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柴田浩行	Q2 都道府県がん診療連携拠点病院の指定要件にみる標準的ながん薬物療法(化学療法)の実践	がん治療レクチャー	3	7-11	2012年
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Oshima K, Tanino Y, Sato S, Inokoshi Y, Saito J, Ishida T, Fukuda T, Watanabe K, Munakata M.	Primary pulmonary extranodal natural killer/T-cell lymphoma: nasal type with multiple nodules	Eur Respir J	40 (3)	795-798	2012
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石田 卓	【副作用のマネジメント】神経毒性(主に末梢神経障害)	がん治療レクチャー	3(1)	162-166	2012
立原素子、神尾淳子、佐藤文晴、室井祥江、柴田眞一、森村 豊、石田卓、棟方 充	集検喀痰細胞診で発見された喉頭癌と早期中心型肺癌の細胞像の比較	日臨細誌	51 (1)	7-12	2012

Quality of guideline development assessed by the Evaluation Committee of the Japan Society of Clinical Oncology

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Abstract

Background The Japan Society of Clinical Oncology started implementing clinical practice guidelines for cancer in 2001. It created a Guideline Committee and has published cancer-related information in collaboration with individual subspecialty cancer societies. The society then established an Evaluation Committee to assess the quality of guidelines.

Methods The quality of development and general characteristics of guidelines were reviewed using the AGREE instrument. The six standardized domain scores and 23-item crude scores were described, and items with a low median score or a wide inter-quartile range were explored. Kappa statistics for inter-rater reproducibility were also described.

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Results Domains in which the median score was >50 points in 18 guidelines developed between March 2005 and May 2009 included “scope and purpose,” “rigor of development,” and “clarity and presentation.” Domains with a median score < 50 points were “stakeholder involvement,” “applicability,” and “editorial independence.” Scores in all domains except “stakeholder involvement” were higher during the second half of the period than during the first half of the period, although *P* values were 0.10–0.93. Crude scores remained low for items 5, 7, 19, 20, 22, and 23, and the inter-quartile ranges of items 2, 6, 10, and 22 were wide. Kappa statistics ranged from –0.02 to 0.64, and they were especially low for items 3, 5, 7, 18, and 23.

Conclusion Guideline quality has tended to improve during the 10 years since the society started this activity. However, issues remain to be improved through continuous revisions.

Keywords Clinical practice guideline · AGREE instrument · Cancer

Introduction

The Japan Society of Clinical Oncology started implementing clinical practice guidelines (CPGs) for cancer in 2001 in collaboration with allied subspecialty societies. The society has developed summary versions of CPGs and flowcharts, and it has published them on the Internet with structured abstracts of important articles. Around 20 guidelines have been developed by subspecialty societies by November 2009, and 13 of them are presented on the society’s homepage (<http://www.jSCO-cpg.jp/>) [1].

The society established a Guideline Committee (GC) for this activity, as well as an Evaluation Committee (EC) to evaluate and ensure the quality of published guidelines. The aims of the present study were to identify issues requiring resolution from a summary of the assessment results generated by the EC.

Methods

Process before publishing the guidelines

The activity of CPG publishing and implementation in the society proceeds as follows. A subcommittee of the GC for a specific cancer writes a draft summary, algorithm, and structured abstract in accordance with the specific subspecialty society, and submits them, or sometimes a complete CPG, to the board of the GC. The board of the GC reviews and sends them to the EC. The EC evaluates them

and reports the result to the chair of the GC and the members of GC subcommittee. If there is no major flaw, a homepage is developed. These tools for implementation of the CPG are then released to the public after the final approval of the GC and the board of the society.

The review in the EC

The EC has ten members, including a chair and four members from outside the society. All members individually review drafts under evaluation before attending a meeting where all members reach a consensus-based final assessment.

The AGREE instrument [2] was used for reviews that focus on the process of CPG development and the general characteristics of the CPGs, but not on the validity of specific statements. The AGREE instrument is a comprehensive tool for evaluation whose validity and reproducibility have been investigated [3, 4]. The EC did not require revision of the content and format of the draft after review, but revisions were expected for a subsequent version. The EC previously presented the appropriate methods for developing evidence-based CPGs to the GC.

Method of review

The present study summarizes the results of the review of the CPGs by the EC.

The AGREE instrument consists of 23 items that assess six domains of the CPG development process: “scope and purpose” (items 1–3), “stakeholder involvement” (items 4–7), “rigor of development” (items 8–14), “clarity and presentation” (items 15–18), “applicability” (items 19–21), and “editorial independence” (items 22–23). For each item, a crude score of 1–4 is assigned based on the reviewers’ certainty of fulfilling the requirements of the items and the quantity of information contained in the CPG. A standardized domain score is calculated for the 6 domains after summing and adjusting the crude scores into a scale from 0 to 100 points. A global assessment could be given, but such global assessments were not recorded for all CPGs. Global quality was described as an aggregated score determined from the summation of all domain scores, although AGREE does not suggest using this strategy for global assessment.

The distributions of the crude scores for the items were determined. Low-score items in which the medians were ≤ 2 and dispersed items, for which the inter-quartile range of the crude score was 1–4, were identified. The dispersed items contained CPGs with both low and high scores, which led to the supposition that they could be easily improved.

Kappa statistics were calculated for each item to determine inter-rater reproducibility [5, 6]. Low kappa values

indicate a trend toward the item scoring differently among raters. When calculating kappa, crude scores of 1 and 2, as well as those of 3 and 4, were combined into one level. The EC used only one representative score based on consensus

for evaluation at meetings and did not use the individual crude scores from which the kappa values were derived.

