Table 5: Prescription of psychotropic medicine by physicians.

		ssive disorder = 27	Any mood disorder n = 52		
_	n	%	n	%	
Antidepressant (including sulpiride)	2	7.4	5	9.6	
Anxiolytic/Hypnotic	16	59.3	22	42.3	
No psychotropic medicine	11	40.7	29	55.8	

% is in patients with depressive disorder evaluated by the PHQ.

Patients prescribed both antidepressant and anxiolytic/hypnotic: Major depressive disorder (2), any mood disorder (4). One patient with major depressive disorder who was prescribed an antidepressant from another hospital was not included.

In addition, this is the first study reporting prescription rates of antidepressants to all consulted patients.

The present study was performed in a hospital located in a rural area where the proportion of the elderly is high. Generally, medical resources are poorer in rural areas than in urban areas, and elderly people have more chronic physical illnesses. Thus, general internal medicine in a rural area has an important primary care role in the community, especially for the elderly. In fact, most participants in the present study were geriatric patients. The findings are useful for constructing an effective intervention model to care for depressed patients in rural areas in Japan.

The rate of patients who did not participate in a similar survey performed in a rural French area using the PHQ was 14.1% (11.4% refused to participate, and 2.7% did not have enough to time to answer) [26]. The rate of patients who did not participate in the present study was half (7.1%) that of the French study. This suggests that the bias caused by refusal to participate in the present study may be smaller than that of the previous study. Furthermore, the rate of patients who did not participate in the survey using the Structured Clinical Interview for DSM-IV (SCID) was more than 40% [27]. Use of the PHQ instead of a semi-structured interview is one reason for the increased rate of participants. However, the bias from using the PHQ, which is a self-administered questionnaire, instead of a semi-structured interview may be unavoidable, as discussed in the following section.

### Limitations of the study

The present study has several limitations. First, as discussed above, we used self-administered questionnaires (the PHQ and the GAD-7) to evaluate depressive disorders and comorbid psychiatric disorders. The PHQ addresses symptoms only for a two-week period and may include bereavement reactions, mood disorders caused by physical disorders or medications, and/or depressive episodes of bipolar disorders. Although the Japanese PHQ has high sensitivity and specificity for major depres-

sive disorder, evaluation using a diagnostic interview, such as the semi-structured clinical interview for DSM-IV, will increase the validity of the results. Second, we surveyed only five physicians in one hospital. To increase the generalizability of the present results, a study including multiple hospitals or clinics is needed. Third, we judged cognitive impairment based on brief semi-structured interviews of patients or accompanying persons. Sometimes it is difficult to discriminate between depression and cognitive impairments caused by dementia in the geriatric population. A study using a screening or diagnostic tool with higher performance to exclude cognitive impairment is needed. Finally, we surveyed a history of psychotropic medicine prescription on the consultation day. However, the prescription may be reflected behavior by previous physicians rather than the one carrying out the current diagnosis.

### **Conclusions**

The prevalence of depression at a general internal medicine outpatient clinic was higher in the present study than in the Japanese community. Thus, general internists can play a role as gatekeepers for diagnosing untreated depressed patients in the community. However, physicians did not recognize depressed patients, even in severe cases. The prescription rate of antidepressants to depressed patients and the referral rate of depressed patients to mental health specialists were also low. In addition, the prescription rate of antidepressants to patients whom physicians diagnosed as having a mood disorder was also low.

There are multiple barriers to providing appropriate care for patients with depression, such as recognition of depression, judgment of its severity, prescription of anti-depressants and referral to mental health specialists. Collaborative care models developed and shown to be effective in the US and UK [5] to care for depressed patients by general practitioners and primary care physicians cannot be applied directly to the Japanese medical system.

Physicians can recognize insomnia comorbid with depression and can judge the presence of a mental disorder in depressed patients. Thus, an important step is to change physicians' attitude to depression into "it is our business" to find depression. The additional step is to perform screening and then to monitor the screening-positive patients and to refer them to mental health specialists. In addition to constructing a screening and monitoring system of depression, an educational intervention for physicians is key for improving the quality of life of depressed patients at general internal medicine outpatient clinics and of missed depressed patients in the community.

### Competing interests

MI received speaking fees from Eli Lilly.

### **Authors' contributions**

All authors have read and approved the final version of the manuscript. MI was the principal investigator and developed the original idea for the study. TO, MI, YO, and MK designed the study. TO, MI, YO, MK, and AS performed the survey. KM developed several Japanese questionnaires used in our survey. TO and MI analyzed data and prepared the manuscript. MY was a supervisor.

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# Prevalence of depression among outpatients visiting a general internal medicine polyclinic in rural Japan $^{,,,,,,,,,,}$

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### ABSTRACT

Objective: In Europe and the US, primary care has been anticipated in identifying untreated depression. Findings show a high prevalence of depression in such settings. However, the prevalence of depression in an internal medicine clinic in a rural area of Japan, which has a role in primary care, is unclear.

Method: The prevalence of depression and comorbid psychiatric disorders among outpatients of an internal medicine clinic in a rural general hospital was measured by a structured interview using the Mini International Neuropsychiatric Interview. Outpatients were recruited consecutively and stratified by Patient Health Questionnaire-9 (PHQ-9) scores. Among 598 outpatients, we interviewed 75 randomly selected patients and 29 whose results of the PHQ-9 were positive. We estimated prevalence of depressive episode using age, sex, physical findings by internal medical doctors and PHQ-9 scores as covariates.

Results: The estimated prevalence of major and minor depressive episodes were 7.4% [95% confidence interval (CI): 3.4%–11.4%] and 6.8% (95% CI: 2.6%–10.9%), respectively. Among major depressed patients, 71.4% had current suicidal ideation.

Conclusion: Given the high rate of depression and suicidality, identification of depression and collaboration between internal medical doctors in a rural area of Japan and mental health professionals are needed.

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### 1. Background

Depression is a prevalent, disabling disorder that has a profound influence on quality of life. It is estimated to become the leading cause

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Previous studies have invariably reported a high prevalence of depression in the general population [2–5] and in health care settings [6–8]. For example, the World Health Organization (WHO) performed a primary care mental health survey of 14 countries and found that 14% of primary care patients suffered from major depression [6]. Given the high prevalence of depression, primary care settings play an important role in identifying and treating depressed patients [9–11]. In Japan, there are few doctors specialized to primary care because its medical system has no clear definition of primary care and the specific providers responsible. Most patients, especially those in rural areas, consult an internal medical doctor for their primary care.

