

10  $\mu$ M-peptide pulsing APCs, respectively. The 1000-fold difference of such antigenic concentration resulted in the CTL lines with differences of functional avidity in antigen-specific cytotoxicity and IFN- $\gamma$  production (Fig. 2). These different avidity CTLs also had different repertoires of TCRV $\beta$ , suggesting the structure of TCR in the major repertoire of two lines were distinct (Fig. 3A). In order to compare TCR affinity for the human Tax-tetramer, the mismatch of which to murine CD8 could permit assessment of the strength of TCR ligation to peptide/MHC complex more closely without the influence of CD8 binding [43], we titrated the tetramer and evaluated the effect of the number of TCR molecules expressed at the same time. Higher avidity Tax-specific CTLs showed higher fluorescence on both Tax-tetramer ( $\sim$ 5-fold) and anti-CD3Ab ( $\sim$ 3-fold) staining (Fig. 3B), thus suggesting that CTL might acquire higher avidity state by possessing the different structure of the TCR as well as by increasing the number of TCR molecules expressed although other factors could also play a role for determining the avidity of CTLs [15].

HTLV-1 Tax, a critical viral protein for HTLV-1 leukemogenesis, is the most likely target for HTLV-1 specific CTL in HTLV-1-infected individuals [10,11]. In HTLV-1-infected patients with HLA-A2, the Tax11-19-specific CTL response is predominantly detected in culture [44]. However, few details are known about the recognition mechanism by Tax-specific CTLs because of the difficulty of developing CTL lines specific for Tax11-19 antigen [9]. Although both HCTLs and LCTLs developed from HLA-A2 transgenic mice were not able to induce cytotoxicity against the human HLA-A2-restricted ATL line, KK-1, on 4 h assay because of the mismatch between the murine CD8 and human  $\alpha$ 3 domain [22], HCTLs clearly showed more efficient cytotoxicity than LCTLs with longer-term assay of more than 4 h (Fig. 4). Furthermore, the use of the human IL-2-dependent HTLV-1-infected non-tumor cell, HCT-4, clearly brought out the difference in cytotoxic efficacy between HCTL and LCTL (Fig. 5). These findings could be direct evidence not only that Tax11-19 might be naturally processed for presentation as a CTL antigen in both ATL tumor cells and virus-infected cells but also that the higher avidity CTL for Tax11-19 could be more critical in

clearing HTLV-1-infected cells as well as ATL tumors in HLA-A2-restricted patients. In addition, HCTLs could more strongly recognize a latent level of Tax product detected only with a real-time PCR, not detectable with western blotting in the ATL target (Fig. 6). Furthermore, HCTLs also possessed higher elimination potential against HTLV-1 infected non-tumor targets when compared with LCTLs (Figs. 4 and 5).

The present findings are consistent with previous reports showing that the lytic efficiency of CD8<sup>+</sup> T cell response was inversely correlated with the proviral load and the rate of proviral expression in patients with HTLV-1 infection [17]. These data also strongly support the notion that induction of high avidity CTLs is critical for development of more effective vaccines against cancer and chronic viral infection such as HTLV-1 and HIV. In addition, based on the observation that the high-avidity CTLs expressed a greater number of TCR molecules when compared with the low-avidity CTLs (Fig. 3B), such more multivalent TCR display might be one of the critical factors in establishing functional high avidity, leading to more efficient TCR cell therapy in the future [45].

### Conflict of interest

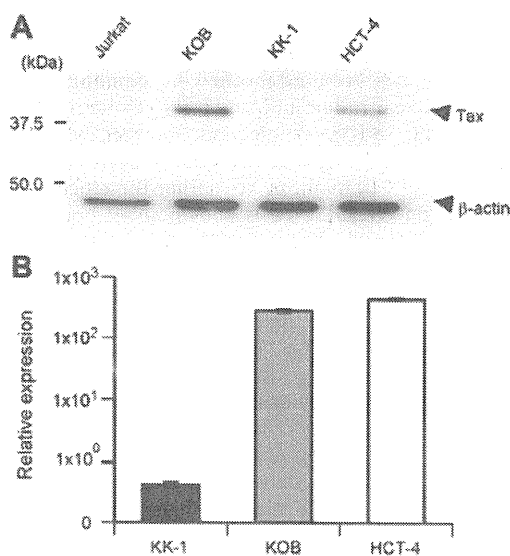
The authors declare no conflict of interest.

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**Fig. 6.** Expression of Tax product in human ATL tumors and HTLV-1 infected T cell targets. (A) Tax protein is detected in KOB and HCT-4 by western blotting, but not in KK-1. Jurkat cells were used as a negative control. (B) Comparison of mRNA production of Tax by real-time PCR among KOB, KK-1 and HCT-4. Tax production in KK-1 was detected by real-time PCR, but not in a western blotting. Jurkat cells as a negative control gave no detectable signal with the Tax-primer.

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RESEARCH

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# Functional impairment of Tax-specific but not cytomegalovirus-specific CD8<sup>+</sup> T lymphocytes in a minor population of asymptomatic human T-cell leukemia virus type 1-carriers

Ayako Takamori<sup>1</sup>, Atsuhiko Hasegawa<sup>1\*</sup>, Atee Utsunomiya<sup>2</sup>, Yasuhiro Maeda<sup>3,9</sup>, Yoshihisa Yamano<sup>4</sup>, Masato Masuda<sup>5</sup>, Yukiko Shimizu<sup>4</sup>, Yotaro Tamai<sup>1</sup>, Amane Sasada<sup>1</sup>, Na Zeng<sup>1</sup>, Ilseung Choi<sup>6</sup>, Naokuni Uike<sup>6</sup>, Jun Okamura<sup>7</sup>, Toshiki Watanabe<sup>8</sup>, Takao Masuda<sup>1</sup> and Mari Kannagi<sup>1</sup>

## Abstract

**Background:** Human T-cell leukemia virus type 1 (HTLV-1) causes adult T-cell leukemia (ATL) and HTLV-1-associated myelopathy/tropical spastic paraparesis (HAM/TSP) in a small percentage of infected individuals. ATL is often associated with general immune suppression and an impaired HTLV-1-specific T-cell response, an important host defense system. We previously found that a small fraction of asymptomatic HTLV-1-carriers (AC) already showed impaired T-cell responses against the major target antigen, Tax. However, it is unclear whether the impaired HTLV-1 Tax-specific T-cell response in these individuals is an HTLV-1-specific phenomenon, or merely reflects general immune suppression. In this study, in order to characterize the impaired HTLV-1-specific T-cell response, we investigated the function of Tax-specific CD8<sup>+</sup> T-cells in various clinical status of HTLV-1 infection.

**Results:** By using tetramers consisting of HLA-A\*0201, -A\*2402, or -A\*1101, and corresponding Tax epitope peptides, we detected Tax-specific CD8<sup>+</sup> T-cells in the peripheral blood from 87.0% of ACs (n = 20/23) and 100% of HAM/TSP patients (n = 18/18) tested. We also detected Tax-specific CD8<sup>+</sup> T-cells in 38.1% of chronic type ATL (cATL) patients (n = 8/21), although its frequencies in peripheral blood CD8<sup>+</sup> T cells were significantly lower than those of ACs or HAM/TSP patients. Tax-specific CD8<sup>+</sup> T-cells detected in HAM/TSP patients proliferated well in culture and produced IFN- $\gamma$  when stimulated with Tax peptides. However, such functions were severely impaired in the Tax-specific CD8<sup>+</sup> T-cells detected in cATL patients. In ACs, the responses of Tax-specific CD8<sup>+</sup> T-cells were retained in most cases. However, we found one AC sample whose Tax-specific CD8<sup>+</sup> T-cells hardly produced IFN- $\gamma$ , and failed to proliferate and express activation (CD69) and degranulation (CD107a) markers in response to Tax peptide. Importantly, the same AC sample contained cytomegalovirus (CMV) pp65-specific CD8<sup>+</sup> T-cells that possessed functions upon CMV pp65 peptide stimulation. We further examined additional samples of two smoldering type ATL patients and found that they also showed dysfunctions of Tax-specific but not CMV-specific CD8<sup>+</sup> T-cells.

**Conclusions:** These findings indicated that Tax-specific CD8<sup>+</sup> T-cells were scarce and dysfunctional not only in ATL patients but also in a limited AC population, and that the dysfunction was selective for HTLV-1-specific CD8<sup>+</sup> T-cells in early stages.