When members thought that determining a score was difficult, the committee used its own criteria to standardize

Table 1 Guidelines that have been reviewed by the evaluating committee

Type of cancer	Title	Version
Stomach ^a	Japanese Gastric Cancer Association: guidelines for the diagnosis and treatment of carcinoma of the stomach, April 2004 edition	2
Liver ^b	The Japan Society of Hepatology: ^c “clinical practice guidelines for hepatocellular carcinoma:” evidence-based clinical guidelines for the diagnosis and treatment of hepatocellular carcinoma in Japan (the print/web version)	1
GIST ^a	Japanese Gastric Cancer Association, Japan Society of Clinical Oncology, Japanese Study Group on GIST: clinical practice guidelines for gastrointestinal stromal tumors (GIST) in Japan	1
Oral cancer	Japan Society for Oral Tumors: clinical practice guidelines for oral cancer	1
Uterine cervix	The Japan Society of Gynecologic Oncology: treatment guidelines for cervical cancer, 2007 edition	1
Uterine body	The Japan Society of Gynecologic Oncology: treatment guidelines for uterine body cancer, 2006 edition	1
Children’s leukemia	The Japanese Society of Pediatric Hematology: guidelines for the treatment of childhood leukemia/lymphoma, 2007 edition	1
Esophagus ^d	The Japan Esophageal Society: guidelines for the diagnosis and treatment of esophageal cancer	2
Kidney ^d	The Japanese Urological Association: clinical practice guidelines for managing renal carcinoma and the digest edition (web version)	1
Pancreas ^d	Japan Pancreas Society: evidence-based clinical practice guidelines for pancreatic cancer	1
Colon ^d	Japanese Society for Cancer of the Colon and Rectum: guidelines for the treatment of colon cancer, 2005 edition	1
Biliary tract ^d	Japanese Society of Hepato-Biliary-Pancreatic Surgery: clinical practice guidelines for the management of biliary tract and ampullary carcinomas (the print and web digest version)	1
Head and neck	Japan Society for Head and Neck Cancer: clinical practice guidelines for head and neck cancer	1
Breast ^a	The Japanese Breast Cancer Society: evidence-based clinical practice guidelines of the Japanese Breast Cancer Society (5 volumes) and web version 1. Systemic therapy 2. Surgery 3. Radiation therapy 4. Screening and diagnosis 5. Epidemiology and prevention	1
Lung	The Japan Lung Cancer Society: clinical practice guidelines for lung cancer, revised edition	2
Skin ^d	The Japanese Skin Cancer Society: clinical practice guidelines for the management of cutaneous malignancies	1
Ovary ^d	The Japan Society of Gynecologic Oncology: ovarian cancer treatment guidelines, 2004 edition	1
Ovary	The Japan Society of Gynecologic Oncology: ovarian cancer treatment guidelines, 2007 edition	2

Order in table reflects the list in the homepage of the Japan Society of Clinical Oncology (order of Japanese 50 sounds)

^a Presentation was partly funded by the Scientific Study for the Third Term Comprehensive Control Research for Cancer of the Ministry of Health, Labour, and Welfare in 2007

^b Development was funded by the Scientific Study for Supporting Clinical Practice Guidelines of the Ministry of Health, Labour, and Welfare in 2002–2003

^c On October 2009

^d Development and presentation was partly funded by the Scientific Study for the Research on the Medical Safety and Health Technology Assessment of the Ministry of Health, Labour, and Welfare in 2005–2006

Table 2 Domain scores determined using the AGREE instrument for clinical practice guidelines

Domain	Total (<i>n</i> = 18)		The first half, March 2005–March 2007 (<i>n</i> = 10)		The second half, April 2007–May 2009 (<i>n</i> = 8)		<i>P</i> value ^a
	Median	IQR ^b	Median	IQR	Median	IQR	
Scope and purpose	72.2	66.7–100	66.7	55.5–100	83.3	66.7–100	0.38
Stakeholder involvement	41.7	16.7–50.0	43.1	25.0–58.3	41.7	29.2–50.0	0.93
Rigor of development	66.7	38.9–83.3	44.4	16.7–72.2	72.2	61.1–86.1	0.13
Clarity and presentation	75.0	58.3–91.7	70.8	33.3–91.7	83.3	70.8–100	0.18
Applicability	33.3	0–66.6	16.7	0–33.3	50.0	25.0–66.7	0.10
Editorial independence	0	0–50.0	0	0–0	33.3	0–50.0	0.12
Aggregated	56.3	36.5–69.8	48.6	28.6–58.7	65.9	54.8–71.4	0.11

^a Comparison of scores between the first half of the period and the second half of the period was tested using the Wilcoxon rank-sum test

^b Inter-quartile range

the score among its members. Item 13 indicates a requirement for an external review of the CPG. This item was not scored because review by the EC is compatible with this. Item 21 requires the CPG to present key review criteria for monitoring or audit. This item was also omitted from scoring because quality indicators for measuring adherence to CPGs have not been developed.

Results

The EC started reviewing CPGs in March 2005, and 18 of them had been reviewed by May 2009 (Table 1). Table 2 shows the standardized domain scores of these CPGs. The domains with median scores > 50 points during the entire period of review were “scope and purpose,” “rigor of development,” and “clarity and presentation.” The median scores for “stakeholder involvement,” “applicability,” and “editorial independence” were < 50 points. All domain scores except “stakeholder involvement” were higher during the second half of the period than during the first half of the period, although the *P* values were 0.10–0.93.

Figure 1 shows the distribution of crude scores for each item in all CPGs. Item numbers with median crude scores ≤ 2.0 were 5 (emphasizing patients’ perspectives), 7 (pre-test before publication), 19 (discussion about potential organizational barriers), 20 (considering cost implications), 22 (editorial independence from funding body), and 23 (records of conflicts of interest). The item numbers with widely distributed crude scores were 2 (description of clinical questions), 6 (target users defined clearly), 10 (presentation of methods for formulating recommendations), and 22 (editorial independence from funding body).

Table 3 shows the inter-rater reproducibility for each item. The kappa statistics were –0.02 to 0.64, and the null hypothesis that the consistency of the results occurred by chance alone could not be rejected for items 3 (target

patients described specifically), 5 (emphasizing patients’ perspectives), 7 (pre-test before publication), 18 (tools for application), and 23 (records of conflicts of interest).

