

authority.

In FY2011, we revised the document sample to be more harmonized with Q11 Guideline based on Example 4 in ICH Q11 Guideline. For the revision, we disclosed the results of the research in FY2010 on the website of NIHS to request comments from the public, and reflected the obtained comments. Moreover, we considered the points to concern for describing manufacturing processes of drug products developed by the methodology of Quality by Design (QbD)* in AF, and created the example of description in Manufacturing Methods in AF both in Japanese and English versions.

Glossary

- Quality by Design (QbD): A systematic approach to development that begins with predefined objectives and emphasizes product and process understanding and process control, based on sound science and quality risk management (ICH Q8(R2))

B. Research Methods

This research group is formed by researchers and technical experts, who belong to Japan Pharmaceutical Manufacturers Association (domestic or foreign companies) or Japan Bulk Pharmaceutical Manufacturers

Association, together with reviewers and inspectors of PMDA. As Pfizer Japan Inc. proposed to provide a sample data, this document sample was created based on the development data of Torcetrapib, which was developed by the methodology of QbD. We disclosed the result of FY2011 on the website of Division of Drugs of NIHS, and collected comments from Jun to Sep. We held the research group conference for 5 times (2011: Jun 29, Sep 27, Dec 6; 2012: Jan 19, Mar 27) and subcommittee for 2 times (2012: Jan 13, Mar 15), and then revised the document sample with reference to the obtained comments.

Upon the research, we referred to the following ICH guidelines and papers:

- 1) Q8 (R2): Pharmaceutical Development (http://www.pmda.go.jp/ich/q/q8r2_10_6_28.pdf)
- 2) Q9: Quality Risk Management (http://www.pmda.go.jp/ich/q/q9_06_9_1.pdf)
- 3) Q10: Pharmaceutical Quality System (http://www.pmda.go.jp/ich/q/step5_q10_10_02_19.pdf)
- 4) Quality Implementation Working Group on Q8, Q9 and Q10 Questions & Answers (R4) (http://www.pmda.go.jp/ich/q/qiwgq&a_10_9_17.pdf)
- 5) ICH QUALITY IMPLEMENTATION WORKING GROUP POINTS TO CONSIDER (R2) ICH-Endorsed Guide for ICH Q8/Q9/Q10 Implementation

<http://www.ich.org/products/guidelines/quality/article/quality-guidelines.html>)

6) Guidance Relating to Manufacturing/Marketing Approval Application Registries for Medicines based on the Revised Pharmaceutical Affairs Law (PAB/PCD Notification No. 0210001 as of Feb 10, 2005)

(Consideration for ethical aspects)

There is no item requiring consideration for ethical aspects, since this is a research of the quality guidelines for drug products in Japan, US, and EU, as well as a research of investigating the actual conditions for quality criteria and manufacturing processes, etc.

C. Research Results

I. The creation of the final version of the document sample of Sakuramil

1) The relationship between the target product quality profile of drug products and CQAs of drug substances

In Q11 Guideline, it is recommended to specify Critical Quality Attributes (CQAs) * of drug substances by connecting with Quality Target Product Profile (QTPP)* of drug products and CQAs of products. In the guideline, it is described that “The intended quality of the drug substance should be determined through consideration of its use in the drug product as well as from knowledge and understanding of its physical, chemical,

biological, and microbiological properties or characteristics, which can influence the development of the drug product (e.g., the solubility of the drug substance can affect the choice of dosage form). The Quality Target Product Profile (QTPP) and potential CQAs of the drug product (as defined in ICH Q8) can help identify potential CQAs of the drug substance. Knowledge and understanding of the CQAs can evolve during the course of development.” In this document sample, we also described QTPP and CQAs of the drug product of Sakuramil as recommended in Q11.

2) Description of the validity of starting materials selected in accord with the principles for the selection in Q11

In Q11 Guideline, it is requested for applicants to explain the validity of the selection of starting materials to the regulatory authority, and therefore the following information is necessary to show the validity:

- The ability of analytical procedures to detect impurities in the starting material
- Impurities in starting materials in subsequent process and the fate of their derivatives
- The degree of contribution of specifications of starting materials to quality control strategies for drug substances

In this document sample, we discussed the validity of the selection of starting

materials by adding the figure for impurities in starting materials and the fate of their derivatives.

3) Use of appropriate terminology

We unified terminology and kept its consistency through close examination of the document sample.

4) Addition of explanation

Since we obtained comments for the document sample of FY2010 asking for the reason of the description, we described reasons when explanation is necessary, so that the background and reason of description can be understood simply by reading this document sample.

II. Description in Manufacturing Methods in AF

1) Introduction

In the quality regulation system in Japan, process parameters (PPs) pre-determined in Manufacturing Methods in Application Form (AF) should be described separately in 2 categories based on the assessment result of the impact on final products when they are changed. We discussed how to describe AF in cases where R&D in accord with QbD are implemented, and created the sample of description in Manufacturing Methods in AF based on the discussion. The background and objective of the creation of the sample are described in the following.

