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# Nationwide Survey of Patients with Primary Immunodeficiency Diseases in Japan

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**Abstract** To determine the prevalence and clinical characteristics of patients with in Japan, we conducted a nationwide survey of primary immunodeficiency disease (PID) patients for the first time in 30 years. Questionnaires were sent to 1,224 pediatric departments and 1,670 internal medicine departments of Japanese hospitals. A total of 1,240 patients were registered. The estimated number of patients with PID was 2,900 with a prevalence of 2.3 per 100,000 people and homogenous regional distribution in Japan. The male-to-female ratio was 2.3:1 with a median age of 12.8 years. Adolescents or adults constituted 42.8% of the patients. A number of 25 (2.7%) and 78 (8.5%) patients developed malignant disorders and immune-related diseases, respectively, as complications of primary immunodeficiency disease. Close monitoring and appropriate management for these complications in addition to prevention of infectious diseases is important for improving the quality of life of PID patients.

**Keywords** Primary immunodeficiency disease · epidemiology · nationwide survey · Japan

## Abbreviations

APECED	Autoimmune polyendocrinopathy with candidiasis and ectodermal dystrophy
BTK	Bruton's tyrosine kinase
CGD	Chronic granulomatous disease
CID	Combined T and B cell immunodeficiency
CVID	Common variable immunodeficiency disease
FMF	Familial Mediterranean fever
IPEX	Immune dysregulation polyendocrinopathy enteropathy X-linked
NEMO	Nuclear factor kappa B essential modulator
PID	Primary immunodeficiency disease
SIgAD	Selective IgA deficiency
SLE	Systemic lupus erythematosus

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TRAPS	Tumor necrosis factor receptor-associated periodic syndrome
WAS	Wiskott–Aldrich syndrome
WHIM	Warts hypogammaglobulinemia, infections, and myelokathexis

## Introduction

Patients with primary immunodeficiency disease (PID) show susceptibility to infections due to congenital immune system defects. These patients are also associated with noninfectious complications including autoimmune diseases and malignant disorders. Recent studies have revealed the causes of many PIDs to be mutations in various genes encoding molecules involved in the host defense mechanisms [1]. In addition, various new PIDs including defects in innate immunity and autoinflammatory disorders were identified under the recent progress in immunology and molecular genetics [2]. PID classification has been revised according to the identification of new PIDs and on the basis of new findings in PID pathophysiology. For a more precise clinical analysis, data should be obtained in accordance with the latest PID classifications.

The first nationwide survey of patients with PID in Japan was conducted between 1974 and 1979, which included 497 registered cases [3]. By 2007, a total of 1,297 patients were cataloged by a small number of PID specialists into a registration system [4]. The approximate prevalence of PID patients in Japan in the first nationwide survey was 1.0 in 100,000 people, which was much lower than that in other countries [5–7]. This difference in PID prevalence between Japan and other countries suggested that some PID patients in Japan remained unregistered. To determine the prevalence and clinical characteristics of patients with PID in Japan on the basis of the recent international classification system for PID, we conducted a nationwide survey of PID for the first time in 30 years.

## Methods

This study was performed according to the nationwide epidemiological survey manual of patients with intractable diseases (2nd edition 2006, Ministry of Health, Labour, and Welfare of Japan) as described previously [8]. PID classification was based on the International Union of Immunological Societies Primary Immunodeficiency Diseases Classification Committee in 2007 [2]. Patients with chronic benign neutropenia and syndrome of periodic fever, aphthous stomatitis, pharyngitis, and cervical adenitis were excluded because these were considered to be acquired diseases. The survey was conducted on PID patients who

were alive on December 1, 2008 and those who were newly diagnosed and dead between December 1, 2007 and November 30, 2008 in Japan. Among the 2,291 pediatric departments and 8,026 internal medicine departments in Japan, hospitals participating in the survey were randomly selected after setting the selection ratio according to the number of beds (overall selection rate: 53.4% for pediatric departments, 20.8% for internal medicine departments; Table I). University hospitals and pediatric training hospitals, where many PID patients were considered to be treated, were stratified separately (Table I). Primary questionnaires regarding the number of patients and disease names based on PID classification were sent to the selected hospitals. Secondary questionnaires regarding age, gender, clinical manifestations, and complications of individual PID patients were sent to respondents who answered that they observed at least one PID patient with characteristics listed in the primary questionnaires.

## Results

Questionnaires were distributed to 1,224 pediatric departments and 1,670 internal medicine departments of hospitals in Japan, and the response rate was 55.0% and 20.1%, respectively (Table I). A total of 1,240 patients (1,146 patients from pediatric departments and 94 patients from internal medicine departments) were registered (Table I). The estimated number of patients with PIDs in Japan was 2,900 (95% confidence interval: 2,300–3,500), and the prevalence was 2.3 per 100,000 inhabitants. We also determined the regional distribution on the basis of the patients' addresses. The estimated regional prevalence ranged from 1.7 to 4.0 per 100,000 inhabitants, and no significant differences were observed between different regions in Japan (Fig. 1). The most common form of PID was predominantly antibody deficiencies (40%), followed by congenital defects of phagocyte number, function, or both (19%) and other well-defined immunodeficiency syndromes (16%; Table II). Autoinflammatory disorders were observed in 108 cases (9%). The most common PID was Bruton's tyrosine kinase (BTK) deficiency (182 cases, 14.7%), followed by chronic granulomatous disease (CGD; 147 cases, 11.9%). However, common variable immunodeficiency disease (CVID) and selective IgA deficiency (SIgAD) were observed only in 136 (11.0%) and 49 cases (4.0%), respectively. Among patients registered from internal medicine departments, antibody deficiencies were the most common disorder (71%).

In the secondary survey, 923 cases were registered. The male-to-female ratio was 2.3:1 ( $n=914$ , unanswered: 9 cases) with a median age of 12.8 years (range: 0 to 75 years;  $n=897$ , unanswered: 26 cases). The number of adolescent or

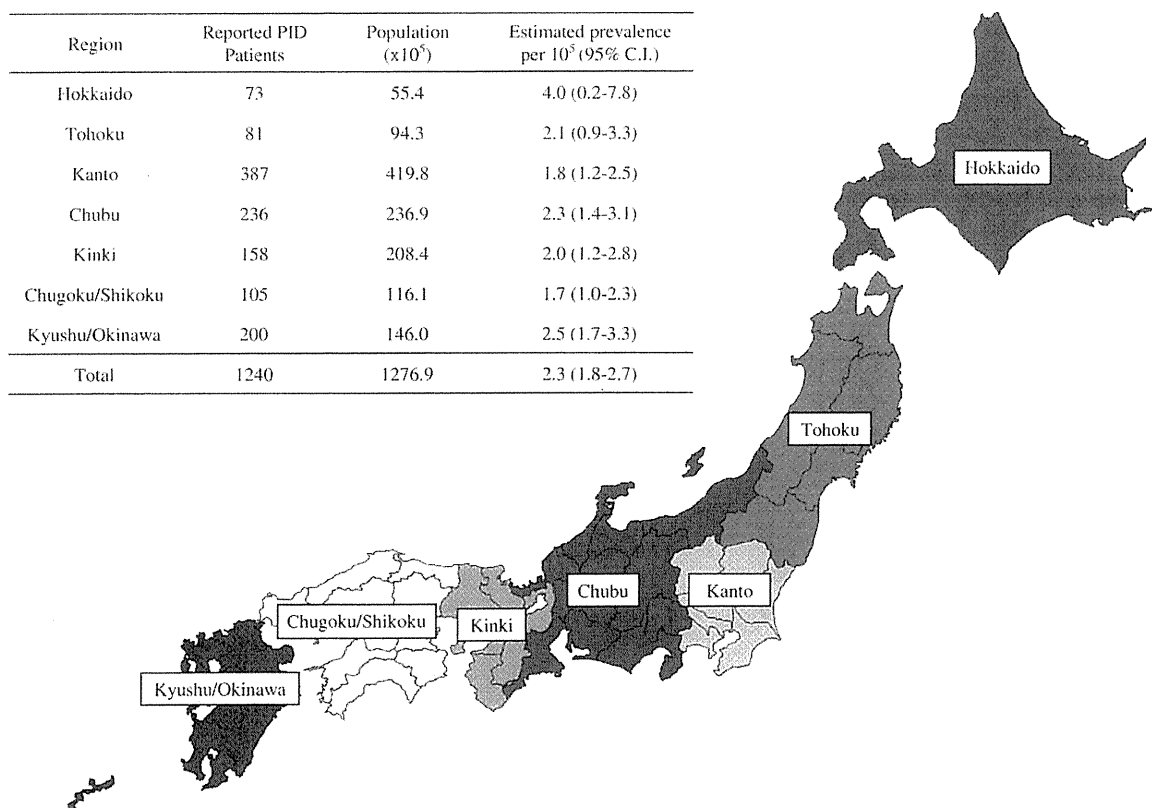
**Table 1** Stratification and selection of hospitals and the survey results

