of viral replication [30]. The Golgi was examined in cells that expressed the viral capsid protein VP1, which is made late in replication and serves as a marker for cells replicating NV. As evidenced by the elongated, peri-nuclear re-localization of Golgi marker proteins (asterisks, Figure 1A and B), which are characteristic of the disassembled Golgi observed during mitosis [31,32], the Golgi was disassembled in 53 of 56 (95%) and 42 of 46 (91%) VP1 positive cells based on immunostaining of the *cis* and *trans* Golgi marker proteins GM130 and golgin-97, respectively. This was in contrast to the phenotypically normal, well-compact and condensed Golgi observed in almost all (97%) of non-transfected cells. These results indicate that, like other caliciviruses, NV replication induces disassembly of the Golgi apparatus.

p22 mediates Golgi disassembly and inhibits protein secretion

The NV nonstructural proteins are produced from a self-cleaving polyprotein and are arranged in an order similar to that

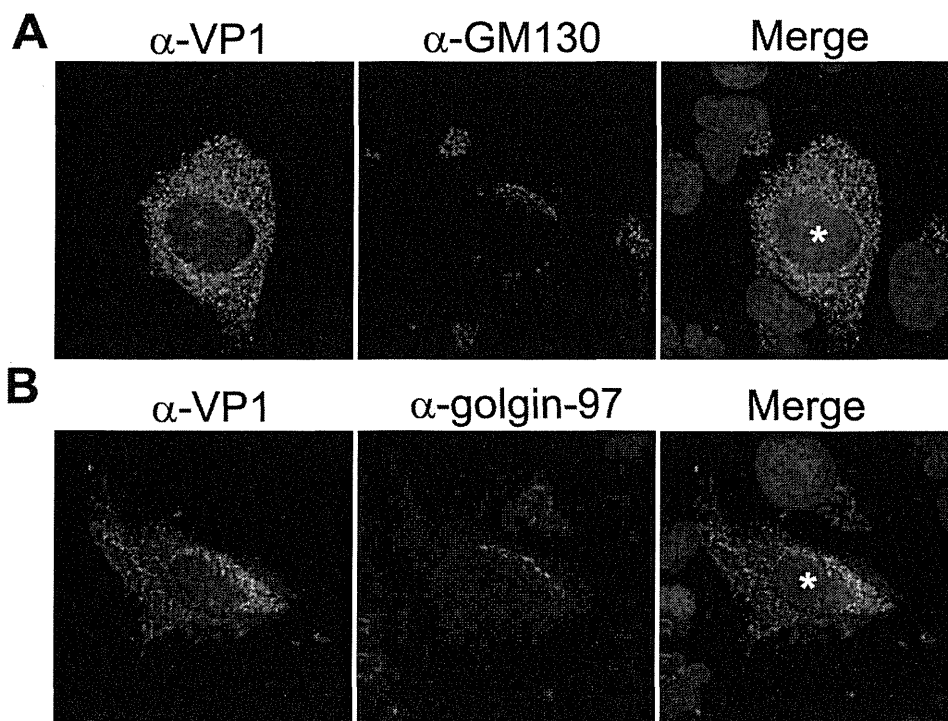


Figure 1. NV replication induces Golgi fragmentation. Viral RNA, purified from the stool of a human volunteer infected with Norwalk virus, was transfected into Huh7 cells grown on coverslips. At 24 hours post-transfection (hpt), cells were fixed and stained for the viral protein VP1 (Alexa 488; green fluorescence) and either the *cis* (A) or *trans* (B) Golgi with antibody against GM130 or golgin-97 (Alexa 594; red fluorescence), respectively. Nuclei were stained with DAPI (blue fluorescence) and imaged by deconvolution microscopy. * indicate cells with disassembled Golgi.
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of the picornavirus polyprotein [33]. p22 is located within this polyprotein in the same location as the picornavirus 3A protein; however, these proteins share no amino acid identity. Although 3A and p22 also differ considerably in molecular weight (10 kDa vs. 22 kDa, respectively) and predicted secondary structure [7% β -sheet and 62% α -helix vs. 13% and 45%, respectively, as predicted by PSIPRID (data not shown)], we hypothesized that p22 contributes to changes in Golgi morphology during Norwalk virus replication, as is the case for the 3A protein, which induces Golgi disruption in several picornaviruses [28,34]. To explore this possibility, the subcellular localization of p22 was characterized by expressing p22 with an N terminal GFP tag in 293T cells by transient transfection, and we used an N terminally GFP-tagged poliovirus 3A protein as a positive control. At 6 hpt, p22 localized to the *cis* Golgi (Figure 2A); however, by 24 hpt p22 showed only minimal *cis* Golgi localization and instead was localized immediately adjacent to a phenotypically disassembled Golgi. Similar results were observed when analyzing the *trans* Golgi (Figure S1).

