Table 3 Continued

Case	Gender	Clinical diagnosis	Remarkable clinical features	CNV	Position	WGA-4500[b]	FISH[b]	Base position and size of the identified CNV[a]						Parental analysis	Protein-coding genes[c]	CNV assessment[d]	Corresponding or candidate gene(s)
								Start (max)	Start (min)	End (min)	End (max)	Size (min)	Size (max)				
14	M	MCA/MR		del	6q12q14.1	arr cgh 6q12q14.2(RP11-502L6→RP11-232L4)x1	ish del(6)(q13)(RP11-28P18-)dn	69 029 871	69 731 888	83 926 178	85 101 718	14 194 290	16 071 847	*dn*	56	P	
15	M	ZLS		del	6q14.1	arr cgh 6q14.1(RP11-343P23→RP11-217L13)x1	ish del(6)(q14.1)(RP11-5N7-,RP11-990K4-,RP11-1I6+)	75 484 004	76 145 436	79 474 428	79 851 528	3 328 992	4 367 524		10	P	
16	F	MCA/MR	CHD	del	10p12.1p11.23	arr cgh 10p12.1p11.23(RP11-89D1→91A23)x1	ish del(10)(p12.1p11.23)(RP11-164A7-,RP11-110B21-)	27 045 285	27 054 002	29 057 401	29 088 950	2 003 399	2 043 665		18	P	
17	M	MCA/MR		del	10p12.1p11.23	arr cgh 10p12.1p11.23(RP11-218D6→RP11-RP11-181I11)x1	ish del(10)(p11.23)(RP11-15H10-)	28 121 596	28 131 608	30 559 024	30 577 807	2 427 416	2 456 211		12	P	
18	M	MCA/MR	CHD	del	10q24.31q25.1	arr cgh 10q24.31q25.1(RP11-108L7→RP11-108L7)x1	ish del(10)(q24.33)(RP11-416N2-)dn	102 560 783	102 568 462	105 914 057	105 929 608	3 345 595	3 368 825	*dn*	66	P	
19	M	MCA/MR		del	10q24.32q25.1	arr cgh 10q24.32q25.1(RP11-21N23→RP11-99N20)x1	ish del(10)(q24.33)(RP11-416N2-)dn	103 917 900	103 928 189	106 005 827	106 011 522	2 077 638	2 093 622	*dn*	41	P	
20	F	MCA/MR		del	3p21.31p21.2	arr cgh 3p21.31p21.2(RP11-24F11→RP11-89F17)x1	ish del(3)(p21.31)(RP11-3B7-)	46 150 261	46 359 965	51 390 597	52 571 544	5 030 632	6 421 283		175	P	
21	M	MCA/MR		del	7p22.1	arr cgh 7p22.1(RP11-90J23→RP11-2K20)x1	ish del(7)(p22.1)(RP11-2K20-)dn	3 185 609	5 892 225	6 233 987	6 409 277	341 762	3 223 668	*dn*	28	P	
22	F	MCA/MR	Corneal opacity, CHD	dup	14q11.2	arr cgh 14q11.2(RP11-152G22→RP11-84D12)x3	ish dup(14)(q11.2)(RP11-152G22++)	20 070 731	20 306 624	20 534 929	21 264 945	228 305	1 194 214		>30	P	
23	M	MCA/MR		del	17q24.1q24.2	arr cgh 17q24.1q24.2(RP11-89L7→RP11-79K13)x1	ish del(17)(q24.1q24.2)(RP11-93E5-,RP11-89L7-,RP11-79K13-)	60 576 365	60 936 391	64 592 701	64 587 782	3 656 310	4 011 417		29	P	
24	M	SMS susp.		del	19p13.2	arr cgh 19p13.2(RP11-197O4→RP11-164D24)x1	ish del(19)(p13.2)(91021-)	9 248 377	10 248 853	11 968 772	12 553 279	1 719 919	3 304 902	*dn*		P	
25	M	MCA/MR	Epilepsy	dup	2q11.2q13	arr cgh 2q11.2q13(RP11-90G13→RP11-79K7)x3	ish dup(2)(q11.2)(RP11-542D13++)	88 273 220	91 696 986	109 869 691	112 714 666	18 172 705	24 441 446		>30	P	
26	M	MCA/MR	CHD	dup	4p16.1	arr cgh 4p16.1(RP11-17I9)x3	ish dup(4)(p16.1)(RP11-301J10++)	8 202 790	8 520 479	9 793 705	10 638 054	1 273 226	2 435 264		17	P	

Table 3 Continued

Case	Gender	Clinical diagnosis	Remarkable clinical features	CNV	Position	WGA-4500[b]	FISH[b]	Base position and size of the identified CNV[a] Start (max)	Start (min)	End (min)	End (max)	Size (min)	Size (max)	Parental analysis	Protein-coding genes[c]	CNV assessment[d]	Corresponding or candidate gene(s)
27	F	MCA/MR		del	7q22.1q22.2	arr cgh 7q22.1q22.2 (RP11-10D8→ RP11-72J24)x1	ish del(7)(q22.1q22.2) (RP11-124G15+,RP11-188E1-,RP11-95P19-)	97 314 215	98 261 079	105 604 920	106 451 506	7 343 841	9 137 291		135	P	
28	F	MCA/MR	Epilepsy	del	12q13.13	arr cgh 12q13.13 (RP11-74I8→ RP11-624J6)x1	ish del(12)(q13.13) (RP11-624J6-)	50 987 232	51 016 427	51 956 291	52 180 088	939 864	1 192 856		44	P	
29	M	MCA/MR		dup	16q22.3	arr cgh 16q22.3 (RP11-90L19→ RP11-89K4)x3	ish dup(16)(q22.3) (RP11-115E3++, RP11-90L19++)	70 355 260	70 848 592	72 328 913	73 785 124	1 480 321	3 429 864		25	P	
30	M	RTS susp.		dup	16q24.1	arr cgh 16q24.1 (RP11-140K16→ RP11-442O1)x3	ish dup(16)(q24.1) (RP11-770B4++, RP11-140K16++)	82 699 729	82 797 548	83 749 375	84 123 857	951 827	1 424 128		16	P	
31	M	MCA/MR	Epilepsy	del	2q24.2q24.3	arr cgh 2q24.2 (RP11-89L13→ RP11-79L13)x1	ish del(2)(q24.2) (RP11-638N12-)	160 407 234	161 072 815	162 883 584	166 923 475	1 810 769	6 516 241		28	P	*TBR1*
32	M	MCA/MR		del	3p26.2	arr cgh 3p26.2 (RP11-32F23)x1	ish del(3)(p26.2) (RP11-32F23-)	3 943 353	4 016 797	4 198 468	4 329 970	181 671	386 617		2	P	*SUMF1*
33	M	MCA/MR	IgA deficiency	del	7q21.11	arr cgh 7q21.11 (RP11-22M18)x1	ish del(7)(q21.11) (RP11-115M2+, RP11-35304-, RP11-22M18-)	83 597 839	83 601 541	84 549 609	84 788 160	948 068	1 190 321		3	P	*SEMA3A*
34	M	MCA/MR		dup	14q32.2	arr cgh 14q32.2 (RP11-128L1)x3	ish dup(14)(q32.2) (RP11-177F8++)	99 330 486	99 337 358	99 841 558	99 845 472	504 200	514 986		7	P	*EML1, YY1*
35	M	MCA/MR	Epilepsy	dup	16p13.3	arr cgh 16p13.3 (RP11-349I11)x3	ish dup(16)(p13.3) (RP11-349I11++)	4 851 459	5 678 447	5 906 909	6 165 923	228 462	1 314 464		9	P	*A2BP1*
36	M	MCA/MR		dup	Xp22.2p22.13	arr cgh Xp22.2p22.13 (RP11-2K15→ RP11-115I10)x3	not performed (X-tiling array)	16 874 735	16 952 121	17 596 600	17 638 351	644 479	763 616		2	P	
				dup	Xp21.3	arr cgh Xp21.3 (RP11-438J7)x3	not performed (X-tiling array)	28 704 076	28 704 076	28 868 075	28 868 075	163 999	163 999		1	P	*IL1RAPL1*
37	F	MCA/MR		del	1p34.3	arr cgh 1p34.3 (RP11-89N10→ RP11-416A14)x1	ish del(1)(p34.2) (RP11-195A8+, RP11-166F21-)dn	37 830 131	38 338 265	39 466 349	39 583 645	1 128 084	1 753 514	*dn*	7	P	
38	M	MCA/MR	Hyper IgE	dup	1q25.2	arr cgh 1q25.2 (RP11-177A2→ RP11-152A16)x3	ish dup(1)(1q25.2) (RP11-177A2++, RP11-152A16++)	177 088 480	177 196 858	177 535 659	177 859 828	338 801	771 348	*dn*	9	P	
39	M	MCA/MR		del	2p24.1p23.3	arr cgh 2p24.1p23.3 (RP11-80H16→ RP11-88F6)x1	ish del(2)(p23.3) (RP11-88F6-, RP11-373D23+)dn	20 037 821	23 094 244	26 815 794	28 414 457	3 721 550	8 376 636	*dn*	86	P	
40	F	MCA/MR	CHD	del	3p26.1p25.3	arr cgh 3p26.1p25.3 (RP11-128A5→ RP11-402P11)x1	ish del(3)(p26.1p25.3) (RP11-936E1-, RP11-402P11-, RP11-1079H21+) dn	8 190 557	8 497 949	9 930 973	10 026 217	1 433 024	1 835 660	*dn*	18	P	

