

**Figure 6.** Expression of S6K, STAT1, Foxo3a, Socs3, and HCV core in H77S.3/GLuc2A-transfected Huh-7.5 cells or continuously JFH-1-infected Huh-7 cells supplemented with BCAA.

ment of patients with liver malnutrition and impaired mTORC1 signaling would lead to reduced induction of ISGs. Importantly, BCAA was able to restore impaired IFN signaling through increased binding of ISGF3 $\gamma$  to its targets (Figure 2D–F).

Besides cross-talk of mTORC1 and IFN signaling, we revealed that Foxo3a also is involved in the IFN inhibitory pathway. In low-amino-acid medium, expression of pFoxo3a (ser253) was decreased substantially whereas that of Socs3 was increased. A decreased pFoxo3a/Foxo3a ratio indicates nuclear accumulation of Foxo3a before activation of its target genes, and this was confirmed by immunofluorescent staining (Figure 3C). The expression of Foxo3a was significantly positively correlated with that of Socs3 in CH-C liver (Figure 3F). These findings prompted us to identify a putative FBE in the Socs3 promoter region (Figure 4A). In fact, Socs3 promoter reporter activity was activated by overexpression of Foxo3a, and mutation of FBE impaired Foxo3a-dependent Socs3 promoter activation. Conversely, induction of Socs3 was not observed when expression of Foxo3a was knocked down by siRNA in low-amino-acid medium. Socs3 induction in low-amino-acid medium was owing to increased binding of Foxo3a to the FBE, which was confirmed by ChIP (Figure 4D). Therefore, in addition to impaired mTORC1 signaling, the Foxo3a-mediated Socs3 IFN inhibitory pathway might be involved in impaired IFN signaling in patients with liver malnutrition (Figure 4E).

Finally, we examined whether BCAA could restore impaired IFN signaling and inhibit HCV replication in cells

under conditions of malnutrition. Importantly, BCAA could repress replication of the recombinant genotype 1a-derived HCV, H77S.3/GLuc2A, in a dose-dependent manner (Figure 5A). H77S.3/GLuc2A RNA produces infectious virus<sup>14</sup> and, therefore, the results indicate that BCAA might act on a naive HCV infection. Moreover, BCAA inhibited JFH-1-infected Huh-7 cells in which JFH-1 continuously was infecting in a dose-dependent manner. These results indicate that BCAA had an inhibitory effect on either naive or persistent HCV infection irrespective of genotypes (1a and 2a). Consistent with these results, BCAA induced the expression of pSTAT1 and Mx protein in a dose-dependent manner, and repressed Socs3 expression through increasing the ratio of pFoxo3a (ser243) to Foxo3a in a dose-dependent manner (Figures 5 and 6). Therefore, BCAA potentially could restore impaired IFN signaling and inhibit HCV replication in a CH-C state of malnutrition.

In conclusion, we addressed the clinical significance of the nutritional state of the liver on the treatment response of Peg-IFN and RBV combination therapy for CH-C. Although further studies are required to fully define the precise mechanisms underlying mTOR and IFN signaling, we showed that plasma values of Fischer's ratio are a useful nutritional parameter associated with treatment response. Fischer's ratio reflects mTORC1 signaling in the liver, which is correlated with IFN signaling and related to Socs3 IFN inhibitory signaling through Foxo3a. The potential usefulness of BCAA for the augmentation of IFN signaling could suggest a new therapeutic application for advanced-stage CH-C.

## Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at [www.gastrojournal.org](http://www.gastrojournal.org), and at doi: 10.1053/j.gastro.2011.03.051.

## Appendix A

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Received October 19, 2010. Accepted March 18, 2011.

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**Acknowledgments**

Participating investigators from the Hokuriku Liver Study Group are listed in Appendix A.

The authors thank MIna Nishiyama and Yuki Hatayama for excellent technical assistance.

**Conflicts of Interest**

The authors disclose no conflicts.



oxycholate, 1.0 mmol/L EDTA, 1.0 mmol/L Tris-HCl [pH 8.1]) and Tris-EDTA buffer. Immunoprecipitated chromatin fragments were eluted with elution buffer (1% sodium dodecyl sulfate, 100 mmol/L NaHCO<sub>3</sub>, 10 mmol/L dithiothreitol), and reverse cross-linked by incubating for 6 hours at 65°C in elution buffer containing 200 mmol/L NaCl. DNA fragments were purified and quantified by real-time detection PCR with primers for putative ISRE in the 2'5'OAS promoter region (forward, 5'-AAA TGC ATT TCC AGA GCA GAG TTC AGA G-3', reverse, 5'-GGG TAT TTC TGA GAT CCA TCA TTG ACA GG-3') or putative FBE in the Socs3 promoter region (forward, 5'-TGC TGC GAG TAG TGA CTA AAC ATT ACA AG -3', reverse, 5'-AGC GGA GCA GGG AGT CCA AGT C -3'). Values were normalized by the measurement of input DNA.

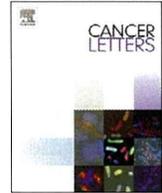
### *pH77S.3/GLuc2A*

*pH77S.2* is a modification of *pH77S.2* containing an additional mutation within the E2 protein (N476D in the polyprotein) that promotes infectious virus yields from RNA-transfected cells (Yi et al, unpublished data). To monitor replication, the GLuc sequence, fused at its C terminus to the foot-and-mouth disease virus 2A auto-protease, was inserted between p7 and NS2 of *pH77S.2* (Supplementary Figure 4). To insert the GLuc-coding sequence between p7 and NS2 in *pH77S.2*, followed by the foot-and-mouth disease virus 2A protein-coding sequence, Mlu I, EcoR V, and Spe I restriction sites were created between the p7 and NS2 coding sequences by site-directed mutagenesis. DNA coding for GLuc was subcloned into the Mlu I and EcoR V sites of the modified plasmid after PCR amplification using the primers: 5'-ATA ATA TTA CGC GTA TGG GAG TCA AAG TTC TGT TTG CC-3' (sequence corresponding to the N-terminal GLuc is italicized and that corresponding to Mlu I is underlined) and 5'-ATA AAT AGAT ATC GTC ACC ACC GGC CCC CTT GAT CTT-3' (C terminal GLuc is italicized and EcoR V is underlined). A DNA fragment encoding the 17 amino acids of the foot-and-mouth disease virus 2A protein was generated by annealing the following complementary oligonucleotides: 5'-ATA TGA TAT CAA CTT TGA CCT TCT CAA GTT GGC CGG CGA CGT

CGA GTC CAA CCC AGG GCC CAC TAG CAT AT-3' and 5'-ATA TGC TAG TGG GCC CTG GGT TGG ACT CGA CGT CGC CGG CCA ACT TGA GAA GGT CAA AGT TGA TAT CAT AT-3' (underlined sequences indicate EcoR V and Spe I sites). The annealed oligonucleotides were digested by both restriction enzymes and the product inserted into the corresponding sites of *pH77S.2* containing GLuc to generate *pH77S.2/GLuc2A*. Q41R is a cell-culture adaptive mutation within the NS3 protease domain of *pH77S*. Because it is not essential for production of infectious virus (Yi et al, unpublished data), *pH77S.2* and *pH77S.2/GLuc2A* constructs underwent this mutation by site-directed mutagenesis of a PCR fragment spanning the Afe I and BsrG I sites to replace Gln<sub>41</sub> with wild-type Arg. The resulting plasmids (*pH77S.2/R41Q* and *pH77S.2/GLuc2A/R41Q*) were re-designated *pH77S.3* and *pH77S.3/GLuc2A*, respectively.<sup>3,4</sup> GLuc has several advantages over other luciferase reporter enzymes in that it is smaller and allows more sensitive detection than either firefly or Renilla luciferase.<sup>3,4</sup> In addition, a signal sequence directs its secretion into cell-culture media, allowing real-time dynamic measurements of GLuc expression without the need for cell lysis. *H77S.3/GLuc2A* RNA produces infectious virus, although with lower efficiency than *H77S.3* RNA (10-fold less).

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## Frequency of CD45RO<sup>+</sup> subset in CD4<sup>+</sup>CD25<sup>high</sup> regulatory T cells associated with progression of hepatocellular carcinoma

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### ARTICLE INFO

#### Article history:

Received 31 December 2010

Received in revised form 11 March 2011

Accepted 30 March 2011

#### Keywords:

Regulatory T cell

Dendritic cell

Hepatocellular carcinoma

CD45RO

Intracellular cytokine

### ABSTRACT

The purpose of this study was to assess the properties of CD4<sup>+</sup>CD25<sup>high/low/negative</sup> T cell subsets and analyze their relation with dendritic cells (DCs) in patients with hepatocellular carcinoma (HCC). In HCC patients, the prevalence of CD45RO<sup>+</sup> cells in CD4<sup>+</sup>CD25<sup>high</sup> T cells was increased and associated with higher frequencies of plasmacytoid DCs. Larger proportions of this T cell subset were detected in the patients with larger tumor burdens. These results suggest that increased frequencies of the CD45RO<sup>+</sup> subset in CD4<sup>+</sup>CD25<sup>high</sup> Tregs in HCC patients may establish the immunosuppressive environment cooperatively with tolerogenic plasmacytoid DCs to promote disease progression of liver cancer.

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### 1. Introduction

Hepatocellular carcinoma (HCC) occurs primarily in individuals with cirrhosis related to hepatitis C virus (HCV) or hepatitis B virus (HBV) infections, and alcohol abuse. HCC is the fifth most common cancer, with increasing incidence worldwide. It is characterized by high mortality, frequent postsurgical recurrence and extremely poor prognosis [1–3].

CD4<sup>+</sup>CD25<sup>high</sup> Foxp3<sup>+</sup> regulatory T cells (Tregs) have been shown to suppress immune responses by direct interaction with other immune cell types or through immune suppressive cytokines and appear crucial in maintaining immune homeostasis, mediating peripheral tolerance and preventing autoimmunity [4–6]. Increased frequencies of Tregs have been documented in the peripheral blood and in some cases the tumor microenvironment in patients

with several different tumor types [3–12]. It has been reported that, in HCC patients, increased Tregs are correlated with CD8<sup>+</sup> T-cell impairment [11] and are related to poor prognosis [1].

