

**Table 3.** Correlation matrix contrasting mean tDV of the cerebral cortices against the results of cognitive tests at baseline and change in the cognitive tests after 3 months of donepezil therapy

	MMSE	Digit span (WAIS-R)	Fluency	TMT-A	Recall task (ADAS)	Recognition task (ADAS)	Visuoper- ceptual test	NPI
Baseline								
Correlation coefficient	0.185	-0.457	-0.618	0.030	0.223	-0.621	-0.402	0.147
p	0.634	0.216	0.076	0.954	0.564	0.075	0.283	0.705
Change								
Correlation coefficient	-0.207	-0.036	0.165	0.116	-0.406	0.207	0.837	-0.091
p	0.593	0.928	0.672	0.827	0.278	0.594	0.005	0.817

## Discussion

Our study showed that acetylcholinesterase in the cerebral cortices decreased in PDD. The density of acetylcholinesterase in the cerebral cortices of patients with PDD significantly correlated with improvements in visuoperceptual function after 3 months of donepezil therapy.

There are previous studies of cholinergic PET imaging utilizing radiolabeled acetylcholine analogues, such as N-[<sup>11</sup>C]methyl-piperidin-4-yl propionate ([<sup>11</sup>C]PMP). In the studies of Hilker et al. [23] and Bohnen et al. [24] using [<sup>11</sup>C]PMP, PD with dementia showed 20.0–29.7% reduction of cortical acetylcholinesterase activity and PD without dementia showed 10.7–12.9% reduction of cortical acetylcholinesterase activity compared with normal subjects. It is possible that the results using [<sup>11</sup>C]donepezil-PET may differ from those of PET imaging studies using radiolabeled acetylcholine analogues because [<sup>11</sup>C]donepezil-PET may have an advantage in that it directly measures the density of acetylcholinesterase in the brain tissue regardless of acetylcholinesterase activity, whereas PET imaging using radiolabeled acetylcholine analogues measures acetylcholinesterase activity as the acetylcholine analogues are catabolized by acetylcholinesterase and then trapped in the brain tissue. However, the reduction rate of the mean tDV value of the cerebral cortices in the PDD group was similar to that of cortical acetylcholinesterase activity in the previous studies [23, 24]. SPM analysis in this study revealed a global reduction of acetylcholinesterase density in the brains of PDD patients, which was also similar to the results of previous studies [23, 25].

In the study involving the use of [<sup>11</sup>C]donepezil-PET on patients with AD [8], patients with mild AD (n = 5, mean MMSE = 25.0) exhibited an approximately 18–20%

reduction of donepezil binding in the neocortex and hippocampus, and patients with moderate AD (n = 5, mean MMSE = 15.4) exhibited an approximately 24–30% reduction of donepezil binding throughout the brain compared with the normal controls. The PDD patients in our study showed reduction rates in line with those of AD patients in the previous study [8] in the light of dementia severity, although previous pathological and cholinergic imaging studies [24, 26] showed that cortical cholinergic function is more severely affected in PDD than in AD. This may be due to the fact that the mean age of the subjects was not sufficiently matched between the groups in the AD study [8].

The mean tDVs of the cerebral cortices significantly correlated with changes in visuoperceptual test score after 3 months of donepezil therapy, which indicated that more improvement in visuoperceptual functions was observed in the patients with relatively higher density of acetylcholinesterase in the cerebral cortices. As the correlation was overshadowed by covariates, partial correlation analysis revealed the correlation, whereas simple correlation analysis did not. This would probably make it difficult to identify responders prospectively using PET in clinical situations. However, donepezil-PET is useful in research into pharmacokinetics of donepezil, condition of the cholinergic system in the brains of dementia patients, and their association with the therapeutic effect on dementia patients. Density of acetylcholinesterase, which is localized predominantly in cholinergic cell bodies and axons [27] and may be downregulated as a compensatory action in the face of cholinergic degeneration, was measured as a marker of cholinergic function. However, a proportional relationship between acetylcholinesterase density and cholinergic function is unproven, and it is possible that some patients have the same degree of cholinergic degeneration but relatively higher acetylcho-

linesterase density and further acetylcholine reduction than others. In patients with relatively higher density of acetylcholinesterase, donepezil therapy may be more effective because there are more binding sites for donepezil. The specific correlation between cortical tDVs and improvement of visuo-perceptual function after donepezil therapy indicates that visuo-perceptual deficit has profound relevance to cortical cholinergic deficits, which is in accordance with some researchers' suggestion that cortical cholinergic deficits underlie the temporoposterior type of cognitive phenotype, while dysexecutive syndrome is mediated mainly by fronto-striatal dopaminergic dysfunction in PD [1, 28]. Although it seems that visuo-perceptual deficit, one of the core features of cognitive dysfunction in PD [1, 29], may have deteriorating effects on activities of daily living ability in PDD patients, whether or not the improvements in the subgroup of PDD patients with high donepezil binding actually make a clinical difference is unknown, as we evaluated global clinical status only at baseline using the CDR and did not evaluate changes in global clinical status after donepezil therapy.

There are some limitations to this study. The first is that [<sup>11</sup>C]donepezil-PET was performed without washing out daily medications, including antiparkinson drugs,

which might affect the results of [<sup>11</sup>C]donepezil-PET. The second limitation is that the significance level in statistical analyses was not corrected for multiple comparisons because of the exploratory nature of the study. Finally, unlike the previous study [30], the association between the inhibition ratio of acetylcholinesterase by donepezil and its therapeutic effect was not determined in this study as we did not perform [<sup>11</sup>C]donepezil-PET after the donepezil therapy.

In conclusion, the results of this study suggest that density of acetylcholinesterase is decreased in PDD and that donepezil is more effective in patients with less decrease of acetylcholinesterase, a binding site of donepezil, at least in the specific cognitive domain.

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RESEARCH ARTICLE

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# Suppression of dynamin GTPase decreases $\alpha$ -synuclein uptake by neuronal and oligodendroglial cells: a potent therapeutic target for synucleinopathy

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## Abstract

**Background:** The intracellular deposition of misfolded proteins is a common neuropathological hallmark of most neurodegenerative disorders. Increasing evidence suggests that these pathogenic proteins may spread to neighboring cells and induce the propagation of neurodegeneration.

**Results:** In this study, we have demonstrated that  $\alpha$ -synuclein ( $\alpha$ SYN), a major constituent of intracellular inclusions in synucleinopathies, was taken up by neuronal and oligodendroglial cells in both a time- and concentration-dependent manner. Once incorporated, the extracellular  $\alpha$ SYN was immediately assembled into high-molecular-weight oligomers and subsequently formed cytoplasmic inclusion bodies. Furthermore,  $\alpha$ SYN uptake by neurons and cells of the oligodendroglial lineage was markedly decreased by the genetic suppression and pharmacological inhibition of the dynamin GTPases, suggesting the involvement of the endocytic pathway in this process.

**Conclusions:** Our findings shed light on the mode of  $\alpha$ SYN uptake by neuronal and oligodendroglial cells and identify therapeutic strategies aimed at reducing the propagation of protein misfolding.

**Keywords:**  $\alpha$ -synuclein, Neuron, Oligodendroglia, Transmission, Inclusions, Endocytosis, Dynamin, Sertraline, Parkinson's disease, Multiple system atrophy

## Background

Lewy bodies (LBs), which are the cardinal histological hallmark of Parkinson's disease (PD), contain abnormal filamentous  $\alpha$ -synuclein ( $\alpha$ SYN) aggregates. In addition, a variety of other neurodegenerative diseases are associated with  $\alpha$ SYN-positive lesions [1,2]. The presence of  $\alpha$ SYN in LBs, Lewy neurites or glial cytoplasmic inclusions (GCIs) in PD and related disorders provides a conceptual link that has led to the use of the term 'synucleinopathy' to encompass these diseases [3-5]. Emerging evidence from genetic and biochemical studies demonstrates that abnormal  $\alpha$ SYN aggregates directly contribute to neurodegeneration in PD and other synucleinopathies [6-10]. Because

$\alpha$ SYN is enriched in the presynaptic nerve terminals and is mainly detected in the cytosolic and synaptosomal fractions, it has long been believed that  $\alpha$ SYN exerts its physiological as well as pathogenic effects intracellularly [11,12]. However, accumulating evidence suggests that both monomeric and oligomeric  $\alpha$ SYN can be secreted into the extracellular milieu, thereby affecting the normal physiological state of neighboring cells [13-17]. For example, *in vitro*-generated soluble  $\alpha$ SYN oligomers can induce the transmembrane seeding of  $\alpha$ SYN aggregation and can eventually lead to cell death [18,19]. Moreover, exogenous  $\alpha$ SYN fibrils have been shown to induce perikaryal LB pathology, which can lead to synaptic dysfunction and neuronal cell death [20]. The existence of the transcellular spread of  $\alpha$ SYN has also been verified by co-culture experiments and *in vivo* animal models, which showed that  $\alpha$ SYN aggregates released from neuronal cells

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can be transferred to neighboring cells and form inclusion bodies [21-23]. Finally, the existence of an *in vivo* intercellular propagation of  $\alpha$ SYN aggregates was supported by recent observations of LB-like inclusions in the grafted neurons of PD patients who had received transplants of fetal mesencephalic neurons more than a decade previously [24-26]. In addition to PD, the intercellular transmission of  $\alpha$ SYN pathology can be assumed to be present in multiple system atrophy (MSA), in which widespread  $\alpha$ SYN-positive GCIs are found in oligodendroglia, a type of brain cell that does not normally express  $\alpha$ SYN [27-29]. Phenomenologically, the propagation theory is also attractive as an explanation for the hierarchical distribution of Lewy pathology in PD, a theory proposed by Braak and colleagues [30]. To understand how  $\alpha$ SYN travels from cell to cell, the underlying mechanisms responsible for  $\alpha$ SYN uptake and secretion must be elucidated. In this study, we provide evidence to support the functional role of dynamin-mediated endocytosis in the process of  $\alpha$ SYN uptake by neurons and oligodendroglial cells. Furthermore, we propose therapeutic strategies aimed at reducing the propagation of protein misfolding in synucleinopathies.

