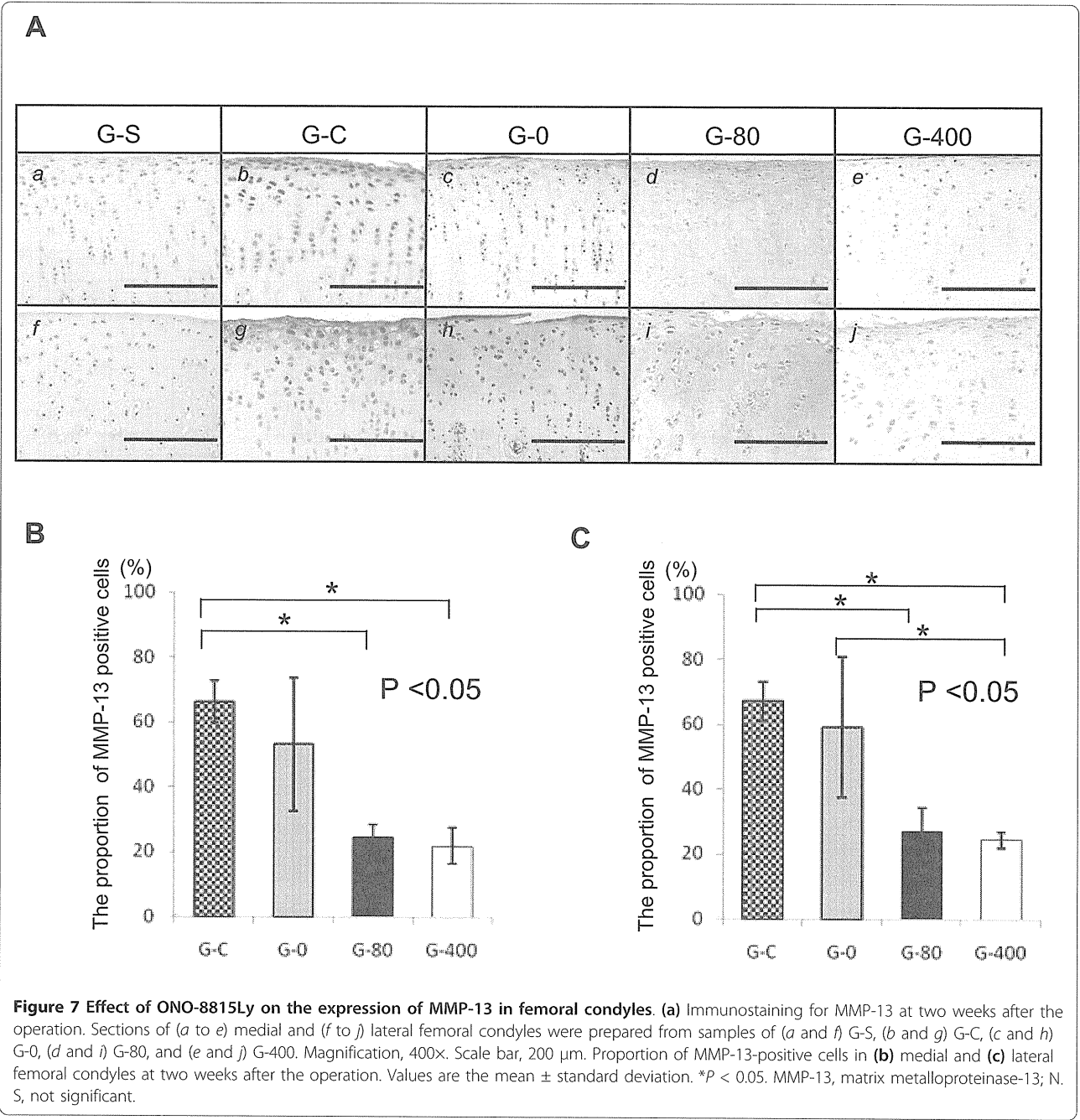


Previously, we showed that EP2 signaling enhances the growth of chondrocytes [18,19] and promotes the regeneration of articular cartilage in rabbits with cartilage defects by an EP2-selective agonist [19]. However, in the current study, EP2 signaling failed to promote chondrocyte proliferation (Figure 5). The differences may result from differences in the animal models. In the previous study, the effect of EP2 signaling on articular cartilage was evaluated using the chondral and osteochondral defect models. In that model, cartilage defects are present before initiation of the treatment with an EP2 agonist. Thus, EP2 signaling may promote cartilage regeneration by inducing proliferation of cartilage chondrocytes and,

consequently, contributing to ECM reconstruction. On the other hand, in the present study, the articular chondrocytes appeared normal immediately after the ACLMT operation, and EP2 signaling reduced cartilage degeneration caused by traumatic instability of the knee joint. These differences in models might be the cause of difference in the results.

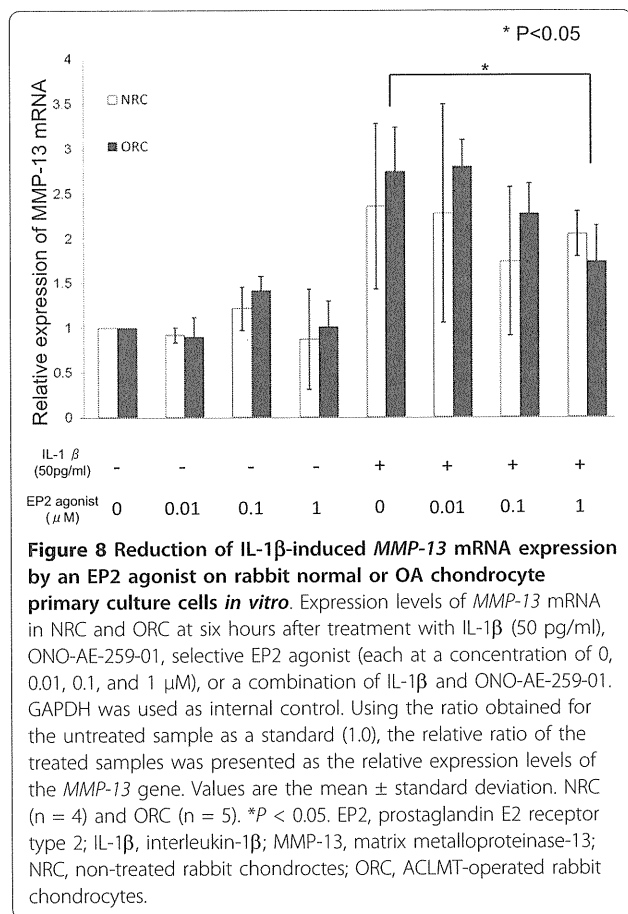
In the present study, the abnormal stress on cartilage tissues induced by joint instability was the main cause of degeneration. The degeneration was more remarkable in the lateral (Figures 2d and 3d) than in the medial components (Figures 2c and 3c), wherein partial meniscectomy was performed. We have no clear explanation for this



result. A study has shown that the lateral components of the rabbit knees were more susceptible to degeneration than the medial components in the ACLMT model [31]. The rabbit knee joints are physiologically in the valgus position, causing excess load on the lateral side, which might explain the susceptibility.

The grade of degeneration at 12 weeks was less prominent than we expected (Figure 4). In this injury model, cartilage degeneration will be induced by abnormal stress due to joint instability. Such abnormal stress takes place during

weight-bearing movements of the knee joints. Therefore, to enhance such stress, Park et al. forced the rabbits to move in a confined space (5 m × 5 m) for one hour twice a day, from three days after ACLMT onward [22], which increased the Mankin's score up to 12 points at eight weeks after the operation. Restriction in a small cage in the knee-flexed position, as in our study, may minimize such stresses. In addition, both knees were operated on, which may further decrease the activities of the rabbits. These may cause almost no progression of the disease after two weeks.



Generally, cartilage degeneration in OA is due to the induction of MMP expression. MMP-13 is a product of chondrocytes that reside in cartilage and has a stronger effect than MMP-1 on type II collagen [32]. Some insisted that PGE2 exerts direct inhibitory effects on the expression of MMP-1 [33,34] and MMP-13 [28,33,34] in arthritic chondrocytes, and Sato et al. demonstrated that EP2 signaling was responsible for the down-regulation of MMP-13 *in vitro*, although they used a different agonist [28]. Taken together, EP2 signaling regulates MMP-13 production. In agreement, we showed that production of MMP-13 in articular chondrocytes was reduced when treated with an EP2 agonist *in vivo* (Figure 7) and *in vitro* (Figure 8). Controversially others studies show that PGE2 plays a crucial role in the induction of MMP-13 and MMP-3 in chondrocytes in response to IL-1 $\beta$  in microsomal prostaglandin E synthase-deficient mice [35] or that of PGE2 inhibits chondrocyte maturation [36]. In the current study model, EP2 signaling was shown to inhibit the expression of MMP-13 mRNA, suggesting that EP2 signaling protects the articular cartilage from degeneration.

MMP-3 is a protease expressed in OA specimens at an early stage [37,38]. MMP-3 cleaves a variety of ECM components such as proteoglycans, collagens, and procollagens

[39]. In the current study, ONO-8815Ly had no effect on the production of MMP-3 (Figure 6). Although there is still much to be done, the current study suggested that an EP2 agonist may exert a protective effect on articular cartilage by inhibiting MMP-13.