Tregs are known to consist of heterogeneous subsets and to express various surface markers detectable by flow cytometry, including CD45RO, CTLA-4 (cytotoxic T lymphocyte associated antigen-4), GITR (glucocorticoid-induced TNF receptor-related protein), CD62L, HLA-DR, and CCR7 [8,13–15]. The role of these markers in suppressor functions mediated by human Tregs is currently under discussion [8]. It has been suggested that GITR is associated with T cell activation [16,17] and Treg subset expressing GITR are associated with disease activity in patients with Wegener's granulomatosis [17]. As for HCC, Ormandy et al. demonstrated that Tregs in HCC patients expressed high levels of HLA-DR and GITR [3]. However, there is a paucity of studies presenting the association of Treg subsets with disease progression.

In addition to Tregs, dendritic cells (DCs), a type of professional antigen-presenting cells (APCs), may be

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implicated in the regulation of immune responses. The role of human DCs in modulating Tregs is not clear [18]. It has been suggested that immature and mature myeloid DCs (mDCs) and plasmacytoid DCs (pDCs) may promote Treg cell differentiation, homeostasis and function [19]. It has been shown that lung cancer cells can convert mature DCs into TGF- $\beta$ 1 producing cells, which demonstrate an increased ability to generate Tregs [20]. Conversely, Tregs can induce the generation of semimature DCs by which they can down-regulate immune responses [21]. These data suggest that there may be a mutual interaction between Tregs and DCs for the maintenance of immunosuppression.

In the present study, we evaluated the frequency and properties of CD4<sup>+</sup>CD25<sup>high</sup> Foxp3<sup>+</sup> T cells in HCC patients. Increased numbers of these cells produced more Th2 cytokine than CD4<sup>+</sup>CD25<sup>low/negative</sup> cells. Furthermore, the proportion of CD45RO<sup>+</sup> subset was increased in HCC patients. We also analyzed how the subset is related to DC frequencies, and found that some subsets were relevant to disease progression.

## 2. Materials and methods

### 2.1. Patients and healthy controls

Sixty-two HCC patients attending Kanazawa University Hospital (Ishikawa, Japan) between September 2006 and July 2008 were enrolled in this study with their informed consent. HCC was radiologically diagnosed by computed tomography (CT), magnetic resonance imaging (MRI), and CT angiography. Blood samples were taken from these HCC patients, as well as from 41 healthy controls, 17 patients with chronic hepatitis (CH) B and C and 16 patients with liver cirrhosis (LC) without a tumor. None of the patients received anticancer nor antiviral therapy at time of blood sample. Patients characteristics and disease classification are shown in Table 1.

### 2.2. Isolation of PBMC and CD4<sup>+</sup> T cells

Peripheral blood mononuclear cells (PBMC) were isolated from freshly obtained blood by Ficoll-Hypaque (Sigma–Aldrich, St. Louis, MO). Total cell numbers were counted in the presence of a trypan blue dye to evaluate viability and immediately used for experiments. CD4<sup>+</sup> T cells were isolated from freshly isolated PBMC by negative magnetic selection using the CD4<sup>+</sup> T Cell Isolation Kit II (Miltenyi Biotec, Bergisch Gladbach, Germany) and QuadroMACS Separation Unit (Miltenyi Biotec) according to the manufacturer's instruction. Isolated CD4<sup>+</sup> T cells were purified by >90% as measured by flow cytometric analysis using a FACSCaliber flow cytometer (BD Biosciences, San Jose, CA).

### 2.3. Antibodies

The following anti-human monoclonal antibodies (mAb) were used for flow cytometry: anti-CD4-PerCP, anti-CD25-APC (BD Biosciences, San Jose, CA), anti-CD45RO-FITC (PROIMMUNE, Oxford, UK), anti-CTLA-4-PE, anti-CCR7-PE,

**Table 1**

Clinical characteristics of hepatocellular carcinoma, liver cirrhosis, chronic hepatitis patients and healthy control.

<i>Hepatocellular carcinoma (n = 62)</i>	
Age (yrs)	68.9 ± 9.5
Gender (M/F)	37/25
Etiology of liver disease	
HBV/HCV/HBV + HCV/NBNC	19/34/2/7
TNM stages I/II/III/IV-A/IV-B	18/12/20/6/6
<i>Largest tumor (mm)</i>	
Child-Pugh A/B/C	37.6 ± 34.4
AFP (ng/mL)	41/8/3
DCP (mAU/mL)	10–35,093 (52)
<i>Liver cirrhosis (n = 16)</i>	
Age (yrs)	10–32,818 (34)
Gender (M/F)	58.3 ± 10.3
Etiology of liver disease	11/5
HBV/HCV/NBNC	4/7/5
<i>Chronic hepatitis (n = 17)</i>	
Age (yrs)	58.9 ± 10.4
Gender (M/F)	8/9
Etiology of liver disease	
HBV/HCV/NBNC	0/17/0
<i>Healthy controls (n = 41)</i>	
Age (yrs)	46.1 ± 19.1
Gender (M/F)	16/25

Note: Results except for AFP and DCP are expressed as means ± SD. AFP and DCP values are expressed as range (median). The reference range of normal values for the laboratory values: AFP < 10 ng/mL, DCP < 40 mAU/mL. M, Male; F, Female; HBV, hepatitis B virus; HCV, hepatitis C virus; NBNC, non-B non-C; TNM, tumor-node-metastasis; AFP, alpha-fetoprotein; DCP, des-gamma-carboxy prothrombin.

anti-GITR (glucocorticoid-induced TNF receptor-related protein)-PE (R&D Systems, Minneapolis, MN), anti-CD62L-FITC, anti-HLA-DR-FITC, anti-CD45RA-PE (Exalpha Biologicals, Watertown, MA), IOTest Conjugated Antibodies – (CD14 + CD16)-FITC/CD85k(ILT3)-PE/CD123-PC5 Dendritic Cells “Plasmacytoid Subset” and IOTest Conjugated Antibodies – (CD14 + CD16)-FITC/CD85k(ILT3)-PE/CD33-PC5 Dendritic Cells “Myeloid Subset” (Beckman Coulter, Miami, FL). Before use, all mAbs were titrated using normal PBMC to establish optimal staining dilutions.

### 2.4. Surface and intracellular staining

To determine the frequency of CD4<sup>+</sup>CD25<sup>high</sup> T cells and the surface marker profile, CD4<sup>+</sup> T cells (at least  $2 \times 10^5$  cells/tube) were stained with mAbs in the above described panel for 30 min on ice. Appropriate isotype antibody controls were used for each sample. Cells were washed and examined by four-color flow cytometry.

For intracellular Foxp3 and cytokine staining,  $2 \times 10^5$  CD4<sup>+</sup> T cells/well in a 96-plate were stimulated with Leucocyte Activation Cocktail containing PMA, ionomycin, and brefeldin A, and then cultured at 37 °C in a humidified CO<sub>2</sub> incubator for 4 h. The activated cells were first incubated with anti-CD4-PerCP for 15 min on ice, followed by fixation and permeabilization of the activated cells for 20 min at room temperature with BD Cytofix/Cytoperm Buffer (BD Biosciences, San Diego, CA). Samples were then stained with anti-CD25-APC, anti-Foxp3-FITC (eBioscience) and PE-labeled anti-cytokine (IL-4, IL-10) antibodies (BD

Biosciences) for 15 min at room temperature. Appropriate isotype controls were included for each sample.

### 2.5. Flow cytometric analysis

The samples were acquired on a FACSCalibur for four-color flow cytometry. Data analysis was performed using the CellQuest software (Becton Dickinson, CA, USA).

### 2.6. Statistical analysis

Data are indicated as means  $\pm$  SD unless otherwise stated. The statistical significance of difference between the two groups was determined by applying the Mann–Whitney nonparametric *U* test.  $P < 0.05$  was considered significant.

## 3. Results

### 3.1. Frequencies of CD4<sup>+</sup>CD25<sup>high</sup> T cells

To evaluate the frequencies of CD4<sup>+</sup>CD25<sup>high</sup> T cell subsets that contain Tregs, MACS-sorted CD4<sup>+</sup> T cell subsets obtained from the patients with CH, LC and HCC and healthy controls were analyzed by flow cytometry following the staining with anti-CD4 and anti-CD25 monoclonal antibodies (Fig. 1A and B). Although the frequencies of CD4<sup>+</sup>CD25<sup>high</sup> T cells were not changed in patients with CH, they were increased in patients with LC compared to the controls ( $P < 0.05$ ). As reported, it is remarkably elevated in patients with HCC ( $P < 0.0001$ ). The results indicated that CD4<sup>+</sup>CD25<sup>high</sup> T cell subset containing Tregs are increased in patients complicated with liver malignancies.

### 3.2. Intracellular Foxp3 and cytokine production of the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in HCC patients

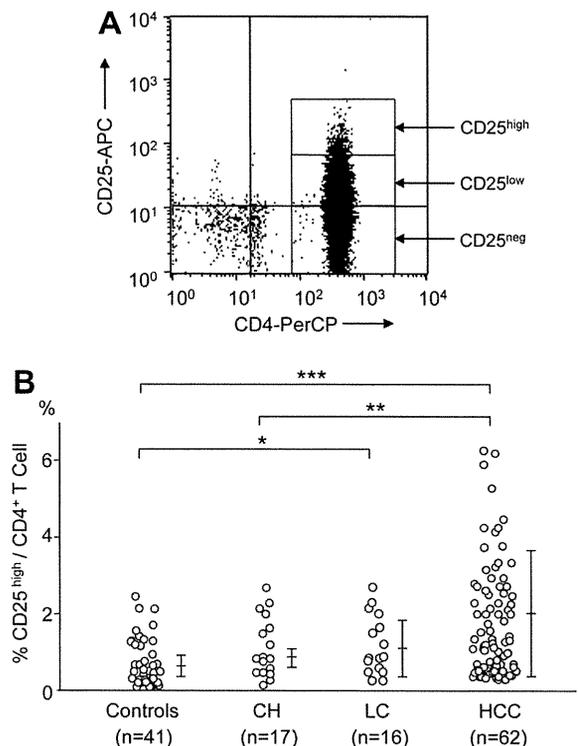
The transcription factor Foxp3 is considered to be a specific marker for Tregs [22–24]. Intracellular Foxp3 levels were detected by using the specific mAb after the cell membrane permeabilization procedures (Fig. 2A). The percent of Foxp3<sup>+</sup> cells in the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in HCC patients was larger than that of CD4<sup>+</sup>CD25<sup>low/negative</sup> subset, and it was also significantly larger than that of CD4<sup>+</sup>CD25<sup>high</sup> T cells in healthy controls and CH patients (Fig. 2B). Thus, not only is the number of CD4<sup>+</sup>CD25<sup>high</sup> T cells in HCC patients larger, but also the frequency of Foxp3<sup>+</sup> cells in HCC patients is higher than CH patient and healthy controls. This is consistent with previous reports of Tregs in patients with other malignancies.

