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1 **Original Article**

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3 **Changes in hepatitis C viral load during first 14 days can**
4 **predict the undetectable time point of serum viral load by**
5 **pegylated interferon and ribavirin therapy**
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16
17 **Aim:** In the treatment of chronic hepatitis C, pegylated inter- 51
18 feron (PEG-IFN) and ribavirin combination therapy must be 52
19 continued for an adequate duration to improve the rate of 53
20 sustained virological response. We attempted to predict the 54
21 time point at which serum hepatitis C virus (HCV) RNA are 55
22 undetectable during combination therapy.

23 **Methods:** Patients with HCV genotype 1b were enrolled in a 56
24 model preparation ($n = 35$) and a validation group ($n = 70$). All 57
25 patients received PEG-IFN- α -2b/ribavirin combination therapy 58
26 for at least 48 weeks, and serological samples were screened 59
27 a minimum of 17 times during the therapy. Serum HCV RNA 60
28 were measured by the Abbott RealTime HCV assay. Using the 61
29 HCV dynamics model described by Neumann *et al.*, we used 62
30 multiple linear regression analysis to select factors that 63
31 affected the undetectable time point. 64

32 **Results:** Difference in viral load between weeks 1 and 2 was 65
33 the only predictive factor for the undetectable time point of

serum HCV RNA ($r^2 = 0.67$, $P < 0.0005$), and we derived the 51
following prediction equation: undetectable time point 52
(week) = $13.495 \times$ (viral load at day 14 [log IU/mL] – viral load 53
at day 7 [log IU/mL]) + 25.456. The equation was applicable to 54
the validation group. 55

Conclusion: We created a formula for predicting the unde- 56
tectable time point from viral load measurements early in 57
PEG-IFN- α -2b/ribavirin combination therapy. An early 58
response reflects sensitivity to therapy, and the estimation of 59
an undetectable time point would be useful for determining 60
the optimal duration of treatment for chronic hepatitis C 61
patients. 62

Key words: hepatitis C, interferon, kinetics, real-time 64
polymerase chain reaction, undetectable time point 65

34
35 **INTRODUCTION**

36 **I**NTERFERON (IFN)-BASED therapy is the main form 67
37 of therapy for chronic hepatitis C, but it requires a 68
38 long-term period to complete, typically lasting at least 69
39 48 weeks for hepatitis C virus (HCV) genotypes 1 and 4. 70
40 The final therapeutic effect is eradication of HCV, which 71
41 is referred to as a sustained virological response (SVR). 72

Although combination therapy with pegylated (PEG)- 67
IFN- α and ribavirin is now established as the standard 68
treatment for chronic HCV infection genotype 1b, the 69
SVR rate in these patients is still approximately 50%.¹⁻³ 70
Moreover, it is difficult to know the treatment outcomes 71
during treatment and follow-up period. 72

Various factors have been investigated to predict the 73
treatment efficacy before initiation of therapy, including 74
pretreatment viral load,⁴ viral genotype,⁵ and gene 75
sequences, such as IFN sensitivity determining region,⁶ 76
and host factors, including sex, age, fibrosis stage and 77
race.^{7,8} These factors cannot be modified by therapy and 78
are unfortunately not completely reliable for predicting 79
therapeutic response. However, other studies have 80
documented the importance of the period when HCV 81
is cleared from the serum (we define this as the 82

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1 “undetectable time point”).^{9–13} When an undetectable
2 time point is achieved within 4 weeks of therapy initia-
3 tion, the SVR rate is high. In contrast, the later the
4 undetectable time point, the lower the SVR rate. One
5 disadvantage with this prediction method during
6 therapy is that SVR cannot be predicted until serum viral
7 clearance. If one can predict the undetectable time point
8 early during the treatment, physicians can modify and
9 optimize the ongoing treatment.

10 There are various patterns of patient response to IFN
11 therapy. In clinical settings, the following three response
12 patterns are observed: (i) SVR; (ii) non-virological
13 response (NVR), in which viral loads continue to be
14 detected during therapy; and (iii) relapse, in which viral
15 loads transiently drop below the detection limit but
16 become detectable again after the end of therapy.⁸ Math-
17 ematical models have been developed for analyzing
18 therapy-induced changes in HCV viral load. Neumann
19 *et al.*¹⁴ introduced a model for IFN monotherapy in 1998,
20 and a pharmacokinetic model for PEG-IFN has been
21 developed by Powers *et al.*¹⁵ These models are very useful
22 for understanding the therapeutic effects of IFN on HCV.

23 In recent years, techniques to quantify serum viral
24 RNA levels have advanced. The detection limit and the
25 dynamic range of the quantitative real-time polymerase
26 chain reaction (PCR) assay are lower and wider than
27 those of Amplicor PCR assay.^{16,17} As a result, the real-
28 time PCR assay can show us the more accurate viral
29 dynamics. In the present study, we used the model of
30 Powers *et al.*¹⁵ and real-time PCR to measure serum viral
31 loads. Our aim was to ascertain whether it is possible to
32 predict the undetectable time point during the early
33 stage of PEG-IFN- α -2b/ribavirin combination therapy
34 for genotype 1b patients with a high viral load, which is
35 the most difficult-to-treat phenotype of HCV.

36 METHODS

37 Patients

38 THE MODEL PREPARATION group comprised 35
39 patients with biopsy-proven chronic hepatitis C
40 who were treated at the Musashino Red Cross Hospital
41 from 2000–2001. All patients had HCV genotype 1b
42 and a high viral load (>100 000 IU/mL) as determined
43 by the Amplicor-HCV Monitor Assay (Roche Diagnos-
44 tics, Tokyo, Japan). Patients with other liver disease,
45 such as liver cirrhosis, autoimmune hepatitis or alco-
46 holic liver injury, were excluded. None of the patients
47 had hepatitis B virus-related antigens, antibodies or
48 anti-HIV antibodies. At the time of enrollment, it was

50 confirmed that none of the patients were taking drugs
51 that could affect their immune system. The dosage of
52 ursodeoxycholic acid and glycyrrhizin was not changed
53 during therapy.

54 The model validation group comprised 70 patients
55 with biopsy-proven chronic hepatitis C who were treated
56 at the Musashino Red Cross Hospital from 2004–2006.
57 As with the model preparation group, all patients had
58 HCV genotype 1b and a high viral load, and patients with
59 liver cirrhosis or alcoholic liver injury were excluded.
60 None of the patients had hepatitis B virus-related anti-
61 gens, antibodies or anti-HIV antibodies.

62 Informed consent was obtained from all patients in
63 writing. The present study was approved by the Ethics
64 Review Board of Musashino Red Cross Hospital in
65 accordance with the Declaration of Helsinki.

66 Treatment protocol

67 All patients received at least 48 weeks of PEG-IFN- α -2b
68 (PegIntron; Schering-Plough, Kenilworth, NJ, USA) and
69 ribavirin (Rebetol; Schering-Plough) combination
70 therapy. In the model validation group, if viral clearance
71 was not achieved by week 12, combination therapy was
72 prolonged to 72 weeks. PEG-IFN- α -2b (1.5 μ g/kg per
73 week) was administered s.c. Ribavirin was adminis-
74 trated p.o. at 600 mg/day twice daily to patients weigh-
75 ing less than 60 kg, and 800 mg/day was given to
76 patients weighing between 60 and 80 kg. The dosage of
77 PEG-IFN- α -2b was reduced to 0.75 μ g/kg per week
78 when white blood cells, neutrophils or platelets
79 dropped below 1500, 750 or $80 \times 10^3/\text{mm}^3$, respec-
80 tively. When hemoglobin concentration dropped below
81 10 g/dL, the dosage of ribavirin was reduced from 600
82 to 400 mg/day for patients weighing less than 60 kg,
83 and from 800 to 600 mg/day for patients weighing
84 between 60 and 80 kg. Both drugs were discontinued
85 when white blood cells, neutrophils, platelets or
86 hemoglobin levels dropped below 1000/ mm^3 , 500/
87 mm^3 , $50 \times 10^3/\text{mm}^3$ or 8.5 g/dL, respectively.

88 HCV dynamics in serum

89 To analyze viral dynamics, serum samples were col-
90 lected from each patient according to the following
91 schedule with respect to the start of PEG-IFN- α -2b/
92 ribavirin combination therapy: immediately before and
93 at 4, 8 h, and 1, 2, 4, 7, 8, 14 and 28 days after the
94 therapy was started; and then at 4-week intervals until
95 completion of the therapy. HCV viral loads were mea-
96 sured in all serum samples using the Abbott RealTime
97 HCV assay (Abbott Molecular, Des Plaines, IL, USA) at
98 an Abbott laboratory in the USA.¹⁶ The dynamic range
99
100

was 1.08–8 log₁₀ IU/mL. The assay is standardized to the 2nd World Health Organization (WHO) International Standard for HCV RNA (National Institute for Biological Standards and Control code 96/798). Nucleic acid extraction was performed on 0.5-mL samples using an Abbott m2000sp (Abbott Molecular). The Abbott m2000rt (Abbott Molecular) was used for reverse transcription, PCR amplification and detection/quantification. A single-stranded linear probe was used as the HCV probe.

Definitions of response to therapy

The undetectable time point was defined as the first time the viral load dropped below the detection limit (1.08 log₁₀ IU/mL) during therapy. Patients with SVR had no detectable viral load 6 months after the end of PEG-IFN- α -2b/ribavirin combination therapy. Patients in relapse had no detectable viral load at the end of therapy but had a detectable viral load 6 months after the end of therapy. Patients with NVR had a detectable viral load throughout the treatment period.

Calculation of the HCV dynamic parameters

Hepatitis C virus dynamic parameters (c , δ , ϵ , T_0 and V_0) were calculated from viral loads with equations for HCV dynamics.¹⁵ The parameter c is the constant viral death rate, δ is the death rate of infected cells, ϵ is the effect of PEG-IFN on blocking production of virus from infected cells, and T_0 and V_0 are the numbers of uninfected cells and virus at the start of therapy, respectively.