It is important to clarify whether an EP2 agonist caused inflammation either systemically or locally. PGs are pro-inflammatory lipid mediators whose levels increase in the synovial membrane and synovial fluid of patients with OA. We previously reported that intra-articular administration of an EP2 agonist did not affect the mRNA expression of the MMP-3, TIMP-3, and IL-1 $\beta$  genes in the synovium, or the amounts of TNF- $\alpha$  and C-reactive protein (CRP) in joint fluids. As in our previous study, we found no severe inflammatory changes in the synovium, and no change in the levels of CRP (data not shown), suggesting that this EP2 agonist caused no inflammation either systemically or locally.

The effect of an EP2 agonist did not last long (Figure 4), yet this may be rectified by developing a suitable drug-delivery system. Continuous administration of an EP2 agonist using such a newly developed system could provide a novel therapeutic modality to treat OA.

## Conclusions

Stimulation of PGE2 via EP2 prevents degeneration of the articular cartilage during the early stages. The current study suggests that EP2 agonists may exert a protective effect on articular cartilage by inhibiting MMP-13. With a long-term delivery system, the EP2 agonist could be a new therapeutic tool for OA.

## Abbreviations

ACLMT: anterior cruciate ligament and meniscectomy transaction; COX: cyclooxygenase; CRP: C-reactive protein; DMEM: Dulbecco's modified Eagle's medium; ECM: extracellular matrix; EP2: prostaglandin E2 receptor type 2; FBS: fetal bovine serum; GAPDH: glyceraldehyde 3-phosphate dehydrogenase; H&E: hematoxylin & eosin; IGF: insulin-like growth factor; IL: interleukin; MMP: matrix metalloproteinase; OA: osteoarthritis; PBS: phosphate-buffered saline; PCNA: proliferating cell nuclear antigen; PG: prostaglandin; PLGA: poly(lactic-co-glycolic acid); SD: standard deviation; TNF: tumor necrosis factor.

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## Author details

<sup>1</sup>Department of Tissue Regeneration, Institute for Frontier Medical Sciences, Kyoto University, 53 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto 606-8507, Japan. <sup>2</sup>Department of Orthopaedic Surgery, Graduate School of Medicine, Kyoto University, 54 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto 606-8507, Japan. <sup>3</sup>Department of Orthopaedic Surgery, Graduate School of Medical Sciences, Nagoya City University, Nagoya, Japan. <sup>4</sup>Department of Physical Therapy, Human Health Sciences, Graduate School of Medicine, Kyoto University, 53 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto 606-8507, Japan. <sup>5</sup>Ono

Pharmaceutical Co. Ltd., 3-1-1 Sakurai, Shimamoto-cho, Mishima-gun, Osaka 618-8585, Japan. <sup>9</sup>Center for iPS Cell Research and Application, Kyoto University, 53 Kawahara-cho, Shogoin, Sakyo-ku, Kyoto 606-8507, Japan.

# Authors' contributions

HM performed animal experiments, carried out analysis and interpretation of the data, and drafted the manuscript. TA conceived this study, designed the study, carried out analysis and interpretation of the data, and drafted the manuscript. MF and JY performed animal experiments and carried out analysis of the data. KI performed animal experiments. TM was the chief investigator in the development of materials, and conceived this study. TK designed and performed animal experiments. SF performed animal experiments and obtained samples from animals. HS was responsible for providing materials. NA was responsible for the development of drug delivery system. TO carried out administrative and financial support and helped to draft the manuscript. TN carried out administrative and financial support and helped to draft the manuscript. JT conceived this study, provided financial support, designed experiments, interpreted the data, and drafted the manuscript. All authors have read and approved the manuscript for publication.

# Competing interests

Takayuki Maruyama, Toshiya Kanaji, Shinsei Fujimura, Hikaru Sugihara, and Akio Nishiura are employees of Ono Pharmaceutical Co. Ltd. All other authors have no conflicts of interest.

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# Clinicopathologic study on an ALS family with a heterozygous E478G *optineurin* mutation

Hidefumi Ito · Masataka Nakamura · Osamu Komure · Takashi Ayaki · Reika Wate · Hirofumi Maruyama · Yoshimi Nakamura · Kengo Fujita · Satoshi Kaneko · Yoko Okamoto · Masafumi Ihara · Tetsuro Konishi · Kazumasa Ogasawara · Asao Hirano · Hirofumi Kusaka · Ryuji Kaji · Ryosuke Takahashi · Hideshi Kawakami

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**Abstract** We investigated a family manifesting amyotrophic lateral sclerosis (ALS) with a heterozygous E478G mutation in the *optineurin* (*OPTN*) gene. Clinically, slow deterioration of motor function, mood and personality changes, temporal lobe atrophy on neuroimaging, and bizarre finger deformity were noted. Neuropathologically, TAR DNA-binding protein 43 (TDP-43)-positive neuronal intracytoplasmic inclusions were observed in the spinal and medullary motor neurons. In these cells, the immunoreactivity of nuclear TDP-43 was reduced. Consecutive sections revealed that the inclusions were also reactive with anti-ubiquitin and anti-p62 antibodies, but noticeably negative for OPTN. In addition, TDP-43/p62-positive glial cytoplasmic inclusions (GCIs) were scattered throughout the spinal cord and the medullary motor nuclei. Furthermore, Golgi fragmentation was identified in 70% of the

anterior horn cells (AHCs). The presence of AHCs with preserved nuclear TDP-43 and a fragmented Golgi apparatus, which are unrecognizable in sporadic ALS, indicates that patients with the E478G *OPTN* mutation would manifest Golgi fragmentation before loss of nuclear TDP-43. In the neocortex, GCIs were sparsely scattered among the primary motor and temporal cortices, but no neuronal TDP-43-positive inclusions were detected. In the amygdala and the ambient gyrus, argyrophilic grains and ballooned neurons were seen. The thorough neuropathologic investigations performed in this work demonstrated that OPTN-positive inclusion bodies, if any, were not prominent. We postulate that optineurinopathy is closely linked with TDP-proteinopathy and speculate that this heterozygous E478G mutation would cause ALS by acting through a dominant-negative mechanism.

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H. Ito (✉) · T. Ayaki · Y. Okamoto · M. Ihara · R. Takahashi  
Department of Neurology, Kyoto University Graduate  
School of Medicine, 54 Kawahara-cho, Shogoin, Sakyo-ku,  
Kyoto 606-8507, Japan  
e-mail: itohid@kuhp.kyoto-u.ac.jp

M. Nakamura · R. Wate · Y. Nakamura · K. Fujita ·  
S. Kaneko · H. Kusaka  
Department of Neurology, Kansai Medical University,  
Osaka 570-8507, Japan

O. Komure  
Shin-ai Hospital, Osaka 569-1123, Japan

H. Maruyama · H. Kawakami  
Department of Epidemiology, Research Institute for Radiation  
Biology and Medicine, Hiroshima University,  
Hiroshima 734-8553, Japan

**Keywords** Amyotrophic lateral sclerosis · Optineurin · TDP-43 · Golgi fragmentation

T. Konishi  
National Hospital Organization Utano Hospital,  
Kyoto 616-8255, Japan

K. Ogasawara  
Department of Pathology, School of Medicine,  
Shiga University of Medical Science, Shiga 520-2192, Japan

A. Hirano  
Division of Neuropathology, Department of Pathology,  
Montefiore Medical Center, New York, NY 10467, USA

R. Kaji  
Department of Clinical Neuroscience, University of Tokushima  
Graduate School, Tokushima 770-8503, Japan

## Introduction

We recently reported that mutations in the gene encoding optineurin (*OPTN*) cause amyotrophic lateral sclerosis (ALS) [15]. *OPTN* had previously been identified as a causative gene of primary open-angle glaucoma (POAG) [18]. However, the sites of mutation in the *OPTN* gene found in ALS patients were distinct from those in cases with POAG. In addition, we demonstrated that *OPTN* is co-localized with TAR DNA-binding protein of 43 kDa (TDP-43) or Cu/Zn superoxide dismutase (SOD1) in the pathognomonic inclusion bodies of sporadic ALS (SALS) or familial ALS associated with *SOD1* mutation (SOD1-FALS), respectively [15]. The presence of *OPTN* immunoreactivity in TDP-43-positive inclusions of SALS patients was subsequently confirmed by other investigators [9, 17]. In addition, we recently demonstrated that *OPTN* is also co-localized with *fused in sarcoma* (FUS) within basophilic inclusions of ALS with the *FUS* mutation and in basophilic inclusion body disease [10]. Our findings thus indicate that *OPTN* associates with each of three major ALS-related proteins, i.e., TDP-43, SOD1, and FUS, suggesting that the underlying pathomechanism in ALS might be attributable to dysfunctional *OPTN*.

We identified eight ALS cases associated with three distinct types of *OPTN* mutation (*OPTN*-ALS) [15]: two siblings with a homozygous deletion of exon 5, two cases with a homozygous Q398X nonsense mutation, and four patients with a heterozygous E478G missense mutation within its ubiquitin-binding domain. Detailed clinicopathological features of patients with each mutation remain unknown. Moreover, whereas the pathomechanism causing the disease by the homozygous mutations is speculated to be a loss of function resulting from nonsense-mediated mRNA decay of the transcript, that of the heterozygous E478G mutation remains uncertain.

Here, we provide further clinicopathologic information about Family 4 [15] with the E478G mutation. Although their clinical features and our neuropathologic findings have previously been reported in brief [15], we obtained some new and novel information by examining the living patient and interviewing her daughters, and by investigating the autopsied material thoroughly.

## Subjects and methods

### Clinical features

Three siblings were affected in this family (Fig. 1a). Their mother died at age 32 from heart disease. Their father then married the mother's younger sister and had four more children (denoted by the diamond symbol). The father was

over 80 years old at death, and all of his other four children are now over 60 years of age with no signs of ALS.

