classes of vaccines, such as live attenuated vaccines and DNA vaccines. Emerging evidence also supports the concept that nucleic acids and their metabolites are important endogenous mediators of the adjuvant effects of aluminium salt-based adjuvants (commonly referred to as alum), an important class of vaccine adjuvants. This knowledge could provide useful hints for the design and optimization of future vaccines.

Deconstructing live vaccines. Some live attenuated vaccines are among the most efficient vaccines ever developed. Although live attenuated vaccines cannot be generated against all types of pathogen, deconstructing the responses they induce may offer valuable clues for the design of new vaccines that mimic their mechanisms of action. Few studies have addressed this so far, but the data are starting to point towards a central role of nucleic acid-sensing PRRs in the response to live attenuated vaccines.

The yellow fever vaccine YF-17D is one of the most efficient antiviral vaccines ever developed, and it is able to induce protective immunity that lasts for decades. Evidence in mice indicates that YF-17D activates DCs through the concomitant stimulation of several TLRs (namely, TLR2, TLR7, TLR8 and TLR9), which results in the induction of CD8+ T cell responses and a mixed Tu1- and Tu2-type immune response<sup>50</sup>. Although TLR2 signalling, which depends on MYD88, appears to downregulate the  $\rm T_{\rm H}1$ and CD8<sup>+</sup> T cell responses elicited by the vaccine, MYD88dependent signalling is required for these responses. Without ruling out a potential contribution of IL-1 and related cytokines or other MYD88-dependent PRRs, these results suggest an important role for nucleic acid-sensing TLRs in the induction of adaptive T<sub>11</sub>1-type responses to YF-17D. In support of this assumption, DCs from mice deficient for either TLR7 or TLR9 secrete less IL-12 than wild-type DCs following infection with YF-17D50. In vaccinated humans, gene expression profiling indicates that YF-17D activates a prominent type I IFN response (which is probably controlled by IRF7) at the time the primary adaptive immune response is established<sup>51,52</sup>. Furthermore, YF-17D upregulates the expression of TLR7 (REF 51) and activates RIG-I and MDA5 (REF 52), although the contribution of these receptors to adaptive immune responses in this context is currently unknown. Finally, a recent study in humans indicates that YF-17D induces innate immune gene expression profiles that functionally overlap with those elicited by an experimental adjuvant that is based on a modified polyI:C agonist of TLR3 and MDA5 (REF 53).

Vaccinia virus is the attenuated virus that formed the basis of the vaccine that allowed the eradication of smallpox. It is now used as a vector in other vaccines. Vaccinia virus may activate several APC-expressed PRRs, including RIG-I, MDA5, TLR2, TLR6, TLR9 and NLRP3- and AIM2-dependent inflammasomes<sup>41,54,55</sup>. Studies in knock-out mice have revealed that the activation of innate immune responses and the induction of CD8\* T cell population expansion and memory formation in response to vaccinia virus crucially depend on TLR2 (REF 56), but also require type I IFN

production<sup>56,57</sup>. Moreover, a recent report suggests that, in mice, type I IFN production following vaccinia virus infection may result from TLR8-dependent activation of pDCs, possibly through the recognition of AT-rich DNA<sup>58</sup>. Whether this mechanism also occurs in humans, whose pDCs do not express TLR8, is not yet certain. In addition, cDCs may produce type I IFNs following vaccinia virus infection in a TLR-independent manner, probably through RLR-dependent signalling<sup>55,56</sup>.

In the case of influenza A virus, a variety of vaccine compositions have been developed, including live attenuated, killed whole-virion and subunit vaccines. The influenza virus ssRNA genome has been shown to activate pDCs through TLR7 (REFS 59,60) and cDCs and stromal cells through RIG-I-dependent sensing<sup>61,62</sup>. Influenza virus RNA also indirectly triggers inflammasome activation 35,36,63. Subunit vaccines, which are devoid of viral RNA, were shown to be ineffective at immunizing naive mice owing to their inability to stimulate pDCs, although they could still boost memory T cell responses<sup>64</sup>. This evidence underscores the importance of viral nucleic acid sensing in influenza vaccination. By contrast, live attenuated and killed vaccines induce robust primary adaptive immune responses through TLR7, a process that requires the production of type I IFNs by pDCs in the case of killed vaccines<sup>64,65</sup>.

Very few studies so far have investigated the role of nucleic acid-sensing PRRs in live attenuated bacterial vaccines. The immunogenicity of such vaccines — which include the *Mycobacterium bovis* bacillus Calmette-Guérin (BCG) vaccine — is usually attributed to the innate recognition of bacterial cell wall components, mostly by TLR2 and TLR4. However, live bacteria may also activate APCs through nucleic acid-sensing PRRs<sup>17,22,66,67</sup>. Recent research indicates that nucleic acid sensing could actually be key to the success of live bacterial vaccines.

One possible explanation for the higher efficiency of live attenuated bacterial vaccines over killed vaccines could be that the immune system is able somehow to sense general bacterial viability. This possibility has recently received support from an elegant study that compared the innate and adaptive immune responses induced by live and killed non-replicating non-virulent bacteria68. Live bacteria, but not killed bacteria, were shown to induce pronounced expression of type I IFNs and the release of mature IL-1β from infected macrophages and DCs. The augmented response to live bacteria was shown to depend on the sensing of bacterial mRNA, which is lost following the killing of the bacteria and was therefore termed a viability-associated PAMP ('vita-PAMP'). The cytosolic PRR responsible for vita-PAMP sensing in this context has not been identified, but the induction of type I IFNs by IRF3 and the generation of IL-1β by the NLRP3 inflammasome were impaired in TRIF-deficient cells. The recognition of this vita-PAMP was proposed to depend on the absence of 3'-polyadenylation in bacterial mRNA. Consistent with the idea that vita-PAMP sensing may boost adaptive immune responses, killed bacteria mixed with bacterial mRNA were shown to induce humoral responses similar to those induced by live bacteria in mice.

Molecular mechanisms of DNA vaccination, DNA vaccines are one example of vector-based vaccines that are currently in development69. What is considered a major advantage of DNA vaccines is their ability to induce the local expression of target antigens and to subsequently elicit THI and CD8' T cell responses along with Tul-biased antibody production. DNA vaccines are currently used in veterinary medicine, and attempts in humans indicate a good tolerability and safety profile69,70. However, DNA vaccines tend to display low immunogenicity in humans and this has hindered their development, although different approaches have been proposed to address this issue. The reasons for this lower responsiveness of humans compared with other mammals are currently unclear. Possible explanations could involve lower expression levels of certain components of the DNA-sensing machinery, differing expression patterns of nucleic acid-sensing PRRs or issues related to DNA delivery and processing in different cell types<sup>69,70</sup>. It is likely that a more accurate characterization of the cellular and molecular mechanisms involved in nucleic acid sensing during DNA vaccination would help us to understand these issues and improve the design of such vaccines.

The plasmids used in DNA vaccination may contain CpG motifs, which would provide a built-in adjuvant because these PAMPs activate TLR9. However, TLR9 deficiency does not appear to affect the cellular or humoral immune responses to repeated DNA vaccination in mice47,71,72, although TLR9 could participate in CD8+ T cell induction following the initial immunization73. Instead, Tu1 and CD8+ T cell responses, as well as antibody production, in response to DNA vaccination in mice have been shown to crucially depend on the induction of type I IFNs through the STING-TBK1 axis 17,74. Although the PRR implicated in DNA detection in this context remains to be identified, this suggests that cytoplasmic receptors for DNA have a more prominent role than intracellular TLRs in mediating the effect of DNA vaccines. Given that STING engagement may also lead to NF-kB activation74, it could be worthwhile investigating the potential contribution of this pathway in DNA vaccination.

DNA vaccine administration may lead to the direct transfection of APCs or to the transfection of other tissue-resident cells, such as muscle cells. In the latter case, antigens may be indirectly acquired by DCs for presentation69. Bone marrow transfer experiments in mice support the idea that antibody responses to DNA vaccination require TBK1 activation in haematopoietic cells (presumably DCs)<sup>47</sup>. By contrast, TBK1 activity in non-haematopoietic cells (presumably stromal cells) is essential for CD8+ T cell activation. Finally, the activation of antigen-specific CD4+ T cells requires TBK1 activity in both the haematopoietic and non-haematopoietic compartments. Altogether, direct presentation, crosspresentation and bystander cytokine production are all likely to be essential for the adaptive immune response to DNA vaccines (FIG 3).

Nucleic tricks of an old adjuvant. Alum is the oldest but most widely used of the few vaccine adjuvants that are licensed for human use<sup>1,75</sup>. Alum mostly potentiates IgG1 and IgE production through the promotion of  $T_{1,2}$  cell responses, although the induction of CD8 $^{\circ}$  T cells by alum has also been reported<sup>76</sup>. For decades, little attention has been given to the immunological mechanisms that drive the adjuvant activity of alum<sup>77</sup>. Renewed interest was sparked by the discovery that alum activates the NLRP3 inflammasome  $^{76,79}$ . However, studies on the contribution of NLRP3 to the effects of alum on adaptive immune responses have generated conflicting results  $^{76,89}$ , suggesting that the NLRP3 inflammasome is not, in general, essential for the adjuvant activity of alum and that additional mechanisms are involved.

Dead lysed cells have been repeatedly observed at sites of alum injection81,82, implying that alum may induce the release of DAMPs. Research in mouse models recently reported a role for two DAMPs, which were both connected to nucleic acid biology, in the adjuvant activity of alum<sup>83-85</sup>. Uric acid is the end product of the degradation of purines, and may be rapidly released by injured cells following DNA and RNA degradation. Alum induces the accumulation of uric acid at sites of injection, and reducing uric acid levels in vivo through treatment with uricase was shown to inhibit T cell responses and the production of IgG1 and IgE<sup>83,84</sup>. Uric acid has not been shown to form crystals (its usual form for recognition as a DAMP8) at sites of alum injection, and the signalling pathways activated in this context remain to be identified. Alum also induces the rapid release of host cell DNA at sites of injection 82,85, and the elimination of extracellular DNA using DNase I treatment decreases alum-induced T cell responses and the production of IgG1 and IgE85. Although the PRRs (or PRR) triggered by host DNA in alum immunization were not identified, IRF3 was shown to control the IgE response. However, any contribution of TLRs, RLRs or inflammasomes to this response was ruled out.