## Results

### The characterization of recombinant $\alpha$ -synuclein

Ectopically expressed proteins were collected from crude bacterial lysates and analyzed by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) followed by Coomassie brilliant blue (CBB) staining and immunoblotting with an anti- $\alpha$ SYN antibody (Ab). Upon isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG) induction, the BL21(DE3)pLysS *E. coli* was transformed with pGEX6P-1/ $\alpha$ SYN, which produced a GST- $\alpha$ SYN fusion protein that migrated at 44 kDa under denaturing conditions (Figure 1A, *asterisk*). After enzymatic removal of the GST-tag, monomeric  $\alpha$ SYN was detected at a molecular mass of approximately 18 kDa, which was larger than the predicted value of 14 kDa (Figure 1A (a), *arrow-head*). Immunoblot analysis, using the anti-GST antibody, did not detect any remaining GST- $\alpha$ SYN after the removal of the GST moiety. The slow gel mobility may be attributed to the weak binding of SDS due to the highly acidic C-terminal sequence of  $\alpha$ SYN [31]. However, under native conditions, the majority of the recombinant  $\alpha$ SYN migrated to a position corresponding to approximately 54 kDa, which was assumed to be composed mainly of trimers as well as a few monomers and oligomers/multimers (Figure 1A (b)). It is important to note that the recombinant  $\alpha$ SYN did not self-assemble into SDS-resistant, soluble oligomers after 24 hours at 37°C in the cell-free culture medium (Figure 1A (c)). There was no visible band in the PBS washing buffer that flowed through the column, as revealed by CBB staining and  $\alpha$ SYN immunoblotting (data not shown).

### Extracellular $\alpha$ -synuclein was incorporated and assembled into oligomers in neuronal and oligodendroglial cells

To elucidate how  $\alpha$ SYN is internalized into cells, human SH-SY5Y neuronal and KG1C oligodendroglial cells [32,33] were exposed to 5  $\mu$ M  $\alpha$ SYN for the indicated amount of time, and then subjected to fractionation and immunoblot analysis (Figure 1B (a) and (b), respectively). The KG1C cells used in the present study were confirmed to express oligodendroglial markers, including CNPase and myelin basic protein [34]. Interestingly, only one minute after the addition of  $\alpha$ SYN, monomeric  $\alpha$ SYN (X1) had been incorporated and then continued to increase mainly in hydrophilic fraction. In parallel, the SDS-stable dimeric/trimeric  $\alpha$ SYN (X2-X3), as well as the multimers and truncated fragments (*arrow*), also gradually appeared in hydrophilic fraction. Similarly, a dose-dependent increase in the intracellular monomeric and oligomeric forms of  $\alpha$ SYN was observed in the SH-SY5Y cells that were exposed to different concentrations of recombinant  $\alpha$ SYN (0–10  $\mu$ M) for 24 hours (Figure 1B (c)). To clearly identify the high-molecular-weight (HMW)  $\alpha$ SYN species, the same blot was stripped and reprobed with the rabbit polyclonal  $\alpha$ SYN Ab (#2628, Cell Signaling Technology) to eliminate the non-specific band detected by the anti-synuclein-1 Ab (Figure 1B (d)). In addition, the amount of monomeric  $\alpha$ SYN in the hydrophilic fractions of exposed cells was quantitatively measured by densitometric analysis (Additional file 1: Figure S1A (a)-(c)). Similarly to the untagged  $\alpha$ SYN, the GST- $\alpha$ SYN added to the culture medium was time-dependently incorporated into SH-SY5Y cells and formed GST-immunopositive oligomers and an HMW smear mainly in the hydrophilic fraction, demonstrating that extracellular  $\alpha$ SYN was internalized and oligomerized in the exposed cells (Additional file 1: Figure S1B). In mammals, the SYN family consists of three members ( $\alpha$ -, $\beta$ -, $\gamma$ -), and all SYN genes are well conserved across species [35]. Importantly, we found that  $\alpha$ SYN was exclusively internalized into cells compared to  $\beta$ -synuclein ( $\beta$ SYN) and  $\gamma$ -synuclein ( $\gamma$ SYN). In addition, the familial PD-linked A30P and A53T mutations of  $\alpha$ SYN enhanced the buildup of the SDS-stable HMW species when compared to the wt- $\alpha$ SYN (Additional file 2: Figure S2). It is important to note that  $\alpha$ SYN (10  $\mu$ M) exposure for up to 10 days did not result in any changes in the cellular morphology or growth retardation (data not shown).

### The formation of cytoplasmic inclusions in neuronal and oligodendroglial cells exposed to $\alpha$ -synuclein

Immunocytochemistry showed that large perinuclear inclusions, as well as small aggregates, were observed in the SH-SY5Y and KG1C cells exposed to 5  $\mu$ M recombinant  $\alpha$ SYN for up to 24 hours. In both cell lines after treatment, the  $\alpha$ SYN-positive large inclusions and small aggregates were positive for ubiquitin and thioflavin S

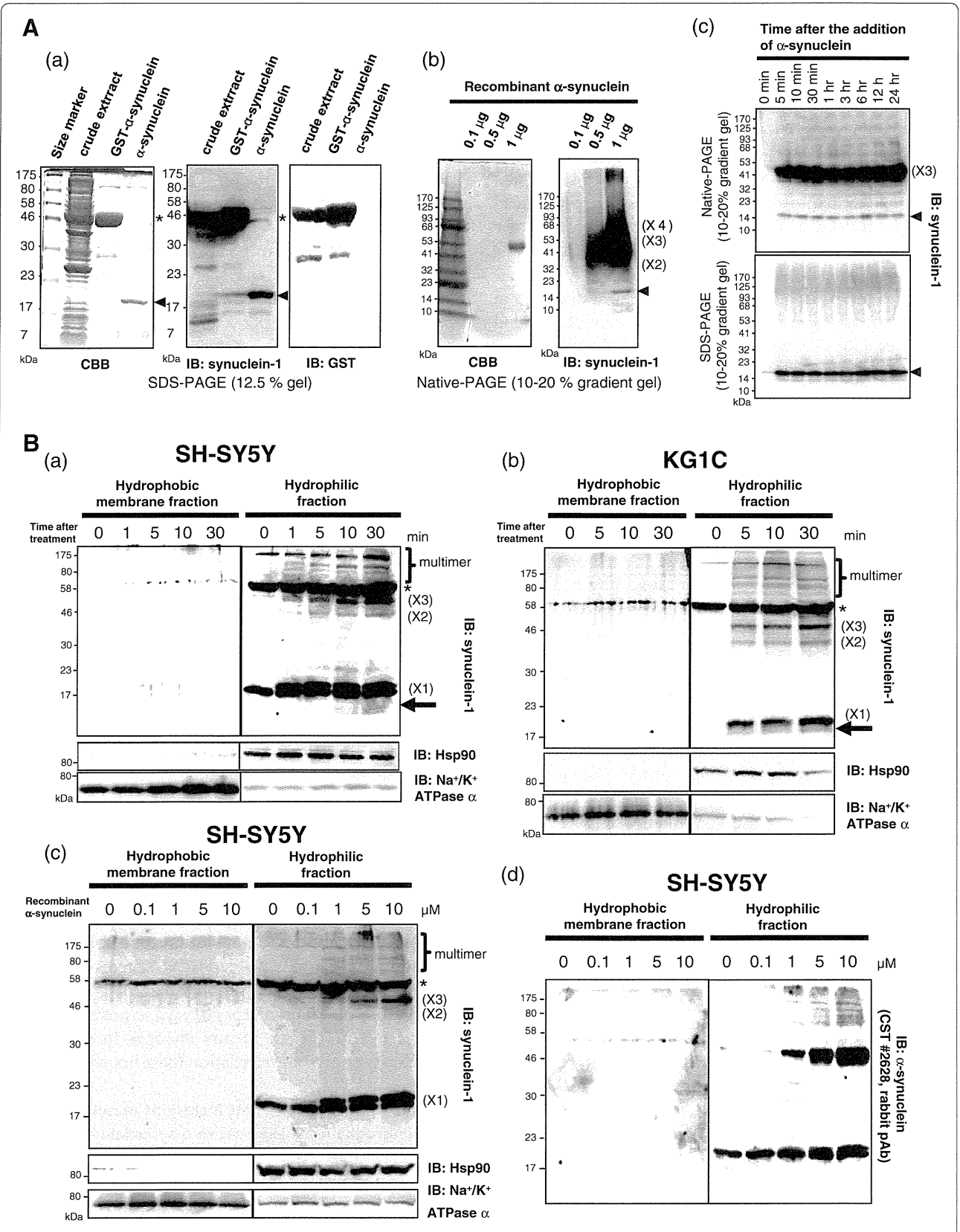


Figure 1 (See legend on next page.)

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**Figure 1 Extracellular  $\alpha$ -synuclein is internalized and assembled into SDS-stable oligomers in neuronal and oligodendroglial cells. A,**

The characterization of recombinant  $\alpha$ SYN. **(a)** The expressed GST- $\alpha$ SYN and tag-free  $\alpha$ SYN were analyzed by CBB staining and western blotting with an anti- $\alpha$ SYN Ab (1  $\mu$ g per lane). Upon IPTG induction, the transformed *E. coli* produced a GST- $\alpha$ SYN fusion protein that migrated at 44 kDa under denaturing conditions (*asterisk*). Following the removal of the GST-tag, monomeric  $\alpha$ SYN was detected, which corresponded to a molecular mass of 18 kDa (*arrowhead*). An immunoblot using an anti-GST antibody did not detect any GST- $\alpha$ SYN after the removal of the GST moiety. **(b)** In the native condition, the absolute majority of recombinant  $\alpha$ SYN migrated to approximately 54 kDa, corresponding to trimeric (X3)  $\alpha$ SYN as well as some monomers (*arrowhead*) and oligomers (X2 and X4)/multimers. **(c)** Recombinant  $\alpha$ SYN in cell-free culture medium did not self-assemble into SDS-stable oligomers after 24 hours at 37°C. **B,** Extracellular  $\alpha$ SYN was incorporated and assembled into oligomers in neuronal and oligodendroglial cells. SH-SY5Y **(a)** and KG1C **(b)** cells were exposed to 5  $\mu$ M  $\alpha$ SYN for the indicated amount of time, and the cells were then subjected to fractionation and  $\alpha$ SYN immunoblot analysis (50  $\mu$ g lysate per lane). One minute after the addition of  $\alpha$ SYN, monomeric  $\alpha$ SYN (X1) was incorporated, and the amount of  $\alpha$ SYN increased thereafter mainly in the hydrophilic fraction. In parallel, the SDS-resistant dimeric/trimeric  $\alpha$ SYN (X2-X3), as well as the multimers and truncated fragments (*arrow*), gradually appeared in the hydrophilic fractions. Hsp90 and Na<sup>+</sup>/K<sup>+</sup> ATPase  $\alpha$  were used as markers for the cytosol and the plasma membrane, respectively. **(c)** A dose-dependent increase in intracellular monomeric (X1) and oligomeric (X2-X3)  $\alpha$ SYN was observed mainly in the hydrophilic fractions prepared from the cells exposed to varying concentrations of recombinant  $\alpha$ SYN (0–10  $\mu$ M) for 24 hours. An *asterisk* indicates the non-specific band. Representative blots from three independent experiments are presented.