The demographic and clinical features of the three *OPTN*-ALS patients are summarized in Table 1.

Patient III-1 had noted right-hand weakness at age 58. Muscle weakness of all four limbs, dysarthria, and dysphagia followed. Her nieces noticed that she had become irritable and touchy. She was diagnosed as having ALS and died of pneumonia after artificial ventilation for several months at age 63.

Patient III-2 suffered from right-hand weakness at age 56. Flexion deformity of her fingers gradually developed four years later. Examinations at age 61 disclosed dysarthria, atrophy, fasciculation in the tongue, and exaggerated deep tendon reflexes and bilateral extensor plantar responses in all four extremities. She was depressed but not demented. A cranial MRI demonstrated mild atrophy of the medial temporal region (Fig. 1b). She died of CO<sub>2</sub> narcosis without respiratory support at age 66.

Patient III-3 suffered from right-hand weakness at age 64. Leg weakness, dysarthria, and dysphagia followed slowly afterward. She could communicate well with others until age 75, when she became taciturn and depressive. A cranial CT scan at age 76 showed pronounced temporal lobe atrophy (Fig. 1c). Examinations at age 78 revealed generalized atrophy and fasciculation of skeletal muscles, reduced deep tendon reflexes, and bilateral extensor plantar responses. Atrophy of the tongue was mild. Conspicuously, her fingers were bizarrely deformed, resulting in difficulty in passive movement of any finger joints (Fig. 1d). We observed 4-Hz rhythmic tremor of the fingers of her left hand. She was awake, and eye contact was preserved, but appeared expressionless and mute. She is alive after 14 years from the onset without respiratory support.

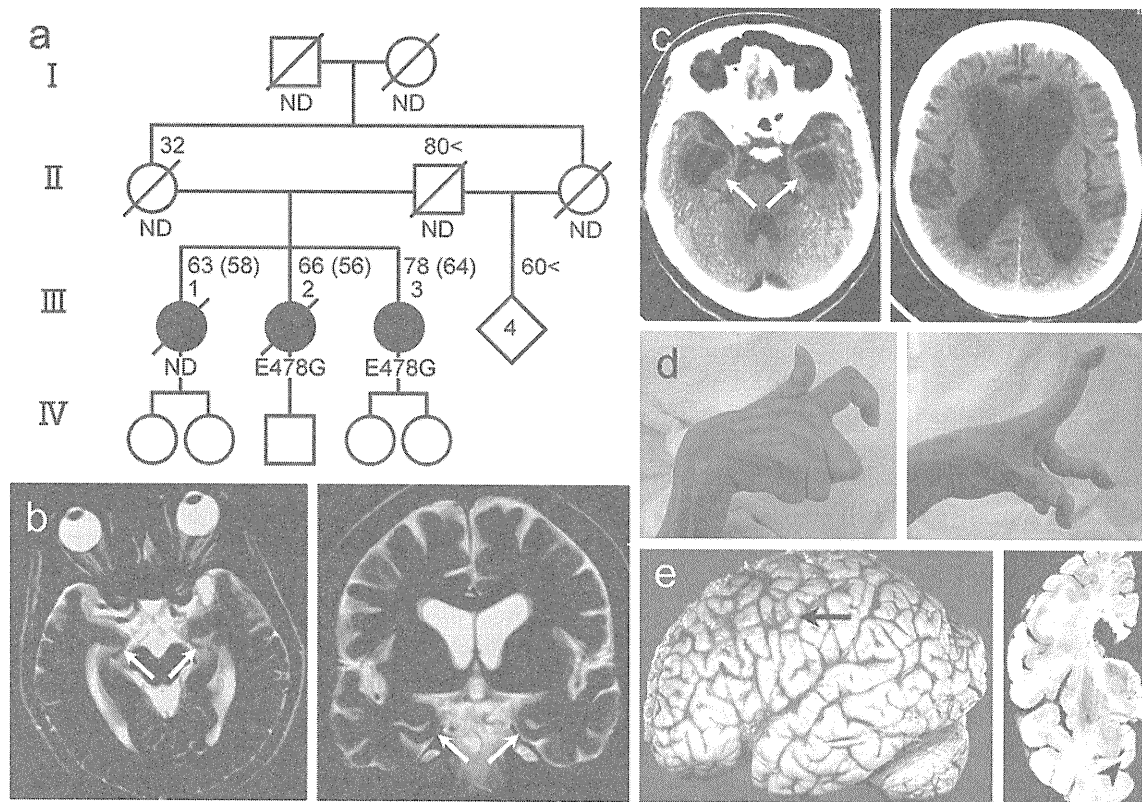
No patients developed decubitus, ophthalmoplegia, glaucoma, or cardiac or muscular abnormalities. Blood tests, including those on alkaline phosphatase and creatine phosphokinase, were normal. Chest and spine X-rays did not show any evidence of Paget's disease.

We had previously identified a heterozygous missense mutation (c.1743A>G, E478G, exon14) in the *OPTN* gene of Patients III-2 and III-3 [15]. Genetic analysis and cognitive testing were not performed on the other family members because of the lack of informed consent.

### Neuropathological examinations

Formalin-fixed, paraffin-embedded 6- $\mu$ m-thick sections were deparaffinized and stained with hematoxylin and eosin (H&E) or subjected to Gallyas–Braak silver impregnation. For immunohistochemistry, after antigen retrieval by heat/autoclaving (10 min at 121°C in 10 mM sodium citrate buffer, pH 6.0), the sections were incubated with a given





**Fig. 1** Clinical and neuropathologic findings of the familial amyotrophic lateral sclerosis (FALS) patients with an *optineurin* (*OPTN*) mutation. The three patients in the family pedigree are indicated by the *solid circles* (a). A heterozygous E478G mutation in the *OPTN* gene was detected in Patients III-2 and III-3. *ND* not determined. Age at death or current age and age at disease onset are indicated *n* (*m*). Deceased individuals are indicated by the *oblique line*. A cranial MRI

of Patient III-2 at age 65 (b) reveals mild atrophy of the ambient gyri (arrows). A cranial CT scan of Patient III-3 at age 76 (c) reveals conspicuous atrophy of the medial temporal lobes (arrows) and mild atrophy of the frontal lobe. Gradually progressive bizarre deformity of the hands of Patient III-3 is striking (d). Photographs of the brain from Patient III-2 (e) reveal slight atrophy of the motor cortex (arrow) and of the ambient gyrus (arrowhead)

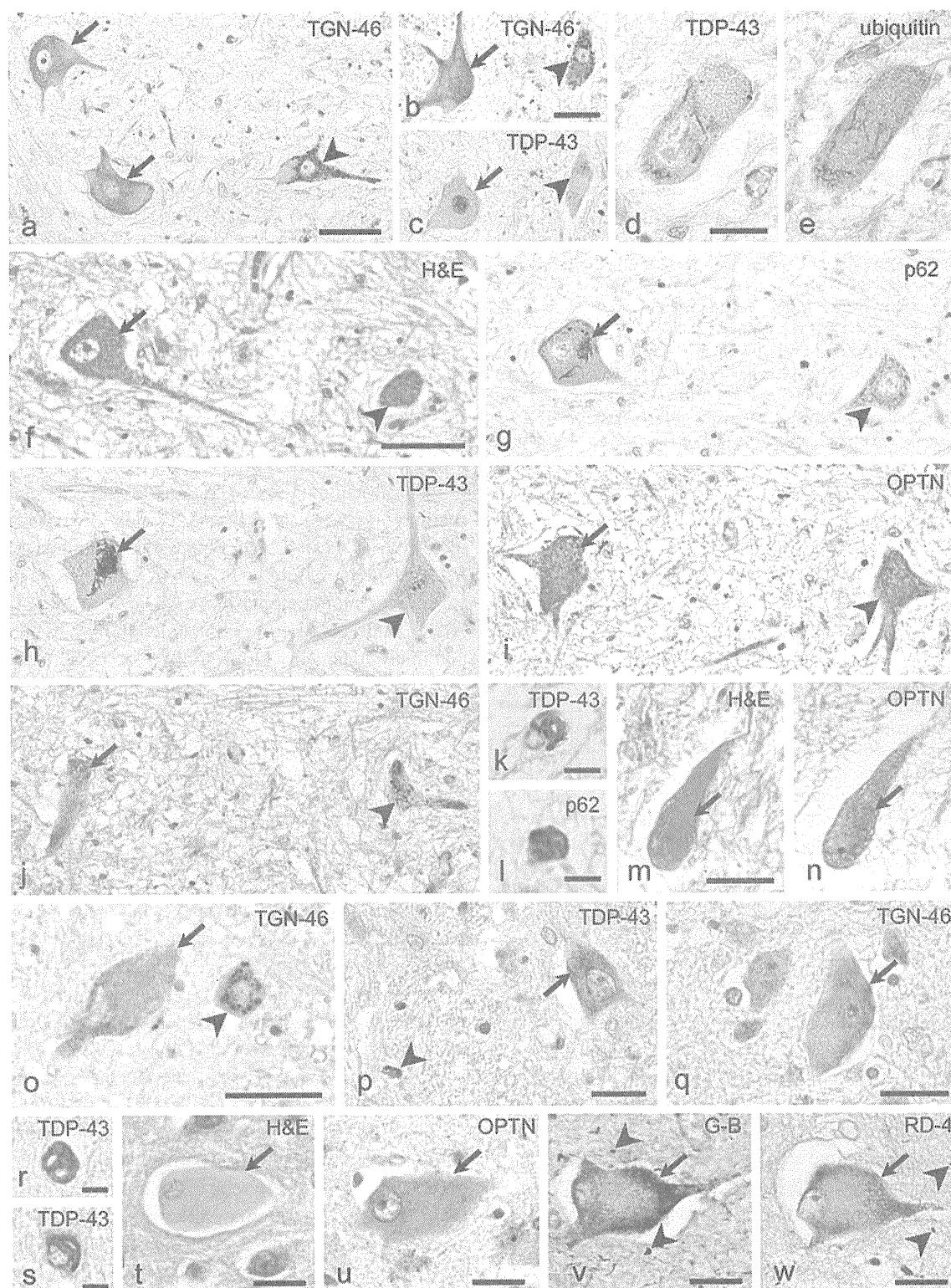
**Table 1** Demographic and clinical features of patients with a heterozygous E478G *OPTN* mutation

