

SORL1 and sA $\beta$ PP $\beta$  and a positive between SORL1 and A $\beta$ <sub>42</sub> in CSF possibly in all groups of participants was expected.

## METHODS

The study protocol was approved by the ethics committee of the Faculty of Medicine at Technische Universität München. The study was conducted in accordance with the 1964 Declaration of Helsinki. All participants gave their written informed consent after an extensive description of the study aims and procedures.

### Participants

The study encompassed 76 patients with MCI, 61 patients with mild dementia in AD, and 17 patients with FTD, who were recruited at the Department of Psychiatry and Psychotherapy at Technische Universität München. The examination of the patients included a history from the patient and from an informant, medical, neurological, and psychiatric examination, laboratory screening, structural brain imaging (MRI or CT), and a neuropsychological examination based on the German version of the Consortium to Establish a Registry for AD neuropsychological assessment battery (CERAD-NAB) [28]. The diagnosis of dementia was based on the criteria of the ICD-10 classification system [29]. To ensure that patients with dementia had not crossed the threshold to moderate dementia, patients with a score below 15 points on the MMSE were excluded from the study. This score has been found to discriminate mild from moderate dementia [30]. MMSE staging has been proven to be an effective clinical instrument for tracking the stages of dementia [30]. Patients with AD fulfilled the criteria of the National Institute of Neurological and Communicative Disorders and Stroke-AD and Related Disorders Association (NINCDS-ADRDA) for probable AD [31]. Patients with MCI met the revised consensus criteria of the International Working Group on MCI [32]. The diagnosis of FTD was established according to the revised Lund-Manchester criteria [33].

### CSF sampling and analyses

CSF was collected in sterile polypropylene tubes, using atraumatic canulas placed in the L3/L4 or L4/L5 intervertebral space, and gently mixed. The CSF was centrifuged at 1800 g (4°C) for 10 min to remove cells

and aliquots of the remaining CSF supernatants were stored in polypropylene tubes at -80°C.

### Determination of tau, A $\beta$ <sub>42</sub>, and sA $\beta$ PP $\beta$ levels

CSF A $\beta$ <sub>42</sub>, total tau (Innogenetics, Ghent, Belgium), and sA $\beta$ PP $\beta$  (IBL, Gunma, Japan) in CSF were measured in duplicate with commercially available enzyme-linked immunosorbent assays (ELISA) according to the manufacturers' instructions as described previously in detail [34–36].

### SORL1 concentrations

SORL1 concentrations in CSF were determined using ELISA by Sekisui Medical Co Ltd. (Ryugasaki, Japan) as described previously [37]. Briefly, 10  $\mu$ l CSF was diluted with 100  $\mu$ l sample buffer and added to the plate coated with mouse monoclonal antibody M3 [38]. Subsequently, after incubating with the biotinylated rat monoclonal antibody R14, the SORL1-antibody complex reacted with horseradish peroxidase-conjugated streptavidin and substrate. A standard curve was constructed using a purified SORL1 protein. The final absorbance of each sample was measured at 450 nm. The intraassay and interassay coefficients of variation were 3.7% and 10.5% respectively [37]. SORL1 concentrations were determined in 57 patients with MCI, in 42 with AD, and in all patients with FTD.

### Statistical analyses

Statistical analyses were implemented in IBM SPSS Statistics 19.0 for Windows. The normal distribution of data was checked using the Kolmogorov-Smirnov test. Differences between the groups with regard to age, sA $\beta$ PP $\beta$ , A $\beta$ <sub>42</sub>, SORL1, and MMSE were tested by analysis of variance (ANOVA), and with regard to tau CSF concentrations with the Kruskal-Wallis test. Pairwise comparisons were performed using the Bonferroni's test or the *T*-test (normally distributed data) and the Mann-Whitney test (data not normally distributed).  $\chi^2$  tests were employed for nominal (categorical) data. Possible associations between CSF tau on the one hand and sA $\beta$ PP $\beta$  and A $\beta$ <sub>42</sub> on the other hand in each of the three groups of the study sample were investigated with linear regression analysis models, into which tau concentrations were fed as dependent variable and sA $\beta$ PP $\beta$ , A $\beta$ <sub>42</sub>, age, and gender as explanatory variables. The MCI group was dichotomized with regard to tau values, as markers of neurodegeneration, in order to investigate

Table 1  
Characteristics of the study sample. Data presented as mean (SD)