staining, but only the large inclusions were co-immunostained for serine 129-phosphorylated  $\alpha$ SYN in both cells. Neither  $\alpha$ SYN-positive inclusions nor small aggregates were detected in control cells treated with the column flow-through (Figure 2A (a) and (b)). The spatial co-localization of ubiquitin and the  $\alpha$ SYN-positive inclusions was confirmed by orthogonal reconstructions from confocal z-stacks in the xz- and yz-planes. Furthermore, the double-immunolabeling studies, depicted in Figure 2B (a) and (b), demonstrated that the large juxtannuclear large inclusions were not only co-localized with  $\gamma$ -tubulin and peripherin but were also enveloped within a vimentin cage, suggesting that the molecular machinery controlling aggresomal formation may contribute to their biogenesis [2]. Of note, the  $\alpha$ SYN-positive inclusion bodies in the KG1C cells were co-localized with tubulin-polymerization-promoting protein/p25 $\alpha$  (TPPP/p25 $\alpha$ ), a known marker of GCI in the affected brain lesions of MSA patients [34,36,37]. The formation of  $\alpha$ SYN-positive cytoplasmic inclusions was also confirmed in primary rat cortical neurons treated under the same condition, suggesting that these results were not a cell line-specific phenomenon (Figure 2C). The percentage of perinuclear inclusion-bearing SH-SY5Y and KG1C cells increased in a time-dependent manner, reaching 35% and 24%, respectively, at 24 hours (Figure 2D).

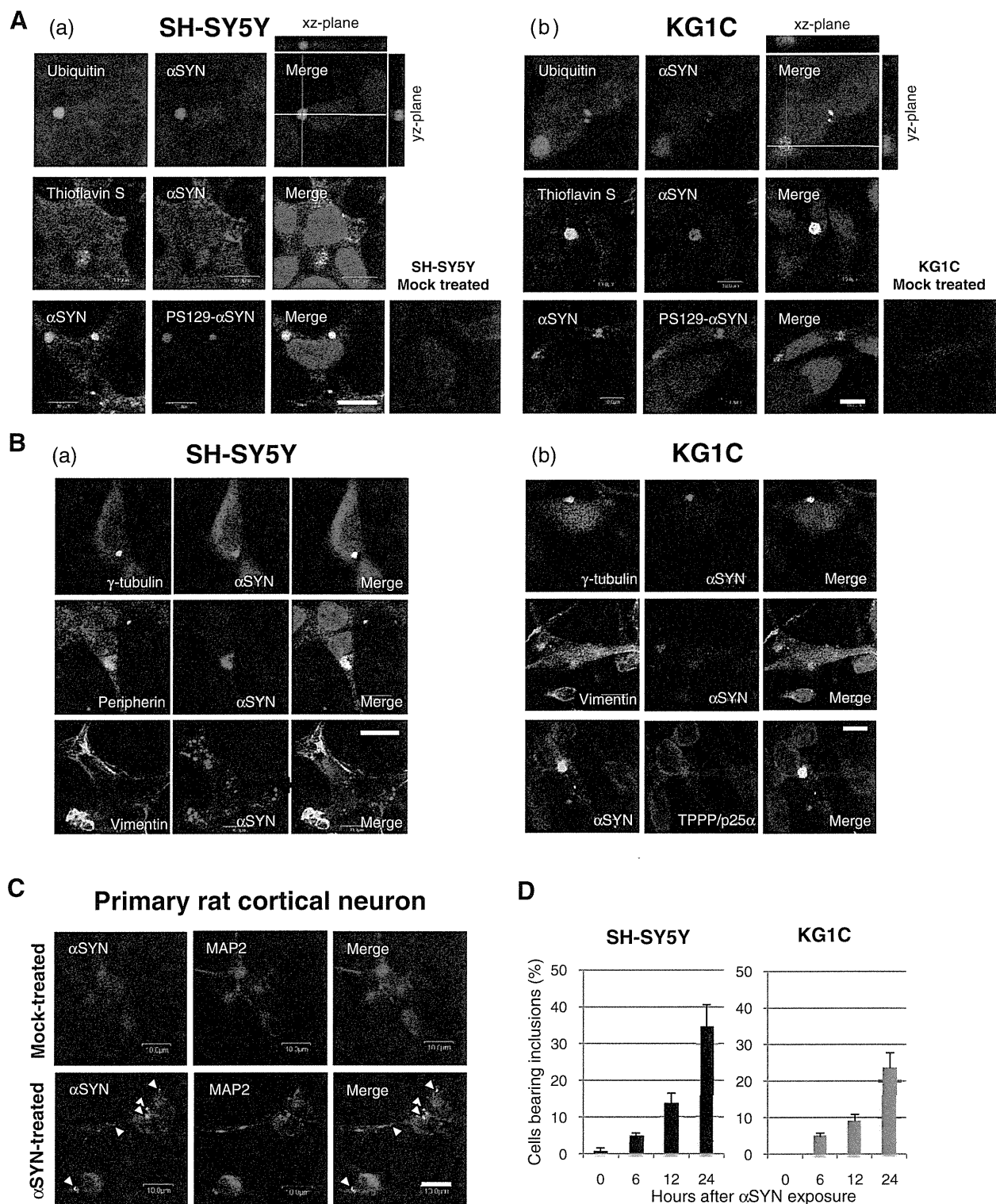
**Lysosomal inhibition enhanced  $\alpha$ -synuclein oligomer formation and impaired autophagic flux**

The  $\alpha$ SYN-positive aggregates in the cells exposed to recombinant  $\alpha$ SYN were partially co-localized with the markers for early endosomes (Rab5A) and lysosomes (Lamp-1) (Figure 3A). To confirm the role of the intact lysosomal system in the degradation of incorporated  $\alpha$ SYN, SH-SY5Y cells were treated with the vacuolar H<sup>+</sup> ATPase inhibitor bafilomycin A1 (0–5 nM) together with 5  $\mu$ M  $\alpha$ SYN for 24 hours. Following the fractionation process, all samples were analyzed by western blot

analysis (Figure 3B). As shown by the immunoblotting, bafilomycin treatment increased the intracellular accumulation of HMW  $\alpha$ SYN oligomers in the hydrophilic fraction of  $\alpha$ SYN-exposed cells in a dose-dependent manner. In contrast, bafilomycin treatment did not affect the level of endogenous  $\alpha$ SYN in the control cells lacking  $\alpha$ SYN exposure. In the  $\alpha$ SYN-treated SH-SY5Y cells, co-treatment with bafilomycin augmented the induction of LC3-II protein, which is indicative of an increase in macroautophagy and autophagosome formation. Under this condition, we could not detect caspase-3 cleavage, a hallmark of apoptosis (Figure 3C). Hence, lysosomal inhibition impairs the autophagic flux in  $\alpha$ SYN-treated neuronal and oligodendroglial cells.

**The inhibition of dynamin GTPases decreased  $\alpha$ -synuclein internalization by neuronal and oligodendroglial cells**

The internalization of  $\alpha$ SYN oligomers is thought to be mediated by endocytosis because K44A dynamin 1, a dominant-negative mutant that blocks endocytic vesicle formation, was previously shown to reduce the number of incorporated  $\alpha$ SYN aggregates in COS-7 cells and primary astrocytes [21,38,39]. The enhanced endocytosis of preformed fibrils of  $\alpha$ SYN in response to the addition of wheat germ agglutinin (WGA) was shown to increase the extent of pathology in cultured neuronal cells [20]. Lee and his colleagues have shown that monomeric  $\alpha$ SYN can be internalized into cells without endocytosis, whereas aggregated forms enter cells via endocytosis [19,39]. Complementarily, the inhibition of endocytosis decreased the cellular uptake of  $\alpha$ SYN *in vitro* and *in vivo* [22]. The involvement of the endocytic process during  $\alpha$ SYN internalization is further supported by a previous proteomic analysis showing that microglial activation, secondary to the internalization of aggregated  $\alpha$ SYN, requires clathrin, which plays major roles in endocytosis and vesicular trafficking [40]. Of the three dynamin isoforms identified thus far, dynamin 1 and dynamin 2 are mainly



**Figure 2** (See legend on next page.)



(See figure on previous page.)

**Figure 2 The formation of cytoplasmic inclusions in neuronal and oligodendroglial cells exposed to  $\alpha$ -synuclein.** **A**, Large perikaryal inclusions as well as small aggregates were observed in the SH-SY5Y (**a**) and KG1C (**b**) cells exposed to 5  $\mu$ M recombinant  $\alpha$ SYN for 24 hours. The  $\alpha$ SYN-positive inclusions and small aggregates were positive for ubiquitin and thioflavin S staining, whereas only the large inclusions co-immunostained positively for phosphorylated serine 129- $\alpha$ SYN. Neither  $\alpha$ SYN-positive inclusions nor small aggregates were detected in cells treated with the column flow-through. The crossed lines indicate the positions of the xz- and yz-planes. **B**, Double-immunolabeling studies demonstrated that the juxtannuclear large inclusions co-localized with  $\gamma$ -tubulin, peripherin, and/or vimentin, which are known markers of the aggresome (**a** and **b**). The  $\alpha$ SYN-positive inclusion bodies in the KG1C cells (**b**) were co-localized with TPPP/p25 $\alpha$ , a known marker for GCI in MSA. **C**, The formation of  $\alpha$ SYN-positive cytoplasmic inclusions (*arrowhead*) was also confirmed in primary rat cortical neurons treated under the same condition. No visible  $\alpha$ SYN-positive inclusions were detected in the mock-treated cells. **D**, After  $\alpha$ SYN exposure, the incidence of perinuclear inclusions in both the SH-SY5Y and KG1C cells increased in a time-dependent manner, reaching 35% and 24%, respectively, at 24 hours. Data are expressed as the mean  $\pm$  standard errors. The immunostaining was performed three times and exhibited consistent results. Scale bar: 10.0  $\mu$ m.