#### Harnessing nucleic acid sensors

With the increased recognition of the impact of nucleic acid-sensing PRRs on APC function, research is well underway to directly harness these PRRs using novel adjuvants. Several candidates, mostly TLR agonists so far, are now in the preclinical or early clinical stages of development<sup>75</sup>.

TLR3 and RLR agonists. The activation of TLR3 in cDCs induces the production of IL-12, type I IFNs and proinflammatory cytokines by these cells and upregulates their expression of MHC class II and co-stimulatory molecules, as well as their cross-presentation activity<sup>86-89</sup>. Of note, cDCs with strong cross-presentation activity — such as CD8α<sup>+</sup> and CD103<sup>+</sup> cDCs in mice and DNGR1<sup>+</sup>CD114<sup>+</sup>BDCA3<sup>+</sup> cDCs in humans — express the highest levels of TLR3 (REFS 88–90).

In preclinical models, co-administration of TLR3 agonists with soluble or DC-targeted antigens was shown to induce durable  $T_{\rm H}1$  cell<sup>91–93</sup> and CD8<sup>+</sup> T cell<sup>89</sup> responses, as well as augmented antibody responses<sup>93–95</sup>, which could confer protection against subsequent intracellular pathogen infection<sup>89,95</sup>.

Cross-presentation
A process by which certain
antigen presenting cells may
take up and process
extracellinar antigens and
present them on MHC class I
molecules to CD8. Ticells

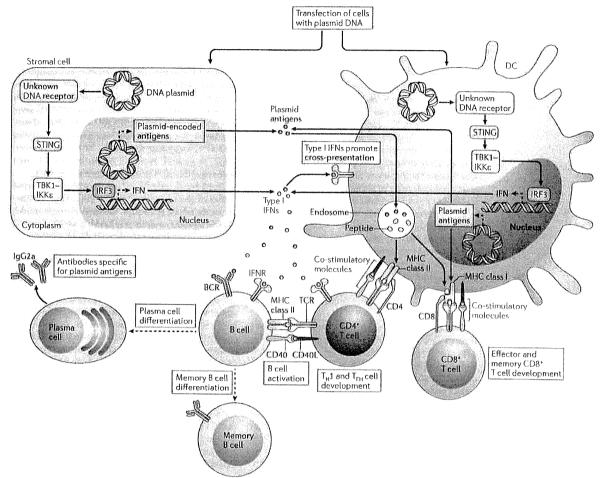


Figure 3 | Mechanisms of DNA vaccination. The plasmid DNA used in DNA vaccination may directly transfect stromal cells (such as muscle cells) or dendritic cells (DCs). In these cells, a cytosolic DNA receptor that has not yet been identified induces the activation of TANK-binding kinase 1 (TBK1) and IkB kinase- $\epsilon$  (IKK $\epsilon$ ) through stimulator of If N genes (STING), leading to the activation of interferon-regulatory factor 3 (IRF3) and resulting in the production of type I interferons (IFNs). The antigens encoded by the transfected plasmid DNA can also be expressed in stromal cells and DCs. In DCs, these antigens may be directly processed and presented on MHC class I molecules to naive CD8\* T cells. Alternatively, antigens may be indirectly acquired by DCs from stromal cells and then cross-presented to CD8\* T cells or presented to naive CD4\* I cells on MHC class II molecules. Type I IFN expression by stromal cells and DCs seems to be important for promoting the cross presentation activity of DCs, as well as for the differentiation of T helper 1 ( $T_{\rm H}$ 1) cells and the promotion of I  $_{\rm H}$ 1-type isotype switching in B cells. BCR, B cell receptor; CD40L, CD40 ligand; TCR, T cell receptor; T $_{\rm HI}$ 7 follicular helper.

Most TLR3 agonists, such as polyI:C, also activate MDA5 in DCs and stromal cells. Both TLR3 and MDA5 were proposed to participate in the induction of type I IFN production<sup>92,94,96</sup>, which is essential for the development of polyI:C-induced T<sub>II</sub>1 and CD8\* T cell responses<sup>92,96</sup>. MDA5-dependent production of type I IFNs by stromal cells seems to be especially important for the generation of memory CD8\* T cells in such models<sup>96</sup>. PolyI:C-induced activation of MDA5, but not TLR3, was also shown to be essential for the production of antibodies specific for a co-administered antigen in alum<sup>94</sup>.

Even though the aforementioned immunization studies were performed in mice and nonhuman primates, data are emerging as to the potential adjuvant effects of ligands for TLR3 and MDA5 in humans. As mentioned above, a pilot systems biology study in human subjects compared the innate immune response induced by the YF-17D vaccine to that of an RNase-resistant analogue of polyI:C (polyI:C stabilized with poly-L-lysine and carboxymethylcellulose (polyICLC))<sup>53</sup>. The gene expression profile of blood cells from polyICLC-treated subjects showed the induction of a type I IFN response as well as signatures associated with

NF-κB signalling, inflammasomes and DC activation. However, the response was faster than that observed with YF-17D. TLR3 and MDA5 agonists are thus emerging as promising adjuvants in the development of vaccines that promote a  $T_{\rm H}1$ -type response against viruses and other intracellular pathogens.

TLR7 and TLR8 agonists. A preferred option to target TLR7 and TLR8 are the small synthetic compounds imidazoquinolines. Given that the expression patterns of TLR7 and TLR8 differ between mice and humans, caution should be exerted when extrapolating results obtained with TLR7 and TLR8 agonists from mice to humans.

In human pDCs, which express TLR7, the activation of this receptor leads to the expression of type I IFNs, IL-12 and pro-inflammatory cytokines, as well as to the upregulation of co-stimulatory molecules<sup>86,97</sup>. Human cDCs express TLR8, and agonists of this TLR induce the expression of IL-12 and pro-inflammatory cytokines and the upregulation of co-stimulatory molecules<sup>90,98</sup>.

In mice, the administration of an antigen together with a TLR7 or TLR8 agonist promotes T<sub>H</sub>1 and CD8\* T cell responses 99-101 and antibody production 99. Data from mice and nonhuman primates indicate that conjugation of the TLR7 or TLR8 agonist with the antigen and protein aggregation may result in a more efficient induction of Tul and CD8<sup>+</sup> T cell responses<sup>102,103</sup>. In mice immunized subcutaneously with an antigen-TLR7/8 agonist conjugate, the improvement in these responses has been attributed to more efficient antigen uptake by multiple DC subsets103. TLR7-dependent production of type I IFNs has been implicated in this increased antigen uptake, as well as in the promotion of DC migration to the lymph nodes. Together with IL-12, type I IFNs appear to be required for optimal T<sub>H</sub>I and CD8+ T cell responses following the administration of TLR7 and TLR8 agonists 101,103. Thus, TLR7 and TLR8 agonists are emerging as promising candidate adjuvants for promoting T<sub>11</sub>1-type immune responses, although the development of improved formulation and delivery strategies is likely to be key for their efficiency in humans.

TLR9 agonists. TLR9 agonists (mostly different types of CpG oligodeoxynucleotides) are the most studied and probably the most advanced nucleic acid-sensing PRR agonists in development as potential immune response-biasing vaccine adjuvants<sup>75,104</sup>. Again, it should be kept in mind when interpreting rodent studies that TLR9 expression is restricted in humans, being highest in pDCs and B cells, whereas mice have a broader expression pattern<sup>105</sup>.

In human pDCs, stimulation of TLR9 leads to strong expression of type I IFNs, IL-12 and pro-inflammatory cytokines, as well as to the upregulation of co-stimulatory molecules<sup>86</sup>. In B cells, TLR9 activation leads to the expression of pro-inflammatory cytokines and, in conjunction with CD40 engagement, synergistically promotes the production of antibodies and IL-12, which allows B cells to promote the differentiation of T<sub>H</sub>1 cells<sup>106</sup>. Concomitant stimulation of TLR9 in pDCs may further promote B cell antibody production and

memory B cell differentiation in the absence of T cell help through type I IFN production<sup>107</sup>. In addition, TLR9 triggering synergizes with B cell receptor activation in the induction of antigen-specific B cell responses and promotes  $T_{\rm H}1$ -biased isotype switching<sup>108</sup>. In mice, TLR9 agonists very potently induce  $T_{\rm H}1$  and CD8\* T cell responses as well as  $T_{\rm H}1$ -type B cell responses<sup>104</sup>.

TLR9 agonists have entered clinical trials as adjuvants in hepatitis B, influenza and anthrax vaccines and have been shown to boost and accelerate protective antibody responses<sup>75,109</sup>.

STING agonists. The discovery that STING may directly respond to cyclic di-GMP supports the idea that it could be targeted directly by novel adjuvant molecules. So far, this potential can only be inferred from data on cyclic di-GMP, which has immunostimulatory and adjuvant activities that are being increasingly documented109. For instance, treatment with cyclic di-GMP may upregulate the expression of MHC class II molecules, co-stimulatory molecules, pro-inflammatory cytokines and type I IFNs by human and mouse cDCs110,111. Furthermore, cyclic di-GMP has adjuvant effects on adaptive responses to soluble antigens in mice110,111. It remains to be determined whether the adjuvant activity of cyclic di-GMP in vivo is entirely due to STING activation or also a result of other activities of this molecule. Either way, it is likely that STING has an important role, given that mice with an inactivating point mutation in the gene encoding STING display impaired type [IFN responses to cyclic di-GMP<sup>112</sup>.

Combined adjuvants. In line with the observation that efficient live attenuated vaccines target multiple PRRs<sup>50,55</sup>, combining multiple PRR agonists appears to be a promising rationale for the design of effective new adjuvants. This approach is already being applied, for instance in the clinically approved adjuvant ASO4 (a combination of alum and a TLR4 ligand). Similar strategies aim to couple the potential of nucleic acidsensing PRRs with that of other PRRs. To date, most studies have combined TLR ligands.

