

Figure 1 Early hepatitis C virus (HCV) dynamics of model preparation group (a) and of model validation group (b). The patients with incomplete blood collection were excluded from the figure of the model validation group. Solid line, dynamics of those who accomplished undetectable serum HCV until the therapy ended; dotted line, of those in whom serum HCV was detected through the whole therapy.

the hemoglobin concentration, a reduction in the neutrophil count and a worsening of depressive symptoms. In comparison to the model preparation group, there were more NVR patients, and the SVR rate was 29% in the model validation group. There were six patients who accomplished undetectable serum HCV after 24 weeks, and the latest patients achieved it 40 weeks after the therapy started. More patients had advanced hepatic fibrosis in the model validation group than in the model preparation group. Eighteen patients discontinued the combination therapy for various reasons, for example, decreased neutrophil count. The early HCV dynamics of both group are shown in Figure 1.

Undetectable time point prediction

From the model preparation group, 29 patients were analyzed and six patients were excluded for the following reasons: therapy was discontinued before viral clearance in one patient, PEG-IFN dosage was decreased before viral clearance in three patients, viral load increased during therapy in one patient, and an incomplete series of samples were obtained from one patient.

First, we hypothesized that the HCV dynamic parameters have a possibility to predict the undetectable time point. HCV dynamic parameters were calculated with three dataset patterns of viral loads, as follows: (i) immediately before and at 4, 8 h, and 1, 2, 4, 7 and 8 days; (ii) before and at 8 h, and 1, 2, 4 and 7 days; and (iii) before and at 4, 8 h, and 1, 2, 4 and 7 days after the therapy was started. Unfortunately, no significant factors for prediction of the undetectable time points were detected in these HCV dynamic parameters (Table 2), even when adding parameters of age and sex.

Next, we investigated the possibility using early-stage treatment dynamics. Multiple linear regression analysis was conducted for viral load, and changes in viral load up to day 14 as the explanatory variables and undetectable time points as the objective variables. Among various factors which became significant alone, the decrease in viral load from day 7 to 14 was found to be the best predictor for the undetectable time points by multiple linear regression analysis ($r^2 = 0.67$, Table 3). Then, whole datasets were analyzed again including HCV dynamic parameters, sex, age, viral loads and viral

Table 2 Calculated HCV-dynamic parameters of model preparation group

Dataset	Dataset 1† median (range)	P	Dataset 2‡ median (range)	P	Dataset 3§ median (range)	P
c	0.77 (0.032-5.21)	0.73	1.54 (0.0515-7.58)	0.37	2.75 (0.040-6.19)	0.85
δ	0.0033 (0-0.69)	0.76	0.013 (0-0.99)	0.094	0.053 (0-0.70)	0.91
ε	0.28 (0.023-0.84)	0.30	0.067 (0.0083-0.72)	0.038	0.28 (0.023-0.71)	0.18
T ₀	0.36 (0.0001-0.95)	0.63	0.415 (0.0049-0.98)	0.23	0.36 (0.007-0.90)	0.21
V ₀	5.49 (4.40-6.69)	0.53	4.99 (4.10-6.48)	0.090	5.29 (4.30-6.69)	0.29
R ²	0.012		0.090		0.056	

†Dataset 1: serum hepatitis C virus (HCV) load immediately before and at 4, 8 h, and 1, 2, 4, 7, 8 days after the therapy was started.

‡Dataset 2: serum HCV load before and at 8 h, and 1, 2, 4, 7 days after the therapy was started.

§Dataset 3: serum HCV load before and at 4, 8 h, and 1, 2, 4, 7 days after the therapy was started.

load changes. The results showed that only the change in viral load from day 7 to 14 was associated with the prediction of the undetectable time point ($r^2 = 0.67$). Finally, prediction in each patient was valid (Cook's D = 0.046, mean, data not shown), and we derived the following prediction formula:

$$\text{Undetectable time point (week)} = 13.495 \times (\text{viral load at day 14 [log IU/mL]} - \text{viral load at day 7 [log IU/mL]}) + 25.456.$$

The degree of decrease in viral load from day 7 to 14 for the model preparation group and the actual

Table 3 Early viral dynamics of model preparation group, correlation to undetectable time point and the result of multiple linear regression analysis

	Viral load (log IU/mL)	Spearman's rank correlation test coefficient (P-value)	Multiple linear regression analysis r^2 (P-value)
Pretreatment (0 days)	5.48 ± 0.30	0.27 (0.28)	Excluded
4 h	5.66 ± 0.22	0.045 (0.82)	Excluded
8 h	5.55 ± 0.19	0.026 (0.89)	Excluded
1 day	3.74 ± 0.75	0.68 (<0.001)	Excluded
2 days	3.20 ± 0.76	0.66 (<0.001)	Excluded
4 days	4.01 ± 0.74	0.56 (0.002)	Excluded
7 days	4.05 ± 0.75	0.77 (<0.001)	Excluded
8 days	3.34 ± 0.80	0.67 (<0.001)	Excluded
14 days	3.52 ± 0.95	0.87 (<0.001)	Excluded
Subtracted values of viral load (log scale)			
1 day - 0 days	-1.78 ± 0.88	0.59 (0.001)	Excluded
2 days - 0 days	-2.18 ± 0.79	0.53 (0.003)	Excluded
4 days - 0 days	-1.46 ± 0.65	0.72 (0.000)	Excluded
7 day - 0 days	-1.38 ± 0.80	0.38 (0.049)	Excluded
14 days - 0 days	-2.24 ± 1.17	0.83 (0.000)	Excluded
2 days - 1 day	-0.55 ± 0.13	0.085 (0.67)	Excluded
4 days - 1 day	0.17 ± 0.25	0.22 (0.27)	Excluded
7 days - 1 day	0.44 ± 0.46	0.27 (0.19)	Excluded
14 days - 1 day	-0.42 ± 0.46	0.76 (<0.001)	Excluded
4 days - 2 days	0.61 ± 0.23	0.12 (0.54)	Excluded
7 days - 2 days	0.86 ± 0.50	0.12 (0.56)	Excluded
14 days - 2 days	0.11 ± 0.44	0.76 (<0.001)	Excluded
7 days - 4 days	-0.11 ± 0.17	0.047 (0.82)	Excluded
14 days - 4 days	-0.7 ± 0.37	0.78 (<0.001)	Excluded
14 days - 7 days	-0.86 ± 0.50	0.76 (<0.001)	0.667 (<0.0005)