Patient	III-1	III-2	III-3
Age at onset (years)	58	56	64
Gender	Female	Female	Female
Symptom at onset	Right-hand weakness	Right-hand weakness	Right-hand weakness
Upper motor neuron signs	Unknown	+	+
Lower motor neuron signs	+	+	+
Cognitive symptoms	Personality change	Depression	Depression
Other clinical features	–	Finger deformity	Finger deformity, Parkinsonian tremor
Neuroimaging	Unknown	Mild temporal lobe atrophy	Marked temporal lobe atrophy
Disease duration (years)	5	10	>14
Artificial ventilation	For several months	–	–
Cause of death	Pneumonia	CO <sub>2</sub> narcosis	Alive
Genetic analysis	Unavailable	E478G in <i>OPTN</i> gene	E478G in <i>OPTN</i> gene

primary antibody (listed in Online Resource 1) overnight at 4°C. Bound antibodies were detected with the appropriate Vectastain Elite ABC kit (Vector Laboratories, Burlingame, CA, USA), with 3,3'-diaminobenzidine tetrahydrochloride used as the chromogen. All sections were counterstained

with hematoxylin after immunohistochemistry. Some sections were stained with H&E, photographed, decolorized with 70% ethanol, and then immunostained for OPTN. The tissues from three age-matched neurologically normal subjects served as controls.





We assessed staining specificity by replacing the primary antibodies with an appropriate amount of non-immune rabbit serum or phosphate-buffered saline solution containing 3% bovine serum albumin. No deposits of reaction products were seen in the sections thus treated (data not shown).

Procedures involving use of human material were performed in accordance with ethical guidelines set by Shiga University of Medical Science and the Helsinki Declaration of 1983. No frozen tissue was available.

◀ **Fig. 2** Representative photomicrographs of the lumbar anterior horn (a–n), the facial nucleus (o, p), and the cerebral cortices (q–w) from Patient III-2. Immunostaining with anti-trans-Golgi-network 46 (TGN-46) antibody demonstrates evident fragmentation of the Golgi apparatus (GA) in some of the anterior horn cells (AHCs, *arrows*), in comparison with the preserved GA (*arrowhead*) in others (a). Consecutive sections stained with anti-TGN-46 (b) and anti-TDP-43 (c) antibodies indicate a neuron with normal nuclear TDP-43 immunoreactivity and obviously fragmented GA (*arrow*). The other neuron in these sections has a normal GA with preserved TDP-43 nuclear staining (*arrowhead*). A noticeable skein-like cytoplasmic inclusion immunoreactive for TDP-43 (d) and ubiquitin (e) is identifiable in consecutive sections. The physiological nuclear TDP-43 immunoreactivity is absent (d). Five consecutive sections stained with H&E (f) and immunostained for p62 (g), TDP-43 (h), optineurin (i), and GA (j) in this order reveal that a TDP-43-positive skein-like inclusion (h, *arrow*) is also reactive with anti-p62 antibody (g, *arrow*), which inclusion is indiscernible on the H&E-stained section (f, *arrow*). Note that the inclusion is devoid of optineurin (OPTN-I)-labeling (i, *arrow*). The GA is fragmented in this neuron (j, *arrow*) compared with the spared AHC with preserved TDP-43 nuclear staining (f–j, *arrowheads*). Glial cytoplasmic inclusions (GCI) immunoreactive with anti-TDP-43 (k) and anti-p62 (l) antibodies are scattered throughout the spinal cord. The eosinophilic cytoplasmic hyaline region of this AHC (m) was decolorized and re-stained with the OPTN-C antibody (n), resulting in positive staining; however, prominent OPTN-positive inclusion bodies were not evident. GA fragmentation is apparent in this motor neuron of the facial nucleus immunostained with TGN-46 antibody (o, *arrow*), whereas another neuron has a preserved GA (*arrowhead*). By staining with anti-TDP-43 antibody, a skein-like inclusion (p, *arrow*) and a GCI (*arrowhead*) are clearly identifiable in the facial nucleus. A Betz cell within the primary motor cortex (q) shows reduced immunoreactivity with TGN-46 antibody (*arrow*). Only sparsely scattered TDP-43-positive GCIs are detectable in the frontal (r) and the temporal (s) cortices. Ballooned neurons in the ambient gyrus (t–w, *arrows*) are immunopositive in their entire cytoplasm for OPTN (u), stained at their periphery by Gallyas–Braak (G–B) silver staining (v, *arrow*), and are reactive with anti-4-repeat tau (RD-4) antibody (w, *arrow*). Argyrophilic grains (v, *arrowheads*), immuno-positive for 4-repeat tau (w, *arrowheads*), are also observed. Scale bars 50  $\mu$ m (a, b, f, o), 20  $\mu$ m (d, m, p, q, t–w), and 10  $\mu$ m (k, l, r, s)

## Results

The brain of Patient III-2 weighed 1,250 g. Macroscopically, the primary motor and medial temporal cortices appeared slightly atrophic (Fig. 1e).

Throughout the spinal cord, the anterior horns and the corticospinal tracts had degenerated. Additional immunohistochemical investigation revealed characteristic fragmentation of the Golgi apparatus (GA) in the anterior horn cells (AHCs; Fig. 2a). Quantitative analysis using a method described elsewhere [8] revealed that 72.8% (75/103) of the AHCs from eight distinct spinal cord segments had fragmented GAs. Analysis of consecutive sections immunostained for GA and TDP-43 revealed GA fragmentation not only in all the AHCs with reduced nuclear TDP-43 immunoreactivity but also in a substantial number of those with preserved nuclear TDP-43 (Fig. 2b, c). In

contrast, a normal staining pattern for GAs was observed for non-motor neurons.

More importantly, we identified TDP-43/ubiquitin-positive skein-like inclusions in AHCs (Fig. 2d, e). The nucleus of these inclusion-bearing neurons was invariably immunonegative for TDP-43. Consecutive sections revealed that the TDP-43-positive inclusions were also reactive with anti-p62 antibody; they were difficult to recognize on H&E-stained sections and noticeably negative for OPTN on use of either the OPTN-C or OPTN-I antibodies (Fig. 2f–i). This finding was confirmed by double immunofluorescence investigation (Online Resource 2). The GA in AHCs with such inclusions was fragmented (Fig. 2j). We identified inclusions in 12.5% (19/152) of AHCs on 20 cervical and lumbar cord sections immunostained for TDP-43. In addition, TDP-43/p62-positive glial cytoplasmic inclusions (GCIs) were scattered throughout the spinal cord (Fig. 2k, l).

Careful examination of 265 AHCs on 30 H&E-stained sections revealed no Bunina bodies or round hyaline inclusions in these cells. Cystatin C immunohistochemistry failed to detect Bunina bodies in 182 AHCs examined. Eosinophilic intracytoplasmic regions were noted in several AHCs, which showed immunoreactivity when decolorized and then re-stained with each of the anti-OPTN antibodies (Fig. 2m, n). Occasionally, these eosinophilic retentions appeared to have formed inclusion-like structures; however, OPTN-positive prominent inclusion bodies delineated by a distinct margin were completely unrecognizable.

In the hypoglossal and facial nuclei, motoneurons were depleted in number, the GA was fragmented, and TDP-43-positive inclusions were identified (Fig. 2o, p). Betz cells were mildly depleted in number, and the remaining cells had reduced immunoreactivity for GA (Fig. 2q). TDP-43-immunoreactive GCIs were sparsely scattered among the medullary motor nuclei (Fig. 2p), primary motor and temporal cortices (Fig. 2r, s), putamen, and thalamus, but no neuronal intracytoplasmic inclusions were found other than in the spinal and medullary motor neurons. No intranuclear inclusions were identifiable throughout the central nervous system.

In the amygdala and the ambient gyrus, numerous argyrophilic, 4-repeat tau-positive grains, and several ballooned neurons were seen (Fig. 2t–w). The cytoplasm of these neurons was eosinophilic, and diffusely immunopositive for OPTN and phosphorylated neurofilaments; the cells were stained at their periphery by Gallyas–Braak silver impregnation and with anti-4-repeat tau antibody. There was faint, if any, immunoreactivity indicating ubiquitin, and the cells were negative for p62,  $\alpha$ -synuclein, 3-repeat tau, TDP-43, FUS, SOD1, and ApoE. This III-2 case corresponded to argyrophilic grain disease (AGD), stage II [5, 20].

By amyloid  $\beta$  and AT8-immunohistochemistry this case was graded as amyloid stage A and NF stage II,

respectively [3]. Immunostaining for  $\alpha$ -synuclein, FUS, and SOD1 revealed no pathologies. Additional genetic analysis of Patients III-2 and III-3 revealed no mutations in their *TARDBP*, *GRN* or *VCP* genes.