	Stratification	Departments in Japan	Departments selected	Selection rate (%)	Return <sup>a</sup>	Response	Response rate (%)	PID Patient	Patients per department	Patients estimated
Pediatrics	University hospital	118	118	100	0	80	67.8	661	8.3	975
	Training hospital	402	402	100	4	242	60.8	376	1.6	618
	≥500 beds	92	92	100	5	48	55.2	24	0.5	44
	400–499 beds	118	118	100	3	63	54.8	42	0.7	77
	300–399 beds	287	230	80.1	4	122	54.0	31	0.3	72
	200–299 beds	289	116	40.1	4	53	47.3	6	0.1	32
	100–199 beds	486	98	20.2	0	44	44.9	4	0.1	44
	<99 beds	499	50	10.0	1	10	20.4	2	0.2	100
	Subtotal	2,291	1,224	53.4	21	662	55.0	1,146	1.7	1,961
Internal medicine	University hospital	156	156	100	1	47	30.3	37	0.8	122
	≥500 beds	374	374	100	1	86	23.1	35	0.4	152
	400–499 beds	328	263	80	1	54	20.6	6	0.1	36
	300–399 beds	692	278	40.2	6	49	18.0	10	0.2	140
	200–299 beds	1,008	202	20.0	0	36	17.8	2	0.1	56
	100–199 beds	2,460	246	10.0	1	36	14.7	1	0.0	68
	<99 beds	3,008	151	5.0	6	24	16.6	3	0.1	375
		Subtotal	8,026	1,670	20.8	16	332	20.1	94	0.3
Total		10,317	2,894	28.1	37	994	34.8	1,240		2,911

<sup>a</sup> Due to the closure of departments

adult cases (≥15 years) was 384 (42.8%; Fig. 2a). The male-to-female ratio of the younger generation (<15 years) was 2.7:1, while that of the older generation (≥15 years) was

2.0:1. Combined T and B cell immunodeficiencies (CIDs) were predominantly observed in the younger generation, while antibody deficiencies were more common with



**Fig. 1** Regional distribution of PID patients. *CI* Confidence interval

**Table II** Reported number of PID

Category	Total number	Pediatric department	Internal medicine department
I. Combined T and B cell immunodeficiencies	93 (7%)	93 (8%)	0 (0%)
$\gamma$ c deficiency	47	47	0
Adenosine deaminase deficiency	9	9	0
Omenn syndrome	4	4	0
Others	23	23	0
Untested or undetermined	10	10	0
II. Predominantly antibody deficiencies	501 (40%)	434 (38%)	67 (71%)
BTK deficiency	182	173	9
Common variable immunodeficiency disorders	136	107	29
Selective IgG subclass deficiency	66	58	8
Selective IgA deficiency	49	34	15
Hyper IgM syndrome	34	34	0
Transient hypogammaglobulinemia of infancy	7	7	0
Others	11	7	4
Untested or undetermined	16	14	2
III. Other well-defined immunodeficiency syndromes	194 (16%)	189 (17%)	5 (5%)
Wiskott–Aldrich syndrome	60	60	0
DNA repair defects (other than those in category I)	15	15	0
DiGeorge anomaly	38	38	0
Hyper-IgE syndrome	56	52	4
Chronic mucocutaneous candidiasis	17	16	1
Others	5	5	0
Untested or undetermined	3	3	0
IV. Diseases of immune dysregulation	49 (4%)	48 (4%)	1 (1%)
Chediak–Higashi syndrome	9	8	1
Familial hemophagocytic lymphohistiocytosis syndrome	5	5	0
X-linked lymphoproliferative syndrome	8	8	0
Autoimmune lymphoproliferative syndrome	8	8	0
APECED	4	4	0
IPEX syndrome	7	7	0
Others	2	2	0
Untested or undetermined	6	6	0
V. Congenital defects of phagocyte number, function, or both	230 (19%)	223 (19%)	7 (8%)
Severe congenital neutropenia	44	42	2
Cyclic neutropenia	19	17	2
Chronic granulomatous disease	147	144	3
Mendelian susceptibility to mycobacterial disease	5	5	0
Others	9	9	0
Untested or undetermined	6	6	0
VI. Defects in innate immunity	15 (1%)	15 (1%)	0
Anhidrotic ectodermal dysplasia with immunodeficiency	7	7	0
Interleukin-1 receptor-associated kinase 4 deficiency	2	2	0
Others	5	5	0
Untested or undetermined	1	1	0
VII. Autoinflammatory disorders	108 (9%)	101 (9%)	7 (8%)
Familial Mediterranean fever	44	40	4
TNF receptor-associated periodic syndrome	13	12	1
Hyper IgD syndrome	4	4	0
Cryopyrin-associated periodic syndrome	22	22	0

**Table II** (continued)

Category	Total number	Pediatric department	Internal medicine department
Others	3	3	0
Untested or undetermined	22	20	2
VIII. Complement deficiencies	32 (3%)	29 (3%)	3 (3%)
IX. Undetermined	18 (1%)	14 (1%)	4 (4%)
Total	1,240	1,146	94

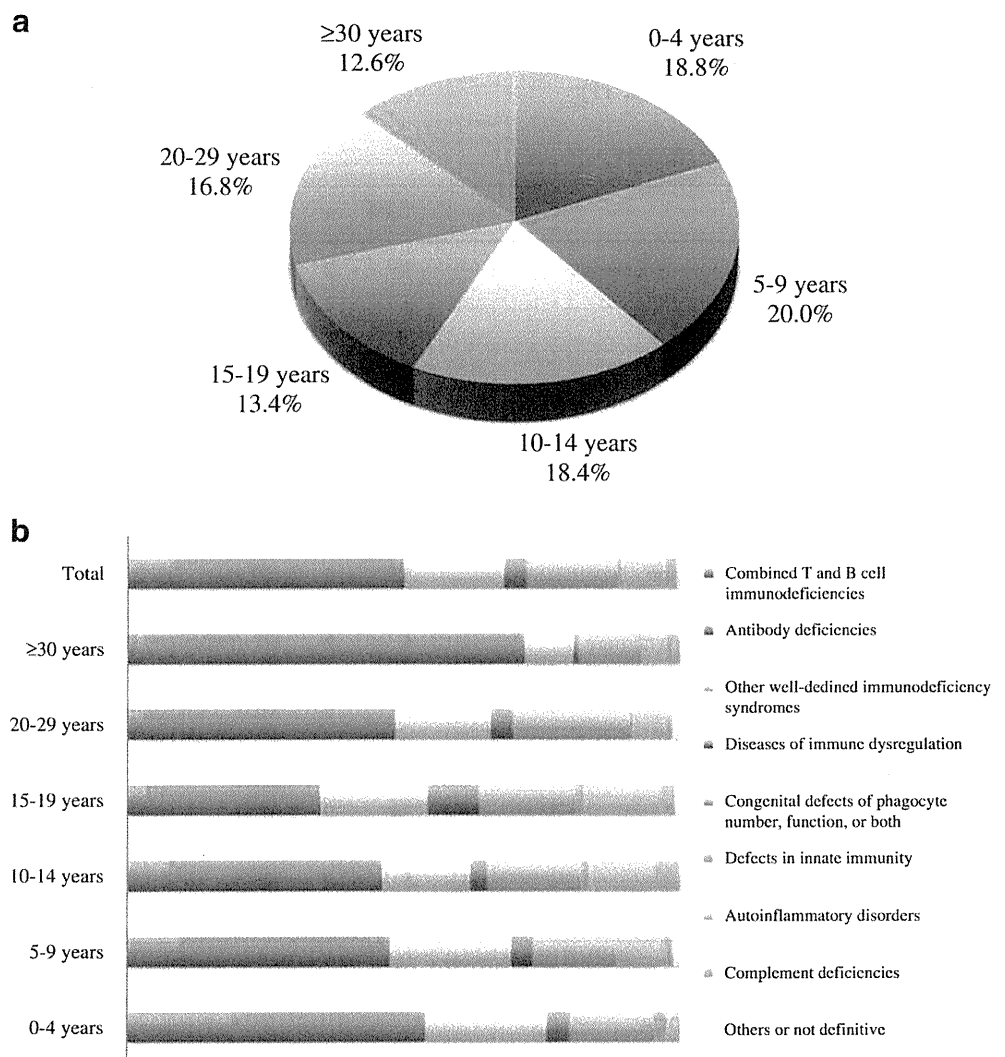
*APECED* Autoimmune polyendocrinopathy with candidiasis and ectodermal dystrophy, *IPEX* immune dysregulation, polyendocrinopathy, enteropathy, X-linked

increasing age (Fig. 2b). The median age of CID, BTK deficiency, CVID, and CGD patients was 5.2, 12.8, 25.1, and 14.7 years, respectively.

