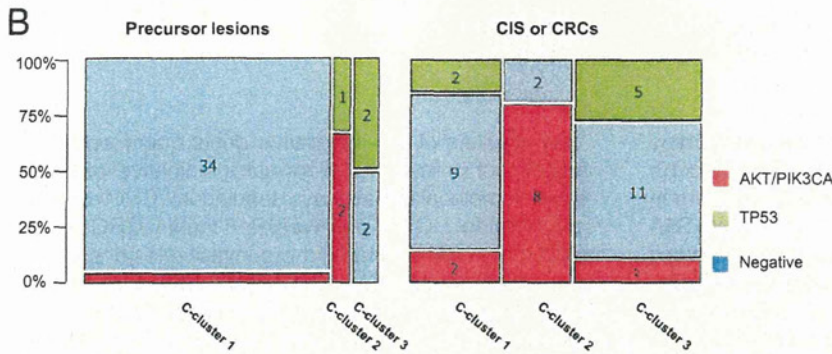


**Figure 3.** Distinct subclasses of precursor and malignant colorectal lesions are defined based on their CNAs. **A:** Unsupervised hierarchical clustering analysis using array CGH data from 40 precursor lesions, 25 CISs, and 19 CRCs. Lesions could be categorized into three subclasses (C-clusters 1 to 3). CIMP status and gene mutations are indicated (**top panel**), as are chromosome (Chr) numbers (**left panel**). Ratios of precursor lesions, CISs, and CRCs in each C-cluster are shown (**bottom panel**). **B:** Ratios of genetic defects in *AKT/PIK3CA* pathway genes and *TP53* mutations in precursor (**left panel**) and advanced (**right panel**) lesions with the indicated CNA status.



### Clinicopathological Features of CIMP-Positive Precursor Lesions

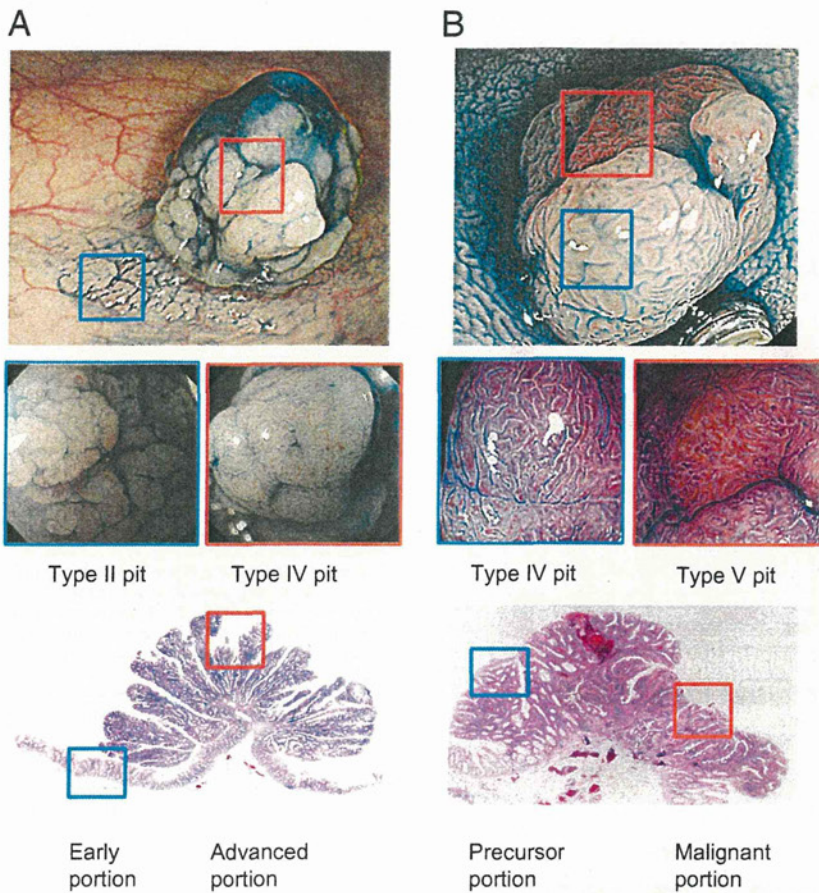
The associations between the clinicopathological characteristics and the CIMP status of the precursor lesions, CISs, and CRCs are summarized in Table 2. Age, sex, and tumor location were matched among the three groups. As with CRCs, CIMP-positive precursor lesions were more prevalent than CIMP-N precursor lesions among female and older patients. Interestingly, CIMP-L was associated with larger diameters among precursor lesions, although this tendency was not apparent among malignant lesions. Most of the CIMP-H precursor lesions were SSAs, whereas most of the CIMP-L precursor lesions were tubulovillous adenomas (Table 2; see also Supplemental Figure S4B at <http://ajp.amjpathol.org>). Levels of groups B and C gene methylation were higher

in SSAs than in the other precancerous lesions, whereas methylation of group A genes was higher in all precursor types than in normal colonic tissue (see Supplemental Figure S4C at <http://ajp.amjpathol.org>).

### CNAs Are Late Events in Colorectal Tumorigenesis

Several studies have shown an inverse relationship between CIMP and CIN in CRC.<sup>17,33,34</sup> For that reason, we next used array CGH to analyze CNAs in 40 precursor lesions, 25 CISs, and 19 CRCs (Table 3). Unsupervised hierarchical clustering analysis using the array CGH data revealed that the tumors could be categorized into three subclasses, according to their CNA status (C-clusters 1 to 3): C-cluster 1 was enriched in tumors with the fewest





**Figure 4.** Endoscopic and histological findings in a set of mixed colorectal lesions. **A:** Endoscopic and histological findings from a representative precursor lesion in which a flat portion with an early pit pattern (type II, early portion) is present, along with a protruding portion with advanced pits (type IV, advanced portion). Both components are histologically premalignant (IIP and TSA). Biopsy specimens were obtained from the respective portions (blue and red boxes), after which the molecular profiles are analyzed. **B:** Endoscopic and histological findings from a representative lesion in which a precursor portion (type IV, pit pattern) is present, along with a malignant portion (type V, pit pattern). Biopsy specimens were obtained from the respective portions (blue and red boxes), after which the molecular profiles are analyzed.

CNAs, whereas C-cluster 2 tumors were characterized by frequent copy number gains on chromosomes 7 and 19; both gains and losses were prevalent among tumors in C-cluster 3 (Figure 3A; see also Supplemental Figure S5A at <http://ajp.amjpathol.org>). Much of the precursor lesions [33 (82.5%) of 40] were enriched in C-cluster 1, whereas most of the malignant lesions (CISs and CRCs) were enriched in C-cluster 2 or 3, suggesting that CNAs occurred late during colorectal tumorigenesis (Figure 3A). Most of the CIMP-positive precursors and malignant lesions were categorized as C-cluster 1 or 2 (Figure 3A; see also Supplemental Figure S6A at <http://ajp.amjpathol.org>), and the methylation levels of the groups B and C genes were similar between C-cluster 1 and 2 tumors (see Supplemental Figure S6B at <http://ajp.amjpathol.org>). These observations suggested that CIMP and CNAs were inversely correlated in many colorectal tumors and that a subset of the CIMP-positive tumors (C-cluster 2) exhibited frequent copy number gains, particularly on chromosomes 7 and 19. As was previously seen, we found that most *BRAF*-mutant precursors and malignant lesions were enriched in C-cluster 1 (Figure 3A). By contrast, although most of the *KRAS*-mutant precursors were enriched in C-cluster 1, *KRAS*-mutant malignant lesions were equally distributed among all three C-clusters (Figure 3A; see also Supplemental Figure S6C at <http://ajp.amjpathol.org>).

The results of our integrative genetic and epigenetic analysis of precursor lesions were indicative of several distinct molecular pathways leading to CRC development. Notably, CIMP-positive/*BRAF*-mutant CRCs did not exhibit more CNAs than did pre-invasive lesions with the same *BRAF* mutations and CIMP-positive methylation profile, suggesting that such pre-invasive lesions may progress to CRC without additional CNAs. By contrast, CIMP-positive/*KRAS*-mutant precursors appeared to develop via CNA-independent and CNA-dependent pathways. The CNA-dependent pathway was characterized by frequent amplification of *BRAF* and *EZH2* on chromosome 7q and amplification of *AKT2/PAK4* and *DNMT1* on chromosome 19 (see Supplemental Figure S5B at <http://ajp.amjpathol.org>). More important, we found that most C-cluster 2 tumors exhibited genetic defects (mutations and/or CNAs) in genes whose products were implicated in the *AKT/PIK3CA* pathway, including *AKT1*, *AKT2/PAK4*, *PDK1*, and *PIK3CA* (Figure 3B; see also Supplemental Figure S5B at <http://ajp.amjpathol.org>).

