

Nicoliniら<sup>95)</sup>は、同様のエピルピシン使用DEBを肝移植前の肝細胞癌患者8例に投与し、抗癌剤非使用塞栓 (Embosphere<sup>TM</sup>) 例8例と比較した。治療は栄養血管が残存する限り2カ月1回行い、CTは3カ月毎に評価した。DEB群ではCRが77%の病変に得られたが、単純塞栓群では27.2%のみであった。組織学的壊死率も有意 ( $p=0.043$ ) にDC Bead群で高く、重大な有害事象もなかったと、DC Beadを評価している。

Poggiら<sup>96)</sup>は、白金製剤Oxaliplatin使用のDrug-eluting microsphere (HepaSphere<sup>TM</sup>) を用いて、薬物動態のpreliminaryな結果を報告している。Oxaliplatinはmicrosphereに完全に結合し、腫瘍部位には周囲肝の約20倍の組織濃度になることが判明したとしている。Grossoら<sup>97)</sup>は、ドキシソルピシンまたはエピルピシンとHepaSphereを平均径42mmの肝細胞癌治療に用いた50例のpreliminaryなデータを示している。1カ月後の奏効率はCRが48%、PRが36%、SDが16%であったが、6カ月後でもCRが51.6%、PRが25.8%であると、反復治療の効果が報告されている。

## 2. 動注化学療法の進歩

門脈浸潤を伴ったりTACE不応・TACE不能となったりした進行肝細胞癌に対する治療は、最新の治療ガイドラインではソラフェニブが推奨されている。わが国では現在でも、これら進行肝癌症例に5-FU+インターフェロン、5-FU+シスプラチンなどの持続動注化学療法が選択されることが多い。

これまではほとんどわが国のデータしか報告されておらず、持続動注化学療法は世界のコンセンサスにはなっていないが、2009年以後韓国から2報の研究が出された。Wooら<sup>98)</sup>は、多施設無作為化比較試験で、5-FU+シスプラチン持続動注療法の際の薬剤を低用量群 (それぞれ170mg/

m<sup>2</sup>を1~5日目、7mg/m<sup>2</sup>を1~5日目) と高用量群 (それぞれ500mg/m<sup>2</sup>を1~3日目、60mg/m<sup>2</sup>を2日目に) に分けて効果を比較した。何れのレジメンも効果的だったが、高用量群では奏効率16.7%、生存期間中央値193日で、やや治療効果が良好であった。Eunら<sup>99)</sup>は、52例に5-FU+シスプラチン持続動注療法 (FP療法) を行ったが、このうち31例にはインターフェロン $\alpha$ を加え、3者治療 (FPI) とした。FP療法、FPI療法での奏効率は57.1%、19.4%で、有意差はなかったがFP療法の方が高率であり、インターフェロンを加える3者療法は奏効率・生存率にベネフィットはなかった。

Nagamatsuら<sup>100)</sup>は、低用量FP療法で、リピオドールをエマルジョン化して用いることの意義を論じた。通常の5-FU+シスプラチン持続動注療法で、シスプラチンをリピオドールとエマルジョンにして投与した51例では、CRが10例、PRが34例に得られ、奏効率が86.3%に達した。リピオドールを使用した低用量FP療法は、切除不能・門脈浸潤合併肝癌の有力な治療法であるとしている。

Hirookaら<sup>101)</sup>は、門脈浸潤を伴う進行肝癌に対して、動注化学療法 (HAI) を行う前に減量治療としてラジオ波焼灼療法を行うことの意味をhistorical controlを用いたretrospective研究で行った。RFA後にHAIを行った20例では30%にCR、55%にPRが得られたが、通常のHAIのみの群ではCRは0%、PRが33.3%と低かった。2年生存率はそれぞれ78.8%、16.9%で ( $p<0.0001$ )、HAI前RFA治療の意義が大きかったとしている。

## D. 肝癌に対する分子標的治療薬

### 1. ソラフェニブ (Sorafenib: ネクサバル<sup>TM</sup>)

Llovetら<sup>102)</sup>は、多国籍多施設の無作為化比較試験 (SHARP study) で、進行肝癌に対する分

子標的薬ソラフェニブの有効性を報告した。肝切除・局所治療・TACE非適応の602例の二重盲検試験で、ソラフェニブ400mgを1日2回投与群と無投与群に割り付けて生存期間をみたところ、無治療群の50%生存期間は7.9カ月に対してソラフェニブ群では10.7カ月であり、リスク比0.69 ( $p<0.001$ ) で有意に生存期間延長がみられた。画像診断的な腫瘍進展までの期間(中央値)は、無治療群2.8カ月に対してソラフェニブ群5.5カ月と有意に ( $p<0.001$ ) 腫瘍増殖抑制効果がみられたとしている。副作用は下痢・手足皮膚反応・低リン血症などがみられたが、耐えられる範囲であり、総合的にはこれら進行肝癌症例での第一選択治療とした。

SHARP Studyは、主として欧米人に対して行われた臨床試験で、C型肝炎感染例が多く、やや高齢者が多い背景であった。これに対し、同様な基準で進行肝癌を対象として、アジア人症例に対してソラフェニブの第III相無作為化比較試験が行われた<sup>103)</sup>。B型肝炎・若年者が多く、またSHARP studyよりもさらに進行した症例が多い背景であったが、ソラフェニブは全生存期間を4.2カ月から6.5カ月に延長し、死亡ハザードを0.68に低下させた。また腫瘍進展までの期間を1.4カ月から2.8カ月に延長し、そのハザード比0.57も含め、治療効果のインパクトはSHARP studyとほぼ同じものとなった。2010年にはこれら無作為化比較試験を含めたメタアナリシス<sup>104)</sup>が行われ、ソラフェニブ治療は、ソラフェニブを使用しない化学療法や無治療対象群より生存期間を有意に延ばすと報告されている。

早々とソラフェニブ治療の適応拡大に関する論文が出始めている。ソラフェニブの治療は、肝機能の良い肝癌進行例が対象であるが、Wörnsら<sup>105)</sup>はChild Bの15例、Child Cの4例に使用した経験を示し、Grade3/4の肝障害が頻発(23%)するが注意深い観察を行えば使用可能であると報