involved in clathrin-mediated endocytosis [41]. Dynamin 1 is highly expressed in the nervous system, whereas dynamin 2 is ubiquitously expressed in all tissues [42,43]. To investigate the functional roles of dynamin GTPases in the incorporation of  $\alpha$ SYN, we examined the expression profile of dynamin isoforms in neuronal and oligodendroglial cells (Figure 4A). As shown by immunoblotting, dynamin 2 was widely expressed in both types of cells, whereas dynamin 1 was strongly expressed only in the dopaminergic neuronal cells. However, the expression of dynamin 1 was weak (MO3.13) or absent (KG1C) in cells of the oligodendroglial lineage. It is known that several selective serotonin reuptake inhibitors (SSRIs) also inhibit dynamin GTPases, which suggests the influence of a class effect. Among the dynamin inhibitors thus far examined, sertraline (Zoloft<sup>®</sup>), a second-generation SSRI, exhibited the strongest effect against both dynamin 1 and 2 [41,43]. We found that the pharmacological inhibition of dynamin GTPase activity by sertraline treatment (50 mM stock in DMSO with a working concentration of 0–10  $\mu$ M, Sigma) prevented  $\alpha$ SYN uptake by SH-SY5Y and KG1C cells in a dose-dependent manner (Figure 4B (a) and (b), respectively). Both cells types were treated with 5  $\mu$ M  $\alpha$ SYN for 30 min with varying concentrations of sertraline as indicated. Consistent with this result, the inhibition of dynamin 1 through the use of a K44A dominant-negative (DN) mutant or small interference RNA (siRNA) resulted in a considerable decrease in  $\alpha$ SYN incorporation into SH-SY5Y cells (Figure 4C (a) and (b), respectively). In addition, both  $\alpha$ SYN monomers and SDS-stable HMW oligomers were increased in the cells overexpressing wild-type (wt) dynamin 1. The control experiment, which was not exposed to recombinant  $\alpha$ SYN, demonstrated that dynamin 1 manipulation did not affect the expression level of endogenous  $\alpha$ SYN in the SH-SY5Y cells.

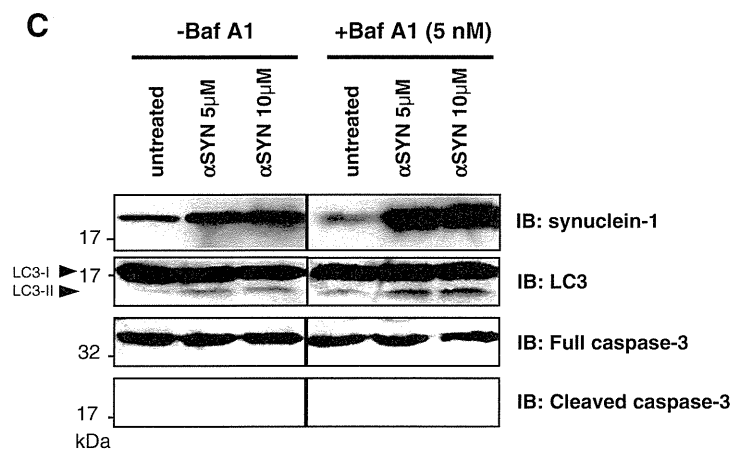
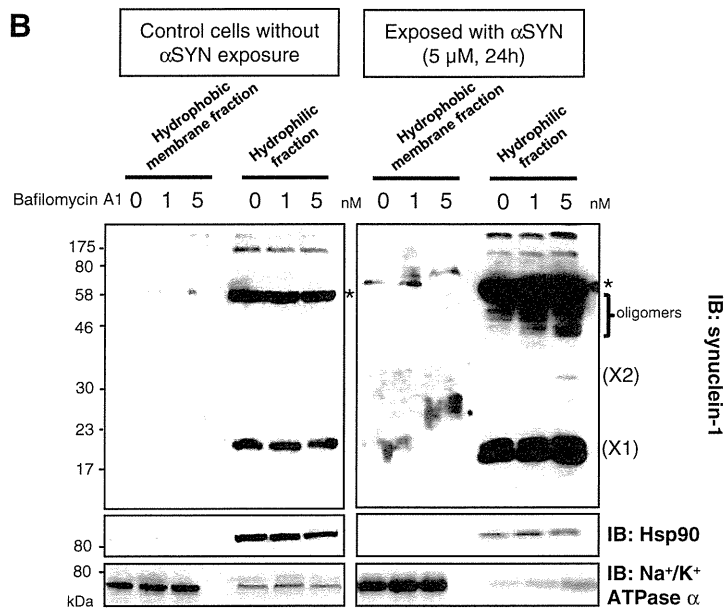
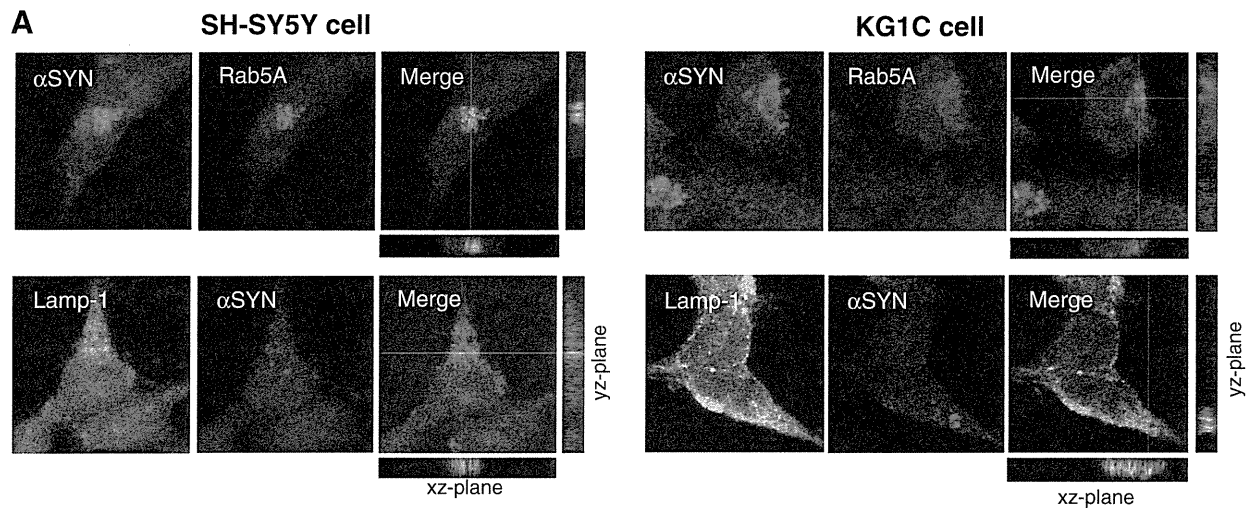
#### Sertraline treatment inhibited the neuron-to-neuron and neuron-to-oligodendroglia transmission of $\alpha$ -synuclein in co-culture models

To test whether sertraline could prevent the cell-to-cell spread of  $\alpha$ SYN, we performed co-culture experiments. For this purpose, SH-SY5Y cells overexpressing N-terminal

mCherry-tagged  $\alpha$ SYN (donor cells) were co-cultured with PC12 neuronal or MO3.13 oligodendroglial cells stably expressing Enhanced Green Fluorescence Protein (EGFP, acceptor cells), in the presence or absence of 10  $\mu$ M sertraline. The mCherry fluorophore was used to trace the donor-derived  $\alpha$ SYN protein. After 72 hours of co-culture, the transmission of mCherry- $\alpha$ SYN from the donor cells to the acceptor PC12 and MO3.13 cells was detected, confirming the uptake of mCherry- $\alpha$ SYN secreted from donor cells (Figure 5A). The spatial distribution detail of the mCherry fluorescence in donor cells was confirmed by orthogonal reconstructions from confocal z-stacks in the xz- and yz-planes. Co-culture experiments using donor cells expressing mCherry- $\alpha$ SYN showed that the percentage of acceptor cells with inclusions were 4.2% (PC12) and 3.8% (MO3.13), which was much higher than the percentage of the inclusion-positive cells (under 0.2% in both cell lines) from the co-culture with mCherry-expressing donor cells (Figure 5A and B). The addition of sertraline to the culture medium reduced the amount of incorporated mCherry- $\alpha$ SYN in the acceptor cells, whereas this effect was not observed in the acceptor cells co-cultured with mCherry-expressing donor cells (Figure 5B). We confirmed that the sertraline treatment did not affect the secretion of mCherry- $\alpha$ SYN from the donor SH-SY5Y cells (Figure 5C). It should be noted that the extracellular mCherry- $\alpha$ SYN released into culture media seems to be significantly lower than the recombinant  $\alpha$ SYN used in the previous experiments. We hypothesize that this is the reason for the relatively low internalization of mCherry- $\alpha$ SYN in the acceptor cells of co-cultures compared to the level of inclusion formation in the cells exposed to recombinant  $\alpha$ SYN. Together, these results suggest that the endocytic process contributes significantly to the neuronal as well as oligodendroglial uptake of  $\alpha$ SYN.

#### Discussion

Although  $\alpha$ SYN is generally located in the cytoplasm, several studies have demonstrated that  $\alpha$ SYN has an affinity for phospholipids and vesicles [44,45]. In addition,  $\alpha$ SYN is known to be delivered to the plasma membrane



**Figure 3** (See legend on next page.)

(See figure on previous page.)