#### *Dynamics of the Molecular Signatures during the Progression of Colorectal Tumorigenesis*

Our results suggested that acquisition of CNAs was essential for *BRAF* wild-type precursors to progress to more



**Table 4.** Histological and Molecular Signatures in a Set of Mixed Colorectal Lesions

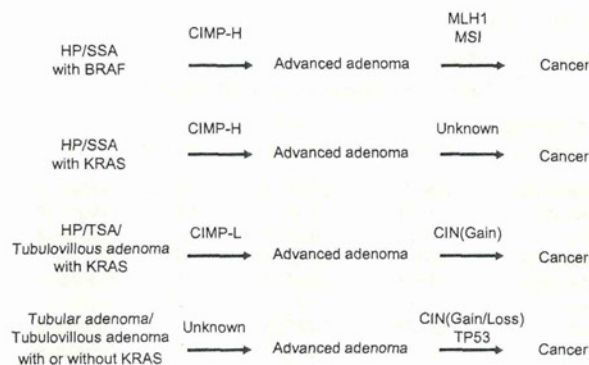
Precursor Lesion Plus Precursor Lesion									
Type II or III pit patterns (early portion)					Type IV pit pattern (advanced portion)				
Pathological findings	Mutation	CIMP (M-cluster)	C-cluster	MSI	Pathological findings	Mutation	CIMP (M-cluster)	C-cluster	MSI
SSA	<i>BRAF</i>	CIMP-H	ND	Negative	Adenoma	<i>BRAF</i>	CIMP-H	ND	Negative
SSA	<i>BRAF</i>	CIMP-H	ND	Negative	Adenoma	<i>BRAF</i>	CIMP-H	ND	Negative
SSA	<i>KRAS</i>	CIMP-H	ND	Negative	SSA	<i>KRAS</i>	CIMP-H	ND	Negative
SSA	<i>KRAS</i>	CIMP-H	ND	Negative	Adenoma	<i>KRAS</i>	CIMP-H	ND	Negative
SSA	<i>BRAF</i>	CIMP-H	1	Negative	Adenoma	<i>BRAF</i>	CIMP-H	1	Negative
SSA	<i>KRAS</i>	CIMP-L	ND	Negative	SSA	<i>KRAS</i>	CIMP-H	ND	Negative
HP	<i>BRAF</i>	CIMP-H	ND	Negative	SSA	<i>BRAF</i>	CIMP-H	ND	Negative
HP	<i>BRAF</i>	CIMP-H	1	Negative	SSA	<i>BRAF</i>	CIMP-H	1	Negative
TSA	<i>KRAS</i>	CIMP-L	1	Negative	TSA	<i>KRAS</i>	CIMP-H	2	Negative
TSA	<i>KRAS</i>	CIMP-L	1	Negative	TSA	<i>KRAS</i>	CIMP-L	1	Negative
TSA	<i>KRAS</i>	CIMP-N	ND	Negative	TSA	<i>KRAS</i>	CIMP-L	ND	Negative
HP	<i>BRAF</i>	CIMP-N	ND	Negative	TSA	<i>BRAF</i>	CIMP-H	ND	Negative
HP	<i>BRAF</i>	CIMP-N	1	Negative	TSA	<i>BRAF</i>	CIMP-H	1	Negative
HP	<i>BRAF</i>	CIMP-N	ND	Negative	TSA	<i>BRAF</i>	CIMP-H	ND	Negative
HP	WT	CIMP-N	ND	Negative	TSA	WT	CIMP-L	ND	Negative
HP	<i>BRAF</i>	CIMP-N	ND	Negative	TSA	<i>BRAF</i>	CIMP-L	ND	Negative
Tubular adenoma	<i>KRAS</i>	CIMP-N	1	Negative	Tubulovillous adenoma	<i>KRAS</i>	CIMP-H	1	Negative
Tubulovillous adenoma	WT	CIMP-L	ND	Negative	Tubulovillous adenoma	WT	CIMP-L	ND	Negative
Tubulovillous adenoma	WT	CIMP-L	ND	Negative	Tubulovillous adenoma	WT	CIMP-L	ND	Negative
Tubular adenoma	<i>KRAS</i>	CIMP-N	2	Negative	Tubulovillous adenoma	<i>KRAS</i>	CIMP-N	3	Negative
Tubular adenoma	WT	CIMP-N	1	Negative	Tubular adenoma	<i>KRAS</i>	CIMP-N	1	Negative
Tubular adenoma	WT	CIMP-N	1	Negative	Tubular adenoma	<i>KRAS</i>	CIMP-N	1	Negative

Precursor Lesion Plus CIS or CRC									
Type II, III, or IV pit patterns (precursor portion)					Type V pit pattern (malignant portion)				
Pathological findings	Mutation	CIMP (M-cluster)	C-cluster	MSI	Pathological findings	Mutation	CIMP (M-cluster)	C-cluster	MSI
SSA	<i>BRAF</i>	CIMP-H	1	Negative	CIS	<i>BRAF</i>	CIMP-H	1	Positive
SSA	<i>BRAF</i>	CIMP-H	ND	Negative	CIS	<i>BRAF</i>	CIMP-H	ND	Positive
SSA	<i>BRAF</i>	CIMP-H	1	Negative	CIS	<i>BRAF</i>	CIMP-H	2	Positive
Tubular adenoma	<i>KRAS</i>	CIMP-H	1	Negative	CIS	<i>KRAS</i>	CIMP-H	1	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-H	1	Negative	CIS	<i>KRAS</i>	CIMP-H	1	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-L	ND	Negative	CIS	<i>BRAF</i>	CIMP-H	ND	Positive
Tubulovillous adenoma	<i>KRAS</i>	CIMP-L	1	Negative	CIS	<i>TP53</i>	CIMP-H	2	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-L	1	Negative	CIS	<i>KRAS</i>	CIMP-L	2	Negative
Tubulovillous adenoma	WT	CIMP-N	1	Negative	CIS	<i>KRAS</i>	CIMP-L	2	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-N	2	Negative	CIS	<i>KRAS</i>	CIMP-L	2	Negative
Tubular adenoma	<i>KRAS</i>	CIMP-N	1	Negative	CIS	<i>KRAS</i>	CIMP-L	2	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-N	1	Negative	CIS	<i>PIK3CA</i>	CIMP-N	2	Negative
Tubulovillous adenoma	<i>PIK3CA</i>	CIMP-N	1	Negative	CRC	<i>PIK3CA</i>	CIMP-N	2	Negative
Tubulovillous adenoma	WT	CIMP-N	1	Negative	CIS	<i>KRAS</i>	CIMP-N	1	Negative
Tubulovillous adenoma	WT	CIMP-L	ND	Negative	CIS	<i>KRAS</i>	CIMP-L	ND	Negative
Tubular adenoma	WT	CIMP-N	ND	Negative	CIS	WT	CIMP-L	ND	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-L	1	Negative	CIS	<i>KRAS</i>	CIMP-L	1	Negative
Tubulovillous adenoma	<i>KRAS</i>	CIMP-N	1	Negative	CRC	<i>TP53</i>	CIMP-N	3	Negative
Tubulovillous adenoma	WT	CIMP-N	1	Negative	CIS	WT	CIMP-N	3	Negative
Tubulovillous adenoma	WT	CIMP-N	1	Negative	CIS	<i>KRAS</i>	CIMP-N	3	Negative
Tubular adenoma	WT	CIMP-N	1	Negative	CIS	WT	CIMP-N	3	Negative
Tubular adenoma	<i>KRAS</i>	CIMP-L	1	Negative	CRC	<i>KRAS</i>	CIMP-L	3	Negative
Tubular adenoma	WT	CIMP-N	3	Negative	CIS	WT	CIMP-N	3	Negative
Tubular adenoma	<i>TP53</i>	CIMP-N	3	Negative	CIS	<i>TP53</i>	CIMP-N	3	Negative
Tubular adenoma	WT	CIMP-N	3	Negative	CIS	WT	CIMP-N	3	Negative
Tubular adenoma	WT	CIMP-N	1	Negative	CIS	WT	CIMP-N	1	Negative
Tubulovillous adenoma	WT	CIMP-N	1	Negative	CIS	WT	CIMP-N	1	Negative

ND, no data; WT, wild type.





**Figure 5.** Model for development of CRCs via four distinct molecular pathways.

advanced tumors. To confirm this finding, we analyzed a series of colorectal lesions in which precursor components were present together with more advanced lesions within the same tumors. According to Kudo's classification, the aberrant pit patterns observed using magnifying colonoscopy are hallmarks of malignant tumors, and enabled us to distinguish between the precursor and advanced components (see [Supplemental Figure S1 at \*http://ajp.amjpathol.org\*](#)).<sup>19</sup> We first analyzed the precursor lesions ( $n = 22$ ), in which portions with early pit patterns (type II or III) were present, along with more advanced pits (type IV), although both components were histologically premalignant ([Figure 4A](#)). Progression from precursor lesions with early pits to lesions with advanced pits was associated with the accumulation of DNA methylation, whereas genetic alterations (mutations and CNAs) were rarely acquired ([Table 4](#); see also [Supplemental Figures S7 and S8 at \*http://ajp.amjpathol.org\*](#)). By contrast, progression from precursor (type II, III, or IV pit) to malignant (type V pit) lesions ( $n = 27$ ) was accompanied by the occurrence of a wide variety of genetic changes, whereas methylation levels remained largely unchanged ([Figure 4B](#) and [Table 4](#); see also [Supplemental Figures S7 and S8 at \*http://ajp.amjpathol.org\*](#)). For example, CIMP-H adenomas with a *BRAF* mutation acquired MSI as they developed into CISs, suggesting that inactivation of *MLH1* and subsequent genetic instability were late events in the CIMP-H pathway. In addition, CIMP-L and CIMP-N adenomas acquired mutations and CNAs as they developed into CISs and CRCs. Most malignant lesions that exhibited C-cluster 2-type CNAs were derived from tubulovillous adenomas and were characterized by a *KRAS* mutation and CIMP-L ([Table 4](#)). On the other hand, most advanced lesions with C-cluster 3-type CNAs were CIMP negative, and more than half of those lesions were derived from tubular adenomas ([Table 4](#)).