Statistical analysis

SAS ver. 9.13 was used for the statistical analysis. P-values of less than 0.05 were considered significant.

RESULTS

Baseline patient characteristics

TABLE 1 SHOWS the baseline characteristics of the patients. The SVR rate was 60% and 27 patients accomplished undetectable serum HCV until 24 weeks after the therapy was started. The therapy was discontinued in three of the 35 patients because of a reduction in

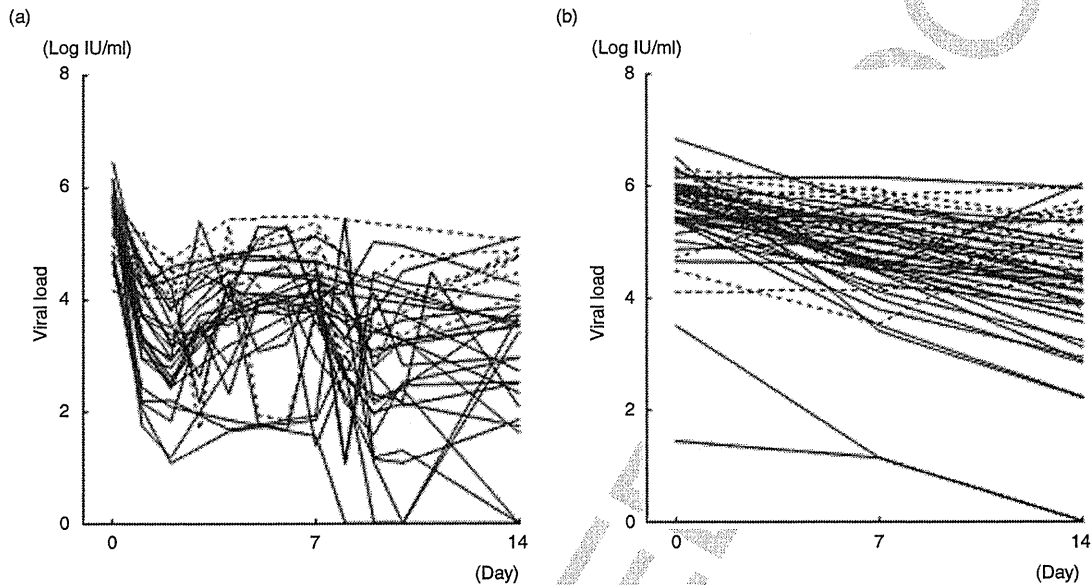
Table 1 Patient characteristics at baseline

	Model preparation group (n = 35)	Model verification group (n = 70)
Age (years)	52.1 \pm 9.9	57.8 \pm 11
Sex (male/female)	24/11	36/34
BMI	23.7 \pm 2.9	23.9 \pm 3.7
Hemoglobin (g/dL)	14.7 \pm 1.2	14.2 \pm 1.6
Platelet count ($\times 10^3/\mu\text{L}$)	17.9 \pm 4.8	15.5 \pm 5.2
Albumin (g/dL)	4.2 \pm 0.33	3.92 \pm 0.048
ALT (U/L)	91.7 \pm 64	80.0 \pm 7.4
Liver histology (Metavir score)		
A (0/1/2/3/4/not measured)	0/17/13/5/0/0	0/40/26/2/0/2
F (0/1/2/3/4/not measured)	0/17/15/3/0/0	2/23/25/18/0/2
Viral load (log IU/mL)		
At pretreatment	5.49 \pm 0.52	5.54 \pm 0.92
At 7th day of treatment	4.05 \pm 0.98	4.75 \pm 1.05
at 14th day of treatment	3.23 \pm 1.41	4.23 \pm 1.29
Durations of therapy (48 weeks/72 weeks/dropout)	32/0/3	45/7/18
Drug adherence† (PEG-IFN/ribavirin/both/non-)	7/5/2/21	6/21/30/13
Outcome (SVR/relapse/NVR)	21/6/8	20/26/24
Actual undetectable time point‡ (14/28 days/8/12/16/20/24/28/32 weeks/therapy end)	3/7/8/4/1/2/2/0/0	2/2/12/14/4/4/2/2/4

†NVR cases were excluded.

‡Patients numbers with dose reduction during the therapy.

BMI, body mass index; ALT, alanine aminotransferase; PEG-IFN, pegylated interferon; SVR, sustained virological response; NVR, non-virological response.



1 **Figure 1** Early hepatitis C virus (HCV) dynamics of model preparation group (a) and of model validation group (b). The patients
2 with incomplete blood collection were excluded from the figure of the model validation group. Solid line, dynamics of those who
3 accomplished undetectable serum HCV until the therapy ended; dotted line, of those in whom serum HCV was detected through
4 the whole therapy.

5
6 the hemoglobin concentration, a reduction in the neu-
7 trophil count and a worsening of depressive symptoms.
8 In comparison to the model preparation group, there
9 were more NVR patients, and the SVR rate was 29% in
10 the model validation group. There were six patients who
11 accomplished undetectable serum HCV after 24 weeks,
12 and the latest patients achieved it 40 weeks after the
13 therapy started. More patients had advanced hepatic
14 fibrosis in the model validation group than in the model
15 preparation group. Eighteen patients discontinued the
16 combination therapy for various reasons, for example,
17 decreased neutrophil count. The early HCV dynamics of
18 both groups are shown in Figure 1.

19 **Undetectable time point prediction**

20
21 From the model preparation group, 29 patients were
22 analyzed and six patients were excluded for the follow-
23 ing reasons: therapy was discontinued before viral
24 clearance in one patient, PEG-IFN dosage was
25 decreased before viral clearance in three patients, viral
26 load increased during therapy in one patient, and an
27 incomplete series of samples were obtained from one
28 patient.

29 First, we hypothesized that the HCV dynamic param-
30 eters have a possibility to predict the undetectable time
31 point. HCV dynamic parameters were calculated with
32 three dataset patterns of viral loads, as follows: (i)
33 immediately before and at 4, 8 h, and 1, 2, 4, 7 and
34 8 days; (ii) before and at 8 h, and 1, 2, 4 and 7 days;
35 and (iii) before and at 4, 8 h, and 1, 2, 4 and 7 days
36 after the therapy was started. Unfortunately, no signifi-
37 cant factors for prediction of the undetectable time
38 points were detected in these HCV dynamic parameters
39 (Table 2), even when adding parameters of age and
40 sex.

41 Next, we investigated the possibility using early-stage
42 treatment dynamics. Multiple linear regression analysis
43 was conducted for viral load, and changes in viral load
44 up to day 14 as the explanatory variables and undetect-
45 able time points as the objective variables. Among
46 various factors which became significant alone, the
47 decrease in viral load from day 7 to 14 was found to be
48 the best predictor for the undetectable time points by
49 multiple linear regression analysis ($r^2 = 0.67$, Table 3).
50 Then, whole datasets were analyzed again including
51 HCV dynamic parameters, sex, age, viral loads and viral

Table 2 Calculated HCV-dynamic parameters of model preparation group

Dataset	Dataset 1† median (range)	P	Dataset 2‡ median (range)	P	Dataset 3§ median (range)	P
c	0.77 (0.032–5.21)	0.73	1.54 (0.0515–7.58)	0.37	2.75 (0.040–6.19)	0.85
δ	0.0033 (0–0.69)	0.76	0.013 (0–0.99)	0.094	0.053 (0–0.70)	0.91
ε	0.28 (0.023–0.84)	0.30	0.067 (0.0083–0.72)	0.038	0.28 (0.023–0.71)	0.18
T ₀	0.36 (0.0001–0.95)	0.63	0.415 (0.0049–0.98)	0.23	0.36 (0.007–0.90)	0.21
V ₀	5.49 (4.40–6.69)	0.53	4.99 (4.10–6.48)	0.090	5.29 (4.30–6.69)	0.29
R ²	0.012		0.090		0.056	

†Dataset 1: serum hepatitis C virus (HCV) load immediately before and at 4, 8 h, and 1, 2, 4, 7, 8 days after the therapy was started.

‡Dataset 2: serum HCV load before and at 8 h, and 1, 2, 4, 7 days after the therapy was started.

§Dataset 3: serum HCV load before and at 4, 8 h, and 1, 2, 4, 7 days after the therapy was started.