Table 3 Continued

Case	Gender	Clinical diagnosis	Remarkable clinical features	CNV	Position	WGA-4500[b]	FISH[b]	Base position and size of the identified CNV[a]						Parental analysis	Protein-coding genes[c]	CNV assessment[d]	Corresponding or candidate gene(s)
								Start (max)	Start (min)	End (min)	End (max)	Size (min)	Size (max)				
41	M	MCA/MR		del	3p22.1p21.31	arr cgh 3p22.1p21.31 (RP11-241P3→ RP11-88B8)x1	ish del(3)(p22.1) (RP11-61H16+, RP11-241P3-, RP11-78O10+)dn	41 365 663	42 284 365	48 177 538	49 198 542	5 893 173	7 832 879	*dn*	123	P	
42	M	MCA/MR	Corneal opacity	del	3p14.3p14.2	arr cgh 3p14.3p14.2 (RP11-80H18→ RP11-79J9)x1	ish del(3)(p14.2) (RP11-79J19-, RP11-230A22+)mat	57 370 434	58 149 199	58 742 633	58 887 574	593 434	1 517 140	*mat*	11	B	
				del	8q21.11q21.13	arr cgh 8q21.11q21.13 (RP11-225J6→ RP11-214E11)x1	ish del(8) (q21.11q21.13) (RP11-225J6-, RP11-48B3+)dn	75 722 961	75 821 163	81 110 557	81 493 446	5 289 394	5 770 485	*dn*	12	P	
43	M	MCA/MR		del	3q26.31q26.33	arr cgh 3q26.31-q26.33 (RP11-292L5→ RP11-355N16)x1	ish del(3)(q26.32) (RP11-300L9+, RP11-105L6-)dn	175 650 310	176 531 688	180 613 203	181 653 281	4 081 515	6 002 971	*dn*	12	P	
44	M	MCA/MR	CHD	del	13q13.2q13.3	arr cgh 13q13.2 (RP11-269G10→ 90F5)x1	ish del(13)(q13.2) (RP11-142E9+, RP11-381E21-, RP11-98D3+)dn	33 451 136	33 895 560	34 813 379	34 909 905	917 819	1 458 769	*dn*	1	P	
				del	22q11.21	arr cgh 22q11.21 (RP11-155F20→ 54C2)x1	ish del(22)(q11.21) (RP11-155F20-, RP11-590C5-, RP11-54C2-)pat	19 310 307	19 310 307	19 590 642	19 590 642	280 335	280 335	*pat*	15	B	
45	F	aRS		del	18q21.2	arr cgh 18q21.2 (RP11-89B14)x1	ish del(18)(q21.2) (RP11-159D14+, RP11-186B13-, RP11-111C17-)dn	48 218 621	49 166 752	51 288 665	51 861 143	2 121 913	3 642 522	*dn*	9	P	
46	M	MCA/MR		dup	19p13.3	arr cgh 19p13.3 (RP11-49M3→ RP11-268021)x3		1 095 485	2 418 857	3 499 581	4 460 252	1 080 724	3 364 767	*dn*	113	P	
47	F	MCA/MR	Autism	del	19p13.3	arr cgh 19p13.3 (RP11-30F17→ RP11-330I7)x1	ish del(19)(p13.3) (RP11-330I7-)dn	4 844 383	6 043 505	6 859 584	6 881 792	816 079	2 037 409	*dn*	23	P	
48	M	MCA/MR		del	Xp11.3	arr cgh Xp11.3 (RP11-151G3→ RP11-48J14)x0	ish del(X)(p11.3) (RP11-203D16-)mat	44 403 077	44 433 162	46 795 584	46 795 588	2 362 422	2 392 511	*mat*	18	P	
49	M	MCA/MR		dup	3p26.3	arr cgh 3p26.3 (RP11-630I)x3	ish dup(3)(p26.3) (RP11-630I++)pat	2 377 366	2 443 357	2 619 407	2 628 216	176 050	250 850	*pat*	1	B	
50	M	MCA/MR		dup	5p14.3	arr cgh 5p14.3 (RP11-91A5)x3	ish dup(5)(p14.3) (RP11-91A5++)pat	19 046 234	19 485 530	19 656 108	20 798 445	170 578	1 752 211	*pat*	1	B	
51	M	MCA/MR		dup	5q13.3	arr cgh 5q13.1 (RP11-40N8→ RP11-91C10)x3	ish dup(5)(q13.1) (RP11-105A11++)mat	66 417 271	66 481 371	67 501 700	67 838 977	1 020 329	1 421 706	*mat*	3	B	

Table 3 Continued

Case	Gender	Clinical diagnosis	Remarkable clinical features	CNV Position	WGA-4500[b]	FISH[b]	Base position and size of the identified CNV[a]						Parental analysis	Protein-coding genes[c]	CNV assessment[d]	Corresponding or candidate gene(s)
							Start (max)	Start (min)	End (min)	End (max)	Size (min)	Size (max)				
52	M	MCA/MR		dup 7p22.3	arr cgh 7p22.3 (RP11-23D23)x3	ish dup(7)(p22.3) (RP11-23D23++, RP11-1133D5+)mat	1	954016	954584	1101944	568	1101943	*mat*	12	B	
53	F	MCA/MR		dup 8p23.2	arr cgh 8p23.2 (RP11-79I19→ RP11-89I12)x3	ish dup(8)(p23.2) (RP11-89I19++, RP11-89I12++)pat	3324954	3726061	4564671	5973493	838610	2648539	*pat*	1	B	
54	M	MCA/MR		dup 9q33.1	arr cgh 9q33.1 (RP11-150L1)x3	ish dup(9)(q33.1) (RP11-150L1++)pat	118980752	119452372	119614984	120011559	162612	1030807	*pat*	2	B	
55	F	MCA/MR		dup 10q22.3	arr cgh 10q22.3 (RP11-79M9)x3	ish dup(10)(q22.3) (RP11-79M9++)mat	77356915	77718484	77873148	78230039	154664	873124	*mat*	1	B	
56	M	MCA/MR	ELBW, hepato-blastoma	dup 12q21.31	arr cgh 12q21.31 (RP11-91C4)x3	ish dup(12)(q21.31) (RP11-91C4++, RP11-142L2+)pat	80924954	82678148	82830190	85768388	152042	4843434	*pat*	3	B	
57	M	GS		del Xp11.23	arr cgh Xp11.23 (RP11-876B24) x0 mat	not performed (X-tiling array)	47752808	47747918	47852109	47868412	104191	115604	*mat*	3	B	
58	M	MCA/MR		dup 8q11.23	arr cgh 8q11.23 (RP11-221P7)x3	ish dup(8)(q11.23) (RP11-221P7++, RP11-26P22++)	53665974	53717675	54235229	54576654	517554	910680		3	VOUS	
59	F	MCA/MR	Micro-cephaly	dup 10q11.21	arr cgh 10q11.21 (RP11-178A10)x3	ish dup(10)(q11.21) (RP11-178A10++)	41986946	42197693	42320775	43603027	123082	1616081		15	VOUS	
60	M	MCA/MR		dup 11p14.2p14.1	arr cgh 11p14.2p14.1 (RP11-1L12)x3	ish dup(11) (p14.2p14.1) (RP11-1L12++)	26723462	27033270	27213374	27445504	180104	722042		4	VOUS	
61	F	MCA/MR		dup 12p11.1	arr cgh 12p11.1 (RP11-88P4)x3	ish dup(12)(p11.1) (RP11-472A10++)	33333493	33359944	33572956	33572956	213012	239463		2	VOUS	
62	F	aRS		dup 12q21.31	arr cgh 12q21.31 (RP11-91I24→ RP11-91C4)x3	ish dup(12)(q21.31) (RP11-91C4++, RP11-142L2++)	79949648	82172368	83968319	85768388	1795951	5818740		12	VOUS	
63	F	MR	Congenital myopathy	dup Xq12	arr cgh Xq12 (RP11-90P17→ RP11-383C12)x3	Not performed (X-tiling array)	66212661	66216353	66921699	66948538	705346	735877		1	VOUS	

Abbreviations: aRS, atyplical Rett syndrome; B, benign; CNV, copy-number variant; *dn*: *de novo* CNV observed in neither of the parents; ELBW, extremely low birth weight; FISH, fluorescence *in situ* hybridization; GS, Gillespie syndrome; *mat*: CNV identified also in mother; P, pathogenic; *pat*: CNV identified also in father; RTS, Rubinstein–Taybi syndrome; SMS, Smith–Magenis syndrome; VOUS, variant of uncertain clinical significance; ZLS, Zimmermann–Laband syndrome.
[a]The sizes were estimated by WGA-4500, X-array, FISH or Agilent Human Genome CGH microarray 244K.
[b]The notation systems is based on ISCN2005.[36]
[c]The number of protein-coding genes contained in the respective CNVs.
[d]The result of CNV assessment.

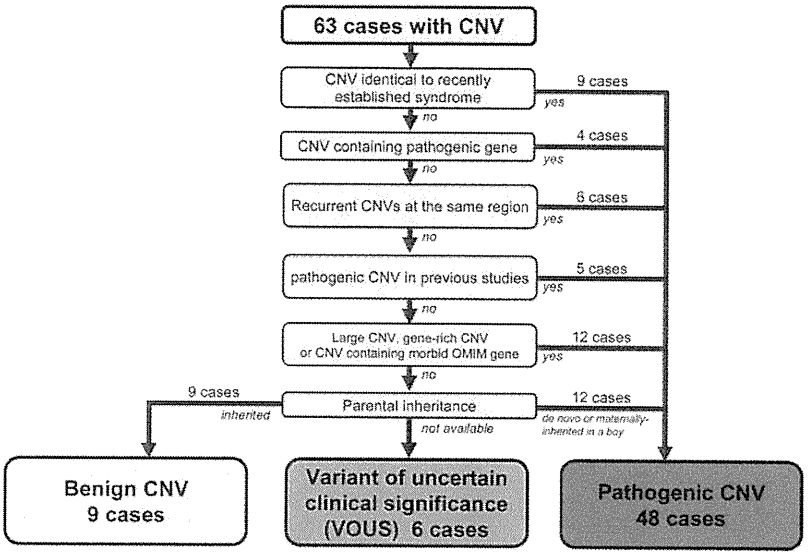

Figure 2 A flowchart of the assessment of CNVs detected in the second screening.

from several aspects. A CNV that contains abundant genes or is large (>3 Mb) has a high possibility to be pathogenic.[21] The CNVs in cases 25–30 probably correspond to such CNVs. Also, we judged a CNV containing a morbid OMIM gene as pathogenic:[21] *TBR1* (OMIM: *604616) in case 31,[56] *SUMF1* (OMIM: *607939) in case 32,[57,58] *SEMA3A* (OMIM: *603961) in case 33,[59] *EML1* (OMIM: *602033) and/or *YY1* (OMIM: *600013) in case 34,[60,61] *A2BP1* (OMIM: *605104) in case 35[62] and *IL1RAPL1* (OMIM: *300206) in case 36.[63] Several previous reports suggest that these genes are likely to be pathogenic, although at present no evidence of a direct association between these genes and phenotypes exists.

