the decision to treat HCV-infected patients with persistently normal ALT (NALT) should be individualized based on the severity of liver disease by liver biopsy, the potential for serious side effects, the likelihood of response, and the presence of comorbid conditions.[12] Because, several studies conducted over the past several years have shown that the liver histology of patients with NALT levels shows advanced fibrosis, and in some reports, 5–30% of these patients were found to have marked fibrosis or even cirrhosis (1.3%).[13–15] Further, previous studies reported that the efficacy and safety of pegylated interferon (PEG-IFN) α-2a and ribavirin (RBV) combination treatment for NALT patients with chronic hepatitis C were comparable or even higher than was found for patients with elevated ALT levels.[16–18]

Most patients in previous studies were from western countries and were aged in their 40s on average. The influence of aging of the patient population has not been adequately studied. In Japan, patients with chronic hepatitis C currently under treatment with IFN are 10 to 15 years older than corresponding patients in the United States and other western countries, where patients treated with antiviral therapy tend to average 45 years of age.[19,20] Moreover, a racial analysis reported that being Asian (non-south) is a strong independent predictor of sustained virological response to antiviral therapy.[21] However, there is no Asian data concerning the response and safety of this combination therapy from large scale trials of NALT patients with chronic HCV infection. The present prospective study was done to analyze the efficacy and safety of a combination treatment of PEG-IFN α-2b plus RBV for Japanese NALT patients with HCV genotype 1.

METHODS

Patients

A MULTICENTER STUDY of the efficacy and safety of antiviral treatments for Japanese chronic liver disease patients, the Kyushu University Liver Disease Study (KULDS), was launched in 2003.[22,23] For the present study, combination PEG-IFN α-2b and RBV treatment was done from December 2004 to September 2008, and chronic hepatitis C patients were enrolled with exclusion criteria that included: (i) clinical or biochemical evidence of hepatic decompensation, advanced cirrhosis identified by bleeding-risky esophageal varices, history of gastrointestinal bleeding, ascites, encephalopathy, or hepatocellular carcinoma; (ii) hemoglobin level <11.5 g/dL, white blood cell count $<3 \times 10^9$/L, and platelet count $<50 \times 10^9$/L; (iii) concomitant liver disease other than hepatitis C (hepatitis B surface antigen positive or HIV positive); (iv) excessive active alcohol consumption >60 g/day or drug abuse; (v) severe psychiatric disease; or (vi) antiviral or corticosteroid treatment within 12 months prior to enrollment. Patients who fulfilled the above criteria were recruited at Kyushu University Hospital and 40 affiliated hospitals in the northern Kyushu area of Japan. We have treated 2270 Japanese patients aged 18 years or older with PEG-IFN α-2b plus RBV. Of the 2270 patients, 989 were HCV genotype 2, and the remaining 292 patients were currently undergoing combination treatment or we were not yet able to judge the effect of combination treatment. The 989 HCV genotype 1 patients were enrolled for analysis in the present study. All who were positive for both antibody to HCV and HCV RNA for over 6 months were enrolled in the KULDS study. Within 3 months before the start of the treatment and every 3 months during the treatment period, each patient was tested for α-fetoprotein (AFP) and had abdominal ultrasonographic examination. If an abnormal AFP level of 40 ng/mL and/or an appearance of focal lesions on ultrasonographic examination was found at any testing, further testing for HCC was done, which included dynamic computed tomography, angiography, and/or tumor biopsy. In this study, NALT was defined as ALT persistently below 30 IU/L in at least three measurements within the past 6 months, and we defined an ALT-flare up as an ALT level ≧30 IU/L at the 24-week follow-up after the end of treatment. Of the enrolled patients, 114 were assigned to a NALT group (group A) and the remaining 875 to an elevated ALT group (group B) (Table 1). The number of the women and platelet count were significantly higher in group A than in group B. Furthermore, in group A, body mass index, γ-glutamyltranspeptidase and hemoglobin were significantly lower than for group B ($P < 0.001$), and the total cholesterol level was significantly lower in group B than group A ($P < 0.001$).

Informed consent was obtained from all patients before enrollment. The study was conducted in accordance with the ethical guidelines of the Declaration of Helsinki and the International Conference on Harmonization of Guidelines for Good Clinical Practice.

Liver histology

Liver biopsy was done for 63 (55.3%) of the group A and 518 (59.2%) of the group B patients: The other patients refused biopsy. Fibrosis was staged on a 0–4

Table 1 Characteristics of 989 chronic hepatitis C virus (HCV) infected patients treated with a combination of pegylated interferon IFN) α-2b plus RBV

	Group A (ALT < 30 IU/L) (n = 114)	Group B (ALT ≧ 30 IU/L) (n = 875)	P-value
Men/Women	37/77	502/373	<0.001
Age (years)	57.4 ± 11.9	58.0 ± 10.1	0.607
Body mass index (kg/m^2)	22.5 ± 2.9	23.6 ± 3.2	<0.001
Prior non-pegylated IFN monotherapy n (%)	26 (22.8)	235 (26.9)	0.350
Prior combined non-pegylated IFN plus RBV treatment n (%)	6 (5.3)	77 (8.8)	0.200
Alanine aminotransferase (IU/L)	22.9 ± 4.4	82.9 ± 56.3	<0.001
γ-glutamyltranspeptidase (IU/L)	31.6 ± 24.8	64.3 ± 57.1	<0.001
Albumin (g/dL)	4.2 ± 0.3	4.1 ± 0.4	0.015
White blood cell (×10^9/L)	5.1 ± 1.6	5.0 ± 1.4	0.629
Hemoglobin (g/dL)	13.4 ± 1.3	13.9 ± 1.4	<0.001
Platelet count (×10^9/L)	188 ± 5.5	157 ± 5.2	<0.001
Creatinine (mg /dL)	0.7 ± 0.2	0.8 ± 0.9	0.284
Creatinine clearance (mL/min)	93.9 ± 32.6	97.6 ± 28.6	0.168
Total cholesterol (mg/dL)	182.6 ± 31.7	167.6 ± 30.5	<0.001
Tryglyceride (mg/dL)	102.6 ± 429	105.8 ± 52.7	0.638
HDL-C (mg/dL)	54.4 ± 15.7	50.1 ± 14.4	0.058
LDL-C (mg/dL)	100.2 ± 26.5	95.6 ± 25.9	0.233
Fasting plasma glucose (mg/dL)	95.8 ± 15.2	99.8 ± 21.9	0.075
HbA1c (%)	5.2 ± 0.5	5.4 ± 0.8	0.100
HOMA-IR	2.4 ± 1.8	2.7 ± 1.8	0.158
Serum HCV RNA level (logIU/mL)	6.5 ± 0.6	6.5 ± 0.6	0.332
Histological fibrosis			0.008
F0/F1/F2/F3/F4	10/31/14/5/3	31/166/165/97/59	

Data are shown as the mean ±standard deviation Group A; ALT<30 IU/L, Group B; ALT ≧ 30 IU/L.
ALT, alanine aminotransferase; HDL-C, high density lipoprotein-cholesterol; HOMA-IR, homeostasis model assessment-insulin resistance (plasma fasting glucose (mg/dL) × IRI(ng/mL) ÷ 405); LDL-C, Low density lipoprotein-cholesterol; RBV, ribavirin.

scale as follows: F0 = no fibrosis, F1 = portal fibrosis without septa, F2 = portal fibrosis and few septa, F3 = numerous septa without cirrhosis, F4 = cirrhosis. Liver fibrosis was more advanced in group B than group A (P = 0.008).

Treatment regimen

All patients were treated with a weight-based, 1.5 μg/kg weekly dosage of subcutaneous PEG-IFN α-2b (PegIntron, Schering-Plough, Osaka, Japan), in combination with RBV (Rebetol, Schering-Plough), which was given orally at a daily dose of 600–1000 mg based on body weight (600 mg for patients weighing less than 60 kg, 800 mg for those weighing 60–80 kg, and 1000 mg for those weighing 80 kg or more). The length of treatment was 48 weeks, and the above duration and dosage are those approved by the Japanese Ministry of Health, Labor and Welfare. Patients were considered to have RBV-induced anemia if the hemoglobin level decreased to less than 10.0 g/dL. In such cases, a reduction in the dosage of RBV was required. Some patients also had PEG-IFN α-2b-induced psychological adverse effects or a decrease of white blood cell and platelet count. In such cases, a reduction in the dose of PEG-IFN α-2b was required. Both PEG-IFN α-2b and RBV were discontinued if the hemoglobin level, white blood cell count, or platelet count fell below 8.5 g/dL, 1×10^9/L, and 25×10^9/L, respectively. The treatment was discontinued if severe general fatigue, hyperthyroidism, interstitial pneumonia, or severe hemolytic problems developed, continuation of treatment was judged not to be possible by the attending physician, or the patient desired discontinuation of treatment.