告している。韓国のKimら<sup>106)</sup>は、5-FU+シスプラチンによる化学療法無効の肝癌24例にソラフェニブを使用した。抗腫瘍効果では、奏効例はみられなかったがSDが14例(58.3%)にみられたとして、「ある程度の効果」を示した。ヨーロッパからはドキシソルピシン併用ソラフェニブ療法の第I相試験<sup>107)</sup>が16例で行われ、病変制御率69%と、ドキシソルピシン単独療法よりも勝っていたと報告している。さらに、TACEにソラフェニブを併用する第III相多施設無作為化比較試験が予定されているという論文<sup>108)</sup>も公開された。ソラフェニブの治療効果を向上させる目的で、テガフルMetronomic治療を併用することの意義<sup>109)</sup>も第II相臨床試験の結果として報告された。

高価で副作用が少ない薬剤であり、治療効果予測や効果判定法に関する見解もでている。Vincenziら<sup>110)</sup>は、早期に皮膚副作用が出現するとソラフェニブの治療効果予測ができると報告した。日本人よりも皮膚副作用の出にくい欧米人での検討ではあるが、Grade 1以上の皮膚障害であった29例では腫瘍制御率は48.3%であったのに対し、副作用のなかった例では19.4%と有意に低かった。また、Time-to-progressionも8.1カ月 vs 4.0カ月と有意差が認められた。Yauら<sup>111)</sup>はアジア人51例での第II相試験での検討を行い、B型肝炎が背景の肝癌でも同様な効果を示すが、肺転移症例では効果不良であるとした。治療効果判定の問題では、Maksimovicら<sup>112)</sup>は、ソラフェニブにより肝癌の血流が低下し壊死になる一方、出血も頻繁に起こるため、画像診断・機能診断には注意を要すると述べている。これら出血所見はたいいてい壊死所見よりも早く起こるとい

## 2. その他の分子標的治療薬

肝細胞癌に対する新規の分子標的薬は、開発段階で第I相試験以後のものがすでに30種類以上あり、続々と市場に現れる可能性がある。

治療効果と副作用の問題から、肝細胞癌に対してのスニチニブ（スーテント<sup>TM</sup>）は開発が中止となったが、スイスのKoeberleら<sup>113)</sup>は、進行肝癌症例における多施設第II相試験の結果を報告している。45例エントリーし、エンドポイントである12週間後の無進行生存率は33%と、「ある程度の効果」は得られたとまとめた。ラパチニブ（タイケルブ<sup>TM</sup>）の第II相試験が進行肝癌26例で行われた<sup>114)</sup>。EGFRとHER2/NEUの阻害剤であるラパチニブでは、奏効例はなく、3カ月以上のSDが23%にみられたのみで、病変非進行期間は1.9カ月と、やや劣る結果であった。

米国のThomasら<sup>115)</sup>は、進行肝癌に対してベバシズマブ（アバスタチン<sup>TM</sup>）とエルロチニブ（タルセバ<sup>TM</sup>）の併用投与を行う第II相試験の結果を報告した。16週時点での生存と病変非進行生存をエンドポイントとして、40症例の治療が行われた。奏効率（PR例）が25%、16週での病変非進行生存は62.5%、生存期間中央値は68週と極めて良好であったが、Grade 3以上の副作用は多く、強い倦怠感20%、高血圧15%、下痢10%、トランスアミナーゼ上昇10%、消化管出血12.5%、創感染5%、血小板減少2.5%のほか、蛋白尿・高ビリルビン血症・背部痛・高カリウム血症・食欲低下が各1例にみられた。肝癌に対する分子標的薬としてはかつてない効果を示しているが、副作用が忍容範囲かどうか問題と考えられる。台湾のHsuら<sup>116)</sup>は、ベバシズマブ（アバスタチン<sup>TM</sup>）とカペシタビン併用を第一選択とする進行肝癌治療の成績を発表した。45症例に治療が行われ、9%に奏効が得られ、52%に病変制御が可能であった。病変非進行生存の中央値2.9カ月、全生存期間の中央値が5.9カ月と「ほどほどの」結果であった。

Pinterら<sup>117)</sup>は、進行肝癌にサリドマイドを投与する第I相・第II相試験の結果を報告した。28例が登録され、2例がSDとなったが、他の26例

はPDであった。また、全生存期間の中央値は5.1カ月と、治療効果は不良であった。

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# Characterization of naturally occurring protease inhibitor-resistance mutations in genotype 1b hepatitis C virus patients

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## Abstract

**Background and aims** Protease inhibitor (PI)-resistant hepatitis C virus (HCV) variants may be present in substantial numbers in PI-untreated patients according to recent reports. However, influence of these viruses in the clinical course of chronic hepatitis C has not been well characterized.

**Methods** The dominant HCV nonstructural 3 (NS3) amino acid sequences were determined in 261 HCV genotype 1b-infected Japanese patients before pegylated interferon plus ribavirin (PEG-IFN/RBV) therapy, and investigated the patients' clinical characteristics as well as treatment responses including sustained virological response (SVR) rate. HCV-NS3 sequences were also determined in 39 non-SVR patients after completion of the therapy.

**Results** Four single mutations (T54S, Q80K, I153V, and D168E) known to confer PI resistance were found in 35 of 261 patients (13.4%), and double mutations (I153V plus

T54S/D168E) were found in 6 patients (2.3%). Responses to PEG-IFN/RBV therapy did not differ between patients with and without PI-resistance mutations (mutation group, SVR 48%; wild-type group, SVR 40%;  $P = 0.38$ ). On the other hand, two mutations appeared in two non-SVR patients after PEG-IFN/RBV therapy (I153V and E168D, 5.1%).

**Conclusions** PI-resistance-associated NS3 mutations exist in a substantial proportion of untreated HCV-1b-infected patients. The impact of these mutations in the treatment of PIs is unclear, but clinicians should pay attention to avoid further development of PI resistance.

**Keywords** HCV · Protease inhibitor · Naturally occurring viral resistance mutations

## Introduction

Hepatitis C virus (HCV) infects more than 170 million persons worldwide and thus represents a global health problem. At least 130 million infected individuals are chronic carriers of HCV and are at significant risk of developing liver cirrhosis and hepatocellular carcinoma [1]. The current standard treatment with pegylated interferon plus ribavirin (PEG-IFN/RBV) is complicated by frequent adverse reactions, and a sustained virologic response (SVR) can be achieved only in 50% of patients infected with the most prevalent genotype 1 [2]. In Japan, since 70% of patients are infected with intractable genotype 1b HCV, more effective treatments are urgently required.