	MCI	AD	FTD
n	76	61	17
Age (in years)	65.5 (9.4)	66.9 (9.5)	62.9 (6.2)
Gender (men/women)	38/38	32/29	7-Oct
MMSE (standard deviation) [range]	26.89 (2.08) [22–30]	22.54 (2.86) [16–27]*	24.18 (3.58) [17–29]#
Tau (ng/ml)	405.18 (270.43)	599.93 (360.25)*	214.35 (103.00)*‡
Soluble A $\beta$ PP $\beta$ (ng/ml)	1059.94 (479.68)	836.27 (383.71)*	203.71 (103.94)#‡
SORL1 (ng/ml)	(n = 57), 11.92 (4.28)	(n = 42), 11.89 (4.74)	(n = 17) 10.38 (3.35)
Amyloid- $\beta$ <sub>1-42</sub> (ng/ml)	737.46 (333.37)	536.49 (235.43)*	934.12 (345.24)*‡

MCI: Mild cognitive impairment, AD: Alzheimer's disease, FTD: Frontotemporal dementia, MMSE: Mini- mental state examination, SORL1: Sortilin-related receptor with A-type repeats; \*Statistically significant differences between the MCI and AD groups,  $p < 0.05$ ; #Statistically significant differences between the MCI and FTD groups,  $p < 0.05$ ; ‡Statistically significant differences between the AD and FTD groups,  $p < 0.05$ .

possible differences in the relationship between tau and A $\beta$ <sub>42</sub> and sA $\beta$ PP $\beta$  between patients with MCI, developing on a neurodegenerative basis (>253 ng/L)

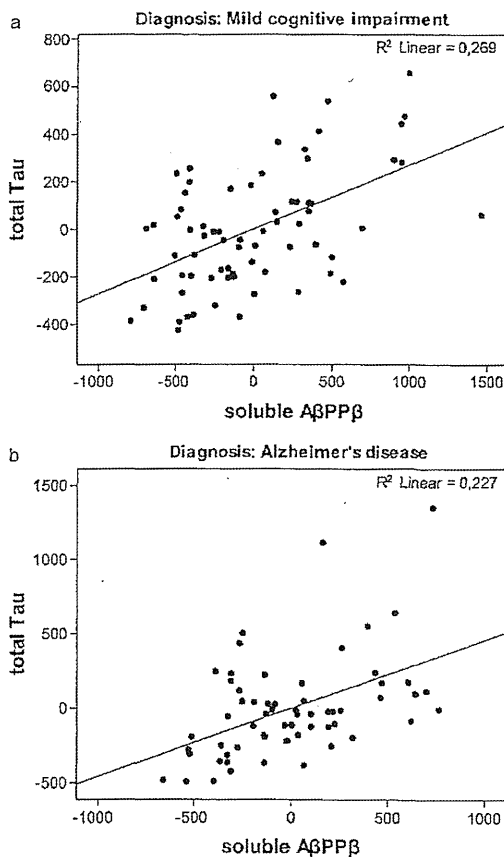


Fig. 1. Partial regression diagrams of total tau and soluble A $\beta$ PP $\beta$  concentrations in cerebrospinal fluid in (a) patients with mild cognitive impairment and in (b) patients with Alzheimer's disease. Values are standardized and at zero centered.

[39] and those with non-degenerative MCI. The regression analysis with tau as dependent variable included sA $\beta$ PP $\beta$ , A $\beta$ <sub>42</sub>, age, and gender as explanatory factors. The relations between CSF sA $\beta$ PP $\beta$  and A $\beta$ <sub>42</sub> and SORL1 concentrations were studied with linear regression models which included sA $\beta$ PP $\beta$  or A $\beta$ <sub>42</sub> as dependent factor and SORL1, age and gender as independent parameters.  $P$  values of less than 0.05 were considered statistically significant.