Determination of baseline HCV RNA level and HCV genotype

The pretreatment, baseline, serum HCV RNA level was measured by COBAS TaqMan HCV assay (TaqMan)

(Roche Diagnostics, Tokyo, Japan). TaqMan has a lower limit of quantitation of 15 IU/mL and an outer limit of quantitation of 6.9×10^7 IU/mL (1.2 to 7.8 logIU/mL referred to $\log_{10}$ units/mL).[24,25] Therefore, TaqMan assay is able to do both qualitative and quantitative analysis for HCV RNA. The HCV genotype was determined by type-specific primers of the core region of the HCV genome. The protocol for genotyping was carried out as previously described.[3]

Efficacy of treatment

Sustained virological response (SVR) was defined as serum HCV RNA undetectable at 24 weeks follow-up after the end of treatment. SVR was defined as non-detectable HCV-RNA as measured by TaqMan assay, with the results labeled as positive or negative. The analysis of SVR rate was done on an intention-to-treat basis.

Minimum acceptable dosage

We previously reported that the minimum acceptable dosage necessary for Japanese genotype 1 patients to obtain an SVR is at least 80% or more of the target dosage of PEG-IFN α-2b and a minimum acceptable dosage of 60% or more of the target RBV.[23,26] Therefore, we compared the SVR rates of patients with NALT and elevated ALT who received at least 80% or more of the target dosage of PEG-IFN α-2b and 60% or more of the target RBV (minimum acceptable dosage).

Statistical analysis

Continuous data are expressed as mean values, the values ± standard deviation (SD), or the values ± standard error (SE) of the mean. The statistics were done using a commercially available software package (BMDP Statistical Software Inc., Los Angeles, CA, USA) for the IBM 3090 system computer. The χ^2 test, Student's *t*-test and Fisher's exact test were used to determine the differences in baseline clinical characteristics, safety, efficacy of the combination therapy, adherence to the total dose, and the association between the adherence and SVR. Univariate analysis was carried out on 13 background factors that had previously been evaluated in the literature for their possible association with SVR. Logistic regression models were used to evaluate possible predictors of SVR, and results were reported as odds ratios (OR) and their 95% confidence intervals (CI). A *P*-value of less than 0.05 was considered significant.

RESULTS

SVR rate by intention-to-treat analysis

ANALYSIS OF VIRAL response and ALT change was done at 24 weeks after the end of treatment. Of the 989 patients, 374 (37.8%) achieved SVR in the intention-to-treat analysis. The SVR rate was not significantly different between group A (48 of 114, 42.1%) and group B (326 of 875, 37.3%) ($P = 0.749$). The SVR rate was significantly higher for the women of group A (37 of 77, 48.1%) than for those of group B (120 of 373, 32.2%) ($P = 0.009$), but no significant difference was found for the men (group A: 11 of 37, 29.7% vs. group B: 206 of 502, 41.0%).

The SVR rates of patients with at least the minimum acceptable dosage during treatment were 43.0%, 49 of 114 patients in group A and 33.4%, 292 of 875 in group B. When the men received at least the minimum acceptable dosage, the SVR rate was not significantly different between groups A and B (group A: 9 of 16, 56.3% vs. group B: 122 of 185, 65.9%), and no significant difference was found between groups A and B for the women (group A: 22 of 33, 66.7% vs. group B: 58 of 107, 54.2%). The rate of SVR for patients under 65 years was significantly higher than for patients 65 years or older in groups A and B (41 of 80, 51.3% vs. 7 of 34, 20.6%:$P = 0.003$, 274 of 627, 43.7% vs. 52 of 248,21.0%: $P < 0.001$). Among the group B patients who received at least the minimum acceptable dosage of treatment, the SVR rate was significantly higher for patients under 65 years than for patients 65 years or older (158 of 239, 66.1% vs. 22 of 53, 41.5%: $P = 0.002$). However, there was no significant difference of SVR rate between patients under 65 years and patients 65 years or older in group A (25 of 39, 64.1% vs. 6 of 10, 60.0%, $P = 0.810$).

In our analysis of whether or not the SVR rate differed according to the age and sex of patients who received at least the minimum acceptable dosage, the rate of SVR of group A patients was not significantly different by sex or age (men: 8 of 15, 53.3% vs. 1 of 1, 100%, women: 17 of 24, 70.8% vs. 5 of 9, 55.6%). On the other hand, among the men of group B, the SVR rate was significantly higher for patients under 65 years than for patients 65 years or over (108 of 154, 70.1% vs. 8 of 22, 36.4%, $P = 0.003$). There was no significant difference of the rate between patients under 65 years and patients 65 years or older among the women of group B (50 of 85, 58.8% vs. 14 of 31, 45.2%).

We compared the SVR rates by platelet count status, over 150×10^9/L or not, and by whether or not the

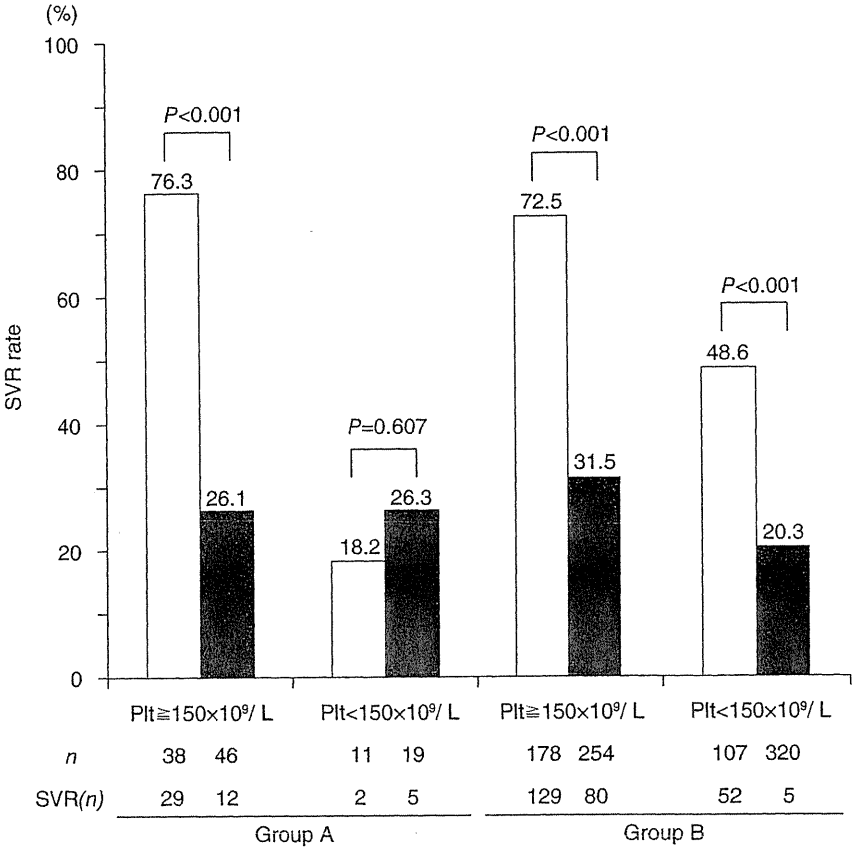

Figure 1 Comparison of the sustained virological response (SVR) rate and platelet count of patients who received the minimum acceptable dosage of pegylated interferon α-2b and ribavirin. In group A (alanine aminotransferase [ALT] <30 IU/L) patients whose platelet count was over 150×10^9/L, the SVR rate was significantly higher for those who received the minimum acceptable dosage than for those who did not (29 of 38, 76.3% vs. 12 of 46, 26.1%, $P < 0.001$). In group B (ALT ≧ 30 IU/L), the SVR rate was significantly higher for those who received the minimum acceptable dosage, with no relation to platelet count. The white column means an SVR rate of patients who received the minimum acceptable dosage. The black column means an SVR rate of patients who did not receive the minimum acceptable dosage.

patient received at least the minimum acceptable treatment dosage. In group A patients whose platelet count was over 150×10^9/L, the SVR rate was significantly higher for those who received at least the minimum acceptable dosage than for those who did not (29 of 38, 76.3% vs. 12 of 46, 26.1%, $P < 0.001$). In group B, the SVR rate was significantly higher for those who received the minimum acceptable dosage with no relation to platelet count (over 150×10^9/L: 129 of 178, 72.5% vs. 80 of 254, 31.5%, $P < 0.001$, under 150×10^9/L: 52 of 107, 48.6% vs. 65 of 320, 20.3%, $P < 0.001$) (Fig. 1). Further, in group A patients whose platelet count was over 150×10^9/L and who received at least the minimum acceptable dosage, the SVR rate was not significantly different by sex or age (under 65 men: 8/11, 72.7%, under 65 women: 15/20,75.0%, over 65 men: 1/1, 100%, over 65 women, 5/6, 83.3%). Furthermore, we compared the SVR rates of patients whose liver fibrosis was F2-4, and found no significant difference between groups A and B.