To characterize the Golgi phenotype in cells expressing 3A and p22, we categorized the morphology of the Golgi in cells as either intact (well-condensed and compact Golgi adjacent to the nucleus),

fragmented (non-compact and peri-nuclear, but easily detectable Golgi; indicated by carets) or dispersed (extremely diffuse and phenotypically unapparent Golgi; indicated by asterisks), the latter two both constituting disassembled Golgi. In cells expressing GFP alone, Golgi fragmentation and dispersion were only observed in a small subset of cells (Figure 2A and B). In contrast, transient expression of the poliovirus 3A protein (PV 3A) induced significant fragmentation and dispersion of the Golgi in 18% ($p=0.02$) and 62% ($p=0.003$) of cells, respectively, compared to the Golgi in GFP-transfected cells. Similarly, expression of NV p22 led to a significant increase in the presence of fragmented, though not dispersed, Golgi present in 43% ($p=0.006$) and 11% ($p=0.09$) of cells, respectively. The significant differences between 3A and p22 in Golgi fragmentation ($p<0.01$) and dispersion ($p<0.003$) suggested that the ultimate effect of p22 on Golgi architecture was markedly different from that of 3A, possibly reflecting a different mechanism to induce Golgi disassembly.

We next sought to determine the biological relevance of the observed Golgi structural alterations on cellular protein secretion. To accomplish this, a secreted alkaline phosphatase (SEAP) reporter assay was utilized in which GFP-tagged p22 and SEAP

