

**Figure 4. Interaction of FBXL21 with CRY1 and CRY2**

(A) Interaction of FBXL21 with CRY1 and CRY2 in HEK293T17 cells. Cells were transfected with myc-CRYs and Flag-FBXL3 or Flag-FBXL21 expression vectors. Forty-eight hours after the transfection, the cells were harvested and lysed in IP buffer, followed by immunoprecipitation with anti-myc antibody. Immunoprecipitated product was analyzed with anti-Flag antibody.

(B) Myc-CRY1 and Flag-FBXL3 or FBXL21 were expressed in HEK293T17 cells and Flag-FBXLs were immunoprecipitated with anti-Flag antibody. Interaction of FBXL3 or FBXL21 with myc-CRY1 was detected with anti-myc antibody.

(C) FBXL21 forms SCF complex with CRY proteins. Flag-FBXLs and myc-CRY1 expression constructs were transfected into HEK293T17 cells. Interaction of FBXL21 with endogenous Cul1 and Skp1 were detected with anti-Cul1 and anti-Skp1 antibody.

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HEK293T17 cells (Figures 6B and 6C). The other three mutant proteins (mut2–4) were mostly sensitive to both FBXL3-dependent degradation and FBXL21-dependent stabilization (Figures 6B and 6C). These observations suggest that FBXL21 stabilizes CRY1 through ubiquitination of at least one unique Lys residue K107, which is not involved in FBXL3-dependent degradation.

### Subcellular Localization of FBXL21

Although the amino acid sequences of FBXL21 and FBXL3 are highly conserved, their N-terminal regions are divergent from each other. We found in this region a putative nuclear localization signal (NLS) sequence KRPR only in FBXL3 (Figure 6D). Interestingly, the NLS sequence at the corresponding position of FBXL21 is disrupted by amino acid insertion (Figure 6D). To reveal the spatial regulation of CRY ubiquitination, we examined subcellular distribution of FBXL21, FBXL3, and NLS-mut-FBXL3 that have mutations KRPR-to-AAAA at positions 22–25. Flag-FBXL3 was localized predominantly to the nucleus of HEK293T17 cells as reported by Godinho et al. (2007), whereas NLS-mutated Flag-FBXL3 was detected in the cytosol (Figures 6E and 6F), indicating that the NLS sequence is critical for nuclear localization of FBXL3. In contrast, Flag-FBXL21 was found in the cytosol with weak distribution in the nucleus (Figures 6E and 6F). Such a contrast in distribution suggests that CRY stabilities are regulated by FBXL21 and FBXL3 predominantly at different subcellular spaces. To reveal an impact of FBXL21 on nuclear and cytosolic CRYs, we investigated CRY levels in these two fractions of the mouse brain lysate prepared at ZT18 from *Fbxl3*, *Fbxl21*, and *Fbxl3/Fbxl21* knockout mice. As compared to wild-type, *Fbxl21*-deficient mice showed reduced levels of CRY1 and CRY2 in both the cytosol and the nucleus (Figures 6G and 6H). In *Fbxl3* null background, on the other hand, *Fbxl21* knockout decreased CRY levels only in the cytosol (Figure 6H). These findings suggest that FBXL21 protects CRYs from FBXL3-mediated degradation in the nucleus, where a minor population of FBXL21 is located. The results also imply that at least one additional CRY degradation system is operating in the cytosol, where FBXL21 plays a major role for CRYs stabilization. It is probable that the finely tuned accumulation of CRY proteins in the cytosol mediated by FBXL21 in combination with another degradation mechanism determines the proper timing of their nuclear entry (Figure 6I).

### DISCUSSION

In the present study, we provide evidence for the physiological role of *Fbxl21* in the regulation of the circadian clockwork. FBXL3 and FBXL21 each bind with CRY1 and CRY2 (Figures 4A and 4B) and form an SCF complex (Figure 4C), which medi-

ates ubiquitination of CRY proteins (Figures 4D, 4E, and 4G). Intriguingly, FBXL3 and FBXL21 regulate the stability of CRY proteins in opposite directions despite the high degree of their sequence identity (Figure 5). We found that the K-to-R mutation in CRY1 at K107, one of the ubiquitination sites identified in the present study (Table 1), attenuates FBXL21-dependent stabilization and, by contrast, has no significant effect on FBXL3-dependent degradation (Figures 6B and 6C). FBXL21 may exert its stabilizing effect on CRYs through ubiquitination of K107 in CRY1 and the corresponding residue K125 in CRY2. A CRY1 peptide, having ubiquitinated K107, was not detected in our shotgun proteomic analysis, but we speculate that substantially low ubiquitinated levels of CRY1 in NIH 3T3 cells could have hampered its detection. The Lys residues at these positions are conserved in CRY1 and CRY2 from a wide range of species, including humans, chickens, zebrafish, *Xenopus*, and Anole lizards (<http://www.ensembl.org/>), and CRY1 and CRY2 show the high degree of amino acid identity at the surrounding sequences, raising the possibility that K107 is a common site for ubiquitination among vertebrate CRYs in vivo.

It is well established that F-box protein-mediated ubiquitination of proteins leads to their proteasome-dependent degradation (Pan et al., 2004; Frescas and Pagano, 2008). The only reported exception to this paradigm is the stabilization of c-Myc by  $\beta$ -TrCP-mediated polyubiquitination, which interferes with FBXW7-mediated c-Myc ubiquitination that leads to its degradation (Popov et al., 2010).  $\beta$ -TrCP and FBXW7 competitively ubiquitinate the same residue on c-Myc and conjugate different linkage types of polyubiquitin chains. In this way,  $\beta$ -TrCP-mediated polyubiquitination protects c-Myc against FBXW7-mediated ubiquitination and degradation, and accordingly,  $\beta$ -TrCP is effective only in the presence of FBXW7. In the case of CRY proteins, it is likely that FBXL3 catalyzes elongation of K48-linked polyubiquitin chain, whereas FBXL21 appears to elongate a different type of ubiquitin chain (Figure 4F). In fact, we detected K11- and K63-linked structures in ubiquitins copurified with CRY proteins (Table 1). Our findings suggest FBXL21-mediated formation of a polyubiquitin chain with a K11- or K63-linked mode or a mode comprising a combination of K11, K63, or K48 linkage. CRY proteins are probably stabilized by a mechanism in which the ubiquitination of CRYs catalyzed by SCF<sup>FBXL21</sup> could either compete with the formation of the K48-linked polyubiquitin chain (the degradation signal) or interfere with the function of the degradation signal.

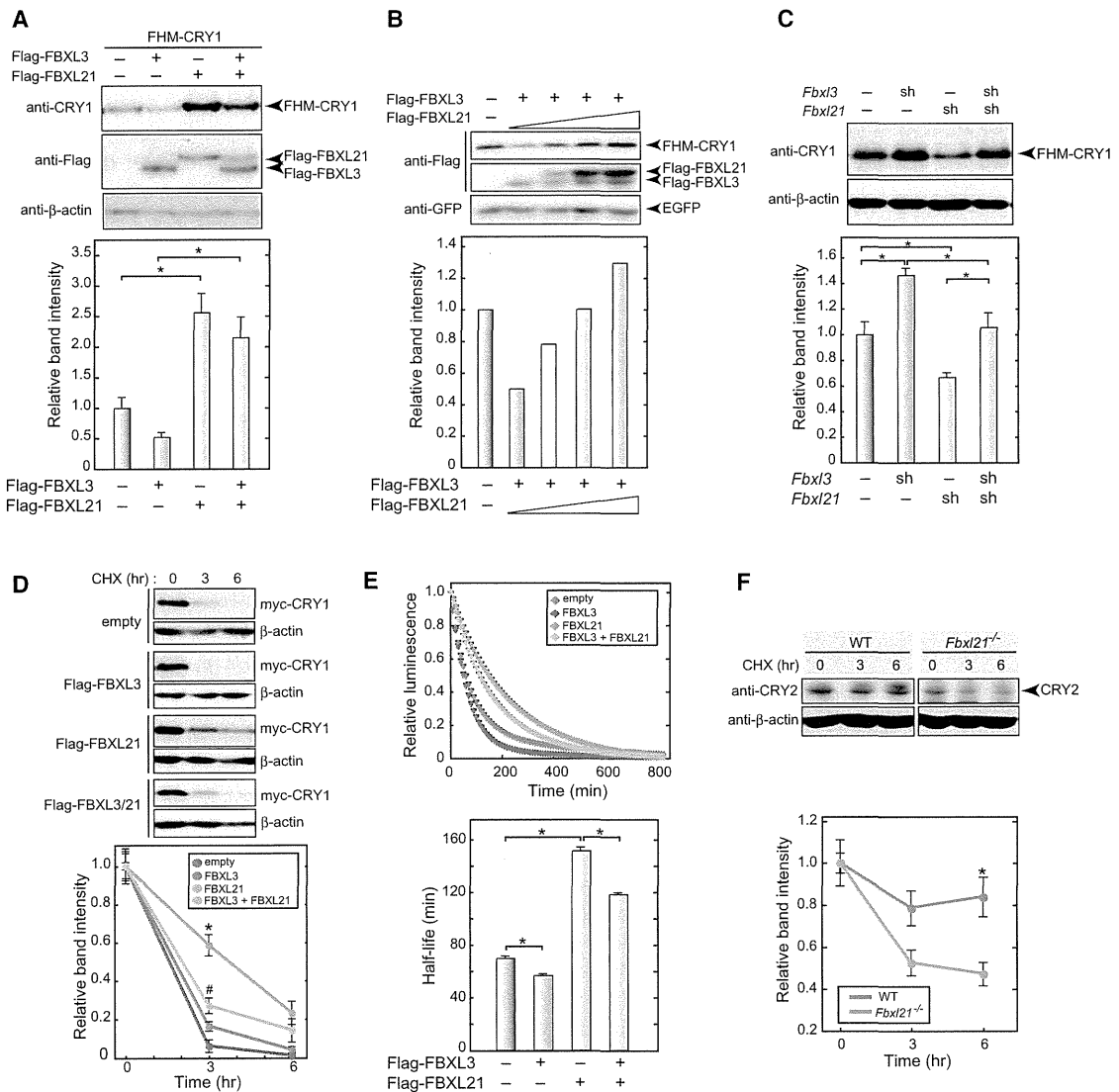
Here, we emphasize that the phenotypes of *Fbxl21* ablation are observed even in the absence of *Fbxl3* at the behavioral level (Figure 2F) and at the molecular level (Figures 5C and 6H). These observations strongly suggest additional regulation of degradation-stabilization of CRYs operating in the cytosol, which is

(D) In vitro ubiquitination assay of CRY1. Recombinant ubiquitin, E1, E2 (UBE2D3), Rbx1, Skp1-Cul1-FBXLs (SCF<sup>FBXLs</sup>), and HA-CRY1 were incubated for 2 hr at 30°C. Ubiquitinated HA-CRY1 (Ub-HA-CRY1) was detected by immunoblotting with anti-HA antibody.

(E) In vivo ubiquitination assay of CRY1. Flag-ubiquitin (Ub), myc-CRY1, and Flag-FBXLs were expressed in HEK293T17 cells. Forty-two hours after the transfection, the cells were treated with 10  $\mu$ M MG132 for 6 hr. Ubiquitination of myc-CRY1 purified with anti-myc antibody was detected by anti-Flag antibody.

(F) In vivo ubiquitination assay of CRY1. HA-wt-Ub or HA-K48-Ub was coexpressed with myc-CRY1 and Flag-FBXLs in HEK293T17 cells. Forty-two hours after the transfection, the cells were treated with 10  $\mu$ M MG132 for 6 hr. Ubiquitination of myc-CRY1 purified with anti-myc antibody was detected by anti-HA antibody. The intensity of the smeared bands of polyubiquitinated CRY1 was quantified. Data are means  $\pm$  SEM (n = 3, \*p < 0.05 by Student's t test).

(G) *Fbxl21* knockdown reduced CRY1 ubiquitination. shRNA expression vectors targeting *Fbxl3*s were transfected to NIH 3T3 cells and the cells were treated with 10  $\mu$ M MG132 for 6 hr before harvest. Ubiquitination of myc-CRY1 purified with anti-myc antibody was detected by anti-Flag antibody. See also Figure S2.



**Figure 5. Effect of FBXL21 on the Stability of CRY Proteins**

(A) Effect of FBXL21 on the steady-state levels of CRY1 protein. Flag-His-myc-CRY1 (FHM-CRY1) and Flag-FBXL expression vectors were cotransfected to HEK293T17 cells. Forty-eight hours after the transfection, CRY1 expression levels were quantified by immunoblotting with CRY1 antibody. Data are means + SEM (n = 3, \*p < 0.05 by Tukey's test).

(B) FBXL21 dose dependently restored CRY1 protein levels that were reduced by FBXL3. The amounts of the plasmid for Flag-FBXL21 expression were 0, 50, 100, and 200 ng. EGFP was used for a loading control.

(C) Effect of *Fbxl21* knockdown on the steady-state levels of CRY1 protein. shRNA expression vectors (sh3-2 or sh21-2) were transfected to NIH 3T3 cells. Seventy-two hours after the transfection, CRY1 levels were quantified by immunoblotting with CRY1 antibody. Data are means + SEM (n = 3, \*p < 0.05 by Tukey's test or Dunnett's test).

