

FOLFOX Enables High Resectability and Excellent Prognosis for Initially Unresectable Colorectal Liver Metastases

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Abstract. *Background/Aim:* To evaluate the efficacy of oxaliplatin plus fluorouracil and leucovorin (FOLFOX) on initially unresectable colorectal liver metastases (CRLM). *Patients and Methods:* From May 2005 to December 2008, FOLFOX was administered to 71 patients with initially unresectable CRLM. Hepatic resection was performed promptly after CRLM became resectable. *Results:* Twenty-six patients (37%) were downstaged as being resectable. The mean interval between the first FOLFOX and hepatic resection was six months (range, 3-7 months), and 7.1 courses (range, 2-12). Operative morbidity was 12% and mortality was nil. The median progression-free survival time was 19 and 7 months, and the median survival time was over 48 and 20 months, in finally resectable and unresectable patients, respectively. Multivariate analysis revealed that additional hepatic resection was the only independent prognostic factor (hazard ratio 4.80, $p < 0.01$). *Conclusion:* FOLFOX is an effective chemotherapeutic regimen leading to successful hepatic resection and an excellent prognosis for patients with initially CRLM.

Combination chemotherapy including modulated infusional 5-fluorouracil (5-FU) plus irinotecan or oxaliplatin can achieve a response rate of 50% and a median survival of over 20 months (1-5). Oxaliplatin has been shown to improve the survival of patients with metastatic colorectal cancer, when given in combination with 5FU/LV, in first- or second-line

therapy (1-5). Another phase III study has shown survival improvement using oxaliplatin plus 5-FU/LV over irinotecan plus 5-FU/leucovorin (LV) as a bolus administration (6).

In a phase III study to investigate two sequences of folinic acid, 5-FU, and irinotecan (FOLFIRI) followed by folinic acid, 5-FU, and oxaliplatin (FOLFOX6), and FOLFOX6 followed by FOLFIRI, hepatic resection of liver metastases was performed in 9% of patients after FOLFIRI versus 22% of patients in FOLFOX6 ($p = 0.02$). R0 resection was performed in 7% of patients after FOLFIRI versus 13% after FOLFOX6 (3). Oxaliplatin-based chemotherapy, including the FOLFOX regimen, can lead to tumors being downstaged in some patients with initially unresectable colorectal liver metastases (CRLM), and allowed hepatic resection in 16-38 per cent patients (7). In a recent paper, FOLFOX4 resulted in tumor reduction in 60% patients and enabled surgical intervention in 40%, after a median of 6 months of chemotherapy in patients with liver-only CRLM (8). Therefore, many clinical oncologists and surgeons consider systemic chemotherapy with FOLFOX to be appropriate for CRLM (9).

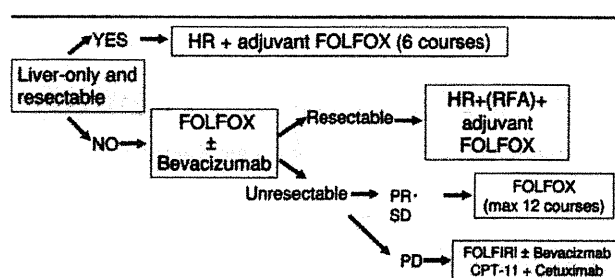
It has been about 4 years from the introduction of oxaliplatin, which has been available for use in Japan since May 2005. The aim of this study was to assess the feasibility of use and the clinical value of preoperative FOLFOX in Japanese patients with initially unresectable CRLM.

Patients and Methods

From May 2005 to December 2008, 114 consecutive patients with CRLM were treated at the Department of Gastroenterological Surgery, Graduate School of Medical Sciences, Kumamoto University. The therapeutic strategy of CRLM in our institution after induction of FOLFOX is shown in Figure 1. A straightforward hepatic resection was selected for initially resectable 26 patients. Among 88 patients with initially unresectable CRLM or extrahepatic metastases, 71 patients treated with FOLFOX were entered into this study. Eight patients treated with FOLFOX and bevacizumab were excluded. There were 38 patients with liver-only metastases and 33 with liver plus extrahepatic metastases.

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Key Words: Colorectal liver metastases, hepatic resection, chemotherapy with oxaliplatin plus fluorouracil and leucovorin, FOLFOX, Japanese patients.



HR, Hepatic resection; FOLFOX, chemotherapy with folinic acid, 5-fluorouracil, and oxaliplatin; FOLFIRI, chemotherapy with folinic acid, 5-FU, and irinotecan; RFA, radiofrequency ablation; CPT-11, irinotecan; PD, progressive disease; PR, partial response; SD, stable disease.

Figure 1. Therapeutic strategies for colorectal liver metastases. For patients with initially resectable disease and liver-only metastases, hepatic resection (HR) with 6 courses of adjuvant FOLFOX was performed. For these with initially unresectable or extrahepatic metastases, induction chemotherapy with FOLFOX with or without bevacizumab was carried out. When curative hepatic resection became possible, HR with or without radiofrequency ablation (RFA) was immediately performed. Unresectable patients after FOLFOX were treated continuously with various regimens, including FOLFIRI, bevacizumab, cetuximab, or hepatic arterial infusion therapy.

The determination of initial resectability of CRLM before FOLFOX was based on the possibility of safe and curative (R0) resection. When CRLM became resectable after several courses of FOLFOX, hepatic resection was immediately performed. The final decision for hepatic resection after FOLFOX was based on the possibility for removing all metastases with resection and/or radiofrequency ablation therapy (RFA). Percutaneous transhepatic portal embolization was achieved preoperatively for two patients with an estimated volume of remnant functional liver parenchyma assessed by computed tomography (CT) were below 35%. The Institutional Review Board of the Graduate School of Medical Sciences, Kumamoto University, approved this clinical study.

Systemic chemotherapy. FOLFOX was administered mainly as outpatient chemotherapy with modified FOLFOX6 consisting of the biweekly regimen as follows: a 2-hour infusion of LV (200 mg/m²/d) and oxaliplatin 85 mg/m² followed by a 5-FU bolus (400 mg/m²/d) and 46-hour infusion (2400 mg/m²/d) for 2 days every 2 weeks. Enrollment criteria in this study were as follows: age under 85 years, no organ dysfunction, and histologically proven adenocarcinoma. Treatment was continued until resectability was achieved, disease progression, occurrence of unacceptable toxicity, or the patient's decision to discontinue treatment. After hepatic resection, the same regimen of preoperative systemic chemotherapy was continued postoperatively up to a total 12 cycles of pre- plus postoperative therapy.

Perioperative examination. Measurement of carcinoembryonic antigen (CEA) and carbohydrate antigen 19-9 (CA19-9) levels, abdominal ultrasonography (US), contrast-enhanced helical CT, magnetic resonance imaging (MRI) enhanced with superparamagnetic iron oxide (SPIO), and CT-angiography were performed routinely for preoperative staging. Patients with unresectable CRLM were assessed radiographically for resectability at every 3 cycles of FOLFOX, with measurement of tumor markers

Table 1. Clinical characteristics of 26 patients with initially unresectable CRLM downstaged to be resectable after FOLFOX therapy.

Characteristic	Data
Mean age, years (range)	64.2 (28-83)
Gender (male:female)	19:7
Metachronous:synchronous	9:17
Liver only:liver+extrahepatic	18:8
Mean maximal diameter, cm (range)	4.6 (1.2-15)
Mean tumor number (range)	5.3 (1-36)
Preoperative chemo (FOLFOX alone: other chemo+)	17:9
Mean cycles of FOLFOX (range)	7.1 (2-12)
Type of HR (major:segment:partial)	11:8:7
RFA (+:-)	8:18

FOLFOX, Chemotherapy with folinic acid, 5-fluorouracil, and oxaliplatin; chemo, chemotherapy; HR, hepatic resection; RFA, radiofrequency ablation; major: larger than 3 segments; segment: one to two segments.

every month after starting therapy. The tumor regression effect was evaluated with CT according to the RECIST criteria (10).

Hepatic resection. The type of liver resection was based on the results of preoperative diagnostic imaging, intraoperative US, and careful attention to liver function. All detectable lesions were resected in principle or treated with RFA, for metastatic nodules smaller than 2 cm, especially deeper in the liver (11).

Histological examinations. In the patients who received hepatic resection after FOLFOX, the pathological effects of therapy of the tumor were determined in the resected specimens using grading criteria (12) as follows: grade 0: with no necrosis or cellular or structural change; grade 1a: with necrosis or disappearance of tumor in <1/3 of the entire lesion; grade 1b: with necrosis or disappearance of the tumor in <2/3 of the entire lesion; grade 2: with necrosis or disappearance of the tumor in >2/3 of the entire lesion, but with viable tumor cells remaining; and grade 3: with the entire lesion showing necrosis and/or fibrosis, and no viable tumor cells identified.

Complications. Operative morbidity and mortality were prospectively recorded.

