

Fig. 1 Unadjusted and adjusted hazard ratios for overall and progression-free survival. *CI* confidence interval, *HR* hazard ratio, *OS* overall survival, *PFS* progression-free survival, *XP* capecitabine plus cisplatin

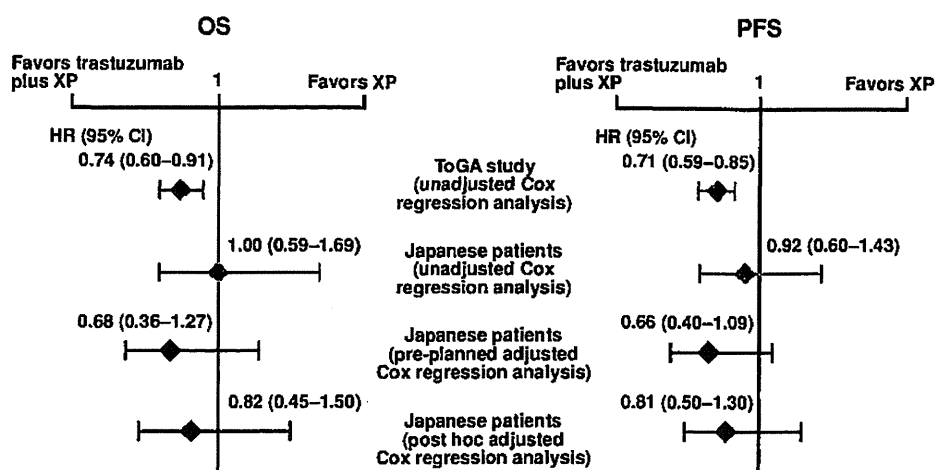


Table 5 Covariates included in the model

Number of covariates	Covariates included in the model
4	HER2 expression (low/high), sex (male/female), prior gastrectomy (yes/no), number of lesions (1–4/>4)
5	HER2 expression (low/high), sex (male/female), prior gastrectomy (yes/no), number of lesions (1–4/>4), type of gastric cancer (diffuse/intestinal)
6	HER2 expression (low/high), sex (male/female), prior gastrectomy (yes/no), number of lesions (1–4/>4), type of gastric cancer (diffuse/intestinal), number of metastatic sites (1–2/>2)

HER2 human epidermal growth factor receptor 2

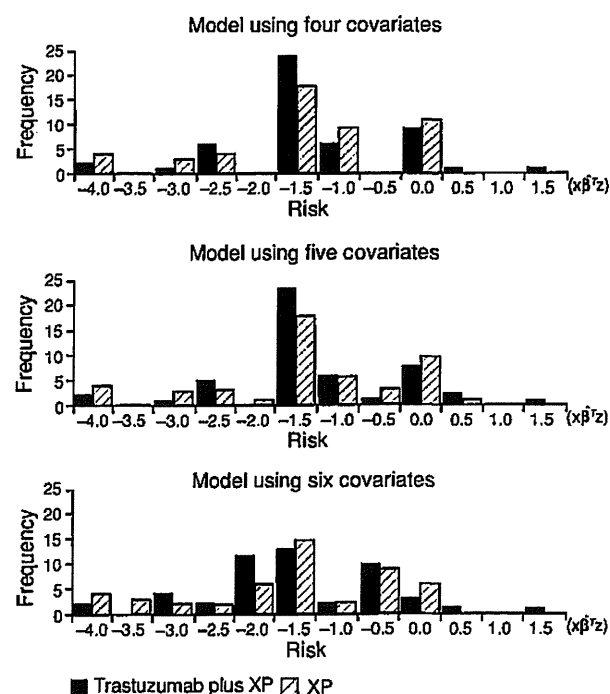


Fig. 2 Distribution of estimated values by linear predictor. *XP* capecitabine plus cisplatin. The ordinate is the number of patients and the abscissa is the risk score (estimated hazard number for each patient). The risk of mortality increases as the plot moves to the right

plus XP arm and 36 patients (72%) in the XP arm. Treatment was discontinued due to adverse events for one patient (2%) in the trastuzumab plus XP arm and four patients (8%) in the XP arm. Deaths due to adverse events occurred in two patients in the trastuzumab plus XP arm: one due to cardiac failure and unstable angina and the other due to gastrointestinal perforation. The case of cardiac failure and unstable angina was attributed to an adverse event likely related to trastuzumab.

Discussion

In the original ToGA study, patients with HER2-positive advanced gastric or GEJ cancer who received the combination treatment of trastuzumab plus XP/FP had significantly longer OS and PFS than patients who received XP/FP alone [6]. No differences in OS or PFS were detected between the two treatment arms in this subgroup analysis of Japanese patients when unadjusted data were analyzed. However, in preplanned and post hoc analyses, the HRs were 0.68 and 0.82 for OS and 0.66 and 0.82 for PFS, respectively, after adjusting for baseline characteristics. These values were similar to the overall ToGA study results. Taken together, these results strongly suggest that

Table 6 Adverse events in $\geq 10\%$ of Japanese patients in ToGA

	Trastuzumab plus XP (<i>n</i> = 51)		XP (<i>n</i> = 50)	
	All grade <i>n</i> (%)	Grade ≥ 3 <i>n</i> (%)	All grade <i>n</i> (%)	Grade ≥ 3 <i>n</i> (%)
Total	51 (100)	43 (84)	50 (100)	36 (72)
Gastrointestinal disorders				
Nausea	44 (86)	7 (14)	44 (88)	7 (14)
Vomiting	33 (65)	1 (2)	28 (56)	2 (4)
Constipation	24 (47)	1 (2)	24 (48)	–
Diarrhoea	23 (45)	4 (8)	24 (48)	2 (4)
Stomatitis	29 (57)	–	16 (32)	1 (2)
Blood and lymphatic system disorders				
Neutropenia	30 (59)	18 (35)	34 (68)	20 (40)
Thrombocytopenia	11 (22)	1 (2)	8 (16)	3 (6)
Anemia	15 (29)	13 (25)	11 (22)	8 (16)
Febrile neutropenia	5 (10)	5 (10)	3 (6)	3 (6)
Skin and subcutaneous tissue disorders				
Palmar–plantar erythrodysesthesia syndrome	21 (41)	–	23 (46)	1 (2)
Alopecia	12 (24)	–	9 (18)	–
Skin hyperpigmentation	6 (12)	–	5 (10)	–
Rash	10 (20)	–	5 (10)	–
Pigmentation disorder	10 (20)	–	7 (14)	–
Nail disorder	5 (10)	–	5 (10)	–
Metabolism and nutrition disorders				
Anorexia	43 (84)	12 (24)	46 (92)	10 (20)
Dehydration	3 (6)	1 (2)	6 (12)	1 (2)
General disorders and administration site conditions				
Fatigue	31 (61)	4 (8)	26 (52)	4 (8)
Pyrexia	19 (37)	1 (2)	12 (24)	–
Chill	7 (14)	–	0 (0)	–
Edema	19 (37)	–	23 (46)	–
Nervous system disorders				
Peripheral neuropathy	16 (31)	1 (2)	10 (20)	–
Dysgeusia	13 (25)	–	8 (16)	–
Peripheral sensory neuropathy	2 (4)	–	11 (22)	–
Dizziness	5 (10)	1 (2)	5 (10)	–
Respiratory, thoracic, and mediastinal disorders				
Hiccups	21 (41)	–	16 (32)	–
Epistaxis	5 (10)	–	3 (6)	–
Renal and urinary disorders				
Renal impairment	32 (63)	2 (4)	27 (54)	–
Vascular disorders				
Hypertension	4 (8)	1 (2)	3 (6)	–
Investigations				
Weight decreased	27 (53)	2 (4)	13 (26)	1 (2)
Weight increased	10 (20)	1 (2)	9 (18)	–
Psychiatric disorders				
Insomnia	11 (22)	–	8 (16)	–
Infections and infestations				
Nasopharyngitis	18 (35)	–	6 (12)	–
Musculoskeletal and connective tissue disorders				
Back pain	5 (10)	–	1 (2)	–

XP capecitabine plus cisplatin

the same benefit of adding trastuzumab to chemotherapy was obtained in the Japanese patient subgroup as in the overall population.

In our subgroup analysis, the change in HR pre- and post-adjustment may have been due to an uneven distribution of prognostic factors between the two treatment arms. The XP arm included more patients with factors generally considered to be associated with a good prognosis (history of gastrectomy [14, 15], intestinal type cancer [16–19], and metastasis in fewer than two organs [19]). In the overall ToGA study and in the Japanese subgroup, gastric resection was shown to be the most influential factor affecting prognosis, as assessed by univariate Cox regression analyses (HRs of gastrectomy were 0.54 and 0.39, respectively). In the Japanese subgroup, the number of patients who had undergone gastric resection in the XP arm ($n = 13$, 26.0%) was approximately 10% higher than that of the trastuzumab plus XP arm ($n = 8$, 15.7%).

When multiple factors influence prognosis, different combinations of factors could affect the HR between two treatment groups. Therefore, to confirm that the HR is robust, it is necessary to analyze different combinations of factors. In this regard, we found that the HRs for OS were approximately 0.7 for all combinations of factors, thus supporting the robustness of our results.

