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#### 2.9 DNA-binding ELISA for NF-kB

TransAM NF-κB p65 assay Kit (Active Motif, Carlsbad, CA, USA) was used. Equal amounts of nuclear extracts were added to the 96-well plate containing NF-kB consensus sequence and subjected to binding reaction for 1 h. Following incubation with anti-NF-κB p65 antibody for 1 h, samples were incubated with HRP-conjugated anti-IgG antibody for 1 h. Then samples were subjected to colorimetric reaction and absorbance at 450 nm was read by Mithras LB940 (Berthold Technologies, Bad Wildbad, Germany).

#### 2.10 Animal experiments

All procedures were approved by the institutional animal use and care committee, and conducted in accordance with institutional guidelines and Guide for the Care and Use of Laboratory Animals (NIH Publication No. 85-23, revised 1996). C57B/6J male mice were purchased from CLEA Japan (Tokyo, Japan) and fed a normal chow. Mice (10-week-old) were anesthetized by intraperitoneal injection of 50 mg/kg pentobarbital, and then arterial wire injury was performed by insertion of a wire (0.38 mm in diameter. #C-SF-15-15, COOK, Bloomington, IN, USA) into the femoral artery as described previously.<sup>20</sup> Mini-osmotic pumps (Alzet, DURECT, Cupertino, CA, USA) delivering nutlin-3 (5 mg/kg/day) were placed into the intraperitonal space immediately after the vascular injury operation. BrdU (25 mg/kg) was injected at 24 and 1 h prior to tissue harvest. Mice were euthanized with injection of overdose pentobarbital. Harvested femoral arteries were fixed in 10% neutral-buffered formaldehyde solution. For RNA isolation, tissues were snap frozen in liquid nitrogen.

#### 2.11 Morphometric analysis and immunohistochemistry

Neointimal and medial areas were quantified by NIH ImageJ software. Per cent stenosis was determined as the ratio of the intimal area and the area inside the internal elastic lamina  $\times$  100. For enzyme immunohistochemistry, paraffin-embedded tissue sections were deparaffinized and rehydrated, and then autoclaved in 10 mmol/L citrate buffer for antigen 270 retrieval. Following quenching endogenous peroxidase and blocking with 3% skim milk, sections were incubated with primary antibodies at 4°C overnight. After incubation with biotinylated secondary antibodies and treatment with streptavidine-horseradish peroxidase conjugate, sections were incubated in 3,3'-diaminobenzidine solution and counterstained with haematoxylin. For fluorescent immunohistochemistry, sections were incubated with FITC-conjugated primary antibodies for 2 h at room temperature and then observed by fluorescent microscopy. Apoptotic cells were detected by the terminal deoxynucleotidyl transferase dUTP nick-end labelling (TUNEL) method with Apoptosis in situ Detection Kit (Wako Pure Chemical Industries, Osaka, Japan). Incorporated BrdU was detected using Cell Proliferation Kit (GE Healthcare UK).

#### 2.12 Statistical analysis

Experimental data were analysed by one-way ANOVA and Fisher's post hoc test. Results are expressed as mean  $\pm$  SEM. Values of P < 0.05 were considered statistically significant.

#### 3. Results

#### 3.1 Nutlin-3 activates p53 pathway and inhibits cellular proliferation and migration in VSMCs

At first, the effect of nutlin-3 on rat VSMC proliferation was examined. DNA synthesis assay showed that enhanced [3H]-thymidine uptake induced by PDGF was dose dependently suppressed by nutlin-3 treatment in VSMCs (Figure 1A). We further assessed the effects of nutlin-3 on VSMC migration by in vitro scratch assay. Treatment with nutlin-3 attenuated VSMC migration induced by PDGF (Figure 1B).

We next verified whether nutlin-3 induces p53 in VSMCs. p53 300 protein expression was up-regulated by treatment with nutlin-3 (Figure 1C). p21 and MDM2, p53 downstream target molecules, were also up-regulated by nutlin-3 (Figure 1C), indicating functional activation of the p53 pathway in VSMCs. MAP kinases are known to be important mediators of PDGF signaling pathway.<sup>21</sup> However, 305 nutlin-3 had no effects on the phosphorylation of p38MAPK, ERK1/ 2, and JNK/SAPK stimulated by PDGF (Supplementary material online, Figure S1). In order to exclude the possibility that the reduction of the number of proliferating cells was caused by apoptosis, we also evaluated VSMC apoptosis by flow cytometry. Treatment with 310 nutlin-3 did not instigate apoptosis at basal condition and did not enhance H<sub>2</sub>O<sub>2</sub>-induced apoptosis either (Figure 1D). These results imply that the inhibitory effect of nutlin-3 on VSMC proliferation may not be attributable to inhibition of MAP kinases or induction of apoptosis.

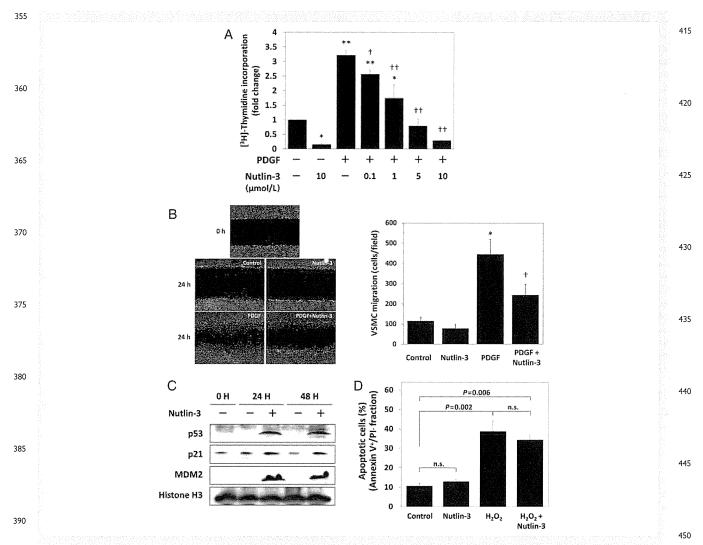
#### 3.2 Nutlin-3 induces cell cycle arrest in a p53-dependent manner in VSMCs

The effect of nutlin-3 on the cell cycle profile in rat VSMCs was ana- 320 lysed by flow cytometry. To confirm whether the inhibitory effect of nutlin-3 on cell proliferation depends on p53, assays were performed with or without p53 knock down by siRNA. Transfection of p53-targeting siRNA significantly down-regulated p53 mRNA expression in VSMCs, while transfection of control siRNA did not 325 affect p53 mRNA expression levels (Figure 2A). Flow cytometric analysis revealed that the increase in the proportion of S-phase and the decrease in the proportion of G1-phase induced by PDGF was attenuated by treatment with nutlin-3 in VSMCs transfected with control siRNA (Figure 2B), whereas nutlin-3 failed to prevent cell cycle 330 progression stimulated by PDGF in VSMCs transfected with p53-siRNA (Figure 2B). The cell cycle arrest-inducing effect of nutlin-3 was also abrogated in p53-deficient mouse VSMCs (Supplementary material online, Figure S2). These results suggest that nutlin-3 inhibited VSMC proliferation via p53-dependent cell cycle 335 arrest at G1 phase.

#### 3.3 Nutlin-3 attenuates neointimal hyperplasia after vascular injury

We next explored the effects of nutlin-3 on neointimal formation in mice. Administration of nutlin-3 (5 mg/kg/day) had no apparent effects on body weight and hemodynamics including blood pressure and heart rate (data not shown). No sickness behaviour and mortality occurred during the experimental period. No apparent macroscopic 345 organ damage and tissue abnormalities were observed in nutlin-3-administered mice. Neointimal hyperplasia provoked by arterial wire-injury was significantly attenuated in nutlin-3-treated mice compared with control mice at 28 days after injury (Figure 3A and B). In both groups, most of the neointimal tissues were composed 350 of  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) positive VSMCs (Figure 3C). Sirius red staining revealed that the neointimal tissues in both groups were also abundant in collagen fibres (Figure 3D). The collagen fibre hues under observation by polarizing microscopy were yellowish similarly

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**Figure 1** Effects of nutlin-3 on proliferation, migration, and p53 pathways in VSMCs. (A) VSMCs pretreated with nutlin-3 for 6 h were stimulated with PDGF-BB (50 ng/mL) for 24 h and [ $^3$ H]-thymidine incorporation was counted. \* $^4$ P < 0.05, \* $^4$ P < 0.01 vs. PDGF(+)-Nutlin-3(-) ( $^4$ P < 0.05, \* $^4$ P < 0.01 vs. PDGF(+)-Nutlin-3(-) ( $^4$ P < 0.05, \* $^4$ P < 0.01 vs. PDGF(+)-Nutlin-3(-) ( $^4$ P < 0.05 in the presence or absence of nutlin-3. Nuclei were stained with DAPI. Representative microphotographs and the quantitative analysis are shown. \* $^4$ P < 0.005 vs. control, \* $^4$ P < 0.05 vs. PDGF ( $^4$ P = 3). (C) The expression levels of p53, p21, and MDM2 in VSMCs stimulated with nutlin-3 (10 μmol/L) were assessed by western blot analysis. Histone H3 was used for loading control. The same results were obtained in three other independent experiments. (D) The effect of nutlin-3 on VSMC apoptosis was analysed by flow cytometry. After pretreatment with nutlin-3 (10 μmol/L) for 24 h and incubation with H<sub>2</sub>O<sub>2</sub> (5 mmol/L) for 1 h, VSMCs were stained with PI and Annexin V-FITC. The percentage of apoptotic cells (Annexin V-positive and PI-negative fraction) is shown. n.s.: not significant ( $^6$ P = 3). Values represent mean ± SEM.

in each group, suggesting that there would not be differences in collagen fibre properties affecting tissue stability (*Figure 3D*). Immunostaining of PECAM-1 showed that the luminal surface of the neointima was overlaid with endothelial cells in both control and nutlin-3 groups, indicating that administration of nutlin-3 did not impair re-endothelialization (*Figure 3E*). These results suggest that treatment with nutlin-3 attenuates neointimal overgrowth without affecting vascular tissue integrity. Expression of p53 and p21 was up-regulated in the vascular tissues of nutlin-3-administered mice compared with untreated mice (*Figure 3F*). MDM2 expression was unaffected by treatment with nutlin-3 (*Figure 3F*).

