### 厚生労働科学研究費補助金 (障害者対策総合研究事業) 分担研究報告書

# 下部神経管閉鎖障害症例に対するエキソームシークエンス解析研究 研究分担者 鬼頭浩史(名古屋大学医学部附属病院・整形外科講師)

研究要旨 下部神経管閉鎖障害に対する分子レベルでの病態解明のため、網羅的なエキソームシークエンス解析手法を用いて、原因遺伝子を同定することを試みる。症例のリクルートは着実に進んでおり、DNA サンプルによるエキソームシークエンス解析を実施している。

#### A. 研究目的

下部神経管閉鎖障害は下肢の運動・知覚障害、膀胱直腸障害などを引き起こし、患者の移動能力および日常生活動作を著しく低下させる。また、神経障害に伴う下肢関節の変形は難治性である。本症における神経障害の発症機序はいまだ解明されていない。家族内発症例の報告もあり、遺伝的因子の関連も示唆されるが、ヒトにおける遺伝子変異の報告は、VANGL1およびVANGL2のみである。本研究では、下部神経管閉鎖障害症例に対し、網羅的なエキソームシークエンス解析を行い、原因となる候補遺伝子を同定することを目的とする。

#### B. 研究方法

名古屋大学医学部倫理委員会、および各分担研究者の施設における倫理委員会の承認を得た上で研究を遂行する。患者および両親の血液を採取してgenomic DNAを調整し、Agilent社SureSelect Human All Exon V4を用いてエキソームライブラリーを作成する。名古屋大学神経遺伝情報学において、SOLiD 5500x1にて塩基配列決定を行い、BioScope 1.3を用いてヒトゲノムへのmappingを行う。SNP解析ツールを用いて、de novo遺伝子変異解析を行い、候補遺伝子を同定する。

#### (倫理面への配慮)

下部神経管閉鎖障害症例に対する臨床解析 および遺伝子解析は、「臨床研究に関する倫 理指針」および「ヒトゲノム・遺伝子解析研 究に関する倫理指針」に則り、各施設の生命 倫委員会ならびに各施設長の承認を得て遂行 する。

#### C. 研究結果

東京大学附属病院および福岡こども病院から8家系の下部神経管閉鎖障害症例の血液サンプルを採取し、genomic DNA を調整した。現在、それらサンプルに対し、エクソームシークエンス解析を実施中である。

#### D. 考察

近年、次世代シークエンサーによる網羅的な遺伝子配列解析が可能になり、本症を含む希少疾患に対して、ゲノムレベルからの新たな病態解明を進めることが期待されている。本症に対する根本的で有効な治療法は確立されておらず、分子レベルでの病態解明により、新たな治療戦略が導かれる可能性がある。

#### E. 結論

8家系の下部神経管閉鎖障害症例に対し、エクソームシークエンス解析を実施している。

## F. 研究発表 1. 論文発表

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#### G. 知的財産権の出願・登録状況 なし

研究成果の刊行に関する一覧表

### III. 研究成果の刊行に関する一覧表

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研究成果の刊行物・別刷

# Intronic and exonic nucleotide variations that affect RNA splicing in humans

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#### 1 Introduction

Identification of the 3.2 billion nucleotides of the human genome, the human genome project, and the gene annotation projects thereafter have revealed that human has merely 22,000-25,000 protein coding genes. Comparison with other genomes has highlighted the significance of a higher degree of alternative splicing in human to produce the vast proteome compared to other species. During the millions of years of evolution, our genome has been extensively mutated and exposed to natural selection. Ten percent of mutations, which cause hereditary disease, disrupt the splice site consensus sequence at the 5' splice site and 3' splice site (Krawczak et al., 2007). Indeed, 11,525 splicing mutations out of 123,656 total mutations are registered in the Human Genome Mutation Database (HGMD) professional release 2012.1 (http://www.hgmd.org/). Splicing, however, does not only rely on the splice site consensus sequences. Considering auxiliary splicing *cis*-elements along with the recent advances in resequencing technologies of the human genome, the rate of disease-causing mutations affecting splicing has been estimated to be up to 60% (Hammond&Wood, 2011). Studying the mechanisms of splicing disruption will elucidate the molecular bases of development of disease and also give us essential information on how and why the human genome has evolved.

Mechanisms of splicing disruption can be categorized into three groups: a) disruption of splicing *cis*-element; b) aberrant expression or abnormal function of splicing *trans*-factors; and c) RNA gain-of-function disorders, in which a splicing *trans*-factor is sequestered to abnormally expanded RNA repeats and forms an abnormal aggregate. Abnormal expression of splicing *trans*-factors is observed in various types of cancer, in which SRSF1 (ASF/SF2) is an oncogenic product (Karni et al., 2007). Another example of an abnormally regulated *trans*-factor is the oncogenic product HMGA1a (HMG I/Y), which binds upstream of the 5' splice site and traps U1 snRNP at the 5' splice site (Ohe&Mayeda, 2010) and results in aberrant alternative splicing of *PSEN2* encoding presenilin-2 in sporadic Alzheimer's disease (Manabe et al., 2003). RNA gain-of-function mechanisms are observed in neurological disorders including myotonic dystrophy, spinocerebellar ataxia, and paraneoplastic syndromes (Licatalosi&Darnell, 2006; O'Rourke&Swanson, 2009). This chapter is dedicated to disruption of intronic and exonic splicing *cis*-elements. Please refer to other review articles for other abnormal splicing mechanisms (Licatalosi&Darnell, 2006; O'Rourke&Swanson, 2009; Ohno K, 2011).