A previous study of patients in a general medicine clinic showed a 4.7% lifetime prevalence of major depressive episodes [12]. Another survey, also performed about 20 years ago, showed a 3.0% prevalence

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2

of major depressive episodes [13]. However, there are few recent studies showing depression prevalence in primary care settings.

Recently, we reported the prevalence of depression in a rural general hospital, where many of the patients were elderly [mean age (S.D.)= 72.9 (12.5) years]. Approximately 53%, 12% and 10% of the patients suffered from hypertension, hyperlipidemia and diabetes, respectively, which suggested that this rural general hospital played a role in the primary care of chronic physical illnesses of elderly patients [14]. Using the Patient Health Questionnaire-9 (PHQ-9), 8.7% [95% confidence interval (CI), 5.5%-11.8%] presented with probable major depression and, 16.7% (12.5%-20.8%), with a probable mood disorder. However, these prevalence estimates were based only on self-reports, and we did not perform any structured interviews to diagnose depression. There were also no data regarding comorbid psychiatric disorders that are commonly observed in primary care settings [15,16]. Therefore, the present study used structured psychiatric interviews to elucidate the prevalence of depression and other psychiatric disorders among patients of a general internal medicine outpatient clinic in a rural area of Japan.

### 2. Methods

### 2.1. Participants

This study was approved by the Ethics Committee of the National Center of Neurology and Psychiatry in Japan. The researchers provided all participants with detailed information using a written document and administered a battery of self-report questionnaires after the patients provided oral informed consent. After this first-stage screening, we conducted structured psychiatric interviews with patients who provided further written informed consent.

This study was conducted on nine consecutive consultation days between July 12 and 23, 2010, at a general internal medicine outpatient clinic in a general hospital having no mental health specialties. This hospital is located in a small city (population of 124,756 in 2010) in the Tohoku region of Japan. The hospital serves as a regional public hospital and is funded by the National Health Insurance Society of Oshu City. Oshu City is a typical rural area about 500 km north of Tokyo with low population influx. There are high proportions of elderly people and people engaged in primary industry [17].

We used the following inclusion criteria to define a target population that can be assessed for depression in routine clinical practice: (a) patients aged 20 years or older who visited the outpatient clinic to consult a physician for their own primary care and (b) patients who have no communication difficulties, such as hearing loss or language problems, and who have no severe cognitive impairment, such as dementia or disturbance of consciousness. Thus, we did not include visitors who came in for admission preparation or those who consulted for their family members. We also did not include patients who lived outside the catchment area of the hospital. Severe cognitive impairment was judged based on a semistructured interview, using the first two questions of the Mini-Mental State Examination concerning time and place orientation [18,19] by research staff consisted of psychiatrists (MI and MY), a research assistant (TO) having experience in survey using the Mini-Mental State Examination and PHQ-9 in internal medical clinics and nurses. All were trained for the procedure of the present study. The staff sometimes conducted an additional interview regarding patient lifestyle factors and dementia history if accompanying persons were present. Due to ethical considerations and feasibility of the survey, we also excluded patients who were too physically ill to be interviewed.

### 2.2. Measurements

### 2.2.1. PHQ-9

We used the PHQ-9 [20,21] to stratify participants. We asked patients to choose from the following options how often they had

been bothered by each of nine symptoms over the last 2 weeks: "not at all," "several days," "more than half the days" and "nearly every day." Two scoring methods, a categorical algorithm and a dimensional assessment, have been proposed in the literature. In the categorical algorithm, depression screening is positive if five or more of the nine depressive symptom criteria were present at least more than half the days and one of the symptoms is depressed mood or anhedonia. One of the nine items, "thoughts that you would be better off dead or of hurting yourself in some way," was counted if present at all. In addition to the categorical algorithm, we judged depression severity using a dimensional scale, with a cutoff score of 10 reported as optimal for screening probable depression. Each item is scored from 0 to 3, with a total possible score of 27 for the nine items.

We used a categorical algorithm to screen probable depression positive. In the categorical algorithm, depression is positive if one of two items (depressed mood or anhedonia) was present. Based on the results of the PHQ-9, patients were screened as probable depression positive using either the categorical algorithm (one of the two items) or the dimensional assessment (score of more than 10).

### 2.2.2. Mini International Neuropsychiatric Interview (MINI)

We used the MINI [22,23] to diagnose depression and other psychiatric disorders. The interview was originally developed as a structured diagnostic interview compatible with DSM-III-R and ICD-10 criteria [25,26]. The MINI focuses on current diagnoses and only explores lifetime diagnoses clinically if relevant to the present status. For most diagnostic sections, one or two screening questions are used to rule out the diagnosis when answered in the negative. The MINI includes 19 disorders chosen as most common from epidemiological data [27,28]. In the present study, we used the modules related to depression, anxiety, eating disorders and alcohol/substance dependence/abuse, which are often observed in primary care settings [16]. We evaluated current suicidality using the suicidality module (C) of the MINI, although the validity has not been completely established [22-24]. The module consists of six items that identify any suiciderelated episodes or phenomena, including suicidal ideation within the last month (five items) and history of suicide attempts (one item) in the life. If any items in the suicidal ideation within the last month (five items) were relevant, we judged that current suicidality was present. In addition, we calculated the score (e.g., lifetime histories of attempting suicide=4, presence of having suicidal ideation within a month=6, planning or attempting suicide within a month=10) and showed the number of patients with a high risk (MINI suicide risk >= 10) as sever suicidality [22–24]. In addition to the current suicidality evaluated by the MINI, we investigated score of the Item 9 in the PHQ-9 (thoughts that you would be better off dead or of hurting yourself in some way: not at all: 0; several days: 1; more than half the days: 2; and nearly every day: 3, over the past 2 weeks). We confirmed that scores of the Item 9 among patients with current suicidality by the MINI (median: 1; range: 0-3) were significantly higher than those among patients without (median: 0; range: 0-1) (U=273.5, P<.01 by the Mann-Whitney U test). We also used the MINI to assess minor depressive episodes, defined as having two to four items, with one of the items being depressed mood or anhedonia in the major depressive episode module (A) of the MINI.