\* Correspondence: hase.impt@tmd.ac.jp

<sup>1</sup>Department of Immunotherapeutics, Tokyo Medical and Dental University, Tokyo, Japan

Full list of author information is available at the end of the article



## Background

Human T-cells leukemia virus type 1 (HTLV-1) is the causative agent of a highly aggressive CD4<sup>+</sup> T-cell malignancy, adult T-cell leukemia (ATL)[1,2]. As many as 10 million individuals are thought to be infected worldwide, in southern Japan, the Caribbean basin, South America, Melanesia, and equatorial Africa[3]. Unlike human immunodeficiency virus (HIV), the majority of HTLV-1-infected individuals are clinically asymptomatic during their lifetime. However, approximately 5% develop ATL, and another 2-3% develop a variety of chronic inflammatory diseases such as HTLV-1-associated myelopathy/tropical spastic paraparesis (HAM/TSP)[4-8].

HTLV-1-specific cytotoxic T-lymphocytes (CTLs) are thought to play a pivotal role in containing the proliferation of HTLV-1-infected T-cells[9,10]. Tax is known to be the dominant target antigen for HTLV-1-specific CTLs[10-13], and a high frequency of Tax-specific CTLs can be detected in HAM/TSP patients and some asymptomatic HTLV-1 carriers (ACs)[10-14]. However, ATL patients show general immune suppression[15], reduced frequency and dysfunction of Tax-specific CTLs[16,17]. Regulatory T cell (Treg)-like function of FoxP3<sup>+</sup> ATL cells and diminished function of dendritic cells may be involved in the immune suppression in ATL patients [18,19], but the precise mechanism is not yet clarified. We previously demonstrated that a fraction of ACs also exhibit reduced T-cell responses against Tax protein [20]. These observations suggest that the reduced HTLV-1-specific T-cell response might be an underlying risk of ATL development, but not the result of ATL. However, it is unknown how the function of HTLV-1-specific CD8<sup>+</sup> T-cells becomes impaired in a small percentage of ACs and whether its dysfunction is specific for HTLV-1 antigen or due to general immune suppression.

During chronic stage of infection with several viruses, such as HIV and hepatitis C virus (HCV), virus-specific CTLs gradually lose their cytotoxic activity, the ability to proliferate and secrete a diverse profile of cytokines, ultimately leading to exhaustion, anergy or even deletion of these cells[21-26]. Programmed death-1 (PD-1), a negative regulator in the CD28 superfamily, has recently been shown to be highly expressed on virus-specific T-cells during many chronic viral infections[27-29]. It has also been reported that the interaction of PD-1 with PD-ligand 1 (PD-L1) negatively regulates cytokine production and proliferation of T-cells[30,31]. A previous report indicates that PD-1 is up-regulated on the dominant Tax-specific CTLs in ATL patients and ACs and that immune regulation through the PD-1/PD-L1 pathway may be involved in the dysfunction of HTLV-1-specific CTLs in ATL patients[32].

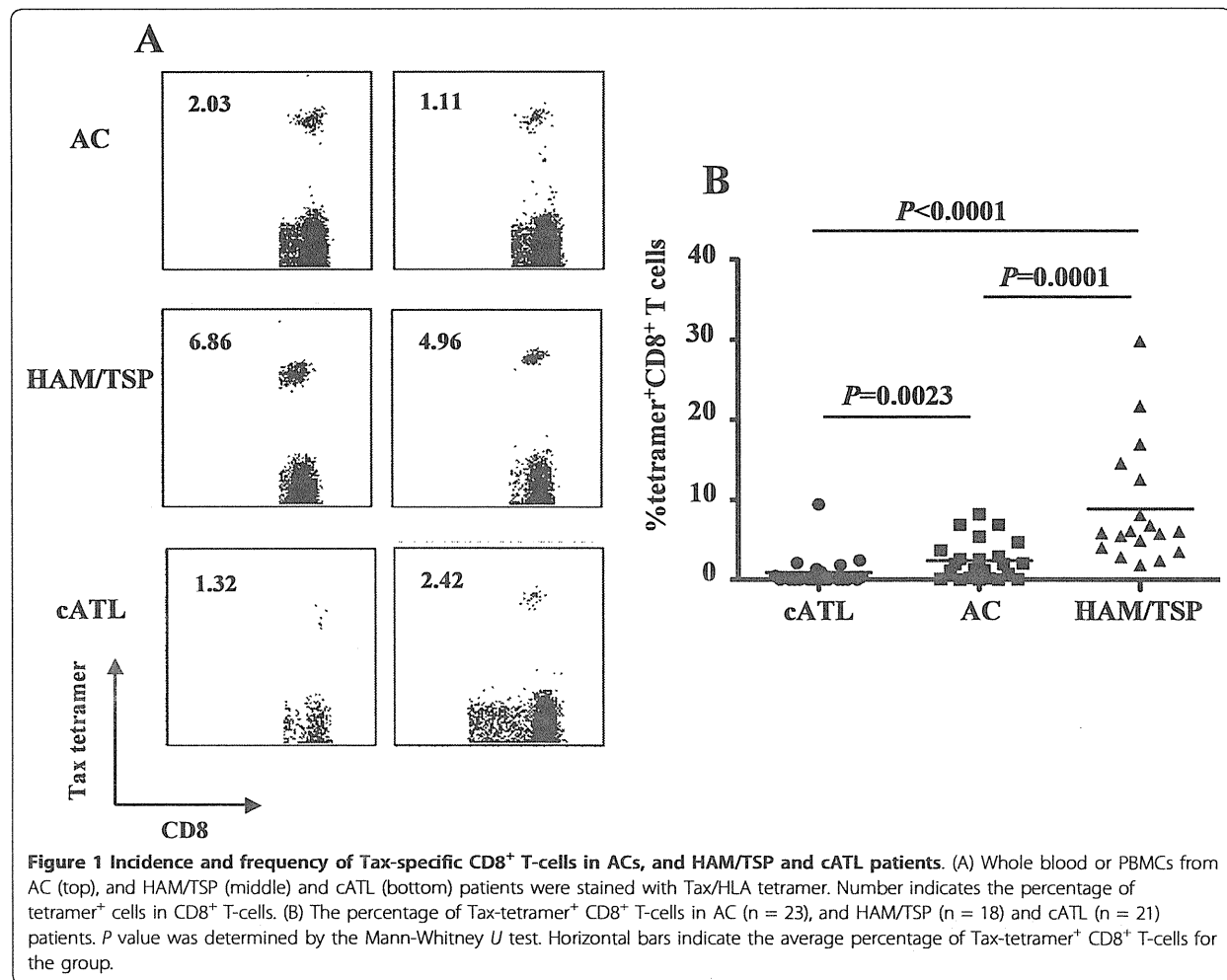
Studies on memory T-cell differentiation have shown that phenotype, function, and homeostasis of memory T-cells vary for different persistent virus infections[33]. Central memory T-cells (T<sub>CM</sub>; CD45RA<sup>-</sup>CCR7<sup>+</sup>) are elicited by non-persisting virus that provide transient antigen stimulation, such as in Influenza virus infection. In contrast, effector memory T-cells (T<sub>EM</sub>; CD45RA<sup>+</sup>CCR7<sup>-</sup>) predominate when relatively high levels of antigen persist, such as in HIV infection. Terminally differentiated memory (T<sub>Diff</sub>; CD45RA<sup>+</sup>CCR7<sup>-</sup>) can be seen when antigen persists at a low level, such as in cytomegalovirus (CMV) infection. In HTLV-1 infection, it has been reported that dominant Tax-specific CTLs in HAM/TSP patients consist of T<sub>EM</sub> and T<sub>Diff</sub> compartments[34].

We previously identified some major epitopes recognized by HTLV-1-specific CTLs in infected individuals carrying HLA-A2, -A11, or -A24[12,35,36]. These allowed us to monitor HTLV-1-specific CTLs and analyze their functions *ex vivo*, by using antigen/HLA tetrameric complexes. In this study, we demonstrate that IFN- $\gamma$  production and proliferative capacity of tetramer-binding Tax-specific CD8<sup>+</sup> T-cells were severely impaired not only in ATL patients but also in a minor population of asymptomatic HTLV-1 carriers (ACs). Importantly, the T-cell dysfunction at the asymptomatic stage was selective for HTLV-1 but not for CMV antigen. In addition, severely impaired HTLV-1-specific but not CMV-specific CD8<sup>+</sup> T-cells responses were also observed in patients diagnosed as smoldering ATL, the clinical condition of which is close to that of AC. The dysfunction of HTLV-1-specific CD8<sup>+</sup> T-cells in an early clinical stage implies HTLV-1-specific immune suppressive mechanism might be an underlying risk for ATL.