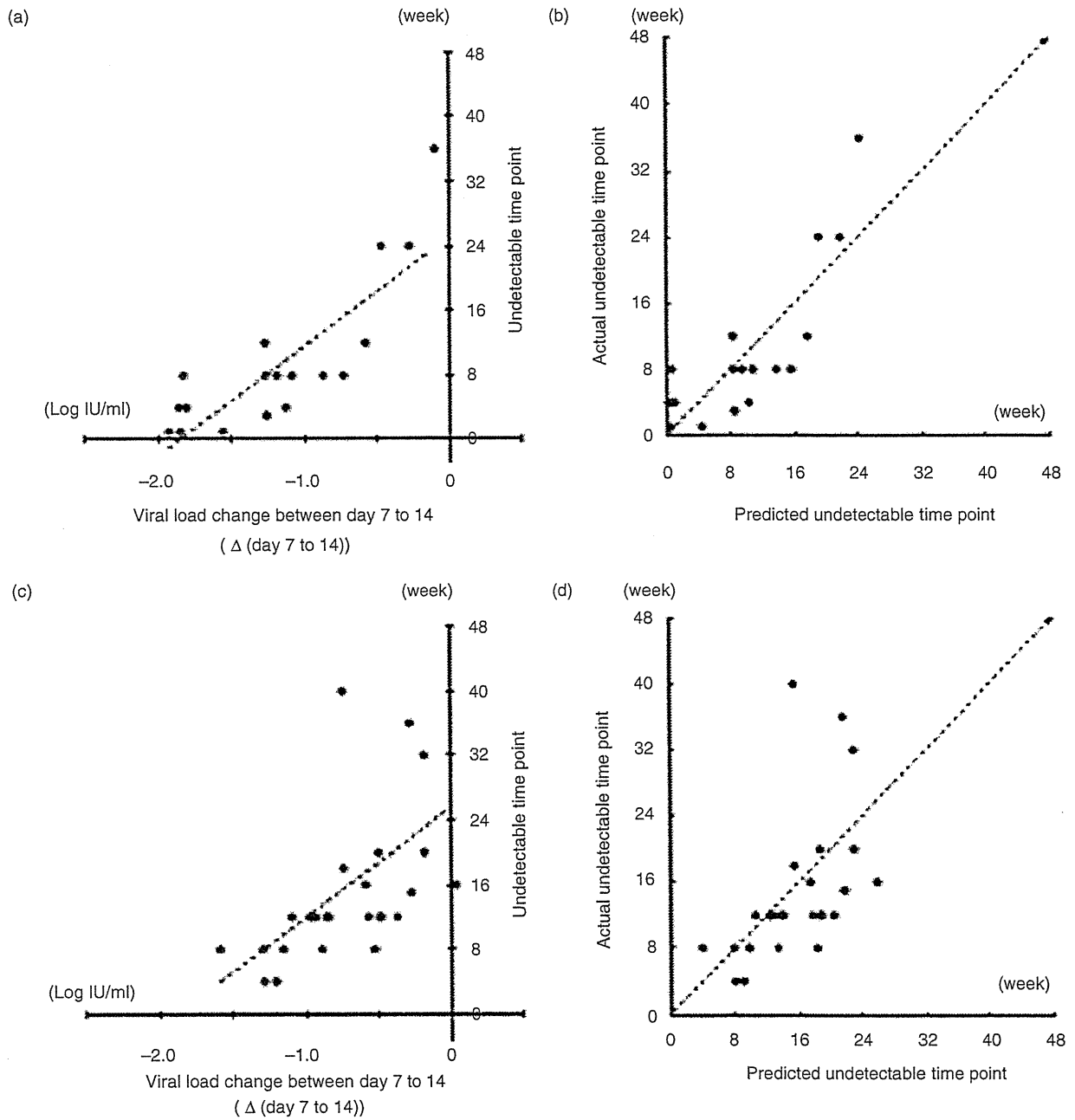


Figure 2 Correlation between the undetectable time point and the decrease in viral load from day 7 to 14 (a,b) and correlation between the actual and predicted undetectable time points (c,d). (a,c) Results of analyses for the model preparation group; and (b,d) analyses for the model validation group. Black circles, actual cases; dotted line, (a,c) estimate obtained from the prediction formula; (b,d) equal values of actual and predicted undetectable time points.

undetectable time point are plotted in Figure 2(a), which shows a very strong and a significant correlation ($r^2 = 0.67$, $P < 0.0005$).

The validity of the prediction formula was investigated in the validation group. Analysis was possible in 32 patients, as the other patients were excluded from the analysis due to the following reasons: therapy was discontinued before viral clearance in eight patients, PEG-IFN dosage was reduced before viral clearance in nine patients and viral clearance was achieved before day 14 in two patients. There were six cases of NVR, and incomplete blood collections from 13 patients on day 7 and/or 14. A strong and a significant correlation was demonstrated between the undetectable time points that were predicted using this formula and the actual undetectable time points (Fig. 2c, $r = 0.53$, $P = 0.005$).

Although only one case was predicted to achieve a rapid virological response (undetectable viral load at week 4)¹³ in the model validation group, the actual undetectable time point of this patient was week 8 (Fig. 2d). In contrast, all nine cases who were predicted to achieve a complete early virological response (undetected viral load until week 12),¹³ the actual undetectable time points of these patients were within week 12. Because the prediction formula was derived by the least squares method, half of the patients, who were predicted not to achieve the complete early virological response, actually achieved it.

DISCUSSION

NUMEROUS STUDIES HAVE documented that the undetectable time point is related to therapeutic responses, and its usefulness in predicting therapeutic efficacy is clear.^{9–13} In the present study, we were able to derive a formula for predicting the undetectable time point for patients with HCV genotype 1b and high serum viral loads during PEG-IFN- α -2b/ribavirin combination therapy. Though the various parameters for the HCV dynamics were investigated, the change in viral load from day 7 to 14 was the only parameter that was useful for predicting the undetectable time point.

The standard length of PEG-IFN/ribavirin combination therapy is 48 weeks for patients with HCV genotype 1b and high serum viral loads; however, a 72-week administration is recommended to improve therapeutic response.^{3,13,18} Therefore, when undetectable time points are predicted as from weeks 13–24 by our formula, the SVR rates could be improved by continuing the IFN therapy for longer periods. By prediction of the undetectable time point early during the treatment using our

formula, the physician can make early modification and optimization of currently ongoing therapy.