Intracellular production of cytokines IL-4 and IL-10 of CD4<sup>+</sup>CD25<sup>high</sup>-Foxp3<sup>+</sup> T cell subset was quantitated following the stimulation with PMA/ionomycin using the specific mAbs by flow cytometry (Fig. 2C).

The levels of Th2 cytokines IL-4 and IL-10 were high in the CD4<sup>+</sup>CD25<sup>high</sup> subsets. In addition, the levels of IL-4 and IL-10 were high in the CD4<sup>+</sup>CD25<sup>high</sup>Foxp3<sup>+</sup> T cell subset in HCC patient ( $P < 0.005$ ) (Fig. 2D). These results suggest that the CD4<sup>+</sup>CD25<sup>high</sup>Foxp3<sup>+</sup> Treg subset in HCC patients may have a high potential to produce immunosuppressive cytokines.

### 3.3. Phenotypes of the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in HCC patients

To determine the phenotypical properties of CD4<sup>+</sup>CD25<sup>high</sup> T cell subset increased in patients with HCC, the expression levels of the seven reported surface molecules, CD45RA, CD45RO, CD62L, CCR7, CTLA-4, HLA-DR and GITR were quantitated by flow cytometry. Among the seven molecules, the proportions of CD45RO<sup>+</sup>, HLA-DR<sup>+</sup> and GITR<sup>+</sup> cells were higher in the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in all patient groups compared to the CD4<sup>+</sup>CD25<sup>low/negative</sup> T cell subsets, except for GITR<sup>+</sup> cells in CH patients ( $P < 0.05$ ) (Fig. 3A and B). The percentage of CD45RO<sup>+</sup> cells in HCC patients were elevated compared to the patients with advanced liver diseases and healthy controls ( $P < 0.01$ ). These data demonstrate that the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset highly expresses the surface molecule CD45RO in HCC patients, which may reflect the memory properties of T cells.



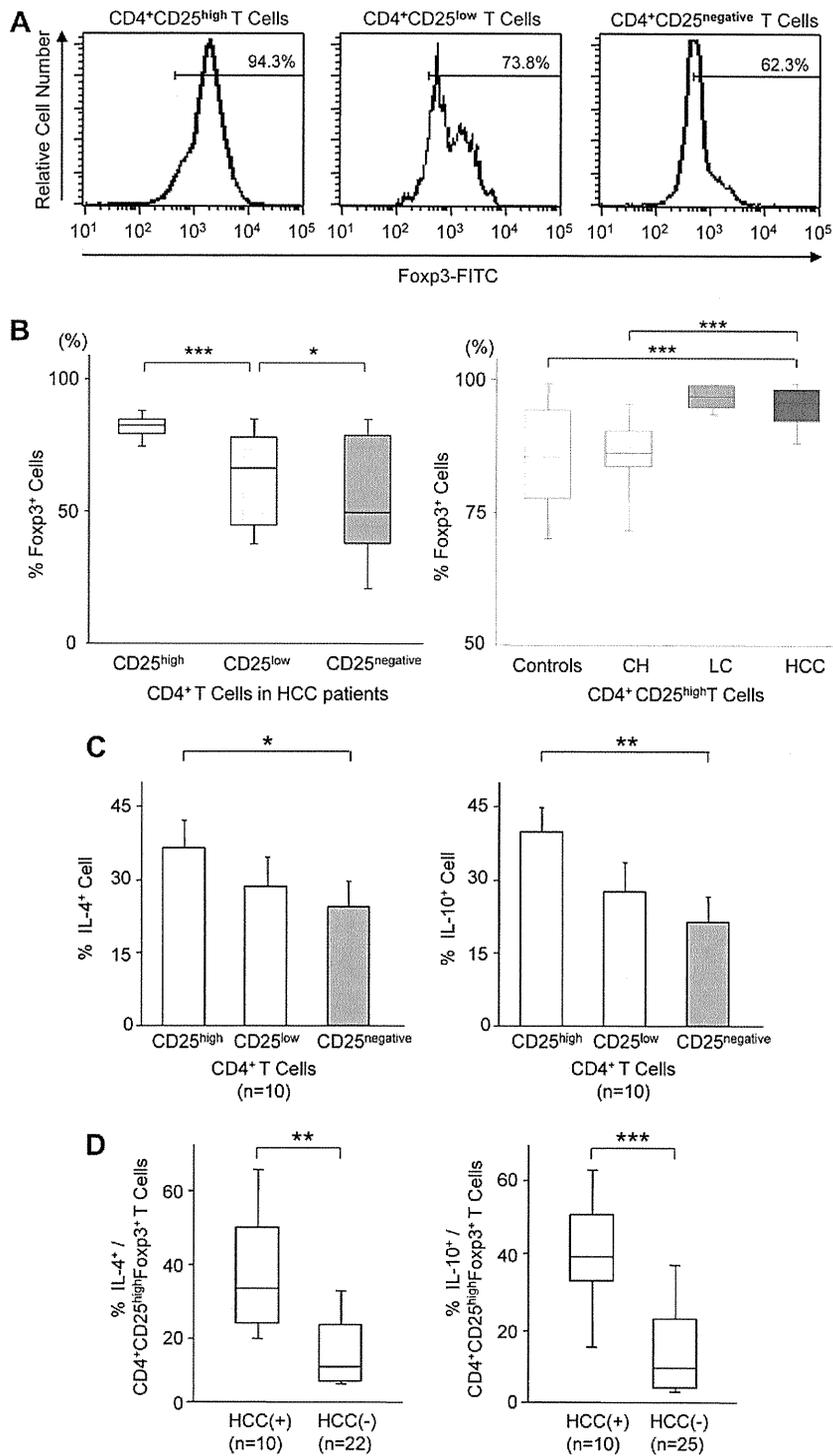
**Fig. 1.** Frequencies of CD4<sup>+</sup>CD25<sup>high</sup> T cells in peripheral blood of HCC patients and controls. (A) Representative flow cytometric analysis of PBMCs (peripheral blood mononuclear cells) of an HCC patient. Freshly isolated PBMCs were labeled with anti-CD4 and anti-CD25 antibodies as described in the Materials and Methods. (B) Percentages of CD4<sup>+</sup>CD25<sup>high</sup> T cells in the peripheral blood of HCC ( $n = 62$ ), LC ( $n = 16$ ), CH ( $n = 17$ ) patient, and healthy controls ( $n = 41$ ). Percentages for individual patient analyzed are shown. The percentages represent the proportions of CD4<sup>+</sup>CD25<sup>high</sup> T cells in total CD4<sup>+</sup> cells. The prevalence of CD4<sup>+</sup>CD25<sup>high</sup> T cells in HCC patients was significantly higher than in healthy controls or CH patients. CH, chronic hepatitis; HCC, hepatocellular carcinoma; LC, liver cirrhosis. \*Indicates  $P < 0.05$ , \*\*indicates  $P < 0.01$  and \*\*\*indicates  $P < 0.001$ .

### 3.4. CD4<sup>+</sup>CD25<sup>high</sup> T Cell subset and dendritic cells of HCC patients

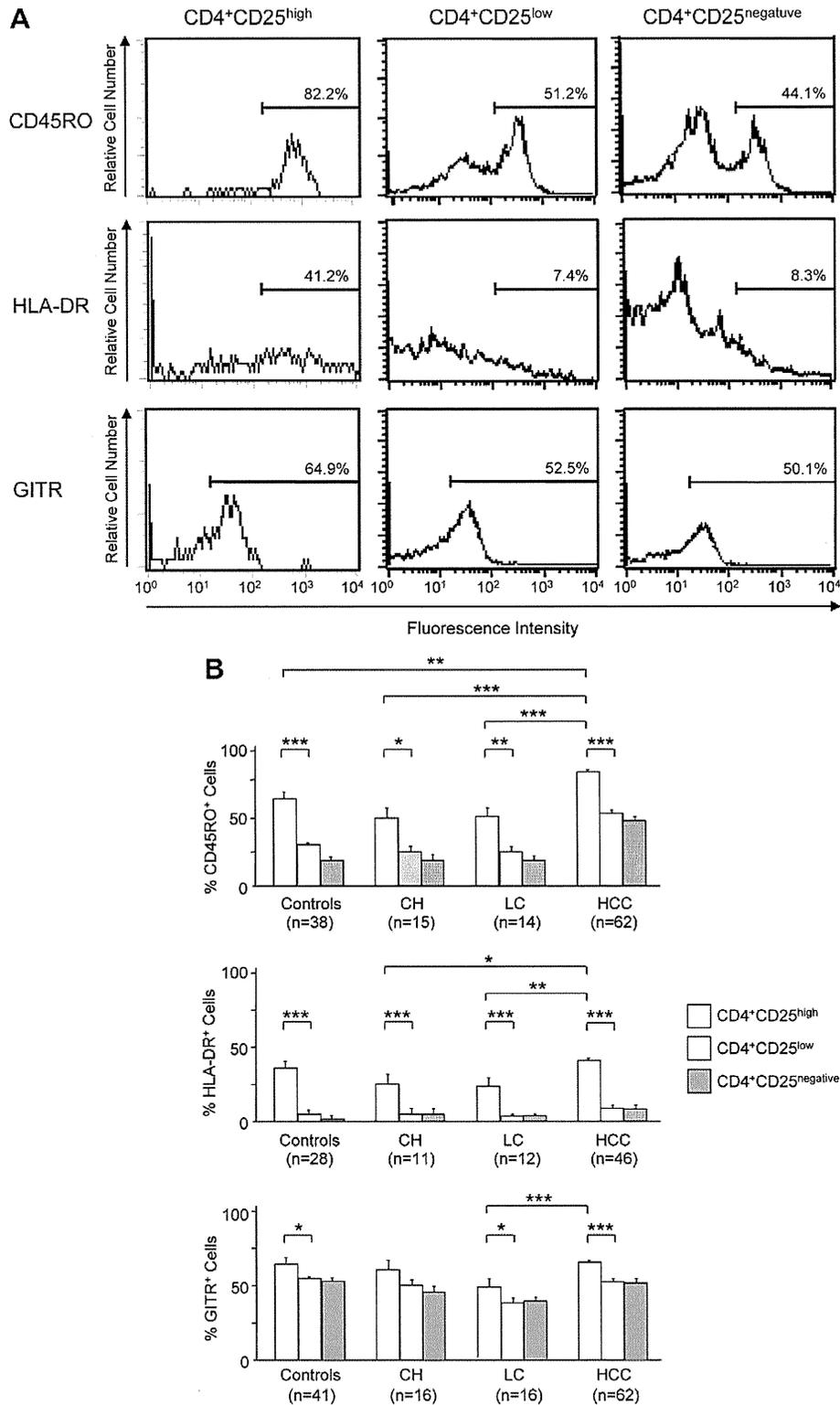
Several reports have suggested that the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset may interact with dendritic cells. To evaluate the frequencies of DCs in PBMC of HCC patients, whole blood cells were analyzed by flow cytometry following the staining with IOTest Conjugated Antibodies – (CD14 + CD16)-FITC/CD85k(ILT3)-PE/CD123-PC5 Dendritic Cells “Plasmacytoid Subset” and IOTest Conjugated Antibodies – (CD14 + CD16)-FITC/CD85k(ILT3)-PE/CD33-PC5 Dendritic Cells “Myeloid Subset”. HCC patients were divided into two groups according to the frequencies of CD45RO<sup>positive</sup> cells in CD4<sup>+</sup>CD25<sup>high</sup> T cell subsets (CD45RO<sup>+</sup> vs. CD45RO<sup>++</sup>). Patients with CD45RO<sup>++</sup> contained >83.8% positive cells in CD4<sup>+</sup>CD25<sup>high</sup> T cells. The frequencies of CD123<sup>+</sup> plasmacytoid DCs were significantly higher in CD45RO<sup>++</sup> group ( $P < 0.05$ ) (Fig. 5A and B), although those of CD33<sup>+</sup> myeloid DCs were not correlated with the subsets in CD4<sup>+</sup>CD25<sup>high</sup> cells. These results showed that there are more tolerogenic plasmacytoid DCs in the PBMCs of HCC patients with higher frequencies of a memory subset of CD4<sup>+</sup>CD25<sup>high</sup> T cells.