## Results

### Incidence and frequency of Tax-specific CD8<sup>+</sup> T-cells in ACs, and HAM/TSP and cATL patients

In 23 ACs and 18 HAM/TSP and 21 cATL patients carrying HLA-A2, -A11 and/or -A24 alleles, we evaluated the frequencies of Tax-specific CD8<sup>+</sup> T-cells by using cognate Tax/HLA tetramers (Figure 1 and Table 1). Tax-specific CD8<sup>+</sup> T-cells were detected in 87.0% of ACs and all HAM/TSP patients tested. In contrast, only 38.1% of cATL patients have detectable frequencies of Tax-specific CD8<sup>+</sup> T-cells (Table 1). Figure 1B shows that the average frequency of Tax-specific CD8<sup>+</sup> T-cells in the CD8<sup>+</sup> T-cells of cATL patients (n = 21, 0.90% range: 0%-9.45%) was significantly lower than that in ACs (n = 23, 2.37%, range: 0%-8.23%, P = 0.0023). HAM/TSP patients had the highest average frequency of Tax-specific CD8<sup>+</sup> T-cells among the three groups (n = 18, 8.88%, range: 1.86%-29.9%, P = 0.0001; vs. AC, P < 0.0001; vs. cATL patients), which is consistent with



previous reports [10,17,37]. It is of note that Tax-specific CD8<sup>+</sup> T-cells are detectable even in cATL patients, although the frequency is very low.

**Impaired cell proliferation and IFN- $\gamma$  production of Tax-specific CD8<sup>+</sup> T-cells in cATL but not HAM/TSP patients**  
 We next examined IFN- $\gamma$  production and cell proliferation of Tax-specific CD8<sup>+</sup> T-cells in HAM/TSP and cATL patients (Figure 2A). Intracellular IFN- $\gamma$  staining

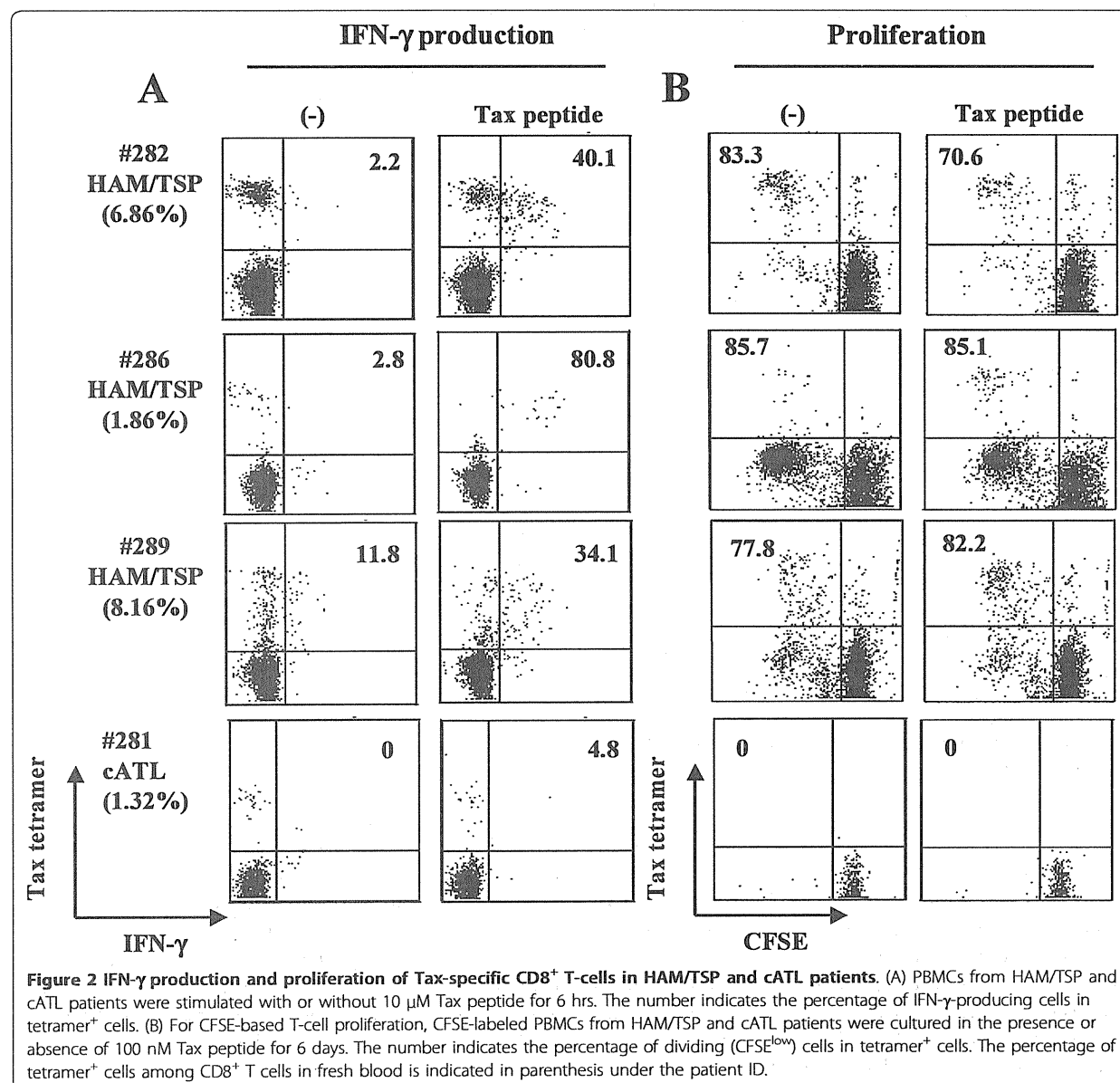
showed that Tax-specific CD8<sup>+</sup> T-cells in all HAM/TSP patients tested produced IFN- $\gamma$  when stimulated with Tax peptide (Figure 2A). Tax-specific CD8<sup>+</sup> T-cells in those HAM/TSP patients proliferated regardless of stimulation with Tax peptide (Figure 2B). In contrast to HAM/TSP patients, IFN- $\gamma$  production from Tax-specific CD8<sup>+</sup> T-cells in a cATL patient was hardly detectable even when stimulated with Tax peptide (4.8%, Figure 2A). In the same donor, Tax-specific CD8<sup>+</sup> T-cells

**Table 1 The number of blood samples with detectable Tax-specific CD8<sup>+</sup> T-cells in all samples tested in this study**

Tax/HLA tetramers used in this study	Disease Status		
	AC	HAM/TSP	cATL
HLA-A*0201/Tax11-19	12/14 <sup>1</sup>	7/7	2/11
HLA-A*1101/Tax88-96	4/4	4/4	3/5
HLA-A*2402/Tax301-309	13/15	13/13	5/16
No. of tetramer <sup>+</sup> samples/total no. of blood samples <sup>2</sup>	20/23 (87.0%)	18/18 (100%)	8/21 (38.1%)

<sup>1</sup> No. of samples with detectable Tax-specific CD8<sup>+</sup> T-cells/total no. of samples carrying each HLA allele. When the frequency of tetramer<sup>+</sup> cells was more than 0.04% of CD8<sup>+</sup> T-cells, the sample was regarded as detectable.

<sup>2</sup> In case Tax-specific CD8<sup>+</sup> T-cells was detectable by either tetramer in a sample carrying two of three HLA-A alleles above, the sample was regarded as positive.



could be detected in fresh blood (1.32%) and after 6 hrs incubation as shown in Figure 2A, but not after 6 day-culture, suggesting that Tax-specific CD8<sup>+</sup> T-cells in this cATL patient had no proliferative capacity (Figure 2B). We tested PBMC from four other cATL patients who had detectable Tax-specific CD8<sup>+</sup> T-cells, but none of them showed proliferation of Tax-specific CD8<sup>+</sup> T-cells by either the CFSE-based proliferation assay or 13-day culture (Additional file 1). Collectively, these results indicate that Tax-specific CD8<sup>+</sup> T-cells from most cATL patients are impaired in their capacities to proliferate and produce IFN- $\gamma$ .