MYD88-dependent and TRIF-dependent TLR ligands synergistically activate cDCs. Thus, a combination of these ligands strongly increases the secretion of IL-12, type I IFNs and pro-inflammatory cytokines by cDCs, resulting in efficient activation of T<sub>11</sub>1 cells and CD8+ T cells<sup>113,114</sup>. A recent in vivo study in mice using such a combined adjuvant strategy indicated that combining aggregated TLR2-TLR6, TLR3 and TLR9 ligands could boost not only the number of antigen-specific CD8+ T cells, but also their avidity and functionality, providing a qualitative advantage over combinations of two agonists115. This difference has been linked to activation of the expression of IL-15 and IL-15 receptor subunit-α (IL-15Rα) by cDCs in a type I IFN-dependent manner<sup>115</sup>. In another study, a TLR4 agonist and a TLR7 agonist, which were combined in nanoparticles, were shown to have synergistic effects in increasing the levels of neutralizing antibodies and promoting the generation of memory B cells and long-lived plasma cells116. These effects were dependent on TLR triggering in both DCs and B cells, and also on T cell help. Experimental immunizations using this combined adjuvant were shown to protect mice from lethal influenza virus infection and to boost neutralizing antibody responses in nonhuman primates116. Again, such studies highlight the benefit of optimizing formulation and delivery strategies in vaccines containing this type of adjuvant.

#### Conclusions and perspectives

Nucleic acid-sensing PRRs are taking centre stage in the induction of adaptive immune responses to many existing vaccines. Preclinical and clinical evidence indicates that the triggering of these receptors by selective agonists may suffice in mediating efficient immunization against co-administered antigens. Even though considerable progress has been made in the past decade since the discovery of the first nucleic acidsensing PRR, much remains to be elucidated concerning the role of these receptors in adaptive immunity in general and in vaccination in particular.

A robust and comprehensive characterization of the nucleic acid-sensing machinery is likely to be key not only to a more complete understanding of antimicrobial immunity, but also for elucidating the mechanisms of action of many current vaccines. For instance, the monopoly of TLR9 on DNA sensing has recently been challenged by the discovery of cytosolic DNA-sensing mechanisms. However, the PRRs that mediate the response to nucleic acids in several important vaccination strategies - including DNA vaccination and alumadjuvanted immunization - remain to be identified. A few novel DNA- and RNA-sensing PRRs have been proposed using in vitro approaches, and we expect that mice (conditionally) deficient for individual nucleic acid sensors should soon help to establish the respective contributions of these PRRs to antimicrobial immunity and vaccination. Moreover, a more advanced characterization of the expression patterns of these receptors and of their ligand-binding specificities could provide new molecular targets for experimental adjuvants or help to optimize delivery strategies. Notably, this could help us to understand the origin of human hyporesponsiveness to DNA vaccines, which deserves more scrutiny.

Another potentially important question is the extent to which host nucleic acids contribute to vaccination, in line with recent data suggesting a role for host DNA and uric acid in mediating the adjuvant effects of alum. In the context of alum-adjuvanted immunization, these two DAMPs induce T<sub>11</sub>2-type responses independently of type I IFNs<sup>83-85</sup>. This is in contrast to most nucleic acid PAMPs, which induce T<sub>H</sub>1-type responses that most often require type I IFN signalling. As it increasingly appears that PRR engagement may result in the active release of host nucleic acids117, we propose that it may be worthwhile studying the potential adjuvant or immunomodulatory effects of host nucleic acids and their metabolites in vaccination. This investigation would probably benefit from the identification of the receptors for uric acid and host DNA that are involved in alum-adjuvanted

Pinally, achieving a more precise understanding of the APCs and the PRRs that are targeted by nucleic acids in different vaccination strategies is likely to be of utmost importance. Indeed, APCs, especially cDCs, are highly heterogeneous, and multiple distinct subsets are present at the various sites potentially used for vaccination and in the lymphoid organs that drain such sites<sup>118</sup>. The improving characterization of the functional specialization and plasticity of each DC subset provides opportunities for tailoring vaccines to preferentially target specific DC subsets<sup>119</sup>. Notably in this regard, the expression patterns of intracellular TLRs indicate a distinct distribution among DC subsets that correlates with the functional specialization of each subset  $^{13,88-90}$ . It is likely that further characterization of the contribution of pDCs to nucleic acid sensing will be of particular importance. Being 'professional' type I IFN producers, pDCs may at least be important bystander contributors to the triggering of T<sub>H</sub>1-type immune responses by nucleic acid sensing in vaccination65,120. Furthermore, recent data suggest that pDCs could directly participate in the activation of CD8<sup>4</sup> T cells in vivo<sup>121</sup>, although this notion remains controversial<sup>122</sup>. Determining the main PRRs through which pDCs react to nucleic acids in different settings could also provide valuable information. Although most research to date has focused on TLRs, there is evidence, for instance, that pDCs may respond to immunostimulatory dsDNA via STING71. Emerging mouse models that allow for the deletion of specific DC subsets or of genes encoding nucleic acid-sensing PRRs within these subsets are likely to help in deconstructing the relative contributions of pDCs and other DC subsets in the immune responses to different vaccines. This knowledge could be key to refining the formulation and delivery strategies for new vaccine adjuvants tailored to elicit specific types of adaptive immune response.

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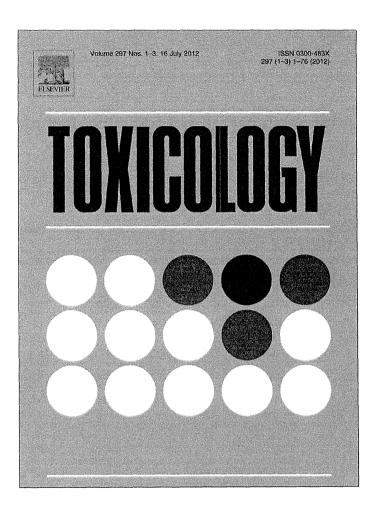
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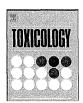
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# Toxicology





# Toxicogenomic multigene biomarker for predicting the future onset of proximal tubular injury in rats

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#### ABSTRACT

Drug-induced renal tubular injury is a major concern in the preclinical safety evaluation of drug candidates. Toxicogenomics is now a generally accepted tool for identifying chemicals with potential safety problems. The specific aim of the present study was to develop a model for use in predicting the future onset of drug-induced proximal tubular injury following repeated dosing with various nephrotoxicants. In total, 41 nephrotoxic and nonnephrotoxic compounds were used for the present analysis. Male Sprague-Dawley rats were dosed or ally or intravenously once daily. Animals were exposed to three different doses (low, middle, and high) of each compound, and kidney tissue was collected at 3, 6, 9, and 24 h after single dosing, and on days 4, 8, 15, and 29 after repeated dosing. Gene expression profiles were generated from kidney total RNA using Affymetrix DNA microarrays. Filter-type gene selection and linear classification algorithms were employed to discriminate future onset of proximal tubular injury. We identified genomic biomarkers for use in future onset prediction using the gene expression profiles determined on day 1, when most of the nephrotoxicants had yet to produce detectable histopathological changes. The model was evaluated using a five-fold cross validation, and achieved a sensitivity of 93% and selectivity of 90% with 19 probes. We also found that the prediction accuracy of the optimized model was substantially higher than that produced by any of the single genomic biomarkers or histopathology. The genes included in our model were primarily involved in DNA replication, cell cycle control, apoptosis, and responses to oxidative stress and chemical stimuli. In summary, our toxicogenomic model is particularly useful for predicting the future onset of proximal tubular injury.

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### 1. Introduction

The kidney is a major organ for the filtration, secretion, reabsorption, and ultimate excretion of drugs and drug metabolites. Nephrotoxicity frequently occurs following administration of various drugs or exposure to xenobiotics. The proximal tubular cells of the kidney are particularly vulnerable to drug-induced injury, and

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thus proximal tubular toxicity is a significant concern in the preclinical safety evaluation of candidate drugs. A number of recent studies have illustrated the use of microarray gene expression analyses for preclinical prediction and diagnosis of renal tubular toxicity (Amin et al., 2004; Fielden et al., 2005; Huang et al., 2001; Jiang et al., 2007; Kondo et al., 2009; Thukral et al., 2005). However, when biomarkers and/or classification models based on gene expression data are intended for broad research or regulatory use, the size and diversity of the modeling training set must be considered (Goodsaid et al., 2009). In addition, large-scale validation of biomarkers using external data sets are required (Ransohoff, 2004; Somorjai et al.,

A large-scale toxicogenomics database containing data from multiple time points and dose levels would be useful in the identification and validation of markers of nephrotoxicity. Such a database should facilitate identification of robust biomarker genes

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and enable researchers to more easily assess hypotheses generated in previous studies that involved comparatively smaller data sets. In Japan, the Toxicogenomics Project (TGP) developed just such a large-scale toxicogenomics database, known as the Genomics-Assisted Toxicity Evaluation System (TG-GATEs) (Uehara et al., 2010; Urushidani and Nagao, 2005; Urushidani, 2010). Using microarrays, the TGP comprehensively analyzed gene expression in the liver and kidney of rats treated with 150 select compounds at three different doses and eight different time points. The resulting database was used to identify a number of biomarker genes and develop prediction models for the hepatotoxicity and nephrotoxicity of the selected compounds (Gao et al., 2010; Hirode et al., 2008, 2009; Kondo et al., 2009; Uehara et al., 2008, 2010, 2011). Among the 150 compounds examined in the TGP study, 13 (including cisplatin, carboplatin, cyclosporine A, gentamicin, and phenacetin) are typical nephrotoxicants or drugs for which there is both clinical and nonclinical evidence of nephrotoxicity, while 20 compounds (including phenylbutazone, ethionine, and indomethacin) exhibited both nephrotoxicity and hepatotoxicity in our 28-day repeated-dosing study in rats. In the present study, we comprehensively examined the profile of gene expression in the kidney after exposure to 33 nephrotoxicants and eight nonnephrotoxic negative control compounds. The gene expression data obtained from analysis of these 41 compounds are currently available in the open TG-GATEs database (http://toxico.nibio.go.jp/) for use in nephrotoxicological studies.

Several recent reports have focused on genomic biomarkers of nephrotoxicity in rats. Recently, we constructed a toxicogenomic model for diagnosis of renal tubular injury using microarray data in repeated-dose studies (Kondo et al., 2009). This model consisted of 92 different probes and achieved a sensitivity of 93% and selectivity of 90% in the detection of renal tubular injury following repeated doses of nephrotoxicants. Although this model is useful for diagnosis of renal tubular injury in the repeated-dose studies, there remains a need to develop additional toxicogenomics-based biomarkers that can predict the future onset of nephrotoxicity. The specific aim of the present study therefore was to develop a model for use in predicting the future onset of drug-induced renal proximal tubular injury following repeated dosing with nephrotoxicants. The model was constructed based on gene expression data obtained in the single-dose studies.