CNVs de novo or X maternally inherited. Among the remaining 27 cases, 12 cases had CNVs considered pathogenic as their CNVs were *de novo* (cases 37–47) or inherited del(X)(p11.3) from the mother (case 48). In the second screening we performed FISH for 36 CNVs of the 34 cases whose parental samples were available to confirm that 24 cases had *de novo* CNVs, which were probably pathogenic. A CNV in case 48, a boy with a nullizygous deletion at Xp11.3 inherited from his mother, was also probably relevant to his phenotype (Tables 3 and 4). Meanwhile, although case 57 was a boy with a deletion at Xp11.23 inherited from his mother, he was clinically diagnosed with Gillespie syndrome (OMIM: #206700) that was reported to show an autosomal dominant or recessive pattern,[64] thus we judged that the deletion was not relevant to his phenotype. As a result, cases 49–57 had only CNVs inherited from one of their parents which are likely to be unrelated to the phenotypes; that is, bCNV (Table 4).

As a result, we estimated that 48 cases among 349 analyzed (13.8%) had pCNV(s) in the second screening (Table 3; Figure 2). The CNVs of the remaining six cases, cases 58–63, were not associated with previously reported pathogenicity and their inheritance could not be evaluated, thus we estimated they were variants of uncertain clinical significance (VOUS).[38]

DISCUSSION

Because aCGH is a high-throughput technique to detect CNVs rapidly and comprehensively, this technique has been commonly used for analyses of patients with MCA and/or MR.[38,65–68] However, recent studies of human genomic variation have uncovered surprising properties of CNV, which covers 3.5–12% of the human genome even in healthy populations.[18–20,69] Thus analyses of patients with uncertain clinical phenotypes need to assess whether the CNV is pathogenic or unrelated to phenotypes.[21] However, such an assessment may diminish the rapidness or convenience of aCGH.

In this study, we evaluated whether our in-house GDA can work well as a diagnostic tool to detect CNVs responsible for well-established syndromes or those involved in subtelomeric aberrations in a clinical setting, and then explored candidate pCNVs in cases without any CNV in the first GDA screening. We recruited 536 cases that had been undiagnosed clinically and studied them in a two-stage screening using aCGH. In the first screening we detected CNVs in 54 cases (10.1%). Among them, 40 cases had CNV(s) at subtelomeric region(s) corresponding to the well-established syndromes or the already described disorders and the other 14 cases had CNVs in the regions corresponding to known disorders. Thus about three quarters of cases had genomic aberrations involved in subtelomeric regions. All the subtelomeric deletions and a part of the subtelomeric duplications corresponded to the disorders, indicating that especially subtelomeric deletions had more clinical significance compared to subtelomeric duplications, although the duplication might result in milder phenotypes and/or function as a modifier of phenotypes.[70] Moreover, parental analysis in three cases with two subtelomeric aberrations revealed that two of them were derived from the parental balanced translocations, indicating that such subtelomeric aberrations were potentially recurrent and parental analyses were worth performing. Recently several similar studies analyzed patients with MCA/MR or developmental delay using a targeted array for subtelomeric regions and/or known genomic disorders and detected clinically relevant CNVs in 4.4–17.1% of the patients.[28,65,70,71] Our detection rate in the first screening was equivalent to these reports. Although such detection rates depend on the type of microarray, patient selection criteria and/or number of subjects, these results suggest that at least 10% of cases with undiagnosed MCA/MR and a normal karyotype would be detectable by targeted array.

Table 4 Parental analysis of 34 cases in the second screening

Case	Gender	Clinical diagnosis	CNV del/dup	CNV Position	Size of CNV (bp) Min.	Size of CNV (bp) Max.	Protein-coding genes	Parental analysis	Pathogenicity
1	M	MCA/MR	del	1p36.23p36.22	1 670 237	2 558 590	32	*de novo*	P
2	M	MCA/MR	del	1q41q42.11	5 001 798	6 481 439	35	*de novo*	P
7	M	MCA/MR	del	16p12.1p11.2	2 816 866	5 648 152	138	*de novo*	P
8	M	MCA/MR with CHD	del	16p11.2	951 773	4 258 984	134	*de novo*	P
10	M	MCA/MR	del	7p14.2p13	8 516 513	9 421 233	70	*de novo*	P
11	F	MCA/MR	del	14q22.1q22.3	2 746 662	3 089 980	18	*de novo*	P
12	M	MCA/MR	del	17q13.3	930 940	1 018 839	22	*de novo*	P
13	M	MCA/MR	del	Xp11.4p11.3	4 034 171	4 103 418	9	*de novo*	P
14	M	MCA/MR	del	6q12q14.1	14 194 290	16 071 847	56	*de novo*	P
18	M	MCA/MR	del	10q24.31q25.1	3 345 595	3 368 825	66	*de novo*	P
19	M	MCA/MR	del	10q24.32q25.1	2 077 638	2 093 622	41	*de novo*	P
21	M	MCA/MR	del	7p22.1	341 762	3 223 668	28	*de novo*	P
24	M	SMS susp.	del	19p13.2	1 719 919	3 304 902	23	*de novo*	P
37	F	MCA/MR	del	1p34.3	1 128 084	1 753 514	7	*de novo*	P
38	M	MCA/MR	dup	1q25.2	338 801	771 348	9	*de novo*	P
39	M	MCA/MR	del	2p24.1p23.3	3 721 550	8 376 636	86	*de novo*	P
40	F	MCA/MR	del	3p26.1p25.3	1 433 024	1 835 660	18	*de novo*	P
41	M	MCA/MR	del	3p22.1p21.31	5 893 173	7 832 879	123	*de novo*	P
42[a]	M	MCA/MR	del	8q21.11q21.13	5 289 394	5 770 485	12	*de novo*	P
42[a]	M	MCA/MR	del	3p14.3p14.2	593 434	1 517 140	11	Maternal	B
43	M	MCA/MR	del	3q26.31q26.33	4 081 515	6 002 971	12	*de novo*	P
44[b]	M	MCA/MR	del	13q13.2q13.3	917 819	1 458 769	1	*de novo*	P
44[b]	M	MCA/MR	del	22q11.21	917 819	1 458 769	15	Paternal	B
45	F	Rett syndrome	del	18q21.2	2 121 913	3 642 522	9	*de novo*	P
46	M	MCA/MR	dup	19p13.3	2 041 395	2 404 096	113	*de novo*	P
47	F	MCA/MR	del	19p13.3	816 079	2 037 409	23	*de novo*	P
48[c]	M	MCA/MR	del	Xp11.3	2 362 422	2 392 511	18	Maternal	P
49	M	MCA/MR	dup	3p26.3	176 050	250 850	1	Paternal	B
50	M	MCA/MR	dup	5p14.3	170 578	1 752 211	1	Paternal	B
51	M	MCA/MR	dup	5q13.3	1 020 329	1 421 706	3	Maternal	B
52	M	MCA/MR	dup	7p22.3	568	1 101 943	12	Maternal	B
53	F	MCA/MR	dup	8p23.2	838 610	2 648 539	1	Paternal	B
54	M	MCA/MR	dup	9q33.1	162 612	1 030 807	2	Paternal	B
55	F	MCA/MR	dup	10q22.3	154 664	873 124	1	Maternal	B
56	M	MCA/MR	dup	12q21.31	152 042	4 843 434	3	Paternal	B
57	M	Gillespie syndrome	del	Xp11.23	104 191	115 604	3	Maternal	B

Abbreviations: B, benign; CNV, copy-number variant; F, female; M, male; MCA/MR, multiple congenital anomalies and mental retardation; P, pathogenic.
[a]Two CNVs were detected in case 42.
[b]Two CNVs were detected in case 44.
[c]Nullizygous deletion inherited from his mother probably affected the phenotype.

Another interesting observation in the first screening was that subtelomeric rearrangements frequently occurred even in patients with MCA/MR of uncertain whose karyotype had been diagnosed as normal. This result may be consistent with a property of subtelomeric regions whose rearrangements can be missed in conventional karyotyping,[72] and in fact other techniques involving subtelomeric FISH or MLPA also identified subtelomeric abnormalities in a number of patients with MCA and/or MR in previous reports.[70,73,74] Our result may support the availability of prompt screening of subtelomeric regions for cases with uncertain congenital disorders.