### 3.5. CD4<sup>+</sup>CD25<sup>high</sup> T cell subset and tumor progression

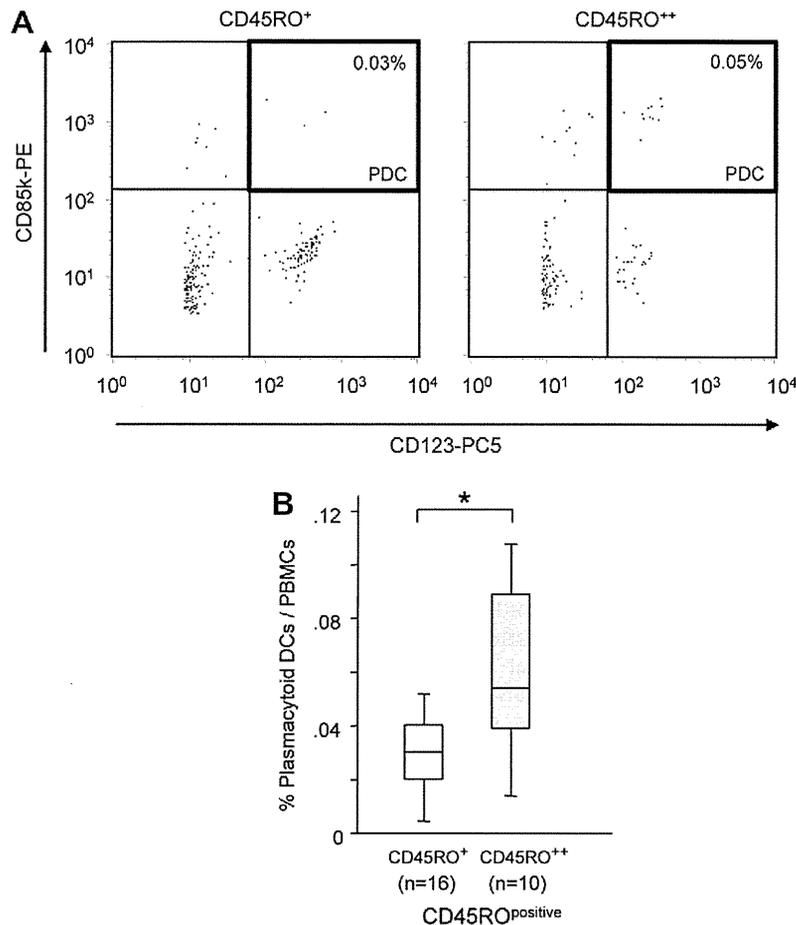
To evaluate the association between CD4<sup>+</sup>CD25<sup>high</sup> T cell phenotype and tumor progression, we compared the maximum tumor diameters, the number of tumors, tumor markers AFP (alpha-fetoprotein) and DCP (des-gamma-carboxyl prothrombin), TNM stages, Child-Pugh scores



**Fig. 2.** Analysis of intracellular Foxp3 expression and cytokine production in CD4<sup>+</sup> CD25<sup>high/low/negative</sup> T cell subsets in HCC patients. (A) Representative expression of Foxp3 in CD4<sup>+</sup> T cells from an individual subject. Intracellular Foxp3 was stained following membrane permeabilization. Intracellular Foxp3 was detected by the specific mAb. (B) Statistical analysis in the left side panel shows that the percent of Foxp3<sup>+</sup> cells in the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in HCC patients was significantly larger than that of CD4<sup>+</sup>CD25<sup>low/negative</sup> T cell subsets, and in the right side panel shows that that of CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in HCC patients was significantly larger than that of CD4<sup>+</sup>CD25<sup>high</sup> T cells in healthy controls and CH patients. (C) Statistical analysis shows that the levels of Th2 cytokines IL-4 and IL-10 were remarkably high in the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset. (D) Comparison of intracellular cytokine production in CD4<sup>+</sup>CD25<sup>high</sup> T cell subsets between patients with and without HCC. Healthy controls, patients with chronic hepatitis and liver cirrhosis were included in the HCC (-) column. IL-4 and IL-10 levels were higher in the CD4<sup>+</sup>CD25<sup>high</sup> T cell subset in HCC patients. \*Indicates  $P < 0.05$ , \*\*indicates  $P < 0.01$  and \*\*\*indicates  $P < 0.001$ .



**Fig. 3.** Phenotypic analysis of CD4<sup>+</sup>CD25<sup>high/low/negative</sup> T cell subsets in HCC patients. Freshly isolated CD4<sup>+</sup> T cells (at least  $2 \times 10^5$  cells/tube) from HCC patients were labeled with anti-CD4, anti-CD25, anti-CD45RA, anti-CD45RO, anti-CD62L, anti-CCR7, anti-CTLA-4, anti-HLA-DR and anti-GITR mAbs. (A) Representative CD45RO, HLA-DR, and GITR expression profiles in CD4<sup>+</sup> T cell subsets that differ in CD25 expression. (B) Statistical analysis shows that the proportions of CD45RO<sup>+</sup>, HLA-DR<sup>+</sup> and GITR<sup>+</sup> were elevated in the CD4<sup>+</sup>CD25<sup>high</sup> T cell subsets of all patient groups compared to the CD4<sup>+</sup>CD25<sup>low/negative</sup> T cell subsets, except for GITR<sup>+</sup> cells in CH patients ( $P < 0.05$ ). The percentage of CD45RO<sup>+</sup> cells in HCC patients was elevated compared to the patients with advanced liver diseases and healthy controls. \*Indicates  $P < 0.05$ , \*\*indicates  $P < 0.01$  and \*\*\*indicates  $P < 0.001$ .



**Fig. 4.** Frequencies of plasmacytoid DCs in peripheral blood of HCC patients. Whole blood cells were analyzed by flow cytometry following staining with a combination of the mAbs. HCC patients were divided into two groups according to the frequencies of CD45RO<sup>positive</sup> cells in CD4<sup>+</sup>CD25<sup>high</sup> T cell subset (CD45RO<sup>+</sup> vs. CD45RO<sup>++</sup>). Patients with CD45RO<sup>++</sup> contained > 83.8% positive cells in CD4<sup>+</sup>CD25<sup>high</sup> T cells. (A) Representative dot plots of plasmacytoid DCs. Plasmacytoid DCs of CD45RO<sup>+</sup> group are shown in the left panel and CD45RO<sup>++</sup> group in the right panel. (B) Statistical analysis shows that the frequencies of plasmacytoid DCs were significantly higher in CD45RO<sup>++</sup> group. \*Indicates  $P < 0.05$ .

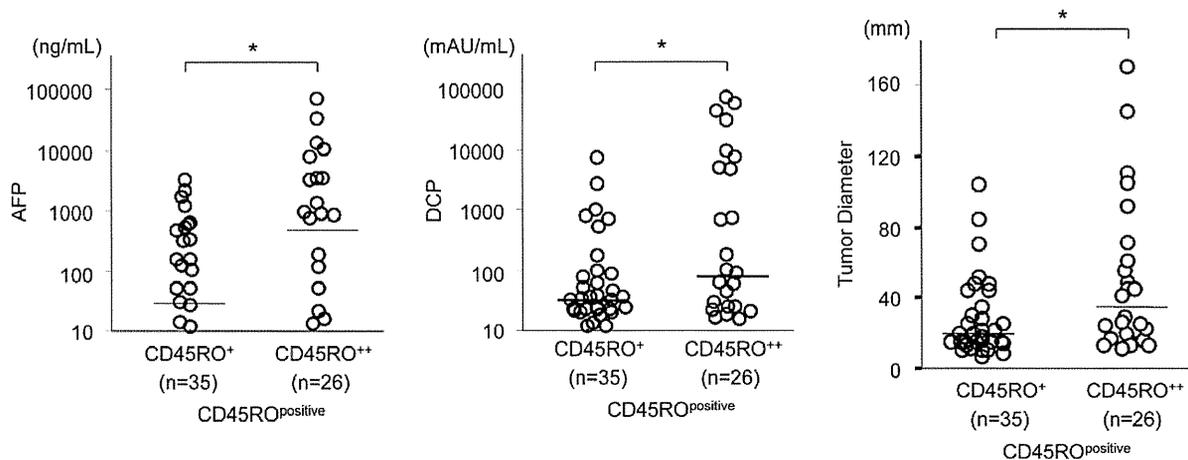
and fibrosis stages between two groups as described above. The levels of serum AFP and DCP and the maximum tumor diameters in CD45RO<sup>++</sup> group were larger than those in CD45RO<sup>+</sup> group (Fig. 4). Others were not significantly different between two groups. These results imply that a subset of Tregs may contribute to the progression of liver tumors.