(D) Degradation assay of myc-CRY1. Flag-FBXLs and myc-CRY1 were expressed in HEK293T17 cells. Forty-eight hours after the transfection, the cells were treated with 100  $\mu$ g/ml CHX for 3 or 6 hr. Then, the cells were harvested, followed by immunoblotting with CRY1 antibody. CRY1 levels at time zero in each condition were normalized to 1.0. Data are means + SEM (n = 3; \*p < 0.05 by Tukey's test between empty and FBXL21; #p < 0.05 by Tukey's test between FBXL3 and FBXL3+FBXL21).

(E) Degradation assay of CRY1-LUC. CRY1-LUC and Flag-FBXLs were expressed in HEK293T17 cells. Forty-eight hours after the transfection, bioluminescence levels were monitored in the recording medium containing CHX. Half-life of CRY1-LUC was calculated by fitting the data with an exponential function and shown with error bars of SEM (n = 4, \*p < 0.05 by Tukey's test).

(F) Degradation assay of endogenous CRY2 in *Fbxl21*<sup>-/-</sup> MEFs. MEFs were treated with CHX for 3 or 6 hr and then harvested, followed by immunoblotting with CRY2 antibody. The protein levels at time zero in each genotype were normalized to 1.0. Data are means + SEM (n = 3, \*p < 0.05 by Student's t test). See also Figure S3.

**Table 1. Ubiquitination Sites Identified by Shotgun Proteomic Analysis**

| Protein <sup>a</sup> | Position           | Ubiquitinated Peptide <sup>b</sup>                            |
|----------------------|--------------------|---|
| <b>CRY1</b>          |                    |   |
|                      | 153–177            | FQTLVSK <sub>159</sub> MEPLEMPADTITSDVIGK                     |
|                      | 323–334            | NPEALAK <sub>329</sub> WAEGR                                  |
|                      | 484–493            | MK <sub>485</sub> QIYQQLSR                                    |
| <b>CRY2</b>          |                    |   |
|                      | 114–127            | LTFEYDSEPF <sup>c</sup> GK <sub>125</sub> ER                  |
|                      | 239–245            | LDK <sub>241</sub> HLER                                       |
|                      | 341–352            | NPEALAK <sub>347</sub> WAEGR                                  |
|                      | 461–477            | YIYEPWNAPE <sup>c</sup> S <sup>c</sup> VQK <sub>474</sub> AAK |
|                      | 502–511            | MK <sub>503</sub> QIYQQLSR                                    |
| <b>Ubiquitin</b>     |                    |   |
|                      | 7–27 <sup>c</sup>  | TLTGK <sub>11</sub> TITLEVEPSDTIENVK                          |
|                      | 43–54 <sup>d</sup> | LIFAGK <sub>48</sub> QLEDGR                                   |
|                      | 55–72 <sup>d</sup> | TLSDYNIQK <sub>63</sub> ESTLHLVLR                             |

<sup>a</sup>FHM-CRY1, FHM-CRY2 or FH-LacZ purified from NIH 3T3 lysate was subjected to shotgun proteomic analysis (see Extended Experimental Procedures for details).

<sup>b</sup>Glycylglycine was found to be linked via an amide bond to the epsilon amino group of the lysine residue (K) underlined. These residues were assigned as the attachment sites of ubiquitin because glycylglycine is derived from its C-terminal sequence RGG after proteolysis with trypsin.

<sup>c</sup>A peptide detected from the analysis of CRY2.

<sup>d</sup>Peptides detected from the analyses of both CRY1 and CRY2.

obviously different from the dual regulation by FBXL21 and FBXL3 in the nucleus. FBXL21-mediated stabilization and the counterbalancing degradation of CRYs in the cytosol are critical for determining the accumulation rate of CRY proteins. We previously reported a CRY2 degradation mechanism dependent on Ser557/Ser553 phosphorylation in the cytosol (Kurabayashi et al., 2010). However, the binding affinity of CRY2 to FBXL21 was unaffected by S557A mutation, and S557A-CRY2 protein levels were increased by coexpression of FBXL21 (data not shown). Thus, FBXL21 is less likely to be involved directly in Ser557/Ser553 phosphorylation-dependent degradation of CRY2. Multiple ubiquitination sites in CRY1 and CRY2 (Table 1) are indicative of a complex network of CRY ubiquitination that is important for the circadian clockwork.

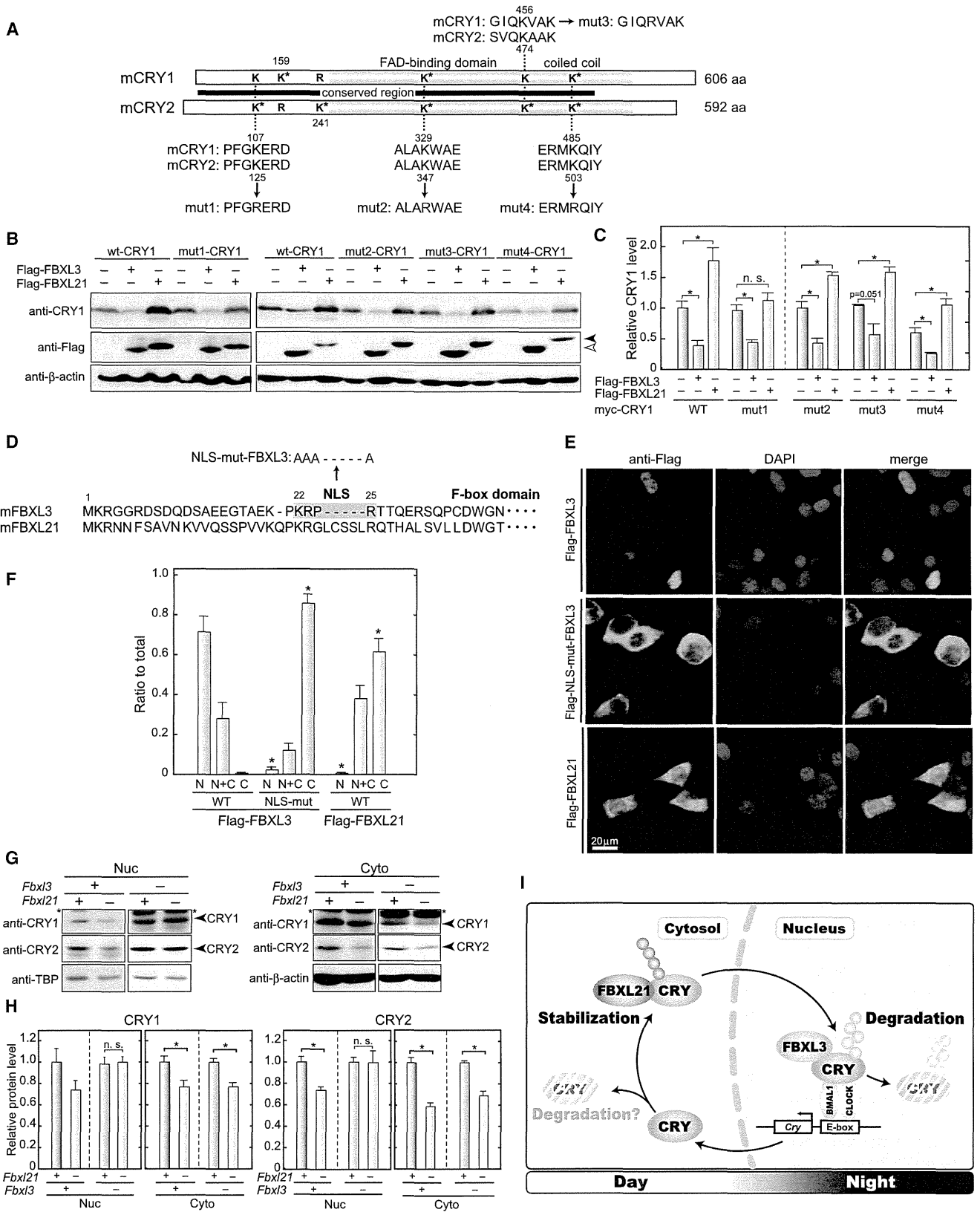
*Fbxl21* knockout mice showed wheel-running rhythms in DD with a period indistinguishable from that of their wild-type littermates (Figure 2F). On the other hand, the Takahashi laboratory (UT Southwestern, USA) found a point mutation in FBXL21 that causes a shortened period of behavioral rhythms (Yoo et al., 2013, this issue of *Cell*). This short-period phenotype is consistent with our observation on the cellular clock, in which silencing of *Fbxl21* in NIH 3T3 cells significantly shortened the period (Figure 2J). We speculate that *Fbxl21* slows down the oscillation speed and that the difference in phenotypes of *Fbxl21* ablation between behavioral and cellular rhythms (Figures 2F and 2J) may be explained by consolidation of the circadian oscillation in the SCN (Liu et al., 2007). It is noteworthy that *Fbxl21* null mice showed a decrease in wheel-running activities near the subjective dawn (Figure 2G). Thus, *Fbxl21* deficiency appears

to also affect the SCN clock function regulating the temporal organization of the behaviors. The profound and complex perturbations in the circadian expression profiles of the clock genes (Figure 3C) might be responsible for this abnormality, though the underlying mechanism has yet to be elucidated.

*Fbxl21* knockout in *Fbxl3* null background significantly shortened the long circadian period of the behavioral rhythm of *Fbxl3* null mice (Figure 2). This result can be explained by the antagonizing effects of FBXL21 and FBXL3 on CRY stabilities. Importantly, despite the apparent alleviation of the abnormalities of *Fbxl3* knockout mice in terms of the circadian period and the activity onsets, *Fbxl3/Fbxl21* double-knockout mice exhibited unstable circadian behaviors as revealed by the occurrence of arrhythmic mice in DD (three out of ten mice; Figure 2D and Table S1) and by the progressive decline in the periodogram amplitude (Qp) during days 9–28 in DD (Figure 2H). Qp is a measure of robustness of circadian rhythms (Sokolove and Bushell, 1978), and the values were unaltered in *Fbxl3* and *Fbxl21* single-knockout mice in DD (Figure 2H). We conclude that FBXL21 and FBXL3 cooperatively play an essential role for the maintenance of the robust clock oscillation by providing a mechanism counterbalancing CRY1 and CRY2 protein levels.

Here we propose a model in which CRY proteins are regulated by FBXL21 and FBXL3 so as to maintain normal circadian oscillation (Figure 6I). FBXL21-mediated ubiquitination of CRY1 and CRY2 provides an ~12 hr time window for accumulation of CRY proteins in the cytosol when the E-box-dependent transcription is kept active. This idea is supported by the subcellular distribution of FBXL21 (Figure 6E) and by the marked reduction of CRY levels in *Fbxl21*-deficient cells (Figures 3B and 6H). *Fbxl21* transcripts show marked circadian variations in the mouse SCN (Dardente et al., 2008), and *Fbxl21* mRNA levels are high during the mid- to late-subjective day when *Cry1* and *Cry2* mRNA levels increase. It is most likely that FBXL21 plays an important role for temporally organizing the accumulation of translated CRYs in the cytosol. On the other hand, FBXL3 ubiquitinates CRYs predominantly in the nucleus to terminate suppression of the E-box-dependent transcription (Godinho et al., 2007). Collectively, a spatiotemporally fine-tuned balance between stabilization and degradation mediated by FBXL21 and FBXL3, respectively, is essential for coordinating the ~24 hr variation of protein abundance of CRY1 and CRY2, the key players in the transcription-based autoregulatory feedback in the circadian clock.

Ubiquitination-mediated degradation of clock proteins, especially that of the transcriptional repressors, has been highlighted as a key regulatory process in the clockwork of many organisms (Gallego and Virshup, 2007; Baker et al., 2012; Ito et al., 2012). In the present study, we demonstrate that coordination of a destabilizer, FBXL3, with a stabilizer, FBXL21, each acting antagonistically on CRY repressors, is critical for generating the CRY expression dynamics and behavior rhythms. CRY protein levels are critical determinants of the timing of CLOCK-BMAL1-dependent transcriptional activation. Thus, the balance between CRY stabilization and degradation mediated by a combination of FBXL21 and FBXL3 is essential to drive the 24 hr cycle of the circadian clock. Our results underscore an emerging concept that switching between protein degradation and stabilization



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directed by posttranslational modifications (Brooks and Gu, 2003; Perkins, 2006) underlies the regulatory processes of many biological events.

## EXPERIMENTAL PROCEDURES

### Animals

All the mouse experiments were approved by the animal ethics committee of The University of Tokyo and Kyushu University. Mice were housed in cages with free access to commercial chow (CLEA Japan) and tap water. See Extended Experimental Procedures for details on the generation of *Fbxl3*<sup>-/-</sup> and *Fbxl21*<sup>-/-</sup> mice.

### Wheel-Running Activity

Five- to ten-week-old mice were housed individually in cages equipped with running wheels. The animals were maintained in a light-tight chamber at a constant temperature (23°C ± 1°C) and humidity (65% ± 10%). Mice were entrained to the LD cycle for at least 5 weeks and released into DD for 21 days or longer. Wheel revolutions were recorded in 5 min bins and analyzed with ClockLab analysis software (Actimetrics). The circadian period and periodogram amplitude of the activity rhythms in DD were determined using chi-square periodogram procedure with ClockLab.