**Figure 3** **Lysosomal inhibition facilitates  $\alpha$ -synuclein oligomer formation and impairs autophagic flux.** **A**, A portion of the  $\alpha$ SYN-positive aggregates found in the  $\alpha$ SYN-treated SH-SY5Y cells (5  $\mu$ M recombinant  $\alpha$ SYN for 24 hours) showed partial co-localization with markers of early endosomes (Rab5A) and lysosomes (Lamp-1). The crossed lines indicate the positions of the xz- and yz-planes. Immunostaining was performed three times and exhibited consistent results. Size bar: 10.0  $\mu$ m. **B**, Pretreatment of the cells with bafilomycin A1 (0–5 nM) increased the intracellular accumulation of the  $\alpha$ SYN oligomers (X2 and higher) in a dose-dependent manner. Furthermore, this increase was mainly observed in the hydrophilic fractions of the  $\alpha$ SYN-exposed cells, whereas the level of the  $\alpha$ SYN monomer (X1) was unchanged. Fifty micrograms of lysates were analyzed by immunoblotting and the blot was probed with an anti- $\alpha$ SYN Ab. Hsp90 and Na<sup>+</sup>/K<sup>+</sup> ATPase  $\alpha$  were used as markers for the cytosol and the plasma membrane, respectively. The asterisk indicates the non-specific band. **C**, In the  $\alpha$ SYN-exposed SH-SY5Y cells (5  $\mu$ M recombinant  $\alpha$ SYN for 24 hours), bafilomycin (5 nM) treatment augmented the induction of the LC3-II protein, whereas no cleaved fragments of caspase-3 were detected. Representative western blots from three independent experiments are presented.

through its interactions with the endoplasmic reticulum (ER)-Golgi secretory pathway [46]. Furthermore, increasing evidence has suggested that nanomolar concentrations of  $\alpha$ SYN can be found in neuronal culture medium, as well as in body fluids such as plasma and cerebrospinal fluid [47,48]. The secreted monomeric and oligomeric forms of  $\alpha$ SYN were shown to re-enter neighboring cells, resulting in various cytotoxic effects, such as the production of reactive oxygen species, the generation of a glial cell inflammatory response [18,21], and synaptic malfunction [20]. In the present study, we showed that, in cultured neuronal and oligodendroglial cells, the incorporated  $\alpha$ SYN oligomers were assembled into SDS-stable HMW oligomers and could form LB- and GCI-like cytoplasmic inclusions. Except for KG1C cells, which do not express endogenous  $\alpha$ SYN, it is possible that the internalized recombinant  $\alpha$ SYN may be entangled with endogenous  $\alpha$ SYN, and the assembled HMW oligomers in the SH-SY5Y neuronal cells may consist of both endogenous and recombinant  $\alpha$ SYN. Consistent with a previous study, we confirmed that most of the internalized  $\alpha$ SYN was located within the intracellular soluble fraction rather than the membrane fraction, indicating that extracellular  $\alpha$ SYN rapidly crosses the cellular membrane [18]. Furthermore, wild-type  $\alpha$ SYN has been shown to be degraded by lysosomes through chaperone-mediated autophagy, and decreased lysosomal function has also been observed in PD patients [49-52]. In agreement with this result, we detected internalized  $\alpha$ SYN in the endosomal compartment, where it was eventually degraded by the lysosomes. Our data showed that lysosomal inhibition impairs the autophagic flux in  $\alpha$ SYN-treated neuronal and oligodendroglial cells. This observation strongly suggests that proper lysosomal machinery is required for the clearance of the incorporated  $\alpha$ SYN oligomers and is therefore indispensable for the maintenance of cellular homeostasis.

In addition to the Lewy pathology in PD, the presence of oligodendrocytic  $\alpha$ SYN deposition, i.e., GCI, in MSA has attracted a significant amount of attention [29,53-55]. However, regarding the pathogenesis of MSA, it remains unclear why  $\alpha$ SYN accumulates in an oligodendrocytes,

which do not normally express endogenous  $\alpha$ SYN [28]. It is possible that  $\alpha$ SYN expression may be upregulated and/or inefficiently degraded in affected oligodendrocytes. Alternatively, it is plausible that oligodendrocytes may actively take up  $\alpha$ SYN molecules derived from neurons. Indeed, endocytosis regulatory proteins such as Rab5 and Rabaptin-5 are known constituents of GCIs [56]. It is also possible that an intrinsic protein, such as TPPP/p25 $\alpha$ , may promote the aggregation of internalized  $\alpha$ SYN within oligodendroglia [34,57,58]. Interestingly, the prion-like hypothesis supports the possibility that aberrant oligodendroglial expression of  $\alpha$ SYN may have an ectopic origin. Our study provides the first concrete evidence that extracellular  $\alpha$ SYN can be incorporated and assembled into HMW oligomers and inclusions that mimic GCIs in cultured oligodendrocytes. In protein-folding diseases, protein misfolding and aggregation are thought to follow a 'seeding-nucleation' mechanism [59-61], whereby misfolded  $\alpha$ SYN is transmitted from a LB-bearing donor cell to a neighboring recipient cell and can act as a template for the conversion of native, unfolded  $\alpha$ SYN into a  $\beta$ -sheet-rich conformation within the recipient cell. However, given that cultured oligodendroglial cells without inherent  $\alpha$ SYN expression can import extracellular  $\alpha$ SYN and form HMW oligomers, the existence of endogenous  $\alpha$ SYN may not be a prerequisite for the buildup of  $\alpha$ SYN aggregates in the recipient cells.

The precise mechanisms by which the intercellular transmission of  $\alpha$ SYN occurs remain controversial. Lee and colleagues implicated exocytosis as a plausible mechanism for  $\alpha$ SYN release from cultured neuronal cells because its release was effectively blocked under low-temperature conditions [39]. As brefeldin A, an inhibitor of ER-Golgi-dependent exocytosis, is ineffective at preventing the secretion of nascent  $\alpha$ SYN secretion by MES cells,  $\alpha$ SYN exocytosis is thought to rely on an unconventional exocytic pathway [62]. Intriguingly, the small GTPase Rab5, which is a known marker of early endosomes, is critical for the endocytosis of exogenous  $\alpha$ SYN into neuronal cells [18]. In a yeast model, the A30P mutant  $\alpha$ SYN was shown to bind the endocytic

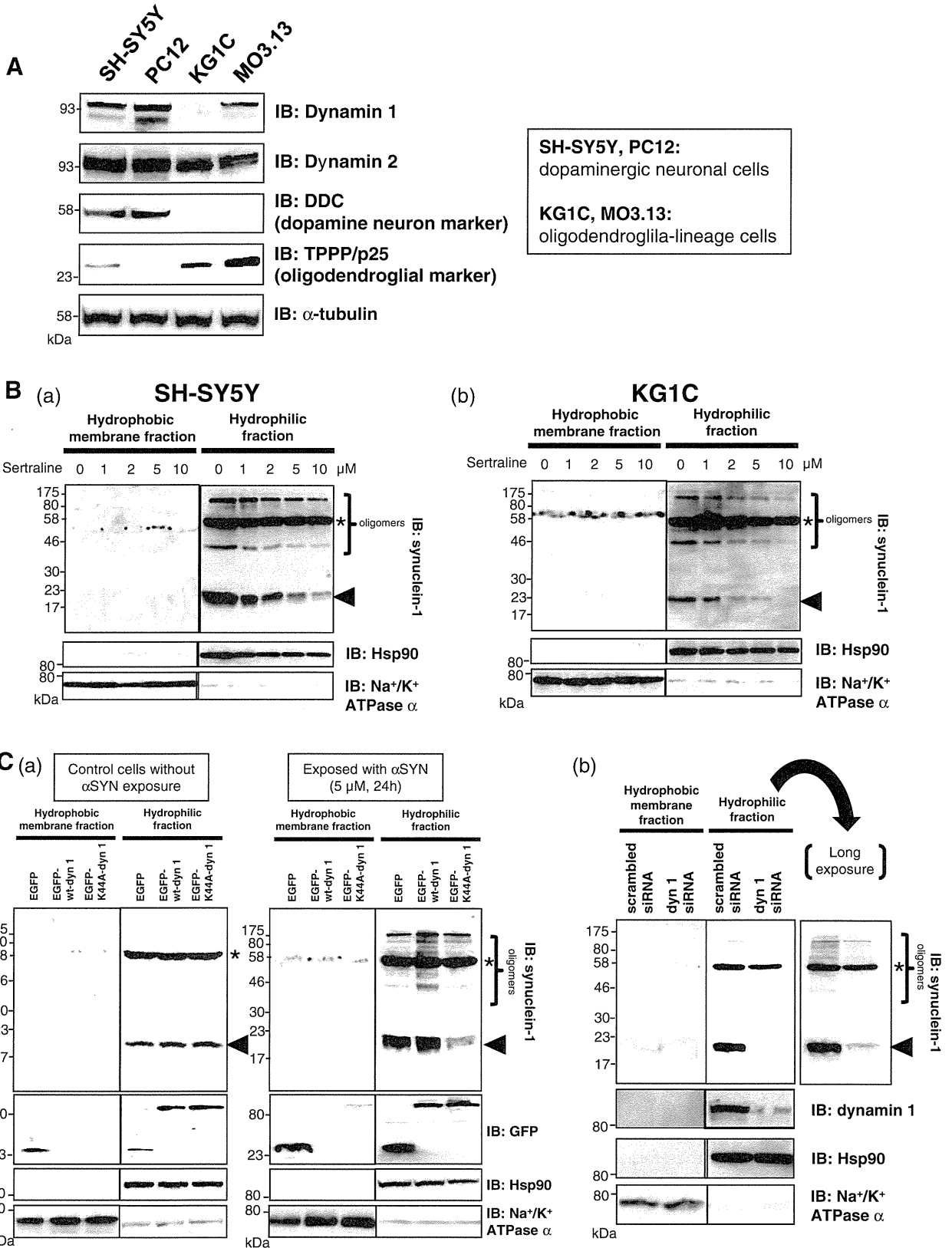


Figure 4 (See legend on next page.)