## Discussion

Motor symptoms of our patients were indistinguishable from those of SALS. However, the rate of deterioration was noticeably slow in both of the genetically proven patients, suggesting that slow progression might be a feature of patients with a heterozygous E478G *OPTN* mutation. Progression was faster for their elder sister, whose DNA was unavailable, showed, implying intrafamilial variability. All the patients developed personality and mood changes, and neuroimaging showed medial temporal lobe atrophy. These features are consistent with those of AGD [5], which was confirmed neuropathologically for Patient III-2. In SALS, concomitant AGD is reported in 7.7–22% of cases [14, 20]. Whether FALS with mutated *OPTN* would be prone to coincide with AGD awaits clarification. Furthermore, finger deformity was observed in our patients. This feature might be a consequence either of dystonia or of chronic arthritis induced by disinhibited NF- $\kappa$ B because of the *OPTN* mutation [15]. The parkinsonian tremor observed in Patient III-3 could be a manifestation of the E478G mutation or simply coincidental.

Neuropathologically, neuronal intracytoplasmic inclusions immuno-positive for TDP-43, ubiquitin, and p62 were unequivocally identified in the spinal and medullary motoneurons. They were morphologically indistinguishable from those observed in SALS. However, *OPTN* was noticeably not co-localized within the inclusions, in contrast with those of SALS [15]. Although negative immunohistochemical results inherently warrant further investigation, this finding suggests that not only the mutated but also the wild-type *OPTN* would be impaired in its association with TDP-43. The molecular link between *OPTN* and TDP-43 is unknown. *OPTN* might function in TDP-43 transportation for degradation, and hence, dysfunctional *OPTN* could cause TDP-43 mislocalization, resulting in neurodegeneration.

TDP-43 pathology associated with FALS (ALS-TDP) and/or frontotemporal lobar degeneration (FTLD-TDP) has been reported [13] in patients with mutations in genes encoding TDP-43 (*TARDBP*), progranulin (*GRN*), and valosin-containing protein (*VCP*), and in one case with a mutation in *ANG* encoding angiogenin, who manifested atypical clinicopathological features [21]. TDP-43 pathology indistinguishable from that of SALS and/or FTLD was observed for mutations in *TARDBP* [13], through both gains and losses of function [22]. Patients with *GRN* mutations manifest FTLD, and TDP-pathology develops principally in the neocortex [12], through a haploinsufficiency mechanism

[2, 4]. *VCP* mutations were originally identified in patients with inclusion body myopathy associated with Paget's disease of bone and frontotemporal dementia (IBMPFD) [23]. Identification of TDP-43, but not *VCP*, within ubiquitinated inclusions in these cases implies that *VCP* mutations lead to a dominant-negative loss of *VCP* function, with degradation of TDP-43 [16]. More recently, *VCP* mutations were also shown to cause autosomal dominantly inherited FALS [11]. One autopsy case revealed motor neuron degeneration with intracytoplasmic TDP-43-positive inclusions and Bunina bodies in the remaining cells. Our patients showed no mutation of their *TARDBP*, *GRN*, or *VCP* gene. However, the association of *OPTN* with Paget's disease, found by a recent genome-wide association study [1], and the similar biological function of *OPTN* and *VCP* warrant further investigation.

The presence of TDP-43 pathology has been reported in 60% of AGD cases [6]. In those cases, TDP-43-positive structures were mainly observed in the limbic regions and lateral occipitotemporal cortex [6]. The difference between the distribution of TDP-43 pathology of our patient and that of AGD cases implies that the pathomechanism of TDP-43 pathology in optineurinopathy would be distinct from that in AGD.

GA fragmentation in our Patient III-2 is plausible, because *OPTN* plays an important role in maintaining the GA [19]. The number of AHCs with GA fragmentation for our case (72.8%) was notably higher than that reported for SALS (8.3–52.6%, mean 29.6%) [8]. However, because this percentage varies markedly in SALS patients, it remains to be elucidated whether the ratio of GA fragmentation in AHCs of *OPTN*-FALS patients would be generally higher than that of SALS patients. Moreover, the presence of AHCs with preserved nuclear TDP-43 and showing fragmented GA, unrecognizable in SALS [7], indicates that patients with the E478G *OPTN* mutation would manifest GA fragmentation before loss of nuclear TDP-43. The relevance of GA fragmentation and TDP-43 nuclear staining to ALS awaits further clarification. In contrast, consistently preserved GA of non-motor cells implies that unrecognized GA-maintaining systems other than the *OPTN* system are operating in those neurons, affording them less vulnerability to dysfunctional *OPTN*.

The mutations of the *OPTN* gene causing FALS are unique in that recessive and dominant traits have similar symptoms. The mechanisms of neurodegeneration in the homozygous deletion of exon 5 and the homozygous Q398X nonsense mutation are conceivably speculated to be a loss function resulting from nonsense-mediated mRNA decay of the transcript. In contrast, the pathomechanisms operating in the case of the heterozygous E478G mutation remain unknown. The mechanism of dominant mutations causing a disease is assumed to be toxic gain-of-function, loss of function because of haploinsufficiency, or a dominant-

negative loss of OPTN function. Among these, a gain-of-function mechanism would be implausible because diseases caused by such a mechanism are usually associated with the presence of distinct inclusion bodies consisting of mutant proteins. However, the thorough neuropathologic investigations performed in this work demonstrated that OPTN-positive inclusion bodies, if any, were not prominent in our patient. A haploinsufficiency mechanism would be also unlikely, because individuals with the heterozygous exon 5 deletion or Q398X mutation, in whom half of the amount of OPTN is abolished by nonsense-mediated mRNA decay, manifest no motor neuron signs although the number of such subjects examined thus far is small. In contrast, a dominant-negative loss-of-function mechanism would be a possibility; being similar to that for patients with *VCP* mutations who manifest FTLN [16]; ubiquitinated inclusions identified in the AHCs of our patient demonstrated immunoreactivity for TDP-43, but not for OPTN. OPTN is reported to form homohexamers [24] and, thus, mutant OPTN could conceivably impair the formation of properly functioning hexamers, thus having a dominant-negative effect. This notion is consistent with the fact that the four patients with proved heterozygous E478G *OPTN* mutation [15] had later onset and longer disease duration ( $55.0 \pm 6.7$  years, longer than  $7.6 \pm 5.5$  years (1 patient is still alive), respectively) than those with homozygous *OPTN* null mutations ( $41.3 \pm 8.5$  years and  $4.0 \pm 3.6$  years, respectively).

For determination of the clinicopathologic features and pathomechanism of FALS with mutated *OPTN*, further studies with additional cases are needed.

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**Conflict of interest** The authors declare they have no conflict of interest.

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# The Use of Induced Pluripotent Stem Cells in Drug Development

H Inoue<sup>1,2,3</sup> and S Yamanaka<sup>1,2,4</sup>

Induced pluripotent stem cell (iPSC) technology is revolutionizing medical science, allowing the exploration of disease mechanisms and novel therapeutic molecular targets, and offering opportunities for drug discovery and proof-of-concept studies in drug development. This review focuses on the recent advancements in iPSC technology including disease modeling and control setting in its analytical paradigm. We describe how iPSC technology is integrated into existing paradigms of drug development and discuss the potential of iPSC technology in personalized medicine.

The ability of cells to differentiate into various cell types—known as “pluripotency”—is a hallmark of embryonic stem cells (ESCs). Stem cells belong to one of two major categories according to their potency of differentiation: organ-specific stem cells and pluripotent stem cells. Organ-specific stem cells generally have limited potential for growth and differentiation. In contrast, pluripotent stem cells, such as ESCs<sup>1–3</sup> and induced pluripotent stem cells (iPSCs),<sup>4–6</sup> replicate in culture dishes and are theoretically capable of giving rise to any of the cell types found in the body (Figure 1).

The development of cellular reprogramming techniques leading to iPSCs has dramatically changed the landscape of stem cell research and application by providing a modality that circumvents the two major issues hampering fulfillment of the great potential of human ESCs.<sup>4–6</sup> One is the ethical issue associated with the derivation of human ESCs from human fertilized eggs, and the other is the immunological incompatibility between ESC-derived donor organs or cells and the recipients because of histocompatibility–antigenic factors.<sup>4–6</sup> As iPSCs are transforming the field of regenerative medicine, the reprogramming approach is also becoming a platform for drug discovery research.

## DISCOVERY OF IPSCs

### Reprogramming inducers

Transduction of four genes encoding transcription factors highly functional in ESCs (i.e., Oct3/4, Sox2, Klf4, and c-Myc) was discovered to be sufficient to trigger reprogramming of both mouse and human somatic cells and to generate cells closely resembling the respective ESCs.<sup>4–6</sup> The term coined for these

reprogrammed ESC-like cells was “iPSCs.”<sup>4</sup> Subsequent research from our laboratory as well as from others has revealed several alternative methods for generating iPSCs.<sup>7–9</sup>

Among the quartet of transcription factors involved in reprogramming,<sup>9</sup> Oct3/4 is expressed specifically in ESCs and germ cells but not in somatic cells.<sup>9</sup> The forced expression of Oct3/4 in mouse or human Sox2-expressing neural stem cells can give rise to iPSCs, albeit with low reprogramming efficiency.<sup>9</sup> There are reports of iPSC generation even in the absence of the Oct3/4 transgene, but the efficiency of generation is very low.