In a comparison of the SVR rate of patients with or without one or more previous courses of IFN plus RBV, there was no significant difference between groups A and B.

Background factors associated with SVR

To determine the relative weight of the background factors influencing SVR, both univariate and multivariate analyses were performed. Univariate analysis showed that age (<65 years old), homeostasis model assessment-insulin resistance (HOMA-IR) (<2) and total cholesterol (≧220 mg/dL) were significantly associated with SVR in the NALT group, butγGTP, HCVRNA level and LDL-C were not (Table 2). In the multivariate analysis, age (odds ratio [OR] 0.236, $P = 0.017$) and total cholesterol (OR 4.098, $P = 0.039$) were independent factors associated with an SVR in the NALT group (Table 3).

Change of ALT levels after the combination therapy of PEG-IFN α-2b plus RBV

After 6 months of the combination therapy, the mean ALT level of the group A patients who achieved an SVR

Table 2 Univariate analysis of background factors influencing a sustained virological response (SVR)

Factors	Group A (ALT < 30 IU/L) (n = 114)			Group B (ALT ≧ 30 IU/L) (n = 875)		
	Odds ratio	95% CI	*P*-value	Odds ratio	95% CI	*P*-value
Sex	1			1		
Men						
Women	2.186	0.949–5.038	0.066	0.682	0.515–0.902	0.007
Age (years)						
<65	1			1		
≧65	0.247	0.096–0.631	0.004	0.341	0.242–0.481	<0.001
Histological Staging						
F 0–1	1			1		
F 2–3	0.349	0.128–1.207	0.103	0.382	0.264–0.553	<0.001
Serum HCV RNA level (logIU/mL)						
<6	1			1		
≧6	0.486	0.198–1.192	0.115	0.449	0.317–0.636	<0.001
γGTP (IU/)						
<44	1			1		
≧44	0.523	0.196–1.394	0.195	0.407	0.306–0.541	<0.001
Albumin (mg/dL)						
≧3.5	1			1		
<3.5				0.169	0.072–0.398	<0.001
Platelet count ($\times 10^9$/L)						
≧150	1			1		
<150	0.312	0.121–0.805	0.886	0.422	0.317–0.561	<0.001
Hemoglobin (g/dL)						
≧14	1			1		
<14	1.304	0.564–3.016	0.534	0.703	0.533–0.928	0.013
Fasting plasma glucose (mg/dL)						
<95	1		1			
≧95	0.471	0.210–1.057	0.068	0.553	0.411–0.744	0.001
HbA1c (%)						
<6.4	1			1		
≧6.4				0.235	0.103–0.535	0.001
HOMA-IR						
<2	1			1		
≧2	0.156	0.052–0.466	<0.001	0.188	0.121–0.290	<0.001
Total cholesterol (mg/dL)						
<220	1			1		
≧220	3.462	1.051–11.396	0.041	1.394	0.732–2.653	0.312
Tryglyceride (mg/dL)						
<150	1			1		
≧150	1.00	0.267–4.533	0.895	0.747	0.453–1.234	0.255
HDL-C (mg/dL)						
<40	1			1		
≧40	3.182	0.605–16.725	0.172	1.065	0.623–1.822	0.817
LDL-C (mg/dL)						
<140	1			1		
≧140	1.067	0.090–12.706	0.959	0.985	0.402–2.410	0.973

ALT, alanine aminotransferase; CI, confidence interval; γ-GTP, γ-glutamyltranspeptidase; HDL-C, High density lipoprotein-cholesterol; HOMA-IR, homeostasis model assessment-insulin resistance; LDL-C, Low density lipoprotein-cholesterol.

Table 3 Multivariate analysis of background factors influencing an sustained virological response (SVR) in normal alanine aminotransferase (NALT) patients

Factors	Odds ratio	95% CI	*P*-value
Age (years)			
<65	1		
≧65	0.236	0.072–0.771	0.017
HCV RNA (logIU/mL)			
<6	1		
≧6	0.391	0.131–1.167	0.092
Total cholesterol (mg/dL)			
<220	1		
≧220	4.098	1.077–15.591	0.039

CI, confidence interval.

decreased from 24.4 ± 3.4 IU/L to 16.3 ± 10.1 IU/L for the men and from 23.6 ± 3.5 IU/L to 14.1 ± 5.9 IU/L for the women. ALT-flare ups were observed for 34.0% (18 of 53) of the non-responsive group A patients. The mean ALT level was 63.6 ± 35.1 IU/L, and only three of these patients (16.7%) had serum ALT activity >100 IU/L (max 163 IU/L).

DISCUSSION

THIS IS THE first report of a large multicenter trial of the efficacy and safety of PEG-IFN α-2b plus RBV treatment of Japanese chronically infected HCV patients with NALT. A large randomized controlled trial of PEG-IFN α-2a 180 μg/week plus RBV at a fixed dose of 800 mg/day for American HCV patients with NALT reported an SVR rate of 40% for patients with genotype 1 treated for 48 weeks,[16] comparable to that achieved by patients with elevated ALT activity.[19,20] Our results were similar (37.8%), which indicates that Japanese NALT patients are suitable candidates for PEG-IFN α and RBV combination treatment.

Puoti *et al.*[17] reported that, for patients treated with PEG-IFN α-2a 180 μg/week plus an optimal RBV dosage (1000–1200 mg/day), the SVR rate was improved to 62% for HCV-1 NALT patients. In Japan, RBV taken orally at a daily dose of 600–1000 mg based on body weight is the recommended treatment of the Japanese Ministry of Health, Labor and Welfare. Thus, we are not able to use the same dose of RBV as used in the United States and European countries. On the other hand, Hiramatsu *et al.* have reported that maintaining a high dose (≧12 mg/kg/day) of RBV during the full treatment period could strongly suppress the relapse rate with chronic hepatitis C genotype 1 responding to α-2b plus RBV.[27] However, in their study, 165 (16.8%) of 984 patients who were enrolled discontinued the treatment because of adverse events or voluntary withdrawal, and 331 patients (33.6%) discontinued the treatment because of non-response. SVR in the intention-to-treat analysis was only 347 of 984 (35.3%), and the rate was similar to ours. Maintaining a higher dose of RBV results in higher rates of discontinuation due to adverse events, which leads to a decrease in SVR. Thus we feel it is best to reduce the dose of RBV. Therefore, we analyzed the SVR rates of our patients who were given less than the minimum acceptable dosage.

Our results indicate that taking at least the minimum acceptable dosage during treatment increased the SVR rate of NALT patients with genotype 1 by two to three times more than patients who did not take the minimum acceptable dosage. The current results confirm our previous study,[23,28] as well as indicate that receiving at least the minimum acceptable dosage is also very important for NALT patients to achieve SVR. The SVR rate was almost the same for patients taking a higher total dosage of RBV and those receiving the minimum acceptable dosage, and prescribing the minimum acceptable dosage would be safe and more cost effective than prescribing a higher dosage of RBV for NALT patients.

For HCV patients with NALT, Puoti *et al.*[17] stated that young patients without contraindications should take a combination therapy of PEG-IFN α plus RBV rather than to take a watchful-waiting strategy, we feel that older patients with NALT also may be acceptable candidates for PEG-IFN α plus RBV treatment. Moreover, results that the men over 65 years-of-age with elevated ALT had a lower SVR rate (36.4%) than those under 65 years (70.1%) indicate that it is necessary to treat the men with interferon at a younger age and before the exacerbation of ALT.

In this study, patients with NALT had milder histological disease than those with elevated ALT, which may be related to the higher rate of SVR in the NALT group.

Okanoue *et al.* reported that HCV carriers with ALT<30 IU/L and PLT counts >150 × 10^9/L were recommended to have follow up without antiviral treatment, because over 90% show normal or minimal liver damage with good prognosis from the point of view of the prevention of HCC.[29] Our data showed a higher SVR rate if NALT patients received at least the minimum acceptable dosage when liver fibrosis was not advanced. Therefore, from the point of view of eliminating HCV,

we feel that NALT patients also should receive PEG-IFN α plus RBV treatment if liver fibrosis is not advanced.

