

## LITERATURE

1. OECD (2011), Draft Guidance Document on Standardised Test Guidelines for Evaluating Chemicals for Endocrine Disruption Paris, OECD, Paris.
2. Escande A, Pillon A, Servant N, Cravedi JP, Larrea F, Muhn P, Nicolas JC, Cavaillès V, Balaguer P. Evaluation of ligand selectivity using reporter cell lines stably expressing estrogen receptor alpha or beta. *Biochem Pharmacol* 2006 May 14;71(10):1459-69.
3. Jefferson WN, Padilla-Banks E, Clark G, Newbold RR. Assessing estrogenic activity of phytochemicals using transcriptional activation and immature mouse uterotrophic responses. *J Chromatogr B* 2002;777(1-2):179-89.
4. Sonneveld E, Riteco JA, Jansen HJ, Pieterse B, Brouwer A, Schoonen WG, van der Burg B. Comparison of *in vitro* and *in vivo* screening models for androgenic and estrogenic activities. *Toxicol Sci*. [Comparative Study  
Journal Article  
Research Support, Non-U.S. Gov't]. 2006 Jan;89(1):173-87.
5. Takeyoshi M, Yamasaki K, Sawaki M, Nakai M, Noda S, Takatsuki M. The efficacy of endocrine disruptor screening tests in detecting anti-estrogenic effects downstream of receptor-ligand interactions. *Toxicology Letters* 2002;126(2):91-8.
6. Gray LE, Jr. Tiered screening and testing strategy for xenoestrogens and antiandrogens. *Toxicol Lett* 1998;102-103:677-80.
7. EPA. Endocrine Disruptor Screening and Testing Advisory Committee (EDSTAC) Final Report. Washington, DC: U.S. Environmental Protection Agency; 1998.
8. ICCVAM. ICCVAM Evaluation of In Vitro Test Methods for Detecting Potential Endocrine Disruptors: Estrogen Receptor and Androgen Receptor Binding and Transcriptional Activation Assays. Research Triangle Park, NC: National Institute of Environmental Health Sciences; 2003.
9. Gustafsson J-Å. Estrogen receptor  $\beta$ - A new dimension in estrogen mechanism of action. *Journal of Endocrinology* 1999;163(3):379-83.
10. Ogawa S, Inoue S, Watanabe T, Hiroi H, Orimo A, Hosoi T, Ouchi Y, Muramatsu M. The complete primary structure of human estrogen receptor  $\beta$  (hER $\beta$ ) and its heterodimerization with ER $\alpha$  *in vivo* and *in vitro*. *Biochem Biophys Res Commun* 1998;243(1):122-6.
11. Enmark E, Peltö-Huikko M, Grandien K, Lagercrantz S, Lagercrantz J, Fried G, Nordenskjöld M, Gustafsson J-Å. Human estrogen receptor  $\beta$ -gene structure, chromosomal localization, and expression pattern. *J Clin Endocrinol Metab* 1997;82(12):4258-65.
12. Anderson JN, Clark JH, Peck Jr EJ. The relationship between nuclear receptor-estrogen binding and uterotrophic responses. *Biochem Biophys Res Commun* 1972;48(6):1460-8.
13. Toft D. The interaction of uterine estrogen receptors with DNA. *Journal of Steroid Biochemistry* 1972;3(3):515-22.

14. Gorski J, Toft D, Shyamala G, Smith D, Notides A. Hormone receptors: studies on the interaction of estrogen with the uterus. *Recent Progress in Hormone Research*1968;24:45-80.
15. Jensen EV, Desombre ER, Hurst DJ, Kawashima T, Jungblut PW. Estrogen-receptor interactions in target tissues. *Archives d'Anatomie Microscopique et de Morphologie Experimentale*1967;56(3):547-69.
16. ICCVAM. ICCVAM Test Method Evaluation Report on the LUMI-CELL® ER (BG1Luc ER TA) Test Method An In Vitro Method for Identifying ER Agonists and Antagonists. Research Triangle Park, NC: National Institute of Environmental Health Sciences; 2011.
17. Rogers JM, Denison MS. Recombinant cell bioassays for endocrine disruptors: development of a stably transfected human ovarian cell line for the detection of estrogenic and anti-estrogenic chemicals. *In Vitro Mol Toxicol*2000;13(1):67-82.
18. Cavailles V. Estrogens and receptors: an evolving concept. *Climacteric*2002 Jun;5 Suppl 2:20-6.
19. Welboren WJ, Sweep FC, Span PN, Stunnenberg HG. Genomic actions of estrogen receptor alpha: what are the targets and how are they regulated? *Endocr Relat Cancer*2009 Dec;16(4):1073-89.
20. Younes M, Honma N. Estrogen receptor beta. *Arch Pathol Lab Med*2011 Jan;135(1):63-6.
21. Thorne N, Inglese J, Auld DS. Illuminating Insights into Firefly Luciferase and Other Bioluminescent Reporters Used in Chemical Biology. *Chemistry and Biology*2010;17(6):646-57.
22. Kuiper GG, Lemmen JG, Carlsson B, Corton JC, Safe SH, van der Saag PT, van der Burg B, Gustafsson JA. Interaction of estrogenic chemicals and phytoestrogens with estrogen receptor beta. *Endocrinology*1998 Oct;139(10):4252-63.
23. OECD. Test No. 455: The Stably Transfected Human Estrogen Receptor-alpha Transcriptional Activation Assay for Detection of Estrogenic Agonist-Activity of Chemicals. OECD Guidelines for the Testing of Chemicals, Section 4: Health Effects. Paris: OECD Publishing; 2009.
24. Balls M, Coecke S, Bowe G, Davis J, Gstraunthaler G, Hartung T, Hay R, Merten OW, Price A, Schechtman LM, Stacey G, Stokes WS. The importance of good cell culture practice (GCCP). *ALTEX*2006;23(Suppl):270-3.
25. Coecke S, Balls M, Bowe G, Davis J, Gstraunthaler G, Hartung T, Hay R, Merten OW, Price A, Schechtman L, Stacey G, Stokes W. Guidance on good cell culture practice: a report of the Second ECVAM Task Force on good cell culture practice. *Altern Lab Anim*2005;33:261-87.
26. ICCVAM. Independent Scientific Peer Review Panel Report: Evaluation of the LUMI-CELL® ER (BG1Luc ER TA) Test Method. Research Triangle Park, NC: National Institute of Environmental Health Sciences; 2011.
27. Monje P, Boland R. 2001. Subcellular distribution of native estrogen receptor  $\alpha$  and  $\beta$  isoforms in rabbit uterus and ovary. *J Cell Biochem* 82(3): 467-479.
28. Pujol P, Rey JM, Nirde P, Roger P, Gastaldi M, Laffargue F, et al. 1998. Differential expression of estrogen receptor-alpha and -beta messenger RNAs as a potential marker of ovarian carcinogenesis. *Cancer Res* 58(23): 5367-5373.

