

**Table 2** Summary of the management of all patients (*n* = 15)

No.	Disease	Age	Cycles of prior CBDCA	Total CBDCA (mg)	CBDCA HSR grade	Interval to rechallenge (M)	Prior regimen	Regimen	Cycles of nedaplatin	Total nedaplatin (mg)	Premedication	Nedaplatin HSR	Response	Time from infusion start to HSR (min)
1	Ov	64	30	17 175	2	3.9	G	N	2	129	None	Grade 2 (rash)	NE	15
2	Ov	67	9	3 950	3	23.9	T	TN	2	140	D + H + R	Grade 3 (hypotension)	SD	20
3	Ov	47	8	4 455	2	1	TC	TN	4	370	D + H + R	Grade 2 (dyspnea)	NE	44
4	Ov	50	14	8 875	2	1	TC	TN	9	961	D + H + R	Grade 3 (edema)	PR	1
5	Ov	66	12	7 875	3	17.5	TG	DN	1	120	D	(-)	PD	
6	Ov	47	8	5 125	2	3.2	T	DN	4	470	D(×2) + H + R	(-)	NE	
7	Ov	58	11	6 900	3	1	DC	DN	5	600	D	(-)	PD	
8	Ov	62	8	3 300	2	3.1	TG	DN	6	780	D	(-)	PD	
9	Ov	60	24	12 520	3	9.1	CPT	N	8	1104	D(×2) + R	(-)	SD	
10	Ov	67	20	9 735	2	12.7	T	DN	9	1080	D	(-)	SD	
11	Ov	52	20	9 080	2	0.7	C	N	15	1800	Cort + R	(-)	PR	
12	Ov	57	8	3 750	3	1	DC	DN	29	3190	D + H	(-)	CR	
13	Tube	59	31	9 660	2	0.7	TC	N	10	1200	D' + R	(-)	NE	
14	Em	58	10	5 760	2	1.4	TC	DN	23	2845	D'	(-)	PR	
15	Cx	49	26	13 000	2	1.2	TC	TN	10	1100	D(×2) + H + R	(-)	SD	

C, carboplatin; Cort, hydrocortisone 100 mg; CPT, irinotecan; Cx, cervical cancer; D, dexamethasone 20 mg; D', dexamethasone 6.6 mg; DC, docetaxel/nedaplatin; DN, docetaxel/nedaplatin; Em, endometrial cancer; G, gemcitabine; H, diphenhydramine 50 mg; N, nedaplatin; NE, not evaluable; Ov, ovarian cancer; R, ramiitidine 50 mg; T, paclitaxel; TC, paclitaxel/carboplatin; TG, paclitaxel/gemcitabine; TN, paclitaxel/nedaplatin; Tube, fallopian tube cancer.

starting infusion of nedaplatin. The other three patients had HSRs on the second, second, and fourth cycle, respectively. In these patients, the reactions occurred more than 15 min after the infusion of nedaplatin had started. Three of the four (75%) patients who received paclitaxel and nedaplatin showed HSRs to nedaplatin, whereas one of the 11 (9%) without paclitaxel (*P* = 0.033 by Fisher's exact test) and none of the seven with docetaxel and nedaplatin (*P* = 0.024) showed HSRs to nedaplatin.

There were no treatment-related deaths. Cycles of nedaplatin, total amount of prior carboplatin, the grade of HSR to carboplatin, and platinum-free interval before the nedaplatin treatment were not significantly distinct between the HSR-positive and the HSR-negative group.

### Efficacy

Eleven patients (73%) had measurable disease. We observed one CR (9.1%) and three PRs (27%), for an overall response rate of 36% (95% CI: 11–69%). Stable disease was documented in four (36%) patients, and the disease control rate (CR + PR + SD) was 73% (95%CI: 39–94%). Median progression-free survival was 9.2 months (range: 0.4–42.0 months, 95%CI: 2.1–14.3 months).

In the patients with ovarian or fallopian tube cancer, nine patients had measurable disease. The overall response rate was 33% (one CR and two PRs, 95% CI: 7.5–70%), and the disease control rate was 67% (95% CI: 30–93%). Median progression-free survival was 8.2 months (range: 0.4–38.9 months, 95% CI: 2.1–11.6 months).

### Discussion

In this study, we evaluated the safety and efficacy of retreatment with nedaplatin in patients who had developed carboplatin-associated HSRs. Although all the 15 patients were safely treated at first cycle, four (27%) of them experienced HSRs to nedaplatin during their treatment. This incidence suggests that cross-reactions between nedaplatin and carboplatin might occur at substantial frequency, as observed between cisplatin and carboplatin.<sup>10</sup> Our experience supports the risk of delivering any platinum agent following the documentation prior platinum hypersensitivity. However, case 4, who developed HSRs to nedaplatin on the ninth cumulative cycles in the second-line setting, suggests that newly-obtained hypersensitivity to nedaplatin might occur in certain patients.

**Table 3** Reported cases of retreatment with carboplatin by desensitization protocol

Authors	Number	Success rate (%)
Gastaminza <i>et al.</i> <sup>16</sup>	4	75
Nishio <i>et al.</i> <sup>17</sup>	1	100
Gomez <i>et al.</i> <sup>18</sup>	7	71
Hesterberg <i>et al.</i> <sup>19</sup>	30	97
Confino-Cohen <i>et al.</i> <sup>20</sup>	20	95
Lee <i>et al.</i> <sup>21</sup>	31	100
Choi <i>et al.</i> <sup>22</sup>	8	100
McElroy <i>et al.</i> <sup>23</sup>	1	100
Rose <i>et al.</i> <sup>24</sup>	33	79
Robinson <i>et al.</i> <sup>25</sup>	8	100
Markman <i>et al.</i> <sup>26</sup>	3	33
Total	146	90

The mechanism of nedaplatin-associated HSRs remains unclear. Nedaplatin-associated HSRs are likely to be similar to carboplatin-associated HSRs, considering the development of allergic reactions with multiple courses of the therapy and the time from infusion start to the onset of HSRs ( $\geq 15$  min in three of four patients).<sup>4</sup> Although the exact etiology responsible for carboplatin-associated HSRs is not also clarified, it is believed that both immediate Type I hypersensitivity mediated by IgE and the direct action of platinum on mast cells are involved in the allergic process.

Our study suggests that nedaplatin-associated HSRs in patients with HSRs to carboplatin would be difficult to predict. First, there were no significant differences of the profile between the HSR-positive and the negative group. Second, three of four patients who developed nedaplatin-associated HSRs had premedicated with 20 mg of dexamethasone, 50 mg of diphenhydramine, and 50 mg of ranitidine similar to those of paclitaxel-containing chemotherapy. This indicates that pretreatment with combination of steroids and antihistamines would be insufficient to prevent nedaplatin-associated HSRs. The administration of desensitization protocol may help to reduce the risk of HSRs, as successful rechallenge with carboplatin or cisplatin following desensitization has been reported.<sup>14,15</sup> The result of desensitization to carboplatin is listed in Table 3.<sup>16-25</sup> However, two deaths due to anaphylaxis following retreatment with platinum agents have been reported in spite of using an extensive desensitization protocol.<sup>26</sup> Further study is necessary to evaluate the effect of desensitization to nedaplatin.

It has been reported that the incidence of HSRs depends on a combination drug with platinum.

CALYPSO trial showed that carboplatin-associated HSRs occurred significantly less frequently in treatment with pegylated liposomal doxorubicin and carboplatin than that with paclitaxel and carboplatin in patients with platinum-sensitive relapsed ovarian cancer.<sup>27</sup> The result of our study suggests that the combination of paclitaxel and nedaplatin might increase a risk of HSRs to nedaplatin. On the other hand, SCOTROC trial showed that HSRs were more frequent in combination of docetaxel and carboplatin in comparison with that of paclitaxel and carboplatin in first-line setting.<sup>28</sup>

Although the sample size is small, the overall response rate and the disease control rate (CR + PR + SD) in the patients with ovarian or fallopian tube cancer were achieved at 33% and 67%, respectively. A previous report showed that the response rate was 24% and the disease control rate was 59% by treatment with nedaplatin in patients with platinum-resistant ovarian, tubal, and peritoneal cancers.<sup>29</sup> The lower rate of patients with platinum-resistant disease in the present study might lead to slightly higher response rate and disease control rate. Nevertheless, other agents could be as effective as nedaplatin, considering that all the patients had platinum-sensitive disease at their primary treatment.

In conclusion, nedaplatin-associated HSRs are not rare in patients who have developed allergic reactions to carboplatin, indicating that non-platinum agents should be first considered to the patients with HSRs to carboplatin. The use of nedaplatin might be taken into consideration to patients that alternative drugs are not available, as these patients might be still sensitive to platinum. Appropriate informed consent regarding the potential risk and prompt treatment to the HSRs are indispensable for the rechallenge with nedaplatin. And also, the desensitization study of nedaplatin should be needed.

## Disclosure

We declare that there are no conflicts of interest.

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# Low-grade endometrial stromal sarcoma developing in a postmenopausal woman under toremifene treatment for breast cancer

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## Abstract

Low-grade endometrial stromal sarcoma (ESS) is a rare neoplasm that is generally estrogen-receptor- and progesterone-receptor-positive and develops in premenopausal women. Although tamoxifen treatment is associated with an increased risk of ESS, the effect of other selective estrogen receptor modulators, including toremifene, on the risk of ESS is not clear. A 61-year-old postmenopausal woman was treated with toremifene as an adjuvant therapy for breast cancer. A cystic mass developed during the treatment, with gradual growth in the uterine myometrium. The patient was treated with hysterectomy and bilateral salpingo-oophorectomy, and the tumor was diagnosed as low-grade ESS (stage IA) with estrogen-receptor and progesterone-receptor. The patient discontinued toremifene and has been progression-free for 21 months. Our data suggest that toremifene might be associated with the development of ESS in certain patients through its estrogen-like effects in the uterus.

**Key words:** estrogen-like effect, low-grade endometrial stromal sarcoma, selective estrogen receptor modulator, toremifene, uterine corpus.

## Introduction

Selective estrogen receptor modulators (SERM), especially tamoxifen, have been broadly administered as an endocrine treatment for breast cancer.<sup>1</sup> Despite its good reputation, tamoxifen has been associated with a 2–7-fold increased risk of endometrial cancer.<sup>2</sup> In addition, tamoxifen treatment has been associated with elevated risk of uterine sarcomas, including endometrial stromal sarcoma (ESS).<sup>3</sup> Toremifene is another type of SERM commonly used for the treatment of breast cancer.<sup>4,5</sup> Although toremifene might produce comparable estrogenic effects with tamoxifen in the uterus, the risk assessment of toremifene for endometrial cancer and

uterine sarcomas is still inconclusive,<sup>4,6</sup> and toremifene-associated ESS has not been reported to date.

ESS is a rare gynecological malignancy accounting for 10% of uterine sarcomas.<sup>7</sup> ESS is classified into two histological subtypes: low-grade ESS (LG-ESS) and undifferentiated uterine sarcoma, depending on the morphology, number of mitoses, cellularity, and necrosis. LG-ESS tends to occur before menopause (mean, 39 years).<sup>8</sup> Estrogen acts as a growth stimulus in LG-ESS, which generally expresses estrogen receptors (ER) and progesterone receptors (PgR). Thus, LG-ESS is thought to be estrogen-dependent.