In the present study, rat kidney microarray gene expression data collected following single-dose treatments with several different classes of nephrotoxic and nonnephrotoxic compounds were divided into positive and negative training sets for modeling. The data were divided based upon histopathological findings observed after up to 28 days of repeated dosing. Candidate biomarkers for predicting the future onset of proximal tubular injury were extracted using supervised classification algorithms with filtertype gene selection. For validation of the prediction model, the external test sets were randomly generated 100 times by dividing the training set into subsets, and the prediction accuracy was calculated by summarizing the prediction results of the external test sets. Although our optimized model consisted of only 19 selected probes, it achieved a sensitivity of 93% with a selectivity of 90% in predicting the future onset of tubular injury. In addition to some novel biomarker candidates, the selected genes included several well-known nephrotoxic biomarkers, such as kidney injury molecule-1 (Kim1) and clusterin (Clu). Functional annotation analysis revealed that the feature genes are involved in DNA replication, cell cycle control, apoptosis, and responses to oxidative stress and chemical stimuli. The classification accuracy of our multigene-based model was better than that of any of the well-known individual biomarkers or classical toxicological endpoints, including histopathological findings. The results of our present study suggest that toxicogenomic-based classifications

are useful in predicting the future onset of renal proximal tubular injury in rats.

#### 2. Materials and methods

#### 2.1. Compounds

The chemical name, abbreviation, dose, dosing route, and vehicle for each compound used in this study are summarized in Table 1.

#### 2.2. Animal treatment

Five-week-old male Sprague-Dawley rats were obtained from Charles River Japan, Inc. (Kanagawa, Japan). After a 7-day quarantine and acclimatization period, 6-week-old animals were assigned to dosage groups (5 rats per group) using a computerized stratified random grouping method based on individual body weight. The animals were individually housed in stainless steel cages in an animal room that was illuminated for 12 h (07:00-19:00) each day, ventilated with an air-exchange rate of 15 times per hour, and maintained at 21-25 °C with a relative humidity of 40-70%. Each animal was allowed free access to water and pellet diet (CRF-1, sterilized by radiation, Oriental Yeast Co., Ltd., Tokyo, Japan). Drugs were suspended or dissolved in 0.5% methylcellulose solution (MC) or corn oil according to their dispersibility and administered to rats orally, with the exception of cisplatin, carboplatin, 2-bromoethylamine, cephalothin, puromycin aminonucleoside, gentamicin, vancomycin, and doxorubicin, which were dissolved in saline and administered intravenously. In single-dose studies, animals were euthanized 3, 6, 9, and 24 h after administration of the single dose. In repeated-dose studies, animals were treated daily for 3, 7, 14, or 28 days and euthanized 24 h after receiving the last dose. Euthanasia was carried out by exsanguination from the abdominal aorta under ether anesthesia. For histopathological examination, samples of kidney tissue were fixed in 10% neutral-buffered formalin, dehydrated in alcohol, and embedded in paraffin. Paraffin sections were prepared and stained using standard hematoxylin and eosin staining (H&E) methods. Histopathological changes were graded by a pathologist according to the following criteria: + = minimal; 2+ = slight; 3+ = moderate; and 4+ = severe, All specimens were peer-reviewed by another pathologist for confirmation of findings. The experimental protocols were reviewed and approved by the Ethics Review Committee for Animal Experimentation of the National Institute of Health Sciences.

#### 2.3. Microarray analysis

Immediately after euthanasia, a thin slice of kidney section (transverse section; about 30 mg) was obtained from the kidney of each animal for RNA analysis. Tissue samples were kept overnight at 4°C in RNAlater® (Ambion, Austin, TX, USA) and then frozen at -80°C until use. Samples of kidney tissue were homogenized with the RLT buffer supplied with the RNeasy mini kit (Qiagen, Valencia, CA, USA) and total RNA was isolated according to the manufacturer's instructions, Microarray analysis was conducted on three of the five samples from each group using GeneChip® Rat Genome 230 2.0 Arrays (Affymetrix, Santa Clara, CA. USA), which contain 31,042 probes. The procedure was conducted according to the manufacturer's instructions using One-Cycle Target Labeling and Control Reagents (Affymetrix) for cDNA synthesis, purification, and the synthesis of biotin-labeled cRNA. A total of 10 µg of fragmented cRNA was hybridized to a Rat Genome 230 2.0 Array for 18 h at 45 °C at 60 rpm, after which the array was washed and stained with streptavidin-phycoerythrin using a Fluidics Station 400 (Affymetrix), and then scanned with a Gene Array Scanner (Affymetrix). The digital image files were preprocessed using Affymetrix Microarray Suite, version 5.0 (MAS5.0), and the data were converted into base10 logarithmic values. These values were normalized into Z-scores using Tukey's biweight algorithm. The normalized datasets were reversed into nonlogarithmic values by calculating their exponential numbers in decimal, and the base 2 log-ratios of the means of the control groups were

#### 2.4. Gene selection and supervised classification

Every different combination of gene expression data collected at continuous time points (3-24 h) in the single-dose study was examined to determine the best combination of time points to use in predicting renal tubular toxicity. For machine learning and external validation purposes, the compounds were classified as either "positive" or "negative" based upon the histopathological findings (Table 2; for more detailed information, see Supplemental Table 1). The target property was proximal renal tubular injury following exposure to a given compound for up to 28 days. Briefly, a compound was designated as nephrotoxic (positive) if histopathological evidence of proximal tubular injury (e.g., tubular necrosis/degeneration, regeneration) was observed in samples from at least one time point in the repeated-dose study. According to this criterion, a high-dose group of 14 positive compounds was defined as the positive training set. The remaining nine positive compounds were excluded from the positive training set and were used as the external test set because the primary toxicity target of these compounds was the glomerulus (PAN, CPA, DOX, and HCB), juxtaglomerular apparatus (CAP and ENA), or renal papilla (LS and IM).

**Table 1**Dosage and administration conditions of compounds used in the training and testing of the prediction model.

Compound	Compound (abbreviated)	Dose (mg/	kg; single dose)		Dose (mg/l	kg/day; repeated o	Vehicle	Dosing route		
		Low	Middle	High	Low	Middle	High			
Vancomycin hydrochloride	VMC	20	60	200	20	60	200	Saline	iv	
2-Bromoethylamine hydrobromide	BEA	6	20	60	2	6	20	Saline	iv	
Phenylbutazone	PhB	20	60	200	20	60	200	0.5% MC	ро	
Cyclosporine A	CSA	30	100	300	10	30	100	Corn oil	ро	
Thioacetamide	TAA	4.5	15	45	4.5	15	45	0.5% MC	ро	
K17	K17	60	200	600	60	200	600	0.5% MC	ро	
Triamterene	TRI	15	50	150	15	50	150	0.5% MC	ро	
Allopurinol	APL	15	50	150	15	50	150	0.5% MC	ро	
Nitrofurantoin	NFT	10	30	100	10	30	100	0.5% MC	ро	
Ethionine	ET	25	80	250	25	80	250	0.5% MC	po	
N-Phenylanthranilic acid	NPAA	300	1000	2000	100	300	1000	0.5% MC	ро	
Cisplatin	CSP	0.3	1	3	0.1	0.3	1	Saline	iv	
Phenacetin	PCT	300	1000	2000	100	300	1000	0.5% MC	po	
Carboplatin	CBP	10	30	100	1	3	10	Saline	iv	
Gentamicin sulphate	GMC	10	30	100	10	30	100	Saline	iv	
Puromycin aminonucleoside	PAN	12	40	120	4	12	40	Saline	iv	
Lomustine	LS	0.6	2	6	0.6	2	6	0.5% MC	ро	
Cyclophosphamide	CPA	1.5	5	15	1.5	5	15	0.5% MC	po	
Hexachlorobenzene	НСВ	300	1000	2000	30	100	300	Corn oil	po	
Captopril	CAP	100	300	1000	100	300	1000	0.5% MC	po .	
Enalapril	ENA	60	200	600	60	200	600	0.5% MC	ро	
Indomethacin	IM	0.5	1.6	5	0.5	1.6	5	0.5% MC	po	
Doxorubicin hydrochloride	DOX	1	3	10	0.1	0.3	1	Saline	iv	
Ethinyl estradiol	EE	1	3	10	1	3	10	Corn oil	ро	
Monocrotaline	MCT	3	10	30	3	10	30	0.5% MC	po	
Acetaminophen	APAP	300	600	1000	300	600	1000	0.5% MC	po	
Cephalothin sodium	CLT	300	1000	2000	300	1000	2000	Saline	iv	
Bucetin	ВСТ	300	1000	2000	100	300	1000	0.5% MC	ро	
Methyltestosterone	MTS	30	100	300	30	100	300	0.5% MC	po	
Rifampicin	RIF	20	60	200	20	60	200	0.5% MC	po	
mipramine hydrochloride	IMI	10	30	100	10	30	100	0.5% MC	ро	
Acetazolamide	ACZ	60	200	600	60	200	600	0.5% MC	po	
Caffeine	CAF	10	30	100	10	30	100	0.5% MC	po	
/alproic acid	VPA	45	150	450	45	150	450	0.5% MC	po	
Clofibrate	CFB	30	100	300	30	100	300	Corn oil	ро	
Allyl alcohol	AA	3	10	30	3	10	30	Corn oil	ро	
Omeprazole	OPZ	100	300	1000	100	300	1000	0.5% MC	ро	
Bromobenzene	BBZ	30	100	300	30	100	300	Corn oil	po	
Ketoconazole	KC	10	30	100	10	30	100	0.5% MC	po	
Ciprofloxacin	CPX	100	300	1000	100	300	1000	0.5% MC	po	
Erythromycin ethylsuccinate	EME	100	300	1000	100	300	1000	0.5% MC	po	

Male SD rats received oral or intravenous doses once daily (6 weeks of age, n=5/group for histopathology). Kidney tissue was collected and used for gene expression analysis (Affymetrix GeneChip®, n=3/group) at 3, 6, 9, and 24 h after administration in the single-dose experiment, or 4, 8, 15, and 29 days after the start of repeated dosing. po: oral administration, iv: intravenous administration, 0.5% MC: 0.5% methylcellulose solution.