## Discussion

In the present study, we performed integrated genetic and epigenetic analyses with many colorectal neoplasias, including premalignant and malignant lesions. Because of the tight association between CIMP and the

clinicopathological features of CRCs, it was anticipated that epigenetic profiling of premalignant lesions would provide important information that would aid in selecting appropriate therapeutic options and predicting clinical outcomes.<sup>35-37</sup> Numerous studies have confirmed that CRCs arise through the accumulation of both genetic and epigenetic alterations; however, the interactions between these alterations early during carcinogenesis remained largely uninvestigated. In addition, only a small fraction of colorectal adenomas may develop into malignant tumors, and progression from adenoma to cancer generally takes >10 years.<sup>38</sup> Thus, the identification of genetic and/or epigenetic alterations that directly correlate with the malignant potential of precursor lesions could facilitate risk assessment and enable prevention of CRCs.

Our comprehensive methylation analysis revealed that the aberrant methylation patterns characterizing CIMP are established early during colorectal tumorigenesis. We found that CIMP-H precursor lesions are strongly associated with SSA and *BRAF/KRAS* mutations, whereas CIMP-L precursor lesions are associated with tubulovillous adenomas and frequent *KRAS* mutations. Recently, Yagi et al<sup>39</sup> reported that colorectal adenomas could be classified into high-, intermediate-, and low-methylation epigenotypes, and that the intermediate-methylation epigenotype correlated significantly with a *KRAS* mutation, which is consistent with our observations. These results are indicative of the important relationship between the histological type and the molecular features of premalignant colorectal lesions. By contrast, CIMP-N precursor lesions contained various histological types, including HP, tubular adenoma, and tubulovillous adenoma. Recent reports have shown that a subset of HPs with *BRAF* or *KRAS* mutations progress to SSAs or TSAs, but the time at which aberrant methylation occurs remains unclear.<sup>15,40</sup> Our present findings indicate that, among *KRAS*- or *BRAF*-mutant precursors, the methylation levels of several genes were significantly increased during the progression from HP to adenoma. Concurrent increases in the methylation of multiple genes were further confirmed in mixed lesions containing HP and adenoma components ([Table 4](#)).

CIN is an important driving force promoting colorectal tumorigenesis, and recent studies have shown an inverse relationship between CIMP and CIN.<sup>17,33,34,41</sup> Our genome-wide CNA analysis of precursor and malignant lesions revealed that most CNAs are acquired during the progression from adenomas to CISs/CRCs. Consistent with earlier reports, CIMP-H tumors showed few CNAs, whereas both copy number gains and losses were prevalent among CIMP-N tumors.<sup>17,33,34</sup> Furthermore, we discovered that a subset of *KRAS*-mutant/CIMP-L tumors exhibited a unique CNA pattern characterized by frequent copy number gains at chromosomes 7 and 19, with relatively few copy number losses.

In contrast to the tight association between a *BRAF* mutation and CIMP-H in a subset of CRCs, the relationship between a *KRAS* mutation and CIMP status is not fully understood, which likely reflects the molecular complexity of *KRAS*-mutant CRCs.<sup>10,12,13,42</sup> Our analysis sug-



gests that *KRAS*-mutant precursors progress to CRCs via three distinct pathways (Figure 5). First, such as *BRAF*-mutant/CIMP-H tumors, a subset of *KRAS*-mutant tumors, derived from SSAs, exhibit high levels of methylation and few CNAs. Although methylation of *MLH1* is essential for *BRAF*-mutant/CIMP-H adenomas to develop into cancers, *MLH1* methylation was infrequent among *KRAS*-mutant/CIMP-positive tumors. SSAs with a *KRAS* mutation are presumed to be the origin of MSI-negative/CIMP-H CRCs, although the molecular mechanisms underlying the progression from precursors to malignant lesions remain unknown.

Second, we identified a subclass of *KRAS*-mutant/CIMP-positive cancers originating from tubulovillous adenomas or TSAs, in which alteration in *AKT/PIK3CA* signaling was crucially involved. In these tumors, genes associated with an *AKT/PIK3CA* signaling pathway were commonly affected during the progression from adenomas to malignant lesions. Several studies have shown aberrant *AKT/PIK3CA* signaling to be critical for CRC development, and mutations in *PIK3CA*, *AKT1*, *AKT2*, and *PKD1* and amplification of *AKT2/PAK4* are all reportedly associated with a poor prognosis.<sup>43–46</sup> In the present study, concurrent amplification of *AKT2/PAK4* was specifically observed in *KRAS*-mutant/CIMP-positive cancers. Interestingly, we also observed frequent amplification of *BRAF* in this type of tumor. Although further study is needed to clarify its functional role in tumorigenesis, recent studies have shown that *BRAF* amplification promotes acquired resistance to MAPK/ERK kinase 1/2 inhibitors in CRC cells.<sup>47,48</sup>

The molecular profiles of mixed precursor lesions suggest that CIMP is acquired during the progression from flat to protruding-type adenomas (Figure 4A; see also Supplemental Figures S2D, S7A, and S8A at <http://ajp.amjpathol.org>), whereas the *KRAS* mutation status was unchanged between the two components. This means that a *KRAS* mutation precedes CIMP in the *KRAS*/CIMP pathway, and that the acquisition of epigenetic changes, in addition to the *KRAS* mutation, may promote adenoma cell proliferation.

By contrast, *KRAS*-mutant/CIMP-N tumors are derived from tubular adenomas or tubulovillous adenomas and are characterized by a frequent *TP53* mutation and high levels of CNA. An analysis of CIMP-N mixed lesions revealed that a *KRAS* mutation is found only in some advanced components, suggesting that a *KRAS* mutation occurs late during tumorigenesis in this pathway. Thus, *KRAS* mutations appear to play multiple roles during colorectal carcinogenesis. Further study will be required to fully characterize the functional diversity of *KRAS* mutations in CRCs; however, based on the genetic and epigenetic alterations found in CRC and the timing of the occurrence of *KRAS* mutations, we propose that CRCs develop via the four distinct pathways illustrated in Figure 5.

The results of several recent studies support the two-colon concept, which suggests that MSI-positive, CIMP-positive, and *BRAF*-mutant CRCs occur more frequently in the proximal colon.<sup>9–11,13</sup> By contrast, Yamauchi et al<sup>49,50</sup> recently reported that the frequencies of CIMP-H,

MSI-high, and *BRAF* mutations in CRCs increased gradually along colorectal subsites from the rectum to the ascending colon. Because of the relatively few samples, we could not confirm this continuum concept in our present study. However, given its potentially significant impact on both basic and clinical research in CRC, testing this theory through further study of a larger population would seem warranted.

Our findings in this study have important implications for translating the molecular basis of carcinogenesis into a clinical benefit. The detection of high-risk precursor lesions is essential for preventing CRCs, and pit pattern observation using magnifying endoscopy enables us to detect neoplastic lesions with malignant potential.<sup>19</sup> We have dissected the morphological, histological, and molecular alterations in precancerous lesions of the colorectum and determined that they are directly linked to one another. Moreover, we provide strong evidence that aberrant pit patterns reflect histological changes and genetic and epigenetic defects in the precursor lesions, and that intratumoral variation in pit patterns could be predictive of the extent of the molecular abnormalities in a given tumor. Such a microstructure-based diagnostic system is readily available to the clinician, although specific skills are required for detailed pit pattern analysis. Further advances in the algorithm for pattern recognition may lead to the development of an innovative diagnostic system for detecting premalignant lesions and a reduction in CRC mortality.