#### 4. Discussion

CD4<sup>+</sup>CD25<sup>high</sup> Foxp3<sup>+</sup> regulatory T cells have been shown to increase in patients with malignancies to suppress the immune responses. In this study, we provide evidence that patients with HCC have increased frequencies of CD4<sup>+</sup>CD25<sup>high</sup> T cells in their peripheral blood compared to healthy controls and chronic hepatitis patients. A large proportion of CD4<sup>+</sup>CD25<sup>high</sup> T cells expressed Foxp3 and produced Th2 cytokines. We also showed that CD4<sup>+</sup>CD25<sup>high</sup> T cells expressed high levels of CD45RO, HLA-DR and GITR, and, interestingly, the T cell frequencies expressing these surface molecules were associated with plasmacytoid DC numbers and maximum tumor diameters in HCC patients.

There are several reports of elevated numbers of Treg cells in the peripheral blood and tumor tissues of patients with different types of cancer [3–12]. The study of Unitt et al. provided the first report of increased CD4<sup>+</sup>CD25<sup>+</sup> T cell frequency within tumor tissue compared to non-tumor tissue in HCC patients [13]. Ormandy et al. showed that the frequency of CD4<sup>+</sup>CD25<sup>high</sup> T cells in peripheral blood of patients with HCC was significantly higher ( $3.92 \pm 3.3\%$ ) than in healthy donors ( $1.17 \pm 0.87\%$ ) and liver cirrhosis patients ( $0.78 \pm 0.43\%$ ) [3]. Our data revealed that a minimal increase in CD4<sup>+</sup>CD25<sup>high</sup> T cells was detected in LC patients and more pronounced changes were found in HCC patients.

We showed that higher percentages of CD4<sup>+</sup>CD25<sup>high</sup> T cells produced Th2 cytokines IL-4 and IL-10 in HCC patients. Tregs were recently observed to produce IL-10 [25–27], which can be a major mediator of immune suppression [28–30]. Voo et al. reported that Tregs in the peripheral blood of healthy donors secreted IL-10 but not IL-2, IFN- $\gamma$ , or IL-4 [31]. Schmitz-Winnenthal et al. demon-



**Fig. 5.** Prevalence of CD4<sup>+</sup>CD25<sup>high/low/negative</sup> T cell subsets and tumor progression. The levels of AFP and DCP and the maximum tumor diameters in CD45RO<sup>++</sup> group were larger than those in CD45RO<sup>+</sup> groups. AFP, alpha-fetoprotein; DCP, des-gamma-carboxyl prothrombin. \*Indicates  $P < 0.05$ .

strated the presence of Treg secreting IL-10 but not IL-4 or IFN- $\gamma$  upon antigen recognition in chronic pancreatitis patients [32]. The present data demonstrated that larger numbers of Tregs produced not only IL-10 but also IL-4 in HCC patients, which may contribute to the strong immunosuppressive properties of the T cells in liver malignancies.

It appears that Tregs consists of heterogenous populations within CD4<sup>+</sup> T cells, and that a subset of CD4<sup>+</sup>CD25<sup>high</sup> T cells could be subdivided into different functional subsets based on the expression of various surface molecules [6]. The proportions of Tregs expressing these molecules are reported to be different in the various forms of cancer. The prevalence of CD45RO<sup>+</sup> and GITR<sup>+</sup> Treg cells is higher in CD4<sup>+</sup>CD25<sup>high</sup> T cells than in CD4<sup>+</sup>CD25<sup>low/negative</sup> T cells in renal cell carcinoma [4]. In head and neck squamous cell carcinoma, however, CD4<sup>+</sup>CD25<sup>high</sup> T cells express CTLA-4, Foxp3, and CD62L but little GITR, and CD25<sup>low/negative</sup> T cells express intermediate to high levels of GITR and HLA-DR [8]. Our study showed that Tregs in HCC patients expressed significantly higher levels of CD45RO, HLA-DR and GITR compared to CD4<sup>+</sup>CD25<sup>low/negative</sup> cells, suggesting that the activated populations of Tregs may contribute to the establishment of immunosuppressive microenvironments.

Little is known about the molecular and cellular mechanisms responsible for the increase and maintenance of elevated numbers of Treg cells in cancer. DCs have pivotal roles in the induction of tolerogenic/regulatory T cells [20,33]. In peripheral blood, there are two distinct populations of DCs which can be distinguished based on phenotypical and morphological characteristics; myeloid DCs (mDCs) and plasmacytoid DCs (pDCs) [18,34]. Our data demonstrated that higher frequencies of CD45RO<sup>+</sup>CD4<sup>+</sup>CD25<sup>high</sup> T cells were associated with higher frequencies of pDCs in the peripheral blood of HCC patients. When the tumor antigens are assumed by pDCs through Toll-like receptor 9 (TLR9) via receptor-mediated endocytosis, secretions of pro-inflammatory cytokines, such as type I interferons (IFNs), would be caused. On the contrary, pDC may regulate anti-tumor immunity and support immune evasion and tu-

mor escape. They exhibit reduced IFN- $\alpha$  production upon TLR9 stimulation and can induce IL-10 producing CD4<sup>+</sup> and CD8<sup>+</sup> Treg [35,36]. This suggests that anti-tumor immune responses can be regulated through both modulation of pDC function by the tumor and by limiting anti-tumor cytolytic activity through induction of CD8<sup>+</sup> Treg.

Concerning the association of Tregs and prognosis, it has been reported that an increased number of circulating Tregs predicts poor survival of patients with renal cell carcinoma [4], gastric and esophageal cancers [7], myelodysplastic syndrome [37] and HCC [11]. In addition, tumor-infiltrating Tregs were associated with reduced survival in ovarian cancer [12] and HCC patients [1]. In addition, we found that CD45RO<sup>+</sup>CD4<sup>+</sup>CD25<sup>high</sup> T cell subset was associated with larger tumor burdens, implying that a subset of Tregs may contribute to the promotion of tumor cell growth in the liver. However, it is also well possible that this just reflects stronger activation caused by a larger amount of antigen.

We performed the functional evaluation of Tregs derived from HCC patients by incubating with responder CD4<sup>+</sup>CD25<sup>-</sup> T cells (Tresp). We observed that CD45RO<sup>+</sup>CD4<sup>+</sup>CD25<sup>high</sup> T cells of HCC patients did not suppress the proliferation of responder T cells when co-cultured at Treg/Tresp ratios of 1:2 and 1:8 (data not shown). In contrast, Hoffmann et al. confirmed that the CD45RA<sup>+</sup>CD4<sup>+</sup>CD25<sup>high</sup> T cells of healthy volunteers give rise to a homogeneous and highly suppressive Treg cell population, whereas CD45RA<sup>-</sup>CD4<sup>+</sup>CD25<sup>high</sup> T cells generate cell lines with mixed phenotype and function [38]. Although the reasons of these conflicting data were not clarified in the current study, cell viability, apoptosis susceptibility, involvement of Th1 cytokines, and interaction to helper T cell subsets of Tregs obtained from HCC patients need to be evaluated in the future experiments.

This study may be helpful for a better characterization of Treg subsets in the peripheral circulation of patients with HCC, which may establish the immunosuppressive environment to promote tumor progression. Furthermore, to gain insights into changes in the Treg subsets

during the therapeutic option may lead to more effective immunotherapies against cancer and may improve prognosis.

### Conflict of interest

None declared.

### Acknowledgements

We thank Ms. Mariko Katsuda for technical assistance. We also thank the patients for participating in this study.

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# Sequence Heterogeneity in NS5A of Hepatitis C Virus Genotypes 2a and 2b and Clinical Outcome of Pegylated-Interferon/Ribavirin Therapy

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## Abstract

Pegylated-interferon plus ribavirin (PEG-IFN/RBV) therapy is a current standard treatment for chronic hepatitis C. We previously reported that the viral sequence heterogeneity of part of NS5A, referred to as the IFN/RBV resistance-determining region (IRRDR), and a mutation at position 70 of the core protein of hepatitis C virus genotype 1b (HCV-1b) are significantly correlated with the outcome of PEG-IFN/RBV treatment. Here, we aimed to investigate the impact of viral genetic variations within the NS5A and core regions of other genotypes, HCV-2a and HCV-2b, on PEG-IFN/RBV treatment outcome. Pretreatment sequences of NS5A and core regions were analyzed in 112 patients infected with HCV-2a or HCV-2b, who were treated with PEG-IFN/RBV for 24 weeks and followed up for another 24 weeks. The results demonstrated that HCV-2a isolates with 4 or more mutations in IRRDR (IRRDR[2a]≥4) was significantly associated with rapid virological response at week 4 (RVR) and sustained virological response (SVR). Also, another region of NS5A that corresponds to part of the IFN sensitivity-determining region (ISDR) plus its carboxy-flanking region, which we referred to as ISDR/+C[2a], was significantly associated with SVR in patients infected with HCV-2a. Multivariate analysis revealed that IRRDR[2a]≥4 was the only independent predictive factor for SVR. As for HCV-2b infection, an N-terminal half of IRRDR having two or more mutations (IRRDR[2b]/N≥2) was significantly associated with RVR, but not with SVR. No significant correlation was observed between core protein polymorphism and PEG-IFN/RBV treatment outcome in HCV-2a or HCV-2b infection. **Conclusion:** The present results suggest that sequence heterogeneity of NS5A of HCV-2a (IRRDR[2a]≥4 and ISDR/+C[2a]), and that of HCV-2b (IRRDR[2b]/N≥2) to a lesser extent, is involved in determining the viral sensitivity to PEG-IFN/RBV therapy.