Proximal injuries to these targets are believed to appear subsequent to the initial, or primary, injuries. Although GMC is thought to cause direct injury to renal tubules, no significant changes in kidney gene expression were observed in rats treated with a single dose of this compound; therefore, GMC was excluded from the training set and used in the test set. Conversely, a compound was deemed nonnephrotoxic (negative) if it did not produce adverse histopathological findings in the proximal tubule during the period of repeated dosing. Based on this criterion, eight high-dose hepatotoxic compounds which produced no histopathological findings of renal tubular injury upon chronic exposure were included in the negative set. The negative set also included a low-dose group of 31 compounds (Table 2). The additional test set included a high-dose group of 10 compounds and a middle-dose group of all the nephrotoxic and nonnephrotoxic compounds.

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The training data set was used with filter-type gene selection algorithms and Support Vector Machine (SVM) supervised classification algorithms to extract biomarker candidates and construct classifiers using the selected biomarker genes. The intensity-based moderated *T*-statistic (IBMT; Sartor et al., 2006) was used as a filter-type gene selection algorithm. To estimate the performance of our classifier, a five-fold cross-validation was conducted, for which a detailed procedure was described in our previous report (Kondo et al., 2009).

#### 2.5. Gene ontology analysis

Gene ontology (GO) analysis was conducted for feature genes selected from both the current prediction and previously developed diagnostic models, using the 99 top-ranked probes from each model. BiblioSphere PathwayEdition (Genomatix, Munich, Germany) was used for GO analysis.

#### 3. Results

#### 3.1. Histopathological examination

The results of histopathological examinations are summarized in Table 2. Necrosis, degeneration, and/or regeneration of the proximal tubules resulted from treatment with 23 of the proximal tubular toxicants, and chronic exposure to 6 of 10 potential tubular toxicants caused other histopathological findings. In rats receiving a single dose, only four compounds (VMC, CSA, K17, and NFT) produced histopathological signs of proximal tubular injury. These results suggest that histopathological examination alone is not sufficient for early detection of nephrotoxicity in animals treated with only a single dose. Detailed histopathological findings pertaining to all compounds at each time point and dose level are summarized in Supplemental Table 1.

### 3.2. Gene selection and supervised classification

We tested the prediction accuracy of the classifiers using the test set. The groups of positive and negative compounds were each randomly divided into five subsets 100 times. An arbitrary subset was used as the external test set, and the remaining subsets were used as the training set. We constructed classifiers using gene expression data from every different combination of continuous time points (3-24h) in order to determine the most robust combination for predicting renal tubular injury. Fig. 1 shows fivefold cross-validation receiver operating characteristic (ROC) curves, each of which was generated using a different combination of continuous time points. A classifier using 24-h gene expression data alone displayed the highest prediction accuracy, and achieved a sensitivity of 93% and selectivity of 90% using 19 feature genes. In the best model, the prediction accuracy was almost saturated and was not significantly affected by increasing the number of feature genes used for modeling. The number of support vectors was adequately lowered, and the number of feature genes was substantially smaller than the number of samples to avoid overfitting (data not shown). At around the top 19 probes, the number of support vectors gradually increased, indicating that genes ranked 20 or above provided little to no information for classification, and that adding additional genes for modeling imposes a risk of over-fitting. We therefore concluded that the classifier constructed using the top 19 ranked probes (Table 3) was the best model. The rankings of top 99 feature genes are provided in Supplemental Table 2.

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#### 3.3. Test data set prediction performance

We also tested the prediction performance of the 24h classifier using the test compounds. Classification results for each time point are shown in Table 2 (for detailed prediction results, see Supplemental Table 1). In the repeated-dose experiment, the sensitivity was 82% and the selectivity was 98%. All 14 of the high-dose group positive training compounds were correctly predicted as positive at one or more time points in the repeated-dose experiment, and the positive predictions primarily occurred before or at time points preceding the appearance of histopathological changes. A total of five of the middle-dose positive training compounds (BEA, CSA, TAA, K17, and NPAA) were predicted as positive at 24 h, and six of the middle-dose compounds (CSA, TAA, K17, TRI, ET, CSP, and CBP) were predicted as positive at one or more time points in the repeated-dose experiment, regardless of the presence or absence of histopathological evidence of nephrotoxicity. In addition, all of the high-dose negative training compounds were correctly predicted as negative in the repeated-dose experiment. All of the nine positive test compounds (GMC, PAN, LS, CPA, HCB, CAP, ENA, IM, and DOX) were correctly predicted as positive at the high dose in the repeated-dose experiments. Of the 10 test compounds administered at the high dose, CLT was predicted as positive in the single-dose experiment, and four compounds (EE, MCT, CLT, and APAP) were predicted as positive in the repeateddose experiment. In contrast, two compounds (MTS and CAF) were predicted as negative in both the single- and repeated-dose experiments.

We also compared the prediction profiles generated in the present study with the diagnostic model developed in our previous work (Kondo et al., 2009) with respect to the prediction of future onset of nephrotoxicity following administration of a single dose of test compound. Low positive probabilities of toxicity were returned by the diagnostic model for TRI, NFT, ET, and PCT, while there was a negative prediction of toxicity for CLT. In contrast, the current prediction model returned positive predictions of toxicity for each of these compounds. Furthermore, BBZ, which is nonnephrotoxic, was falsely predicted as positive using our previous diagnostic model.

### 3.4. Gene expression profiles of the feature genes

Fig. 2 shows the gene expression profiles (24 h and day 29) of the feature genes included in the current prediction model and the previously developed diagnostic model. The Z-scores were calculated by dividing the log-ratio values by the variance of the log-ratio value of the control samples calculated for each arbitrary range of the expression values. The expression values for the control samples were then pooled for each compound and time point. The feature genes included in the current prediction model showed either significant upregulation or downregulation in both the single-(Fig. 2A) and repeated-dose experiments (Fig. 2B). The feature genes included in the diagnostic model exhibited relatively smaller changes in expression in the single-dose experiment (Fig. 2C) compared to the repeated-dose experiment (Fig. 2D). Many compounds produced no change in expression of the feature genes included in the diagnostic model at 24 h. Although some compounds produced pronounced up- or down-regulation in the expression of some of the feature genes included in the diagnostic model at 24 h, it was restricted to those compounds for which histopathological changes

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**Table 2**Summary of histopathology findings, definition of each compound for use in modeling, and prediction result for each dose and time point.