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## Research Article

**Aberrant Methylation of *RASGRF1* Is Associated with an Epigenetic Field Defect and Increased Risk of Gastric Cancer**

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**Abstract**

Aberrant DNA methylation is implicated in the epigenetic field defect seen in gastric cancer. Our aim in this study was to identify predictive biomarkers by screening for DNA methylation in noncancerous background gastric mucosa from patients with gastric cancer. Using methylated-CpG island amplification coupled with CpG island microarray (MCAM) analysis, we identified 224 genes that were methylated in the noncancerous gastric mucosa of patients with gastric cancer. Among them, *RASGRF1* methylation was significantly elevated in gastric mucosa from patients with either intestinal or diffuse type gastric cancer, as compared with mucosa from healthy individuals (8.3% vs. 22.4%,  $P < 0.001$ ; 8.3% vs. 19.4%,  $P < 0.001$ ). *RASGRF1* methylation was independent of mucosal atrophy and could be used to distinguish both serum pepsinogen test-positive [sensitivity, 70.0%; specificity, 86.7%; area under the receiver operator characteristic (ROC) curve, AUC, 0.763] and -negative patients with gastric cancer (sensitivity, 72.2%; specificity, 87.0%; AUC, 0.844) from healthy individuals. Ectopic expression of *RASGRF1* suppressed colony formation and Matrigel invasion by gastric cancer cells, suggesting it may be involved in gastric tumorigenesis. Collectively, our data suggest that *RASGRF1* methylation is significantly involved in an epigenetic field defect in the stomach, and that it could be a useful biomarker to identify individuals at high risk for gastric cancer. *Cancer Prev Res*; 5(10); 1203–12. ©2012 AACR.

**Introduction**

Gastric cancer is a major cause of cancer-related mortality worldwide. *Helicobacter pylori* (*H. pylori*) plays an important role in gastric carcinogenesis, although the majority of the individuals with *H. pylori* infection do not develop gastric cancer (1). Histologically, gastric cancers are divided into 2 subgroups, intestinal and diffuse, which are thought to develop through separate pathologic pathways (2). Etiologic analysis has shown that individuals with *H. pylori*-

related gastritis, severe atrophy, and intestinal metaplasia are at high risk of developing intestinal type gastric cancers, which are often associated with metachronous gastric cancer development. On the other hand, individuals with *H. pylori*-related pangastritis and enlarged-fold gastritis, which are lesions without mucosal atrophy or intestinal metaplasia, are at increased risk of developing diffuse type gastric cancers (3). Surveillance of these high-risk patients using reliable and accurate predictive markers is important for reducing the incidence of gastric cancer and its mortality.

Aberrant DNA methylation is one of the most common molecular alterations found in neoplasias; CpG island hypermethylation is associated with the silencing of tumor suppressor genes and other tumor-related genes, whereas global hypomethylation is thought to induce oncogene activation or chromosomal instability (4). The list of genes aberrantly methylated in gastric cancer is growing and now includes genes involved in cell-cycle regulation, apoptosis, immune function, cell signaling, and tumor invasion and metastasis (3, 5). In addition, aberrant DNA methylation is frequently observed in noncancerous gastric mucosa in *H. pylori*-infected patients, suggesting aberrant DNA methylation is an early step during gastric carcinogenesis (6, 7). We previously showed hypomethylation of LINE-1 repetitive elements and hypermethylation of *CDH1* in enlarged-fold gastritis, which is an indicator of a high risk for diffuse type gastric cancer (8). More recently, we and others reported

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frequent hypermethylation of tumor suppressive miRNA genes in the noncancerous gastric mucosa of patients with gastric cancer (9, 10). Taken together, these results suggest that aberrant methylation in the gastric mucosa could be a useful biomarker for evaluating gastric cancer risk.

Our aim in the present study was to identify predictive biomarkers by screening for DNA methylation in the noncancerous background gastric mucosa in cases of gastric cancer. For this purpose, we carried out high-throughput CpG island methylation profiling in a set of noncancerous gastric mucosa specimens from patients with gastric cancer and from cancer-free individuals. We identified a number of aberrantly methylated genes in the gastric mucosa of the patients with gastric cancer, including *RASGRF1*, which was frequently methylated in cases of both intestinal and diffuse type gastric cancer. We provide evidence that *RASGRF1* is a novel target for epigenetic silencing in gastric cancer, and that its methylation in the gastric mucosa is strongly associated with an elevated risk for both types of gastric cancer.

## Materials and Methods

### Study population and cell lines

A total of 130 primary gastric cancer specimens were obtained through surgical resection or endoscopic biopsy. Samples of noncancerous gastric mucosa were obtained through endoscopic biopsy from 91 patients with gastric cancer and 69 healthy individuals. From each patient, biopsy specimens of noncancerous gastric mucosa were taken from the gastric body and antrum. *H. pylori* infection was assessed using a rapid urease test, a serum antibody test, or a urea breath test. If any one of these assays was positive, the patients were considered to be *H. pylori*-positive. The updated Sydney system and serum pepsinogen test was used to estimate the degree of gastritis (11). The serum pepsinogen test was carried out by assessing the serum pepsinogen I (PGI) and pepsinogen II (PGII) levels; the criteria for positivity were  $\text{PGI} \leq 70$  ng/mL and a  $\text{PGI/PGII} \text{ ratio} \leq 3.0$  (12). Informed consent was obtained from all patients before the collection of specimens. Approval of this study was obtained from the Institutional Review Board of Akita Red Cross Hospital (Akita, Japan) and Sapporo Medical University (Sapporo, Japan).

Gastric cancer cell lines (MKN7, SH101, SNU1, SNU638, JRST, Katolli, AZ521, AGS, and NCI-N87) were obtained and cultured as described previously (9, 13). SH101 and HSC43 cells were kindly provided by Dr. Kazuyoshi Yanagihara, Yasuda Women's University (14, 15). In some instances, cells were treated with 2  $\mu\text{mol/L}$  5-aza-2'-deoxycytidine (5-aza-dC; SIGMA) for 72 hours, replacing the drug and medium every 24 hours. Genomic DNA was extracted using the standard phenol-chloroform procedure. Total RNA was extracted using TRIZOL reagent (Invitrogen), and then treated with a DNA-free Kit (Ambion).

### Methylated CpG island amplification coupled with CpG island microarray analysis

Methylated CpG island amplification (MCA) was conducted as described previously (16, 17). Briefly, 500 ng of

genomic DNA was digested with the methylation-sensitive restriction endonuclease *SmaI* (New England Biolabs), after which it was digested with the methylation-insensitive restriction endonuclease *XmaI*. The adaptors were prepared by addition of the oligonucleotides RMCA12 (5'-CCG-GGCAGAAAG-3') and RMCA24 (5'-CCACCGCCATCC-GAGCCTTCTGC-3'). After the ligation of the digested DNA to the adaptors, PCR amplification was carried out. Using a BioPrime Plus Array CGH Genomic Labeling System (Invitrogen), MCA amplicons from gastric cancers and samples of *H. pylori*-positive noncancerous gastric mucosa were labeled with Alexa Fluor 647, and those from pooled samples of a mixture of *H. pylori*-negative normal gastric mucosa were labeled with Alexa Fluor 555. Labeled MCA amplicons were then hybridized to a custom human CpG island microarray containing 15,134 probes covering 6,157 unique genes (G4497A; Agilent Technologies; ref. 18). After washing, the array was scanned using an Agilent DNA Microarray Scanner (Agilent Technologies), and the data were processed using Feature Extraction software ver. 10.7 (Agilent Technologies). The data were then analyzed using GeneSpring GX ver. 11 (Agilent Technologies) after which unsupervised hierarchical clustering analysis were carried out using JMP ver. 8 (SAS Institute). The microarray data in this study have been submitted to the Gene Expression Omnibus (GEO) and accession number is GSE39175.

### Methylation analysis

Genomic DNA (1  $\mu\text{g}$ ) was modified with sodium bisulfite using an EpiTect Bisulfite Kit (QIAGEN) after which methylation-specific PCR (MSP), bisulfite sequencing, and pyrosequencing were carried out as described previously (9). Briefly, for bisulfite pyrosequencing, the biotinylated PCR product was purified, made single-stranded, and used as a template in a pyrosequencing reaction run according to the manufacturer's instructions. The pyrosequencing reaction was carried out using a PSQ96 system with a PyroGold Reagent Kit (QIAGEN), and the results were analyzed using Q-CpG software (QIAGEN). For bisulfite sequencing, amplified PCR products were cloned into pCR2.1-TOPO vector (Invitrogen), and 12 to 14 clones from each sample were sequenced using an ABI3130x automated sequencer (Applied Biosystems). Primer sequences and PCR product sizes are listed in Supplementary Table S1.

### RT-PCR of *RASGRF1*

Single-stranded cDNA was prepared using SuperScript III reverse transcriptase (Invitrogen), after which the integrity of the cDNA was confirmed by amplifying glyceraldehyde-3-phosphate dehydrogenase (*GAPDH*). Primer sequences and PCR product sizes are shown in Supplementary Table S1. Quantitative reverse transcription-PCR (RT-PCR) was carried out using TaqMan Gene Expression Assays (*RASGRF1*, Hs00182314\_m1; *GAPDH*, Hs99999905\_m1; Applied Biosystems) and a 7500 Fast Real-Time PCR System



(Applied Biosystems). SDS ver. 1.4 software (Applied Biosystems) was used for comparative  $\Delta C_t$  analysis.

#### Construction of a *RASGRF1* expression vector

A full-length *RASGRF1* cDNA was amplified by PCR using cDNA derived from *RASGRF1*-expressing AZ521 cells as a template and then cloned into pcDNA3.2/V5/GW/D-TOPO (Invitrogen). The sequence was then verified. Primer sequences and PCR product sizes are shown in Supplementary Table S1.