2) Current AF

AF is required to be submitted only in

Japan, and it is a component of Module I (regional requirements) in CTD format. Quality of drug products and the appropriateness of manufacturing methods and process control are reviewed based on the information described in Module II and III in CTD, and items described in AF are subject to regulations of the Pharmaceutical Affairs Law. Meanwhile, the description of Module III itself is subject to pharmaceutical regulations in Europe and US. In Q11 Guideline, it is also mentioned at the beginning of “4.Description of manufacturing process and process controls” that “The description of the drug substance manufacturing process represents the applicant’s commitment for the manufacture of the drug substance. Information should be provided to adequately describe the manufacturing process and process controls (see ICH M4Q (3.2.S.2.2).” Internationally, the description written in “Description of Manufacturing Process and Process Controls” in CTD 3.2.S.2.2 is subject to pharmaceutical regulations.

Figure 1

In the approval system in Japan, when describing manufacturing methods and process control in Manufacturing Methods, it is required to select whether those are included in items that require applications for partial changes in approval for any

change (hereinafter referred to as “items requiring approval for partial change”)* or items that can be changed by simply submitting a minor change notice (hereinafter referred to as “items requiring only a minor change notice”).* For drug substances of chemical entities, the followings are examples of items requiring approval for partial change: changes in the reaction process; changes in the outline of process operations after the final intermediate and raw materials used; changes in the outline of process operations (when the process is important) and raw materials used; changes in information on the test method and judgment criteria when important intermediates and important processes are tested as part of the release test; changes in items that require particularly strict control among those related to the starting materials, important intermediates, and control criteria and methods for raw materials; changes in test methods and judgment criteria that require particularly strict control among those used to guarantee that parameters related to the final and important processes, as well as these processes, are adequately controlled.

In order to flexibly utilize the operating conditions described in AF, the system to set target/set values* is adopted in Japan. Regarding PPs which are determined as target values, the acceptable ranges of target/set values is set in the standard operating procedures (SOPs). As a matter of course, manufacturing

equipment should be controlled and set in accord with the pre-determined PPs at the time of manufacture. However, in the actual situations in manufacture, it is assumed that there are cases where values are varied within certain ranges, and do not accord with the pre-determined PPs. It is not appropriate to regard every deviation of PPs as a violation of approval, and hence not allow their shipment.

Therefore, for PPs which do not have impact on quality when they are varied within the range of variation, it is considered reasonable to define those PPs as target/set values and specify their ranges of variation in the product master formula or SOPs instead of AF. By the introduction of target/set values, it became possible to accept variations as long as they are within the pre-determined ranges, and if actual measured values are not within the range of variation in the commercial production, it also became possible to assess the validity of drug products manufactured under deviated conditions by the specifications GMP deviation control.

3) Risk-based description of manufacturing methods of Sakuramil

By a system which allows the flexible application of regulations, it became possible to classify items into those requiring approval for partial change or those requiring only a minor change notice at the time of application, as well as to describe PPs as target/set values.

However, regarding what procedures should be taken to include the description of manufacturing methods in AF, both the industry and the regulatory authority hardly have any experience, and hence it was difficult for applicants and regulatory personnel to share the achievement of QbD. Therefore, we clarified the manufacturing process development and risk management of Sakuramil, and created the flow diagram covering items for R&D through to items described in AF (the figure in Appendix of the document sample – 4).

Regarding the creation of this flow diagram, we reflected the opinions concerning the criticality in “Points to Consider: Relationship between risk and criticality created by ICH Q-IWG (Quality Implementation Working Group)”. In the above document, it is mentioned that “Risk includes severity of harm, probability of occurrence, and detectability, and therefore the level of risk can change as a result of risk management. Quality Attribute criticality is primarily based upon severity of harm and does not change as a result of risk management. Process Parameter criticality is linked to the parameter’s effect on any critical quality attribute. It is based on the probability of occurrence and detectability and therefore can change as a result of risk management.” In accord with this understanding, CQAs are determined only by severity of harm in

this flow diagram.

PPs other than those judged to have no impact by risk assessment are identified in a typical scheme of R&D of drug substances in accord with QbD (the development of Sakuramil is also a typical example). We included those PPs in the Design of Experiments (DoE), and assessed the degree of impact on CQAs by variation of each PP. As a result of analysis by DoE, we concluded that if PPs have no negative impact on quality unless they are varied in unrealistic range, it is not necessary to regard them as CPPs but as “other PPs” even when they are considered to have significant impact on CQAs from statistical and functional perspectives (Critical Process Parameter (CPP)* in the definition in Q8). In addition, “other PPs” includes PPs that cause no statistically significant variation on CQAs as a result of DoE, and considered to have hardly any impact on CQAs. Meanwhile, we regard PPs as CPPs if they have a negative impact on CQAs when varied within the assumable ranges. Hence, we added PPs which are proved to have no impact by risk assessment, and classified PPs into 3 stages.

Need of description and classification of minor notification/partial change in AF are resulted from risk assessment and obtaining the agreement from the regulatory authority are included in the process of risk communication.

Therefore, description of those items will be determined on a case-by-case basis as the description includes reliability of the used model, quality system of applicants, and robustness of supply chains, etc.

In this document sample, we assumed that it is possible to classify PPs by the level of risk when they are judged CPPs by risk assessment: if risk can be reduced by risk control, those CPPs are ranked as medium risk; or otherwise, those CPPs are ranked as high risk. Based on this assumption, PPs are classified into the following categories: (1) CPPs ranked as high risk; (2) CPPs ranked as medium risk; (3) other PPs ranked as medium risk; (4) PPs judged to have no impact by the risk assessment.