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### 3.4 Effects of MDM2 inhibition on vascular proliferation and inflammation

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Incorporation of BrdU into the neointima cells was decreased in nutlin-3-treated mice compared with control mice at 14 days but not 28 days after vascular injury (*Figure 4A*). There were only a few TUNEL-positive apoptotic cells detected at 7 days after injury, however, enhanced apoptosis was not observed in nutlin-3-administered mice (*Figure 4B*). TUNEL-positive cells were not detected either in control or nutlin-3 groups at 14 and 28 days after injury (*Figure 4B*). These results suggest minor contribution of

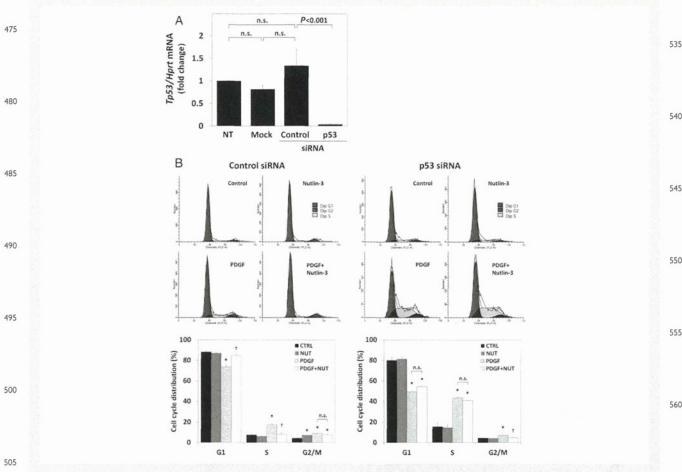


Figure 2 Nutlin-3 induces cell cycle arrest in a p53-dependent manner in VSMC. (A) The expression levels of p53 mRNA in VSMCs transfected with p53-targeting siRNA (25 nmol/L) or negative control siRNA (25 nmol/L) for 48 h were assessed by RT-qPCR (n=4). NT, non-treated. n.s., not significant. (B) Cell cycle profile analysed by flow cytometry. VSMCs transfected with p53-siRNA (25 nmol/L) or control siRNA (25 nmol/L) were pretreated with nutlin-3 (10  $\mu$ mol/L) for 6 h and then stimulated with PDGF-BB (50 ng/mL) for 24 h. Representative histograms and the percentage of cell cycle distribution are shown. CTRL, control, NUT, nutlin-3. \*P < 0.05 vs. control,  $^{\dagger}P < 0.01$  vs. PDGF (n=3 each).

apoptosis to the inhibition of neointimal growth by nutlin-3. Vascular inflammation is mainly regulated by NF- $\kappa$ B-dependent gene transcription, <sup>22</sup> and involved in the progression of vascular proliferative diseases. <sup>1–3</sup> Since it has been reported that p53 inhibits NF- $\kappa$ B pathway, <sup>23–27</sup> we also investigated whether nutlin-3 affects NF- $\kappa$ B-regulated gene expression and infiltration of inflammatory cells in injured vascular tissues. Infiltration of macrophages and T-lymphocytes in the injured vessels was significantly attenuated in nutlin-3-administered mice (*Figure 4C and D*). RT-qPCR of the injured vessels showed that mRNA expression of NF- $\kappa$ B-regulated genes including chemokine (C-C motif) ligand 5 (CCL5), interleukin-6 (IL-6), and intercellular adhesion molecule-1 (ICAM-1) was suppressed in nutlin-3-treated mice (*Figure 5A*).

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### 3.5 Nutlin-3 suppression of nuclear activation of NF-κB is dependent on p53

To elucidate the mechanism of the decrease in proinflammatory gene expression in the injured vessels of nutlin-3-treated mice, we performed

NF-κB DNA-binding ELISA using cultured rat VSMCs. Treatment with nutlin-3 significantly attenuated NF-κB activation induced by TNFα in VSMCs transfected with control siRNA (*Figure 5B*), while this inhibitory effect was abrogated in VSMCs transfected with p53-targeting siRNA (*Figure 5B*). The NF-κB-suppressing effect of nutlin-3 was also abolished in p53-deficient mouse VSMCs (Supplementary material online, *Figure S3*). These results suggest that the inhibitory effect of nutlin-3 on NF-κB activation depends on p53.

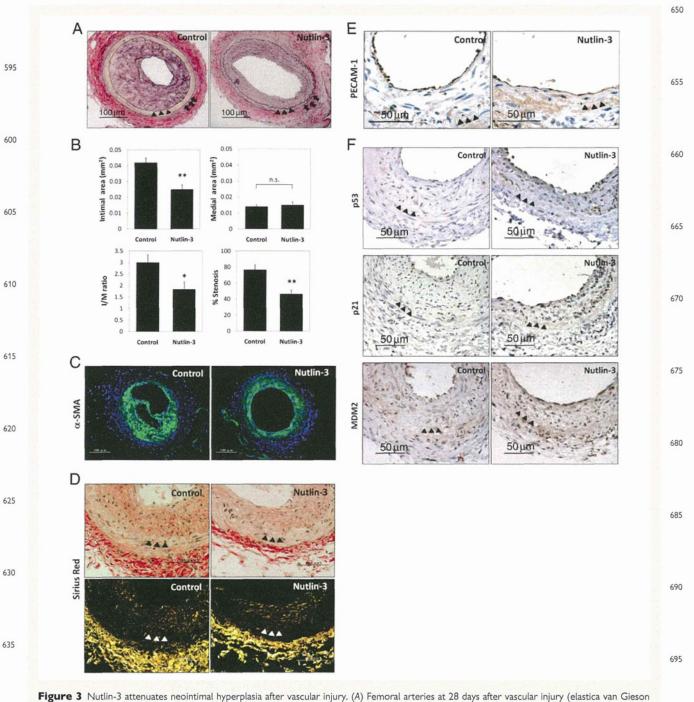
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#### 4. Discussion

In the present study, we demonstrated that an MDM2 inhibitor nutlin-3 suppressed VSMC proliferation through cell cycle arrest at 585 G1 phase. The effects of nutlin-3 depend on p53 because nutlin-3 failed to show any effects on VSMCs transfected with p53-targeting siRNA and p53-deficient VSMCs. We also demonstrated that treatment with nutlin-3 attenuated neointimal hyperplasia after vascular injury without increasing vascular tissue vulnerability. Inhibition of 590

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staining). Arrows indicate external elastic lamina. Arrowheads indicate internal elastic lamina. (B) Intimal area, medial area, initimal/media (I/M) area ratio, and percent stenosis at 28 days after injury were analysed. Values represent mean  $\pm$  SEM. \* $^{*}P < 0.05$  vs. control, \* $^{**}P < 0.01$  vs. control (control, n = 9, nutlin-3, n = 7). (C) Wire-injured arteries (28 days) stained with FITC-conjugated anti- $\alpha$ -SMA antibody. Green,  $\alpha$ -SMA. Blue, nuclei (DAPI). (D) Sirius red staining of injured vessels (28 days). Collagen fibres were stained in red (upper panels). The sirius red-stained tissue specimens identical to upper panels were observed by polarizing microscopy (lower panels). (E) Immunostaining of PECAM-1 in vascular tissues at 28 days after injury. (F) Immunostaining of p53, p21, and MDM2 in the injured vessels (28 days). The same results were obtained in other samples (control, n = 9, nutlin-3, n = 7).

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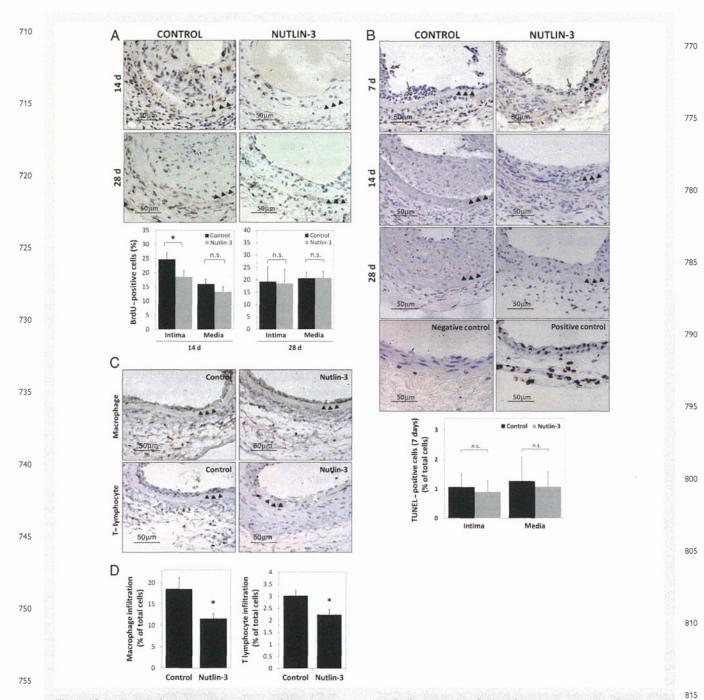


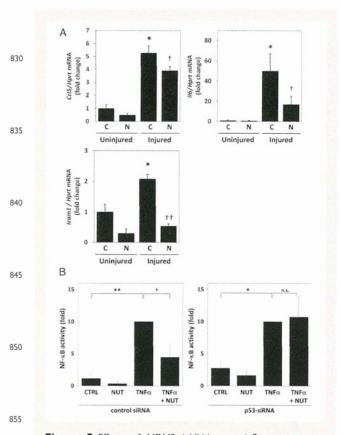
Figure 4 Modulation of vascular proliferation and inflammatory responses by MDM2 inhibition. (A) Cell proliferation was assessed by BrdU incorporation at 14 and 28 days after vascular injury. (Upper) Representative micrographs are shown. Arrowheads indicate internal elastic lamina. (Lower) Quantitative analysis of BrdU-positive cells in the neointima and the media. \*P < 0.05. n.s.: not significant. (14 days: control, n = 15, nutlin-3, n = 11; 28 days: control, n = 9, nutlin-3, n = 7). (B) (Upper) Apoptotic cells assessed by TUNEL method at 7, 14, and 28 days after injury. Arrows indicate TUNEL-positive cells. Positive control was the tissue specimen biochemically treated with DNase I, while negative control was the specimen incubated without TdT. (Lower) Quantitative analysis of TUNEL-positive cells in the neointima and the media at 7 days after injury. n.s., not significant (control, n = 7, nutlin-3, n = 8). (C) Infiltration of inflammatory cells at 7 days after vascular injury. Macrophages were immunostained with anti-Mac-3 antibody. T-lymphocytes were stained with anti-CD3 antibody. (D) Quantitative analysis of the number of inflammatory cells which infiltrated per section. \*P < 0.05 vs. control (n = 5 each).

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**Figure 5** Effects of MDM2 inhibition on inflammatory gene expression and NF-κB transcriptional activity. (A) mRNA expression levels of CCL5, IL-6, and ICAM-1 at 7 days after vascular injury were determined by RT-qPCR. C, control; N, nutlin-3. \*P < 0.005 vs. control (uninjured);  $^{\dagger}P < 0.05$ ,  $^{\dagger\dagger}P < 0.01$  vs. control (injured) (control, n=10, nutlin-3, n=10). Values represent mean  $\pm$  SEM. (B) Effect of nutlin-3 on NF-κB transactivation in VSMCs was assessed by DNA-binding ELISA for NF-κB p65. VSMCs transfected with control siRNA or p53-siRNA were stimulated with TNFα (20 ng/mL) for 1 h after pretreatment with nutlin-3 (10 μmol/L) for 24 h (n=3 each). Values represent mean  $\pm$  SEM. CTRL, control; NUT, nutlin-3. \*P < 0.05, \*\*P < 0.001 vs. control,  $^{\dagger}P < 0.05$  vs. TNFα, n.s., not significant (n=3).

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vascular cell proliferation, inflammatory cell infiltration, and proinflammatory gene expression was observed in the injured vessels of nutlin-3-administered mice, suggesting that nutlin-3 ameliorated maladaptive vascular remodelling through inhibition of neointimal VSMC growth and modulation of inflammatory process. Infiltration of leukocytes mediated by chemokines and VSMC proliferation are critical to neointimal growth after vascular injury.<sup>3</sup> Therefore, a therapeutic strategy against both cell proliferation and vascular inflammation is rational for efficacious remedy for neointimal hyperplasia. MDM2 inhibitors may have unique therapeutic potential because it is capable of inhibiting NF-κB activation as well as inducing cell-cycle arrest via p53 activation.