29. Weihua Z, Saji S, Mäkinen S, Cheng G, Jensen EV, Warner M, et al. 2000. Estrogen receptor (ER)  $\beta$ , a modulator of ER $\alpha$  in the uterus. Proceedings of the National Academy of Sciences of the United States of America 97(11): 5936-5941.
30. OECD (2011), BG1Luc ER TA - Agonist and Antagonist Protocols, Series on Testing and Assessment No. X, OECD, Paris

## APPENDIX 1

### DEFINITIONS AND ABBREVIATIONS

**Acceptance criteria:** Minimum standards for the performance of experimental controls and reference standards. All acceptance criteria should be met for an experiment to be considered valid.

**Accuracy:** (a) The closeness of agreement between a test method result and an accepted reference value.  
(b) The proportion of correct outcomes of a test method.

**Agonist:** A substance that produces a response, e.g., transcription, when it binds to a specific receptor.

**Antagonist:** A substance that inhibits a response, e.g., transcription, when it binds to a specific receptor.

**BG-1:** An immortalized human ovarian adenocarcinoma cells that endogenously express estrogen receptors alpha and beta.

**BG-1Luc4E2:** The BG-1Luc4E2 cell line was derived from BG-1 immortalized adenocarcinoma cells that endogenously express both forms of the estrogen receptor (ER $\alpha$  and ER $\beta$ ) and have been stably transfected with the plasmid pGudLucERE. This plasmid contains four copies of a synthetic oligonucleotide containing the estrogen response element upstream of the mouse mammary tumor viral (MMTV) promoter and the firefly luciferase gene.

**Cell morphology:** The shape and appearance of cells grown in a monolayer in a single well of a tissue culture plate. Cells that are dying often exhibit abnormal cellular morphology.

**CF:** The OECD Conceptual Framework for the Screening and Testing of Endocrine Disrupting Chemicals.

**Charcoal/dextran treatment:** Treatment of serum used in cell culture. Treatment with charcoal/dextran (often referred to as “stripping”) removes endogenous hormones and hormone-binding proteins.

**Cytotoxicity:** The adverse effects resulting from interference with structures and/or processes essential for cell survival, proliferation, and/or function. For most substances, toxicity is a consequence of non-specific alternations in “basal cell functions” (i.e., via mitochondria, plasma membrane integrity, etc.).

**DMEM:** Dulbecco’s Modification of Eagle’s Medium

**DMSO:** Dimethyl sulfoxide

**E2:** 17 $\beta$ -estradiol

**EC<sub>50</sub>:** The half maximal effective concentration of a test substance.

**ED:** Endocrine disruption

**EE:** 17 $\alpha$ -ethynyl estradiol

**EFM:** Estrogen-free medium. Dulbecco's Modification of Eagle's Medium (DMEM) supplemented with 4.5% charcoal/dextran-treated FBS, 1.9% L-glutamine, and 0.9% Pen-Strep.

**ER:** Estrogen receptor

**ERE:** Estrogen response element

**FBS:** Fetal bovine serum

**hER $\alpha$ :** Human estrogen receptor alpha

**hER $\beta$ :** Human estrogen receptor beta

**IC<sub>50</sub>:** The half maximal effective concentration of an inhibitory test substance.

**ICCVAM:** The Interagency Coordinating Committee on the Validation of Alternative Methods

**MMTV:** Mouse Mammary Tumor Virus

**Proficiency:** The demonstrated ability to properly conduct a test method prior to testing unknown substances.

**Proficiency Chemicals:** A list of substances that can be used by laboratories to demonstrate technical competence with a standardized test method. Selection criteria for these substances typically include that they represent the range of responses, are commercially available, and have high quality reference data available.

**Ral:** raloxifene HCl

**Ral/E2:** The antagonist reference standard, which is a combination of raloxifene HCl (Ral) and 17 $\beta$ -estradiol (E2).

**Reference standard:** a reference substance used to demonstrate the adequacy of a test method. 17 $\beta$ -estradiol is the estrogenic reference standard and Raloxifene HCl the anti-estrogenic reference standard for the BG1Luc ER TA.

**Reliability:** A measure of the degree to which a test method can be performed reproducibly within and among laboratories over time.

**RLU:** Relative Light Units

**RNA:** Ribonucleic Acid

**RPMI:** RPMI 1640 medium supplemented with 0.9% Pen-Strep and 8.0% fetal bovine serum (FBS)

**SD:** Standard deviation

**Stable transfection:** When DNA is transfected into cultured cells in such a way that it is stably integrated into the cells genome, resulting in the stable expression of transfected genes. Clones of stably transfected cells are selected by stable markers (e.g., resistance to G418).

**TG:** Test Guideline

**Transcription:** mRNA synthesis

**Transactivation:** The initiation of mRNA synthesis in response to a specific chemical signal, such as a binding of an estrogen to the estrogen receptor.

**Validation:** The process by which the reliability and accuracy of a procedure are established for a specific purpose.

**VC:** The vehicle (DMSO) that is used to dissolve test and control chemicals is tested solely as vehicle without dissolved chemical.