### 2.3. Procedure

We defined the target population by the inclusion criteria described in the participant section and adopted a random sampling stratified by the PHQ-9 results. Trained psychiatrists (MI or MY), who were blind to the results of the PHQ-9, conducted structured MINI interviews of patients who were screened as probable depression positive as well as randomly selected patients.

M. Inagaki et al. / General Hospital Psychiatry xxx (2013) xxx-xxx

### 2.3.1. Statistical analyses

We calculated the prevalence estimates of any depressive episode (major depressive episode and minor depressive episode), other psychiatric disorders and their 95% CIs using sampling weights. The weight was based on the inverse of the sampling probability for age, sex, clinical diagnosis of primary illness and PHQ-9 score. We performed multiple imputations for the missing data. We performed all statistical analyses using the statistical software packages SPSS 17.0 (IBM, Tokyo) and Statistical Analysis System (SAS) 9.2 (SAS Institute Japan, Tokyo).

### 3. Results

During the study period, 598 patients visited the clinic. We randomly selected 107 of the outpatients. From the selected 107 patients, we excluded 21 based on our inclusion criteria: 1 was less than 20 years old, 7 consulted for family members, 1 resided outside the area and 12 were severely cognitively impaired. Among the 86 patients, 5 patients were physically too ill, and 1 refused to participate in the study. Then we administered the PHQ-9 to 80 patients who agreed to participate in the survey.

Among the remaining 491 patients who were not selected randomly, we excluded 66 based on our inclusion criteria: 16 were less than 20 years old, 15 consulted for family members, 1 visited to prepare for admission, 2 resided outside the area and 32 were severely cognitively impaired. Among the 425 patients, 12 were physically too ill, 4 were missed and 5 refused to participate in the study. Then, we administered the PHQ-9 to 404 patients and acquired PHQ-9 data for 396 of the 404 patients, and 8 of PHQ-9 data were incomplete. As a result, 36 patients out of the 396 were screened as probable depression positive.

Among the total 116 participants (80 and 36 participants), 104 received a structured interview using the MINI. Twelve patients were not interviewed (seven were missed, one was physically ill and four refused the interview).

Table 1 shows characteristics of the target population (n=511). The median age of the population was 75 years, with more than 81.8% of participants being 65 years old or older. As shown in Table 1, chronic physical illnesses, such as hypertension, diabetes and hyperlipidemia, were frequent. The median number of visits in the past 6 months was four, which means many patients consulted the clinic approximately once every 6 weeks.

Of the 104 patients who we interviewed using the MINI, we diagnosed 21 as having experienced a major depressive episode and 15 with a minor depressive episode. One had a hypomanic episode, two had posttraumatic stress disorder (PTSD) and five had alcohol dependence. Twenty-seven patients had suicidal thoughts. No one had a high risk of suicide among 99 patients who completed the suicidality module of the MINI (five had incomplete data). Table 2 shows weighted prevalences of depression and other psychiatric disorders. The estimated prevalence of having a major depressive

**Table 1** Characteristics of the study participants

Median age (range) in years	75 (21–102)
Sex: female (%)	59.3
Clinical diagnosis of primary illness (%)	
Hypertension	58.7
Diabetes	16.0
Hyperlipidemia	15,9
Brain infarction	8.4
Arrhythmia	6.8
Number of visits in the past 6 months	
Median (range)	4 (0-74)

**Table 2**Prevalence of depression and other psychiatric disorders

	Estimated prevalence (%)	(95% CI)
Any depressive episode	14.1	8.2-20.0
Major depressive episode (current, 2 weeks)	7.4	3.4–11.4
Minor depressive episode (current, 2 weeks)	6.8	2.6-10.9
Hypomanic episode (current)	0.8	0.0-2.4
PTSD (current, past month)	1.4	0.0-3.4
Alcohol dependence (past 12 months)	5.4	0.3-10.5
Current suicidality	12.7	6.6-18.9

episode was 7.4% (95% CI: 3.4% to 11.4%). That of any depressive episode, including both major and minor depressive episode, was 14.1% (95% CI: 8.2% to 20.0%), which means that one in every seven patients was estimated to have depression. Prevalence of current suicidality was 12.7% or one in every eight patients. Alcohol dependence was also frequent (5.4%).

Table 3 shows the prevalence of comorbid psychiatric disorders and current suicidality among patients that experienced a depressive episode. Prevalence of suicidality was high in patients with a major depressive episode as well as those with any depressive episode. Among the patients with major depressive episode (n=21), median (range) of the scores of Item 9 of the PHQ-9 was 1 (0–3). Among those diagnosed as having any depressive episode (n=36), median (range) of the scores was 0 (0–3). And among those who had no depressive episode, median (range) of the scores was 0 (0–1). Proportions of patients who scored the Item 9 of the PHQ-9 as 3 (nearly every day over the past 2 weeks) were 38.1%, 22.2% and 0% among patients with major depressive episode (n=36) and those without any depressive episode (n=36), respectively.

### 4. Discussion

The present study investigated the prevalence of depression and other psychiatric disorders in a general internal medicine outpatient clinic of a Japanese rural general hospital using structured interview conducted by trained psychiatrists followed by screening of PHQ-9. Patients were elderly and had chronic physical illnesses. The prevalence of major depressive disorder was 7.4% and, that of depression including both major and minor depressive disorders, was 14.1%. The prevalence of alcohol dependence was high, and suicidality was prevalent among patients with major or minor depressive disorders.

A previous survey conducted by the WHO nearly 20 years ago reported the prevalence of depression as 3.0% in internal medicine outpatient clinics in Japan [13]. The prevalence of PTSD in the previous survey (0.2%) was also lower than that of the present study (1.4%). The prevalence of alcohol dependence in the previous survey was 6.2%, which was comparable to that of the present study (5.4%). In contrast, the prevalence of generalized anxiety disorder was 5.0% in

 Table 3

 Rate of comorbid psychiatric disorders in patients with depression

	Number	%
Major depressive episode ( $n=21$ )		
Current suicidality	15	71.4
PTSD	1	4.8
Alcohol dependence	0	0
Any depressive episode (n=36)		•
Current suicidality	18	50.0
PTSD	2	5.6
Alcohol dependence	1	2.8

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4

the previous survey, while no patients had generalized anxiety disorders in the present study.