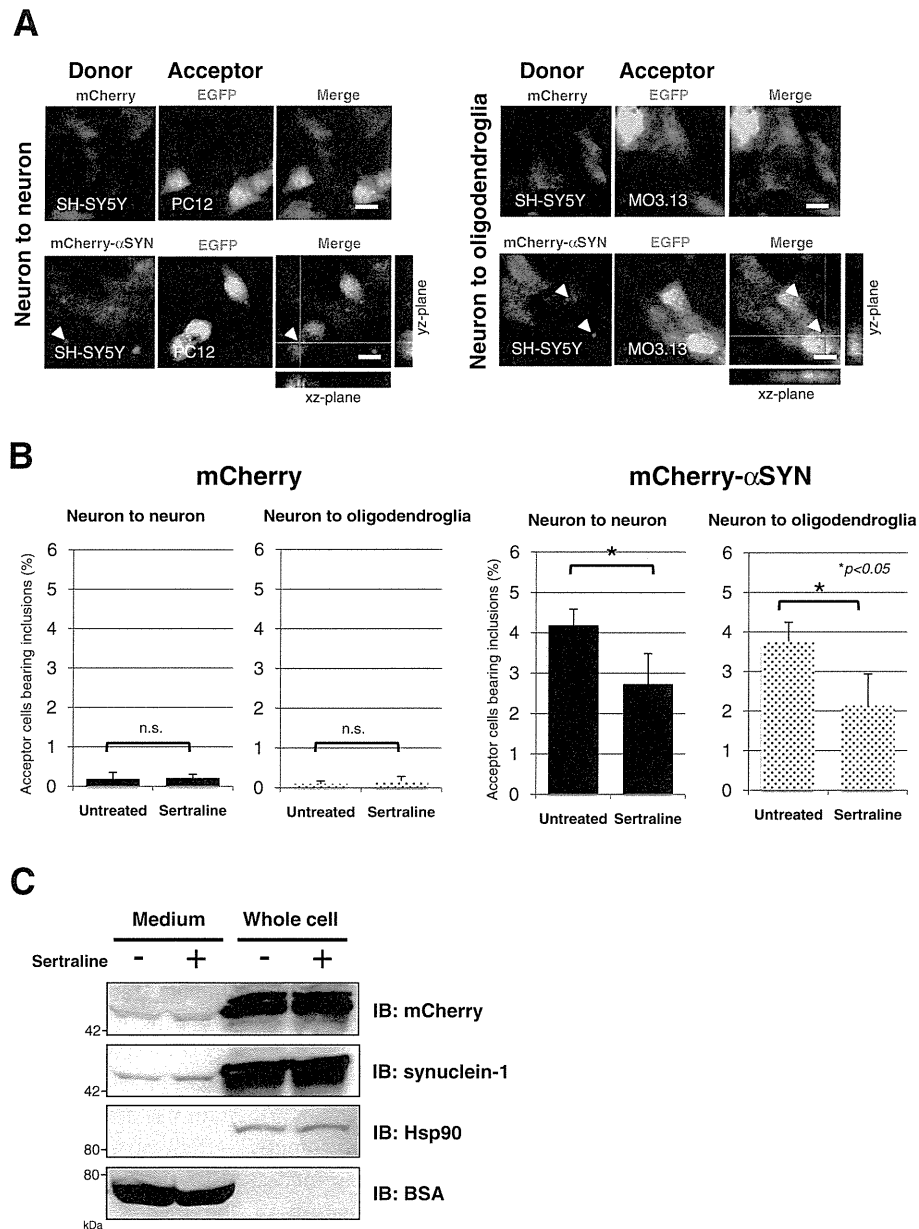
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**Figure 4 The inhibition of dynamin GTPases decreases the internalization of  $\alpha$ -synuclein by neuronal and oligodendroglial cells.** **A**, The expression profile of various dynamin isoforms across neuronal and oligodendroglial cells. Dynamin 2 was widely expressed in both types of cells, whereas dynamin 1 was strongly expressed in dopaminergic neuronal cells. However, the expression of dynamin 1 was weak (MO3.13) or absent (KG1C) in cells of the oligodendroglial lineage. Equal loading was confirmed using  $\alpha$ -tubulin as control. **B**, The pharmacological inhibition of dynamin GTPase activity via sertraline treatment (0–10  $\mu$ M for 30 min) dose-dependently prevented both monomeric (*arrowhead*) and oligomeric  $\alpha$ SYN accumulation in SH-SY5Y (**a**) and KG1C (**b**) cells. Both cells were treated with 5  $\mu$ M  $\alpha$ SYN for 30 min with different concentrations of sertraline, as indicated. The control cells were treated with solvent (0.1% DMSO) alone. Fifty micrograms of each lysate was analyzed by immunoblotting, and the blot was probed with an anti- $\alpha$ SYN Ab. Hsp90 and  $\text{Na}^+/\text{K}^+$  ATPase  $\alpha$  were used as markers for the cytosol and the plasma membrane, respectively. The *asterisk* indicates the non-specific band. **C**, Consistent with the results shown in Figure 4B, the inhibition of dynamin 1 through the use of either the K44A DN mutant (**a**) or siRNA (**b**) resulted in a marked reduction of internalized  $\alpha$ SYN in SH-SY5Y cells. Cells were treated with 5  $\mu$ M  $\alpha$ SYN (for 30 min) 48 hours after either the DN-dynamin1 transfection or the siRNA silencing of dynamin 1. Note that the  $\alpha$ SYN monomer and the SDS-stable HMW oligomers were increased in cells overexpressing wild-type dynamin 1. Representative immunoblots from three independent experiments are shown.

cargo-transport protein YPP1 at the plasma membrane, which led to the budding of endocytic vesicles via receptor-mediated endocytosis and the subsequent targeting of this form of  $\alpha$ SYN to the vacuole for degradation [63]. Furthermore, previous studies by our lab and others have demonstrated that internalized  $\alpha$ SYN is secreted from neurons via a process that is modulated by the recycling endosome regulator Rab11a [64,65]. In the case of prion disease, both the normal cellular prion protein (PrP<sup>c</sup>) and the abnormally folded pathogenic form (PrP<sup>Sc</sup>) are associated with nanovesicles called 'exosomes' that are released from non-neuronal and neuronal cells [66,67]. However, the involvement of the exosomal vesicle in  $\alpha$ SYN secretion remains unclear.  $\alpha$ SYN has been shown to be secreted by the exosome, and exosome-containing conditioned medium can induce neuronal cell death [68,69]. In contrast, we recently demonstrated that extracellular  $\alpha$ SYN was mainly detected in the supernatant fraction rather than in the exosome-containing pellets obtained from neuronal culture medium or CSF [64]. Moreover, we found that the perturbation of exosome formation by a DN mutant of vacuolar protein sorting 4 (VPS4) not only interfered with lysosomal targeting of  $\alpha$ SYN but also facilitated  $\alpha$ SYN secretion [64].

Regardless of the mechanisms involved in  $\alpha$ SYN secretion, there is evidence to support the uptake of extracellular  $\alpha$ SYN by neighboring cells, which subsequently facilitates aggregate formation. Previous reports have suggested that the internalization of  $\alpha$ SYN oligomers may be mediated by the endocytic process; the overexpression of a DN-dynamin effectively reduced the extent of incorporated  $\alpha$ SYN aggregates in cultured cell lines [21,39]. Furthermore, the inhibition of endocytosis by monodansylcadaverine and dynasore has also been shown to decrease  $\alpha$ SYN uptake both *in vitro* and *in vivo* [22]. Coincubation of  $\alpha$ SYN pre-formed fibrils with WGA enhances the  $\alpha$ SYN pathology in neuronal cells, indicating adsorptive-mediated endocytosis as the potential mechanism of  $\alpha$ SYN internalization [20]. The

importance of the endocytic process in the uptake of extracellular  $\alpha$ SYN is further supported by our findings showing that genetic as well as pharmacological disruption of the dynamin GTPases through the administration of sertraline, a widely used antidepressant, significantly decreased the internalization and translocation of  $\alpha$ SYN. It should also be noted that the concentration of sertraline used in our study (10  $\mu$ M = 3  $\mu$ g/ml) is comparable to the concentrations observed to be therapeutically effective within the CSF and brain (2  $\mu$ g/ml) for its antidepressant effects [70]. In fact, neuropsychiatric manifestations such as depression, apathy, and anxiety are frequently encountered as non-motor symptoms in PD patients [71,72]. SSRIs are currently used as a first-line therapy for PD-associated depression [73]. Thus, the identification of novel therapeutic uses for sertraline not only provides a strategy focused on the prevention of the pathological propagation of  $\alpha$ SYN but also has the advantage of utilizing time-tested drugs for the benefit of patients. A recent study has shown that the first SSRI, fluoxetine, ameliorated behavioral and neuropathological deficits in an MSA mouse model [74]. They concluded that the protective effect of fluoxetine might be attributed to the increased level of neurotrophic factors and/or the activation of the ERK signaling pathway; however, the reduction of  $\alpha$ SYN-propagation through the inhibition of dynamin may be another underlying mechanism. Indeed, tricyclic antidepressants, which are also known to inhibit dynamin GTPases, have been shown to slow disease progression in PD [41,75]. In the case of MSA, a German group has reported the effectiveness of paroxetine in a small, short-term trial with 19 MSA patients [76]. In this study, motor disabilities and dysarthria were significantly improved compared with the placebo group. It is also interesting to note that paroxetine may prevent the glottis stenosis in MSA patients [77]. Further investigations with larger samples are necessary to assess the long-term safety and effectiveness of SSRI treatment in MSA. We are currently awaiting for the outcome of a double-blind, multicenter trial using fluoxetine for the



**Figure 5 Sertraline inhibits the neuron-to-neuron and neuron-to-oligodendroglia transmission of  $\alpha$ -synuclein in co-culture models.** **A**, SH-SY5Y cells overexpressing mCherry or N-terminally mCherry-tagged  $\alpha$ SYN (donor cells) were co-cultured with PC12 neuronal or MO3.13 oligodendroglial cells stably expressing EGFP (acceptor cells) in the presence or absence of 10  $\mu$ M sertraline. Vehicle-only-transfected cells were treated with solvent (0.1% DMSO) only. The mCherry fluorophore was used to trace the donor-derived  $\alpha$ SYN protein. After 72 hours of co-culture, the transmission of mCherry- $\alpha$ SYN from the donor cells to the acceptor PC12 as well as MO3.13 cells was detected, confirming the uptake of mCherry- $\alpha$ SYN secreted from the donor cells. The arrowhead indicates the transferred mCherry- $\alpha$ SYN-positive aggregates in the acceptor cells. The crossed lines indicate the positions of the xz- and yz-planes. **B**, A co-culture experiment using donor cells expressing mCherry- $\alpha$ SYN showed that the percentage of acceptor cells with inclusions were 4.2% (PC12) and 3.8% (MO3.13), which is much higher than the percentage of inclusion-positive cells (under 0.2% in both cell lines) co-cultured with mCherry-expressing donor cells. The addition of sertraline to the culture medium reduced the incorporation of mCherry- $\alpha$ SYN into the acceptor cells ( $*p < 0.05$ ), whereas this effect was not observed in the acceptor cells that were co-cultured with the mCherry-expressing donor cells. To quantify the mCherry-positive inclusions in the acceptor cells, the total number of cells containing aggregates was counted per 250–300 cells within five randomly selected fields. From this value, the percentages of cells with red-fluorescent inclusions were calculated. Pooled data from four independent experiments were statistically analyzed. Data are presented as the mean  $\pm$  standard errors. **C**, Western blot analysis demonstrated that sertraline treatment did not affect the secretion of the mCherry- $\alpha$ SYN from the donor SH-SY5Y cells. BSA and Hsp90 were used as markers of the culture medium and the cytosolic proteins, respectively. Each immunoblot was performed at least three times and the replicates yielded similar results.

treatment of MSA (MSA-Fluox) being conducted in France [78].