22 December 2011

# OECD GUIDELINE FOR THE TESTING OF CHEMICALS

## DRAFT PROPOSAL FOR AN UPDATED TG 455

### Performance-Based Test Guideline for Stably Transfected Transactivation *In Vitro* Assays to Detect Estrogen Receptor Agonists

#### GENERAL INTRODUCTION

##### *Performance-Based Test Guideline*

1. This Performance-Based Test Guideline (PBTG) comprises several mechanistic and functionally similar test methods for the identification of estrogen receptor (i.e., ER $\alpha$ , and/or ER $\beta$ ) agonists and should facilitate the development of new similar or modified test methods in accordance with the principles for validation set forth in the OECD Guidance Document (GD) on the Validation and International Acceptance of New or Updated Test Methods for Hazard Assessment (1). The fully validated reference test methods (Annex 2 and Annex 3) that provide the basis for this PBTG are:

- The Stably Transfected TA assay (STTA) using the (h) ER $\alpha$ -HeLa-9903 cell line (2) and
- The BG1Luc ER TA assay (3) using the BG1Luc-4E2 cell line which predominately expresses hER $\alpha$  with some contribution from hER $\beta$  (4) (5).

Performance standards (PS) (6) are available to facilitate the development and validation of similar test methods for the same hazard endpoint and allow for timely amendment of this PBTG so that new similar test methods can be added to an updated PBTG only after review and agreement that performance standards are met.

##### *Background and principles of the test methods included in the PBTG*

2. The OECD initiated a high-priority activity in 1998 to revise existing, and to develop new, Test Guidelines for the screening and testing of potential endocrine disrupting chemicals. The OECD conceptual framework (CF) for testing and assessment of potential endocrine disrupting chemicals was revised in 2011. The original and revised CFs are included as Annexes in the Guidance Document on Standardised Test Guidelines for Evaluating Chemicals for Endocrine Disruption (7). The revised CF comprises five levels, each level corresponding to a difference level of biological complexity. The ER Transactivation (TA) assays described in this PBTG are level 2, which includes "*in vitro assays providing data about selected endocrine mechanism(s)/pathway(s)*". This PBTG is for *in vitro* Transactivation (TA) test methods designed to identify estrogen receptor (ER) agonists.

3. The interaction of estrogens with ERs can affect transcription of estrogen-controlled genes, which can lead to the induction or inhibition of cellular processes, including those necessary for cell proliferation, normal fetal development, and reproductive function (8) (9) (10). Perturbation of normal estrogenic systems may have the potential to trigger adverse effects on normal development (ontogenesis), reproductive health and the integrity of the reproductive system.

4. *In vitro* TA assays are based on a direct or indirect interaction of the chemical with a specific receptor that regulates the transcription of a reporter gene product. Such assays have been used extensively to evaluate gene expression regulated by specific nuclear receptors, such as ERs (11) (12) (13) (14) (15). They have been proposed for the detection of estrogenic transactivation regulated by the ER (16) (17) (18). There are at least two subtypes of nuclear ERs, termed  $\alpha$  and  $\beta$ , which are encoded by distinct genes and with different tissue distributions, relative ligand binding affinities and biological functions (19) (20) (21) (22) (23) (24) (25). Nuclear ER $\alpha$  mediates the classic estrogenic response (26) (27) (28) (29), and therefore most models currently being developed to measure ER activation are specific to ER $\alpha$ . The assays are used to identify chemicals that activate the ER following ligand binding, after which the receptor-ligand complex binds to specific DNA response elements and transactivates a reporter gene, resulting in increased cellular expression of a marker protein. Different reporter responses can be used in these test methods. In luciferase based systems, the luciferase enzyme transforms the luciferin substrate to a bioluminescent product that can be quantitatively measured with a luminometer. Other examples of common reporters are fluorescent protein and the *LacZ* gene, which encodes  $\beta$ -galactosidase, an enzyme that can transform the colourless substrate X-gal (5-bromo-4-chloro-indolyl-galactopyranoside) into a blue product that can be quantified with a spectrophotometer. These reporters can be evaluated quickly and inexpensively with commercially available test kits.

5. Validation studies of the STTA and the BG1Luc TA assays have demonstrated their relevance and reliability for their intended purpose (3) (4) (5) (30). Performance standards for luminescence-based ER TA assays using ovarian cells lines are included in ICCVAM Test Method Evaluation Report The LUMI-CELL® ER (BG1Luc ER TA) Test Method: An *In Vitro* Assay for Identifying Human Estrogen Receptor Agonist and Antagonist Activity of Chemicals (8). These performance standards have been modified to be applicable to both the STTA and BG1 methods (2).

6. Definitions and abbreviations used in this Test Guideline are described in [Annex 1](#).

#### ***Scope and limitations related to the TA assays***

7. These test methods are being proposed for screening and prioritisation purposes, but can also provide mechanistic information that can be used in a weight of evidence approach. They address TA induced by chemical binding to the ERs in an *in vitro* system. Thus, results should not be directly extrapolated to the complex signaling and regulation of the intact endocrine system *in vivo*.

8. TA mediated by the ERs is considered one of the key mechanisms of endocrine disruption (ED), although there are other mechanisms through which ED can occur, including (i) interactions with other receptors and enzymatic systems within the endocrine system, (ii) hormone synthesis, (iii) metabolic activation and/or inactivation of hormones, (iv) distribution of hormones to target tissues, and (v) clearance of hormones from the body. None of the test methods under this PBTG addresses these modes of action.

9. This PBTG addresses the ability of chemicals to activate (*i.e.* act as agonists) but not to suppress ER-dependent transcription (*i.e.* act as antagonists). Therefore, chemicals that are negative in these test methods should be evaluated in an ER binding assay or in an assay known to detect ER antagonists before concluding that the chemical does not bind to the receptor. In addition, the assay is only likely to inform on the agonist activity of the parent molecule bearing in mind the limited metabolising capacities of the *in vitro* cell systems. Considering that only single substances were used during the validation, the applicability to test mixtures has not been addressed.



22 December 2011

10. For informational purposes Table 1 provides the test results for the 34 chemicals that were tested in both of the fully validated test methods described in this PBTG. Of these chemicals, 26 are classified as definitive ER agonists and 8 negatives based upon published reports, including *in vitro* assays for ER binding and TA, and/or the uterotrophic assay (3) (18) (30) (32) (33) (34) (35). There was 100% agreement between the two test methods on the classifications of all the chemicals, and each chemical was correctly classified as an ER agonist or negative. Supplementary information on this group of chemicals as well as additional chemicals tested in the STTA and BG1 Luc ER TA test methods during the validation studies is provided in the Performance Standards for the ER TA (2), Annex 2 (Tables 1, 2 and 3).