These discrepancies may be explained by differences in participants and methods between the previous survey and the present study. The previous survey was conducted in a hospital located in a medium-sized city, whereas we examined prevalence of psychiatric disorders in a rural hospital. The previous survey excluded patients older than 65 years old, while the majority of participants in the present study were older than 65 years old. In addition, we need to consider that the previous survey was performed nearly 20 years ago.

A previous study performed in the US showed that the prevalence of major depression in rural primary care (8.3%) was lower than that in urban primary care settings (14.8%) [29]. The internal medicine clinic in the present study was located in a rural area, and the prevalence of major depression (7.4%) was similar to that previously reported [29]. However, the prevalence of depression in an urban clinic in Japan may be different.

Our previous study using the PHQ-9 to identify probable depression in the same clinic showed that prevalence of probable major depressive disorders (8.7%, 95% CI: 5.5%–11.8%) [14] was similar to that of the present study, suggesting that the results are reproducible.

The present study showed a high prevalence of current suicidality. In addition to the high prevalence, there was a higher rate of current suicidality among patients with major depressive episodes. Thus, current suicidality should be considered in addition to depression in patients evaluated at internal medicine clinics of rural general hospitals. In particular, referral of depressed patients with suicidal thought more than several days in the past 2 weeks to mental health professionals is required.

Previous studies in other countries showed that the prevalence of major depression in primary care settings for people aged 65 or older is 19.5% [30], which is higher than the prevalence found in the present study. The prevalence of depression in the general Japanese population is 2.9% [31], which is lower than that in other countries [32]. The lower prevalence in the general population may reflect the lower prevalence of depression in general internal medicine outpatient clinics.

The prevalence of depression in the internal medical outpatient clinic shown in the present study was higher than that previously reported for the general population in Japan [31]. This is similar to findings from other countries where the prevalence of depression in primary care settings is higher than in the community [30,33]. These results suggest that depressed patients more frequently consult internists. Thus, it is important that physicians appropriately identify, treat and/or refer untreated depressed patients that consult the clinic to mental health specialists.

The study has two major limitations. First, we selected only a single hospital for convenience. A survey of multiple, randomly selected sites from across Japan should be performed to generalize the findings. Second, the number of participants in the study was too small to effectively investigate comorbidities.

The present study showed a high prevalence of depression in an internal medicine outpatient clinic of a rural general hospital that plays a role in primary care for residents of its catchment area. We also showed a high prevalence of suicidality and its higher comorbidity rate with depression. Given the high rate of depression and suicidality, identification of depression and collaboration between internal medical doctors and mental health professionals, such as psychiatrists, are needed.

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# 大うつ病に対する新規抗うつ剤の最適使用戦略を確立するための 大規模無作為割り付け比較試験 研究プロトコル

# Strategic Use of New generation antidepressants for Depression (SUN © D) Study Protocol

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## 略語および用語の定義一覧

5-HT	5-hydroxytryptamine	セロトニン
BDI2	Beck Depression Inventory-II	ベック抑うつ質問票第2版
C-CASA	Columbia Classification Algorithm of Suicide Assessment	コロンビア自殺評価分類アルゴリズム
CRC	Clinical Research Coordinator	臨床研究コーディネータ
CRO	Contract Research Organization	開発業務受託機関
CYP	Cytochrome pigment	シトクロム色素
DALY	Disability-Adjusted Life Years	障害調整生命年
DSMB	Data Safety Monitoring Board	データ・安全性管理委員会
EDC	Electronic Data Capturing	電子的臨床検査情報収集システム
FIBSER	Frequency, Intensity, and Burden of Side Effects Rating	副作用総合評価票
IMS	IMS	アイ・エム・エス
MANGA	Meta-Analyses of New Generation	新規抗うつ剤のネットワークメタアナ
	Antidepressants	リシス研究
MAO	Monoamine oxidase	モノアミン酸化酵素
NICE	National Institute of Clinical Excellence	英国国立医療技術評価機構
NaSSA	Noradrenergic and Specific Serotonergic	ノルアドレナリン作動性・特異的セロ
	Antidepressant	トニン作動性抗うつ剤
NNT	Number needed to treat	治療必要症例数
PHQ9	Personal Health Questionnaire-9	こころとからだの質問票
RCT	Randomized controlled trial	無作為割り付け比較試験
SMO	Site Management Organization	治験施設支援機関
SNRI	Serotonin & Noradrenalin Reuptake Inhibitor	セロトニン・ノルアドレナリン再取り
		込み阻害剤
SSRI	Selective Serotonin Reuptake Inhibitor	選択的セロトニン再取り込み阻害剤
STAR*D	Sequenced Treatment Alternatives to Relieve	うつ病軽減のための治療選択肢配列に
	Depression	関する大規模無作為割り付け比較試験
SUN⊕D	Strategic Use of New generation antidepressants	大うつ病に対する新規抗うつ剤の最適
	for Depression	使用戦略を確立するための大規模無作
		為割り付け比較試験

## 目次

0.	臨床試験の概要	7
	0.1. 目的	7
	0.2. 試験デザイン	7
	0.3. 試験のフローチャート	7
	0.4. 試験薬の名称	7
	0.5. 試験の対象	
	0.6. 試験薬の投与方法	
	0.7. 検査スケジュール	
	0.8. 評価項目	
	0.9. 目標症例数	
	0.10. 試験実施期間	
1	試験の背景	
1.	1.1. 日本国民の健康にとって大うつ病の負担はきわめて大きい	
	1.2. 抗うつ剤のファーストライン選択についての最新のエビデンス	
	1.3. 抗うつ剤のセカンドライン選択についての最新のエビデンス	
	1.4. 新規抗うつ剤の最適使用戦略を確立するために	
	1.4.1 ファーストライン治療	
	r · · · · · · · · · · · · · · · · · · ·	
	1.4.2. セカンドライン治療	
_	1.4.3. 継続治療	
	試験の目的	
3.	試験薬の情報	
	3.1. セルトラリン	
	効能・効果	
	用法・用量	
	禁忌	
	相互作用	
	主な副作用	
	重大な副作用	
	3.2. ミルタザピン	
	効能・効果	
	用法・用量	. 14
	禁忌	14
	相互作用	14
	主な副作用	15
	重大な副作用	. 15
4.	試験の対象者	15
	4.1. 選択基準	15
	4.2. 除外基準	15
5.	試験デザイン	16
6.	試験への参加方法とその手続き	16
	6.1. 臨床試験参加施設の基準	
	6.2. 施設の参加の手順	
7.	被験者登録および被験者スクリーニング名簿管理業務	
	試験方法	
•	8.1. 臨床疑問	
	Step I	
	<b>A</b>	