Median OS in the XP/FP alone arm was 11.1 months (95% CI 10–13) in the overall ToGA population [6], but was approximately 6.5 months longer in the Japanese subgroup (XP arm: 17.7 months). These findings are consistent with results of recent trials reporting longer survival for patients with gastric cancer in Japan than for patients in Europe and the USA. One possible reason for this difference is that more Japanese patients receive second-line or later treatment after the failure of first-line treatment [11–13]. In the ToGA study, more than 80% of Japanese patients in both treatment arms underwent second-line or further treatment, which was considerably higher than the overall rates of second-line treatment in the overall ToGA population (42% of patients in the trastuzumab plus XP/FP arm and 45% in the XP/FP arm) [6]. In the present study of Japanese patients, the OS of patients who received XP only was similar to that reported in other recent Japanese trials [2, 7, 8]. Furthermore, after adjusting for imbalances between the baseline characteristics of treatment arms, we detected an additive effect of trastuzumab among Japanese patients, similar to that of the overall population. By further exploratory analyses, we confirmed that the HRs in favor of trastuzumab were consistently observed after adjusting for prognostic factors. These findings strongly suggest that the benefits of trastuzumab were of the same magnitude in Japanese patients as in the whole study population, although the absolute length of survival was longer in the

Japanese subgroup. In conclusion, trastuzumab in combination with XP can be considered a new standard therapy for Japanese patients with HER2-positive advanced gastric or GEJ cancer.

Acknowledgments This study was sponsored by Chugai Pharmaceutical Co., Ltd. and F. Hoffmann-La Roche Ltd. We thank all of the patients and investigators who participated in the ToGA study in Japan.

Open Access This article is distributed under the terms of the Creative Commons Attribution Noncommercial License which permits any noncommercial use, distribution, and reproduction in any medium, provided the original author(s) and source are credited.

References

1. Foundation for Promotion of Cancer Research. Cancer Statistics in Japan 2010. Available from http://ganjoho.ncc.go.jp/public/statistics/backnumber/2010_en.html. Accessed 30 June 2011.
2. Koizumi W, Narahara H, Hara T, Takagane A, Akiya T, Takagi M, et al. S-1 plus cisplatin versus S-1 alone for first-line treatment of advanced gastric cancer (SPIRITS trial): a phase III trial. *Lancet Oncol*. 2008;9:215–21.
3. Hudis CA. Trastuzumab—mechanism of action and use in clinical practice. *N Engl J Med*. 2007;357:39–51.
4. Bang Y-J, Chung HC, Xu JM, Lordick F, Sawaki A, Lipatov O, et al. Pathological features of advanced gastric cancer: relationship to human epidermal growth factor receptor 2 positivity in the global screening programme of the ToGA trial. *J Clin Oncol*. 2009;27:abstract 4556.
5. Slamon DJ, Godolphin W, Jones LA, Holt JA, Wong SG, Keith DE, et al. Studies of the HER-2/neu proto-oncogene in human breast and ovarian cancer. *Science*. 1989;244:707–12.
6. Bang YJ, Van Cutsem E, Feyereislova A, Chung HC, Shen L, Sawaki A, et al. ToGA Trial Investigators. Trastuzumab in combination with chemotherapy versus chemotherapy alone for treatment of HER2-positive advanced gastric or gastro-oesophageal junction cancer (ToGA): a phase 3, open-label, randomized controlled trial. *Lancet*. 2010;376:687–97.
7. Tsuburaya A, Narahara H, Imamura H, Hatake K, Imamoto H, Esaki T, et al. GC0301/TOP002 Study Group. Updated result on the 2.5-year follow-up of GC0301/TOP-002: randomized phase III study of irinotecan plus S-1 (IRI-S) versus S-1 alone as first-line treatment for advanced gastric cancer (AGC). *J Clin Oncol*. 2009;27:abstract 4544.
8. Boku N, Yamamoto S, Fukuda H, Shirao K, Doi T, Sawaki A, et al. Gastrointestinal Oncology Study Group of the Japan Clinical Oncology Group. Fluorouracil versus combination of irinotecan plus cisplatin versus S-1 in metastatic gastric cancer: a randomized phase 3 study. *Lancet Oncol*. 2009;10:1063–9.
9. Van Cutsem E, Moiseyenko VM, Tjulandin S, Majlis A, Constenla M, Boni C, et al. Phase III study of docetaxel and cisplatin plus fluorouracil compared with cisplatin and fluorouracil as first-line therapy for advanced gastric cancer: a report of the V325 Study Group. *J Clin Oncol*. 2006;24:4991–7.
10. Cunningham D, Starling N, Rao S, Iveson T, Nicolson M, Coxon F, et al. Capecitabine and oxaliplatin for advanced esophagogastric cancer. *N Engl J Med*. 2008;358:36–46.
11. Ohtsu A. Chemotherapy for metastatic gastric cancer: past, present, and future. *J Gastroenterol*. 2008;43:256–64.
12. Ohtsu A, Yoshida S, Saijo N. Disparities in gastric cancer chemotherapy between the East and West. *J Clin Oncol*. 2006;24:2188–96.

13. Sasako M, Inoue M, Lin JT, Khor C, Yang HK, Ohtsu A. Gastric Cancer Working Group report. *Jpn J Clin Oncol*. 2010;40(Suppl 1):i28–37.
14. Warneke VS, Behrens H-M, Hartmann JT, Held H, Becker T, Schwarz NT, et al. Cohort study based on the seventh edition of the TNM classification for gastric cancer: proposal of a new staging system. *J Clin Oncol*. 2011;29:2364–71.
15. Chau I, Norman AR, Cunningham D, Waters JS, Oates J, Ross PJ. Multivariate prognostic factor analysis in locally advanced and metastatic esophago-gastric cancer—pooled analysis from three multicenter, randomized, controlled trials using individual patient data. *J Clin Oncol*. 2004;22:2395–403.
16. Yoshida M, Ohtsu A, Boku N, Miyata Y, Shirao K, Shimada Y, et al. Long-term survival and prognostic factors in patients with metastatic gastric cancers treated with chemotherapy in the Japan Clinical Oncology Group (JCOG) study. *Jpn J Clin Oncol*. 2004;34:654–9.
17. Adachi Y, Yoshida K, Inomata M, Sato K, Shiraishi N, Kitano S, et al. Pathology and prognosis of gastric carcinoma. Well versus poorly differentiated type. *Cancer*. 2000;89:1418–24.
18. Sasako M, Sano T, Yamamoto S, Kurokawa Y, Nashimoto A, Kurita A, et al. Japan Clinical Oncology Group. D2 lymphadenectomy alone or with para-aortic nodal dissection for gastric cancer. *N Engl J Med*. 2008;359:453–62.
19. Lee SS, Lee JL, Ryu MH, Chang HM, Kim TW, Kang HJ, et al. Combination chemotherapy with capecitabine (X) and cisplatin (P) as first line treatment in advanced gastric cancer: experience of 223 patients with prognostic factor analysis. *Jpn J Clin Oncol*. 2007;37:30–7.

SPECIAL ARTICLE

Japanese Society for Cancer of the Colon and Rectum (JSCCR) guidelines 2010 for the treatment of colorectal cancer

Toshiaki Watanabe · Michio Itabashi · Yasuhiro Shimada · Shinji Tanaka · Yoshinori Ito · Yoichi Ajioka · Tetsuya Hamaguchi · Ichinosuke Hyodo · Masahiro Igarashi · Hideyuki Ishida · Megumi Ishiguro · Yukihide Kanemitsu · Norihiro Kokudo · Kei Muro · Atsushi Ochiai · Masahiko Oguchi · Yasuo Ohkura · Yutaka Saito · Yoshiharu Sakai · Hideki Ueno · Takayuki Yoshino · Takahiro Fujimori · Nobuo Koinuma · Takayuki Morita · Genichi Nishimura · Yuh Sakata · Keiichi Takahashi · Hiroya Takiuchi · Osamu Tsuruta · Toshiharu Yamaguchi · Masahiro Yoshida · Naohiko Yamaguchi · Kenjiro Kotake · Kenichi Sugihara · Japanese Society for Cancer of the Colon and Rectum

Received: 18 August 2011 / Accepted: 25 August 2011 / Published online: 15 October 2011
© Japan Society of Clinical Oncology 2011

Abstract Colorectal cancer is a major cause of death in Japan, where it accounts for the largest number of deaths from malignant neoplasms in women and the third largest number in men. Many new treatment methods have been developed over the last few decades. The Japanese Society for Cancer of the Colon and Rectum (JSCCR) guidelines 2010 for the treatment of colorectal cancer (JSCCR Guidelines 2010) have been prepared to show standard

treatment strategies for colorectal cancer, to eliminate disparities among institutions in terms of treatment, to eliminate unnecessary treatment and insufficient treatment, and to deepen mutual understanding between health-care professionals and patients by making these Guidelines available to the general public. These Guidelines have been prepared by consensus reached by the JSCCR Guideline Committee, based on a careful review of the evidence retrieved by literature searches and in view of the medical health insurance system and actual clinical practice settings in Japan. Therefore, these Guidelines can be used as a tool for treating colorectal cancer in actual clinical practice settings. More specifically, they can be used as a guide to

This article was originally appeared in Japanese as *Daicho gan chiryo gaidorain · Ishiyo 2010 nen ban* (JSCCR Guidelines 2010 for the Treatment of Colorectal Cancer), published by Kanehara, Tokyo, 2010.