It is well known that PID patients are susceptible to many pathogens and experience community-acquired or opportunistic infections. In this study, we focused on noninfectious complications of PID because they have been less well studied on a large scale and may provide

important information for improving the quality of life of PID patients. Twenty-five PID patients developed malignant disorders (2.7%; Table III). Lymphoma, in particular, Epstein–Barr virus-related, and leukemia were dominant, while there were no patients with gastric carcinoma. CVID, Wiskott–Aldrich syndrome (WAS), and ataxia telangiectasia were more frequently associated with malignant diseases among PID patients. A case of Mendelian susceptibility

**Fig. 2** **a** Age distribution of PID patients. **b** Distribution of PID in each age group



to mycobacterial disease with squamous cell carcinoma was also observed [9] (Table III).

Seventy-eight PID patients had immune-related (autoimmune) diseases (8.5%; Table IVa). Autoimmune lymphoproliferative syndrome, immune dysregulation, polyendocrinopathy, enteropathy X-linked (IPEX) syndrome, and nuclear factor kappa B essential modulator (NEMO) deficiency were associated with immune-related diseases at a very high incidence. In addition, immune-related diseases were relatively common in CGD and CVID patients (Table IVa). The most commonly observed immune-related disease was inflammatory bowel disease (33 cases), which was most frequently observed in CGD patients, followed by immune thrombocytopenic purpura (13 cases), autoimmune hemolytic anemia (8 cases), and systemic lupus erythematosus (SLE; 8 cases; Table IVa and b). Kawasaki disease occurred in WAS and CGD patients. In addition, this is the first report of Kawasaki disease in patients with complement deficiency (C9) and familial Mediterranean fever (FMF). A patient with warts, hypogammaglobulinemia, infections, and myelokathexis (WHIM) syndrome and a patient with tumor necrosis factor receptor-associated periodic syndrome (TRAPS) were first reported as cases of type 1 diabetes mellitus and SLE, respectively [10, 11].

## Discussion

We conducted a nationwide survey of PID for the first time in 30 years and report the prevalence of PID in Japan. We registered 1,240 PID patients and found that the estimated prevalence of PID (2.3/100,000) is higher than that previously reported (1.0/100,000) in Japan. Our results are equivalent to those reported in Singapore (2.7/100,000) and Taiwan (0.77–2.17/100,000) [12–14]. However, our values are lower than those reported in Middle Eastern countries such as Kuwait (11.98/100,000) or in European countries such as France (4.4/100,000) [5–7, 15]. The high rate of consanguinity may be a cause of the high prevalence rate of PID reported in Middle Eastern countries [6, 15]. There may have been sample selection bias in this study because some asymptomatic cases (SIgAD, etc.), clinically recovered cases (transient hypogammaglobulinemia of infancy, etc.), and cases in which patients were deceased were not registered. In addition, lack of recognition of PID in internal medicine departments, not just the low response rate, might also have influenced the estimated prevalence of PID as well as the age and disease distribution. The regional prevalence of PIDs in Japan was homogenous, unlike in other countries in which a higher prevalence was

**Table III** Malignancies in PID patients

Primary immunodeficiency	Total	<i>n</i>	Malignancy
I. Combined T and B cell immunodeficiencies	75	2	(2.7%)
Omenn syndrome	3	1	NHL (EBV+) 1 <sup>a</sup>
Adenosine deaminase deficiency	4	1	Breast carcinoma 1
II. Predominantly antibody deficiencies	378	8	(2.1%)
Common variable immunodeficiency disorders	93	7	HL 2, ML 2, ALL 1, Basal cell carcinoma 1, Cervical carcinoma 1
Good syndrome	4	1	Double primary carcinoma of breast and colon 1
III. Other well-defined immunodeficiency syndromes	165	7	(4.2%)
Wiskott–Aldrich syndrome	57	5	NHL 3, NHL/HL 1, LPD (EBV-) 1
Ataxia telangiectasia	13	2	T-ALL 1, MDS 1
IV. Diseases of immune dysregulation	38	4	(10.5%)
X-linked lymphoproliferative syndrome	5	2	Burkitt lymphoma 2
Autoimmune lymphoproliferative syndrome	6	2	HL (EBV+) 1, Brain tumor 1
V. Congenital defects of phagocyte number, function, or both	153	4	(2.6%)
Severe congenital neutropenia	35	3	MDS 3 (including 2 cases with monosomy 7)
MSMD	7	1	Squamous cell carcinoma of finger 1
VI. Defects in innate immunity	12	0	(0%)
VII. Autoinflammatory disorders	74	0	(0%)
VIII. Complement deficiencies	23	0	(0%)
IX. Undetermined	5	0	(0%)
Total	923	25	(2.7%)

*n* Number of PID patients who had malignant disorders, *ALL* acute lymphoblastic leukemia, *EBV* Epstein-Barr virus, *HL* Hodgkin lymphoma, *LPD* lymphoproliferative disease, *MDS* myelodysplastic syndrome, *ML* malignant lymphoma, *MSMD* Mendelian susceptibility to mycobacterial disease, *NHL* non-Hodgkin lymphoma

<sup>a</sup> The number of patients

**Table IV** Immune-related diseases in PID patients*(a) Immune-related diseases with each PID*

Primary immunodeficiency	Total	<i>n</i>	Immune-related disease
I. Combined T and B cell immunodeficiencies	75	2	(2.6%)
MHC class II deficiency (suspected)	1	1	ITP with AIHA 1 <sup>a</sup>
CD4 deficiency	1	1	Hashimoto disease 1
II. Predominantly antibody deficiencies	378	24	(6.3%)
Common variable immunodeficiency disorders	93	16	ITP 3, RA 2, AIHA 2, Hashimoto's disease 2, IBD 2, SLE 1, MG 1, ADEM 1, Autoimmune hepatitis 1, Uveitis 1
Hyper-IgM syndrome	32	3	JIA 1, SLE (complicated with C1q deficiency) 1, IBD 1
Selective IgA deficiency	28	3	SLE 1, SLE with Kikuchi disease 1, RA 1
IgG subclass deficiency	50	2	ITP with AIHA 1, ITP with MS 1
III. Other well-defined immunodeficiency syndromes	165	5	(3.0%)
Wiskott–Aldrich syndrome	57	3	AIHA 2, Kawasaki disease 1
DiGeorge syndrome	33	2	AIHA 1, ITP 1
IV. Diseases of immune dysregulation	38	10	(26.3%)
X-linked lymphoproliferative syndrome	5	1	IBD 1
Autoimmune lymphoproliferative syndrome	6	4	ITP 3, Graves' disease with IBD 1
APECED	5	1	T1DM with Hashimoto's disease and Vogt–Koyanagi–Harada disease 1
IPEX syndrome	6	4	T1DM 1, T1DM with ITP, AIN and IBD 1, Autoimmune enteritis 1, AIHA with Autoimmune enteritis and Hashimoto's disease 1
V. Congenital defects of phagocyte number, function, or both	153	25	(16.3%)
Chronic granulomatous disease	87	25	IBD 20, ITP 2, JIA 1, MCTD 1, Kawasaki disease 1
VI. Defects in innate immunity	12	5	(41.7%)
NEMO deficiency	7	4	IBD 3, IBD with JIA 1
WHIM syndrome	3	1	T1DM 1
VII. Autoinflammatory disorders	74	3	(4.0%)
Familial Mediterranean fever	36	2	SLE 1, Kawasaki disease 1
TNF receptor associated periodic syndrome	9	1	SLE 1
VIII. Complement deficiencies	23	3	(13.0%)
C4 deficiency	1	1	SLE with RA 1
C6 deficiency	1	1	IBD 1
C9 deficiency	11	1	Kawasaki disease 1
IX. Undetermined	5	1	(20%)
Nakajo syndrome	1	1	SLE 1
Total	923	78	(8.5 %)

*(b) Immune-related manifestations associated with PID*

Immune-related diseases	<i>n</i>
IBD (including autoimmune enteritis)	33
ITP	13
AIHA	8
SLE	8
RA/JIA	6
Hashimoto's disease/Graves' disease	5
Kawasaki disease	4
T1DM	4
Uveitis (including Vogt–Koyanagi–Harada disease)	2
ADEM/MS	2
Others	5

*n* Number of PID patients who had immune-related disorders, *ADEM* acute disseminated encephalomyelitis, *AIHA* autoimmune hemolytic anemia, *AIN* autoimmune neutropenia, *APECED* autoimmune polyendocrinopathy candidiasis ectodermal dystrophy, *IBD* inflammatory bowel disease, *IPEX* immunodysregulation, polyendocrinopathy, enteropathy X-linked, *ITP* immune thrombocytopenic purpura, *JIA* juvenile idiopathic arthritis, *MCTD* mixed connective tissue disease, *MG* myasthenia gravis, *MS* multiple sclerosis, *RA* rheumatoid arthritis, *SLE* systemic lupus erythematosus, *T1DM* type 1 diabetes mellitus, *WHIM* warts, hypogammaglobulinemia, infections, and myelokathexis

<sup>a</sup> The number of patients



observed in urban areas [5, 7, 16]. This may be because many PID patients were treated or followed by PID specialists distributed nationwide in Japan; this is assumed by the location of hospitals with which they were affiliated.