#### Diversity in the IFN- $\gamma$ production and cell proliferation of Tax-specific CD8<sup>+</sup> T-cells in ACs

Our recent studies using the GST-Tax protein-based assay demonstrated that the extent of Tax-specific T-cell responses varied widely in ACs[20]. We then evaluated proliferation and/or IFN- $\gamma$  production of tetramer-binding Tax-specific CD8<sup>+</sup> T-cells in 14 ACs (Table 2). Representative data on 4 of 14 ACs are shown in Figures 3A and 3B. In 3 ACs (#251, #313, and #360), Tax-specific CD8<sup>+</sup> T-cells produced IFN- $\gamma$  and proliferated in response to Tax peptide (Figures 3A and 3B). Similarly to HAM/TSP samples, a large proportion of Tax-

**Table 2 Clinical information and summary for Tax-specific CD8<sup>+</sup> T cells in 14ACs**

ID	Age	Sex	WBC ( $\mu$ l)	CD4 (%) <sup>1</sup>	CD8 (%) <sup>1</sup>	HLA	Tetramer (%) <sup>2</sup>	Functions and phenotype of Tax-specific CD8 <sup>+</sup> T-cells <sup>3</sup>			Aby (%) <sup>7</sup>	PVL <sup>8</sup>
								IFN- $\gamma$ <sup>+</sup> (%) <sup>4</sup>	CFSE <sup>low</sup> (%) <sup>5</sup>	PD-1 <sup>+</sup> (%) <sup>6</sup>		
#217	70s	F	6800	ND <sup>9</sup>	5.72	A24	1.94	27.7	78.9	78.7	0	14
#236	30 s	F	6500	ND	11.9	A24	2.54	31.1	0	54.1	0	22
#238	60 s	F	5700	ND	12.7	A11	1.29	36.4	100	0	0	2
#243	50 s	F	4100	ND	24.6	A2/24	0.39/3.67	11.3	27.6	93.8	0	3
#245	40 s	F	5000	ND	22.6	A2	0.73	62.5	75	ND	1	58
#251	60 s	M	4800	ND	11.9	A2/11	0.70/8.23	35.8	84.4	36.7	0	2
#279	40 s	M	6200	34.1	11.6	A2/24	4.70/0.18	12.9	30.8	70.2	1	48
#287	70 s	M	4800	72.5	10.0	A2/24	1.17/0.23	11.1	0	55.6	2	81
#309	60 s	F	4600	37.5	24.8	A11/24	6.88/4.26	51.7	76.2	85.3	1.5	29
#311	60 s	F	3200	30.6	14.8	A2/24	1.02/1.94	51.3	ND	ND	0	6
#312	50 s	F	2700	27.3	36.4	A24	2.03	77.8	ND	ND	ND	UN <sup>10</sup>
#313	60 s	M	7300	25.4	31.0	A24	1.11	55.7	60	90.6	ND	4
#315	50 s	F	7500	26.5	7.9	A2/24	6.88/0	24.5	84.7	20	0.6	17
#360	50 s	M	6200	37.7	29.9	A2	2.6	63.1	68.4	10.2	0	UN

<sup>1</sup>The number indicates percentage of CD4<sup>+</sup> or CD8<sup>+</sup> T cells in lymphocytes.

<sup>2</sup>The number indicates percentages of tetramer<sup>+</sup> cells in CD8<sup>+</sup> T-cells. Two numbers divided by a slash represent those detected by two different tetramers corresponding to two HLA alleles shown in the HLA column.

<sup>3</sup>In case of a sample carrying two of three HLA-A alleles (A2, A11, or A24), Tax-specific CTLs predominantly detected by a tetramer were used. The number represents percentage of indicated cells in the tetramer-binding CD8<sup>+</sup> T cells.

<sup>4</sup>Evaluated by intracellular IFN- $\gamma$  staining following 6 hours stimulation with corresponding Tax peptide.

<sup>5</sup>Evaluated by CFSE intensities in labeled PBMC after 6 days incubation with corresponding Tax peptide stimulation.

<sup>6</sup>The number represents percentage of indicated PD-1<sup>+</sup>Tax-specific CD8<sup>+</sup> T cells without culture.

<sup>7</sup>Aby; abnormal lymphocytes

<sup>8</sup>PVL; proviral load. The number represents copy number per 1000 PBMCs.

<sup>9</sup>ND; not determined

<sup>10</sup>UN; undetectable

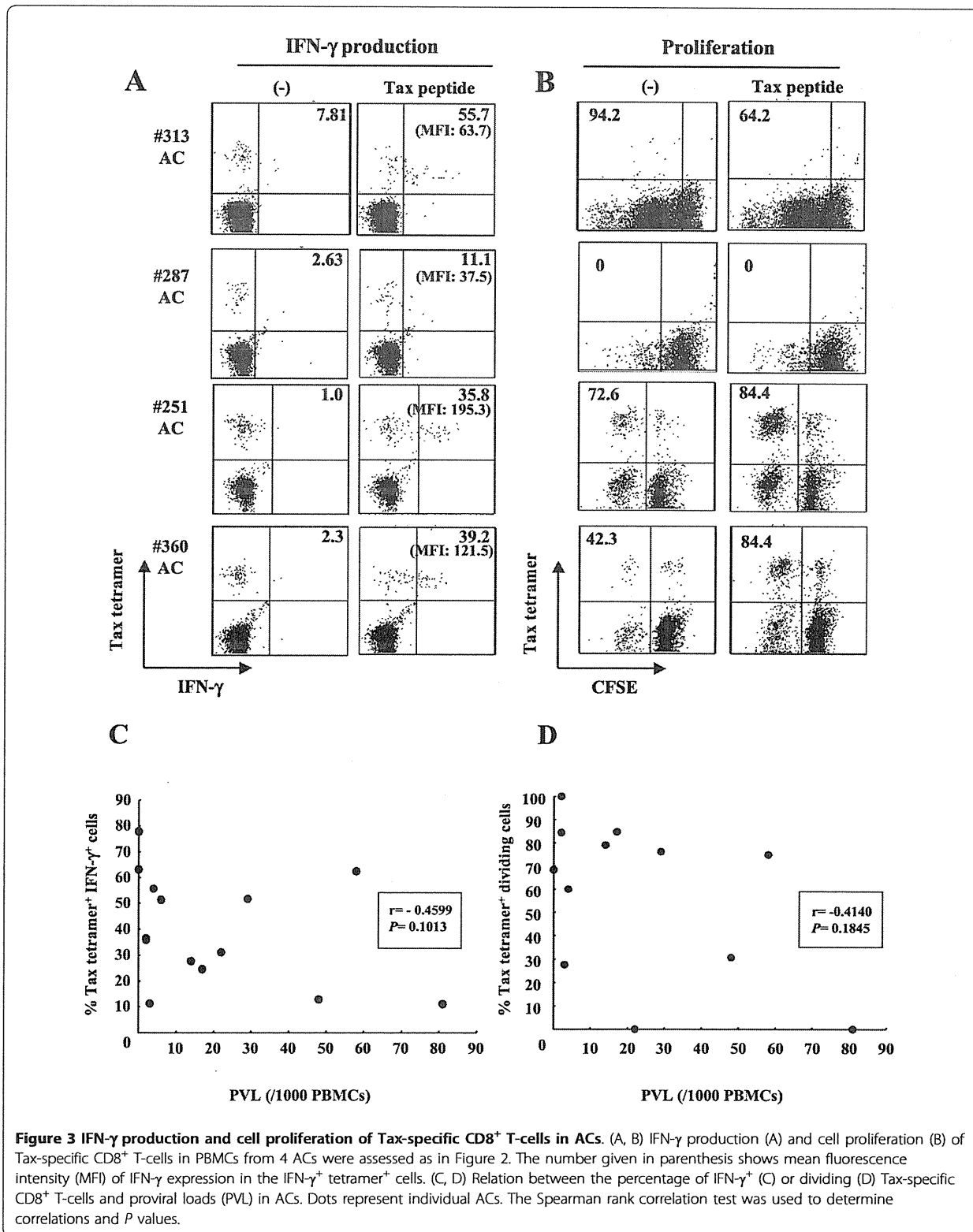
specific CD8<sup>+</sup> T-cells in these ACs spontaneously proliferated without stimulation with Tax peptide, probably due to viral reactivation in HTLV-1-infected cells *in vitro* [38,39]. IFN- $\gamma$  production was specifically detected for peptide stimulation, and 35.8-55.7% of Tax-specific CD8<sup>+</sup> T-cells produced a good amount of IFN- $\gamma$  (mean fluorescence intensity, MFI: 63.7-195.3) upon stimulation in the samples of #251, #313, and #360. In contrast, Tax-specific CD8<sup>+</sup> T-cells in one AC (#287) did not proliferate in response to Tax peptide and showed a very weak IFN- $\gamma$  response with low amounts of IFN- $\gamma$  (MFI: 37.5) in a low percentage (11.1%) of Tax-specific CD8<sup>+</sup> T-cells (Figures 3A and 3B). In other ACs (#243 and #279), low frequency of IFN- $\gamma$ <sup>+</sup> Tax-specific CD8<sup>+</sup> T-cells was observed, but the levels of IFN- $\gamma$  production (MFI: #243; 58.8, #279; 77.6) and the proliferative responses were comparable to other ACs (Table 2). Tax-specific CD8<sup>+</sup> T-cells in #236 failed to proliferate but showed favorable IFN- $\gamma$  production (MFI: 80.1) in 31.1% of the cells.