T. Watanabe (✉)
Department of Surgery, Teikyo University School of Medicine,
2-11-1 Kaga, Itabashi-ku, Tokyo 173-8605, Japan
e-mail: toshwatanabe@yahoo.co.jp

M. Itabashi
Department of Surgery 2, Tokyo Women's Medical University,
Tokyo, Japan

Y. Shimada · T. Hamaguchi
Division of Gastrointestinal Medical Oncology, National Cancer
Center Hospital, Tokyo, Japan

S. Tanaka
Department of Endoscopy, Hiroshima University Hospital,
Hiroshima, Japan

Y. Ito
Department of Radiation Oncology, National Cancer Center
Hospital, Tokyo, Japan

Y. Ajioka
Division of Molecular and Diagnostic Pathology, Graduate
School of Medical and Dental Sciences, Niigata University,
Niigata, Japan

I. Hyodo
Division of Gastroenterology, Graduate School of
Comprehensive Human Sciences, University of Tsukuba,
Ibaraki, Japan

M. Igarashi
Department of Endoscopy, Cancer Institute Ariake Hospital,
Tokyo, Japan

H. Ishida
Department of Digestive Tract and General Surgery, Saitama
Medical Center, Saitama Medical University, Saitama, Japan

M. Ishiguro · K. Sugihara
Department of Surgical Oncology, Graduate School, Tokyo
Medical and Dental University, Tokyo, Japan

Y. Kanemitsu
Department of Gastroenterological Surgery, Aichi Cancer
Center, Nagoya, Japan

N. Kokudo
Hepato-Biliary-Pancreatic Surgery Division, Artificial Organ
and Transplantation Division, Department of Surgery, Graduate
School of Medicine, University of Tokyo, Tokyo, Japan

obtaining informed consent from patients and choosing the method of treatment for each patient. As a result of the discussions held by the Guideline Committee, controversial issues were selected as Clinical Questions, and recommendations were made. Each recommendation is accompanied by a classification of the evidence and a classification of recommendation categories based on the consensus reached by the Guideline Committee members. Here we present the English version of the JSCCR Guidelines 2010.

Keywords Colorectal cancer · Guideline · Treatment · Surgery · Chemotherapy · Endoscopy · Radiotherapy · Palliative care · Surveillance

Introduction

1. Guideline objectives

Mortality and morbidity from colorectal cancer have substantially increased in Japan recently. According to the vital statistics for Japan in 2008, colorectal cancer accounted for the largest number of deaths from malignant

neoplasms in women and the third largest number in men, after lung cancer and gastric cancer. Nevertheless, the number of deaths from colorectal cancer per unit population has increased approximately tenfold during the past 50 years. Many new treatment methods have been developed during that time, and their use in combination with advances in diagnostic methods has led to a steady improvement in the results of treatment. However, there are differences in treatment among medical institutions in Japan that provide medical care for patients with colorectal cancer, and these differences may lead to differences in the results of treatment.

Under such circumstances, the JSCCR guidelines 2010 for the treatment of colorectal cancer (JSCCR Guidelines 2010), which are intended for doctors (general practitioners and specialists) who provide medical care for patients with colorectal cancer at various disease stages and conditions, have been prepared for the following purposes: (1) to show standard treatment strategies for colorectal cancer; (2) to eliminate disparities among institutions in terms of treatment; (3) to eliminate unnecessary treatment and insufficient treatment; and (4) to deepen mutual understanding between health-care professionals and patients by making these Guidelines available to the general public [1].

K. Muro
Department of Clinical Oncology, Aichi Cancer Center Hospital,
Nagoya, Japan

A. Ochiai
Pathology Division, Research Center for Innovative Oncology,
National Cancer Centre Hospital East, Chiba, Japan

M. Oguchi
Radiation Oncology Department, The Cancer Institute Hospital,
Japanese Foundation for Cancer Research, Tokyo, Japan

Y. Ohkura
Department of Pathology, Kyorin University School of
Medicine, Tokyo, Japan

Y. Saito
Endoscopy Division, National Cancer Center Hospital, Tokyo,
Japan

Y. Sakai
Department of Surgery, Kyoto University, Kyoto, Japan

H. Ueno
Department of Surgery, National Defense Medical College,
Saitama, Japan

T. Yoshino
Department of Gastroenterology and Gastrointestinal Oncology,
National Cancer Center Hospital East, Chiba, Japan

T. Fujimori
Department of Surgical and Molecular Pathology, Dokkyo
Medical University School of Medicine, Tochigi, Japan

N. Koinuma
Department of Health Administration and Policy, Tohoku
University Graduate School of Medicine, Sendai, Japan

T. Morita
Department of Surgery, Cancer Center, Aomori Prefectural
Central Hospital, Aomori, Japan

G. Nishimura
Department of Surgery, Japanese Red Cross Kanazawa Hospital,
Ishikawa, Japan

Y. Sakata
Department of Internal Medicine and Medical Oncology,
Misawa City Hospital, Misawa, Japan

K. Takahashi
Department of Surgery, Tokyo Metropolitan Cancer and
Infectious Diseases Center, Komagome Hospital, Tokyo, Japan

H. Takiuchi
Cancer Chemotherapy Center, Osaka Medical College, Osaka,
Japan

O. Tsuruta
Division of Gastrointestinal Endoscopy, Kurume University
School of Medicine, Fukuoka, Japan

T. Yamaguchi
Department of Gastroenterological Surgery, Cancer Institute
Hospital, Tokyo, Japan

The following are expected to be achieved with these Guidelines: (1) improved treatment of colorectal cancer in Japan; (2) improved results of such treatment; (3) reduced human and financial burdens; and (4) increased benefits for patients.

2. How to use these Guidelines

These Guidelines have been prepared by consensus reached by the JSCCR Guideline Committee, based on a careful review of the evidence retrieved by literature searches and in view of the medical health insurance system and actual clinical practice settings in Japan, so these Guidelines can be used as a tool for treating colorectal cancer in actual clinical practice settings. More specifically, they can be used as a guide to obtaining informed consent from patients and choosing the method of treatment for each patient. However, these Guidelines provide only general recommendations for choosing treatment strategies for colorectal cancer, and they do not control or limit treatment strategies or treatment methods that are not described herein. These Guidelines can also be used as a document to explain the rationale for selecting treatment strategies and treatment methods that differ from those described in these Guidelines.

JSCCR is responsible for the statements in these Guidelines. However, the personnel directly in charge of treatment, not the JSCCR or the Guideline Committee, are responsible for the outcome of treatment.

3. Method used to prepare these Guidelines

(1) Classification of evidence

Levels of evidence were classified as “high-level evidence” or “low-level evidence” as follows:

[High-level evidence]

- Meta-analyses of systematic reviews/randomized controlled trials (RCTs),

- randomized controlled trials,
- nonrandomized controlled trials,
- cohort studies, case–control studies, and cross-sectional studies.

[Low-level evidence]

- Case series studies, case studies, expert opinions, and clinical experience.

(2) Clinical Questions and classification of recommendation categories

As a result of the discussions held by the Guideline Committee, controversial issues were selected as Clinical Questions (CQ), and recommendations were made.

Each recommendation in response to a CQ is accompanied by a classification of the evidence and a classification of recommendation categories based on the consensus reached by the Guideline Committee members. In determining the recommendation categories, in addition to an evaluation of the internal validity of the source of evidence for each recommendation, a comprehensive investigation of the internal validity, external validity, and clinical applicability of each recommendation was performed, considering the following points: (1) the treatment method has a clear scientific rationale and is the best treatment method conceivable; (2) the treatment method is as safe as possible, causes little invasion, and maintains physical function; (3) the treatment method is cost-effective and imposes the smallest financial burden on the patient; and (4) the treatment method is in line with the treatment methods used in actual clinical practice settings in Japan.

Recommendations with which all members of the Guideline Committee agreed were classified as category A or category B recommendations. Recommendations with which three or more members of the Committee disagreed were classified as category D recommendations, and all other recommendations were classified as category C recommendations. The category D recommendations are not included in these Guidelines.

Classification of recommendation categories:

- Category A: unanimous recommendations by the Guideline Committee based on high-level evidence
- Category B: unanimous recommendations by the Guideline Committee based on low-level evidence
- Category C: recommendations that were not agreed to completely by the members of the Guideline Committee, irrespective of the level of evidence
- Category D: recommendations that were not agreed to by three or more members of the Guideline Committee

M. Yoshida
Department of Hemodialysis and Surgery, Chemotherapy
Research Institute, International University of Health and
Welfare, Ichikawa, Japan

N. Yamaguchi
Library, Toho University Medical Center Sakura Hospital,
Chiba, Japan

K. Kotake
Department of Surgery, Tochigi Cancer Center, Utsunomiya,
Japan

Table 1 Number of scientific articles retrieved and selected

	Number of articles retrieved		Number of articles selected		Number of articles retrieved manually
	PubMed	Ichushi	PubMed	Ichushi	
(1) Endoscopic treatment of colorectal cancer	283	214	10	8	8
(2) Treatment of stage 0 to stage III colorectal cancer	347	268	49	11	2
(3) Treatment of stage IV colorectal cancer	189	98	79	14	9
(4) Treatment of liver metastases of colorectal cancer	645	281	255	42	14
(5) Treatment of lung metastases of colorectal cancer	54	134	28	22	2
(6) Treatment of recurrent colorectal cancer	488	125	111	18	7
(7) Adjuvant chemotherapy for colorectal cancer	340	189	154	27	31
(8) Chemotherapy for unresectable colorectal cancer	472	66	234	41	121
(9) Adjuvant radiotherapy for colorectal cancer	398	61	86	6	15
(10) Palliative radiotherapy for colorectal cancer	704	31	107	6	17
(11) Palliative care for colorectal cancer	182	58	19	5	8
(12) Surveillance after surgery for colorectal cancer	1,203	1,213	249	37	13
Total	5,305	2,738	1,381	237	247

4. Literature search

Initially, the literature search was performed for the following 12 broad categories. Then, a further search was done as needed with additional search techniques.