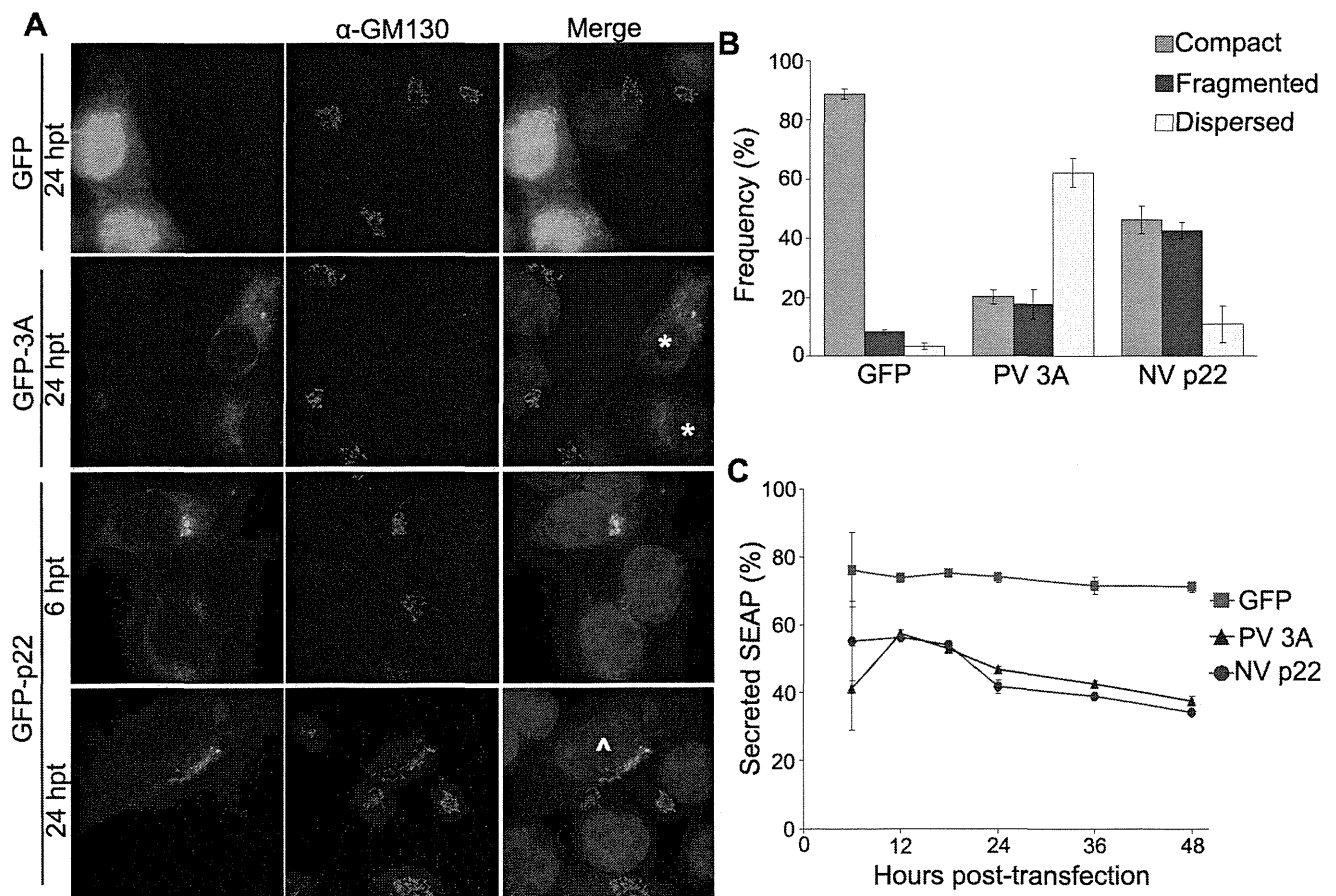


Figure 2. NV p22 induces Golgi disassembly and inhibits protein secretion. (A) Cells expressing GFP, GFP-tagged poliovirus (PV) 3A protein, or GFP-tagged Norwalk virus (NV) p22 were stained for the *cis* Golgi marker protein GM130 (Alexa 594-conjugated secondary antibody, red fluorescence) at the indicated times post-transfection. Nuclei were stained with DAPI (blue fluorescence), and cells were imaged by deconvolution microscopy. * indicates cells with dispersed Golgi; ^ indicates cells with fragmented Golgi. (B) Quantitation of Golgi status in cells expressing the indicated proteins at 24 hours post-transfection (n=3; minimum of 50 cells per experiment; \pm SD). Differences between observed phenotypes are detailed in the text. Results are representative of four independent experiments. (C) Cells were transfected with the plasmid pCMV-UTR-SEAP expressing GFP, GFP-tagged PV 3A, or GFP-tagged NV p22. Secreted SEAP was quantified (see Materials and Methods section) as a representative indicator of cellular protein secretion at the indicated time points, and was defined by the equation: Secreted SEAP = $(SEAP_{extracellular} / (SEAP_{extracellular} + SEAP_{intracellular})) \times 100$. Data are representative of three independent experiments (n=3 for each time point; \pm SD). doi:10.1371/journal.pone.0013130.g002

were co-expressed from a di-cistronic vector, pCMV-UTR-SEAP [28]. This vector encodes the gene of interest under a CMV promoter and SEAP, a reporter protein that is rapidly secreted from cells and is a quantitative surrogate of protein secretion [35], which is translated via an internal ribosomal sequence. All proteins expressed using this vector system had N terminal GFP tags, which has been reported to not affect the ability of PV 3A to inhibit protein secretion [12]. At various times post-transfection, media and cell pellets were assayed for extra- and intra-cellular enzymatic SEAP activity, respectively; total SEAP levels did not significantly differ between all proteins expressed at any time point tested. For all constructs, enzymatic SEAP activity was first detectable over background in both fractions at 6 hpt. Expression of GFP alone led to ~75% secreted SEAP throughout the assay (Figure 2C), whereas expression of PV 3A led to a significant reduction of SEAP secretion ($p < 0.001$) with maximal reduction to 38%, or 53% of GFP alone levels, at the final time point, which is similar to previous results [13,28,36]. With similar kinetics to 3A, NV p22 also ultimately inhibited SEAP secretion to 34%, or 48% of GFP alone levels. From this, we concluded that, despite their differing specific effects on Golgi phenotype, NV p22 is able to inhibit SEAP secretion, and therefore cellular protein secretion, to levels similar to PV 3A.

To gain a better understanding of potential ultrastructural alterations induced by p22, cells expressing GFP or GFP-p22 were

flow sorted for GFP expression at 24 hpt. After 24 hours of recovery following flow sorting, and therefore 48 hpt, cells were fixed and thin sections were visualized by electron microscopy (EM). After flow sorting, cells expressing GFP alone had intact and peri-nuclearly localized Golgi with cisternal stacks clearly visible in 31 of 59 (52%) cells examined (Figure 3A, arrows). In contrast, cells expressing GFP-p22 had detectable Golgi stacks in just 4 of 57 (7%) cells examined. Instead, GFP-p22 cells exhibited an abundance of large vacuoles, loose single membranes (Figure 3B, asterisk), and double-membrane structures (Figure 3B, arrowheads). Many of these structures had what appeared to be cargo inside them, but the nature of this cargo was unclear as these structures were much larger than would be expected for those containing normal secretory pathway cargo. These results confirmed the immunofluorescence observations of a disassembled and phenotypically abnormal Golgi, demonstrating that expression of p22 led to rearrangements and alterations to various components of the secretory pathway, as would be expected during antagonism of this pathway.