Among AC samples tested, AC#287 carried higher proviral load (81 copies in 1000 PBMCs) than any other ACs (Table 2). Since Tax-specific CD8<sup>+</sup> T-cells in #287 had severely impaired IFN- $\gamma$  production and proliferative

potential, we examined the relationship of the function of these T-cells with proviral loads. Both percentages of IFN- $\gamma$ <sup>+</sup> and dividing Tax-specific CD8<sup>+</sup> T-cells among CD8<sup>+</sup> T-cells were likely to be inversely correlated with proviral loads although they were not statistically significant (Figure 3C and 3D). Because of the limited availability of the samples, we focused mainly on two ACs (#287 and #313) in the studies hereafter.

#### Dysfunction of Tax-specific CD8<sup>+</sup> T-cells and inefficient CD8<sup>+</sup> cell-mediated HTLV-1 control in AC #287

To examine whether Tax-specific CD8<sup>+</sup> T-cell responses were influenced by activation of antigen-presenting cells (APCs), PBMC from #313 (responder) and #287 (low responder) were stimulated with Tax peptide in the presence or absence of LPS, a potent activator of APCs such as dendritic cells (DCs) and monocytes/macrophages. In #313, the frequency of Tax-specific CD8<sup>+</sup> T-cells increased from 1.11% to 6.47% or 4.07% at day 13, after stimulation with or without Tax peptide, respectively. The frequency of Tax-specific CD8<sup>+</sup> T-cells in #313 further increased in the presence of Tax peptide and LPS (15.81%). In contrast to #313, the frequency of Tax-specific CD8<sup>+</sup> T-cells in #287 decreased from 1.17%





to 0.2% after stimulation with Tax peptide, and was not recovered by LPS stimulation (Figure 4A). In addition, HTLV-1-infected cells have been reported to express C-C chemokine receptor type 4 (CCR4) and have FoxP3<sup>+</sup> Treg-like function[18,40]. However, the proliferative ability of Tax-specific CD8<sup>+</sup> T-cells in #287 was not restored even in the absence of CCR4<sup>+</sup> infected cells (data not shown).

To further examine the function of Tax-specific CD8<sup>+</sup> T-cells in #313 and #287, we observed the expression of CD69, an early activation marker transiently expressed on T lymphocytes that precedes cytokine secretion after antigenic stimulation, and CD107a, a marker of degranulation associated with cytotoxic activity in an antigen-specific manner[41]. CD69 was up-regulated on Tax-specific CD8<sup>+</sup> T-cells in #313 when stimulated with Tax peptide, but not in #287, which was in agreement with their abilities to produce IFN- $\gamma$  (Figure 4B). In #313, 22.4% of Tax-specific CD8<sup>+</sup> T-cells mobilized CD107a to the surface during a 6-hr culture with Tax peptide stimulation, while CD107a surface expression was detected on 4% of Tax-specific CD8<sup>+</sup> T-cells in the culture without stimulation (Figure 4C). However, no CD107a mobilization was detected on the surface of Tax-specific CD8<sup>+</sup> T-cells in #287 with or without Tax peptide stimulation (Figure 4C). These results indicate that HTLV-1-specific CD8<sup>+</sup> T-cells in AC #287 did not properly activate upon antigen stimulation, and therefore failed to control HTLV-1-infected cells.

The Tax/HLA tetramers used in this study allow us to evaluate the functions of CD8<sup>+</sup> T-cells only against an immunodominant epitope, Tax. We therefore compared HTLV-1 Gag p19 in the culture between whole and CD8<sup>+</sup> cell-depleted PBMCs to examine the role of total HTLV-1-specific CD8<sup>+</sup> T-cells including the dominant Tax-specific CD8<sup>+</sup> T-cells, in suppression of HTLV-1 production from infected cells (Figure 4D). As expected, depletion of CD8<sup>+</sup> cells from PBMCs in #313 led to significantly higher HTLV-1 production compared to whole PBMCs ( $P = 0.0115$ ). In contrast, HTLV-1 p19 production increased only a little in the culture of CD8<sup>+</sup> cell-depleted PBMCs in #287 ( $P = 0.1563$ ), indicating that HTLV-1-specific CD8<sup>+</sup> T-cells other than the dominant Tax-specific CD8<sup>+</sup> T-cells might have a reduced ability to control the infected cells in this donor. It is of note that HTLV-1-infected cells from both two donors carried intact HTLV-1 proviral genomic DNA because HTLV-1 p19 could be detected after 7 day-culture.

#### Phenotypic analysis of functional and dysfunctional Tax-specific CD8<sup>+</sup> T-cells

We next characterized the differentiation status of memory T-cells in Tax-specific CD8<sup>+</sup> T-cells. Human

CD8 T-cells may be classified as naïve T-cells (CD45RA<sup>+</sup>CCR7<sup>+</sup>CD27<sup>+</sup>), T<sub>CM</sub> (CD45RA<sup>-</sup>CCR7<sup>+</sup>CD27<sup>+</sup>), T<sub>EM</sub> (CD45RA<sup>-</sup>CCR7<sup>-</sup>CD27<sup>+</sup>), and T<sub>Diff</sub> (CD45RA<sup>+</sup>CCR7<sup>-</sup>CD27<sup>-</sup>) cells[42-44]. As shown in Figure 5A, almost all Tax-specific CD8<sup>+</sup> T-cells in both #313 and #287 were skewed to CD45RA<sup>-</sup>CCR7<sup>-</sup>CD27<sup>+</sup> T<sub>EM</sub> cells, and there was no essential difference between two donors.