A promising approach is the development of specifically targeted antiviral therapies for hepatitis C (STAT-C). HCV-specific protease inhibitors (PIs) target an essential step in HCV replication by blocking the nonstructural 3/4A (NS3/4A) protease-dependent cleavage of the HCV polyprotein

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[1]. Among these NS3/4A PIs, telaprevir, boceprevir, SCH446211, danoprevir (ITMN-191), naldaprevir (SCH900 518), and TMC435 are now under clinical trials [1, 3–7]. In PROVE1 and PROVE2 studies [3, 4] undertaken in North America and Europe, the SVR rate was favorable (67 and 69%, respectively) in a triple therapy regimen including telaprevir. In addition, some studies have suggested that shortening of treatment duration may be possible for patients who achieve a rapid virologic response (RVR) [8, 9].

However the sole use of STAT-C drugs, such as PIs, promotes production and selection of drug-resistant variants in patients experiencing viral rebound during treatment [3, 10, 11] as well as in HCV replicon experiments [11, 12]. Therefore, these drugs should be used in combination with the PEG-IFN/RBV to prevent the appearance of drug-resistant variants. However, Kuntzen et al. [13] demonstrated the presence of these drug-resistant variants in high frequencies (8.6–16.2%) by population-based sequencing in patients not treated with the drugs [1, 13]. Gaudieri et al. [14] have suggested that regions of NS3 protease and NS5B polymerase are likely to be under HLA immune pressure and therapeutic selection, and that drug-resistant variants may occur naturally to escape the immune system. These observations seem quite astonishing and troubling, since a substantial number of patients may not respond to the new therapies such as STAT-C drugs.

In the present study, to assess the prevalence of NS3 mutations conferring PI resistance in HCV genotype 1b-infected Japanese patients who had not been previously treated with PIs, as well as to assess the influence of those mutations in response to PEG-IFN/RBV therapy, the dominant HCV-NS3 sequences were determined in 261 HCV-1b patients before starting the PEG-IFN/RBV therapy.

## Methods

### Patients

Serum samples were acquired from 261 HCV genotype 1b-infected adult Japanese patients before combination therapy with PEG-IFN (PEGINTRON<sup>®</sup>, Schering-Plough, Tokyo, Japan) plus RBV (REBETOL<sup>®</sup>, Schering-Plough) between 2004 and 2008 at the University of Yamanashi, Musashino Red Cross Hospital and Kanazawa University. The therapy was administered according to the standard PEG-IFN/RBV treatment protocol established for Japanese patients by a hepatitis study group of the Ministry of Health, Labor, and Welfare, Japan. Specifically, the patients were subcutaneously administered PEG-IFN $\alpha$ -2b, 1.5  $\mu$ g/kg body weight, once weekly and RBV 600–800 mg daily per os for 48 weeks. These patients were not infected with human immunodeficiency virus (HIV). The study was

approved by the ethics committees of all participating universities and the hospital, and the protocol conformed to the ethical guidelines of the 1975 Declaration of Helsinki as reflected in a priori approval by the Institutional Review Board at Massachusetts General Hospital. Written informed consent was obtained from each study participant.

### Amplification and sequencing of full-length HCV genomes

Viral loads were determined using the Amplicor HCV RNA kit, version 2.0 (Roche Diagnostics, Tokyo, Japan) or the Cobas TaqMan test (Roche Diagnostics). HCV RNA was extracted from pretreatment serum samples by the AGPC method using Isogen (Wako, Osaka, Japan) according to the manufacturer's protocol. Complementary DNA was synthesised using Superscript II (Invitrogen, Tokyo, Japan) and random primers (Invitrogen), and then amplified by two-step nested PCR using the primers listed in Supplementary Table 1. All samples were initially denatured at 95°C for 7 min, followed by 40 cycles of amplification with denaturation at 95°C for 15 s, annealing at 55°C for 15 s, and extension at 72°C for 45 s using the BD Advantage<sup>™</sup> 2 PCR Enzyme system (BD Biosciences Clontech, CA, USA). PCR amplicons were directly sequenced using BigDye Terminator version 3.1 (ABI, Tokyo, Japan) and universal M13 forward/reverse primers using an ABI prism 3130 sequencer (ABI).

### Sequence alignment and analysis

Sequences were determined in both directions, particularly for the ambiguous stretches, were assembled using the Vector NTI software (Invitrogen), and base-calling errors were corrected following the inspection of chromatograms. If mixed bases were detected as two different chromatogram peaks at the same residue, only the dominant base was called after evaluation of all overlapping fragments. A consensus sequence was generated from the alignment on the basis of the most common amino acid at each site.

### Determination of PI resistance mutations

Multiple viral NS3 mutations were observed in amino acid positions reported to confer PI resistance among 261 patients: V36, Q41, F43, T54, V55, Q80, R109, I153, R155, A156, D168, V170, and M175. NS3 amino acid mutations with proven PI resistance in previously published studies (Table 1) were designated as resistance proven mutations (e.g., V36M/A). Mutations in the PI-resistance site not known to confer drug resistance were designated resistance unproven mutations (e.g., V36I). Patients were allocated to two groups according to the presence of PI-resistance

mutations (including resistance unproven mutations), and clinical characteristics including HCV RNA levels and responses to PEG-IFN/RBV therapy were compared. To assess the influence of PEG-IFN/RBV therapy on NS3 mutational status, posttreatment HCV-NS3 sequences in 39 of 58 non-SVR patients were also examined.

Statistical analysis

Statistical differences in the data, including all available patients' demographic, biochemical, hematologic, and virologic data such as sequence variation factors, were determined among the various groups by Student's *t* test or Mann-Whitney *U* test for numerical variables and Fisher's exact probability test for categorical variables.