Amino acids 50–148 mediate Golgi localization of p22

We next determined which regions of p22 are responsible for Golgi localization and/or fragmentation. N and C terminal deletion mutants of p22 with N-terminal GFP tags were generated (Figure 4A) with respect to predicted α -helices and β -sheets. GFP-

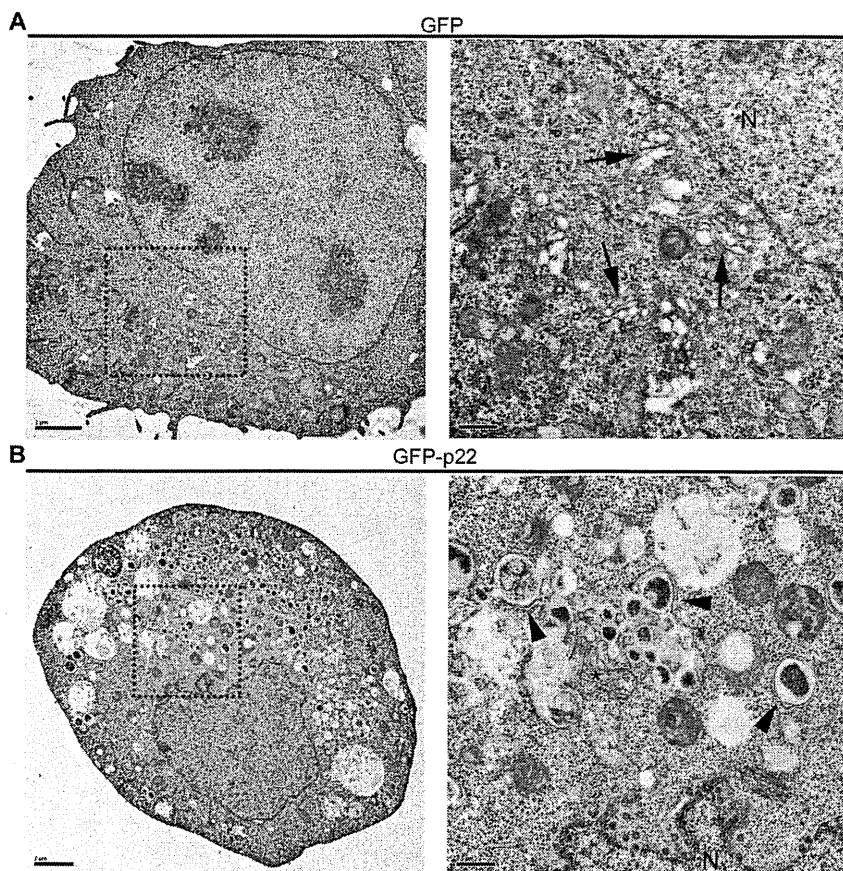


Figure 3. Expression of NV p22 induces alterations in secretory pathway ultrastructure. At 24 hours post-transfection, cells expressing GFP (A) or GFP-p22 (B) were harvested and flow sorted for expression of GFP. Twenty-four hours after re-plating, cells were fixed and prepared for visualization by electron microscopy. The boxed regions represent the area magnified to the right. Left scale bars represent 2 μ m, right scale bars represent 0.5 μ m. N = nucleus; black arrows indicate intact Golgi cisternae; black arrowheads indicate double-membrane vesicles; the asterisk indicates free membranes.

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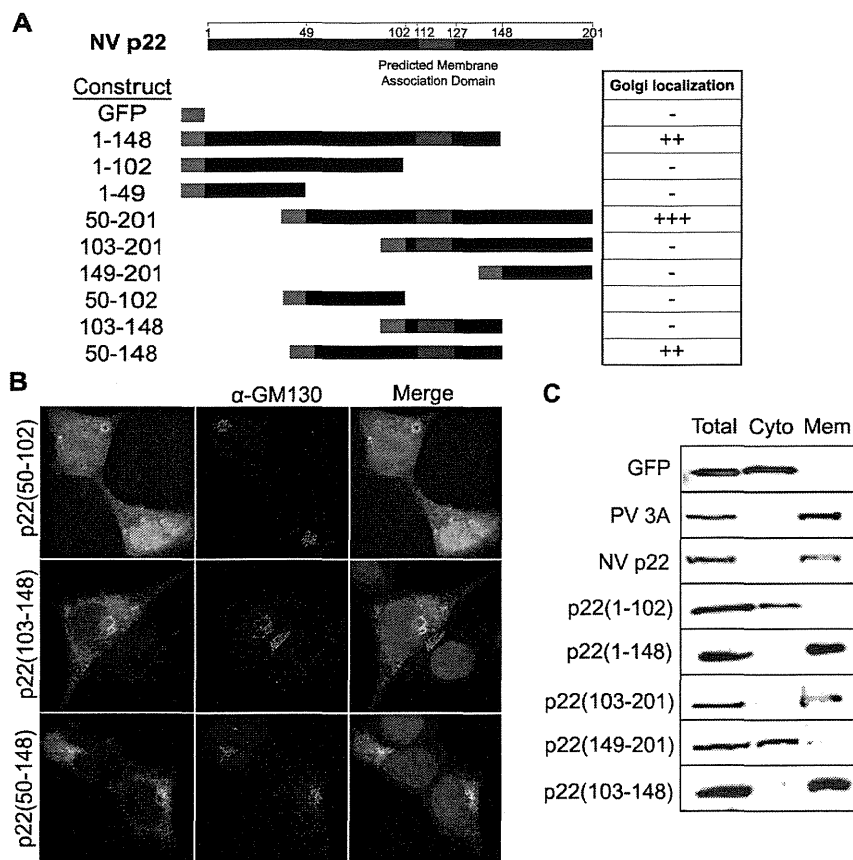