**Citation:** El-Shamy A, Shoji I, Kim S-R, Ide Y, Imoto S, et al. (2012) Sequence Heterogeneity in NS5A of Hepatitis C Virus Genotypes 2a and 2b and Clinical Outcome of Pegylated-Interferon/Ribavirin Therapy. PLoS ONE 7(2): e30513. doi:10.1371/journal.pone.0030513

**Editor:** John E. Tavis, Saint Louis University, United States of America

**Received:** August 1, 2011; **Accepted:** December 16, 2011; **Published:** February 2, 2012

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**Funding:** This study was supported in part by Health and Labour Sciences Research Grants from the Ministry of Health, Labour and Welfare, Japan, a J-GRID grant from the Ministry of Education, Culture, Sports, Science and Technology, Japan, and a SATREPS Grant from Japan Science and Technology Agency and Japan International Cooperation Agency. This study was also carried out as part of the Global Center of Excellence program of Kobe University Graduate School of Medicine. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing Interests:** The authors have declared that no competing interests exist.

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## Introduction

Hepatitis C virus (HCV) is a major cause of chronic liver disease, such as chronic hepatitis, liver cirrhosis and hepatocellular carcinoma, with 180 million people being currently infected with HCV worldwide. It is estimated that 70% of acute infections become persistent [1]. As a consequence of the long-term persistence of HCV infection, the number of patients with hepatocellular carcinoma is expected to increase further over the next 20 years. More than two decades have passed since the discovery of HCV, and yet therapeutic options remain limited. Standard regimens for treatment of chronic hepatitis C include pegylated interferon alpha (PEG-IFN) and ribavirin (RBV) [2]. In addition, two protease inhibitors (telaprevir and boceprevir) were approved in May 2011 by the U. S. Food and Drug Administration (FDA) for clinical use in combination with PEG-IFN/RBV to treat chronic hepatitis C patients with HCV genotype 1 [3,4].

In Japan, about 70% of HCV-infected patients are infected with HCV genotype 1b (HCV-1b) and most of the remaining patients are infected with HCV-2a (25%) or HCV-2b (5%) [5]. When treated with PEG-IFN/RBV, the sustained virological response (SVR) rate is ca. 50% in HCV-1b infection, and ca. 80% in HCV-2a and -2b infections [2,6]. The mechanism(s) underlying the different responses among patients with different HCV genotypes and subtypes is still unclear. However, this suggests that viral genetic heterogeneity could affect, at least to some extent, the sensitivity to IFN-based therapy. In this context, sequence heterogeneity of the viral NS5A protein has been widely discussed for its correlation with IFN responsiveness. Sequence variations within a region in NS5A of HCV-1b defined as the IFN sensitivity-determining region (ISDR) is correlated with IFN responsiveness [7]. In HCV-2a infection, the influence of sequence heterogeneity in and around a region corresponding to ISDR on the IFN responsiveness was also suggested [8–10]. Recently, we identified a

new region near the C-terminus of NS5A of HCV-1b, which we refer to as the IFN/RBV resistance-determining region (IRRDR) [11,12]. The degree of sequence variation within IRRDR was significantly correlated with the clinical outcome of PEG-IFN/RBV combination therapy. The significance of IRRDR of other HCV genotypes, however, has not been investigated yet.

In addition to the NS5A sequence variation, HCV core protein polymorphism was also proposed as a pretreatment predictor of poor virological response in HCV-1b-infected patients treated with PEG-IFN/RBV therapy [13]. It is not clear at this stage whether core protein polymorphism could be used to predict the treatment outcome in HCV-2a and -2b infections. In the present study, we investigated the impact of viral genetic heterogeneity in the NS5A and core regions of HCV-2a and -2b on PEG-IFN/RBV treatment outcome. To the best of our knowledge, this is the first report describing the possible correlation between PEG-IFN/RBV responsiveness and NS5A-IRRDR heterogeneity of HCV-2a and -2b.

## Materials and Methods

### Ethics statement

The study protocol, which conforms to the provisions of the Declaration of Helsinki, was approved beforehand by the Ethic Committees in Kobe Asahi Hospital and Kobe University, and written informed consent was obtained from each patient prior to the treatment.

### Patients

A total of 112 patients seen at Kobe Asahi Hospital and Kobe University Hospital, Kobe, Japan, who were chronically infected with HCV-2a (61 patients) or HCV-2b (51 patients), were enrolled in the study. HCV subtype was determined according to the method of Okamoto et al. [14]. The patients were treated with PEG-IFN  $\alpha$ -2b (Pegintron®; Schering-Plough, Kenilworth, NJ) (1.5  $\mu$ g per kilogram body weight, once weekly, subcutaneously) and RBV (Rebetol®; Schering-Plough) (600–800 mg daily, per os), for 24 weeks according to a standard treatment protocol for Japanese patients established by a hepatitis study group of the Ministry of Health, Labour and Welfare, Japan. All patients received >80% of scheduled dosage of PEG-IFN and RBV. Serum samples were collected from the patients at intervals of 4 weeks before, during and after the treatment, and tested for HCV RNA and core antigen titers as reported previously [15].

### Sequence analysis of the NS5A and core regions

HCV RNA was extracted from 140  $\mu$ l of serum using a commercially available kit (QIAmp viral RNA kit; QIAGEN, Tokyo, Japan). The extracted RNA was reverse transcribed and amplified for NS5A and core regions using Super script III one step RT-PCR platinum Taq HiFi (Invitrogen, Tokyo, Japan). The resultant RT-PCR product was subjected to a second-round PCR by using Platinum Taq DNA polymerase high fidelity III (Invitrogen). Primers used for amplification of full-length NS5A of the HCV-2a and -2b genomes and those of the core region of HCV-2a were reported previously [16,17]. Primers for amplification of the core region of HCV-2b are as follows: C-2b/1 (5'-AGCCATAGTGGTCTGCGGAACC-3'; sense, nucleotides [nt] 136 to 157) and C-2b/4 (5'-GGAACARTTGCACCTTTGG-GTG-3'; antisense, nt 1241 to 1262) for one step RT-PCR; C-2b/2 (5'-CCACTCTATGTCCGGTCATTTGG-3'; sense, nt 208 to 230) and C-2b/3 (5'-GAGCTGCCAGGTGATGCTG-3'; antisense, nt 971 to 989) for the second round PCR. RT was performed at 45°C for 30 min and terminated at 94°C for 2 min,

followed by the first-round PCR over 35 cycles, with each cycle consisting of denaturation at 94°C for 30 sec, annealing at 55°C for 30 sec and extension at 68°C for 90 sec. The second-round PCR was performed under the same condition. The sequences of the amplified fragments were determined by direct sequencing without subcloning. The amino acid (aa) sequences were deduced and aligned using GENETYX Win software version 7.0 (GENETYX Corp., Tokyo, Japan). The numbering of aa residues for HCV-2a and -2b isolates is according to the polyprotein of HCV-J6 [18] and -J8 [19], respectively.

### Statistical analysis

Numerical data were analyzed by Student's *t* test while categorical data by Fisher's exact probability test [8]. To evaluate the optimal threshold of the number of aa mutations in ISDR and IRRDR for prediction of treatment outcomes, the receiver operating characteristic curve was constructed. Univariate and multivariate logistic regression analyses were performed to identify independent predictors for treatment outcomes. All statistical analyses were performed using the SPSS version 16 software (SPSS Inc., Chicago, IL). Unless otherwise stated, a *P* value of <0.05 was considered statistically significant.

### Nucleotide sequence accession numbers

The sequence data reported in this paper have been deposited in the DDBJ/EMBL/GenBank nucleotide sequence databases with the accession numbers AB600751 through AB600834.

## Results

### Patients' Responses to PEG-IFN/RBV Combination Therapy in HCV-2a and HCV-2b infections

Of the 61 patients infected with HCV-2a, 46 (75%) patients cleared HCV viremia by week 4 (rapid virological response [RVR]), and all the patients (100%) by week 12 (early virological response [EVR]) and at week 24 (end-of-treatment response [ETR]) (Table 1). Likewise, of 51 patients infected with HCV-2b, 34 (67%), 51 (100%) and 50 (98%) patients achieved RVR, EVR and ETR, respectively. After the end of treatment, 105 patients (58 with HCV-2a and 47 with HCV-2b) could be followed up for another 24 weeks. At the end, SVR was achieved by 49 (84%) patients infected with HCV-2a and by 34 (72%) patients with HCV-2b. Only 9 (16%) and 13 (28%) patients with HCV-2a and -2b, respectively, were non-SVR. There was no case of null-response (continuous viremia throughout the treatment and follow up periods) since all the non-SVR patients once cleared viremia at a certain time point followed by a rebound in viremia either before or after the end of the treatment (relapse).

Comparison of the base line demographic characteristics between SVR and non-SVR patients revealed that, in HCV-2a infection, SVR patients had a significantly lower average age than that of non-SVR (Table 2). In HCV-2b infection, on the other hand, SVR patients had significantly  $\gamma$ -GTP levels than those of non-SVR. There was no significant difference in viremia titers between SVR and non-SVR in patients infected with HCV-2a or -2b.

### Sequence Analysis of NS5A of HCV-2a and HCV-2b

The entire NS5A region of the HCV-2a and -2b genomes in pretreatment sera were sequenced, and aa sequences deduced. All the sequences obtained were aligned and the consensus sequences for HCV-2a and -2b were inferred. An N-terminal half (aa 1977 to 2196) of the consensus sequences of HCV-2a and -2b isolates were each identical to the prototype sequences, HCV-J6 [18] and

**Table 1.** Proportions of various virological responses of HCV-2a- and HCV-2b-infected patients treated with PEG-IFN/RBV.

Response	Proportion		
	HCV-2a	HCV-2b	All
RVR	46/61* (75%)	34/51 (67%)	80/112 (71%)
Non-RVR	15/61 (25%)	17/51 (33%)	32/112 (29%)
EVR	61/61 (100%)	51/51 (100%)	112/112 (100%)
ETR	61/61 (100%)	50/51 (98%)	111/112 (99%)
SVR	49/58 (84%)	34/47 (72%)	83/105 (79%)
Non-SVR	9/58 (16%)	13/47 (28%)	22/105 (21%)

\*No. of patients/no. of total.