Splicing occurs as a two-step trans-esterification reaction after the step-wise assembly of uridine-rich small nuclear ribonucleoproteins (U snRNPs) of the spliceosome (Smith et al., 2008; Wahl et al., 2009) (Figure 1A). Though the average length of human introns is more than 3,300 nucleotides (Lander et al., 2001), variation in length is immense; from a 43-nucleotide intron in ESRP2 (epithelial splicing regulatory protein 2) (Sasaki-Haraguchi et al., 2012) to a 740,920-nucleotide intron found in the heparan sulfate 6-Osulfotransferase 3 gene (Fedorova&Fedorov, 2005). Thus, in higher eukaryotes, splicing is mostly defined by exon rather than intron (Figure 1B) (Berget, 1995). Using this exon definition model, a number of algorithms have been developed to give a probable answer on the consequence of a single nucleotide variation (SNV). No algorithm, however, is 100% accurate. Splicing consequences should be tested with a combination of different algorithms and should be verified by splicing substrates in vitro and/or in vivo.

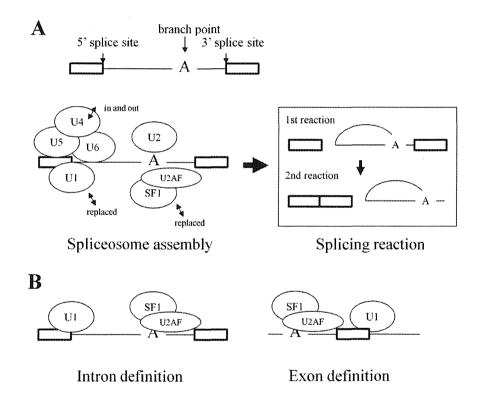


Figure 1: A, Assembly of the spliceosome by U snRNPs and associated factors (left) and the two-step trans-esterification reaction after the assembly of the spliceosome (right). B, The intron definition model for lower eukaryotes and exon definition model for higher eukaryotes. U1-6, U1-6 snRNP; SF1, splicing factor 1; U2AF, U2 snRNP auxiliary factor.

#### 2 Intronic nucleotide variations

#### Intronic splicing cis-elements

In eukaryotes, pre-mRNA splicing is conducted by essential nucleotide sequences on the splicing substrate (cis-elements) and trans-factors that bind to these sequences. Core cis-elements involve the 5' splice site,

the branch point sequence (BPS), polypyrimidine tract (PPT) and the 3' splice site, to which the *trans*-factors, U1 small nuclear ribonucleoprotein (U1 snRNP), SF1/mBBP and later U2 small nuclear ribonucleoprotein (U2 snRNP), U2 snRNP auxiliary factor 65 (U2AF65), and U2 snRNP auxiliary factor 35 (U2AF35) associate, respectively (Figure 1A). Besides these classical *cis*-elements, a growing number of intronic splicing enhancer/silencer (ISE, ISS) are known to function in alternative splicing, which, however, are difficult to predict from the nucleotide sequence alone. The tissue- and development-specific expression of these *trans*-factors that recognize these *cis*-elements makes the fine-tuned alternative splicing possible.