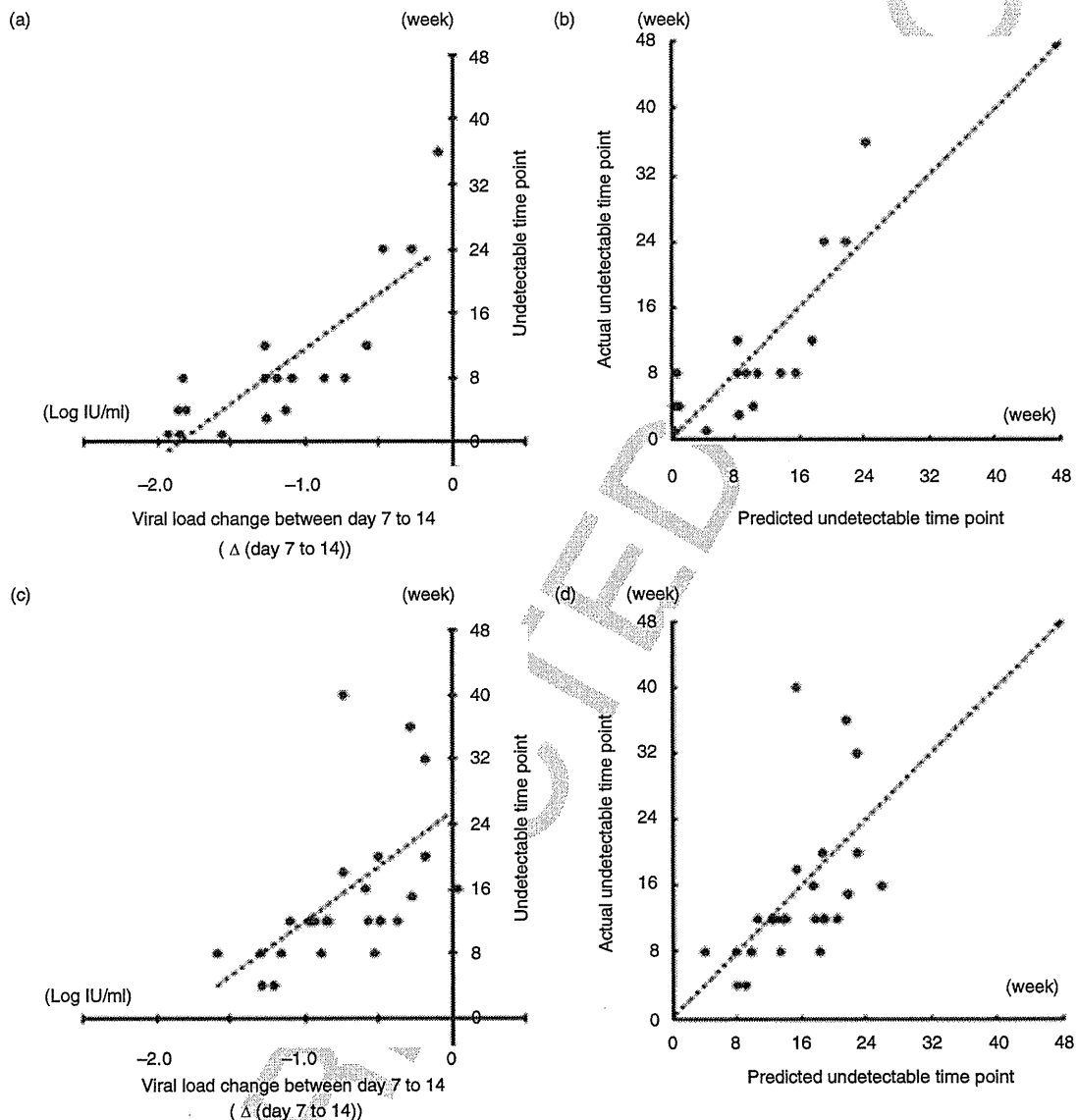
load changes. The results showed that only the change in viral load from day 7 to 14 was associated with the prediction of the undetectable time point ($r^2 = 0.67$). Finally, prediction in each patient was valid (Cook's D = 0.046, mean, data not shown), and we derived the following prediction formula:

$$\text{Undetectable time point (week)} = 13.495 \times (\text{viral load at day 14} [\log \text{ IU/mL}] - \text{viral load at day 7} [\log \text{ IU/mL}]) + 25.456.$$

The degree of decrease in viral load from day 7 to 14 for the model preparation group and the actual

Table 3 Early viral dynamics of model preparation group, correlation to undetectable time point and the result of multiple linear regression analysis

	Viral load (log IU/mL)	Spearman's rank correlation test coefficient (P-value)	Multiple linear regression analysis r^2 (P-value)
Pretreatment (0 days)	5.48 ± 0.30	0.27 (0.28)	Excluded
4 h	5.66 ± 0.22	0.045 (0.82)	Excluded
8 h	5.55 ± 0.19	0.026 (0.89)	Excluded
1 day	3.74 ± 0.75	0.68 (<0.001)	Excluded
2 days	3.20 ± 0.76	0.66 (<0.001)	Excluded
4 days	4.01 ± 0.74	0.56 (0.002)	Excluded
7 days	4.05 ± 0.75	0.77 (<0.001)	Excluded
8 days	3.34 ± 0.80	0.67 (<0.001)	Excluded
14 days	3.52 ± 0.95	0.87 (<0.001)	Excluded
Subtracted values of viral load (log scale)			
1 day – 0 days	-1.78 ± 0.88	0.59 (0.001)	Excluded
2 days – 0 days	-2.18 ± 0.79	0.53 (0.003)	Excluded
4 days – 0 days	-1.46 ± 0.65	0.72 (0.000)	Excluded
7 day – 0 days	-1.38 ± 0.80	0.38 (0.049)	Excluded
14 days – 0 days	-2.24 ± 1.17	0.83 (0.000)	Excluded
2 days – 1 day	-0.55 ± 0.13	0.085 (0.67)	Excluded
4 days – 1 day	0.17 ± 0.25	0.22 (0.27)	Excluded
7 days – 1 day	0.44 ± 0.46	0.27 (0.19)	Excluded
14 days – 1 day	-0.42 ± 0.46	0.76 (<0.001)	Excluded
4 days – 2 days	0.61 ± 0.23	0.12 (0.54)	Excluded
7 days – 2 days	0.86 ± 0.50	0.12 (0.56)	Excluded
14 days – 2 days	0.11 ± 0.44	0.76 (<0.001)	Excluded
7 days – 4 days	-0.11 ± 0.17	0.047 (0.82)	Excluded
14 days – 4 days	-0.7 ± 0.37	0.78 (<0.001)	Excluded
14 days – 7 days	-0.86 ± 0.50	0.76 (<0.001)	0.667 (<0.0005)



1 **Figure 2** Correlation between the undetectable time point and the decrease in viral load from day 7 to 14 (a,b) and correlation
2 between the actual and predicted undetectable time points (c,d). (a,c) Results of analyses for the model preparation group; and
3 (b,d) analyses for the model validation group. Black circles, actual cases; dotted line, (a,c) estimate obtained from the prediction
4 formula; (b,d) equal values of actual and predicted undetectable time points.

1 undetectable time point are plotted in Figure 2(a),
2 which shows a very strong and a significant correlation
3 ($r^2 = 0.67$, $P < 0.0005$).

4 The validity of the prediction formula was investi-
5 gated in the validation group. Analysis was possible in
6 32 patients, as the other patients were excluded from the
7 analysis due to the following reasons: therapy was dis-
8 continued before viral clearance in eight patients, PEG-
9 IFN dosage was reduced before viral clearance in nine
10 patients and viral clearance was achieved before day 14
11 in two patients. There were six cases of NVR, and incom-
12 plete blood collections from 13 patients on day 7
13 and/or 14. A strong and a significant correlation was
14 demonstrated between the undetectable time points
15 that were predicted using this formula and the actual
16 undetectable time points (Fig. 2c, $r = 0.53$, $P = 0.005$).

17 Although only one case was predicted to achieve a
18 rapid virological response (undetectable viral load at
19 week 4)¹³ in the model validation group, the actual
20 undetectable time point of this patient was week 8
21 (Fig. 2d). In contrast, all nine cases who were predicted
22 to achieve a complete early virological response (unde-
23 tected viral load until week 12),¹³ the actual undetect-
24 able time points of these patients were within week 12.
25 Because the prediction formula was derived by the least
26 squares method, half of the patients, who were pre-
27 dicted not to achieve the complete early virological
28 response, actually achieved it.

30 DISCUSSION

31 **N**UMEROUS STUDIES HAVE documented that the
32 undetectable time point is related to therapeutic
33 responses, and its usefulness in predicting therapeutic
34 efficacy is clear.⁹⁻¹³ In the present study, we were able to
35 derive a formula for predicting the undetectable time
36 point for patients with HCV genotype 1b and high
37 serum viral loads during PEG-IFN- α -2b/ribavirin combi-
38 nation therapy. Though the various parameters for the
39 HCV dynamics were investigated, the change in viral
40 load from day 7 to 14 was the only parameter that was
41 useful for predicting the undetectable time point.

42 The standard length of PEG-IFN/ribavirin combina-
43 tion therapy is 48 weeks for patients with HCV genotype
44 1b and high serum viral loads; however, a 72-week
45 administration is recommended to improve therapeutic
46 response.^{3,13,16} Therefore, when undetectable time points
47 are predicted as from weeks 13-24 by our formula, the
48 SVR rates could be improved by continuing the IFN
49 therapy for longer periods. By prediction of the unde-
50 tectable time point early during the treatment using our

51 formula, the physician can make early modification and
52 optimization of currently ongoing therapy.

53 Another important issue of PEG-IFN/ribavirin treat-
54 ment is adherence to treatment. Because dose reductions
55 may delay the time until serum viral clearance, patients
56 in whom the dosage of IFN and ribavirin was reduced
57 during therapy were excluded in the present study.
58 However, there are many patients in whom the dosage
59 of drugs has to be reduced during therapy for a wide
60 variety of clinical reasons. If reducing dosage before the
61 predicted undetectable time point, administration of
62 IFN for longer periods should be considered.

63 In conclusion, we created a formula for predicting the
64 undetectable time point in patients treated with PEG-
65 IFN- α -2b/ribavirin combination therapy. Viral eradi-
66 cation is the ultimate objective of IFN-based therapy, but
67 many patients failed to achieve viral eradication for
68 some reason. Because our prediction formula for the
69 undetectable time point was made with a small popu-
70 lation, it is necessary to correct it by further analysis with
71 a larger population. However, an early viral response
72 reflects efficacy of the therapy, and the estimation of an
73 undetectable time point by our formula would be useful
74 for determining the optimal duration of treatment in
75 the early period of the therapy for each chronic hepatitis
76 C patient.

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Pre-treatment prediction of response to pegylated-interferon plus ribavirin for chronic hepatitis C using genetic polymorphism in *IL28B* and viral factors

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Background & Aims: Pegylated interferon and ribavirin (PEG-IFN/RBV) therapy for chronic hepatitis C virus (HCV) genotype 1 infection is effective in 50% of patients. Recent studies revealed an association between the *IL28B* genotype and treatment response. We aimed to develop a model for the pre-treatment prediction of response using host and viral factors.