Further, our data demonstrated that total cholesterol could be useful for predicting which NALT patients will achieve SVR. These results showed that the total cholesterol level is inversely associated with liver fiborosis.[30,31] Therefore, serum total cholesterol might be helpful for a determination to treat NALT patients with PEG-IFN α-2b plus RBV, whether or not liver fibrosis is advanced, even when we cannot do liver biopsy. We feel that whether or not to initiate therapy should be decided not only by age and serum ALT level, but also by serum total cholesterol and the guidelines of AASLD as above mentioned.[12]

Although IFN α treatment for patients with NALT has been reported to cause ALT-flare ups after treatment,[32,33] we previously reported that the number of patients with elevated ALT levels in a 2-year follow up was not significantly different between patients treated with IFN α and untreated patients.[34] There has been only one report that PEG-IFN α-2a plus RBV combination treatment did not cause ALT flare-ups after treatment,[16] but the precise relationship remains to be elucidated. Our data indicated that the ALT flare up rate after treatment was 15.8%, and watching non-SVR patients carefully after treatment is important to check for ALT flare ups. Along with a report that over 60% of patients with NALT have an elevated ALT level at 3 years,[35] we considered that the PEG-IFN α plus RBV combination treatment is also safe for patients with NALT, although we must note that we did not follow up a full 2 years to observe the change of ALT levels.

This study has a limitation that liver biopsy was done only for about half of the enrolled patients and that we could not measure biomarkers of liver fibrosis such as hyaluronic acid, so we could not precisely estimate the liver fibrosis. However, because the present study was a large multicenter design, the findings are of great interest for clarifying the efficacy and safety of PEG-IFN α-2b plus RBV combination treatment for patients with NALT.

CONCLUSIONS

THE EFFICACY AND safety of PEG-IFN α-2b plus RBV combination therapy for patients with chronic HCV infection who have NALT is similar to that of patients with elevated ALT levels. These results indicate that patients with NALT are suitable candidates for treatment with PEG-IFN α-2b plus RBV.

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Original Article

Occurrence of clinical depression during combination therapy with pegylated interferon alpha or natural human interferon beta plus ribavirin

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Aim: The onset of depression symptoms during pegylated interferon α plus ribavirin (PEG-IFN/RBV) combination therapy has led to treatment discontinuation in some cases. In the present study, we conducted a questionnaire survey during treatment to determine whether natural human interferon β plus ribavirin (IFNβ/RBV) therapy is associated with a lower incidence of depression symptom onset compared with PEG-IFN/RBV therapy.

Methods: Seventy-seven patients with chronic hepatitis C received PEG-IFN/RBV (PR) or IFNβ/RBV (FR) therapy. A questionnaire survey was administered at the start of treatment, and at 4 and 12 weeks, using the Beck Depression Inventory II (BDI-II) and the Pittsburgh Sleep Quality Index (PSQI).

Results: BDI-II scores in the PR group increased at 4 and 12 weeks, but remained unchanged in the FR group. At 12 weeks, the mean BDI-II score and incidence of abnormalities with a BDI-II score of ≥14 were significantly lower in the FR group than in the PR group. BDI-II scores during IFNβ/RBV therapy in 11 patients currently using antidepressants remained unchanged up to 12 weeks. None of these 11 patients required addition or dose increases of antidepressants, and there was no evidence of worsened depression symptoms. Nine PR patients had BDI-II scores of ≥14 and PSQI scores of ≥11 at 12 weeks.

Conclusions: IFNβ/RBV therapy was associated with a lower incidence of depression symptom onset during treatment. In patients already diagnosed with depression, there was no evidence that IFNβ/RBV therapy caused any worsening of symptoms, indicating that IFNβ/RBV therapy is safe for patients with depression.

Key words: Beck Depression Inventory II, chronic hepatitis C, depression, natural interferon β, pegylated interferon α, Pittsburgh Sleep Quality Index.

INTRODUCTION

INTRODUCTION OF PEGYLATED interferon α plus ribavirin (PEG-IFN/RBV) combination therapy has led to an improved sustained virological response (SVR) in patients with chronic hepatitis C who are receiving interferon therapy.[1–6] An additional new treatment regimen has been introduced by adding Telaprevir to this PEG-IFN/RBV therapy.[7,8] However, adverse effects of PEG-IFN/RBV include the onset of symptoms of depression.[9–11] Thus, there are some difficulties in treating patients with depression or sleep disorders with PEG-IFN/RBV therapy.

In Japan, natural human interferon β (IFNβ), which has a low association with the onset of symptoms of depression, has been used in interferon therapy for chronic hepatitis C.[12,13] IFNβ plus ribavirin (IFNβ/RBV) combination therapy is now used.[14] However, there are no existing reports on the relationship between PEG-IFN/RBV or IFNβ/RBV therapy and the onset of depression symptoms. Therefore, in the present study, in order to determine if IFNβ/RBV therapy is associated with a lower incidence of the onset of symptoms of depression compared to PEG-IFN/RBV therapy, and to evaluate the safety of the IFNβ/RBV therapy in patients with depression, we conducted a questionnaire survey during PEG-IFN/RBV or IFNβ/RBV therapy to investigate the frequency, timing, and intensity of depression symptoms.

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METHODS

Study population

A TOTAL OF 77 Shinkokura Hospital patients with chronic hepatitis C who received IFN therapy for at least 12 weeks between January 2010 and April 2011 were included in the study. The study protocol was in compliance with both the Good Clinical Practice Guidelines and the 1975 Declaration of Helsinki, and was approved by the Institutional Review Board. Each patient gave informed consent before participating in this trial. Patients were assigned to one of the following three groups: (1) the PEG-IFN/RBV (PR) group, consisting of 41 patients who received PR therapy for a period of 24 to 48 weeks; (2) the IFNβ/RBV (FR) group, consisting of 25 patients who received the FR therapy for a period of 24 to 48 weeks; and (3) the FR-d group, consisting of 11 patients with depression who were on antidepressants and who received the FR therapy for a period of 24 to 48 weeks. Patients in the FR-d group received regular psychiatric consultation and experienced dose reduction, dose increase, or addition of antidepressants during treatment. Patients with depression, those with a previous history of depression, those who were on antidepressants, or those who were on sleep-inducing drugs were excluded from the PR and FR groups. Patients reporting some type of sleep disorder during treatment were given sleep-inducing drugs at the discretion of their primary physician. Treatment regimens of PR or FR therapy were determined by the physician. None of the patients required dose reduction of IFN due to neutropenia or thrombocytopenia prior to 12 weeks. This study is a prospective, non-randomized open trial.

Criteria for exclusion from the study were as follows: (i) clinical or biochemical evidence of hepatic decomposition and advanced cirrhosis identified by ascites, encephalopathy, or hepatocellular carcinoma; (ii) IFNβ/RBV: white blood cell count of less than 3000/mm^3 and platelet count of less than 50 000/mm^3, PEG-IFN/RBV: white blood cell count of less than 4000/mm^3 and platelet count of less than 80 000/mm^3; (iii) concomitant liver disease other than hepatitis C (hepatitis B surface antigen- or human immunodeficiency virus-positive); (iv) excessive active alcohol consumption exceeding 60 g/day or drug abuse; (v) severe psychiatric disease; and (vi) antiviral or corticosteroid therapy within the 12 months prior to enrollment.

Interferon treatment

Patients in the PR group received the following treatment regimen. In brief, PEG-IFNα-2b (PEG-Intron; MSD Co., Tokyo, Japan) was injected subcutaneously at a median dose of 1.5 lg/kg (range: 1.3–2.0 lg/kg) once a week. Ribavirin (Rebetol; MSD Co., Tokyo, Japan) was administered at a dose of 200–600 mg twice a day after breakfast and dinner (daily dose: 600–1000 mg). Patients in the FR and FR-d groups received the following treatment regimen. Briefly, IFNβ (Feron; Toray Industries Inc., Tokyo, Japan) was given intravenously at a dose of 6 million units (MU) daily for 4 weeks, followed by three times a week for 20–44 weeks. Ribavirin (Rebetol; MSD Co., Tokyo, Japan) was administered at a dose of 200–600 mg twice a day after breakfast and dinner (daily dose: 600–1000 mg). Hepatitis C virus (HCV) RNA concentrations were determined using the COBAS TaqMan HCV test (Roche Diagnostics). The linear dynamic range of the assay was 1.2–7.8 log IU/mL. Patients were considered to have an SVR if HCV RNA remained undetectable at 24 weeks after the completion of treatment. Urinalysis and measurement of serum albumin levels were performed once every 4 weeks, from the start of treatment to Week 24.

Questionnaire

A questionnaire survey was conducted immediately before the start of treatment and at 4 weeks and 12 weeks using the Beck Depression Inventory II (BDI-II) and the Pittsburgh Sleep Quality Index (PSQI).[15,16] The questionnaire survey was administered by one expert investigator, who remained blinded to the treatment regimens prescribed to patients, the timing of treatment, and other information. Patients with a BDI-II score of 14 or more were considered to have the onset of depression symptoms. Patients with a PSQI score of 11 or more were identified as having sleep disorder. All patients were given a questionnaire at 12 weeks, while a questionnaire was administered to 58 subjects at the baseline and at 4 weeks, including 28, 19, and 11 patients in the PR, FR, and FR-d groups, respectively.