In the second screening we applied WGA-4500 to 349 cases to detect 66 candidate pCNVs in 63 cases (18.1%), and subsequently assessed the pathogenicity of these CNVs. The pCNVs included nine CNVs overlapping identical regions of recently recognized syndromes (cases 1–9; deletion at 1p36.23–p36.22, 1q41–q42.11, 1q43–q44, 2q23.1, 14q12, 15q26-qter and 16p11.2–p12.2, respectively), four CNVs containing disease-associated genes (cases 10–13; *GLI3*, *BMP4*, *YWHAE* and *CASK*, respectively), three pairs of CNVs of recurrent deletions (cases 14, 15: at 6q12–q14.1 and 6q14.1; case 16, 17: at 10p12.1–p11.23 and case 18, 19: at 10q24.31–q25.1 and 10q24.32–q25.1), five CNVs identical to pCNVs in previous studies (cases 20–24), six large and/or gene-rich CNVs (cases 25–30) and six CNVs containing a morbid OMIM gene (cases 31–36). For the remaining cases, we estimated the pathogenicity of the CNVs from a parental analysis (Table 4). We judged the 11 *de novo* CNVs (cases 37–47) and 1 CNV on chromosome Xp11.3 inherited from

the mother (case 48) as probably pathogenic. And nine inherited CNVs (cases 49–57) were probably benign. The clinical significance of CNVs in the other six cases, cases 58–63, remains uncertain (VOUS). As a result we estimated CNVs as pathogenic in 48 cases among 349 cases (13.8%) analyzed in the second screening. None of the pCNVs corresponded to loci of well-established syndromes. This may suggest that our two-stage screening achieved a good balance between rapid screening of known syndromes and investigation of CNV of uncertain pathogenicity.

Table 5 Summary of parental analyses

		Average size (bp)		*The average number of protein-coding genes*
		Min.	*Max.*	
Pathogenic CNVs[a]				
del	23	3 309 267	4 597 689	43
dup	2	1 190 098	1 587 722	61
Total	25	3 139 733	4 356 892	44
Benign CNVs[b]				
del	3	538 481	1 030 504	10
dup	8	334 432	1 740 327	3
Total	11	390 082	1 546 739	5

Abbreviation: CNV, copy-number variant.
[a]Twenty-four *de novo* CNVs and case 48.
[b]Eleven inherited CNVs other than case 48.

Among the cases with parental analyses, the 25 pCNVs had larger sizes and contained more protein-coding genes (average size, 3.1 Mb at minimum to 4.4 Mb at maximum; average number of genes, 44) as compared with the 11 inherited bCNVs that were probably unrelated to phenotypes (average size, 0.39 Mb at minimum to 1.5 Mb at maximum; average number of genes, 5) (Table 5). Although all of the 25 pCNVs except 2 were deletions, about three quarters (8 of 11 cases) of the inherited bCNVs were duplications (Table 5). These findings are consistent with previously reported features of pCNVs and bCNVs.[21,38]

We also compared our current study with recent aCGH studies meeting the following conditions: (1) a microarray targeted to whole genome was applied; (2) patients with MCA and/or MR of uncertain etiology, normal karyotype and the criteria for patients selection were clearly described; (3) pathogenicity of identified CNVs were assessed. On the basis of the above criteria, among studies reported in the past 5 years, we summarized 13 studies (Table 6).[10,14,15,17,54,55,75–81] Diagnostic yield of pCNVs in each study was 6.3–16.4%, and our current diagnostic yield of the second screening was 13.8%. Though cases with subtelomeric aberration detected in the first screening had been excluded, our diagnostic yield was comparable to those of the reported studies. It is not so important to make a simple comparison between diagnostic yields in different studies as they would depend on the conditions of each study, for example, sample size or array resolution,[38,82] however it seems interesting that the higher resolution of a microarray does not ensure an increase in the rate of detection of pCNVs. One recent study showed data that may explain the discrepancy between the resolution of microarray and diagnostic yield.[54,83] The authors analyzed 1001 patients with MCA and/or MR using one

Table 6 Previous studies of analyzing patients with MCA and/or MR using aCGH targeted to whole genome

	Applied array			*Patients*		*Pathogenic CNV*	
Author (year)	*Type*	*Number*[a]	*Distribution*[b]	*Number*	*Type of disorders*	*Number*	*%*
Schoumans *et al.*[75]	BAC	2600	1.0 Mb*	41	MCA and MR	4	9.8
de Vries *et al.*[76]	BAC	32 477	Tiling	100	MCA and/or MR	10	10.0
Rosenberg *et al.*[77]	BAC	3500	1.0 Mb*	81	MCA and MR	13	16.0
Krepischi-Santos *et al.*[78]	BAC	3500	1.0 Mb*	95	MCA and/or MR	15	15.8
Friedman *et al.*[14]	SNP	Affymetrix 100K	23.6 kb**	100	MR	11	11.0
Thuresson *et al.*[79]	BAC		1.0 Mb*	48	MCA and MR	3	6.3
Wagenstaller *et al.*[80]	SNP	Affymetrix 100K	23.6 kb**	67	MR	11	16.4
Fan *et al.*[55]	Oligo	Agilent 44K	24 kb–43 kb**	100[c]	MCA and MR, Autism	15[d]	15.0
Xiang *et al.*[15]	Oligo	Agilent 44K	24 kb–43 kb**	40[e]	MR, DD and autism	3	7.5
Pickering *et al.*[10]	BAC	2600	1 Mb*	354[f]	MCA and/or MR	36[g]	10.2
McMullan *et al.*[17]	SNP	Affymetrix 500K	2.5 kb–5.8 kb**	120	MCA and/or MR	18	15.0
Bruno *et al.*[81]	SNP	Affymetrix 250K	2.5 kb–5.8 kb**	117	MCA and/or MR	18	15.4
Buysse *et al.*[54]	BAC	3431	1 Mb*	298	MCA and/or MR	26	8.7
	Oligo	Agilent 44K	24 kb–43 kb**	703	MCA and/or MR	74	10.5
Our current study	BAC	4523	0.7 Mb	349	MCA and MR	48	13.8
Total				2613		305	11.7

Abbreviations: BAC, bacterial artificial chromosome; CNV, copy-number variant; DD, developmental delay; MCA, multiple congenital anomalies; MR, mental retardation; SNP, single nucleotide polymorphism.
[a]The number of clones or name of array is described.
[b]Each distribution referred to each article (*) or manual of each manufacturer (**).
[c]All cases were analyzed by both a targeted array and a genome-wide array.
[d]In five cases, CNVs were also identified by a targeted array.
[e]Ten cases with an abnormal karyotype were excluded.
[f]Only cases studied with an array throughout the genome are described. Ninety-eight cases were also analyzed by a targeted array.
[g]Seventeen cases with an abnormal karyotype were excluded.

of two types of microarray, BAC array and oligonucleotide array. The BAC array was applied for 298 patients to detect 58 CNVs in 47 patients, and among them 26 CNVs (8.7%) were determined to be causal (pathogenic). Conversely, the oligonucleotide arrays were applied for 703 patients to detect 1538 CNVs in 603 patients, and among them 74 CNVs (10.5%) were determined to be pathogenic. These results may lead to the following idea: a lower-resolution microarray detects a limited number of CNVs likely to be pathogenic, because such CNVs tend to be large, and a higher-resolution microarray detects an increasing number of bCNVs or VOUS.[38] Indeed, in studies using a high-resolution microarray, most of the CNVs detected were smaller than 500 kb but almost all pCNVs were relatively large.[54,81,83] Most of the small CNVs were judged not to be pathogenic, and the percentage of pCNVs stabilized at around 10%. This percentage may suggest a frequency of patients with MCA/MR caused by CNV affecting one or more genes, other than known syndromes and subtelomeric aberrations. The other patients may be affected by another cause undetectable by genomic microarray; for example a point mutation or microdeletion/duplication of a single gene, aberration of microRNA, aberration of methylation states, epigenetic aberration or partial uniparental disomy.

As recently hypothesized secondary insult, which is potentially another CNV, a mutation in a phenotypically related gene or an environmental event influencing the phenotype, may result in clinical manifestation.[84] Especially, in two-hit CNVs, two models have been hypothesized: (1) the additive model of two co-occurring CNVs affecting independent functional modules and (2) the epistatic model of two CNVs affecting the same functional module.[85] It also suggests difficulty in selecting an optimal platform in the clinical screening. Nevertheless, information on both pCNVs and bCNVs detected through studies using several types of microarrays is unambiguously significant because an accumulation of the CNVs will create a map of genotype–phenotype correlation that would determine the clinical significance of each CNV, illuminate gene function or establish a new syndrome.

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ORIGINAL COMMUNICATION

Genetic analysis of two Japanese families with progressive external ophthalmoplegia and parkinsonism

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Abstract Mutations in the progressive external ophthalmoplegia 1 (*PEO1*), adenine nucleotide translocator 1 (*ANT1*) and DNA polymerase gamma (*POLG*) genes were reported in patients with progressive external ophthalmoplegia and parkinsonism. However, the genotype–phenotype correlation and pathophysiology of these syndromes are still unknown. In order to define the molecular basis of progressive external ophthalmoplegia and parkinsonism, we screened for mutations in *PEO1*, *ANT1*, *POLG* genes and the whole mitochondrial genome in two families. In results, we identified a compound heterozygous *POLG* substitutions, c.830A>T (p.H277L) and c.2827C>T (p.R943C) in one of the families. These two mutations in the coding region of *POLG* alter conserved amino acids in the exonuclease and polymerase domains, respectively, of the POLG protein. Neither of these substitutions was found in the 100 chromosomes of ethnically matched control subjects. In the other family, no mutations were detected in any of the three genes and the whole mitochondrial genome in the blood sample, although mitochondrial DNA deletions were observed in the muscle biopsy sample. Progressive external ophthalmoplegia and parkinsonism are genetically heterogenous disorders, and part of this syndrome may be caused by mutations in other, unknown genes.