In summary, we demonstrated that  $\alpha$ SYN was taken up by neuronal and oligodendroglial cells, assembled into HMW oligomers, and formed cytoplasmic inclusions. Furthermore, we have provided evidence that  $\alpha$ SYN uptake by neuronal and oligodendroglial cells is regulated by dynamin GTPases, which implies a role for the endocytic process in this activity. The importance of the vesicular trafficking machinery in the pathogenesis of PD is also highlighted by recent findings that a mutation in the *VPS35* gene, which encodes a retromer complex involved in the retrograde transport of proteins from the endosome to the trans-Golgi network, can cause late-onset familial PD [79-81]. Furthermore, the prevention of  $\alpha$ SYN-mediated pathology by sertraline is a potentially promising method for the treatment of PD and other synucleinopathies. Thus, defining the precise mode of intercellular  $\alpha$ SYN transmission will shed light on the pathogenic mechanisms involved in synucleinopathies, and this research may pave the way for the identification of novel targets for therapeutic intervention in other neurodegenerative diseases.

## Methods

### Plasmid construction and preparation

For the bacterial expression of the GST- $\alpha$ SYN fusion protein, human  $\alpha$ SYN cDNA was subcloned into the *Sall* and *NotI* restriction sites of the pGEX6P-1 vector (GE Healthcare Life Sciences, Piscataway, NJ), which encodes an N-terminal GST fusion tag that is cleavable by a human rhinovirus 3C proteinase recognition site (Leu-Glu-Val-Leu-Phe-Gln-Gly-Pro, referred to as the PreScission<sup>®</sup> site). An N-terminal mCherry-tagged human wt- $\alpha$ SYN cDNA was introduced into the *KpnI* and *XhoI* sites of the pcDNA3.1+ expression vector (Life Technologies/Invitrogen, Carlsbad, CA). The pEGFP-C1 eukaryotic expression plasmids (Clontech, Mountain View, CA) encoding the EGFP-tagged human wt and DN mutant K44A dynamin 1 were kindly provided by Dr. Hiroshi Miyoshi at the St. Marianna University School of Medicine in Kawasaki, Japan. Plasmid DNA used for transfection was prepared with the Genopure Plasmid Maxi Kit (Roche, Basel, Switzerland). The fidelity and orientation of the expression constructs were confirmed by restriction digestion and nucleotide sequencing analyses.

### Recombinant $\alpha$ -synuclein purification

The GST- $\alpha$ SYN fusion construct (pGEX6P-1/ $\alpha$ SYN) was transformed into the BL21(DE3)pLysS *E. coli* strain for protein expression. The transformed bacteria were grown in LB medium containing 100  $\mu$ g/ml ampicillin

and 35  $\mu$ g/ml chloramphenicol (for pLysS) at 37°C until reaching an  $A_{600}$  of 0.4. The bacteria were then cultured for an additional 5 hours following induction with 0.5 mM IPTG. The bacteria were harvested by centrifugation, resuspended in ice-cold PBS (pH 7.4), and disrupted by ultrasonication (Smurt NR-50, Microtec, Chiba, Japan). After removal of the cell debris, the supernatant was loaded onto a glutathione-Sepharose 4B column (GE Healthcare) equilibrated with PBS. The GST- $\alpha$ SYN fusion protein was washed with PBS three times and was then eluted with 10 mM glutathione elution buffer. The final eluate that flowed through the column was collected as the control specimen and was further dialyzed against PBS overnight. Next, the GST tag was cleaved overnight at 4°C on a carousel in the presence of the PreScission<sup>®</sup> protease (2 units for 100  $\mu$ g fusion protein, GE Healthcare). After cleavage, the sample was re-loaded onto the glutathione-Sepharose 4B column, and the flowthrough containing the tag-free  $\alpha$ SYN was collected. The purity and the identity of the recombinant  $\alpha$ SYN were verified by CBB staining and western blot analysis. The recombinant  $\beta$ SYN and  $\gamma$ SYN, as well as A30P and A53T mutants of  $\alpha$ SYN, were purchased from ATGen Co., Ltd. (Gyeonggi-do, Korea).

### Cell culture and plasmid transfection

Dopaminergic neuronal cells (human SH-SY5Y and rat PC12) and human cells of oligodendroglia-lineage (KG1C and MO3.13) were maintained in Dulbecco's Modified Eagle's Medium (DMEM; Life Technologies/GIBCO, Carlsbad, CA) containing 4.5 g/l glucose, 2 mM L-glutamine (Life Technologies) and 10% FBS (PAA Laboratories, Pasching, Austria) at a temperature of 37°C under conditions of humidified 5% CO<sub>2</sub>/air. The SH-SY5Y cells were purchased from American Type Culture Collection (ATCC, Vienna, VA). The PC12 cells were kindly given to us by Dr. Katsutoshi Furukawa, Department of Geriatrics and Gerontology, Tohoku University, Sendai, Japan. The KG1C and MO3.13 cells were purchased from RIKEN Cell Bank (Tsukuba, Japan) and Cosmo Bio (Tokyo, Japan), respectively. Plasmid DNAs (2  $\mu$ g DNA for 1.5X10<sup>6</sup> cells) were introduced into the SH-SY5Y cells using the 4D-Nucleofector<sup>™</sup> device with the CA-137 program (LONZA AG, Cologne, Germany). The cells were harvested 48 hours post-transfection unless otherwise stated. For the suppression of dynamin GTPases, cells were treated with 5  $\mu$ M  $\alpha$ SYN for 30 min with varying concentration of sertraline as indicated. Likewise, the SH-SY5Y cells were treated with 5  $\mu$ M  $\alpha$ SYN (for 30 min) 48 hours after transfection with the DN-dynamin1 or the siRNA silencing of dynamin 1. Stable transfection of mcherry- $\alpha$ SYN or EGFP in the cultured cells was achieved using the FuGENE HD<sup>®</sup>

Transfection Reagent (Roche) according to the manufacturer's instructions. For the stable transfection of mcherry- $\alpha$ SYN or EGFP, the transfected cells were maintained under selective pressure with 300  $\mu$ g/ml G418 sulfate (InvivoGen, San Diego, CA).

#### Primary cortical neuron culture

Primary cultures of rat cortical neurons were prepared according to a previous method, with slight modifications [82]. The dissociated cortical neurons were plated at a density of  $0.5 \times 10^6$  cells on a poly-D-lysine-coated disc (Sumilon Celldesk LE, Sumitomo Bakelite Co., Ltd., Tokyo, Japan) in a 24-well plate and cultured in Neurobasal A (Life Technologies/GIBCO) medium supplemented with 2% B27 (Life Technologies/GIBCO), 25 mM glutamate, 18 mM glucose and 0.5 mM L-glutamine. Half of the culture medium was replaced with fresh medium excluding glutamate every 3 days. Six days after the initiation of the culture, cells were exposed to 5  $\mu$ M recombinant  $\alpha$ SYN for 24 hours and were then subjected to immunocytochemical analysis.

#### siRNA knockdown of endogenous dynamin in SH-SY5Y cells

To suppress endogenous dynamin 1 expression in cultured neuronal cells, siRNA specifically targeting human dynamin 1 (sc-43737) was used; this specific siRNA and a scrambled control siRNA (sc-36869) were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). SH-SY5Y cells in log-phase growth were nucleofected with target-specific or control-scrambled siRNAs (300 nM for  $2 \times 10^6$  cells) using the 4D-Nucleofector device with the CA-137 program and SF solution (LONZA AG). Seventy-two hours after gene silencing, the cells were harvested and subjected to further studies.

#### Co-culture experiments

For the mixed culture of  $\alpha$ SYN donor and acceptor cells, SH-SY5Y neuronal cells overexpressing mCherry or mCherry-tagged  $\alpha$ SYN ( $2 \times 10^5$  donor cells in a 3.5-cm dish) were co-cultured with neuronal PC12 or oligodendroglial MO3.13 cells stably expressing EGFP ( $2 \times 10^5$  acceptor cells in a 3.5-cm dish) in culture medium with or without 10  $\mu$ M sertraline for 5 days. Incorporated mCherry- $\alpha$ SYN in the acceptor cells was evaluated using a FluoView™ FV300 confocal microscope system equipped with HeNe-Green (543 nm) and Ar (488 nm) laser units (Olympus, Tokyo, Japan). To quantify the mCherry-positive cytoplasmic inclusions in the acceptor cells, the total number of cells containing aggregates was counted per 250–300 cells from each of five randomly selected fields. From this, the percentages of cells with red-fluorescent inclusions were calculated. Data pooled from four independent experiments were statistically analyzed with the Student's *t*-test. The data

were presented as the mean  $\pm$  standard errors. For the double labeling experiments, the images were collected using a single excitation for each wavelength individually and were then merged using Fluoview image analyzing software (version 4.1, Olympus).

#### Cell fractionation and western immunoblot analysis

Mechanically harvested cells were washed twice with ice-cold PBS. Next, the hydrophobic membrane fraction and hydrophilic fraction were prepared using the Mem-PER® Eukaryotic Membrane Protein Extraction Reagent kit (Thermo Scientific, Waltham, MA) according to the manufacturer's instructions. In some experiments, the cells were pretreated with bafilomycin A1 (0–5 nM for 24 hours) or sertraline (0–10  $\mu$ M for 30 min) with or without exposure to  $\alpha$ SYN. Successful separation of the hydrophilic fraction from the membrane fraction was verified by immunoblot analyses using Abs against the cytosolic Hsp90 and the plasma membrane localized protein Na<sup>+</sup>/K<sup>+</sup> ATPase  $\alpha$ , respectively. In some experiments, cells were directly solubilized in radio-immunoprecipitation assay (RIPA) buffer (1% NP-40, 0.5% deoxycholate, 0.1% SDS, 1 mM EDTA, 10 mM sodium pyrophosphate, 50 mM sodium fluoride, 1 mM sodium orthovanadate, 150 mM sodium chloride, 50 mM Tris-HCl (pH 8.0) plus 1x Complete® protease inhibitor cocktail; Roche). Samples containing 50  $\mu$ g total protein were electrophoresed on SDS-polyacrylamide gels (12.5%) and transferred onto polyvinylidene difluoride (PVDF) membranes (Immobilon-P; Merck Millipore, Billerica, MA). Native-PAGE was performed on 10–20% polyacrylamide gradient gels, according to the standard protocol. In some experiments, the separated proteins were visualized by staining with CBB R-250 (MP Biomedicals, Solon, OH). After blocking with 5% (w/v) nonfat dry milk (Wako Pure Chemical Industries, Ltd., Osaka, Japan) in Tris-buffered saline with 0.1% Tween 20 (TBST), the membranes were incubated with the following Abs: anti-synuclein-1 (63320, 1:1000; BD Bioscience, San Jose, CA), anti- $\alpha$ SYN (#2628, 1:1000; CST, Danvers, MA), anti-GST (#2625, 1:1000; CST), anti-LC3 (PM036, 1:1000; MBL, Nagoya, Japan), anti-caspase 3 (H-277, 1:2000; Santa Cruz), anti-cleaved caspase 3 (Asp 175, 1:1000; CST), anti-dynamin 1 (3G4B6, 1:1000; CST), anti-dynamin 2 (610263, 1:4000; BD Transduction Lab, Franklin Lakes, NJ), anti-dopa decarboxylase (DDC) (AB1569, 1:1000; Millipore), anti-TPPP/p25 $\alpha$  (EPR3315, 1:1000; Epitomics, Burlingame, CA), anti-GFP (M048-3, 1:2000; MBL) anti-Na<sup>+</sup>/K<sup>+</sup> ATPase  $\alpha$  (D154-3, 1:20000; MBL), anti-Hsp90 (AC88, 1:2000; Stressgen, Farmingdale, NY), anti- $\alpha$ -tubulin (clone DM1, 1:1000; Sigma) and anti-mCherry (5993, 1:1000; BioVision, Mountain View, CA). The primary antibody labeling was followed by the addition of HRP-conjugated secondary Abs (1:10000; Jackson ImmunoResearch, West Grove, PA). The bands were visualized with the Luminata™ Forte Western



