# 厚生労働科学研究費補助金 医療機器開発推進研究事業 高度医療技術の効率化及び標準化の開発に関する研究

平成24年度 総括研究報告書

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I. 総括研究報告

# 厚生労働科学研究費補助金(医療機器開発推進研究事業) 総括研究報告書

高度医療技術の効率化及び標準化の開発に関する研究

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#### 研究要旨

外科的治療に匹敵する非侵襲的局所治療を行うための高度医療技術について、「局所療法を正確に誘導する高度画像技術」と「確実な治療効果を挙げ得る高度局所療法」の両面から研究を進めた。前者については、「CT、MRIの volume data と患者の体表位置情報から任意穿刺方向の画像を表示する技術」、「磁性体不可の MRI 下で穿刺を誘導する画像技術」、「Adaptive Radiation Therapy のために種々の画像情報を統合する技術」、「ホウ素中性子捕捉療法(BNCT)のための PET-CT 画像による画像支援技術」についての研究を進め、後者については「経皮的凍結療法」、「Irreversible Electroporation (IRE)」、「集束超音波」、「ホウ素中性子捕捉療法(BNCT)」を採り上げ、本年度は、アーチファクトのない MRI 用穿刺針の開発、穿刺誘導に用いる光学式ナビゲーションシステムの開発、放射線治療装置付属のコーンビーム CT装置で線量分布を表示可能とする CT 値一電子密度変換テーブルを作成するとともに、新しい局所治療法である経皮的凍結療法、収束超音波治療、Irreversible Electroporation (IRE)に関する臨床試験体制を固め試験開始手続きを進めた。

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# A. 研究目的

ゲノム解明や分子レベルからの創薬によりがんに対する薬物療法は飛躍的に進歩した。しかし、未だ大部分の癌腫において治癒を齎すレベルには達しておらず、治癒を齎す治療法の主軸は依然として侵襲的な外科治療に委ねられているのが現状である。よって、外科治療に匹敵あるいは凌駕する非侵襲的治療法の開発は、がん患者のQOL向上のみならず、合併症低減や治療期間短縮等に伴う医

療費抑制など、超高齢化社会となりつつある本邦のがん医療全般に好ましい影響を与える点で、極めて重要な課題である。このような非侵襲的治療を可能とするためには、1)局所治療法を正確に病巣に誘導する高度画像誘導技術、2)病巣において確実な治療効果をあげうる高度局所治療法、の開発が必須であり、本研究はこれらのための高度医療技術を開発するとともに、臨床試験により評価し、標準化することを目的に行なわれた。

#### B. 研究方法

高度画像誘導技術については、経皮的穿刺治療 における誘導技術として、1) CT や MRI で得た volume データと患者体表の位置情報より体表面か らの任意方向の画像を表示する技術、2)磁性体 を持ち込むことのできない MRI 装置内での穿刺を 誘導する技術を、放射線治療における誘導技術と して、3)種々治療画像の統合による Adaptive Radiation Therapy の技術、4) ホウ素中性子捕捉療 法(BNCT)のための PET-CT 画像による画像支援技 術を採り上げ、その開発を行った。また、新しい 局所治療技術については、1)経皮的凍結療法、 2)集束超音波療法、3) Irriversible Electroporation (IRE)、4) BNCT を採り上げ、機器導入等の環境 が整った経皮的凍結療法、集東超音波療法、electric poration について、その安全性と有効性を評価する ための第 I/II 相臨床試験計画を立案した。

#### (倫理面への配慮)

臨床研究計画書作成にあたっては、ヘルシンキ宣言、臨床試験倫理指針を遵守し、被験者本人に対する文書を用いた説明と文書による同意の取得を必須とするとともに、参加施設の施設倫理審査委

員会の承認を受けて試験を行うこととした。試験中に発生した有害事象については、速やかに研究代表者に報告されるとともに、効果安全性評価委員会の評価を受けることとしている。また、被験者の個人情報については、試験の信頼性を担保するため登録時にはこれを要求するが、登録後は与えられた症例登録番号のみで運用し、さらに登録時に用いられた個人情報は、不正なアクセスに対し厳重に保護され、かつ、すべての閲覧が記録されるシステムとされているコンピュータ内に保管することにより、個人情報保護対策を万全とした。

#### C. 研究結果

#### I. 画像誘導技術

1) CT や MRI で得た volume データと患者体表の 位置情報より体表面からの任意方向の画像を表示 する技術

磁気誘導を用いた同様の技術は、すでに穿刺用超 音波誘導画像の補助的手段としての機器が臨床応 用されているが、その利点を最大限に生かすため には、「標的部位の動きの有無」(呼吸などにより 移動する部位か、あるいは、頭蓋内、後腹膜、骨 盤腔のように移動の限られた部位か)、ならびに、 仮想穿刺ラインを確認するための「確認画像」の2 つの点からこの技術応用を再検討した。動きのな い部位における従来の磁気誘導の誤差は臨床的に 許容可能な範囲であり、この場合には従来の超音 波装置との連動がかえって装置の大型化を招く原 因となっていたため、磁気誘導単独による装置の 小型化、特に穿刺用プローブの小型化を行い、プ ロトタイプのプローブを完成した。一方、胸部や 腹部など動きある部位の穿刺では、例え呼吸同期 を行った場合にも必ず一定の誤差が生じる。しか し、21G 程度の細い穿刺針が標的をそれたとして も臨床的には問題とはならず、その非的中針自体 が、座標軸のない体内において次の穿刺を行なう 上での座標軸となるため、穿刺は遥かに容易とな る。このような初めに穿刺した針をガイドに次の 針を穿刺する技術はタンデム法として確立してい る。よって、21G程度の細径針で、MRI下でアー チファクトが少なく、ガイドとなり得る穿刺針の 開発を行なった。しかしながら、各種の素材につ いて検討を行なったが、十分な性能を有す針の開 発には至らなかった。

2) 磁性体を持ち込むことのできない MRI 装置内 での穿刺を誘導する技術

非磁性体のみが許容される MRI 下の誘導画像技術について検討し、MRI 画像が影響を受けない距離からのレーザービームの照射により穿刺ラインを示す技術を開発し、これを行なうプロトタイプの装置を完成した。磁気誘導に比べ誤差が数 mm 以下と少ない点、穿刺部周囲に器具がないため穿刺手技の障害とならないことが確認されたが、術者