#### 2.1.1 5' splice site

The consensus sequence of the 5' splice site is "CAG/GUAAGUAU", where "/" indicates an exon-intron boundary (Lerner et al., 1980; Roca&Krainer, 2009). Several computational methods have been developed to evaluate the 5' splice site including the Shapiro and Senapathy matrix (Shapiro&Senapathy, 1987), the weight matrix model (Staden, 1984), the first-order Markov model (Salzberg, 1997), the maximum dependence decomposition model (Burge&Karlin, 1997), and the maximum entropy model (Yeo&Burge, 2004). Many reports have been made on aberrant splicing due to disease-associated SNVs at the 5' splice site. The computational methods above are optimized for evaluating the strength of U1 snRNP-binding to the 5' splice site, but are not designed for evaluating the effect of an SNV on aberrant splicing. Algorithms to predict an activated cryptic 5' splice site due to an SNV at the 5' splice site have been created: an ESTbased method called Cryptic Splice Finder (Kapustin et al., 2011), which uses the information content of nucleotide frequencies at each 5' splice site (Rogan et al., 1998; Nalla&Rogan, 2005). Calculation of free energy for stable binding of U1 snRNA to the 5' splice site has also been proposed ( $\Delta G$ ) (Roca et al., 2005). More recently, a structure-based method, the structure profiles and odds measure (SPO algorithm), was developed for identifying cryptic 5' splice sites (Tsai&Wang, 2012). In order to predict a splicing consequence of an SNV at the 5' splice site, we have also analyzed splicing patterns of 31 mini-genes carrying naturally occurring or artificially introduced mutations at various nucleotides of the 5' splice site using a cell culture system (Sahashi et al., 2007). We found that a new parameter, the SD-score, efficiently predicts the splicing consequences of SNVs at the 5' splice site. SD-score is a common logarithm of the frequency in the human genome of a specific 9-nucleotide sequence spanning 3 nucleotides at the 3' end of an exon and 6 nucleotides at the 5' end of an intron. The SD-score predicted the splicing consequences of the 31 mini-genes and 179 reported SNVs at the 5' splice site with the sensitivity of 97.1 % and specificity of 94.7 %. We simulated all possible SNVs at 189,249 5' splice sites in the human genome using this SD-score, and found that 37.8%, 88.8%, 96.8%, 82.8%, 95.0%, 96.5%, and 63.0% of SNVs at exonic positions -3, -2, -1, and intronic position +3, +4, +5, +6, respectively, were predicted to cause aberrant splicing, which were all higher than we expected. Using the SD-score we indeed detected four mutations at the 5' splice site causing aberrant splicing, which have been overlooked. The SD-score web service program is available at http://www.med.nagoya-u.ac.jp/neurogenetics/SD\_Score/sd\_score.html.

A problem shared by these computational methods is that they rely on prediction of binding of U1 snRNP to the 5' splice site. The problem emerges at the non-canonical 5' splice sites: 0.9% of 5' splice sites carry GC dinucleotide and 0.36% harbor U12-type 5' splice sites with AT dinucleotide (Sheth et al., 2006). Also it has been reported that base pairing is shifted by one nucleotide between the 5' splice site and U1 snRNA at 59 5' splice sites (Roca&Krainer, 2009). A subsequent report shows that as much as ~5% of 5' splice sites are recognized by U1 snRNA by bulged nucleotides on either the 5' splice site or U1 snRNA (Roca et al., 2012).

#### 2.1.2 Human branch point sequence (BPS)

Yeast carries a strictly conserved BPS of "UACUAAC" (Langford&Gallwitz, 1983). In eukaryotes, however, the BPS had not been evaluated through global experimental verification. Previously reported consensus sequences of eukaryote BPS were either based on analyses of only a few experimentally identified branch points or *in silico* search for sequences which resemble the yeast consensus BPS.

As depletion of SF1 does not abrogate splicing in HeLa nuclear extract, SF1 may be dispensable in most pre-mRNAs (Tanackovic&Kramer, 2005). An SF-1 affinity model, in which validated SF1 affinity data is employed, efficiently predicts BPS in putative SF1-dependent introns (Pastuszak et al., 2011). A computational algorithm for branch point prediction, the SVM-BP finder (http://regulatorygenomics.upf.edu/Software/SVM\_BP/), has also been developed (Corvelo et al., 2010). Recently, large-scale sequencing of lariat RT-PCR products determined individual branchpoints in human pre-mRNAs and also identified 66 disrupted branchpoints associated with human diseases (Taggart et al., 2012).

Development of lariat RT-PCR has markedly increased efficiency of identifying BPSs (Vogel et al., 1997). We conducted lariat RT-PCR of 52 introns of 20 housekeeping genes. Analysis of 367 lariat RT-PCR clones disclosed that the human consensus BPS is "yUnAy" (y, pyrimidine; n, any nucleotide) (Gao et al., 2008). The fourth nucleotide "A" is the branchpoint (position +0) and is conserved in 92.3% of the clones. The "U" at position -2 is conserved in 74.6%. Collation of 46 experimentally verified BPSs that have been previously published also resulted in a "yUnAy" sequence.

#### 2.1.3 3' splice site

The 3' splice site consists of 3' end of an intron and 5' end of an exon, having a consensus sequence of "NYAG/R" (N, any nucleotide; Y, pyrimidine; R, purine; "/", intron-exon boundary) (Zhang, 1998). U2AF35 binds to this sequence at early steps of the splicing reaction. At later steps, the dinucleotide "AG" is scanned downstream of the branchpoint and the first "AG" is recognized as the 3' end of the intron, "AG scanning model" (Smith et al., 1989). SNVs of this kind have been reported; an 18-nucleotide duplication comprising 16 intronic and 2 exonic residues of the *HEXB* gene encoding the β subunit of β-hexosaminidase results in an active upstream copy of the 3' splice sites (Dlott et al., 1990). A 69-nucleotide duplication comprising 7 intronic and 62 exonic residues of *SLC4A1* encoding anion exchanger member 1 also results in an active upstream copy of the 3' splice sites (Bianchi et al., 1997). We have characterized a mutation of this kind in a patient with congenital myasthenic syndrome. The mutation was a duplicated sequence of 16 nucleotides at the junction of intron 10 and exon 11 of the acetylcholine receptor ε subunit gene (*CHRNE*) (Ohno et al., 2005). The upstream of the duplicated "AG" was always recognized and generated aberrant protein.