Compound	Compound abbreviation	Histopathol	ogy	Training/test	P/N label		Low					Middle				High					
		Repeated dose	Single dose		Low	High	24 h	4 days	8 days	15 days	29 days	24 h	4 days	8 days	15 days	29 days	24 h	4 days	8 days	15 days	29 days
Vancomycin hvdrochloride	VMC	Yes	Yes	Training (positive)	N	Р	0.01	0.01	0.01	0.00	0.02	0.04	0.01	0.01	0.01	0.04	0.91	0.91	0.84	0.92	1.00
2-Bromoethylamine hydrobromide	BEA	Yes	No	Training (positive)		P	0.02	0.04	0.01	0.01	0.02	1.00	0.04	0.07	0.02	0.10	1.00	0.91	0.25	0.76	0.53
Phenylbutazone	PhB	Yes	No	Training (positive)	N	P	0.01	0.11	0.03	0.12	0.04	0.01	0.05	0.05	0.07	0.15	1.00	0.87	0.27	0.76	1.00
Cyclosporine A	CSA	Yes	Yes	Training (positive)	_	P	0.07	0.03	0.01	0.03	0.06	0.78	0.01	0.68	0.41	0.80	1.00	0.54	0.96	0.92	1.00
Thioacetamide	TAA	Yes	No	Training (positive)	N	P	0.02	0.38	0.13	0.06	0.04	0.51	0.91	0.79	0.92	0.92	1.00	1.00	1.00	1.00	1.00
K17	K17	Yes	Yes	Training (positive)	N	P	0.04	0.11	0.26	0.13	0.04	0.93	0.70	0.16	0.91	0.86	1.00	1.00	1.00	1.00	1.00
Triamterene	TRI	Yes	No	Training (positive)	N	P	0.02	0.08	0.01	0.02	0.01	0.27	0.57	0.10	0.64	0.36	0.94	0.98	0.95	1.00	1.00
Allopurinol	APL	Yes	No	Training (positive)	N	P	0.02	0.04	0.01	0.07	0.08	0.13	0.06	0.16	0.04	0.08	1.00	1.00	1.00	1.00	1.00
Nitrofurantoin	NFT	Yes	Yes	Training (positive)	N	P	0.02	0.04	0.02	0.02	0.02	0.17	0.03	0.06	0.02	0.02	0.86	0.61	0.49	0.20	0.88
Ethionine	ET	Yes	No	Training (positive)	N	P	0.02	0.22	0.01	0.02	0.03	0.32	0.90	0.05	0.10	0.09	0.96	0.99	0.46	0.47	0.31
N-Phenylanthranilic acid	NPAA	Yes	No	Training (positive)	-	P	0.50	0.11	0.02	0.04	0.03	1.00	0.04	0.05	0.10	0.12	1.00	1.00	0.93	1.00	0.86
Cisplatin	CSP	Yes	No	Training (positive)	_	Р	0.02	0.01	0.07	0.03	0.45	0.28	0.03	0.51	0.87	0.99	1.00	0.91	1.00	1.00	1.00
Phenacetin	PCT	Yes	No	Training (positive)	_	P	0.02	0.05	0.00	0.03	0.43	0.08	0.10	0.02	0.02	0.02	0.97	0.68	0.42	0.84	0.97
Carboplatin	CBP	Yes	No	Training (positive)	_	P	0.03	0.03	0.00	0.03	0.07	0.15	0.10	0.02	0.10	0.55	1.00	0.05	0.10	0.27	1.00
Gentamicin sulphate	GMC	Yes	No	Test	N	r _	0.03	0.02	0.13	0.12	0.06	0.13	0.02	0.02	0.79	1.00	0.13	0.03	1.00	1.00	1.00
Puromycin aminonucleoside	PAN	Yes	No	Test	-	-	0.02	0.01	0.13	0.12	0.26	0.04	0.03	0.27	1.00	1.00	0.13	0.14			NA
Lomustine	LS	Yes	No	Test	N	_	0.00	0.07	0.05	0.10	0.02	0.05	0.12	0.02	0.12	0.26	0.00	0.11	0.05	1.00	1.00
Cyclophosphamide	CPA	Yes	No	Test	N	-	0.05	0.06	0.04	0.02	0.02	0.03	0.05	0.03	0.02	0.02	0.05	0.07	0.12	0.13	0.85
Hexachlorobenzene	HCB	Yes	No	Test	14	_	0.03	0.00	0.02	0.02	0.25	0.03	0.03	0.05	0.02	0.89	0.03	0.03	0.12	0.37	0.92
Captopril	CAP	Yes	No	Test	N	_	0.03	0.02	0.02	0.05	0.23	0.01	0.04	0.38	0.06	0.38	0.02	0.05	0.70	0.37	0.11
Enalapril	ENA	Yes	No	Test		_	0.01	0.02	0.02	0.03	0.05	0.00	0.02	0.54	0.75	0.52	0.02	0.39	0.70	0.29	0.11
Indomethacin	IM	Yes	No	Test	N N	_	0.01	0.10	0.20	0.03	0.03	0.03	0.00	0.02	0.73	0.03	0.07	0.42	0.37		NA
Doxorubicin	DOX				14	-	0.04		0.01	0.03	0.01	0.03	0.08	0.02	0.11	0.05	0.45	0.02	0.38	0.05	1.00
hydrochloride		Yes	No	Test	.,	_		0.04													
Ethinyl estradiol	EE	-	-	Test	N	-	0.00	0.01	0.07	0.13	0.74	0.02	0.10	0.20	0.70	0.96	0.03	0.11	0.44	0.59	0.94
Monocrotaline	MCT	-	-	Test	N		0.03	0.02	0.06	0.02	0.13	0.00	0.02	0.16	0.11	0.58	0.02	0.07	0.82		NA
Acetaminophen	APAP	-	-	Test	N	-	0.03	0.04	0.11	0.05	0.04	0.03	0.16	0.11	0.07	0.34	0.05	0.18	0.06	0.66	0.78
Cephalothin sodium	CLT	_	_	Test	N	-	0.03	0.05	0.03	0.03	0.08	0.22	0.06	0.06	0.09	0.25	0.61	0.25	0.15	0.10	0.48
Bucetin	BCT	-	-	Test	-	-	0.06	0.02	0.03	0.29	0.06	0.17	0.02	0.06	0.09	0.06	0.21	0.02	0.02	0.59	0.04
Methyltestosterone	MTS	-	_	Test	N	-	0.01	0.01	0.02	0.00	0.02	0.02	0.01	0.01	0.01	0.02	0.01	0.01	0.11	0.07	0.05
Rifampicin	RIF	-	-	Test	N	-	0.06	0.06	0.02	0.05	0.00	0.02	0.02	0.07	0.06	0.13	0.10	0.42	0.14	0.09	0.41
Imipramine hydrochloride	IMI	_	-	Test	N	-	0.01	0.01	0.01	0.02	0.05	0.01	0.01	0.01	0.06	0.04	0.02	0.03	0.09	0.28	0.09
Acetazolamide	ACZ		-	Test	N	-	0.04	0.07	0.04	0.04	0.01	0.55	0.06	0.10	0.08	0.02	0.45	0.04	0.10	0.10	0.09
Caffeine	CAF	_	-	Test	N	-	0.03	0.02	0.05	0.05	0.02	0.04	0.01	0.03	0.02	0.06	0.05	0.02	0.01	0.07	0.02
Valproic acid	VPA	No	No	Training (negative)	N	N	0.02	0.02	0.01	0.10	0.01	0.01	0.02	0.01	0.16	0.00	0.00	0.02	0.02	0.14	0.04
Clofibrate	CFB	No	No	Training (negative)	N	N	0.03	0.03	0.10	0.05	0.06	0.06	0.03	0.03	0.03	0.06	0.09	0.09	0.10	0.17	0.13
Allyl alcohol	AA	No	No	Training (negative)	N	N	0.04	0.01	0.01	0.05	0.01	0.07	0.01	0.09	0.02	0.00	0.02	0.01	0.03	0.03	0.01
Omeprazole	OPZ	No	No	Training (negative)	N	N	0.01	0.02	0.01	0.07	0.11	0.02	0.03	0.32	0.13	0.01	0.09	0.02	0.04	0.15	0.06
Bromobenzene	BBZ	No	No	Training (negative)	N	N	0.01	0.02	0.01	0.06	0.01	0.01	0.06	0.01	0.10	0.01	0.08	0.03	0.02	0.05	0.06
Ketoconazole	KC	No	No	Training (negative)	N	N	0.01	0.01	0.04	0.02	0.01	0.03	0.03	0.04	0.01	0.01	0.05	0.02	0.08	0.02	0.03
Ciprofloxacin	CPX	No	No	Training (negative)	N	N	0.05	0.04	0.06	0.04	0.02	0.06	0.02	0.04	0.04	0.01	0.02	0.01	0.02	0.06	0.03
Erythromycin ethylsuccinate	EME	No	No	Training (negative)	N	N	0.00	0.02	0.04	0.03	0.08	0.02	0.02	0.03	0.01	0.06	0.04	0.07	0.12	0.05	0.07

Definition of the training set (P: positive, N: negative). The absence or presence of proximal tubular toxicity is indicated by No or Yes, respectively, based upon the absence of presence of the following histopathological findings: necrosis, degeneration, regeneration. Positive predictions are highlighted in gray.

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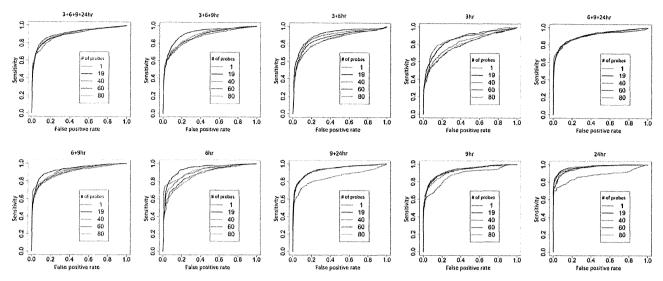


Fig. 1. ROC curve of the models using gene expression data from every different combination of continuous time points (3-24 h).

were also observed at 24 h. In addition, the extent of the changes in expression observed for the genes included in the diagnostic model was comparatively smaller than the extent of the changes observed in the genes included in the current prediction model. Regarding the ranking of feature genes using the IBMT statistic, the feature genes included in the prediction model were ranked relatively low in the list of genes included in the diagnostic model.

#### 3.5. Gene ontology analysis

GO analysis was conducted for feature genes selected from both the current prediction and previously developed diagnostic models. The prediction model was enriched with genes involved in DNA replication, cell cycle control, apoptosis, oxidative stress responses, and responses to chemical stimuli (Supplemental Table 3A), while the diagnostic model was enriched with genes involved in tissue remodeling, inflammatory responses, cell growth, blood coagulation, and homeostasis (Supplemental Table 3B).

Supplementary material related to this article found, in the online version, at http://dx.doi.org/10.1016/j.tox.2012.03.014.

### 4. Discussion

In this research, we constructed a novel model for predicting renal proximal tubular injury in rats. The prediction model was developed based upon gene expression profiles determined following administration of single doses of different classes of nephrotoxic and nonnephrotoxic compounds. Models were constructed using all possible combinations of data collected at each continuous time point (3, 6, 9, and 24h) to determine the combination of gene expression changes that is most effective in predicting proximal tubular injury. The prediction accuracy gradually increased with time after dosing in constructing the model. We identified a number of genes that are differentially expressed at 24 h postadministration and which predict proximal tubular injury with a high degree of accuracy and sensitivity. Our results suggested that gene expression changes that occur soon after administration predominantly reflect chemical class-specific mechanisms of toxicity rather than the likelihood of renal tubular injury, and are thus not suitable for use in models aimed at predicting the future onset of injury induced by different classes of toxicants. We therefore concluded that 24h after administration of a single dose of

**Table 3**List of the 19 feature genes included in the prediction model.

Probe ID Rank IBMT value Gene title			Gene title	Gene symbol		
1387965_at	1	13.1	Hepatitis A virus cellular receptor 1	Havcr1		
1368420_at	2	11.1	Ceruloplasmin	Ср		
1368627_at	3	-10.9	Regucalcin (senescence marker protein-30)	Rgn		
1368497_at	4	10.8	ATP-binding cassette, sub-family C (CFTR/MRP), member 2	Abcc2		
1370445_at	5	10.7	Phospholipase A1 member A	Pla1a		
1371785_at	6	10.4	Tumor necrosis factor receptor superfamily, member 12a	Tnfrsf12a		
1383605_at	7	-10.3	Similar to alpha-fetoprotein	LOC360919/LOC684913		
1379889_at	8	10.1	Laminin, gamma 2	Lamc2		
1377092_at	9	9.5	EST	EST		
1379340_at	10	9.5	Laminin, gamma 2	Lamc2		
1390579_at	11	9.4	Similar to RIKEN cDNA 1810029B16	RGD1305222		
1387382_at	12	-9.3	Histamine N-methyltransferase	Hnmt		
1370422_at	13	9.2	Receptor-interacting serine-threonine kinase 3	Ripk3		
1367856_at	14	9.1	Glucose-6-phosphate dehydrogenase	G6pd		
1370333_a_at	15	-9.0	Insulin-like growth factor 1	lgf1		
1367712_at	16	9.0	TIMP metallopeptidase inhibitor 1	Timp1		
1367977_at	17	8.9	Synuclein, alpha (non A4 component of amyloid precursor)	Snca		
1374070_at	18	8.8	Glutathione peroxidase 2	Gpx2		
1383675_at	19	8.6	EST	EST		

Genes were ranked using the intensity-based modified *T*-statistic.