### Cell Culture and Plasmids for Transfection

MEFs were prepared from E12.5 embryos. After the head, paws, and internal organs were removed, embryos were chopped and incubated in 0.25% trypsin in PBS for 24 hr at 4°C. After incubation for 30 min at 37°C in 0.25% trypsin in PBS, cells were dissociated by pipetting. MEFs, NIH 3T3 (Riken Cell Bank), and HEK293T17 cells were cultured and passaged under 5% CO<sub>2</sub> in DMEM (Nissui) containing 1.8 mg/ml NaHCO<sub>3</sub>, 4.5 mg/ml glucose, 100 U/ml penicillin, 100 µg/ml streptomycin, and 10% fetal bovine serum (Equitech Bio). NIH 3T3 and HEK293T17 cells were transiently transfected using Lipofectamine Plus reagent (Invitrogen) and Lipofectamine 2000 reagent (Invitrogen), respectively, according to the manufacturer's protocols. Plasmids used for transfection are described in the Extended Experimental Procedures.

### Immunoblotting

Proteins separated by SDS-PAGE were transferred to polyvinylidene difluoride membrane (Millipore). The blots were blocked in a blocking solution (1% [w/v] skim milk in TBS [50 mM Tris-HCl, 140 mM NaCl, 1 mM MgCl<sub>2</sub> (pH 7.4)]) for 1 hr at 37°C and then incubated overnight at 4°C with a primary antibody in the blocking solution. The signals were visualized by an enhanced chemiluminescence detection system (PerkinElmer Life Science). The blot membrane was subjected to densitometric scanning and the band intensities were quantified

using Image Gauge Ver.4.0 software (Fujifilm Science Lab). Antibodies were described in the Extended Experimental Procedures.

### Degradation Assay

Cells were transfected with FHM- or myc-CRY1 and Flag-FBXLs expression vectors and cultured for 48 hr. The transfected cells were then treated with 100 µg/ml cycloheximide (Nakalai tesque) for time periods specified in the figures and harvested, followed by immunoblotting. Degradation assay in cultured MEFs was performed in essentially the same way except that DNA was not transfected.

## SUPPLEMENTAL INFORMATION

Supplemental Information includes three figures, one table, and Extended Experimental Procedures and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2013.01.054>.

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## Figure 6. Identification of Ubiquitination Sites by Mass Spectrometry Analysis

- (A) Ubiquitination sites in CRY1 and CRY2 identified by mass spectrometry analysis. Overexpressed FHM-CRY1 or FHM-CRY2 in NIH 3T3 cells was purified by Flag antibody and subjected to mass spectrometry analysis. Ubiquitinated Lys residues (three residues in CRY1 and five in CRY2) are highlighted with asterisks. The gray horizontal bar indicates a region highly conserved between mouse CRY1 and CRY2.
- (B) Effect of coexpression of FBXL3 or FBXL21 on mutant CRY1 protein levels. Mut(1-4)-CRY1 and Flag-FBXLs were expressed in HEK293T17 cells. Steady-state levels of CRY1 protein were determined by immunoblotting analysis with CRY1 antibody. The solid and open arrowheads indicate Flag-FBXL21 and Flag-FBXL3, respectively.
- (C) Each mut-CRY1 protein levels were quantified and shown with error bars of SEM (n = 3, \*p < 0.05 by Tukey's test).
- (D) Mouse FBXL3 has an NLS sequence, which is not conserved in FBXL21.
- (E) Intracellular distribution of FBXL3, NLS-mut-FBXL3, and FBXL21. Flag-FBXL3, Flag-NLS-mut-FBXL3, or Flag-FBXL21 was expressed in HEK293T17 cells and immunostained with anti-Flag antibody. Cell nuclei were stained with DAPI.
- (F) Quantitative analysis of the subcellular distribution of FBXL3, NLS-mut-FBXL3, and FBXL21. The data were obtained from three independent experiments as in (E), and in each experiment at least 100 cells were visually examined and counted. Data are means + SEM. \*p < 0.05 by Tukey's test.
- (G) CRY1 and CRY2 protein levels in the mouse cerebrum. The mouse cerebrum lysate prepared at ZT18 were fractionated into the nuclear and cytosolic fraction. The same amounts of proteins (50 µg cytosolic proteins or 20 µg nuclear proteins) were loaded.
- (H) The band intensities of CRY1 and CRY2 in (G) were quantified and the highest value was set at 1.0. Data are means + SEM (n = 4, \*p < 0.05 by Student's t test).
- (I) In the cytosol, FBXL21 stabilizes CRY proteins, probably by counterbalancing a degradation mechanism (in gray). This controls appropriate rate of accumulation of translated CRYs in their increasing phase during the daytime. In the nucleus, FBXL3 leads CRYs to proteasomal degradation, which is also competed by FBXL21-mediated stabilization, in the declining phase of CRYs during the nighttime. Dual regulation of CRY protein stabilities by a combination of FBXL21 and FBXL3 plays a critical role for robust oscillation of the circadian clock.

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# Selective escape of proteins from the mitochondria during mitophagy

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Mitophagy refers to the degradation of mitochondria by the autophagy system that is regulated by Parkin and PINK1, mutations in the genes for which have been linked to Parkinson's disease. Here we show that certain mitochondrial outer membrane proteins, including FKBP38 and Bcl-2, translocate from the mitochondria to the endoplasmic reticulum (ER) during mitophagy, thereby escaping degradation by autophagosomes. This translocation depends on the ubiquitylation activity of Parkin and on microtubule polymerization. Photo-conversion analysis confirmed that FKBP38 detected at the ER during mitophagy indeed represents preexisting protein transported from the mitochondria. The escape of FKBP38 and Bcl-2 from the mitochondria is determined by the number of basic amino acids in their COOH-terminal signal sequences. Furthermore, the translocation of FKBP38 is essential for the suppression of apoptosis during mitophagy. Our results thus show that not all mitochondrial proteins are degraded during mitophagy, with some proteins being evacuated to the ER to prevent unwanted apoptosis.

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Autophagy is a process for degradation of macromolecules and organelles in the cytoplasm of eukaryotic cells<sup>1</sup>. The degradation of mitochondria by this process is referred to as mitophagy<sup>2–5</sup>. When damaged mitochondria lose their membrane potential, undergo fission or fail to undergo fusion, they become segregated from the mitochondrial network<sup>6</sup> and are engulfed by autophagosomes. The resulting vesicles eventually fuse with lysosomes, and their contents are degraded by lysosomal proteases<sup>7,8</sup>. Mitophagy is regulated by Parkin (also known as PARK2) and PTEN-induced putative kinase protein 1 (PINK1, also known as PARK6), mutations in the genes for which have been linked to hereditary forms of Parkinson's disease<sup>9,10</sup>. PINK1 is rapidly and constitutively degraded under steady-state conditions in normal mitochondria by presenilin-associated rhomboid-like protein, a rhomboid protease present in the mitochondrial inner membrane, whereas it is stabilized in response to the loss of membrane potential in damaged mitochondria<sup>11,12</sup>. Stabilized PINK1 recruits Parkin from the cytosol to depolarized mitochondria and phosphorylates it, resulting in activation of the ubiquitin ligase (E3) activity of Parkin<sup>8,13–15</sup>. Parkin then contributes to the widespread degradation of mitochondrial outer membrane proteins that is associated with mitophagy<sup>16,17</sup>.

FKBP38 is a member of the FK506-binding protein (FKBP) family<sup>18,19</sup>. FKBP38 contains a transmembrane domain (TM) and a short juxtamembrane sequence at its COOH-terminus (hereafter referred to as CSS, for COOH-terminal signal sequence), and it localizes predominantly to the mitochondrial outer membrane<sup>20</sup>. We have previously shown that FKBP38 contributes to the localization of the antiapoptotic proteins Bcl-2 and Bcl-x<sub>L</sub> to the mitochondria and thereby inhibits apoptosis<sup>21</sup>. An increase in the intracellular concentration of free Ca<sup>2+</sup> results in the formation of a heterodimeric complex of calmodulin and FKBP38 that promotes the interaction of FKBP38 with Bcl-2 (ref. 22).

We now show that, whereas many mitochondrial proteins are degraded by mitophagy, FKBP38 and Bcl-2 escape from such degradation as a result of their rapid translocation from the mitochondria to the endoplasmic reticulum (ER). The CSS of FKBP38 and Bcl-2 is necessary and sufficient for this translocation, and the number of basic amino acids in the CSS determines their fate during mitophagy. Furthermore, the translocation of FKBP38 is essential to prevent apoptosis during mitophagy. Our results suggest that mitophagy is not a non-selective process that results in the degradation of the entire organelle, but rather constitutes a system for selective degradation in association with a selective salvage system for certain proteins.

## Results

**FKBP38 and Bcl-2 are not degraded during mitophagy.** Given the mitochondrial localization of FKBP38 (ref. 21) and its association with the proteasome<sup>23</sup>, we hypothesized that FKBP38 might have a role in mitophagy. We therefore examined the abundance of mitochondrial proteins during mitophagy in mouse embryonic fibroblasts (MEFs). Given that MEFs do not express Parkin<sup>13</sup>, we infected the cells with a retrovirus encoding enhanced green fluorescent protein (EGFP)-tagged Parkin. Mitophagy was then induced by exposure of the MEFs to the mitochondrial uncoupler carbonyl cyanide *m*-chlorophenylhydrazone (CCCP) at 30 μM, which triggers mitochondrial depolarization. Immunoblot analysis of MEF lysates revealed that the abundance of mitochondrial proteins such as TOM40, Tim23 and cytochrome *c* decreased with time of exposure to CCCP (Fig. 1a; see Supplementary Fig. S1a), suggesting that these mitochondrial proteins undergo degradation in association with mitophagy. Unexpectedly, however, the abundance of FKBP38 was not

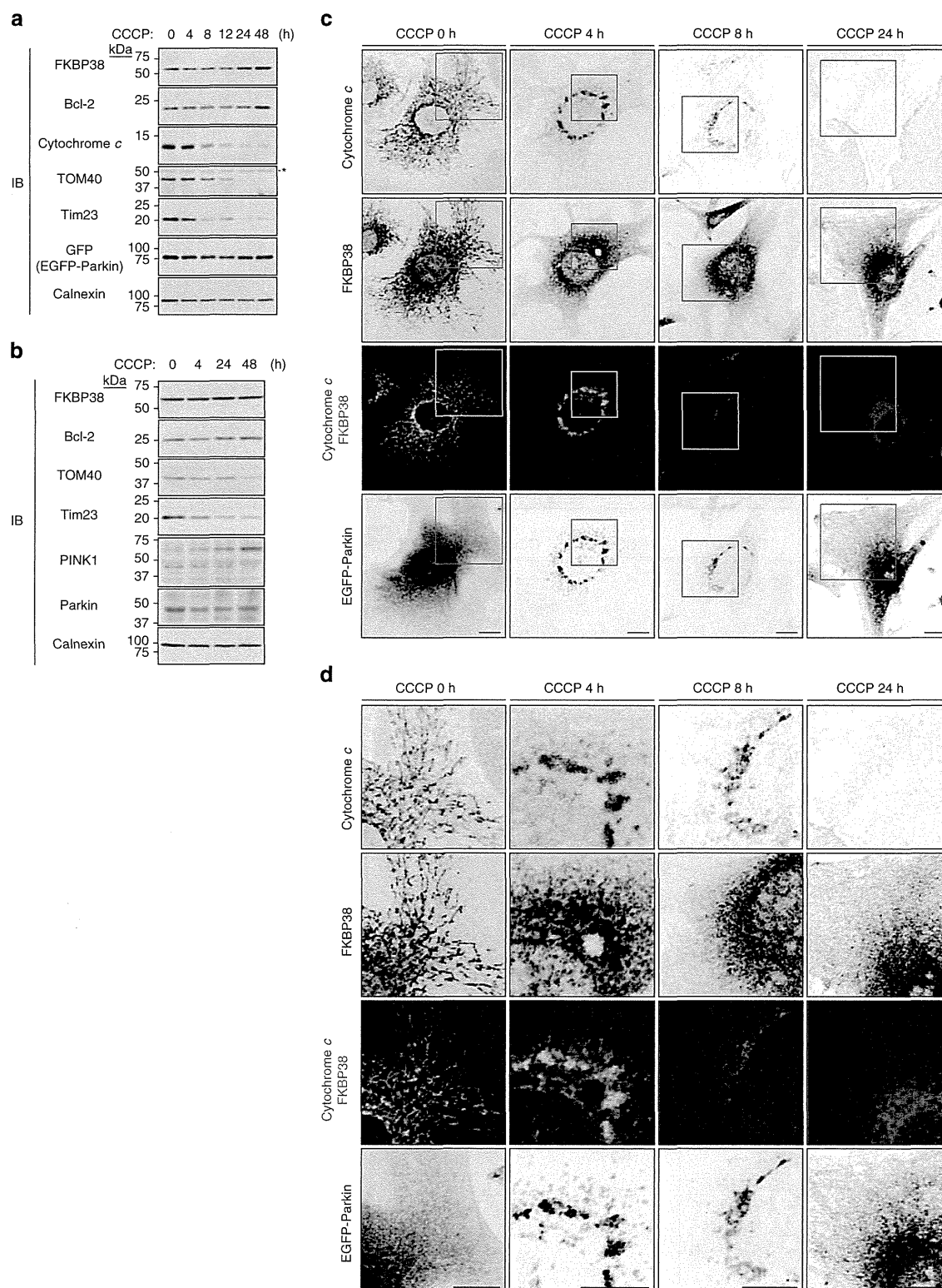
decreased after exposure of the cells to CCCP. Similar results were obtained with MEFs treated with paraquat (Supplementary Fig. S1b), which was also previously shown to induce mitophagy<sup>8</sup>.