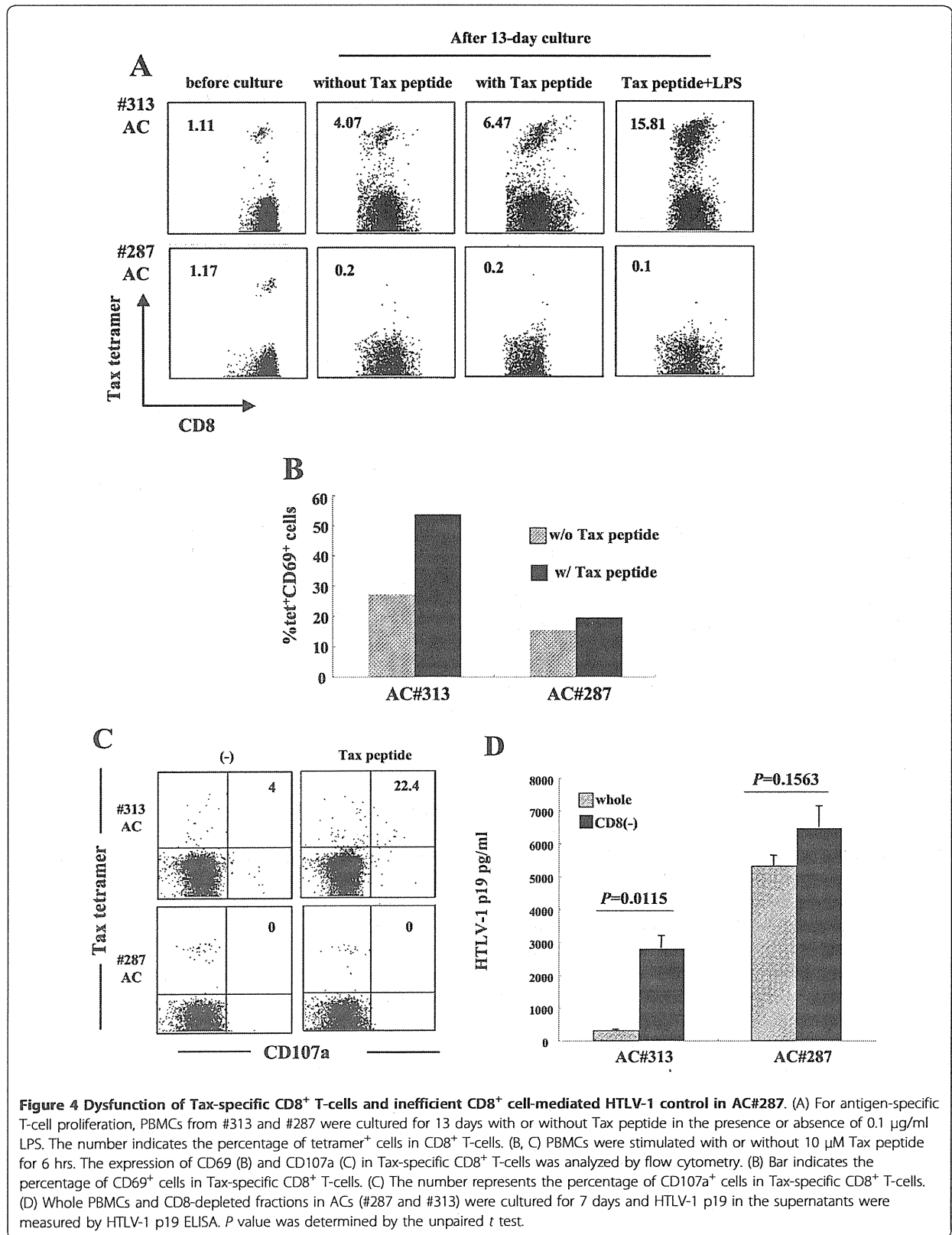
A previous report has shown that PD-1 was highly up-regulated on Tax-specific CD8<sup>+</sup> T-cells in ATL patients and ACs[32]. We therefore examined PD-1 expression on Tax-specific CD8<sup>+</sup> T-cells in several AC samples, including #287. The frequency of PD-1<sup>+</sup> Tax-specific CD8<sup>+</sup> T-cells was very high in #309 (85.3%) and #313 (96%) (Figure 5B and Table 2) while those Tax-specific CD8<sup>+</sup> T-cells retained the proliferative and the cytokine-producing abilities (Figure 3A and Table 2). In #287, the frequency of PD-1-expressing Tax-specific CD8<sup>+</sup> T-cells (55.6%) was lower than #309 and #313, but higher than that of PD-1<sup>+</sup> CMVpp65-specific CD8<sup>+</sup> T-cells in the same donor (Figure 5B). The levels of PD-1 expression showed a similar tendency to the frequency of PD-1<sup>+</sup> T-cells. In addition, the blockade of PD-1/PD-ligand 1 (PD-L1) pathway did not restore the proliferative capacity of Tax-specific CD8<sup>+</sup> T-cells in #287 (data not shown).

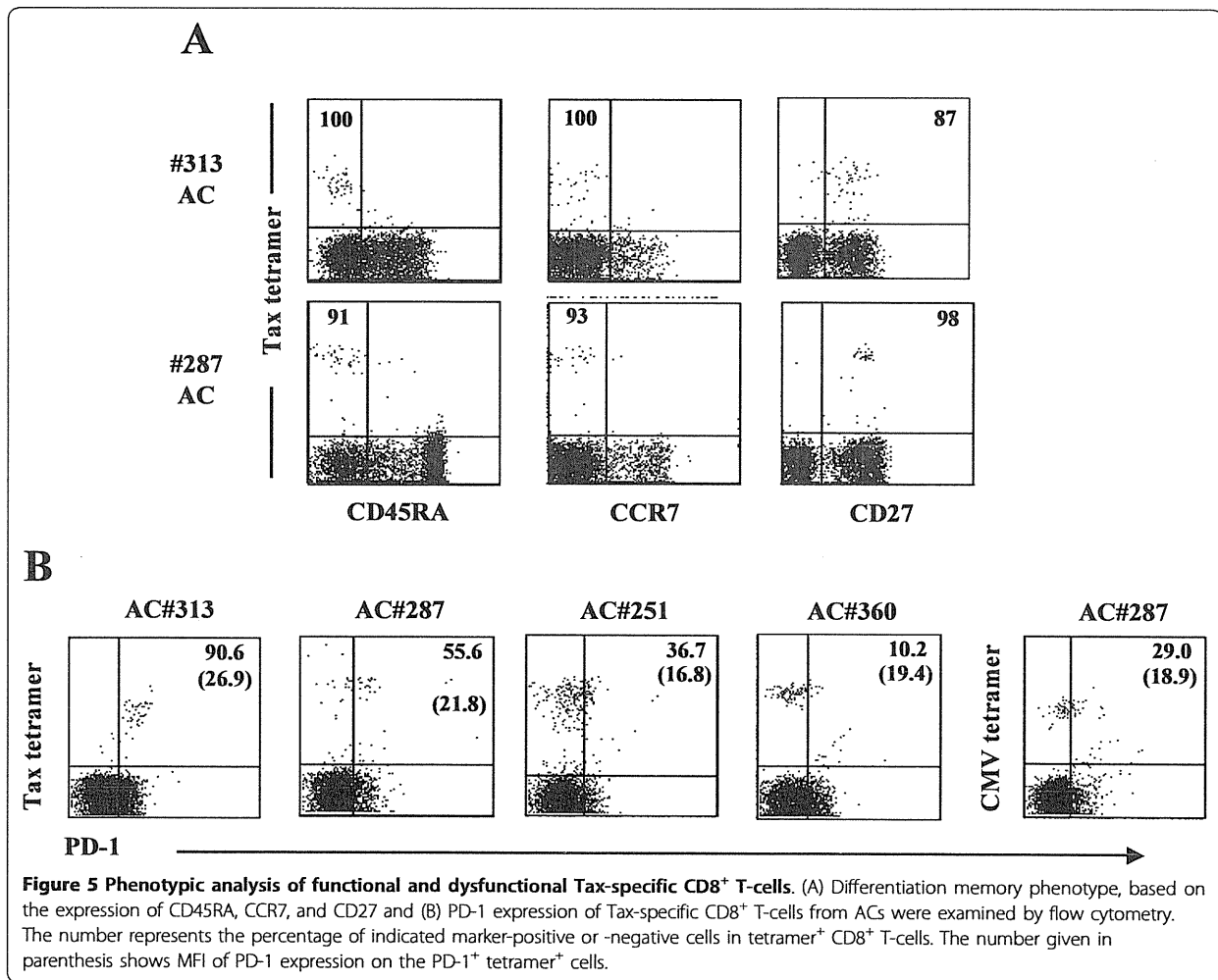
#### Conserved functions of CMV-specific CD8<sup>+</sup> T-cells in #287

We next examined whether the impairment of proliferative capacity and effector functions observed in #287 CD8<sup>+</sup> T-cells were specific for HTLV-1 antigens or the result of general immune suppression. PBMC from #287 contained CMVpp65-specific CD8<sup>+</sup> T-cells (2.3% of CD8<sup>+</sup> T-cells), as detected by tetramer staining. The frequency of CMVpp65-specific CD8<sup>+</sup> T-cells increased from 2.3% to 66.0% following in vitro CMVpp65 peptide stimulation, but not without the peptide stimulation (Figure 6A). Antigen-specific IFN- $\gamma$  and CD69 expression were clearly detected in CMVpp65-specific CD8<sup>+</sup> T-cells in #287 (Figures 6B and 6C). Furthermore, CMVpp65-specific CD8<sup>+</sup> T-cells mobilized CD107a to the surface in response to CMVpp65 peptide (Figure 6D). These results demonstrate that in #287, CMVpp65-specific CD8<sup>+</sup> T-cells, but not Tax-specific CD8<sup>+</sup> T-cells, have proliferative potential and effector functions, such as cytotoxic activity and IFN- $\gamma$  release, suggesting that the impaired CD8<sup>+</sup> T-cell function in #287 was specific for HTLV-1.