On the contrary, a mutation of the *BTD* gene encoding biotinidase creates a downstream 3' splice site with a stronger polypyrimidine tract which results in usage of the downstream AG, not following the AG scanning model (Pomponio et al., 1997). Analyses of the β-globin gene in β-thalassemia patients (Spritz et al., 1981; Westaway&Williamson, 1981; Metherall et al., 1986) and deletion mutagenesis analyses of the rabbit β-globin intron 2 have disclosed the following rule. When the upstream cryptic 3' splice site is more than 24 nucleotides away from the authentic 3' splice site, the authentic 3' splice site is used; less than 21 nucleotides, the cryptic 3' splice site is used; between 21 and 24 nucleotides, both cryptic and authentic 3' splice sites are used (Chua&Reed, 2001; Wieringa et al., 1984). A chromatin- and RNA-associated protein, DEK, facilitates the U2AF35-AG interaction and prevents binding of U2AF65 to pyrimidine tracts not followed by AG (Soares et al., 2006). As described later, hnRNPA1 also

discriminates authentic 3' splice sites from pyrimidine-rich RNA segments by recognizing AG at the 3' end of an intron (Tavanez et al., 2012).

Extensive mutational analyses of nucleotides surrounding the 3' splice site of *FAS* revealed that, though U2AF35-binding sequences are highly degenerative, the auxiliary nucleotides are essential for binding of U2AF35 (Corrionero et al., 2011).

Recent analysis of large-scale mapping of the human branchpoints has revealed 80% of the branchpoints follow the AG scanning model. A decision tree optimized by the ID3 algorithm was developed for AG selection to an accuracy of 95.5%. This decision tree includes four steps: 1) whether the AG of interest is the first one after the branchpoint or not, 2) whether the first AG is more than 7 nucleotides downstream the branchpoint or not, 3) whether the distance between the first and next AG is more than 14 nucleotides or not, 4) if the AG decided is within an optimal range from the branchpoint (16-25 nucleotides) (Taggart et al., 2012).

#### 2.1.4 Intronic splicing enhancers (ISE) / intronic splicing silencers (ISS)

In human, prediction of disruption of intronic *cis*-elements would be more difficult than exonic *cis*-elements due to the fact that introns are much longer than exons. Many examples of aberrant activation of pseudo-exons due to mutations have been documented (Dhir&Buratti, 2010). Most of these pseudo-exons originate from transposable elements that make up half of the human genome (Vorechovsky, 2010). They are likely to be suppressed by nucleotide substitutions acquired in the course of evolution (Schmitz&Brosius, 2011), and are reactivated by a disease-causing mutation. Currently available exon scanning tools cannot fully predict aberrant activation due to these mutations. We thus have listed the position in gene and resulting disease in Table 1, with the hope of assisting development of an accurate algorithm which predicts exonization due to an intronic SNV and for aid of the development of the recent antisense therapies which correct expression of these pseudo-exons (Wood et al., 2007) especially in neuromuscular disorders (Wood et al., 2010).

The average length of these 124 pseudo-exons identified in 61 diseases is 109 nucleotides, which is slightly shorter than the average size of human exons of 126 nucleotides (Schwartz et al., 2009). Recently disclosed link of histone modifications (Kolasinska-Zwierz et al., 2009) and nucleosome (Beckmann&Trifonov, 1991; Tilgner et al., 2009) to alternative splicing has led to speculation that 120 bp of DNA wrapping a subnucleosome structure, the (H3-H4)<sub>2</sub> histone tetramer, is protected from nucleotide substitutions and marks the exon for the splicing machinery in higher eukaryotes (Keren et al., 2010). The growing list of aberrantly activated pseudo-exons predicts that intronic mutations causing abnormal splicing are more involved in disease mechanisms than have been previously expected. Indeed, the frequency of these types of mutations has been calculated up to 7% in some diseases (Pros et al., 2009; Gurvich et al., 2008).

We have also identified a G-to-A mutation at 8 nucleotides upstream the 3' end of intron 3 (IVS3-8G>A) of the *CHRNA1* gene. In this patient, a missense mutation that decreases the expression of acetylcholine receptor was also identified on one allele, and the IVS3-8G>A mutation was found on the other. We thus checked splicing products in the patient's biopsied muscle and found that an alternative exon P3A downstream of exon 3 is exclusively activated by IVS3-8G>A. As the mutation does not disrupt any known splicing *cis*-element, we sought for a responsible splicing *trans*-factor and identified that hnRNP H binds to this *cis*-element. Biacore surface plasmon resonance (SPR) analysis revealed that the mutation decreases the binding affinity for hnRNP H to 1/100 (Masuda et al., 2008). We also determined polypyrimidine tract binding protein (PTB) as a silencing *trans*-factor for P3A and that tannic acid increases expression of PTB to correct abnormal activation of P3A (Bian et al., 2009).