The distribution ratios of BTK deficiency (14.7%) and CGD (11.9%) in Japan were higher than those in a previous report from Europe (5.87% and 4.33%, respectively), while those of CIDs and other well-defined immunodeficiency syndromes were comparable [17]. The prevalence of BTK deficiency was previously reported to be 1/900,000–1,400,000 in a European cohort study [18]. In contrast, this value was estimated to be 1/300,000 in Japan in our study. BTK deficiency appears to be common in Japan, although this may be partially because more patients, including those showing atypical clinical manifestations, were diagnosed more accurately by the recently established genetic diagnostic network in Japan [19]. This is supported by the highest proportion of Japanese patients in the international mutation database for X-linked agammaglobulinemia (BTKbase) [20]. The reason for the low number of registered CGD patients in Europe in a recent report (1/620,000) [17] is unknown; the prevalence of CGD was 1 in 250,000 in a previous European survey [21], which was similar to our results (1 in 380,000 in this study and 1 in 280,000 in our previous study [22]). The percentage of BTK deficiency and CGD would be lower if more adult cases were registered because the prevalence of these disorders is low in adults. CVID was the most commonly reported PID (20.7%) in Europe, and the onset of symptoms was observed most commonly in the third decade of life in these patients [17, 23]. In this study, CVID constituted 11.0% (136 cases) of PID cases, and only 29 cases were reported from internal medicine departments (Table II). A lower number of registered CVID patients may have led to a lower number of reported patients with antibody deficiency and a lower prevalence of PID, although it is still possible that CVID is not as common in Japan as in European countries. There was no significant difference in the distribution rate of SIgAD between Japanese and Europeans, although SIgAD is rare in Japanese (1/18,500) compared with Caucasians (1/330–2,200) according to seroepidemiologic studies [24]. This may be because most SIgAD patients lack clinical manifestations. The distribution ratio of autoinflammatory disorders in Japan (9%) was much higher than that in Europe (1.02%) [17] (Table II). Considering the disease type of the autoinflammatory disorders was not specified in 22 cases (20%), it is possible that many other patients with autoinflammatory disorders remain undiagnosed in Japan as well as in other countries.

The percentage of men (69.7%) with PID is higher in Japan than in Europe (60.8%) or Kuwait (61.8%), but is equivalent to that in Taiwan (70.2%) [6, 13, 17]. The higher

ratio of men, particularly in younger generation (<15 years), appears to be due to the larger number of X-linked PID patients (BTK deficiency, X-CGD,  $\gamma$ c deficiency, etc.) in this study compared to that in Europe or Kuwait. Adolescents or adults ( $\geq 15$  years) constituted 42.8% of the patients in this study, which is equivalent to the number in the European study ( $\geq 16$  years: 46.6%), while those >16 years constituted only 10.9% in the previous survey [3, 17]. In this study, it was found that CVID and SIgAD are common in adults (Table II) and that antibody deficiencies are more common with increasing age (Fig. 2b). A reason for the increased number of adult PID patients may be long-term survival of PID patients due to improved treatments such as immunoglobulin replacement therapy. In addition, an increased likelihood of patients being diagnosed by internists as having late-onset PID, e.g., CVID and SIgAD, may have contributed to these values [17, 25, 26]. Therefore, it is important for internists to be well-informed regarding PID. In contrast, CIDs are fatal during infancy without hematopoietic stem cell transplantation or gene therapy. Because hematopoietic stem cell transplantation has been widely performed in Japan since the 1990s, surviving patients with CID are limited to the younger generation, similar to French patients (Fig. 2b) [5, 27, 28].

It has been reported that PID patients are at increased risk of developing malignant diseases, in particular, non-Hodgkin lymphoma, leukemia, and stomach cancer [29]. Although lymphoma and leukemia were relatively common, stomach cancer was not observed in our study. In the previous survey in Japan, eight of nine PID patients with malignant disorders (including one gastric cancer patient) died [3]. It is possible that some PID patients with malignant disorders were not registered because they were deceased. PID is also associated with immune-related diseases because of a defect in the mechanisms to control self-reactive B and T cells. The frequency of immune-related manifestations varied among individual PID patients, as reported previously [30, 31]. Four PID patients who had developed Kawasaki disease, one patient with WHIM syndrome and type 1 diabetes mellitus, and one patient with TRAPS and SLE in our study may provide new pathophysiological insights of these diseases and the association between PID and autoimmune diseases.

## Conclusions

We report the prevalence and clinical characteristics of PIDs in Japan. Although the advances in diagnostic technologies and treatments have improved the prognoses of PID, many patients continue to experience severe complications such as malignancy and immune-related diseases as well as infections. To improve the quality of life of PID patients, it is necessary to pay attention to

complications and treat them appropriately. Web-based PID databases and consultation systems have been created in Japan (Primary Immunodeficiency Database in Japan [4] and Resource of Asian Primary Immunodeficiency Diseases in Asian countries [32]) to reveal precise information regarding PID and to promote cooperation between doctors and researchers [19].

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## Outcome of unrelated umbilical cord blood transplantation in 88 patients with primary immunodeficiency in Japan

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### Summary

We report the results of umbilical cord blood transplantation (UCBT) performed in 88 patients with primary immunodeficiency (PID) between 1998 and 2008 in Japan; severe combined immunodeficiency (SCID,  $n = 40$ ), Wiskott–Aldrich syndrome (WAS,  $n = 23$ ), chronic granulomatous disease ( $n = 7$ ), severe congenital neutropaenia (SCN,  $n = 5$ ) and other immunodeficiencies ( $n = 13$ ). Five-year overall survival (5-year OS) for all patients was 69% [95% confidence interval (CI), 57–78%], and was 71% and 82% for SCID and WAS, respectively. The main cause of death before day 100 was infection (17/19), while that after day 100 was graft-versus-host disease (GVHD) (5/7). Using multivariate analyses, pre-transplant infection, no conditioning,  $\geq 2$  human leucocyte antigen (HLA) mismatches or diagnosis other than SCID, SCN or WAS were all associated with poor prognosis. Reduced-intensity conditioning was associated with decreased overall mortality compared with myeloablative therapy. The cumulative incidence of grade 2–4 acute GVHD at day 100 was 28% (95% CI, 19–38%), and that of chronic GVHD at day 180 was 13% (95% CI, 7–23%). We conclude that UCBT should be considered for PID patients without an HLA-matched sibling. The control of pre-transplant infection and selection of HLA-matched donors will lead to a better outcome.

**Keywords:** primary immunodeficiency, severe combined immunodeficiency, Wiskott–Aldrich syndrome, cord blood transplantation, reduced-intensity conditioning.

Allogeneic haematopoietic stem cell transplantation (HSCT) has been successfully used as a curative therapy for most severe forms of primary immunodeficiency (PID) (Zeidler *et al*, 2000; Antoine *et al*, 2003; Sakata *et al*, 2004; Rao *et al*, 2005; Kobayashi *et al*, 2006; Mazzolari *et al*, 2007; Dvorak & Cowan, 2008; Griffith *et al*, 2008; Cuvelier *et al*, 2009). Stem cell transplantation from a human leucocyte antigen (HLA)-identical family donor provides better prognosis than bone marrow transplantation from an unrelated donor (Antoine *et al*, 2003). Survival with this type of transplantation from a matched unrelated donor has improved significantly over the years in patients with severe combined immunodeficiency (SCID), whereas no improvement in survival has been observed with this transplantation in non-SCID patients (Antoine *et al*, 2003). The optimal stem cell source for PID patients with no HLA-identical sibling remains to be determined (Dvorak & Cowan, 2008; Griffith *et al*, 2008; Cuvelier *et al*, 2009).