の手や穿刺針自体もビームを遮断する原因となる ため、穿刺手技の工夫、実用可能な装置とするための改良を行い、実用可能な段階に到達した。

3) 種々治療画像の統合による Adaptive Radiation Therapy の技術

Coned Beam CT、2 方向透視、体表レーザースキャ ンデータの統合により、CT 撮影なしに Adaptive Radiation Therapy を可能とする技術として、放射線 治療装置に付属のコーンビーム CT 装置(CBCT)を 用いて線量分布まで表示可能とする、CT 値-電子 密度変換テーブルを完成した(従来は、CBCTから CT 値を求めること不可能であったため、別途、治 療計画用 CT 撮影を行わなければ線量分布を算出、 表示することができなかった)。この過程で、呼吸 が CBCT からの電子密度情報に大きく影響するこ とが判明したため、あわせて、治療計画用 CT 時と 治療時の患者の体形変化を検知可能にするための、 レーザービームを用いた体表面位置決め支援シス テムの開発した。今年度は、プロトタイプを用い て実用上の問題点につき検討し、今後改良すべき 点を明らかにした。

4) ホウ素中性子捕捉療法(BNCT)のための PET-CT 画像による画像支援技術

18F-FBPA PET 検査に用いる FBPA 製剤の合成について、収量 500MB q 以上(2 回検査分)、放射化学純度 99%以上、比放射能 25MB q / $\mu$  mol の合成系を完成した。また、昨年度の研究に続き、ターゲットのリチウムの放射化によって発生する大量の放射性ベリリウムの廃棄貯蔵に関する基礎的検討を行い、実施するための条件をほぼ満足する状況に至った。

#### Ⅱ.局所治療技術

以下に概要を示す各局所治療技術についての臨床 試験計画書を作成し、試験を行なう体制を整備し、 また、試験開始のための手続きを行なった。

①腹部・骨盤内実質臓器に対する経皮的凍結治療の第 I/II 相試験(JIVROSG-1101)

目的:切除適応のない腹部・骨盤内実質臓器の悪性腫瘍(すでに保険収載されている小径腎がんを除く)に対する経皮的凍結治療の安全性、有効性の評価。

試験方法:日本腫瘍 IVR 研究グループ(JIVROSG) による多施設共同研究として施行。高度医療評価制度での施行を予定。第 I 相試験部分には JIVROSG 3x3 法 (Ann Oncol. 20:1943-7, 2009) を使用。主要評価項目を安全性の評価、副次的評価項目を臨床的有効性の評価(局所治癒割合、1 年後局所無再発割合)、有害事象の発現頻度と程度の評価として、目標症例数 22 例、症例登録期間 2 年、全試験期間 3 年を予定。

研究代表施設の施設倫理委員会の承認を得たが、 先進医療 B として行なうにあたっての種々の変更 が必要となり、これらに対する整備を行なった。 ②有痛性骨軟部・骨盤内腫瘍に対する経皮的凍結 治療の第 I/II 相試験(JIVROSG-1102)

目的:既存の治療が不適あるいは不応で、薬物の 増量以外に疼痛を軽減する手段のない骨軟部・骨 盤内腫瘍に対する経皮的凍結治療の安全性、有効 性の評価。

試験方法: JIVROSG による多施設共同研究として施行。高度医療評価制度での施行を予定。第 I 相試験部分には JIVROSG 3x3 法を使用。主要評価項目を安全性の評価、副次的評価項目を疼痛改善の程度と期間、有害事象の内容と頻度として、目標症例数 22 例、症例登録期間 3 年、全試験期間 3 年 6 ヶ月を予定。

上記①と同様に、先進医療 B として試験を行なうための準備を進めた。

③有痛性後腹膜・骨盤内腫瘍に対する集束超音波 治療の第 I/II 相試験(JIVROSG-1103)

目的: 既存の治療が不適あるいは不応で、薬物の 増量以外に疼痛を軽減する手段のない有痛性後腹 膜腫瘍に対する集束超音波治療の安全性、有効性 の評価。

試験方法: JIVROSG による多施設共同研究として施行。高度医療評価制度での施行を予定。第 I 相試験部分には JIVROSG 3x3 法を使用。主要評価項目を安全性の評価、副次的評価項目を疼痛改善の程度と期間、有害事象の内容と頻度として、目標症例数 22 例、症例登録期間 3 年、全試験期間 3 年 6 ヶ月を予定。

なお、集束超音波療法の機器についての基礎的検 討が不十分であったため、機器の基本性能に関す る検証を行なった。この結果、十分な基本性能を 確認するとともに、特性を確認した。

④腹部実質臓器に対する Irreversible Electroporation (IRE)治療の第 I/II 相試験(JIVROSG-1104)

目的:切除適応のない腹部実質臓器の悪性腫瘍に対するIRE治療の安全性、有効性の評価。

試験方法: JIVROSG による多施設共同研究として施行。高度医療評価制度での施行を予定。第 I 相試験部分には JIVROSG 3x3 法を使用。主要評価項目を安全性の評価、副次的評価項目を腫瘍壊死効果、腫瘍縮小効果、有害事象の内容と頻度として、目標症例数 22 例、症例登録期間 3 年、全試験期間 5年を予定。

当初、本年度中に試験を開始する予定であったが、 先進医療 B として行なうにあたっての変更が必要 となったため、上述の経皮的凍結療法と合わせて、 試験計画の整備、変更を行なった。

⑤骨軟部腫瘍に対する Irreversible Electroporation (IRE)治療の第 I/II 相試験(JIVROSG-1105)

目的: 切除適応のない骨軟部腫瘍に対する IRE 治療の安全性、有効性の評価。

試験方法: JIVROSG による多施設共同研究として

施行。高度医療評価制度での施行を予定。第 I 相試 験部分には JIVROSG 3x3 法を使用。主要評価項目 を安全性の評価、副次的評価項目を腫瘍壊死効果、 腫瘍縮小効果、有害事象の内容と頻度として、目 標症例数 22 例、症例登録期間 3 年、全試験期間 5 年を予定。