Discussion

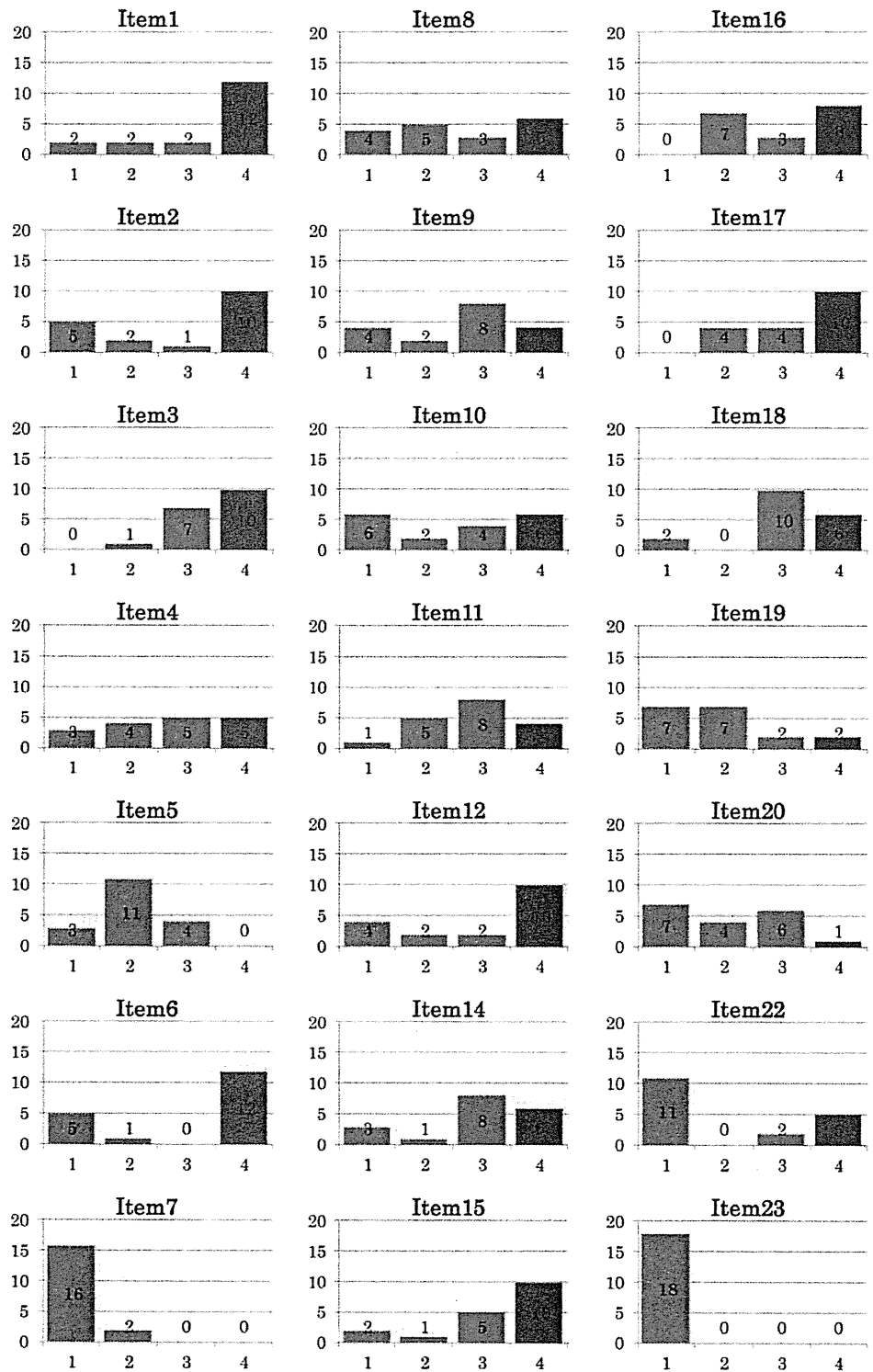
The present report describes the results of continuous evaluation of CPGs assembled by the Japan Society of Clinical Oncology. Changes in standardized domain scores indicated that the methods and organization for developing CPGs have improved slightly, although the differences were not statistically significant and the number of CPGs assessed was small. The domains with median scores > 50 points were “scope and purpose” (items 1–3), “rigor of development” (items 8–14), and “clarity and presentation” (items 15–18). Domains with median scores < 50 points were “stakeholder involvement” (items 4–7), “applicability” (items 19–21), and “editorial independence” (items 22–23). Developers must consider these findings when developing new guidelines or revising those that have been already established. For individual items, low scores were observed in items 5, 7, 19, 20, 22, and 23.

Item 5 emphasizes patients’ perspectives. The values of individual patients with cancer should be considered in clinical decision making. Several guidelines seemed to specifically recommend a single option without providing alternatives. Representatives of patients or paramedical staff should be involved in these processes.

Item 7 addresses the pilot use of the CPG before formal publication. When a pilot is not used to improve the quality of the CPG, early feedback about its validity, implementation, and impact on routine practice after publication should be obtained.

Item 19 addresses potential organizational barriers. Alternatives should be discussed when barriers interfere with CPG implementation.

Fig. 1 Distribution of crude scores for each item. Crude scores of each item were reached by consensus after discussion in a committee meeting and are not simple means or medians of scores supplied by individual members of the Evaluation Committee



Item 20 refers to cost issues. The clinical practice of oncology must be individualized because it is based on patient status and value judgments. In general, the issue of cost is important, especially in preventive medicine and in

the long-term management of prevalent chronic disorders such as hypertension or dyslipidemia. Cost is more urgent in preventive medicine than for oncologists whose patients have cancer.