22 December 2011

**Table 1: Comparison of Results from STTA and BG1Luc ER TA Assays for Chemicals Tested in Both Assays and Classified as ER Agonists or Negatives**

Chemical	CASRN	STTA ER TA <sup>1</sup>			BG1Luc ER TA <sup>2</sup>		Data Source For Classification <sup>4</sup>		
		ER TA Activity	PC <sub>10</sub> Value (M)	PC <sub>50</sub> Value <sup>b</sup> (M)	ER TA Activity	EC <sub>50</sub> Value <sup>b,3</sup> (M)	Other ER TAs <sup>c</sup>	ER Binding	Uterotrophic
17-β Estradiol <sup>a</sup>	50-28-2	POS	$<1.00 \times 10^{-11}$	$<1.00 \times 10^{-11}$	POS	$5.63 \times 10^{-12}$	POS 227/227)	POS	POS
17-α Estradiol <sup>a</sup>	57-91-0	POS	$7.24 \times 10^{-11}$	$6.44 \times 10^{-10}$	POS	$1.40 \times 10^{-9}$	POS(11/11)	POS	POS
17-α Ethinyl estradiol <sup>a</sup>	57-63-6	POS	$<1.00 \times 10^{-11}$	$<1.00 \times 10^{-11}$	POS	$4.20 \times 10^{-8}$	POS(22/22)	POS	POS
17-β-Trenbolone	10161-33-8	POS	$1.78 \times 10^{-8}$	$2.73 \times 10^{-7}$	POS	$7.31 \times 10^{-12}$	POS (2/2)	NT	NT
19-Nortestosterone <sup>a</sup>	434-22-0	POS	$9.64 \times 10^{-9}$	$2.71 \times 10^{-7}$	POS	$1.80 \times 10^{-6}$	POS(4/4)	POS	POS
4-Cumylphenol <sup>a</sup>	599-64-4	POS	$1.49 \times 10^{-7}$	$1.60 \times 10^{-6}$	POS	$3.20 \times 10^{-7}$	POS(5/5)	POS	NT
4-tert-Octylphenol <sup>a</sup>	140-66-9	POS	$1.85 \times 10^{-9}$	$7.37 \times 10^{-8}$	POS	$3.19 \times 10^{-8}$	POS(21/24)	POS	POS
Apigenin <sup>a</sup>	520-36-5	POS	$1.31 \times 10^{-7}$	$5.71 \times 10^{-7}$	POS	$1.60 \times 10^{-6}$	POS(26/26)	POS	NT
Atrazine <sup>a</sup>	1912-24-9	NEG	-	-	NEG	-	NEG (30/30)	NEG	NT
Bisphenol A <sup>a</sup>	80-05-7	POS	$2.02 \times 10^{-8}$	$2.94 \times 10^{-7}$	POS	$5.33 \times 10^{-7}$	POS(65/65)	POS	POS
Bisphenol B <sup>a</sup>	77-40-7	POS	$2.36 \times 10^{-8}$	$2.11 \times 10^{-7}$	POS	$1.95 \times 10^{-7}$	POS(6/6)	POS	POS
Butylbenzyl phthalate <sup>a</sup>	85-68-7	POS	$1.14 \times 10^{-6}$	$4.11 \times 10^{-6}$	POS	$1.98 \times 10^{-6}$	POS(12/14)	POS	NEG
Corticosterone <sup>a</sup>	50-22-6	NEG	-	-	NEG	-	NEG ( 6/6 )	NEG	NT
Coumestrol <sup>a</sup>	479-13-0	POS	$1.23 \times 10^{-9}$	$2.00 \times 10^{-8}$	POS	$1.32 \times 10^{-7}$	POS(30/30)	POS	NT
Daidzein <sup>a</sup>	486-66-8	POS	$1.76 \times 10^{-8}$	$1.51 \times 10^{-7}$	POS	$7.95 \times 10^{-7}$	POS(39/39)	POS	POS
Diethylstilbestrol <sup>a</sup>	56-53-1	POS	$<1.00 \times 10^{-11}$	$2.04 \times 10^{-11}$	POS	$3.34 \times 10^{-11}$	POS(42/42)	POS	NT
Di-n-butyl phthalate	84-74-2	POS	$4.09 \times 10^{-6}$		POS	$4.09 \times 10^{-6}$	POS(6/11)	POS	NEG
Ethyl paraben	120-47-8	POS	$5.00 \times 10^{-6}$	(no PC <sub>50</sub> )	POS	$2.48 \times 10^{-5}$	POS		NT
Estrone <sup>a</sup>	53-16-7	POS	$3.02 \times 10^{-11}$	$5.88 \times 10^{-10}$	POS	$2.34 \times 10^{-10}$	POS(26/28)	POS	POS
Genistein <sup>a</sup>	446-72-0	POS	$2.24 \times 10^{-9}$	$2.45 \times 10^{-8}$	POS	$2.71 \times 10^{-7}$	POS(100/102)	POS	POS
Haloperidol	52-86-8	NEG	-	-	NEG	-	NEG (2/2)	NEG	NT
Kaempferol <sup>a</sup>	520-18-3	POS	$1.36 \times 10^{-7}$	$1.21 \times 10^{-6}$	POS	$3.99 \times 10^{-6}$	POS(23/23)	POS	NT
Kepone <sup>a</sup>	143-50-0	POS	$7.11 \times 10^{-7}$	$7.68 \times 10^{-6}$	POS	$4.91 \times 10^{-7}$	POS(14/18)	POS	NT
Ketoconazole	65277-42-1	NEG	-	-	NEG	-	NEG (2/2)	NEG	NT
Linuron <sup>a</sup>	330-55-2	NEG	-	-	NEG	-	NEG (8/8 )	NEG	NT
meso-Hexestrol <sup>a</sup>	84-16-2	POS	$<1.00 \times 10^{-11}$	$2.75 \times 10^{-11}$	POS	$1.65 \times 10^{-11}$	POS(4/4)	POS	NT
Methyl testosterone <sup>a</sup>	58-18-4	POS	$1.73 \times 10^{-7}$	$4.11 \times 10^{-6}$	POS	$2.68 \times 10^{-6}$	POS(5/6)	POS	NT
Morin	480-16-0	POS	$5.43 \times 10^{-7}$	$4.16 \times 10^{-6}$	POS	$2.37 \times 10^{-6}$	POS(2/2)	POS	NT
Norethynodrel <sup>a</sup>	68-23-5	POS	$1.11 \times 10^{-11}$	$1.50 \times 10^{-9}$	POS	$9.39 \times 10^{-10}$	POS(5/5)	POS	NT
p,p'-Methoxychlor <sup>a</sup>	72-43-5	POS	$1.23 \times 10^{-6}$	(no PC <sub>50</sub> ) <sup>b</sup>	POS	$1.92 \times 10^{-6}$	POS(24/27)	POS	POS
Phenobarbital <sup>a</sup>	57-30-7	NEG	-	-	NEG	-	NEG(2/2)	NEG	NT
Reserpine	50-55-5	NEG	-	-	NEG	-	NEG(4/4)	NEG	NT
Spironolactone <sup>a</sup>	52-01-7	NEG	-	-	NEG	-	NEG(4/4)	NEG	NT
Testosterone	58-22-0	POS	$2.82 \times 10^{-8}$	$9.78 \times 10^{-6}$	POS	$1.75 \times 10^{-5}$	POS(5/10)	POS	NT