Figure 4. Amino acids 50–148 are sufficient to mediate Golgi localization of NV p22. (A) GFP tagged N and C terminal deletion mutants of p22 were generated and, following expression in cells for 24 hours, were scored for their ability to localize to the *cis* Golgi. Amino acid numbering corresponds to NV sequence (NC_001959). (B) Cells expressing GFP-tagged p22(50–102), p22(103–148), and p22(50–148) were immuno-stained with antibody against the *cis* Golgi marker protein GM130 (Alexa 594-conjugated secondary antibody, red fluorescence), stained with DAPI (blue fluorescence), and imaged by deconvolution microscopy. (C) Cells expressing GFP, GFP-tagged PV 3A, GFP-tagged NV p22, or the indicated deletion mutants of p22 were harvested at 24 hpt. Cytosolic and membranous fractions of cells were collected and proteins were detected by western blot with monoclonal antibody against GFP. doi:10.1371/journal.pone.0013130.g004

tagged deletion mutants encoding amino acids 50–148 localized to the Golgi (summarized in Figure 4A), whereas constructs that lacked either amino acids 50–102 or 103–148 failed to specifically localize to the Golgi; none of the deletion mutants tested were able to induce Golgi disassembly equivalent to wildtype p22. Expression of amino acids 50–102 localized non-specifically throughout cells (Figure 4B), in contrast to amino acids 103–148, which predominantly exhibited a reticular pattern throughout the cytoplasm suggestive of general intracellular membrane localization. When these regions were expressed together as p22(50–148), the protein was predominantly Golgi localized and the Golgi was phenotypically intact.

We next examined the factors between amino acids 50–148 that mediate the subcellular localization of p22. Computational analyses of full-length p22 with PHDhtm (available online at www.predictprotein.org [37]) predicted an amphipathic α -helical trans-membrane (TM) domain between amino acids 112 and 127. A membrane association domain (MAD) within p22 would provide further similarity to the picornavirus 3A protein, which encodes an amphipathic α -helix that acts as both a TM domain and a MAD at the C terminus of the protein [38]. The localization of p22(103–148) and p22(50–148) compared to p22(50–102) (Figure 4B) supported the hypothesis that p22 localizes to the Golgi in part due to membrane association contributed by this domain.

To determine if amino acids 103–148 mediate membrane association of p22, we expressed GFP alone, GFP tagged 3A or p22, or various deletion mutants of p22 in 293T cells and isolated cytosolic and membranous fractions. As expected, GFP was present solely in the cytosolic fraction and PV 3A solely in the membranous fraction of cells (Figure 4C). p22 was also present in the membranous fraction, confirming that it is a membrane-associated protein. Only the p22 deletion mutants that contained amino acids 103–148 were present in the membranous fraction of cells, including a construct encoding amino acids 103–148 alone; all constructs that did not contain amino acids 103–148 were present in the cytosolic fraction. This indicated that residues 103–148 are responsible for membrane association of p22, likely due to an amphipathic α -helix between amino acids 112–127.

p22 contains an ER export signal mimic that is highly conserved in human noroviruses

Because amino acids 103–148 alone resulted in membrane, but not Golgi, localization, we next examined the sequence between amino acids 50–102 for additional factors that might facilitate Golgi localization of p22. A multiple sequence alignment of p22 and its homologues from the 72 available full-length human norovirus sequences from viruses classified in different genogroups (GI.1 to GII.12) found 13% amino acid identity between all

proteins (summarized in Figure 5A). This defined p22 as the most variable protein in human noroviruses [39]. Despite this, two regions within amino acids 50–148 showed clear sequence conservation. The first region was the MAD (Figure 5A, blue box), indicating that this domain is well-conserved amongst homologues of p22 and likely serves a similar function between genogroups. The second was a YXΦESDG motif (Figure 5A, red box), where X is any amino acid and Φ is a bulky, hydrophobic residue (e.g. M, I or L), which was fully conserved in 65 of the 72 (90%) sequences available for examination (summarized in Figure 5A). Unexpectedly, conservation of this motif was limited to p22 homologues of human noroviruses. It was not present in either the MNV or FCV homologues of p22, both of which exhibit very low amino acid identity with NV p22, and was similarly absent in the 3A protein of poliovirus and coxsackievirus B3. Further examination revealed that the YXΦESDG motif resembled the criteria for a di-acidic ER export signal, which typically contains a YXXΦ motif immediately proximal to two acidic residues separated by a single amino acid [e.g. YXXI(E/D)X(E/

D)], all of which are located on the cytosolic side of a TM domain (Figure 5B) [9,10]. Such signals increase the rate of protein export from the ER into COPII vesicles and onward to the Golgi [9,10,40].