当初、本年度中に試験を開始する予定であったが、 先進医療 B として行なうにあたっての変更が必要 となったため、上述の経皮的凍結療法と合わせて、 試験計画の整備、変更を行なった。

# D. 考察

超高齢化社会となりつつある本邦のがん医療においては、治療の非侵襲性が重要な課題であり、薬物療法に大きな期待がもたれている。しかし、ゲノム解明や分子レベルからの創薬により薬物療法は飛躍的に進歩しているものの、未だ大部分の癌腫において治癒を齎すレベルには達していない。また、薬物療法に要する費用が医療全般を圧迫である。よって、外科治療に匹敵、あるいはこれを凌駕する非侵襲的治療法の開発は、本邦のがん医療における愁眉の課題に大きな要因ともに、臨床試験により評価し、標準化することを目的に行われた。

画像誘導技術では、1) CT や MRI で得た volume データと患者体表の位置情報からの任意方向の画 像を表示する技術については、既存の技術を生か しながらも従来の超音波装置の補助的手段として の発想から脱却し、先行穿刺針を新たなガイド用 座標として使用する考え方を追加することで、従 来の工学的正確さのみを重視した考え方から脱皮 した新たな技術を開発する道筋をつけることに成 功したことは、医工連携での開発領域の発想を大 きく転換させた点で、大きな意義をもつものと思 われる。一方、MRI 上でアーチファクトの少ない 細径穿刺針の開発は、上述の先行穿刺針に使用可 能な針も視野に置き検討したが、ついに年度中に 結果を出すことができなかった。2) MRI 装置内 での穿刺を誘導する技術としてのレーザービーム を用いた装置については、極めて誤差の少ない穿 刺誘導用画像装置のプロトタイプが完成し、臨床 評価が行なわれ、この中で、ビームを遮断しない 穿刺手技の改良についても併行して検討された。 未だ完成段階ではないものの、本技術開発は今後 の MRI ガイド下 IVR の発展に大きく影響するもの と考えられる。3) Adaptive Radiation Therapy のた めの画像技術の統合は、それぞれの技術が一定度 の完成域に到達しなければ実現困難なものであり、 これまでの研究による放射線治療装置付属の CBCT を用いて線量分布表示可能とする CT 値-電 子密度変換テーブルの完成を受けて、実臨床状況

下での検証と修正を行なった。この画像からの直接変換により放射線治療領域を決定する技術は、Adaptive Radiation Therapy に最も重要なものであり、本研究成果は Adaptive Radiation Therapy の進歩に大きく寄与することが期待される。

局所治療技術では、新たな局所治療技術である 経皮的凍結治療、収束超音波治療、IRE 治療に関す る 5 本の臨床試験計画書が作成され、試験開始の ための手続きが進められたが、これらの治療法は 欧米では一部で行われているものの、本邦では小 腎がんに対する経皮的凍結治療を除き、いずれも 薬事法未承認の治療であり、これを先進医療 B に よる多施設共同研究として行うことの意義は、治 療法としての評価のみならず、将来の本邦への新 規機器や技術の導入において大きな意味をもつと 考えられる。一方、これらの治療技術についての 前向き臨床試験による評価は世界的に見てもほと んどなく、科学的意義も極めて大きいと考えられ る。高度医療評価制度から先進医療医療 B への制 度変更に伴う諸手続きのため、試験の開始は遅れ たが、今後も継続して進めて行く予定出ある。ま た、BNCT の稼働は本研究終了後となるが、適応の 判断に不可欠な 18F-FBPA PET 検査に用いる FBPA 製剤の合成系の完成を初め、病院設置型での導入 が世界初となる BNCT を開始するための重要な初 期段階を完了することができた点は、本研究の大 きな成果と考えられる。

#### F 結論

外科的治療に匹敵する非侵襲的局所治療を行うための高度医療技術の開発、評価を目的に、「局所療法を正確に誘導する高度画像技術」と「確実な治療効果を挙げ得る高度局所療法」のふたつの大きなテーマとして研究を行った。 MRI 対応試験穿刺針の開発は不発に終わったが、その他の画像技術については、明確な進歩と実用化への道筋を示すことができたとともに、一部は臨床的評価を開始するに至った。また、世界初の病院設置型 BNCTを開始するための初期段階の研究を完了した。新しい3つの局所治療技術については、5つの臨床試験計画書を完成し、先進医療 B として行なう臨床試験の手続きを進めた。

# F. 健康危険情報 なし。

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- 1. 特許取得

なし

2. 実用新案登録

なし

3. その他 なし II. 研究成果の刊行に関する一覧表

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Ⅲ. 研究成果の刊行物・別刷

Leukemia & Lymphoma, January 2013; 54(1): 46–52
© 2013 Informa UK, Ltd.
ISSN: 1042-8194 print / 1029-2403 online
DOI: 10.3109/10428194.2012.705000

ORIGINAL ARTICLE: CLINICAL



# Phase II study of ABV (doxorubicin with increased dose, bleomycin and vinblastine) therapy in newly diagnosed advanced-stage Hodgkin lymphoma: Japan Clinical Oncology Group study (JCOG9705)

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#### Abstract

The role of dacarbazine in ABVD (doxorubicin, bleomycin, vinblastine and dacarbazine) therapy in Hodgkin lymphoma (HL) remains unclear. This phase II study assessed the efficacy and safety of ABV therapy with an increased doxorubicin dose (30 mg/m<sup>2</sup>) in advanced-stage HL. The primary endpoint was complete response rate (%CR). Patients received six or eight cycles of ABV every 4 weeks followed by involved-field radiation therapy (IFRT) in residual disease and initial bulky mass. Seventytwo patients were enrolled. An interim analysis in 46 assessable patients showed that %CR had exceeded the stopping criteria. However, the 2-year progression-free survival (%PFS) rate of 49.4% (95% confidence interval [CI] 32.2-66.6) was markedly lower than the 79.2% PFS (95% CI 70.6-87.7) seen in our previously reported study (JCOG9305) of ABVd with two-thirds the dose of dacarbazine of the original ABVD. Therefore, the study was closed early. The %CR in the 70 eligible patients after ABV was 31.4% (95% CI 20.9-43.6) and was increased to 70.0% (95% CI 57.9-80.4) after the addition of IFRT. ABV was inferior to ABVd for PFS in patients with advanced HL, suggesting that dacarbazine is indispensable in ABVD/ABVd.