Methods: Data were collected from 496 patients with HCV genotype 1 treated with PEG-IFN/RBV at five hospitals and universities in Japan. *IL28B* genotype and mutations in the core and IFN sensitivity determining region (ISDR) of HCV were analyzed to predict response to therapy. The decision model was generated by data mining analysis.

Results: The *IL28B* polymorphism correlated with early virological response and predicted null virological response (NVR) (odds ratio = 20.83, $p < 0.0001$) and sustained virological response (SVR) (odds ratio = 7.41, $p < 0.0001$) independent of other covariates. Mutations in the ISDR predicted relapse and SVR independent of *IL28B*. The decision model revealed that patients with the minor *IL28B* allele and low platelet counts had the highest NVR (84%) and lowest SVR (7%), whereas those with the major *IL28B* allele and mutations in the ISDR or high platelet counts had the lowest NVR (0–17%) and highest SVR (61–90%). The model had high reproducibility and predicted SVR with 78% specificity and 70% sensitivity.

Conclusions: The *IL28B* polymorphism and mutations in the ISDR of HCV were significant pre-treatment predictors of response to PEG-IFN/RBV. The decision model, including these host and viral factors may support selection of optimum treatment strategy for individual patients.

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Introduction

Hepatitis C virus (HCV) infection is the leading cause of cirrhosis and hepatocellular carcinoma worldwide [1]. The successful eradication of HCV, defined as a sustained virological response (SVR), is associated with a reduced risk of developing hepatocellular carcinoma. Currently, pegylated interferon (PEG-IFN) plus ribavirin (RBV) is the most effective standard of care for chronic hepatitis C but the rate of SVR is around 50% in patients with HCV genotype 1 [2,3], the most common genotype in Japan, Europe, the United States, and many other countries. Moreover, 20–30% of patients with HCV genotype 1 have a null virological response (NVR) to PEG-IFN/RBV therapy [4]. The most reliable method for predicting the response is to monitor the early decline of serum HCV-RNA levels during treatment [5] but there is no established method for prediction before treatment. Because PEG-IFN/RBV therapy is costly and often accompanied by adverse effects such as flu-like symptoms, depression and hematological abnormalities, pre-treatment predictions of those patients who are unlikely to benefit from this regimen enables ineffective treatment to be avoided.

Recently, it has been reported through a genome-wide association study (GWAS) of patients with genotype 1 HCV that single nucleotide polymorphisms (SNPs) located near the *IL28B* gene are strongly associated with a response to PEG-IFN/RBV therapy in

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Table 1. Baseline characteristics of all patients, and patients assigned to the model building or validation groups.

	All patients n = 496	Model group n = 331	Validation group n = 165
Gender: male	250 (50%)	170 (51%)	80 (48%)
Age (years)	57.1 ± 9.9	56.8 ± 9.7	57.5 ± 10.2
ALT (IU/L)	78.6 ± 60.8	78.1 ± 61.4	79.7 ± 59.6
GGT (IU/L)	59.3 ± 63.6	58.9 ± 62.0	60.2 ± 66.9
Platelets (10 ⁹ /L)	154 ± 53	153 ± 52	154 ± 56
Fibrosis: F3-4	121 (24%)	80 (24%)	41 (25%)
HCV-RNA: >600,000 IU/ml	409 (82%)	273 (82%)	136 (82%)
ISDR mutation: ≤1	220 (88%)	290 (88%)	145 (88%)
Core 70 (Arg/Gln or His)	293 (59%)/203 (41%)	197 (60%)/134 (40%)	96 (58%)/69 (42%)
Core 91 (Leu/Met)	299 (60%)/197 (40%)	200 (60%)/131 (40%)	99 (60%)/66 (40%)
<i>IL28B</i> : Minor allele	151 (30%)	101 (31%)	50 (30%)
SVR	194 (39%)	129 (39%)	65 (39%)
Relapse	152 (31%)	103 (31%)	49 (30%)
NVR	150 (30%)	99 (30%)	51 (31%)

ALT, alanine aminotransferase; GGT, gamma-glutamyltransferase; ISDR, interferon sensitivity determining region; Arg, arginine; Gln, glutamine; His, histidine; Leu, leucine; Met, methionine; Minor, heterozygote or homozygote of minor allele; SVR, sustained virological response; NVR, null virological response.

Japanese [6], European [7], and a multi-ethnic population [8,9]. The last three studies focused on the association of SNPs in the *IL28B* region with SVR [7–9] but we found a stronger association with NVR [6]. In addition to these host genetic factors, we have reported that mutations within a stretch of 40 amino acids in the NS5A region of HCV, designated as the IFN sensitivity determining region (ISDR), are closely associated with the virological response to IFN therapy: a lower number of mutations is associated with treatment failure [10–13]. Amino acid substitutions at positions 70 and 91 of the HCV core region (Core70, Core91) also have been reported to be associated with response to PEG-IFN/RBV therapy: glutamine (Gln) or histidine (His) at Core70 and methionine (Met) at Core91 are associated with treatment resistance [4,14]. The importance of substitutions in the HCV core and ISDR was confirmed recently by a Japanese multicenter study [15]. How these viral factors contribute to response to therapy is yet to be determined. For general application in clinical practice, host genetic factors and viral factors should be considered together.

Data mining analysis is a family of non-parametric regression methods for predictive modeling. Software is used to automatically explore the data to search for optimal split variables and to build a decision tree structure [16]. The major advantage of decision tree analysis over logistic regression analysis is that the results of the analysis are presented in the form of flow chart, which can be interpreted intuitively and readily made available for use in clinical practice [17]. The decision tree analysis has been utilized to define prognostic factors in various diseases [18–25]. We have reported recently its usefulness for the prediction of an early virological response (undetectable HCV-RNA within 12 weeks of therapy) to PEG-IFN/RBV therapy in chronic hepatitis C [26].

This study aimed to define the pre-treatment prediction of response to PEG-IFN/RBV therapy through the integrated analysis of host factors, such as the *IL28B* genetic polymorphism and various clinical covariates, as well as viral factors, such as mutations in the HCV core and ISDR and serum HCV-RNA load. In addition,

for the general application of these results in clinical practice, decision models for the pre-treatment prediction of response were determined by data mining analysis.

Materials and methods

Patients

This was a multicentre retrospective study supported by the Japanese Ministry of Health, Labor and Welfare. Data were collected from a total of 496 chronic hepatitis C patients who were treated with PEG-IFN alpha and RBV at five hospitals and universities throughout Japan. Of these, 98 patients also were included in the original GWAS analysis [6]. The inclusion criteria in this study were as follows (1) infection by genotype 1b, (2) lack of co-infection with hepatitis B virus or human immunodeficiency virus, (3) lack of other causes of liver disease, such as autoimmune hepatitis, and primary biliary cirrhosis, (4) completion of at least 24 weeks of therapy, (5) adherence of more than 80% to the planned dose of PEG-IFN and RBV for the NVR patients, (6) availability of DNA for the analysis of the genetic polymorphism of *IL28B*, and (7) availability of serum for the determination of mutations in the ISDR and substitutions of Core70 and Core91 of HCV. Patients received PEG-IFN alpha-2a (180 µg) or 2b (1.5 µg/kg) subcutaneously every week and were administered a weight adjusted dose of RBV (600 mg for <60 kg, 800 mg for 60–80 kg, and 1000 mg for >80 kg daily) which is the recommended dosage in Japan. Written informed consent was obtained from each patient and the study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional ethics review committee. The baseline characteristics are listed in Table 1. For the data mining analysis, 67% of the patients (331 patients) were assigned randomly to the model building group and 33% (165 patients) to the validation group. There were no significant differences in the clinical backgrounds between these two groups.

Laboratory and histological tests

Blood samples were obtained before therapy and were analyzed for hematologic tests and for blood chemistry and HCV-RNA. Sequences of ISDR and the core region of HCV were determined by direct sequencing after amplification by reverse-transcription and polymerase chain reaction as reported previously [4,11]. Genetic polymorphism in one tagging SNP located near the *IL28B* gene (rs8099917) was determined by the GWAS or DigiTag2 assay [27]. Homozygosity (GG) or heterozygosity (TG) of the minor sequence was defined as having the *IL28B* minor allele, whereas homozygosity for the major sequence (TT) was

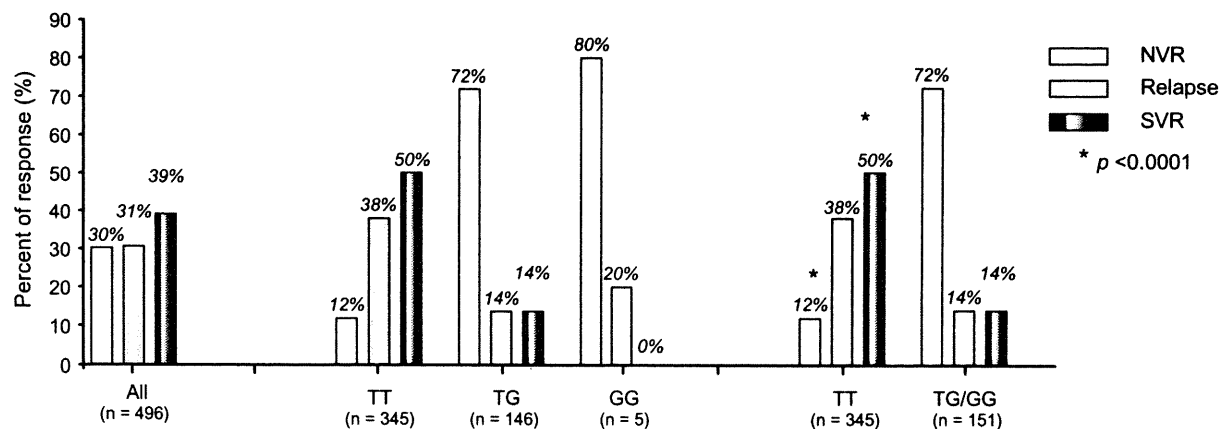


Fig. 1. Association between the IL28B genotype (rs8099917) and treatment response. The rates of response to treatment are shown for each rs8099917 genotype. The rate of null virological response (NVR), relapse, and sustained virological response (SVR) is shown. The *p* values are from Fisher's exact test. The rate of NVR was significantly higher ($p < 0.0001$) and the rate of SVR was significantly lower ($p < 0.0001$) in patients with the IL28B minor allele compared to those with the major allele. [This figure appears in colour on the web.]