Step II	
Step IIIa [Step I の継続の探索的研究]	18
Step IIIb [Step II の継続の探索的研究]	18
8.2. パイロット研究	
8.3. Step I	19
適格基準の確認	19
治療への割り付け	19
治療	
アウトカム評価	
8.4. Step II	
適格基準の確認	
- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	
治療	
アウトカム評価	
8.5. Step III	
適格基準の確認	
治療	
アウトカム評価	
9. 併用療法	
9.1. 許容される併用療法	
9.2. 許容されない併用療法	
10. 被験者の中止基準	
10.1. プロトコル治療からの逸脱	
10.2. 介入中止	
10.2. 列入中亚	
10.4. 臨床試験参加施設の患者登録の中止	
11. 評価項目	
11.1. 測度	
Patient Health Questionnaire-9 (PHQ9)  Beck Depression Inventory-II (BDI2)	
FIBSER 副作用総合評価票	
治療継続	
11.2. 評価の手順とスケジュール	
11.2. 評価の子順とペクシュール	
定期モニタリング	
施設監査	
12. 有害事象の報告および被験者の安全性確保	
12.1. 有害事象の定義	
12.2. 薬事法による、有害事象発生時の対策と報告の手順	
12.3. 臨床研究に関する倫理指針による、有害事象発生時の対策と報告の手順	
12.4. 予期される有害事象	
セルトラリン	
ミルタザピン	
主な副作用	
重大な副作用	
13. 試験全体の中止基準	
14. データの取り扱い・公表に関する取り決め	
14.1.データの管理	27
14.2. 出版方針	27

15. 試験実施期間	27
16. 統計学的事項	27
16.1. サンプルサイズの設定とその根拠	27
Step I のサンプルサイズ	27
Step II のサンプルサイズ	27
Step III のサンプルサイズ	28
パイロット研究のサンプルサイズ	28
16.2. 統計解析	28
16.3. 中間解析	28
17. 倫理的事項	29
17.1.実施計画書の遵守	29
17.2. 遵守すべき諸規則	29
17.3. インフォームド・コンセントの手順	29
17.4. プライバシーの保護と資料識別	29
17.5. 倫理委員会の承認	30
17.6. 利益相反	30
18. 健康被害の補償	30
19. 試験の費用負担	30
19.1 資金	
19.2. 被験者への負担軽減費(謝品)	30
20. 実施計画書の改訂	30
21.研究組織	31
21.1. 運営委員会 Steering Committee	
主任研究者	
共同主任研究者	
統計解析責任者	
21.2. データ・安全性評価委員会(Data and Safety Monitoring Board :DSMB)	
21.3. 研究組織	
データセンター	
中央センターと地域センター	
臨床試験参加施設	
22. 引用文献	37

別添資料 1 「うつ病に対して新規抗うつ剤をどのように組み合わせればもっとも速くかつ飲みやすく治療が出来るかを明らかにするための、大規模無作為割り付け比較試験」の 説明同意文書

別添資料2 ジェイゾロフト®添付文書、レメロン®添付文書

別添資料3 初診患者スクリーニング名簿

別添資料4 選択基準確認用紙 [EDC ひな形]

別添資料 5 第 3 週の割付連絡用紙 [EDC ひな形]

別添資料 6 第 9 週追跡調査時調査項目[EDC ひな形]

別添資料7 第25週追跡調査時調査項目[EDC ひな形]

別添資料 8 PHQ9

別添資料 9 BDI2

別添資料 10 FIBSER(副作用総合評価尺度)

別添資料 11 自殺性対処マニュアル

別添資料 12 SUN ⊕ D 重篤な有害事象報告手順書および SUN ⊕ D 重篤な有害事象に関する報告書 テンプレート

別添資料 13 医薬品安全性情報報告書

別添資料 14 被験者負担軽減費受領証

別添資料 15 C-CASA 報告用紙

## 0. 臨床試験の概要

### 0.1. 目的

単極性大うつ病の急性期治療について、ファーストラインおよびセカンドラインでの抗うつ剤の最 適治療戦略を確立する

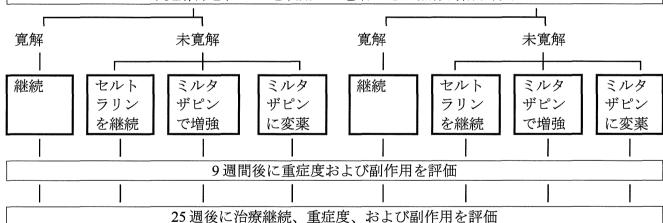
## 0.2. 試験デザイン

評価者盲検化(医師患者非盲検化)、並行群間比較、多施設共同、無作為割り付け比較試験

## 0.3. 試験のフローチャート

今回エピソードについて未治療の、非精神病性の単極性大うつ病エピソードの患者で、その他の

選択基準を満たし、担当医師がセルトラリンで治療を開始することが適切であると判断した患者 セルトラリン 25 mg/日投与開始後 3-16 日以内に書面による説明と同意 事務局に EDC を利用して登録、参加施設ごとのクラスター無作為割り付け 100 mg/ セルト 日を目 ラリン 指す群 初期投 与量 50 mg/日を 目指す 群 第3週に重症度評価し、寛解群はそのまま治療継続。 未寛解群を、EDC を利用して患者ごとに無作為割り付け 寛解 寛解 未寛解 未寛解



## 0.4. 試験薬の名称

セルトラリン

一般名:セルトラリン錠 商品名:ジェイゾロフト錠

ミルタザピン

一般名:ミルタザピン錠

商品名:レメロン錠、リフレックス錠

## 0.5. 試験の対象

今回エピソードについて未治療の、非精神病性の単極性大うつ病エピソードの患者

## 0.6. 試験薬の投与方法

セルトラリンは初期投与量 25 mg/日から開始し、50 mg/日まで漸増、または  $50 \rightarrow 75 \rightarrow 100$  mg/日と漸増する。