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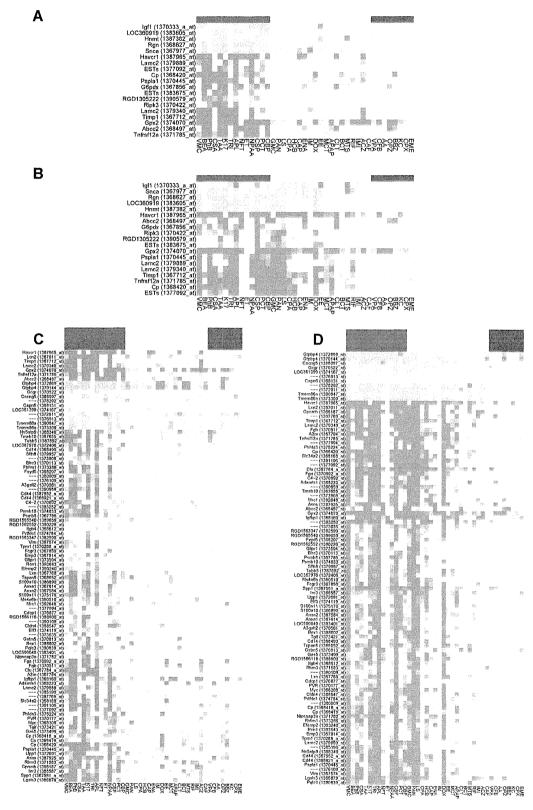


Fig. 2. Expression profiles of the feature genes. Rows: genes, columns: sample groups. Each color represents the Z-score of the log-ratio of the mean expression value of the time-matched corresponding control samples. Upregulated genes (Z-score  $\geq$  2.5) are represented by red colors, and downregulated genes (Z-score  $\leq$  -2.5) are represented by blue colors. Each sample group is labeled with the compound abbreviation. (A) Expression of feature genes included in the prediction model, determined at 24 h. (B) Expression of feature genes included in the prediction model, determined at 24 h. (D) Expression of feature genes included in the diagnostic model, determined at 24 h.

nephrotoxic compound was the best time point for use in modeling because the gene expression changes indicative of renal tubular injury observed at that time point were similar in rats treated with different classes of compounds. Changes in the expression of the feature genes occurring 24h after administration probably reflect secondary downstream signals rather than a primary response to injuries induced by specific individual compounds. Although we only focused on gene expression changes that are common to exposure to several different classes of nephrotoxicants, the gene expression profiles observed at earlier time points, such as 3, 6, and 9 h after administration, were heterogeneous. Further detailed analyses focusing on the gene expression profile associated with exposure to each class of compound might provide useful information regarding the mechanism through which these compounds cause tubular injury and may lead to the discovery of mechanismspecific gene-based biomarkers.

In constructing the prediction model, we employed typical nephrotoxic compounds that directly cause proximal renal tubular injury as well as several compounds that have diverse nephrotoxic effects and different patterns of histopathological changes, and examined their impact on gene expression at multiple-time points and dosages. In rats subjected to repeated doses for up to 28 days, 23 compounds produced proximal tubular injury and were thus classified as "positive". Of these positive compounds, only 14 were used for modeling. The remaining compounds were excluded because they primarily targeted other parts of the nephron or exhibited nephrotoxicity only at the latter time point (i.e., day 29) in the repeated-dose study. Before modeling, we constructed a classifier that included all 23 nephrotoxic compounds in the positive set; however, the prediction accuracy obtained with this classifier was poor (data not shown). In contrast, a high degree of prediction accuracy was obtained in our best model trained with the smaller 14-compound data set. For example, our model correctly predicted renal tubular injury following repeated administration of these 14 nephrotoxic compounds. Of positive compounds, CBP, which directly injure the proximal renal tubule but which produced no observable histopathological signs of renal tubular injury until day 29 following repeated dosing, was correctly predicted as "positive". In addition, the model returned a positive prediction in the repeated-dose experiment for the remaining positive compounds, which were excluded from modeling, although the model did not return a positive prediction in the single-dose experiment. We therefore concluded that these 14 nephrotoxic compounds was the best choice for feature gene selection and remaining 9 compounds were not sufficient for use in modeling because the gene expression changes indicative of renal tubular injury observed at that time point were not observed. Furthermore, primary toxicological changes, such as glomerular or papillary injury, are considered to be needed prior to the onset of proximal tubular injury when these compounds are repeatedly administered. Although our current model successfully predicted future onset of proximal tubular injury induced by various different classes of nephrotoxicants using feature genes occurring 24 h after administration, no positive predictions were observed in these remaining 9 compounds. This will be a potential limitation of our prediction model, since for most compounds, steady state levels have likely not been reached at that time point in the single dose study.

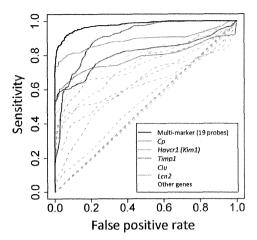
In the present study, we tested the prediction performance of the 24h classifier using the test compounds. Of the positive training compounds, all of the high-dose groups were correctly predicted as positive at one or more time points in the repeated-dose experiment. In contrast, of the 10 negative test compounds administered at the high dose, CLT was predicted as positive in the single-dose experiment, and four compounds (EE, MCT, CLT, and APAP) were predicted as positive in the repeated-dose experiment. Although these test compounds produced no direct evidence of

nephrotoxicity under our experimental conditions, vacuolar changes and dilatation in the proximal tubule (EE) as well as single cell necrosis and anisonucleosis in the mesangial cells (MCT) were observed in animals subjected to repeated-doses. Thus, positive predictions for EE and MCT were considered to reflect these histopathological findings. Although no histopathological findings were observed under our experimental conditions in rats administered CLT and APAP, these compounds are potential nephrotoxicants. For example, APAP is known to induce nephrotoxicity in rats (Das et al., 2010). Renal insufficiency reportedly occurs in approximately 1-2% of acetaminophen overdose patients (Mazer and Perrone, 2008). Although CLT is a second-generation cephalosporin antibiotic with a reduced potential for nephrotoxicity, the cephalosporin antibiotics may commonly produce toxicity in the kidneys (Zhanel, 1990). It is therefore reasonable to conclude that the positive predictions for CLT and APAP in the present study reflect early slight nephrotoxicity induced by treatment with these

In our previous research (Kondo et al., 2009) we used microarray data derived from repeated-dose studies to construct a diagnostic model for predicting the onset of renal tubular injury. To characterize the differences between that model and the present prediction model, we compared the expression profiles of the feature genes included in each model. Expression of the feature genes included in the present prediction model was clearly altered upon either single- or repeated-administration (Fig. 2). In contrast, expression of the feature genes included in our previously reported diagnostic model was altered 24 h following administration only in rats dosed with nephrotoxic compounds that cause renal tubular injury within 24 h. Little or no change was observed in the expression of these feature genes included in the previously described diagnostic model in rats administered nephrotoxic compounds that do not cause histopathological signs of renal tubular injury within 24 h.

The genes included in the present prediction model are primarily involved in DNA replication, cell cycle control, apoptosis, oxidative stress responses, and responses to chemical stimuli, in contrast to the genes included in the previously described diagnostic model, which are primarily involved in tissue remodeling, inflammatory responses, cell growth, blood coagulation, and homeostasis. These differences in gene function suggest that changes in the expression of the genes included in the present prediction model are indicative of the renal response to the toxic effects of the compounds that occur before histopathological changes can be observed, while changes in the expression of those genes included in the diagnostic model reflect tissue repair processes and/or disturbed homeostasis in the renal tubules. Gene expression data obtained from the single-dose experiment was used to optimize the present model for early prediction of the onset of renal tubular injury, while our previously described diagnostic model was optimized for diagnostic purposes using gene expression data generated in the repeated-dose experiment. The choice of which model to use thus depends upon research objectives.

Several recent reports described new biomarkers for predicting and monitoring early or acute nephrotoxicity. The Predictive Safety Testing Consortium's (PSTC) Nephrotoxicity Working Group recently published a report concerning the qualification of seven urinary biomarkers of nephrotoxicity (total protein, albumin, KIM-1, clusterin,  $\beta_2$ -microglobulin, cystatin C, and trefoil factor 3) for limited use in nonclinical and clinical drug development to help guide safety assessments (Dieterle et al., 2010). Both *Kim1* and *Clu* (which encode KIM-1 and clusterin, respectively) were included as feature genes in the prediction model described here. Our results suggest that expression of *Kim1* and *Clu* is commonly induced by the diverse classes of nephrotoxic compounds we examined. Both KIM-1 and clusterin are excreted into the urine, and thus could be used as biomarkers for noninvasive monitoring of kidney injury.



**Fig. 3.** ROC curve of the multigene-based toxicogenomic model in the present study and the well-known genomic biomarkers in the previous report. The classification accuracy of our multigene-based model is better than that of any of the well-known individual biomarkers.

Wang et al. (2008) evaluated a panel of 48 genes selected through a literature survey for their potential usefulness as genomic biomarkers of nephrotoxicity. The authors identified 24 genes that were differentially expressed in the kidneys of rats treated with various nephrotoxicants. The training data were used to compare the prediction performance of these individual genomic biomarkers and the toxicogenomic prediction model described in this work. The comparison study indicated that the prediction accuracy of our toxicogenomic model was much better than that obtained from any of the well-known genomic biomarkers in the previous report (Fig. 3). Our results thus suggest that the toxicogenomics-based nephrotoxicity prediction model we developed would be a useful decision making tool in the early stages of preclinical drug development. However, since our database lacks any urinary biomarker data, we could not evaluate the prediction performance of our toxicogenomic approach in comparison with proteomic or metabolomic biomarkers. A systems toxicology approach will be required for comparison and combination of biomarkers obtained by these different approaches.

In conclusion, we developed a novel toxicogenomic model that predicts the future onset of proximal tubular injury with improved accuracy. We used a large-scale and well-designed toxicogenomics database, TG-GATEs, for modeling and successfully identified robust feature genes, which are commonly induced in the kidneys of rats following administration of a wide variety of nephrotoxicants. Lack of predictive reproducibility of models constructed by using relatively small scale dataset and applying inadequate modeling strategies is one of the major issues in the field of toxicogenomic research. We therefore should consider the importance of robust database curation to obtain valuable predictive tools. We also found that compounds that produce different patterns of histopathological change (e.g., time of onset, severity, type of histopathological findings) induce a heterogeneous pattern of gene expression, for which the underlying mechanisms remain to be elucidated. Although further large-scale studies will be needed before the genes included in the model could be used as robust biomarkers of nephrotoxicity, our results indicate that predictions generated by our toxicogenomic model would be valuable in screening drug candidates for potential nephrotoxicity. To facilitate use of the model in toxicological decision making, particularly in a regulatory decision making, further validation studies involving large-scale datasets evaluated using several different experimental platforms will be necessary. Such studies will ultimately lead to the

development of a gold-standard strategy for early and convenient prediction of nephrotoxicity.

#### **Conflict of interest statement**

None.