- (1) Endoscopic treatment of colorectal cancer
- (2) Treatment of stage 0 to stage III colorectal cancer
- (3) Treatment of stage IV colorectal cancer
- (4) Treatment of liver metastases of colorectal cancer
- (5) Treatment of lung metastases of colorectal cancer
- (6) Treatment of recurrent colorectal cancer
- (7) Adjuvant chemotherapy for colorectal cancer
- (8) Chemotherapy for unresectable colorectal cancer
- (9) Adjuvant radiotherapy for colorectal cancer
- (10) Palliative radiotherapy for colorectal cancer
- (11) Palliative care for colorectal cancer
- (12) Surveillance after surgery for colorectal cancer

The PubMed and Ichushi-Web databases were selected for the search, and the English and Japanese literature was searched in both databases for the period from January 1983 to December 2007. The task of searching was shared by four members of the medical library; the four members created a search formula by discussion with the Committee members in charge of each item and collected literature during the search period (January 2008 to July 2008). For categories (7) and (8), however, April 2010 was set as the end of the search period. In addition, secondary documents such as UpToDate and literature collected by manual searching were added and critically examined as needed, and other documents such as minutes and guidelines were included as necessary. Of the 8,043 references identified as

a result of the searches (5,305 in the PubMed database and 2,738 in the Ichushi-Web database), 1,618 references were retrieved and examined critically (Table 1).

5. Funding

Preparation of these Guidelines was funded by the JSCCR and the Health and Labour Sciences Research Fund (3rd Term Comprehensive 10-Year Strategy for Cancer Control Research Project).

6. Conflicts of interest

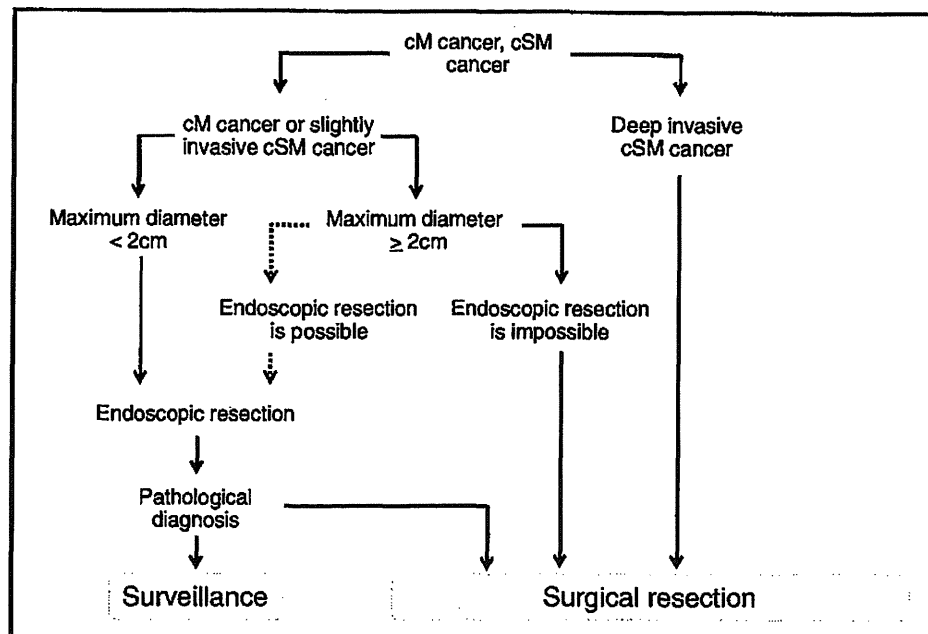
None of the members of the committee in charge of the preparation of these Guidelines has any conflict of interest with entities such as any specific profit or nonprofit organizations or any entities related to pharmaceutical or medical products, and the board of the JSCCR confirmed the self-reported absence of any conflicts of interest by the Guideline Committee members.

Treatment guidelines for colorectal cancer

Chapter 1: Treatment strategies for stage 0 to stage III colorectal cancer

1. Endoscopic treatment

General principles underlying the indications for endoscopic resection (Fig. 1)

Fig. 1 Treatment strategies for cM cancer and cSM cancer

- There is little possibility of lymph node metastasis, and the size and location of the tumor make en bloc resection possible.

Indication criteria for endoscopic resection:

- (1) Intramucosal carcinoma or carcinoma with slight submucosal invasion
 - (2) Maximum diameter <2 cm
 - (3) Any macroscopic type
- Endoscopic treatment is a method of endoscopically resecting lesions in the large bowel and of collecting the resected specimens.
 - Endoscopic treatment methods consist of polypectomy,¹ endoscopic mucosal resection (EMR),² and endoscopic submucosal dissection (ESD).³
 - In determining the indication for endoscopic treatment and the treatment method, information on the size, predicted depth of invasion, and morphology of the

tumor is essential, and the histological type of the tumor should also be taken into consideration.

Comments

- Endoscopic resection is intended for both diagnosis and treatment. It consists of total excisional biopsy in which curability and the need for additional intestinal resection are assessed by histopathological examination of the resected specimens (CQ-1).
- En bloc resection is desirable for accurate diagnosis of the status of carcinoma invasion in the resection margin and the deepest area.
- 2 cm is the largest size of a tumor that can be easily resected en bloc by polypectomy or snare EMR [3] (CQ-2).
- Colorectal ESD has not become a common treatment method, because the technique is difficult and there is a high risk of complications (perforation) [3].
- EMRC (EMR using a cap) involves a high risk of perforation when used for colon lesions.
- If the preoperative diagnosis is intramucosal carcinoma, piecemeal resection can be performed. It should be noted, however, that piecemeal resection is associated with a high incomplete resection rate and a high local recurrence rate [3].

2. Surgical treatment (Fig. 2)

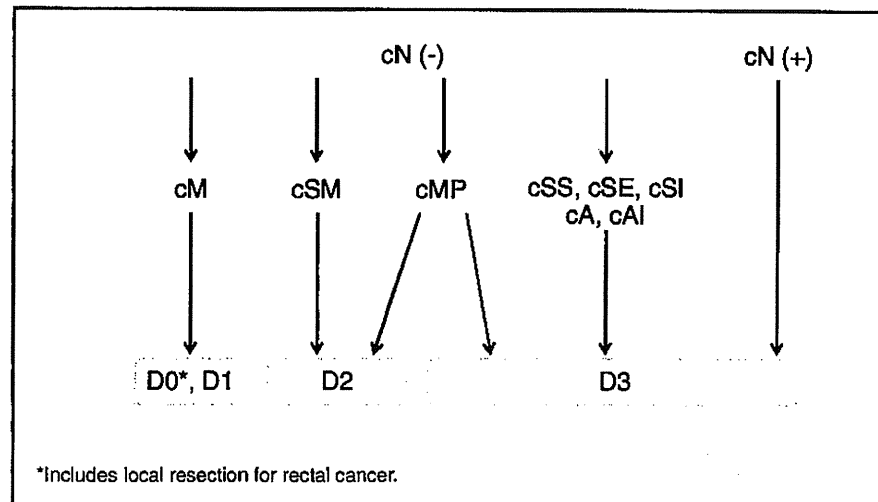
- The extent of lymph node dissection to be performed during colorectal cancer surgery is determined based on the preoperative clinical findings (c) or on the extent of

¹ In polypectomy, a snare is placed on the stalk of the lesion, and the lesion is electrocauterized using a high-frequency current. This method is mainly used for protruding lesions.

² In EMR, the lesion is elevated through the local injection of a liquid such as physiological saline into the submucosa, and the lesion is electrocauterized just as in polypectomy. This method comprises the snare method [2] and EMR using a cap (EMRC). It is mainly used for superficial tumors and large sessile lesions.

³ In ESD, the lesion is elevated through the local injection of a liquid such as sodium hyaluronate solution into the submucosa of the perilesional area; then, circumferential incision of the mucosa surrounding the lesion and dissection of the submucosa are performed with a special knife [3]. ESD is mainly indicated for large tumors that cannot be resected by EMR.

Fig. 2 Surgical treatment strategies for stage 0 to stage III colorectal cancer



lymph node metastasis and depth of wall invasion by the tumor observed intraoperatively (s).

- If lymph node metastasis is suspected based on the preoperative/intraoperative diagnostic findings, D3 dissection is performed.
 - If no lymph node metastases are observed based on the preoperative/intraoperative diagnostic findings, lymph node dissection is performed based on the depth of wall invasion by the tumor [4].
- (1) Lymph node dissection is unnecessary for M cancer (D0), because M cancer is not accompanied by lymph node metastasis; however, D1 dissection can be performed because the accuracy of the preoperative diagnosis of invasion depth may be insufficient.
 - (2) D2 dissection is necessary for SM cancer, because the incidence of lymph node metastasis is approximately 10% and because SM cancer is often accompanied by intermediate lymph node metastasis.
 - (3) Although there is insufficient evidence describing the area of dissection for MP cancer, at the very least D2 dissection is necessary. However, D3 dissection can be performed, because MP cancer is often accompanied by main lymph node metastases and because preoperative diagnosis of depth of invasion is not very accurate.

Surgical treatment of rectal cancer:

- The principle for proctectomy is TME (total mesorectal excision) or TSME (tumor-specific mesorectal excision) [5–8].

[Indications criteria for lateral lymph node dissection]

- Lateral lymph node dissection is indicated when the lower border of the tumor is located distal to the

peritoneal reflection and has invaded beyond the muscularis propria [9].

[Local rectal resection]

- Local resection is indicated for cM cancer and cSM cancer (slight invasion) located distal to the second Houston valve (peritoneal reflection). Approaches for local resection are classified into transanal resection, transsphincter resection, and parasacral resection [10]. Transanal resection includes the conventional method in which the tumor is resected under direct vision and transanal endoscopic microsurgery (TEM) [11]. More proximal lesions can be resected by TEM than by the conventional method.

[Autonomic nerve-preserving surgery]

- The autonomic nervous system relating to surgery of rectal cancer consists of the lumbar splanchnic nerves, superior hypogastric plexus, hypogastric nerves, pelvic splanchnic nerves, and the pelvic plexus. Considering factors such as the degree of cancer progression and the presence or absence of macroscopic nerve invasion, preservation of autonomic nerves is attempted in order to preserve urinary and sexual functions as much as possible, provided that curability is unaffected.