#### Dysfunction of Tax-specific but not CMVpp65-specific CD8<sup>+</sup> T-cells also in sATL patients

Finally, we extended the study to see whether patients with early stage ATL might exhibit similar dysfunction selective for HTLV-1-specific CD8<sup>+</sup> T-cells. We found two smoldering ATL (sATL) patients (#110 and #353)



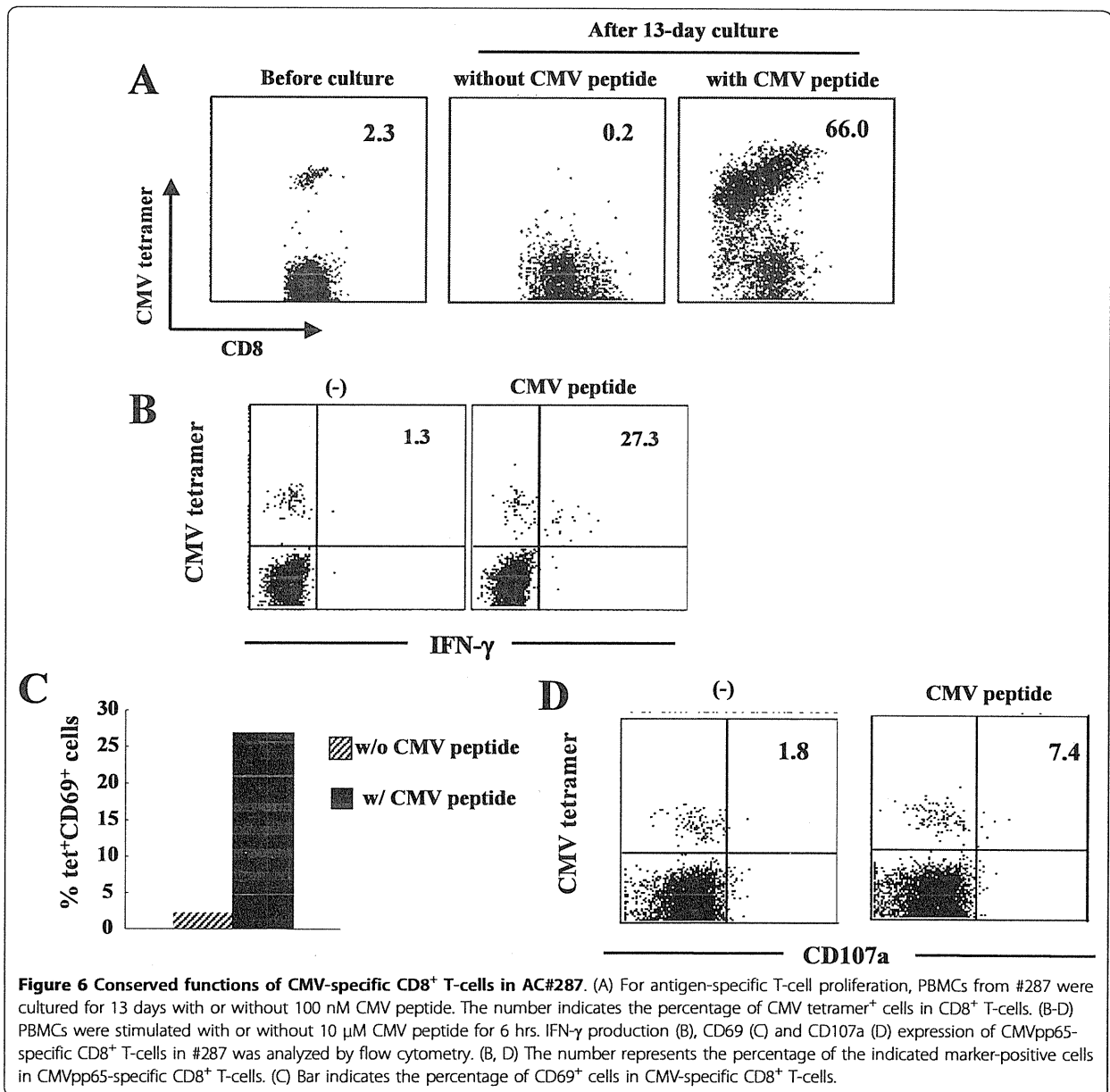


possessing 6.89% and 3.15% of tetramer-binding Tax-specific CD8<sup>+</sup> T-cells, respectively. The sATL patient #353 carried 5% of abnormal lymphocytes (ably) with a normal range of lymphocyte number, whose status is very close to the borderline with ACs. Patient #110 carried 4% of abnormal lymphocytes with mild lymphocytosis. Tax-specific CD8<sup>+</sup> T-cells of two sATL patients (#110 and #353) did not proliferate in response to Tax peptides as similarly observed in a cATL patient (#224) (Figure 7A) and most other cATL patients (Figure 2A and Additional file 1). In contrast, CMVpp65-specific CD8<sup>+</sup> T-cells in both sATL patients vigorously proliferated when stimulated with CMVpp65 peptides. CMVpp65-specific CD8<sup>+</sup> T-cells in a cATL (#224) also proliferated, but to a lesser degree, which might reflect general immune suppression in this patient (Figure 7).

### Discussion

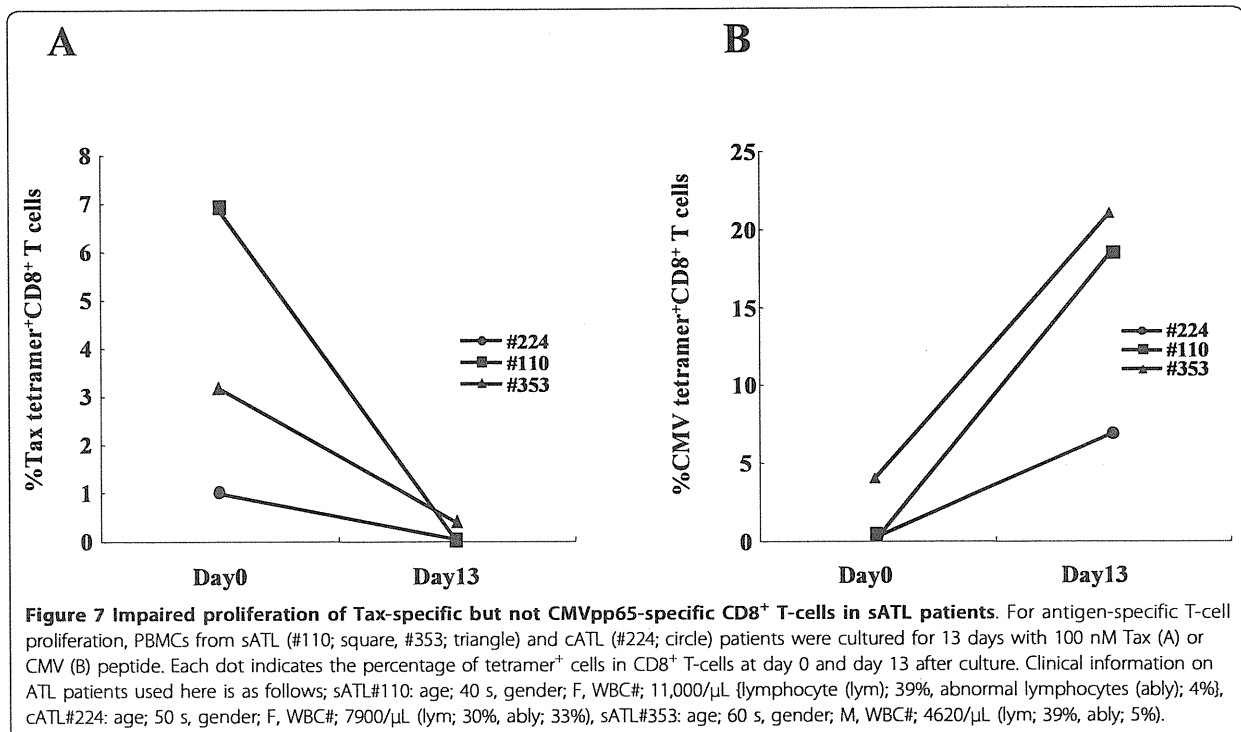
In this study, we detected Tax-specific CD8<sup>+</sup> T-cells in 87%, but not the rest of ACs tested, by using tetramers

containing Tax major epitope-peptides presented by HLA-A\*0201, A\*1101, and A\*2402. Tax-specific CD8<sup>+</sup> T-cells were also detected in 38% of cATL patients, but at reduced frequencies and with severely impaired functions. Further analysis of Tax-specific CD8<sup>+</sup> T-cells in 14 ACs indicated that they were functional in most of ACs tested except one (#287), whose Tax-specific CD8<sup>+</sup> T-cells poorly responded to specific peptides. However, CMVpp65-specific CD8<sup>+</sup> T-cells of this individual were fully functional. Similar T-cell dysfunction selective for HTLV-1, but not CMV, was also observed in sATL patients, one of which (#353) had no clinical symptoms but 5% abnormal lymphocytes. General immune suppression might partly account for the scarcity and/or the dysfunction of Tax-specific CD8<sup>+</sup> T-cells in ATL patients, but not those in the AC or the sATL patients as they were selective for HTLV-1. These findings suggest that HTLV-1-specific immune suppression is undergoing in a minor group of ACs and an early stage of ATL.