Sox2, which is a key partner of Oct3/4, is expressed almost exclusively in ESCs, germ cells, and nerve cells. The deletion of Sox2 causes the death of the embryo, suggesting its crucial role in embryogenesis.<sup>9</sup> Sox family proteins, including Sox2, show functional overlap with each other. Although the conventional reprogramming method requires Sox2 transgene, inhibition of the transforming growth factor beta (TGF- $\beta$ ) was shown to be capable of replacing Sox2 in reprogramming mouse embryonic fibroblasts.<sup>9</sup> Moreover, in some cell types, such as neural stem cells, melanocytes, and melanoma cells, the Sox 2 transgene is not necessarily a requirement for iPSC generation.<sup>9</sup> These findings indicate the opportunistic nature of Sox transgene requirement in iPSC reprogramming.

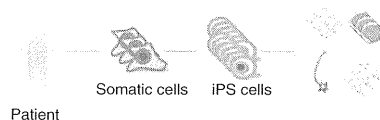
Kruppel-like transcription factor 4 (Klf4) is a downstream target gene of the signaling pathway of the cytokine leukemia inhibitory factor—Stat3. Klf4 has overlapping functions with other Klf transcriptional factors (Klf2 and Klf5).<sup>10</sup> During the reprogramming process, Klf4 binds to the Oct3/4-Sox2 complex<sup>11</sup> and, together with homeobox protein PBX1, it underpins iPSC identity by regulating expression of Nanog, one of

<sup>1</sup>Center for iPS Cell Research and Application, Kyoto University, Kyoto, Japan; <sup>2</sup>Yamanaka iPS Cell Special Project, Japan Science and Technology Agency, Saitama, Japan;

<sup>3</sup>Core Research for Evolutional Science and Technology (CREST), Japan Science and Technology Agency, Saitama, Japan; <sup>4</sup>Institute for Integrated Cell-Material Sciences, Kyoto University, Kyoto, Japan. Correspondence: S Yamanaka (yamanaka@cira.kyoto-u.ac.jp)

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**Figure 1** Generation of induced pluripotent stem cells (iPSCs) and their differentiation potential. iPSCs are derived from easily accessible somatic cells. In contrast to organ-specific stem cells, pluripotent stem cells such as embryonic stem cells and iPSCs show the ability to differentiate into many different cell types in culture. This allows *in vitro* generation of specific tissue cell types with the characteristics of the disease phenotype, from patient-derived iPSCs.

the pluripotency-defining proteins.<sup>12</sup> The Klf4 transgene is not necessary for reprogramming under certain conditions such as histone deacetylase inhibition<sup>13,14</sup> and in the absence of the tumor suppressor gene *Trp53*.<sup>15</sup>

The reprogramming process is highly enhanced by c-Myc,<sup>16</sup> although its inclusion in the reprogramming process should be discouraged, given its clear oncogenic potential. c-Myc expression is ubiquitous, in contrast to the other Myc family members, N- and L-Myc.<sup>9</sup> L-Myc and c-Myc mutants, all of which have little transformation activity, were shown to promote the generation of human iPSCs with more efficiency and specificity as compared with wild-type c-Myc.<sup>7</sup>

For these reasons, the original quartet of reprogramming factors (Oct3/4, Sox2, Klf4, and c-Myc) are not necessary under certain conditions and could be modified in accordance with the experimental context. Clearly, it is necessary to obtain a better understanding of the mechanisms underlying somatic cell reprogramming in order to fully validate the iPSC technology.

#### iPSC/ESC differentiation repertoire and tumorigenicity

*In vitro* culture and the differentiation of stem cells provide us with opportunities for disease modeling, drug discovery, and cell replacement therapy. The generation of specific functional cell types from ESCs/iPSCs has been demonstrated, including neural cells, vascular endothelia, smooth muscle cells, cardiomyocytes, hematopoietic cells, pancreatic insulin-producing cells, and hepatocyte-like cells.<sup>17–23</sup> The current differentiation repertoire includes more than 200 types of somatic cells.<sup>24</sup> These cells may be applied in regenerative medicine, and work is ongoing to overcome the remaining hurdles. Significant challenges in iPSC-based regenerative medicine include (i) the tumorigenic potential inherent to the reprogramming methods, (ii) the difficulty in achieving highly targeted differentiation, and (iii) the complexity of cellular transplantation techniques.<sup>25</sup>

Eradicating the tumorigenic potential of iPSC-derived cells is of fundamental importance to further enhance clinical transfer of the technology. Interestingly, the teratoma-forming propensities of secondary neurospheres, after transplantation into the brains of nonobese/severe combined immunodeficient mice, vary significantly depending on the origin of the tissue from which the iPSCs were derived.<sup>26</sup> For example, secondary neurospheres from iPSCs generated from adult tail-tip fibroblasts of mice showed the highest propensity for tumorigenicity, whereas

those from iPSCs originating from mouse embryonic fibroblasts and gastric epithelial cells showed the lowest such propensity, the latter being comparable, in this regard, to those obtained from ESCs. Secondary neurospheres from hepatocyte iPSC cells showed an intermediate teratoma-forming propensity. The use of iPSCs in regenerative medicine clearly requires further improvement of differentiation protocols in order to minimize tumorigenicity.

#### iPSC-BASED DISEASE MODELING

There are many potential causes for the failed translation of drug discovery from levels of molecular and animal models to human therapeutics. In particular, the success of preclinical phases of drug development is based on animal models.<sup>27</sup> Furthermore, <10% of the compounds that enter the clinical phase of testing reach the stage of market approval; the estimated cost of the entire drug development process is US\$1.2–1.7 billion per drug.<sup>27–29</sup> Drug discovery/development platforms using iPSC-based disease models could be useful in filling the gap between animal models and clinical trials.

iPSC technology is expected to provide innovative tools for drug development via high-throughput therapeutic/toxicity screening, using differentiated cells from patient-derived iPSCs. This disease-modeling approach to drug discovery will also increase our understanding of disease progression and biology in specific cell types, which could possibly lead to redefining known aspects of diseases.<sup>30</sup> Patient-specific iPSCs provide not only genetic information but also potential phenotype attributes. In addition, iPSCs can be generated from patients irrespective of whether the disease is in the familial or the sporadic form. Drug screening platforms can be developed to test compounds (including biologics such as small hairpin RNAs) that are able to make the disease-related phenotype revert to that of the non-disease control.<sup>30</sup>

The available lines of human ESCs are variable with regard to epigenetic information, expression profile, and differentiation propensity.<sup>31,32</sup> Significant intrinsic variability also remains in iPSC lines, and abnormal expression of imprinted genes has been detected in a significant number of them.<sup>33</sup> These inter-iPSC differences were attributed to the introduction of reprogramming factors using randomly integrating viral vectors, and/or to persistent donor cell gene expression.<sup>34</sup> However, even if iPSCs are generated in the absence of integrating factors, intrinsic variability remains,<sup>35–37</sup> including in the matter of neuronal differentiation competence.<sup>38</sup> Moreover, expression profile analysis of integration-free human iPSCs has shown an expression signature in iPSCs that is distinct from those of both the original population and standard human ESCs.<sup>35</sup> It is also reported that there is a strong correlation between gene expression signatures and specific laboratories, in both ESC and iPSC lines, because of differences in the *in vitro* microenvironment.<sup>39</sup> These observations suggest that further dissecting the intrinsic variability of iPSCs may provide clues regarding the wild-type iPSCs that would be most suitable as experimental controls and the number of control lines that should be obtained for each experiment.<sup>35</sup> Despite these variations, however, many

**Table 1 Disease modeling using disease-specific iPSCs**

Disease (responsible gene)	Inheritance pattern	Age of onset	Recapitulated phenotype/proof of drug efficacy
Spinal muscular atrophy <sup>41</sup> ( <i>SMN1</i> , <i>SMN2</i> )	AR	Infancy to adolescence	1. Decreased no. (%) of ChAT <sup>+</sup> /Tuj1 <sup>+</sup> neurons 2. Decreased SMN protein level (evaluated with WB/IA) 3. Rescue phenotype 2 with 1 mmol/l valproic acid
Familial dysautonomia <sup>42</sup> ( <i>IKBKAP</i> )	AR	Infancy	1. Increased abnormal splicing in differentiated neural crest 2. Decreased no. (%) of ASCL1+, Tuj1+ neurons 3. Migratory dysfunction (scratch assay) 4. Partial rescue phenotype 1, 2 with 100 $\mu$ mol/l kinetin
Fanconi anemia <sup>43</sup> ( <i>FANCA</i> ~ <i>N</i> )	AR/XR	First decade ~4/5th decade	1. Unsuccessful at obtaining iPSCs from patient's fibroblast → after "in vitro genetic correction" of patient's fibroblast Successful in obtaining iPSCs (chromosomal instability) 2. Differentiate into CD34+/hematopoietic progenitors
Dyskeratosis congenita <sup>44</sup> (XR: <i>DKC1</i> )	XR (AR/AD)	Adolescence	1. Elongated telomere in iPSCs (TERT/TERC $\uparrow$ ) 2. Shortened telomere after differentiation (TERT/TERC $\downarrow$ )
LEOPARD syndrome <sup>45</sup> ( <i>PTPN11</i> , <i>RAF1</i> , <i>SHOC2</i> )	AD	Infancy to adolescence	1. Enlarged cell size of differentiated cardiomyocyte 2. Inactivated RAS-MAPK pathway (bFGF induction)
Rett syndrome <sup>46</sup> ( <i>MeCP2</i> )	XR	6–18 Months	1. Reduced no. of glutamatergic synapses and morphological alterations (synapsin puncta at dendrites), rescued by IGF-1 (ng/ml) 2. Reduced RTT protein level/cell size and rescue by gentamicin (100 $\mu$ g/ml) at Q244X clone 3. Reduced activity-dependent calcium transients 4. Reduced spontaneous postsynaptic currents