Outcome. Cumulative progression-free survival (PFS) and overall survival (OS) after FOLFOX was recorded until June 2009, with the starting point being the day of initial FOLFOX therapy. Prognostic factors were evaluated by univariate and multivariate analysis.

Statistical analysis. Data are expressed as mean±standard deviation, and were compared between two groups by using the Mann-Whitney U-test. Categorical variables were compared by using the χ^2 test or Fisher's exact test. PFS and OS were calculated by using the Kaplan-Meier method and were compared by using the log-rank test. The Cox proportional hazards regression model was used for the multivariate analysis. All statistical analyses were performed with StatView 5.0 computer software (SAS Institute Inc., Cary, NC, USA). Significance was defined as being a *p*-value of 0.05 or less.

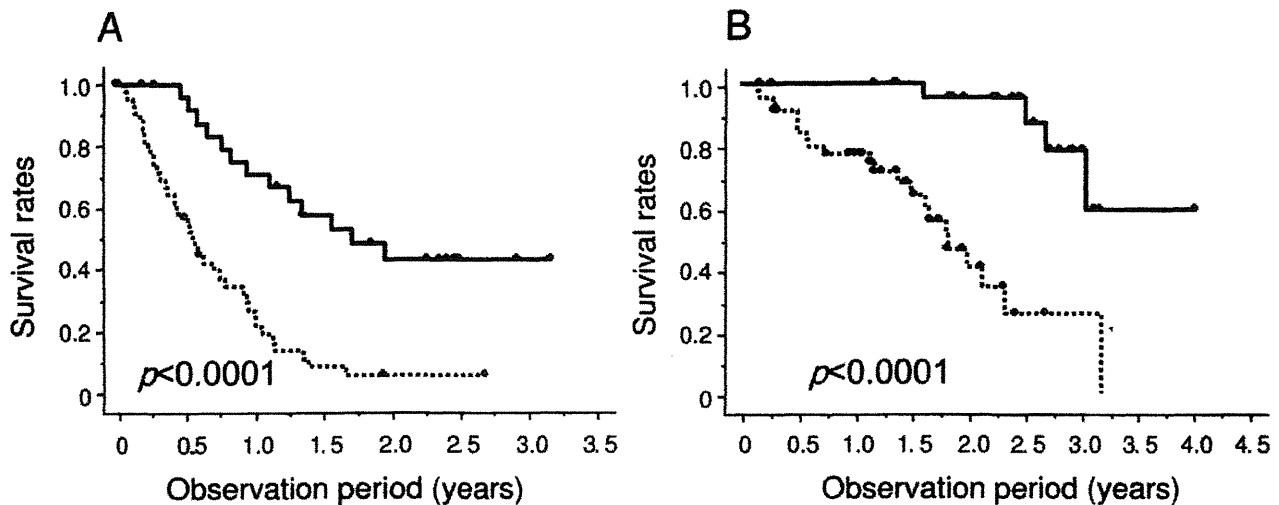


Figure 2. Cumulative progression-free survival (PFS) (A) and overall survival (OS) (B) curve in 71 patients with initially unresectable liver metastases according to the existence or nonexistence of hepatic resection (solid line: patients with hepatic resection, dotted line: patients without hepatic resection). Cumulative PFS and OS in 26 patients with resectable disease after FOLFOX were significantly greater than that of 45 patients without hepatic resection ($p<0.0001$ and $p<0.0005$).

Results

Patient characteristics. Of 71 patients with initially unresectable CRLM, 26 (37%) of them became resectable after therapy. In patients with liver-only metastases and liver plus extrahepatic metastasis, the resection rates were 47% (18/38) and 24% (8/33), respectively. Clinical characteristics of the 26 patients are summarized in Table I. Baseline site of extrahepatic metastases which became resectable were the lung in 4 patients and para-aortic lymph nodes in 4 patients. The mean interval between starting FOLFOX and achieving hepatic resection was six months (range, 3 to 7), and a mean of 7.1 courses (range, 2 to 12) of FOLFOX were given. The mean size of maximal liver metastasis was downsized from 4.6 ± 0.8 cm to 2.9 ± 0.4 cm. RFA was additionally performed in 8 patients at the time of hepatic resection. Of 26 patients with CRLM, 18 (69%) experienced curative hepatic resection (R0). Portal embolization was performed preoperatively for two patients with 70% and 75% as an estimated resection of liver volume. Hepatic resections included 11 major resections larger than 3 segmentectomies, 8 resections with one to two segmentectomies, and 7 partial resections.

Response evaluation, tumor regression effect and histological examinations. According to the RECIST criteria, the response rate was 73% (19/26) in patients with CRLM finally resectable after FOLFOX. Regarding the 26 specimens resected after FOLFOX, the degree of histological effect in the tumor was classified as grade 1a in 13, 1b in 8, 2 in 3, and 3 in 2 patients. Two patients had no pathologically viable tumor cells in the liver metastases after FOLFOX therapy.

Postoperative complications. No patient died as a result of FOLFOX treatment. Postoperative complication was observed in 3 patients (pneumonia, prolonged jaundice, biliary leakage) in hepatic resection after FOLFOX but they smoothly recovered with medication and biliary drainage. Operative mortality within 3 months was nil.

Outcome. Cumulative PFS and OS in 71 CRLM patients treated with FOLFOX are shown in Figure 2. Cumulative PFS in 26 CRLM patients resectable after FOLFOX was significantly greater than that of 45 patients without hepatic resection (Figure 2A). Median PFS time was 19 and 7 months in finally resectable and unresectable patients, respectively. Cumulative OS of resectable patients was significantly greater compared to that of unresectable patients (Figure 2B). The mean observation period was 22 (range 9 to 48) months. Median survival time (MST) was over 48 months and 20 in finally resectable and unresectable patients, respectively. In a univariate analysis, hepatic resection ($p<0.0001$), response by RECIST criteria ($p=0.02$), and presence of bilateral liver metastases ($p=0.03$) significantly influenced OS. Multivariate analysis revealed that additional hepatic resection was the only independent prognostic factor (HR: 4.80, $p<0.01$) (Table II).

Discussion

Hepatic resection is the only curative treatment with long-term survival for patients with CRLM, although only approximately 20% of patients are candidates for surgery (13-16). Nowadays, hepatic resection is safe, despite the

Table II. Prognostic factors of 71 initially unresectable patients with CRLM treated with FOLFOX.

Factors	Univariate analysis			Multivariate analysis	
	Pts	MST (years)	P-value	Hazard ratio (95% CI)	P-value
Age, years			NS		
≤65	36	2.5			
≥66	35	3.2			
Gender			NS		
F	16	2.3			
M	55	2.7			
Tumor size, cm			NS		
≤4	38	3.0			
>4	33	>3.2			
Tumor number			NS		
Solitary	16	3.2			
Multiple	55	2.7			
Extrahepatic metastases			NS		
-	38	>4.0			
+	33	2.1			
Hepatic resection			<0.0001	4.80 (1.36-17.0)	0.01
Possible	26	>4.0			
Impossible	45	1.8			
CEA (ng/ml)			NS		
≤27	38	3.0			
>27	33	2.1			
Response (RECIST)			0.02	2.25 (0.71-7.09)	NS
CR+PR	30	3.1			
SD+PD	41	2.1			
Timing of metastasis			NS		
Synchronous	48	3.2			
Metachronous	23	2.5			
Site of liver metastasis			0.03	2.51 (0.82-7.63)	NS
Unilateral	22	>3			
Bilateral	49	2.5			

Cut-off values of age, tumor size, and CEA level were median values. CI: Confidence interval; CR+PR, complete+partial response, SD+PD, stable+progressive disease.

increasing complexity of resections, and the surgical mortality rate is less than 5% (13-16). In past years, almost all initially unresectable patients were treated with systemic or locoregional chemotherapy, resulting in a long-term survival of less than 5% (7, 13, 17).

According to a review to determine the relationship between the rate of tumor response and the rate of resection in patients with initially unresectable liver metastases, disease in 24 to 54% of patients became resectable following chemotherapy and a strong correlation was found between response rates and the resection rates ($r=0.96$, $p=0.002$). The response rate of FOLFOX4 as first-line therapy for liver-only colorectal metastases was reported to be 60% (1, 8). In the present study, of the patients who received hepatic resection after FOLFOX therapy, 19 (73%) exhibited a partial response (PR).

The introduction of new chemotherapeutic and molecular targeting agents as standard treatments for metastatic colorectal cancer has resulted in better prognosis for patients

with CRLM. Among patients with unresectable CRLM, chemotherapy can render some resectable, leading to the possibility of a prolonged survival (18, 19). Oxaliplatin-based regimen, mainly FOLFOX, downsized unresectable tumors or concomitant extrahepatic metastases to resectable in 16% to 51% of patients (7, 18, 20). In the present study, 37% (26/71) of patients with initially unresectable CRLM became resectable after a mean of 7.1 cycles of FOLFOX. The patients with liver-only metastases, the resection rates were still better, at 47% (18/38).