Previous reports have demonstrated that the protective role of p53 against neointimal hyperplasia. <sup>28–34</sup> Such an effect has been thought to be brought about by increased apoptosis or inhibition of

proliferation of VSMCs. These studies adopted, however, p53 gene transfer by adenovirus vectors, liposome, or transgenic technique, which are technically and ethically difficult to utilize in the clinical settings. Indeed p53 activation can be instigated by anticancer drugs, which is inevitably associated with DNA damage leading to enhanced apoptosis and tissue damage. The fact that nutlin-3 can activate p53 by inhibition of MDM2, not by DNA damage-triggered induction, encourages us to apply the 'p53 activation' to the conquest of vascular proliferative diseases practically.

Since MDM2 is a downstream target gene of p53 as well as p21, 895 MDM2 up-regulation by treatment with MDM2 inhibitor nutlin-3 is thought to result from a negative feedback mechanism. Although the kinetics of p53, p21, and MDM2 in VSMCs seems parallel in time in our results, up-regulation of p21 and MDM2 may follow the induction of p53 in a delayed fashion at the early phase after stimulation with nutlin-3 like seen in other cells. 18,19

Nutlin-3 inhibits cell proliferation by p53 activation leading to p21-mediated cell cycle arrest at G1 phase. p53 can also induce apoptosis in response to stress signals, but apoptosis-inducing effect of p53 might depend on cell type or require further cofactors or modifications.<sup>35</sup> Nutlin-3 does not induce apoptosis of vascular endothelial cells,36 neutrophils, and macrophages.23 In this study, acceleration of apoptosis was not observed either in nutlin-3-treated VSMCs or neointima of nutlin-3-administered mice after vascular injury, which is consistent with previous studies. Very early apoptosis after vascular 910 injury is relevant for vascular remodelling. SMC apoptosis is induced immediately after vascular injury, attaining to the peak in 0.5-1 h, and declines rapidly.<sup>37</sup> Considering the fact that administration of nutlin-3 was instituted by implantation of mini-osmotic pumps following vascular injury and the concentration of nutlin-3 would reach 915 steady state for at least several hours, it is unlikely that nutlin-3 affects apoptosis which occurs very early after vascular injury in our models.

p53 activation is known to suppress NF- $\kappa$ B-dependent gene expression.  $^{23-25}$  It has been reported that p53 and NF- $\kappa$ B can 920 repress the transactivation of each other via competition for transcriptional co-activator p300/CREB-binding protein (CBP).  $^{26,27}$  We showed that nutlin-3 suppressed NF- $\kappa$ B activation in VSMCs. Though a direct proof of NF- $\kappa$ B activation is lacking in our animal experiments, inhibition of the expression of NF- $\kappa$ B-regulated proinflammatory genes, such as CCL5, IL-6, and ICAM-1, suggests that nutlin-3 may suppress NF- $\kappa$ B activation in injured vessels as shown in cultured VSMCs. These observations about the effects of MDM2 inhibitor beyond anti-proliferation, that is, anti-inflammatory activities, implicate that it may be applicable to the 930 treatment of atherosclerotic vascular diseases as well as post-intervention restenosis.

In the present study, we did not investigate the mechanism by which nutlin-3 suppressed VSMC migration. Nutlin-3 inhibits cancer cell migration via cytoskeletal rearrangement in a p53-dependent 935 manner. Reportedly, p53 inhibits cell migration by regulation of Cdc42 and Rac1 pathways. Hill we speculate that nutlin-3 inhibits VSMC migration through p53-mediated suppression of Rho GTPases, further examination is required.

The limitation of the present study is that we did not verify the 940 effects of nutlin-3 on neointima formation in p53-deficient mice to establish the definite link between the effects of nutlin-3 and p53 in vivo. However, we observed that nutlin-3 failed to inhibit cell cycle progression and NF-kB activation in p53-knocked down

945 VSMCs and p53-deficient VSMCs, strongly suggesting that the effects of nutlin-3 depend on p53. Furthermore, there are many reports demonstrating that in vivo administration of nutlin-3 suppressed the growth of tumours with wild-type p53, whereas treatment with nutlin-3 did not affect the growth of p53-deficient or mutant p53-bearing tumours in mice. 17,18,41 These findings including our results suggest that the pharmacological action of nutlin-3 in vivo is dependent on p53 as well. For another point, we cannot exclude possible secondary effects of nutlin-3 on neointima formation by way of, for example, inhibition of systemic cytokine expression and direct inhibitory effects on inflammatory cells such as macrophages and leukocytes. Further investigations are required on this point.

In conclusion, p53 activation by MDM2 inhibition prevented cellular proliferation, migration, and NF-κB activation in VSMCs; and besides attenuated neointimal hyperplasia with prevention of vascular proliferation and inflammatory responses. Targeting MDM2-p53 interaction might be a novel therapeutic strategy for treatment of vascular proliferative diseases.

#### Supplementary material

Supplementary material is available at Cardiovascular Research online.

#### **Acknowledgements**

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Conflict of interest: none declared.

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#### PRE-CLINICAL INVESTIGATION

# Peak Systolic Mitral Annulus Velocity Reflects the Status of Ventricular-Arterial Coupling—Theoretical and Experimental Analyses

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**Background:** Peak systolic mitral annular velocity  $(S_m)$  measured by tissue Doppler echocardiography has been recognized as an independent predictor of mortality in patients with heart failure and in the general population. However, the mechanical determinants of  $S_m$  remain poorly defined.

Methods: A theoretical model of  $S_m$  was derived, which indicates that  $S_m$  is affected positively by left ventricular (LV) contractility and preload and inversely by LV afterload and ejection time (EJT). In 16 anesthetized dogs,  $S_m$ , LV volume, and LV pressure were measured using sonomicrometry and catheter-tip micromanometry. LV contractility, preload, and afterload were indexed by the end-systolic pressure/volume ratio ( $E_{es}$ ), end-diastolic volume ( $V_{ed}$ ), and effective arterial elastance ( $E_a$ ), respectively. LV contractility, loading conditions, and heart rate were varied over wide ranges, and a total of 76 data sets were obtained for  $S_m$  (1.2–9.1 cm/sec),  $E_{es}$ ' (1.5–17.6 mm Hg/mL),  $V_{ed}$  (11–99 mL),  $E_a$  (3.6–58.4 mm Hg/mL), EJT (100–246 msec), heart rate (66–192 beats/min), and the ventricular-arterial coupling ratio ( $E_{es}$ '/ $E_a$ ; 0.2–3.0).

**Results:** The theoretical model accurately predicted  $S_m$  ( $R^2$  = 0.79, P < .0001). By univariate analysis,  $S_m$  was correlated significantly with  $E_{es}'$  ( $R^2$  = 0.64, P < .0001) and with the reciprocal of  $E_a$  ( $R^2$  = 0.49, P < .01).  $V_{ed}$  and EJT did not affect  $S_m$ .  $E_{es}'/E_a$  was correlated strongly with  $S_m$  ( $R^2$  = 0.73, P < .0001).  $E_{es}'$  and the reciprocal of  $E_a$  were not correlated with each other.

Conclusions: LV contractility and afterload independently determine  $S_m$ . The effects of LV preload and EJT on  $S_m$  might be small, even though they are theoretically associated with  $S_m$ .  $S_m$  strongly reflects the status of ventricular-arterial coupling. (J Am Soc Echocardiogr 2011;24:582-91.)

Keywords: Echocardiography, Heart failure, Hemodynamics, Mechanics

Left ventricular (LV) longitudinal shortening during ejection is reflected by systolic mitral annular velocity, which can be measured by tissue Doppler (TD) echocardiography in clinical practice. Peak systolic mitral annular velocity ( $S_m$ ) has been reported to be an index of global LV systolic function. Ameasurement of  $S_m$  allows the detection of cardiac dysfunction more sensitively than the evaluation of other conventional LV function indexes, such as LV ejection fraction (LVEF).  $^4S_m$  is a powerful prognosticator of mortality in patients with heart failure and in the general population.

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Although these previous studies have highlighted the clinical utility of  $S_m$  measurement, the mechanical determinants of  $S_m$  remain poorly defined.

 $S_{m}$  is correlated positively with LVEF in patients with cardiac diseases.<sup>2</sup> A recent animal study demonstrated that  $S_{m}$  is correlated with the maximum value of the time derivative of LV pressure (LVP) (LV dP/dt<sub>max</sub>), an indicator of LV systolic function.<sup>7</sup> These findings suggest that LV contractility contributes significantly to  $S_{m}$ . However, the magnitudes of LVEF and dP/dt<sub>max</sub> change in response to alteration in loading conditions, even if the intrinsic LV contractility is preserved.<sup>8</sup> Intriguingly,  $S_{m}$  and LV end-systolic elastance ( $E_{es}$ ; a relatively load independent index of LV contractility) were found not to be correlated in patients with hypertension.<sup>9</sup> Henein *et al.*<sup>10</sup> assessed the effects of acute alterations in afterload on  $S_{m}$  during peripheral vascular surgery and reported that an increase in afterload by aortoiliac clamping decreased  $S_{m}$ . In contrast, in patients undergoing cardiac surgery,  $S_{m}$  was insensitive to changes in afterload after infusions of vasoactive agents.<sup>11</sup>

Whether and to what degree LV contractility and loading conditions independently affect  $S_m$  remain controversial. A more comprehensive approach is required to address this issue. The purpose of this study was to clarify the mechanisms that regulate the magnitude of  $S_m$ . To that end, we first derived the theoretical relationship between  $S_m$  and cardiovascular parameters describing LV contractility,

#### **Abbreviations**

CV = Coefficient of variation

E<sub>a</sub> = Effective arterial elastance

Ees = End-systolic elastance

EJT = Ejection time

HR = Heart rate

LV = Left ventricular

LVEF = Left ventricular ejection fraction

LVP = Left ventricular pressure

LVV = Left ventricular volume

Pes = End-systolic pressure

**S**<sub>m</sub> = Peak systolic mitral annular velocity

**S**<sub>mTD</sub> = Peak systolic myocardial velocity on tissue Doppler echocardiography

SV = Stroke volume

SVR = Systemic vascular resistance

TD = Tissue Doppler

Ved = End-diastolic volume

Ves = End-systolic volume

afterload, and preload. Second, in canine experiments, we simultaneously acquired LVP, LV volume (LVV), and  $S_{\rm m}$  using a catheter tipped micromanometer and sonomicrometer, while varying LV contractility and loading conditions over wide ranges. We compared these experimental data with the theoretical predictions and evaluated the independent effects of the parameters on  $S_{\rm m}$ .

#### **METHODS**

Theoretical Relationship Between S<sub>m</sub> and Cardiovascular Parameters

We based our theoretical modeling on the LVP-LVV framework,  $^{12}$  as shown in Figure 1.  $E_{es}$ , the slope of the relationship between end-systolic pressure ( $P_{es}$ ) and end-systolic volume ( $V_{es}$ ), is an index of LV contractility (Figure 1A). Effective arterial elastance,  $E_{a}$ , the slope of the relationship between  $P_{es}$  and stroke volume (SV), is an index of LV afterload.  $^{13}$  The time-elastance curve of the left ventri-

cle has a distinct waveform (Figure 1B).  $^{14,15}$  The time-elastance curve during systole can be approximated to two straight lines, one for the isovolumic contraction phase and the other for the ejection phase (Figure 1B).  $^{14,15}$  S<sub>m</sub> is related to E<sub>es</sub>, E<sub>a</sub>, LV end-diastolic volume (V<sub>ed</sub>) and ejection time (EJT) by the following formula:

$$S_{m} = \frac{\alpha}{3} \cdot \frac{E_{es}}{E_{a}} \cdot \frac{V_{ed}^{1/3}}{E_{JT}},\tag{1}$$

where  $\alpha$  is a constant determined by the ratio of LV longitudinal to short-axis length. Details of the mathematical derivation of equation 1 are provided in the Appendix.