We considered that, when describing PPs in AF, PPs can be regarded as items that can be changed by simply submitting a minor change notice if they are other PPs, or PPs which are CPPs but their risk level was decreased to medium by setting appropriate control strategies for risk control. Further, we proposed a measure to set PPs with appropriate ranges depending on judgment of applicants. By introducing this measure, it becomes possible to change PPs within the pre-determined ranges in accord with quality system manufacturing companies, as well as to change the ranges themselves by submitting a minor change notice.

The risk of variation in PPs is different

depending on whether Design Space (DS)* is set or not. We decided to describe the components of DS in AF because it is necessary to know which components constitute DS during the reviews, inspections and change controls over product life cycle.

Glossary

- Critical Quality Attribute (CQA): A physical, chemical, biological or microbiological property or characteristic that should be within an appropriate limit, range, or distribution to ensure the desired product quality (ICH Q8(R2))
- Quality Target Product Profile (QTPP): A prospective summary of the quality characteristics of a drug product that ideally will be achieved to ensure the desired quality, taking into account safety and efficacy of the drug product (ICH Q8(R2))
- Items subject to partial change approval application: When changing manufacturing methods, the content of change needs to be submitted to the regulatory authority with attachment to prove the validity the change. The change is made only after those are reviewed and approved.
- Items that can be changed by simply submitting a minor change notice: When changing manufacturing methods, the content of change needs to be submitted to the regulatory

authority within 30 days after shipment of products. Materials to support the validity of the change should be stored within the companies.

- **Target/Set values:** Target values are defined as values obtained as a result of implementing a manufacturing process (e.g., values obtained by measurement), whereas Set values refer to values pre-determined in order to establish the condition for a manufacturing process. Whether target values and/or set values should be established and whether these values need an application for partial change in approval or simply a minor change notice suffices in order to change them should be determined on a case-by-case basis for each manufacturing process (PFSB/ELD Notification No. 0210001 as of Feb/10/2005).
- **Critical Process Parameter (CPP):** A process parameter whose variability has an impact on a critical quality attribute and therefore should be monitored or controlled to ensure the process produces the desired quality (ICH Q8(R2))
- **Design Space (DS):** The multidimensional combination and interaction of input variables (e.g., material attributes) and process parameters that have been demonstrated to provide assurance of quality. Working within the design

space is not considered as a change. Movement out of the design space is considered to be a change and would normally initiate a regulatory post approval change process. Design space is proposed by the applicant and is subject to regulatory assessment and approval (ICH Q8(R2))

D. Consideration

In Japan, some marks have been used when describing PPs in AF in order to distinguish items requiring only a minor change notice and items requiring approval for partial change, as well as to distinguish the target value/set value, and others (Table 1). There was no regulation existed or operated regarding range description of PPs while regarding those PPs as items requiring only a minor change notice. This may be because it has been considered there are risks if PPs described with ranges can be changed by simply submitting a minor change notice. This example of Sakuramil is based on the assumption that it is possible to describe PPs with their ranges with the following conditions: drug substances are manufactured in accord with QbD; DS was set by DoE; and parameters can be operated at a medium risk level.

The rationale of the above is that, unlike the cases of verified Proven Acceptable Range (PAR)* obtained from the univariate experiments, it can be considered that the risk has been

sufficiently decreased regarding the case of the document sample, because impact of PPs when they are varied is investigated by DoE, and knowledge of the knowledge of the relationship between Edge of Failure (EOF)* and PPs has been deepened.

However, as a matter of course, if PPs are deviated from pre-determined, it is necessary to conduct verification of quality in accord with GMP control procedure even though deviation is within the range of DS determined by DoE, and shipment of the products will not be allowed if the deviation is judged inappropriate as a result of verification.

Table 1

In the description sample of AF, cases are classified into 3 categories depending on the relationship between DS of PPs and EOF (Figure 2). The 3 categories are the following: cases where EOF exists within the range of planned DS, and the end of DS is close to EOF (Critical Process Parameters (CPPs) ranked as high risk); cases where EOF exists within the range of planned DS but the end of DS is far from EOF by setting the range of PPs to be smaller than DS (CPPs ranked as medium risk); cases where there is no EOF within the range of planned DS (other PPs ranked as medium risk).

Figure 2

A major element when judging the risk of PPs is “a distance” between the limit of DS determined by DoE (the end of the range of PPs) and EOF. Further discussion is necessary for determining how much distance is considered to provide sufficient risk reduction. We made a proposal that it is effective to adopt the concept of process capability index (Cpk) into risk assessment of PPs (Figure 2). It may be possible to consider that the risk is sufficiently reduced if Cpk is not less than 1.5 and fraction defective is not more than 10 ppm. The degree of risk will be a further discussion topic since it is varied depending not only on the probability of occurrence but also on severity and detectability of damages, and hence it may be difficult to set uniformly.

Figure 3

The risk of variation of PPs is different depending on whether DS is set or not. Since it is important to know which components constitute DS during reviews, inspections and change controls over product life cycle, we considered that it is necessary to describe the components of DS in AF so that they are easily understood.

In addition, there are opinions submitted from the industry: it would be better if it is not necessary to describe all

PPs used in DoE in AF; and it also would be better if it is not necessary to describe PPs which are verified to have no impact or less probability on quality as a result of DoE and risk assessment (other PPs, no impact) in AF and they can be regarded as in-house control values. Unlike US where changes are reported in annual reports, in order to understand manufacturing processes from the description in AF, the Japanese regulatory authority requests to describe PPs in AF even it has less probability to have impact on quality. We need to discuss further on how much information should be described on the application, as well as to discuss on the establishment of a system of annual reporting, etc.