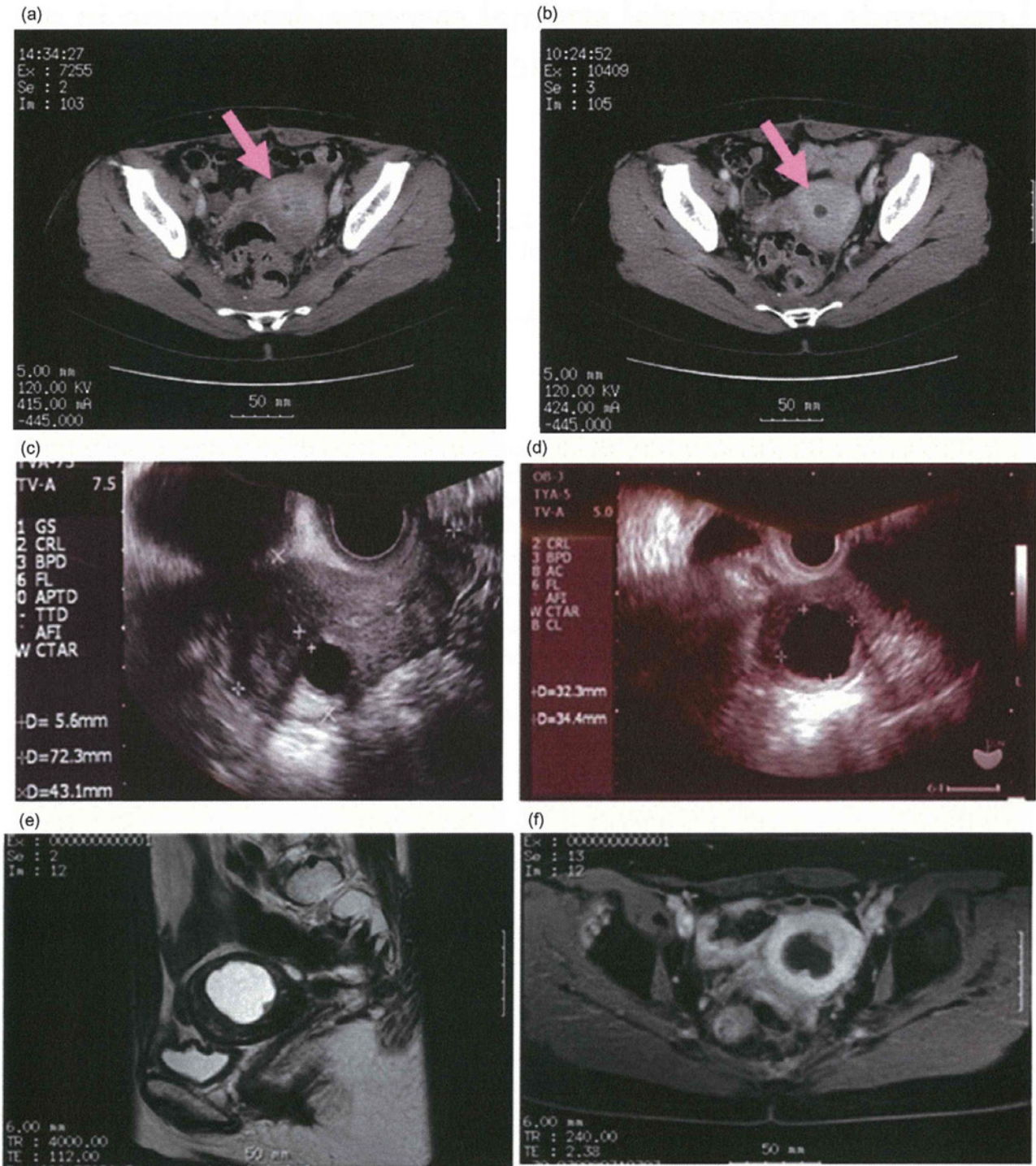
We report a case of LG-ESS development during treatment with toremifene for breast cancer.

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**Figure 1** Images of the tumor by (a,b) computed tomography scan, (c,d) transvaginal ultrasonography, and (e,f) magnetic resonance imaging. (a) A cystic mass was first observed in the uterine myometrium 4 months after toremifene treatment in November 2007. (b) The cystic mass was more clearly detected in May 2008. (c) An intramyometrial cystic mass (14 × 16 mm) observed at the patient's first visit. (d) The cystic mass measured 32 × 34 mm 13 months after the first visit. (e) Sagittal T2-weighted image of a cystic tumor 38 mm in diameter located in the posterior myometrium. (f) Gadolinium-enhanced axial T1-weighted image of a homogeneous enhancement of the solid part of the tumor similar to normal myometrium.



## Case Report

A 61-year-old woman (gravida 3, para 1) presented to our hospital with brownish discharge in June 2008. She had undergone surgeries for colorectal cancer in March 2007 and for breast cancer in June 2007, and had been treated for breast cancer with toremifene at a daily dose of 120 mg since July 2007. Magnetic resonance imaging (MRI) performed before the start of toremifene treatment in 2007 had revealed no abnormal mass in the uterine myometrium (Fig. S1). A low-density lesion (<1 cm in diameter) was observed in a computed tomography (CT) scan performed in November 2007 and in May 2008 while under treatment with toremifene (Fig. 1a,b). Transvaginal ultrasonography performed in June 2008 revealed a 14 × 12-mm hypoechoic lesion in the posterior myometrium (Fig. 1c). Endometrial cytology and biopsy of the endometrium were negative for malignant cells. The patient was followed up every 3 months, and a gradual enlargement of the hypoechoic lesion in the posterior myometrium was observed. In July 2009, the hypoechoic mass reached a size of 32 × 34 mm (Fig. 1d). In September 2009, MRI revealed a 38-mm tumor, which was presumed to be LG-ESS (Fig. 1e,f). No tumor markers were significantly elevated, including carbohydrate antigen (CA) 125 (11 U/mL), CA19-9 (10 U/mL), carcinoembryonic antigen (CEA) (2.3 ng/mL), neuron-specific enolase (NSE) (13 ng/mL) and lactate dehydrogenase (LDH) (193 U/L), and the biopsy of the endometrium was still negative. The patient discontinued toremifene and underwent total abdominal hysterectomy and right salpingo-oophorectomy in November 2009 (Fig. 2a) (she had received left salpingo-oophorectomy for an ectopic pregnancy when she was 33 years old). She was diagnosed with LG-ESS, International Federation of Gynecology and Obstetrics (FIGO) stage IA (Fig. 2b). The tumor demonstrated strong staining for CD10, ER, and PgR (Fig. 2c–e). The patient has received no postoperative treatment and has been recurrence-free for all of her malignant diseases.

## Discussion

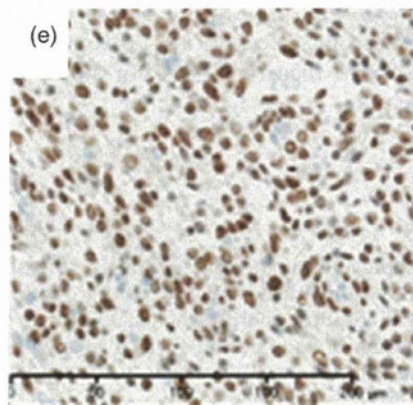
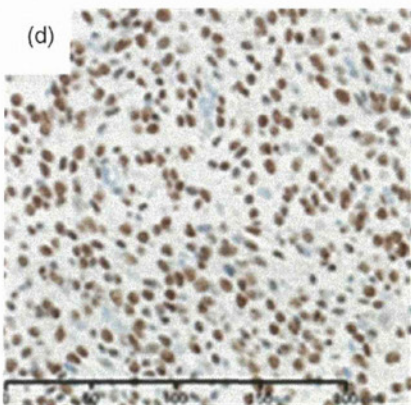
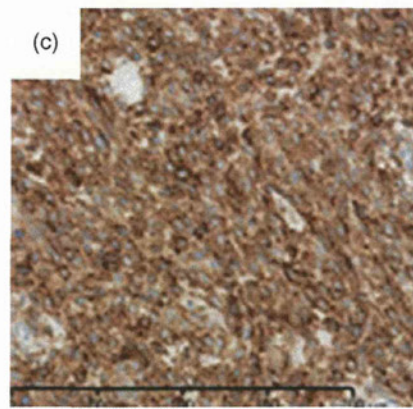
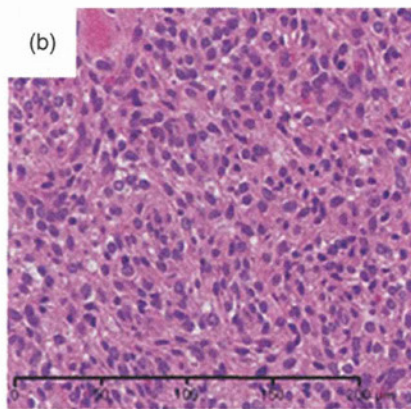
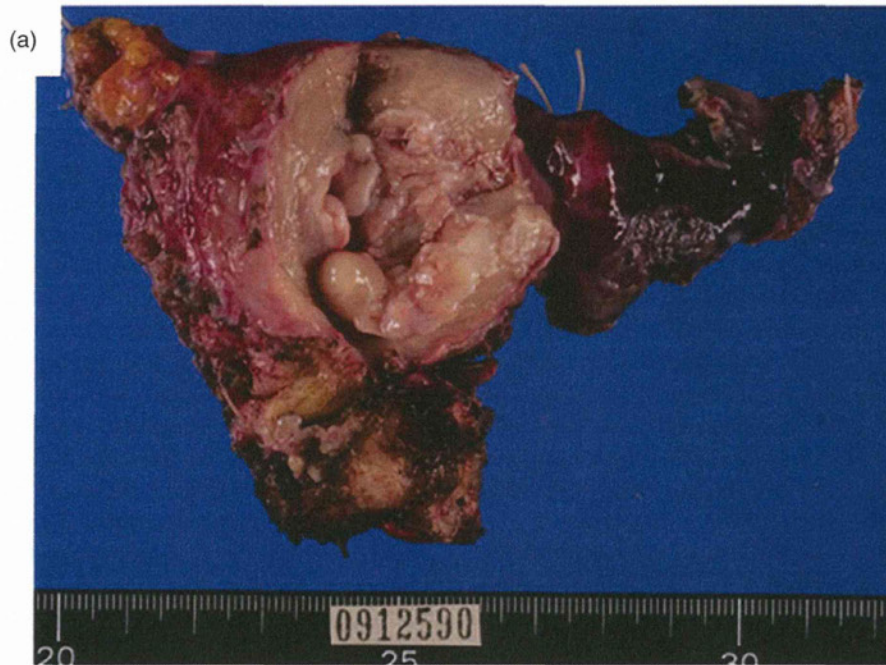
LG-ESS develops commonly in premenopausal women (range, 19–58 years).<sup>9,10</sup> In total, nine LG-ESS cases have been diagnosed in our hospital, including the present case (Table 1). The median age of the other eight LG-ESS patients in our hospital was 40 years (range, 28–57 years), and all of them were pre- or perimenopausal. However, in the present case, LG-ESS was diagnosed at the age of 61 (11 years after her menopause). The tumor in the uterine myometrium, which was first detected in a CT scan performed after 4 months of toremifene treatment, showed a gradual enlargement during the treatment period, suggesting that toremifene might be associated with the development of ESS. The reported association between tamoxifen and increased risk of uterine sarcoma and endometrial cancer<sup>2,11,12</sup> implies that other types of SERM, including toremifene, might also be involved in the development of uterine sarcoma through their estrogen-like effects. Whether the estrogenic effect of toremifene can be induced in postmenopausal women remains to be elucidated.