Table 3 Inter-rater reproducibility of each item

Item	Kappa ^a	P value	Item	Kappa ^a	P value
1	0.23	<0.01	12	0.31	<0.01
2	0.64	<0.01	14	0.49	<0.01
3	0.00	0.49	15	0.15	<0.01
4	0.37	<0.01	16	0.20	<0.01
5	-0.02	0.61	17	0.15	<0.01
6	0.34	<0.01	18	0.05	0.18
7	0.04	0.23	19	0.19	<0.01
8	0.33	<0.01	20	0.28	<0.01
9	0.35	<0.01	22	0.14	0.01
10	0.33	<0.01	23	0.05	0.20
11	0.18	<0.01			

^a Kappa statistics express agreement of several raters above the expected value

Item 22 requires editorial independence from funding bodies. The source of financial support should be documented. If pharmaceutical companies are the source, then the procedure for maintaining editorial independence should also be documented.

Item 23 asks about records of conflicts of interest. None of the CPGs described records for conflicts of interest, although the impact of CPGs on routine practice is substantial. Concern about conflicts of interest is increasing in Japan, where medical journals have not managed this issue as foreign journals have. The Japan Society of Clinical Oncology and the Japan Society of Medical Oncology have developed the “Clinical Oncology Research Conflict of Interest Policy (ver. 1)” [7, 8]. According to this policy, all members of the society must report their status regarding conflict of interest when they report and publish in the society, and these reports are centrally reviewed. This procedure must be followed when CPGs are developed, and records about conflicts of interest should be explicit.

The distribution of crude scores was wide for items 2, 6, 10, and 22, for which the same item scored low and high in several CPGs. Improving these points might not be difficult, although guideline-specific conditions might be involved. The involvement of experts specialized in the field of guidelines will be useful. Item 2 requires clear descriptions of clinical questions. When “Clinical Question” is first described for each CPG topic, it may help focus readers to understand the content more easily. This format of clinical question is preferable. Item 6 asks for a clear definition of the target users. It is important to define that clearly when developing and using CPGs. Item 10 addresses an explicit document that describes the methods of formulating recommendations; however, many CPGs did not provide this information. The impact of an assessment of benefits and harms after a systematic review on formulating a recommendation should be addressed. If disagreement about a recommendation

arises, the methods used to reach consensus should be described.

Although the EC has reviewed a dozen CPGs, this report has some challenging issues as limitations. First, the inter-rater reproducibility of several items of the AGREE instrument was poor. Previous studies have identified good validity and reproducibility [3, 4], but we found that reproducibility was not easily achieved in our setting. Although AGREE is a good method of evaluation, the scoring remains subjective. We did not directly use the crude scores of individual members to reach the final assessment. Nevertheless, low reproducibility means that judgment by a member using the AGREE items is not a simple matter. Among low-score items, the score of items 5, 7, and 23 might be influenced by a difficult evaluation. Consensus will be achieved if the committee has criteria for scoring that maintain the original concept of the AGREE items.

Second, common scoring methods are not applicable to all CPGs, because solid evidence is not available in some fields of cancer. Although all CPGs of the society are related to cancer, each type of cancer has specific characteristics. AGREE itself does not recommend establishing a threshold to differentiate CPGs of “good” or “bad” quality.

The activity of CPG development is continuous, and CPGs of the subspecialty societies and the published material of the society (<http://www.jsco-cpg.jp/>) will be revised sequentially. These guidelines have also been published on the homepages of the subspecialty societies and of the Medical Information Network Distribution Service (MINDS), thus bringing the CPGs closer not only to medical professionals but also to patients. The activities of publishing and implementing CPGs within the society over the first decade seem to have begun well. Efforts to improve quality must be maintained, and users, including patients, should be able to easily understand the contents.

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Conflict of interest statement M. Toi received honoraria from GlaxoSmithKline. The other authors have no conflict of interest.

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ORIGINAL ARTICLE

Gefitinib or Chemotherapy for Non–Small-Cell Lung Cancer with Mutated EGFR

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ABSTRACT

BACKGROUND

Non–small-cell lung cancer with sensitive mutations of the epidermal growth factor receptor (EGFR) is highly responsive to EGFR tyrosine kinase inhibitors such as gefitinib, but little is known about how its efficacy and safety profile compares with that of standard chemotherapy.

METHODS

We randomly assigned 230 patients with metastatic, non–small-cell lung cancer and EGFR mutations who had not previously received chemotherapy to receive gefitinib or carboplatin–paclitaxel. The primary end point was progression-free survival; secondary end points included overall survival, response rate, and toxic effects.

RESULTS

In the planned interim analysis of data for the first 200 patients, progression-free survival was significantly longer in the gefitinib group than in the standard-chemotherapy group (hazard ratio for death or disease progression with gefitinib, 0.36; $P < 0.001$), resulting in early termination of the study. The gefitinib group had a significantly longer median progression-free survival (10.8 months, vs. 5.4 months in the chemotherapy group; hazard ratio, 0.30; 95% confidence interval, 0.22 to 0.41; $P < 0.001$), as well as a higher response rate (73.7% vs. 30.7%, $P < 0.001$). The median overall survival was 30.5 months in the gefitinib group and 23.6 months in the chemotherapy group ($P = 0.31$). The most common adverse events in the gefitinib group were rash (71.1%) and elevated aminotransferase levels (55.3%), and in the chemotherapy group, neutropenia (77.0%), anemia (64.6%), appetite loss (56.6%), and sensory neuropathy (54.9%). One patient receiving gefitinib died from interstitial lung disease.

CONCLUSIONS

First-line gefitinib for patients with advanced non–small-cell lung cancer who were selected on the basis of EGFR mutations improved progression-free survival, with acceptable toxicity, as compared with standard chemotherapy. (UMIN-CTR number, C000000376.)