The concept of manufacturing control or quality control for drug substances/products developed by the methodology of QbD is different from conventional concepts, it will be necessary to have more scientific and risk-based GMP inspections. After receiving the first regular inspection, the inspectors are changed from PMDA to the local prefectural governments. However, uniform inspections are required for manufacturing medicinal product with QbD. Therefore, it is necessary to transfer the inspected information from the PMDA to the local prefectural governments appropriately.

E. Conclusion

In cases where DS is set, the way of describing manufacturing methods in AF can be different depending on company policies and the risk level of PPs. In this research, we considered the risk of PPs by focusing on the relationship between PPs and EOF, and concluded that the range description of PPs is possible as items which can be changed by simply submitting a minor change notice.

Glossary

- Proven Acceptable Range (PAR): A characterised range of a process parameter for which operation within this range, while keeping other parameters constant, will result in producing a material meeting relevant quality criteria (ICH Q8(R2))
- Edge of Failure (EOF): An edge where quality becomes not compliant with related quality properties when operated within certain parameters.

F. Health Hazard Information

Not applicable

G. Research Presentation

Paper Presentation

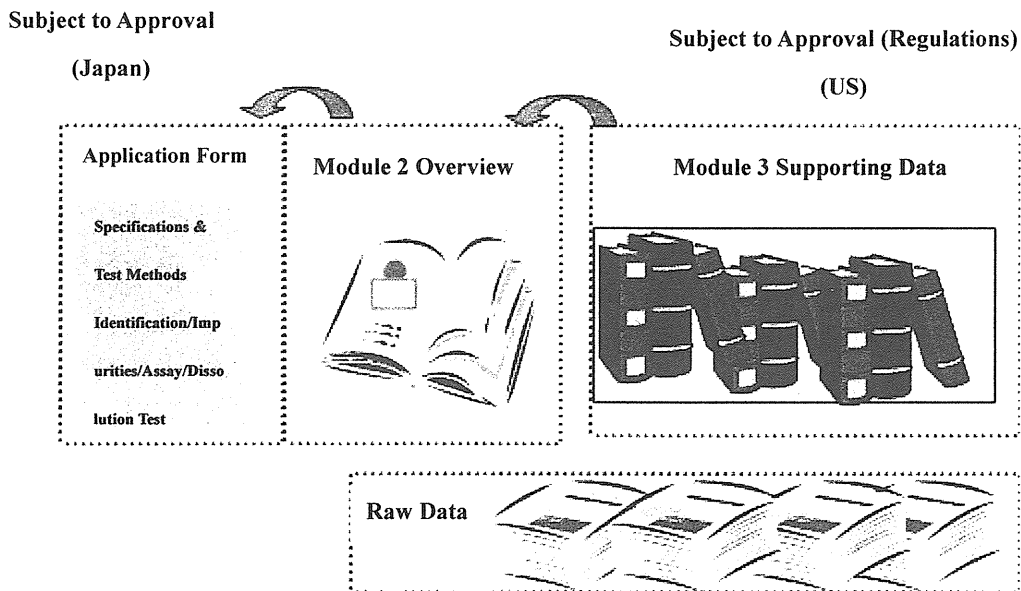
- Sakai-Kato K., Nanjo K., Kawanishi T., and Okuda H., "Rapid and sensitive method for measuring the plasma

- concentration of doxorubicin and its metabolites" Chem. Pharm. Bull. Chem Pharm Bull (Tokyo). 2012;60(3):391-6.
- K. Sakai-Kato, K.Ishikura, Y. Oshima, M. Tada, T., Suzuki, A. Ishii- Watabe, T. Yamaguchi, N. Nishiyama, K. Kataoka, T. Kawanishi, H. Okuda, "Evaluation of intracellular trafficking and clearance from HeLa cells of doxorubicin-bound block copolymers." Int J Pharm. 2012 Feb 28;423(2):401-9.
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 - Okuda H, Major Revised Points of The Japanese Pharmacopoeia of Sixteenth Edition, Journal of Tokyo metropolitan society of health system pharmacists, 61, 8-14 (2012)
- Conference Presentation
- Okuda H, Objective of ICH Q11 Guideline and the Outcome of Research Group Conference of FY2010 Health and Labour Sciences Research Grants – Working together toward smooth implementation of Q11 – Aug 5, 2011 (Tokyo)
- H. Application/Registration status for intellectual property right**
Not applicable