To explore if this conserved norovirus motif could play a role in the previously demonstrated inhibition of cellular protein secretion by p22 (Figure 2C), individual alanine mutations were made within each of the conserved residues within the putative ER export signal of p22 and tested as before using the SEAP system. Mutations within the S, D and G residues had no effect on the ability of p22 to inhibit cellular protein secretion (Figure 5C); however, mutation of both the Y and E residues led to intermediate levels of protein secretion. When these two residues were combined into a single AXΦASDG construct, SEAP secretion at 36 hpt was not statistically different from that of GFP alone (p=0.08). Total SEAP expressed by all p22 mutants did not significantly differ from that of wildtype p22 and similar levels of all p22 proteins were expressed, as confirmed by western blot analysis of intracellular fractions at 36 hpt (Figure S2),

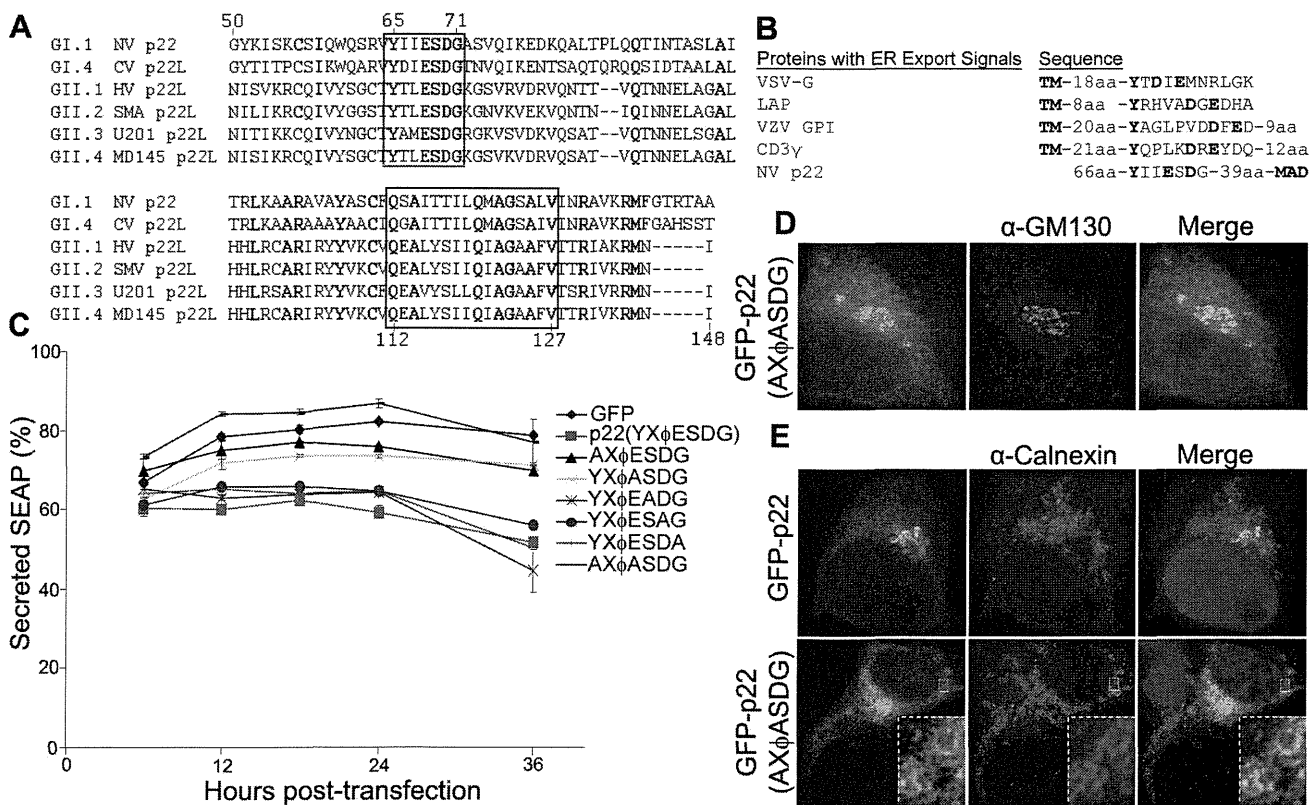


Figure 5. A conserved noroviral ER export signal is necessary for p22 to inhibit protein secretion. (A) Amino acids 50–148 from NV p22 were aligned with homologues [“p22-like (p22L) proteins”] from representative genogroup 1 (GI) and genogroup 2 (GII) human noroviruses of various genotypes. The figure illustrates six of 72 sequences analyzed. Conserved residues are shown in bold; the blue box indicates conservation of the membrane association domain (MAD); the red box indicates conservation of the YXΦESDG motif. NV is Norwalk virus (NC_001959), CV is Chiba virus (AB042808), HV is Hawaii virus (U07611), SMV is Snow Mountain virus (AY134748), U201 is Saitama U201 virus (AB039782), and MD145 is MD145 virus (AY032605). (B) Alignment of NV p22 with various cellular and viral proteins that contain an ER export signal. VSV G is the vesicular stomatitis virus glycoprotein, LAP is lysosomal acid phosphatase, VZV GPI is varicella zoster virus glycoprotein I, and CD3γ is a component of the T cell receptor. Adapted from Nishimura and Balch, 1997 [9]. (C) Cells were transfected with the plasmid pCMV-UTR-SEAP expressing GFP, GFP-tagged NV p22, or the indicated mutants within the ER export signal of p22. Secreted SEAP was quantified (see Materials and Methods) as a representative indicator of cellular protein secretion at the indicated time points and was defined by the equation: Secreted SEAP = (SEAP_{extracellular})/(SEAP_{extracellular} + SEAP_{intracellular}) × 100. Data are representative of three independent experiments (n=3 for each time point; ±SD). (D and E) p22 with the YXΦESDG motif mutated to AXΦASDG (D; E, bottom panels) or wildtype NV p22 (E, top panels) were expressed as GFP fusion proteins. Cells were fixed at 24 hpt and stained with antibody against the *cis* Golgi marker protein GM130 (D) or the endoplasmic reticulum marker protein calnexin (E) (Alexa 594-conjugated secondary antibody, red fluorescence). Nuclei were stained with DAPI (blue fluorescence) and cells were imaged by deconvolution microscopy. The inset in E is an 8X magnification of the boxed region. doi:10.1371/journal.pone.0013130.g005