Eighteen patients except for 8 patients treated combination with RFA, underwent histologically curative resection. R0 resection has been recommended to obtain good long-term prognosis (7). Nowadays, R1 resection provides similar survival rates compared to R0 resection in the era of new effective chemotherapy (21). Although RFA-combination resection is not R0 resection, PFS and OS were similar HR alone and RFA-combination (11).

Bismuth and colleagues (22) reported that the 5-year OS of 50% observed in patients with liver resection following neoadjuvant chemotherapy was comparable to 28% to 39% in primarily resectable patients (23, 24). In the current study, cumulative PFS and OS was significantly greater in finally resectable than unresectable patients. MST was over 40 months in finally resectable patients and 20 in unresectable patients even after FOLFOX treatment followed by other chemotherapy. Multivariate analysis demonstrated that additional hepatic resection was the only independent prognostic factor (HR: 4.80, $p < 0.01$). Masi *et al.* (25) reported that in most patients, complete radiological remission does not reflect a complete pathological response, and the long-term outcome of patients who achieved a complete radiological remission without operation was not as good as that of patients who were radically operated without complete radiological remission (5-year survival 14% versus 42%). From these viewpoints, an alteration from unresectable to resectable disease by chemotherapy is quite important in the treatment strategy of CRLM.

The therapeutic dilemma faced by the hepatic surgeon is the timing of hepatic resection after chemotherapy. Surgery during chemotherapy must be performed immediately curative hepatic resection is possible. In addition, hepatic resection is recommended when complete response (CR), PR, and stable disease (SD) status following FOLFOX therapy is achieved. Three-year survival rates after surgery were 58% for patients with a PR and 45% with SD, while none of the patients with progressive disease (PD) were alive at three years (26). CR is usually defined as the disappearance of target lesions on imaging and is considered to be a good outcome in evaluating the efficacy of chemotherapy. Of 66 CRLM assessed as CR on CT scan before hepatic resection, persistent macroscopic or microscopic residual tumor or early recurrence were observed in 55 (83%) (27). In most patients receiving systemic chemotherapy for CRLM, a CR on diagnostic imaging does not indicate cure microscopically. In fact, 26 patients in the present study were rendered resectable, after being initially unresectable, although all but two tumors (92%) had viable components on histological examination.

In conclusion, FOLFOX is feasible and safe systemic chemotherapy for patients with CRLM, resulting in a high resectability rate and an excellent prognosis of patients with initially unresectable CRLM.

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Received December 4, 2009

Accepted February 26, 2010

Histological liver injury and surgical outcome after FOLFOX followed by a hepatectomy for colorectal liver metastases in Japanese patients

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Received: 8 June 2009 / Accepted: 19 November 2009 / Published online: 19 March 2010
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Abstract

Background This study was performed to clarify the influence of preoperative chemotherapy on liver function and the correlation between histological hepatic injury and the postoperative outcome in patients with colorectal liver metastases who underwent a hepatic resection.

Methods Twenty-seven patients who underwent a hepatic resection for colorectal liver metastases were included. Fifteen patients with initially unresectable colorectal liver metastases who were able to undergo a tumor resection after FOLFOX (oxaliplatin plus fluorouracil and leucovorin, with a mean number of 7.7 cycles) were compared to 12 patients who underwent a hepatectomy with no preoperative chemotherapy. The postoperative mortality, morbidity, changes in liver function tests, and pathology of the resected liver were examined.

Results Preoperative FOLFOX therapy was significantly associated with the macroscopic appearance of oxaliplatin-associated blue liver ($p = 0.02$), and a tendency toward sinusoidal dilatation (33.3% in the FOLFOX group versus 8.3% in the no-chemotherapy group, $p = 0.056$). Preoperative liver function tests showed that the albumin and indocyanine green retention rate at 15 min (ICG-R15) test values

were significantly worse after FOLFOX therapy; however, intraoperative events, postoperative liver function test values, and morbidity rates were similar in the two groups. There was no postoperative mortality in any of the patients. **Conclusions** Although preoperative FOLFOX administration in patients with colorectal liver metastases caused macroscopic blue liver, microscopic sinusoidal dilatation in the liver parenchyma, and a significant decrease in liver function, there was no increase in the morbidity and mortality rates, in comparison to findings in patients without preoperative chemotherapy.

Keywords Colorectal liver metastases · Oxaliplatin · Fluorouracil and leucovorin (FOLFOX) · Sinusoidal dilatation · Hepatic resection · Postoperative morbidity

Abbreviations

FU	Fluorouracil
LV	Leucovorin
FOLFOX	Chemotherapy with oxaliplatin plus fluorouracil and leucovorin
FOLFIRI	Chemotherapy with CPT-11 plus fluorouracil and leucovorin
NAFLD	Nonalcoholic fatty liver disease
NASH	Nonalcoholic steatohepatitis
L-OHP	Oxaliplatin

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Introduction

Liver metastases are among the most common metastases from colorectal cancer leading to death from this disease.

Depending on the stage of the primary colorectal cancer, liver metastases occur in 20%–70% of the patients [1, 2]. A hepatic resection remains the only treatment that can yield 5-year survival rates of 20%–50% [3–6], if the liver metastases can be curatively resected in patients without nonresectable extrahepatic disease. Recently, various new therapeutic drugs have been introduced. These include irinotecan (CPT-11), a topoisomerase I inhibitor [7], and oxaliplatin, a platinum derivative with significant activity in colorectal cancer [8]. These drugs can yield high response rates of 34%–50% with a median survival of 15–19.5 months in patients with stage IV colorectal cancer [9, 10]. With such high response rates, 10%–13% of primary unresectable colorectal liver metastases can become resectable [11–13]. In Japan, a CPT-11-based regimen known as “FOLFIRI” (CPT-11 plus fluorouracil and leucovorin [LV]), or an oxaliplatin-based regimen (“FOLFOX”; oxaliplatin plus fluorouracil and LV) have become widely used for patients with metastatic colorectal cancer.

Of note, the widely used chemotherapeutic agents, 5-fluorouracil (5-FU) and its derivatives, as well as CPT-11, have been reported to induce steatosis of the liver [14, 15]. In addition, CPT-11 and oxaliplatin can be associated with liver injury [16–20]. Vauthey et al. [17] reported a close association between preoperative CPT-11 administration and steatohepatitis. Rubbia-Brandt et al. [20] and Karoui et al. [21] have suggested that an oxaliplatin-based regimen could induce sinusoidal obstruction.

The aim of this study was to clarify the influence of preoperative chemotherapy using FOLFOX on morphological changes in the liver parenchyma as well as changes in liver function and surgical outcome in Japanese patients with liver metastases from colorectal cancer.

Patients and methods

Patients

Between April 2005 and November 2007, forty-one patients underwent hepatic resection for liver metastases from colorectal carcinoma at the Department of Gastroenterological Surgery, Graduate School of Medical Sciences, Kumamoto University, Japan. Seven patients who underwent a hepatic resection with a preoperative chemotherapy regimen other than FOLFOX within 6 months of the beginning of the study were excluded. Of the 22 patients who received FOLFOX, 2 patients who had a hepatectomy more than 4 months after the last FOLFOX cycle were excluded because sinusoidal dilatation disappeared in the 4 months after the last chemotherapy cycle [20]. Also, 5 patients who had received FOLFOX followed by other chemotherapy were also excluded, to evaluate the

effect of only FOLFOX on the postoperative course. Twenty-seven patients were therefore included in the present study (17 men and 10 women). The median age was 61 years (range, 39–83 years). The primary tumor location was the colon in 17 patients (60%) and the rectum in 10 patients (40%). Liver metastases were synchronous in 13 patients (52%). The preoperative mean carcinoembryonic antigen (CEA) value was $241 \text{ ng/ml} \pm 476$ (range, 2.3–3157 ng/ml). Patients were classified into two groups; the FOLFOX group and the no-chemotherapy group. The liver metastases that were initially considered to be unresectable in all patients treated with FOLFOX subsequently became resectable after chemotherapy.

Protocol of systemic chemotherapy

The FOLFOX4 regimen administered before a hepatectomy included LV + 5-FU + oxaliplatin (FOLFOX4; day 1: oxaliplatin 85 mg/m^2 , LV 100 mg/m^2 , 5-FU 400 mg/m^2 bolus, 5-FU 600 mg/m^2 continuous infusion for 22 h. Day 2: LV 100 mg/m^2 , 5-FU 400 mg/m^2 bolus, 5-FU 600 mg/m^2 continuous infusion for 22 h; and a repeat of this regimen every 2 weeks) [22].