#### Animal Experiments

We used 22 adult mongrel dogs (both sexes; weight, 20–30 kg). The investigation conformed with the *Guide for the Care and Use of Laboratory Animals*. <sup>16</sup> All protocols were approved by the Animal Subjects Committee of the National Cerebral and Cardiovascular Center.

Experiment 1: Comparison of Mitral Annular Velocities Measured by Sonomicrometry and TD Echocardiography

**Preparation.** Six animals were used. After anesthesia was induced with sodium pentobarbital (25 mg/kg), the animals were intubated endotracheally and ventilated artificially. An appropriate level of anesthesia was maintained by continuous inhalation of 1.5% isoflurane.

A sterile left lateral thoracotomy was performed, and the pericardium was opened. Three spheric sonomicrometer crystals (2 mm in diameter) were implanted in the subepicardium at the base (Figure 2A, points 1 and 2) and apex (Figure 2A, point 3) of the left ventricle. All wires were exteriorized through the back of the neck. The pericardium and chest wall were closed, and the animal was allowed to recover.

After the animals had fully recovered from the procedure (10–14 days after surgery), mitral annular velocities were measured by sonomicrometry and TD echocardiography. During measurements, the dogs were anesthetized and artificially ventilated and were laid in a sling. Surface electrocardiograms were recorded.

Mitral Annular Velocity Measurement by Sonomicrometry. To obtain LV dimensions, sonomicrometric signals were processed with a digital system (Sonolab, Sonometrics Corporation, London, Canada) while each crystal sent a signal to and received a signal from each of the other crystals. Analog signals of sonomicrometric LV dimensions and electrocardiography were digitized at 200 Hz and stored for offline analysis (Sonolab). The dimensions of the three sonomicrometer crystals were used to calculate the LV longitudinal length (L), which is the distance between the LV apex and the center of the base (Figure 2A). The time derivative of L (dL/dt) was used as instantaneous mitral annular velocity, and a positive velocity was reported to indicate shortening of L.<sup>17</sup> Peak dL/dt during LV systole was used as S<sub>m</sub>.

Mitral Annular Velocity Measurement by TD Echocardiography. Transthoracic echocardiography was performed using an echocardiographic system equipped with a 6-MHz transducer (Artida; Toshiba Corporation, Tokyo, Japan). Mitral annular velocity was obtained with pulsed TD from the apical four-chamber view by placing a 2-mm-wide sample volume at the septal side of the mitral annulus (Figure 2B). Peak systolic myocardial velocity (S<sub>mTD</sub>) was obtained. 3,5

**Data Acquisition.** All data were acquired at end-expiration. To avoid interference between sonomicrometry and TD echocardiography, we first recorded echocardiographic data for 10 sec and then sonomicrometric dimensions during the subsequent 10 sec. Doses of dobutamine (2, 4, 8, and 16  $\mu g/kg/min$ ) and propranolol (0.2 mg/kg) were administered intravenously to each dog to modulate LV inotropy.  $S_m$  and  $S_{mTD}$  were determined after administration of each dose.

Experiment 2: Effects of Cardiovascular Parameters on S<sub>m</sub>

**Preparation.** Sixteen animals were used. Anesthesia and artificial ventilation were conducted as described above. A fluid-filled catheter (8Fr) was placed in the right femoral artery to measure systemic arterial pressure. The fluid-filled catheter was connected to a pressure transducer (DX-200; Nihon Kohden, Tokyo, Japan). After a median sternotomy, the heart was suspended in a pericardial cradle. A pair of pacing electrodes was fixed at the right atrial appendage for atrial pacing. A catheter-tipped micromanometer (PC-751; Millar Instruments, Houston, TX) was inserted via the LV apex to measure LVP. As depicted in Figure 3A, 10 sonomicrometer crystals were implanted in the subepicardium of the left ventricle and the right side of the interventricular septum to obtain LV dimensions. Surface electrocardiograms were recorded. After the instrumentation was completed, the pericardium was closed. All data acquisitions were done at end-expiration. Analog signals of arterial pressure, LVP,

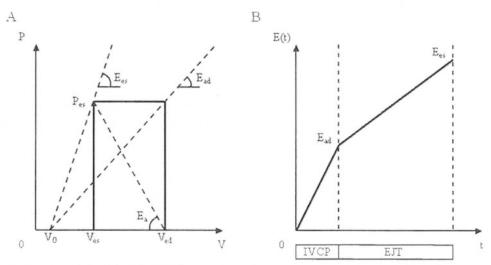


Figure 1 (A) Schematic drawing of the LVP (P)–LVV (V) loop, depicting  $E_{es}$ ,  $E_{ad}$ ,  $E_{a}$ ,  $P_{es}$ , volume axis intercept of the relationship between  $P_{es}$  and  $V_{es}$  ( $V_{o}$ ),  $V_{es}$ , and  $V_{ed}$ . (B) Bilinearly approximated time (t)–elastance (E[t]) curve during the isovolumic contraction phase (IVCP) and EJT.

sonomicrometric LV dimensions, and electrocardiography were digitized at 200 Hz and stored for offline analysis.

**Experimental Protocols.** After the initial preparation and surgical procedures were complete, the animals were allowed to stabilize for 30 min. Under steady-state baseline condition, we recorded the analog signals for about 10 sec in each animal. After obtaining the hemodynamic data at baseline conditions, we created various hemodynamic conditions, as described in the following protocols. In each protocol, we waited 20 min to confirm that hemodynamic conditions reached steady state.

Contractility Run (n = 9). — Hemodynamic data were recorded while LV contractility was increased by dobutamine infusion (5  $\mu$ g/kg/min). After the data were recorded, dobutamine infusion was temporarily suspended. We created acute heart failure by embolizing the left coronary artery with glass microspheres (90  $\mu$ m in diameter). Data recording was repeated under depressed LV contractility. Hemodynamic data were also recorded after LV contractility was restored by reinfusion of dobutamine.

Loading Run (n = 7).—Hemodynamic data were recorded after pharmacologically altering vascular resistance (afterload) and LV filling (preload) by infusing norepinephrine (0.2  $\mu$ g/kg/min), sodium nitroprusside (3  $\mu$ g/kg/min), or 250 mL of 10% dextran 40.

Heart Rate (HR) Run (n = 6).—The possible dependence of  $S_m$  on HR was tested by suppressing the intrinsic atrial beat using zatebradine (UL-FS49; 0.5 mg/kg) and instituting atrial pacing to obtain hemodynamic data at different HRs ( $\pm 25\%$  of baseline HR).

Six animals underwent both loading and HR runs. At the conclusion of the experiments, the dogs were sacrificed with an intravenous injection of pentobarbital and potassium chloride. Autopsies were performed to verify the position of the sonomicrometer crystals and catheters. After excision of the adjacent right ventricular muscle, valvular tissue and fat, LV myocardial volume was measured by water displacement in a volumetric cylinder.

**Data Analysis and Definitions.** Calculation of  $S_m$ —Dimensions between the sonomicrometer crystals placed at the LV base (Figure 3A, points 1 and 2) and the LV apex (Figure 3A, point 3) were used to calculate  $S_m$ , as described above.

LVV Calculation Using Sonomicrometric LV Dimensions.—The three-dimensional position of each crystal was defined as a function of time on the basis of the distances between the crystals. <sup>18</sup> The LV epicardial volume (including LVV and LV myocardial volume) was estimated using software that applied an ellipsoidal shell model to the coordinates of all 10 crystals (Figure 3A). <sup>19</sup> LVV was obtained by subtracting LV myocardial volume from the estimated LV epicardial volume. Our preliminary study demonstrated that ex vivo LVV thus estimated agreed reasonably well with the volume measured by intraventricular balloon method (LVV)<sub>ba</sub> in four canine hearts (LVV =  $1.0 \times \text{LVV}_{\text{ba}} - 7.0$ ; r = 0.98; SEE = 14 mL;  $20 \leq \text{LVV}_{\text{ba}} \leq 70 \text{ mL}$ ).

Cardiovascular Parameters. — End-systole was defined as the time when LV dP/dt decreased to 20% of its minimum. <sup>15</sup> LV contractility was indexed by the  $P_{es}/V_{es}$  ratio ( $E_{es}{}'=P_{es}/V_{es}$ ), which is an approximation of  $E_{es}$ .  $^{9.20}$   $V_{ed}$  (an index of LV preload) was defined as LVV at the peak of the R wave on the electrocardiogram.  $^{11}$   $E_a$  (an index of LV afterload) was defined as the ratio of  $P_{es}$  to SV (SV =  $V_{ed} - V_{es}$ ).  $^{9.13}$  The end of the isovolumic contraction phase was defined as the moment when LV dP/dt decreased to 80% of its maximum, according to a previous study  $^{21}$  with minor modification. EJT was obtained by subtracting the end of the isovolumic contraction phase from end-systole. Systemic vascular resistance (SVR) was defined as time-averaged arterial pressure divided by the product of SV and HR. A previous study demonstrated that  $E_a$  is related to SVR and HR as follows:  $E_a \approx k \times SVR \times HR$ , where k is a constant.  $^{13}$  The  $E_{es}'/E_a$  ratio was used as an index of ventricular-arterial coupling.  $^{14,20}$ 

 $S_{\rm m}$  and cardiovascular parameters were the averages of approximately  $10\mbox{ beats}.$ 

#### Statistical Analysis

All data are presented as mean  $\pm$  SD. Statistical analyses were performed using commercially available software (Statistica; Statsoft, Inc., Tulsa, OK). In experiments 1 and 2, the associations among variables were analyzed using a mixed-model procedure to handle the dependencies in repeated measurements within the same animal. The coefficient of determination ( $R^2$ ) was used to evaluate the strength of association, because it measures how much variability of the dependent variable is the result of the independent

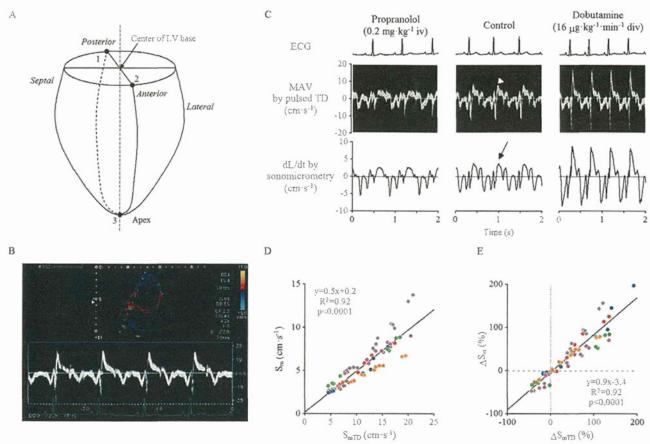


Figure 2 (A) Placement of sonomicrometer crystals (see text) in experiment 1. (B) Measurement of mitral annular velocity (MAV) at the septal side of the mitral annulus by TD echocardiography. (C) Examples of waveform data of electrocardiogram, septal MAV obtained from TD echocardiography, and dL/dt measured by implanted sonomicrometer crystals during hemodynamic alterations induced by dobutamine and propranolol. Data for dL/dt were traced so that positive values indicated shortening of LV longitudinal length. <sup>17</sup> Because of interference between sonomicrometry and Doppler, TD velocities were not from the same heartbeat as the other recordings. *Arrowhead*,  $S_{mTD}$ ; *arrow*,  $S_m$ . (D) Relation between  $S_m$  and  $S_{mTD}$  in six dogs. Each *color* indicates the data from one animal. The line represents the population-averaged regression<sup>20</sup> between  $S_m$  and  $S_{mTD}$  with regression equation, coefficient of determination  $(R^2)$ , and probability value. (E) Relation between percentage changes in  $S_m$  ( $\Delta S_m$ ) and  $S_{mTD}$  from their respective baseline values in six dogs. Each color indicates the data from one animal. The *line* represents the population-averaged regression between  $\Delta S_m$  and  $\Delta S_{mTD}$  with regression equation,  $R^2$ , and probability value.

variable. In experiment 2, the coefficient of variation (CV) was calculated as the ratio of the SD to the mean (reported as a percentage) and used to quantify the variability of measurements. One-way repeated-measures analyses of variance with Dunnett's test were used in multiple comparisons relative to baseline for each intervention. P values  $\leq .05$  were considered statistically significant.