Umbilical cord blood grafts from unrelated donors have been successfully used, primarily in children and subsequently in adults (Kurtzberg *et al*, 1996; Wagner *et al*, 1996; Gluckman *et al*, 1997; Rubinstein *et al*, 1998; Rocha *et al*, 2000, 2004; Laughlin *et al*, 2004). Theoretically, unrelated cord blood transplantation (UCBT) has the following distinct advantages in PID patients: (i) the cord blood product is rapidly accessible in most cases; (ii) the incidence and severity of graft-versus-host disease (GVHD) is not excessive, even in mismatched transplantation and (iii) the risk of latent viral transmission is low. The disadvantages of UCBT include slower haematopoietic/immunological reconstitution and graft failure, which have been observed with UCBT for malignant disorders, and naivety of lymphocytes to pathogens (Brown *et al*, 2008; Griffith *et al*, 2008; Szabolcs *et al*, 2008). Rapid immune reconstitution is particularly important in PID patients with ongoing infection who undergo UCBT.

The limited data available show that UCBT can be a curative measure in patients with SCID, Wiskott–Aldrich syndrome (WAS), chronic granulomatous disease (CGD) and severe congenital neutropaenia (SCN) (Knutsen & Wall, 2000; Bhattacharya *et al*, 2003, 2005; Fagioli *et al*, 2003; Knutsen *et al*, 2003; Kobayashi *et al*, 2006). Most of the available data have come from a single centre, and thus, detailed information on the outcome and problems associated with UCBT in PID patients is still lacking. In this study, we report the results of UCBT performed in 88 PID patients between 1998 and 2008 in Japan.

## Methods

### Collection of data

All UCBTs carried out for PIDs through the Japan Cord Blood Bank Network (JCBBN) between August 1998 and January 2008 was enrolled in this study. Eighty-eight patients with PID underwent UCBT during this period. All data were provided

by JCBBN, which collects recipients' clinical information at day 100 after transplantation. Recipients' data on survival, disease status and long-term complications are renewed annually by administering follow-up questionnaires. Latest data acquisition was performed in November 2009. The present study was approved by the institutional ethical and data management committees of JCBBN.

### Patients

A summary of patients enrolled in this study is shown in Table I. Forty patients had SCID (45%) and 48 (55%) had non-SCID. Patients with familial haemophagocytic syndrome were not included in this study. The median age at the time of transplantation was 10 months (range, 0–248 months).

### Procedures

Cryopreserved, unrelated cord blood cells were used as the source of haematopoietic stem cells. The type of conditioning used and median cell dose infused are shown in Table I.

In most cases, HLA matching was performed by both serological and DNA typing for HLA-A, HLA-B and HLA-DRB1. In this study, HLA mismatch was defined according to serological or low-resolution molecular typing for HLA-A and HLA-B and high-resolution molecular typing for HLA-DRB1. Of the UCB donors, 29 (33%) were HLA fully compatible. Of the mismatched donors, 40 (45%) were 1-antigen mismatched, 15 (17%) were 2-antigen mismatched and four (5%) were 3-antigen mismatched (Table I). In 48 patients in whom high-resolution genotypical typing was performed for HLA-A, HLA-B and HLA-DRB1, 11 were fully matched, 13 were 1-antigen mismatched, 16 were 2-antigen mismatched, five were 3-antigen mismatched and three were 4-antigen mismatched.

Immunosuppressive prophylaxis against GVHD after UCBT consisted of ciclosporin A (CyA)- and tacrolimus-based regimens in 48 and 35 patients, respectively. Five patients were not administered any immunosuppressive drug after UCBT.

Various techniques including karyotyping, HLA typing and fluorescence *in situ* hybridization for the XY chromosome and variable number of tandem repeats were used to confirm the engraftment of donor cells.

### Definitions

Neutrophil recovery was defined by an absolute neutrophil count of at least  $0.5 \times 10^9/l$  for three consecutive days. Platelet recovery was defined by a count of at least  $20 \times 10^9/l$ , unsupported by transfusion for 7 d. Reticulocyte recovery was defined by a count of at least 20%<sub>100</sub>.

Patients without conditioning or with only anti-thymocyte globulin (ATG) were categorized as receiving no conditioning. Patients administered busulfan (BU)/cyclophosphamide (CY)  $\pm$  total body irradiation (TBI) or total lymphoid irradiation

Table I. Age at the time of transplantation, type of conditioning and HLA disparity.

	Patients (N)	Median age at transplantation (months) (range)	Median cell dose ( $\times 10^7$ /kg) (range)	Second or third transplantation (N)	Conditioning			HLA disparity			
					No (N)	RIC (N)	MAT (N)	0 (N)	1 (N)	2 (N)	3 (N)
Total	88	9 (0–248)	8.60 (1.89–31.1)	8	14	31	43	29	40	15	4
SCID	40	6.5 (0–27)	11.4 (4.55–31.1)	1	12	18	10	17	15	5	3
WAS	23	14 (4–84)	6.49 (2.89–13.6)	1	0	2	21	7	10	6	0
CGD	7	63 (31–248)	6.00 (1.89–12.3)	5	1	4	2	2	4	1	0
SCN	5	10 (4–124)	5.99 (4.16–9.19)	0	0	1	4	1	4	0	0
Others	13	37 (6–194)	8.11 (3.01–19.8)	1	1	6	6	2	7	3	1

RIC, reduced-intensity conditioning; MAT, myeloablative therapy. Definition of conditioning regimens are described in *Methods* section. 'Others' include four CD40L deficiency, two common variable immunodeficiency and one of each of the following disorders: Major histocompatibility complex (MHC) class II deficiency, DiGeorge syndrome, X-linked lymphoproliferative disorder, NEMO (NF- $\kappa$ -B essential modulator) deficiency, IPEX (immunodysregulation polyendocrinopathy enteropathy X-linked) syndrome, Idiopathic CD4 lymphopenia and Blau syndrome.

(TLI), BU/CY + ATG  $\pm$  TLI, BU/CY + fludarabine (Flu) or CY/etoposide/high-dose cytarabine were categorized as receiving myeloablative therapies (MATs). CY dose ranged from 120 to 240 mg/kg (median, 200 mg/kg) in patients receiving MAT.

TBI < 4 Gy was classified as 'low-dose TBI'. Patients administered Flu/melphalan (L-PAM)  $\pm$  low-dose TBI or TLI, Flu/BU  $\pm$  TLI or Flu/CY (50–60 mg/kg)  $\pm$  low-dose TBI/TLI, Flu + low-dose TBI or Flu + ATG were categorized as receiving reduced-intensity conditioning (RIC). L-PAM dose was  $\leq 140$  mg/m<sup>2</sup> in patients receiving RIC.

GVHD was graded according to the standard criteria (Przepiorka *et al*, 1995).

### Statistical analyses

The probability of survival was estimated by the product-limit method, and the log-rank test was used for group comparisons. Cumulative incidence curves were used in a competing-risks setting to calculate the probability of neutrophil, platelet and reticulocyte recovery and that of acute and chronic GVHD. Death before recovery was the competing event for haematological recovery, and death without GVHD was the competing event for GVHD. Gray's test was used for group comparisons of cumulative incidence (Gray, 1988; Gooley *et al*, 1999). The Cox regression model was used to analyse data for the identification of prognostic factors. Factors found to be significant ( $P < 0.05$ ) or marginally significant ( $P < 0.1$ ) in univariate analysis were included in multivariate analysis. The variables considered were patient age at the time of transplantation, diagnosis, duration from diagnosis to transplantation, second or third transplantation, HLA disparity, presence of infection at the time of transplantation, conditioning regimen and cell dose infused. Variables with >2 categories were included in the final model using dichotomized dummy variables when at least one of the categories showed significant effect on survival. Continuous variables were dichotomized for the prognostic factor analyses. Variables were dichotomized as follows; patient age greater or

<12 months at transplantation, dichotomized at a median nucleic cell dose of  $<8.2 \times 10^7$ /kg vs.  $\geq 8.2 \times 10^7$ /kg and CD34 cell dose of  $<2.1 \times 10^5$ /kg and  $\geq 2.1 \times 10^5$ /kg, shorter than or equal to or longer than 180 d for time to transplant. All  $P$ -values were two-sided.

## Results

### Engraftment

Sixty-seven patients (76%) achieved stable engraftment. The cumulative incidence of neutrophil, platelet and reticulocyte recovery at day 100 after transplantation was 77% [95% confidence interval (CI), 66–85%], 56% (95% CI, 45–65%) and 64% (95% CI, 53–73%) respectively (Fig 1A, B; data not shown). The median time for neutrophil, platelet and reticulocyte recovery was 19 d (range, 9–104 d), 40 d (range, 10–122 d) and 27 d (range, 12–98 d), respectively. The cumulative incidences of neutrophil recovery were not statistically different among the disease groups (SCID, 74%; WAS, 91% and others, 68% at day 100 after transplantation) (Fig 1C), although incidence was low in CGD patients ( $N = 7$ , 43%).