Clinical assessments and the surgical procedure

All patients underwent pre- and postoperative assessments including liver function tests; blood counts; coagulation tests; and measurement of serum urea, creatinine, and electrolytes. The indocyanine green retention rate at 15 min (ICG-R15) and the ratio of liver to heart-plus-liver radioactivity of Tc- GSA (Garactosyl Serum Albumin) 15 min (LHL15) were evaluated preoperatively. Among the parameters of liver function, the most impaired values during the postoperative hospital days were analyzed (prothrombin time, albumin, total bilirubin, aspartate aminotransferase [AST], alanine aminotransferase [ALT]). The correlation between the number of FOLFOX cycles, as well as the total dose of oxaliplatin, and liver function damage was also evaluated.

The resectability of the hepatic lesions was assessed by abdominal ultrasound and chest and abdominal computed tomography (CT) scans after every three cycles. Unresectable liver metastases were defined as follows: when it was difficult to maintain a tumor-free margin during the resection of the entire tumor; when invasion to the entire hepatic vein was observed; when invasion to the bifurcation of the bilateral main Glissonian sheath was found; when invasion to the inferior vena cava behind the caudate lobe was observed; when invasion to the right or left Glissonian sheath and hepatic vein of another lobe was observed; and finally when resection of more than 65% of the liver parenchyma was required.

The tumor regression effect was evaluated by CT according to the Response Evaluation Criteria in Solid Tumors (RECIST). During the surgery, a complete examination of the liver was performed with intraoperative ultrasonography to determine the number and the location of the lesions and their anatomical relationship to the vascular system. Liver transection was performed using an ultrasonic dissector. Biliary and vascular pedicles were secured by ligation and clipping, and hemostasis of the cut surface of the liver was completed with a dissecting sealer (Valley Laboratories, Boulder, CO, USA) or a VIO soft coagulation system (ERBE; Elektromedizin, Germany). The duration of the surgery, the amount of blood loss, blood transfusion requirements (packed red cell units), the type of liver resection, and the vascular interruption time were recorded individually.

Pathology examination

Several samples of nontumorous tissue from the resected liver specimen were taken and fixed, paraffin-embedded, and stained with hematoxylin and eosin. The samples were blindly investigated by three pathologists (I.K., B.Y., and H.K.). A macroscopic blue liver was defined as a bluish discoloration of the surface of the liver with edema and a spongiform consistency [23]. The presence of sinusoidal dilatation was recorded using the Rubbia-Brandt Score [20] as follows: 0, absent; 1, mild (centrilobular involvement limited to one-third of the lobular surface); 2, moderate (centrilobular involvement extending to two-thirds of the lobular surface); 3, severe (complete lobular involvement). Liver steatosis was graded from 0 to 3: absent ~5% (grade

0), 5%–33% of hepatocytes (grade 1), between 33% and 66% (grade 2), and more than 66% (grade 3). Steatohepatitis was evaluated using the nonalcoholic fatty liver disease (NAFLD) activity score (NAS): score 0 to 2, not NASH; 3 to 4, borderline NASH; and more than 5, NASH [24].

In addition, peliosis, hemorrhagic centrilobular necrosis, and regenerative nodular hyperplasia were evaluated as previously described [25]. The correlation between histological hepatic injury and the number of FOLFOX cycles, degree of pathological effects on the tumor, adverse effects, surgical insult, and postoperative morbidity were also evaluated.

Statistical analysis

Quantitative data were expressed as means \pm SD. Comparisons between the groups were analyzed using the χ^2 test with the Yates correction, or Students' *t* test for quantitative and qualitative variables as appropriate, and comparisons of the pathological scores for steatosis, steatohepatitis, and sinusoidal dilatation between two groups were analyzed using the Mann–Whitney *U*-test. Correlations between the Rubbia-Brandt score and the vascular exclusion time, the number of cycles of chemotherapy, preoperative liver function, intraoperative blood loss, and postoperative days; as well as the correlations between the total dose of oxaliplatin and liver function damage were analyzed using the Spearman rank correlation. Statistical significance was recognized at a *p* value of 0.05 in all analyses. Statistical analyses were done using the StatView 5.0 software package (Abacus Concepts, Calabasas, CA, USA).

Table 1 Preoperative characteristics of patients with and without preoperative chemotherapy

Preoperative	Preoperative chemotherapy Group (<i>n</i> = 15)	No-chemotherapy Group (<i>n</i> = 12)	<i>p</i> value
Age, in years: median (range)	65 (53–81)	56 (39–79)	0.038
Sex (M/F)	10/5	7/5	0.953
BMI (kg/m ²)	24.1 \pm 3.6	23.5 \pm 2.4	0.616
WBC (/ μ l)	4640 \pm 1861	5725 \pm 1099	0.087
Hb (g/dL)	11.9 \pm 1.8	12.2 \pm 1.7	0.674
Plt (/ μ l)	18.3 \pm 4.6	26.8 \pm 10.8	0.011
Prothrombin time (%)	101.5 \pm 11	109.2 \pm 7.7	0.056
Albumin (g/dl)	3.8 \pm 0.4	4.1 \pm 0.3	0.012
Serum bilirubin (mg/dl)	0.7 \pm 0.3	0.6 \pm 0.2	0.661
AST (U/L)	26.4 \pm 8.5	25.4 \pm 16	0.684
ALT (U/L)	25.9 \pm 12	25.3 \pm 16	0.912
Preoperative ICG-R15 (%)	14.4 \pm 6.3	6.8 \pm 3.2	0.001
Asialo scintigraphy (LHL15)	0.933 \pm 0.25	0.926 \pm 0.31	0.034
No. of FOLFOX cycles	7.7 \pm 2.1	–	
Duration of FOLFOX (months)	4.1 \pm 1.2	–	
No. of days after last FOLFOX	37 \pm 20 (median 30)	–	

Values are means \pm SD

BMI body mass index, Hb hemoglobin, Plt platelets, AST aspartate aminotransferase, ALT alanine aminotransferase, ICG-R15 indocyanine green retention rate at 15 min, FOLFOX chemotherapy with oxaliplatin plus fluorouracil and leucovorin

Results

Patients, tumors, and surgical procedures

The clinical characteristics of the 27 patients in the FOLFOX group ($n = 15$) and the no-chemotherapy group ($n = 12$) are summarized in Table 1. In the FOLFOX group, the median age of the patients was significantly higher, and platelet counts, serum albumin level, and ICG-R15 were significantly worse in comparison to those in the no-chemotherapy group (Table 1). The mean preoperative tumor size after FOLFOX was significantly smaller, and synchronous liver metastases were more frequent than in the no-chemotherapy group. The number of metastases was higher in the FOLFOX group (5.9 ± 5.6 vs. 2.4 ± 2.2), but the difference was not significant (Table 2).

Table 2 Preoperative characteristics of liver metastases in patients with and without preoperative chemotherapy

	Preoperative chemotherapy Group ($n = 15$)	No-chemotherapy Group ($n = 12$)	<i>p</i> value
Tumor size (mm)	34.6 ± 17	66.7 ± 43	0.013
Number of metastases	5.9 ± 5.6	2.4 ± 2.2	0.054
Primary site, colon/rectum	10/5	7/5	0.952
Synchronous/metachronous	11/4	3/9	0.03
CEA (ng/ml) mean (range)	206.6 (1.2–2428)	285.4 (1.1–3157)	0.791

Values are means \pm SD

CEA carcinoembryonic antigen

Table 3 Intraoperative parameters in patients with and without preoperative chemotherapy

	Preoperative chemotherapy Group ($n = 15$)	No-chemotherapy Group ($n = 12$)	<i>p</i> value
Resection type hemi liver/section/partial	3/7/5	5/2/5	0.210
Vascular interruption time (min)			
Total	21.0 ± 31	30.0 ± 33	0.453
Partial	58.9 ± 70	24.0 ± 60	0.185
Blood loss (mean \pm SD) (ml)	435 ± 247	383 ± 458	0.714
No. of patients requiring blood transfusion (%)	15.4%	10.0%	0.999
Operative time (min)	501 ± 92	448 ± 180	0.323

Values are means \pm SD

The liver resections included 7 right hepatectomies or more, 1 left hepatectomy, and 9 sectionectomies. Partial resections were performed in combination with wedge resections in 10 patients. Radiofrequency ablation (RFA) was additionally performed in three patients in the FOLFOX group, and in one in the no-chemotherapy group. Vascular interruption was performed during surgery in 22 of the 27 patients. No significant differences were observed between the two groups in the types of hepatic resection, vascular interruption times, operative times, blood loss, or the percentages of patients requiring blood transfusions (Table 3).