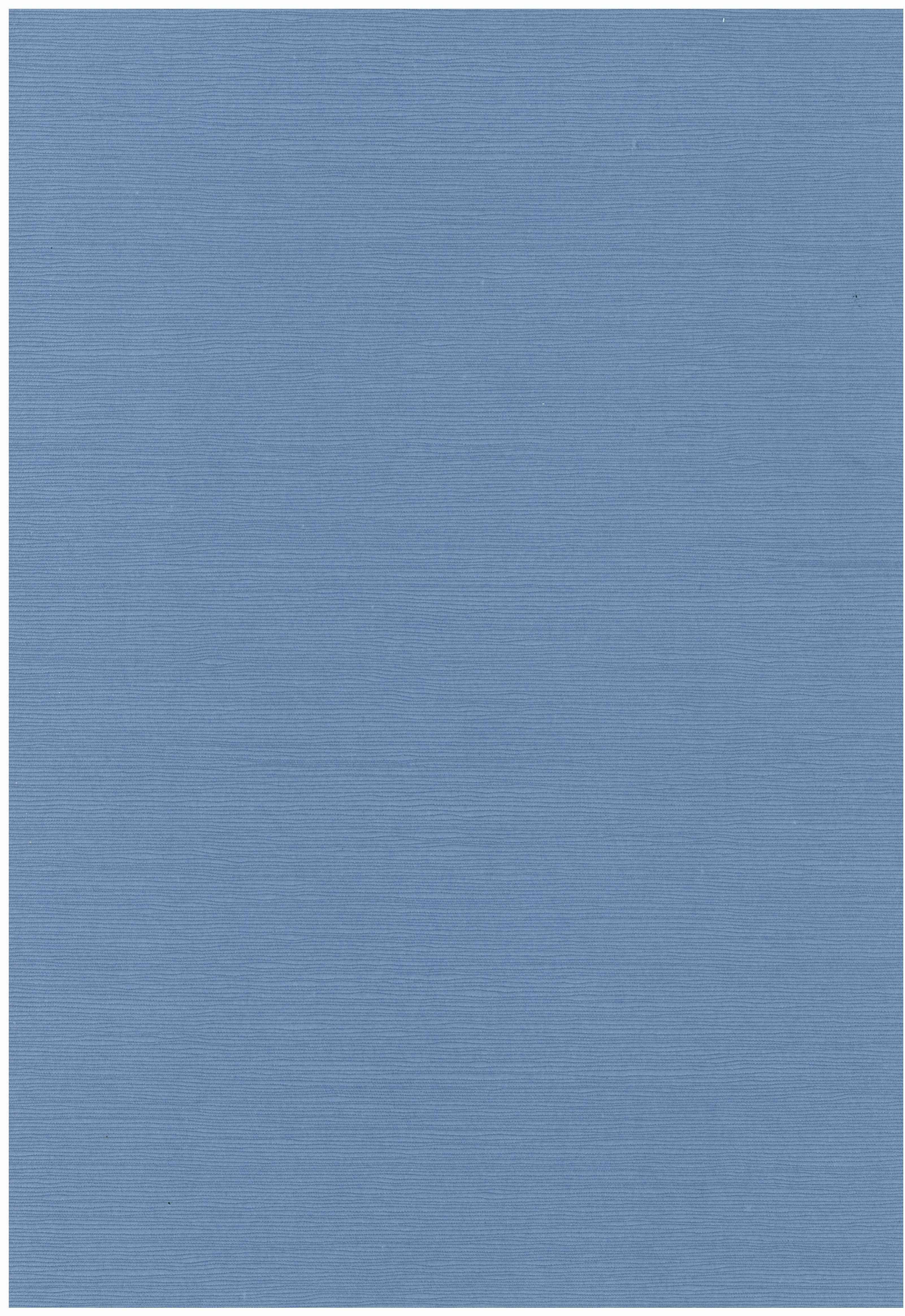
Another important issue of PEG-IFN/ribavirin treatment is adherence to treatment. Because dose reductions may delay the time until serum viral clearance, patients in whom the dosage of IFN and ribavirin was reduced during therapy were excluded in the present study. However, there are many patients in whom the dosage of drugs has to be reduced during therapy for a wide variety of clinical reasons. If reducing dosage before the predicted undetectable time point, administration of IFN for longer periods should be considered.

In conclusion, we created a formula for predicting the undetectable time point in patients treated with PEG-IFN- α -2b/ribavirin combination therapy. Viral eradication is the ultimate objective of IFN-based therapy, but many patients failed to achieve viral eradication for some reason. Because our prediction formula for the undetectable time point was made with a small population, it is necessary to correct it by further analysis with a larger population. However, an early viral response reflects efficacy of the therapy, and the estimation of an undetectable time point by our formula would be useful for determining the optimal duration of treatment in the early period of the therapy for each chronic hepatitis C patient.

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分冊2

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肝炎等克服緊急対策研究事業（肝炎分野）

ウイルス性肝炎における最新の治療法の
標準化を目指す研究に関する研究

平成23年度 総括・分担研究報告書

研究代表者 熊田 博光

平成24（2012）年3月

V. 研究成果の刊行物・別刷

Original Article

Cancer preventive effect of pegylated interferon α -2b plus ribavirin in a real-life clinical setting in Japan: PERFECT interim analysis

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Aim: This study was conducted to clarify the incidence of hepatocellular carcinoma (HCC) and the factors contributing to its occurrence by following chronic hepatitis C patients who received pegylated interferon (PEG-IFN) α -2b plus ribavirin (RBV) combination therapy.

Methods: Patients who received PEG-IFN α -2b and RBV combination therapy with no history of HCC or HCC within 3 months after the start of treatment were observed for the onset of HCC at 67 centers.

Results: Sustained virological response (SVR) was observed in 999 (53.5%) of 1865 patients eligible for analysis. During the observation period (median duration: 4 years and 3 months), HCC developed in 59 patients (3.1%). A significant difference was observed in the 5-year cumulative incidence of HCC between SVR and non-SVR patients (1.1% vs. 7.1%). Factors contributing to HCC selected in multivariate analysis were therapeutic efficacy, sex, age, alanine aminotransferase (ALT) level at 24 weeks after the end of treatment, and platelet count. Non-SVR patients with ALT improvement after the end of treatment had a significantly lower 5-year cumulative incidence of HCC than those without (3.4% vs. 11.0%). HCC

developed in 10 patients who achieved SVR, and multivariate analysis indicated that ALT level at 24 weeks after the end of treatment was the only significant factor contributing to HCC.

Conclusion: Several known risk factors for HCC contributed to HCC in patients who received PEG-IFN α -2b and RBV combination therapy, and ALT abnormality after the end of treatment contributes to the onset of HCC in both non-SVR and SVR patients.

Key words: alanine aminotransferase, chronic hepatitis C virus, hepatocellular carcinoma, pegylated interferon, ribavirin

Abbreviations: AFP, alpha fetoprotein; ALT, alanine aminotransferase; BR, biochemical response; CHC, chronic hepatitis C; HCC, hepatocellular carcinoma; IFN, interferon; LVR, late virological response; NR, no response; NVR, non-virological response; PEG-IFN, pegylated interferon; RBV, ribavirin; SVR, sustained virological response; TR, transient response.

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INTRODUCTION

THE INCREASE IN the incidence of hepatocellular carcinoma (HCC) in Japan peaked in 2004 and is now in a declining trend.¹ The HCC mortality rate, however, is still particularly high among developed countries,² and even now nearly 35 000 people die

annually from HCC. In Japan, about 70% of patients diagnosed with HCC are positive for hepatitis C virus antibody.³ The hepatitis C virus infection rate² and incidence of HCC both increase with the age of the patient,⁴ and curing chronic hepatitis C (CHC) to reduce HCC and deaths due to HCC is a pressing issue.