#### RESULTS

Experiment 1: Mitral Annular Velocity Measured by Sonomicrometry and TD Echocardiography

Figure 2C displays representative recordings of mitral annular velocity measured by TD echocardiography and dL/dt measured by sonomicrometry when LV contractility was pharmacologically modulated. Waveforms derived from TD echocardiography were slightly different from those derived from sonomicrometry. As shown in Figure 2D, the values of  $S_{\rm mTD}$  were consistently larger than those of  $S_{\rm m}$ , but the two were correlated strongly on the basis of the data obtained from six canine hearts over a wide range of LV inotropy ( $R^2 = 0.92$ ). Percentage change in  $S_{\rm mTD}$  was also correlated highly with

percentage change in  $S_m$  ( $R^2 = 0.92$ ; Figure 2E). On the basis of these findings, instead of  $S_{mTD}$ , we used  $S_m$  by sonomicrometry to investigate the mechanical determinants of systolic mitral annular velocity, because this allowed analyses of all variables, including LVP and LVV, from the same heartbeat.

Experiment 2: Effects of Cardiovascular Parameters on Sm

Figure 3B shows traces of hemodynamic variables in one animal under baseline conditions. As predicted in our theoretical analysis (Appendix),  $S_{\rm m}$  was detected early in the ejection phase.

Effects of Various Interventions on  $S_m$  and Cardiovascular Parameters. Table 1 summarizes the effects of various interventions on  $S_m$  and cardiovascular parameters (a total of 76 data sets). The CVs for  $S_m$ ,  $E_{es}'$ ,  $V_{ed}$ ,  $E_a$ , EJT, SVR, HR, and  $E_{es}'/E_a$  were 44%, 49%, 41%, 77%, 24%, 77%, 21%, and 64%, respectively.  $S_m$  and all the cardiovascular parameters changed over reasonably wide ranges by the interventions.

Contractility Run.  $-S_{mr}$ ,  $E_{es}$ , and  $E_{es}$ / $E_a$  changed in a similar pattern from their respective baseline values. They increased significantly with

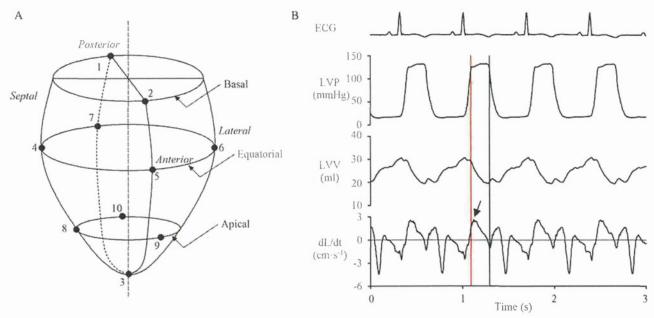


Figure 3 (A) Placement of sonomicrometer crystals (see text) in experiment 2. (B) Representative tracings from a dog in baseline condition. *Vertical red line*, end of isovolumic contraction phase; *vertical blue line*, end-systole; *arrow*, S<sub>m</sub>.

dobutamine infusion, decreased significantly after acute heart failure induction, and recovered to baseline levels with reinfusion of dobutamine.  $V_{\rm ed}$  and  $E_{\rm a}$  significantly increased and EJT significantly decreased from their respective baseline values after acute heart failure induction.

Loading Run. —  $S_{rnv} E_{es}$ , and  $E_{es}$ / $E_{a}$  did not change significantly in response to changes in loading conditions. Norepinephrine infusion significantly increased  $E_{a}$  and SVR and significantly decreased HR. Sodium nitroprusside infusion significantly decreased  $V_{ed}$  but did not significantly reduce  $E_{a}$  and SVR. Dextran infusion significantly increased  $V_{ed}$  and EJT and significantly decreased  $E_{a}$  and HR.

HR Run. — Zatebradine infusion significantly decreased HR and  $E_{\rm es}'$  and significantly increased  $V_{\rm ed}$  and EJT. Atrial tachypacing significantly increased HR.  $E_{\rm a}$  was apparently decreased by zatebradine and increased by atrial tachypacing, although the differences were not significant.

**Relationships** Between  $S_m$  and Cardiovascular Parameters. Using the 76 data sets from all interventions, we examined whether  $S_m$  was related to the cardiovascular parameters as predicted by equation 1. As shown in Figure 4A,  $S_m$  was correlated significantly with the product of  $E_{es}$  and the cubic root of  $V_{ed}$  divided by  $E_a$  and  $E_T$ . The  $R^2$  value was 0.79, indicating that the theoretical model accurately predicts  $S_m$ .

Figures 4B to 4H shows univariate relationships between  $S_m$  and each of the cardiovascular parameters.  $S_m$  was correlated significantly with  $E_{es}$ ' (Figure 4B). In accordance with equation 1, we related  $S_m$  with the cubic root of  $V_{ed}$  ( $V_{ed}^{1/3}$ ; Figure 4C), with the reciprocal of  $E_a$  ( $E_a^{-1}$ ; Figure 4D), and with the reciprocal of EJT (EJT<sup>-1</sup>; Figure 4E). The CVs for  $E_a^{-1}$ ,  $V_{ed}^{1/3}$ , and EJT<sup>-1</sup> were 41%, 14%, and 21%, respectively.  $S_m$  was correlated significantly with  $E_a^{-1}$  but not with  $V_{ed}^{1/3}$  and EJT<sup>-1</sup>. Because  $E_a$  was correlated linearly with the product of SVR and HR, as described above, we related  $S_m$  with the reciprocal of SVR (SVR<sup>-1</sup>; Figure 4F) and with the reciprocal of HR (HR<sup>-1</sup>; Figure 4G).  $S_m$  was correlated significantly with SVR<sup>-1</sup>

but not with  $HR^{-1}$ . Figure 4H indicates that  $E_{es}^{\prime}/E_a$  was tightly correlated with  $S_{\rm m}$ .

We analyzed the correlations between the cardiovascular parameters.  $\rm E_{es}'$  was not correlated with  $\rm E_a^{-1}$  (P=.96), indicating that the two affect  $\rm S_{rm}$  independently.  $\rm E_{es}'$  was correlated inversely with  $\rm V_{ed}^{1/3}$  ( $\rm E_{es}'=-4.3\times V_{ed}^{1/3}+21.7;$   $R^2=0.56,$  P<.001).  $\rm E_a^{-1}$  was correlated inversely with EJT $^{-1}$  ( $\rm E_a^{-1}=-0.03\times EJT^{-1}+0.34;$   $R^2=0.76,$  P<.0001).  $\rm E_a^{-1}$  was correlated positively with  $\rm V_{ed}^{1/3}$  ( $\rm E_a^{-1}=0.05\times V_{ed}^{1/3}+0.03;$   $R^2=0.68,$  P<.01).  $\rm V_{ed}^{1/3}$  was correlated inversely with EJT $^{-1}$  ( $\rm V_{ed}^{1/3}=-0.16\times EJT^{-1}+4.45;$   $R^2=0.76,$  P<.001).

#### DISCUSSION

To the best of our knowledge, this is the first study to comprehensively evaluate the relations between  $S_m$  and cardiovascular parameters using theoretical modeling and also well-controlled animal experiments. The theoretical model of  $S_m$  indicates that  $S_m$  is affected by LV contractility, preload, afterload, and EJT. Experimental data confirmed that the theoretical model accurately predicts  $S_m$ . Further analysis of the experimental data showed that LV contractility and afterload have independent effects on  $S_m$ , but LV preload and EJT do not.  $S_m$  strongly reflects the status of ventricular-arterial coupling.

#### Mechanical Determinants of S<sub>m</sub>

The theoretical model of  $S_m$  is rational mechanically because the right side of equation 1 corresponds to the mean velocity of LV shortening. The product of  $E_{es}/E_a$  and  $V_{ed}$  positively correlates with  $SV.^{13}$  Therefore, the product of  $E_{es}/E_a$  and the cubic root of  $V_{ed}$ , which corresponds to LV end-diastolic dimension, positively correlates with the stroke dimension of the left ventricle. The stroke dimension of the left ventricle divided by EJT equals the mean velocity of LV shortening.

In our theoretical analysis, we assumed that changes in LV length couple with changes in LVV (Appendix). Actually, this is not the case throughout the cardiac cycle. In the isovolumic contraction

Table 1 Effect of interventions on systolic mitral annular velocity and cardiovascular parameters

Variable	$S_m$ (cm/sec) 3.3 ± 1.5 (1.2–9.1)	E <sub>es</sub> ' (mm Hg/mL) 7.5 ± 3.7 (1.5–17.6)	$V_{ed}$ (mL) 37 ± 16 (11–99)	E <sub>a</sub> (mm Hg/mL) 9.2 ± 7.1 (3.6–58.4)	EJT (msec) 150 ± 36 (100–246)	SVR (mm Hg/sec/mL) 4.4 ± 3.3 (1.3–21.4)	HR (beats/min) 130 ± 28 (66–192)	E <sub>es</sub> '/E <sub>a</sub> 1.0 ± 0.7 (0.2–3.0)	л 76
Contractility run				1000					
Baseline	3.4 ± 1.2	6.2 ± 1.7	38 ± 9	$6.7 \pm 1.4$	134 ± 12	2.9 ± 0.7	130 ± 11	$1.0 \pm 0.4$	9
DOB	$6.0 \pm 1.8^{\dagger}$	$10.5 \pm 3.7^{\dagger}$	45 ± 5	6.1 ± 1.6	127 ± 8	2.7 ± 1.1	136 ± 24	$1.9 \pm 0.9^{\dagger}$	9
AHF	2.2 ± 1.0*	$2.7 \pm 0.8^{\dagger}$	50 ± 17 <sup>†</sup>	8.4 ± 2.4*	121 ± 13 <sup>†</sup>	3.2 ± 1.0	145 ± 12	0.3 ± 0.1*	9
AHF with DOB	$3.5 \pm 0.9$	4.3 ± 1.4	56 ± 17 <sup>†</sup>	$7.4 \pm 1.3$	117 ± 6 <sup>†</sup>	$2.8 \pm 0.8$	156 ± 26 <sup>†</sup>	$0.6 \pm 0.1$	9
Loading run									
Baseline	$2.9 \pm 0.7$	9.3 ± 3.2	24 ± 7	10.6 ± 4.5	161 ± 21	5.2 ± 2.4	127 ± 19	1.1 ± 0.7	7
NE	$2.8 \pm 0.6$	10.9 ± 3.9	29 ± 9	$14.8 \pm 7.9^{\dagger}$	165 ± 25	$9.0 \pm 5.4^{\dagger}$	103 ± 24 <sup>†</sup>	$0.9 \pm 0.4$	7
SNP	$3.1 \pm 0.6$	9.5 ± 3.6	19 ± 6*	9.1 ± 4.3	158 ± 31	4.6 ± 2.0	127 ± 17	1.3 ± 0.8	7
DEX	$3.1 \pm 0.9$	$7.2 \pm 1.6$	$39 \pm 10^{\dagger}$	7.1 ± 3.5*	209 ± 26 <sup>†</sup>	3.9 ± 1.9	107 ± 14*	$1.2 \pm 0.5$	7
Heart rate run									
Baseline	$2.8 \pm 0.7$	9.9 ± 3.0	24 ± 7	10.5 ± 5.0	155 ± 16	4.8 ± 2.3	134 ± 8	1.1 ± 0.7	6
ZAT	2.9 ± 1.1	6.9 ± 1.0*	$39 \pm 9^{\dagger}$	6.6 ± 3.0	204 ± 33 <sup>†</sup>	4.5 ± 2.2	$86 \pm 4^{\dagger}$	$1.2 \pm 0.4$	6
PACE	3.0 ± 1.4	10.0 ± 2.7	22 ± 9	18.4 ± 19.9	143 ± 22	6.9 ± 7.3	165 ± 12 <sup>†</sup>	$0.9 \pm 0.4$	6

Data are shown as mean  $\pm$  SD (range). Because there was an overlap in baseline parameters between the loading and heart rate runs, the sum of the numbers of all interventions was not 82, but 76.