Given that FKBP38 associates with and determines the localization of Bcl-2 (ref. 21), we also examined the effect of CCCP on Bcl-2 abundance. Immunoblot analysis revealed that Bcl-2 was also resistant to degradation during mitophagy (Fig. 1a; Supplementary Fig. S1a,b). To exclude the possibility that the resistance of FKBP38 and Bcl-2 to degradation during mitophagy reflects an artefact of Parkin overexpression in MEFs, we also monitored protein abundance in the human neuronal cell line SH-SY5Y, which expresses endogenous Parkin<sup>24</sup>. Exposure of SH-SY5Y cells to CCCP induced the rapid degradation of TOM40 and Tim23, whereas it did not affect the abundance of FKBP38 and Bcl-2 (Fig. 1b). These results suggested that not all mitochondrial proteins are necessarily degraded during mitophagy.

We next examined the effect of CCCP on the subcellular localization of FKBP38 in MEFs expressing EGFP-Parkin. Immunofluorescence analysis revealed that cytochrome *c* and FKBP38 colocalized at the mitochondria in the absence of CCCP (Fig. 1c,d). (A quantitative analysis of these and subsequent immunofluorescence images is shown in Supplementary Fig. S2.) At 4 h after the onset of CCCP exposure, mitochondria were aggregated around the nucleus and EGFP-Parkin was selectively recruited to the mitochondria. Consistent with the results of immunoblot analysis (Fig. 1a), signals for cytochrome *c* gradually decreased in intensity and had disappeared after exposure of the cells to CCCP for 24 h (Fig. 1c,d). In contrast, signals for FKBP38 remained after 24 h of CCCP treatment, but their initial tubular pattern indicative of a mitochondrial localization had changed to a fine meshwork pattern suggestive of localization to the ER. This shift in FKBP38 localization was detected as early as 4 h after the onset of CCCP treatment (Fig. 1c,d; see Supplementary Movie 1). Similar results were also obtained with cells treated with paraquat (Supplementary Fig. S1c).

Immunofluorescence analysis with antibodies to ubiquitin revealed that ubiquitin was concentrated at the mitochondria during mitophagy, but that it did not colocalize with FKBP38 (Supplementary Fig. S3a). CCCP treatment of native MEFs (not expressing Parkin) resulted in a loss of mitochondrial membrane potential and fragmentation of mitochondria (Supplementary Fig. S3b), but it did not elicit mitophagy. FKBP38 colocalized with cytochrome *c* at 8 h after the onset of CCCP treatment in these cells, suggesting that the putative translocation of FKBP38 from the mitochondria to the ER is not the direct result of mitochondrial depolarization and that it requires the progression of Parkin-dependent mitophagy. Consistent with these observations, immunoblot analysis revealed that mitochondrial proteins such as TOM40, Tim23, cytochrome *c*, FKBP38 and Bcl-2 were not degraded in the absence of Parkin in MEFs exposed to CCCP (Supplementary Fig. S3c).

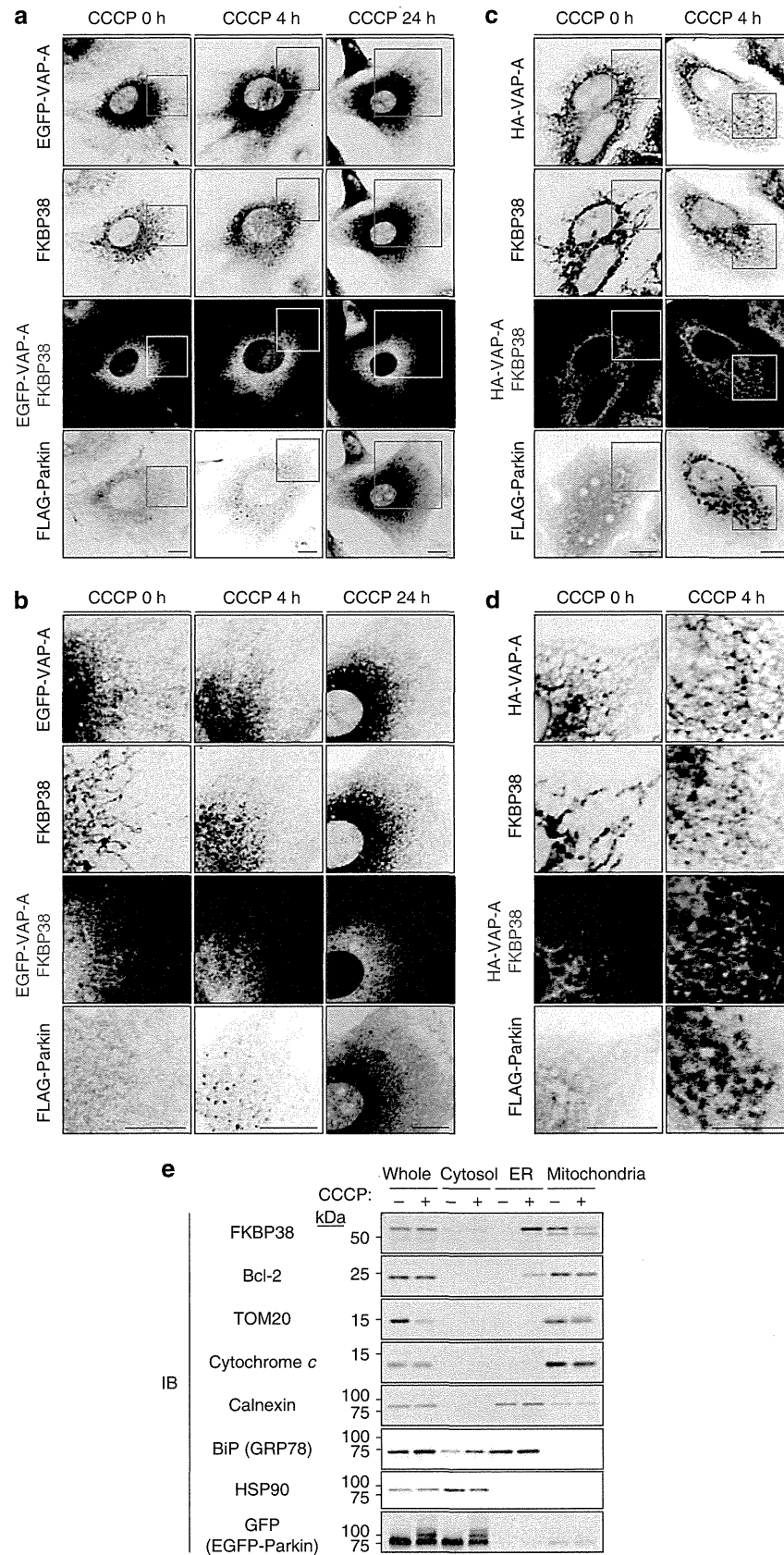
We next examined whether autophagy induced by amino-acid deprivation affects the localization of FKBP38. Culture of MEFs in medium lacking amino acids for 4 h resulted in the conversion of cytosolic LC3 (LC3-I) to membrane-bound, phosphatidylethanolamine-conjugated LC3 (LC3-II), as well as in downregulation of the phosphorylation of ribosomal S6 kinase (S6K) at Thr<sup>389</sup>, suggesting that autophagy was indeed induced. The level of FKBP38 did not change during autophagy induced by amino-acid starvation, however (Supplementary Fig. S3d). Immunofluorescence analysis confirmed that EGFP-LC3-positive punctate structures representing isolation membranes and autophagosomes were formed in response to amino-acid deprivation for 2 h, whereas FKBP38 remained colocalized with cytochrome *c* at the mitochondria (Supplementary Fig. S3e). Together, these results suggested that the translocation of FKBP38



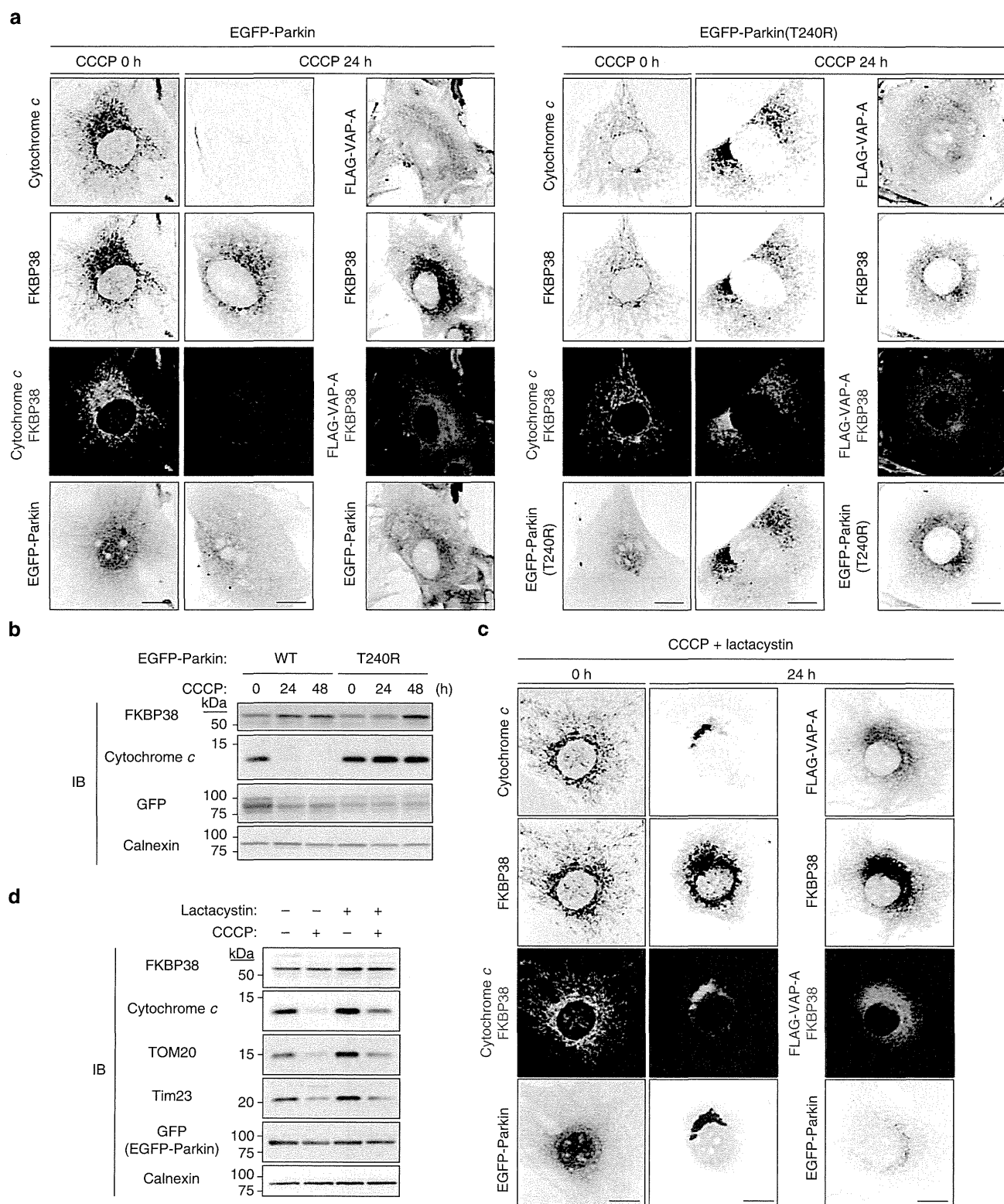
**Figure 1 | FKBP38 is not degraded during mitophagy** (a) MEFs stably expressing EGFP-Parkin were exposed to CCCP (30  $\mu$ M) for the indicated times and then subjected to immunoblot analysis (IB) with antibodies to the indicated proteins. The asterisk indicates a nonspecific band. (b) SH-SY5Y cells were exposed to CCCP (10  $\mu$ M) for the indicated times and then subjected to immunoblot analysis. (c) MEFs stably expressing EGFP-Parkin were treated with CCCP (30  $\mu$ M) for the indicated times, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to FKBP38 and to cytochrome c and with a confocal microscope. The fluorescence of EGFP-Parkin was monitored directly. (d) Higher-magnification views of the boxed areas in c. Scale bar (c,d), 10  $\mu$ m.

occurs specifically during mitophagy, not in response to the simple loss of mitochondrial membrane potential or during autophagy other than mitophagy.