Among the nine LG-ESS cases treated in our hospital, six patients received bilateral salpingo-oophorectomy (BSO) at our hospital as a primary treatment and remain free of recurrence, whereas three patients did not receive BSO and were transferred to our hospital after the diagnosis of recurrence (Table 1). Preservation of the ovaries was associated with recurrence in these nine cases ( $P = 0.022$  by log-rank test). Although primary treatment in other hospitals might cause bias in the recurrence rate, these data suggest that continuous exposure to estrogen might increase the risk of LG-ESS development. However, objective responses have been obtained by hormonal therapy with progesterone derivatives or aromatase inhibitors in LG-ESS.<sup>13</sup> As the impact of ovarian preservation and hormonal treatment, including SERM, on the prognosis of LG-ESS is still controversial,<sup>14</sup> further study is necessary to evaluate the potential risks for LG-ESS development.

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**Figure 2** Macroscopic and microscopic findings of the tumor. (a) Photograph of the cut surface of the excised uterus. The 4 × 4-cm tumor was a relatively soft, polypoid growth in the uterine posterior wall. The cut surface was yellowish-white. (b–e) Histological and immunohistochemical findings of the tumor (high power). (b) Tumor cells in the uterus, demonstrating proliferation of endometrial stromal cells without significant atypia or pleomorphism, diagnosed as low-grade endometrial stromal sarcoma. Tumor cells were strongly positive for (c) CD10, (d) estrogen receptor, and (e) progesterone receptor by immunohistochemistry.





**Table 1** Clinical characteristics of nine low-grade endometrial stromal sarcoma cases in our hospital

Case	Age	Gravidity	Parity	Primary treatment	Preservation of ovaries and uterus	Adjuvant therapy	Stage	Recurrence-free survival (months)	Outcome	Remarks
1	38	2	2	ARH + RSO	Left ovary	Chemotherapy	I	26	Recurred	†Primarily treated outside
2	35	4	2	TAH	Bilateral ovaries	(-)	I	72	Recurred	†Primarily treated outside
3	29	1	0	LAM	Uterus, bilateral ovaries	(-)	I	13	Recurred	†Primarily treated outside
4	40	1	1	TAH + BSO + PLA	(-)	(-)	I	78	NED	
5	45	2	2	LAVH → BSO + pOM + PLN Biopsy	(-)	(-)	I	65	NED	
6	34	1	1	Tumorectomy → MRH + BSO + PLA	(-)	(-)	I	45	NED	
7	57	4	2	TAH + BSO	(-)	(-)	I	38	NED	
8	43	2	0	TAH + LSO → RSO + pOM	(-)	(-)	IVb	28	NED	
9	61	3	1	TAH + RSO (post-LSO)	(-)	(-)	I	16	NED	Toremifene per os

†Primarily treated outside; transferred to our hospital after the recurrence was diagnosed. ARH, abdominal radical hysterectomy; BSO, bilateral salpingo-oophorectomy; LAM, laparoscopically-assisted myomectomy; LAVH, laparoscopically-assisted vaginal hysterectomy; LSO, left salpingo-oophorectomy; MRH, modified radical hysterectomy; NED, no evidence of disease; PLA, pelvic lymphadenectomy; PLN Biopsy, pelvic lymph node biopsy; pOM, partial omentectomy; RSO, right salpingo-oophorectomy; TAH, total abdominal hysterectomy; TCR, transcervical resection.

This is the first report of LG-ESS development during treatment with toremifene. The LG-ESS in the present patient may have been caused by the stimulation of the endometrial epithelium and/or stromal cells by toremifene.

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## Disclosure

The authors declare that there are no conflicts of interest.

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## Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Figure S1** Magnetic resonance imaging before the start of toremifene treatment in July 2007. No abnormal mass, except for a uterine fibroid, was observed in the uterine myometrium.

# Genotype-Dependent Efficacy of a Dual PI3K/mTOR Inhibitor, NVP-BEZ235, and an mTOR Inhibitor, RAD001, in Endometrial Carcinomas

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## Abstract

The PI3K (phosphatidylinositol-3-kinase)/mTOR (mammalian target of rapamycin) pathway is frequently activated in endometrial cancer through various PI3K/AKT-activating genetic alterations. We examined the antitumor effect of NVP-BEZ235—a dual PI3K/mTOR inhibitor—and RAD001—an mTOR inhibitor—in 13 endometrial cancer cell lines, all of which possess one or more alterations in *PTEN*, *PIK3CA*, and *K-Ras*. We also combined these compounds with a MAPK pathway inhibitor (PD98059 or UO126) in cell lines with *K-Ras* alterations (mutations or amplification). *PTEN* mutant cell lines without *K-Ras* alterations ( $n = 9$ ) were more sensitive to both RAD001 and NVP-BEZ235 than were cell lines with *K-Ras* alterations ( $n = 4$ ). Dose-dependent growth suppression was more drastically induced by NVP-BEZ235 than by RAD001 in the sensitive cell lines. G1 arrest was induced by NVP-BEZ235 in a dose-dependent manner. We observed in vivo antitumor activity of both RAD001 and NVP-BEZ235 in nude mice. The presence of a MEK inhibitor, PD98059 or UO126, sensitized the *K-Ras* mutant cells to NVP-BEZ235. Robust growth suppression by NVP-BEZ235 suggests that a dual PI3K/mTOR inhibitor is a promising therapeutic for endometrial carcinomas. Our data suggest that mutational statuses of *PTEN* and *K-Ras* might be useful predictors of sensitivity to NVP-BEZ235 in certain endometrial carcinomas.

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## Introduction

Constitutive activation of the PI3K (phosphatidylinositol 3-kinase) pathway results from various types of alterations, including changes to RTKs (receptor tyrosine kinases), *Ras*, *PIK3CA* (the p110 $\alpha$  catalytic subunit of PI3K), and *PTEN* [1]. Endometrial cancer is the fourth most frequent cancer in women [2]. There are two pathogenetic types of endometrial carcinomas: estrogen-dependent type I (endometrioid adenocarcinomas) and estrogen-independent type II (high-grade carcinomas). Approximately 80% of endometrial carcinomas are classified as type I [3,4]. Mutations of *K-Ras* (10–20%), *PTEN* (34–56%), and *PIK3CA* (25–36%) are frequently observed in endometrial cancer [5–8]. In addition, we previously revealed that chromosomal imbalances in the Ras-PI3K pathway genes (*NF1*, *PTEN*, *K-Ras*, and *PIK3CA*) are also common in endometrial cancer [9], indicating that the Ras-PI3K pathway is activated in the majority of endometrial cancers.

Novel therapeutics targeting the PI3K/mTOR (mTORC1/2) pathway are being intensively developed. The first clinically

approved inhibitors are rapamycin analogs (rapalogs), such as everolimus (RAD001) and temsirolimus, targeting the mTORC1 complex for use with advanced renal cell carcinomas [10–13]. However, clinical trials with single-agent rapalog therapies have shown limited response rates in other cancer types [14]. Several potent and selective PI3K inhibitors have recently entered early-phase clinical trials for treatment of various malignant tumors [15]. The limitation of the rapalogs might be explained by the activity of the mTORC1-independent substrates of Akt, including GSK3 $\beta$  and FOXO1/3a. Rapalogs do not prevent mTORC2-dependent phosphorylation of Akt on Ser-473 or PDK1-dependent phosphorylation of Akt on Thr-308 [16,17]. In addition, rapalogs may cause feedback activation of the PI3K-Akt pathway mediated by insulin-like growth factor-1 receptor (IGF-1R) signaling [18]. Therefore, a dual PI3K/mTOR inhibition might be a more rational therapeutic option than mTOR inhibition alone in tumors with PI3K-activating mutations.

Developing predictive biomarkers of the PI3K/mTOR inhibitors is important; however, the existence of alterations in the PI3K



pathway (or elevated AKT phosphorylation) alone is not necessarily a good biomarker for these compounds. Indeed, tumors with alterations in Ras and RTK do not respond sufficiently to simple PI3K pathway inhibition [19–22]. Moreover, multiple genetic alterations in the RTK-Ras-PI3K pathway are reported in many cancers [1]. It remains to be determined which types of alterations are useful as predictive biomarkers.

In this study, we firstly evaluated the antitumor effect of a dual PI3K/mTOR inhibitor, NVP-BEZ235, and an mTOR inhibitor, RAD001 (everolimus), in a panel of endometrial cancer cell lines. Second, we analyzed the antitumor effect of NVP-BEZ235 and RAD001 in vivo. Third, we focused on the predictive biomarkers to the PI3K/mTOR inhibitors, using the mutational status of *K-Ras*, *PTEN*, and *PIK3CA*. Finally, we addressed the antitumor effect of the combined inhibition of the PI3K/mTOR and MAPK pathways in cells with *K-Ras* alterations.

## Materials and Methods

### Cell lines and reagents

Culture conditions of 13 endometrial cancer cell lines (endometrioid adenocarcinomas) were described previously [8]. NVP-BEZ235 and RAD001 (everolimus) were kindly provided by Novartis Pharma AG (Basel, Switzerland). MAPK pathway (MEK) inhibitors PD98059 and UO126 were purchased from Cell Signaling Technology (Beverly, MA).

### PCR and sequencing

The mutational status of 13 cell lines was analyzed by PCR and direct sequencing. The PCR conditions and primers for *PTEN* (exons 1–9), *K-Ras* (exon 1 and 2), and *AKT1* (exon 4) were described previously [8,23,24]. The mutational status of *PIK3CA* was analyzed by RT-PCR with LA-Taq according to the manufacturer's protocol (Takara BIO, Madison, WI) to cover entire coding region. The PCR primers were the following: forward, 5'-CCCAGCGTTTCTGCTTTGGGACAACC-3'; reverse, 5'-AGCGTTTCTGCTTTGGGACAACCATACATC-3'.

### Immunoblotting

Cells were treated with each drug for the indicated time and concentrations and then lysed as described previously [7]. Antibodies to total Akt, phospho-Akt (Ser473), phospho-Akt (Thr308), phospho-GSK3beta (Ser9), total S6, phospho-S6 (Ser235/236, Ser 240/244), p-4EBP1 (Thr37/46), total FoxO1, phospho-FoxO1 (Thr24), phospho-FoxO3a (Thr32), phospho-ERK (ERK1/2-Thr202/Tyr204), total ERK (Cell Signaling Technology, Beverly, MA), and beta-actin (Sigma-Aldrich, St. Louis, MO) were used for immunoblotting, as recommended by the manufacturer, and were detected by an ECL western blot detection kit (Amersham Biosciences, Piscataway, NJ) or Immobilon western detection reagents (Millipore Biosciences, Temecula, CA).