showing that the observed decreases in inhibition of protein secretion were not due to changes in protein expression or stability.

To examine Golgi phenotype in cells expressing the AX Φ ASDG mutant of p22, we next explored the phenotype of the Golgi in cells expressing this construct. By electron microscopy at 48 hpt and after flow sorting, cells expressing p22(AX Φ ASDG) had wildtype Golgi (data not shown) with intact cisternae present in 26 of 56 (46%) cells, similar to the frequency of intact Golgi in the presence of GFP alone. Consistent with this observation and the inability of p22(AX Φ ASDG) to inhibit SEAP secretion, the Golgi was intact in cells expressing p22(AX Φ ASDG) by immunofluorescence at 24 hpt (Figure 5D). Moreover, the mutant was much more diffuse throughout the cytoplasm of cells with few to no puncta, and exhibited a prominent reticular pattern suggestive of ER localization. To confirm this observation, we next stained cells expressing wildtype p22 or p22(AX Φ ASDG) for the ER marker protein calnexin, the use of which was validated by complete co-localization with protein disulfide isomerase (Figure S3), another ER marker protein.

Wildtype p22 did not co-localize with the ER-marker protein calnexin (Figure 5E), as would be expected for a protein that utilizes an ER export signal [40,41]. In contrast, the AX Φ ASDG construct of p22 exhibited a more diffuse and reticular staining pattern, much of which overlapped with the ER (Figure 5E, inset), suggesting that the mutated residues were either re-localizing the protein to the ER or slowing the trafficking of the protein from the ER. Constructs that had individual mutations within the Y and E residues had intermediate ER localization, and a construct that had both the glutamic and aspartic acid residues mutated to alanine exhibited ER localization and SEAP secretion equivalent to that of the YX Φ ASDG construct (data not shown). These data indicate that the Y and E residues within the conserved YX Φ ESDG motif are necessary for the proper localization of p22 and may constitute a unique arrangement of an ER export signal.

Taken together, these data demonstrate that despite altered trafficking within the secretory pathway, p22(AX Φ ASDG) that was not ER-localized appeared to be retained at the Golgi, reflecting the ability of ER-localized proteins to be non-specifically trafficked from the ER to the Golgi in the absence of a specific export signal [9,10,40]. This indicates that the Y and E residues of the YX Φ ESDG motif together are critical for the cellular localization of p22, as well as inhibition of protein secretion and Golgi disassembly.