Statistical analysis

Nonparametric tests (χ^2 test and Fisher's exact probability test) were used to compare the characteristics of the groups, as well as the BDI-II score and the PSQI score at 12 weeks. Univariate and multivariate logistic regression analyses were used to determine the factors that significantly contributed to the onset of symptoms of depression. The odds ratios (OR) and 95% confidence intervals (95% CI) were also calculated. All *P*-values less than 0.05, as determined by the two-tailed test, were considered significant. Variables were entered into

multiple logistic regression analysis to identify significant independent predictive factors. The potential pretreatment factors associated with patients having the onset of depression included the following variables: age, sex, HCV genotype, type of IFN, hemoglobin, platelet count, alanine aminotransferase (ALT), albumin, gamma-glutamyl transpeptidase (γ-GTP), total cholesterol, fasting blood sugar, and HCV RNA level.

RESULTS

Baseline background and IFN treatment

TABLE 1 SHOWS THE background of patients in the PR and FR groups. The mean age was significantly higher in the FR group (64.1 years) than in the PR group (52.5 years; $P < 0.001$). The PR group had more men than the FR group, although statistical significance was not reached. Baseline laboratory data showed a significantly lower platelet count in the FR group ($P < 0.05$). Significantly lower γ-GTP values were observed in the FR group ($P < 0.05$). The other laboratory parameters were comparable between the two groups. More patients with genotype 1 were in the PR group than the FR group, although no statistical significance was found. A total of 59 of 66 patients were evaluable for SVR. The proportion of patients with genotype 1 achieving an SVR was 33% (3/9) in the FR group and 48% (12/25) in the PR group. The PR group had a higher SVR rate, although statistical significance was not reached. The SVR rate among patients with genotype 2 was similar in the FR (85%, 11/13) and PR (83%, 10/12) groups. Over 24 weeks of treatment, 8% of patients (3/36) experienced at least one proteinuria event. None of the patients had a serum albumin level of ≤3.3 g/dL.

Change in the BDI-II score and the PSQI score during IFN treatment

Changes in the BDI-II score over time are shown in Figure 1. BDI-II scores in the PR group were increased relative to baseline at 4 and 12 weeks. Corresponding scores in the FR group remained unchanged. At 12 weeks, BDI-II scores were significantly lower in the FR group (5.8) than in the PR group (12.6; $P < 0.05$). The FR-d group had already high BDI-II scores of 23.0 at baseline, but BDI-II scores remained unchanged during treatment. No patients required dose increase or addition of antidepressants during treatment. There was no evidence of worsened depression symptoms during FR therapy.

In the PR group, the incidence of the onset of symptoms of depression, defined as a BDI-II score of 14 or more, increased from 0% at baseline to 21% at 4 weeks

Table 1 Clinical background before combination therapy of interferon β plus ribavirin (IFNβ/RBV) or pegylated interferon α plus ribavirin (PEG-IFN/RBV) in chronic hepatitis C patients

		IFNβ /RBV $n = 25$		PEG-IFN/RBV $n = 41$		IFNβ /RBV with depression $n = 11$	
Study variables		Mean	(SD)	Mean	(SD)	Mean	(SD)
Age	years	64.1	(12.7)**	52.5	(10.2)**	49.2	(9.7)
Gender							
Male		13	(52%)	30	(73%)	5	(45%)
Female		12	(48%)	11	(27%)	6	(55%)
Baseline hemoglobin	g/dL	14.0	(1.4)	14.7	(1.4)	14.0	(2.0)
Baseline platelet	10^9/L	165	(57)*	192	(59)*	202	(78)
Baseline ALT	IU/L	81.2	(81.1)	73.4	(64.0)	65	(43.1)
Baseline γ-GTP	IU/L	47.9	(36.5)*	92.0	(58.5)*	92.1	(96.3)
Baseline total cholesterol	mg/dL	177.1	(23.3)	177.5	(43)	201.5	(38.3)
Baseline fasting blood sugar	mg/dL	118.7	(58.4)	117.5	(33)	10.5.0	(30.8)
Baseline HCV	log IU/mL	5.8	(1.1)	6.1	(0.9)	5.9	(1.1)
HCV genotype							
1		12	(48%)	28	(68%)	5	(45%)
2		13	(52%)	13	(32%)	6	(55%)

*$P < 0.05$ (IFNβ/RBV vs. PEG-IFN/RBV).
**$P < 0.001$ (IFNβ/RBV vs. PEG-IFN/RBV).
ALT, alanine aminotransferase; HCV, hepatitis C virus; γ-GTP, albumin, gamma-glutamyl transpeptidase.

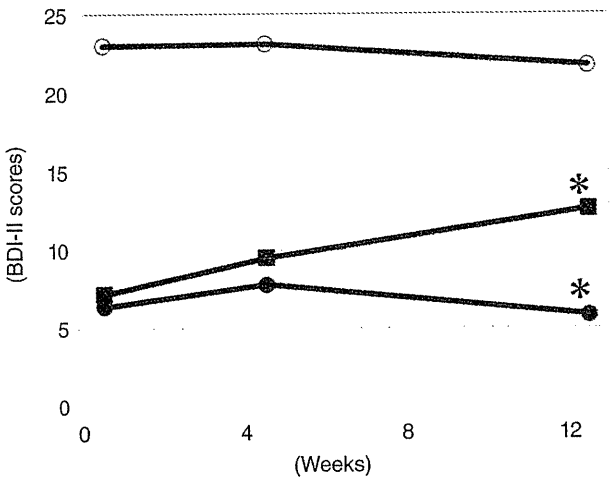

Figure 1 Changes in Beck Depression Inventory II (BDI-II) score for pegylated interferon α plus ribavirin (PEG-IFN/RBV) or interferon β plus ribavirin (IFNβ/RBV) therapy (●: IFNβ/RBV [FR] group, ○: FR-d group [FR patients with depression], ■: PEG-IFN/RBV [PR] group. *$P < 0.05$, FR vs. PR at week 12).

($n = 6$) and 34% at 12 weeks ($n = 14$). In the FR group, the incidence of the onset of symptoms of depression was 10% at 4 weeks ($n = 2$) and 8% at 12 weeks ($n = 2$), compared with 0% at baseline, indicating that the incidence did not change between 4 and 12 weeks. The incidence of the onset of depressive symptoms at 4 weeks was lower, but not significantly, in the FR group than in the PR group. Figure 2 shows the BDI-II score with a treatment regimen of IFN therapy at 12 weeks. The incidence of the onset of depressive symptoms (BDI-II score of 14 or more) was significantly lower in the FR group (8%, $n = 2$) than in the PR group (34%, $n = 14$; $P < 0.05$). The incidence of the onset of moderate depression symptoms (a BDI-II score of 20 or more) was higher in the PR group (20%, $n = 8$) than in the FR group (4%, $n = 1$). Mean PSQI scores at baseline, 4 weeks, and 12 weeks were 5.44, 6.62, and 7.37 in the PR group and 5.69, 6.01, and 6.88 in the FR group, respectively, indicating higher scores in the PR group than in the FR group from Week 4 onward. The incidence of sleep disorder, defined as a PSQI score of 11 or more, was higher in the PR group at both 4 and 12 weeks (18% and 27%, respectively) than in the FR group (0% and 8%, respectively).

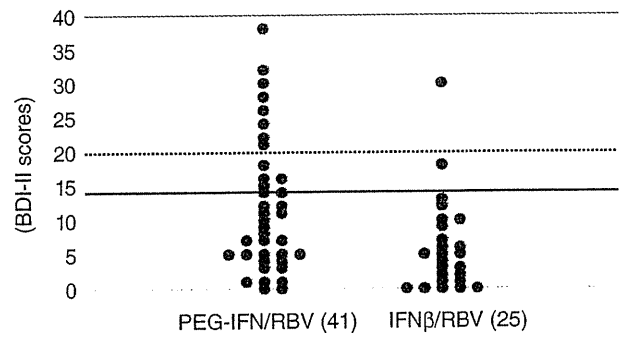

Figure 2 Distribution of Beck Depression Inventory II (BDI-II) scores for treatment regimens of interferon (IFN) therapy at 12 weeks (solid line: BDI-II score of 14, dotted line: BDI-II score of 20).