### Proliferation assays

Cell viability assays were performed with the Cell Counting Kit-8 (cell viability colorimetric assay), using the tetrazolium salt WST-8 (2-(2-methoxy-4-nitrophenyl)-3-(4-nitrophenyl)-5-(2,4-disulphophenyl)-2H-tetrazolium, monosodium salt) (Dojindo, Tokyo, Japan), as an MTT (Methyl thiazolyl tetrazolium) assay. In 96-well plates,  $1 \times 10^5$  cells were seeded with 10% fetal bovine serum (FBS) and treated with increasing doses of NVP-BEZ235 or RAD001 for 72 h, starting 24 h after seeding. The WST-8 colorimetric assay was quantified at 415 nm and normalized to the

value of cells treated with DMSO alone. All experiments were repeated twice.

### Cell cycle analysis

Cells ( $5 \times 10^5$ ) were seeded in 60-mm dishes (with 10% FBS) and treated with reagents (such as NVP-BEZ235, RAD001, PD98059, or UO126) for 48 h. Floating and adherent cells were collected by trypsinization and washed twice with PBS. Cells were resuspended in buffer containing ethanol and PBS at a ratio of 7:3 at  $-20^\circ\text{C}$  overnight. After being washed twice with PBS, cells were incubated in ribonuclease solution (0.25 mg/mL) (Sigma) for 30 min at  $37^\circ\text{C}$ , followed by staining with propidium iodide (50  $\mu\text{g}/\text{mL}$ ) (Dojindo, Japan) on ice for 30 min in the dark. Cells were then incubated in 70% ethanol at  $-20^\circ\text{C}$  overnight, treated with 20  $\mu\text{g}/\text{mL}$  RNase A, stained with 0.5  $\mu\text{g}/\text{mL}$  propidium iodide, and evaluated by flow cytometry (BD FACS Calibur HG, Franklin Lakes, NJ). Cell cycle distribution was analyzed with CELL Quest pro ver. 3.1. (Beckman Coulter Epics XL, Brea, CA). The experiments were repeated 3 times.

### Ethics statement for animal experiments and clinical data

Ethics statement for animal experiments: This study was approved by Animal Care and Use Committee, The University of Tokyo. The approval number is Med-P09-051. Athymic BALB/c mice (CLEA JAPAN, Tokyo, Japan) were maintained in an SPF (Specific Pathogen Free) facility according to our institutional guidelines, and experiments were conducted under an approved animal protocol.

This manuscript includes clinical data, which were previously published elsewhere [7–9,25]. The authors declare that all these participants provided written informed consent, and the study design was approved by the Institutional Review Board of the University of Tokyo Hospital. The approval number is 683.

### Tumor xenografts in nude mice

Subcutaneous xenograft tumors in BALB/c mice were established by the injection of a 500- $\mu\text{L}$  cell suspension of  $10 \times 10^6$  AN3CA and HEC-59 endometrial carcinoma cells in PBS. Tumors were removed after exponential growth, cut into 3-mm pieces, and transplanted subcutaneously into other mice. One week after tumor transplantation, mice were assigned randomly to one of three treatment regimens: (1) vehicle (control), (2) NVP-BEZ235, and (3) RAD001. Each treatment group consisted of 6 mice. NVP-BEZ235 and RAD001 were injected orally (p.o.) at daily doses of 40 mg/kg and 2.5 mg/kg, respectively. Tumor volumes (in  $\text{mm}^3$ ) were calculated by the formula:  $([\text{major axis}] \times [\text{minor axis}]^2) / 2$ . After the treatment, the tumors were removed and analyzed by Western blot analysis. Tumor weight (wet weight) was measured, and the average weight was calculated for each group.

### Single nucleotide polymorphism typing array and array comparative genomic hybridization

A single nucleotide polymorphism (SNP) array was performed in the HEC-6, 50B, 59, 88, 108, 116, 151, and HHUA cell lines. Experimental procedures for GeneChip were performed according to the GeneChip Expression Analysis Technical Manual (Affymetrix, Santa Clara, CA, USA) with the use of a human mapping 250K Nsp array [9]. Array comparative genomic hybridization (CGH) was performed using arrays of 2464 BAC clones (HumArray2.0) in the remaining 5 cell lines (AN3CA, HEC-1B, Ishikawa, KLE, and RL95-2) as described previously [7].



## Statistical analysis

Statistical comparisons of mean final tumor volumes in the xenograft studies were made using a one-way analysis of variance (ANOVA).  $P < 0.05$  was considered statistically significant.

## Results

### Classification of endometrial cancer cells according to the mutational status of *PIK3CA*, *PTEN*, and *K-Ras*

We previously reported copy number losses for *PTEN* (26%) and gains for *PIK3CA* (19%) and *K-Ras* (13%) in our 31 clinical samples, in addition to mutations of *K-Ras*, *PTEN*, *PIK3CA*, and *AKT1* [8,9,25]. We confirmed that all 13 endometrial cancer cell lines possess one or more alterations (mutations and/or copy number alterations) in the *PIK3CA*, *PTEN*, and *K-Ras* genes (Table 1, Figure 1A and 1B). *AKT1* mutations were not detected in these 13 cell lines. We classified 13 endometrial cancer cell lines into 4 groups according to the mutational status of *PIK3CA*, *PTEN*, and *K-Ras* (Table 1): group A ( $n = 4$ ), with coexistent mutations of *PIK3CA* and *PTEN*; group B ( $n = 5$ ), with *PTEN* mutation alone; group C ( $n = 2$ ), with coexistent mutations of *K-Ras* and *PIK3CA*; and group D ( $n = 2$ ), with copy number gain of *K-Ras* (without any mutations in these 3 genes). We previously reported that *PTEN* expression was not detected in *PTEN* mutant endometrial cancer cell lines [8]. We have found no endometrial cell lines without any alterations in the Ras-PI3K pathway, suggesting that this pathway is essentially activated in the majority of endometrial cancer cell lines.

### Mutations in *PIK3CA* and/or *PTEN*, in the absence of mutations in *K-Ras*, define the antiproliferative response to NVP-BEZ235 and RAD001 in endometrial cancer cell lines

We performed MTT (Methyl thiazolyl tetrazolium) assay by NVP-BEZ235 and RAD001 in the 13 endometrial cell lines. RAD001 (100 nM) showed a growth inhibitory effect against 10 of the 13 cell lines (including all 9 group A and B cell lines), with a 30–70% reduction in cells (Figure 2A–2D). NVP-BEZ235

(100 nM) inhibited cell growth, with a 30–90% reduction in all 13 cell lines. The  $IC_{50}$  values for cell proliferation by RAD001 were greater than 100 nM (non-sensitive) in 6 out of the 9 cell lines in groups A and B, whereas the  $IC_{50}$  values for NVP-BEZ235 were less than 100 nM (sensitive) in all 9 cell lines in groups A and B (Table 1). Dose-dependent growth suppression was more clearly induced by NVP-BEZ235 than by RAD001 in 8 of the 9 cell lines in groups A and B (Figure 2A–2D). The  $IC_{50}$  values for all 4 cell lines in groups C and D were greater than 100 nM for NVP-BEZ235 (Table 1). Taken together, these data show that the existence of *PTEN* and/or *PIK3CA* mutations without *K-Ras* mutations is associated with sensitivity to NVP-BEZ235. In addition, high-dose NVP-BEZ235 might be more broadly effective than RAD001 for treatment of endometrial carcinomas. Growth curves of all cell lines in 1 graph were available for both NVP-BEZ235 and RAD001, respectively (Figures S1 and S2).

### NVP-BEZ235 suppresses phosphorylation of Akt, GSK3beta, S6, and 4EBP1, whereas RAD001 suppresses phosphorylation of S6 and 4EBP1

We performed immunoblotting with lysates prepared from cells treated with NVP-BEZ235 or RAD001. The phosphorylation (p-) levels of 4E-BP1 and S6 were clearly suppressed by both inhibitors at low concentrations (0.625–2.5 nM). NVP-BEZ235 also suppressed the level of p-Akt (Ser473 and Thr308) (50–1000 nM) in these cells (Figure 3A and 3B). RAD001 did not suppress the phosphorylation level of Akt at any dose (Figure 3A and 3B). The dose dependency of the phosphorylation levels of mTORC1-dependent proteins (4E-BP1 and S6) and Akt suggests that NVP-BEZ mainly works as an mTOR (mTORC1) inhibitor at lower concentrations and functions as a dual PI3K/mTOR inhibitor at higher concentrations.

Next, we performed time-course experiments with NVP-BEZ235 and RAD001. Long-term exposure to NVP-BEZ235 (250 nM) resulted in sustained inhibition of p-S6 and p-4E-BP1. However, the phosphorylation levels of Akt and GSK3beta (an mTORC1-independent protein) recovered nearly to the baseline levels within 24 h (Figure 3C). Exposure to RAD001 resulted in a drastic reduction in the level of p-4EBP1 in 15 min, but the level

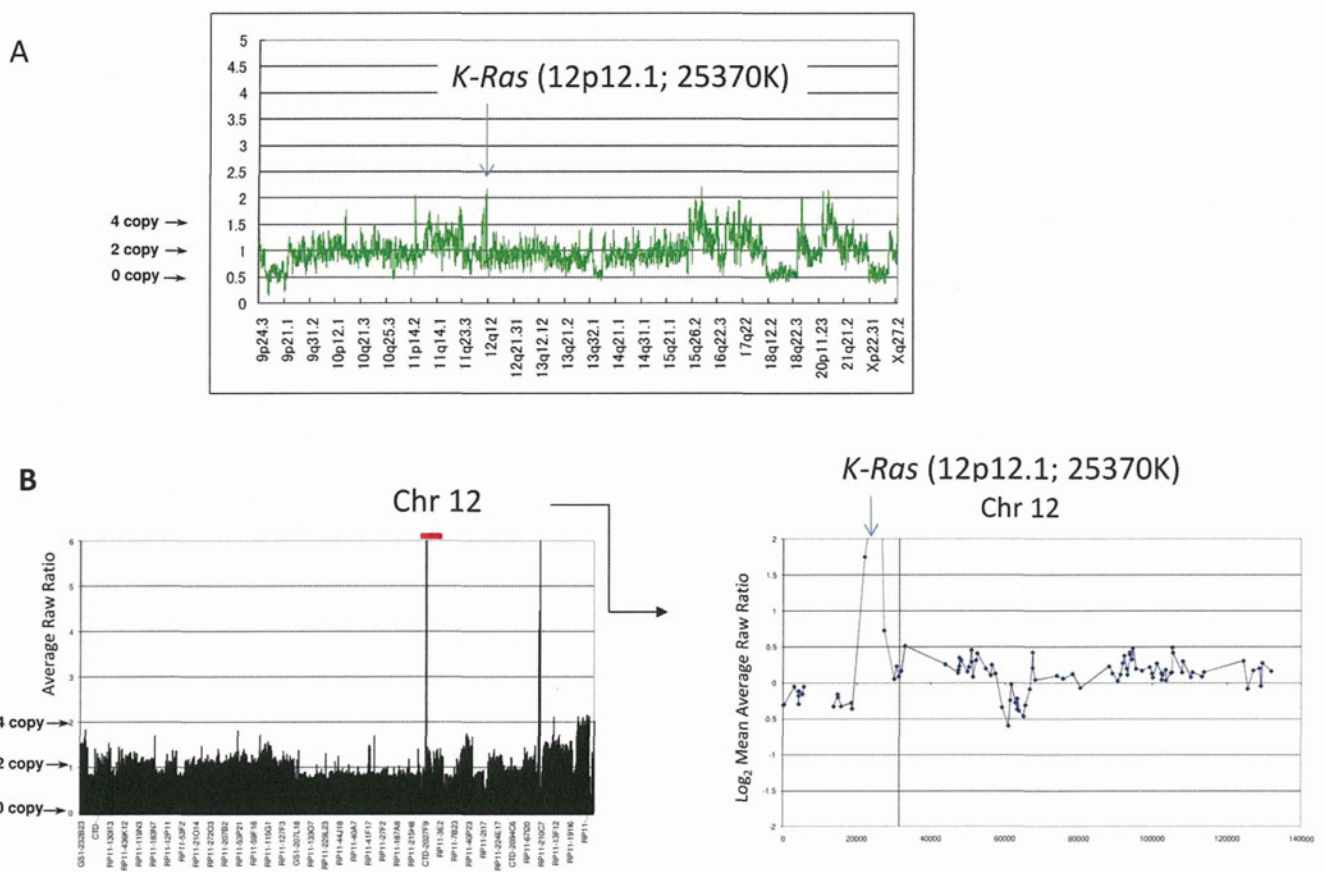
**Table 1.** Classification of endometrial cancer cell lines by mutational status and  $IC_{50}$  values to NVP-BEZ235 and RAD001.