22 December 2011

Abbreviations: CASRN = Chemical Abstracts Service Registry Number; M = molar; EC<sub>50</sub> = half maximal effective concentration of test chemical; NEG = negative; POS = positive; PC<sub>10</sub> (and PC<sub>50</sub>) = the concentration of a test chemical at which the response is 10% (or 50 % for PC<sub>50</sub>) of the response induced by the positive control (E2, 1nM) in each plate.

<sup>a</sup>Common chemicals tested in the STTA ER TA and BG1Luc ER TA that were designated as ER Agonists or negatives and used to evaluate accuracy in the BG1 Luc ER TA validation study ( ICCVAM BG1Luc ER TA Evaluation Report, Table 4-1 (3).

<sup>b</sup>Maximum concentration tested in the absence of limitations due to cytotoxicity or insolubility was 1 x 10<sup>-5</sup> M (STTA ER TA) and 1 x 10<sup>-3</sup> M (BG1Luc ER TA).

<sup>c</sup>Number in parenthesis represents the test results classified as positive (POS) or negative (NEG) over the total number of referenced studies.

<sup>1</sup>Values reported in Draft Report of Pre-validation and Inter-laboratory Validation For Stably Transfected Transcriptional Activation (TA) Assay to Detect Estrogenic Activity - The Human Estrogen Receptor Alpha Mediated Reporter Gene Assay Using hER-HeLa-9903 Cell Line (30)

<sup>2</sup>ICCVAM Test Method Evaluation Report on the LUMI-CELL<sup>®</sup> ER (BG1Luc ER TA) Test Method: An *In Vitro* Method for Identifying ER Agonists and Antagonists (3)

<sup>3</sup>Mean EC<sub>50</sub> values were calculated with values reported by the laboratories of the BG1Luc ER TA validation study (XDS, ECVAM, and Hiyoshi) (3).

<sup>4</sup>Classification as an ER agonist or negative was based upon information in the ICCVAM Background Review Documents (BRD) for ER Binding and TA test methods (31) as well as information obtained from publications published and reviewed after the completion of the ICCVAM BRDs (3) (18) (30) (32) (33) (34) (35).

## COMMON ELEMENTS FOR ALL TEST METHODS

### *Estrogen TA Assay Test Method Components*

11. This PBTG applies to methods using a stably transfected or endogenous ER $\alpha$  receptor and stably transfected reporter gene construct under the control of one or more estrogen response elements; however, other receptors such as ER $\beta$  may be present. These are *invariable* test method components.

### *Control substances*

12. The basis for the proposed concurrent reference estrogen and controls should be described. Concurrent controls (negative, solvent, and positive), as appropriate, serve as an indication that the test method is operative under the test conditions and provide a basis for experiment-to-experiment comparisons; they are usually part of the acceptability criteria for a given experiment (1).

### *Standard Quality Control Procedures*

13. Standard quality control procedures should be performed as described for each assay to ensure the cell line remains stable through multiple passages, remains mycoplasma-free, and retains the ability to provide the expected ER-mediated responses over time. Cell lines should be further checked for their correct identity as well as for other contaminants (e.g. fungi, yeast and viruses).

### *Demonstration of Laboratory Proficiency*

14. Prior to testing unknown chemicals with any of the test methods under this PBTG, the responsiveness of the test system should be confirmed by each laboratory with independent testing of the 14 proficiency chemicals listed in Table 2. This list is a subset of the Reference Chemicals provided in the Performance Standards for the ER TA (6). These chemicals are commercially available, represent the classes of chemicals commonly associated with ER agonist activity, exhibit a suitable range of potency expected for ER agonists (i.e., strong to weak) and negatives. Testing of these chemicals should be replicated at least twice, on different days. Proficiency is demonstrated by correct classification (positive/negative) of each proficiency chemical. Proficiency testing should be repeated by each technician when learning the test methods.