The time required for neutrophil recovery was similar in all disease groups, while that required for platelet recovery varied to some extent among the different disease groups. Platelet engraftment was slightly delayed in WAS patients, but the time required for engraftment in these patients was not significantly different from that required in other patients (Fig 1D).

Forty-three, 31 and 14 patients received MAT, RIC and no conditioning, respectively. No difference was observed in the incidence of neutrophil recovery between the MAT and RIC groups (84% vs. 87% at day 100). Similarly, no difference was observed in platelet recovery between these two groups (data not shown).

The cell dose infused ranged from 1.89 to  $31.1 \times 10^7$ /kg, with a median of  $8.60 \times 10^7$ /kg. No correlation was observed between the cell dose infused and engraftment.

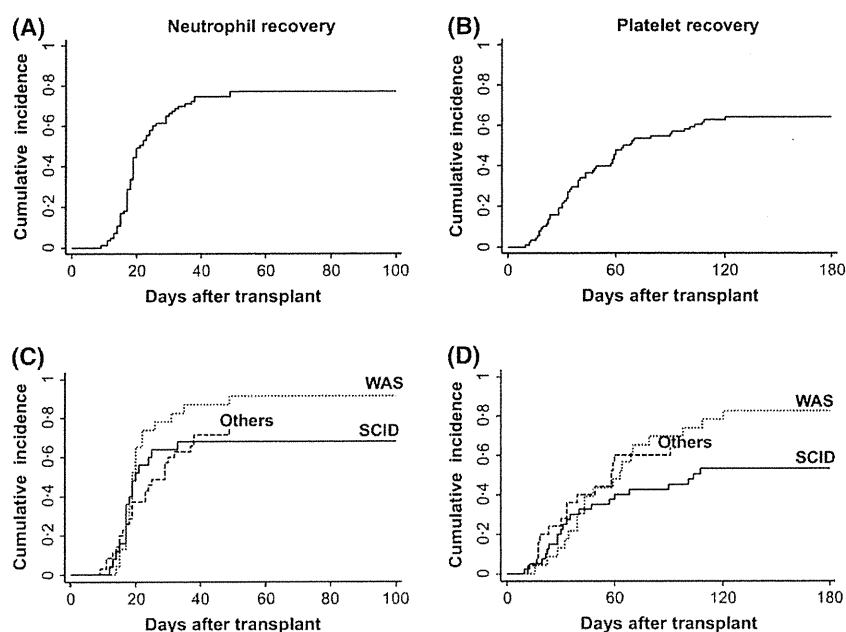


Fig 1. Cumulative incidence of neutrophil and platelet recovery after UCBT. (A) The cumulative incidence of neutrophil recovery 77% (95% CI, 66–85%). (B) The cumulative incidence of platelet recovery 56% (95% CI, 45–65%). The cumulative incidence of neutrophil (C) and platelet (D) recovery according to disease category is shown.

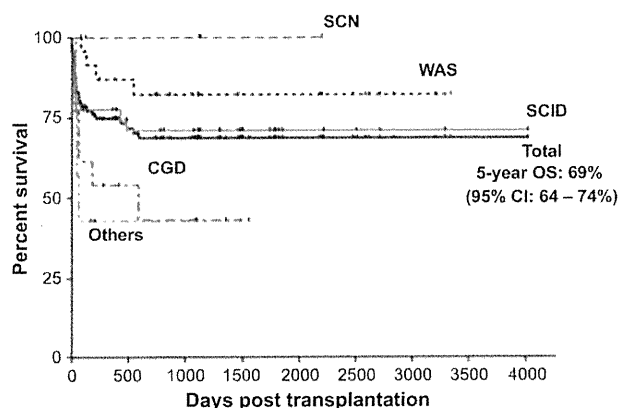


Fig 2. Kaplan–Meier estimates of overall survival after umbilical cord transplantation.

Five of 21 patients with engraftment failure received a second transplantation. Two WAS patients achieved successful engraftment in the second transplantation, while one SCID and two CGD patients did not survive the second transplantation. Only two of the remaining 16 patients who rejected the UCB graft remained alive at the latest data analysis.

*Survival and causes of death*

Of the 88 PID patients who underwent UCBT, 62 remained alive at the latest follow-up. Five-year OS for all patients was 69% (95% CI, 57–78%) (Fig 2), while that for SCID and WAS patients was 71% and 82%, respectively. All five SCN patients

remained alive, although one patient had rejected the graft on day 79 after UCBT. Three of seven CGD patients survived UCBT; this low survival rate may be due to the fact that UCBT was selected in five patients after the first or second failed bone marrow transplantation (BMT). Seven of 14 patients categorized as ‘other diseases’ remained alive at the latest follow-up.

Table II summarizes the survival and causes of death after UCBT. Of the 26 patients who died, 19 had died within day 100 (17 from infection) and seven (SCID, six and congenital CD4 lymphopenia, one) had died within day 28 after UCBT.

Causes of early death ( $\leq 28$  d) were cytomegalovirus (CMV) disease (three patients), *Pneumocystis* pneumonia (one patient), interstitial pneumonia (one patient), bacterial infection (one patient) and veno-occlusive disease (VOD) (one patient). All those who died of CMV disease had CMV pneumonia before transplantation.

The cause of death between days 28 and 100 in the remaining 12 patients was bacterial infection (seven had concomitant fungal infection, one also had VOD and one had CMV disease), CMV disease (two patients), fungal infection (one patient), multiple organ failure (one patient) and VOD (one patient). Four of seven CGD patients died of bacterial or fungal infection without engraftment. Although detailed data on bacterial/fungal infections at the time of transplantation were not collected, all the CGD patients were administered both antimicrobial and antifungal agents at the time of transplantation.

The causes of death after day 100 were GVHD (five patients), Epstein–Barr virus (EBV)-associated post-transplant lymphoproliferative disorder (EBV-PTLD, one patient) and

Table II. Survival and causes of death.

	Cases (N)	Alive (N)	Death (day)			Cause of death (<day 100)				Cause of death (≥day 100)	
			<28 (N)	<100 (N)	≥100 (N)	Infection at CBT (N)	Bac/Fung infection (N)	Viral infection (N)	Others (N)	GVHD (N)	Others (N)
Total	88	62	7	19	7	18	10	7	VOD 3 MOF1	5	PTLD 1 AI 1
SCID	40	29	6	9	2	11	2	6	1 (VOD)	1	1 (AI)
WAS	23	19	0	1	3	1	1	0	0	3	0
CGD	7	3	0	4	0	5	4	0	1 (VOD)	0	0
SCN	5	5	0	0	0	0	0	0	0	0	0
Others	13	6	1	5	2	1	3	1	1 (VOD) 1 (MOF)	1	1 (PTLD)

Bac/Fung infection, bacterial and/or fungal infection. VOD, veno-occlusive disease; MOF, multiple organ failure; AI, adrenal insufficiency; PTL, post-transplant lymphoproliferative disorder. Cause of death total does not equal the number of deceased patients because one patient died of VOD and bacterial infection.

adrenal insufficiency (one patient). None of the other patients died of infection after day 100.

### GVHD

All but five patients in the present study received either CyA- or tacrolimus-based immunosuppressant prophylaxis for GVHD. The cumulative incidence of grade 2–4 acute GVHD at day 100 was 28% (95% CI, 19–38%), and that of grade 3–4 GVHD was 8% (95% CI, 4–15%) (Fig 3A, D).

The incidence of grade 2–4 GVHD was higher in patients who underwent 2- or 3-antigen-mismatched UCBT compared with those who underwent HLA-matched or HLA-1-antigen-mismatched UCBT, but it was not statistically significant ( $P = 0.071$ ) (Fig 3B). On the other hand, no difference was observed in the incidence of grade 3–4 GVHD between <2-antigen-mismatched and >2-antigen-mismatched transplants (Fig 3E), although grade 3–4 GVHD was not observed by high-resolution DNA typing in patients who underwent genotypically HLA-matched transplantation.