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NON-SMALL-CELL LUNG CANCER IS A major cause of death from cancer. The use of cytotoxic chemotherapy is associated with a response rate of 20 to 35% and a median survival time of 10 to 12 months among patients with advanced non-small-cell lung cancer.^{1,2} Gefitinib is an orally administered tyrosine kinase inhibitor of the epidermal growth factor receptor (EGFR). In two phase 2 studies of patients with previously treated non-small-cell lung cancer, the response rate was 9 to 19%.^{3,4} In subsequent phase 3 trials, the noninferiority of gefitinib as compared with docetaxel with respect to overall survival was shown in one study (hazard ratio, 1.02)⁵ but not another (hazard ratio, 1.12).⁶ Meanwhile, demographic and clinical factors such as Asian race, female sex, nonsmoking status, and adenocarcinoma were shown to be predictive of the efficacy of gefitinib, warranting a large comparative trial (First Line Iressa vs. Carboplatin/Paclitaxel in Asia [IPASS]; ClinicalTrials.gov number, NCT00322452) in which patients were selected in accordance with these factors.⁷

In May 2004, two pivotal studies showed that the presence of somatic mutations in the kinase domain of EGFR strongly correlates with increased responsiveness to EGFR tyrosine kinase inhibitors in patients with non-small-cell lung cancer.^{8,9} It was later found that subgroups of patients with non-small-cell lung cancer who had sensitivity to gefitinib had a high incidence of EGFR mutations. In Japan, 30% or more of patients with mutated-EGFR non-small-cell lung cancer are male or have a history of smoking.^{10,11} Therefore, we hypothesized that selecting patients on the basis of EGFR mutations rather than clinical factors would result in a population with a greater sensitivity to gefitinib.

Our previous prospective, phase 2 studies of gefitinib therapy in patients with advanced non-small-cell lung cancer and EGFR mutations¹²⁻¹⁴ revealed a response rate of more than 70% and progression-free survival of 9 to 10 months. We also developed a rapid, sensitive method for detecting sensitive EGFR mutations: the peptide nucleic acid-locked nucleic acid (PNA-LNA) polymerase-chain-reaction (PCR) clamp method.¹⁵ We then undertook a phase 3 study comparing gefitinib and standard carboplatin-paclitaxel chemotherapy in patients who had advanced non-small-cell lung cancer with sensitive EGFR mutations and who had not previously received chemotherapy.

METHODS

PATIENT POPULATION

This multicenter, randomized, phase 3 trial was approved by the institutional review board of each participating center. Eligibility criteria included the presence of advanced non-small-cell lung cancer harboring sensitive EGFR mutations, the absence of the resistant EGFR mutation T790M (in which threonine at amino acid 790 is substituted by methionine), no history of chemotherapy, and an age of 75 years or younger (because a benefit of a platinum-based regimen in patients >75 years of age is not established). Table 1 in the Supplementary Appendix (available with the full text of this article at NEJM.org) lists the detailed eligibility and exclusion criteria. The authors attest to the fidelity of the article to the full protocol and statistical-analysis plan.

DETECTION OF EGFR MUTATIONS

Cytologic or histologic specimens were examined for EGFR mutations by means of the PNA-LNA PCR clamp method. Briefly, genomic DNA fragments containing mutation hot spots of the EGFR gene were amplified with the use of a PCR assay in the presence of a peptide nucleic acid clamp primer synthesized from a peptide nucleic acid with a wild-type sequence. This method results in preferential amplification of the mutant sequence, which is then detected by a fluorescent primer that incorporates locked nucleic acids to increase the specificity. As a result, a mutant EGFR sequence is detected in specimens that contain 100 to 1000 excess copies of wild-type EGFR sequence. The sensitivity and specificity of the PNA-LNA PCR clamp method are 97% and 100%, respectively.^{15,16}

STUDY DESIGN AND TREATMENT

Before randomization, patients were stratified according to sex, clinical stage of non-small-cell lung cancer (IIIB, IV, or postoperative relapse), and institution. Eligible patients were randomly assigned to receive either gefitinib (at a dose of 250 mg per day orally) or standard chemotherapy. The standard chemotherapy consisted of paclitaxel (at a dose of 200 mg per square meter of body-surface area, given intravenously over a 3-hour period) and carboplatin (at a dose equivalent to an area under the concentration-time curve [AUC] of 6, given intravenously over a 1-hour period), both administered on the first day of every 3-week cycle. The

carboplatin dose in milligrams was calculated by means of the Calvert formula ($AUC \times [\text{the calculated creatinine clearance in milliliters per minute} + 25]$; www.freekinetics.com/auccalc1.htm). The glomerular filtration rate was estimated according to the Cockcroft–Gault method ($[(140 - \text{age in years}) \times [\text{actual weight in kilograms}] \div [72 \times \text{serum creatinine level in milligrams per deciliter} (\times 0.85 \text{ in women})]]$). Chemotherapy was continued for at least three cycles. Gefitinib was administered until disease progression, development of intolerable toxic effects, or withdrawal of consent.

CLINICAL ASSESSMENTS

Assessments made before enrollment are summarized in Table 2 in the Supplementary Appendix. Assessment of the tumor for a response to treatment was performed by means of computed tomography (CT) every 2 months. Unidirectional measurements were adopted on the basis of the Response Evaluation Criteria in Solid Tumors (RECIST, version 1.0).¹⁷ Progression-free survival was evaluated for the period from the date of randomization to the date when disease progression was first observed or death occurred. Treatment response and progression-free survival were determined by external review of the CT films by experts who were not aware of the treatment assignments. Overall survival was evaluated for the period from the date of randomization to the date of death. Toxic effects were assessed according to the National Cancer Institute Common Terminology Criteria (NCI-CTC, version 3.0; http://ctep.cancer.gov/protocolDevelopment/electronic_applications/docs/ctcaev3.pdf).