Pathological findings

The pathological examinations in the nontumorous liver are summarized in Table 4. The frequency of macroscopic “blue liver” was significantly higher in the FOLFOX group. No steatosis of more than grade 2 was observed in the patients in the FOLFOX group. There were no significant differences between the groups in the NAS scores for steatohepatitis. Steatohepatitis (NAS >5) was observed in only two patients (14%), who were in the no-chemotherapy group. Sinusoidal dilatation (Rubbia-Brandt Score >2) was seen in 5 of 15 patients (33.3%) in the FOLFOX group, and a tendency toward a higher score for sinusoidal dilatation was observed in the FOLFOX group (Table 4; Fig. 1). No significant correlation was observed between the Rubbia-Brandt score and the vascular exclusion time, the number of cycles of chemotherapy, intraoperative blood loss, and the postoperative days; peliosis, hemorrhagic centrilobular necrosis, and regenerative nodular hyperplasia were not detected in either group.

Postoperative course

No significant difference was observed between the two groups in postoperative liver function test values (Table 5). There was no postoperative mortality. Postoperative complications occurred in two (1 aspiration pneumonia, 1 biliary fistula requiring drainage >1 month) of the 15 patients in the FOLFOX group and three (1 intestinal hemorrhage, 2 biliary fistula requiring drainage >1 month) of the 12 patients in the no-chemotherapy group (Table 6). There was no significant difference in the duration of postoperative hospital stay between the two groups.

Discussion

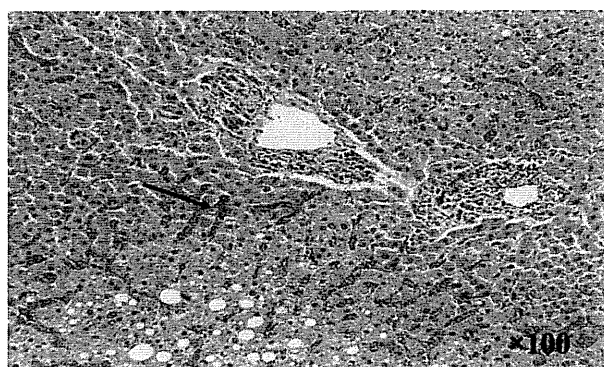
The use of preoperative chemotherapeutic agents has numerous theoretical benefits; however, the effects of these agents on the underlying liver parenchyma remain unclear.

Table 4 Pathological features of the nontumorous liver in patients with and without chemotherapy

	Preoperative chemotherapy Group (<i>n</i> = 15)	No-chemotherapy Group (<i>n</i> = 12)	<i>p</i> value
Macroscopic findings			
Blue liver (positive/negative)	7/8	0/12	0.020
Microscopic findings			
Steatosis; grade 0/1/2/3	9/6/0/0	8/2/2/0	0.768
NAFLD activity score (NAS) 0–2/3–4/5	9/6/0	10/0/2	0.302
Sinusoidal dilatation; Rubbia-Brandt classification 0/1/2/3	3/7/4/1	6/5/1/0	0.056
Hemorrhagic centrilobular necrosis	0	0	
Regenerative nodular hyperplasia	0	0	

Values are means ± SD

NAFLD nonalcoholic fatty liver disease

**Fig. 1** Sinusoidal dilatation and congestion in a patient who received chemotherapy with oxaliplatin plus fluorouracil and leucovorin (FOLFOX). Only scattered macrovesicular steatosis is present. Compare the zone with sinusoidal dilatation (arrow) to the normal parenchyma in the left lower quadrant. H&E ×100**Table 5** Postoperative liver function in patients with and without chemotherapy

	Preoperative chemotherapy Group (<i>n</i> = 15)	No-chemotherapy Group (<i>n</i> = 12)	<i>p</i> value
Postoperative value ^a (mean ± SD)			
Prothrombin time (%)	64 ± 12	72 ± 16	0.158
Albumin (g/dl)	3.0 ± 0.4	3.2 ± 0.4	0.297
Total bilirubin (mg/dl)	1.4 ± 0.7	1.6 ± 0.8	0.505
AST (U/L)	798 ± 400	535 ± 405	0.105
ALT (U/L)	644 ± 403	509 ± 365	0.370
Prothrombin time (%)	64 ± 12	72 ± 16	0.158

Values are means ± SD

^a The most impaired value of the postoperative hospital stay

Some reports have shown that preoperative chemotherapy had no influence on either liver function or the risk of liver resection [21, 26, 27]. In the present study there was no

patient who could not undergo a hepatectomy because of liver injury after chemotherapy. However, the liver function values, including the serum albumin levels and ICG-R15 levels, were significantly worse in comparison to those in the no-chemotherapy group. The administration of FOLFOX may influence the preoperative laboratory data, although the total dose of oxaliplatin did not correlate with liver function damage.

It has recently been reported that new chemotherapeutic agents could cause pathological changes in the liver parenchyma, such as sinusoidal dilatation due to FOLFOX, and chemotherapy-associated steatohepatitis (CASH) due to FOLFIRI. Sinusoidal dilatation (Rubbia-Brandt score >grade 2) was observed in up to 63% of patients who received preoperative chemotherapy [28]. Karoui et al. [29] reported that sinusoidal dilatation was present in 49% of patients in their chemotherapy group (only 25% in the control group, *p* = 0.005), although the result was not stratified by the type of chemotherapy regimen, and the correlation between the type of chemotherapeutic agent and the pathological changes was not evaluated. Vauthey et al. [17] demonstrated that a chemotherapeutic regimen with or without oxaliplatin was a key factor in the development of sinusoidal dilatation, but Aloia et al. [25] reported that 19% (10/52) of the patients administered an oxaliplatin-containing regimen showed sinusoidal alteration (vasodilatation and congestion), as opposed to a rate of 12% (2/17) of the patients who did not receive such a regimen. In the present study, blue liver was observed in 7 of the 15 patients in the FOLFOX group (*p* = 0.02). Sinusoidal dilatation (>grade 2) was detected in 5 of the 15 patients (33.3%) in the FOLFOX group, and the proportion of patients with high-grade sinusoidal dilatation was greater in comparison with that in the no-chemotherapy group.

Recently, the efficacy of bevacizumab in combination with chemotherapy has been demonstrated, in the first BEAT study [30]. Furthermore, it was reported that

Table 6 Postoperative morbidity and mortality in patients with and without chemotherapy

	Preoperative chemotherapy Group (<i>n</i> = 15)	No-chemotherapy Group (<i>n</i> = 12)	p value
Postoperative events (%)			
Any complications	2 (13%)	3 (25%)	0.770
	Aspiration pneumonia (1)	Hemorrhage (1)	
	Bile leakage (1)	Bile leakage (2)	
Reoperation	0 (0%)	0 (0%)	
Postoperative hospital stay (mean \pm SD) (days)	26 \pm 28	18 \pm 12	0.300
Postoperative mortality	0 (0%)	0 (0%)	

Values are means \pm SD

bevacizumab improved the pathological response, while also protecting against hepatic injury including sinusoidal dilatation [31]. We also did not encounter sinusoidal dilatation in any patients (0 of 8 patients) after the administration of FOLFOX combined with bevacizumab (data not shown).

Venoocclusive disease (VOD) of the liver, including sinusoidal dilatation, is a clinical syndrome in patients with blood transplantation or bone marrow transplantation; the syndrome is a result of liver damage caused by pretransplant radiation and chemotherapy [29]. The clinical course of severe VOD frequently progresses with lethal results. However, as previously reported, oxaliplatin-containing chemotherapy causes sinusoidal dilatation without such severe complications [17, 25].

Blue liver and sinusoidal dilatation may cause an increased amount of bleeding during a hepatic resection for colorectal liver metastases. Karoui et al. [29] reported that sinusoidal dilatation was detected in 49% of patients in their chemotherapy group, but there was no impact of the chemotherapy on the amount of intraoperative blood transfusion (3 ± 1.9 packed red cell units). Aloia et al. [25] reported that patients who received oxaliplatin-based chemotherapy before hepatic resection for colorectal liver metastases were more likely to receive intraoperative RBC transfusions. They did not address the relationship between sinusoidal dilatation (FU/LV 30%, FU/LV/L-OHP 19%) and oxaliplatin. Although the presence of surgical necrosis, hemorrhagic centrilobular necrosis, and regenerative nodular hyperplasia in the nontumor-bearing liver after systemic chemotherapy has been reported to be significantly related to oxaliplatin regimens, these pathological changes were not observed in either group in the present study. Perioperative transfusions are reported to be a risk factor for poor outcome after a liver resection for metastatic colorectal cancer [32]. In the present study, the mean blood loss in the FOLFOX group was 435 ml and the RBC transfusion rate was 15.4%; these findings were comparable to those in the no-chemotherapy group. No increased risk was encountered in regard to intraoperative blood loss

and RBC transfusion due to preoperative FOLFOX administration. Recent advances in surgical techniques and perioperative management might reduce the risk of liver resections and contribute to better prognoses. In recent series of reports of hepatic resection for colorectal liver metastases, the postoperative mortality was less than 0–4% [33, 34]. Postoperative morbidity (including transient liver failure, hemorrhage, subphrenic abscess, and biliary fistula) occurs in 20%–40% of patients [33–37]. In the present study, the use of new devices (a dissecting sealer and a VIO soft coagulation system) during liver transection might have contributed to the reduced blood loss, and thus made it possible to perform safe liver resection despite the significant preoperative liver function damage.