defined as having the IL28B major allele. In this study, NVR was defined as a less than 2 log reduction of HCV-RNA at week 12 and detectable HCV-RNA by qualitative PCR with a lower detection limit of 50 IU/ml (Amplicor, Roche Diagnostic systems, CA) at week 24 during therapy. RVR (rapid virological response) and complete early virological response (cEVR) were defined as undetectable HCV-RNA at 4 weeks and 12 weeks during therapy and SVR was defined as undetectable HCV-RNA 24 weeks after the completion of therapy. Relapse was defined as reappearance of HCV-RNA after the completion of therapy. The stage of liver fibrosis was scored according to the METAVIR scoring system: F0 (no fibrosis), F1 (mild fibrosis: portal fibrosis without septa), F2 (moderate fibrosis: few septa), F3 (severe fibrosis: numerous septa without cirrhosis) and F4 (cirrhosis). Percentage of steatosis was quantified in 111 patients by determining the average proportion of hepatocytes affected by steatosis.

Statistical analysis

Associations between pre-treatment variables and treatment response were analyzed by univariate and multivariate logistic regression analysis. Associations between the IL28B polymorphism and sequences of HCV were analyzed by Fisher's exact test. SPSS software v.15.0 (SPSS Inc., Chicago, IL) was used for these analyses. For the data mining analysis, IBM-SPSS Modeler version 13.0 (IBM-SPSS Inc., Chicago, IL) software was utilized as reported previously [26]. The patients used for model building were divided into two groups at each step of the analysis based on split variables. Each value of each variable was considered as a potential split. The optimum variables and cut-off values were determined by a statistical search algorithm to generate the most significant division into two prognostic subgroups that were as homogeneous as possible for the probability of SVR. Thereafter, each subgroup was evaluated again and divided further into subgroups. This procedure was repeated until no additional significant variable was detected or the sample size was below 15. To avoid over-fitting, 10-fold cross validation was used in the tree building process. The reproducibility of the resulting model was tested with the data from the validation patients.

Results

Association between the IL28B (rs8099917) genotype and the PEG-IFN/RBV response

The rs8099917 allele frequency was 70% for TT ($n = 345$), 29% for TG ($n = 146$), and 1% for GG ($n = 5$). We defined the IL28B major allele as homozygous for the major sequence (TT) and the IL28B minor allele as homozygous (GG) or heterozygous (TG) for the minor sequence. The rate of NVR was significantly higher (72% vs. 12%, $p < 0.0001$) and the rate of SVR was significantly lower (14% vs. 50%, $p < 0.0001$) in patients with the IL28B minor allele compared to those with the major allele (Fig. 1).

Effect of the IL28B polymorphism, substitutions in the ISDR, Core70, and Core91 of HCV on time-dependent clearance of HCV

Patients were stratified according to their IL28B allele type, the number of mutations in the ISDR, the amino acid substitutions in Core70 and Core91, and the rate of undetectable HCV-RNA at 4, 8, 12, 24, and 48 weeks after the start of therapy was analyzed (Fig. 2A–D). The rate of undetectable HCV-RNA was significantly higher in patients with the IL28B major allele than the minor allele, in patients with two or more mutations in the ISDR compared to none or only one mutation, in patients with arginine (Arg) at Core70 rather than Gln/His, and in patients with leucine (Leu) at Core91 rather than Met. The difference was most significant when stratified by the IL28B allele type. The rate of RVR and cEVR was significantly more frequent in patients with the IL28B major allele compared to those with the IL28B minor allele: 9% vs. 3% for RVR ($p < 0.005$) and 57% vs. 11% for cEVR ($p < 0.0001$). These findings suggest that IL28B has the greatest impact on early virological response to therapy.

Association between substitutions in the ISDR and relapse after the completion of therapy

Patients were stratified according to the IL28B allele, number of mutations in the ISDR, and amino acid substitutions of Core70 and Core91, and the rate of relapse was analyzed (Fig. 3A and B). Among patients who achieved cEVR, the rate of relapse was significantly lower in patients with two or more mutations in the ISDR compared to those with only one or no mutations (15% vs. 31%, $p < 0.005$) (Fig. 3B). On the other hand, the relapse rate was not different between the IL28B major and minor alleles within patients who achieved RVR (3% vs. 0%) or cEVR (28% vs. 29%) (Fig. 3A). Amino acid substitutions of Core70 and Core91 were not associated with the rate of relapse (data not shown).

Factors associated with response by multivariate logistic regression analysis

By univariate analysis, the minor allele of IL28B ($p < 0.0001$), one or no mutations in the ISDR ($p = 0.03$), high serum level of

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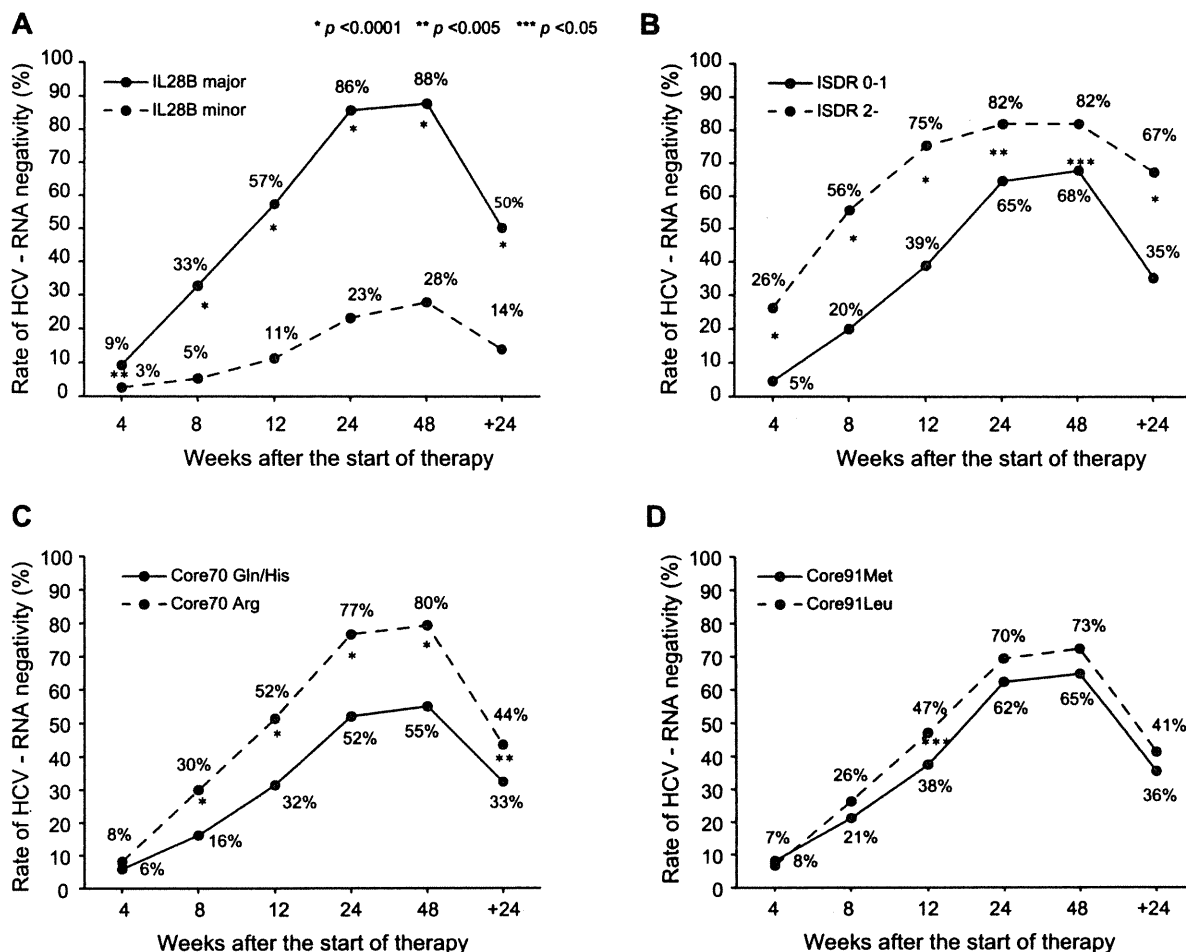


Fig. 2. Effect of *IL28B* mutations in the ISDR, Core70 and Core91 of HCV on time-dependent clearance of HCV. The rate of undetectable HCV-RNA was plotted for serial time points after the start of therapy (4, 8, 12, 24, and 48 weeks) and for 24 weeks after the completion of therapy. Patients were stratified according to (A) the *IL28B* allele (minor allele vs. major allele), (B) the number of mutations in the ISDR (0–1 mutation vs. 2 or more mutations), amino acid substitutions of (C) Core70 (Gln/His vs. Arg), and (D) Core91 (Met vs. Leu). The *p* values are from Fisher's exact test.

HCV-RNA ($p = 0.035$), Gln or His at Core70 ($p < 0.0001$), low platelet counts ($p = 0.009$), and advanced fibrosis ($p = 0.0002$) were associated with NVR. By multivariate analysis, the minor allele of *IL28B* (OR = 20.83, 95%CI = 11.63–37.04, $p < 0.0001$) was associated with NVR independent of other covariates (Table 2). Notably, mutations in the ISDR ($p = 0.707$) and at amino acid Core70 ($p = 0.207$) were not significant in multivariate analysis due to the positive correlation with the *IL28B* polymorphism ($p = 0.004$ for ISDR and $p < 0.0001$ for Core70, Fig. 4).