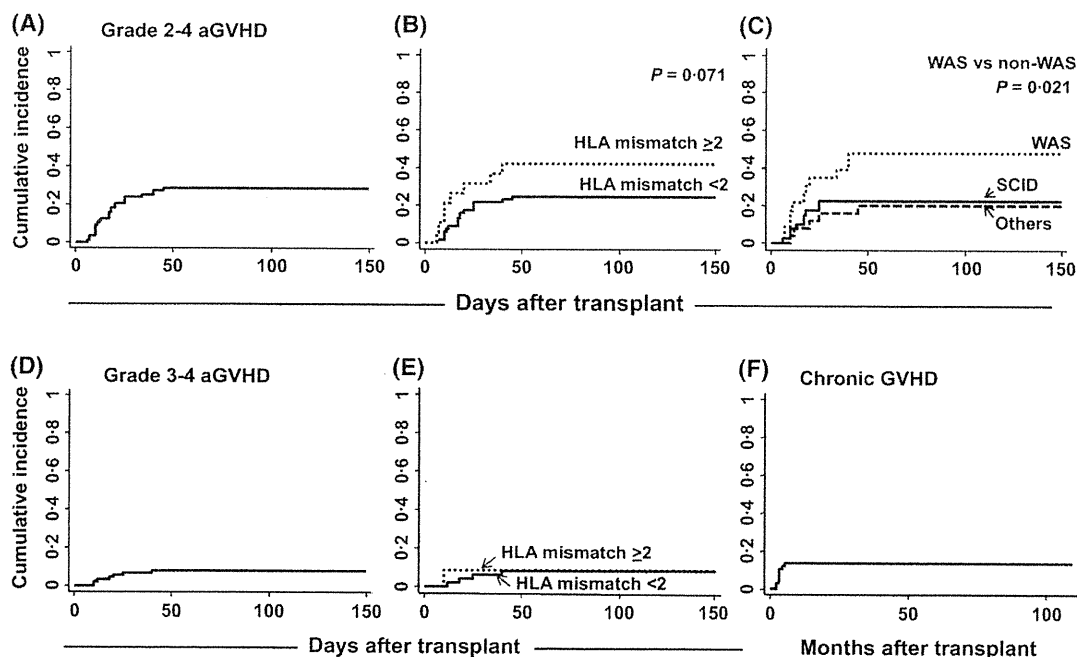


Fig 3. Cumulative probability of acute and chronic GVHD after UCBT. The cumulative incidence of grade 2–4 acute GVHD (aGVHD) at day 100 was 28% (95% CI, 19–38%) (A). The incidence was higher in transplantation mismatched for  $\leq 2$  antigens (B) and in that for WAS patients (C). The cumulative incidence of grade 3–4 acute GVHD at day 100 was 8% (95% CI, 4–15%) (D) and the incidence was not different between patients undergoing transplantation for  $\geq 2$ -antigen mismatched transplant and those undergoing <2-antigen mismatched transplant (E). The cumulative incidence of chronic GVHD at day 180 was 13% (95% CI, 7–23%) (F).

When focusing on differences among the disease groups (Fig 3C), a significantly higher incidence of grade 2–4 GVHD was observed in WAS patients than in non-WAS patients,  $P = 0.021$ . In addition, three of five WAS patients who developed grade 3–4 GVHD died of either GVHD (two patients) or VOD (one patient).

Chronic GVHD was observed in nine patients, and its cumulative incidence at day 180 was 13% (95% CI, 7–23%) (Fig 3F).

### Infections

Twenty-eight patients (SCID, 11; WAS, eight; CGD, three and other diseases, six) developed bacterial infection after UCBT. Sixteen of the 28 patients remained alive at the time of data collection.

Fungal infection mainly caused by *Aspergillus* species was observed in eight patients (CGD, three; SCID, two; WAS, two and X-linked hyperIgM syndrome, one). Three of the eight patients died of bacterial infection, bacterial/fungal infection or GVHD.

Twenty patients (SCID, eight; WAS, four; CGD, two; SCN, two and others, four) developed CMV disease after UCBT. CMV was detected before conditioning in all eight SCID patients of which four patients died of CMV disease after transplantation. Twelve of the 20 patients remained alive at the time of analysis.

Other notable virus-related complications were respiratory syncytial virus bronchiolitis accompanied by chronic GVHD in one SCID patient and EBV-PTLD in one patient with Blau syndrome; both infections led to a fatal outcome. One WAS patient had severe haemorrhagic colitis caused by Coxsackie virus B infection, which was treated successfully by infusion of expanded CD4 T cells prepared from the infusion residual of donor cord blood (Tomizawa *et al*, 2005). Another WAS patient had persistent norovirus infection. Interstitial pneumonia not due to CMV or *Pneumocystis* was noted in three patients of which one patient had parainfluenza/rhinovirus infection, while the causative agent for infection in the remaining two patients was not identifiable.

### Risk factors for overall mortality

Lastly, we analysed the factors contributing to overall survival. Using univariate analyses, the following were found to be significant contributory factors to a poor prognosis: HLA mismatch of  $\geq 2$  antigens, time to transplant  $> 180$  d, second or third transplantation, ongoing infection at the time of transplantation, no conditioning for UCBT and diagnosis other than SCID, SCN or WAS (Table III). The dose of transfused nucleated cells or CD34-positive cells did not affect the 5-year OS.

Using multivariate regression analyses, the following were found to be significant contributory factors to patient death: infection at the time of transplantation, no conditioning, HLA

Table III. Univariate analyses of factors that contributed to 5-year OS.

Factors	Hazard ratio	95% CI	P-value
Age: $\geq 12$ months	1.73	(0.78–3.83)	0.175
Diagnosis			
WAS and SCN	1.00		
SCID	2.34	(0.75–7.36)	0.145
Other diseases	5.39	(1.70–17.0)	0.004*
Nucleic cell dose: $\geq 8.2 \times 10^7/\text{kg}$	1.51	(0.69–3.29)	0.299
CD34 cell dose: $\geq 2.1 \times 10^5/\text{kg}$	0.86	(0.36–2.08)	0.744
HLA disparity			
6/6 matched	1.00		
5/6 matched	1.68	(0.58–4.83)	0.337
4/6 matched	3.78	(1.23–11.60)	0.020*
3/6 matched	3.24	(0.63–16.74)	0.160
4/6 or 3/6 matched	2.64	(1.20–5.83)	0.016*
Time to transplant: $\geq 180$ d	1.89	(0.85–4.17)	0.117
Infection at transplant	6.24	(2.61–14.9)	$< 0.0001^*$
Second or third transplantation	3.37	(1.26–9.02)	0.016*
Conditioning			
MAT	1.00		
RIC	0.41	(0.13–1.23)	0.111
No conditioning	2.89	(1.21–6.93)	0.017*

\*Significant contributory factors to the poor prognosis.

mismatch of  $> 2$  antigens and diagnosis other than SCID, SCN or WAS (Table IV). RIC was determined to be the favourable factor for patient survival ( $P = 0.01$ ) (Fig 4 and Table IV).

### Discussion

This paper reports the outcome of UCBT for 88 PID patients, the largest cohort of PIDs to receive UCBT to date. The overall survival rate for PID patients undergoing UCBT was comparable to that previously reported for 46 Japanese PID patients undergoing BMT from either HLA-identical siblings or unrelated donors (Sakata *et al*, 2004), and also to that reported by the European Society of Immunodeficiency and other stem cell transplantation centres for PID patients receiving BMT from HLA-matched related donors, HLA-mismatched related donors or unrelated donors (Antoine *et al*, 2003; Rao *et al*, 2005; Dvorak & Cowan, 2008). The time for haematopoietic recovery was comparable to or better than the median recovery time observed in a large cohort of UCBT in children with haematopoietic disorders (Thomson *et al*, 2000; Michel *et al*, 2003) and in adults with leukaemia (Laughlin *et al*, 2004; Atsuta *et al*, 2009). The incidence of grade 2–4 GVHD (28%) in UCBT was lower compared with that reported in unrelated donor BMT in PID patients in Japan (47%) (Sakata *et al*, 2004), with that reported in BMT in 90 SCID patients (34%) (Neven *et al*, 2009) and with that observed in the studies of UCBT for childhood haematological malignancies (Thomson *et al*, 2000; Michel *et al*, 2003; Sawczyn *et al*, 2005). The incidence of chronic GVHD (13%) after UCBT was slightly



Table IV. Multivariate analyses of factors that contributed to 5-year OS.

Factors	HR	95% CI	P-value
<b>Diagnosis</b>			
WAS and SCN	1.00		
SCID	1.71	(0.39–7.38)	0.475
Other diseases	7.50	(2.06–27.19)	0.002*
<b>HLA disparity</b>			
6/6 matched	1.00		
5/6 matched	1.53	(0.50–4.66)	0.454
4/6 matched	5.64	(1.66–19.14)	0.006*
3/6 matched	1.04	(0.68–23.96)	0.124
4/6 or 3/6 matched	3.87	(1.63–9.19)	0.002*
Infection at transplant	4.61	(1.74–12.16)	0.002*
<b>Conditioning</b>			
MAT	1.00		
RIC	0.20	(0.06–0.69)	0.011†
No conditioning	4.87	(1.79–13.3)	0.002*

\*Significant contributory factors to an unfavourable prognosis.

†Significant contributory factors to a favourable prognosis.