ミルタザピンは 7.5~15 mg/日から開始し、45 mg/日まで漸増可能とする。

9週以降の継続、変更、中止は担当医師の判断とする。

## 0.7. 検査スケジュール

評価スケジュールは下記の通り

						ステップⅡ						ステップ III			
			ス	テップ	I										
担当者①	担当者②		第 1 週	(第2週)	第 3 週	(第4週)	第 5 週	(第6週)	第 7 週	(第8週)	第 9 週	第3ヶ月 (第13週)	第4か月	第 5 ヶ月 (第 21 週)	第6ヶ月 (第25週)
担		BDI2	•	0	•	0	•	0	•	0	•	•	•	•	•
担当医師		診断	•												
医師		年齢	•												
HIH		治療歴	•												****
		その他	•								•				•
	サイ	説明同意と補	医師で												
	→ CRC	助	も中央 CRC でも可												
		治療デ ータ	•	0	•	0	•	0	•	0	•	•	•	•	•
	中央	PHQ9	•		•						•				•
	中央 CRC	FIBSER	•		•						•				•
		割り付け			● Web で確 認し FAX										

●:必須

〇:患者が来院した場合のみ収集する

## 0.8. 評価項目

主要評価項目はPHQ9

副次評価項目はBDI2、副作用総合評価尺度(FIBSER)、治療継続

## 0.9. 目標症例数

計 2000 例

## 0.10. 試験実施期間

2010年12月1日~2015年10月31日 (症例登録期間は2010年12月1日~2015年3月31日)

## 1. 試験の背景

## 1.1. 日本国民の健康にとって大うつ病の負担はきわめて大きい

WHO 推計によると、大うつ病は人類にとって死亡を含めない DALY\*損失、すなわち健康損失の 最大の原因であり、死亡を含めた DALY 損失、すなわち健康および生命損失の3番目に大きな原因 であり、さらに今後20年間その損失は増加傾向にあると推定されている[1]。同じ推計によると、 日本では、前者についてはやはり最大の原因であり、後者については脳血管疾患に次いで2番目に 大きな原因となっており、国民全体の健康および生命損失の実に約6%を占めている。

\* DALY (disability-adjusted life years)は「障害調整生命年」と訳され、WHO の定義によれば「死が早まることで失わ れた生命年数」と「健康でない状態で生活することにより失われている生命年数」の合計である

実際、うつ病は日本人においてももっとも頻度の高い精神疾患であり、女性では12人に1人 (8.5%)、男性では29人に1人(3.5%)が生涯に一度はうつ病に罹患すると推定されている[2]。厚労省 の患者調査でも気分障害の推計受療患者数は大きく伸びており、過去20年間で6倍にもなってい る。日本では1998年から自殺者数が3万人以上に急増し、以後減少していない(1日に換算すると 平均およそ85~95人)。10万人当たりの自殺率は日本は米国の約2倍英国の約3倍に達する。自 殺既遂者に対する心理学的剖検研究では、既遂者の9割は自殺直前に何らかの精神疾患に罹患して おり、その半分がうつ病であると考えられている[3]。

うつ病の治療には、薬物療法も精神療法も同等に有効である[4]が、入手可能性と品質管理と費 用の面から、医療現場では抗うつ剤が治療の中心となっている。抗うつ剤には、異環系抗うつ剤 (HCA)、モノアミン酸化酵素阻害剤(MAOI)、選択的セロトニン再取り込み阻害剤(SSRI)、セロトニ ン・ノルアドレナリン再取り込み阻害剤(SNRI)、その他の新規抗うつ剤(ミルタザピン、bupropion)\* などがあるが、先進国では過去20年間抗うつ剤の使用量が劇的に増加し、これは主にSSRI、SNRI などの新規抗うつ剤の増加に由来し、今や新規抗うつ剤がもっとも一般的に処方される抗うつ剤と なった[5]。日本では SSRI が登場する 1999 年までは抗うつ剤の市場規模 150 億円程度で推移してい たが、SSRIと SNRI の発売後に年 20%以上の伸び率で急成長し、2008 年には 1200 億円にせまり、 10年間で約8倍に市場が拡大したことになる。現在新規抗うつ剤の市場シェアは89%に達してい る [IMS Japan]。

\* 以下、日本で未承認の薬剤はアルファベット表記、日本で承認済みの薬剤はカタカナ表記とする。

## 1.2. 抗うつ剤のファーストライン選択についての最新のエビデンス

うつ病の治療に際しては、抗うつ剤の具体的かつ適切な使用指針が必要であることは論を俟たな い。しかるに、2008年に至るまで、アメリカ精神医学会のガイドライン[6]、カナダ精神医学会の ガイドライン[7]、アメリカ内科医学会のガイドライン[8]、イギリス保健省の NICE ガイドライン[9]、 日本のガイドライン[10]のいずれにおいても、種々の抗うつ剤の間では副作用プロフィールに差が あるだけで、有効性には差がない[11]ので、「副作用プロフィール、費用、および患者の好みに基 づいて新規抗うつ剤の中から選択をする」ことが推奨されている[8]。

しかし、2009年、日本・イタリア・イギリスの合同チームが、大うつ病の急性期治療において 12個の新規抗うつ剤同士を比較した RCT 全 117件(25928人)の系統的レビューの結果が Lancet 誌に 発表された[12]。この Meta-analyses of New Generation Antidepressants (MANGA)研究は、コクラン抑 うつ不安神経症グループのデータベースを利用して現時点で考えられるもっとも網羅的なデータセ ットに基づいているうえに、抗うつ剤 A と抗うつ剤 B との直接比較だけではなく、別の抗うつ剤 C やDやE他とAおよびBとの比較も統計学的に合算させるネットワークメタアナリシスという手 法を用いている。これらにより、①今まででもっとも精密な(つまり95%信頼区間の狭い)効果推 定を、②可及的に出版バイアスを排除する(抗うつ剤AならAを扱った研究にはどうしてもAを 販売している会社のデータが多く A に有利な出版バイアスがかかっている可能性があったがここに B も C も D も他の薬剤も統合することで出版バイアスの影響が小さくなる) 形で行うことが出来た。 結果、12個の新規抗うつ剤の間にはいくつもの統計学的に有意で臨床的に有意味な差異が観察さ