Results

Prevalence of dominant PI-resistance-associated nonstructural 3 mutations in untreated patients

Figure 1 shows the frequency of substitutions in 261 patients for each of 181 NS3 protease amino acid residues

compared to the consensus sequence. A total of 41 resistance proven mutations were detected in 35 (13.4%) patients: T54S (14 patients, 5.4%), Q80K (1 patient, 0.4%), I153V (22 patients, 8.4%), D168E (4 patients, 1.5%), T54S plus I153V double mutation (4 patients, 1.5%), and I153V plus D168E double mutation (2 patients, 0.8%). The mutation number increased to 54 in 47 (18.0%) patients when resistance unproven mutations were included: V36I (2 patients, 0.8%), I153L (11 patients, 4.2%), and I153V plus V36I double mutation (2 patients, 1.5%). Double mutations were found in 7 patients (2.7%) (Table 1). Q80L was observed in 47 (18%) patients but these were excluded from consideration because a previous study demonstrated that this mutation does not confer resistance [15]. All mutations observed in this study would confer low- to moderate-level PI resistance according to previous studies [6, 15–19]. No mutations conferring high-level resistance such as R155 or A156 [11, 17, 19–22] were observed.

Clinical characteristics of patients with PI-resistance mutations

Table 2 presents the characteristics of patients classified according to the presence of PI-resistance mutations

**Table 1** Prevalence of PI-resistance-associated NS3 mutations

| Drug-resistance mutations described in the literature                      |                        |  |                                       | References          | Detected resistance mutations<br>Genotype 1b (N = 261), (%) |
|--|------------------------|--|---------------------------------------|---------------------|---|
| NS3 residue  | Resistance mutations   | Drugs  |                                       |                     |   |
| V36  | A, M, L, G, C          | Telaprevir, Boceprevir   | [1, 3, 4, 10, 11, 19, 31, 37]         | I × 2 (0.8)         |   |
| Q41  | R                      | ITMN-191, Boceprevir   | [19]                                  |                     |   |
| F43  | S, C                   | ITMN-191, Boceprevir, Telaprevir, TMC435                                 | [15, 19]                              | <b>S × 14 (5.4)</b> |   |
| T54  | A, S                   | Telaprevir, Boceprevir, SCH900518  | [1, 3, 10, 11, 19, 20, 31, 38]        |                     |   |
| V55  | A                      | Boceprevir   | [1]                                   |                     |   |
| Q80  | R, K                   | TMC435   | [6, 15]                               |                     | <b>K × 1 (0.4)</b>  |
| R109   | K                      | SCH446211  | [17]                                  |                     |   |
| I153   | V                      | SCH446211  | [17]                                  |                     | <b>V × 22 (8.4), L × 11 (4.2)</b>                           |
| R155   | K, T, I, M, G, L, S, Q | Telaprevir, Boceprevir, ITMN-191, BILN2061, TMC435                       | [1, 3, 4, 6, 10, 11, 15, 19, 20]      |                     |   |
| A156   | S, T, V, I, G          | Telaprevir, Boceprevir, ITMN-191, BILN2061, SCH446211, TMC435, SCH900518 | [1, 3, 4, 10, 11, 15, 17, 19, 20, 38] |                     |   |
| D168   | A, V, E, N, T, H       | BILN2061, ITMN-191, TMC435   | [6, 15, 20]                           |                     | <b>E × 4 (1.5)</b>  |
| V170   | A                      | Telaprevir, Boceprevir   | [1, 19, 20]                           |                     |   |
| M175   | L                      | Boceprevir   | [39]                                  |                     |   |
| Total number (%) of patients with resistance proven mutations              |                        |  |                                       | 35 (13.4)           |   |
| Total number (%) of patients with resistance proven and unproven mutations |                        |  |                                       | 47 (18.0)           |   |

Amino acid mutations conferring PI resistance in the literatures and those observed in PI-treatment-naive patients in this study are indicated. Bold indicates resistance proven mutations, and the others indicate resistance unproven mutations

Double mutations found were as follows: V36I and I153V × 1, T54S and I153V × 4, I153V and D168E × 2

(including resistance unproven mutations). Age, sex ratio, body mass index, alanine aminotransferase (ALT) levels, serum albumin, platelet count, and fibrosis stage did not differ between the NS3 mutation and wild-type groups. No significant difference was observed between the two groups in the parameters of PEG-IFN/RBV treatment response, HCV sequence variations in interferon sensitivity determining region (ISDR), Core 70, interferon plus ribavirin resistance-determining region (IRRDR), or interleukin 28B (IL28B) single nucleotide polymorphism (SNP) (rs8099917; T/G and G/G vs. T/T) [23–30]. These clinical variables were also compared between the mutation group defined as resistance proven mutations and the wild-type group, but no notable differences were observed.

#### Unimpaired in vivo fitness of viral strains with resistance mutations

Because most PI-resistance mutations described till date have been associated with reduced replicative capacity of varying degrees [1, 10, 11, 13, 17, 20–22, 31, 32], we examined viral replication levels in patients with drug-resistance mutations (Fig. 2). The estimated *P* value indicated no significant difference between the mutation (median 1,500 KIU/ml) and wild-type (median 1,800 KIU/ml) groups (*P* = 0.69). The results indicate that drug-resistant HCVs were not necessarily impaired in their ability to replicate in vivo. However, patients with double mutations (*N* = 7) tended to have low viral loads (median 1,200 KIU/ml) (*P* = 0.09).

#### Resistance mutations and virologic response to PEG-IFN/RBV therapy

To determine the difference in virologic response to PEG-IFN/RBV therapy according to the PI mutation, frequency of HCV RNA levels below detection at 4 weeks (rapid viral response, RVR) and 12 weeks (complete early viral response, cEVR), and SVR rate (%) were investigated in

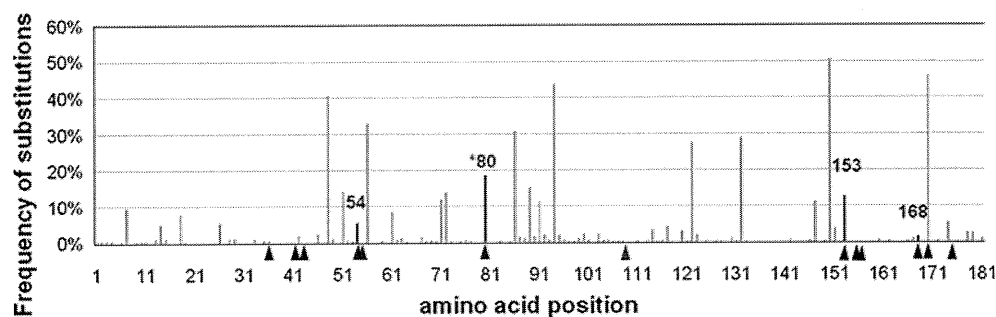
each group. The frequency of HCV RNA levels below detection at 4 and 12 weeks was 14 and 50%, respectively, in the mutation group, and was 11 and 46%, respectively, in the wild-type group. The SVR rate was 48 and 40% in the mutation and wild-type groups, respectively (*P* = 0.38). No significant difference was observed between the two groups in any of the indexes investigated (Table 2). The time-dependent viral clearance rate during PEG-IFN/RBV therapy was estimated in 133 patients including 25 patients (19%) with PI-resistance mutations available for the analysis. Kaplan–Meier analysis demonstrated that HCV clearance did not differ between the two groups with and without resistance mutations (log-rank test, *P* = 0.30) (Fig. 3).