Disease	Gene name	Size of pseudo-exon and localization
Afibrinogenemia	FGB	50 bp in intron 1
	FGG	75 bp in intron 6
Alport syndrome	COL4A3	74 bp in intron 5
	COL4A5	30 bp in intron 29
		147 bp in intron 6
Amyotrophic lateral sclerosis	SOD1	43 bp in intron 4
Ataxia telangiectasia	ATM	58 bp in intron 19
<u> </u>		65 bp in intron 20
		112bp in intron 28
		137 bp in intron 40
Autosomal dominant hearing loss	MYO6	108 bp in intron 23
Autosomal dominant retinitis pigmentosa	PRPF31	175 bp in intron 13
Autosomal recessive polycystic kidney disease	PKHD1	116 bp in intron 46
β+ thalassemia	HBB	165bp in intron 2
	1122	125 bp in intron 2
		73 bp in intron 2
Breast cancer	BRCA1	66 bp in intron 13
Diedst editeel	BRCA2	65 bp in intron 16
	ESR1	69 bp in intron 5
Brooke-Spiegler syndrome	CYLD	65 bp in intron 9
Choroideremia	CHM	98 bp in intron 5
Chronic granulomatous disease	CYBB	56 bp in intron 6
Chrome grantionatous disease	CIBB	61 bp and 110 bp
		in intron 5
Congenital enternate facial dysmorphism	CTDP1	95 bp in intron 6
Congenital cataracts facial dysmorphism	CIDII	95 op in miton o
neuropathy syndrome	DMM	66 ha in intern 7
Congenital disorders of glycosylation type Ia	PMM2	66 bp in intron 7
	4.0.7	123 bp in intron 7
Chronic myeloid leukemia	ABL	35 bp in intron8
	BCR-ABL	42 bp of <i>ABL</i> intron 1b
Coagulation factor V deficiency	F5	295 bp in intron 8
Cystic fibrosis	CFTR	183 bp in intron 3
		101 bp in intron 6
		104 bp in intron 10
		49 bp in intron 11
		214 bp in intron 18
		84 bp in intron 19
DHPR deficiency	DHPR	152 bp in intron 3
Duchenne muscular dystrophy	DMD	149 bp in intron 1M
		(Becker type)
		132 and 46 bp
		in intron 2
		70 bp in intron 4

Leigh syndrome	NDUFS7 MSH2	122 bp in intron 1 75 bp in intron 1
Hyper immunoglobulin M (IgM) syndrome Leber congenital amaurosis	CD40L CEP290	59 bp in intron 3 128 bp in intron 26
17α-Hydroxylase Deficiency	CYP17A1	95 bp in intron 1
Homocystinuria	MTRR	140 bp in intron 6
syndrome) Haemophilia A Holoprosencephaly	F VIII SHH	191 bp in intron 1 129 bp in intron 1
Growth-hormone insensitivity (Laron	GSS GHR	108 bp in intron 6
Globoid-cell leukodystrophy Glutathione synthetase deficiency	GALC GSS	34 bp in intron 6 In exon 2
Gitelman's syndrome	SLC12A3	238 bp in intron 13 90 bp in intron 21
Fukutin related congenital muscular dystrophy	FKTN	64 bp in intron 5
Familial hemophagocytic lymphohistiocytosis Fibrochondrogenesis	<i>UNC13D</i> <i>COL11A1</i>	130 bp in intron 1 50 bp in intron 48
Fabry disease	GLA	(intermediate type) 67 bp in intron 62 58 bp in intron 62 67 bp in intron 63 147 bp in intron 65 53 bp in intron 65 121 bp in intron 67 57 bp in intron 4
		149 bp in intron 49 134 bp in intron 56 89 bp in intron 60
		98 bp in intron 49 180 bp in intron 49 160 bp in intron 49
		125 bp in intron 48 108 bp in intron 48
		•

90 bp in intron 9
(Becker type)
in intron 11
79 bp in intron 11
(Becker type)
95 bp in intron 25
172 and 202 bp in intron