れた。有効性 efficacy においては、ミルタザピン、escitalopram、venlafaxine、セルトラリンが優れ

ており、受容性 acceptability においては escitalopram、セルトラリン、bupropion、citalopram が優れていた。コストも勘案し、原著者らはセルトラリンをファーストライン選択の候補と結論している。

## 1.3. 抗うつ剤のセカンドライン選択についての最新のエビデンス

大うつ病治療の困難点の一つは、十分量の抗うつ剤の十分期間の治療でも、反応(うつ病重症度が治療開始時の半分以下になる)率は約50%、寛解(ほぼ正常気分になる)率は約30%に過ぎない点である[13]。ファーストラインの治療に対して患者が無ないし部分反応である時に、セカンドラインの治療戦略が用意されなくてはならない。種々のガイドラインで推奨されているものには、①増量 dose escalation、②変薬 switching、③増強 augmentation がある[9,14]。しかし、多くのRCTが薬剤の認可あるいはその後のマーケティング戦略の中で計画される中、セカンドライン治療についてのエビデンスはファーストラインのそれに比してかなり乏しい。

まず、増薬のストラテジーについては、前薬の継続を対照群としたRCTについて系統的レビューが3本発表されているが、すべて、ファーストラインの治療に無ないし部分反応であった場合に、同じ投与量を続けるよりも、増量した方が有効性が高くなるというエビデンスはないと結論している[15-17]。次に、変薬については、系統的レビューが2本[18][19]あるが、これらによると、前薬の継続と変薬のストラテジーを比較したRCTは1本しかなく、これによるとfluoxetine 20 mg/日による6週間の治療後も無反応であった者104人を、さらに6週間そのまま継続するか、ミアンセリン60 mg/日に変薬するかで比較したところ、寛解率は18%と36%であった(p=0.10)[20]。また、変薬する薬の間での差異を検討すると、ファーストラインがSSRIであるときにSNRIの venlafaxineへの変薬は同じSSRIへの変薬よりも有効であるようだが、それ以外に異なった薬理学的クラスへの変薬を推奨する根拠は強くなかった[19]。最後に、増強戦略については多数のRCTと系統的レビューが発表されている。もっともエビデンスが揃っているのがリチウム増強[21]、甲状腺ホルモン増強[22]、非定型抗精神病剤による増強[23]である。ほかに、ミルタザピン/ミアンセリンによる増強のRCTが3本[20,24,25]、ピンドロールによる増強のRCTが11本ある[26]。

増量、変薬、増強の3戦略それぞれの効果も問題であるが、さらにそれらの間での優劣を比較したエビデンスはほとんど存在しない。例えば、米国 NIMH が30億円をかけて実施した実践的大規模 RCT の Sequenced Treatment Alternatives to Relieve Depression (STAR\*D)では、それまでの治療で寛解に達しなかった患者に、変薬については計5選択肢と増強については計4選択肢を検討したが、変薬と増強の間の優劣については変薬と増強のいずれに割り付けられても構わないという同意をした患者が少なくて比較すら出来なかった[27,28]。

## 1.4. 新規抗うつ剤の最適使用戦略を確立するために

こうしてみると、最新のエビデンスを踏まえた上でも、日本の臨床家がうつ病の薬物療法を組み立てて行く上で解決されていない、切実かつ重要な臨床疑問がいくつも存在する。切実とは、実地臨床家がほぼ毎日のように遭遇する臨床疑問であるという意味である。重要とは、実際に患者の日常生活に直結する臨床疑問であるという意味である。イギリスの独立エビデンスレビュー誌Bandolier (<a href="http://www.bandolier.com">http://www.bandolier.com</a>) は MANGA 研究のレビューを「このメタアナリシスが提供しているのは、次のステップのための原材料である。すなわち、最も速く最も安価に最も多くの患者に良い結果をもたらす、うつ病の治療戦略を作成し検証するための原材料である」と締めくくった。うつ病治療研究の次の世代の研究はここから始まる。

### 1.4.1 ファーストライン治療

まず、ファーストラインについては、MANGA 研究の結果から、有効性と受容性のバランスを考えれば日本ではセルトラリンを第一選択と考えて良いだろう。しかし、すでにこの段階から実地臨床家は選択を迫られる。初期投与量の設定である。日本に於けるセルトラリンの標準投与量は50-100 mg/日であるが、臨床家はまず50 mg/日を目標に投与スケジュールを組むべきであろうか、それとも100 mg/日を目指して投与を開始すべきであろうか。Papakostas ら[29]が SSRI について複数の固定投与量を比較したRCT の系統的レビューを行ったところ、標準投与量の下限(セルトラリ

ンなら 50 mg/H)を投与するのに比して、その 2 倍を投与した場合、有効性は高くなるかもしれない(RR=1.12,95%CI: 0.99 から 1.27)が受容性が低くなる(RR=0.74,0.54 から 1.00)ことを見いだしている。反応率(うつ病重症度が 50%以上減少)で言うと <math>51% から 55%に 4%増えるかもしれないが、脱落率が 10%から 17%に 7%増えてしまう。ただし、Papakostas らが検討した研究は、セルトラリン 200mg, 100mg, 50mg, プラセボの 4 群を比較した Fabre ら[<math>30]の研究も含めて、すべて最初から固定用量を投与するデザインとなっている。

果たして、患者の副作用に留意しながらも最大投与量まで増量するという、多くのガイドライン [6,7,10]で推奨される戦略は、まずは標準投与量の最低限を狙うべしという戦略よりも、本当に患者の抑うつ症状を軽減しかつ副作用を増やすことはないのか。誰も知らない。大うつ病患者の治療を開始するすべての臨床家が直面する、これほど切実な臨床疑問への回答がないのはきわめて奇妙にして残念なことである。したがって、我々はこれに回答する RCT を計画した。

### 1.4.2. セカンドライン治療

次に、ファーストライン薬による治療を最適化しても、現在の知見では患者の半数以上は寛解に達することが出来ない[31]。ならば、セカンドラインでは、何を使えば良いのだろうか、そして、それをいつ判断するのが良いのだろうか。