HRP substrate (Millipore), and the images were captured using the LAS-3000 mini image analyzer (Fujifilm, Tokyo, Japan). Immunoblotting was performed at least three times. In some experiments, the blots were scanned and densitometric analyses were performed using Image J (<http://www.rsb.info.nih.gov/ij/>) [83]. The intensity unit of the  $\alpha$ SYN monomer was divided by that of Hsp90. Data are expressed as the mean  $\pm$  standard errors.

### Immunofluorescence confocal microscopy

Cells were fixed in 4% (w/v) paraformaldehyde in PBS for 30 min and then permeabilized with 0.5% Triton X-100 in PBS for 5 min. After blocking with 3% normal goat serum (Wako Pure Chemical Industries) in PBS for 30 min, the cells were incubated with the following primary antibodies: anti-synuclein-1 (1:1000; BD Bioscience), anti- $\alpha$ SYN (#2628, 1:2000; CST), anti-Serine 129 phospho- $\alpha$ SYN (EP1526Y, 1:1000; Epitomics), anti- $\gamma$ -tubulin (GTU-88, 1:4000; Sigma, St. Louis, MO), anti-peripherin (AB1530, 1:1000; Millipore-Chemicon), anti-vimentin (V9, 1:500; Sigma), anti-ubiquitin (P4D1, 1:1000; Santa Cruz), anti-MAP2 (#4542, 1:1000; CST), anti-TPPP/p25 (EPR-3315, 1:1000; Epitomics), anti-Rab5A Ab (S-19, 1:1000; Santa Cruz) and anti-Lamp-1 (H4A3, 1:1000; DSHB, University of Iowa, USA). For thioflavin S staining, the coverslips were immersed in 0.03% (w/v) thioflavin S (Sigma) solution for 5 min and were then extensively washed with 70% ethanol. Positive immunostaining was detected after a 1-hour incubation with Alexa 488- and Alexa 568-conjugated secondary Abs (1:4000; Life Technologies/Molecular Probes, Carlsbad, CA). Nuclei were counterstained with TO-PRO3 iodide (1:1000; Molecular Probes) and were pseudocolored in blue. The fluorescent images were analyzed with the FluoView FV300 confocal laser scanning microscope system (Olympus). The crossed lines indicate the positions of the xz- and yz-planes. To quantify the  $\alpha$ SYN-immunopositive inclusions, the total number of cells with any aggregates was counted across 250–300 cells in five randomly chosen fields. From this, the percentages of cells with inclusions were calculated. Pooled data from four independent experiments were statistically analyzed using the Student's *t*-test. The data are expressed as the mean  $\pm$  standard errors.

### Additional files

**Additional file 1: Figure S1.** Analyses of internalized  $\alpha$ -synuclein monomer in exposed cells. A, The densitometric analysis of monomeric  $\alpha$ SYN in hydrophilic fraction prepared from  $\alpha$ SYN-exposed SH-SY5Y (a and c) and KG1C cells (b). The intensity units of the  $\alpha$ SYN monomer were normalized by dividing them by that of Hsp90. Data are expressed as the mean  $\pm$  standard errors. B, The GST-tagged  $\alpha$ SYN (5  $\mu$ M) in the culture medium was time-dependently detected and shown to form HMW GST-immunopositive oligomers and the HMW smear mainly in the hydrophilic fraction of the SH-SY5Y cells, demonstrating that the

extracellular  $\alpha$ SYN was internalized and oligomerized in the exposed cells. All immunoblottings were performed four times.

**Additional file 2: Figure S2.** The difference in  $\alpha$ -synuclein internalization behavior among mammalian synuclein-family proteins. To evaluate the difference in the internalization behavior among the synuclein-family proteins, SH-SY5Y cells exposed to 5  $\mu$ M  $\alpha$ -,  $\beta$ - and  $\gamma$ -SYN, were fractionated and subjected to immunoblot analyses (right panel). Note that  $\alpha$ SYN was exclusively internalized into the SH-SY5Y cells, whereas  $\beta$ - and  $\gamma$ -SYN were not. Furthermore, the A30P and A53T mutations in  $\alpha$ SYN strongly augmented the formation of the SDS-stable oligomers in the hydrophilic fraction when compared to those observed in the wt- $\alpha$ SYN-exposed cells. The specificity and sensitivity of each synuclein Ab were verified by immunoblotting using the lysates of HEK293T cells overexpressing Myc-tagged  $\alpha$ -,  $\beta$ - and  $\gamma$ -SYN, respectively (left panel). Representative immunoblots from three independent experiments are shown.

### Abbreviations

$\alpha$ SYN:  $\alpha$ -synuclein;  $\beta$ SYN:  $\beta$ -synuclein;  $\gamma$ SYN:  $\gamma$ -synuclein; LB: Lewy body; PD: Parkinson's disease; GCI: Glial cytoplasmic inclusion; MSA: Multiple system atrophy; SDS-PAGE: Sodium dodecyl sulfate-polyacrylamide gel electrophoresis; IPTG: Isopropyl  $\beta$ -D-1-thiogalactopyranoside; *E. coli*: *Escherichia coli*; CBB: Coomassie brilliant blue; HMW: High molecular weight; ER: Endoplasmic reticulum; TPPP/p25 $\alpha$ : Tubulin polymerization promoting protein/p25 $\alpha$ ; WGA: Wheat germ agglutinin; PrP: Prion protein; VPS4: Vacuolar protein sorting 4; SSRI: Selective serotonin-reuptake inhibitor; EGFP: Enhanced Green Fluorescence Protein; wt: wild-type; DN: Dominant-negative; DMEM: Dulbecco's Modified Eagle's Medium; siRNA: Small interference RNA; RIPA: Radio-immunoprecipitation assay; PVDF: Polyvinylidene difluoride; TBST: Tris-buffered saline with 0.1% Tween 20; Ab: Antibody; DDC: Dopa decarboxylase.

### Competing interests

The authors declare that they have no competing interests.

### Authors' contributions

MK, TH, TB, and EM performed the experiments. NS, AK, and AT analyzed the data. FCF, TS, MA, and YI contributed reagents/materials. MK and TH designed the study and wrote the paper. AT is the principal investigator. All authors read and approved the final manuscript.

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# Hypometabolism in the supplementary and anterior cingulate cortices is related to dysphagia in Parkinson's disease: a cross-sectional and 3-year longitudinal cohort study

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## ABSTRACT

**Objective:** Dysphagia is one of the cardinal symptoms of Parkinson's disease (PD). It is closely related to the quality of life and longevity of PD patients. The aim of the study is to clarify the pathophysiological mechanisms responsible for dysphagia in PD.

**Design:** A cross-sectional and longitudinal comparative study.

**Setting:** Tohoku University Hospital.

**Participants:** Eight patients with dysphagia, 15 patients without dysphagia and 10 normal control subjects.

**Main outcome measures:** The time needed for swallowing initiation and changes in brain glucose metabolism at baseline and after a 3-year follow-up period.

**Results:** The time needed for swallowing initiation was significantly longer in the patients with dysphagia compared with the patients without dysphagia at baseline and after the 3-year follow-up period ( $p < 0.05$ ). The patients with dysphagia exhibited hypometabolism in the supplementary motor area (SMA) and the anterior cingulate cortex (ACC) compared with the 10 normal control subjects at baseline (uncorrected  $p < 0.001$ ). After the 3-year follow-up period, the number of brain areas showing hypometabolism increased, involving not only the SMA and the ACC but also the bilateral medial frontal lobes, middle cingulate cortex, thalamus and right superior, middle, inferior and orbital frontal gyri (uncorrected  $p < 0.001$ ). In contrast, the patients without dysphagia showed virtually no regional hypometabolism at baseline (uncorrected  $p < 0.001$ ) and only a small degree of hypometabolism in the SMA and ACC after the 3-year follow-up period (uncorrected  $p < 0.001$ ).

**Conclusions:** These results suggest that dysphagia in PD patients is mainly related to a difficulty in swallowing initiation that is based on a combination of poor movement planning due to SMA dysfunction and impaired cognitive processing due to ACC dysfunction.

## ARTICLE SUMMARY

### Article focus

- Cortical hypometabolism associated with dysphagia in Parkinson's disease (PD) was statistically examined at baseline and after a 3-year follow-up period.

### Key messages

- The multiple cortical impairments, mainly in the supplementary motor area (SMA) and anterior cingulate cortex (ACC), might be responsible for the dysphagia in PD.
- The time needed for swallowing initiation was significantly longer in patients with dysphagia.
- Dysphagia in PD patients is mainly related to a difficulty in swallowing initiation that is based on a combination of poor movement planning owing to SMA dysfunction and impaired cognitive processing due to ACC dysfunction.

### Strengths and limitations of this study

- The strength of this study is that it is the first to statistically examine the associations between cortical hypometabolism and dysphagia in PD patients as a cross-sectional and longitudinal comparative study.
- A limitation is that the findings may not be related to dysphagia alone because <sup>18</sup>F-fluorodeoxyglucose-positron emission tomography cannot be used for dynamic scanning during swallowing. Another weakness of this study is the absence of videofluoroscopy as a swallowing evaluation.

## INTRODUCTION

Parkinson's disease (PD) is primarily characterised by motor dysfunctions, some of which,