Keywords Progressive external ophthalmoplegia · DNA polymerase gamma gene · Parkinsonism · Mitochondria

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Introduction

Mutations in genomic genes that alter mitochondrial DNA (mtDNA) are being increasingly reported, and can affect a variety of organs with variable ages of onset [1]. The hereditary forms are either autosomal dominant, or recessive, and rarely sporadic. DNA polymerase gamma (*POLG*, MIM ID #174763) encodes the catalytic subunit of DNA polymerase gamma, the only polymerase involved in replication of the mitochondrial genome [2]. A mutation in *POLG* associated with dominant progressive external ophthalmoplegia (PEO) was first described in 2001 [3]. In 2004, mutations in *POLG* in two individuals with a co-occurrence of dominant PEO and parkinsonism were reported [4]. Subsequently, mutations in the progressive external ophthalmoplegia 1 (*PEO1*, MIM ID #606075) and adenine nucleotide translocator 1 (*ANT1*, MIM ID #103220) genes were reported in patients with similar clinical phenotypes [5–11]. However, the genotype–phenotype correlation and pathophysiology of these syndromes are still unknown. We performed genetic analyses in two unrelated Japanese patients with PEO and parkinsonism and their families who had no maternal inheritance and found a compound heterozygotic missense mutation in *POLG* in one of the families.

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Subjects and methods

Subjects

Information from both families was not suggestive of maternal inheritance.

Family A (Fig. 1a): patient 1 (AII:2) was a 78-year-old man, who was healthy until the onset of slowly progressive bilateral ptosis with diplopia in his early 50s. At the age of 60 years, he developed left dominant hemi-parkinsonian features, such as rigidity with cogwheel phenomenon, bradykinesia, gait disturbance, resting tremor and postural instability. He was receiving no drugs that cause parkinsonism and there were no obvious infarctions on his brain MRI scan. Laboratory data and electrophysiological studies, including hyperammonemia, serum lactate and pyruvate values, nerve conduction studies, electrocardiogram, and electroencephalogram, all showed no abnormalities. He could not perform the exercise test because of his bradykinesia. Cardiac ^{123}I-metaiodobenzylguanidine (MIBG) scintigraphy showed slightly reduced heart-to-mediastinum (*H/M*) ratios at both the early and delayed phases. The mini mental state examination (MMSE) revealed no dementia (28/30). He showed a good response to L-DOPA (300 mg/day) treatment, so we diagnosed him with Parkinson's disease (PD). His father (AI:1) had a past history of blepharoplasty for bilateral ptosis but no parkinsonism and died of unknown cause at the age of 94 years. His mother (AI:2) was healthy until she died of stroke at the age of 96 years. His nine siblings are healthy and alive except for two brothers (AII:7 and AII:9) who died of intussusception at the age of 3 years. Neurological examinations confirmed that two siblings, AII:5 (68-year-old female) and AII:10 (60-year-old male), did not show any abnormalities including parkinsonism; however, AII:10 shows signs of slight ptosis without external ophthalmoplegia.

Family B (Fig. 1c): patient 2 (BII:3) was a 64-year-old man, who developed slowly progressive external ophthalmoplegie and ptosis at age 40 years and resting tremor of the left hand and stooped posture at age 60 years. Neurological examination at age 62 revealed other right dominant parkinsonian features, such as rigidity with cogwheel phenomenon of the bilateral arms, bradykinesia and postural instability, and mild proximal dominant muscle weakness. Pramipexole (1.5 mg/day) was started and thought to be effective. Laboratory findings showed increases in lactate and pyruvate in an exercise test but no other remarkable abnormalities; electrophysiological tests, including electroencephalogram, were also negative. MIBG cardiac scintigraphy showed markedly reduced *H/M* ratios at both the early and delayed phases. His

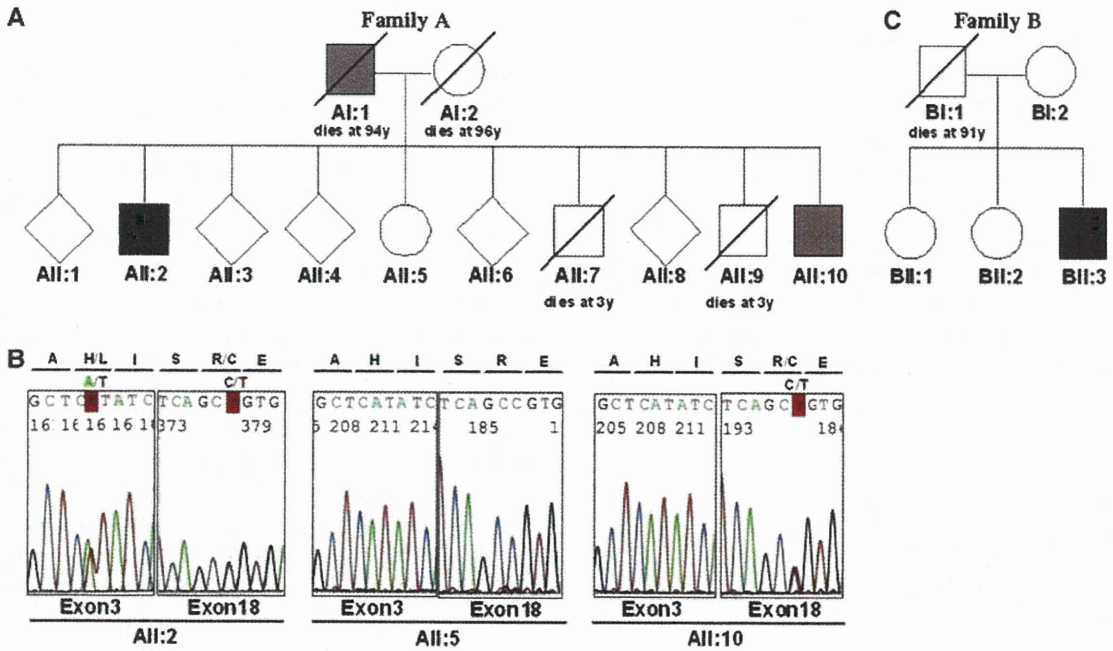

Fig. 1 Modified family pedigrees and electropherogram. **a** Family A (*open square*) man; (*open circle*) woman; (*slash*) deceased. *Open diamond,* family members not tested. *Solid symbols* show affected individuals with progressive external ophthalmoplegia and parkinsonism. *Gray symbols* show affected individuals with ptosis. **b** Electropherograms from members of family A. The proband (AII:2) of family A has two substitutions, c.830A > T (p.H277L) and c.2827C > T (p.R943C) in exons 3 and 18, respectively, of *POLG*. AII:5 exhibited neither of these substitutions and AII:10 has a single change of c.2827C > T (p.R943C). **c** None of the members of family B have substitutions in *POLG*, *PEO1*, or *ANT1*

MMSE score was 30. His father died of senile decay at the age of 91 years. We examined his mother (BI:2) and two sisters (BII:1 and BII:2) and found them to be healthy and with no neurological abnormalities.

Blood sampling and DNA extraction

All procedures used in this study were approved by the Hokkaido University Ethics Committee, and written informed consent was obtained from each individual (AII:2, AII:5, AII:10, BI:2, BII:1, BII:2 and BII:3) examined as well as from 50 ethnically matched control subjects. Blood samples were collected and genomic DNA and mtDNA were extracted from leukocytes using standard protocol.

Analysis of mitochondrial DNA deletion

The presence of mtDNA deletions was examined in muscle biopsy samples (see below) from patients 1 (AII; 2) and 2 (BII; 3) using Southern blot DNA hybridization (Mitsubishi Chemical Medience Corporation, Tokyo) according to the manufacturer's instructions. Whole cell DNA was prepared by phenol–chloroform extraction after incubation with proteinase K at 37°C overnight, and then purified by ethanol precipitation.

For Southern blotting and hybridization, 0.1 μg of genomic DNA or mtDNA were digested with 10 U of *Bam*HI (Roche) and *Pvu*II (Roche), respectively, at 37°C overnight. Digested DNA was separated by agarose electrophoresis (1% agarose gel, 55 V(CV)), hybridized with the probe recognizing mtDNA3307-4520 and exposed to X-ray film (XR, Fujifilm) at −70°C overnight.

DNA sequencing

Primers for PCR amplification of the 22 exons of the *POLG* gene, the 5 exons of the *PEO1* gene, and the 4 exons of the *ANT1* gene were as previously reported [12–14]. Sequencing was performed using the BigDye Terminator Cycle Sequencing Kit v.3.1 (Applied Biosystems). Sequencing products were purified by BigDye X Terminator (Applied Biosystems) and analyzed on an ABI3130 genetic analyzer with sequencing analyzer software (Applied Biosystems). In addition, whole mtDNA genome analyses of blood from the two probands (AII:2 and BII:3) were conducted (mitoSEQr resequencing system, for resequencing the entire mitochondrial genome with 46 RSAs; Applied Biosystems, USA).

Muscle pathology

Open muscle biopsy was performed on the *rectus femoris* of both patients. Transverse frozen sections were prepared and stained with hematoxylin-eosin (HE), modified Gomori trichrome (m-GT), nicotinamide adenine dinucleotide-tetrazolium (NADH-tr), non-specific enolase (NSE), and alkaline phosphatase (ALP). Histochemical stainings for the mitochondrial enzymes succinate dehydrogenase (SDH) and cytochrome c oxidase (CCO) were also performed.

Results

Sequencing analyses revealed compound heterozygotic missense mutations in *POLG* in patient1: c.830A>T in exon 3, resulting in p.H277L and c.2827C>T in exon 18, resulting in p.R943C (Fig. 1b). The former was reported previously associated with Alpers syndrome [15] and the latter with autosomal dominant PEO [16]; however, neither of the substitutions have been reported in a phenotype with parkinsonism.