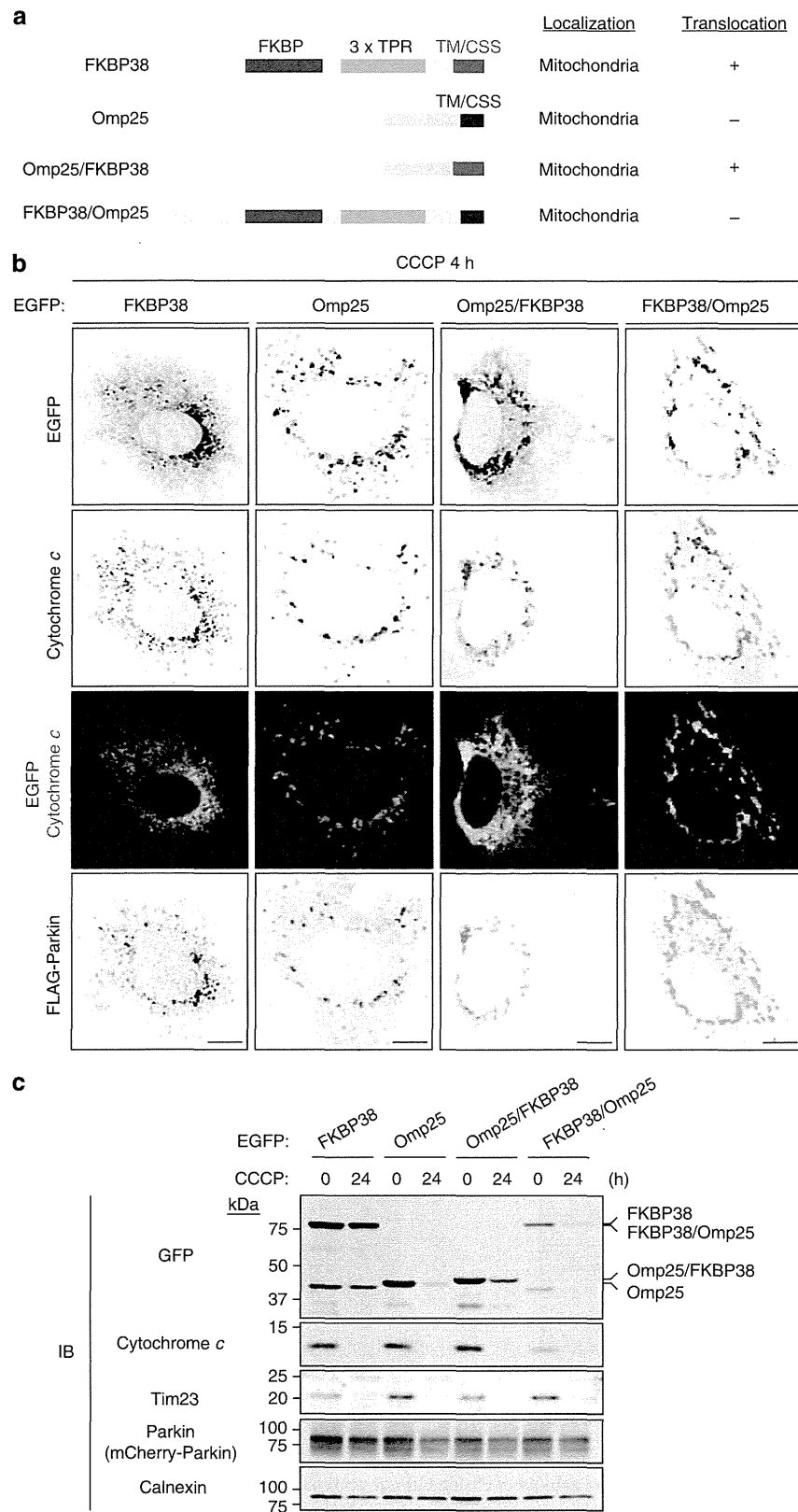
**FKBP38 translocates to the ER during mitophagy.** We next examined in more detail the subcellular localization of FKBP38 after the induction of mitophagy. Given that FKBP38



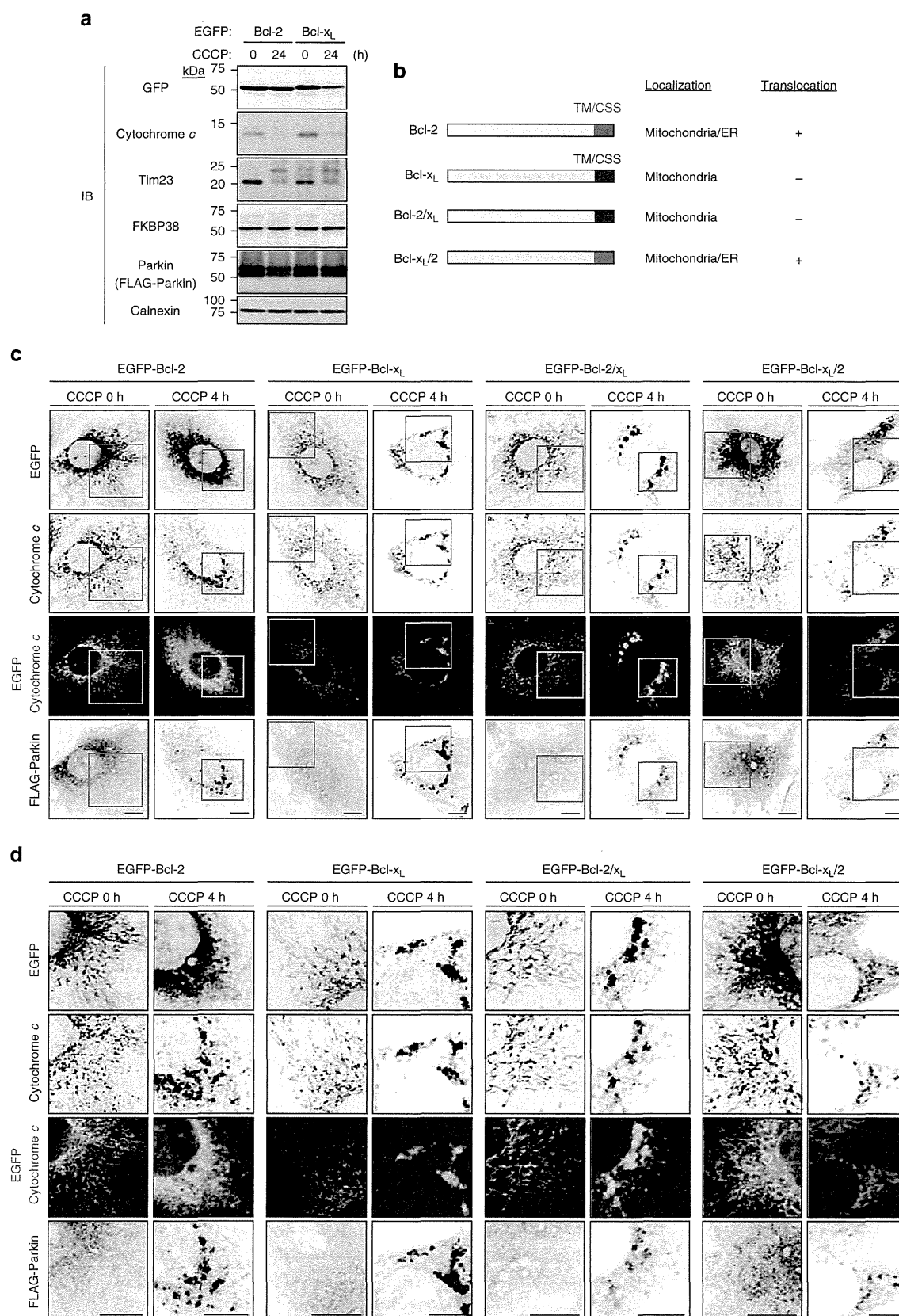
**Figure 2 | FKBP38 translocates from the mitochondria to the ER during mitophagy.** (a,c) MEFs (a) or HeLa cells (c) stably expressing FLAG-Parkin and either EGFP-VAP-A or HA-VAP-A were treated with CCCP (30 and 10  $\mu$ M, respectively) for the indicated times, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to FKBP38, to HA or to FLAG (M2). The fluorescence of EGFP-VAP-A was also monitored directly. (b,d) Higher-magnification views of the boxed areas in a and c, respectively. (e) NIH 3T3 cells stably expressing EGFP-Parkin were incubated in the absence or presence of CCCP (30  $\mu$ M) for 4 h, after which whole-cell homogenates as well as cytosolic, ER and mitochondrial fractions were prepared and subjected to immunoblot analysis. Scale bar (a-d), 10  $\mu$ m.



**Figure 3 | FKBP38 translocation requires the E3 ligase activity of Parkin but not proteasome activity.** (a) MEFs stably expressing EGFP-tagged wild-type (WT) or T240R mutant forms of Parkin as well as FLAG-tagged VAP-A were incubated in the absence or presence of CCCP (30  $\mu$ M) for 24 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to FKBP38, to FLAG (M2) and to cytochrome c. The fluorescence of EGFP was also monitored directly. (b) MEFs as in a were treated with CCCP (30  $\mu$ M) for the indicated times and then subjected to immunoblot analysis. (c) MEFs stably expressing EGFP-Parkin and FLAG-VAP-A were incubated in the absence or presence of CCCP (30  $\mu$ M) and lactacystin (10  $\mu$ M) for 24 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to FKBP38, to FLAG (M2) and to cytochrome c. The fluorescence of EGFP-Parkin was also monitored directly. (d) MEFs stably expressing EGFP-Parkin were incubated for 12 h in the absence or presence of CCCP (30  $\mu$ M) and lactacystin (10  $\mu$ M) as indicated and then subjected to immunoblot analysis. Scale bar (a,c), 10  $\mu$ m.



**Figure 4 | FKBP38 translocation depends on its COOH-terminal domains.** (a) Domain organization of FKBP38, Omp25 and the chimeric proteins Omp25/FKBP38 and FKBP38/Omp25, as well as a summary of their localization and ability to undergo translocation during mitophagy as determined in (b). (b) MEFs stably expressing FLAG-Parkin and EGFP-tagged forms of FKBP38, Omp25, Omp25/FKBP38 or FKBP38/Omp25 were treated with CCCP (30 μM) for 4 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to cytochrome c and to FLAG (rabbit polyclonal). The fluorescence of EGFP was also monitored directly. Scale bar, 10 μm. (c) MEFs stably expressing mCherry-tagged Parkin and EGFP-tagged forms of FKBP38, Omp25, Omp25/FKBP38 or FKBP38/Omp25 were incubated in the absence or presence of CCCP (30 μM) for 24 h and then subjected to immunoblot analysis.



**Figure 5 | Bcl-2 translocates from the mitochondria to the ER during mitophagy and Bcl-x<sub>L</sub> does not.** (a) MEFs stably expressing FLAG-Parkin and either EGFP-Bcl-2 or EGFP-Bcl-x<sub>L</sub> were incubated in the absence or presence of CCCP (30 μM) for 24 h and then subjected to immunoblot analysis. (b) Domain organization of Bcl-2, Bcl-x<sub>L</sub>, and the chimeric proteins Bcl-2/x<sub>L</sub> and Bcl-x<sub>L</sub>/2, as well as a summary of their localization and ability to translocate during mitophagy, as determined in c. (c) MEFs stably expressing FLAG-Parkin and EGFP-tagged forms of Bcl-2, Bcl-x<sub>L</sub>, Bcl-2/x<sub>L</sub> or Bcl-x<sub>L</sub>/2 were incubated in the absence or presence of CCCP (30 μM) for 4 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to cytochrome c and to FLAG (rabbit polyclonal). The fluorescence of EGFP was also monitored directly. (d) Higher-magnification views of the boxed areas in c. Scale bar (c,d), 10 μm.



immunoreactivity showed a meshwork-like pattern suggestive of ER localization during mitophagy, we first determined whether FKBP38 colocalizes with VAP-A, an ER-resident protein<sup>25</sup>, by infecting MEFs with retroviruses encoding FLAG epitope-tagged Parkin and EGFP-tagged VAP-A. In the absence of CCCP, FKBP38 localized to the mitochondria and did not colocalize with EGFP-VAP-A (Fig. 2a,b). Treatment of the cells with CCCP for 24 h, however, resulted in the almost complete colocalization of FKBP38 with EGFP-VAP-A. Exposure of MEFs to Bafilomycin A1, which inhibits lysosomal activity, did not affect the CCCP-induced translocation of FKBP38 (Supplementary Fig. S4), suggesting that the translocation is independent of lysosomal activity. The colocalization of FKBP38 with haemagglutinin epitope (HA)-tagged VAP-A was also observed in HeLa cells coexpressing FLAG-Parkin and treated with CCCP for 4 h (Fig. 2c,d).

To confirm further the translocation of FKBP38 from the mitochondria to the ER, we performed subfractionation of NIH 3T3 cells expressing EGFP-Parkin and treated with CCCP for 4 h. Immunoblot analysis of the fractions revealed that FKBP38 disappeared from the mitochondrial fraction and concomitantly appeared in the ER fraction in response to CCCP treatment (Fig. 2e). In contrast, subcellular localization of the mitochondrial markers cytochrome *c* and TOM20 as well as that of the ER markers BiP (GRP78) and calnexin did not change after exposure of the cells to CCCP. Collectively, the results of our immunofluorescence and subfractionation experiments indicated that FKBP38 indeed translocates from the mitochondria to the ER, and is thereby spared from degradation, during mitophagy.

### FKBP38 translocation requires Parkin but not proteasome.

RNA interference-mediated depletion of endogenous Parkin in SH-SY5Y cells inhibited the progression of mitophagy as well as FKBP38 translocation (Supplementary Fig. S5). We next examined whether the E3 activity of Parkin is also required for FKBP38 translocation. A mutation (T240R) in the RING1 domain of Parkin abolishes the E3 activity of the protein<sup>13,26</sup>. Treatment with CCCP for 24 h induced neither mitophagy nor FKBP38 translocation in MEFs expressing EGFP-Parkin(T240R), whereas cytochrome *c* was degraded and FKBP38 translocated to the ER in those expressing wild-type EGFP-Parkin (Fig. 3a). Immunoblot analysis also revealed that cytochrome *c* was not degraded in response to CCCP treatment in cells expressing EGFP-Parkin(T240R) (Fig. 3b). These results thus showed that the E3 activity of Parkin is directly or indirectly required for FKBP38 translocation from the mitochondria to the ER during mitophagy.