#### Changes in nonstructural 3 amino acid sequence diversity during PEG-IFN/RBV therapy

Full-length NS3 protease sequences were determined in 39 non-SVR patients after PEG-IFN/RBV therapy. A single amino acid change at resistance-associated sites in two patients was observed. In one patient, isoleucine (Ile) at position 153 changed to valine (Val), and glutamic acid (Glu) changed to aspartic acid (Asp) at position 168 in the second (Fig. 4). At the nucleotide level, ATC (Ile) changed to GTC (Val) in I153V, and GAA (Glu) changed to GAC (Asp) in E168D. Both mutations were caused by one nucleotide exchange. No other changes were observed in the other 37 patients.

## Discussion

Here we report that in 18% (47/261) HCV genotype 1b-infected patients who had not been previously treated with NS3 PIs, the viral genome contained dominant amino acid mutations within the NS3 PI-resistance sites. Even after confining the data to established PI-resistance mutations, the mutation rate was still significant in 13.4% (35/261). No clinical differences were observed between patients



**Fig. 1** Frequency of polymorphic mutations for each of the 181 NS3 protease amino acid residues in 261 patients. *Arrowheads* indicate the sites reported to confer PI resistance. *Dark bars* denote the amino acid

variations at the resistant sites in this study. \*80, we detected one resistant mutation (Q80K) and 47 (18%) non-resistant variations (Q80L) at the 80th residue

**Table 2** Characteristics of patients with or without HCV genomes harboring drug-resistance mutations

| Characteristics                      | Mutation type (N = 47)   | Wild-type (N = 214) | P value |
|--------------------------------------|--------------------------|---------------------|---------|
| Patients' characteristics            |                          |                     |         |
| Age, median (range)                  | 59 (46–72)               | 57 (19–77)          | 0.17    |
| Male, no. (%)                        | 26 (55)                  | 112 (52)            | 0.70    |
| BMI, median (range)                  | 23.2 (15.5–31.9)         | 22.8 (16.1–31.9)    | 0.41    |
| ALT IU/ml                            | 81.3 ± 72.6 <sup>a</sup> | 74.8 ± 51.9         | 0.93    |
| Serum albumin g/dl                   | 4.00 ± 0.37              | 4.01 ± 0.36         | 0.81    |
| Platelet count × 10 <sup>4</sup> /μl | 15.8 ± 4.3               | 14.5 ± 4.8          | 0.18    |
| HCV RNA KIU/ml, median (range)       | 1,500 (58–6,310)         | 1800 (28–15,849)    | 0.69    |
| Fibrosis, no. (%)                    |                          |                     | 0.97    |
| F0                                   | 0 (0)                    | 7 (3)               |         |
| F1                                   | 23 (50)                  | 89 (42)             |         |
| F2                                   | 9 (20)                   | 52 (24)             |         |
| F3                                   | 9 (20)                   | 40 (19)             |         |
| F4                                   | 5 (11)                   | 26 (12)             |         |
| IFN pre-treatment no. (%)            | 15/40 (38) <sup>b</sup>  | 66/172 (38)         | 1.00    |
| IL28B (rs8099917) T/G or G/G no. (%) | 6/20 (30)                | 19/67 (28)          | 1.00    |
| Response to PEG-IFN/RBV therapy      |                          |                     |         |
| SVR total cases no. (%)              | 22/46 (48)               | 83/210 (40)         | 0.38    |
| RVR in total cases no. (%)           | 6/44 (14)                | 22/195 (11)         | 0.83    |
| cEVR in total cases no. (%)          | 22/44 (50)               | 92/200 (46)         | 0.75    |
| SVR 48w treatment no. (%)            | 16/29 (55)               | 55/130 (42)         | 0.29    |
| End of treatment response no. (%)    | 26/41 (63)               | 123/202 (61)        | 0.91    |
| HCV genome sequence variation        |                          |                     |         |
| ISDR mutation ≤1 no. (%)             | 32/46 (70)               | 167/210 (80)        | 0.21    |
| Core70 R no. (%)                     | 26/44 (59)               | 136/210 (65)        | 0.56    |
| IRRDR mutation >3 no. (%)            | 25/38 (66)               | 107/190 (56)        | 0.34    |