AD, autosomal dominant; AR, autosomal recessive; IA, immunological analysis; IGF-1, insulin-like growth factor 1; iPSC, induced pluripotent stem cell; TERC, telomerase RNA component; TERT, telomerase reverse transcriptase gene; WB, western blot analysis; XR, X-linked recessive.

lines of disease-specific iPSCs are being generated,<sup>40</sup> given that several studies have actually recapitulated the phenotypes of diseases in the iPSC-derived targeted cell population and that this approach now finds a place on the drug development platform as a useful tool to complement *in vivo* experiments (Table 1).<sup>41–46</sup>

To avoid both inter- and inpatient clonal variations of iPSCs, it is necessary to purify targeted cells by fluorescence-activated cell sorting or magnetic sorting using fluorescent or magnet-labeled antibodies<sup>27</sup> or by high-content analysis.<sup>47,48</sup> The control of the prominent heterogeneity of iPS-derived differentiated cells presents a technological challenge; this continues to be the major limitation of standardized high-throughput screening, although further modifications in differentiation protocols are under way in our laboratory.

### iPSC-BASED TOXICITY SCREENING

The progressive attrition of medicinal products in the long pipeline between “hit” identification and the market has become one of the concerns of the pharmaceutical industry in the past decade.<sup>48</sup> The development cost of a marketable product is continuing to grow.<sup>27–29,49</sup> In 2001, development was abandoned because of lack of efficacy in 30% of the medicines that entered clinical trials and in another 30% because of safety concerns<sup>49</sup> such as cardiotoxicity and hepatotoxicity. The effective development of new drugs therefore requires predictive toxicity assays of adequate accuracy during preclinical testing. The use of human iPSCs and robust protocols to differentiate them into cardiomyocytes and hepatocytes should be able to provide straightforward assays for analyzing certain aspects of drug metabolism and for assessing probable side effects. However, technological hurdles still exist with respect

to achieving the desired maturity of differentiated cells<sup>50</sup> and minimizing the substantial heterogeneity of iPS-derived differentiated cells for the assay. Despite these limitations, significant progress has been made.

The drug-induced blockade of the ether-a-go-go related gene 1 (*hERG1*) channel is reportedly associated with an increased duration of ventricular repolarization, causing prolongation of the QT interval (i.e., long-QT syndrome).<sup>51–54</sup> Data related to the electrophysiological capacity and responsiveness of human iPSC-derived cardiomyocytes in response to several cardiac and noncardiac drugs have been reported.<sup>51–54</sup> Cardiac toxicity screening tools based on these approaches will soon become available.

The efficient generation of functional hepatocyte-like cells from iPSCs has been also reported.<sup>20,21</sup> The use of three-dimensional culture as well as co-culture systems (e.g., associating Kupffer and/or endothelial cells with hepatocytes in order to mimic the *in vivo* hepatic context) are among the strategies now recognized to enhance the generation of even more mature cells.<sup>49</sup>

To establish toxicity screening tools using iPSC technology, validation is essential. In particular, it is crucial to show high fidelity of the iPSC-based toxicity screening tools in reproducing, *in vitro*, the toxicity profiles of “hit” drugs that had been eliminated from the development pipeline because of safety concerns.

### CHALLENGES IN iPSC-BASED APPROACHES

#### Aging process and environmental effects

Several diseases that are characterized by onset in early life have been successfully modeled using iPSC technology.<sup>41–46</sup> On the other hand, in some diseases (including neurodegenerative



diseases) that are age dependent, patient-specific iPSC-derived neural cells may not immediately manifest the disease phenotype as compared with normal control cells, under basal cell culture conditions.<sup>30,55,56</sup> This may also apply to drug toxicity that shows age-dependent susceptibility. Identification of disease/toxicity-related phenotypes in short-term settings *in vitro* appears particularly challenging, but it may be possible to achieve by mimicking the aging process with stressors such as oxygen reactive species, proinflammatory factors, or toxins.<sup>30,55,56</sup> Identification of new and more effective and relevant stressors that can accelerate the process of eliciting phenotypes in models of late-onset diseases will therefore be an important goal for future disease modeling.<sup>30,55,56</sup>

Even patients with monogenetic diseases manifest large genotype–phenotype variability. Therefore, it would be more difficult to establish disease modeling from sporadic-disease iPSCs, given the complexity of the different genetic backgrounds and environmental cues involved.<sup>27,30</sup> It will be both challenging and exciting to examine whether the same phenotype as seen in monogenic-disease modeling could be recapitulated in sporadic-disease-iPSC-derived modeling by reproducing environmental effects *in vitro*.<sup>27,30,55,56</sup>

Definition of “control”

Whether in selecting a therapeutic or in toxicity assays using patient-specific iPSC-derived cells, the use of well-defined, non-disease control cells is crucial. Recent genome-wide association studies<sup>57</sup> have demonstrated that every person has disease-relevant single-nucleotide polymorphisms, and it is therefore impossible to categorically define iPSCs that represent perfect non-disease control.

Nonetheless, we think that the following two approaches are valid for deriving iPSC-positive (disease) and negative (non-disease) controls: (i) deductive and (ii) inductive. Deductive controls would include non-disease iPSC/ESC lines with modification (e.g., disease gene transgenic and disease gene knock-in), disease gene-corrected iPSC/ESC lines generated from disease iPSC/ESCs, and iPSCs with non-disease alleles from an individual patient in somatic mosaicism (Table 2). Deductive approaches define negative and

positive controls in similar genetic backgrounds, providing benchmarks of disease modeling to specify differences between disease and non-disease control, whereas contributors other than the targeted gene(s) are not considered. On the other hand, inductive controls may be non-disease iPS cell lines or iPSCs from healthy individuals or from other patients (positive control). This approach could be less complicated than the deductive method, especially if noise from iPSC variations can be further reduced.

For the deductive control setting of disease modeling, the tools for achieving expression or knockout of disease genes in hiPSCs/ ESCs by random integration of vectors (including viruses, bacterial artificial chromosomes, synthetic gene delivery reagents, and a transposon/transposase system) are useful.<sup>58–60</sup> Also, the current development of engineered nucleases makes targeted genome modification an attractive tool with therapeutic potential that may go beyond the development of drug screening tools.<sup>58</sup>

iPSC-BASED NOVEL DRUG DEVELOPMENT PLATFORM  
iPSC-based *in vitro* phase III

Diseases can be divided into rare, monocausal genetic diseases and a large group of sporadic, multifactorial diseases. No large-scale disease modeling is currently available for the latter group. Technological advances in rapid and easy iPSC generation on a large scale will realize the possibility of both *in vitro* phase III and case–control studies by using non-disease and disease controls derived from age/gender-matched donors or from family members regardless of age/gender.<sup>30</sup> One of the factors facilitating the process could be to obtain a blood sample from each patient in order to generate iPSCs. iPSC generation from peripheral blood drops from each patient would allow case–control studies to be carried out, although several issues must still be resolved prior to the use of iPSCs from peripheral blood cells.<sup>61–64</sup> First, the differentiation potency of these iPSCs must be analyzed further.<sup>61</sup> Peripheral blood–derived iPSCs may preserve epigenetic memories of having been blood cells and may therefore exhibit preferred differentiation into hematopoietic lineages rather than into other cell types.<sup>61,65</sup>

Table 2 Proposed definition of “control” in induced pluripotent stem cell research

Deductive approach
Embryonic stem cell line with and without disease-introducing genetic modification
Non-disease induced pluripotent stem (iPS) cell line with and without disease-introducing genetic modification
Disease iPS cell with and without disease-correcting genetic modification
iPS cell from somatic mosaic with and without disease allele
Inductive approach
iPS cell from a patient and a disease-free family member
Disease genetic risk-ascertained iPS cell lines (preferably as a risk-absent non-disease control)
iPS cell lines from disease-phenotyped individuals (healthy or disease control)

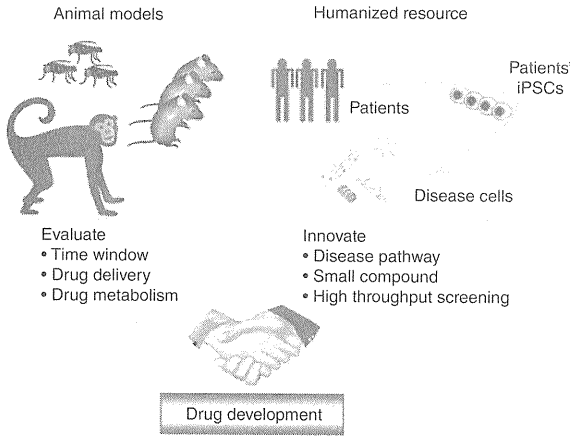


Figure 2 Combined approach involving animal models and induced pluripotent stem cell (iPSC) technology. The new iPSC technology is complemented by a drug development strategy in preclinical settings that uses animal models and other conventional approaches.

Another issue is how long and to what extent iPSC clones from terminally differentiated cells can be expanded.<sup>61–64</sup> Finally, the effect of the presence of pre-existing T-cell receptor rearrangements on the properties of iPSC or differentiated cells needs to be determined.<sup>61,66</sup> Besides minimizing the invasive biopsy procedures, reducing the time required for iPSC differentiation, resulting in lower costs, would be essential for large cohort studies, potentially leading to the discovery of novel drug targets.