With the discovery of interferon (IFN), CHC became a curable disease, and with the addition of ribavirin (RBV), therapeutic outcomes have improved dramatically. Currently, about 50%^{5–8} of patients with HCV genotype 1b and high virus load and more than 80%⁹ of genotype 2 patients achieve sustained virologic response (SVR), and the SVR rate is reported to improve further with long-term treatment^{10,11} and with combination therapy plus a statin.¹²

The efficacy achieved with these IFN therapies is also reported to lead to the inhibition of the onset of HCC and deaths due to HCC^{13–19}, but only a few reports are available of long-term observation of patients receiving PEG-IFN α plus RBV combination therapy.

We therefore examined the HCC preventive effect of combination therapy in 1865 patients who received PEG-IFN α -2b and RBV.

METHODS

Patients and treatment

PERFECT (THE PEG-IFN and Ribavirin, Find Evidence of Chronic Hepatitis C Therapy in Tokyo) Study Group, consisting of 67 centers in Tokyo and Yamanashi Prefecture, conducted a retrospective study to investigate the efficacy and safety of PEG-IFN α -2b plus RBV in CHC patients in a real-life clinical setting. The participating centers, targeted patients, and the treatment method have already been reported¹⁰ and are summarized below.

Patients seen from December 2004 who completed PEG-IFN α -2b plus RBV combination therapy by September 2007 were registered regardless of genotype, history of IFN treatment, or alanine aminotransferase (ALT) levels. Excluded from this study were pregnant or possibly pregnant and lactating women, and patients with severe heart disease, chronic kidney failure or creatinine clearance of ≤ 50 mL/min, current or history of severe psychiatric disorder, and autoimmune hepatitis. Doses of PEG-IFN α -2b and RBV and dose adjustment followed the Japanese package insert. The duration of treatment was 48 weeks, the standard of care for patients with genotype 1 and high virus

load. In patients with late viral response (LVR) who did not achieve viral negativity by week 12, treatment could be extended up to 72 weeks. Patients other than those with genotype 1 and high virus load were treated for 24 weeks.

Included in this analysis were the patients registered in the PERFECT Study who had no history of HCC and for whom SVR/non-SVR status could be confirmed. The patients who developed HCC within 3 months of the start of treatment were excluded from analysis to rule out the possibility of inclusion of patients with HCC already present at the start of treatment.

The start of the follow-up period was defined as the first day of PEG-IFN α -2b and RBV treatment. The patients were monitored for the onset of HCC by routine follow-up methods practiced by each center. The diagnosis of HCC was based on the presence of typical hypervascular characteristics on angiography in addition to the findings on computed tomography and ultrasonography. Microscopic examination of fine-needle biopsy specimens was performed in patients whose angiograms did not demonstrate a typical image of HCC.

This multicenter study was approved by the institutional review board of each participating center. The study protocol was carried out according to the ethical guidelines of the 1975 Declaration of Helsinki, and informed consent was obtained from each patient.

Statistical analysis

All statistical analyses were performed using SAS, version 9.13 (SAS Institute, Cary, NC, USA). Intergroup comparison of background variables was performed by Fisher's exact test and Mann-Whitney *U*-test.

The cumulative incidence of HCC was calculated by the Kaplan-Meier method, and intergroup comparison was conducted using the log-rank test. The determination of the factors contributing to HCC was conducted by Cox proportional hazards regression model using a stepwise procedure, incorporating the factors exhibiting $P < 0.2$ by the log-rank test and excluding factors with more than 30% of values missing. The determination of factors associated with biochemical response (BR) was conducted by a stepwise procedure using the results of logistic univariate analysis ($P < 0.2$) in logistic multivariate analysis.

All tests were two-sided, with a significance level set at $P < 0.05$.

RESULTS

Study population

A TOTAL OF 1865 subjects, consisting of 999 SVR patients (SVR rate 53.5%) and 866 non-SVR patients, were eligible for analysis. Of the non-SVR patients, 441 had transient response (TR) defined as viral negativity achieved during treatment (relapse: 408, virus breakthrough: 33), 400 patients had non-virological response (NVR) defined as viral negativity not being achieved, and the change in viral load during treatment was not known for 25 patients.

The duration of observation ranged from 3 months to 5 years and 8 months, with a median of 4 years and 3 months.

During the observation period, HCC developed in 59 patients (3.1%). Between patients who developed HCC and those who did not, significant differences in background factors were detected in age ($P < 0.0001$), hepatic fibrosis ($P = 0.0002$), virological efficacy ($P < 0.0001$), ALT levels ($P = 0.0089$), ALT level at 24 weeks after the end of treatment (≤ 40 vs. > 40 IU/L) ($P < 0.0001$), platelet count ($P = 0.0001$), serum albumin ($P = 0.0062$), and alpha fetoprotein (AFP) ($P < 0.0001$) (Table 1).

Virological efficacy and incidence of HCC

The 5-year cumulative incidence of HCC by the Kaplan-Meier method was 1.1% in SVR patients and 7.1%

in non-SVR patients, a difference that was significant ($P < 0.001$) (Fig. 1). No significant difference was observed in the incidence of HCC between TR and NVR patients among non-SVR patients, but the difference between TR and SVR patients was significant ($P < 0.0001$) (Fig. 2). This trend was also observed regardless of gender, with no significant difference in the incidence of HCC observed between TR and NVR in either male or female patients and a significant difference observed between TR and SVR in both male patients ($P = 0.0007$) and female ($P = 0.0065$) patients.

Factors contributing to HCC

The factors contributing to HCC selected in the multivariate analysis were therapeutic efficacy (SVR vs. NVR), sex, age (< 60 vs. ≥ 60 years), ALT level at 24 weeks after the end of treatment (≤ 40 vs. > 40 IU/L), and platelet count (< 10 vs. $\geq 10 \times 10^3/\text{mm}^3$) (Table 2).

Biochemical response and incidence of HCC in non-SVR patients

Since ALT levels at 24 weeks after the end of treatment was selected as one factor contributing to HCC, the changes in ALT levels and onset of HCC were examined in 514 non-SVR patients with a pretreatment ALT level of more than 40 IU/L whose ALT level at 24 weeks after the end of treatment was obtained. Of these 514

Table 1 Patient background by onset of hepatocellular carcinoma (HCC) (1865 patients)

Factor	With onset of HCC (n = 59)	Without onset of HCC (n = 1806)	P-value
Gender (male/female)	40/19	1014/792	0.0832
Age	62 (44–74)	56 (17–77)	< 0.0001
Diabetes (yes/no/unknown)	6/33/20	100/1040/666	0.1539
Hypertension (yes/no/unknown)	4/6/49	116/569/1121	0.0763
Alcohol abuse (yes/no/unknown)	11/16/32	195/493/1118	0.1930
Fibrosis (0/1/2/3/4/unknown)	0/12/13/15/4/15	57/573/355/205/56/560	0.0002
Genotype (1/2/3/unknown)	52/5/0/2	1421/365/2/18	0.0876
Effect of IFN (SVR/non-SVR)	10/49	989/817	< 0.0001
Body mass index (kg/m ²)	22.6 (14.2–34.0)	22.9 (14.9–41.2)	0.8546
ALT (IU/L)	79 (24–343)	60 (8–984)	0.0089
ALT at 24 weeks after end of treatment (IU/L) (≤ 40 / > 40 /unknown)	16/30/13	1105/352/349	< 0.0001
Platelet count ($\times 10^3/\text{mm}^3$)	13.3 (4.3–22.2)	16.3 (3.6–213.3)	0.0001
Serum albumin (g/dL)	3.9 (2.9–4.7)	4.1 (2.8–5.9)	0.0062
AFP (ng/mL)	13 (2.2–327.9)	5 (0–875)	< 0.0001

Median (minimum – maximum).