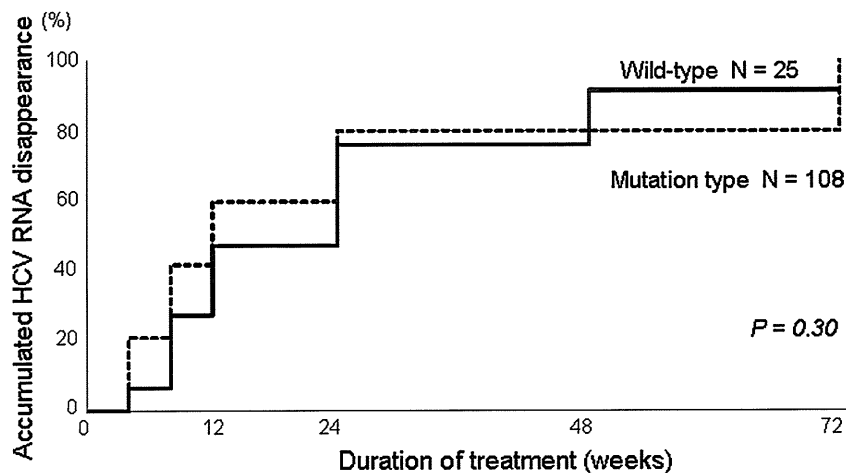
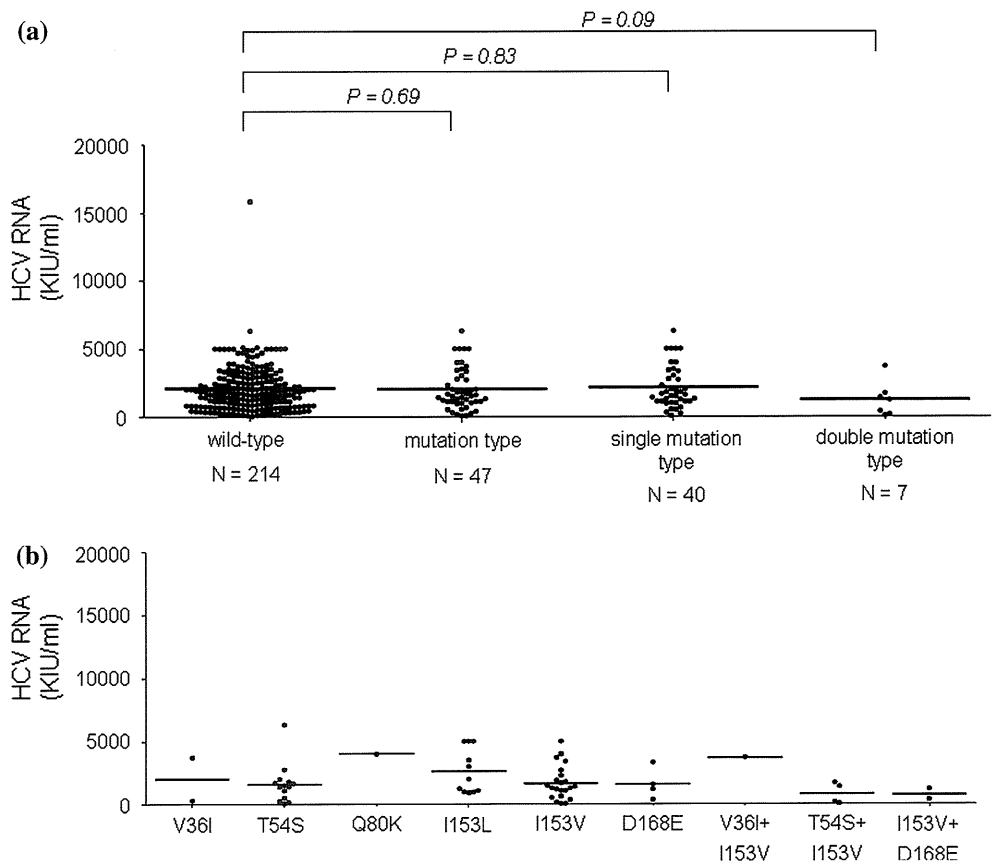
<sup>a</sup> Mean ± SD<sup>b</sup> Number/total number (%)

harboring viruses with and without these mutations. Moreover, no differences were observed in the responses of either group to PEG-IFN/RBV therapy.

Recent studies reported that significant number of patients who were never treated with PI possess viral sequences with PI-resistance-associated NS3 mutations. In these studies, the prevalence of PI-resistance mutations was determined to be 8.6–16.2% [13, 14], in HCV genotype 1- and 3-infected patients in European–American populations. These patients were often coinfecting with HIV. Analysis of the public HCV databases (EuHCVdb and Los Alamos) also reported the presence of naturally occurring PI-resistance-associated NS3 mutations in worldwide isolates [33]. However, in vivo and in vitro studies demonstrated that most of the mutations observed conferred only low- to moderate-level PI resistance [7, 13, 14, 34, 35]. Regarding viral fitness, PI-resistant HCVs show lower fitness at varying degrees as revealed by in vitro studies [1, 10, 11, 17, 20–22, 31, 32], but HCV RNA levels in a clinical study did not differ significantly. The response to PEG-IFN/RBV therapy was almost comparable to that in HCV-infected patients without PI-resistance mutations either in HCV replicon experiments or in a clinical study of small number of treated patients [34].

The prevalence of 13.4% for PI-resistance-proven patients observed in the present study was almost comparable to the results of previous studies. Although HIV is known to increase HCV replication in coinfection with HCV [36], and HIV patients are often treated with the HIV-specific PIs, the HIV infection might not affect the natural occurrence of HCV-specific PI-resistance mutations since our studied patients were all proven to be free from coinfection with HIV infection. As shown in Table 1 and Fig. 1, I153V (22/261, 8.4%), T54S (14/261, 5.4%), and D168E (4/261, 1.5%) were among the most prevalent PI-resistance-proven mutations in the present study. The most frequent mutation detected in our study I153V was reported to appear secondarily to the occurrence of R109K mutations in a HCV replicon system [17]. Although the role of this mutation is not understood, the I153V mutation on its own conferred SCH446211 resistance to the HCV replicon to a lesser degree [17]. Interestingly, I153V was often found in double mutations in our study, as shown in Fig. 2. This suggests analogy between in vitro and in vivo data. T54S and D168E, the other frequent mutations, have been also reported to occur as single dominant mutations in previous in vitro or in vivo studies in HCV genotype 1

**Fig. 2** In vivo fitness of HCV with PI-resistance-associated NS3 mutations. HCV RNA levels were compared between patients with and without NS3 PI-resistance-associated mutations (a) and between patients with each resistance mutation (b). The estimated *P* value (Mann–Whitney *U* test) indicates no significant difference between the wild-type and other groups (wild-type vs. mutation type, wild-type vs. single mutation type, and wild-type vs. double mutation type). (Wild-type, *N* = 214; mutation type, *N* = 47; single mutation type, *N* = 40; double mutation type, *N* = 7; V36I, *N* = 2; T54S, *N* = 14; Q80K, *N* = 1; I153L, *N* = 11; I153V, *N* = 22; D168E, *N* = 4; E176A, *N* = 1; V36I + I153V, *N* = 1; T54S + I153V, *N* = 4, and I153V + D168E, *N* = 2)



**Fig. 3** Comparison of virologic response to PEG-IFN/RBV therapy between HCV-infected patients with and without PI-resistance-associated NS3 mutations. Time-dependent HCV clearance rate analysis was based on serum HCV RNA positivity during PEG-IFN/RBV therapy for HCV isolates with resistance mutations or wild-