#### Western blot analysis

Western blot analysis was carried out as described previously (9). Mouse anti-V5 monoclonal antibody (mAb; Invitrogen), rabbit anti-*RASGRF1* polyclonal Ab (sc-863, Santa Cruz Biotechnology), and mouse antiactin mAb (Chemicon) were used as instructed by the manufacturers. The immunoreactive bands were visualized using enhanced chemiluminescence (Amersham Biosciences).

#### Colony formation assays

Colony formation assays were carried out as described previously (13). Briefly, cells ( $1 \times 10^5$  cells) were transfected with 4  $\mu$ g of *RASGRF1* expression vector or empty pcDNA3.1 using Lipofectamine 2000 (Invitrogen) according to the manufacturer's instructions. Cells were then plated on 60-mm culture dishes and selected for 10 days in 0.4 mg/mL G418. Colonies were stained with Giemsa and counted using the NIH IMAGE software.

#### Matrigel invasion assays

Cell invasion was assessed using Matrigel invasion assays as described previously (19). Gastric cancer cells ( $2 \times 10^6$  cells) were transfected with 5  $\mu$ g of *RASGRF1* expression vector or a negative control using a Cell Line Nucleofector kit V (Lonza) with a Nucleofector I electroporation device (Lonza) according to the manufacturer's instructions. After incubation for 24 hours,  $1 \times 10^5$  transfectants suspended in 500  $\mu$ L of serum-free RPMI-1640 medium were added to the tops of BD BioCoat Matrigel Invasion Chambers (BD Biosciences) prehydrated with PBS, and 700  $\mu$ L of RPMI-1640 media supplemented with 10% FBS were added to the lower wells of the chambers. After incubation for 22 hours, the invading cells were stained with 1% toluidine and then counted in 3 randomly selected microscopic fields per membrane.

#### Statistical analysis

To compare differences in continuous variables between groups, *t* tests or ANOVA with *posthoc* Tukey tests were conducted. Fisher exact test or  $\chi^2$  test was used for analysis of categorical data. Receiver operator characteristic (ROC) curves were constructed on the basis of the levels of methylation. Values of  $P < 0.05$  (2-sided) were considered statistically significant. Statistical analyses were carried out using SPSS statistics 18 (IBM Corporation) and GraphPad Prism ver. 5.0.2 (GraphPad Software).

## Results

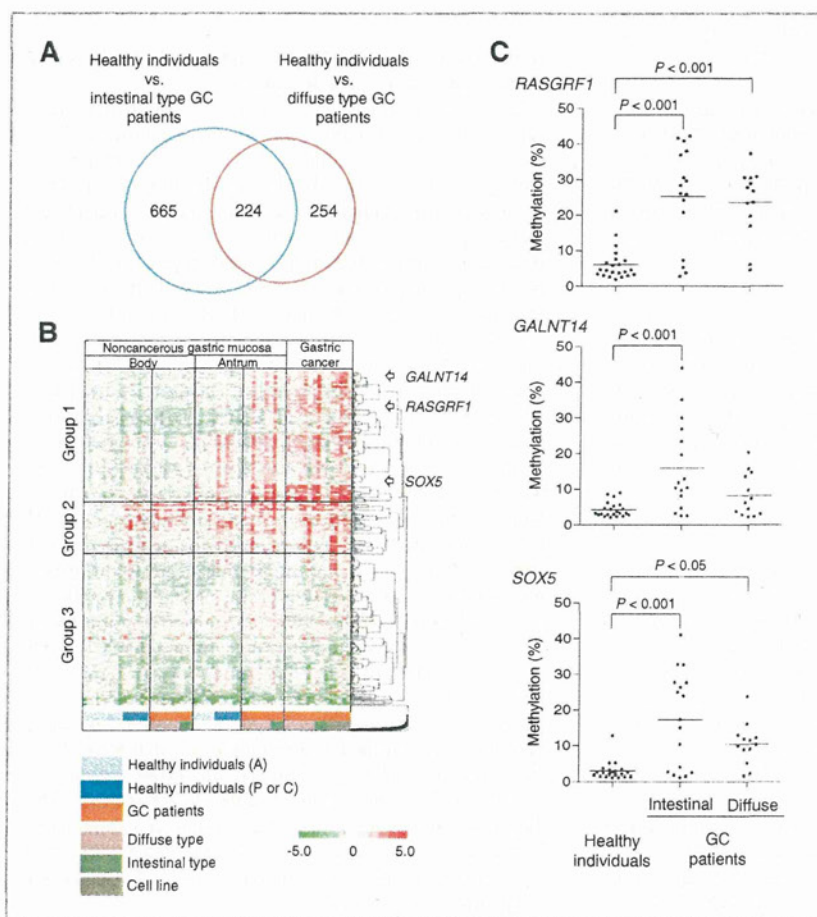
### Identification of *RASGRF1* methylation in background gastric mucosa in gastric cancer

To screen for methylation changes early during gastric carcinogenesis, we compared the methylation status of noncancerous background gastric mucosa from patients with gastric cancer with that in samples of gastric mucosa from healthy individuals. To accomplish this, we carried out methylated-CpG island amplification coupled with CpG island microarray (MCAM) analysis using a set of *H. pylori*-positive gastric mucosa specimens from otherwise healthy individuals (gastric antrum,  $n = 11$ ; gastric body,  $n = 15$ ), noncancerous gastric mucosa from patients with gastric cancer (gastric antrum,  $n = 10$ ; gastric body,  $n = 10$ ), gastric cancer tissues ( $n = 10$ ), and gastric cancer cell lines (AGS, HSC43, KatoIII, MKN74, and NUGC4). The gastric mucosa specimens from the healthy individuals were divided into 2 histologic groups: antrum-predominant gastritis ( $n = 14$ ), which has a low risk for gastric cancer and pangastritis or corpus-predominant gastritis ( $n = 12$ ), which has a high risk for gastric cancer. We thus aimed to identify genes that showed greater methylation in noncancerous antral gastric mucosa from patients with gastric cancer than in mucosa from healthy individuals with antrum-predominant gastritis. MCAM analysis revealed 889 unique genes that were hypermethylated in the background gastric mucosa in intestinal type gastric cancer, as compared with antrum-predominant gastritis (Fig. 1A, Supplementary Table S2). In addition, we identified 478 unique genes that were methylated in the background mucosa in diffuse type gastric cancer (Fig. 1A, Supplementary Table S3). Subsequent Venn diagram analysis identified 224 genes that were methylated in the background gastric mucosae of patients with either type of gastric cancer but not in healthy individuals (Fig. 1A, Supplementary Table S4).

Unsupervised hierarchical clustering analysis using MCAM data for the 224 selected genes revealed that the genes could be categorized into 3 subclasses (Fig. 1B, Supplementary Table S4): group 1 genes (81 unique genes) were methylated in the majority of antral gastric mucosae from patients with gastric cancer and in gastric cancer tissues; group 2 genes (35 unique genes) were prevalently methylated in patients with gastric cancer and in otherwise healthy individuals with pangastritis or corpus-predominant gastritis, suggesting the methylation was inflammation-related and less cancer-specific; and group 3 genes (108 unique genes), which gave an elevated signal in the antrum of patients with gastric cancer and patients with pangastritis or corpus-predominant gastritis, but overall, the levels of methylation were relatively low in all of the specimens tested. These results suggest that genes predictive of gastric cancer risk are likely enriched in group 1. Among the group 1 genes, we selected 11 (*RASGRF1*, *SOX5*, *GALNT14*, *RGS20*, *RPIB9*, *SYT5*, *WNT3*, *BASP1*, *ITGA4*, *KCNV1*, and *PAX5*) that gave the highest microarray signals in the gastric cancer tissues and background gastric mucosa. Using MSP, we tested their methylation status in a small set of clinical specimens and found that 3 genes (*RASGRF1*, *GALNT14*,



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**Figure 1.** Identification of genes methylated in the background gastric mucosa in gastric cancer. **A**, MCAM analysis identified 889 and 478 genes that were differentially methylated (>1.5-fold) between antrum-predominant gastritis from otherwise healthy individuals and noncancerous gastric mucosa from patients with intestinal or diffuse type gastric cancer, respectively. Venn diagram analysis revealed 224 genes that were methylated in the background gastric mucosa in both gastric cancer types. **B**, unsupervised hierarchical clustering analysis of the MCAM data obtained from patients with antrum-predominant gastritis (A), pangastritis, or corpus-predominant gastritis (P or C), noncancerous mucosae from patients with gastric cancer, gastric cancer tissues, and gastric cancer cell lines using the selected 224-gene set. Each row represents a single probe and each column represents a sample. Three genes (*RASGRF1*, *GALNT14*, and *SOX5*) were selected from among the group 1 genes. **C**, summarized results of bisulfite pyrosequencing of *RASGRF1*, *GALNT14*, and *SOX5* in a set of gastric mucosa specimens from healthy individuals ( $n = 22$ ) and noncancerous gastric mucosae from patients with intestinal type gastric cancer ( $n = 16$ ) or diffuse type gastric cancer ( $n = 13$ ).

and *SOX5*) strongly discriminated between healthy individuals and patients with gastric cancer (Fig. 1B, Supplementary Fig. S1). We therefore used quantitative bisulfite pyrosequencing to assess their methylation levels in a set of antral mucosae from *H. pylori*-positive healthy individuals ( $n = 22$ ) and noncancerous gastric mucosae from the antrum of patients with intestinal ( $n = 16$ ) or diffuse ( $n = 13$ ) type gastric cancer (Fig. 1C, Supplementary Fig. S2). Consistent with the MCAM and MSP data, the levels of methylation of these 3 genes were elevated in the background gastric mucosae from patients with gastric cancer, although methylation of *GALNT14* in diffuse type patients with gastric cancer was less pronounced. In contrast, methylation of *RASGRF1* was significantly elevated in patients with either type of gastric cancer, suggesting it could be a useful biomarker for predicting gastric cancer risk.