STATISTICAL ANALYSIS

The primary end point was progression-free survival, as a measure of the superiority of gefitinib over carboplatin–paclitaxel. From our previous data, we hypothesized that the progression-free survival with gefitinib was 9.7 months; from the results of the Iressa NSCLC Trial Assessing Combination Treatment (INTACT),¹⁸ we hypothesized that the progression-free survival with standard chemotherapy was 6.7 months. We estimated that a total of 230 events would be needed for the study to have a power of 80% to confirm the superiority of gefitinib over standard chemotherapy, with the use of a log-rank test and a two-sided significance level of 5%. Setting the duration of enrollment to 2 years with a minimum follow-up peri-

od of 6 months, we initially planned to enroll 320 patients.

Kaplan–Meier survival curves were drawn for progression-free survival and were compared by means of a log-rank test. Hazard ratios (and 95% confidence intervals) were calculated with the use of a Cox proportional-hazards analysis. Prespecified adjustment factors included sex and clinical stage.

Secondary end points included overall survival, response rate, time to the deterioration of performance status (Eastern Cooperative Oncology Group [ECOG] performance status score of ≥ 3 , capability of only limited self-care, or confinement to a bed or chair for $>50\%$ of waking hours¹⁹), and toxic effects. Overall survival and the time to ECOG performance status score of 3 or more were analyzed in the same way as progression-free survival. The response rate and rate of toxic effects were compared between the two groups with Fisher's exact test and the Wilcoxon test, respectively. Each analysis was performed with the use of a two-sided, 5% significance level and a 95% confidence interval by means of SAS for Windows software (release 9.1, SAS Institute).

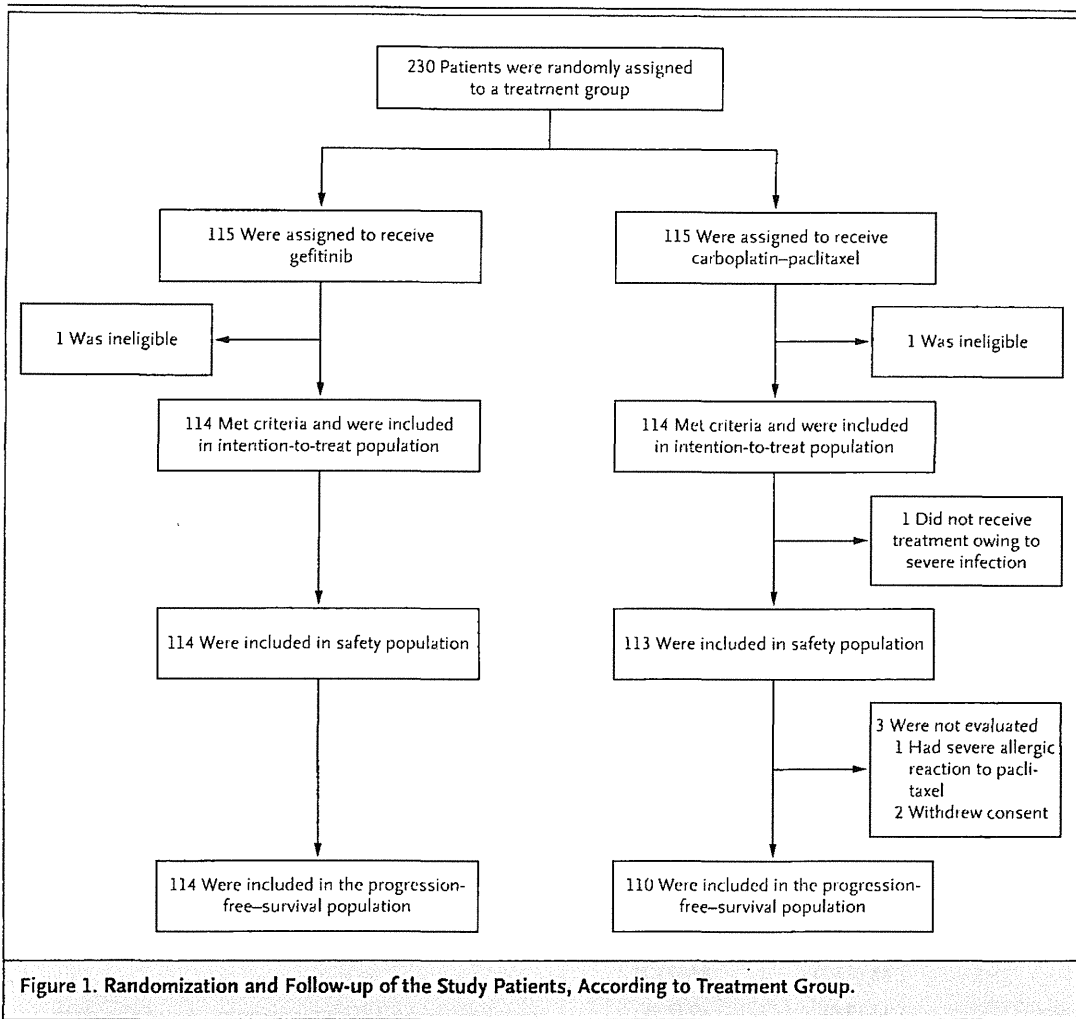
One interim analysis was planned to analyze the primary end point (significance level, $P=0.003$). The Lan–DeMets method was used to adjust for multiple comparisons. The O'Brien–Fleming type alpha-spending function was also used.

RESULTS

PATIENT CHARACTERISTICS

The study was begun in March 2006. The preplanned interim analysis was performed 4 months after the 200th patient was enrolled (May 2009); it showed a significant difference in progression-free survival between the two treatment groups ($P<0.001$), and the independent data and safety monitoring committee recommended termination of the study. Therefore, the study was stopped at the end of May 2009.²⁰

In total, 230 patients were enrolled from 43 institutions in Japan (Fig. 1). Half (115 patients) were randomly assigned to receive gefitinib and half to receive carboplatin–paclitaxel. Two patients were excluded because they were found to be ineligible. In the chemotherapy group, 1 patient was not evaluated for safety, owing to lack of receipt of the study drugs, and 3 others were excluded from the analysis of progression-free survival.