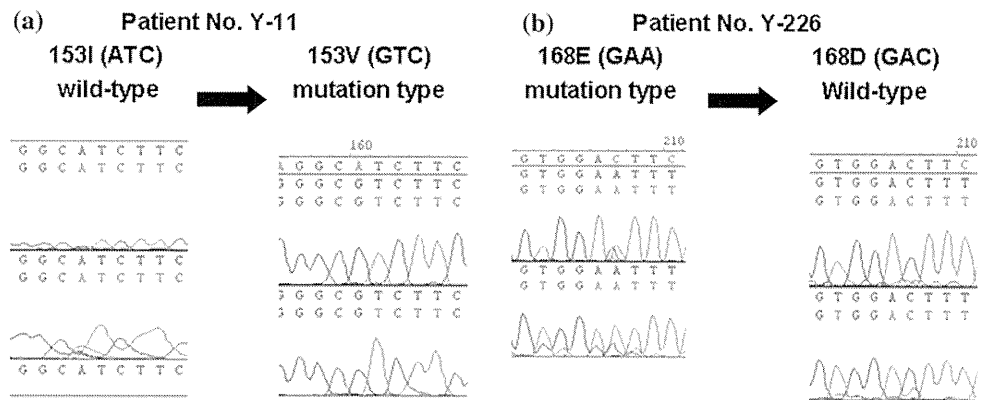
type sequences. A total of 133 patients for whom the limit of viral genome detection could be determined were analyzed. Among this group, NS3 mutations were detected in 25 patients (19%). The estimated *P* value (log-rank test) shows no significant difference between the two groups (*P* = 0.30)

infections showing moderate degrees of resistance [16, 18, 19].

Most PI-resistance mutations described to date have been associated with varying degrees of reduced replicative

capacity [10, 11, 17, 20–22, 31, 32]. In the present study, HCV RNA levels of those patients with low- to moderate-level resistance mutations were similar to those in patients in the wild-type groups, suggesting that in vitro viral fitness

**Fig. 4** Appearance of PI-resistance-associated NS3 mutations during the PEG-IFN/RBV therapy. Chromatograms show part of the HCV NS3 sequence demonstrating PI-resistance mutations in two patients receiving therapy. **a** Site 153 isoleucine (Ile) (ATC) changed to valine (Val) (GTC), **b** Site 168 glutamic acid (Glu) (GAA) changed to aspartic acid (Asp) (GAC)



does not necessarily reflect in vivo viral fitness. This, however, does not rule out the possibility that some unknown compensatory viral mutations might have resulted in upregulation of reduced viral fitness. Interestingly, although the replicative capacity conferred by a single mutation seemed to be the same, the HCV RNA levels of double mutations were frequently low, suggesting that double mutations might weaken viral fitness.

In previous studies, clinical characteristics representing the state of liver disease other than HCV RNA levels were not studied in patients with PI-resistance mutations. In this study, we show that those clinical characteristics did not differ according to the presence of viral NS3 mutations. As shown in Table 2, age, sex ratio, fibrosis stage, ALT levels, serum albumin, platelet count, and past history of IFN pretreatment did not differ according to the presence of NS3 mutations. These results suggest that NS3 mutations occur independently of disease progression. Moreover, no evident differences were observed between viral and host factors known to affect IFN-based treatment responses. However, viral amino acid variations in the core and NS5A or the allelic frequency of IL28B SNPs, which were recently reported for the close relationship of responses to PEG-IFN/RBV therapy, did not differ between the two groups.

A significant outcome of the present study is the demonstration that PI-resistance mutations might not affect responses to PEG-IFN/RBV therapy. Previous in vitro studies demonstrated that HCV replicons harboring PI-resistance mutations were also sensitive to IFN treatment [31]. In addition, recent clinical studies also indicated that PI-resistance mutations were sensitive to the PEG-IFN/RBV [10, 34]. However, our analysis was more comprehensive because viral and host factors that contribute to treatment responses were simultaneously analyzed. A unique aspect of the present study is that we investigated the influence of the PEG-IFN/RBV treatment on the occurrence of new PI mutations by direct nucleotide sequencing, and were able to show that the PEG-IFN/RBV might not induce amino acid mutations.

Will the pre-existence of naturally occurring PI-resistance mutations have an influence on future treatment of HCV infections? Since new PIs are on the verge of clinical use, all clinicians should bear in mind the substantial numbers of HCV-infected patients with PI-resistance mutations. Although the degree of resistance is considered to be low or moderate in untreated patients, weak resistance might progress to more potent resistance with additional mutations, when PIs become widely used. Therefore, all clinicians need to be sufficiently prepared for the possibility of later onset of PI-resistance mutations that confer greater drug resistance and concomitant poorer responses to therapy. In SPRINT-1 study, the lead-in therapy was associated with a modestly lower rate of breakthrough than with no lead in [7]. Considering that PEG-IFN/RBV was equally effective for PI-resistant viruses, sufficient “lead-in” therapy before the administration of PIs could be an option in the forthcoming triple therapy modality.

In conclusion, we demonstrate here that PI-resistance-associated NS3 mutations exist in a substantial proportion of untreated HCV-1b-infected patients. Although the degree of resistance might not be strong, clinicians will need to consider this upon the introduction of triple therapy.

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# Genome-wide association study identified *ITPA/DDRKG1* variants reflecting thrombocytopenia in pegylated interferon and ribavirin therapy for chronic hepatitis C

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**Hematologic abnormalities during current therapy with pegylated interferon and ribavirin (PEG-IFN/RBV) for chronic hepatitis C (CHC) often necessitate dose reduction and premature withdrawal from therapy. The aim of this study was to identify host factors associated with IFN-induced thrombocytopenia by genome-wide association study (GWAS). In the GWAS stage using 900K single-nucleotide polymorphism (SNP) microarrays, 303 Japanese CHC patients treated with PEG-IFN/RBV therapy were genotyped. One SNP (rs11697186) located on *DDRKG1* gene on chromosome 20 showed strong associations in the minor-allele-dominant model with the decrease of platelet counts in response to PEG-IFN/RBV therapy [ $P = 8.17 \times 10^{-9}$ ; odds ratio (OR) = 4.6]. These associations were replicated in another sample set ( $n = 391$ ) and the combined  $P$ -values reached  $5.29 \times 10^{-17}$  (OR = 4.5). Fine mapping with 22 SNPs around *DDRKG1* and *ITPA* genes showed that rs11697186 at the GWAS stage had a strong linkage disequilibrium with rs1127354, known as a functional variant in the *ITPA* gene. The**