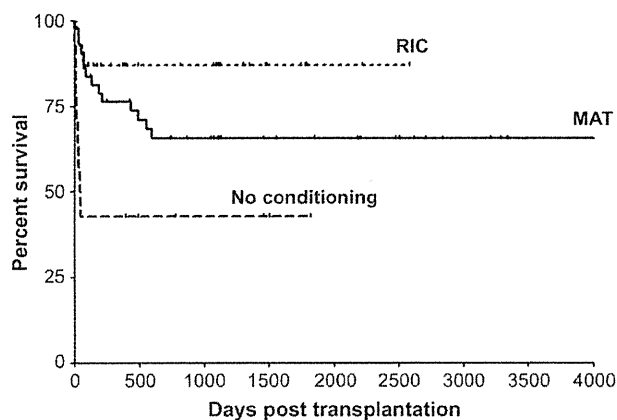


Fig 4. Kaplan–Meier estimates of overall survival after umbilical cord transplantation. Comparison of overall survival between reduced intensity conditioning (RIC), myeloablative therapy (MAT), and no conditioning is shown. For 5-year OS, MAT versus RIC,  $P = 0.111$ , MAT versus no conditioning,  $P = 0.017$  in univariate analysis.

lower than that after URBMT in PID patients in Japan (20%) (Sakata *et al*, 2004), and was lower compared to that in UCBT studies for childhood leukaemia (Michel *et al*, 2003; Sawczyn *et al*, 2005). Thus, UCBT in PID patients in the present study was associated with a good survival rate, good engraftment rate, rapid haematological recovery and a lower incidence of acute and chronic GVHD.

Given that the 5-year OS for SCID patients (71%) was better than that for SCID patients receiving bone marrow from HLA-mismatched related donors in both Japan (5-year OS, 36%, Imai, Morio, Kamachi, Kumaki, Ariga, Nonoyama, Miyawaki, and Hara, unpublished observations) and Europe (5-year OS, 52%, Antoine *et al*, 2003), UCBT would be particularly

beneficial for patients requiring rapid access to donor units yet lacking a matched related donor.

The present study found that several key risk factors were associated with overall mortality. First, infection was the major cause of mortality during the first 100 d after UCBT in PID patients, and the frequency was much higher than that observed in other disorders following UCBT (Rocha & Gluckman, 2006; Kurtzberg *et al*, 2008; Szabolcs *et al*, 2008). As predicted and reported in previous studies (Antoine *et al*, 2003; Cuvelier *et al*, 2009), infection at the time of transplantation was associated with poor survival ( $P < 0.0001$ ), suggesting that the control of pre-existing infection at the time of UCBT is critically important.

Eight of 11 SCID patients who had active infection, mainly CMV pneumonia, died before day 50, while 26 of 28 patients without infection at the time of UCBT remained alive at the time of data collection. UCBT without conditioning was selected for 12 patients, of which seven had CMV infection and one had *Pneumocystis* pneumonia at the time of transplantation. Six out of the seven patients died of CMV infection; and one patient with *Pneumocystis* pneumonia did not survive UCBT.

UCBT in WAS patients achieved a good 5-year OS, as reported in a previous study of 15 cases (Kobayashi *et al*, 2006). One of the key factors would have been the time from diagnosis to transplantation. In our WAS patients, UCBT was performed at a median age of 14 months (range, 4–84 months), when most patients were thrombocytopenic, but did not yet have uncontrolled infection or autoimmunity.

Four CGD patients died of bacterial or fungal infection without engraftment. Although these patients were not categorized as those with active infection at the time of transplantation, they required intravenous administration of antimicrobial and antifungal agents before and after transplantation.

Second, HLA disparity was a risk factor associated with overall mortality. Lower survival was observed in UCB recipients transplanted with a  $\geq 2$  antigen-mismatched graft compared with those transplanted with a  $< 2$  antigen-mismatched graft [Hazard Ratio (HR) = 3.87,  $P = 0.002$ ]. Although no difference was observed in 5-year OS between recipients of HLA-matched and those of HLA 1-antigen mismatched UCBT in the present study, we would need data from a larger number of patients with information on more extensive and sensitive HLA typing to discuss the impact of fully matched HLA on transplant outcome.

Finally, non-SCID/SCN/WAS patients showed a significantly lower survival rate (HR = 5.40,  $P < 0.0001$  by multivariate analyses). Although a previous large-scale study showed that results of HSCT according to disease did not show obvious disease-specific findings (Antoine *et al*, 2003), it is not yet known if UCBT is suitable for all types of PIDs. This may indicate donor source other than UCB is preferable for certain types of PID. Although the success of UCBT noted for X-linked hyperIgM syndrome, bare lymphocyte syndrome and

X-linked recessive anhidrotic ectodermal dysplasia with immunodeficiency (Tono *et al*, 2007) is encouraging, optimization of transplantation procedures and determination of suitable timing for UCBT may be necessary for this group of patients. Alternatively, this may simply indicate an expansion of transplantation to less favourable clinical conditions or to less favourable transplantation conditions. Studies on a larger cohort are necessary for drawing any conclusion on whether diagnosis is significant overall.

Recent studies suggest improved survival after BMT for PID with the RIC regimen; however, to date, comparison of CBT using RIC *versus* MAT has not been made. In our study, 87% of patients on the RIC regimen and 66% on the MAT regimen remained alive at the latest follow-up. Multivariate analyses revealed that the RIC regimen is associated with a higher 5-year OS than the MAT regimen (HR = 0.20,  $P = 0.011$ ). Although it is premature to conclude that RIC provides an equal or superior outcome to MAT for all PID patients, non-myeloablative treatment may be beneficial at least for certain types of PID. RIC was selected preferentially in SCID and CGD patients, with good survival rates: 17 of 18 SCID patients and three of four CGD patients remain alive. As a result of this, we are in the process of initiating a clinical trial of UCBT with RIC in SCID patients. On the other hand, only two of 23 WAS patients received RIC. Our previous data showed that a conditioning regimen other than BU/CY or BU/CY/ATG was the only independent factor associated with failure in HSCT for WAS patients (Kobayashi *et al*, 2006). However, whether this holds true for UCBT in younger WAS patients should be determined.

Notably, although the outcome of UCBT for WAS in this cohort was excellent compared with that from previously reported HSCT results using different donor sources (Kobayashi *et al*, 2006; Friedrich *et al*, 2009), UCBT in WAS patients was associated with a high rate of grade 2–4 acute GVHD (11 of 23 patients) and a post-transplant infectious episode (13 of 23 patients). Eight patients experienced bacteraemia/sepsis and six suffered a viral infection (CMV pneumonia, four; Coxsackie virus enterocolitis, one and persistent norovirus infection, one). The high rate of serious infections and GVHD in WAS patients after transplantation warrants further study in search of preventive measures that might include RIC for severe, transplantation-related toxicities.

Long-term follow-up of the clinical and immunological status is necessary when considering the lifespan of PID patients. Recent studies on the long-term outcome after HSCT

for SCID revealed the presence of relatively late complications, such as chronic GVHD, autoimmune events, severe or recurrent infections, chronic human papilloma virus infection, nutritional problems and late rejection in 50% of patients (Mazzolari *et al*, 2007; Neven *et al*, 2009). Similarly, long-term follow-up of HSCT in WAS patients revealed that 20% of patients developed chronic GVHD-independent autoimmunity (Ozsahin *et al*, 2008). One possible measure that might be taken to avoid the chronic problems associated with CBT would be to select a HLA-matched UCB unit, as HLA disparity was a risk factor for both overall survival and the development of GVHD in our study. The advantage of RIC over MAT in preventing late complications needs careful assessment, together with data on mortality, engraftment and early post-transplant complications.

Finally, the issue of SCID patients who died before or without receiving SCT, most likely due to uncontrolled infection, still remains unresolved. This suggests that the early diagnosis of SCID and prevention of opportunistic infection within a protected environment and the administration of appropriate prophylactic drugs is critically important for the improvement of survival in SCID patients in general. To that end, neonatal screening with the employment of T cell receptor excision circles should be beneficial for an improved outcome in SCID patients (McGhee *et al*, 2005; Morinishi *et al*, 2009).

We report the results of UCBT for 88 PID patients in Japan. Despite the limitations of a retrospective, non-randomized study, our study suggests that unrelated umbilical cord blood can be considered as a promising stem cell source for children with congenital immunodeficiency when a HLA-matched related donor is not available.

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Y. A., D. T., T. N.-I., K. Kato, and S. K. analysed the data; K. Kato, T. N.-I., T. A., T. N.-I., K. Kawa, K. Koike, T. H., and M. K. contributed to the acquisition and interpretation of data; Y. A. and S.K. edited the manuscript; T. M. designed research and wrote the manuscript; all authors reviewed and approved the manuscript.

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