Genetic polymorphism of *IL28B* also was associated with SVR (OR = 7.41, 95% CI = 4.05–13.57, $p < 0.0001$) independent of other covariates, such as platelet counts, fibrosis, and serum levels of HCV-RNA. Mutation in the ISDR was an independent predictor of SVR (OR = 2.11, 95% CI = 1.06–4.18, $p = 0.033$) but the amino acid at Core70 was not (Table 3).

Factors associated with the *IL28B* polymorphism

Patients with the *IL28B* minor allele had significantly higher serum level of gamma-glutamyltransferase (GGT) and a higher

frequency of hepatic steatosis (Table 4). When the association between the *IL28B* polymorphism and HCV sequences was analyzed, Gln or His at Core70, that is linked to resistance to PEG-IFN and RBV therapy [4,14,15], was significantly more frequent in patients with the minor *IL28B* allele than in those with the major allele (67% vs. 30%, $p < 0.0001$) (Fig. 4). Other HCV sequences with an IFN resistant phenotype also were more prevalent in patients with the minor *IL28B* allele than those with the major allele: Met at Core91 (46% vs. 37%, $p = 0.047$) and one or no mutations in the ISDR (94% vs. 85%, $p = 0.004$) (Fig. 4).

Data mining analysis

Data mining analysis was performed to build a model for the prediction of SVR and the result is shown in Fig. 5. The analysis selected four predictive variables, resulting in six subgroups of patients. Genetic polymorphism of *IL28B* was selected as the best predictor of SVR. Patients with the minor *IL28B* allele had a lower probability of SVR and a higher probability of NVR than those with the major *IL28B* allele (SVR: 14% vs. 50%, NVR: 72% vs.

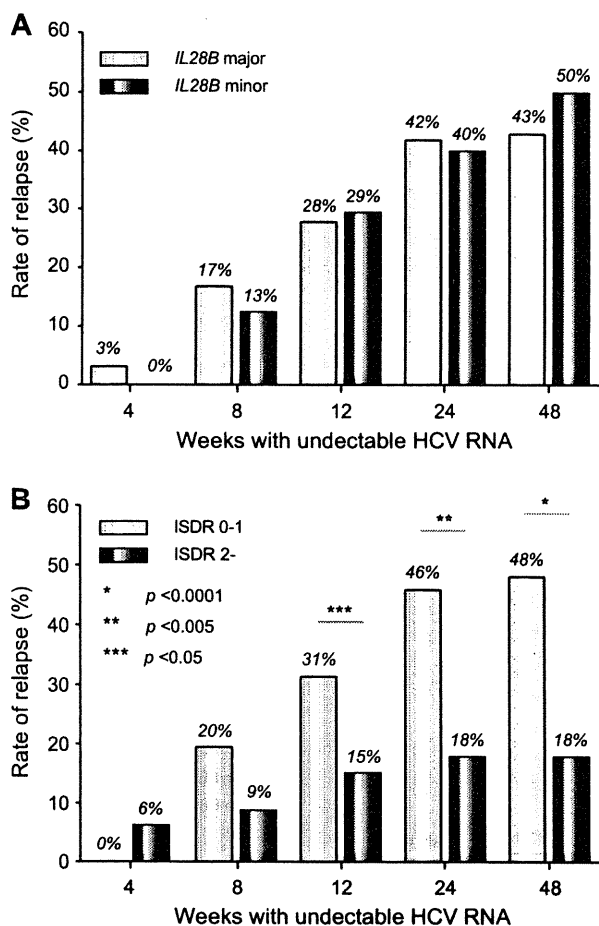


Fig. 3. Association between relapse and the *IL28B* allele or mutations in the ISDR. The rate of relapse was calculated for patients who had undetectable HCV-RNA at serial time points after the start of therapy (4, 8, 12, 24, and 48 weeks). Patients were stratified according to (A) the *IL28B* allele (minor allele vs. major allele) and (B) the number of mutations in the ISDR (0-1 mutation vs. 2 or more mutations). The *p* values are from Fisher's exact test. [This figure appears in colour on the web.]

12%). After stratification by the *IL28B* allele, patients with low platelet counts ($<140 \times 10^9/L$) had a lower probability of SVR and higher probability of NVR than those with high platelet counts ($\geq 140 \times 10^9/L$): for the minor *IL28B* allele, SVR was 7% vs. 19%, and NVR was 84% vs. 62%, and for the major *IL28B* allele, SVR was 32% vs. 66% and NVR was 16% vs. 8%. Among patients with the major *IL28B* allele and low platelet counts, those with two or more mutations in the ISDR had a higher probability of SVR and lower probability of relapse than those with one or no mutations in the ISDR (SVR: 75% vs. 27%, and relapse: 8% vs. 57%). Among patients with the major *IL28B* allele and high platelet counts, those with a low HCV-RNA titer ($<600,000$ IU/ml) had a higher probability of SVR and lower probability of NVR and relapse than those with a high HCV-RNA titer (SVR: 90% vs. 61%, NVR: 0% vs. 10%, and relapse: 10% vs. 29%). The sensitivity and specificity of the decision tree were 78% and 70%, respectively. The area under the receiver operating characteristic (ROC) curve of the model was 0.782 (data not shown). The pro-

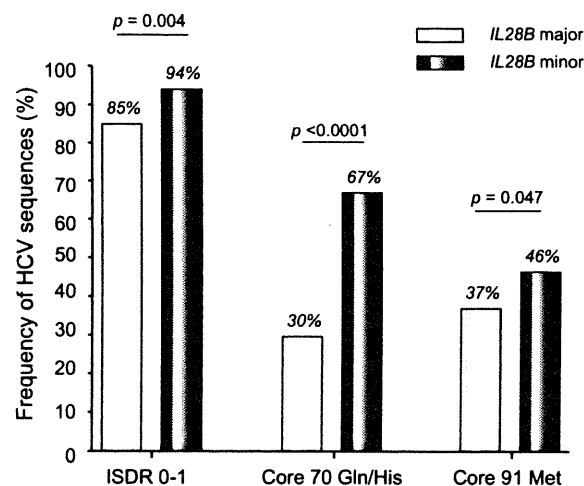


Fig. 4. Associations between the *IL28B* allele and HCV sequences. The prevalence of HCV sequences predicting a resistant phenotype to IFN was higher in patients with the minor *IL28B* allele than those with major allele. (A) 0 or 1 mutation in the ISDR of NS5A, (B) Gln or His at Core70, and (C) Met at Core91. *p* values are from Fisher's exact test. [This figure appears in colour on the web.]

portion of patients with advanced fibrosis (F3-4) was 39% (84/217) in patients with low platelet counts ($<140 \times 10^9/L$) compared to 13% (37/279) in those with high platelet counts ($\geq 140 \times 10^9/L$).

Validation of the data mining analysis

The results of the data mining analysis were validated with 165 patients who differed from those used for model building. Each patient was allocated to one of the six subgroups for the validation using the flow-chart form of the decision tree. The rate of SVR and NVR in each subgroup was calculated. The rates of SVR and NVR for each subgroup of patients were closely correlated between the model building and the validation patients ($r^2 = 0.99$ and 0.98) (Fig. 6).

Discussion

The rate of NVR after 48 weeks of PEG-IFN/RBV therapy among patients infected with HCV of genotype 1 is around 20–30%. Previously, there have been no reliable baseline predictors of NVR or SVR. Because more potent therapies, such as protease and polymerase inhibitor of HCV [28,29] and nitazoxanide [30], are in clinical trials and may become available in the near future, a pre-treatment prediction of the likelihood of response may be helpful for patients and physicians, to support clinical decisions about whether to begin the current standard of care or whether to wait for emerging therapies. This study revealed that the *IL28B* polymorphism was the overwhelming predictor of NVR and is independent of host factors and viral sequences reported previously. The *IL28B* encodes a protein also known as IFN-lambda 3, which is thought to suppress the replication of various viruses including HCV [31,32]. The results of the current study and the findings of the GWAS studies [6–9] may provide the rationale for developing diagnostic testing or an IFN-lambda based therapy for chronic hepatitis C in the future.

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Table 2. Factors associated with NVR analyzed by univariate and multivariate logistic regression analysis.

	Univariate			Multivariate		
	Odds ratio	95%CI	p value	Odds ratio	95%CI	p value
Gender: female	0.98	0.67-1.45	0.938	1.29	0.75-2.23	0.363
Age	1.01	0.97-1.01	0.223	0.99	0.97-1.02	0.679
ALT	1.00	1.00-1.00	0.867	1.00	0.99-1.00	0.580
GGT	1.004	1.00-1.01	0.029	1.00	1.00-1.00	0.715
Platelets	0.95	0.91-0.99	0.009	0.92	0.87-0.98	0.006
Fibrosis: F3-4	2.23	1.46-3.42	0.0002	1.97	1.09-3.57	0.025
HCV-RNA: $\geq 600,000$ IU/ml	1.83	1.05-3.19	0.035	2.49	1.17-5.29	0.018
ISDR mutation: ≤ 1	2.14	1.08-4.22	0.030	0.96	0.78-1.18	0.707
Core 70 (Gln/His)	3.23	2.16-4.78	<0.0001	1.41	0.83-2.42	0.207
Core 91 (Met)	1.39	0.95-2.06	0.093	1.21	0.72-2.04	0.462
IL28B: Minor allele	19.24	11.87-31.18	<0.0001	20.83	11.63-37.04	<0.0001

ALT, alanine aminotransferase; GGT, gamma-glutamyltransferase; ISDR, interferon sensitivity determining region; Gln, glutamine; His, histidine; Met, methionine; Minor allele, heterozygote or homozygote of minor allele.

Table 3. Factors associated with SVR analyzed by univariate and multivariate logistic regression analysis.