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Figure 1

Approval System in Japan



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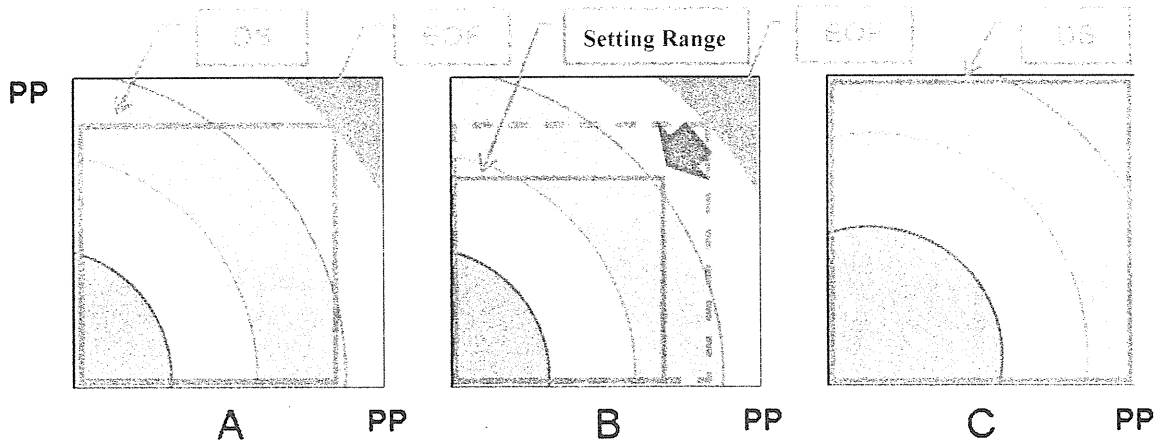
Table 1

	Other than Target Value		Target Value
	Single Point	Range	
	Description	Description	
Partial Change	●	● — ●	<< ● >>
Minor Change	“ ● ”	■	< ● >

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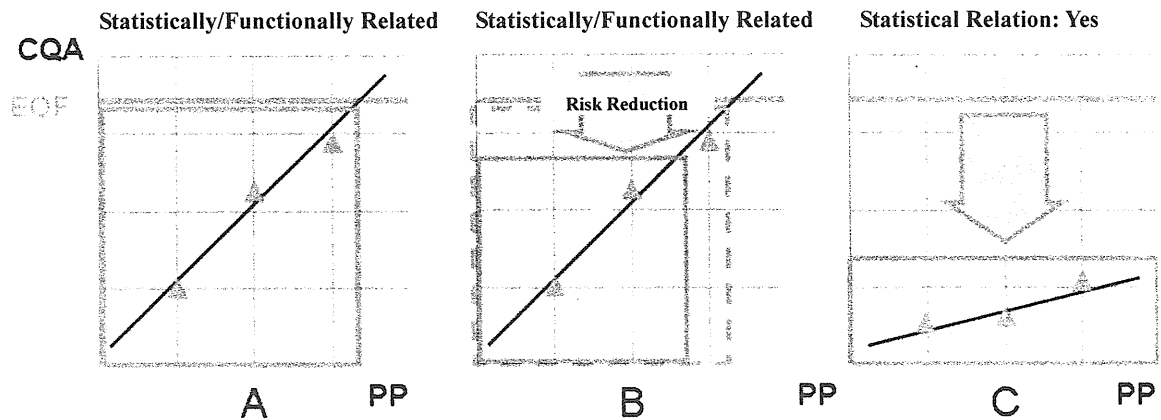
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Figure 2
Concept of Risk of PPs When Setting DS from the Results of DoE



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The image of the relationship between CQA and PPs in the above figure is indicated in the below figure:



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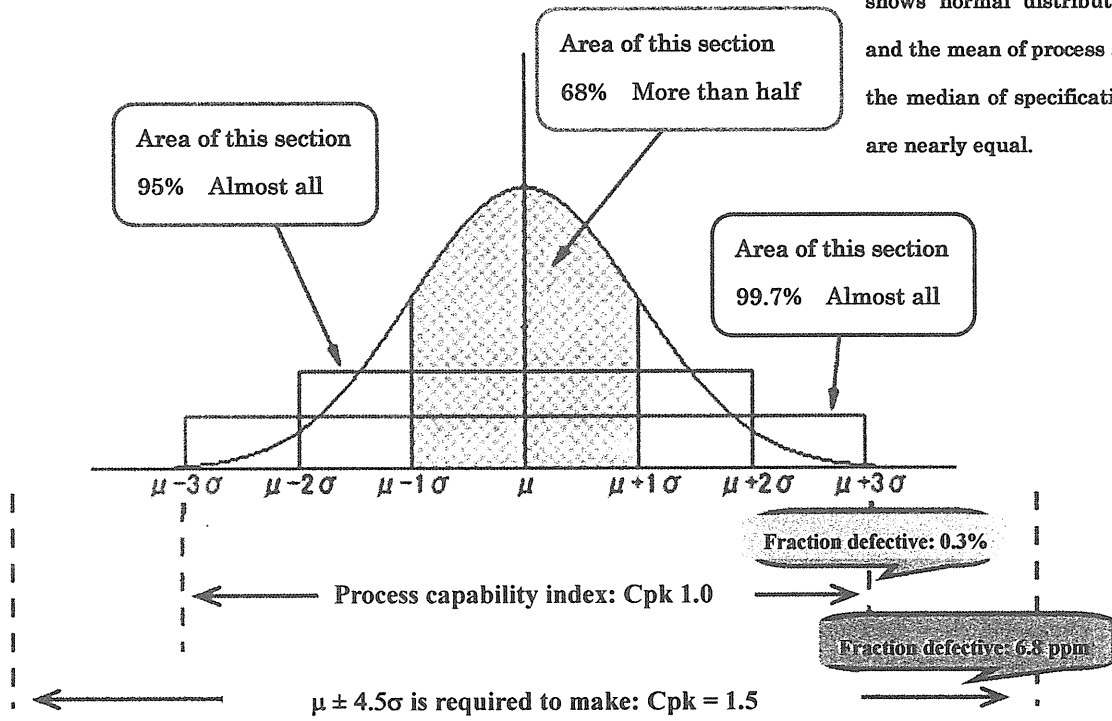
- A. Cases where Edge of Failure (EOF) exists within the range of planned Design Space (DS), and the end of DS (the range of Process Parameters (PPs)) is close to EOF
- B. Cases where EOF exists within the range of planned DS but the end of DS is far from EOF by setting the range of PPs to be smaller than DS
- C. Cases where there is no EOF within the range of planned DS, and the realistically expected range of PPs is far from EOF

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Figure 3
Concept of Realistically Assumable Range of PP

The figure is based on assumptions: the variation shows normal distribution; and the mean of process and the median of specifications are nearly equal.