## RESULTS

Statistically significant differences across diagnostic groups regarding MMSE scores and CSF parameters were detected and are presented in Table 1. The linear regression analysis in the MCI group revealed statistically significant correlations of both sA $\beta$ PP $\beta$  (standardized coefficient  $B = 0.486$ ;  $p < 0.001$ ) (Fig. 1) and A $\beta$ <sub>42</sub> (standardized coefficient  $B = -0.465$ ;  $p < 0.001$ ) (Fig. 2) with tau, whereas neither age (standardized coefficient  $B = 0.083$ ,  $p = 0.421$ ) nor gender (standardized coefficient  $B = -0.045$ ;  $p = 0.648$ ) were associated with tau levels in CSF. Moreover, demographic, clinical, and biomarker data of the degenerative and non-degenerative MCI subgroups are presented in Table 2. The regression analysis with tau as dependent variable and sA $\beta$ PP $\beta$ , A $\beta$ <sub>42</sub>, age, and gender as explanatory factors showed that tau correlated significantly in the degenerative MCI subsample with both A $\beta$ <sub>42</sub> (standardized coefficient  $B = -0.506$ ;  $p = 0.001$ ) and sA $\beta$ PP $\beta$  (standardized coefficient  $B = 0.370$ ;  $p = 0.008$ ). Unexpectedly, in the non-degenerative MCI subsample tau did positively correlate with CSF A $\beta$ <sub>42</sub> (standardized coefficient  $B = 0.617$ ;  $p = 0.004$ ), while sA $\beta$ PP $\beta$  did not (standardized coefficient  $B = 0.201$ ;  $p = 0.34$ ). In patients with AD, sA $\beta$ PP $\beta$  (standardized coefficient  $B = 0.487$ ;  $p < 0.001$ ) (Fig. 1) was

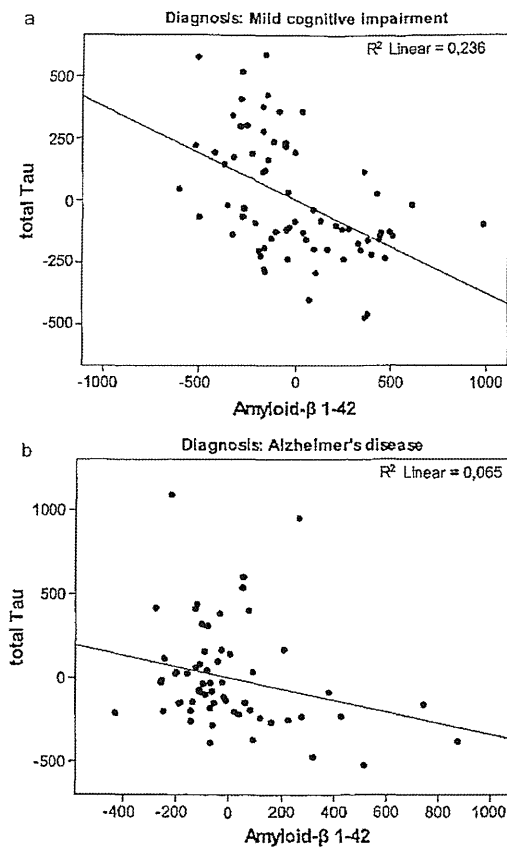


Fig. 2. Partial regression diagrams of total tau and amyloid-β 1-42 concentrations in cerebrospinal fluid in (a) patients with mild cognitive impairment and in (b) patients with Alzheimer's disease. Values are standardized and at zero centered.

significantly associated with tau. The association between Aβ42 and tau strongly tended to be statistically significant (standardized coefficient B = -0.221,

$p = 0.05$ ) (Fig. 2), whereas age (standardized coefficient  $B = -0.096$ ;  $p = 0.409$ ) and gender (standardized coefficient  $B = 0.080$ ;  $p = 0.51$ ) were not related to tau. In the FTD group neither sAβPPβ (standardized coefficient  $B = 0.350$ ,  $p = 0.199$ ) nor Aβ42 (standardized coefficient  $B = 0.379$ ,  $p = 0.175$ ) were related to tau levels. No associations were detected between age (standardized coefficient  $B = 0.147$ ,  $p = 0.689$ ) and gender (standardized coefficient  $B = 0.142$ ,  $p = 0.532$ ) and tau concentrations in CSF. In all models, tolerance values were not less than 0.57. Tolerance values less than 0.2 are usually considered to indicate collinearity [40].