119 bp in intron 27 137 bp in intron45 72 bp in intron 47

25

Maple syrup urine disease	E2	126 bp in intron 8
Marfan syndrome	FBN1	93 bp in intron 63
Megalencephalic leukoencephalopathy with	MLC1	246 bp in intron 10
subcortical cysts		-
3-methylcrotonyl-CoA carboxylase deficiency	MCBB	64 bp in intron 10 also
		deletes exon 11
Methylmalonic acidemia	MUT	76 bp in intron 11
Mitochondrial trifunctional protein deficiency	HADHB	106 and 56 bp in intron7
Mucopolysaccharidosis Type II (Hunter	IDS	78 bp in intron 7
syndrome)		103 bp in intron 3
Mucopolysaccharidosis type VII (Sly	GUSB	68 bp in intron 8
syndrome)	•	
Multiminicore Disease	RYR1	119 bp in intron 101
Myopathy with lactic acidosis	$\mathit{ISCU}$	53 bp in intron 4
		86 and 100 bp in intron 5
Neurofibromatosis type 1	NF-1	107 bp in intron 3
		58 bp in intron 6
		76 and 54 bp in intron 10
		177 bp in intron 30
		172 bp in intron 30
		70 bp in intron 45
Neurofibromatosis type 2	NF-2	106 bp in intron 5
Niemann–Pick type C disease.	NPC1	374 bp in intron 1
		108 bp in intron 6
		194 bp in intron 9
Ocular albinism type 1	OA1	165 bp in intron7
ornithine $\delta$ -aminotransferase deficiency	OAT	142 bp in intron 3
Propionic acidemia	PCCA	84 bp in intron 14
	PCCB	72 bp in intron 6
Primary ciliary dyskinesia (Kartagener	CCDC39	116 bp in intron 9
syndrome)		
Retinoblastoma	RB1	103 bp in intron 23
Schwartz-Jampel syndrome	HSPG2	130 bp in intron 6
Tetrahydrobiopterin deficiency	PTS	45 bp in intron 2
		79 bp in intron 1
Tuberous sclerosis complex	TSC2	89 bp in intron 8
Urea transport deficiency	JK	136 bp in intron3
		also deletes exon4,5
Usher syndrome type 2	USH2A	152 bp in intron 40
X-linked hypophosphatemia	PHEX	50, 100, 170 bp in intron
		7
		59 bp in intron 19
Werner syndrome	WRN	69 bp in intron 25

Table 1: Disease-associated mutations causing aberrant activation of pseudo-exon

#### 3 Exonic nucleotide variations

#### 3.1 Exonic splicing *cis*-elements

#### 3.1.1 First nucleotide of exon

As mentioned above, the consensus 3' splice site is "YAG/G" (Y, pyrimidine). Many SNVs disrupting the AG are known, but few have been reported that disrupt the first nucleotide G in the exon.

We have analyzed the effect of mutations at the first nucleotide in the exon on splicing regulation (Fu et al., 2011). When the length of the pyrimidine stretch of the polypyrimidine tract is longer than 10-15 nucleotides, U2AF65 binds so tight that U2AF35 binding to the YAG/G is dispensable, which is known as the AG-independent splice site. When the pyrimidine stretch is short, U2AF35 needs to bind to the YAG/G for efficient splicing, which is known as the AG-dependent splice site. We have found that when a mutation of the first nucleotide of exon is at an AG-dependent splice site, aberrant splicing occurs, but when a mutation is at an AG-independent splice site, normal splicing takes place. Analyses of 1,796,809 3' splice sites in human revealed that when the first nucleotide of the exon is other than G, the average size of pyrimidine stretches is significantly shorter than that when it is a G. This means that when the 3' splice site is AG-dependent, the probabilities of a short pyrimidine stretch and the first nucleotide of the exon to be a G are higher. We have reported 5 mutations at the first nucleotide of an exon that cause aberrant splicing. The importance of the first nucleotide of an exon is also reported in FAS encoding CD95 gene, in which U2AF35 strictly binds to RCAG/G (R, purine), and is sensitive to a single mutation even at -4, -3, and +1 (Corrionero et al., 2011). Mutations at the first nucleotide of an exon may have been underestimated and attention must be evoked in the field of human genetics.

A total of 20 mutations at the first nucleotide of an exon have been reported: 10 mutations cause aberrant splicing (AS), whereas 10 result in normal splicing (NS) (Table 2). According to our studies (Fu et al., 2011), we can assume that the AS and NS mutations are at the AG-dependent and AG-independent sites, respectively. As expected, all the AS mutations affect a G at the first nucleotide of an exon. We constructed sequence logos using a tool available on-line (Crooks et al., 2004) (http://weblogo.berkeley.edu/logo.cgi) using the 10 AS (Figure 2A) and 10 NS (Figure 2B) mutants. Figure 2A shows that in the 10 AS sites a C at intron -3 is rarely observed. As the consensus sequence for U2AF35 is YAG/G, lack of C at intron -3 makes binding of U2AF35 solely dependent on G at exon +1 in the AS sites. A mutation affecting G at exon +1 thus compromises binding of U2AF35, leading to aberrant splicing. The lengths of uninterrupted stretch of PPT (underlined in Table 2) of the AS and NS sites are  $7.4 \pm 2.9$  and  $9.3 \pm 4.2$  (mean and SD), respectively. Although the difference is not statistically significant, the difference in PPT also suggests that the AS sites are AG-dependent.