**Keywords:** ABV therapy followed by IFRT, first-line chemotherapy, Hodgkin lymphoma, phase II study

#### Introduction

Following the development of two representative curative combination chemotherapy regimens for advanced Hodgkin lymphoma (HL), the MOPP regimen (mechlorethamine, vincristine, procarbazine and prednisone) and the ABVD regimen (doxorubicin, bleomycin, vinblastine and dacarbazine) [1,2], several randomized trials were performed to establish the standard chemotherapy for patients with advanced HL. ABVD became the standard of treatment for patients with newly diagnosed advanced HL after a landmark phase III trial (the Cancer and Leukemia Group B [CALGB] 8251 study) showed that ABVD was as effective as alternating therapy of MOPP/ABVD, and more effective than MOPP, with fewer toxic events [3]. An American and Canadian intergroup phase III study also demonstrated that ABVD was as effective as the MOPP/ABV hybrid regimen, with fewer toxic effects [4].

The incidence of HL in Japan is approximately one-third that in Western countries [5,6]. Key drugs such as mechlore-thamine in MOPP and dacarbazine in ABVD had not been approved by the Japanese government for clinical use in HL even as late as the 1990s. From October 1989 to February 1993, the Lymphoma Study Group of the Japan Clinical Oncology Group (JCOG-LSG) conducted a phase II study (JCOG8905)

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Received 12 March 2012; revised 15 June 2012; accepted 17 June 2012

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involving combination chemotherapy, alternating C-MOPP (cyclophosphamide, vincristine, procarbazine and prednisolone) and ABVd (with a lower dacarbazine dose than in ABVD) [7]. The dose of dacarbazine in ABVd was reduced to two-thirds (250 mg/m<sup>2</sup>) that in the original ABVD (375 mg/m<sup>2</sup>) regimen due to the side effect of intolerable severe emesis in a pilot study at that time. Subsequently, the emesis with dacarbazine has been greatly reduced with the development of promising anti-emetic regimens including 5-hydroxytryptamine 3 receptor and neurokinin 1 antagonists, which make standard ABVD more tolerable. The progression-free survival (PFS) rate at 4 years in patients with stage III/IV HL in the JCOG8905 study and that at 5 years in patients treated with MOPP/ABVD in the CALGB 8251 study were 65.7% and 65%, respectively [3,7]. Because the efficacy of C-MOPP/ABVd in the JCOG8905 study is considered almost equivalent to that of MOPP/ABVD in Western countries [4,8], the ABVd regimen is considered to be as effective as the original ABVD regimen.

After the results of CALGB 8251 were published [3], the JCOG-LSG conducted a multi-institutional phase II study (JCOG9305) to investigate the efficacy and safety of ABVd therapy for Japanese patients with newly diagnosed stage II-IV HL, although dacarbazine was administered off-label [9]. The complete response rate (CR) and 5-year PFS of all eligible patients were 81.4% and 78.4%, respectively. Thus, the JCOG9305 study showed sufficient efficacy and acceptable toxicity of ABVd therapy followed by post-chemotherapeutic involved-field radiation therapy (IFRT) for previously untreated patients with stage II-IV HL. The role of dacarbazine as a key drug in ABVd/ABVD therapy remains unclear, although dacarbazine was effective against HL as a single agent with an overall response rate of 56% in the Southwest Oncology Group study [10]. Phlebitis and emesis are serious side effects of this drug. Although the dacarbazine dose was reduced to two-thirds (250 mg/m<sup>2</sup>) of that in the original ABVD regimen, grade 2 phlebitis and grade 2/3 nausea/vomiting were observed in 43% and 34%/11% of patients, respectively [9].

The JCOG-LSG conducted a phase II study (JCOG9705) to investigate the efficacy and safety of ABV therapy without dacarbazine and with the doxorubicin dose increased by 20%, in an effort to find a less toxic and equally effective treatment in patients with newly diagnosed advanced-stage HL. We report the results of JCOG9705 here.

#### Materials and methods

This trial was a prospective, multi-institutional phase II study conducted by the JCOG-LSG. The study protocol was approved by the Protocol Review Committee of the JCOG and by the institutional review board at each institution. Written informed consent was obtained from each patient before enrollment. This study was registered with UMIN-CTR (www.umin.ac.jp/ctr/), identification number C000000068.

# Eligibility criteria

Eligible patients included: those who were newly diagnosed with HL according to the Rye classification [11]; those aged 15-69 years; those diagnosed at clinical stages IB, IIB, III, IV or any stage with bulky disease (>1/3 mediastinal widening by plain chest film or ≥ 10 cm maximum dimension of nodal mass on computed tomography [CT] scan) according to the Ann Arbor staging system [12] and the Cotswolds system [13]; those with evaluable lesions by CT scan; those with an Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 0, 1, 2 or 3 [14]; and those with no involvement to the central nervous system and no other active malignancies. Other eligibility criteria included leukocytes≥3000/µL, neutrophils≥1200/µL, platelets  $\geq 10 \times 10^4/\mu L$ , aspartate aminotransferase (AST)/ alanine aminotransferase (ALT)≤2.5 times the upper limit of normal (ULN), total bilirubin  $\leq$  2.0 mg/dL, creatinine  $\leq$  1.5 mg/dL, PaO<sub>2</sub>≥65 mmHg, ejection fraction (EF)≥50%, and negative for hepatitis B surface antigen, anti-hepatitis C virus antibody, anti-human immunodeficiency virus antibody and anti-human T-lymphotropic virus type-I antibody. Exclusion criteria included women who were pregnant or nursing; patients with diabetes mellitus receiving insulin; those with severe infection or severe hepatic, pulmonary or psychiatric disease; or those with cardiac disease that could deteriorate due to administration of doxorubicin.