According to the regression analysis, CSF sAβPPβ levels correlated significantly with SORL1 concentrations (standardized coefficient  $B = 0.379$ ;  $p = 0.003$ ) in patients with MCI (Fig. 3) and were not influenced by age (standardized coefficient  $B = -0.158$ ;  $p = 0.202$ ) or gender (standardized coefficient  $B = 0.211$ ;  $p = 0.09$ ). In the AD group, significant associations between sAβPPβ and SORL1 (standardized coefficient  $B = 0.551$ ;  $p < 0.001$ ) (Fig. 3) and gender (standardized coefficient  $B = 0.398$ ;  $p = 0.003$ ) were observed, while age was not associated with SORL1 levels (standardized coefficient  $B = -0.045$ ;  $p = 0.734$ ). In patients with FTD, only SORL1 was found to be related to sAβPPβ levels in CSF (standardized coefficient  $B = 0.708$ ;  $p = 0.011$ ) (Fig. 3), whereas age (standardized coefficient  $B = -0.211$ ;  $p = 0.402$ ) and gender (standardized coefficient  $B = 0.347$ ;  $p = 0.141$ ) were not. Regarding relations between Aβ42 and SORL1, the regression analysis did not reveal any associations between Aβ42 and SORL1, age, or gender either in the MCI group (standardized coefficient  $B = 0.074$ ,  $-0.201$ ,  $0.037$ ,  $p = 0.582$ ,  $0.140$ ,  $0.785$ , respectively), or in the AD group (standardized coefficient  $B = -0.059$ ,  $0.096$ ,  $0.033$ ,  $p = 0.726$ ,  $0.570$ ,  $0.838$ ) respectively). The analysis did not show any

Table 2  
Characteristics of patients with degenerative mild cognitive impairment (MCI) and with non degenerative MCI. Data presented as mean (SD)

	Degenerative MCI	Non-degenerative MCI
n	47	29
Age (in years)	67.3 (9.0)	62.7 (9.4)*
Gender (men/women)	23/24	15/14
MMSE (standard deviation) [range]	26.73 (2.22) [22-30]	27.14 (1.84) [23-30]*
Tau (ng/ml)	549.64 (247.08)	171.07 (61.65)*
Soluble AβPPβ (ng/ml)	1156.62 (491.57)	903.26 (422.17)*
SORL1 (ng/ml)	(n = 32), 12.01 (5.16)	(n = 25), 11.80 (2.88)
Amyloid-β1-42 (ng/ml)	659.55 (314.33)	863.72 (329.63)*

MMSE: Mini-mental state examination, SORL1: Sortilin-related receptor with A-type repeats; \*Statistically significant differences,  $p < 0.05$ .

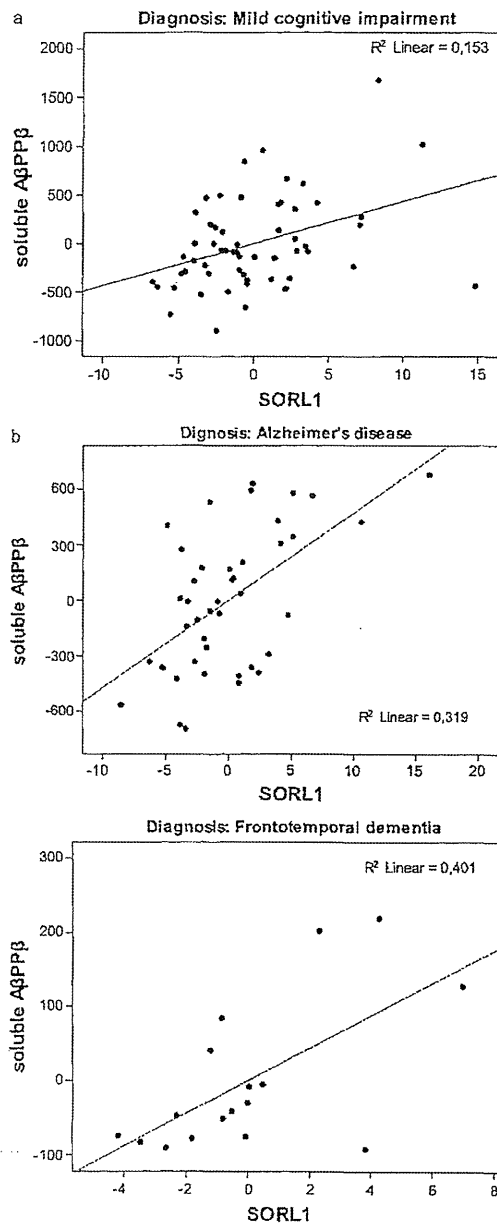


Fig. 3. Partial regression diagrams of soluble A $\beta$ PP $\beta$  and SORL1 concentrations in cerebrospinal fluid in (a) patients with mild cognitive impairment, in (b) patients with Alzheimer's disease, and in (c) patients with frontotemporal dementia. Values are standardized and at zero centered.

statistically significant relations between A $\beta$ <sub>42</sub> and SORL1 (standardized coefficient  $B=0.514$ ,  $p=0.077$ ), age ( $B=-0.030$ ,  $p=0.915$ ), or gender (standardized coefficient  $B=0.382$ ,  $p=0.146$ ) in patients with FTD too. Tolerance values were not less than 0.73.