Group	Cell line	Mutational status			Copy number alterations			$IC_{50}$ (nM)	
		<i>PIK3CA</i>	<i>PTEN</i> (mutated exons)	<i>K-Ras</i>	<i>PIK3CA</i>	<i>PTEN</i>	<i>K-Ras</i>	BEZ235	RAD001
A	HEC-116	Mut (R88Q)	Mut 6(M), 7(N)	wild type	nl	nl	nl	19	6
	HEC-6	Mut (R108H)	Mut 4(F), 8(F)	wild type	nl	nl	nl	19	400
	HEC-59	Mut (R38C)	Mut 2(M), 7(N), 7(M), 7(F)	wild type	nl	nl	nl	24	220
	HEC-88	Mut (E365K)	Mut 5(M), 6(M), 8(M), 8(M)	wild type	nl	nl	nl	44	440
B	AN3CA	wild type	Mut 5(N)	wild type	nl	nl	nl	20	14
	Ishikawa	wild type	Mut 8(F), 8(F)	wild type	Gain	nl	nl	30	50
	HEC-151	wild type	Mut 2(M), 4(F)	wild type	nl	nl	nl	51	130
	HEC-108	wild type	Mut 1(F), 8(F)	wild type	nl	nl	nl	55	730
	RL95	wild type	Mut 8(F), 8(F)	wild type	nl	nl	nl	90	>1000
C	HEC-1B	Mut (G1049R)	wild type	Mut (G12D)	Gain	nl	nl	220	200
	HHUA	Mut (R88Q)	Mut 5(F), 8(F)	Mut (G12V)	nl	nl	nl	250	>1000
D	KLE	wild type	wild type	wild type	nl	nl	Gain	110	>1000
	HEC-50B	wild type	wild type	wild type	Gain	nl	Gain	100	>1000

(M) Missense mutation, (N) Non-sense mutation, (F) Frameshift mutation.

doi:10.1371/journal.pone.0037431.t001





**Figure 1. Copy number gain at the locus of *K-Ras* (12p12.1) in the two group D cell lines.** (A) SNP array 'karyograms' (250K) of HEC-50B cells. The graph shows the total copy number through chromosome 9p-X. The locus of *K-Ras* is amplified as indicated. (B) Array CGH of KLE cells. The graphs show total copy number throughout the entire genome (Left) and chromosome 12 (Right). The locus of *K-Ras* is amplified as indicated. doi:10.1371/journal.pone.0037431.g001

was recovered within 6 hours; the level of p-S6 was continuously suppressed over the time course (Figure 3C). We confirmed that the phosphorylation level of ERK was not affected by both RAD001 and NVP-BE2235 (Figure 3A–3C).

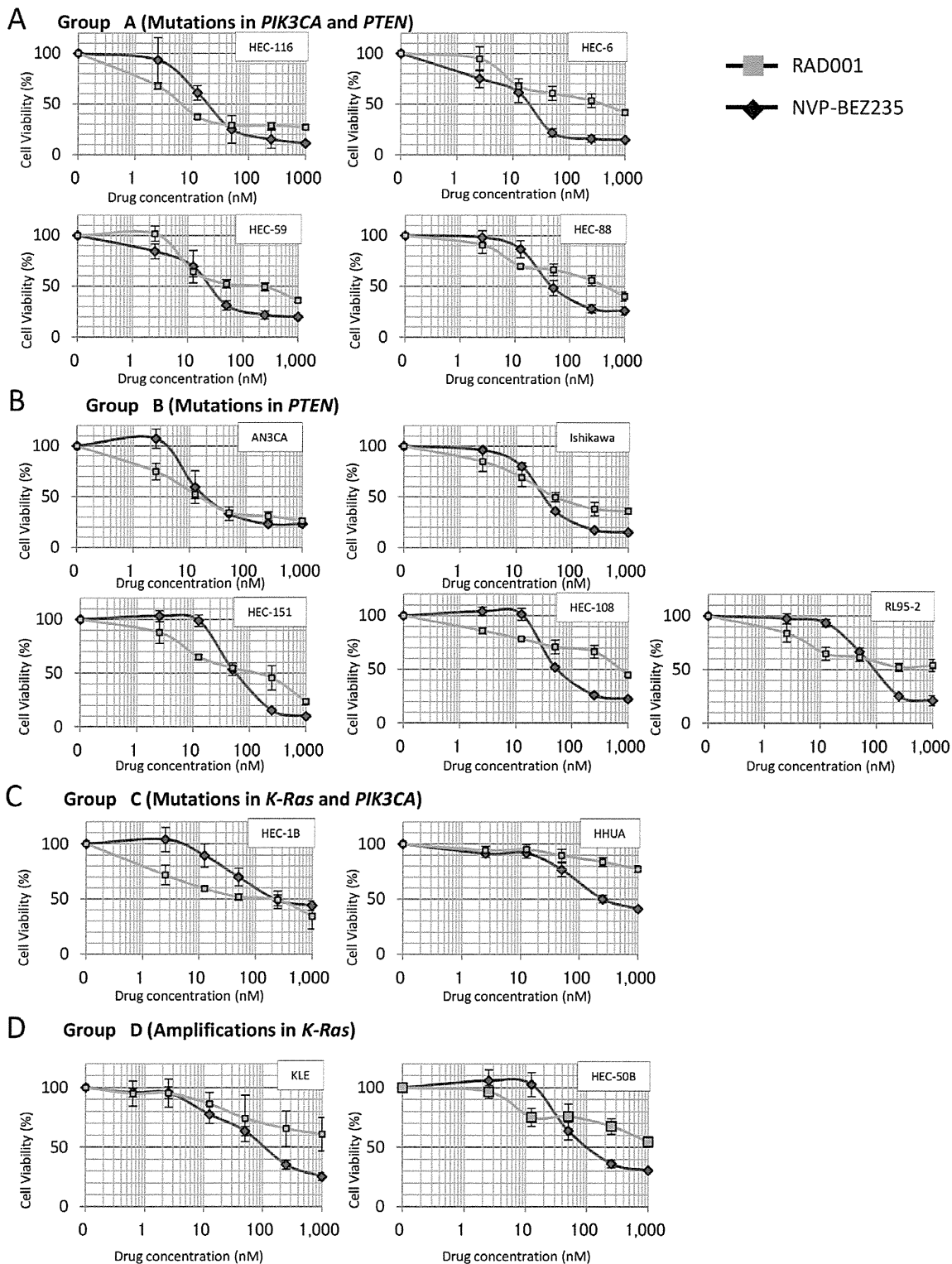
#### NVP-BE2235 robustly induces dose-dependent G1 arrest in "sensitive" cells

We conducted fluorescence-activated cell sorting (FACS)-based cell cycle analyses before and after NVP-BE2235 or RAD001 treatment in a subset of the cell lines. At a low concentration (10 nM), G1 arrest was slightly induced by both RAD001 and NVP-BE2235 (<15%) in group A and group B cell lines (Figure 4A–4D). At a higher concentration (100 nM), G1 arrest was much more effectively induced by NVP-BE2235 than by RAD001 in three of the four cell lines (Figure 4A–4D). Dose-dependent G1 arrest by NVP-BE2235 was confirmed in all the other group A and B cell lines (data not shown). G1 arrest was also observed to be induced by either RAD001 or NVP-BE2235 in the 4 cell lines of groups C and D; however, the dose-dependent effect of NVP-BE2235 was not significant, except for HEC-1B cells (Figure S3A–S3D). The sub-G1 population was not significantly induced by either inhibitor in all cell lines examined, suggesting that the antitumor effect of these inhibitors is predominantly cytostatic, not cytotoxic.