Valcarcel and colleagues recently report that U2AF heterodimer requires hnRNPA1 to discriminate bona fide 3' splice sites from pyrimidine-rich RNA segments (Tavanez et al., 2012). hnRNP A1 recognizes AG at the 3' end of an intron and facilitates binding of U2AF heterodimer to bona fide PPT. As the consensus sequence of hnRNP A1 is "UAGGGW" (W, A or U) (Burd&Dreyfuss, 1994), a G at the first nucleotide of an exon may also be essential for binding to hnRNP A1.

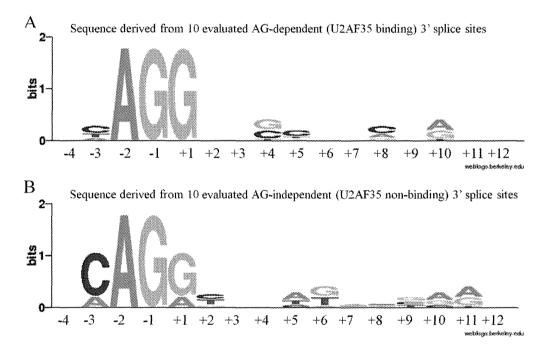
In the course of analysis of mutations at the first nucleotide of an exon, we also found that T is preferentially used at exonic position +3, +4, and +5 in the human genome (Fu et al., 2011). SELEX analysis of U2AF35 also shows that U2AF35 binds up to 12 nucleotides downstream the intron-exon boundary into the exon (Wu et al., 1999). Indeed, preferential usage of T at exonic position +3, +4, +5 is

observed in the SELEX dataset. Splicing mutations affecting these thymine nucleotides, however, have not been reported to date.

Mutation at first nucleotide of exon	Gene name /exon	Consequence			
Mutations affecting splicing (AG-dependent 3' splice sites to which U2AF35 binds)					
atttaAtttetetgttttteeeettttgtag (G>T)AAGTCACCAAA	CFTR / exon 4	Exon skipping, activation of cryptic exon			
cacttAcgcattcgtcta <u>tctttcg</u> catag (G>T)TTGGTCCAATG	FECH/ exon 9	Exon skipping			
gactgAtcttgttttctgttcccacag (G>T)GGGTTCCAGCC	UROS / exon 9	Exon skipping			
gtagAccttggtgggcggtccttctcctag (G>T)AAGAAGCCTAT	GH1 / exon 3	Exon skipping			
tcttcAcctgtcata <u>ttctt</u> attttag (G>T)ATCCACCCACT	EYA1 / exon 12 (previously exon10)	Exon skipping			
ccatgAcagaatttaccagaaatgtaacca <u>tctc</u> ag (G>T)TCTCTGATGAA	PKHD1 / exon 25	Exon skipping, activation of cryptic 3' splice site			
ctgtaActgttta <u>ttt</u> ccaacag (G>T) GTGCTGCTGGT	COL1A2 / exon37	Exon skipping			
agatgAcattgcccctggggccttatgtttgggaa cacag (G>A)GAGCGCAGAGT	CLCN2 / exon 19	Exon skipping			
etgtgAccccaaattggtcttca <u>tcctctctctaag</u> (G>T)CTCCATGGTTC	CAPN3 / exon 5	Exon skipping			
atctgAagca <u>tcttcctttctg</u> tttcttctcaag (G>T)TTCCCAAAGAG	CAPN3 / exon 12	Exon skipping			
Mutations not affecting splicing (AG does not bind)	-independent 3' splice	e sites to which U2AF35			
ttctaAgcagtttacgtgccaattcAa <u>tttctt</u> aacc tatctcaaag (A>G)TGGAGATCAGT	F9 / exon 4	No effect on splicing			
ggcttAtatctgtttattattcAgtattcctgtgtaca ttttctgttttta <u>ttttt</u> atacag (G>del)CTATGTAGAAC	MSH2 / exon 12	No effect on splicing			
gagtgAgtccagggtgcctagacaagaggtagc agcctgtggatgtccagcacctttgcagggaatac agggcccaatctggcacatgccccttttcctccag (G>C)CCCAGAGCAGG	HEXA / exon 13	No effect on splicing			
aatttAcaaatctgtgttcctgcttttttcccttttaag (G>T)CCTCGATCCAG	LPL / exon 5	No effect on splicing			
ttgtgAtctcttga <u>ttttatttc</u> ag (G>A)CAAATCCTAAG	APC / exon 16	No effect on splicing			
ttttaAgtgttta <u>ttttc</u> attgactttgcag (A>del)TATTAATGAAT	FBN1 / exon 20	Predicted to be only amino acid substitution			

ctgtaActgttta <u>tttcc</u> aacag (G>A)GCCCTCCTGGT	COL1A2 / exon23	No effect on splicing
ctctgAgggtctcttgtctttcctcag (G>A)TGAATGTGGAA	LAMA2 / exon 24	No effect on splicing
tgttgA <u>ccettcetcetcec</u> atgacag (G>A)TGCAGCCGCTG	NEU1 / exon 2	No effect on splicing
cactaAtgcccctctctcctcctgcccccag (G>T)GCGTTCCTGGC	COL6A2 / exon 8	No effect on splicing

Table 2: Mutations at the first nucleotide of exon



**Figure 2:** Sequence logos at the 3' splice sites. **A.** Ten mutations in Table 2 causing aberrant splicing. **B.** Ten mutations in Table 2 immune to aberrant splicing.