AFP, alpha fetoprotein; ALT, alanine aminotransferase; IFN, interferon; SVR, sustained virological response.

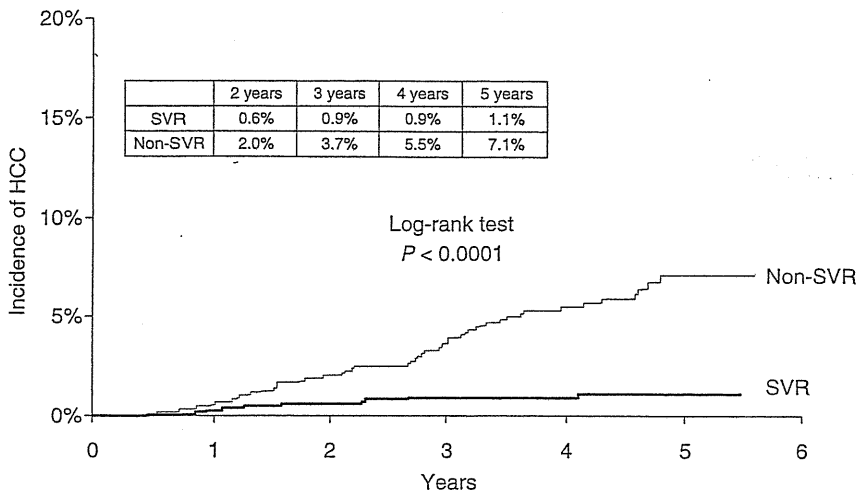


Figure 1 Onset of hepatocellular carcinoma (HCC) by therapeutic efficacy (1865 patients) (sustained virological response [SVR] vs. non-virological response [NVR]). The cumulative incidence of HCC was calculated by the Kaplan-Meier method. The difference between SVR and non-SVR was examined using the log-rank test.

patients, ALT level at 24 weeks after the end of treatment was reduced to less or equal to 40 IU/L (biochemical response: BR) in 234 patients, and the remaining 280 patients had values of more than 40 IU/L (non-BR). There were significant differences between BR and non-BR patients in the background factors of pretreatment ALT level, age, hepatic fibrosis, platelet count, AFP, and treatment duration. Selected as the factors contributing to BR in non-SVR patients in the multivariate analysis were TR, long treatment duration, and high platelet count before the start of treatment (Table 3).

The 5-year cumulative incidence of HCC was 3.4% in BR patients and 11.0% in non-BR patients, and the difference in incidence was significant ($P = 0.0012$) (Fig. 3). The 5-year cumulative incidence of HCC in

male patients was 3.6% in BR patients and 13.9% in non-BR patients, and the difference was significant ($P = 0.0012$). In female patients, however, it was 3.5% in BR patients and 7.6% in non-BR patients, and although the incidence of HCC was lower in BR patients, the difference was not significant ($P = 0.0706$).

Incidence of HCC in patients with normal pretreatment ALT levels

When the incidence of HCC was compared between SVR (288) and non-SVR (214) patients among 502 patients with pretreatment ALT levels less or equal to 40 IU/L, the 5-year cumulative incidence of HCC was 0% in SVR patients and 4.8% in non-SVR patients, indicating a significant difference ($P = 0.0005$) between the groups

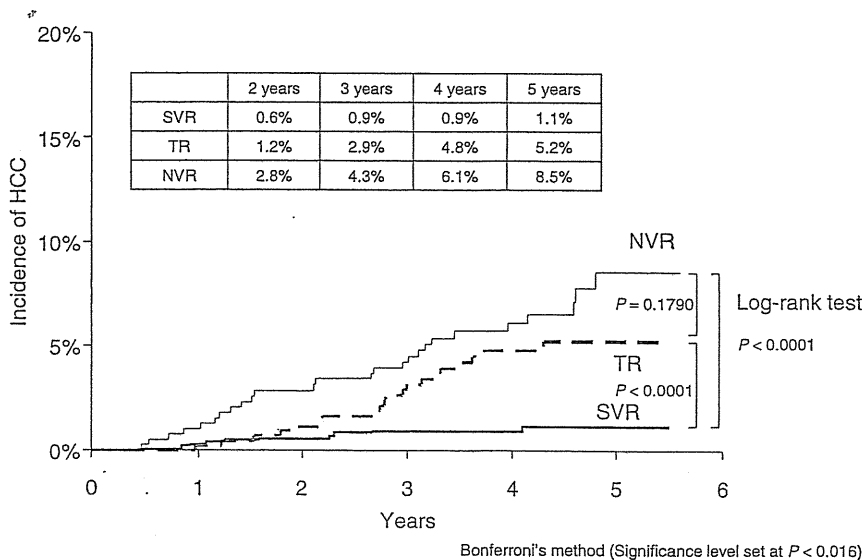


Figure 2 Onset of hepatocellular carcinoma (HCC) by therapeutic efficacy (sustained virological response [SVR] vs. transient response [TR] vs. non-virological response [NVR]). The cumulative incidence of HCC was calculated by the Kaplan-Meier method. The difference between each group was examined using the log-rank test (Bonferroni's Method, significance level set at $P < 0.016$).