Laparoscopic surgery:

- Transabdominal surgery consists of open abdominal surgery and laparoscopic surgery. The indications for laparoscopic surgery are determined by considering the surgeon's experience and skills as well as tumor factors, such as the location and degree of progression of the cancer, and patient factors, such as obesity and history of open abdominal surgery (CQ-3).

Table 2 Lateral lymph node dissection and lateral lymph node metastasis of rectal cancer

	No. of patients	No. of patients who underwent lateral lymph node dissection	Lateral lymph node dissection rate (%)	No. of patients with lateral lymph node metastasis	Lateral lymph node metastasis rate (% of all patients)	Lateral lymph node metastasis rate (% of patients who underwent lateral lymph node dissection)
RS						
sm	124	0	0	0	0.0	0.0
mp	127	6	4.7	0	0.0	0.0
ss/a ₁	316	24	7.5	0	0.0	0.0
se/a ₂	177	8	4.5	0	0.0	0.0
si/ai	32	14	43.8	1	3.1	7.1
Total	776	52	6.7	1	0.1	1.9
Ra						
sm	138	5	3.6	0	0.0	0.0
mp	149	18	12.1	0	0.0	0.0
ss/a ₁	230	58	25.2	4	1.7	6.9
se/a ₂	181	59	32.6	7	3.9	11.9
si/ai	15	8	53.3	0	0.0	0.0
Total	713	148	20.8	11	1.5	7.4
RaRb+Rb						
sm	234	37	15.8	2	0.9	5.4
mp	372	218	58.6	20	5.4	9.2
ss/a ₁	350	230	65.7	28	7.7	12.2
se/a ₂	412	319	77.4	75	18.0	23.5
si/ai	59	48	81.4	17	28.8	35.4
Total	1,427	852	59.7	142	9.8	16.7

Project study by the JSCCR: patients in years 1991–1998

Comments**[Lateral lymph node dissection]**

- An analysis of 2916 cases of rectal cancer in the project study by the JSCCR showed that the lateral lymph node metastasis rate in patients whose lower tumor border was located distal to the peritoneal reflection and whose cancer had penetrated through the rectal wall was 20.1% (only patients who underwent lateral lymph node dissection) (Table 2). After performing lateral lymph node dissection for the indication mentioned above, the risk of intrapelvic recurrence decreased by 50%, and the 5-year survival rate improved by 8–9% [9].
- The lateral lymph node metastasis rate of patients whose lower tumor border was located distal to the peritoneal reflection and who had lymph node metastasis in the mesorectum was 27%.
- Urinary function and male sexual function may be impaired after lateral lymph node dissection, even if the autonomic nervous system is completely preserved.

[Aggregate data from the Colorectal Cancer Registry]

- The incidence of lymph node metastasis according to site and depth of invasion, curative resection rate, and 5-year survival rate is shown in Tables 3, 4, and 5 [4].

- The 5-year survival rates after curative resection of stage 0 to stage III colorectal cancer according to site were: all sites 81.3%; colon 83.7%, rectosigmoid 81.2%; Ra–Rb rectum 77.1%.

Chapter 2: Treatment strategies for stage IV colorectal cancer (Fig. 3)

- Stage IV colorectal cancer is associated with synchronous distant metastasis to any of the following organs: liver, lung, peritoneum, brain, distant lymph nodes, or other organs (e.g., bone, adrenal gland, spleen).
- If both the distant metastases and the primary tumor are resectable, curative resection of the primary tumor is performed, and resection of the distant metastases is considered.
- If the distant metastases are resectable but the primary tumor is unresectable, in principle, resection of the primary tumor and distant metastases is not performed, and another treatment method is selected.
- If the distant metastases are unresectable but the primary tumor is resectable, the indication for the resection of the primary tumor is determined, based on the clinical symptoms of the primary tumor and the impact on the prognosis (CQ-4).

Table 3 Incidence of lymph node metastasis according to primary site and depth of invasion

No. of patients		Extent of lymph node metastasis detected histologically				
		n_0 (%)	n_1 (%)	n_2 (%)	n_3 (%)	n_4 (%)
All sites (C-P)						
sm	2,846	90.1	7.5	2.1	0.1	0.2
mp	3,402	77.0	17.2	4.8	0.7	0.3
ss/a ₁	9,862	56.1	27.4	12.2	2.7	1.6
se/a ₂	6,175	37.0	32.4	20.2	5.8	4.5
si/ai	1,294	44.0	25.2	15.7	7.6	7.6
Total	23,579	57.6	24.7	12.2	3.2	2.3
Colon (C-S)						
sm	1,757	90.9	6.9	1.9	0.1	0.2
mp	1,598	79.0	16.1	4.4	0.2	0.3
ss/a ₁	6,428	57.7	25.8	1.2	2.8	1.4
se/a ₂	3,547	38.0	31.7	20.1	5.8	4.4
si/ai	814	46.3	24.8	15.2	5.4	8.2
Total	14,144	58.6	23.8	12.2	3.1	2.3
Rectosigmoid (RS)						
sm	276	90.9	8.0	1.1	0	0
mp	388	78.9	16.2	4.4	0.3	0.3
ss/a ₁	1,227	54.9	30.6	10.2	1.6	2.6
se/a ₂	793	37.6	36.4	17.9	4.2	3.9
si/ai	134	44.8	28.4	14.2	4.5	8.2
Total	2,818	56.4	28.0	10.9	2.1	2.7
Rectum (Ra-Rb)						
sm	800	88.1	8.6	2.8	0.3	0.3
mp	1,377	74.3	19.0	5.1	1.5	0.2
ss/a ₁	2,169	51.7	30.5	13.4	2.8	1.7
se/a ₂	1,774	34.7	32.9	21.0	6.3	5.1
si/ai	322	37.6	26.1	17.7	13.7	5.0
Total	6,442	55.7	25.8	12.6	3.7	2.3
Anal canal (P)						
sm	13	84.6	7.7	7.7	0	0
mp	39	69.2	12.8	12.8	2.6	2.6
ss/a ₁	38	65.8	18.4	13.2	2.6	0.0
se/a ₂	61	42.6	8.2	32.8	14.8	1.6
si/ai	24	45.8	8.3	12.5	16.7	16.7
Total	175	57.1	11.4	19.4	8.6	3.4

National Registry of Patients with Cancer of the Colon and Rectum of the JSCCR: patients in fiscal years 1995–1998. Depth of invasion and the degree of lymph node metastasis were determined according to the rules set forth in the *Japanese Classification of Colorectal Carcinoma* (6th edition)

Comments

- The incidence of synchronous distant metastasis is shown in Table 6.
- Distant metastasis associated with peritoneal dissemination (CQ-5).
 - (1) Complete resection is desirable for P1.
 - (2) Complete resection is considered for P2 when easily resectable.
 - (3) The efficacy of resection of P3 has not been demonstrated.

Chapter 3: Treatment strategies for recurrent colorectal cancer (Fig. 4)

- The goal of treatment for recurrent colorectal cancer is to improve the prognosis and the patient's QOL.
- Treatment methods include surgery, systemic chemotherapy, arterial infusion chemotherapy, thermal coagulation therapy, and radiotherapy.
- An appropriate treatment method is selected with the informed consent of the patient in view of a variety of factors, such as the prognosis, complications, and QOL expected after treatment.

Table 4 Curative resection rate according to stage (lower rows: nos. of patients)

Stage	I	II	IIIa	IIIb	IV	All stages
All patients (C–P)	99.5%	97.0%	91.1%	79.7%	–	78.4%
	5,125	7,168	5,098	2,518	3,953	23,862
Colon (C–S)	99.7%	97.9%	92.2%	82.7%	–	78.1%
	2,838	4,609	2,924	1,436	2,567	14,374
Rectosigmoid (RS)	99.8%	96.2%	91.3%	82.2%	–	77.0%
	548	870	647	258	519	2,842
Rectum (Ra–Rb)	98.9%	95.5%	89.0%	74.7%	–	79.8%
	1,699	1,644	1,497	775	852	6,467
Anal canal (P)	100.0%	80.0%	80.0%	59.2%	–	72.1%
	40	45	30	49	15	179

National Registry of Patients with Cancer of the Colon and Rectum of the JSCCR: patients in fiscal years 1995–1998

Curative resection rate = number of patients with histological curability A cancer/total number of patients who underwent surgery

Staging was performed according to the rules set forth in the *Japanese Classification of Colorectal Carcinoma* (6th edition)**Table 5** Cumulative 5-year survival rate according to site (lower rows: nos. of patients)

Stage	0	I	II	IIIa	IIIb	IV	All stages
Cecum	90.2%	86.7%	81.4%	69.3%	59.5%	9.8%	63.7%
(C)	110	149	252	209	137	225	1,082
Ascending colon	96.3%	90.9%	83.7%	73.9%	57.3%	14.2%	68.3%
(A)	209	257	698	398	254	409	2,225
Transverse colon	94.5%	89.1%	82.6%	70.1%	60.1%	9.6%	67.8%
(T)	176	199	447	270	143	261	1,496
Descending colon	94.7%	90.3%	82.8%	70.9%	57.8%	18.5%	73.4%
(D)	129	151	267	152	67	115	881
Sigmoid colon	95.2%	91.4%	84.5%	81.4%	67.4%	16.6%	75.0%
(S)	559	1,149	1,373	879	394	781	5,135
Rectosigmoid	95.4%	94.6%	79.2%	71.2%	58.1%	11.6%	69.3%
(RS)	184	390	534	448	149	340	2,045
Upper rectum	94.2%	93.1%	77.7%	69.5%	53.7%	9.8%	68.8%
(Ra)	211	471	579	523	238	329	2,351
Lower rectum	92.2%	87.3%	75.2%	60.6%	43.7%	12.3%	66.9%
(Rb)	370	876	653	623	431	336	3,289
Anal canal	91.3%	92.2%	78.9%	43.7%	47.0%	10.2%	59.7%
(P)	12	31	36	32	33	24	168
Colon	94.8%	90.6%	83.6%	76.1%	62.1%	14.3%	71.4%
(C–S)	1,183	1,905	3,037	1,908	995	1,791	10,819
Rectum	92.9%	89.3%	76.4%	64.7%	47.1%	11.1%	67.7%
(Ra–Rb)	581	1,347	1,232	1,146	669	665	5,640
All sites	94.3%	90.6%	81.2%	71.4%	56.0%	13.2%	69.9%
(C–P)	1,960	3,673	4,839	3,534	1,846	2,820	18,672