22 December 2011

**Table 2: List of (14) Proficiency Chemicals**

Chemical Name	CASRN	Expected Response <sup>1</sup>	STTA ER TA			BG1Luc ER TA		MeSH Chemical Class <sup>5</sup>	Product Class <sup>6</sup>
			PC10 Value (M) <sup>2</sup>	PC <sub>50</sub> Value (M) <sup>2</sup>	Test concentration range (M)	Bg1Luc EC <sub>50</sub> Value (M) <sup>3</sup>	Highest Concentration for Range Finder (M) <sup>4</sup>		
Diethylstilbestrol	56-53-1	POS	$<1.00 \times 10^{-11}$	$2.04 \times 10^{-11}$	$10^{-14} - 10^{-8}$	$3.34 \times 10^{-11}$	$3.73 \times 10^{-4}$	Hydrocarbon (Cyclic)	Pharmaceutical, Veterinary Agent
17 $\alpha$ -Estradiol	57-91-0	POS	$4.27 \times 10^{-11}$	$6.44 \times 10^{-10}$	$10^{-11} - 10^{-5}$	$1.40 \times 10^{-9}$	$3.67 \times 10^{-3}$	Steroid	Pharmaceutical, Veterinary Agent
<i>meso</i> -Hexestrol	84-16-2	POS	$<1.00 \times 10^{-11}$	$2.75 \times 10^{-11}$	$10^{-11} - 10^{-5}$	$1.65 \times 10^{-11}$	$3.70 \times 10^{-3}$	Hydrocarbon (Cyclic), Phenol	Pharmaceutical, Veterinary Agent
4- <i>tert</i> -Octylphenol	140-66-9	POS	$1.85 \times 10^{-9}$	$7.37 \times 10^{-8}$	$10^{-11} - 10^{-5}$	$3.19 \times 10^{-8}$	$4.85 \times 10^{-3}$	Phenol	Chemical Intermediate
Genistein	446-72-0	POS	$2.24 \times 10^{-9}$	$2.45 \times 10^{-8}$	$10^{-11} - 10^{-5}$	$2.71 \times 10^{-7}$	$3.70 \times 10^{-4}$	Flavonoid, Heterocyclic Compound	Natural Product, Pharmaceutical
Bisphenol A	80-05-7	POS	$2.02 \times 10^{-8}$	$2.94 \times 10^{-7}$	$10^{-11} - 10^{-5}$	$5.33 \times 10^{-7}$	$4.38 \times 10^{-3}$	Phenol	Chemical Intermediate
Kaempferol	520-18-3	POS	$1.36 \times 10^{-7}$	$1.21 \times 10^{-6}$	$10^{-11} - 10^{-5}$	$3.99 \times 10^{-6}$	$3.49 \times 10^{-3}$	Flavonoid, Heterocyclic Compound	Natural Product
Butylbenzyl phthalate	85-68-7	POS	$1.14 \times 10^{-6}$	$4.11 \times 10^{-6}$	$10^{-11} - 10^{-5}$	$1.98 \times 10^{-6}$	$3.20 \times 10^{-4}$	Carboxylic Acid, Ester, Phthalic Acid	Plasticizer, Industrial Chemical
<i>p,p'</i> -Methoxychlor	72-43-5	POS	$1.23 \times 10^{-6}$	-	$10^{-11} - 10^{-5}$	$1.92 \times 10^{-6}$	$2.89 \times 10^{-3}$	Hydrocarbon (Halogenated)	Pesticide, Veterinary Agent
Ethyl paraben	120-47-8	POS	$5.00 \times 10^{-6}$	-	$10^{-11} - 10^{-5}$	$2.48 \times 10^{-5}$	$6.02 \times 10^{-3}$	Carboxylic Acid, Phenol	Pharmaceutical, Preservative
Atrazine	1912-24-9	NEG	-	-	$10^{-10} - 10^{-4}$	-	$4.64 \times 10^{-4}$	Heterocyclic Compound	Herbicide
Spironolactone	52-01-7	NEG	-	-	$10^{-11} - 10^{-5}$	-	$2.40 \times 10^{-3}$	Lactone, Steroid	Pharmaceutical
Ketoconazole	65277-42-1	NEG	-	-	$10^{-11} - 10^{-5}$	-	$9.41 \times 10^{-5}$	Heterocyclic	Pharmaceutical

22 December 2011

								Compound	
Reserpine	50-55-5	NEG	-	-	$10^{-11} - 10^{-5}$	-	$1.64 \times 10^{-3}$	Heterocyclic Compound, Indole	Pharmaceutical, Veterinary Agent

Abbreviations: CASRN = Chemical Abstracts Service Registry Number;  $EC_{50}$  = half maximal effective concentration of test chemical; NEG = negative; POS = positive;  $PC_{10}$  (and  $PC_{50}$ ) = the concentration of a test chemical at which the response is 10% (or 50 % for  $PC_{50}$ ) of the response induced by the positive control (E2, 1nM) in each plate.

<sup>1</sup>Classification as positive or negative for ER agonist activity was based upon the ICCVAM Background Review Documents (BRD) for ER Binding and TA test methods (31) (32) as well as empirical data and other information obtained from referenced studies published and reviewed after the completion of the ICCVAM BRDs (3) (18) (30) (31) (32) (33) (34) (35).

<sup>2</sup>Values reported in Draft Report of Pre-validation and Inter-laboratory Validation For Stably Transfected Transcriptional Activation (TA) Assay to Detect Estrogenic Activity - The Human Estrogen Receptor Alpha Mediated Reporter Gene Assay Using hER-HeLa-9903 Cell Line (30).

<sup>3</sup>Mean  $EC_{50}$  values were calculated with values reported by the laboratories of the BGILuc ER TA validation study (XDS, ECVAM, and Hiyoshi) (3).

<sup>4</sup>Concentrations reported were the highest concentrations tested (range finder) during the validation of the BGILuc ER TA. If concentrations differed between the laboratories, the highest concentration is reported. See table 4-10 of ICCVAM Test Method Evaluation Report; The LUMI-Cell<sup>®</sup>ER (BGILuc ER TA) Test Method: An *In Vitro* Assay for Identifying Human Estrogen Receptor Agonist and Antagonist Activity of Chemicals (3).

<sup>5</sup>Substances were assigned into one or more chemical classes using the U.S. National Library of Medicine's Medical Subject Headings (MeSH), an internationally recognized standardized classification scheme (available at: <http://www.nlm.nih.gov/mesh>).

<sup>6</sup>Substances were assigned into one or more product classes using the U.S. National Library of Medicine's Hazardous Substances Database (available at: <http://toxnet.nlm.nih.gov/cgi-bin/sis/htmlgen?HSDB>)

### ***Test Run Acceptability Criteria***

15. Acceptance or rejection of a test run is based on the evaluation of results obtained for the reference estrogen and controls used for each experiment. Values for the PC<sub>50</sub> or EC<sub>50</sub> values for the reference estrogen should meet the acceptable criteria as provided for the selected test method (e.g., STTA (Annex 2) or BG1Luc ER TA (Annex 3)), and all positive/negative controls should be correctly classified for each accepted experiment. The ability to consistently conduct the test method should be demonstrated by the development and maintenance of a historical database for the reference estrogen and controls. Standard deviations (SD) or coefficients of variation (CV) for the means of reference estrogen curve fitting parameters from multiple experiments may be used as a measure of within-laboratory reproducibility.