Table 2 Factors contributing to hepatocellular carcinoma (all patients) Cox regression analysis (multivariate)

		Hazard ratio	95% confidence interval	P-value
Therapeutic efficacy	SVR	1		
	TR	2.055	0.709-5.955	0.1845
	NVR	2.985	1.036-8.601	0.0428
Sex	Male	1		
	Female	0.486	0.243-0.969	0.0405
Age	<60	1		
	≥60	2.005	1.035-3.883	0.0391
ALT at 24 weeks after end of treatment (IU/L)	≤40	1		
	>40	3.940	1.754-8.850	0.0009
Platelet count (×10 000/mm ³)	<10	1		
	≥10	0.363	0.169-0.779	0.0093
Serum albumin (g/dL)	<4	1		
	≥4	0.594	0.310-1.140	0.1175

Factors examined: Of the 15 factors exhibiting $P < 0.2$ by log-rank test (therapeutic efficacy [1: SVR, 2: TR, 3: NVR], genotype [1: 1, 2: 2 or 3], sex [1: male, 2: female], age [1: <60, 2: ≥60], pre ALT [1: ≤40, 2: >40], +24 w ALT [1: ≤40, 2: >40], pre PLT [1: <10, 2: ≥10], pre ALB [1: <4, 2: ≥4], pre AFP [1: <20, 2: ≥20], grade [1: A0-1, 2: A2-3], stage [1: F0-1, 2: F2-4], hypertension [1: absent, 2: present], diabetes [1: absent, 2: present], heavy drinking [1: absent, 2: present], and treatment duration [1: ≤48 W, 2: >48 W]), nine factors were examined. Excluded were factors for which approximately 30% of values were missing (AFP, grade, stage, diabetes, hypertension, and heavy drinking).

AFP, alpha fetoprotein; ALB, albumin; ALT, alanine aminotransferase; NVR, non-virological response; PLT, platelet count; SVR, sustained virological response; TR, transient response.

(Fig. 4). This tendency is also observed with the 280 patients having pretreatment ALT levels of less or equal to 30 IU/L.

Onset of HCC in SVR patients

Hepatocellular carcinoma developed in 10 patients who achieved SVR. Multivariate analysis indicated that in SVR patients, the ALT level at 24 weeks after the end of treatment was the only significant factor contributing to HCC ($P = 0.0007$) (Table 4). In SVR patients with an ALT level of more than 40 IU/L at 24 weeks after the end of treatment, the 5-year cumulative incidence of HCC was 5.6% while the incidence in patients with an ALT

level of less or equal to 40 IU/L was 0.7%, indicating a significant difference ($P = 0.0004$) between the groups (Fig. 5).

DISCUSSION

THIS STUDY INDICATED that the risk factors for HCC after PEG-IFN α -2b plus RBV combination therapy are NVR, male sex, older age, low platelet count, and an ALT level of more than 40 IU/L at 24 weeks after the end of treatment.

Kurokawa *et al.*¹⁶ tracked 403 patients receiving PEG-IFN α -2b plus RBV combination therapy for a median

Table 3 Factors contributing to biochemical response in non-sustained virological response patients Logistic regression analysis (multivariate)

		Odds ratio	95% confidence interval	P-value
Virological response	NVR	1	1.480-3.203	0.0001
	TR	2.177		
Treatment duration	per week	1	1.000-1.022	0.0424
		1.011		
Platelet count	per 10 000/mm ³	1	1.018-1.099	0.0043
		1.058		

Factors examined were those exhibiting $P < 0.2$ by log-rank test: Genotype, virological response (TR/NVR), treatment duration, pre platelet count, diabetes, stage, and alanine aminotransferase (ALT).

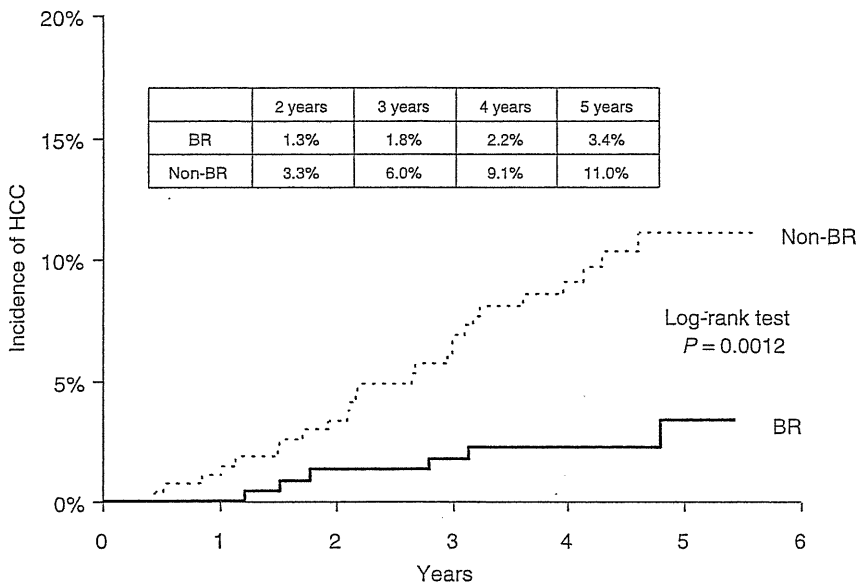


Figure 3 Alanine aminotransferase (ALT) normalization and hepatocellular carcinoma (HCC) in non-virological response [NVR] patients. The cumulative incidence of HCC was calculated by the Kaplan–Meier method. Log-rank test was used to study the difference between biochemical response (BR) and non-BR.

duration of 36.5 months and reported that in multivariate analysis, virological efficacy (SVR vs. non-SVR), age, and hepatic fibrosis were selected as the factors contributing to HCC. Arase *et al.*¹⁵ tracked 500 patients 60 years of age and older receiving IFN alone or in combination with RBV for an average duration of 7.4 years and also reported that the factors contributing to HCC are virological efficacy (SVR vs. non-SVR), age, and hepatic fibrosis. In our study, hepatic fibrosis was not tested with multivariate analysis because more than 30% of values were missing, but it was selected as a significant

factor in the univariate analysis. Platelet count was selected in multivariate analysis, and the results in our study are therefore considered to be generally consistent with these reports.

The results of the present study indicated no significant difference between TR and NVR in non-SVR in stratified cumulative incidence of HCC, and although there was a significant difference between SVR and both TR and NVR, TR was not significant against SVR in multivariate analysis, and NVR was the only significant factor. Kurokawa *et al.*¹⁶ reported the same results by

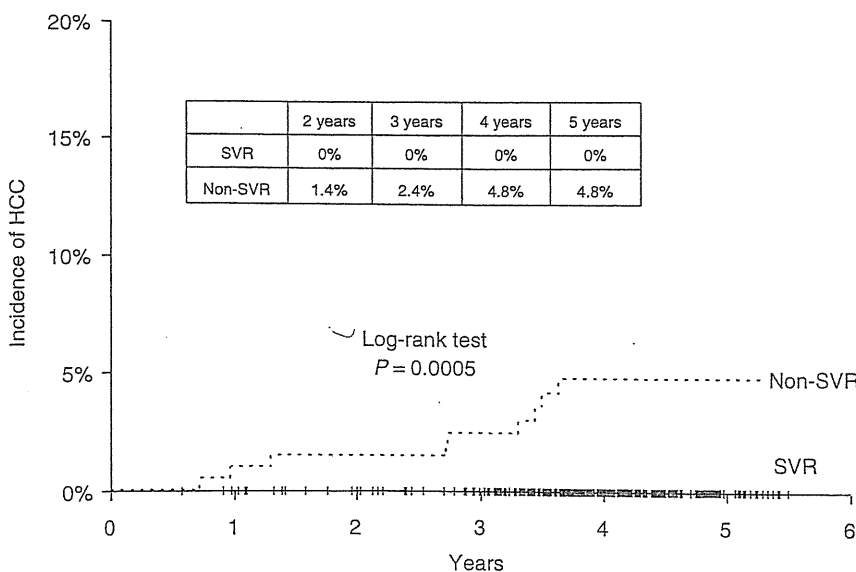


Figure 4 Therapeutic efficacy and hepatocellular carcinoma (HCC) in patients with pretreatment alanine aminotransferase (ALT) of ≤ 40 . The cumulative incidence of HCC was calculated by the Kaplan–Meier method. Log-rank test was used to study the difference between sustained virological response (SVR) and non-virological response (NVR).