#### 3.1.3 Exonic splicing enhancers (ESE) / Exonic splicing silencers (ESS)

It has been predicted that 16-20% of missense mutations disrupts ESEs and change the splicing pattern of the gene (Gorlov et al., 2003). Comparison of effects of mutations in HGMD and neutral SNPs in dbSNP on ESE/ESS hexamers and subsequent validation of an example of *de novo* generated ESSs revealed that 7,154 out of 27,681 missense and nonsense mutations (26%) are likely to cause exon skipping (Sterne-Weiler et al., 2011). Indeed, we reported that E154X and EF157V in the *CHRNE* gene (Ohno et al., 2003) and E415G in the *COLQ* gene (Kimbell et al., 2004) in congenital myasthenic syndromes were not nonsense or missense mutations, but were splicing mutations. Although the predicted ratios of 16-26% may be too high, many ESE/ESS-disrupting mutations are likely to be underestimated.

Although ESEs/ESSs are poorly conserved and difficult to predict, several motif search tools are available online: ESE finder 3.0 (Cartegni et al., 2003), ESRsearch (Goren et al., 2006), FAS-ESS (Wang et al., 2004), PESXs (Zhang&Chasin, 2004) (Zhang et al., 2005), RESCUE-ESE (Fairbrother et al., 2002), Human Splicing Finder (Desmet et al., 2009), SpliceAid (Piva et al., 2009), and SpliceAid2 (Piva et al., 2009). Two additional prediction algorithms employ different parameters to predict auxiliary splicing elements including ESE/ESS/ISE/ISS. CRYP-SKIP is based on a dataset of 250 skipped exons and 204 activated cryptic splice sites due to disease-causing mutations (Divina et al., 2009). Spliceman is based on positional distributions of hexamers that comprise auxiliary splicing elements. Spliceman calculates the "L1 distance", which is a measure how much a mutation changes the position of a cluster of hexamers (Lim et al., 2011). As these predictive algorithms tend to show false positives, *in vivo* and/or *in vitro* splicing analyses are essential to prove the splicing effect of a mutation. But it must be kept in mind that these web sites are easily discontinued and difficult to maintain due to accelerating development of new tools.

#### 4 NAS and NASRE

## 4.1 Nonsense-associated altered splicing (NAS) and NMD-associated skipping of a remote exon (NASRE)

Originally found in the fibrillin gene (Dietz et al., 1993; Dietz&Kendzior, 1994), a premature terminating codon (PTC) sometimes leads to aberrant splicing, which is known as nonsense-associated altered splicing (NAS) (Hentze&Kulozik, 1999). Involvement of NMD as a cause of NAS has been suggested (Mendell et al., 2002; Wang et al., 2002). Disruption of an ESE is also indicated as a cause of NAS (Caputi et al., 2002; Liu et al., 2001). Another mechanism, suppression of splicing (SOS), in which neither NMD nor ESE is involved, is also proposed (Wachtel et al., 2004). As PTC itself has no effect on efficiency of removal of an adjacent intron (Lytle&Steitz, 2004), NAS is likely to be mediated by disruption of an ESE. In addition to an effect of ESEs on splicing, disruption of ESEs also stabilize pre-mRNA by unknown mechanisms (Imam et al., 2010; Muhlemann et al., 2001).

A mutation can also induce skipping of a remote exon on which the mutation is not located. In congenital myasthenia syndrome, we found that a 7-bp deletion in *CHRNE* exon 7 leads to skipping of the preceding exon 6 of 101 bp, and we named it NMD-associated skipping of a remote exon (NASRE). Exon 6 has weak splicing signals and is skipped even in normal conditions. The exon 6-skipped product, however, is completely degraded by NMD due to a PTC generated by a frameshift. When exon 7 has a 7-bp deletion, the open reading frame is resumed and the exon 6-skipped product becomes immune to NMD (Ohno et al., 2003). We reported other examples of NASRE, but NASRE is likely to be underestimated because remote exons are rarely scrutinized in the analysis of human diseases.

#### Conclusions

We have summarized canonical and auxiliary splicing *cis*-elements in physiological and pathological conditions. We hope to emphasize that splicing *cis*-elements can be located anywhere on the exon and intron, and aberrant splicing may be observed in any disease in any tissue. As correction of aberrant splicing by antisense oligonucleotides and small molecules are intensively sought for by many investigators, the identification of splicing-disrupting mutations is essential for understanding disease mechanisms and also for ameliorating pathomechanisms.

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