One of the brothers of patient 1 (AII:10) also exhibited the c.2827C>T substitution in exon 18, but did not have the c.830A>T substitution in exon 3 (Fig. 1b). The sister of patient 1 (AII:5) had no *POLG* mutations (Fig. 1b). Patient 1 had no mutations in either *ANT1* or *PEO1*. Neither of the substitutions was found in the 100 chromosomes of 50 ethnically matched control subjects. Patient 2 had no mutations in any of the three genes examined. No mutations were detected in the whole mtDNA of either blood sample of the patients. In the analysis of mtDNA, deletions were observed only in patient 2 (Fig. 2).

We found similar muscle pathologies in both patients (Fig. 3). There were a few atrophic fibers and basophilic fibers in HE staining and many ragged-red fibers in the m-GT staining. Absence of CCO activity was found in some fibers. Some fibers showed intense SDH activity but no strongly stained small vessels. The histological findings in both patients were compatible with chronic progressive external ophthalomoplegia among mitochondrial myopathies.

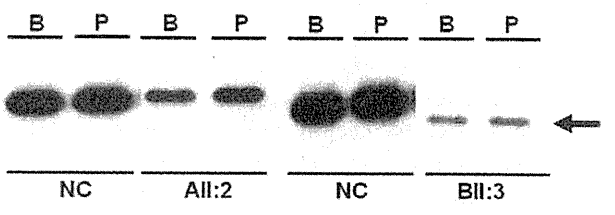

Fig. 2 Mitochondrial DNA deletion analysis. Southern blots of mitochondrial DNA isolated from muscle tissue. The muscle mitochondrial DNA was restricted with *Bam*HI (B) and *Pvu*II (P). The sample from patient 2 (BII:3) exhibited smaller restriction bands (*arrow*) than those from the normal control (NC), indicating the existence of mitochondrial DNA deletions. The size of normal band is 16.6 kb

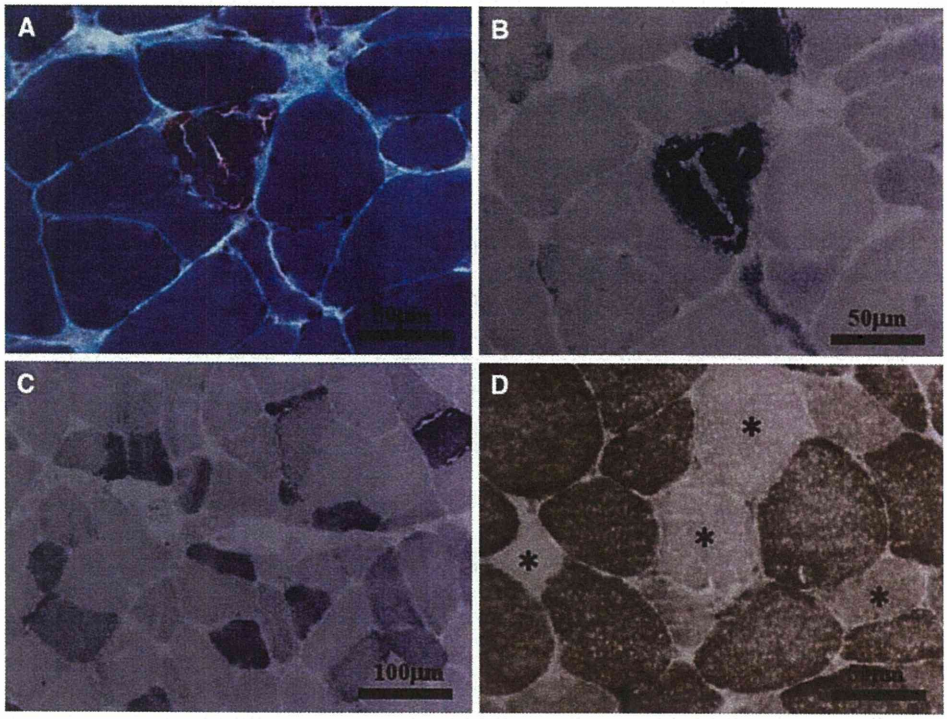

Fig. 3 Muscle pathology. **a–c** From BII:3. **a** Modified Gomori trichrome stained section showing ragged-red fiber in the center. **b** The same ragged-red fiber is darkly stained by succinate dehydrogenase (SDH) stain. **c** Darkly stained SDH fibers are scattered throughout the section. **d** In a section from patient AII:2, there are some cytochrome c oxidase-negative fibers (*asterisks*)

Discussion

We revealed a compound heterozygotic missense mutations in *POLG* in a patient with PEO and parkinsonism. To our knowledge, this is the first such compound mutation in a patient with PEO and parkinsonism and neither of these substitutions were previously reported in association with parkinsonism.

According to the genotypes of the siblings of patient 1, his mutations may be the result of transposition and each of his parents may have been heterozygotic for each of the mutations, because his brother (AII:10) has only the p.R943C substitution; however, a potential recombination can not be ruled out. In the POLG protein, p.H277L is involved in the exonuclease domain and p.R943C. in the polymerase domain. pR943C was previously reported in autosomal dominant PEO patients [16]. Most mutations in autosomal dominant PEO are in the polymerase domain [1], and, therefore, may be related to the onset of PEO in this case. In fact, the healthy sibling of patient 1 (AII:10) has slight ptosis without external ophthalmoplegia. However, it is unclear whether the difference between siblings can be explained only from the perspective of penetrance.

POLG is known as the causative gene of Alpers syndrome, which is a rare but severe autosomal recessive disorder that affects young children and causes mental retardation, seizures, deafness, liver failure, and eventual death [1]. Childhood myocerebrohepatopathy spectrum disorders (MCHS) are also known as POLG related disorders, and are defined by the clinical triad of myopathy or hypotonia, developmental delay or dementia, and liver dysfunction [17]. The p.H277L and p.R943C substitutions reported here are also known to occur in Alpers syndrome and MCHS, respectively [15, 17]. Although our patient had compound heterozygotic changes, he had no symptoms and signs suggesting either Alpers syndrome or MCHS. However, two siblings of patient 1 died of intussusception in their childhood. This may suggest that they had been affected with Alpers syndrome, although this could not be confirmed because their medical records were not available. It is reported that many *POLG* mutations are responsible for PEO and Alpers syndrome, and that the same substitutions cause PEO or Alpers syndrome [18]; however, the genotype–phenotype relationships are still unknown. In patients with PEO and parkinsonism, mutations are reported not only in the exonuclease domain [4] and the polymerase domain [4–6, 9] but also in the linker region [4, 7–9], therefore the correlation between mutation sites and development of parkinsonism is not clear. It could not be determined from our limited data whether both allele changes are required for the development of PEO and parkinsonism.

Although mtDNA deletions were not observed in our patient with the *POLG* mutation, other patients were also reported with *PEO1* or *POLG* mutations but with no apparent mtDNA deletions in muscle specimens observed

with Southern blotting [19, 20]. Real time PCR may be required to demonstrate the defect.

In spite of the presence of a mtDNA deletion and typical findings of muscle pathology indicative of mitochondrial disorders, patient 2 shows neither mtDNA mutations nor *POLG*, *PEO1*, or *ANT1* mutations. These results suggest wide heterogeneity in this phenotype and possibly the presence of mutations in other genes involved in the maintenance of mtDNA, particularly those involved in replicating and repairing mtDNA as does *POLG*.

PD is one of the common neurodegenerative diseases and its prevalence generally increases with age [21, 22]. The slowly progressive course, hemi-parkinsonism, and good response to anti-parkinsonian drugs observed in the parkinsonism in our patients is compatible with PD. Therefore, it seems possible that our elderly PEO patients may have developed Parkinson's disease by chance. However, detection of not only 1-methyl-4-phenyl-1,2,3,6-tetra-hydropyridine (MPTP) [23, 24] but also mutations in phosphatase and tensin homolog (PTEN)-induced kinase (*PINK1*) in familial parkinsonism [25], support the relationship of mitochondrial dysfunction and the pathogenesis of PD. Therefore, it seems possible that mitochondrial dysfunction due to the *POLG* mutation in our patient participated in the pathogenesis of PEO and parkinsonism. In addition, although parkinsonism caused by *POLG* mutations is a rare situation, such cases may also be included among clinically diagnosed progressive supranuclear palsy (PSP) patients, as these patients often have oculomotor abnormalities as well.

There was no apparent association between *POLG* variants and sporadic idiopathic PD in two previous studies [26, 27]; however, these studies examined only some common variants of *POLG* and over 100 substitutions in all regions of *POLG* have been reported to date [28]. Although, to our knowledge, the percentage of PEO patients with PD is not reported, it seems to be rare; however, PD with PEO may have a high rate of genetic mutations of nuclear genes functioning in the maintenance of mtDNA. Not only in PD patients with PEO, but also in PD patients who have family histories of PEO, nuclear genes functioning in the maintenance of mtDNA, including *POLG*, should be considered as etiologies.

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Conflict of interest The authors report no conflicts of interest.

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RESEARCH ARTICLE

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A Loss-of-Function Mutation in the *SLC9A6* Gene Causes X-Linked Mental Retardation Resembling Angelman Syndrome

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SLC9A6 mutations have been reported in families in whom X-linked mental retardation (XMR) mimics Angelman syndrome (AS). However, the relative importance of *SLC9A6* mutations in patients with an AS-like phenotype or XMR has not been fully investigated. Here, the involvement of *SLC9A6* mutations in 22 males initially suspected to have AS but found on genetic testing not to have AS (AS-like cohort), and 104 male patients with XMR (XMR cohort), was investigated. A novel *SLC9A6* mutation (c.441delG, p.S147fs) was identified in one patient in the AS-like cohort, but no mutation was identified in XMR cohort, suggesting mutations in *SLC9A6* are not a major cause of the AS-like phenotype or XMR. The patient with the *SLC9A6* mutation showed the typical AS phenotype, further demonstrating the similarity between patients with AS and those with *SLC9A6* mutations. To clarify the effect of the *SLC9A6* mutation, we performed RT-PCR and Western blot analysis on lymphoblastoid cells from the patient. Expression of the mutated transcript was significantly reduced, but was restored by cycloheximide treatment, indicating the presence of nonsense mediated mRNA decay. Western blot analysis demonstrated absence of the normal NHE6 protein encoded for by *SLC9A6*. Taken together, these findings indicate a loss-of-function mutation in *SLC9A6* caused the phenotype in our patient.