Although the conserved YX Φ ESDG motif was clearly critical to the cellular effects exhibited by p22, two aspects of this signal remained unclear: 1) if this motif is indeed a functional ER export signal; and 2) if this motif alone is sufficient to antagonize ER/Golgi trafficking. To answer both of these questions, we next directly tested if the conserved motif within p22 could functionally substitute for a *bona fide* ER export signal. To do this, the well-characterized ER export signal within the vesicular stomatitis virus glycoprotein (VSV G) was replaced with either the conserved motif found within p22 (G/p22), the mutated p22 signal that abrogates the downstream effects of p22(G/AX Φ A), or a construct of VSV G that has the entire ER export signal mutated to all alanines (G/6xA) and has a significantly decreased rate of ER export [40] (Figure 6A). The efficiency of ER export of VSV G or the various chimeric or mutant G proteins was examined by a previously described technique [9,10,40,42] in which newly made protein is metabolically labeled with ³⁵S-methionine. At the indicated time points, G is purified and digested with EndoH, which only digests G that has not yet reached the Golgi, resulting

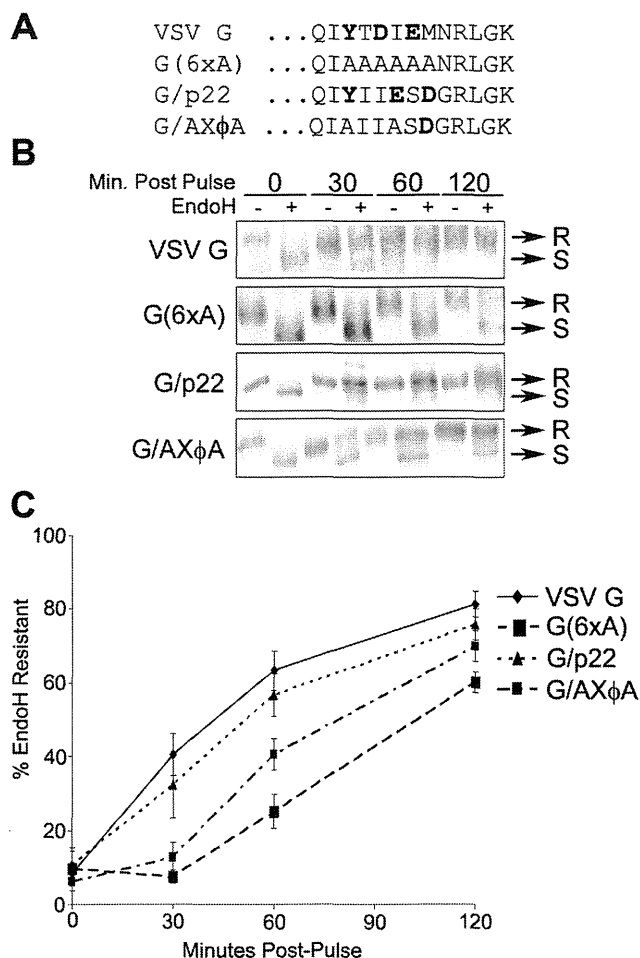


Figure 6. The ER export signal mimic of p22 can substitute for the signal of VSV G. (A) Summary of the sequence of the wildtype, mutant, and chimeric VSV G proteins used. Critical residues of the VSV G ER export signal and homologous regions of p22 are shown in bold. (B) Representative individual samples from EndoH sensitivity assay of VSV G proteins. Wildtype (G), mutant [G(6xA)] and chimeric (G/p22 and G/AX Φ A) VSV G proteins were metabolically labeled with ³⁵S-Methionine at 24 hours post-transfection and incubated for the indicated period of time, harvested in lysis buffer, immuno-precipitated with monoclonal antibody against the luminal domain of VSV G and digested with endoglycosidase H (EndoH). R = EndoH resistant; S = EndoH sensitive. (C) Cells were transfected with plasmids encoding the indicated constructs of VSV G. At 24 hours-post-transfection, cells were labeled with ³⁵S-Met and at various times post-pulse cells were harvested, immuno-precipitated with antibody against the luminal domain of VSV G and assayed for their sensitivity to EndoH. Data are composite (mean \pm SD) of six individual samples (n=6) for each time point from two independent experiments. doi:10.1371/journal.pone.0013130.g006

in a shift in its apparent molecular weight following resolution by SDS-PAGE (Figure 6B). The percent of G that is resistant to EndoH allows quantitation of the amount of protein that is ER-associated compared to protein that had reached the Golgi, thereby yielding a direct measure of ER export efficiency.

As expected, wildtype VSV G rapidly became resistant to EndoH digestion, whereas G(6xA) exhibited a significant decrease in kinetics of ER export compared to wildtype G [$p < 0.00001$ for all time points except 0 minutes post-pulse (mpp)] (Figure 6C). In contrast, G/p22 demonstrated ER export statistically indistinguishable from wildtype VSV G ($p \geq 0.07$ for all time points except