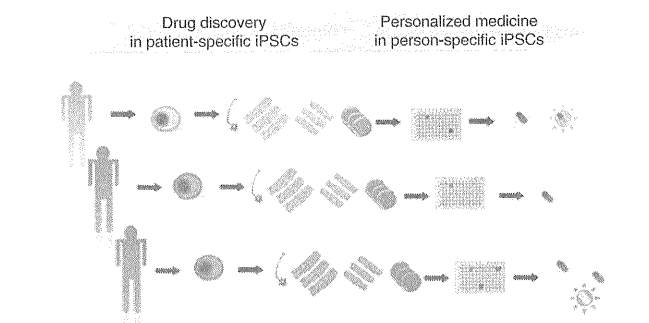
### iPSCs and animal models

Cell lines and animal models contribute to the exploration of disease mechanisms and drug development for various diseases. However, the animal models do not always demonstrate the same phenotypes as those seen in humans.<sup>55</sup> For instance, in mice the type and/or distribution of cardiac ion channels are different from those in humans, demonstrating a relatively shorter duration of action potential and higher heart rate (600 bpm).<sup>67</sup> An

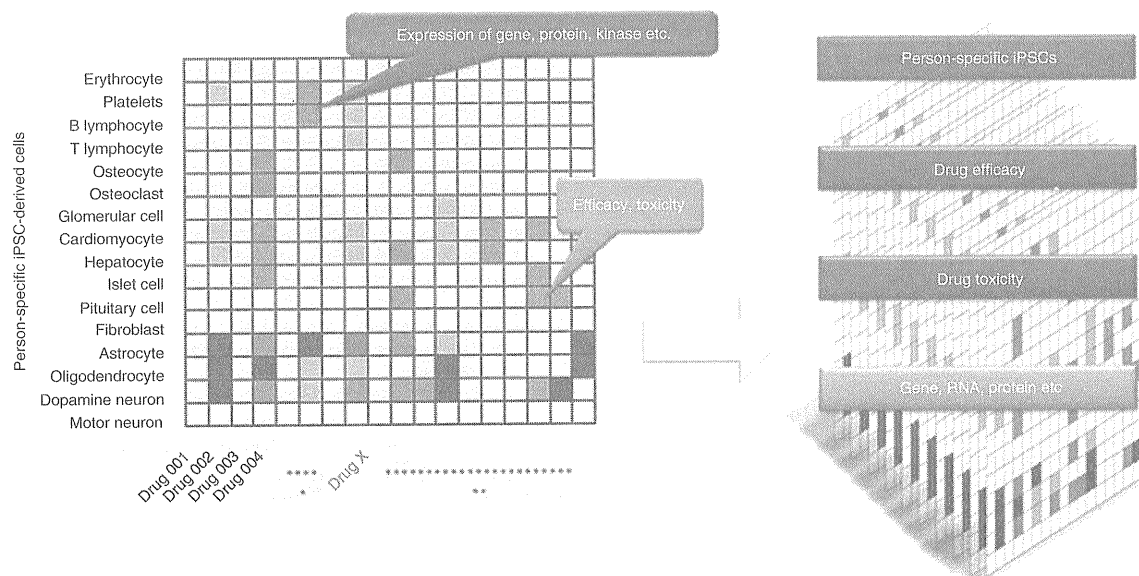
*in vitro* analysis of human cardiomyocytes is therefore critical to an understanding of the mechanism of genetics-related arrhythmias in humans.<sup>67</sup> Also, compounds that demonstrate significant benefit in animal models may fail to show effectiveness in clinical trials in humans.<sup>55,68,69</sup> The use of transgenic mice of mutant superoxide dismutase (*SOD1*), a gene found to be associated with amyotrophic lateral sclerosis,<sup>70</sup> enabled the identification of several compounds that relieve the disease phenotype, including vitamin E and creatine.<sup>71–73</sup> However, when these compounds were tested in humans, no clinical improvements were observed.<sup>71–73</sup> The toxicity of compounds is sometimes missed in cell lines and animal models because specific interactions with human biological processes cannot be recapitulated in these systems.<sup>27</sup> Also, the use of animal models for toxicity assays may be ethically problematic, the animals may be expensive to purchase and maintain, and the process may be difficult to automate.<sup>27</sup> Clearly, we require different drug screening models that complement these systems and represent the human condition with high fidelity.<sup>74</sup> iPSCs are expected to fulfill these requirement and are amenable to the demands of drug development. There are nonetheless great advantages associated with cell line-based models (which could be used for homologous culture, yielding reproducible results) and for animal models (which provide information regarding optimal time window, drug delivery, metabolism, etc.) (Figure 2). Integrated drug screening systems, consisting of disease-specific iPSC-based models as well as cell lines and animal models, would greatly enhance the efficiency of translational drug research.

### Personalized medicine

The striking advantage of using iPSCs rather than ESC-based approaches is that iPSCs can be derived from any individual with relative ease, thereby allowing development of a personalized study platform on individual genomic information. iPSCs and



**Figure 3** Personalized medicine based on induced pluripotent stem cell (iPSC) technology. iPSC technology is highly amenable to individualized approaches. Person-specific iPSCs can be derived, differentiated into specific cell types, and used for therapeutic/toxicity response assays.



**Figure 4** “Pharmaco-iPSCellomics” by person-specific iPSCs. iPSCs derived from individual subjects/patients can be differentiated into multiple cell types, thereby providing a personalized iPS-cellome platform. This cell-based system can be used for drug discovery and selection of clinical therapeutics with various biomarker end points.

differentiated cells from the iPSCs retain their personal identity, like an alter ego, suggesting that iPSC technology can be applied to disease-, patient-, and finally person-specific approaches to examine the individual differences in pharmacokinetic/pharmacodynamic features (Figure 3). Given that everyone will almost certainly become a patient at least once in his or her lifetime, individual iPSC-based predictive therapeutic and toxicity profiling of all drugs available in multiple cell types will be a logical and attractive approach. This “pharmaco-iPSCellomic” analysis (Figure 4) could eventually be available in an array-based format for high-throughput assay before specific drug therapy is prescribed for a particular disease condition.

## CONCLUSION

The potential of iPS cell technology in drug discovery is enormous.<sup>75</sup> At the same time, the technology is still in its infancy with numerous challenges to overcome before its clinical translation is complete. The long journey has just begun. It may take years to reach the eventual goals, but the iPSC technology itself, combined with existing methods and models, will begin to contribute to the development of new cures.

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## CONFLICT OF INTEREST

The authors declared no conflict of interest.

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## 神経系におけるiPS細胞 iPS細胞の活用も含めた神経機能修復の現状と将来

### iPS 細胞技術の神経疾患研究での有用性 および今後の課題

きたおか し ほ いのうえはるひさ  
北岡志保, 井上治久

京都大学 iPS 細胞研究所臨床応用研究部門 (〒606-8507 京都市左京区聖護院川原町53)  
戦略的創造研究推進事業 (CREST), 独立行政法人科学技術振興機構 (JST)  
(〒332-0012 埼玉県川口市本町4-1-8)  
E-mail: haruhisa@cira.kyoto-u.ac.jp

#### SUMMARY

iPS 細胞作製技術の開発により, これまで生体から入手困難であった患者由来組織の細胞を研究材料として使用できるようになった。その結果, 神経疾患特異的 iPS 細胞から疾患の標的細胞である神経細胞あるいはグリア細胞に分化誘導し, *in vitro* での疾患モデルの構築や, 構築された疾患モデルを利用した創薬プラットフォームの創出が進められている。また, iPS 細胞の *in vivo* での活用法としては, ヒト血球抗原 (human leukocyte antigen: HLA) ハプロタイプが一致するヒト iPS 細胞から分化誘導した細胞を移植する他家移植, または, 患者由来の細胞を *in vitro* で治療した細胞を用いた自家移植に関する研究などが進められている。本稿では, iPS 細胞を用いた神経疾患研究の現況と今後の課題について述べる。

#### はじめに

神経変性疾患や精神疾患に関する現在の知見は死後病理組織の解析に基づくものが多い。しかしながら, 死後病理組織は疾患の末期での病態を反映し, 必ずしも疾患の発症前もしくは進行期での病態を反映するものではない。さらに, 死後病理組織は疾患の影響以外に, 死後変化などを含め, 二次的な影響を受けている可能性がある。一方, 原因遺伝子が明らかな疾患では動物モデル・細胞モデルでの解析が進められているが, これらのモデルを用いた治療実験で効果を有した薬剤が臨床試験で必ずしも効果を示すとは限らない<sup>1,2)</sup>。

2007 年にヒト iPS 細胞技術が開発され<sup>3,4)</sup>, 患者自身の体細胞から iPS 細胞を作製し, 疾患の標的細胞へ分化させることが可能になった。このことはさらに, 患者由来の標的細胞を用いた *in vitro* での疾患モデリング・創薬プラットフォームの開発を可能にしつつある。

また, これまで ES 細胞や胎児由来細胞が移植細胞のリソースとして使用されてきたが, 倫理的・量的制限および拒絶反応が問題であった。しかしながら, iPS 細胞技術は移植の新たなツールを提供した。本稿では, 神経疾患特異的 iPS 細胞を用いた疾患モデルの構築・創薬プラットフォームの創出・移植に関する現

KEY WORDS

創薬  
移植  
神経細胞  
グリア細胞  
モデリング