#### **Treatment**

The ABV regimen consisted of 6-8 cycles of doxorubicin (30 mg/m<sup>2</sup>), bleomycin (9 mg/m<sup>2</sup>; upper limit, 15 mg total) and vinblastine (6 mg/m<sup>2</sup>; upper limit, 10 mg total), administered simultaneously as intravenous injections on days 1 and 15 of each cycle. The duration of each cycle was 4 weeks. Treatment was adjusted to six cycles of ABV if CR was obtained after four cycles or to eight cycles of ABV if CR or a partial response (PR) was obtained after six cycles. Bleomycin was omitted in cycles 7 and 8. The maximum total dose of bleomycin was defined to be 180 mg except for those patients in whom mediastinal radiation therapy was planned after ABV therapy. For these patients, the maximum total dose of bleomycin was defined to be 120 mg. If the pretreatment leukocyte and/or platelet counts were  $< 2500/\mu L$  and  $7.5 \times 10^4/\mu L$ , respectively, or the serum AST/ ALT was  $\geq$  5 times the ULN, and/or total bilirubin was  $\geq$  2.1 mg/ dL, treatment was postponed until recovery, with a maximum delay of 4 weeks. Vinblastine was discontinued if signs of neurotoxicity≥grade3were observed. Doxorubicin was discontinued if any of the following occurred: cardiac hypofunction (ejection fraction≤40%), ≥grade 2 arrhythmia, ischemic cardiac disease or pericarditis, or heart failure≥grade 3. Bleomycin was suspended until recovery if the  $PaO_2$  level decreased to <65mmHg or decreased by > 15 mmHg of the previous PaO<sub>2</sub> level.

IFRT was indicated for patients with an initial bulky mass who experienced CR after six or eight cycles of ABV or PR after eight cycles of ABV. The first half of a total planned radiation dose of IFRT was delivered to cover the maximum diameter of the initial bulky mass. The latter half of the total planned radiation dose of IFRT was delivered to the residual mass after chemotherapy. IRFT to the residual mass in patients with stage IB, IIB, III or IV who achieved PR after ABV therapy was defined as follows: (1) no IFRT to bone marrow involved by HL; (2) IFRT (30 Gy) every 4-5 weeks should be delivered to lymph nodal lesions followed by booster radiation of 4-10 Gy if necessary; (3) both paraaortic nodes and spleen should be irradiated simultaneously if the HL lesion is observed in either tissue or both; (4) solitary ipsilateral pulmonary lesions should be



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irradiated with IFRT of 18 Gy, but bilateral pulmonary lesions or pulmonary lesions more than 50% of the lateral lung area should not be irradiated; (5) hepatic lesions should be irradiated with 20 Gy; (6) bone lesions should be irradiated with 24 Gy followed by an IFRT boost of 10 Gy if necessary.

Patients with no bulky disease who achieved PR after eight cycles of ABV therapy received radiation therapy; antiemetic drugs were recommended as appropriate.

#### Central pathology review

A central pathology review was performed according to the method reported previously [9]. Names of the participating reviewers are provided in the "Appendix." Antigens routinely examined by immunohistochemistry included CD3, CD20, CD15 and CD30. Antibodies against CD79a, CD5, cyclinD1, CD10, bcl-2 and CD56 were utilized as necessary. Six hematopathologists and two hematologists reviewed the pathology specimens and classified them according to the World Health Organization (WHO) classification system [15]. The diagnosis by the central pathology review committee was used in this study.

#### Response and toxicity criteria

CR was defined as the disappearance of all measurable lesions and symptoms of disease for at least 4 weeks. PR was defined as a reduction of at least 50% in the sum of the products of the perpendicular diameters of all measurable lesions and the lack of appearance of new lesions for at least 4 weeks. An unconfirmed CR (CRu) was defined as maintenance of PR without chemotherapy for  $\geq 3$  months after completion of the study. Progressive disease was defined as a 25% increase in the size of any existing lesion or the development of any new lesions. All other circumstances were considered to indicate stable disease. Response was evaluated by CT scan after cycles 2, 4, 6 and 8 of ABV therapy, and after IFRT.

Pulmonary toxicity was evaluated by monitoring the partial pressure of oxygen in arterial blood just before the administration of ABV therapy. Cardiac toxicity was evaluated by electrocardiogram and echocardiography just before the administration of ABV therapy. Toxicities were evaluated according to the toxicity grading criteria of the JCOG [16], which include the expanded and modified version of the National Cancer Institute (NCI) Common Toxicity Criteria version 1.0.

# Statistical analysis and endpoints

The primary endpoint was the CR rate (CR + CRu) in all eligible patients. Secondary endpoints were toxicity, overall survival (OS) and CR duration. At the time of analysis, PFS was used instead of CR duration. OS was calculated from the date of registration until death due to any cause or censored at the last follow-up date. PFS was calculated from the date of registration to the date of relapse or progression, death due to any cause, or censored at the date of the last follow-up for patients with no reported adverse events. Analyses of the CR and overall response rate (ORR: CR + PR) were performed using point estimates and the 95% confidence interval (CI). OS and PFS were estimated according to the Kaplan–Meier method. Sample size was determined using Simon's two-stage minimax design ( $P_0 = 0.7$ ,  $P_1 = 0.8$ , alpha = 0.1, beta = 0.2) [17]. At the first-stage decision, if the total number of responders (CR + PR)

was 32 of the 46 eligible patients or fewer (i.e.  $ORR \le 69.6\%$ ), the study was to be discontinued. At the second (final) stage, if the total number of responders (CR + PR) was 65 of the 86 eligible patients or fewer (i.e.  $ORR \le 75.6\%$ ), the protocol treatment was deemed ineffective. Because up to 20% of patients were ineligible based on the central pathology review, the sample size was decided to be 108 patients who were enrolled for 3 years. The analyses were performed using SAS release 9.1 (SAS Institute, Cary, NC).

#### Role of the funding source

This work was supported by the National Cancer Center Research and Development Fund (23-A-16 and 23-A-17) and Grants-in-Aid for Cancer Research (8S-1, 11S-1, 11S-4, 14S-1, 14S-4, 17S-1, 17S-5, 20S-1 and 20S-6) from the Ministry of Health, Labor and Welfare of Japan. The funding source played no role in the study design, in the collection, analysis and interpretation of data, in the writing of the report, or in the decision to submit the paper for publication.