増薬というストラテジーにはこれに効果があるとする系統的レビューがないので、今回は検討の対象としない。増強については上述のように複数の増強戦略についてRCTが行われているが、このうち、現在の日本の保険制度で使用可能なのはミルタザピンおよびミアンセリンによる増強のみである。さらに、増強と変薬といずれがより効果と受容性のバランスでまさっているかは、やはり上述のように、誰も知らない。いつファーストラインに見切りを付けてセカンドラインを考慮すると良いのかも、分かっていない。複数の選択肢について一挙に回答を出す臨床研究を行うことは不可能であるので、われわれは今回の研究ではSSRIを継続する選択肢と比較して、MANGA研究でもっとも有効性が高かったミルタザピンへの変薬と、複数のRCTが有効性を示唆しているSSRIのミルタザピンによる増強とを比較検討することにした。

ミルタザピンへの変薬がセカンドラインの候補となる理由は以下の通りである。①MANGA研究で、ミルタザピンは有効性が最も高い新規抗うつ剤であった。受容性においてやや劣るためファーストラインとはならなかったが、ファーストラインの抗うつ剤に対して無ないし部分反応の患者に対し、より有効性が高いミルタザピンを考慮するのは当然であろう。②2剤の併用による増強療法は単剤による治療よりも既知および未知の副作用のリスクが大きくなるので、単剤治療をまず考慮すべきであるという議論が成立する。

ミルタザピンによる SSRI の増強もセカンドラインの候補となる。その理由は以下の 3 つである。 ①ミルタザピン増強の先行研究が有望な結果を出している。 1 つの RCT では SSRI, bupropion また は venlafaxine に反応しなかった患者 26 人を、ミルタザピン 15-30 mg/日を追加する群とプラセボを 追加する群に無作為割り付けして比較したところ、寛解率は 46%と 13% (p=0.068)であった[25]。 別の RCT は、大うつ病の治療当初から fluoxetine のみを投与する群と fluoxetine+ミルタザピンを投 与する群を比較したところ、寛解率は25%と52%(p=0.053)であった[32]。②SSRIにNaSSA(ノル アドレナリン作動性・特異的セロトニン作動性抗うつ剤)のミルタザピンを併用することは薬理学 的に理にかなっている。ミルタザピンは、まずノルアドレナリンニューロンの α2 自己受容体を阻 害することにより、ノルアドレナリンの放出を増加させる。ノルアドレナリンはセロトニンニュー ロンを刺激し、またミルタザピン自体がセロトニンニューロンの α2 ヘテロ受容体を阻害するので、 併せてセロトニンの放出を促進する。ところが、ミルタザピンは 5-HT2A, 2C, 3 受容体の遮断効果 を持っており、抗うつ効果に直結する 5-HT 1A 受容体を特異的に刺激することができる。SSRI と 併用した場合、2Aの遮断により SSRI で見られる性機能障害や不眠の抑制、2Cの遮断により不安 の抑制、3の遮断により消化器症状の抑制が期待される。③ミルタザピンは肝薬物代謝酵素を阻害 せず、併用薬との相互作用のリスクが少ない。セルトラリンは CYP2D6 や 3A4 を軽度阻害するが、 これとの併用の場合も、他の SSRI よりも安全であると見なされる。

セカンドラインの治療を考慮する際に忘れてはならない臨床疑問は、いつセカンドラインに切り替えるのが適切であるかという臨床疑問である。この問題も、実地臨床家の立場からすれば初期投与量と同じくらい切実な臨床疑問であるのに、筆者らが知る限りこの問題を明示的に扱ったRCTが存在しない上に、ガイドラインはなおざりな推奨でお茶を濁している。アメリカ内科医学会のガイドラインは「6-8 週後に十分な反応が得られなかったときには治療を変更する」としているが、その根拠は薬物の治験の平均持続期間であるという[8]。論理的に説得力のない根拠である。改訂NICE ガイドラインに至っては、ガイドライン内に不一致があって、ある箇所では 3-4 週間でセカンドラインを考慮するが、別の箇所では 6-8 週間でセカンドラインを考慮することとなっている[9]。そこで我々は、ファーストライン薬の投与開始から 3 週間後という比較的早期にセカンドラインを考慮する群と、ファーストライン薬を継続する群を設けることにより、早期からセカンドラインを検討することに意味があるか否かを検証できるデザインを採用した。

## 1.4.3. 継続治療

急性期のファーストラインおよびセカンドライン治療を考えるに当たり、もう一つ非常に重要な視点がある。それは継続治療へのスムーズな移行である。急性期治療のみで薬物療法を中断すると再発率が倍増することは、われわれの系統的レビューによって実証されており[33]、現行のすべてのガイドラインが少なくとも数ヶ月の継続治療を推奨している。しかし、実際には多くの患者はガイドラインで推奨されるだけの継続治療を受けていない[34]。従って、急性期治療後3-6ヶ月にわたり抗うつ剤治療を継続できるかは、急性期治療における効果と受容性に加えて、急性期治療を選択する上でもう一つ重要な要因である。そこで、われわれはコホートを治療開始後6ヶ月の時点までフォローすることにより、どの治療戦略がもっとも継続されやすくかつ症状寛解につながるかも検討する。

以上により、われわれは急性期治療から継続治療にわたり、「最も速く最も安価に最も多くの患者に良い結果をもたらす、うつ病の治療戦略」 (Bandolier 2009)を組み立てるデザインの RCT を計画した。

## 2. 試験の目的

今回エピソードについて未治療の、非精神病性の単極性大うつ病エピソードの患者を対象に、

- ①ファーストライン選択薬をセルトラリンとしたときに初期投与戦略として標準投与量の下限を目標とするのか、上限を目標とするのかいずれが急性期治療として有効性および安全性(即ち、副作用と治療継続)において優れるのか、
- ②ファーストライン選択薬を3週間服用しても寛解しなかった大うつ病患者を対象に、さらに同じ抗うつ剤を続けるのか、ミルタザピンで増強するのか、ミルタザピンに変薬するのか、いずれが急性期治療として有効性および安全性に優れるか、
- ③ファーストラインからセカンドラインに至る以上の治療戦略のうちいずれが、急性期治療から継続治療にかけて、もっとも有効性および安全性に優れるか、 を検討する

### 3. 試験薬の情報

セルトラリンおよびミルタザピンの医薬品添付文書を別添

3.1. セルトラリン

一般名:セルトラリン錠

商品名:ジェイゾロフト錠 25mg、50mg

剤型:白色フィルムコート錠

含有量:1錠中にセルトラリンとして25 mg または50 mg

発売元:ファイザー株式会社