At the data cutoff point (early December 2009), the median follow-up period was 527 days (>17 months; range, 30 to 1261). The median duration of gefitinib treatment was 308 days (range, 14 to 1219); the median number of 3-week cycles of chemotherapy was 4 (range, 1 to 7). Three patients in the gefitinib group and 11 patients in the chemotherapy group received second-line treatment before they had RECIST-defined disease progression. The data on progression-free survival for these patients were censored at the time of the last CT evaluation at which they did not yet have evidence of disease progression. Demographic and disease characteristics at baseline were well balanced between the two groups (Table 1).

EFFICACY

The interim analysis performed in May 2009 showed that progression-free survival was significantly longer in the gefitinib group than in the

chemotherapy group (median, 10.4 months vs. 5.5 months; hazard ratio for death or disease progression with gefitinib, 0.36; 95% confidence interval [CI], 0.25 to 0.51; $P < 0.001$) (Fig. 1 in the Supplementary Appendix). A significant difference was again observed in the final analysis, performed in December 2009 (median progression-free survival, 10.8 months with gefitinib vs. 5.4 months with chemotherapy; hazard ratio, 0.30; 95% CI, 0.22 to 0.41; $P < 0.001$) (Fig. 2A). The 1-year and 2-year rates of progression-free survival were 42.1% and 8.4%, respectively, in the gefitinib group and 3.2% and 0%, respectively, in the chemotherapy group. Subgroup analyses showed that women had significantly longer progression-free survival than men (median, 6.5 vs. 6.0 months; hazard ratio for death or disease progression, 0.68; 95% CI, 0.51 to 0.92; $P = 0.01$). The objective response rate was significantly higher in the gefitinib group than the chemotherapy group (73.7% vs. 30.7%,

Table 1. Baseline Characteristics of the Intention-to-Treat Population, According to Treatment Group.*

Characteristic	Gefitinib (N=114)	Carboplatin–Paclitaxel (N=114)
Sex — no. (%)		
Male	42 (36.8)	41 (36.0)
Female	72 (63.2)	73 (64.0)
Age — yr		
Mean	63.9±7.7	62.6±8.9
Range	43–75	35–75
Smoking status — no. (%)		
Never smoked	75 (65.8)	66 (57.9)
Previous or current smoker	39 (34.2)	48 (42.1)
ECOG performance status score — no. (%)		
0	54 (47.4)	57 (50.0)
1	59 (51.8)	55 (48.2)
2	1 (0.9)	2 (1.8)
Histologic diagnosis — no. (%)		
Adenocarcinoma	103 (90.4)	110 (96.5)
Large-cell carcinoma	1 (0.9)	0
Adenosquamous carcinoma	2 (1.8)	1 (0.9)
Squamous-cell carcinoma	3 (2.6)	2 (1.8)
Other	5 (4.4)	1 (0.9)
Clinical stage — no. (%)		
IIIB	15 (13.2)	21 (18.4)
IV	88 (77.2)	84 (73.7)
Postoperative relapse	11 (9.6)	9 (7.9)
Type of EGFR mutation — no. (%)		
Exon 19 deletion	58 (50.9)	59 (51.8)
L858R	49 (43.0)	48 (42.1)
Other	7 (6.1)	7 (6.1)

* Plus–minus values are means ±SD. ECOG denotes Eastern Cooperative Oncology Group.

$P<0.001$) (Table 2). The median progression-free survival and response rate did not differ significantly between patients with the EGFR mutation consisting of an exon 19 deletion (11.5 months and 82.8%) and those with the L858R point mutation (in which leucine at amino acid 858 is replaced by arginine) (10.8 months and 67.3%) (Fig. 2B).

The overall survival did not differ significantly between the two treatment groups. The median survival time and the 2-year survival rate were 30.5 months and 61.4% for the gefitinib group, as compared with 23.6 months and 46.7%, respectively, for the carboplatin–paclitaxel group

($P=0.31$) (Fig. 2C). Neither sex nor clinical stage had a significant effect on overall survival. The time to an ECOG performance status score of 3 or more did not differ significantly between the two groups.

SAFETY

All patients who had received at least one dose of a study drug were included in the safety analysis. The most common adverse events in the gefitinib group were rash and elevated levels of aspartate aminotransferase or alanine aminotransferase, and in the chemotherapy group, appetite loss, neutropenia, anemia, and sensory neuropathy (Table 3, and Table 3 in the Supplementary Appendix). Interstitial lung disease was reported in six patients (5.3%) in the gefitinib group; three cases were severe, and one of the three was fatal. One grade 4 seizure in the gefitinib group and one grade 4 cerebral infarction and one grade 4 bowel obstruction in the chemotherapy group were observed. The incidence of severe toxic effects (NCI-CTC grade ≥ 3) was significantly higher in the chemotherapy group than in the gefitinib group (71.7% vs. 41.2%, $P<0.001$).

TREATMENT AFTER PROTOCOL DISCONTINUATION

Data on treatment given after the study protocol was discontinued were collected retrospectively. Though any treatment was permitted, the protocol recommended that the crossover regimen be used as second-line treatment. As of the data cut-off point, 37 patients in the gefitinib group had continued their first-line gefitinib therapy. Among the remaining 77 patients in the gefitinib group who had stopped receiving gefitinib, 52 (67.5%) were receiving carboplatin–paclitaxel as second-line treatment, with a response rate of 28.8%. Sixteen other patients in the gefitinib group were receiving other therapies such as carboplatin–gemcitabine. Among the 112 patients who had completed first-line carboplatin–paclitaxel, 106 patients (94.6%) received second-line gefitinib; 58.5% of these patients had a response.