#### Results

#### Decision process using Simon's two-stage minimax design

Twenty-five hospitals participated in JCOG9705. The participating institutions and investigators are listed in the "Appendix." Between January 1998 and May 2000, 72 patients were enrolled in JCOG9705. In May 2000, according to the decision rule, the first-stage decision to stop enrollment and compare the PFS of this study to that of JCOG9305 was made for 36 patients, since the PFS of JCOG9705 was poor. In October 2000, an updated analysis was performed for 46 patients (as per the first-stage decision criteria) who were evaluable for response. The CR rate and 2-year PFS were 71.7% (95% CI 56.5-84.0) and 49.4% (95% CI 32.2-66.6), respectively. The PFS at 2 years (49.4%) in this study was markedly lower than that of JCOG9305 (79.2% [95% CI 70.6-87.7]), excluding those with non-bulky, stage IIA disease [9]. The low PFS was considered to reflect too many early relapses after ABV-IFRT. Therefore, in accordance with the recommendations of the JCOG Data and Safety Monitoring Committee, the study was closed early in December 2000.

#### **Patient characteristics**

The final analysis of the results of JCOG9705 was conducted in December 2005. Seventy-two patients were enrolled in JCOG9705; two were deemed ineligible, one due to a change of pathological diagnosis after enrollment and the other due to a change in clinical stage from IIIA to non-bulky IIA. The clinical characteristics of the 70 eligible patients are shown in Table I. There were 36 men and 34 women, and the median age was 31.5 years. B symptoms at entry were observed in 39 patients (55.7%). PS was 0 or 1 for the majority (94.3%) of eligible patients. Bulky disease (maximum diameter≥10 cm) was present in 34 patients (48.6%). Unfavorable localized disease (bulky stage IA, bulky IIA, IB and IIB) and advanced disease (stages III and IV) were present in 29 (41.4%) and 41 patients (58.6%), respectively. The numbers of patients with an International Prognostic Score (IPS) [18] of 0-2 and≥3 were 33 (47.1%) and 37 (52.9%), respectively. Fourteen percent of patients had stage IV disease. In the JCOG8905 and



Table I. Patient characteristics.

	Enrolled cases	Eligible cases
Characteristic	(n = 72)	(n = 70)
Age, median (range) years	31.5 (15-69)	31.5 (15-69)
Male sex	38 (52.8%)	36 (51.4%)
PS		
0	45 (62.5%)	43 (61.4%)
1	23 (31.9%)	23 (32.9%)
2 3	3 (4.2%)	3 (4.3%)
3	1 (1.4%)	1 (1.4%)
Clinical stage		
IA/IB	1 (1.4%)/2 (2.8%)	1 (1.4%)/2 (2.9%)
IIA/IIB	11 (15.3%)/15 (20.8%)	11 (15.7%)/15 (21.4%)
IIIA/IIIB	15 (20.8%)/18 (25.0%)	14 (20.0%)/17 (24.3%)
IVA/IVB	5 (6.9%)/5 (6.9%)	5 (7.1%)/5 (7.1%)
Bulky mass	34 (47.2%)	34 (48.6%)
B symptoms	40 (55.6%)	39 (55.7%)
Histological subtype		
NLPHL	1 (1.4%)	1 (1.4%)
Nodular sclerosis	43 (59.7%)	41 (58.6%)
NS grade 1	1 (1.4%)	1 (1.4%)
Mixed cellularity	11 (15.3%)	11 (15.7%)
LD	3 (4.2%)	3 (4.3%)
Unclassified	1 (1.4%)	1 (1.4%)
Other neoplasms	5 (6.9%)	5 (7.1%)
Samples	7 (9.7%)	7 (10%)
uncollected*		

PS, performance status; NLPHL, nodular lymphocyte predominant Hodgkin lymphoma; NS, nodular sclerosis; LD, lymphocyte depleted.

JCPG9305 studies, in which the target clinical stage was II-IV, 16.4% and 24.6% of patients had stage IV disease, respectively [7,9]. The reason for the relatively low percentage of stage IV disease in the present study is unclear.

#### Responses

Responses of the 70 eligible patients are shown in Table II. The CR rate after ABV therapy or ABV therapy followed by IFRT (ABV-IFRT) was 70.0% (95% CI 57.9-80.4). Twenty-two patients (31.4%; 95% CI 20.9-43.6) achieved a CR or CRu after ABV therapy and 49 patients (70.0%; 95% CI 57.9-80.4) achieved a CR or CRu after ABV-IFRT. A total of 37 patients underwent IFRT after the completion of chemotherapy. While seven patients (9.7%) did not receive planned radiation therapy at the end of chemotherapy, five had unplanned IFRT after ABV therapy. After IFRT, the CR rates in the lower risk (IPS: 0-2) and higher risk groups (IPS: 3-7) increased from 33.3% to 81.8% and from 29.7% to 59.5%, respectively (data not shown).

# **Progression-free survival**

The PFS curve is shown in Figure 1(A). The 5-year PFS was estimated to be 43.5% (95% CI 31.7-54.8). The PFS at 5 years

in patients with bulky stage IIA/IIB/III/IV treated with ABVd in JCOG9305 (n = 85) and ABV in JCOG9705 (n = 68) was 72.2% (95% CI 61.2-80.6) and 43.3% (95% CI 31.3-54.8), respectively [Figure 1(B)]. The PFS at 5 years in patients with stage III/IV treated in JCOG9305 (n = 62) and the present study (n = 40) was 66.7% (95% CI 53.2-77.1) and 46.2% (95% CI 30.1-60.9), respectively [Figure 1(C)].

#### Overall survival

OS is shown in Figure 2(A). Sixteen patients died and OS at 5 years was estimated to be 80.9% (95% CI 69.4-88.5).OS at 5 years in patients with bulky stage IIA/IIB/III/IV treated with ABVd in JCOG9305 (n = 85) and ABV in JCOG9705 (n = 68) was 86.6% (95% CI 77.1-92.4) and 80.4% (95% CI 68.6-88.1), respectively [Figure 2(B)]. OS at 5 years in patients with stage III/IV treated in JCOG9305 (n = 62) and the present study (n = 40) was 83.2% (95% CI 71.0-90.6) and 79.1% (95% CI 62.5-89.0), respectively [Figure 2(C)].