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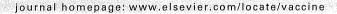
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# Vaccine





# RNAi suppression of rice endogenous storage proteins enhances the production of rice-based *Botulinum* neutrotoxin type A vaccine

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#### ABSTRACT

Mucosal vaccines based on rice (MucoRice) offer a highly practical and cost-effective strategy for vaccinating large populations against mucosal infections. However, the limitation of low expression and yield of vaccine antigens with high molecular weight remains to be overcome. Here, we introduced RNAi technology to advance the MucoRice system by co-introducing antisense sequences specific for genes encoding endogenous rice storage proteins to minimize storage protein production and allow more space for the accumulation of vaccine antigen in rice seed. When we used RNAi suppression of a combination of major rice endogenous storage proteins, 13 kDa prolamin and glutelin A in a T-DNA vector, we could highly express a vaccine comprising the 45 kDa C-terminal half of the heavy chain of botulinum type A neurotoxin (BoHc), at an average of 100 µg per seed (MucoRice-BoHc). The MucoRice-Hc was water soluble, and was expressed in the cytoplasm but not in protein body I or II of rice seeds. Thus, our adaptation of the RNAi system improved the yield of a vaccine antigen with a high molecular weight. When the mucosal immunogenicity of the purified MucoRice-BoHc was examined, the vaccine induced protective immunity against a challenge with botulinum type A neurotoxin in mice. These findings demonstrate the efficiency and utility of the advanced MucoRice system as an innovative vaccine production system for generating highly immunogenic mucosal vaccines of high-molecular-weight antigens.

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#### 1. Introduction

Although several plants have been shown to be useful for vaccine production [1], there is accumulating evidence that the seed crop rice is one of the most suitable systems for vaccine production, storage, and delivery [2]. We have previously developed rice expressing the B subunit of cholera toxin (CTB) vaccine, MucoRice-CTB, which possesses mucosal immunogenicity and prevents diarrhea in the event of *V. cholerae* and heat-labile enterotoxin-producing enterotoxigenic *Escherichia coli* challenges

Abbreviations: Ab, antibody; BoHc, a nontoxic subunit fragment of Clostridium botulinum type-A neurotoxin; BoNT/A, C. botulinum neurotoxin type-A; CT, cholera toxin; CTB, cholera toxin B-subunit; ELISA, Enzyme-linked immunosorbent assay; ER, endoplasmic reticulum; LPS, lipopolysaccharide; mCTA/LTB, A subunit of mutant cholera toxin E112K with the pentameric B subunit of heat-labile enterotoxin from enterotoxigenic Escherichia coli; PB, protein body; PBS, phosphate-buffered saline; RNAi, RNA interference; SDS-PAGE, SDS-polyacrylamide gel electrophoresis; SIgA, secretory IgA; T-DNA, transfer DNA.

[3–6]. This rice-based vaccine has proven to be stable at room temperature for three years and thus could be used as a cold-chain-free vaccine [3,6].

In this study, we co-introduced antisense sequences specific for genes encoding endogenous rice storage proteins to block expression of these proteins and allow space for the increased accumulation of vaccine antigen in rice seed [7]. A previous report showed the feasibility of increasing the accumulation of an endogenous seed storage protein, cruciferin, by using an antisense sequence to reduce the production of another authentic seed protein (napin) in Brassica napus seeds [8]. In general, there are two types of protein storage organelles, called protein bodies (PB-I and -II), in rice seeds. Alcohol-soluble prolamins are expressed in PB-I (10, 13 16 kDa) and alkali-soluble glutelins (A and B) are expressed in PB-II [9–11]. To examine whether suppression of the production of prolamins or glutelins can effectively increase the expression of a transgene-encoded vaccine antigen, we investigated the effects of RNAi suppression of 13 kDa prolamin and/or glutelin A in a T-DNA vector on expression of a candidate vaccine.

To increase the versatility of the MucoRice system, it is necessary to develop high-yield vaccines for antigens with high molecular

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weight. In the original study, we successfully expressed a low-molecular-weight CTB antigen (monomer,  $11\,\mathrm{kDa}$ ) at  $30\,\mu\mathrm{g}$  per seed [3]. Here, we chose a nontoxic  $45\,\mathrm{kDa}$  fragment of the C-terminal half of the heavy chain of botulinum neurotoxin type A (BoHc) to use as an example of a high-molecular-weight vaccine antigen to evaluate the advanced MucoRice expression system. Although the botulinum neurotoxin is known as oral poisons and is absorbed from the gut to reach peripheral nerve terminals via the blood circulation, the toxin also acts as an inhalant poison, which is absorbed from the airway [12]. The Hc fragment of type A (BoHc) has been successfully used as a nasal vaccine against botulism in mice and nonhuman primates [13].

Here, we could successfully express high yields of high molecular-weight BoHc in a soluble form with the use of an optimized RNAi vector. Because the rice-based BoHc vaccine (or MucoRice-BoHc) was water-soluble and could be purified easily by standard gel filtration, our results demonstrate that advanced MucoRice system can be used for the preparation of purified antigen for nasal immunization and the induction of protective immunity against a neurological toxin.

#### 2. Materials and methods

# 2.1. DNA construction, transformation of rice plants, and purification of rice-based BoHc

The sequences encoding BoHc were synthesized with optimized codon usage for rice [3] and inserted into a binary T-DNA vector (pZH2B/35SNos) [14] with an overexpressing cassette of BoHc and a combination cassette for RNAi suppression of either 13 kDa prolamin or glutelin A or both storage proteins (Fig. 1A) as described previously [7]. A RNAi casette containing no RNAi trigger sequences for rice endogenous storage proteins was called pZH2BiK. RNAi cassettes containing RNAi trigger sequences for the suppression of the genes encoding 13 kDa prolamin and glutelin A were constructed and called pZH2Bik45 and pZH2BikG1B, respectively. The RNAi trigger sequence for the gene encoding 13 kDa prolamin was a 45 bp fragment of rice 13 kDa prolamin gene comprising coding sequence 1-45. The RNAi trigger sequence for glutelin gene was a 129 bp fragment of the rice glutelin A gene comprising coding sequence 142-270. The Acs I-Mul I fragment of the BoHc expression cassette was subcloned into pZH2BiK, pZH2Bik45, pZH2BikG1B, and pZH2Bik45-G1B. The expression vectors were used to transform a japonica variety of rice, Nipponbare, by using an Agrobacteriummediated method described previously [3] and the recombinant BoHc produced was termed MucoRice-BoHc. The rice expressing BoHc together with a combination cassette for RNAi suppression of both 13 kDa prolamin and glutelin A was polished and extracted by using PBS and then purified by using gel filtration on a Sephadex G-100 column.

#### 2.2. Preparation of recombinant proteins

A recombinant BoHc was constructed and produced by use of the *E. coli* expression system as previously described [13]. A nontoxic form of chimeric mucosal adjuvant that combines the A subunit of mutant cholera toxin E112K with the pentameric B subunit of heat-labile enterotoxin from enterotoxigenic *E. coli* (mCTA/LTB) was constructed and produced by use of the *Brevibacillus choshinensis* expression system as previously described [15]. The level of LPS contamination in the purified BoHc and mCTA/LTB (<10 endotoxin units/mg protein) were measured by using a Limulus Test (Wako).

#### 2.3. Protein analyses

Total seed protein was extracted from transgenic rice plant seeds as described previously [3]. Briefly, seeds of rice plants were ground to a fine powder by using a Multibeads shocker (Yasui Kikai, Osaka, Japan) and extracted in the sample buffer (2% [w/v] SDS, 5% [w/v]  $\beta$ -mercaptoethanol, 50 mM Tris–HCl [pH 6.8], and 20% [w/v] glycerol) and the proteins were separated by SDS-PAGE followed by Western blot analysis with rabbit anti-BoHc antibody (Ab), which was established in our laboratory using *E. coli-*derived recombinant BoHc. The level of BoHc accumulated in the rice seeds was determined by densitometry analysis of a Western blot against a standard curve generated with the use of purified *E. coli-*derived BoHc, as previously described [3].

# 2.4. Immunohistochemical and immune electronmicroscopic analyses

To microscopically evaluate the localization of BoHc in the MucoRice-BoHc seed, a frozen section of the rice seed was reacted with polyclonal rabbit anti-BoHc Ab and visualized with the use of 3,3'-diaminobenzidine. We confirmed that normal rabbit IgG as a control showed no immune-reactivity in MucoRice-BoHc seed. The distribution of BoHc expressed in rice seeds was analyzed by using immunoelectron microscopy with polyclonal rabbit anti-BoHc Ab as described previously [16].

# 2.5. Immunization, sample preparation and ELISA for detection of BoHc-Ab

To examine the mucosal immunogenicity of MucoRice-BoHc, the purified material of MucoRice-BoHc (100  $\mu$ g) alone, *E. coli*derived rBoHc (100  $\mu$ g) alone, MucoRice-BoHc (25  $\mu$ g) with or without CT (1  $\mu$ g, List Biological Laboratories, Campbell, CA) or MucoRice-BoHc (25  $\mu$ g) with mCTA/LTB (10  $\mu$ g) dissolved in 20  $\mu$ l of PBS, or PBS vehicle alone was intranasally immunized in mice (10  $\mu$ l/nostril, N=10) on 3 occasions at 1 wk intervals. The serum and nasal wash were collected prior to immunization, and 1 wk after each immunization. BoHc-specific Ab responses were determined by using BoHc-specific enzyme-linked immunosorbent assay (ELISA) as described previously [17]. Endpoint titers were expressed as the reciprocal log 2 of the last dilution that gave an OD450 of 0.1 greater than that of the negative control.

#### 2.6. Preparation of botulinum neurotoxin A (BoNT/A)

BoNT/A from *C. botulinum* type-A 62 was purified from the culture supernatant as previously described [18]. The toxicity of purified BoNT/A ( $1.1 \times 10^8$  mouse i.p. LD<sub>50</sub>/mg protein) was assayed by time to death after intravenous injection into mice [19].

# 2.7. Neutralizing assay

To analyze the protective activity of antigen-specific mucosal Ab immune responses induced by the use of purified MucoRice-BoHc as a nasal vaccine against toxin-induced neurological death, we performed a toxin challenge study, as described previously with some modification [17]. Briefly, the immunized mice were intraperitoneally challenged with 100 ng  $(1.1 \times 10^4 \, \text{i.p. LD}_{50})$  or 500 ng of BoNT/A  $(5.5 \times 10^4 \, \text{i.p. LD}_{50})$  diluted in  $100 \, \mu \text{l}$  of 0.2% gelatin/PBS, and their survival was observed for 7 days.