DISCUSSION

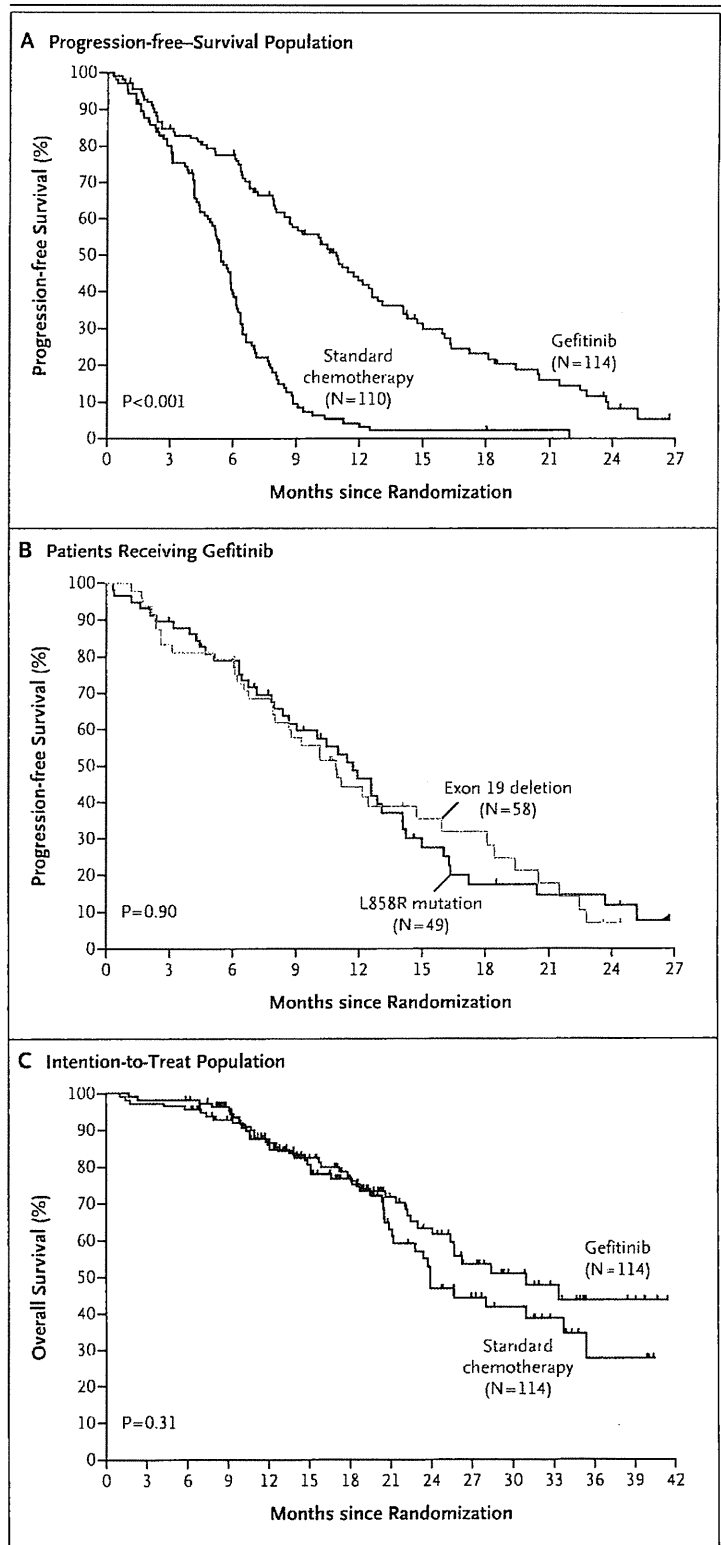
Previous phase 2 studies have suggested that EGFR tyrosine kinase inhibitors are highly effective against mutated-EGFR non–small-cell lung cancer. The current phase 3, prospective, randomized study showed that the use of gefitinib results in progression-free survival that is twice as long

Figure 2. Progression-free Survival and Overall Survival among the Study Patients.

Kaplan–Meier curves for progression-free survival are shown for the progression-free–survival population (Panel A) and for the 107 patients in the gefitinib group with either of the two most common types of epidermal growth factor receptor (EGFR) mutation (Panel B). Kaplan–Meier curves for overall survival in the intention-to-treat population are shown in Panel C. In Panels B and C, tick marks indicate patients for whom data were censored at the data cutoff point (early December 2009).

as that obtained with the use of carboplatin–paclitaxel in patients with mutated-EGFR non-small-cell lung cancer, with a tolerable toxicity profile, including less hematologic toxicity and neurotoxicity than is seen with chemotherapy.

The IPASS, which was conducted in Asia, compared gefitinib with carboplatin–paclitaxel as the first-line treatment for advanced non-small-cell lung cancer in patients selected on the basis of clinical characteristics that included a history of no smoking or light smoking as well as histologic evidence of adenocarcinoma.⁷ Although IPASS showed the overall superiority of gefitinib (rate of 1-year progression-free survival, 24.9%, vs. 6.7% with chemotherapy; hazard ratio for death or disease progression, 0.74; $P < 0.001$), the most impressive result emerged from subgroup analysis: as compared with chemotherapy, gefitinib was effective in patients with mutant EGFR (hazard ratio for death or disease progression, 0.48) but was ineffective in those with wild-type EGFR (hazard ratio, 2.85). This finding suggested that the presence of EGFR mutations is the best criterion for selection of patients who benefit from gefitinib, an idea that is validated by the present study.²⁰ Recently, another Japanese phase 3 study (WJTOG3405; University Hospital Medical Information Network Clinical Trials Registry [UMIN-CTR] number, UMIN000000539) compared gefitinib to cisplatin–docetaxel as the first-line treatment for advanced non-small-cell lung cancer with EGFR mutations.²¹ Although this study also showed the superiority of gefitinib over standard chemotherapy with respect to progression-free survival, the magnitude of the benefit was somewhat smaller than in our study, possibly because of differences in the characteristics of the patients (since 41% of patients in WJTOG3405 had had surgery, vs. only 9% in our study) and the duration of follow-up (median, 81 days in WJTOG3405 vs. 527 days in our study).



The standard end point of phase 3 trials of treatments for advanced non-small-cell lung cancer has been overall survival. However, when our trial was begun in 2006, we had data only on