BDI-II score and PSQI score at 12 weeks

Figure 3 shows the correlation between the BDI-II score and the PSQI score at 12 weeks. Some correlation was found between these scores with an overall coefficient of correlation (r) of 0.6755 ($P < 0.0001$). A strong correlation was noted between the BDI-II score and the PSQI score in the PR group, with an r-value of 0.7586 ($P < 0.0001$). In contrast, no correlation was observed in the FR group, with an r-value of 0.3589 ($P = 0.0786$). The incidence of sleep disorder (a PSQI score of 11 or more) at 12 weeks was lower in the FR group (8%, $n = 2$) than in the PR group (27%, $n = 11$). Only nine patients in the PR group had a BDI-II score of 14 or more and a PSQI score of 11 or more, whereas there were no such patients in the FR group, with the difference reaching statistical significance ($P < 0.05$). Three of the nine patients with a BDI-II score of 14 or more

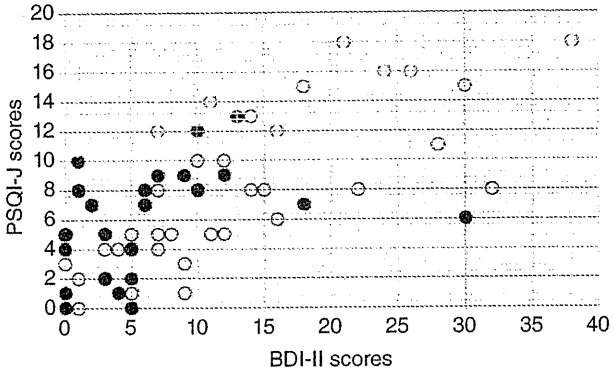

Figure 3 Graph showing correlation between Beck Depression Inventory II (BDI-II) and the Pittsburgh Sleep Quality Index (PSQI) scores at 12 weeks (correlation coefficient, Total: r = 0.6755, $P < 0.0001$; pegylated interferon α plus ribavirin [PEG-IFN/RBV]: r = 0.7586, $P < 0.0001$; interferon β plus ribavirin [IFNβ/RBV]: r = 0.3589, $P = 0.0786$).

and a PSQI score of 11 or more at 12 weeks discontinued treatment prior to 24 weeks due to depression symptoms.

Predictive factors contributing to the onset of depression symptoms during IFN therapy

Results from univariate and multivariate logistic regression analyses of the factors contributing to the onset of depression symptoms during IFN therapy are shown in Table 2. The univariate regression analysis showed that the type of IFN (PEG-IFNα) was the only factor that contributed to the onset of depressive symptoms ($P < 0.027$). The multivariate logistic regression analysis confirmed that the type of IFN (PEG-IFNα/RBV) was the only contributing significant independent predictive factor.

DISCUSSION

PR THERAPY FOR chronic hepatitis C involves long-term treatment, ranging from 24 to 48 weeks. The duration of treatment in patients with HCV genotype 1 and a high viral load may range from 48 and 72 weeks.[17] Currently available PR therapy yields only a low SVR rate in patients who discontinue treatment early. Thus, it is important to complete treatment as prescribed. The onset of depression symptoms associated with PEG-IFNα treatment is one of the reasons for early discontinuation of treatment due to adverse effects. In Japan, IFNβ, which is associated with a low incidence of the onset of depression symptoms, has been used in patients with depression.[12–14] In addition, due to the milder side effects of IFNβ, we have used it in IFN therapy for hemodialyzed patients with chronic hepatitis C.[18] The SVR rate among patients with HCV genotype 1 who were treated with IFNβ/RBV was lower (approximately 40%) than that among those treated with PEG-IFN/RBV[11], while patients with HCV genotype 2 who were treated with IFNβ/RBV had an SVR rate of approximately 87%, which was similar to that observed in those treated with PEG-IFN/RBV [19].

There have been no reported studies on the relationship between FR therapy and the onset of depression symptoms. In the present study, we demonstrated that FR therapy produced a significantly lower frequency of depression symptoms than PR therapy. We also found no evidence of worsened depression symptoms during the FR therapy in patients with depression.

In the present study, a questionnaire was conducted using BDI-II and PSQI scores to assess depression symptoms and sleep disorder. The BDI-II is way to measure the severity of depression symptoms and consists of 21 questions. Symptoms with a total score of ≥14, ≥20, and ≥29 are considered mild, moderate, and severe, respectively.[15] The PSQI is a questionnaire that is used to measure the quality of sleep. Original versions of both questionnaires have been translated into Japanese, and the translated versions were used in our study.

In the present study, we found that the percentage of patients with a BDI-II score of 14 or more in the PR group was approximately 20% as early as 4 weeks after

Table 2 Results from univariate and multivariate logistic regression analyses of the factors contributing to the onset of depressive symptoms

			Simple regression		Multiple logistic regression	
Factor	Range		Odds ratio	*P*-value	Odds ratio	*P*-value
Age	≧60 / <60	(years)	0.308	0.066	–	–
Sex	Male / Female		0.808	0.728	–	–
Genotype	1 / 2		0.900	0.859	–	–
Type of IFN	PEG-IFN/IFNβ		0.168	0.027	0.168	0.027
Hemoglobin	<14 / ≧14	(g/dL)	1.310	0.647	–	–
Platelet	<15 / ≧15	(10^4/μL)	3.294	0.143	–	–
ALT	≧50 / <50	(IU/L)	1.269	0.682	–	–
γ-GTP	≧45 / <45	(IU/L)	0.990	0.986	–	–
Total cholesterol	≧220 / <220	(mg/dL)	1.667	0.652	–	–
FBS	<110 / ≧110	(mg/dL)	0.682	0.531	–	–
Viral load	≧6.0 / <6.0	(LogIU/mL)	0.829	0.750	–	–

ALT, alanine aminotransferase; FBs, fasting blood sugar; IFN, interferon; γ-GTP, gamma-glutamyl transpeptidase; PEG-IFN/RBV, pegylated interferon α plus ribavirin.

the start of treatment and increased to 34% within the first 12 weeks. However, in the FR group, 10% or less of patients only experienced the onset of mild depressive symptoms and the percentage was comparable at 4 and 12 weeks, after which no patients discontinued treatment due to depression symptoms. At 12 weeks particularly, both the mean BDI-II score and the incidence of abnormalities (a BDI-II score of 14 or more) were significantly lower in the FR group than in the PR group, indicating that FR therapy was less likely to induce the onset of depression symptoms than PR therapy. It appears that assessing the onset of depressive symptoms is useful at 12 weeks of IFN treatment. However, assessment at 4 weeks of treatment also appears to be necessary, when possible, because the onset of depression symptoms may be observed as early as 4 weeks.

The onset of depression symptoms during PR therapy has been associated with sleep disorder. In the present study, there was a strong association between the BDI-II scores and PSQI scores. Careful management is required in patients reporting sleep disorder, which is one of the early symptoms of depression.

Some of the patients receiving PR therapy, who had a BDI-II score of 14 or more and a PSQI score of 11 or more at 12 weeks, discontinued treatment due to the subsequent onset of depressive symptoms; more careful management is required in these patients.

Patients with depression were also included in the present study (FR-d group). There was no increase over time in the BDI-II score of patients with depression and none of the patients with depression required additional or an increased dose of antidepressants; there was no evidence that the depression symptoms worsened. This suggests that FR therapy is safe in both patients with depression and patients at risk for symptoms of depression.

The BDI-II and the PSQI, which were used in the present study, are simple questionnaires, which take several minutes to complete and appear to be useful instruments in assessing the onset of depressive symptoms during IFN therapy. IFNβ/RBV therapy should be used in patients with depression or sleep disorder. Patients showing the onset of depression or sleep disorder during PEG-IFN/RBV therapy should be switched to IFNβ/RBV therapy to continue IFN therapy, having given due consideration to the discontinuation of therapy.

IFNB/RBV THERAPY WAS associated with a low incidence of the onset of depression symptoms during treatment, and was also safe in patients with depression, who showed no evidence of worsening of symptoms during treatment. Depression symptoms during PEG-IFN/RBV therapy were strongly associated with sleep disorders and commonly occurred within the first 12 weeks of treatment. Patients with the onset of both symptoms of depression and sleep disorders should be closely monitored, as they are more likely to discontinue treatment after these conditions develop.

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Original Article

Recommendation of lamivudine-to-entecavir switching treatment in chronic hepatitis B responders: Randomized controlled trial

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Aim: In the 2007–2008 guidelines of the study group (Ministry of Health, Labor and Welfare of Japan), lamivudine (LAM)-continuous treatment was recommended in patients treated with LAM for more than 3 years who maintained hepatitis B virus (HBV) DNA less than 2.6 log copies/mL, because in these patients LAM resistance might exist and switching treatment to entecavir (ETV) might cause ETV resistance. However, there was no evidence on whether switching treatment to ETV- or LAM-continuous treatment was better in those patients. In the present study, we performed a randomized controlled trial of LAM-to-ETV switching treatment.

Methods: Twenty-seven patients treated with LAM for more than 3 years whose HBV DNA levels were less than 2.6 log copies/mL were enrolled and randomly divided into two groups, LAM-continued group or switching to ETV group. Then, we examined incidence of virological breakthrough (VBT) and breakthrough hepatitis (BTH) in each group.