Table 4 Factors contributing to hepatocellular carcinoma (sustained virological response [SVR] patients) Cox regression analysis (multivariate)

		Hazard ratio	95% confidence interval	P-value
ALT at 24 weeks after end of treatment (IU/L)	≤40	1		
	>40	16.054	3.235-79.681	P = 0.0007
Serum albumin (g/dL)	<4	1		
	≥4	0.196	0.036-1.073	P = 0.0603

Factors examined: Of the 10 factors exhibiting $P < 0.2$ by log-rank test (Genotype [1: 1, 2: 2 or 3], age [1: <60, 2: ≥60], pre ALT [1: ≤40, 2: >40], +24 w ALT [1: ≤40, 2: >40], pre PLT [1: <10, 2: ≥10], pre ALB [1: <4, 2: ≥4], pre AFP [1: <20, 2: ≥20], grade [1: A0-1, 2: A2-3], stage [1: F0-1, 2: F2-4], and diabetes [1: absent, 2: present]), 5 factors were examined. Excluded were pre ALT, with which HCC did not occur in the ≤40 group, and AFP, grade, stage, and diabetes, the factors for which approximately 30% of values were missing. ALB, albumin; ALT, alanine aminotransferase; PLT, platelet count;

comparing cumulative incidences of HCC among SVR, TR and NVR (the results of multivariate analysis are not known). On the other hand, Morgan *et al.*,¹⁹ in their follow-up study of the HALT-C Trial, reported that there was no difference between TR and NVR in the incidence of HCC or death related to hepatic disease/liver transplantation, but when all hepatic-related outcomes were examined, a significantly superior inhibition was observed with TR compared to NVR. Our results also demonstrate that although the difference is not significant, the cumulative incidence of HCC is lower in TR patients than in NVR patients, especially in male

patients (5-year cumulative incidence of HCC: 6.0% vs. 10.7%). It is therefore necessary to continue to observe this for an extended number of years.

Our results study indicated that in non-SVR patients, whether or not ALT level is normalized after treatment is a greater contributing factor for the onset of HCC than virological response. Normalization of ALT has already been reported to contribute to the inhibition of the onset of HCC even under HCV-positive conditions,^{13,20} and this was found to apply also to non-SVR patients receiving PEG-IFN α plus RBV combination therapy.

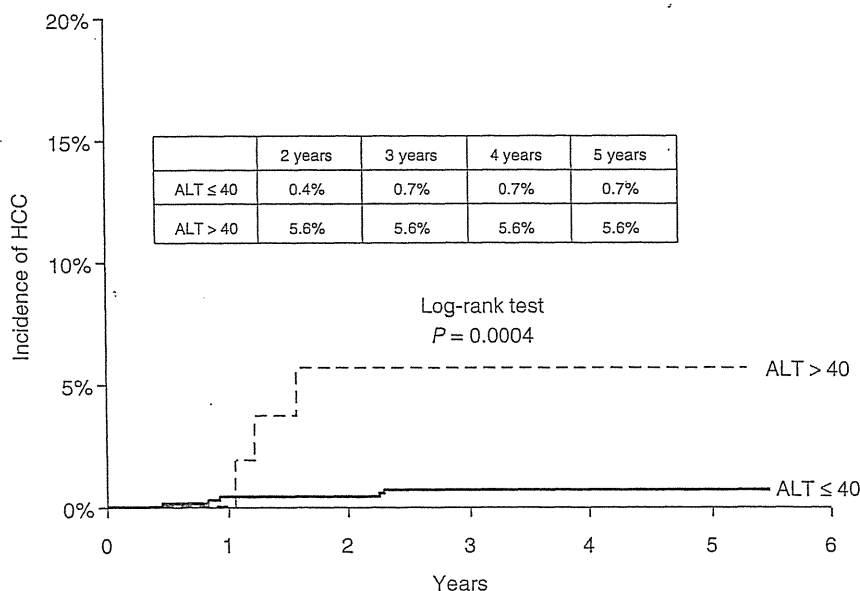


Figure 5 Alanine aminotransferase (ALT) levels at 24 weeks after end of treatment and hepatocellular carcinoma (HCC) in patients with sustained virological response (SVR). The cumulative incidence of HCC was calculated by the Kaplan-Meier method. Log-rank test was used to study the difference between SVR patients with an ALT level of more than 40 IU/L at 24 weeks after the end of treatment and those with an ALT level of less or equal to 40 IU/L.

Our investigation also indicated that abnormal ALT levels also contribute to the onset of HCC in SVR patients. In multivariate analysis, the only contributing factor to the development of HCC in SVR patients was ALT levels at 24 weeks after the end of treatment. However, the onset of HCC is also observed in patients who achieve ALT normalization after treatment, and it is therefore difficult to conclude that ALT is the only risk factor for the onset of HCC in SVR patients. The potential involvement of hepatic fibrosis as well as hepatic steatosis, which persists after viral clearance²¹ and small amounts of virus remaining in the liver²² have also been suggested as risk factors for the onset of HCC in SVR patients. Further detailed investigation is therefore necessary. Nevertheless, regardless of whether or not SVR is achieved, it is clear that abnormal ALT is a factor affecting the onset of HCC. Careful monitoring of changes in ALT and instituting measures to normalize ALT are therefore important regardless of whether or not SVR is achieved.

With the administration of PEG-IFN α plus RBV combination therapy tailored for individual patients and the addition of direct-acting antivirals to current combination therapy, the therapeutic outcomes for CHC will continue to further improve, and the number of patients who develop hepatic cirrhosis and HCC from hepatitis C can be expected to decrease in the future. HCC can occur even in patients achieving SVR, and even if SVR is not achieved, as long as the possibility to inhibit the onset of HCC remains, there will be a need for various treatment innovations to achieve the prevention of HCC, the ultimate goal of treatment of CHC.