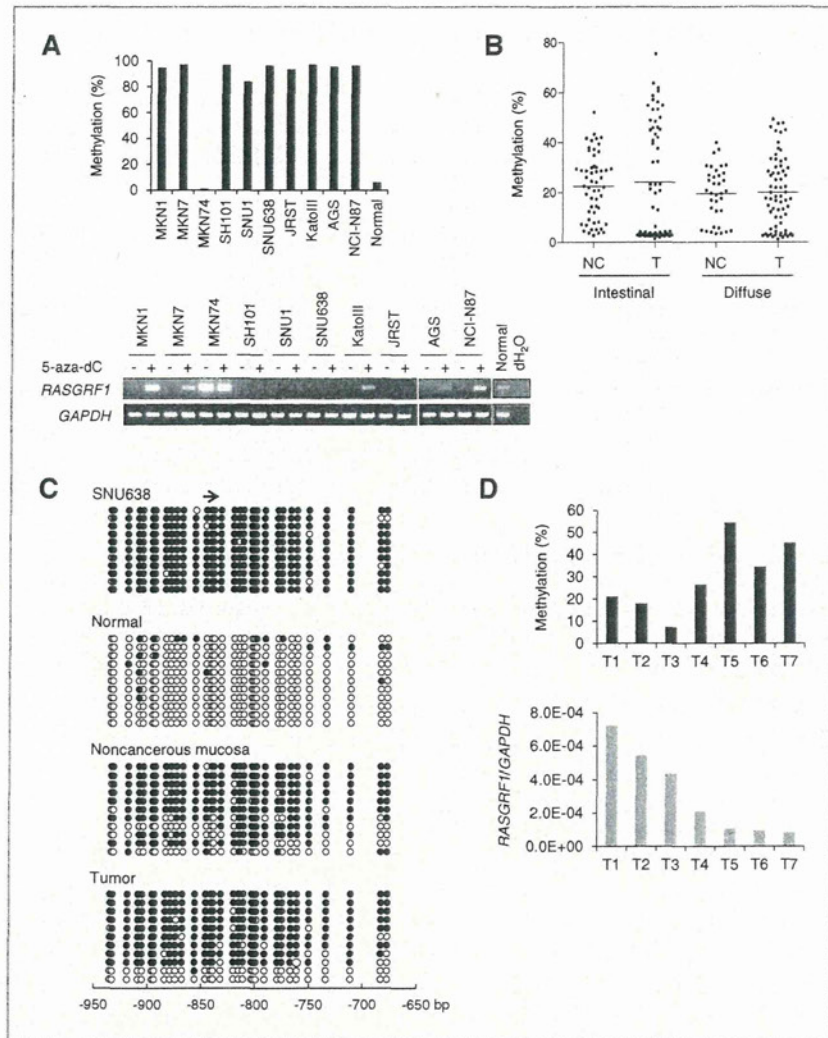
#### Analysis of *RASGRF1* methylation and expression in gastric cancer

On the basis of the results summarized earlier, we selected *RASGRF1* for further analysis. The promoter region and

exon 1 of *RASGRF1* are embedded within a typical CpG island (Supplementary Fig. S3). Bisulfite pyrosequencing revealed that *RASGRF1* was highly methylated in a majority of the gastric cancer cell lines tested (Fig. 2A), and that expression of *RASGRF1* mRNA was absent in those cells. Treatment with a DNA methyltransferase inhibitor, 5-azadC, restored *RASGRF1* mRNA expression in multiple cell lines, suggesting *RASGRF1* is epigenetically silenced in gastric cancer cells (Fig. 2A). In contrast, methylation levels were low in MKN74 and AZ521 cells, in which *RASGRF1* mRNA was abundantly expressed (Fig. 2A and data not shown). Elevated levels (>15%) of *RASGRF1* methylation were also frequently detected in both types of primary gastric cancer (intestinal type, 31 of 62, 50.0%; diffuse type, 41 of 68, 60.3%; Fig. 2B, Supplementary Table S5). We also found that *RASGRF1* methylation correlates inversely with higher pathologic T (pT) categories and distant metastasis (Supplementary Table S5). When the methylation status of *RASGRF1* was further assessed in selected specimens, we observed that its CpG island is densely methylated in gastric cancer cell lines, primary tumors, and background



**Figure 2. Analysis of *RASGRF1* methylation and expression in gastric cancer.** A, bisulfite pyrosequencing of *RASGRF1* in the indicated gastric cancer cell lines and a sample of normal gastric mucosa (top). RT-PCR analysis of *RASGRF1* in gastric cancer cell lines with (+) or without (-) 5-aza-dC treatment, and in normal stomach (bottom). RT-PCR analysis of *GAPDH* was carried out with all samples to ensure the cDNA quality; dH<sub>2</sub>O indicates no RNA added. B, summary of bisulfite pyrosequencing in primary tumors (T) from patients with intestinal type gastric cancer (n = 62) and diffuse type gastric cancer (n = 68). Noncancerous gastric mucosae (NC) from patients with intestinal type gastric cancer (n = 55) and diffuse type gastric cancer (n = 36) are also shown. C, representative bisulfite sequencing of the *RASGRF1* promoter in a gastric cancer cell line (SNU638), normal gastric mucosa, primary samples of noncancerous gastric mucosa, and a gastric cancer tumor. Open and filled circles represent unmethylated and methylated CpG sites, respectively. The region analyzed by bisulfite pyrosequencing is indicated by an arrow on the top. D, methylation and expression of *RASGRF1* in a set of primary gastric cancer tissues. Methylation levels were determined by bisulfite pyrosequencing (top) and expression was assessed by quantitative RT-PCR (bottom).



noncancerous gastric mucosa, whereas normal gastric mucosa from a healthy individual showed only limited methylation (representative results in Fig. 2C). To test whether methylation of *RASGRF1* is associated with its downregulation in primary gastric cancer, we carried out bisulfite pyrosequencing and quantitative RT-PCR with a set of tissue specimens, which revealed an inverse relationship between methylation and expression (Fig. 2D).

**Increased *RASGRF1* methylation in noncancerous gastric mucosae from gastric cancer patients**

The elevated levels of *RASGRF1* methylation in the background gastric mucosa of patients with gastric cancer suggest its involvement in an epigenetic field defect. We therefore next assessed its clinical usefulness as a predictive biomarker of gastric cancer risk by comparing the levels of

*RASGRF1* methylation in a set of gastric mucosa specimens from healthy individuals (*H. pylori*-negative, n = 12; *H. pylori*-positive, n = 50) and noncancerous gastric mucosa specimens from patients with gastric cancer (intestinal type, n = 55; diffuse type, n = 36). All specimens were collected from the gastric antrum, and the clinicopathologic features of the samples are shown in Table 1. In healthy individuals, the levels of *RASGRF1* methylation did not significantly differ between *H. pylori*-negative and -positive gastric mucosae (5.4% and 9.0%, average 8.3%), suggesting that severe *RASGRF1* methylation is not induced by *H. pylori* infection alone (Fig. 3A). In contrast, methylation levels were significantly elevated in noncancerous mucosae from intestinal and diffuse type patients with gastric cancer (22.5% and 19.4%, average 21.3%), indicating that *RASGRF1* methylation in noncancerous



Table 1. Clinicopathologic features of the patients in this study

		Healthy individuals (n = 69)	Patients with cancer		Total (n = 290)
			Noncancerous mucosa (n = 91)	Gastric cancer tissues (n = 130)	
Age	Mean	60.0	70.1	66.7	66.2
	SD	12.8	9.0	11.4	11.7
Sex	Male	50 (72.5%)	65 (71.4%)	87 (66.9%)	
	Female	17 (24.6%)	26 (28.6%)	36 (27.7%)	
	Unknown	2 (2.9%)	0 (0.0%)	7 (5.4%)	
<i>H. pylori</i>	Positive	50 (72.5%)	41 (45%)	24 (18.5%)	115 (39.7%)
	Negative	12 (17.4%)	14 (15.4%)	5 (3.8%)	31 (10.7%)
	Unknown	7 (10.1%)	36 (39.6%)	101 (77.7%)	144 (49.7%)
Histology	Intestinal type		55 (60.4%)	62 (47.7%)	
	Diffuse type		36 (39.4%)	68 (52.3%)	
PG	Positive	15 (21.7%)	20 (22.0%)	9 (6.9%)	44 (15.2%)
	Negative	23 (33.3%)	18 (19.8%)	11 (8.5%)	52 (17.9%)
	Unknown	31 (44.9%)	53 (58.2%)	110 (84.6%)	194 (66.9%)

gastric mucosae may be associated with gastric cancer risk (Fig. 3A).