#### **Toxicity**

All 72 treated patients were evaluated for toxicity (Table III), with the most common being hematological toxicities. No treatment-related deaths occurred. The most frequent grade 4 hematological toxicity was neutropenia, which was observed in 36 patients (50.7%). No grade 4 non-hematological toxicities were observed. Grade 3 non-hematological toxicities included hypoxemia, elevation of ALT, peripheral neuropathy and cardiac ischemia (one patient each). The most frequent grade 2 non-hematological toxicity was elevation of ALT in 14 patients (19.4%).

Diffuse large B-cell lymphoma (DLBCL) as a secondary malignancy was observed within 3 years after the completion of ABV therapy in two of 72 patients (2.8%) throughout the study. Neither of these patients received IFRT. There was no other report of malignancy including solid tumor in either of these patients. One patient died from progression of DLBCL.

#### **Pathological characteristics**

A central review of the pathological diagnosis was performed for 65 of the 72 enrolled patients and the pathological diagnosis of each institution was adopted for the remaining seven patients. Among the 65 centrally reviewed patients, five were deemed ineligible, all with non-Hodgkin lymphoma (NHL), including four diffuse large cell types (one with lymphomatoid granulomatosis subtype, one with pyothorax-associated lymphoma, one with T-cell rich B-cell lymphoma, and one with primary mediastinal large B-cell lymphoma) and one with B-cell lymphoma not otherwise specified. In addition

Table II. Responses of eligible patients (n = 70)

Response	After chemotherapy	%	After radiation*	%
CR	19	27.1	27	38.6
CRu	3	4.3	22	31.4
PR	39	55.7	8	11.4
NC	0	0	0	0
PD	7	10.0	11	15.7
NE	2	2.9	2	2.9
CR + CRu(95% CI)	22	31.4 (20.9-43.6)	49	70.0 (57.9-80.4)

CR, complete response; CRu, CR unconfirmed; PR, partial response; NC, no change; PD, progressive disease; NE, not

Of the 70 patients enrolled in this study, 37 patients underwent radiation therapy after the completion of chemotherapy.



Samples uncollected; pathological diagnosis of each institute was adopted in the seven patients whose pathological samples could not be collected.

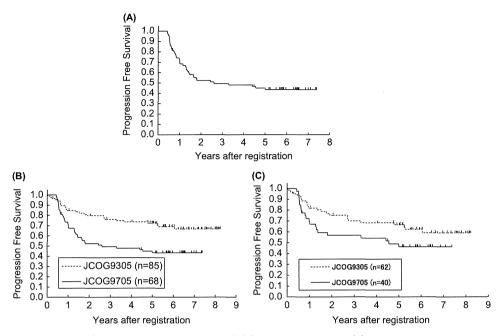


Figure 1. Progression-free survival (tick marks indicate censored data). (A) All 70 eligible patients. (B) Comparison according to study group; dotted and solid lines represent JCOG9305 (ABVd therapy, subgroup 1: n = 85) and JCOG9705 (ABV therapy, subgroup 2: n = 68), respectively. Target population is stage IIA bulky, IIB, III or IV in both studies. (C) Comparison according to study group; dotted and solid lines represent JCOG9305 (ABVd therapy, subgroup 1: n = 62) and JCOG9705 (ABV therapy, subgroup 2: n = 40), respectively. Target population is stage III or IV in both

to these five pathologically ineligible patients, two other patients were deemed ineligible, one due to pathology after enrollment and the other due to a change in clinical stage from IIIA to non-bulky IIA. Therefore, 58 of the 65 patients who underwent pathological review were deemed pathologically eligible. The histological subtype of these patients was determined by the central pathological review and the distribution is also shown in Table I. Nodular sclerosis was present in 70.7% of the 58 patients with HL and mixed cellularity (19.0%) was the next most-common subtype. These histological distributions were similar to those reported in a study based in Western countries [19].

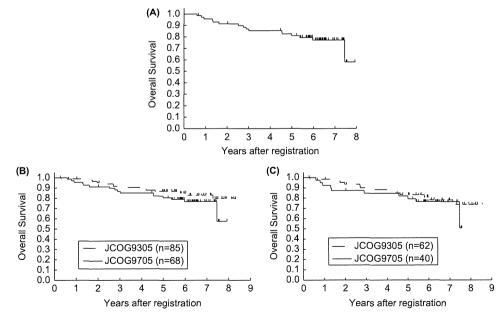


Figure 2. Overall survival (tick marks indicate censored data). (A) All 70 eligible patients. (B) Comparison according to study group; dotted and solid lines represent JCOG9305 (ABVd therapy, subgroup 1: n = 85) and JCOG9705 (ABV therapy, subgroup 2: n = 68), respectively. Target population is stage IIA bulky, IIB, III or IV in both studies. (C) Comparison according to study group; dotted and solid lines represent JCOG9305 (ABVd therapy, subgroup 1: n = 62) and JCOG9705 (ABV therapy, subgroup 2: n = 40), respectively. Target population is stage III or IV in both studies.



Table III. Toxicities in all enrolled patients (n = 72).