	Univariate			Multivariate		
	Odds ratio	95%CI	p value	Odds ratio	95%CI	p value
Gender: female	0.81	0.56-1.16	0.253	0.86	0.55-1.35	0.508
Age	0.97	0.95-0.99	0.0003	0.99	0.96-1.01	0.199
ALT	1.00	1.00-1.00	0.337	1.00	1.00-1.01	0.108
GGT	1.00	1.00-1.00	0.273	1.00	1.00-1.00	0.797
Platelets	1.12	1.01-1.16	<0.0001	1.13	1.08-1.19	<0.0001
Fibrosis: F0-2	2.64	1.65-4.22	<0.0001	1.87	1.07-3.28	0.029
HCV-RNA: $< 600,000$ IU/ml	2.49	1.55-3.98	0.0001	2.75	1.55-4.90	0.001
ISDR mutation: ≥ 2	3.78	2.14-6.68	<0.0001	2.11	1.06-4.18	0.033
Core 70 (Arg)	1.61	1.11-2.28	0.012	0.84	0.52-1.35	0.470
Core 91 (Leu)	1.28	0.88-1.85	0.185	1.26	0.81-1.96	0.300
IL28B: Major allele	6.21	3.75-10.31	<0.0001	7.41	4.05-13.57	<0.0001

ALT, alanine aminotransferase; GGT, Gamma-glutamyltransferase; ISDR, interferon sensitivity determining region; Arg, arginine; Leu, leucine; Major allele, homozygote of major allele.

Among baseline factors, IL28B was the most significant predictor of NVR and SVR. Moreover, the IL28B allele type was also correlated with early virological response: the rate of RVR and cEVR was significantly high for the IL28B major allele compared to the IL28B minor allele: 9% vs. 3% for RVR and 57% vs. 11% for cEVR (Fig. 2). On the other hand, the relapse rate was not different between the IL28B genotypes within patients who achieved RVR or cEVR (Fig. 3). We believe that optimal therapy should be based on baseline features and a response-guided approach. Our findings suggest that the IL28B genotype is a useful baseline predictor of virological response which should be used for selecting the treatment regimen: whether to treat patients with PEG-IFN and RBV or to wait for more effective future therapy including direct acting antiviral drugs. On the other hand, baseline IL28B genotype might not be suitable for determining the treatment duration in patients who started PEG-IFN/RBV therapy

and whose virological response is determined because the IL28B genotype is not useful for the prediction of relapse. The duration of therapy should be personalized based on the virological response. Future studies need to explore whether the combination of baseline IL28B genotype and response-guided approach further improves the optimization of treatment duration.

The SVR rate in patients having the IL28B minor allele was 14% in the present study while it was 23% in Caucasians and 9% in African Americans in a study by McCarthy et al. [33]. On the other hand, the SVR rate in patients having the IL28B minor allele was 28% in genotypes 1/4 compared to 80% in genotypes 2/3 in a study by Rauch et al. [9]. These data imply that the impact of the IL28B polymorphism on response to therapy may be different in terms of race, geographical areas, or HCV genotypes, and that our data need to be validated in future studies including different populations and geographical areas before generalization.

Table 4. Factors associated with *IL28B* genotype.

	<i>IL28B</i> major allele n = 345	<i>IL28B</i> minor allele n = 151	p value
Gender: male	166 (48%)	84 (56%)	0.143
Age (years)	57 ± 10	57 ± 10	0.585
ALT (IU/L)	79 ± 60	78 ± 62	0.842
Platelets (10 ⁹ /L)	153 ± 54	155 ± 52	0.761
GGT (IU/L)	51 ± 45	78 ± 91	0.001
Fibrosis: F3-4	76 (22%)	45 (30%)	0.063
Steatosis:			
>10%	16/88 (18%)	13/23 (57%)	0.024
>30%	6/88 (7%)	6/23 (26%)	0.017
HCV-RNA: >600,000 IU/ml	284 (82%)	125 (83%)	1.000

ALT, alanine aminotransferase; GGT, gamma-glutamyltransferase.

Four GWAS studies have shown the association between a genetic polymorphism near the *IL28B* gene and response to PEG-IFN plus RBV therapy. The SNPs that showed significant association with response were rs12979860 [8] and rs8099917 [6,7,9]. There is a strong linkage-disequilibrium (LD) between these two SNPs as well as several other SNPs near the *IL28B* gene in Japanese patients [34] but the degree of LD was weaker in Caucasians and Hispanics [8]. Thus, the combination of SNPs is not useful for predicting response in Japanese patients but may improve the predictive value in patients other than Japanese who have weaker LD between SNPs.

Other significant predictors of response independent of *IL28B* genotype were platelet counts, stage of fibrosis, and HCV RVA load. A previous study reported that platelet count is a predictor of response to therapy [35], and the lower platelet count was related with advanced liver fibrosis in the present study. The association between response to therapy and advanced fibrosis independent of the *IL28B* polymorphism is consistent with a recent study by Rauch et al. [9].

There is agreement that the viral genotype is significantly associated with the treatment outcome. Moreover, viral factors such as substitutions in the ISDR of the NS5A region [10] or in the amino acid sequence of the HCV core [4] have been studied in relation to the response to IFN treatment. The amino acid Gln or His at Core70 and Met at Core91 are repeatedly reported to be associated with resistance to therapy [4,14,15] in Japanese patients but these data wait to be validated in different populations or other geographical areas. In this study, we confirmed that patients with two or more mutations in the ISDR had a higher rate of undetectable HCV-RNA at each time point during therapy. In addition, the rate of relapse among patients who achieved cEVR was significantly lower in patients with two or more mutations in ISDR compared to those with only one or no mutations (15% vs. 31%, $p < 0.05$). Thus, the ISDR sequence may be used to predict a relapse among patients who achieved virological response during therapy, while the *IL28B* polymorphism may be used to predict the virological response before therapy. A higher number of mutations in the ISDR are reported to have close association with SVR in Japanese [11–13,15,36] or Asian [37,38] populations but data from Western countries have been controversial [39–42]. A meta-analysis of 1230 patients including 525 patients from Europe has shown that there was a positive

correlation between the SVR and the number of mutations in the ISDR in Japanese as well as in European patients [43] but this correlation was more pronounced in Japanese patients. Thus, geographical factors may account for the different impact of ISDR on treatment response, which may be a potential limitation of our study.

To our surprise, these HCV sequences were associated with the *IL28B* genotype: HCV sequences with an IFN resistant phenotype were more prevalent in patients with the minor *IL28B* allele than those with the major allele. This was an unexpected finding, as we initially thought that host genetics and viral sequences were completely independent. A recent study reported that the *IL28B* polymorphism (rs12979860) was significantly associated with HCV genotype: the *IL28B* minor allele was more frequent in HCV genotype 1-infected patients compared to patients infected with HCV genotype 2 or 3 [33]. Again, patients with the *IL28B* minor allele (IFN resistant genotype) were infected with HCV sequences that are linked to an IFN resistant phenotype. The mechanism for this association is unclear, but may be related to an interaction between the *IL28B* genotype and HCV sequences in the development of chronic HCV infection as discussed by McCarthy et al., since the *IL28B* polymorphism was associated with the natural clearance of HCV [44]. Alternatively, the HCV sequence within the patient may be selected during the course of chronic infection [45,46]. These hypotheses should be explored through prospective studies of spontaneous HCV clearance or by testing the time-dependent changes in the HCV sequence during the course of chronic infection.

How these host and viral factors can be integrated to predict the response to therapy in future clinical practice is an important question. Because various host and viral factors interact in the same patient, predictive analysis should consider these factors in combination. Using the data mining analysis, we constructed a simple decision tree model for the pre-treatment prediction of SVR and NVR to PEG-IFN/RBV therapy. The classification of patients based on the genetic polymorphism of *IL28B*, mutation in the ISDR, serum levels of HCV-RNA, and platelet counts, identified subgroups of patients who have the lowest probabilities of NVR (0%) with the highest probabilities of SVR (90%) as well as those who have the highest probabilities of NVR (84%) with the lowest probability of SVR (7%). The reproducibility of the model was confirmed by the independent validation based on a second