## DISCUSSION

The main findings of the present study are the statistically significant positive correlations between sA $\beta$ PP $\beta$  and tau in patients with AD and MCI, but not in the group of FTD patients, and the significant associations between sA $\beta$ PP $\beta$  and soluble SORL1 in all groups of participants.

The positive correlation between sA $\beta$ PP $\beta$  and tau in CSF of patients with AD and MCI, especially with MCI developing on a neurodegenerative basis, and the absence of such an association in the non-degenerative MCI subgroup are observations, which further support the concept of an interrelation between amyloid and tau pathology in AD, even though they do not establish any straightforward facilitatory causal effect of sA $\beta$ PP $\beta$  on the increase of tau concentrations in CSF. These findings are in line with the reported positive correlation between tau levels and total soluble A $\beta$ PP in CSF [41, 42], as well as with the association between  $\beta$ -secretase activity and tau levels [43]. One plausible explanation for this result is that the link between the two facets of AD pathology is possibly mediated by the binding of A $\beta$  oligomers to neuronal target receptors, which aberrantly activates trophic signaling and activates an incomplete set of downstream events (e.g., increased Akt activation, hyperphosphorylation of critical Akt substrates, excessive activation of the PI3K/Akt pathway, leading to tau hyperphosphorylation, and neuronal degeneration [5]). Alternatively, the positive correlation between sA $\beta$ PP $\beta$  and tau in CSF in AD and MCI could be attributable to an unspecific protein release from dying neurons and axons [43]. In line with the hypothesis that A $\beta$  oligomers induce tau hyperphosphorylation and subsequently neurodegeneration, we detected an association between tau levels and sA $\beta$ PP $\beta$ , mirroring the generation of all A $\beta$  peptides, and not only of A $\beta$ <sub>42</sub>. However, it should be underscored that there is no experimental evidence for a relation between sA $\beta$ PP $\beta$  and A $\beta$  oligomers.

A $\beta$ <sub>42</sub> was found to be associated with tau in the MCI group and marginally in the AD. Previous studies found a correlation between A $\beta$ <sub>42</sub> and tau in CSF

in healthy elderly individuals and in patients with non-neurodegenerative MCI, but not in patients suffering from AD pathology [41, 44]. Our MCI group was not restricted to patients with MCI due to neurodegeneration, since patients with MCI were recruited according to clinical criteria and not values of markers of degeneration. Nonetheless, the dichotomization of the MCI group with regard to values of the neurodegeneration marker tau revealed a significant negative correlation between tau and A $\beta$ <sub>42</sub> in the degenerative MCI subsample, whereas in the non-degenerative MCI subsample tau was found to correlate positively with CSF A $\beta$ <sub>42</sub>. These findings in conjunction with the presence only of a tendency to a correlation between A $\beta$ <sub>42</sub> and tau in CSF in patients with AD possibly indicate that the progression of AD pathology is likely to result in the attenuation of the association between A $\beta$ <sub>42</sub> and tau possibly via deficient clearance mechanisms of A $\beta$ <sub>42</sub> or high rates of A $\beta$ <sub>42</sub> aggregation in amyloid plaques [1]. The observed discrepancies, concerning the relationship between tau and A $\beta$ <sub>42</sub> in CSF in the patients with AD and MCI, obviously warrant further investigation, especially in the light of the limited size of the non-degenerative MCI subgroup in our study.

The regression analysis model revealed an impact of gender on CSF sA $\beta$ PP $\beta$  levels in patients with AD. This observation implies a sexual dimorphism. Interestingly, recent reports from AD transgenic animal models have reported higher  $\beta$ -secretase activity and a more aggressive A $\beta$  pathology in female than male mice [45]. Such findings are compatible with previous observations, which indicate an upregulation of both  $\alpha$ - and  $\beta$ - pathways in women compared with men with AD [46]. Moreover, it is noteworthy that epidemiological studies have shown that women have higher risk of AD even after adjustment for age [47, 48]. Nonetheless, the influence of gender on CSF sA $\beta$ PP $\beta$  concentrations needs to be replicated in studies including larger samples.