We also generated a ROC curve to assess the clinical use of *RASGRF1* methylation for prediction of gastric cancer. *RASGRF1* methylation was highly discriminative

between noncancerous gastric mucosa from patients with gastric cancer and gastric mucosa from healthy individuals (Fig. 3B and Table 2). Earlier studies showed that severe gastric mucosal atrophy induced by *H. pylori* infection is a hallmark of gastric cancer risk, and that

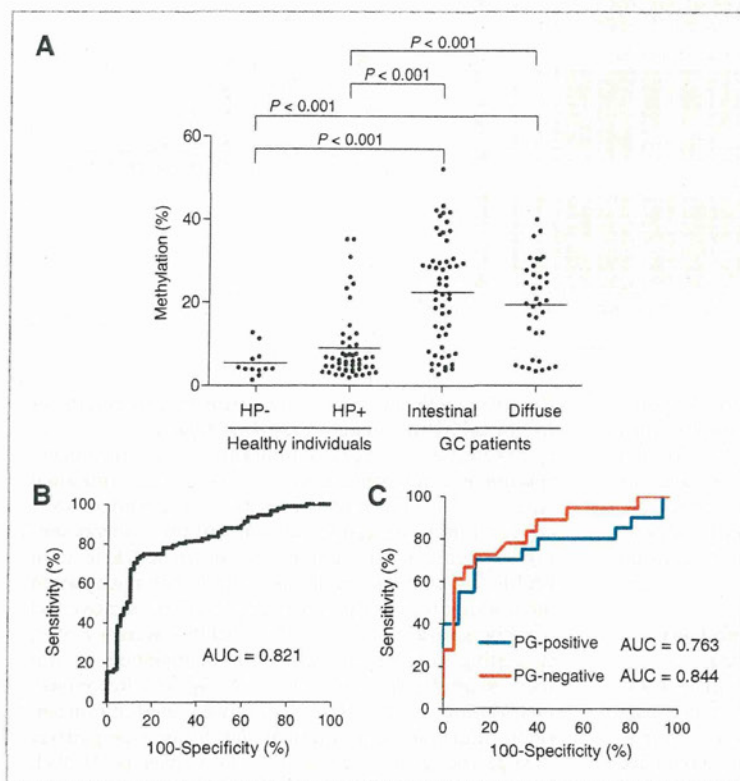


Figure 3. Analysis of *RASGRF1* methylation in gastric mucosae from healthy individuals and patients with gastric cancer. A, summarized results of bisulfite pyrosequencing in normal gastric mucosae from healthy individuals with (n = 50) or without *H. pylori* (HP) infection (n = 12), and noncancerous gastric mucosae from patients with intestinal type gastric cancer (n = 55) or diffuse type gastric cancer (n = 36). B, ROC curve analysis of *RASGRF1* methylation. The area under the ROC curve (AUC) for each site conveys its use (in terms of sensitivity and specificity) for distinguishing between noncancerous gastric mucosae from patients with gastric cancer and normal stomach from healthy individuals. C, ROC curve analysis distinguishing between noncancerous gastric mucosae from serum pepsinogen (PG) test-positive (blue) or -negative (red) patients with gastric cancer and normal stomach from healthy individuals.



its evaluation based on serum pepsinogen tests is a useful means of assessing cancer risk (12, 20). To evaluate the relationship between *RASGRF1* methylation and mucosal atrophy, we divided the samples based on the pepsinogen test results and then generated respective ROC curves. Notably, *RASGRF1* methylation was highly discriminative between healthy individuals and patients with gastric cancer irrespective of the pepsinogen test results (Fig. 3C and Table 2), which suggests that the strong association between *RASGRF1* methylation and gastric cancer is independent of gastric mucosal atrophy. This could make *RASGRF1* methylation a powerful biomarker with which to identify individuals at high risk for gastric cancer.

#### Functional analysis of *RASGRF1* in gastric cancer cells

Finally, we tested whether *RASGRF1* serves as a tumor suppressor in gastric cancer. Gastric cancer cell lines were transfected with a *RASGRF1* expression vector or a negative control, after which colony formation assays were carried out with the transfectants. Western blot analysis confirmed that the transfectants expressed exogenous *RASGRF1* (Supplementary Fig. S4). Moreover, introduction of *RASGRF1* markedly suppressed colony formation by the cell lines tested (Fig. 4A and B). When we then carried out Matrigel invasion assays to test the effect of *RASGRF1* expression on gastric cancer cell invasion; we observed marked inhibition of cell invasion by SNU638 cells expressing *RASGRF1* (Fig. 4C). These results suggest that *RASGRF1* may play a tumor suppressor role that is itself suppressed in gastric cancer.

#### Discussion

Identification of individuals at high risk of developing gastric cancer is essential for the prevention and early detection of gastric cancer. Esophagogastroduodenoscopy (EGD) is the most useful method for detecting gastric cancers, although population-based screening for gastric cancers using only EGD is generally considered ineffective

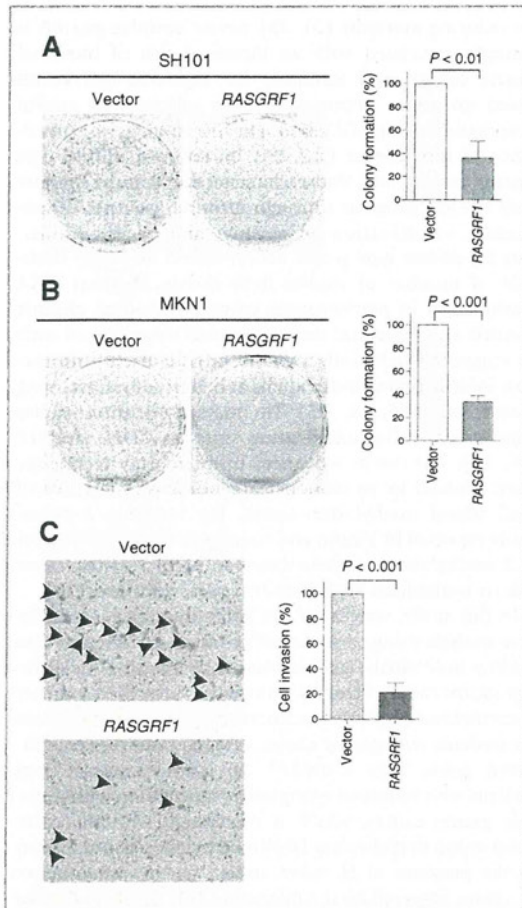
for reducing mortality (21, 22). Severe atrophic gastritis is strongly associated with an increased risk of intestinal gastric cancer, and screening for high-risk individuals based on serum pepsinogen levels followed by careful observation using EGD is an effective strategy for surveillance of this disease (12, 23). In contrast, diffuse type gastric cancers lack those characteristic features because they do not progress through atrophic gastritis. Consequently, identification of sensitive and reliable biomarkers for diffuse type gastric cancer would be highly desirable. A number of studies have shown aberrant DNA methylation in precancerous lesions, including chronic gastritis and intestinal metaplasia, and detection of such an epigenetic field defect would provide useful information for identifying individuals at high risk for developing gastric cancer (7, 24, 25). The majority of those studies focused on well-studied genes, such as *CDH1* and *p16* (26, 27), but recent advances in microarray technology have enabled us to conduct a genome-wide analysis of CpG island methylation status. For instance, a recent study reported by Nanjo and colleagues identified a series of 7 methylation markers that can predict gastric cancer risk in individuals with past *H. pylori* infection (28).