Key words: *SLC9A6*; sodium/hydrogen exchanger 6; Angelman syndrome; X-linked mental retardation; nonsense mediated mRNA decay

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INTRODUCTION

SLC9A6 mutations were first reported by Gilfillan et al. [2008] in families exhibiting an X-linked mental retardation (XMR) syndrome mimicking Angelman syndrome (AS). Angelman syndrome is characterized by severe developmental delay with absent or minimal speech, ataxia, easily provoked laughter, epilepsy, and microcephaly. The syndrome is caused by loss-of-function of the *UBE3A* gene which is subject to genomic imprinting. Patients with *SLC9A6* mutations resemble patients with AS, but also demonstrate distinctive clinical features including cerebellar atrophy, slow progression of symptoms, increased glutamate/glutamic acid peak on magnetic resonance spectroscopy (MRS), and lack of characteristic abnormalities seen AS patients examined using electroencephalography (EEG). Following the first report in 2008, in 2010 Schroer et al. reported two other families with AS due to *SLC9A6* mutations, and confirmed the findings of Gilfillan et al.

The *SLC9A6* gene is located on Xq26.3, and encodes the ubiquitously expressed Na^+/H^+ exchanger protein member 6, NHE6. The NHE protein family consists of nine members and includes

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NHE1-5 which is found in the plasma membrane, and NHE6-9 which is found in the membranes of intracellular organelles such as mitochondria and endosomes. NHE6 is predominantly present in the early recycling endosome membranes, and is believed to have a role in regulating luminal pH and monovalent cation concentration in intracellular organelles [Brett et al., 2002; Nakamura et al., 2005]. Moreover, Roxrud et al. demonstrated that NHE6 in combination with NHE9 participated in regulation of endosomal pH in HeLa cells by means of the procedure of co-depletion of NHE6 and NHE9 [Roxrud et al. 2009], indicating the significant role of NHE6 in fine-tuning of endosomal pH in human cells. In the brain, exocytosis from recycling endosomes is essential for the growth of dendritic spines which grow during long-term potentiation (LTP). In the absence of recycling endosomal transport, spines are rapidly lost, and LTP stimuli fail to elicit spine growth [Park et al., 2006]. Thus, NHE6 has an important role in the growth of dendritic spines, and also in the development of normal brain wiring. Thus far, five *SLC9A6* mutations have been reported in six AS families; two nonsense mutations, one inframe deletion, one frameshift deletion, and one splicing mutation [Gilfillan et al., 2008; Schroer et al., 2010]. The precise pathogenesis by which these mutations produce disease remains to be clarified.

The aim of this study was to clarify the incidence and importance of *SLC9A6* mutations in AS-like patients and patients with XMR, and to shed light on the molecular pathogenesis of disease due to *SLC9A6* mutations.

MATERIALS AND METHODS

Enrolled Patients

We examined 22 affected Japanese males clinically suspected of having AS but who lacked the genetic abnormalities reported in AS (AS-like cohort). These patients had AS excluded by having negative results for the *SNURF-SNRPN* DNA methylation test (which identifies a deletion, uniparental disomy, or imprinting defect) and *UBE3A* mutation screening (performed as described previously) [Saitoh et al., 2005]. We also examined DNA samples from 104 Japanese patients suspected of having XMR (XMR cohort). The XMR samples were collected as a part of a project for the Japanese Mental Retardation Consortium [Takano et al., 2008]. This study was approved by the Institutional Review Board of Hokkaido University Graduate School of Medicine, and written informed consent was obtained from the parents of the enrolled patients.

Mutation Analysis of the *SLC9A6* Gene

We amplified each exon, including exon–intron boundaries, of the *SLC9A6* gene using polymerase chain reaction (PCR), and all amplicons were directly sequenced on an ABI 3130 DNA analyzer (Applied Biosystems, Foster City, CA) using BigDye Terminator V.1.1 Cycle Sequencing Kit (Applied Biosystems). *SLC9A6* encodes two alternatively spliced transcripts produced from alternative splicing donor sites in exon 2 which give rise to a long form designated as variant 1, and a short form called variant 2. Variant 1 and variant 2 code for NHE6.1 (isoform a) and NHE6.0 (isoform b), respectively (Fig. 1). The primers were designed to amplify each transcript variant. The primers sequence used for amplification and sequencing are available on request. Genomic DNA (10 ng) extracted from peripheral blood was amplified in a total PCR volume of 20 μl containing 1× buffer, 0.4 μM of each primer (forward/reverse), 0.18 mM dNTPs, 0.5 U AmpliTaq Gold® DNA Polymerase (Applied Biosystems). The PCRs for all exons except exon one were performed at 94°C for 10 min followed by 30 cycles of 94°C for 30 sec, 55°C for 30 sec, 72°C for 30 sec, then one cycle at 72°C for 7 min. The high CpG content of exon 1 required it to be amplified in a total reaction volume of 20 μl containing 1× buffer, 0.4 μM of each primer, 0.2 mM dNTPs, 0.4 U Phusion® Hot Start High-Fidelity DNA Polymerase (Finnzymes, Vantaa, Finland), and 3% DMSO. The thermocycling conditions for exon 1 were 98°C for 3 min followed by 35 cycles of 98°C for 10 sec, 65°C for 30 sec and 72°C for 30 sec and then one cycle of 72°C for 5 min. The PCR products were purified with Wizard® PCR Preps DNA Purification System (Promega, Madison, WI) prior to sequencing. All mutations are referred to in relation to reference sequence NM_001042537.

Cell Culture and Cycloheximide Treatment

Epstein–Barr virus (EBV)-transformed lymphoblastoid cells lines were established from peripheral blood cells using standard methods. To prevent potential degradation of transcripts containing premature translation termination codons (PTCs) by nonsense mediated mRNA decay (NMD), lymphoblastoid cells from the patient with the *SLC9A6* mutation and normal controls were treated with 100 μg/ml cycloheximide (CHX) (Sigma, St. Louis, MO). This compound interferes with NMD through inhibition of protein synthesis [Aznarez et al., 2007]. CHX or a 0.1% DMSO control vehicle was used 4 hr prior to RNA extraction from the cell lines [Carter et al., 1995].

RT-PCR

Total RNA from cultured lymphoblastoid cells from the patient and four normal controls, was extracted using the RNAqueous® Kit (Applied Biosystems). Reverse transcription was performed using 100 ng of total RNA and the High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems) in a total reaction volume of 20 μl containing 1× Random primers, 4 mM dNTP mix, 2.5 U of Multiscribe™ Reverse Transcriptase, and 1 μl of RNase Inhibitor. The reactions were incubated at 25°C for 10 min, then at 37°C for 120 min and then followed by 85°C for 5 min to inactivate the reverse transcriptase. Complementary DNA was then amplified using a primer set designed to amplify exon 2–5; forward 5′-GTCTTTTGGTGGGCCTTGT-3′, reverse 5′-GTCCCGTTACC-TTCATCAG-3′. PCR products for NHE6.1 (transcript variant 1) and NHE6.0 (transcript variant 2) were 399 and 303 bp, respectively.

Real-Time Quantification of *SLC9A6* mRNA

To measure *SLC9A6* transcript variant 1 and variant 2, both of which are alternative splicing products, primers and TaqMan® MGB probes were designed with Primer® Express Software (Applied Biosystems; Fig. 1). The Primer and MGB probe sequence

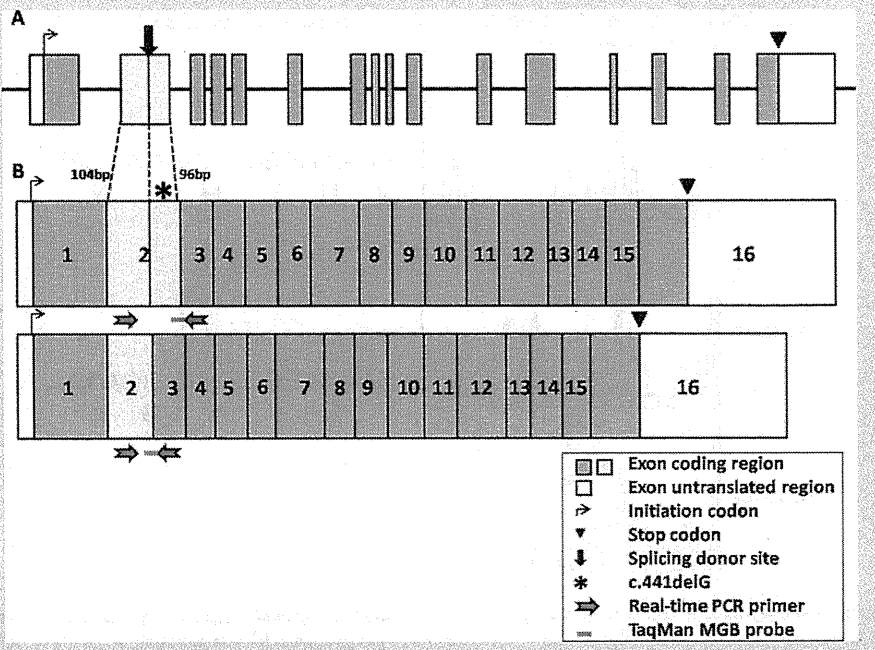

FIG. 1. A: Genomic structure of the *SLC9A6* gene. B: Two alternatively spliced transcripts of the *SLC9A6* gene. Above: *SLC9A6* transcript variant 1 (encodes NHE6.1 or isoform a). Below: *SLC9A6* transcript variant 2 (encodes NHE6.0 or isoform b). The location of the *SLC9A6* mutation in our patient is shown with *. Primers and probes used in real-time quantitative PCR are shown (horizontal arrows).