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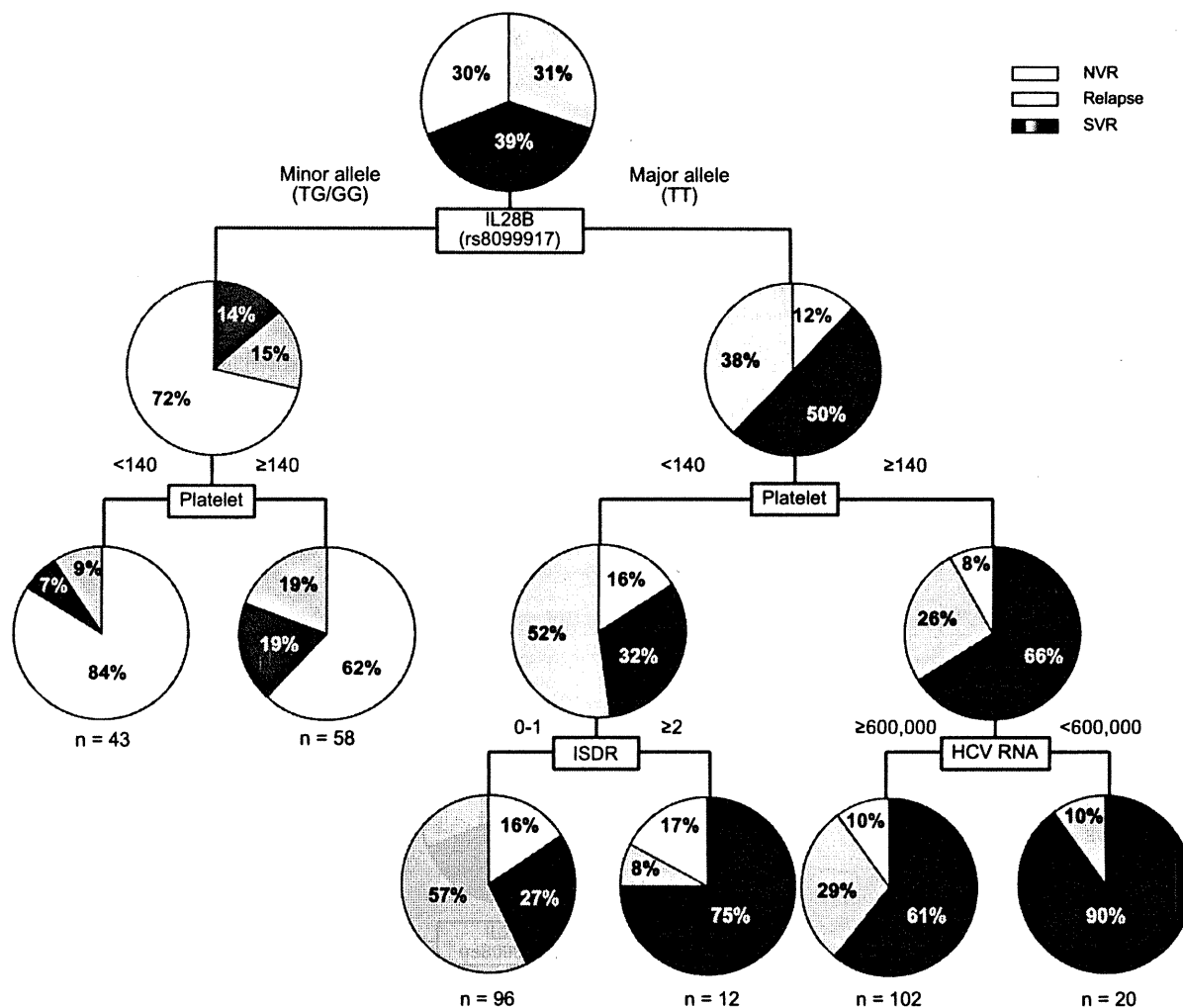


Fig. 5. Decision tree for the prediction of response to therapy. The boxes indicate the factors used for splitting. Pie charts indicate the rate of response for each group of patients after splitting. The rate of null virological response, relapse, and sustained virological response is shown. [This figure appears in colour on the web.]

group of patients. Using this model, we can rapidly develop an estimate of the response before treatment, by simply allocating patients to subgroups by following the flow-chart form, which may facilitate clinical decision making. This is in contrast to the calculating formula, which was constructed by the traditional logistic regression model. This was not widely used in clinical practice as it is abstruse and inconvenient. These results support the evidence based approach of selecting the optimum treatment strategy for individual patients, such as treating patients with a low probability of NVR with current PEG-IFN/RBV combination therapy or advising those with a high probability of NVR to wait for more effective future therapies. Patients with a high probability of relapse may be treated for a longer duration to avoid a relapse. Decisions may be based on the possibility of a response against a potential risk of adverse events and the cost of the therapy, or disease progression while waiting for future therapy.

We have previously reported the predictive model of early virological response to PEG-IFN and RBV in chronic hepatitis C

[26]. The top factor selected as significant was the grade of steatosis, followed by serum level of LDL cholesterol, age, GGT, and blood sugar. The mechanism of association between these factors and treatment response was not clear at that time. To our interest, a recent study by Li et al. [47] has shown that high serum level of LDL cholesterol was linked to the IL28B major allele (CC in rs12979860). High serum level of LDL cholesterol was associated with SVR but it was no longer significant when analyzed together with the IL28B genotype in multivariate analysis. Thus, the association between treatment response and LDL cholesterol levels may reflect the underlying link of LDL cholesterol levels to IL28B genotype. Steatosis is reported to be correlated with low lipid levels [48] which suggest that IL28B genotypes may be also associated with steatosis. In fact, there were significant correlations between the IL28B genotype and the presence of steatosis in the present study (Table 4). In addition, the serum level of GGT, another predictive factor in our previous study, was significantly associated with IL28B genotype in the present study

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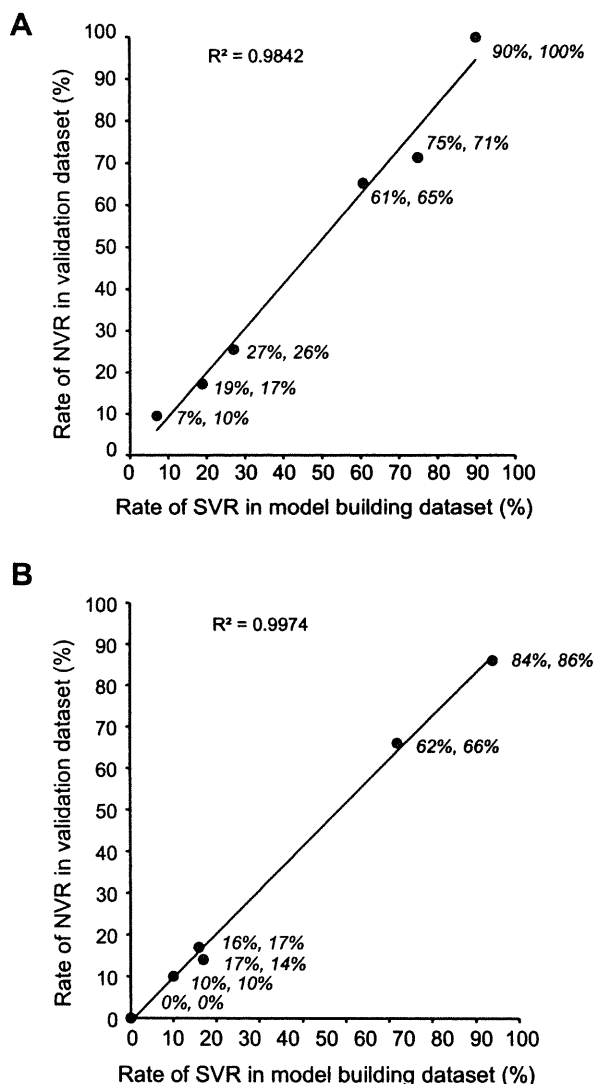


Fig. 6. Validation of the CART analysis. Each patient in the validation group was allocated to one of the six subgroups by following the flow-chart form of the decision tree. The rate of (A) sustained virological response (SVR) and (B) null virological response (NVR) in each subgroup was calculated and plotted. The X-axis represents the rate of SVR or NVR in the model building patients and the Y-axis represents those in the validation patients. The rate of SVR and NVR in each subgroup of patients is closely correlated between the model building and the validation patients (correlation coefficient: $r^2 = 0.98-0.99$).

(Table 4). The serum level of GGT was significantly associated with NVR when examined independently but was no longer significant when analyzed together with the IL28B genotype. These observations indicate that some of the factors that we have previously identified may be associated with virological response to therapy through the underlining link to the IL28B genotype.

In conclusion, the present study highlighted the impact of the IL28B polymorphism and mutation in the ISDR on the pre-treatment prediction of response to PEG-IFN/RBV therapy. A decision model including these host and viral factors has the potential to

support selection of the optimum treatment strategy for individual patients, which may enable personalized treatment.

Conflicts of interest

The authors who have taken part in this study declare that they do not have anything to disclose regarding funding or conflict of interest with respect to this manuscript.

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Effect of Aging on Risk for Hepatocellular Carcinoma in Chronic Hepatitis C Virus Infection

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An increase in the aging population is an impending problem. A large cohort study was carried out to determine the influence of aging and other factors on hepatocarcinogenesis in patients treated with interferon. Biopsy-proven 2547 chronic hepatitis C patients registered at our referral center since 1992 were included. Of these, 2166 were treated with interferon-based therapy. Incidences of hepatocellular carcinoma (HCC) associated with interferon were analyzed by Kaplan-Meier and person-years methods for an average follow-up of 7.5 years. Factors associated with HCC risk were determined by Cox proportional hazard analysis. HCC developed in 177 interferon-treated patients. The risk for HCC depended on age at primary biopsy and increased more than 15-fold after 65 years of age. Even when stratified by stage of fibrosis, the cumulative and annual incidences of HCC were significantly higher in older patients than in younger patients ($P < 0.001$) at the same stage of fibrosis, except for cirrhosis. Progression of fibrosis over time was significantly accelerated in older patients. The impact of viral eradication on HCC prevention was less significant in older patients than in younger patients. Multivariate analysis confirmed that age, gender, liver fibrosis, liver steatosis, total cholesterol level, fasting blood sugar level, baseline and postinterferon alpha-fetoprotein level, and virological response to interferon were independent risk factors associated with HCC. Aging was the strongest risk factor for a nonvirological response to interferon-based antiviral therapy. *Conclusion:* Elderly patients are at a higher risk for HCC. Hepatitis C viral eradication had a smaller effect on hepatocarcinogenesis in older patients. Patients should therefore be identified at an earlier age and treatment should be initiated. (HEPATOLOGY 2010;52:518-527)

Primary liver cancer is the third most common cause of cancer mortality worldwide,¹ and hepatocellular carcinoma (HCC) is one of the most frequent primary liver cancers.^{2,3} Infection with hepatitis C virus (HCV) is a common cause of chronic hepatitis, which progresses to HCC in many patients.⁴ The prevalence of older patients has been increasing in Japan, and this is an impending problem in other countries where viral spread has occurred more recently.⁵ The number of Americans older than 65 years is expected to double by the year 2030.⁶ In Western Europe, people older than 65 years already constitute 15%-18% of the population⁷; thus, aging patient who is chronically infected with HCV is

Abbreviations: AFP, alpha-fetoprotein; Hbc, hepatitis B core; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; NASH, nonalcoholic steatohepatitis; SVR, sustained virological response.

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