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Sakuramil S2 mock

This mock intends to illustrate the contents to be included in CTD 2.3.S.2.6 “Manufacturing Process Development” regarding drug substance (drug substance manufactured by chemical synthesis) developed using the Quality by Design methodology (QbD) presented in ICH Q8, Q9 and Q10. It takes into consideration the description into CTD Module 2 (Quality of Summary). In addition, in order to help the readers’ understanding, part of the contents corresponding to 2.3.S.2.2-2.5 and 2.3.S.4.1, 4.5 are also included in this mock. In preparing this mock, we tried to reflect the contents of ICH Q11 Guideline (draft) regarding development and manufacture of drug substance.

The purpose of this mock is to envision development of drug substance using the Enhanced Approach methodology (definition is the same as advanced methodology and QbD approach), not to propose new regulatory requirements or delete any existing regulatory requirement. Also, it does not cover all the items.

Though detailed numbering is not used in CTD Guideline for Module 2, numbering such as 2.3.S.●● is used in this mock for the sake of convenience. Medicinal development through QbD was not considered when CTD guideline was developed. There is a rule of maximum 40 pages for QOS (June/21th, 2009, Iyakushin # 899, appendix 3). The product of this mock was developed through QbD approach, therefore it is necessary to show not only data but depth of understanding of the product and processes to regulators. Therefore, this QOS was prepared without taking account of page restriction.

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2.3.S.2.2 Description of Manufacturing Process and Process Controls

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1)-2 Synthesis of Sakuramil

2) Manufacturing Process and Process Controls

2)-1 Synthetic flows

2)-2 Manufacturing processes

2.3.S.2.3 Control of Materials

1) Control of Starting Materials

1)-1 Control of CP-6

1)-2 Control of CP-8

1)-3 Control of starting materials through life cycle

2) Control of Raw Materials

2.3.S.2.4 Control of Critical Steps and Intermediates

2.3.S.2.5 Process Validation and/or Evaluation

2.3.S.2.6 Manufacturing Process Development

Introduction

1) Potential Critical Quality Attributes (CQA) of Sakuramil

1)-1 Quality target profile for Sakuramil

1)-2 Physical properties of Sakuramil

1)-3 Control of impurities for Sakuramil

1)-4 Potential CQA of Sakuramil

1)-5 Strategy for potential CQA of Sakuramil

1)-6 Chiral control strategy for Sakuramil

1)-7 Control strategy for genotoxic impurities

2) Development History

2)-1 Route A: 1st Generation Synthesis

2)-2 Route B: 2nd Generation Synthesis

2)-3 Route C: 3rd Generation Synthesis

3) Starting Material Justification and Commercial Manufacturing Process Selection

3)-1 Justification of CP-6

3)-1-1 Importance assessment for CP-6 material attributes

3)-1-1-1 CP-6 important material attribute:

3)-1-1-2 Control items for CP-6 moderate risk material attributes:

3)-1-1-3 Control items for CP-6 low risk material attributes:

3)-2 Control of CP-8

3)-2-1 Importance assessment for CP-8 material attributes

3)-2-1-1 CP-8 important material attribute:

3)-2-1-2 Control items for CP-8 moderate risk material attributes:

3)-2-1-3 Control items for CP-8 low risk material attributes:

3)-3 Summary of the commercial manufacturing process selection

4) Risk Assessment for Knowledge Space and Control Strategy Development

4)-1 Commercial manufacturing process related impurities (including intermediates & diastereomers)

4)-2 Manufacturing process impact on CQA of Sakuramil

4)-2-1 Material Attribute (MA) to be evaluated: Related Substances

4)-2-2 Material Attribute (MA) to be evaluated: Genotoxic Impurities

4)-2-3 Material Attribute (MA) to be evaluated: Chirality (Stereoisomers)

4)-2-4 Material Attribute (MA) to be evaluated: Residual Solvents

4)-2-5 Material Attribute (MA) to be evaluated: Metal Impurities

5) Unit Operation Design Spaces for Each Step of the Drug Substance

5)-1 Focus area multivariate protocols, experimental summaries, and conclusions that set up the Design Space for Sakuramil drug substance

Introduction

5)-1-1 Step 1

5)-1-1-1 Multivariate designs for Step 1 reaction

5)-1-1-2 Multivariate designs for Step 1 crystallization

5)-1-1-3 Initial criticality risk assessment from Step 1 reaction and crystallization (including the starting material attributes)

5)-1-1-4 Multivariate summary for Step 1

5)-1-2 Step 2

5)-1-2-1 Step 2 reaction

5)-1-2-1-1 Impurity quality attributes strategy for Step 2

5)-1-2-2 Crystallization

5)-1-2-3 Multivariate summary for Step 2

6) Manufacturing Process Criticality Assessment: Summary of Final Design Space and Control Strategy