In addition, the following principles regarding acceptability criteria should be met:

- Data should be sufficient for a quantitative assessment of ER activation (i.e., efficacy and potency).
- The mean reporter activity of the reference concentration of estrogen should be at least the minimum specified in the test methods relative to that of the vehicle (solvent) control to ensure adequate sensitivity. For the STTA and BG1Luc ER TA test methods, this is four times that of the mean vehicle control on each plate.
- The concentrations tested should remain within the solubility range of the test chemical and not demonstrate cytotoxicity.

### ***Analysis of data***

16. Each test method should establish a well-defined method for classifying a positive and negative response.

17. Meeting the acceptability criteria (paragraph 15) indicates the assay system is operating properly, but it does not ensure that any particular test will produce accurate data. Replicating the results of the first test is the best indication that accurate data were produced. If two tests give reproducible results (e.g., both test results indicate a substance is positive), it is not necessary to conduct a third test.

18. If two results do not give reproducible results (e.g., a substance is positive in one test and negative in the other test), or if a higher degree of certainty is required regarding the outcome of this assay, at least three independent tests should be conducted.

### ***General Data Interpretation Criteria***

19. There is currently no universally agreed method for interpreting ER-TA data. However, both qualitative (e.g., positive/negative) and/or quantitative (e.g., EC<sub>50</sub>, PC<sub>50</sub>) assessments of ER-mediated activity should be based on empirical data and sound scientific judgement. Where possible, positive results should be characterised by both the magnitude of the effect as compared to the vehicle (solvent) control or reference estrogen and the concentration at which the effect occurs (e.g., an EC<sub>50</sub>, PC<sub>50</sub>, RPCMax, etc.).

### ***Test Report***

20. The test report should include the following information:

22 December 2011

Test method:

- Test method used;

Test substance:

- identification data and Chemical Abstracts Service Registry Number (CAS RN), if known;
- physical nature and purity;
- physicochemical properties relevant to the conduct of the study;
- stability of the test substance;

Solvent/Vehicle:

- characterisation (nature, supplier and lot);
- justification for choice of solvent/vehicle;
- solubility and stability of the test substance in solvent/vehicle, if known;

Cells:

- type and source of cells:
  - Is ER endogenously expressed? If not, which receptor(s) were Transfected;
  - Species of origin of the receptorReporter construct(s) used (including source species);
  - Transfection method;
  - Selection method for maintenance of stable transfection (where applicable);
  - Is the transfection method relevant for stable lines?
- number of cell passages (from thawing);
- passage number of cells at thawing;
- methods for maintenance of cell cultures;

Test conditions:

- solubility limitations;
- description of the methods of assessing viability applied;
- composition of media, CO<sub>2</sub> concentration;
- concentration of test substance;
- volume of vehicle and test substance added;
- incubation temperature and humidity;
- duration of treatment;
- cell density at the start of - and during treatment;
- positive and negative reference chemicals;
- duration of treatment period;
- reporter reagents (Product name, supplier and lot);
- criteria for considering tests as positive, negative or equivocal;

Reliability check:

- fold inductions for each assay plate and whether they meet the minimum required by the test method based on historical controls;
- actual log<sub>10</sub>EC<sub>50</sub>, log<sub>10</sub>PC<sub>50</sub>, and Hillslope values for concurrent positive controls/reference substances;



22 December 2011

Results:

- raw and normalised data;
- the maximum fold induction level;
- cytotoxicity data;
- if it exists, the lowest effective concentration (LEC);
- PRCMax, PCMax, PC<sub>50</sub> and/or EC<sub>50</sub> values, as appropriate;
- concentration-response relationship, where possible;
- statistical analyses, if any, together with a measure of error (*e.g.* SEM, SD, CV or 95% CI) and a description of how these values were obtained;

Discussion of the results

Conclusion

22 December 2011

## LITERATURE

1. OECD, Guidance Document No. 34: Guidance Document on the Validation and International Acceptance of New or Updated Test Methods for Hazard Assessment, 2005, OECD, Paris.
2. OECD, Test No. 455: The Stably Transfected Human Estrogen Receptor-alpha Transcriptional Activation Assay for Detection of Estrogenic Agonist-Activity of Chemicals, in OECD Guidelines for the Testing of Chemicals, Section 4: Health Effects. 2009, OECD Publishing: Paris. Available at: [<http://dx.doi.org/10.1787/9789264076372-en>]
3. ICCVAM, ICCVAM Test Method Evaluation Report on the LUMI-CELL<sup>®</sup> ER (BG1Luc ER TA) Test Method An In Vitro Method for Identifying ER Agonists and Antagonists. 2011, National Institute of Environmental Health Sciences: Research Triangle Park, NC
4. Pujol, P., et al., Differential expression of estrogen receptor-alpha and -beta messenger RNAs as a potential marker of ovarian carcinogenesis. *Cancer Res*, 1998. 58(23): p. 5367-73.
5. Rogers, J.M. and M.S. Denison, Recombinant cell bioassays for endocrine disruptors: development of a stably transfected human ovarian cell line for the detection of estrogenic and anti-estrogenic chemicals. *In Vitro and Molecular Toxicology: Journal of Basic and Applied Research*, 2000. 13(1): p. 67-82.
6. OECD, Draft Proposed Performance Standards For Stably Transfected Transactivation *In Vitro* Assay to Detect Estrogen Receptor Agonists (TG 455). 2012. OECD, Paris.
7. OECD, Draft Guidance Document (No. 150) on Standardized Test Guidelines for Evaluating Chemicals for Endocrine Disruption, 2011, OECD, Paris. Available at: [[http://www.oecd.org/document/12/0,3343,en\\_2649\\_34377\\_1898188\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/12/0,3343,en_2649_34377_1898188_1_1_1_1,00.html)]
8. Cavailles, V., Estrogens and receptors: an evolving concept. *Climacteric*, 2002. 5 Suppl 2: p. 20-6.
9. Welboren, W.J., et al., Genomic actions of estrogen receptor alpha: what are the targets and how are they regulated? *Endocr Relat Cancer*, 2009. 16(4): p. 1073-89.
10. Younes, M. and N. Honma, Estrogen receptor beta. *Arch Pathol Lab Med*, 2011. 135(1): p. 63-6.
11. Jefferson, W.N., et al., Assessing estrogenic activity of phytochemicals using transcriptional activation and immature mouse uterotrophic responses. *Journal of Chromatography B*, 2002. 777(1-2): p. 179-189.
12. Sonneveld, E., et al., Comparison of in vitro and in vivo screening models for androgenic and estrogenic activities. *Toxicol Sci*, 2006. 89(1): p. 173-187.
13. Takeyoshi, M., et al., The efficacy of endocrine disruptor screening tests in detecting anti-estrogenic effects downstream of receptor-ligand interactions. *Toxicology Letters*, 2002. 126(2): p. 91-98.