Abbreviations: RVR, rapid virological response; EVR, early virological response; ETR, end-of-treatment response; SVR, sustained virological response.  
doi:10.1371/journal.pone.0030513.t001

HCV-J8 [19], respectively. The remaining C-terminal half (aa 2197 to 2442) of the consensus sequences were identical to those reported by Murakami et al. [8] except that His at position 2358 in the HCV-2b sequence was replaced with Cys, which was more conserved (59% of the isolates tested) than His (22%).

To investigate the impact of NS5A heterogeneity on the clinical outcome of PEG-IFN/RBV therapy, we first performed a sliding window analysis with a window size of 20 residues over the full-length NS5A sequences obtained from 23 RVR and 7 non-RVR patients infected with HCV-2a along with the consensus sequence, as described previously [8]. This analysis revealed that the number of aa mutations differed significantly between RVR and non-RVR isolates in two regions within the C-terminal half of NS5A (data not shown). The more C-terminally located one exactly matched the region that corresponded to IRRDR of HCV-1b, ranging from aa 2332 to 2387, thus being referred to as IRRDR[2a] (see Figure 1). The other region composed of a part of ISDR plus its carboxy-flanking region, ranging from aa 2232 to 2262, thus being referred to as ISDR/+C[2a] (see Figure 2). It was confirmed that the average numbers of aa mutations in IRRDR[2a] and ISDR/+C[2a] were each significantly larger in isolates from RVR than those from non-RVR patients (Table 3). More importantly, the average numbers of aa mutations in IRRDR[2a] and ISDR/+C[2a] were each significantly larger in SVR than in non-SVR.

Sequences of IRRDR[2a] and ISDR/+C[2a] obtained from SVR and non-SVR patients and the number of mutations of each isolate are shown in Figures 1 and 2.

Likewise, a sliding window analysis on HCV-2b isolates (16 RVR and 6 non-RVR) identified an N-terminal part of IRRDR (aa 2332 to 2357), referred to as IRRDR/N[2b], that showed a significant difference in the number of aa mutations between RVR and non-RVR (data not shown). The average numbers of aa mutations in IRRDR/N[2b] were significantly larger in RVR than in non-RVR (Table 3). However, they did not differ significantly between SVR and non-SVR. Sequences of IRRDR[2b]/N obtained from RVR and non-RVR patients are shown in Figure 3.

### Correlation between NS5A Sequence Heterogeneity and SVR or RVR in HCV-2a and HCV-2b infections

The receiver operating characteristic analysis identified the optimal thresholds of the numbers of aa mutations in IRRDR[2a] and ISDR/+C[2a] for the prediction of RVR and SVR in HCV-2a infection; four and one for IRRDR[2a] and ISDR/+C[2a], respectively (data not shown). Accordingly, we found that 86% (42/49) of SVR patients, and only 22% (2/9) of non-SVR, were infected with HCV-2a isolates having IRRDR with 4 or more mutations ( $IRRDR[2a] \geq 4$ ) (Table 4). On the other hand, 14% (7/49) of SVR, and 78% (7/9) of non-SVR patients, were infected with isolates having IRRDR with 3 or less mutations ( $IRRDR[2a] \leq 3$ ). These results suggested that  $IRRDR[2a] \geq 4$  was significantly associated with SVR ( $P = 0.0003$ ). Similarly, 93% (42/46) of RVR patients, and only 33% (5/15) of non-RVR, were infected with HCV-2a isolates of  $IRRDR[2a] \geq 4$  while 7% (4/46) of RVR patients, and 67% (10/15) of non-RVR, were infected with HCV-2a isolates of  $IRRDR[2a] \leq 3$ , with the results suggesting that  $IRRDR[2a] \geq 4$  was significantly associated with RVR as well ( $P < 0.0001$ ).

As for ISDR/+C[2a] heterogeneity, 71% (35/49) of SVR, and 22% (2/9) of the non-SVR patients, were infected with HCV-2a isolates with ISDR/+C having one or more mutation ( $ISDR/+C[2a] \geq 1$ ) (Table 4). On the other hand, 29% (14/49) of SVR patients, and 78% (7/9) of the non-SVR, were infected with isolates with ISDR/+C without mutation ( $ISDR/+C[2a] = 0$ ). Thus,  $ISDR/+C[2a] \geq 1$  was significantly associated with SVR ( $P = 0.008$ ).

**Table 2.** Demographic characteristics of HCV-2a- and HCV-2b-infected patients with SVR and non-SVR.

Factor	HCV-2a			HCV-2b		
	SVR	Non-SVR	P value	SVR	Non-SVR	P value
Age	49.78 ± 13.67*	62.89 ± 7.01	<b>0.007</b>	50.03 ± 15.03	55.08 ± 11.22	0.28
Sex (male/female)	22/27	3/6	0.72	17/17	8/5	0.53
Body weight (kg)	60.39 ± 11.00	54.67 ± 10.51	0.15	57.72 ± 13.46	65.08 ± 7.26	0.06
Platelets ( $\times 10^4/\text{mm}^3$ )	18.54 ± 5.71	19.43 ± 10.78	0.72	17.57 ± 5.65	15.20 ± 7.281	0.27
Hemoglobin (g/dl)	14.38 ± 6.07	14.0 ± 1.56	0.88	14.19 ± 1.59	13.78 ± 1.5	0.49
$\gamma$ -GTP (IU/L)	37.66 ± 53.25	36.83 ± 24.82	0.97	39.68 ± 34.33	81.30 ± 69.11	<b>0.02</b>
ALT (IU/L)	64.75 ± 52.45	94.38 ± 141.3	0.28	86.35 ± 91.95	86.85 ± 118.7	0.98
HCV-RNA (KIU/ml)	1350 ± 1424	1598 ± 1464	0.63	5543 ± 7643	7905 ± 14210	0.47
HCV core antigen (fmol/L)	6543 ± 6927	6105 ± 8290	0.91	9054 ± 6743	9390 ± 8723	0.92

\*Mean ± S.D.

Abbreviations: SVR, sustained virological response;  $\gamma$ -GTP, gamma glutamyl transpeptidase; ALT, alanine aminotransferase.

doi:10.1371/journal.pone.0030513.t002

### IRRDR[2a]

2332

2387

SVR	Cons.	TVGLSESTIGDALQQLAIKTFGQPPPSGDSGLSTGADAADSGGRTPPDELALSETG	IRRDR
120	I.D.G.VSTV.V.P.G.S.P.AP.S.S.S		16
10KL	M.A.VA.V.V.PA.G.SP.PSL.TP		15
145	SK.S.A.S.G.K.P.S.G.P.P.K		14
19KN	AE.V.S.D.V.N.QA.S.P		11
127	SEV.V.SGA.G.S.P.R		11
168	V.EV.R.V.M.P.Q.GS.P		11
6	I.V.V.A.G.V.QS.S.P		10
85	V.AV.S.L.SQ.DS.P		10
6KN	S.A.E.G.D.PVP.D		9
150	E.V.AF.V.SSQK		9
172	A.D.A.D.L.K.G.S.P		9
4K	A.V.V.P.N.P.Q.A		8
8KN	EVPP.V.Q.S.P		8
114	I.V.P.PP.R.V.S		8
189	N.A.V.EG.S.D.P		8
3	N.V.R.V.N.S.P		7
7KN	S.H.G.H.S.P.C		7
46KN	AGV.M.V.N.Q.S		7
139	T.AE.V.Q.DS		7
262	AS.H.V.DS.Q		7
68	D.L.S.S.I.S.P		7
25	N.E.L.S.T.P.DS		6
184	EV.V.D.S		6
21	V.A.A.QA.E		6
44	AE.V.T.P.S		6
249	V.V.S.N.TP		6
7K	S.S.V.Q.T		5
12	E.A.H.S.S		5
18	D.NV.E.S		5
63K	P.S.MTP		5
112	D.A.V.TP		5
64	V.V.M.H.V.D.E		5
174	D.A.V.S.AS		5
4KN	D.A.V.N.S.Q.S		4
8	V.EV.H		4
20	N.A.S.P		4
29	I.G.S.S		4
53	R.A.V.V		4
63	84.V.L.H.S		4
84	132.K.DG.V.Q		4
132	196.A.H.V.S		4
196	95.A.V.F		3
95	172K.S.G.S		3
172K	197.S.Q.P		3
197	124.V.M		2
124	36.H		1
36	60.I		1
60	1K		0
1K			
Non-SVR	15KL	N.A.V.V.A.H.S.H.DD.E.S	8
	144	N.A.V.V.V	5
	19K	A.V.V.Q	3
	126	V.V.Q.P	3
	195	V.S.D	3
	209	V.V.Q.S	3
	2	V.V.Q	2
	61	V.S	2
	133	H	1

**Figure 1. Sequence alignment of IRRDR[2a].** Sequences of IRRDR[2a] (interferon/ribavirin resistance-determining region of HCV-2a) obtained from SVR and non-SVR patients are aligned. The consensus sequence (Cons) is shown on the top. The numbers along the sequence indicate the aa positions. Dots indicate residues identical to those of the Cons sequence. The numbers of the mutations in IRRDR[2a] are shown on the right. doi:10.1371/journal.pone.0030513.g001

As for HCV-2b infection, the receiver operating characteristic analysis identified “two” as the optimal threshold of the number of mutations in IRRDR/N[2b] by which to predict RVR (data not shown). Accordingly, we found that 65% (22/34) of RVR, and 18% (3/17) of non-RVR patients, were infected with HCV-2b isolates of IRRDR/N[2b] ≥ 2 (Table 4). On the other hand, 35% (12/34) of RVR, and 82% (14/17) of the non-RVR patients, were infected with IRRDR/N[2b] ≤ 1. These results suggested that IRRDR/N[2b] ≥ 2 was significantly associated with RVR (P=0.0025). However, no correlation, or even no tendency

toward significant correlation, was observed between IRRDR/N[2b] ≥ 2 and SVR in HCV-2b infection.