We also examined whether proteasome activity affects the localization of FKBP38. Parkin-dependent degradation of

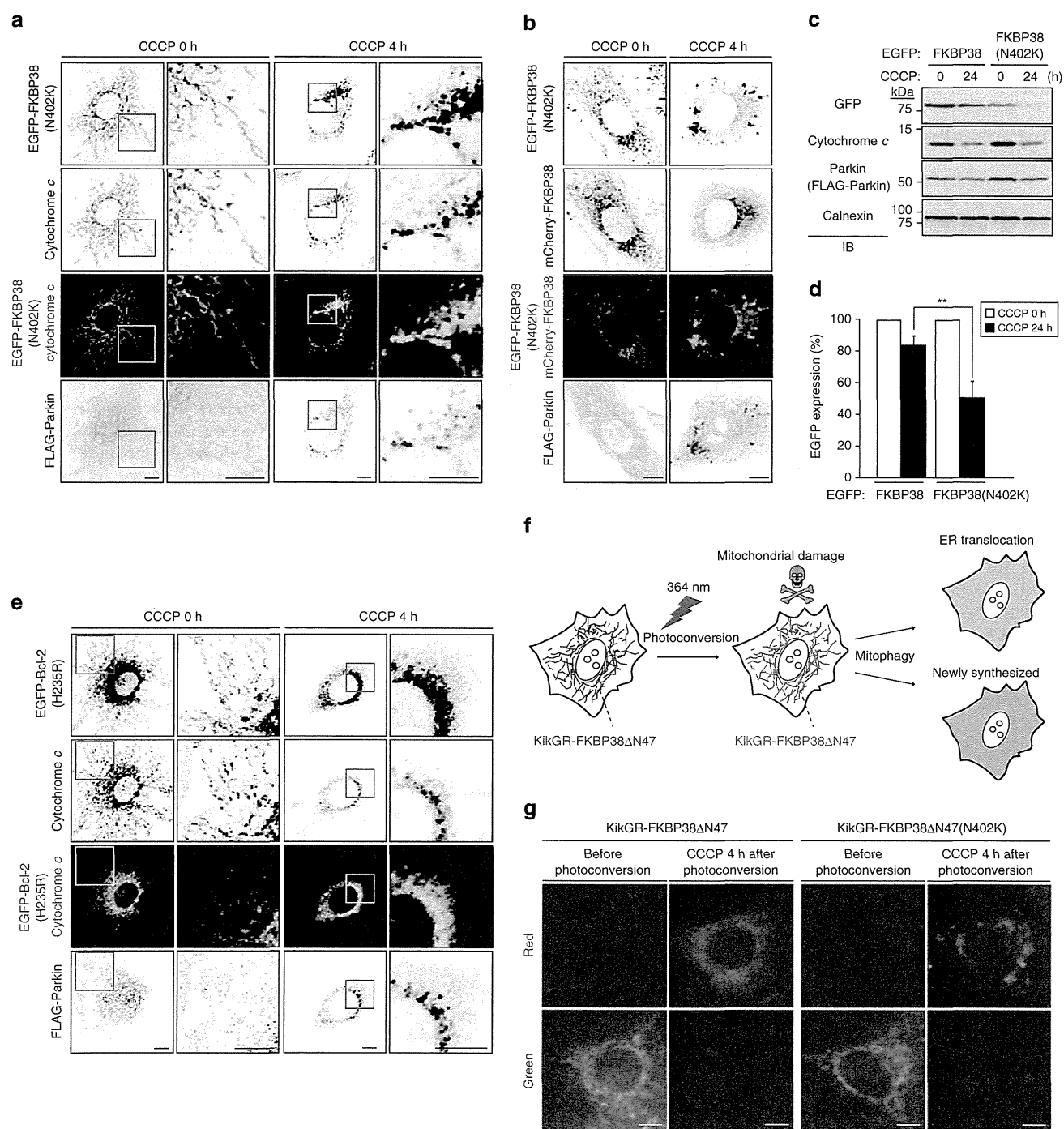
dysfunctional mitochondria requires the autophagy system but not the proteasome. Under normal conditions, the proteasome is present in both the nucleus and cytoplasm of cells. However, CCCP was found to induce translocation of the proteasome to the perinuclear region, where it colocalized with aggregated mitochondria, in MEFs expressing Parkin<sup>17</sup>. Although the proteasome is required for degradation of mitochondrial outer membrane proteins associated with mitophagy, proteasome inhibition suppresses outer membrane rupture but not mitophagy. We therefore examined whether proteasome activity is required for the translocation of FKBP38 from the mitochondria to the ER. MEFs expressing EGFP-Parkin were treated with CCCP in the absence or presence of the proteasome inhibitor lactacystin. Lactacystin slowed the rate of mitophagy progression. Cytochrome *c* was thus still concentrated in the perinuclear region at 24 h after CCCP exposure, whereas FKBP38 had already translocated to the ER and did not colocalize with cytochrome *c* at this time (Fig. 3c). Consistent with these immunofluorescence data, immunoblot analysis revealed that cytochrome *c*, TOM20 and Tim23 were degraded after treatment with CCCP for 12 h and that such degradation was attenuated slightly by lactacystin, whereas FKBP38 was not degraded at this time in the presence of CCCP (Fig. 3d). Although lactacystin slowed the progression of mitophagy, mitochondria eventually disappeared after treatment with CCCP in the presence of the proteasome inhibitor (data not shown). We thus concluded that FKBP38 translocation is independent of proteasome activity.

### COOH-terminal domains of FKBP38 are integral to the escape.

We next investigated which domains of FKBP38 contribute to translocation by generating chimeric proteins of FKBP38 and Omp25, both of which reside in the mitochondrial outer membrane and expose their NH<sub>2</sub>- and COOH-termini to the cytosol and mitochondrial intermembrane space, respectively<sup>27</sup> (Fig. 4a). Omp25 did not undergo translocation but rather was degraded during mitophagy (Fig. 4b,c). The COOH-terminal domains (TM and CSS) of FKBP38 and Omp25 were swapped to yield two reciprocally chimeric proteins, FKBP38/Omp25 and Omp25/FKBP38 (Fig. 4a). We then compared the behaviour of EGFP-tagged forms of FKBP38, Omp25, FKBP38/Omp25 and Omp25/FKBP38 during mitophagy in MEFs expressing FLAG-Parkin by immunofluorescence analysis. In the absence of CCCP, all these proteins colocalized with the mitochondrial marker MitoTracker (Supplementary Fig. S6a). CCCP treatment for 4 h induced the translocation of FKBP38 and, to a lesser extent, that of Omp25/FKBP38 from the mitochondria to the ER (Fig. 4b; Supplementary Fig. S6b). In contrast, Omp25 and FKBP38/Omp25 colocalized with cytochrome *c* after exposure of the cells

|                            | Localization    | Translocation | Basic amino acids in CSS |
|----------------------------|-----------------|---------------|--------------------------|
| FKBP38                     | Mitochondria    | +             | 1                        |
| FKBP38(N402K)              | Mitochondria    | -             | 2                        |
| Bcl-2                      | Mitochondria/ER | +             | 1                        |
| Bcl-2(H235R)               | Mitochondria    | -             | 2                        |
| Omp25                      | Mitochondria    | -             | 3                        |
| Bcl-x <sub>i</sub>         | Mitochondria    | -             | 2                        |
| Bcl-x <sub>i</sub> (R232S) | Mitochondria    | ±             | 1                        |
| Bcl-x <sub>i</sub> (K233S) | Mitochondria    | ±             | 1                        |
| Bcl-x <sub>i</sub> (ΔRK)   | ER              | -             | 0                        |

**Figure 6 | COOH-terminal signal sequences of wild-type and mutant mitochondrial proteins.** The structure of the CSS as well as a summary of the subcellular localization, ability to translocate during mitophagy and number of basic amino acids in the CSS are shown for each protein.



**Figure 7 | Basic residues in the CSS determine protein translocation during mitophagy.** (a) MEFs stably expressing EGFP-FKBP38(N402K) and FLAG-Parkin were incubated in the absence or presence of CCCP (30  $\mu$ M) for 4 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to cytochrome c and to FLAG (rabbit polyclonal). The fluorescence of EGFP-FKBP38(N402K) was also monitored directly. Higher-magnification views of the boxed areas are shown in the right panels of each set. (b) MEFs stably expressing EGFP-FKBP38(N402K), mCherry-FKBP38 and FLAG-Parkin were incubated in the absence or presence of CCCP (30  $\mu$ M) for 4 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to FLAG (M2). The fluorescence of EGFP-FKBP38(N402K) and mCherry-FKBP38 was also monitored directly. (c) MEFs stably expressing FLAG-Parkin and either EGFP-FKBP38 or EGFP-FKBP38(N402K) were incubated in the absence or presence of CCCP (30  $\mu$ M) for 24 h and then subjected to immunoblot analysis. (d) Densitometric quantitation of EGFP fusion protein abundance in immunoblots similar to those in (c). Data are expressed relative to the corresponding control value (CCCP 0 h) and are means  $\pm$  s.d., from three independent experiments.  $^{**}P < 0.01$  (Student's *t* test). (e) MEFs stably expressing EGFP-Bcl-2(H235R) and FLAG-Parkin were incubated in the absence or presence of CCCP (30  $\mu$ M) for 4 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to cytochrome c and to FLAG (rabbit polyclonal). The fluorescence of EGFP-Bcl-2(H235R) was also monitored directly. Higher-magnification views of the boxed areas are shown in the right panels of each set. (f) Photoconversion assay with KikGR fusion proteins. Cells are exposed to UV (364 nm) and then treated with CCCP to induce mitophagy. Red signals represent preexisting protein, whereas green signals represent newly synthesized protein. (g) HeLa cells stably expressing FLAG-Parkin were transiently transfected with vectors for KikGR-FKBP38 $\Delta$ N47 or KikGR-FKBP38 $\Delta$ N47(N402K), exposed to UV, incubated in the absence or presence of CCCP (10  $\mu$ M) for 4 h, and then monitored for red and green KikGR fluorescence. Scale bar (a,b,e,g), 10  $\mu$ m.



to CCCP. Although Omp25 and FKBP38/Omp25 were almost completely degraded 24 h after exposure of the cells to CCCP, a substantial proportion of both FKBP38 and Omp25/FKBP38 remained at this time (Fig. 4c). These results suggested that the COOH-terminal domains of FKBP38 are necessary and sufficient for its translocation from the mitochondria to the ER during mitophagy.

### Bcl-2, but not Bcl-x<sub>L</sub>, escapes to the ER during mitophagy.

Similar to FKBP38, we found that Bcl-2 is not subjected to degradation during mitophagy (Fig. 1a,b). We next examined whether Bcl-x<sub>L</sub>, an antiapoptotic member of the Bcl-2 family of proteins, also escapes from mitophagy. Despite the structural and functional similarities between Bcl-2 and Bcl-x<sub>L</sub>, we found that Bcl-x<sub>L</sub> or EGFP-Bcl-x<sub>L</sub> was degraded during mitophagy in MEFs coexpressing EGFP- or FLAG-tagged Parkin and treated with CCCP (Fig. 5a; Supplementary Fig. S7). The degradation of EGFP-Bcl-x<sub>L</sub> as well as that of cytochrome *c* and Tim23 in cells expressing EGFP-Bcl-x<sub>L</sub> or EGFP-Bcl-2 appeared moderately attenuated compared with the degradation of mitochondrial proteins in cells not forcibly expressing these constructs, probably because Bcl-2 and Bcl-x<sub>L</sub> partially antagonize the autophagic process<sup>28,29</sup>. We examined whether the COOH-terminal domains of Bcl-2 and Bcl-x<sub>L</sub> are responsible for their fates by generating the domain-swap mutants Bcl-2/x<sub>L</sub> (cytosolic domain of Bcl-2 fused with the TM and CSS of Bcl-x<sub>L</sub>) and Bcl-x<sub>L</sub>/2 (cytosolic domain of Bcl-x<sub>L</sub> fused with the TM and CSS of Bcl-2) (Fig. 5b). Immunofluorescence analysis of MEFs expressing FLAG- or mCherry-tagged Parkin revealed that, whereas EGFP-tagged forms of Bcl-2, Bcl-x<sub>L</sub>, Bcl-2/x<sub>L</sub> and Bcl-x<sub>L</sub>/2 colocalized with cytochrome *c* in the absence of CCCP treatment, Bcl-2 and Bcl-x<sub>L</sub>/2 did not colocalize with cytochrome *c* at 4 h after the onset of such treatment (Fig. 5c,d; Supplementary Fig. S8). These results thus suggested that the COOH-terminal domains of Bcl-2 are necessary and sufficient for its translocation during mitophagy, as shown for FKBP38, and that the difference between the COOH-terminal domains of translocating proteins (FKBP38 and Bcl-2) and those of degraded proteins (Omp25 and Bcl-x<sub>L</sub>) determines protein fate during mitophagy.