2.3.S.4 Control of Drug Substance (Sakuramil, IROHA-corp)

2.3.S.4.1 Specifications and test methods

2.3.S.4.5 Justification of Specifications and test methods

Compounds Table

Appendix-1 Evaluation of Potential Organic Impurities in Sakuramil

Appendix-2 Example for Manufacturing Method in Application Form

Appendix-3 Reference information of Manufacturing Method in Application Form

Appendix-4 Development Summary of Sakuramil Manufacturing Method

Appendix 5 Regulatory Flexibility

2.3.S.2 Manufacture (Sakuramil, IROHA-corp)

2.3.S.2.2 Description of Manufacturing Process and Process Controls

1) Synthetic Routes

1)-1 Synthetic flows of Sakuramil drug substance

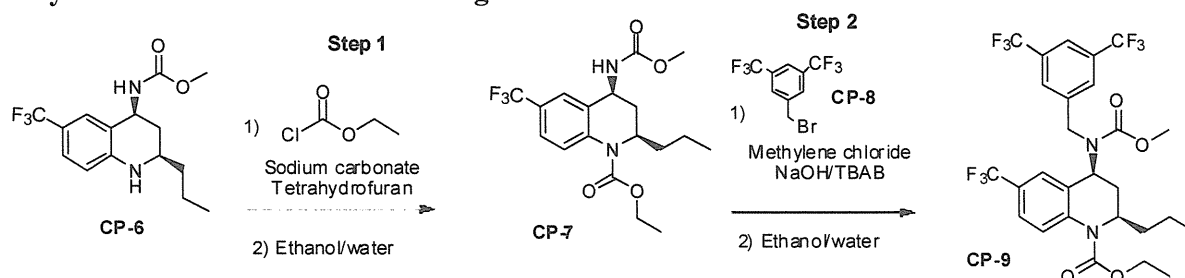


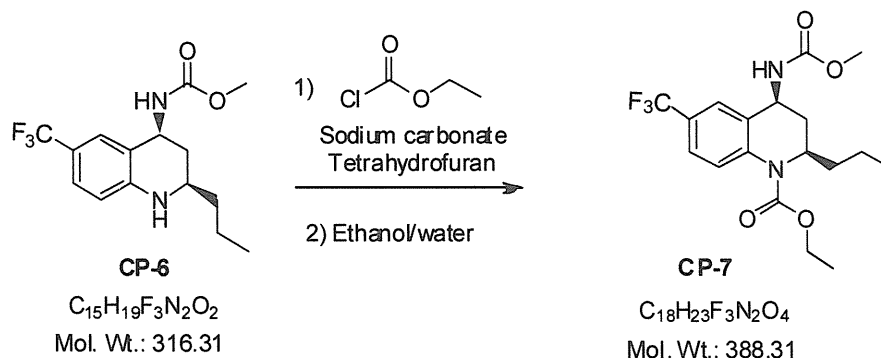
Figure 2.3.S.2.2-1 Sakuramil Manufacturing Scheme

The synthesis of Sakuramil drug substance consists of two steps. CP-6 is reacted with ethyl chloroformate to give CP-7, which is reacted with CP-8 and subsequently crystallized from an ethanol-water solvent mixture to give Sakuramil (CP-9).

1)-2 Drug Substance Synthesis of Sakuramil

Synthetic process of Sakuramil drug substance is shown below.
 Typical batch Size: 350 kg

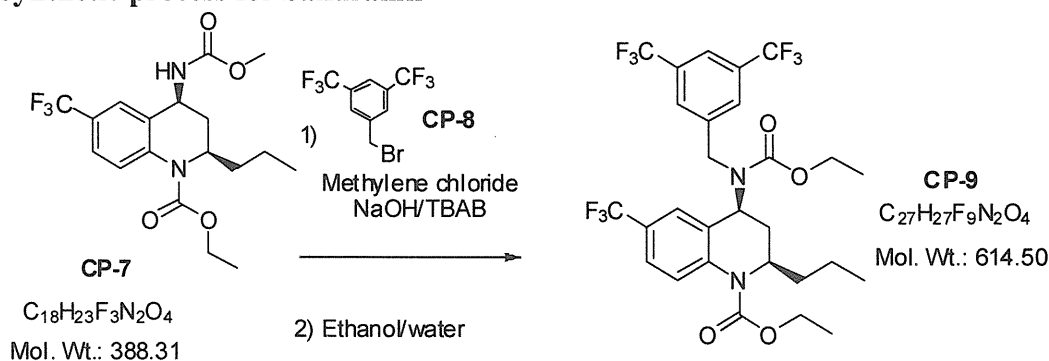
Step 1: Synthesis of CP-7



CP-6, tetrahydrofuran (3 to 15 liters per kilogram of CP-6), sodium carbonate (0.75 to 4.0 molar equivalents per equivalent of CP-6) are combined. Ethyl chloroformate (2.0 to 7.5 molar equivalents per equivalent of CP-6) is added and the mixture is heated at temperature up to reflux. Upon reaction completion, the mixture is filtered, and the filtrate is quenched with a sodium hydroxide solution while maintaining a temperature below 30°C. To the mixture, *n*-hexane is added and stirred, and then the layers are settled and separated. The organic layer is concentrated by distillation with

ethanol for the solvents exchange (final concentration 4 to 10 liters per kilogram of CP-7). Water (25 to 35% weight per weight of ethanol) is added and the mixture is stirred at 14 to 26°C. The resulting crystalline precipitates are filtered, rinsed with ethanol, and dried at temperatures up to 50°C to yield CP-7.