#### In vivo antitumor effect of NVP-BE2235 and RAD001 in a mouse xenograft model

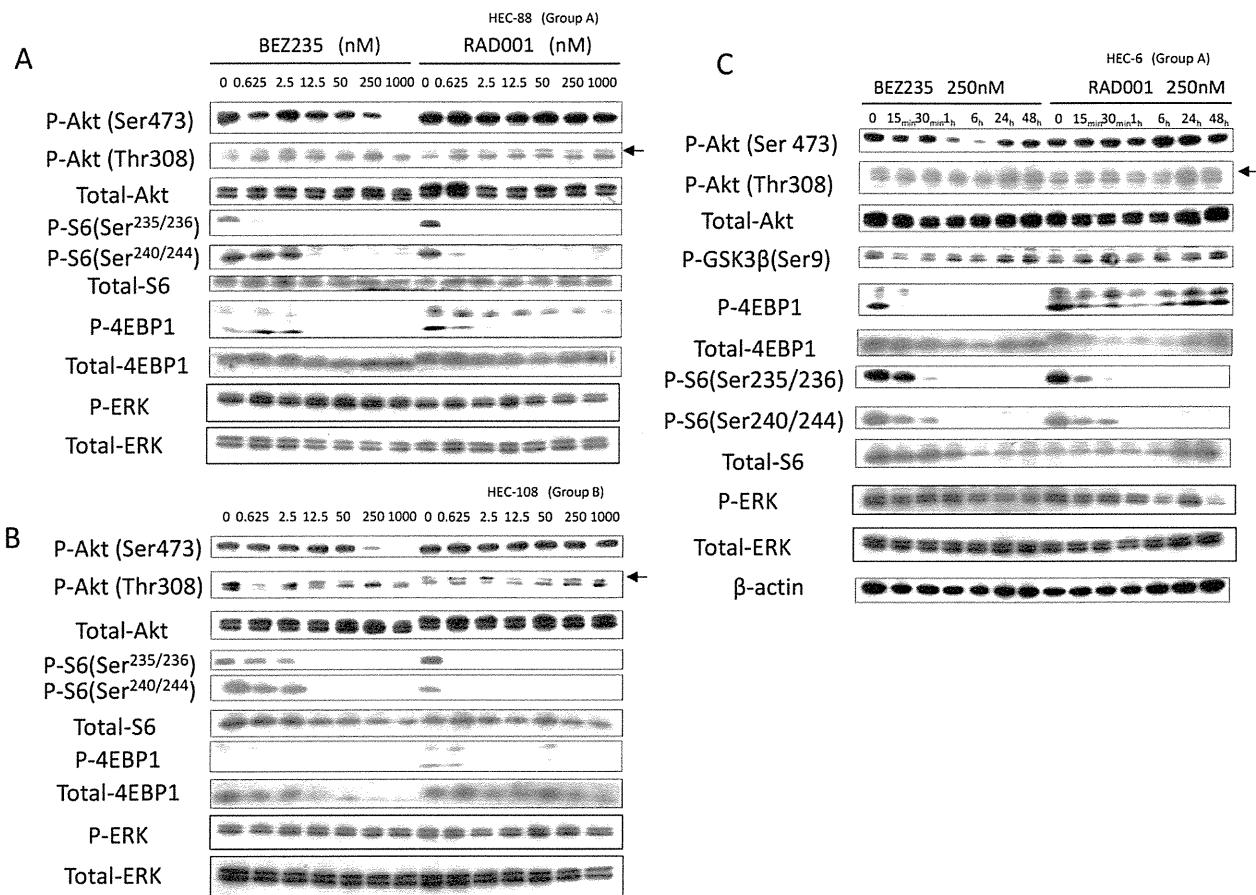
We examined in vivo antitumor activity of both NVP-BE2235 and RAD001 in mice inoculated with either group A (HEC-59) or group B (AN3CA) cells. Both NVP-BE2235 and RAD001 significantly suppressed the tumor growth of the xenografts, compared with the control (vehicle). No significant adverse effects, including a body weight loss of more than 10%, were observed in the examined mice (data not shown). Inconsistent with the in vitro data, the effects of NVP-BE2235 and RAD001 were comparable (Figure 5A–5C).

We then evaluated the phosphorylation levels of the targeted molecules as pharmacodynamic markers. We extracted proteins from the second, third, and fourth largest tumors of each group. Although there were variations in the phosphorylation levels in the control group, NVP-BE2235 suppressed the phosphorylation levels of Akt, FOXO1/3a, and S6 at 1 h. However, the phosphorylation levels of these proteins recovered to the baseline levels within 24 h (Figure 5D). RAD001 had clearly suppressed the p-S6 level at 1 h, and the effect partly remained at 24 h after the treatment (Figure 5D). Taken together with the in vitro experiments, these results indicate that the antitumor activity of NVP-BE2235 might not be sufficiently maintained during treatment.



**Figure 2. Inhibition of cell proliferation by NVP-BEZ235 and RAD001.** (A)–(D) WST-8 assay showing the sensitivity of endometrial cancer cells to NVP-BEZ235 and RAD001 at increasing concentrations of drug (nmol/L) for 72 h. The data was normalized to the value of control cells. (A) Four group A cell lines with double mutations of *PIK3CA* and *PTEN*, (B) Five group B cell lines with *PTEN* mutations, (C) Two group C cell lines with coexistent mutations of *K-Ras* and *PIK3CA*, and (D) Two group D cell lines with chromosomal copy number amplification at the locus of *K-Ras*. All experiments were repeated 3 times, and each value is shown as the mean of 3 experiments  $\pm$  S.D.  
doi:10.1371/journal.pone.0037431.g002





**Figure 3. Inhibition of PI3K/mTOR signaling by NVP-BE2235 and inhibition of mTOR signaling by RAD001 in endometrial cancer cell lines.** (A)–(B) Western blot of total lysates of HEC-88 (group A) and HEC-108 (group B) cells, treated with NVP-BE2235 or RAD001 at concentrations ranging from 0 to 1,000 nmol/L with 10% fetal bovine serum (FBS). phospho-Akt (Ser473, Thr308), phospho-S6 (Ser235/236, Ser240/244), and phospho-4EBP1 (Thr 37/46) levels are shown with total Akt level for a loading control. (C) Western blot of total lysates of HEC-6 (group A) cells treated with NVP-BE2235 or RAD001 at a dose of 100 nM for up to 48 hours with 10% FBS. Phosphorylation levels of the PI3K/mTOR signaling are shown with loading controls.

doi:10.1371/journal.pone.0037431.g003

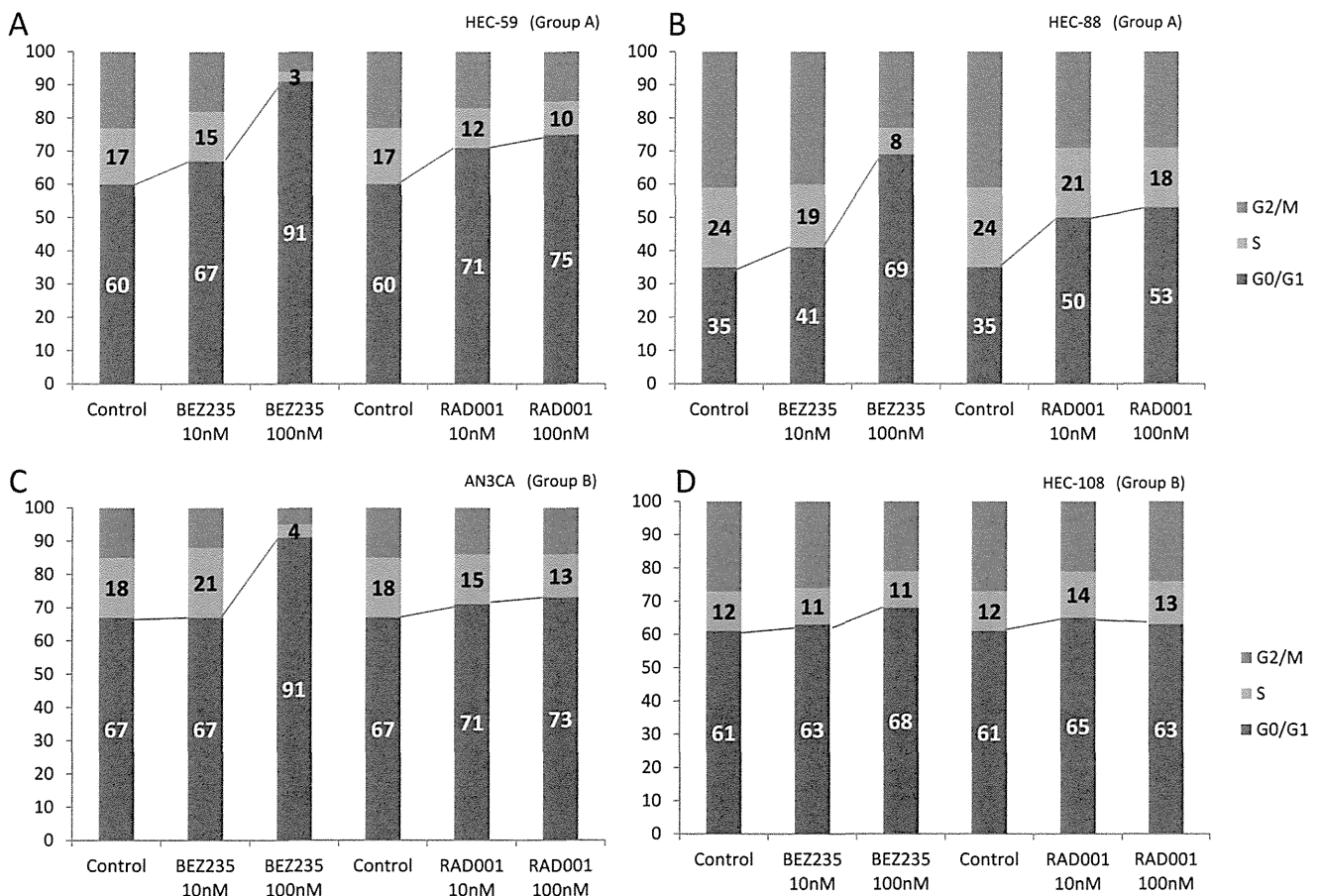
#### Inhibition of the MAPK pathway synergistically (or additively) suppresses cell proliferation and induces G1 arrest in *K-Ras* mutant endometrial cell lines

Because the 4 cell lines with *K-Ras* alterations (HEC-1B, HHUA, KLE, and HEC-50B) were less sensitive to both NVP-BE2235 and RAD001, compared with *K-Ras* wild-type cells with *PTEEN* mutations (groups A and B), we combined NVP-BE2235 (or RAD001) with a MEK inhibitor (PD98059 or UO126) for use in these 4 cell lines (groups C and D). MTT assay revealed that PD98059 (50  $\mu$ M) or UO126 (10  $\mu$ M) alone showed a limited growth inhibitory effect with a 10–30% reduction in the 4 cell lines (Figure 6A and 6B, Figure S4A and S4B). However, after treatment with NVP-BE2235 (250 nM) combined with PD98059 (20–50  $\mu$ M) or UO126 (10  $\mu$ M), cell proliferation was suppressed synergistically or additively with a 45–70% reduction (Figure 6A and 6B, Figure S4A and S4B). FACS analysis showed that G1 arrest was markedly induced by a combination of PD98059 (or UO126) and NVP-BE2235 (Figure 6C and 6D, Figure S4C and S4D). In HEC-1B and HEC-50B cells, the G0/G1 ratio was significantly higher for the combination of PD98059 and NVP-BE2235 than for either compound alone (Figure 6C and 6D). A similar synergistic effect was also observed with the

combination of PD98059 (or UO126) and RAD001 (250 nM), although the effect of RAD001 was weaker than that of NVP-BE2235 (Figure 6C and 6D, Figure S4C and S4D). The sub-G1 population was not significantly increased in groups C and D cells when using the combination of NVP-BE2235 and a MEK inhibitor, PD98059 (<5%), as compared to that when using the control or NVP-BE2235 alone.

#### Phosphorylation levels of Akt, S6, and ERK in cells with *K-Ras* alterations treated with RAD001, NVP-BE2235, and PD98059

We analyzed the PI3K/mTOR and MAPK signaling pathways in cells of groups C and D by western blotting. NVP-BE2235 at 250 nM suppressed the phosphorylation levels of AKT and S6 in HEC-1B, HEC-50B, and KLE cells. In HHUA cells, the total AKT level was slightly elevated, although the p-AKT level was not significantly changed by NVP-BE2235 alone (Figure 7). RAD001 at 250 nM suppressed the p-S6 level in all cells of groups C and D (Figure 7). Both RAD001 and NVP-BE2235 did not suppress the p-ERK level, whereas a MEK inhibitor, PD98059, decreased the p-ERK level in these cells.