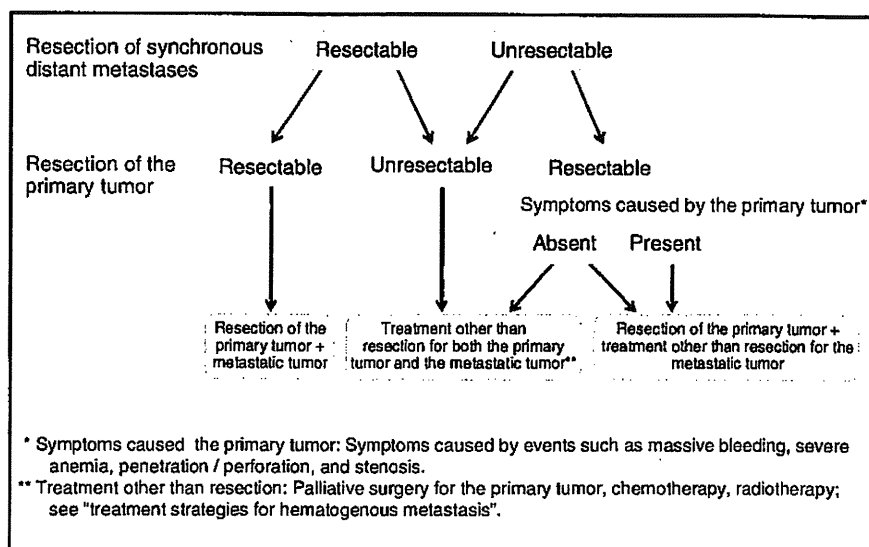
National Registry of Patients with Cancer of the Colon and Rectum of the JSCCR: patients in fiscal years 1991–1994

Only adenocarcinomas (including mucinous carcinomas and signet-ring cell carcinomas) were counted

Survival rates were calculated by the life table method with death from any cause as an event

Lost to follow-up rate 2%; 5-year censoring rate 19%

Staging was performed according to the rules set forth in the *Japanese Classification of Colorectal Carcinoma* (6th edition)

Fig. 3 Treatment strategies for stage IV colorectal cancer**Table 6** Incidence of synchronous distant metastasis of colorectal cancer

	Liver	Lung	Peritoneum	Other sites				
				Bone	Brain	Virchow	Other	Total
Colon cancer	11.4%	1.6%	6.4%	0.3%	0.1%	0.1%	0.4%	0.9%
No. of patients 15,528	1,777	242	993	44	9	19	64	136
Rectal cancer	9.5%	1.7%	3.0%	0.3%	0.1%	0.01%	0.5%	1.0%
No. of patients 10,563	1,002	180	314	36	8	1	57	102
Total no. of patients 26,091	2,779	422	1,307	80	17	20	121	238

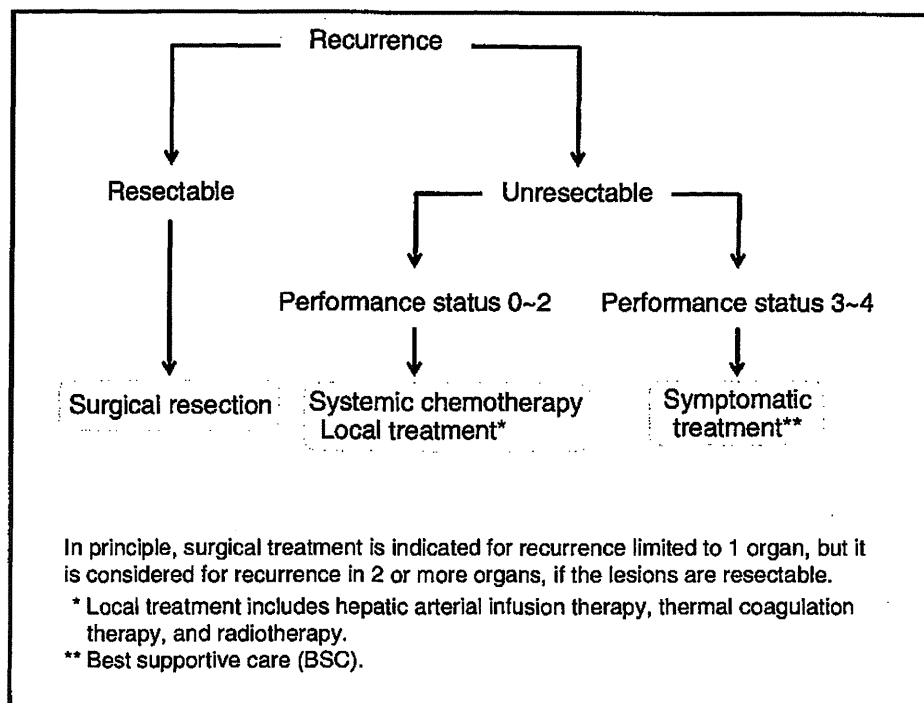
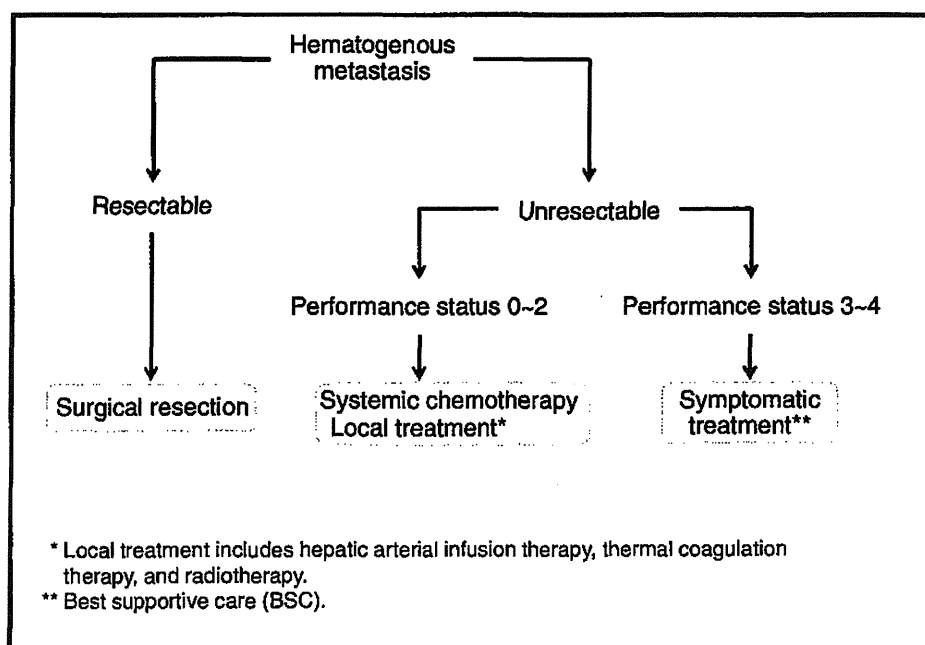
National Registry of Patients with Cancer of the Colon and Rectum of the JSCCR: patients in fiscal years 1995–1998

- If recurrence is observed in a single organ and complete surgical resection of the recurrent tumor(s) is possible, resection is strongly considered.
- If recurrence is observed in more than a single organ, resection can be considered if the recurrent tumors in all of the organs are resectable [12, 13]; however, there is no consensus on the effects of treatment.
- Some authors believe that resection of liver or lung metastases should be performed only after a certain observation period to rule out occult metastases [14].
- Treatment methods for hematogenous metastases (see "Chapter 4: Treatment strategies for hematogenous metastases").
- Local recurrences of rectal cancer take the form of anastomotic recurrences and intrapelvic recurrences.
 - (1) Resection is considered for resectable recurrences,
 - (2) radiotherapy and systemic chemotherapy, either alone or in combination, are considered for unresectable recurrences.

Comments

[Local recurrence of rectal cancer]

- The extent of spread of the recurrent tumor is evaluated by diagnostic imaging, and resection is considered only for patients in whom complete resection can be expected, after taking into consideration such factors as the pattern of recurrence, symptoms, and physical findings (CQ-6).

Fig. 4 Treatment strategies for recurrent colorectal cancer**Fig. 5** Treatment strategies for hematogenous metastases

Chapter 4: Treatment strategies for hematogenous metastases (Fig. 5)

1. Treatment strategies for liver metastases

- Treatment of liver metastases is broadly divided into hepatectomy, systemic chemotherapy, hepatic arterial infusion therapy, and thermal coagulation therapy.

- Hepatectomy is recommended for liver metastases when curative resection is possible.
- Hepatectomy consists of systematic resection and partial (nonsystematic) resection.

Indication criteria for hepatectomy

- (1) the patient is capable of tolerating surgery,
- (2) the primary tumor has been controlled or can be controlled,

- (3) the metastatic liver tumor can be completely resected,
- (4) there are no extrahepatic metastases or they can be controlled,
- (5) the function of the remaining liver will be adequate.
- Systemic chemotherapy and hepatic arterial infusion therapy, either alone or in combination, are considered for patients with unresectable liver metastases whose general condition can be maintained at a certain level or higher (PS 0 to PS 2).
- Thermal coagulation therapy consists of microwave coagulation therapy (MCT) and radiofrequency ablation (RFA).
- If the patient's general condition is poor (PS ≥ 3), best supportive care (BSC) is provided.