Morbidity after a hepatectomy is correlated with the number of cycles of preoperative chemotherapy, but not with the type of chemotherapeutic agent [21]. A postoperative morbidity rate of 61.5% has been reported when more than 10 cycles of chemotherapy were administered. In the present study, an average of 7.7 cycles (range, 5–10 cycles) of FOLFOX were administered before the hepatic resections. Although three patients in the FOLFOX group received 10 cycles of chemotherapy, the postoperative liver function was approximately equal to that in the no-chemotherapy group, and no postoperative mortality was encountered. The mean number of FOLFOX cycles was less than that reported by Karoui et al. [29], and this difference may have contributed to the lower morbidity in our study (13%). In the present study, an average of 7.7 cycles of FOLFOX was administered to initially unresectable patients. We found that FOLFOX could be safely administered without any severe adverse effects to thus obtain a curative hepatic resection. We promptly performed the resection as soon as the tumors were determined to be resectable.

Recently, a correlation between sinusoidal injury and postoperative morbidity has been reported [38]. Sinusoidal injury was significantly associated with decreased liver functional reserve before a hepatectomy, and increased postoperative morbidity. The preoperative ICG-R15 values

were higher, and the number of postoperative hospital days tended to be longer in the oxaliplatin-based chemotherapy group in that study [38]. In contrast, no positive correlation between sinusoidal dilatation and morbidity was recognized in the present study. Scoggins et al. [39] reported the safety of preoperative chemotherapy for colorectal liver metastases in a large number of cases, and their findings were similar to those in a previous study; their study, however, included various chemotherapeutic regimens. In the present study, we attempted to clarify the effect of preoperative FOLFOX alone on the nontumoral hepatic parenchyma of patients with initially unresectable colorectal metastases in comparison to the findings in patients without any preoperative chemotherapy.

In contrast to FOLFOX, FOLFIRI administration caused steatohepatitis and it was also associated with an increase in the 90-day mortality after hepatic surgery [17]. Moreover, a higher curative resection rate was shown with the FOLFOX 6 first-line regimen than with FOLFIRI in the randomized GERCOR study [40]. From these results, we chose FOLFOX as the first-line therapy for unresectable colorectal liver metastases.

In conclusion, the FOLFOX regimen influenced the preoperative laboratory data, and caused gross blue liver and histological sinusoidal dilatation in the background liver; nevertheless, the surgical outcomes and postoperative mortality and morbidity rates in the FOLFOX group were equivalent to those in the no-chemotherapy group. FOLFOX can be safely administered as preoperative chemotherapy for Japanese patients with initially unresectable colorectal liver metastases.

Conflict of interest statement Hideo Baba received lecture fees and research funding from Yakult Honsha. The other authors have no conflicts of interest.

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Phase II study of motesanib in Japanese patients with advanced gastrointestinal stromal tumors with prior exposure to imatinib mesylate

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Received: 24 May 2009 / Accepted: 29 July 2009 / Published online: 19 August 2009
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Abstract

Purpose Motesanib (AMG 706) is a multitargeted anti-cancer agent with an inhibitory action on the human vascular endothelial growth factor receptor, the platelet-derived growth factor receptor, and the cellular stem-cell factor receptor (KIT). The aim of this single-arm phase II clinical study was to assess the efficacy and safety of single-agent motesanib in Japanese patients with advanced gastrointestinal stromal tumors with prior exposure to imatinib mesylate.

Methods All patients had experienced progression or relapse while undergoing with imatinib as 400 mg/day or higher. The patients were administered 125 mg of motesanib once daily. The primary endpoint was overall response. Efficacy was evaluated according to the Response Evaluation Criteria in Solid Tumor, and safety was assessed

according to the Common Terminology Criteria for Adverse Events (version 3).

Results Of 35 enrolled and treated patients, no patient showed a complete response, and one patient showed a partial response (PR). Seven had stable disease (SD) for at least 24 months, two of whom continued to have SD for more than 2 years. The median progression-free survival time was 16.1 weeks. Motesanib was well tolerated; commonly reported treatment-related adverse events were hypertension, diarrhea, and fatigue. Anemia was the only hematological toxicity that was reported.

Conclusions One patient showed PR, and seven patients showed SD more than 24 weeks. Motesanib was found to be safe and well tolerated. The observed toxicities were consistent with Phase I study findings.

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Keywords Motesanib · Angiogenesis inhibitor · Gastrointestinal stromal tumor (GIST)

Introduction

Gastrointestinal stromal tumor (GIST) is a rare stromal neoplasm that predominantly arises from the muscularis propria layers, representing the most common mesenchymal tumor of the gastrointestinal tract. Although the primary therapy for nonmetastatic GIST is surgical resection, there still remain unresectable cases of advanced or metastatic GIST. Unresectable GISTs are resistant to conventional chemotherapy and radiotherapy [1]. Before imatinib mesylate was introduced in clinical practice, the prognosis for patients with unresectable GIST was dismal, with a median survival period of 22 months [2].

The critical transforming and oncogenic mechanisms of GISTs are activating mutations in the stem-cell factor receptor, KIT tyrosine kinase [3]. About 5% of GISTs are caused by activating mutations of the platelet-derived growth factor receptor alpha (PDGFRA), and are independent of *c-kit* mutations [4]. The *c-kit* and PDGFRA mutations appear to be alternative and mutually exclusive oncogenic mechanisms in GIST.

Imatinib mesylate blocks the constitutively activated form of KIT in GISTs, and has dramatically improved the outcome for patients with unresectable GIST [5]. Treatment with imatinib mesylate results in partial response (PR) or stable disease (SD) in approximately 80% of patients with advanced or metastatic GIST [6], and the 2-year survival rate of these patients is reported to be 70% [7].

However, approximately 10–15% of advanced GIST patients will suffer a progressive disease (PD) despite treatment with imatinib mesylate. Many of the patients who initially responded to imatinib mesylate therapy experience tumor progression after an average of 2 years of treatment [7, 8].

Sunitinib is an orally administered receptor tyrosine kinase (RET) inhibitor that targets multiple kinases and is used as a second-line treatment for patients with imatinib-resistant or -intolerant GIST. A Phase III double-blind, placebo-controlled trial comparing sunitinib with placebo showed that the time to progression was significantly longer in the sunitinib group than in the placebo group (6.3 versus 1.5 months). Adverse reactions, though observed, were acceptable [9]. However, despite initial response or stabilization, the disease developed resistance in most patients after approximately 7 months. Because no therapies are available for patients with GIST once imatinib and sunitinib fail, there exists a need for alternative agents that block the signaling pathways in GIST cells.

Motesanib is a novel, synthetic, small molecule that strongly and selectively inhibits vascular endothelial

growth factor receptors 1, 2, and 3, as well as the cellular KIT, the platelet-derived growth factor receptor (PDGFR), and the glial-derived nerve growth factor family ligand RET. The safety and pharmacokinetic (PK) profile of motesanib were evaluated in a Phase I, monotherapy, open-label, dose-finding study [10]. In this study, motesanib showed clinical activity in patients with advanced refractory solid tumors; SD was observed in a significant proportion of the patients, although the overall tumor response rate was low.

The above findings prompted us to conduct a Phase II study to evaluate the efficacy, safety, and PK of motesanib in Japanese patients with advanced GIST, after failure or withdrawal of imatinib mesylate due to resistance or intolerance.

Materials and methods

Patients

Japanese patients with pathologically confirmed advanced or metastatic GIST were eligible for this study if they met the following criteria; age ≥ 20 years; a proven KIT positive or activating mutation of PDGFR; prior imatinib mesylate therapy of 400 mg/day or more for at least 8 weeks; disease progression or relapse while on previous treatment with imatinib mesylate; at least one tumor lesion measurable by a computed tomographic (CT) scan or magnetic resonance imaging (MRI); an Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 0 to 2; a life expectancy of more than 3 months; adequate organ functions as defined by: neutrophils $\geq 1.5 \times 10^3$ cells/mm³, platelets $\geq 1.0 \times 10^4$ cells/mm³, hemoglobin ≥ 9.0 g/dl, serum creatinine $\leq 2.0 \times$ upper limit of normal (ULN), urine protein quantitative value of $\leq 1+$ on dipstick or 30 mg/dl in urinalysis, aspartate aminotransferase $\leq 2.5 \times$ ULN ($5.0 \times$ ULN in patients with liver metastasis), alanine aminotransferase $\leq 2.5 \times$ ULN ($5.0 \times$ ULN in patients with liver metastasis), alkaline phosphatase $\leq 2.5 \times$ ULN ($5.0 \times$ ULN in patients with bone or liver metastasis), and total bilirubin $\leq 2.0 \times$ ULN. This protocol was approved by the Institutional Review Board at each study site. All patients provided written informed consent.