AHF, Acute heart failure induced by coronary embolization; DEX, dextran infusion; DOB, dobutamine infusion; NE, norepinephrine infusion; PACE, atrial tachypacing; SNP, sodium nitroprusside infusion; ZAT, zatebradine infusion.
\*P < .05.

†P < .01 versus baseline.

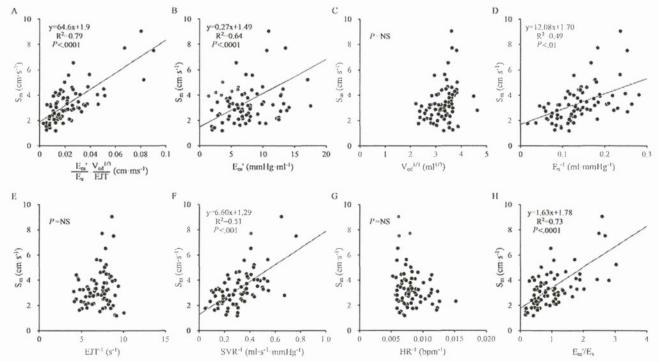


Figure 4 (A) Relationship between measured  $S_m$  and values obtained from the theoretical model consisting of the ratio of  $P_{es}$  to  $V_{es}$  ( $E_{es}$ ),  $V_{ed}$ ,  $E_{a}$ , and EJT (see equation 1). (B–H) Relationships between  $S_m$  and  $E_{es}$  (B), the cubic root of  $V_{ed}$  (C), the reciprocal of  $E_a$  (D), the reciprocal of EJT (E), the reciprocal of SVR (F), the reciprocal of HR (G), and the ventricular-arterial coupling ratio ( $E_{es}$ '/ $E_a$ ) (H). Each panel shows raw data from all interventions (76 data points) and the line representing the population-averaged regression between  $S_m$  and the cardiovascular parameters, <sup>20</sup> regression equation,  $R^2$ , and probability value.

phase, LV longitudinal and short-axis lengths change without change in LVV. <sup>19</sup> However, during the ejection phase, which was the phase of interest in our analysis, both LV longitudinal and short-axis lengths de-

creased in parallel with LVV. We also assumed that the ratio of LV longitudinal length to short-axis length is constant during the ejection phase (Appendix). The ratio is actually not constant. The ratio

averaged for eight dogs in a previous study was 1.65 at the beginning of the ejection phase. 19 At the end of the ejection phase, the ratio increased to 1.88. If these values are translated into  $\alpha$  in equation 1, α increases from 1.73 to 1.89 (a net increase of 10%) during the ejection phase. Hence,  $\alpha$  is actually not constant within one animal. Although this can be a confounder in this theoretical analysis (Appendix), its effect would be quantitatively small. Apart from  $\alpha$ , the time-varying LV elastance, E(t), also determines S<sub>m</sub> (equation A9 in Appendix). If E(t) is constant,  $S_m$  is achieved when  $\alpha$  is maximum, that is, at the end of the ejection phase. Meanwhile, if  $\alpha$  is constant,  $S_m$ is achieved when E(t) is minimum, that is, at the beginning of the ejection phase. During the ejection phase, E(t) increases from 5 to 10 mm Hg/mL in dogs (a net increase of 100%). 15 A numerical simulation using these published data indicates that the contribution of E(t) to S<sub>m</sub> is 15 times more than that of  $\alpha$ . Thus, we can assume  $\alpha$  as constant without sacrificing accuracy. S<sub>m</sub> is effectively determined by E(t) and is achieved at the beginning of the ejection phase. This is compatible with in vivo findings. In this study (Figures 2B, 2C, and 3B) and also in previous studies,  $^{4,7,23}$  S<sub>m</sub> is usually reached early in the ejection

According to the value of  $R^2$ , the theoretical model can predict 79% of  $S_m$  variation. The residual variation, 21%, remains to be explained. Although it is possible that variation among animals of  $\alpha$  in equation 1 may contribute to this residual variation, the CV of  $\alpha$  in eight dogs was <5% in a previous study, <sup>19</sup> suggesting that variation among animals of  $\alpha$  plays a minor role in the residual variation. The variation may be caused by factors not included in the model. A previous study indicated that dynamic arterial properties such as aortic compliance have direct effects on  $S_m$ . <sup>10</sup> Further studies to address these issues are required in the future.

LV contractility determines the magnitude of  $S_m$ . The  $R^2$  value of 0.64 between  $S_m$  and  $E_{es}{}'$  in this study was comparable with those observed between  $S_m$  and other LV functional indexes, such as LV dP/dt<sub>max</sub>, in previous studies.<sup>7</sup> Borlaug *et al.*<sup>9</sup> reported that  $S_m$  was not correlated with indexes of LV contractility including,  $E_{es}{}'$ , in patients with hypertension. However, because they excluded patients with heart failure with depressed LV contractility from the study population and did not actively stimulate LV inotropy in their protocol, LV contractile indexes were well preserved with small variations among patients. This might be a reason they did not detect a significant correlation between  $S_m$  and indexes of LV contractility.

 $S_m$  is inversely related to LV afterload. Previous clinical studies have yielded contrasting results of dependence  $^{9,10,24}$  and independence  $^{11,25}$  of  $S_m$  on afterload. Oki *et al.*  $^{24}$  reported that  $S_m$  was reduced in response to an increase in LV afterload after angiotensin infusion in healthy subjects. In contrast, Amà *et al.*  $^{11}$  reported that  $S_m$  did not change when  $E_a$  and SVR were changed by phenylephrine or nitroglycerine infusion in patients undergoing cardiac surgery. However, they did not attempt to directly associate  $S_m$  with the afterload indexes. Similar to their results, we also noted that  $S_m$  did not change in response to phenylephrine infusion, whereas  $E_a$  or SVR significantly increased (Table 1). However, we further analyzed the associations between  $S_m$  and afterload indexes using mixed-model procedures. In the previous studies, conducting statistical analyses similar to those we performed in this study would have been useful to evaluate association between the afterload and  $S_m$ .

Unexpectedly,  $V_{ed}$  and EJT did not affect  $S_m$  independently. Both  $V_{ed}$  and EJT are components of our theoretical model of  $S_m$ . Furthermore, previous studies suggested that  $S_m$  was affected by LV preload and EJT.<sup>23,26</sup> In this study, the CVs for  $V_{ed}^{-1/3}$  and EJT<sup>-1</sup> were small compared with those for  $E_{es}'$  and  $E_a^{-1}$ . Moreover,  $E_{es}'$ 

was correlated inversely with Ved 1/3, and Ea 1 was correlated inversely with E $\Pi^{-1}$ . These suggest that because of the low variability of  $V_{\rm ed}^{1/3}$  and  $E\Pi^{-1}$  and the antagonizing effects of the covariates ( $E_{es}'$  and  $E_a^{-1}$ ), the analysis might fail to detect significant correlations of  $V_{ed}^{1/3}$  and  $EJT^{-1}$  with  $S_m$ . However,  $V_{ed}$  also was not correlated with S<sub>m</sub> in this study (data not shown), although the CVs for  $V_{ed}$  and  $E_{es}{}'$  were comparable (41% and 49%). It would be ideal to change Ved or EJT individually while keeping all other parameters constant. Although impedance loading on ex vivo heart preparation would realize such protocols, 8 this preparation is inappropriate for the study of LV longitudinal function, because it requires resection of the mitral valve and subvalvular apparatus (papillary muscles), which are critical components of longitudinal function. Taken together, although we cannot completely exclude significant contributions of LV preload and EJT to S<sub>m</sub>, their effects may be small and easily reversed by the effects of LV contractility and afterload, that is, effectively negligible compared with the status of ventriculararterial coupling.

#### Potential Clinical Implications

A novel and important finding of this study is that S<sub>m</sub> strongly reflects the status of ventricular-arterial coupling. Ventricular-arterial coupling is a central determinant of cardiovascular performance and cardiac energetics. 13-15,28,29 Normally, the left ventricle and the arterial system are optimally coupled to produce maximal stroke work, when E<sub>es</sub>/E<sub>a</sub> is near unity. Maximal energetic efficiency occurs when E<sub>es</sub>/E<sub>a</sub> approximates 2.0. In patients with heart failure, the left ventricle and the arterial system are suboptimally coupled ( $E_{es}/E_a$ becomes less than unity). Beta-blockade improves this situation. In patients with myocardial infarction, suboptimal coupling ratio is associated with poor prognosis over the next 5 years. <sup>29</sup> S<sub>m</sub> as a measure of the status of ventricular-arterial coupling may allow accurate evaluations of cardiovascular pathophysiology and also predictions of prognosis in patients with cardiac disease. The ventricular-arterial coupling ratio can be predicted also by LVEF.8 However, LVEF assessment requires precise definition of the endocardial borders on conventional echocardiography, which is complicated by trabeculae or endocardial dropout. Precise endocardial definition is sometimes difficult, especially in obese patients, the elderly, and patients with pulmonary disease. Recording of mitral annular motion has the advantage that it is not dependent on the endocardial definition and is therefore relatively independent of image quality. S<sub>m</sub> measurement can be an alternative to LVEF assessment to predict the status of ventricular-arterial coupling in such patients.

#### Study Limitations

In accordance with a previous canine study, <sup>17</sup> sonomicrometry consistently underestimated systolic mitral annular velocity compared with TD echocardiography (Figure 2D). This discrepancy may be attributed to the location of the crystals, which are implanted in the subepicardium. Although longitudinally directed myocardial fibers in both subendocardial and subepicardial layers of the left ventricle may play a major role in the magnitude of systolic mitral annular velocity, <sup>1</sup> systolic shortening of subepicardial fiber is significantly smaller than that of subendocardial fiber. <sup>30</sup> In humans, the absolute value of mitral annular velocity measured by TD echocardiography agreed with that measured by cardiac magnetic resonance imaging, which is the widely accepted gold standard for the assessment of LVV and function. <sup>31</sup> Although sonomicrometry is useful in animal experiments

for the assessment of heart motion, our data suggest that  $S_m$  obtained by sonomicrometry in this study might have underestimated the true LV longitudinal shortening velocity. However, a strong correlation was observed between  $S_m$  and  $S_{mTD}$  (Figure 2D). Percentage changes in  $S_m$  and  $S_{mTD}$  were also correlated tightly (Figure 2E), indicating that  $S_m$  sensitively tracked changes in  $S_{mTD}$ . Taken together, it is fair to say that conclusions provided by sonomicrometry in this study are comparable, at least qualitatively, with those obtained by TD echocardiography.