**Figure 4. Flowcytometric analysis of cell cycle in cancer cells treated with either NVP-BEZ235 or RAD001.** (A–D) Cells ( $5 \times 10^5$ ) were seeded in the presence of 10% serum and treated with NVP-BEZ235 or RAD001 for 48 h at a dose of 10 nM or 100 nM, respectively. A higher dose of NVP-BEZ235 (100 nM) significantly augmented the percentage of cells in the G0-G1 phase of the cell cycle, compared with that of RAD001 (100 nM). (A)–(B); The data from two group A cells. (C)–(D); the data from two group B cells. doi:10.1371/journal.pone.0037431.g004

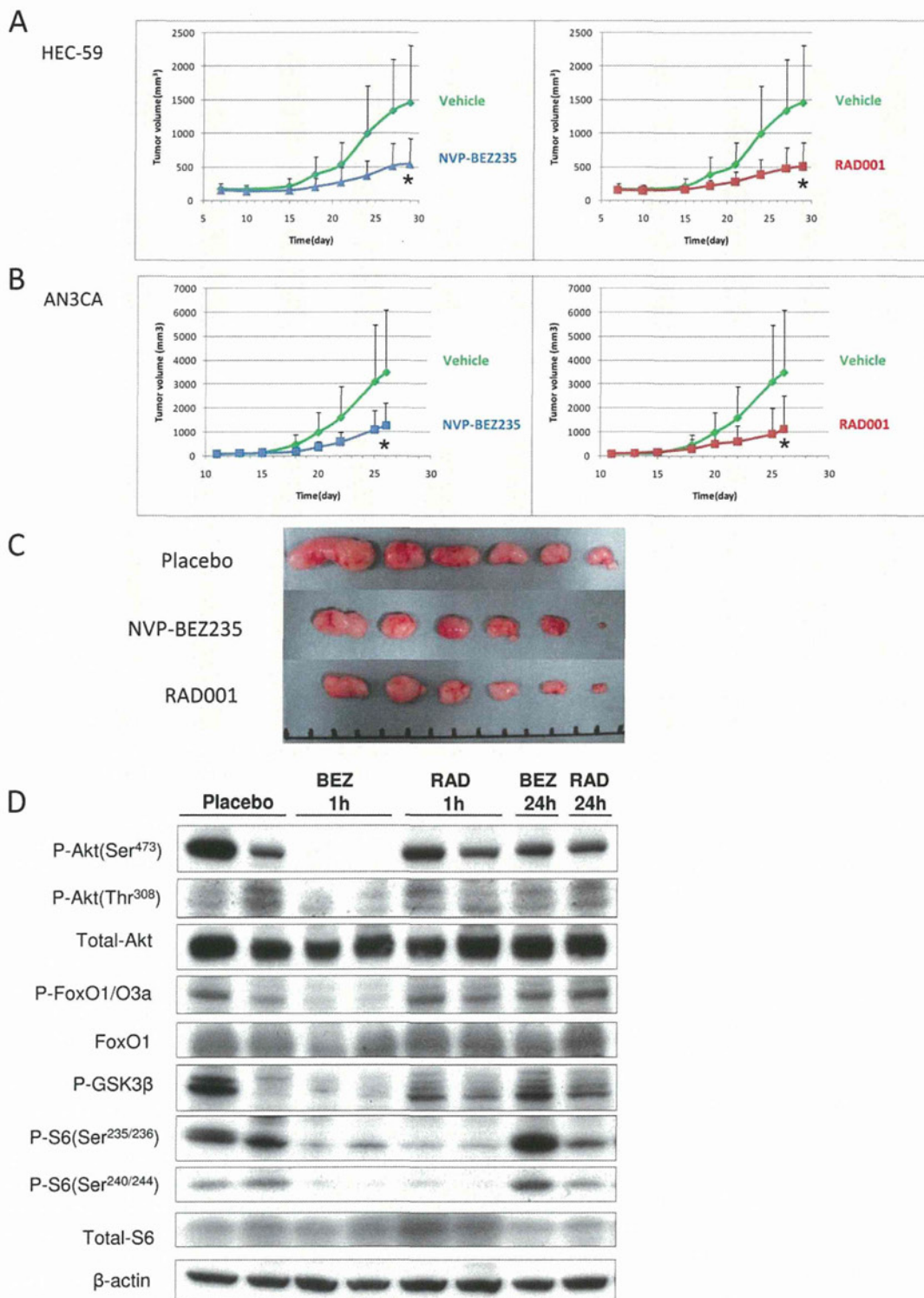
## Discussion

We examined activity of the PI3K/mTOR pathway inhibitors in endometrial cancer cell lines with a particular focus on (i) the antitumor effect of an mTOR inhibitor (RAD001) and a dual PI3K/mTOR inhibitor (NVP-BEZ235), (ii) predictive biomarkers of the mutational status of the PI3K pathway genes, and (iii) combined inhibition of the MAPK pathway and the PI3K/mTOR pathway in *k-Ras* mutant cells. MTT assay and FACS analysis in a panel of endometrial cancer cell lines revealed a clear dose-dependent effect of NVP-BEZ235 on cell proliferation. NVP-BEZ235 induces G1 arrest much more efficiently at a higher concentration (100 nM) than at a lower concentration (10 nM). In contrast, RAD001 does not show evidence of such dose dependency. Previous reports also suggested that NVP-BEZ235 was more effective than rapalogs at higher concentrations [26,27]. PI3K activity might not be sufficiently suppressed by 100 nM NVP-BEZ235, as indicated by the observation that decreased phosphorylation of Akt (Thr308) is not observed at 50 nM but is observed at 250 nM or higher. In addition,  $IC_{50}$  values were under 100 nM in cells from groups A and B. These data are in agreement with previous reports on other cancers that indicate a discrepancy between the basal activity of the PI3K/Akt pathway and the biochemical activity of NVP-BEZ235 [26–29]. Nevertheless, the dose-dependent antiproliferative activity at concentrations

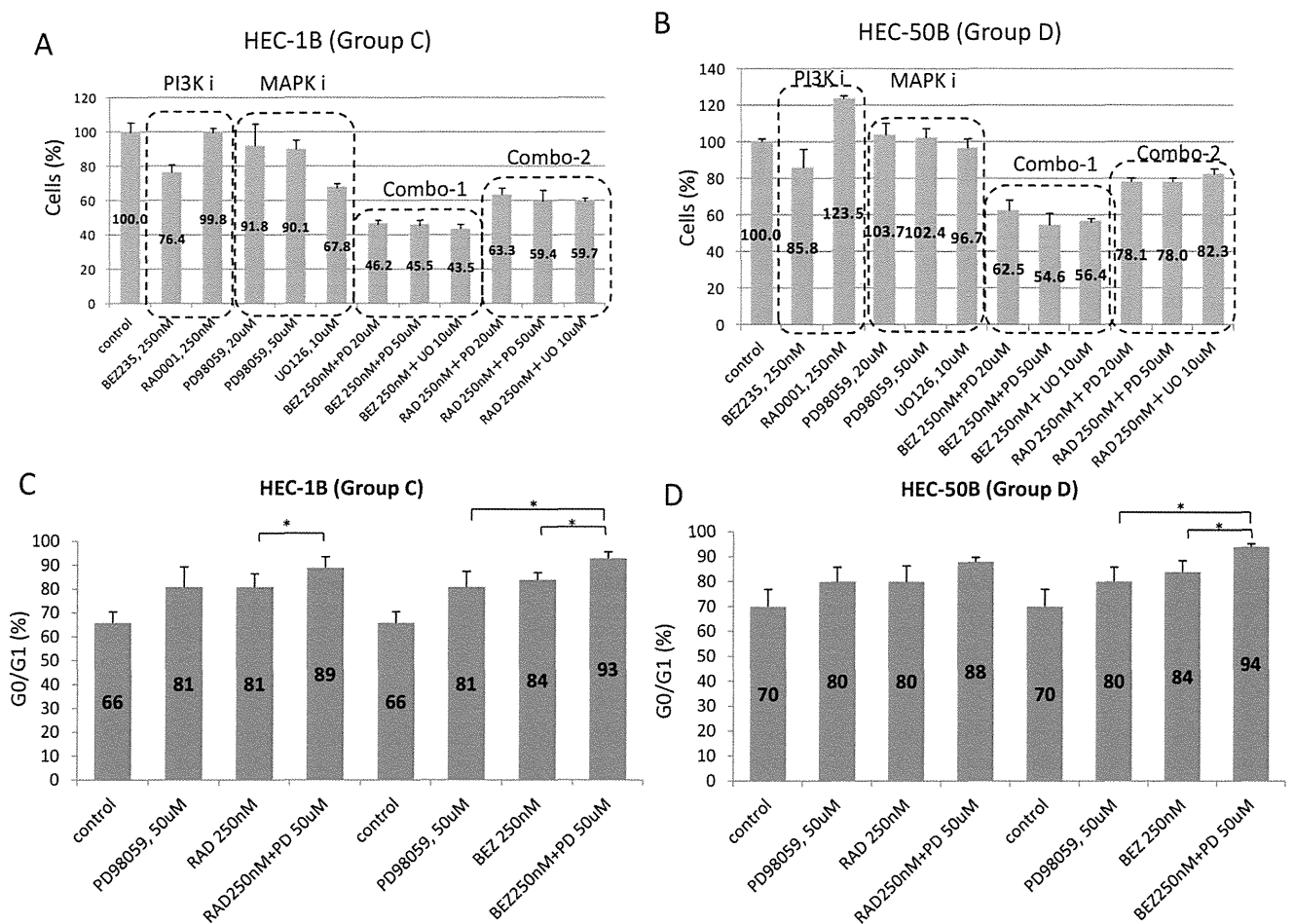
$\geq 250$  nM suggests that the effect of NVP-BEZ235 was, at least in part, caused by inhibition of the PI3K/Akt pathway. Our data suggest that a dual inhibitor of PI3K/mTOR might be a more promising therapeutic strategy than a single mTOR inhibitor in endometrial cancer.

Our in vivo studies in 2 cell lines of xenograft mice support the in vitro findings that inhibition of the PI3K/mTOR axis has an antitumor effect in endometrial cancers. We did not see any superior efficacy of NVP-BEZ235 in the in vivo study. The concentrations we used were 40 mg/kg for NVP-BEZ235 and 5 mg/kg for RAD001, which are equivalent with the previous in vivo experiments [26,28,30–32]. In a pharmacodynamic analysis, the levels of p-Akt, p-GSK3beta, p-FOXO1/3a, and p-S6 in tumors returned to the baseline levels within 24 h after administration of NVP-BEZ235, suggesting that inhibition of PI3K signaling by NVP-BEZ235 might not be sufficiently maintained over time. This is compatible with previous data showing that inhibition of p-Akt (Ser473) was maintained for 16 h, with recovery to baseline levels at 24 h [33]. It remains to be determined which oral dosing schedule is optimal in treatment of endometrial cancer. As well, the mechanisms of in-vivo antitumor effect by these drugs should be more clarified, as inhibition of mTOR might result in anti-angiogenic effect by suppressing HIF1-VEGF pathway [34].