Study design

This study was an open-label and multicenter Phase II clinical study. The primary endpoint was the objective response rate to a once daily oral treatment with 125 mg motesanib in patients with advanced GISTs who experienced disease progression or relapse while on imatinib mesylate treatment. (Sunitinib was not approved for imatinib-resistant

GIST until 2 years after their study was completed.) The secondary endpoints were duration of response, progression-free survival (PFS), time to response, overall survival, and PK profiles of motesanib in Japanese patients with advanced GISTs.

The dose was firstly reduced to 100 mg, and if need be, to 75 mg in the second time. If the grade 3 adverse event (AE) is not adequately controlled with appropriate supportive care or a grade 4 AE occurs, motesanib was withheld. Once the grade 3 or 4 AE has resolved to baseline or grade ≤ 1 for nonhematologic toxicities or baseline or grade ≤ 2 for hematologic toxicities, the dose was reduced by 25 mg and treatment was resumed. If treatment with motesanib was withheld for >21 days, the patient should be withdrawn from the treatment period and complete the end of study procedures. If a patient was receiving 75 mg and requires a dose reduction, treatment with motesanib was stopped and the patient should complete the end of study procedures.

Tumor evaluation was performed after 8 weeks and at every 8 weeks thereafter, by using the modified Response Evaluation Criteria in Solid Tumor (RECIST). A confirmation of tumor response was performed by using the modified RECIST at least 4 weeks after a complete response (CR) or PR was first documented. An appointed radiographic image reviewer who was independent of the study site or the study sponsor reviewed CT or MRI of all patients.

The severity of AEs was graded according to Common Toxicity Criteria for Adverse Events (CTCAE, version 3). Special attention was paid to cardiac function, hypertension, hypothyroidism, and cholecystitis. Laboratory assessments (serum chemistry, hematology, thyroid hormones, blood pressure, and electrocardiogram) were performed every 2 weeks.

Ten patients had the following PK parameters: maximum observed plasma concentration (C_{\max}), terminal elimination half-life ($t_{1/2}$), area under the plasma concentration–time curve from time 0 to 24 h after dosing (AUC_{0-24}), concentration at 24 h after dosing (C_{24}), maximum plasma concentration time (t_{\max}), the area under the plasma concentration versus time curve from 0 to infinity ($AUC_{0-\infty}$), and apparent plasma clearance (CL/F). These PK parameters of motesanib were calculated by the standard noncompartmental model using WinNonlin software, version 4.1e (Pharsight Corporation, Mountain View, CA, USA) and summarized according to the study day and history of gastrectomy using descriptive statistics. Individual plasma concentration–time profiles were summarized by history of gastrectomy.

Statistical analysis

Descriptive statistics are provided for each endpoint. The safety analysis population consisted of all patients who

received at least one dose of motesanib. The objective response rate and its two-sided 95% confidence interval (95% CI) were calculated. The CI was constructed by the exact method described by Collett [10]. For a PFS, calculated as the number of days between the first dose of motesanib and the date when radiological evidence of disease progression is determined (date of CT scan/MRI), or death (regardless of cause), whichever comes first (date of PD or death minus date of first dose of motesanib), Kaplan–Meier curve (with two-sided 95% CI) was generated and its standard error was calculated using Greenwood's formula. Statistical analyses were performed using the SAS statistical software package (SAS Institute Inc., Cary, NC, USA) [11].

Results

Patient population

A total of 35 patients were enrolled and treated with motesanib between November 2005 and June 2006 at the following sites: Aichi Cancer Center Hospital, Osaka University Hospital, National Cancer Center Hospital, Hokkaido University Hospital, Niigata University Hospital, National Cancer Center Hospital East, National Hospital Organization Kure Medical Center, and Kumamoto University Hospital. One patient did not undergo baseline CT assessment. Hence, 34 patients were eligible for tumor response evaluation, and 35 for toxicity evaluation. Baseline demographic and clinical characteristics are summarized in Table 1. Of the 35 patients enrolled, 17 (49%) were female and the median age was 62.0 years (range 31–83 years). Every patient was diagnosed as having GIST with positive immunoreactivity for KIT protein. The most common primary sites of the tumor were the small intestine ($n = 17$) and the stomach ($n = 10$). The other sites of the tumor were the colon ($n = 2$) and the rectum ($n = 2$). All patients had received treatment with imatinib mesylate but not with other tyrosine kinase inhibitors. The mean time from the last imatinib treatment was 0.9 months (range 0.2–5.5 months).

Outcome measures

The tumor response as assessed by an independent radiographic image reviewer is shown in Table 2. No CR was observed among the 35 patients enrolled in this study. One patient (3%; 95% CI 0.1–14.9%) had a PR and seven patients (20%) demonstrated SD for at least 24 months, two of whom continued to have SD for more than 2 years. Twelve additional patients had SD lasting for 12 weeks or more. Thirteen patients experienced disease progression within 12 weeks. The patient with PR had a gastric GIST

Table 1 Baseline characteristics

	All patients (<i>N</i> = 35)
Sex, <i>n</i> (%)	
Female	17 (49)
Male	18 (51)
Age	
Median	62.0
Min, max	31, 83
Age group, <i>n</i> (%)	
<65 years	23 (66)
≥65 years	12 (34)
≥75 years	4 (11)
ECOG PS, <i>n</i> (%)	
0	24 (69)
1	9 (26)
2	2 (6)
Site of primary tumor at diagnosis, <i>n</i> (%)	
Small intestine	17 (49)
Stomach	10 (29)
Colon	2 (6)
Rectum	2 (6)

Table 2 Best tumor response per modified RECIST per independent review

	All patients (<i>N</i> = 35)
Patients with measurable disease at baseline	34 (97)
Response assessment, <i>n</i> (%)	
Confirmed CR	0 (0)
Confirmed PR	1 (3)
SD ^a	19 (54)
PD	13 (37)
Unevaluable ^b	1 (3)
Not done	1 (3)
Confirmed objective response (CR or PR)	1 (3)
95% CI ^c	0.1–14.9
Durable SD ^d	7 (20)

Full analysis set includes all patients who received at least one dose of motesanib

^a Patients with a response assessment of PR or CR that is not subsequently confirmed at least 4 weeks later are included as SD

^b Unevaluable includes patients with a response assessment of CR, PR, or SD prior to the scheduled first assessment of response without an additional assessment of response

^c Binomial proportion with exact 95% CI

^d Durable SD is defined as having a best response on study as SD with a duration of ≥24 weeks from study day 1

with spindle-cell type, exon 11 mutation, liver, and peritoneal metastases, and had initially responded to imatinib with SD as assessed by RECIST (Fig. 1). The median PFS



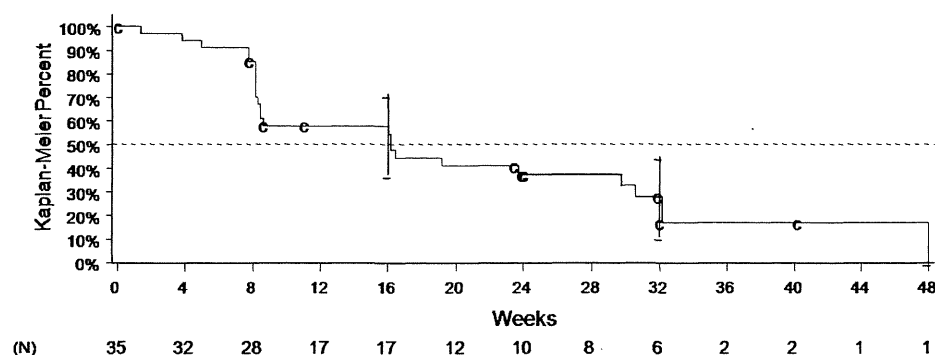
Fig. 1 A 68-year-old male with a primary GIST of the stomach and recurrent liver and peritoneal metastases. **a** Pre-treatment CT scan shows multiple low-density masses. **b** CT scan obtained after 3 months of treatment with once daily motesanib 125 mg shows that the multiple lesions have become significantly smaller and less dense

time of motesanib was 16.1 weeks (95% CI 8.4–32.0 weeks; Fig. 2).