Comments

[Hepatectomy]

- There are reports showing the efficacy of hepatectomy in patients who have controllable extrahepatic metastases (mainly lung metastases) in addition to liver metastases [12, 13, 15, 16] (CQ-7).
- The efficacy of systemic chemotherapy and hepatic arterial infusion therapy after hepatectomy has not been established (CQ-8).
- The safety of preoperative chemotherapy for resectable liver metastases has not been established (CQ-9).

[Treatment methods other than resection]

- Systemic chemotherapy or hepatic arterial infusion therapy with anticancer drugs is performed alone or in combination for patients with unresectable liver metastases (CQ-10).

2. Treatment strategies for lung metastases

- Treatment of lung metastases consists of pulmonary resection and chemotherapy.
- Pulmonary resection is considered if the metastatic lung tumor is resectable.
- Pulmonary resection consists of systematic resection and partial (nonsystematic) resection.

Indication criteria for pulmonary resection

- (1) The patient is capable of tolerating surgery,
- (2) the primary tumor has been controlled or can be controlled,
- (3) the metastatic lung tumor can be completely resected,
- (4) there are no extrapulmonary metastases, or they can be controlled,
- (5) the function of the remaining lung will be adequate.

- Systemic chemotherapy is considered for patients with unresectable lung metastases whose general condition can be maintained at a certain level or higher.
- Even if the patient cannot tolerate surgery, stereotactic radiotherapy is considered if the primary tumor and extrapulmonary metastases are controlled or can be controlled and the number of lung metastases is no more than three or four.
- If the patient's general condition is poor, appropriate BSC is provided.

3. Treatment strategies for brain metastases

- Brain metastases are often detected as a part of a systemic disease, and surgical therapy or radiotherapy is considered for lesions in which treatment can be expected to be effective.
- The optimal treatment method is selected after considering the patient's general condition and the status of other metastatic tumors, and evaluating the sizes and locations of metastatic tumors and the number of lesions.
- Radiotherapy is considered for patients with unresectable metastases.

[Surgical therapy]

Indications criteria for removal of brain metastases [17]

- (1) The patient has a life expectancy of at least several months,
- (2) resection will not cause significant neurologic symptoms,
- (3) there are no metastases to other organs, or they can be controlled.

[Radiotherapy]

- The purpose of radiotherapy is to relieve symptoms, such as cranial nerve symptoms and intracranial hypertension symptoms, and to prolong survival time by reducing locoregional relapse.
- Whole-brain radiotherapy is considered for patients with multiple brain metastases and for patients with a solitary brain metastasis for which surgical resection is not indicated.
- Stereotactic irradiation is considered when the number of brain metastases is no more than three or four and the maximum diameter of each metastasis does not exceed 3 cm.

4. Treatment strategies for hematogenous metastases to other organs

- Resection is also considered for other hematogenous metastases, such as to the adrenal glands, skin, and

spleen, if they are resectable. However, patients with such metastases often have metastasis to more than one organ, and chemotherapy or radiotherapy is often indicated.

Chapter 5: Chemotherapy

- Chemotherapy consists of adjuvant chemotherapy to prevent postoperative recurrence and systemic chemotherapy to treat unresectable colorectal cancer.
- Commonly used anticancer drugs that have been approved for the indication of colorectal cancer and are covered by Japanese National Health Insurance are:

Oral drugs	5-FU, tegafur, UFT, doxifluridine (5'-DFUR), capecitabine (HCFU), S-1, UFT + leucovorin (LV), capecitabine, etc.
Injection drugs	5-FU, mitomycin C, irinotecan (CPT-11), 5-FU + l-leucovorin (l-LV), oxaliplatin (L-OHP), bevacizumab, cetuximab, panitumumab, etc.

1. Adjuvant chemotherapy

- Postoperative adjuvant chemotherapy is systemic chemotherapy that is performed after surgery to prevent recurrence and improve the prognosis of patients who have undergone R0 resection [18].

General principles underlying the indications for systemic chemotherapy

- (1) Stage III colorectal cancer (colon and rectal cancer) for which R0 resection has been performed
 - (2) The function of major organs is maintained
 - Bone marrow: peripheral blood WBC count $>4,000/\text{mm}^3$; platelet count $>100,000/\text{mm}^3$.
 - Liver function: total bilirubin $<2.0 \text{ mg/dL}$; AST/ALT $<100 \text{ IU/L}$.
 - Renal function: serum creatinine concentration no higher than the upper limit of the normal at the institution.
 - (3) Performance status (PS) of 0 or 1 (CQ-11),
 - (4) the patient has recovered from postoperative complications, if any
 - (5) the patient has provided written informed consent,
 - (6) the patient has no serious complications (in particular: no intestinal obstruction, diarrhea, or fever).
- For patients who have stage II colorectal cancer with a high risk of recurrence, the indications for adjuvant

chemotherapy are considered after obtaining informed consent [19, 20] (CQ-12).

Recommended therapies (listed in the order of the date of their coverage by Japanese National Health Insurance)

- 5-FU+l-LV
- UFT + LV
- Capecitabine
- FOLFOX4 or mFOLFOX6 (CQ-14)

Recommended administration period (CQ13)

- In principle, the administration period is 6 months.

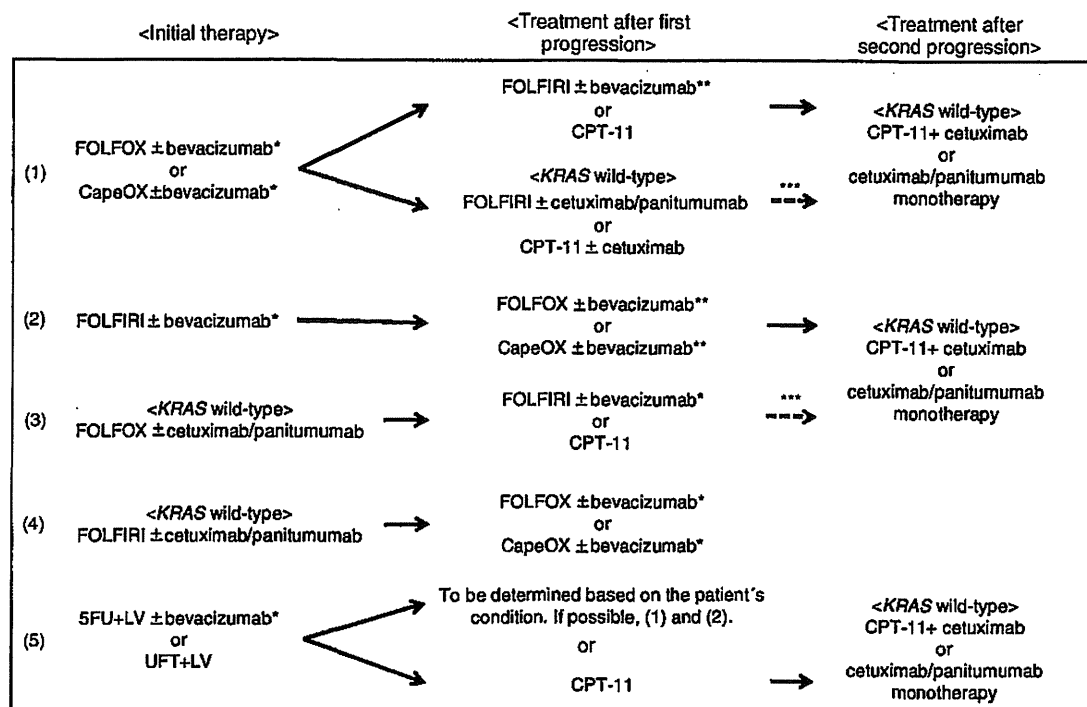
Comments

- Randomized controlled trials conducted in Europe and the United States have shown that the combination of intravenous infusion of 5-FU + LV and L-OHP (FOLFOX4 and FLOX) used as postoperative adjuvant chemotherapy for stage III colon cancer provides an additional benefit in terms of prevention of recurrence and survival time [21–24]. FOLFOX has also been approved in Japan for the postoperative adjuvant therapy of stage III colon cancer, and it became available in August 2009. Although combinations of oral anticancer drugs and L-OHP have been reported to be useful in Europe and the United States, as of July 2010 no such combinations had been approved in Japan [25] (CQ-14).

Note The Roswell Park Memorial Institute (RPMI) method of 5-FU + LV therapy as an adjuvant chemotherapy (drip infusion of l-LV 250 mg/m^2 administered for 2 h; intravenous infusion of 5-FU 500 mg/m^2 slowly administered within 3 min at 1 h after the start of administration of l-LV; once-weekly administration for 6 consecutive weeks followed by a 2-week rest period, 3 cycles every 8 weeks [26]).

2. Chemotherapy for unresectable colorectal cancer (Fig. 6)

- In the absence of chemotherapy, the median survival time (MST) of patients with unresectable colorectal cancer has been reported to be approximately 8 months. Although their MST has been extended to approximately 2 years as a result of recent chemotherapy, unresectable colorectal cancer is still difficult to cure.
- The purpose of chemotherapy is to prolong survival time and control symptoms by delaying tumor enlargement.
- Phase III clinical trials in PS 0 to PS 2 patients have shown significantly longer survival time in the chemotherapy



*: Administration of bevacizumab is recommended, but not when considered appropriate.

**: If bevacizumab was not administered as primary treatment, or if administration of bevacizumab was discontinued because of toxicity of CPT-11 and L-OHP even though the primary treatment was still effective, then, administration of bevacizumab is recommended as secondary treatment.