Step 2: Synthetic process for Sakuramil



CP-7 and CP-8 (1.0 -1.1 molar equivalents per molar equivalent of CP-7) are combined in methylene chloride (2 to 4 liters per kilogram of CP-7). Tetra-*n*-butylammonium bromide (0.1 to 1.0 kilograms per kilogram of CP-7) and aqueous sodium hydroxide solution (47- 50% solution at 2 to 4 liters per kilogram of CP-7) are added while maintaining the mixture at temperatures between 12-25°C. Upon reaction completion, methylene chloride and water are added, the mixture is separated, and the organic layer is washed with diluted hydrochloric acid. The organic layer is concentrated and displaced by distillation with ethanol (final concentration to 4.5 liters per kilogram of CP-9). Water (25 to 35% weight per weight of ethanol) is added to the mixture. After cooling, the mixture is stirred at 14 to 26°C. The resulting crystalline precipitates are filtered, rinsed with ethanol, and dried at temperatures up to 50°C to yield CP-9 (Sakuramil).

Alternative manufacturing process

In Step 1, trisodium phosphate, dodecahydrate (0.75 to 4.0 molar equivalents per equivalent of CP-6) can be used instead of sodium carbonate as alternative base.

Manufacturing Scale & Yields

Typical batch Size: 350 kg

Typical yields: 80% (Calculated from CP-6 base)

2) Manufacturing Process and Process Controls

2)-1 Synthetic flows

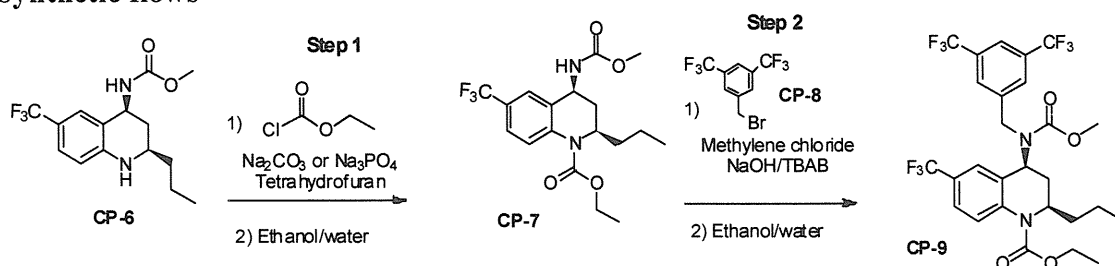


Figure 2.3.S.2.2-2 Sakuramil Manufacturing Scheme

2)-2 Manufacturing processes

Commercial manufacturing processes of Sakuramil drug substance are shown below.

Step 1 (Critical Step) (Reaction, Extraction, Purification, Phase Separation, and Drying)

Methyl (2*R*,4*S*)-2-propyl-6-(trifluoromethyl)-1,2,3,4-tetrahydroquinoline-4-ylcarbamate (CP-6) [1] (230 kg), tetrahydrofuran (1300 L), sodium carbonate (42.4 kg) are combined. Ethyl chloroformate (158~592 kg) is added and the mixture is heated at temperature up to reflux. The mixture is filtered, and the filtrate is combined with a 50% sodium hydroxide solution. To the mixture, *n*-hexane is added and stirred, and the layers are settled and separated. The organic layer is concentrated by distillation with ethanol for the solvents exchange (final concentration (1400 L)). Water (25 to 35% weight per weight of ethanol) is added and the mixture is stirred at 20°C. The resulting crystalline precipitates are separated, rinsed with ethanol, and dried at 42.5°C to yield Ethyl (2*R*,4*S*)-2-propyl-4-(methoxycarbonylamino)-6-(trifluoromethyl)-3,4-dihydroquinoline-1(2*H*)-Carboxylate (CP-7) [2] (product 253 kg, yield 89%).

Step 2 (Critical Step) (Reaction, Extraction, Purification, Phase Separation, and Drying)

CP-7 [2] (250 kg) from Step 1 and 3,5-bis(trifluoromethyl)benzyl bromide (CP-8) (215 kg) are combined in methylene chloride (750 L). Tetra-*n*-butylammonium bromide (50 kg) and 50% aqueous sodium hydroxide solution (750 L) are added and stirred, and then methylene chloride and water are added and stirred. The mixture obtained is settled and the layers are separated. The organic layer is washed with diluted hydrochloric acid. The organic layer is concentrated by distillation with ethanol for the solvents exchange (final concentration (1800 L)). Water (20 to 35% weight per weight of ethanol) is added, and then the mixture is cooled at the rate of 0.15 to 0.5°C per minute, followed by stirring at 18°C. The resulting crystalline precipitates are separated, rinsed with ethanol, and dried at 42.5°C to yield Ethyl (2*R*,4*S*)-4-[[3,5-bis(trifluoromethyl)benzyl](methoxycarbonyl)amino]-2-propyl-6-(trifluoromethoxy)-3,4-dihydroquinoline-1(2*H*)-carboxylate [3] (Sakuramil) (product 360 kg, yield 90%).

Alternative manufacturing process

In Step 1, trisodium phosphate, dodecahydrate (101.4 kg) can be used instead of sodium carbonate (42.4 kg) as alternative base.

In-process analysis