**Figure 5. in vivo anti-tumor effect of NVP-BEZ235 and RAD001 in nude mice.** Subcutaneous xenograft tumors in athymic BALB/c mice were established in the injection of endometrial carcinoma cells. Mice were treated with a daily dose of 40 mg/kg (NVP-BEZ235) or with a daily dose of 2.5 mg/kg RAD001 or vehicle alone (control). Each treatment group contained 6 mice; (A) HEC-59 and (B) AN3CA. Tumor volumes were calculated by the formula  $\{(major\ axis) \times (minor\ axis)^2 / 2\} mm^3$  twice a week. Groups were compared at the end of treatment. Points, mean; bars, SD, \*;  $p < 0.05$ . (C) Appearance of subcutaneous tumors in HEC-59 xenografts. (D) Western blot of total lysates from the HEC-59 xenografts. p-Akt, p-FOXO1/3a, p-GSK3beta, p-S6 were assessed 1 and 24 h after the last drug administration. Total Akt and beta-actin were shown as loading controls. doi:10.1371/journal.pone.0037431.g005



**Figure 6. Inhibition of cell proliferation and augmentation of G1 arrest by combination of a MEK inhibitor and NVP-BE2325 (or RAD001) in cells with alterations in *K-Ras* (mutation or amplification).** (A)–(B) WST-8 assay was performed in HEC-1B (group C) and HEC-50B (group D) cell lines. All the experiments were repeated three times and each value is shown as the mean of three experiments  $\pm$  S.D. The combination of a MEK inhibitor (PD98059 or UO126) and NVP-BE2325 (or RAD001) significantly augmented anti-proliferative effect in these cell lines, compared with either inhibition alone ( $p < 0.05$  by Student's t-test). (C)–(D) Flowcytometric analysis of cell cycle in HEC-1B and HEC-50B cells. All experiments were repeated 3 times, and each value is shown as the mean of 3 experiments  $\pm$  S.D. Combination of a MEK inhibitor (PD98059 or UO126) and NVP-BE2325 significantly augmented the percentage of cells in the G0-G1 phase of the cell cycle in these cells. \*:  $p < 0.05$  by Student's t-test.

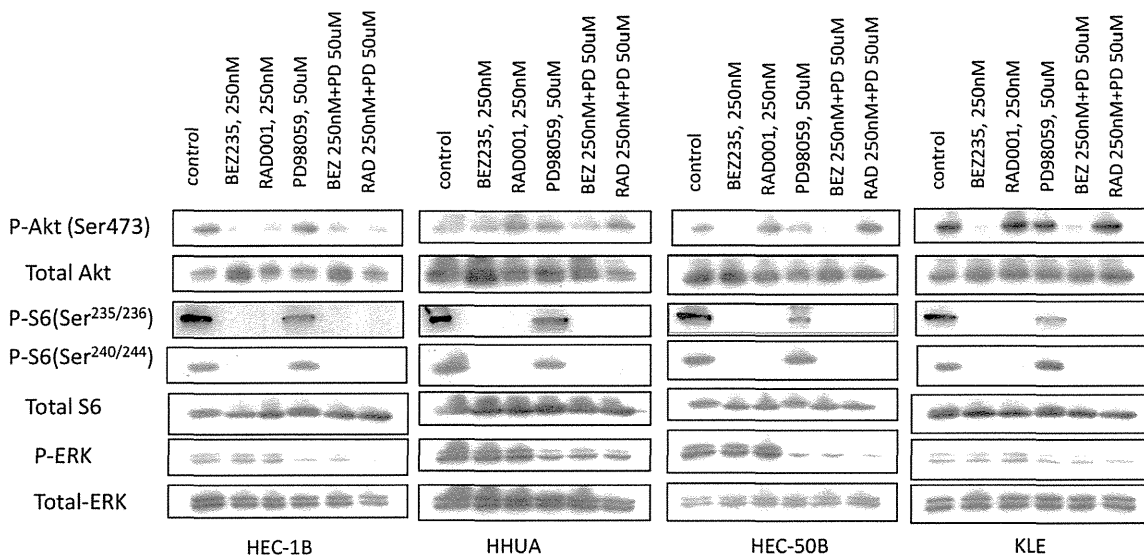
doi:10.1371/journal.pone.0037431.g006

Developing predictive biomarkers in therapeutics targeting the PI3K/mTOR pathway is crucial, as alterations in several molecules are involved in the activation of this pathway. *PIK3CA* mutation and *HER2* amplification have been recommended as useful biomarkers in breast cancer [26,35,36]. Mutant oncogenic *Ras* has been suggested as a dominant determinant of resistance in several solid tumor cells [19,37]. *PTEN* deficiency is controversial as a predictive biomarker [26,36,38]. The mechanism of resistance in *PTEN*-deficient tumors might be explained by the predominant activation of p110beta in *PTEN* mutant tumors [39,40], as NVP-BE2325 and most of the other PI3K inhibitors suppress p110beta less preferentially than the other p110 isoforms. However, p110beta is not a predominant isoform in endometrial carcinomas with *PTEN* mutations [8]. The significance of p110alpha in *PTEN* mutant endometrial cancer would be helpful to identify patients susceptible to NVP-BE2325. Thus, the existence of *PTEN* mutations might be a predictive biomarker for the PI3K/mTOR inhibitors in endometrial carcinomas. Further in vivo analysis, including the anti-tumor effect of NVP-BE2325, RAD001, or a combination of these compounds with a MEK inhibitor on groups

C and D tumors would be necessary to evaluate the utility of these factors as biomarkers.

Feasibility of mutational analysis of the predictor genes should be also considered in clinical trials. *K-Ras* mutational analysis would be reasonably achievable, as "hot spot" mutations are located only in 2 exons (codons 12, 13, and 61). However, mutations of *PIK3CA* and *PTEN* are widespread in the entire coding region. Others and we have reported that *PTEN* expression is sufficiently evaluated by immunohistochemistry and is correlated with mutational status [25,41]. A combination of screening *K-Ras* mutations and immunohistochemistry analysis of *PTEN* might be a useful and feasible strategy in clinical trials of endometrial cancer. We previously reported that *PIK3CA* mutations frequently coexist with *K-Ras* mutations in endometrial cancer [8]. The two group C cells (HEC-1B and HHUA) with double mutations of *PIK3CA* and *K-Ras* were less sensitive to NVP-BE2325, compared with group A/B cells. Thus, *PIK3CA* mutation alone might not be a good biomarker in endometrial cancer. Over 5 clinical studies of the rapalogs have been developed in advanced endometrial cancer. Of them, Oza et al reported phase II study of





**Figure 7. Inhibition of PI3K and MAPK signaling pathway by NVP-BEZ235, RAD001, and a MEK inhibitor (PD98059) in endometrial cancer cell lines with *K-Ras* alterations.** Western blot analysis of total lysates of HEC-1B and HHUA (group C) and HEC-50B and KLE (group D) cells treated with 1 of the PI3K (or MAPK) signal inhibitors or their combination with 10% FBS. Phospho-Akt, phospho-S6, and phospho-ERK levels are shown with total Akt, total S6, and total ERK levels.  
doi:10.1371/journal.pone.0037431.g007

temsirolimus in endometrial cancer, showing clinical benefit rate (partial response and stable disease) of 52% in chemotherapy-treated patients [42]. They suggested that PTEN loss (immunohistochemistry and mutational analysis) and molecular markers of PI3K/Akt/mTOR pathway did not correlate with the clinical outcome. It would be clarified whether information of *K-Ras* alterations might be helpful in the clinical settings. As well, further exploration of the other PI3K-activating alterations (such as mutations of *FGFR2* and *PIK3RI*) and other measurable characteristics (such as standardized uptake value by PET imaging) would be also necessary to establish the most useful clinical biomarkers.

She et al reported that various cell lines with double mutations of *K-Ras/BRAF* and *PIK3CA* were resistant to AKT inhibitors, and suggested that a MEK inhibitor sensitizes these double mutant cells to AKT or PI3K inhibitors [43]. Our data in the two group C cells support that a combination of a MEK inhibitor and a PI3K (AKT) inhibitor might be effective to various types of cancers with double mutations of *K-Ras* and *PIK3CA*. In addition to mutations, copy number gain of oncogenes is also important for “oncogene addiction”. We previously reported that extensive chromosomal instability (with 5 or more copy number alterations) is a poor independent prognostic factor in endometrial carcinomas [10]. Although extensive chromosomal instability is more common in type II endometrial carcinomas [44], the percentage of extensive chromosomal instability was 31% in our clinical endometrioid adenocarcinoma samples [10]. We found that both group D cell lines (KLE and HEC-50B) harbor extensive CNAs (copy number alterations), with copy number gain at the locus of *K-Ras*, although they do not possess any mutations in *K-Ras*, *PTEN* and *PIK3CA*. The antiproliferative effect of combined inhibition of MAPK pathway and PI3K/mTOR pathway in group D cells suggests that this combination therapy might be an option to treat tumors with CNA in *K-Ras*. The dual inhibition of the PI3K and MAPK pathways might overcome the resistance to PI3K/mTOR inhibition alone in certain endometrial tumors with *K-Ras* alterations through its enhanced cytostatic effect (at least in part). Cheung et al reported that endometrial cell lines with wild-type

PI3K pathway members were resistant to an mTOR inhibitor, rapamycin, suggesting that other unexamined factors, including CNA in *K-Ras*, might be involved in the anti-tumor effect of rapalogs [45]. Phosphorylation of 4E-BP1 is not only regulated by mTORC1, but also by ERK signaling [43,46], suggesting the crosstalk between PI3K/mTOR pathway and MAPK pathway. It would be necessary to evaluate the *in vivo* effect of the combined therapy in tumors with *K-Ras* alterations to address the activity of the MAPK pathway in endometrial cancer.

## Supporting Information

**Figure S1 Inhibition of cell proliferation by NVP-BEZ235 in 13 endometrial cancer cells.** The growth curves of all the 13 cells in response to NVP-BEZ235 in the WST-8 assay (in Figure 2) are shown in one graph.  
(PPT)

**Figure S2 Inhibition of cell proliferation by RAD001 in 13 endometrial cancer cells.** The growth curves of all the 13 cells in response to RAD001 in the WST-8 assay (in Figure 2) are shown in one graph.  
(PPT)

**Figure S3 Flowcytometric analysis of cell cycle in cancer cells treated with either NVP-BEZ235 or RAD001.** (A–D) Cells ( $5 \times 10^5$ ) were seeded and treated with NVP-BEZ235 or RAD001 for 48 h at a dose of 10 nM or 100 nM, respectively, as described in Figure 4. (A)–(B); The data from the two group C cells (HEC-1B and HHUA). (C)–(D); the data from the two group D cells (KLE and HEC-50B).  
(PPT)

**Figure S4 Inhibition of cell proliferation and augmentation of G1 arrest by combination of a MEK inhibitor and NVP-BEZ235 (or RAD001) in cells with alterations in *K-Ras* (mutation or amplification).** (A)–(B) WST-8 assay was performed in HHUA (group C) and KLE (group D) cell lines. (C)–(D) Flowcytometric analysis of cell cycle in HHUA (group C) and

KLE (group D) cells. All experiments were repeated 3 times, and each value is shown as the mean of 3 experiments  $\pm$  S.D. (PPT)

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## Author Contributions

Conceived and designed the experiments: K. Shoji KO SN. Performed the experiments: K. Shoji T. Kashiyama YI Y. Miyamoto AM T. Koso. Analyzed the data: K. Shoji KO T. Kashiyama Y. Miyamoto HA. Contributed reagents/materials/analysis tools: SN K. Sone Y. Miyamoto HH MT Y. Matsumoto OW-H KK HK FM HA TY SK YT. Wrote the paper: K. Shoji KO.