***: If anti-EGFR antibody drugs were not used in the secondary treatment.

Fig. 6 Chemotherapy for unresectable colorectal cancer

groups than in the best supportive care (BSC) groups that did not receive anticancer drugs [27–29].

- Unresectable colorectal cancer may become resectable after successful chemotherapy.

General principles underlying the indications for systemic chemotherapy

- (1) The clinical diagnosis or histopathological diagnosis has been confirmed
- (2) The metastatic or recurrent tumor can be confirmed by imaging
- (3) Performance status (PS) is 0–2
- (4) The function of major organs is maintained
 1. Bone marrow: peripheral blood WBC count >3,500/mm³; platelet count >100,000/mm³
 2. Liver function: total bilirubin <2.0 mg/dL; AST/ALT <100 IU/L
 3. Renal function: serum creatinine concentration no higher than the upper limit of the normal range at the institution
- (5) The patient has provided written informed consent

- (6) The patient has no serious complications (especially, no intestinal obstruction, diarrhea, or fever)

Initial therapy

- The following are regimens that have been shown to be useful in clinical trials and that are available as initial therapies covered by Japanese National Health Insurance.
- The usefulness of cetuximab and panitumumab has been demonstrated in KRAS wild-type tumors (CQ-16).

- (1) FOLFOX⁴ [30, 31] ± bevacizumab [32], CapeOX⁵ ± bevacizumab [32, 33].
- (2) FOLFIRI⁶ [34, 35] ± bevacizumab [36, 37]
- (3) FOLFOX ± cetuximab/panitumumab [38, 39]
- (4) FOLFIRI ± cetuximab/panitumumab [40, 41]
- (5) 5-FU + LV [42] ± bevacizumab [43, 44] or UFT + LV [45]

⁴ FOLFOX is infusional 5-FU + LV + L-OHP.

⁵ CapeOX is capecitabine + L-OHP.

⁶ FOLFIRI is infusional 5-FU + LV + CPT-11.

Therapy after the first or second progression

- The following regimens are considered as chemotherapy for secondary or follow-up treatment (CQ-15).
 - The usefulness of cetuximab and panitumumab has been demonstrated in KRAS wild-type tumors (CQ-16).
- (a) For patients whose cancer has become resistant to a regimen that includes L-OHP:
 - (1) FOLFIRI [34] ± bevacizumab,
 - (2) FOLFIRI (or CPT-11 alone) ± cetuximab/panitumumab [46, 47].
 - (b) For patients whose cancer has become resistant to a regimen that includes CPT-11:
 - (1) FOLFOX [34, 48] ± bevacizumab [49], CapeOX² [50] ± bevacizumab,
 - (2) CPT-11 + cetuximab [51].
 - (c) For patients whose cancer has become resistant to a regimen that includes 5-FU, L-OHP, and CPT-11:
 - (1) CPT-11 + cetuximab [51],
 - (2) Cetuximab/panitumumab monotherapy [52–55].

Comments

- Careful attention must be paid when using CPT-11 to treat patients with constitutional jaundice, such as caused by Gilbert's syndrome, or to treat patients with high serum bilirubin values. Relationships between genetic polymorphisms of enzymes that metabolize CPT-11 and toxicity have been suggested (see "Side Memo 2").

Chapter 6: Radiotherapy

- Radiotherapy is used to treat patients with locally advanced rectal cancer, either as an adjuvant therapy after surgery to prevent recurrence, or before surgery to reduce tumor volume and preserve the anal sphincter, and also as palliative care to relieve the symptoms and prolong the survival times of patients with unresectable colorectal cancer who have symptomatic lesions.

1. Adjuvant radiotherapy

- Adjuvant radiotherapy is classified into three categories, according to the timing of surgery and radiation therapy: preoperative radiotherapy, intraoperative radiotherapy, and postoperative radiotherapy.
- The purpose of adjuvant radiotherapy is to improve the local control rate and the survival rate of rectal cancer

patients. In addition the purpose of preoperative radiotherapy is to improve the anal sphincter preservation rate and resection rate.

- Preoperative radiotherapy is indicated for patients with T stage clinically diagnosed as "invasion depth cSS/cA or deeper or cN-positive;" postoperative radiotherapy is indicated for patients with T stage pathologically diagnosed after surgery as "invasion depth pSS/pA or deeper or pN-positive;" and intraoperative radiotherapy is indicated for surgical dissection plane positive (RM+) cancer or cancer with invasion close to the dissection plane (RM±).
- Radiotherapy is delivered with a linear accelerator, with electron beams being used for intraoperative radiotherapy and photon beams for external radiotherapy.

Comments

- Preoperative radiotherapy (CQ-17).
1. Preoperative radiotherapy has the following advantages: seeding during surgery can be prevented by inactivating lesions with irradiation; a high percentage of tumor cells are normo-oxic and radiosensitive, because blood flow to the tumor is maintained; the small bowel is not fixed within the pelvic cavity, thereby resulting in low radiation-induced delayed toxicity, which means less toxic than postoperative setting; improvements in the resection rate and anal sphincter preservation can be expected because of tumor size reduction [56].
 2. Preoperative radiotherapy has the following disadvantages: early-stage patients may be subjected to over-treatment and postoperative complications may increase.
 3. Twelve phase III clinical trials of preoperative radiotherapy (without chemotherapy) have been reported [56], and in 5 of the 12 trials the local control rate in the group that received preoperative radiotherapy was significantly higher than that in the surgery-alone group. However, an improvement in the survival rate was observed in only 1 trial [57].
 4. Two meta-analyses of radiotherapy showed improvement in the local control rate and improvement in the survival rate in the groups that received doses of 30 Gy or more. However, there is controversy as to whether there is improvement in the survival rate [58, 59].
 5. Trials of short-course radiotherapy with 5 Gy per fraction have been conducted, mainly in Europe [57, 60]. Because the late effects of radiation depend on the fraction size, long-term follow-up for late adverse effects, such as anal dysfunction and bowel dysfunction, is necessary.
 6. In the Dutch CKVO 95-04 trial, which compared preoperative radiotherapy (25 Gy delivered in five

fractions in 1 week) + TME with TME alone to investigate the significance of adding short-course radiotherapy to TME, the 5-year local control rate was significantly higher in the combination therapy group but there was no significant difference between the two groups in the 5-year survival rate [60, 61]. The incidences of sexual dysfunction and bowel dysfunction were higher in the preoperative radiation combination therapy group than in the surgery-alone group [62, 63].

7. The effect of preoperative radiotherapy in reducing the size of the primary tumor may enable sphincter preservation. When the purpose of the preoperative radiotherapy is sphincter preservation, it is recommended to perform surgery after allowing an appropriate period for the tumor to decrease in size (6–8 weeks after the completion of radiotherapy) [64].
8. In Europe, three phase III clinical trials, including the EORTC trial, were performed to investigate the usefulness of adding chemotherapy to preoperative radiotherapy. The incidence of acute-phase adverse events was significantly higher in the preoperative chemoradiotherapy groups, but the pathologic complete response rates (pCR) were significantly higher than in the preoperative radiotherapy alone groups. In two trials (the exception being the short-course radiotherapy trial), the local recurrence rate was significantly lower in the preoperative chemoradiotherapy group, and there was no significant difference between the two groups in terms of sphincter preservation or survival rate [65–67].
9. In a phase III clinical trial that compared preoperative chemoradiotherapy and postoperative chemoradiotherapy, there was no significant difference in the 5-year survival rate, but the local recurrence rate and incidence of grade 3 or higher adverse events were significantly lower in the preoperative chemoradiotherapy group. Among the patients in whom abdominoperineal resection (APR) was considered necessary at the time of enrollment, the percentage of patients in whom sphincter preservation was possible was significantly higher in the preoperative chemoradiotherapy group [68].

2. Palliative radiotherapy

a. Intrapelvic lesions (CQ-18)

- The purpose of palliative radiotherapy for intrapelvic lesions is to relieve symptoms such as pain, hemorrhage, and bowel movement disorders caused by intrapelvic tumors.
- The target volume includes the tumor that is causing the symptoms.

[Dose and fractionation]

- A total dose of 45–50 Gy is administered in 1.8–2.0 Gy per fraction.
- Depending on the patient's general condition, such as performance status, and the severity of the symptoms, radiotherapy may be completed in a shorter term with a larger fraction size, for example 30 Gy in 10 fractions over 2 weeks.

b. Extrapelvic lesions

(1) Bone metastases

- The purpose of palliative radiotherapy for bone metastases is to achieve pain relief, prevent pathological fractures, and prevent and treat spinal cord paralysis.
- The target volume includes the metastatic bone lesions causing the symptoms.

[Dose and fractionation]

- Local field radiotherapy, such as 30 Gy in 10 fractions and 20 Gy in 5 fractions, is widely performed.

(2) Brain metastases

- See “Chapter 4: Treatment strategies for hematogenous metastases.”

[Dose and fractionation]

- When whole brain radiotherapy is performed, 30 Gy in 10 fractions is the standard treatment. If long-term survival is expected, prolonged fractionated radiotherapy, such as 37.5 Gy in 15 fractions and 40 Gy in 20 fractions, is considered.
- When stereotactic radiosurgery is performed, a peripheral dose of 16–25 Gy is delivered in a single fraction.

Chapter 7: Palliative care

- Palliative care is a general term for palliative treatment of various mental and physical symptoms related to cancer.
- Palliative care extends from the time the diagnosis of cancer is made to the end stage, and the care provided should depend on the disease stage and symptoms.
- In principle, cancer treatment should be performed under conditions in which symptom relief is achieved [69], and palliative care should be started at the same time as surgical treatment and chemotherapy.
- Palliative care to improve the QOL of patients with end-stage colorectal cancer includes:

- (1) pain relief,
- (2) surgical treatment,