Table III. Tomorroo III an omonea patients (ii 12).						
	Toxicity grade by JCOG toxicity criteria					
Toxicity	Grade 1	Grade 2	Grade 3	Grade 4		
Hematological						
Leukopenia	5 (6.9%)	31 (43.1%)	29 (40.3%)	5 (6.9%)		
Neutropenia	1(1.4%)	10 (14.1 %)	22 (31.0%)	36 (50.7%)		
Anemia	21 (29.2%)	21 (29.2 %)	7 (9.7%)	_		
Thrombocytopenia	10 (13.9%)	2(2.8%)	0	1 (1.4%)		
Non-hematological						
AST elevation	24 (33.3%)	10 (13.9 %)	0	0		
ALT elevation	24 (33.3%)	14 (19.4%)	1 (1.4%)	0		
Creatinine elevation	4 (5.6%)	0	0	0		
Hypoxemia*	25 (44.6%)	6 (10.7%)	1 (1.8%)	0		
Diarrhea	8 (11.1%)	1(1.4%)	0	0		
Stomatitis	16 (22.2%)	3 (4.2%)	0	0		
Arrythmia	3 (4.2%)	0	0	0		
Esophagitis	9 (12.5%)	2(2.8%)	0	0		
Pharyngitis	31 (43.1%)	4 (5.6%)	0	0		
Fever <sup>†</sup> (non-infectious)	3 (8.3%)	3 (8.3%)	0	0		
Cardiac ischemia	1 (1.4%)		1 (1.4%)	0		
Neuropathy	17 (23.6%)	7 (9.7%)	1(1.4%)	-		

AST, aspartate aminotransferase; ALT, alanine aminotransferase; ICOG, Japan

#### Discussion

This phase II study demonstrated that PFS in patients treated with ABV with an increased dose of doxorubicin and without dacarbazine followed by IFRT to initial bulky disease or residual mass in PR was markedly inferior to that with ABVd, although the comparison was not direct. To the best of our knowledge, this is the first report suggesting that dacarbazine is a key drug in ABVd/ABVD therapy in patients with advanced-stage HL.

We compared the 5-year PFS rate of ABV therapy in JCOG9705 to that of ABVd therapy in JCOG9305 in comparable patient populations. The 5-year PFS rate of the 70 eligible patients in the present study was 43.5%. This outcome is very poor compared to the 61% 5-year failure-free survival rate with ABVD therapy found in the CALGB 8251 study for newly diagnosed patients with stage IIIA2-IV HL [3].

The low CR rate after the completion of ABV therapy (31.4%) increased to 70.0% after IFRT, although this high CR rate after IFRT did not translate into high PFS in JCOG9705. These data imply that a high CR rate by induction chemotherapy itself is essential to achieve better PFS. ABV proved inadequate to achieve the high CR rate that is essential to good PFS. Thus, the present study strongly suggested that dacarbazine is an indispensable drug in ABVd/ABVD to achieve both a high CR rate and good PFS.

The important role of dacarbazine in ABVD in patients with early favorable HL was reported in 2010 based on the interim analysis of the HD13 trial comparing two cycles of AVBD, ABV, AVD or AV followed by IFRT conducted by the German Hodgkin Lymphoma Study Group (GHSD) [20]. The second interim analysis of the HD13 trial showed a four-fold increase of adverse events in the ABV and AV arms, which led them to close these two arms. This suggests that dacarbazine is also an essential drug in ABVD in early favorable HL.

The median dose intensities of doxorubicin in the present study and the JCOG9305 study were 93.3% (range, 49.6-103.2%) and 98.8% (range, 50.3-123.1%), respectively, based on the

maximum planned dose in each protocol. The median dose intensities of bleomycin in the present study and the JCOG9305 study were 72.6% (range, 32.7-102.0%) and 81.3% (range, 11.5-128.2%), respectively. Thus, a high dose intensity of doxorubicin in the present study was maintained. The relatively low dose intensity of bleomycin seemed to have no significant impact on the poor PFS in JCOG9705, since there was no reported difference in outcome for patients in whom bleomycin was omitted during treatment (due to toxicity) compared with patients who completed the full ABVD with bleomycin [21,22].

In JCOG9705, the protocol required that patients with initial bulky disease underwent IFRT in CR or PR following ABV therapy, and those with a residual mass underwent IFRT in PR after eight cycles of ABV therapy. Protocol deviations occurred in seven patients (one in CR and six in PR), all of whom had an initial bulky mass and should have received IFRT (per protocol) but did not. A phase III study by the European Organisation for Research and Treatment of Cancer (EORTC) demonstrated that IFRT did not improve the outcome in patients with advanced-stage HL who were in CR after MOPP/ABV chemotherapy, although radiotherapy may benefit patients in PR after chemotherapy [23]. This suggests that the protocol deviation in one patient with initial bulky disease in CR (no IFRT) had no influence on the outcome of patients in JCOG9705, although chemotherapy was not different between the EORTC study (MOPP/ABV) and JCOG9705 (ABV). However, the six patients with initial bulky mass who were protocol deviations due to not receiving IFRT in PR may have had a negative influence on PFS.

OS at 5 years in JCOG9705 (80.9%) was comparable to that in patients receiving ABVd therapy in JCOG9305 (91.3%). As reported previously [4,8,9], the major toxicity in ABVD/ABVd was grade 4 neutropenia. In ABVd therapy, the occurrence of grade 4 neutropenia was 45.3% [9]. Although the ABV therapy in the present study included a 20% increased dose of doxorubicin, the incidence of grade 4 neutropenia (50.7%) was similar to that seen with ABVd therapy, possibly due to the deletion of dacarbazine. However, no severe (grade 3 or 4) infection was observed in JCOG9705, as has been seen with ABVd. Although the ABVd regimen included bleomycin and an increased dose of doxorubicin, the incidence of severe pulmonary or cardiac toxicity was very low (1.8%).

In JCOG9705, two patients developed DLBCL after completion of protocol treatment. Although ABVD therapy is less leukemogenic or carcinogenic [3], it is possible that the development of DLBCL in these two patients was related to the ABV regimen; these patients did not undergo IFRT. Scholz et al. [24] reported no differences in cumulative risk between the primary therapies for developing secondary NHL (2.9%) in a retrospective analysis of 5357 individuals in eight randomized trials of the German Hodgkin Lymphoma Study Group. The incidence of DLBCL in their study was similar to that in the present study (2.8%). Therefore, ABV therapy also seemed less leukemogenic in our study, although the dose of doxorubicin was increased.

In conclusion, the present study showed that the efficacy of ABV with an increased dose of doxorubicin and no dacarbazine was inferior to ABVd, although the comparison was not direct. Dacarbazine is thus indispensable in ABVD/ABVd therapy.



Clinical Oncology Group. \*Toxicity data for hypoxemia were collected from 56 patients.

<sup>†</sup>Toxicity data for non-infectious fever were collected from 36 patients.