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***ITPA-AA/CA* genotype was independently associated with a higher degree of reduction in platelet counts at week 4 ( $P < 0.0001$ ), as well as protection against the reduction in hemoglobin, whereas the *CC* genotype had significantly less reduction in the mean platelet counts compared with the *AA/CA* genotype ( $P < 0.0001$  for weeks 2, 4, 8, 12), due to a reactive increase of the platelet count through weeks 1–4. Our present results may provide a valuable pharmacogenetic diagnostic tool for tailoring PEG-IFN/RBV dosing to minimize drug-induced adverse events.**

## INTRODUCTION

Chronic infection with hepatitis C virus (HCV) presents a significant health problem worldwide, with ~2.3% of the world population, i.e. more than 120–130 million people, being infected (1). Only 20–30% of HCV-infected individuals recover spontaneously. The remaining 70–80% go on to develop chronic infection, being at significant risk for progressive liver fibrosis and subsequent liver cirrhosis (LC) and hepatocellular carcinomas (HCC). Successful treatment of chronic hepatitis C (CHC) leads to a reduction of liver fibrosis stage of patients, and also prevents HCC development (2).

Antiviral treatment has been shown to improve liver histology and decrease incidence of hepatocellular carcinoma in CHC (3,4). Current therapy for CHC consists of treatment with pegylated interferon (IFN), which acts both as an antiviral and as an immunoregulatory cytokine, and ribavirin (RBV), an antiviral pro-drug that interferes with RNA metabolism (5,6). However, <50% of patients infected with HCV genotype 1 treated in this way achieve a sustained viral response (SVR) or cure of the infection (5,7). Older patients with liver fibrosis showed a significantly lower SVR rate due to poor adherence resulting from adverse events and laboratory abnormalities (8–10). In particular, hematologic abnormalities often necessitate dose reduction, and premature withdrawal from therapy in 10–14% of patients (5,11–14). New drugs and therapeutic approaches for CHC are actively developed and several candidates are in early trial phase (15,16). Given this background, effective pre-treatment screening for predictive biomarkers with the aim of evaluating possible risks over benefits of currently available treatment will avoid these side effects in patients who will not be helped by treatment, as well as reduce the substantial cost of treatment.

The completion of the Human Genome Project has led to the advent of a new era of scientific research, including a revolutionary approach: the genome-wide association study (GWAS). Several recent studies, including our study, have demonstrated marked associations between single-nucleotide polymorphisms (SNPs) within and around *IL28B* gene, which codes for IFN- $\lambda$ 3 (16–21). Another recent study indicated that genetic variants of *ITPA* gene leading to inosine triphosphatase (ITPA) deficiency could protect against hemolytic anemia (HA) in CHC patients receiving RBV (22).

In Japan, HCV-infected patients are relatively old and some of them have had severe fibrosis (9). Thrombocytopenia is one of the critical adverse events by IFN-based therapy among liver cirrhotic patients (23), because low platelet count (PLT), i.e. <30.0 ( $10^9/l$ ), would be a risk factor for any bleeding, as well as it would lead to poor treatment efficiency due to the initial or early dose reduction of PEG-IFN. Based on its pathogenesis, drug-induced thrombocytopenia is usually due to bone marrow

suppression, immune-mediated destruction and platelet aggregation (24). In this study, we firstly found that genetic variants in the *ITPA/DDRGK1* genes were associated with IFN-induced thrombocytopenia, and then examined the correlation between IFN-induced thrombocytopenia and RBV-induced HA in Japanese CHC patients under PEG-IFN/RBV treatment.

## RESULTS

### Genetic variants associated with IFN-induced thrombocytopenia

In this study, we conducted a GWAS to identify host genes associated with the decrease of platelets in response to PEG-IFN/RBV treatment in 303 Japanese HCV patients (107 patients with the decrease of PLT versus 196 patients without the decrease of PLT based on the criteria described in Materials and Methods), using a genome-wide SNP typing array (Affymetrix SNP 6.0 for 900K SNPs). The characteristics of patients for each GWAS stage and replication stage are summarized in Table 1. Figure 1 shows a genome-wide view of the single-point association data based on allele frequencies. One SNP (rs11697186) located on *DDRGK1* gene on chromosome 20 showed strong associations in the allele frequency model ( $P = 8.17 \times 10^{-9}$ ) with the decrease of PLT in response to PEG-IFN plus RBV treatment. The association reached genome-wide level of significance [Bonferroni criterion  $P < 8.40 \times 10^{-8}$  (0.05/595052)], and another SNP (rs6139030) near *ITPA* gene had a marginal significance ( $P = 4.30 \times 10^{-7}$ , in Table 2).

To validate the results of the GWAS stage, 22 SNPs were selected for the replication in a set of 391 Japanese HCV patients with and without platelet reduction (Supplementary Material, Table S1). The associations of the original significant SNP (rs11697186) and the marginal SNP (rs6139030) at the GWAS stage were replicated in the second set of 391 patients in the minor-allele-dominant model [ $P = 5.88 \times 10^{-10}$ , odds ratio (OR) = 4.6 for rs11697186;  $P = 3.83 \times 10^{-10}$ , OR = 4.3 for rs6139030, Table 2]. The combined  $P$ -values for both stages reached  $5.29 \times 10^{-17}$  (OR = 4.5; 95% CI = 3.1–6.5) and  $1.33 \times 10^{-15}$  (OR = 3.9; 95% CI = 2.8–5.5), respectively (Table 2).

### Genetic variants associated with RBV-induced anemia

We also conducted a GWAS to identify host genes associated with a quantitative change in hemoglobin (Hb) levels from baseline to week 4 of PEG-IFN/RBV treatment in the above 303 Japanese HCV patients (94 patients with an Hb reduction of  $\geq 3$  g/dl at week 4 and 209 patients without Hb reduction), using a genome-wide SNP typing array (Affymetrix SNP 6.0 for 900K SNPs). Two SNPs (rs11697186 and rs6139030)