**Basicity of the CSS determines the escape of proteins.** The basicity of the CSS of Bcl-2 or Bcl-x<sub>L</sub> was previously shown to affect the localization of these proteins<sup>30</sup>. The CSS of each of the proteins shown to translocate from the mitochondria to the ER during mitophagy (FKBP38 and Bcl-2) contains only one strongly basic amino acid (arginine or lysine), whereas two or three such amino acids are present in the CSS of the degraded proteins

Omp25 and Bcl-x<sub>L</sub> (Fig. 6), suggesting that the basicity of the CSS might be a determinant of translocation. To test this possibility, we replaced the COOH-terminal asparagine of FKBP38 with lysine, yielding a mutant, FKBP38(N402K), whose CSS is more basic than that of wild-type FKBP38 (Fig. 6). Immunofluorescence analysis of MEFs coexpressing FLAG-Parkin revealed that EGFP-FKBP38(N402K) largely colocalized with cytochrome *c*; it did not colocalize with wild-type FKBP38 tagged with mCherry at 4 h after exposure of the cells to CCCP (Fig. 7a,b; see also Supplementary Fig. S9a). Immunoblot analysis further showed that the mutant protein had been degraded to a greater extent than EGFP-FKBP38 after CCCP treatment for 24 h (Fig. 7c,d). We performed similar experiments with the mutant Bcl-2(H235R), in which a histidine in the CSS of Bcl-2 was replaced with arginine (Fig. 6). This mutant was also defective in translocation from the mitochondria to the ER during mitophagy (Fig. 7e; Supplementary Fig. S9b).

In a converse approach, we also reduced the basicity of the CSS of Bcl-x<sub>L</sub> by generating the mutants Bcl-x<sub>L</sub>(R232S) and Bcl-x<sub>L</sub>(K233S), in which either arginine or lysine in the CSS was replaced with serine (Fig. 6). The EGFP-tagged forms of these mutants localized to the mitochondria, but a small proportion of the mutant molecules underwent translocation on exposure of the cells to CCCP for 4 h (Supplementary Fig. S10). We also tested the behaviour of Bcl-x<sub>L</sub>(ΔRK), in which both arginine and lysine had been removed from the COOH-terminus, leaving no basic amino acids in the CSS (Fig. 6). EGFP-tagged Bcl-x<sub>L</sub>(ΔRK) localized to the ER in the absence or presence of CCCP, and it was therefore not degraded during mitophagy (data not shown). Together, these results thus suggested that a difference of only one amino acid in the CSS can determine the fate of mitochondrial proteins during mitophagy.

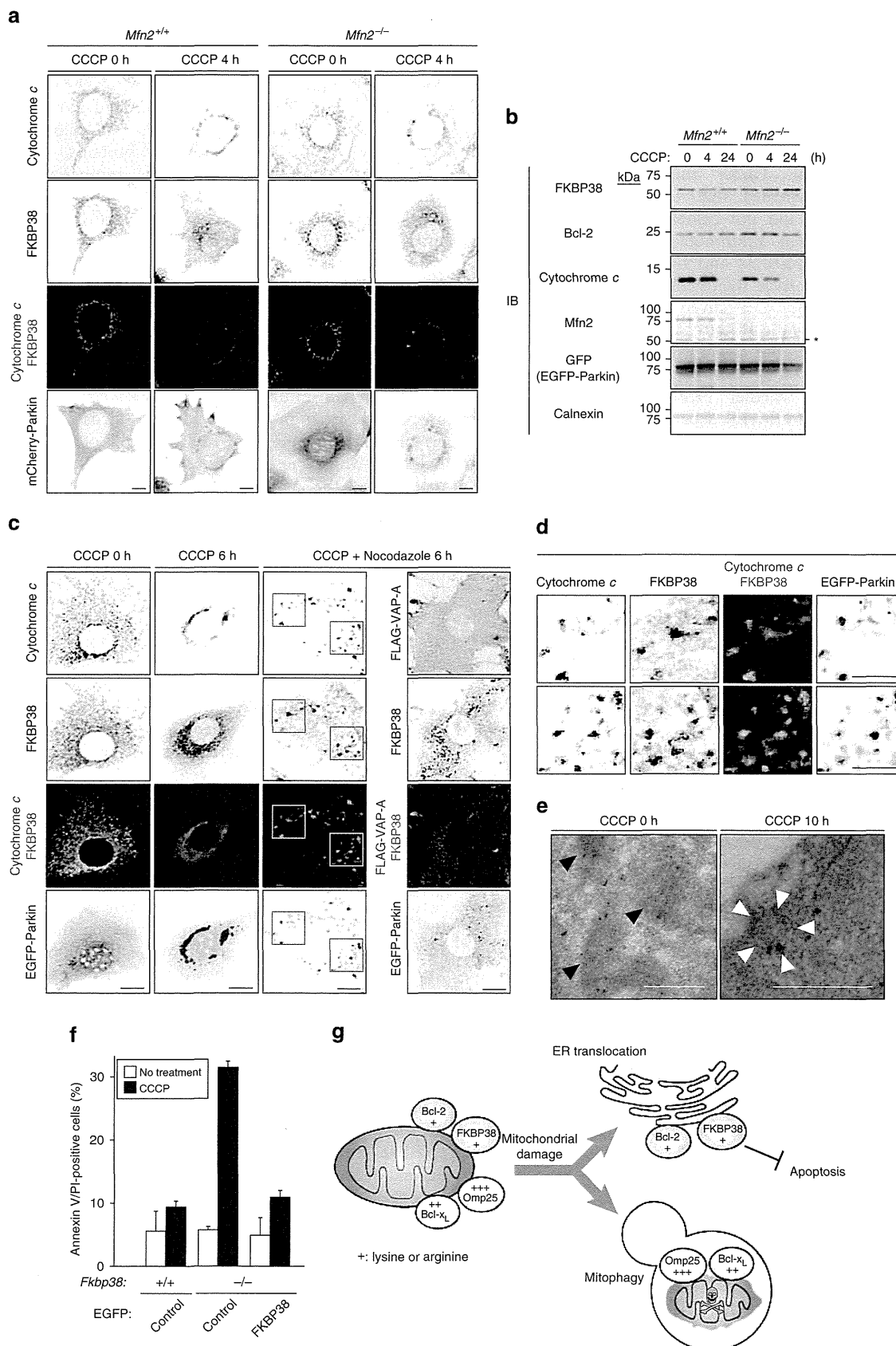
### Translocation is mediated by microtubule-dependent transport.

To confirm that FKBP38 detected at the ER after exposure of cells to CCCP is indeed transported from the mitochondria and does not represent newly synthesized protein, we took advantage of the photoconverting fluorescent protein Kikume Green-Red (KikGR), which emits green fluorescence that is converted to red after exposure to weak ultraviolet light<sup>31</sup>. Given that full-length FKBP38 tagged with KikGR tends to form aggregates in HeLa cells, we selected for our experiments KikGR-tagged FKBP38ΔN47, a mutant that lacks the 47 NH<sub>2</sub>-terminal residues and that shows a reduced tendency to aggregate when fused with KikGR. We confirmed that this deletion did not affect the behaviour of FKBP38 or FKBP38(N402K) during mitophagy (Supplementary Fig. S11). We transfected HeLa cells with vectors encoding FLAG-Parkin and either KikGR-FKBP38ΔN47 or

**Figure 8 | FKBP38 translocation to the ER is mediated by microtubule-dependent transport.** (a) Wild-type (*Mfn2*<sup>+/+</sup>) and *Mfn2* knockout (*Mfn2*<sup>-/-</sup>) MEFs stably expressing mCherry-Parkin were incubated in the absence or presence of CCCP (30 μM) for 4 h, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to FKBP38 and to cytochrome *c*. The fluorescence of mCherry-Parkin was also monitored directly. (b) MEFs as in a but expressing EGFP-Parkin were treated with CCCP (30 μM) for the indicated times and then subjected to immunoblot analysis. The asterisk indicates a nonspecific band. (c) Wild-type MEFs stably expressing EGFP-Parkin and FLAG-VAP-A were incubated in the absence or presence of CCCP (30 μM) or nocodazole (10 μM) for 6 h as indicated, fixed, permeabilized and subjected to immunofluorescence analysis with antibodies to cytochrome *c*, to FLAG and to FKBP38. The fluorescence of EGFP-Parkin was also monitored directly. (d) Higher-magnification views of the boxed areas in (c,e). Wild-type MEFs stably expressing FLAG-Parkin and EGFP-FKBP38 were incubated in the absence or presence of CCCP (30 μM) for 10 h and then subjected to immuno-electron microscopic analysis with antibodies to GFP. Black arrowheads indicate the mitochondria. White arrowheads indicate small vesicle. (f) Wild-type (*Fkbp38*<sup>+/+</sup>) and *Fkbp38* knockout (*Fkbp38*<sup>-/-</sup>) MEFs stably expressing FLAG-Parkin and either EGFP or EGFP-FKBP38 were incubated in the absence or presence of CCCP (30 μM) for 24 h and then stained with allophycocyanin (APC)-labelled annexin V and propidium iodide (PI) for flow cytometric analysis of the percentage of apoptotic cells (annexin V<sup>+</sup> and PI<sup>+</sup> cells). Data are means ± s.d., from three independent experiments. (g) Model for FKBP38 and Bcl-2 translocation from the mitochondria. Damaged mitochondria accumulate PINK1 and recruit Parkin. FKBP38 and Bcl-2 then translocate from the damaged mitochondria to the ER in order to escape degradation during mitophagy. Scale bars (a,c,d), 10 μm; (e), 500 nm.

KikGR-FKBP38ΔN47(N402K), the latter of which contains a point mutation to prevent escape from the mitochondria. The cells were then exposed to UV (364 nm) in order to convert the

green fluorescence emitted by mitochondrial KikGR-FKBP38ΔN47 or its point mutant to red, after which they were treated with CCCP to induce mitophagy (Fig. 7f). Protein



molecules that are newly synthesized after UV irradiation would thus be expected to emit green fluorescence and therefore be distinguishable from those localized to the mitochondria before CCCP treatment. As expected, KikGR-FKBP38 $\Delta$ N47(N402K) red signals had become concentrated in the perinuclear region as a result of mitophagy by 4 h after the onset of CCCP treatment, whereas KikGR-FKBP38 $\Delta$ N47 red signals had translocated from the mitochondria to the ER (Fig. 7g; Supplementary Fig. S12; Supplementary Movie 2). We also examined the effects of inhibiting protein synthesis with cycloheximide (CHX) in NIH 3T3 cells. Given that CHX inhibited the progression of mitophagy (Supplementary Fig. S13a), we exposed cells to CCCP for 2 h before CHX treatment. Under this condition, immunofluorescence analysis revealed that FKBP38 signals became diffusely dispersed and did not colocalize with cytochrome *c* (Supplementary Fig. S13b). Immunoblot analysis of subcellular fractions revealed that FKBP38 and Bcl-2 appeared in the ER fraction in response to such treatment with CCCP and CHX (Supplementary Fig. S13c). Collectively, these results confirmed that FKBP38 localized at the ER after CCCP treatment is not a newly synthesized protein but rather a protein transported from the mitochondria.

We next examined whether FKBP38 translocation from damaged mitochondria to the ER depends on tethering between these organelles mediated by Mitofusin 2 (Mfn2)<sup>32</sup>. Immunofluorescence signals for FKBP38 showed a diffuse distribution suggestive of ER localization and were not colocalized with those for cytochrome *c* in both *Mfn2*<sup>+/+</sup> and *Mfn2*<sup>-/-</sup> MEFs treated with CCCP for 4 h (Fig. 8a). Immunoblot analysis also revealed that the abundance of cytochrome *c* decreased, with the protein eventually disappearing by 24 h, after the onset of CCCP exposure in both *Mfn2*<sup>+/+</sup> and *Mfn2*<sup>-/-</sup> MEFs, whereas the amounts of FKBP38 and Bcl-2 had not decreased at this time in the MEFs of either genotype (Fig. 8b). These results thus suggested that the translocation of FKBP38 and Bcl-2 during mitophagy is not dependent on Mfn2-mediated tethering between the mitochondria and the ER.

To determine whether FKBP38 translocation from damaged mitochondria to the ER is mediated by the microtubule-dependent transport system, we treated MEFs expressing EGFP-Parkin with both CCCP and the microtubule-destabilizing agent nocodazole for 6 h. Although nocodazole affected the aggregation of damaged mitochondria around the nuclear membrane<sup>33</sup>, signals for FKBP38 remained colocalized with those for cytochrome *c* in the presence of this agent (Fig. 8c,d), suggesting that nocodazole inhibited FKBP38 translocation. Immuno-electron microscopy revealed that signals for EGFP-FKBP38 that had initially localized at the mitochondria were associated with small vesicular structures at 10 h after the onset of CCCP exposure (Fig. 8e). These results thus indicated that FKBP38 translocation from damaged mitochondria to the ER is mediated by microtubule-dependent vesicular transport.

Finally, to investigate the physiological relevance of the coordinated translocation of FKBP38 and Bcl-2, we examined the sensitivity of *Fkbp38*<sup>+/+</sup> and *Fkbp38*<sup>-/-</sup> MEFs to apoptosis during mitophagy. FKBP38 is not necessary for the induction of mitophagy itself (Supplementary Fig. S14a). The sensitivity to apoptosis during CCCP exposure, however, was markedly increased for *Fkbp38*<sup>-/-</sup> MEFs compared with the control cells, and forced expression of EGFP-FKBP38 in the FKBP38-null cells reversed this phenotype (Fig. 8f; Supplementary Fig. S14b-d). These results thus suggested that FKBP38 translocation to the ER is essential for suppression of unwanted apoptosis during mitophagy.

## Discussion

We have found that not all mitochondrial proteins are eliminated during the massive protein degradation associated with mitophagy. Rather, certain mitochondrial outer membrane proteins, including FKBP38 and Bcl-2, escape from the mitochondria to the ER in order to avoid degradation (Fig. 8g). This phenomenon is highly selective, given that structurally and functionally similar proteins such as Bcl-2 and Bcl-x<sub>L</sub> show different fates. The molecular basis for this selectivity appears to be the basicity of the CSS, with a difference of only one amino acid being sufficient to confer a different fate, although this conclusion remains to be verified by examination of additional proteins.