Results: There was no BTH in any of the patients. VBT was observed in six patients of the LAM group (6/15, 40%), and no patient of the ETV group (0/11, 0%) ($P = 0.02$). The differences of the proportion of cumulated VBT using a log–rank test with Kaplan–Meier analysis were significant between the LAM and ETV groups ($P = 0.025$).

Conclusion: In patients treated with LAM for more than 3 years maintaining HBV DNA less than 2.6 log copies/mL, switching treatment to ETV is recommended at least during the 2 years' follow-up period.

Key words: chronic hepatitis B, entecavir, lamivudine, lamivudine resistance, randomized controlled trial, switching treatment

INTRODUCTION

OVER THE PAST two decades, treatment of chronic hepatitis B (CHB) has greatly improved with the availability of nucleos(t)ide analogs (NA), including lamivudine (LAM), adefovir dipivoxil (ADV), entecavir (ETV), telbivudine, clevudine and tenofovir. NA target

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the reverse transcriptase of hepatitis B virus (HBV), and are highly effective in suppressing HBV replication and clinical progression to liver cirrhosis and hepatocellular carcinoma in CHB patients.[1–4]

Lamivudine, ADV and ETV are commonly available in Japan. LAM, the first approved NA, has been shown to provide benefit for CHB patients with respect to the reduction of HBV DNA, normalization of alanine aminotransferase (ALT) and improvement of liver histology.[5,6] However, a serious problem of LAM is the high incidence of drug resistance during long-term treatment. The detection rate of LAM resistance has been reported to be 24% at 1 year and 70% after 5 years of treatment.[7–10] Even when the HBV DNA level was maintained at less than 2.6 log copies/mL, the accumulated incidence of LAM resistance reached 65% in patients treated with LAM for a long period (3 to ~10 years).[11] LAM resistance is caused by amino acid substitution(s) at rtM204V/I within the reverse transcriptase domain of the HBV polymerase gene.[12–14] The emergence of a LAM-resistant strain leads to virological breakthrough (VBT) and breakthrough hepatitis (BTH).

Recently, ETV has been demonstrated to exert antiviral efficacy in both NA-naïve and LAM-resistant CHB patients.[15–17] The frequency of ETV resistance has been reported to be 1.2% after 5 years of treatment in NA-naïve CHB patients.[18,19] On the other hand, in switching treatment to ETV for LAM-resistant CHB patients, the cumulative probability of ETV resistance increases.[17,20] After 5 years of treatment, 51% of LAM-refractory patients treated with ETV showed genotypic ETV resistance.[21]

The 2007–2008 guidelines of the study group (Ministry of Health, Labor and Welfare of Japan) for patients on LAM therapy are summarized in Table 1.[22] Regardless of duration of LAM administration, in cases where HBV DNA is more than 2.6 log copies/mL with BTH, ADV add-on treatment was recommended. In patients treated with LAM for less than 3 years who maintained HBV DNA of less than 2.6 log copies/mL or HBV DNA of 2.6 log copies/mL or more without BTH, switching to ETV was recommended. On the other hand, in patients treated with LAM for more than 3 years who maintained HBV DNA of less than 2.6 log copies/mL or HBV DNA of 2.6 log copies/mL or more without BTH, LAM-continuous treatment was recommended because in these patients LAM resistance might exist, and switching treatment to ETV might cause ETV resistance. However, there is insufficient evidence on whether switching treatment to ETV- or LAM-continuous treatment is better for CHB patients treated with LAM for more than 3 years with HBV DNA of less than 2.6 log copies/mL.

In the present study, we performed a randomized controlled trial of LAM-to-ETV switching treatment in CHB patients treated with LAM for more than 3 years who maintained HBV DNA of less than 2.6 log copies/mL.

METHODS

Patients

A TOTAL OF 27 CHB patients (mean age 55 ± 9 years, 17 men) from 11 institutions all over Japan (Hokkaido University Hospital, Tohoku University Hospital, Akita City Hospital, Kuramitsu Clinic, Juntendo University Hospital, Chukyo Hospital, Nagoya City University Hospital, Okayama University Hospital, Kawasaki Medical University Hospital, Ehime University Hospital, Shin-Kokura Hospital) were enrolled from April 2008. All the patients were followed at least 6 months after they were diagnosed with CHB. Their characteristics are shown in Table 2. They were treated with LAM (100 mg/day) for more than 3 years (median 50 months, range 36–106 months). Before starting LAM administration, all patients were positive for hepatitis B surface antigen (HBsAg) in serum, abnormal for ALT, detectable for HBV DNA, and were not

Table 1 2007–2008 guidelines of the study group (Ministry of Health, Labor and Welfare of Japan) for patients on lamivudine treatment

Duration of lamivudine treatment HBV DNA		<3 years	≥3 years
<2.6 log copies/mL, persistently		May be switched to ETV 0.5 mg/day	LAM 100 mg/day
≥2.6 log copies/mL	No BTH†	May be switched to ETV 0.5 mg/day	LAM 100 mg/day
	With BTH	Add on ADV 10 mg/day	Add on ADV 10 mg/day

†After checking for absence of LAM resistance.
ADV, adefovir; BTH, breakthrough hepatitis; ETV, entecavir; HBV, hepatitis B virus; LAM, lamivudine.

Table 2 Characteristics of LAM continuous group and ETV switch group at baseline

	LAM ($n = 15$)	ETV ($n = 11$)	*P*-value
Male	10	6	NS
Age	53 ± 7	57 ± 7	NS
Duration of LAM administration (month)	59 ± 23	55 ± 18	NS
ALT (IU/L)	33 ± 29	28 ± 22	NS
HbeAg positive	1	1	NS

ALT, alanine aminotransferase; ETV, entecavir; HBeAg, hepatitis B e-antigen; LAM, lamivudine; NS, not significant.

infected with hepatitis C virus and HIV. Patients diagnosed with alcoholism, primary biliary cirrhosis or autoimmune hepatitis were excluded.

Study design

The overview of this study design is shown in Figure 1. Twenty-seven patients treated with LAM for more than 3 years were enrolled, who showed HBV DNA of less than 2.6 log copies/mL at entry. They were randomly divided into two groups by each institution, the LAM-continued group (LAM group) or switching to the ETV group (ETV group). The primary end-points were the incidences of VBT and BTH in each group. VBT was defined as having more than 1 log copies/mL increase of HBV DNA level from the nadir on at least two occasions after initial virological response. BTH was defined as showing abnormal ALT level due to LAM or ETV resistance. All subjects were monitored at least every 3-month intervals. At every visit, routine examination with biochemical (ALT, bilirubin, albumin) and virological (HBV DNA level, hepatitis B e-antigen [HBeAg], anti-HBe) assessments took place. The mean follow-up period was 24 ± 3 months.

This study was registered in the University Hospital Medical Information Network Clinical Trials Registry (UMIN-CTR) on 4 April 2008 as "A randomized trial of lamivudine continuous therapy and entecavir switching therapy for chronic hepatitis B patients treated with lamivudine monotherapy" (no. UMIN000001120).

The study protocol conformed to the Declaration of Helsinki, and was approved by the Committee for Ethics of Medical Experiments on Human Subjects of all the institutions, and written informed consent was obtained from every participant.

Serological and virological markers of HBV

Hepatitis B surface antigen, antibody against HBsAg (anti-HBs), HBeAg and antibody against HBeAg (anti-HBe) were determined using commercially available enzyme immunoassays. HBV DNA was determined by an Amplicor HBV Monitor (Roche Molecular Systems, Branchburg, NJ, USA; detection limit 2.6 log copies/mL)

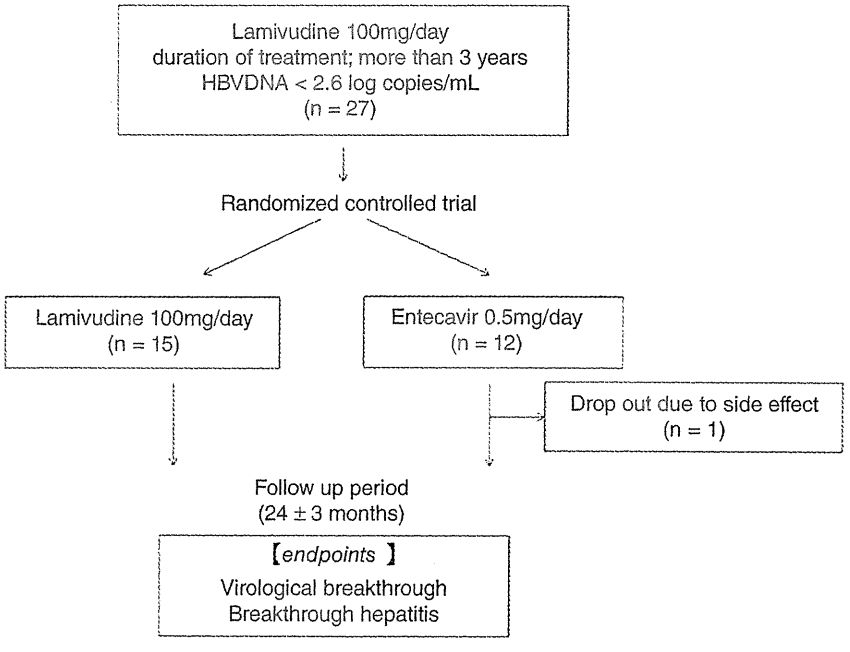

Figure 1 Overview of this study design. Twenty-seven patients treated with lamivudine for more than 3 years whose hepatitis B virus (HBV) DNA was maintained at <2.6 log copies/mL were enrolled. They were randomly divided into two groups by each institution, lamivudine-continued group or switching to entecavir group. We examined the incidence of virological breakthrough and breakthrough hepatitis in each group.