22 December 2011

14. Combes, R.D., Endocrine disruptors: a critical review of in vitro and in vivo testing strategies for assessing their toxic hazard to humans. *ATLA Alternatives to Laboratory Animals*, 2000. 28(1): p. 81-118.
15. Escande, A., et al., Evaluation of ligand selectivity using reporter cell lines stably expressing estrogen receptor alpha or beta. *Biochem Pharmacol*, 2006. 71(10): p. 1459-69.
16. Gray, L.E. Jr. (1998), Tiered screening and testing strategy for xenoestrogens and antiandrogens. *Toxicol. Lett.*, 102-103, 677-680.
17. EDSTAC (1998), Endocrine Disruptor Screening and Testing Advisory Committee (EDSTAC) Final report. Available at: [<http://www.epa.gov/scipoly/oscpendo/pubs/edspoverview/finalrpt.htm>]
18. ICCVAM (2003), ICCVAM Evaluation of *In Vitro* Test Methods for Detecting Potential Endocrine Disruptors: Estrogen Receptor and Androgen Receptor Binding and Transcriptional Activation Assays. Available at: [<http://iccvam.niehs.nih.gov/methods/endocrine.htm#fineval>]; Addendum, 2006, [[http://iccvam.niehs.nih.gov/docs/endo\\_docs/EDAddendFinal.pdf](http://iccvam.niehs.nih.gov/docs/endo_docs/EDAddendFinal.pdf)]
19. Gustafsson, J.Ö., Estrogen receptor  $\beta$  - A new dimension in estrogen mechanism of action. *Journal of Endocrinology*, 1999. 163(3): p. 379-383.
20. Ogawa, S., et al., The complete primary structure of human estrogen receptor  $\beta$  (hER $\beta$ ) and its heterodimerization with ER $\alpha$  *in vivo* and *in vitro*. *Biochemical and Biophysical Research Communications*, 1998. 243(1): p. 122-126.
21. Enmark, E., et al., Human estrogen receptor  $\beta$ -gene structure, chromosomal localization, and expression pattern. *Journal of Clinical Endocrinology and Metabolism*, 1997. 82(12): p. 4258-4265.
22. Ball, L.J., et al., Cell type- and estrogen receptor-subtype specific regulation of selective estrogen receptor modulator regulatory elements. *Molecular and Cellular Endocrinology*, 2009. 299(2): p. 204-211.
23. Barkhem, T., et al., Differential response of estrogen receptor alpha and estrogen receptor beta to partial estrogen agonists/antagonists. *Mol Pharmacol*, 1998. 54(1): p. 105-12.
24. Deroo, B.J. and A.V. Buensuceso, Minireview: Estrogen receptor- $\beta$ : Mechanistic insights from recent studies. *Molecular Endocrinology*, 2010. 24(9): p. 1703-1714.
25. Harris, D.M., et al., Phytoestrogens induce differential estrogen receptor alpha- or beta-mediated responses in transfected breast cancer cells. *Experimental Biology and Medicine*, 2005. 230(8): p. 558-568.
26. Anderson, J.N., J.H. Clark, and E.J. Peck Jr, The relationship between nuclear recepto-estrogen binding and uterotrophic responses. *Biochemical and Biophysical Research Communications*, 1972. 48(6): p. 1460-1468.
27. Toft, D., The interaction of uterine estrogen receptors with DNA. *Journal of Steroid Biochemistry*, 1972. 3(3): p. 515-522.

22 December 2011

28. Gorski, J., et al., Hormone receptors: studies on the interaction of estrogen with the uterus. *Recent Progress in Hormone Research*, 1968. 24: p. 45-80.
29. Jensen, E.V., et al., Estrogen-receptor interactions in target tissues. *Archives d'Anatomie Microscopique et de Morphologie Experimentale*, 1967. 56(3):p. 547-569.
30. Takeyoshi, M., Draft Report of Pre-validation and Inter-laboratory Validation For Stably Transfected Transcriptional Activation (TA) Assay to Detect Estrogenic Activity - The Human Estrogen Receptor Alpha Mediated Reporter Gene Assay Using hER-HeLa-9903 Cell Line. 2006, Chemicals Evaluation and Research Institute (CERI): Japan. p. 1-188.
31. ICCVAM, Background Review Document: Estrogen Receptor Binding. Appendix D, Substances Tested in the ER Binding Assay, 2002. NIH Publication Report No. 03-4504. Available at: [[http://iccvam.niehs.nih.gov/docs/endo\\_docs/final1002/erbndbrd/ERBd034504.pdf](http://iccvam.niehs.nih.gov/docs/endo_docs/final1002/erbndbrd/ERBd034504.pdf)]
32. ICCVAM, Background Review Document: Estrogen Receptor Transcriptional Activation (TA) Assay. Appendix D, Substances Tested in the ER TA Assay, 2002. NIH Publication Report No. 03-4505. Available at: [[http://iccvam.niehs.nih.gov/docs/endo\\_docs/final1002/erta\\_brd/ERTA034505.pdf](http://iccvam.niehs.nih.gov/docs/endo_docs/final1002/erta_brd/ERTA034505.pdf)]
33. Kanno, J, et al., The OECD program to validate the rat uterotrophic bioassay to screen compounds for in vivo estrogenic responses: Phase 1. 2001, *Environ Health Perspect.* 109:785-94.
34. Kanno J, et al., The OECD program to validate the rat uterotrophic bioassay: Phase Two - Dose Response Studies. 2003, *Environ. Health Persp.* 111:1530-1549
35. Kanno J, et al., The OECD program to validate the rat uterotrophic bioassay: Phase Two – Coded Single Dose Studies. *Environ. Health Persp.* 111:1550-1558.