Most mitochondrial proteins are synthesized as cytosolic precursors and contain a mitochondrial targeting signal at their NH<sub>2</sub>-terminus that facilitates transport into the mitochondria through the TOM complex<sup>34</sup>. However, some mitochondrial outer membrane proteins—including FKBP38, Bcl-2, Bcl-x<sub>L</sub> and Omp25—contain a mitochondrial targeting signal known as a ‘tail anchor’ at their COOH-terminus<sup>35</sup>. Such tail-anchored proteins are thought to be integrated into the lipid bilayer of the mitochondrial outer membrane by the ‘unassisted pathway’<sup>36,37</sup>.

The mechanism underlying mitochondrial targeting by the tail anchor was examined in a study that focused on differences in amino-acid sequence between the CSS of Bcl-2 and that of Bcl-x<sub>L</sub><sup>30</sup>. Mutagenesis revealed that the basicity of the CSS determines the mitochondrial targeting of these proteins. However, protein evacuation during mitophagy and the role of mitochondrial targeting sequences in such translocation have not been described. We now show that the CSS has a pivotal role not only in mitochondrial targeting but also in protein escape from the mitochondria during mitophagy. Basic amino acids in the CSS might serve as a latch to retain tail-anchored proteins at the mitochondrial outer membrane. Bcl-x<sub>L</sub>, which contains two basic amino acids in its CSS, might thus be stably anchored to the membrane, whereas Bcl-2, which contains only one basic amino acid in its CSS, might shuttle between the mitochondria and the ER and be readily detached from the mitochondrial outer membrane. FKBP38 functions to recruit Bcl-2 to the mitochondria, and it also evacuates from the mitochondria during mitophagy. The coordinated translocation of FKBP38 and Bcl-2 might control the sensitivity of cells to apoptosis.

Contact between the mitochondria and the ER has a role in mitochondrial division<sup>38,39</sup>. In yeast, ER-mitochondrial communication is thought to occur through direct contact between the membranes of these organelles, which gives rise to the formation of an ER-mitochondrial encounter structure<sup>40,41</sup>. In mammals, a structure termed MAM (mitochondria-associated ER membrane) has been shown to contribute to the regulation of signal transduction, biogenesis, cell death and lipid metabolism<sup>42-44</sup>. Physical tethers are also thought to be important for the stable positioning of the mitochondria for efficient energy utilization at specific locations within the cell, such as in axons and dendrites of neurons<sup>39,45</sup>. However, the effects of nocodazole treatment in the present study indicate that microtubule polymerization is required for the translocation of FKBP38 from the mitochondria to the ER. Vesicle transport occurs between various organelles, with such communication having recently been shown to take place between the mitochondria and both peroxisomes and lysosomes<sup>46,47</sup>. Small vesicles might thus be generated as a consequence of mitochondrial membrane damage and then be transported to the ER by the microtubule-dependent trafficking system. The regulation of this process as well as the possibility of opposite transport from the ER to the mitochondria remains to be investigated.

## Methods

**Construction of plasmids.** Construction of vectors encoding human or mouse FKBP38, human Bcl-2, mouse Bcl-x<sub>L</sub> and human VAP-A was described previously<sup>21,25</sup>. Human Parkin cDNA was kindly provided by T. Kanki (Kyushu University), mouse Omp25 cDNA by K. Mihara (Kyushu University) and rat LC3 cDNA by N. Mizushima (Tokyo Medical and Dental University). Complementary DNAs were subcloned into the pEGFP vector (Clontech, Mountain View, CA), humanized-codon monomeric KikGR vector (MBL, Nagoya, Japan) or pMX-puro vector.

**Cell culture and transfection.** Plat-E, HeLa, HEK293T and SH-SY5Y cells were maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (Invitrogen, Carlsbad, CA); the medium for Plat-E cells was also supplemented with blasticidin (10 µg ml<sup>-1</sup>). NIH 3T3 cells were maintained in DMEM supplemented with 10% calf serum (Invitrogen). Primary MEFs were prepared as described previously<sup>48,49</sup>; non-senescent MEFs were used for all experiments. *Mfn2*<sup>+/+</sup> and *Mfn2*<sup>-/-</sup> MEFs were kindly provided by T. Koshiba and D.C. Chan (California Institute of Technology). Retroviral infection was performed as previously described<sup>50</sup>. For lentiviral infection, a DNA fragment encoding a stem-loop-type short hairpin RNA specific for human Parkin mRNA (5'-GGAAGTCCAGCAGGTAGATCA-3') was synthesized, attached to the U6 promoter and subcloned into the vector pCSII (kindly provided by H. Miyoshi, RIKEN), and lentiviruses were produced by HEK293T cells. The cells were transfected using the calcium phosphate method for 24 h at 37 °C, after which the medium was replaced and the cells were incubated for an additional 24 h for collection of virus-containing culture supernatants. SH-SY5Y cells were plated in six-well plates 24 h before infection. Other cell types were transfected with expression vectors with the use of FuGENE HD. For induction of mitochondrial depolarization, HeLa and SH-SY5Y cells were treated with 10 µM CCCP, whereas MEFs and NIH 3T3 cells were treated with 30 µM CCCP. For amino-acid deprivation, cells were washed with PBS and incubated in amino-acid-free DMEM without serum.

**Antibodies.** For immunoblot analysis and immunofluorescence staining, rabbit polyclonal antibodies specific for FKBP38 (FK38N1) were generated in response to a His<sub>6</sub>-tagged recombinant protein comprising the NH<sub>2</sub>-terminal half of FKBP38 that was expressed in and purified from *Escherichia coli*<sup>21</sup>. Rabbit polyclonal antibodies to LC3 were kindly provided by N. Mizushima, and those to TOM40 were kindly provided by K. Mihara. Mouse monoclonal antibodies to Bcl-2 (610538), to cytochrome *c* (556432, 556433), to Tim23 (611222), to BiP/GRP78 (610978) and to HSP90 (610419) were obtained from BD Biosciences (San Jose, CA), those to HA epitope (HA-11) were from Research Diagnostics (Flanders, NJ) and those to multiubiquitin (FK2) were from Nippon Bio Test Laboratory (Tokyo, Japan); rabbit polyclonal antibodies to calnexin were from Stressgen (Victoria, British Columbia, Canada), those to PINK1 (BC100-494) were from Novus Biologicals (Littleton, CO), and those to GFP (sc-8334), to TOM20 (sc-11415), to Mfn2 (sc-100560) and to Bcl-x<sub>SL</sub> (S-18) (sc-634) were from Santa Cruz Biotechnology (Santa Cruz, CA); rabbit monoclonal antibodies to p70 S6 kinase (49D7) and to Thr<sup>389</sup>-phosphorylated p70 S6 kinase (108D2) were from Cell Signaling (Beverly, MA); rabbit polyclonal antibodies to GFP (ab6556) were also obtained from Abcam (Cambridge, UK); antibodies to FLAG (mouse monoclonal M2 and rabbit polyclonal) and mouse monoclonal antibodies to Parkin (PRK8) were from Sigma (St Louis, MO). Alexa Fluor 488-, Alexa Fluor 546- or Alexa Fluor 633-conjugated goat antibodies to mouse or rabbit immunoglobulin G as well as streptavidin-Alexa Fluor 546 were obtained from Molecular Probes (Eugene, OR). Colloidal gold (10 nm)-conjugated goat antibodies to rabbit immunoglobulin G were obtained from EY labs (San Mateo, CA).

**Chemicals.** Stock solutions of CCCP, Bafilomycin A1 and CHX (Wako, Osaka, Japan), of paraquat (Santa Cruz Biotechnology), of lactacystin (Peptide Institute, Osaka, Japan), and of nocodazole (Sigma) were prepared in dimethyl sulphoxide or water.

**Immunoblot analysis.** Cells were lysed in a solution containing 40 mM HEPES-NaOH (pH 7.6), 150 mM NaCl, 10% glycerol, 0.5% Triton X-100, 1 mM Na<sub>3</sub>VO<sub>4</sub>, 25 mM NaF, 1 mM phenylmethylsulphonyl fluoride, aprotinin (10 µg ml<sup>-1</sup>) and leupeptin (10 µg ml<sup>-1</sup>). The lysate was subjected to immunoblot analysis as previously described<sup>50</sup>. Full-length scans of key immunoblots shown in the main figures are presented in Supplementary Figure S15.

**Immunofluorescence staining.** MEFs, SH-SY5Y cells or HeLa cells grown on glass coverslips were washed with PBS, fixed for 10 min at room temperature with 4% formaldehyde in PBS, washed again, and then incubated for 2 h at room temperature with primary antibodies in PBS containing 0.1% bovine serum albumin and 0.1% saponin. After washing, the cells were incubated for 30 min at room temperature with Alexa Fluor-labelled goat secondary antibodies at a dilution of 1:2,000 in PBS containing 0.1% bovine serum albumin and 0.1% saponin. They were washed again and then covered with a drop of GEL/MOUNT (Biomed,

Foster City, CA) for examination with a confocal fluorescence microscope (LSM510 META, Carl Zeiss) equipped with an X63/1.4 oil-immersion objective. For staining of the mitochondria, cells were incubated for 20 min with 100 nM MitoTracker (Molecular Probes) before fixation.

**Cell fractionation.** NIH 3T3 cells were suspended in isolation buffer (250 mM mannitol, 1 mM EGTA-Tris and 10 mM HEPES-NaOH, pH 7.5) and disrupted by dounce homogenization. The homogenate was centrifuged at 800 g for 10 min at 4 °C, and the resulting supernatant was further centrifuged at 8,000 g for 10 min at 4 °C. The new pellet (crude mitochondrial fraction) was collected, and the supernatant was centrifuged further at 100,000 g for 30 min at 4 °C. The resulting pellet (light membrane fraction) and supernatant (cytosolic fraction) were collected. The crude mitochondrial fraction was layered on a solution of 30% Percoll (GE Healthcare) in isolation buffer and centrifuged at 100,000 g for 30 min at 4 °C. The resulting mitochondrial and ER bands were isolated, washed free of Percoll and resuspended in isolation buffer.

**Photoconversion analysis.** Photoconversion analysis of cells expressing KikGR-labelled proteins was performed with 10–15 bleach cycles with a 364-nm UV laser and with the use of the photobleach function of the LSM510 META microscope.

**Detection of apoptosis.** For detection of apoptosis, cells were washed twice with ice-cold PBS and then stained simultaneously with allophycocyanin-conjugated annexin V and propidium iodide (PI) (both from BD Biosciences) in a solution containing 10 mM HEPES-NaOH (pH 7.4), 140 mM NaCl and 2.5 mM CaCl<sub>2</sub> for 20 min on ice and in the dark. Within the next hour, the cells were analysed with a FACS Calibur flow cytometer and Cell Quest software (Becton Dickinson, San Jose, CA) and the total number of apoptotic cells (annexin V<sup>+</sup> and PI<sup>+</sup> cells) was determined. For the TUNEL (terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick end labelling) assay, MEFs grown on glass coverslips were washed with PBS, fixed for 30 min at 4 °C with 70% ethanol in PBS, washed again and then incubated for 1 h at 37 °C with a reaction mixture containing terminal deoxynucleotidyl transferase (Invitrogen) and biotinylated dUTP (Roche Applied Science). Labelled DNA was visualized with a confocal fluorescence microscope (LSM510 META). MEFs first treated with DNase (Wako) were used as a positive control, whereas the transferase was omitted from the reaction mixture as a negative control.

**Time-lapse imaging.** HeLa cells expressing mCherry-Parkin and EGFP-tagged forms of FKBP38, Omp25 or Bcl-2 were plated on a glass-bottom dish and then treated with 10 µM CCCP. Images of the cells examined with a fluorescence microscope (IX81, Olympus) were collected every 2 min for 6 h. HeLa cells expressing FLAG-Parkin and KikGR-labelled forms of FKBP38ΔN47 or FKBP38ΔN47(N402K) were plated on a glass-bottom dish, exposed to UV light and then treated with 10 µM CCCP. Images of the cells examined with the IX81 fluorescence microscope were collected every 30 min for 4 h.

**Immuno-electron microscopy.** MEFs were fixed with 4% paraformaldehyde and 0.4% glutaraldehyde in 0.1 M cacodylate buffer (pH 7.4) for 1 h on ice, treated with 0.05 M NH<sub>4</sub>Cl in PBS, dehydrated in a graded series of ethanol solutions, embedded in LR white resin (medical grade; Electron Microscopy Sciences, Fort Washington, PA), exposed to UV radiation for 3 days at -20 °C and then incubated for 24 h at 65 °C. Pale gold ultrathin sections were collected on Formvar-carbon-coated nickel grids, which were then exposed to PBS containing 3% bovine serum albumin before incubation for 24 h at 4 °C with primary antibodies in PBS containing 3% bovine serum albumin. The grids were washed, incubated for 1 h at 37 °C with colloidal gold (10 nm)-conjugated goat secondary antibodies at a dilution of 1:50 in PBS, exposed to 0.5% osmium tetroxide and treated with 2% uranyl acetate to confer a light contrast. The sections were examined with a Tecnai-20 transmission electron microscope (FEI, Hillsboro, OR), and images were collected with an Eagle 2k HR digital camera (FEI).

**Statistical analysis.** Data are presented as means ± s.d., and were compared using Student's *t*-test. A *P*-value of <0.05 was considered statistically significant.

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