In analyzing the experimental data, we relied on  $E_{es}'$  (the  $P_{es}/V_{es}$  ratio) to quantify LV contractility, which can be evaluated more precisely by both the slope ( $E_{es}$ ) and volume axis intercept ( $V_0$ ) of the relationship between  $P_{es}$  and  $V_{es}$  (Figure 1A). <sup>12</sup> It was unclear how each contributed to the magnitude of  $S_m$  in this study. Despite these limitations, the  $P_{es}/V_{es}$  ratio as a single contractile index simplifies the statistical analysis of contractility. <sup>9,20</sup>

Colinearity among the cardiovascular parameters as noted between  $E_{\rm es}{}'$  and  $V_{\rm ed}{}^{1/3}$  might have affected the present results. The colinearity may be attributable to the nature of the protocols, such as heart failure induction, which depresses LV contractility and increases preload simultaneously. However, the protocols are analogous to commonly observed clinical setting or practice, that is, relevant to possible clinical application of the conclusions of this study.

#### CONCLUSIONS

LV contractility and afterload independently determine  $S_m$ . The effects of LV preload and EJT on  $S_m$  might be small, even though they are theoretically associated with  $S_m$ .  $S_m$  strongly reflects the status of ventricular-arterial coupling.

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#### **APPENDIX**

Instantaneous LVP, P(t), and LVV, V(t), are related by the following formula:

$$V(t) = \frac{P(t)}{E(t)} + V_0, \tag{A2}$$

where E(t) is time-varying LV elastance and  $V_0$  is the volume axis intercept of the relationship between  $P_{es}$  and  $V_{es}$ .  $^{12}$   $S_{rm}$  is a parameter of the ejection phase. The left ventricle has an ellipsoid shape, and the ratio of LV longitudinal length, L(t), to short-axis length is assumed to be constant during the ejection phase. L(t) and its time derivative are expressed as follows:

$$L(t) = \alpha [V(t)]^{1/3},$$
 (A3)

$$dL(t)/dt = \frac{\alpha}{3} \cdot dV(t)/dt \cdot [V(t)]^{-2/3}.$$
(A4)

During the ejection phase, because P(t) can be approximated to  $P_{es}$  (Figure 1A), equation A2 is rewritten as follows:

$$V(t) = \frac{P_{es}}{E(t)} + V_0. \tag{A5}$$

Differentiation of both sides of equation A5 with respect to time yields

$$dV(t)/dt = \frac{-P_{es} \cdot dE(t)/dt}{|E(t)|^2}.$$
 (A6)

During the ejection phase, because E(t) increases linearly with respect to time, <sup>14,15</sup> dE(t)/dt is expressed as follows (Figure 1B):

$$dE(t)/dt = \frac{E_{es} - E_{ad}}{EJT}.$$
(A7)

where  $E_{ad}$  is the elastance value at the end of the isovolumic contraction phase (Figure 1B). <sup>14,15</sup> Substituting equation A7 into equation A6 yields

$$dV(t)/dt = \frac{-P_{es}}{[E(t)]^2 \cdot EJT} \cdot (E_{es} - E_{ad}). \tag{A8}$$

Substituting equations A5 and A8 into equation A4 yields

$$dL(t)/dt = \frac{-\alpha}{3} \cdot \frac{P_{es}}{EJT} \cdot (E_{es} - E_{ad}) \cdot \frac{1}{[E(t)]^{4/3}} \cdot \left(\frac{1}{P_{es} + V_0 \cdot E(t)}\right)^{2/3}.$$
 (A9)

Peak shortening velocity of LV longitudinal length,  $S_m$ , corresponds to the absolute value of peak negative dL(t)/dt during the ejection phase. Because E(t) increases constantly during this period (Figure 1B), dL(t)/dt assumes its peak negative value when E(t) is  $E_{ad}$ . Hence,  $S_m$  can be expressed as follows:

$$S_{m} = \frac{\alpha}{3} \cdot \frac{P_{es}}{EJT} \cdot (E_{es} - E_{ad}) \cdot \frac{1}{E_{ad}^{4/3}} \cdot \left(\frac{1}{P_{es} + V_{0} \cdot E_{ad}}\right)^{2/3}. \tag{A10}$$

As shown in Figure 1 A,  $E_{es}$ ,  $E_{ad}$ , and  $E_a$  will be approximated by  $P_{es}$ ,  $V_{ed}$ , and  $V_0$  as follows:

$$E_{es} = \frac{P_{es}}{V_{es} - V_0},\tag{A11}$$

$$E_{ad} = \frac{P_{es}}{V_{ort} - V_0}, \tag{A12}$$

$$E_a = \frac{P_{cs}}{V_{cd} - V_{cs}}.$$
(A13)

Substituting  $V_{\rm es}$  in equation A11 and  $V_{\rm ed}$  in equation A12 into equation A13 yields

$$\mathsf{E}_{\mathsf{es}} - \mathsf{E}_{\mathsf{ad}} = \frac{\mathsf{E}_{\mathsf{es}} \cdot \mathsf{E}_{\mathsf{ad}}}{\mathsf{E}_{\mathsf{a}}}. \tag{A14}$$

Substituting ( $E_{es}-E_{ad}$ ) in equation A14 into equation A10 yields

$$S_{m} = \frac{\alpha}{3} \cdot \frac{P_{es}}{EJT} \cdot \frac{E_{es}}{E_{a}} \cdot \frac{1}{E_{ad}^{1/3}} \cdot \left(\frac{1}{P_{es} + V_{0} \cdot E_{ad}}\right)^{2/3}. \tag{A15}$$

Substituting E<sub>ad</sub> in equation A12 into equation A15 yields

$$S_{m} = \frac{\alpha}{3} \cdot \frac{E_{os}}{E_{a}} \cdot \frac{V_{ed}^{1/3}}{EJT} \cdot \left(1 - \frac{V_{0}}{V_{ed}}\right). \tag{A16}$$

If we assume that  $V_{\text{ed}} \gg V_0$ , equation A16 is rewritten as

$$S_{m} = \frac{\alpha}{3} \cdot \frac{E_{es}}{E_{a}} \cdot \frac{V_{ed}^{1/3}}{EJT}$$

which is equation 1 shown in the "Methods" section.

## Imbalance of central nitric oxide and reactive oxygen species in the regulation of sympathetic activity and neural mechanisms of hypertension

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Department of Cardiovascular Medicine, Kyushu University Graduate School of Medical Sciences, Fukuoka, Japan Submitted 29 June 2010; accepted in final form 29 January 2011

> Hirooka Y, Kishi T, Sakai K, Takeshita A, Sunagawa K. Imbalance of central nitric oxide and reactive oxygen species in the regulation of sympathetic activity and neural mechanisms of hypertension. Am J Physiol Regul Integr Comp Physiol 300: R818-R826, 2011. First published February 2, 2011; doi:10.1152/ajpregu.00426.2010.— Nitric oxide (NO) and reactive oxygen species (ROS) play important roles in blood pressure regulation via the modulation of the autonomic nervous system, particularly in the central nervous system (CNS). In general, accumulating evidence suggests that NO inhibits, but ROS activates, the sympathetic nervous system. NO and ROS, however, interact with each other. Our consecutive studies and those of others strongly indicate that an imbalance between NO bioavailability and ROS generation in the CNS, including the brain stem, activates the sympathetic nervous system, and this mechanism is involved in the pathogenesis of neurogenic aspects of hypertension. In this review, we focus on the role of NO and ROS in the regulation of the sympathetic nervous system within the brain stem and subsequent cardiovascular control. Multiple mechanisms are proposed, including modulation of neurotransmitter release, inhibition of receptors, and alterations of intracellular signaling pathways. Together, the evidence indicates that an imbalance of NO and ROS in the CNS plays a pivotal role in the pathogenesis of hypertension.

> blood pressure; sympathetic nervous system; central nervous system; nitric oxide; oxidative stress

ACTIVATION OF THE SYMPATHETIC nervous system is critically involved in the pathogenesis of hypertension, from initial occurrence to the development of target organ damage, such as heart failure, stroke, and renal failure (35, 36). The importance of the effects of the renin-angiotensin system on the sympathetic nervous system in the pathogenesis of hypertension is recently highlighted (30, 31). This is not surprising because both the autonomic nervous system and hormonal factors are the major regulators of blood pressure; therefore, abnormalities of either system are likely to be involved in the pathogenesis of essential hypertension (30, 31, 37). Esler (30) reported that the sympathetic nervous system is activated in ~50% of patients with hypertension, particularly in patients with essential hypertension. Central sympathetic outflow is determined by several important nuclei and their circuits in the central nervous system (CNS) (9, 81). These pathways involve many neurotransmitters and neuromodulators (16, 25, 38, 99). In particular, the brain stem circuitry is now considered crucial for the pathogenesis of hypertension, including both excitatory and inhibitory inputs from the supramedullary nuclei and the baroreceptors (16, 25, 38, 100, 115). In this review, we focus on the role of nitric oxide (NO) and reactive oxygen species (ROS) in the brain stem as factors constituting the neural mechanisms of

NO in the Brain

NO is an important mediator of intracellular signaling in various tissues, including the CNS (32, 118, 119). NO acts via the second messenger cyclic GMP (32). Thus, soluble guanylate cyclase is its receptor. NO is synthesized from its precursor, L-arginine, by endogenous NO synthase (NOS). There are three NOS isoforms: constitutive enzymes, such as neuronal NOS (nNOS) and endothelial NOS (eNOS), and inducible enzymes such as inducible NOS (iNOS). A number of studies have demonstrated the localization of the nNOS, eNOS, and iNOS within the CNS using in situ hybridization and histochemical staining with NADPH-diaphorase or immunohistochemistry (8). nNOS is abundant in neurons. Considerable evidence indicates that NOS acts on central and peripheral sites throughout the autonomic nervous system, which controls the cardiovascular system, including the receptors and effectors of the baroreflex pathway (70, 95, 129).

Role of NO in the Brain Stem in Controlling Blood Pressure

Chronic administration of the NO synthesis inhibitor  $N^{\nu}$ -nitro-L-arginine methyl ester (L-NAME) in drinking water induces a large increase in blood pressure in rats (29). Gangli-

hypertension. Because of the close relationship between NO and ROS, we discuss the individual roles of NO and ROS in the brain stem in central mechanisms of hypertension, and then the relationship between the two. Finally, we will discuss the possibility of targeting some cardiovascular drugs to improve the imbalance of NO and ROS.

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onic blockade elicits a greater fall in blood pressure in L-NAME-treated rats compared with controls, suggesting that the level of central sympathetic outflow in L-NAME-treated rats is greater than that in control rats. Microinjection of an ANG II type 1 (AT<sub>1</sub>) receptor blocker (candesartan), but not that of an AT<sub>2</sub> receptor blocker (PD123319), into the nucleus tractus solitarius (NTS) elicits a greater decrease in blood pressure, heart rate, and renal sympathetic nerve activity (RSNA) in L-NAME-treated rats than in control rats. These results suggest that increased RSNA contributes to hypertension induced by chronic NOS inhibition and that activation of the renin-angiotensin system in the NTS is involved, at least in part, in the increased RSNA via AT<sub>1</sub> receptors (29). The rostral ventrolateral medulla (RVLM), the vasomotor center, is also activated in this model of hypertension, suggesting enhanced central sympathetic outflow (9). Pharmacological inhibition of NOS evoked by  $N^G$ -monomethyl-L-arginine (L-NMMA) or L-NAME also induces large increases in blood pressure that are partially sympathetically mediated in humans (109).