#### Correlation between NS5A Sequence Heterogeneity and Viremia Titers in the Serum of patients infected with HCV-2a and HCV-2b before PEG-IFN/RBV Therapy

Next, we examined the impact of IRRDR sequence heterogeneity on HCV titers in the serum before the initiation of the treatment. As shown in Figure 4A, patients infected with IRRDR[2a] ≥ 4 had significantly lower pretreatment serum

		2232	<b>ISDR/+C[2a]</b>	2262	
		2213	<b>ISDR[2a]</b>	2248	
<b>SVR</b>	Cons.	PSLRATCTTHGKAYDVMV	DANLFMGGDVTRIESES	KVVVLDSLDPMAEE	ISDR/+C
	145	.....SNF.....	...L.E.G.AQT.P..	R.P..EF.E.....	12
	4K	.....S.....	.....SGEI...DT	.....S.....	7
	7KN	A.....	.....SG.W..G.	.....S.V..	6
	10KL	.....N..M.....	.V.....	...I..Y...VV.K	6
	20	..MQ.....QS.....	E.....TG.W.....	.....S.T..	6
	19KN	.....Y..T...M	.....	...I..Y..Q.S.V	5
	63K	.....	.....NI.....	.....Y.S.S..	5
	127	.....TT...M	...R.....	...I..Y...VV..	5
	3	..T.....T...V.	...L.G.....	..A.....V..	4
	21	..M.....T.....	.....D.E.....	S.....V..	4
	114	.....Y.....	.....G.V.....	.....T...K	4
	172	.....Y.....	.....	.....Y.S.T..	3
	4KN	.....T.....	.....	.....A.....S..	2
	53	.....T.....	.....	.....S.T..	2
	85	.....T..G..S	.....	.....G	2
	120	.....H.....	.....	...T.L.....	2
	150	.....A.....	.....	.....V.A	2
	197	.....	.....	...A...L...	2
	124	.....N.A.....	.....	...T...T...	2
	189	.....M.....	.....	.....AV..	2
	168	.....	.....	.....S...	1
	6KN	.....	.....	.....V..	1
	7K	.....T.....	...S.....	.....	1
	12	.....	...S.....	.....	1
	18	.....T.....	.....	.....D	1
	25	.....T.M.....	...T.....	.....	1
	112	.....T..L.....	.....	.....V..	1
	64	.....T.....	.....	.....V..	1
	174	.....	.....	.....V..	1
	139	.....T.....	.....	.....V..	1
	29	.....V.....	.....	.....V..	1
	63	.....	.....	.....V..	1
	132	.....	.....	.....V..	1
	172K	.....T.....	.....	.....V..	1
	1K	.....T...M	.....	...I.....	1
	6	.....	.....	.....	0
	262	.....	.....	.....	0
	68	.....T.....	.....	.....	0
	184	.....T.....	.....	.....	0
	44	.....	.....	.....	0
	249	.....T.M.....	.....	.....	0
	8	.....	.....	.....	0
	84	.....T.....	.....	.....	0
	196	.....D.....	.....	.....	0
	95	.....G.....	.....	.....	0
	36	.....	.....	.....	0
	60	.....	.....	.....	0
	46KN	.....T.....	.....	.....	0
	8KN	.....S...E.....	.....	.....	0
<b>Non-SVR</b>	15KL	.....	.....	...I.....V..	2
	19K	.....T.....	.....	.....V..	1
	144	.....T.....	.....	.....	0
	126	.....N..T.....	.....	.....	0
	209	.....T.....	.....	.....	0
	2	.....F.....	.....	.....	0
	61	.....	.....	.....	0
	133	.....RG.....	.....	.....	0
	195	.....T.....	.....	.....	0

**Figure 2. Sequence alignment of ISDR/+C[2a].** Sequences of ISDR/+C[2a] (part of interferon sensitivity determining-region plus its carboxy-flanking region of HCV-2a) obtained from SVR and non-SVR patients are aligned. The consensus sequence (Cons) is shown on the top. The numbers along the sequence indicate the aa positions. Dots indicate residues identical to those of the Cons sequence. The numbers of the mutations in ISDR/+C[2a] are shown on the right.

doi:10.1371/journal.pone.0030513.g002

HCV core antigen titers than those infected with IRRDR[2a] ≤ 3. On the other hand, there was no significant difference in HCV viremia titers between ISDR/+C[2a] ≥ 1 and ISDR/+C[2a] = 0 (Figure 4B). Also, in HCV-2b infection, there was no significant difference in pretreatment HCV viremia titers between IRRDR/N[2b] ≥ 2 and IRRDR/N[2b] ≤ 1 (Figure 4C).

#### Correlation between Core Protein Sequence Heterogeneity and RVR or SVR

A close correlation between core protein sequence patterns and treatment outcome has been proposed in HCV-1b infection [12,13]. To examine this hypothesis in HCV-2a and -2b infections, core regions of the virus genome were amplified from the pretreated sera, and the aa sequences deduced and aligned

**Table 3.** Average numbers of aa mutations within IRRDR[2a], ISDR/+C[2a] and IRRDR/N[2b] of HCV NS5A obtained from pre-treated sera of HCV-2a and -2b-infected patients with SVR, non-SVR, RVR and non-RVR.

NS5A region	No. of mutations			No. of mutations		
	SVR	Non-SVR	P value	RVR	Non-RVR	P value
IRRDR[2a] (aa 2332–2387)	6.4±3.4*	3.3±2.1	0.01	6.8±3.3	3.3±1.9	0.0003
ISDR/+C[2a] (aa 2232–2262)	2.0±2.4	0.3±0.7	0.047	2.1±2.5	0.6±0.7	0.025
IRRDR/N[2b] (aa 2332–2357)	1.8±1.5	1.4±1.3	0.45	2.0±1.4	1.0±1.2	0.01

\*Mean ± S.D.

Abbreviations: SVR, sustained virological response; RVR, rapid virological response; IRRDR[2a], interferon/ribavirin resistance-determining region of HCV-2a; ISDR/+C[2a], part of interferon sensitivity determining-region plus its carboxy-flanking region of HCV-2a; IRRDR/N[2b], an N-terminal part of interferon/ribavirin resistance-determining region of HCV-2b.

doi:10.1371/journal.pone.0030513.t003

		IRRDR[2b]				
		2332	IRRDR/N[2b]	2357		
	Cons .	ARKVLTQDNVEGVLEMDKVLSPQLQD	CNDSGHSSTGVDITGGDSVQQPSDETAASEAG		IRRDR/N(2b)	Final response
<b>RVR</b>	73	.....S..I.K.....P.	S...R...NI...P.....		4	SVR
	138	.....FK...G.F.....	H...R...A.....S.		4	SVR
	143	T.....D...R...S...	H...AEA.....TA		4	SVR
	166	.R.....K...SF...Y...	Y.....A.....D..		4	Non-SVR
	183	.....T..I.K.....P.	S...R.....NI..L..A.V..		4	SVR
	231	.....FN.PR.....	.....A.....S.D..		4	SVR
	2KL	.....KE.....P.....	.....A.....T.....		3	SVR
	7	.R.....I...A.....	L..A.....D.....		3	SVR
	21KN	.....I...VE.....	HT...A.....		3	SVR
	116	.....GE..K.....	.....T.....		3	SVR
	185	.....I...I..E.....	.....A.....D.....		3	Non-SVR
	193	.....E...G...F.....	.....A.....T.....		3	SVR
	205	.....G...F...F.....	Q.....A.....		3	Non-SVR
	233	.T.....R..K.....	.....T.....		3	SVR
	4	V.....S.....	Q.....T.....		2	Non-SVR
	5KN	.R.....K.....	H...A.....		2	SVR
	9KN	.....K.P...N...L..AE..	.....D.....		2	SVR
	94	.....F.P.....	.....A.....T.....		2	SVR
	106K	.....V.....G..D..R.....	.....		2	SVR
	212	.....E...A.....	.....D.....		2	SVR
	147	.R.....E..K.....	H...A.....		2	Unknown
	229	.R.....I...I.....	H.....E.....		2	Non-SVR
	11KL	.....I.....	H...M.....V..T.....		1	SVR
	55KN	.R.....K.....	H...A...A.....		1	SVR
	87	.....K.....	.....T.....		1	SVR
	103	.I.....K.....	.....AD.....		1	SVR
	165	.....F.....	H...A.....S.....		1	SVR
	1K	.....K.....	.....A..A...T...T.....		0	SVR
	10K	.....K.....	.....I..L.....		0	SVR
	46	.....K.....	.....		0	SVR
	99	.....K.....	.....S..T.....		0	SVR
	113	.....K.....	.....T...T.....		0	SVR
	179	.....K.....	H...A...N.A...T...S.....		0	SVR
	187	.....K.....	Y.....T...T.....		0	SVR
<b>Non-RVR</b>	18KN	.....G...F.L.P.E..D.....	.....T.....		5	SVR
	13K	.....E..K.....	D.....E...K.....		2	Non-SVR
	110	.....H...E.....	H.....N.I..A.....T.....		2	Unknown
	11K	.....E.....N.....	.....C..A...C...G..T.....		1	SVR
	23KN	.....E.....K.....	D...M...A.....S.....		1	Non-SVR
	40KN	.....P.....	HS..R.....D.E.....		1	SVR
	89	.....F.....	.....TE.....		1	Non-SVR
	157	.....N.....	YS.....P.....E.....		1	SVR
	164	.R.....E.....	S...I...D.....T.....		1	SVR
	170	.....E.....	SD.....N...I.....T.....		1	Unknown
	265KL	.....I.....	.....E.....		1	Non-SVR
	1	.....I.....	YH...A...I...P.....		0	Non-SVR
	32KN	.....I.....	.....G.....		0	Non-SVR
	52	.....I.....	H...A.....T...T.....		0	SVR
	105	.....I.....	Y.....		0	Non-SVR
	107	.....I.....	.....R.....		0	Non-SVR
	134	.....I.....	.....G..T.....		0	Unknown

**Figure 3. Sequence alignment of NS5A of HCV-2b isolates.** Sequences of IRRDR/N[2b] (an N-terminal part of interferon/ribavirin resistance-determining region of HCV-2b) obtained from RVR and non-RVR patients are aligned. The consensus sequence (Cons) is shown on the top. The numbers along the sequence indicate the aa positions. Dots indicate residues identical to those of the Cons sequence. The numbers of the mutations in IRRDR/N[2b] and the final treatment outcome of each patient are shown on the right.

doi:10.1371/journal.pone.0030513.g003