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APPENDIX I

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Sequences in the Interferon Sensitivity-Determining Region and Core Region of Hepatitis C Virus Impact Pretreatment Prediction of Response to PEG-Interferon Plus Ribavirin: Data Mining Analysis

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The aim of the present study was to clarify the significance of viral factors for pretreatment prediction of sustained virological response to pegylated-interferon (PEG-IFN) plus ribavirin (RBV) therapy for chronic hepatitis C using data mining analysis. Substitutions in the IFN sensitivity-determining region (ISDR) and at position 70 of the HCV core region (Core70) were determined in 505 patients with genotype 1b chronic hepatitis C treated with PEG-IFN plus RBV. Data mining analysis was used to build a predictive model of sustained virological response in patients selected randomly ($n = 304$). The reproducibility of the model was validated in the remaining 201 patients. Substitutions in ISDR (odds ratio = 9.92, $P < 0.0001$) and Core70 (odds ratio = 1.92, $P = 0.01$) predicted sustained virological response independent of other covariates. The decision-tree model revealed that the rate of sustained virological response was highest (83%) in patients with two or more substitutions in ISDR. The overall rate of sustained virological response was 44% in patients with a low number of substitutions in ISDR (0–1) but was 83% in selected subgroups of younger patients (<60 years), wild-type sequence at Core70, and higher level of low-density lipoprotein cholesterol (LDL-C) (≥ 120 mg/dl). Reproducibility of the model was validated ($r^2 = 0.94$, $P < 0.001$). In conclusion, substitutions in ISDR and Core70 of

HCV are significant predictors of response to PEG-IFN plus RBV therapy. A decision-tree model that includes these viral factors as predictors could identify patients with a high probability of sustained virological response. *J. Med. Virol.* 83:445–452, 2011.

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KEY WORDS: data mining; decision-tree model; ISDR; core region; PEG-interferon

INTRODUCTION

The combination of pegylated-interferon (PEG-IFN) plus ribavirin (RBV) is currently the most effective therapy for chronic hepatitis C, but the rate of sustained virological response after 48 weeks of therapy is about 50% in patients with HCV genotype 1b and a high HCV

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RNA titer [Manns et al., 2001; Fried et al., 2002]. The most reliable means to predict sustained virological response is to monitor the viral response during the early weeks of treatment. The early virological response, defined as undetectable HCV RNA at week 12, is associated with a high rate of sustained virological response [Davis et al., 2003; Lee and Ferenci, 2008]. The rapid virological response, defined as undetectable HCV RNA at week 4 of therapy, is even more predictive of sustained virological response than the early virological response [Jensen et al., 2006; Yu et al., 2008; Izumi et al., 2010]. However, there is no established means that predicts the virological response before commencing treatment. Recent reports have revealed that single nucleotide polymorphisms located near the *IL28B* gene show a strong association with the response to PEG-IFN plus RBV therapy [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009; Kurosaki et al., 2010c]. These findings indicate that the host factor is an important determinant of the treatment response. On the other hand, the present study's authors have reported that a stretch of 40 amino acids in the NS5A region of HCV, designated as the interferon sensitivity-determining region (ISDR), has a close association with the virological response to interferon mono-therapy [Enomoto et al., 1995, 1996; Kurosaki et al., 1997]. More recently, amino acid substitutions at positions 70 and 91 of the core region have been reported to be associated with response to PEG-IFN plus RBV combination therapy [Akuta et al., 2005, 2007a]. The impact of these HCV substitutions on treatment response is yet to be validated.

Decision-tree analysis is a core component of data mining analysis that can be used to build predictive models [Breiman et al., 1980]. This method has been used to define prognostic factors in various diseases such as prostate cancer [Garzotto et al., 2005], diabetes [Miyaki et al., 2002], melanoma [Averbook et al., 2002; Leiter et al., 2004], colorectal carcinoma [Zlobec et al., 2005; Valera et al., 2007], and liver failure [Baquerizo et al., 2003]. The major advantage of decision-tree analysis over logistic regression analysis is that the results of analysis are easy to understand. The simple allocation of patients into subgroups by following the flowchart form could define the predicted possibility of outcome [LeBlanc and Crowley, 1995].

Decision-tree analysis was used for the prediction of early virological response (undetectable HCV RNA within 12 weeks of therapy) to PEG-IFN and RBV combination therapy in chronic hepatitis C [Kurosaki et al., 2010a], and more recently for the pretreatment prediction of sustained virological response [Kurosaki et al., 2010b]. In the latter model, simple and noninvasive standard tests were used as parameters; specialized tests such as viral mutations and host genetics, or invasive tests such as liver histology, were not included because the aim of that model was for use in general medical practice, especially in some countries or areas where resources are limited. Thus, the impact of viral mutations or liver histology was not considered in that model.

The present study examined whether including viral substitutions in ISDR and the core region of HCV in the decision-tree model could improve its predictive accuracy over the previous model to identify chronic hepatitis C patients who are likely to respond to PEG-IFN plus RBV therapy.

MATERIALS AND METHODS

Patients

This multicenter retrospective cohort study included 505 chronic hepatitis C patients who were treated with PEG-IFN alpha-2b and RBV at Musashino Red Cross Hospital, Toranomon Hospital, Tokyo Medical and Dental University, Osaka University, Nagoya City University Graduate School of Medical Sciences, Yamanashi University, Osaka City University, and their related hospitals. The inclusion criteria were: (1) genotype 1b, (2) HCV RNA titer higher than 100 kIU/ml by quantitative PCR (Cobas Amplicor HCV Monitor v 2.0, Roche Diagnostic Systems, Pleasanton, CA), (3) no coinfection with hepatitis B virus or human immunodeficiency virus, (4) no other causes of liver disease, (5) patients having undergone liver biopsy prior to IFN treatment, (6) number of substitutions in ISDR having been determined, (7) substitutions in the amino acid positions 70 and 91 of the core region having been determined, and (8) completion of at least 12 weeks of therapy. Patients were treated with PEG-IFN alpha-2b (1.5 µg/kg) weekly plus RBV. The daily dose of RBV was adjusted by weight: 600 mg for <60 kg, 800 mg for 60–80 kg, and 1,000 mg for >80 kg. For the analysis, patients were assigned randomly to either the model building (304 patients) or validation (201 patients) groups. There were no significant differences in the clinical backgrounds between these two groups (Table I). Informed consent was obtained from each patient. The study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional review committees of all concerned hospitals.

Laboratory Tests

Hematological tests, blood chemistry, and HCV RNA titer were analyzed before therapy and at least once every month during therapy. Sequences of ISDR and the core region of HCV were determined by direct sequencing after amplification by reverse transcription and polymerase chain reaction as reported previously. At position 70 of the core region (Core70), arginine was defined as the wild type, and glutamine or histidine was defined as the mutant type. At position 91 of the core region, leucine was defined as the wild type and methionine was defined as the mutant type, as described previously [Akuta et al., 2005]. Fibrosis and activity were scored according to the METAVIR scoring system [Bedossa and Poinard, 1996]. Fibrosis was staged on a scale of 0–4: F0 (no fibrosis), F1 (mild fibrosis), F2 (moderate fibrosis), F3 (severe fibrosis), and F4 (cirrhosis). Activity of necroinflammation was graded on a scale of