In this study, we carried out high-throughput methylation analysis using a set of gastric mucosa specimens from healthy individuals and patients with intestinal or diffuse type gastric cancer. Our MCAM analysis identified a number of methylated genes in noncancerous gastric mucosae from the patients with gastric cancer. A larger number of methylated genes were identified in gastric mucosae from patients with intestinal type gastric cancer than with diffuse type gastric cancer, which is consistent with the earlier observation that aberrant DNA methylation is not induced by the presence of *H. pylori*, itself, but by inflammatory processes triggered by the infection (29). Our list of genes methylated in the background mucosa in intestinal type gastric cancer includes a number of methylation-prone genes (e.g., *SFRP2* and *IRF4*) that confirms the reliability of our screening method (13, 30). The methylation status in

**Table 2.** ROC analysis of the ability of *RASGRF1* methylation to discriminate between patients with gastric cancer and healthy individuals

	AUC			Sensitivity		Specificity	
	Estimate	95% confidence interval (CI)	Cut-off	Estimate	95% CI	Estimate	95% CI
Total (n = 161)	0.821	(0.775%–0.887%)	7.71%	78.02%	(68.12%–86.03%)	75.36%	(63.51%–84.94%)
			11.68%	74.73%	(64.53%–83.25%)	84.06%	(73.26%–91.76%)
			12.76%	70.33%	(59.84%–79.45%)	86.96%	(86.68%–93.86%)
PG-positive (n = 35)	0.763	(0.601%–0.926%)	6.79%	80.00%	(56.34%–94.27%)	60.00%	(32.29%–83.66%)
			13.67%	70.00%	(45.72%–88.11%)	86.67%	(59.54%–98.34%)
			22.29%	55.00%	(31.53%–76.94%)	93.33%	(68.05%–99.83%)
PG-negative (n = 41)	0.844	(0.719%–0.969%)	7.13%	77.78%	(52.36%–93.59%)	73.91%	(51.60%–89.77%)
			10.64%	72.22%	(46.52%–90.31%)	86.96%	(66.41%–97.22%)
			13.39%	6.67%	(40.99%–86.66%)	91.30%	(71.96%–98.93%)





**Figure 4.** Functional analysis of *RASGRF1*. A and B, colony formation assays using the indicated gastric cancer cells transfected with a *RASGRF1* expression vector or a control vector. Representative results are on the left, and relative colony formation efficiencies are on the right. Shown are means of 3 replications; error bars represent SDs. C, Matrigel invasion assay using SNU638 cells transfected with a *RASGRF1* expression vector or a control vector. Invading cells are indicated by arrows. Shown on the right are the means of 3 random microscopic fields per membrane; error bars represent the SDs.

the background mucosa of diffuse type gastric cancer had remained largely unknown and, to our knowledge, this study is the first to examine the genome-wide CpG island methylation status in the gastric mucosa from diffuse type patients with gastric cancer. It is noteworthy that we found that approximately half of the genes methylated in diffuse type gastric cancer were also methylated in intestinal type gastric cancer. It is generally believed that intestinal and diffuse type gastric cancers develop through distinctly different molecular pathways; however, our data may be indicative of a pathogenic mechanism common to both types. Furthermore, our results suggest that methylation of these genes could be an ideal molecular marker for assessing the risk for both gastric cancer types.

Among the genes identified, we selected *RASGRF1*, *GALNT14*, and *SOX5* for further analysis and found that the elevation of their methylation levels was specific to patients with gastric cancer. *SOX5* is a member of the high-mobility group superfamily and is reportedly over-expressed in several malignancies, including nasopharyngeal carcinoma and prostate cancer, which suggests it has oncogenic properties (31, 32). On the other hand, one recent study showed that *SOX5* suppresses platelet-derived growth factor B-induced gliomas (33). *GALNT14* belongs to a large subfamily of glycosyltransferases, and its expression in cancer cells is associated with cellular sensitivity to the proapoptotic ligand Apo2L/TRAIL (34). Up to now, however, methylation of *GALNT14* and *SOX5* has not been reported in human cancer, and further study will be needed to clarify their functional significance.

*RASGRF1* and *RASGRF2* constitute a gene family encoding guanine nucleotide exchange factors (GEF), which activate Ras GTPase by promoting the release of bound GDP, enabling activating GTP to take its place (35). *RASGRF* proteins are predominantly expressed in adult neurons in the central nervous system, and are involved in a wide range of neuronal functions. In mice, *Rasgrf1* is an imprinted gene. The imprinted *Rasgrf1* locus is methylated on the paternal allele at a differentially methylated region (DMR) located 30 kb upstream of the promoter, and it is expressed only from the paternal allele (36). Interestingly, a recent study showed that Piwi-interacting RNAs (piRNAs), a subset of noncoding small RNAs, play a pivotal role in the establishment of methylation at the *Rasgrf1* DMR (37). In contrast, we found the promoter CpG island of *RASGRF1* to be hypermethylated in gastric cancer, and that this methylation is unlikely to be associated with gene imprinting. Levels of *RASGRF1* methylation are also significantly elevated in the noncancerous background gastric mucosa in both the intestinal and diffuse types of gastric cancer and are highly discriminative between gastric mucosa from cancer-free individuals and patients with gastric cancer. This suggests *RASGRF1* methylation may be a gastric cancer risk factor that is independent of gastric mucosal atrophy, and that *RASGRF1* methylation could be a predictive marker of gastric cancer risk that would overcome the disadvantages of other screening methods, such as the serum pepsinogen test and EGD.

The function of *RASGRF1* in normal stomach and during gastric tumorigenesis is largely unknown, but it may exert oncogenic effects through activation of Ras proteins (35). On the other hand, one recent study showed that *RASGRF* proteins bind directly to Cdc42, another Ras-related GTP-binding protein, and suppress Cdc42-mediated cellular processes, including tumor cell invasion and transformation (38). In this study, we found that ectopic expression of *RASGRF1* suppressed proliferation and invasion by gastric cancer cells, which is suggestive of its tumor suppressor role, although we carried out only overexpression experiments. Alteration of *RASGRF1* gene in human cancer has not yet been reported; in fact, this is the first report of its epigenetic



silencing, and further study will be needed to clarify its function in carcinogenesis.

In summary, we have comprehensively analyzed the DNA methylation status of gastric mucosa specimens from patients with gastric cancer. We identified a number of methylated genes that might be involved in an epigenetic field defect in the stomach. Among them, *RASGRF1* is a novel gastric cancer-associated gene prevalently methylated in the background mucosa in both intestinal and diffuse type gastric cancer. The combination of a DNA methylation test using *RASGRF1* as a marker with a pepsinogen test or EGD would greatly improve the efficacy of risk assessment and surveillance of gastric cancers.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

#### Authors' Contributions

**Conception and design:** E. Yamamoto, H. Suzuki, T. Sugai, K. Imai, M. Toyota, Y. Shinomura

**Development of methodology:** E. Yamamoto, H. Yamano

**Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.):** H. Takamaru, E. Yamamoto, H. Yamano, K. Yoshikawa, T. Harada, R. Suzuki, H. Yamamoto, M. Kai, T. Sugai

**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** H. Takamaru, E. Yamamoto, M. Nojima, R. Maruyama, T. Sugai

**Writing, review, and/or revision of the manuscript:** H. Takamaru, E. Yamamoto, H. Suzuki, T. Sugai

**Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases):** E. Yamamoto, H. Yamano, T. Kimura, M. Ashida, R. Suzuki, H. Yamamoto, T. Sugai

**Study supervision:** H. Suzuki, T. Tokino, K. Imai, M. Toyota, Y. Shinomura

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## Review

# DNA methylation and microRNA dysregulation in cancer

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### ABSTRACT

DNA methylation plays a key role in the silencing of numerous cancer-related genes, thereby affecting a number of vital cellular processes, including the cell cycle checkpoint, apoptosis, signal transduction, cell adhesion and angiogenesis. Also widely altered in human malignancies is the expression of microRNAs (miRNAs), a class of small noncoding RNAs that act as posttranscriptional regulators of gene expression. Furthermore, emerging evidence now supports the idea that DNA methylation is crucially involved in the dysregulation of miRNAs in cancer. This is in part the result of technological advances that enable more comprehensive analysis of miRNA expression profiles and the epigenome in cancer cells, which has led to the identification of a number of epigenetically regulated miRNAs. As with protein-coding genes, it appears that miRNA genes involved in regulating cancer-related pathways are silenced in association with CpG island hypermethylation. In addition, methylation in CpG island shore regions and DNA hypomethylation also appear to contribute to miRNA dysregulation in cancer. Aberrant DNA methylation of miRNA genes is a potentially useful biomarker for detecting cancer and predicting its outcome. Moreover, re-expression of miRNAs and the replacement of tumor suppressive miRNAs using miRNA mimics or expression vectors could be effective approaches to cancer therapy.

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## 1. Introduction

microRNAs (miRNAs) are endogenous, small (~22 nucleotides) noncoding RNAs that mediate post-transcriptional gene silencing in both plants and animals (Esquela-Kerscher and Slack, 2006; Esteller, 2011). miRNAs negatively regulate their target genes in one of two ways, depending on the degree of complementarity between themselves and the target messenger RNAs (mRNAs). miRNAs that bind with perfect or nearly perfect complementarity to mRNA sequences induce the RNA-mediated interference (RNAi) pathway, in which mRNA transcripts are cleaved by a miRNA-associated RNA-

induced silencing complex (miRISC). This mechanism is mainly observed in plants, though miRNA-directed mRNA cleavage does occur in animals. Most animal miRNAs are thought to act by binding to imperfectly complementary sites within the 3' untranslated regions (UTRs) of target mRNAs and inhibiting the initiation of translation via the miRISC complex.

Annotation of their genomic locations suggests most miRNA genes are situated within intergenic regions, though they are also observed within exonic and intronic regions in either sense or antisense orientation. Like genes encoding proteins, miRNA genes are mainly transcribed by RNA polymerase II. They are initially transcribed as large RNA

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