Safety and tolerability

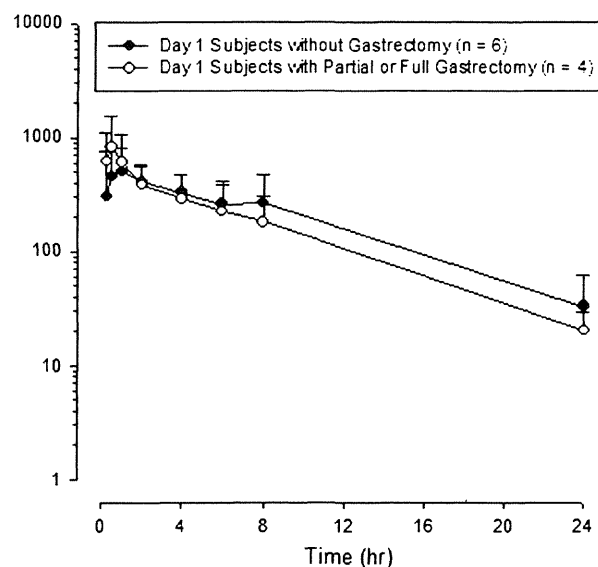
Table 3 summarizes treatment-related adverse events (TRAEs) (patient incidence ≥15%). The most frequent nonhematologic TRAEs included hypertension (63% of patients), diarrhea (51%), and fatigue (43%). Five patients (14%) experienced grade 3 hypertension and two patients (6%) experienced grade 3 fatigue. The only hematological toxicity was anemia (grade 2 in 3% of patients, grade 3 in 6% of patients, and grade 4 in 0% of patients). One patient (3%) experienced grade 4 hyperuricemia. No grade 5 TRAEs occurred.

Previous motesanib studies have reported an increased occurrence of cholecystitis in patients receiving motesanib,

Fig. 2 Kaplan–Meier estimates of PFS

specifically at a dose of 75 mg twice daily continuously. The etiology of cholecystitis observed in patients receiving motesanib is unknown. Cholecystitis was not reported in this study. Gallbladder disorder was reported in three patients, specifically extended gallbladder or wall thickening, which was incidentally discovered in these patients on ultrasound sonography (US). The patients had not undergone US before starting motesanib treatment, nor were these disorders detected on routine CT scanning.

Figure 3 and Table 4 summarize the results of the intensive PK analyses. After a single-dose oral administration of 125 mg on day 1, motesanib was rapidly absorbed, with an overall median t_{\max} of 0.75 h; a similar median t_{\max} value (0.79 h) was observed after daily administration of motesanib on day 29. The mean C_{\max} , AUC_{0-24} , and C_{24} were slightly lower on day 29 than on day 1, indicating that there was no accumulation after daily administration. The day 29 to day 1 mean ratios were 0.62, 0.71, and 0.80 for C_{\max} , AUC_{0-24} , and C_{24} , respectively, for all evaluable patients.

**Fig. 3** Mean concentration–time profiles after oral administration of 125 mg of motesanib on day 1 in patients without gastrectomy and in patients with partial or full gastrectomy**Table 3** TRAE (15% or more of the patients)

Preferred term	Number of patients reporting TRAE, n (%), 35 (100)			All patients (N = 35)
	Grade 1/2	Grade 3	Grade 4	All grades
Anemia	1 (3)	2 (6)	0 (0)	3 (9)
Hypertension	17 (48)	5 (14)	0 (0)	22 (63)
Diarrhea	18 (51)	0 (0)	0 (0)	18 (51)
Fatigue	13 (38)	2 (6)	0 (0)	15 (43)
Headache	11 (31)	0 (0)	0 (0)	11 (31)
Weight decreased	11 (31)	0 (0)	0 (0)	11 (31)
Rash	10 (29)	0 (0)	0 (0)	10 (29)
Anorexia	5 (14)	4 (11)	0 (0)	9 (26)
Nausea	8 (23)	1 (3)	0 (0)	9 (26)
Blood thyroid-stimulating hormone increased	8 (23)	0 (0)	0 (0)	8 (23)
Dysphonia	8 (23)	0 (0)	0 (0)	8 (23)
Protein urine present	6 (17)	1 (3)	0 (0)	7 (20)
Dry skin	6 (17)	0 (0)	0 (0)	6 (17)
Vomiting	5 (15)	1 (3)	0 (0)	6 (17)

Table 4 Summary of PK parameters following oral administration of 125 mg motesanib on days 1 and 29

PK parameter	N	Day 1 Mean \pm SD	Day 29 Mean \pm SD	Day 29:Day 1 ratio Mean \pm SD
All evaluable patients				
t_{\max} (h) ^a	10	0.75 (0.25–2.0)	0.79 (0.50–4.0)	NA
C_{\max} (ng/ml)	10	800 \pm 439	488 \pm 363	0.62 \pm 0.20
AUC _{0–24} (μ g h/ml)	9	3.87 \pm 2.28	2.51 \pm 2.10	0.71 \pm 0.32
AUC _{0–inf} (μ g h/ml)	9	4.14 \pm 2.47	NA	NA
$t_{1/2,z}$ (h)	8	5.42 \pm 1.51	4.27 \pm 1.26	NA
CL/F (l/h)	9	41.1 \pm 22.3	69.3 \pm 31.8	NA
C_{24} (ng/ml)	9	27.6 \pm 23.8	12.9 \pm 15.4	0.80 ^b \pm 1.17
Evaluable patients with no prior gastrectomy				
t_{\max} (h) ^a	6	1.0 (0.25–2.0)	1.0 (0.50–4.0)	NA
C_{\max} (ng/ml)	6	692 \pm 312	354 \pm 193	0.53 \pm 0.16
AUC _{0–24} (μ g h/ml)	5	3.91 \pm 2.43	1.93 \pm 0.67	0.67 \pm 0.39
AUC _{0–inf} (μ g h/ml)	5	4.27 \pm 2.73	NA	NA
$t_{1/2,z}$ (h)	4	5.20 \pm 1.79	4.32 \pm 1.89	NA
CL/F (l/h)	5	40.7 \pm 24.5	71.5 \pm 26.0	NA
C_{24} (ng/ml)	5	33.5 \pm 31.1	16.6 \pm 20.3	1.11 ^b \pm 1.57
Evaluable patients with partial or full gastrectomy				
t_{\max} (h) ^a	4	0.50 (0.25–2.0)	0.50 (0.50–1.0)	NA
C_{\max} (ng/ml)	4	962 \pm 599	689 \pm 492	0.75 \pm 0.21
AUC _{0–24} (μ g h/ml)	4	3.82 \pm 2.45	3.23 \pm 3.16	0.75 \pm 0.25
AUC _{0–inf} (μ g h/ml)	4	3.99 \pm 2.49	NA	NA
$t_{1/2,z}$ (h)	4	5.63 \pm 1.42	4.22 \pm 0.38	NA
CL/F (l/h)	4	41.6 \pm 22.9	66.4 \pm 42.2	NA
C_{24} (ng/ml)	4	20.2 \pm 9.2	8.37 \pm 6.24	0.40 \pm 0.16

Note: One patient did not have intensive sampling for day 29. This patient was excluded from the summary statistics

Parameters are presented to three significant figures when possible. Ratios are presented to two decimal places

Patients with elevated motesanib concentrations at 24 h post-dose were excluded from the C_{24} , $t_{1/2}$, AUC, and AUC-derived parameter summary statistics calculations, hence the reduced sample size for these parameters

t_{\max} = the time the maximal plasma concentration was observed; C_{\max} = the maximal observed plasma concentration after dosing; AUC_{0–24} = the area under the plasma concentration–time curve from time 0 to 24 h post-dose; AUC_{0–inf} = the area under the plasma concentration–time curve from time 0 to infinite time; $t_{1/2,z}$ = estimated terminal-phase half-life; CL/F = apparent clearance (AUC_{0–24} was used to estimate CL/F on day 29); C_{24} = the observed plasma concentration at 24 h after dosing; NA not applicable

^a t_{\max} is reported as a median (range) value, and is presented to two significant figures

^b One patient had a C_{24} ratio of 3.84. The C_{24} ratio (mean \pm SD) excluding this patient is 0.42 \pm 0.30 for all patients and 0.43 \pm 0.42 for patients without gastrectomy

For the patients who had partial or full gastrectomy ($n = 4$), day 1 C_{\max} values were slightly higher (<2-fold) and AUC values were similar to those who had no gastrectomy. Means for C_{\max} and AUC values on day 29 were higher compared with those who had no gastrectomy but not significant. Median t_{\max} values occurred earlier in patients with gastrectomy on both days 1 and 29 (median $t_{\max} = 0.50$ h with gastrectomy versus 1.0 h with no gastrectomy). C_{24} values on days 1 and 29 were lower in patients with gastrectomy compared with those who had no gastrectomy, though the mean $t_{1/2}$ values were similar (mean $t_{1/2,z}$ value = 4.22 versus 4.32 h, respectively).

Discussion

Although regression of thyroid cancer, renal cell carcinoma, and leiomyosarcoma was observed in the Phase I study of motesanib [12], objective tumor regression was observed in only one patient (3%) with GIST in this study. Motesanib administered as a single-agent was well tolerated, and a number of patients experienced prolonged stabilization of the disease. Seven (20%) did not exhibit disease progression for a minimum of 24 weeks, and the median PFS was 16.1 weeks. Serious hematological AEs (grade 3/4) were observed after sunitinib treatment in the Phase III trial. The incidence of grade