for variant 1 were forward primer 5′-TGAGTATATGCTGAAAGGAGAGATTAGTTC-3′, reverse primer 5′-GATAGGAGGAAGTAATATGTTGAAAAATACTTC-3′, TaqMan MGB probe 5′-CTTAGAAAGGTTACTTTTGATCC-3′; and for variant 2 forward primer 5′-CTGTGAAGTGCAGTCAAGTCCAA-3′, reverse primer 5′-GATAGGAGGAAGTAATATGTTGAAAAATACTT-3′, TaqMan MGB probe 5′-CTACCTTACTGGTTACTTTTGA-3′. Human *GAPDH* MGB probe and primers purchased from Applied Biosystems were used as the internal control. Patient cDNA was transcribed from 10 ng of total RNA in a total volume of 25 μl containing 1× TaqMan® Universal PCR Master Mix (Applied Biosystems), 0.9 μM of each primer (sense/antisense) and 0.25 μM of probe. Thermocycling was 95°C for 10 min, followed by 40 cycles of 95°C for 15 sec and 60°C for 1 min. Real-time quantitative PCR was performed using the ABI PRISM 7700 (Applied Biosystems). The $2^{-\Delta\Delta Ct}$ method was used for relative quantification.

Western Blot Analysis

HeLa cells and cultured lymphoblastoid cells from the patient, mother and normal controls were washed with phosphate buffered saline and suspended in lysis buffer (phosphate buffered saline containing 1% Triton-X, 1 μg/ml aprotinin, 1 μg/ml pepstatin A, and 1 μg/ml leupeptin). HeLa cells expressing the NHE6.1 were used as a control. The cells were disrupted by sonication and centrifuged at 20,000*g* for 10 min at 4°C. The supernatants were then resolved by SDS-polyacrylamide electrophoresis and transferred to polyvinylidene fluoride membrane (Millipore, Billerica, MA). NHE6 was detected with rabbit polyclonal anti-NHE6 antibody [Ohgaki et al., 2008], anti-rabbit IgG antibody conjugated with horseradish peroxidase (Vector Laboratories, Burlingame, CA) and chemiluminescence reagent (ECL Western Blotting Detection System; GE Healthcare, Waukesha, WI).

RESULTS

Identification of a *SLC9A6* Mutation

We identified only one male patient with a frameshift mutation (c.441delG, p.S147fs) in exon 2, out of 22 male patients in the AS-like cohort (Fig. 2). This frameshift mutation causes a PTC. His healthy mother was heterozygous for the mutation.

No mutation in the *SLC9A6* gene was identified in the XMR cohort. However, two common polymorphisms (rs2291639, rs2307131), and one putative novel polymorphism in intron 12 (c.1692 +10 A>G) were detected.

Clinical Features of the Patient With the *SLC9A6* Mutation

The affected male patient at birth suffered from mild neonatal asphyxia, however he had no other perinatal problems. His parents

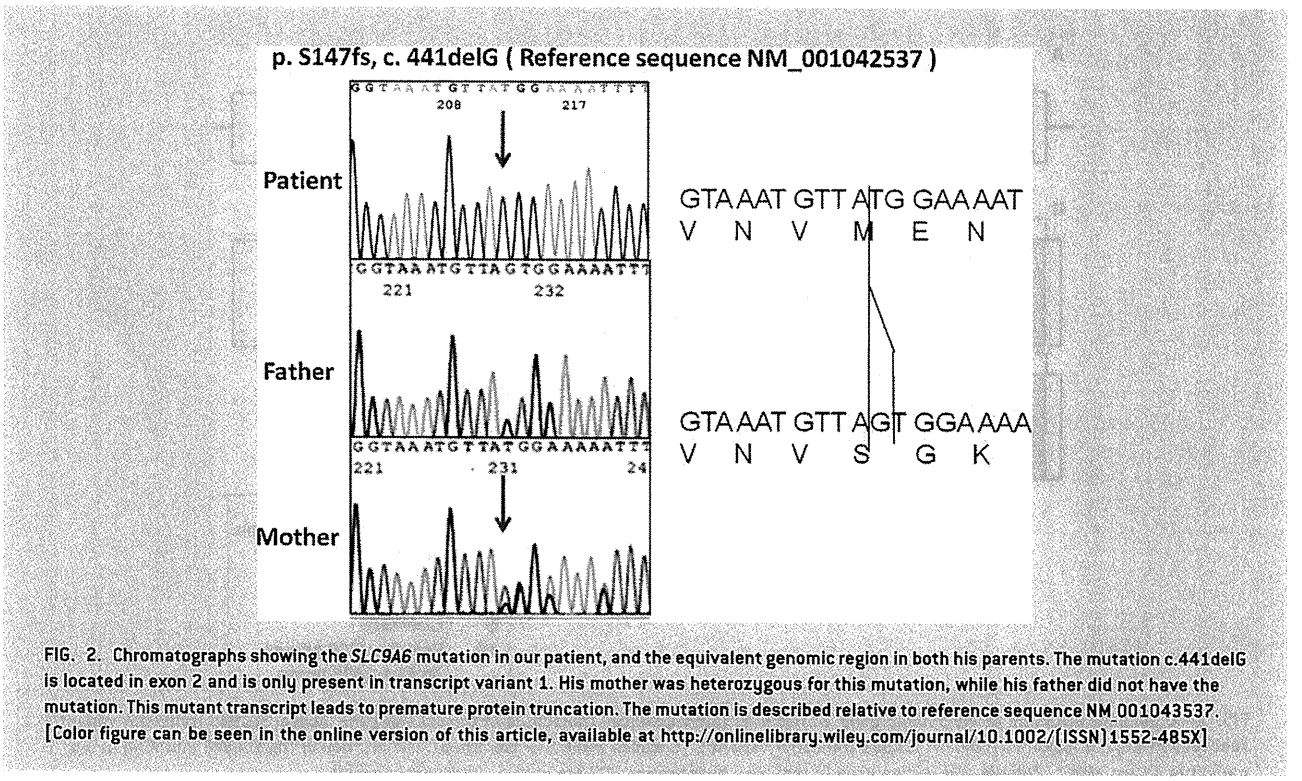

FIG. 2. Chromatographs showing the *SLC9A6* mutation in our patient, and the equivalent genomic region in both his parents. The mutation c.441delG is located in exon 2 and is only present in transcript variant 1. His mother was heterozygous for this mutation, while his father did not have the mutation. This mutant transcript leads to premature protein truncation. The mutation is described relative to reference sequence NM_001043537. [Color figure can be seen in the online version of this article, available at http://onlinelibrary.wiley.com/journal/10.1002/(ISSN)1552-485X]

were non-consanguineous and he did not have any family history of neurological diseases. Although formal clinical assessment was not conducted to the mother, she is healthy and does not have intellectual disability. His clinical features are summarized in Table I. He showed typical findings of AS; severe developmental delay with absence of verbal language, generalized hypotonia, easily provoked laughter, epilepsy, ataxia, strabismus, and microcephaly. His occipitofrontal head circumference at birth was 33.8 cm (+0.4 SD), but his head growth has decelerated into 51.5 cm (−3.0 SD) at 18 years of age. He acquired head control at three months of age, sat and crawled at 6 months of age, and walked unassisted at 18 months of age. His first epileptic attack occurred at 4 years of age. After this first attack, he lost his ability to walk until he was 5 years old. His epileptic attacks consisted of multiple types of seizures, and they were difficult to control with ACTH or several anti-epileptic drugs. TRH treatment improved his awakening and activity levels, and he transiently acquired the ability to walk. However, subsequently his ability to walk was lost, probably due to exacerbation of ataxia. His deep tendon reflex was not increased and no other features of spasticity or peripheral neuropathy were identified. His EEG findings included a background frequency of 5–6 Hz theta waves and spontaneous appearance of 3 Hz diffuse high voltage slow waves. TRH did not change the frequency of his seizures or his EEG findings. He showed no cerebellar atrophy on magnetic resonance imaging (MRI) at 5 years of age. MRS was not performed. He had a normal G-banding karyotype.

Downregulation of the *SLC9A6* Variant 1 in the Patient With the Mutation

The identified mutation c.441delG is located in exon 2 and is only present in variant 1 (Fig. 1). Therefore, the mutation only affects NHE6.1, leaving NHE6.0 intact. Reverse transcriptase PCR demonstrated that *SLC9A6* variant 1 mRNA expression decreased in our patient (Fig. 3A) compared to that in four normal controls. On the other hand, variant 2 expression was increased in the patient compared to the controls. To further investigate mutant *SLC9A6* gene expression, real-time quantitative PCR (qPCR) was performed using cDNA from the patient and normal controls. Quantitative PCR confirmed that *SLC9A6* variant 1 was significantly downregulated in the patient, while it was not downregulated in normal controls (Fig. 4A). Furthermore, the *SLC9A6* variant 2 mRNA in the patient was significantly increased compared to normal controls (Fig. 4B).

Nonsense Mediated Decay Was Involved in the Downregulation of Mutant *SLC9A6* in the Patient

To investigate the possible involvement of NMD in the downregulation of mutant *SLC9A6* in the patient's lymphoblastoid cells, we treated the cells with CHX. After CHX treatment, the expression level of *SLC9A6* variant 1 increased compared to normal control samples on RT-PCR (Fig. 3B). It was also proved that the expression level of variant 1 was significantly increased by performing qPCR, while the expression level in normal control samples