or COBAS AmpliPrep-COBAS TaqMan HBV test (Roche Molecular Systems; detection limit 2.1 log copies/mL). Positive results (signals) below the quantitative HBV DNA concentrations are referred to as "detected" and negative signals are "not detected" when registered by COBAS AmpliPrep-COBAS TaqMan HBV test. The presence of LAM-resistant rtM204V/I and rtL180M substitutions was analyzed by direct sequencing of the HBV DNA polymerase reverse transcriptase site.

Retrospective analysis

Using a conserved serum sample, we examined the existence of LAM-resistant rtM204V/I or rtL180M at baseline in patients with VBT. We also measured HBV DNA by COBAS AmpliPrep-COBAS TaqMan HBV test, and we evaluated the subsequent occurrence of VBT according to the DNA level (not detected/detected/2.1 to <2.6 log copies/mL).

Statistical analysis

Categorial variables were compared between groups by the χ^2-test or Fisher's exact test, and non-categorical variables by Mann–Whitney's *U*-test. The cumulated VBT rate was compared between each group using a log–rank test with Kaplan–Meier analysis. All data were analyzed using SPSS ver. 15.0J software. $P < 0.05$ was considered statistically significant.

RESULTS

Baseline characteristics of the patients

BASED ON THIS randomized controlled trial, 12 patients were placed in an ETV group and 15 in a LAM group. One patient in the ETV group dropped out because of skin rash by ETV. The baseline characteristics of the patients are described in Table 2. At the entry, one patient was positive for HBeAg in each group. There was no difference in sex, age, duration of LAM administration and ALT level between the two groups.

Incidence of VBT and BTH

There was no BTH in any of the patients. The incidence of VBT was six patients out of 15 (40%) in the LAM group, and no patient in the ETV group ($P = 0.02$). The Kaplan–Meier curve for the proportion of cumulated VBT is shown in Figure 2. The differences in the rates of VBT were significant between the LAM and ETV groups (log–rank test $P = 0.025$).

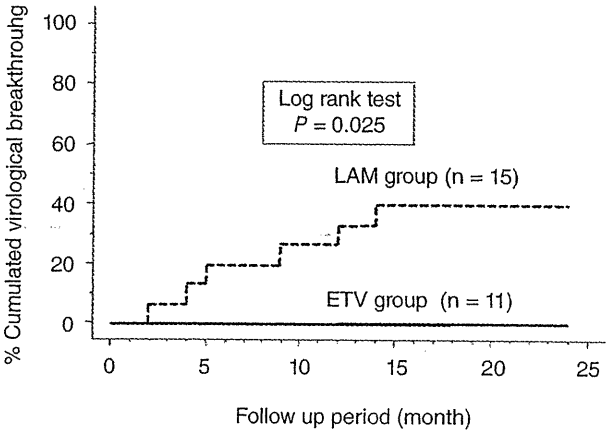

Figure 2 Proportion of cumulated virological breakthrough in lamivudine (LAM) and entecavir (ETV) group. The cumulated rate of virological breakthrough was higher in patients treated with LAM than those with ETV (40% vs 0%, $P = 0.025$ by log–rank test).

Characteristics of patients with VBT in LAM group

Details of the six VBT cases in the LAM group are described in Table 3. Assessment of LAM-resistant mutations at the time of VBT showed that both rtM204V and rtL180M were observed in all cases. For five of the six cases, HBV DNA was detected by COBAS AmpliPrep-COBAS TaqMan HBV test at baseline, although the HBV DNA level was very low. With respect to LAM-resistant mutation at baseline, rtM204V and rtL180M were observed in one of six cases. In contrast, no LAM-resistant mutations were observed in 20 non-VBT cases at baseline.

Incidence of VBT based on the HBV DNA level by COBAS AmpliPrep-COBAS TaqMan HBV test

Incidence of VBT based on the HBV DNA level according to COBAS AmpliPrep-COBAS TaqMan HBV test at baseline is shown in Figure 3. HBV DNA levels were less than 2.6 log copies/mL by Amplicor HBV Monitor in all cases. However, HBV DNA levels in the LAM group were "not detected" in five cases, "detected" in eight cases and 2.1 log copies/mL or more in two cases by COBAS AmpliPrep-COBAS TaqMan HBV test. VBT was observed in five of the 10 cases whose results were either "detected" or 2.1 log copies/mL or more and in one of the five "not detected" cases. On the other hand, although HBV DNA levels in the ETV group were

Table 3 Characteristics of patients with virological breakthrough in LAM group

At baseline						At virological breakthrough		
Age	Sex	Duration of LAM administration (month)	HBeAg	HBV DNA by TaqMan HBV (log copies/mL)	Mutant of LAM resistance	Period of VBT (months)	HBV DNA (log copies/mL)	Mutant of LAM resistance
49	M	37	Negative	Detected	None	14	4.9	L180M/M204V
54	F	106	Negative	Detected	None	5	2.8	L180M/M204V
63	F	81	Negative	Not detected	None	9	4.5	L180M/M204V
57	F	43	Negative	Detected	None	10	3	L180M/M204V
55	M	84	Negative	Detected	None	12	2.8	L180M/M204V
57	M	36	Negative	2.3	L180M/M204V	2	4	L180M/M204V

ALT, alanine aminotransferase; ETV, entecavir; HBeAg, hepatitis B e-antigen; HBV, hepatitis B virus; LAM, lamivudine; VBT, virological breakthrough.

"detected" in six cases by COBAS AmpliPrep-COBAS TaqMan HBV test, there was no incidence of VBT: HBV DNA levels of five patients were undetectable and that of one patient was "detected" at the last follow-up point after switching to ETV.

DISCUSSION

AT PRESENT, LAM, ADV and ETV are only approved for treatment of CHB patients in Japan. ETV has become the first-line treatment for NA-naïve patients, because the ETV resistance is much less frequent than LAM-resistance.[8,23,24] On the other hand, in switching treatment to ETV for LAM-resistant CHB patients, the frequency of ETV resistance was increased.[17,20,25–27] It has also been reported that ADV add-on treatment suppressed HBV replication more effectively than ETV or ADV monotherapy in patients with LAM-resistant CHB.[25,28] Therefore, it is desirable to examine LAM-resistant mutants before switching to ETV in patients treated with LAM. However, as the assay for the LAM-resistant mutants is not covered by the Japanese health insurance system at present, the Japanese guidelines for CHB management after LAM therapy were based on HBV DNA, duration of LAM administration and incidence of BTH (Table 1).[22] In patients treated with LAM for more than 3 years, maintaining HBV DNA of less than 2.6 log copies/mL or HBV DNA of 2.6 log copies/mL or more without BTH, LAM-continuous treatment was recommended because in these patients, LAM-resistance might exist, and switching treatment to ETV might cause ETV-resistance. It was reported that although LAM-resistant strains were detected in 34% cases treated with LAM for more than 3 years and whose HBV DNA level was suppressed to less than 2.6 log copies/mL, switching to ETV maintained undetectable HBV DNA level over 2 years.[29] In addition, Kurashige *et al.* reported that LAM-to-ETV switching treatment maintained an undetectable HBV DNA level in patients with baseline HBV DNA of less than 2.6 and 2.6 to less than 4.0 log copies/mL for a period of ETV treatment ranging 10–23 (median 20) months.[30] In the present study, randomized controlled trial evidenced that switching treatment to ETV or LAM-continuous treatment would be recommended in CHB patients treated with LAM for more than 3 years and maintained HBV DNA of less than 2.6 log copies/mL. Interestingly, even though HBV DNA had been suppressed to less than 2.6 log copies/mL, a high rate of VBT was observed in the LAM group, whereas no VBT over 24 months was observed in the ETV group. Of the six patients with VBT,