Immunohistochemical studies have revealed a rich distribution of nNOS in the NTS (8). Microinjection of L-NMMA into the NTS elicits an increase in blood pressure and RSNA, regardless of whether the baroreceptors are intact in anesthetized rabbits (39). The neurons in the NTS are activated by NO projecting to the caudal ventrolateral medulla, thereby activating the inhibitory neurons in the caudal ventrolateral medulla, which project to the RVLM, and may ultimately result in decreased sympathetic nerve activity (SNA). Single-unit extracellular recordings of NTS neurons in rat brain stem slices revealed that L-arginine increases neuronal activity dose-dependently, but D-arginine does not (80, 116). L-NMMA blocks the L-arginine-induced increases in the neuronal activity. Sodium nitroprusside, an NO donor, also increases neuronal activity. Consistent with the findings from the in vivo studies (39), these results suggest that NO increases the neuronal activity in the NTS through an increase in cyclic GMP. It has been proposed that NO acts in an ultrashort feedback loop, in which the release of L-glutamate activates nNOS and subsequently the production of NO (32). The NO, in turn, diffuses to presynaptic terminals, where it modulates the release of L-glutamate in response to neuronal activation. Studies using in vivo microdialysis demonstrated that activation of NMDA receptors in the NTS induces the release of NO, and NMDA-induced NO production stimulates L-glutamate release (74, 75, 82). In addition, this mechanism is involved in the depressor and bradycardic responses evoked by NMDA receptor activation in anesthetized rats (82). To determine the effects of increased NO production in the NTS for much longer periods on blood pressure, heart rate, and urinary norepinephrine excretion, we developed an in vivo technique for eNOS gene transfer into the NTS of rats (43, 44, 46, 107). In this study, the successful transfer of the eNOS gene into the NTS was confirmed by several methods, including immunohistochemistry, Western blot analysis, and nitrite/nitrate concentration measurements (107). Changes in blood pressure and heart rate were observed using a radio-telemetry system. It is important to note that we used eNOS instead of nNOS, which is normally abundant in the CNS, because the purpose of the study was to increase NO production from constitutively expressed NOS. The results indicated that NO in the NTS exerts an inhibitory effect on SNA in vivo.

Compared to studies of the NTS, studies of the RVLM in both acute and anesthestized models have produced more conflicting results (42, 53, 66, 81, 112, 120, 131). Therefore, we applied the technique described above to studies of the RVLM (57, 58). In those studies, blood pressure, heart rate, and urinary norepinephrine excretion were decreased after eNOS gene transfer. Microinjection of either L-NMMA or bicuculine, a GABA receptor antagonist, into the RVLM after eNOS gene transfer increased blood pressure to greater levels in the eNOS gene transfer group compared with the mock gene transfer control group. GABA levels in the RVLM after the eNOS gene transfer measured by in vivo microdialysis were also increased in the eNOS gene transfer group. These results indicate that the increased NO production evoked by the overexpression of eNOS in the bilateral RVLM decreases blood pressure, heart rate, and SNA in awake rats. Furthermore, these responses are mediated by an increased release of GABA in the RVLM. These studies provided convincing evidence that chronic changes in neurotransmitters/neuromodulators in the RVLM have a sustained impact on blood pressure in awake animals.

There is no clear explanation for the different modulatory effects of NO on neurons between the NTS and RVLM. NO increases both excitatory and inhibitory amino acids in the RVLM (43, 57). NO has also been shown to increase both L-glutamate and GABA in the paraventricular nucleus of hypothalamus (49). Microinjection of kynurenic acid into the RVLM, however, did not alter blood pressure after eNOS gene transfer, although microinjection of bicuculline into the RVLM augmented the increase in blood pressure (57). Therefore, we consider that GABAergic inhibition of the RVLM neurons might be more powerful than the glutamatergic activation in the resting condition (43, 57). In contrast, the glutamatergic input into the NTS neurons might be more powerful than the GABAergic input. In the NTS, there are close anatomic connections between nNOS and glutamatergic receptors (75). Furthermore, increases in NO induce L-glutamate release and microinfusion of NMDA and AMPA increase NO levels, suggesting that there are facilitatory interactions between Lglutamate and NO (27, 74, 82), although there are no studies measuring GABA levels induced by NO in the NTS. Furthermore, higher concentrations of NO are required to directly engage GABAergic inhibition, while lower concentrations of NO might be important for glutamatergic transmission in the NTS (125). Thus, it is still difficult and complicated to explain the physiological response induced by NO in the NTS (119). With regard to the action of NO on neuronal activity, NO induces both excitatory and inhibitory postsynaptic currents that likely depend on the neuron examined (6, 7, 126, 127).

Effects of NO in the Brain System in Experimental Models of Hypertension

Neurogenic mechanisms are dominant in the pathogenesis of essential hypertension in ~50% of patients (30). Spontaneously hypertensive rats (SHR) or stroke-prone SHR (SHRSP) exhibit increased RSNA during the development of hypertension, and blood pressure and RSNA are positively correlated (52, 79). The L-arginine-NO pathway is disrupted in SHR and SHRSP. The depressor response to an intracerebroventricular injection of an NO donor is greater in SHRSP than in normo-

SHRSP.

tensive control rats, whereas the pressor response to intracerebroventricular injection of L-NAME is smaller (13). Semiquantitative RT-PCRs and in situ hybridization in SHR and Wistar-Kyoto (WKY) rats at 4 (prehypertensive) and 14 (established hypertension) wk of age (101) indicate that eNOS mRNA expression changes with the development of hypertension. Although there are no differences between the groups at 4 wk of age, nNOS gene expression increases in the hypothalamus, dorsal medulla, and caudal ventrolateral medulla of SHR compared with WKY rats at 14 wk of age. In the RVLM, there are no differences between the groups. In the SHRSP, there are also no differences in nNOS expression levels in the RVLM compared with WKY rats (101). A recent study demonstrated that NOS activity, measured by the ability of tissue homogenate to convert [3H]L-arginine to [3H]L-citrulline in a calciumand NADPH-dependent manner, is impaired in the cerebral cortex and brain stem of prehypertensive SHR (104). In contrast, NOS activity is increased in the hypothalamus and brain stem in SHR rats with established hypertension compared with WKY rats (104). Thus, attenuated NOS activity in the cortex and brain stem of prehypertensive SHR might play a role in the pathogenesis of hypertension, and the up-regulated NOS activity in the hypothalamus and brain stem of SHR with established hypertension might serve to compensate for the hypertension. The expression of iNOS mRNA and protein is under the limits of detection in the hypothalamus of both WKY rats and SHR (40). Decreased NOS activity measured by the nitrite and nitrate contents was also demonstrated in the hypothalamus of SHR (1). In hypertensive SHRSP, nNOS protein expression levels in the hypothalamus and brain stem were enhanced compared with those in WKY (59). In a renovascular hypertensive rat model, mRNA expression levels of nNOS and soluble guanylate cyclase genes are reduced in the hypothalamus but not in the dorsal medulla (69). Together, these results suggest that the L-arginine-NO pathway is impaired in hypertensive rats, including SHR, possibly because of a posttranscriptional abnormality (70). Overexpression of eNOS in the NTS results in a greater depressor response in SHR than in WKY rats in the awake state (44). In that study, eNOS was used instead of nNOS to increase NO production locally in the NTS. Findings from another study suggest that the depressed NO modulation is consistent with the lower NOS activity in the dorsal brain stem (103). Therefore, the abnormality in the L-arginine-NO pathway in the NTS might be involved in the maintenance of hypertension of SHR. A recent study by Waki et al. (121) demonstrated that endogenous eNOS activity in the NTS plays a major role in determining the blood pressure set point in SHR and contributes to maintaining high arterial blood pressure in this model, suggesting the possible involvement of neurovascular coupling (96). In the RVLM of SHRSP, overexpression of eNOS elicits greater depressor and sympathoinhibitory responses than in WKY (58). Furthermore, the increase in NO production evoked by the overexpression of eNOS in the RVLM enhances the inhibitory action of GABA on the RVLM neurons (58). The results indicate that NO dysfunction and the resulting disinhibition of the RVLM contribute to increase RSNA in

Effects of NO in the Brain Stem on Baroreflex Function

As described earlier, NO activity in the NTS and RVLM influences cardiovascular regulation. We examined the role of endogenous NO in the brain stem in the rapid central adaptation of baroreflex control of RSNA in anesthetized rabbits (41). Bilateral carotid sinuses were isolated, and a stepwise increase in pressure was applied to the carotid sinuses, while arterial pressure and RSNA were recorded. The procedure was performed after intracisternal injection of L-NAME, D-NAME, L-arginine, or the vehicle solution. L-NAME enhances the rapid adaptation of the arterial baroreflex control of renal sympathetic nerve activity in rabbits (41). Transmission of arterial baroreflex signals depends on NO (27, 118). It was reported that the baroreceptor reflex gain in awake animals was increased by NO in the bradycardic component, although in these studies NOS inhibitors were administered systemically to examine the role of NO on baroreflex function (78, 87). Furthermore, overexpression of eNOS in the RVLM improves impaired baroreflex control of heart rate in SHRSP (60).

In summary, NO in the brain stem, particularly in the NTS and RVLM, has a sympathoinhibitory function, thereby reducing blood pressure. NO in the brain stem also facilitates the baroreflex function. The sympathoinhibitory effects of NO are impaired in animal models of hypertension, and supplementation of NO in the brain stem in hypertensive rats attenuates the abnormality, thereby decreasing blood pressure. The facilitory release of neurotransmitters induced by NO might be involved in the synaptic transmission mechanism.

#### ROS in the Brain

Substantial evidence also indicates that increased oxidative stress is involved in the pathogenesis of hypertension (12, 47, 48, 94, 99). ROS, such as superoxide anions and hydroxyl radicals, increase oxidative stress. There are several sources of ROS generation, such as NADPH oxidase, xanthine oxidase, mitochondria, and NOS uncoupling (12, 47, 48, 94, 99). On the other hand, reduction of antioxidant enzymes, such as superoxide dismutases (SOD), also induces an increase in oxidative stress (47, 48, 99). Although the role of ROS in the regulation of blood pressure in the normotensive state is not clear, increased ROS generation in the brain stem contributes to neural mechanisms of hypertension (47, 48). For example, although there is evidence of an increase in oxidative stress in the vasculature in hypertension, we showed, for the first time, that increased ROS in the RVLM contributes to SNA, leading to the neural mechanisms of hypertension in SHRSP (61). Zimmerman et al. (133) demonstrated that hypertension caused by low doses of circulating ANG II depends on the production of superoxide in the circumventricular organs (133). It was demonstrated that physiological responses to brain ANG II involve ROS production (15, 132, 133). Considering the importance of the brain ANG II system (2, 10, 26, 28, 83, 85, 86, 108), ROS play an important role in the neural regulation of blood pressure because ROS production largely depends on AT<sub>1</sub> receptor stimulation (47, 48, 99).

#### Role of ROS in Neural Mechanisms of Hypertension

As described earlier, on the basis of results demonstrating that microinjection of Tempol or overexpression of manga-