To our knowledge this is the first study to elucidate a correlation between SORL1 concentrations and sA $\beta$ PP $\beta$  in CSF of patients with AD, MCI, and FTD. SORL1 was previously found to be reduced in the Golgi and early endosomal compartments in AD [49–51], allowing or fostering A $\beta$ PP to be processed by  $\beta$ - and  $\alpha$ -secretase, resulting in the generation of sA $\beta$ PP $\beta$  [12, 52, 53]. The positive correlation in our study seems to be a contradiction in this regard. However, the employed ELISA determines the soluble form of SORL1, which is the product of SORL1 processing by proteases. It consists of the extracellular domain of

the membrane-spanning SORL1 protein [37], which was found to be elevated in patients with AD [54] and is assumed to be less efficient than full-length SORL1 with regard to mediating A $\beta$ PP transport through the Golgi-apparatus [53]. However, a hypothesis claiming that in AD the intracellular decline in full-length SORL1 levels is caused by an elevation in the endoproteolytical cleavage of SORL1, resulting in an elevation of the concentrations of the less efficient soluble SORL1, which can be detected in CSF, is quite unlikely especially in the light of the absence of statistically significant differences in CSF SORL1 concentrations amid the three study groups. A further possible explanation for the detected positive correlation is the direct interaction of soluble SORL1 with sA $\beta$ PP $\beta$  in CSF in association with apolipoprotein E, since SORL1 levels in CSF are particularly increased in patients with AD carrying the *APOE*  $\epsilon$ 4 allele [54], and SORL1 is a membrane receptor for APOE-containing lipoproteins in CSF [55]. Though in participants in whom CSF SORL1 was determined ( $n = 116$ ), no differences were elucidated in SORL1 levels between *APOE*  $\epsilon$ 4 allele carriers and non carriers either in the AD or MCI and FTD groups (data not shown); in the regression analysis the interaction term *APOE*  $\epsilon$ 4  $\times$  SORL1 levels showed a significant effect on sA $\beta$ PP $\beta$  concentrations (independent variable) in the MCI and AD group (standardized coefficient  $B = 0.46$ ,  $0.391$ , and  $p < 0.001$ ,  $p = 0.01$  respectively), while in patients with FTD, the association did not attain statistical significance (standardized coefficient  $B = 0.085$ ,  $p = 0.747$ ). Interestingly, the positive correlation between SORL1 and sA $\beta$ PP $\beta$  was also found in FTD. This observation indicates that the association between the two molecules is not restricted to patients suffering from amyloid pathology. Therefore, future studies investigating the associations between the two molecules in further clinical entities, that are associated with alterations in processing of A $\beta$ PP (e.g., multiple sclerosis, lyme neuroborreliosis) [56, 57], as well as in healthy subjects are required, since it is possible that the detected relation can be observed not only in patients with neurodegeneration.

Despite the detected significant association between CSF SORL1 and sA $\beta$ PP $\beta$  levels in CSF in all study groups, the analysis did not reveal such an association between SORL1 and A $\beta$ <sub>42</sub>, possibly owing to the aggregation of A $\beta$ <sub>42</sub> in amyloid plaques and/or impaired A $\beta$ <sub>42</sub> clearance mechanisms, resulting in the undermining of a potential association between the two peptides in the CSF.

The present study should be viewed in the light of a number of limitations. The size of the study sample was relatively small and no control group was included. As a consequence, we were not in the position to explore possible associations between sA $\beta$ PP $\beta$ , tau and SORL1 in physiological aging. However, a group of patients with FTD, which is pathologically not characterized by amyloid pathology, was included in the study. Only a few proteins related to amyloid metabolism were determined. Thus our analysis and the detected associations do not provide experimental evidence for causal effects. Unfortunately, *APOE* genotype data were not available for all study participants. As a result this genetic factor could not be included in the regression analysis as residual. Our investigation encompassed a sample which was restricted to participants recruited at university centers. Hence, the generalization of the results warrants further investigation. No pathological verification of diagnoses was available, but current diagnostic criteria for AD have been shown to be very accurate for populations recruited at specialized centers [58].

AD is a clinical entity which is assumed to reach the dimension of a health scourge in the near future. As a result it is worth trying to unravel the pathomechanisms underlying the disease in order to facilitate the development of new effective disease-modifying therapies. The elucidated interrelations between the amyloid cascade and axonal degeneration as well as between soluble SORL1 and sA $\beta$ PP $\beta$  contribute to our understanding of the genesis of AD and probably to the developing of novel therapeutic strategies.

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