The presence of tetramer-binding Tax-specific CD8<sup>+</sup> T-cells in cATL patients, although at low frequencies, implies that they have encountered antigen during the chronic phase of ATL disease, suggesting that Tax may be expressed *in vivo*. This may be supported by a previous report showing that virus-specific CD8<sup>+</sup> T-cells fails to acquire memory T-cell property of long-term antigen-independent persistence during chronic lymphocytic choriomeningitis virus (LCMV) infection[45]. However, there is no direct evidence that infected cells produce Tax in infected individuals. HTLV-1-specific T-cell responses in cATL patients are largely different

from HAM/TSP patients. In HAM/TSP patients, Tax-specific CD8<sup>+</sup> T-cells proliferated vigorously and a large population of them produced IFN-γ. In contrast, the function of Tax-specific CD8<sup>+</sup> T-cells in cATL patients was profoundly suppressed, similarly to tumor infiltrating lymphocytes (TIL)[46]. In cATL patients, Tax-specific CD8<sup>+</sup> T-cells that were detected before culture decreased in number to undetectable or very low levels after 6 days, regardless of peptide stimulation (data not shown). This is not likely to be due to TCR down-regulation, because TCRs on Tax-specific CD8<sup>+</sup> T-cells in HAM/TSP patients are down-regulated on days 1 to 4



and reappeared by day 6 in vitro[34]. Moreover, we could not observe any tetramer<sup>+</sup> CD8<sup>+</sup> T-cells even in the 13-day culture (data not shown), suggesting these cells might have died during the culture.

Severe dysfunction of Tax-specific CD8<sup>+</sup> T-cells was observed not only in cATL patients, but also in an AC #287. Fresh PBMCs of #287 contained 1.17% tetramer<sup>+</sup> cells in the CD8<sup>+</sup> T-cell fraction. However, none of these tetramer-positive T-cells proliferated in culture, with or without Tax peptide stimulation (Figure 3B). Although a few populations of them (11.1%) produced a small amount of IFN- $\gamma$ , they lacked degranulation activity for cytotoxicity or expression of CD69, an early activation marker, upon specific stimulation (Figures 3 and 4). Importantly, CMVpp65-specific CD8<sup>+</sup> T-cells in the same donor were clearly activated, and exhibited these characteristics upon stimulation with pp65 peptides (Figure 6). These observations indicated that the impaired Tax-specific CD8<sup>+</sup> T-cells function in #287 was not attributable to general immune suppression, but to an HTLV-1-specific phenomenon. In addition, CD8-depletion study indicated that not only the dominant Tax-specific CD8<sup>+</sup> T-cell function but also other HTLV-1-specific CD8<sup>+</sup> T cell responses might be reduced in #287 (Figure 4D). Since CMV-specific CD8<sup>+</sup> T-cells responded well to the specific peptides, antigen-presenting cells in culture were not likely to be responsible for the selective suppression of Tax-specific CD8<sup>+</sup> T-cells.

In addition, it has been shown that HTLV-1-infected cells generally express CCR4 and have Treg-like function[18,40]. However, depletion of CCR4<sup>+</sup> cells did not restore the proliferative ability of Tax-specific CD8<sup>+</sup> T-cells (data not shown), indicating that suppression of the infected cells were not likely to be the major reason for the impaired Tax-specific CD8<sup>+</sup> T-cell function in our culture system. These observations suggest that in #287, Tax-specific CD8<sup>+</sup> T-cells themselves might lose their functions.

Many chronic viral infections affect the phenotype, function, and maintenance of memory T-cells [24,42,47,48]. T<sub>EM</sub> cells predominate in infections in which relatively high levels of antigen persist and continuous antigen stimulation are required for maintenance of T<sub>EM</sub> cells. As described in HAM/TSP patients [34], Tax-specific CD8<sup>+</sup> T-cells in both ACs (#287 and #313) were primarily enriched in T<sub>EM</sub> memory pool in spite of the functionality of Tax-specific CD8<sup>+</sup> T-cells (Figure 5A), which may support continuous or periodical expression of viral antigen in vivo during an asymptomatic stage.

PD-1 is known to play a major role in regulating T-cell exhaustion during chronic infection. In this study, we could not obtain any data supporting the involvement of PD-1 in the dysfunction of Tax-specific CD8<sup>+</sup> T-cells. However, we observed that Tax-specific CD8<sup>+</sup> T-cells in some ACs showed IFN- $\gamma$  production, but not

proliferative capacity (Table 2). This partially lacked function of Tax-specific CD8<sup>+</sup> T-cells is similar to the features of T-cell exhaustion. Whether Tax-specific CD8<sup>+</sup> T-cells are exhausted in HTLV-1 infection, and whether other molecules associated with T-cell exhaustion are involved in the impairment of Tax-specific CD8<sup>+</sup> T-cell responses are necessary to be clarified because some inhibitory molecules such as T-cell immunoglobulin and mucin domain-containing protein-3 (TIM-3), lymphocyte activated gene-3 (LAG-3), and transcription factors including BLIMP-1 are also found to be associated with T-cell exhaustion [49].

The incidence of Tax-specific CD8<sup>+</sup> T-cell detection was high (87.0%) in ACs. Given the fact that the incidence of Tax-specific CD8<sup>+</sup> T-cells in HAM/TSP patients was 100%, a small fraction of ACs lacking detectable tetramer-binding cells might lack Tax-specific T-cell responses. Our previous study investigating GST-Tax protein-based T-cell responses supports this notion [20]. In the present study, even in ACs possessing Tax-specific CD8<sup>+</sup> T-cells, at least one individual exhibited T-cell dysfunction selectively for HTLV-1. The incidence of tetramer-positive cells was reduced in ATL patients (38.1%), and the function of these cells was impaired in all the ATL patients even with detectable tetramer-binding Tax-specific CD8<sup>+</sup> T-cells. Our findings suggest that HTLV-1-specific T-cell responses are selectively impaired in a small percentage of HTLV-1-infected individuals in the asymptomatic stages, and the proportion of individuals with such characteristics increase as the stages proceed towards ATL. Strategies to reactivate HTLV-1-specific T-cells at early stages might contribute to a reduction in the immunological risk of ATL.

## Conclusions

Tax-specific CD8<sup>+</sup> T-cells were scarce and dysfunctional in a limited AC population and ATL patients, and the dysfunction of CD8<sup>+</sup> T-cells was selective for HTLV-1 in early stages. These results implied the presence of some HTLV-1-specific T-cell suppressive mechanisms even in asymptomatic stages, which are not a result of general immune suppression in ATL but could be underlying conditions toward disease progression.

## Methods

### Samples

Blood samples from 64 HTLV-1-seropositive individuals were used in this study: 23 asymptomatic carriers (ACs), 18 HAM/TSP patients, 2 smoldering type ATL (sATL) patients, and 21 chronic type ATL (cATL) patients. All blood samples were obtained following written informed consent, and this study was reviewed and approved by

the Institutional Review Board of the Tokyo Medical and Dental University.

### Peptides

Peptides used in this study were HLA-A2-restricted CTL epitopes (Tax11-19, LLFGYPVYV)[12] (Hokudo Co., Hokkaido, Japan) and (CMV495-503, NLVPMVATV)[50] (Sigma Aldrich St. Louis, MO), HLA-A11-restricted CTL epitope (Tax88-96, KVLTPPITH)[36] (Hokudo Co) and HLA-A24-restricted CTLs epitopes (Tax301-309, SFHSLHLF)[35] (Hokudo Co) and (CMV341-349, QYDPVAALF)[51] (Sigma Aldrich).

### Cell Surface staining

To select samples carrying HLA-A2, -A11, or -A24, whole blood was screened with antibodies for HLA-A2, -A11, and -A24 subtypes (One Lambda, Inc., Los Angeles, CA). FITC-conjugated goat anti-mouse Ig (G +M) (Beckman Coulter Inc., Webster, TX) was used as a secondary antibody. For cell surface staining, whole blood samples were stained with the following fluorochrome-conjugated mouse anti-human mAbs; CD3-FITC, CD8-PE/Cy5, CD8-PerCP/Cy5.5 (RPA-T8, BioLegend), CD27-FITC (O323, BioLegend) CD45RA-FITC (HI 100, BD Biosciences), CD45RA-APC (HI 100, BioLegend), CD69-FITC (FN 50, BioLegend), PD-1-FITC (EH12.2H7, BioLegend), CCR7 (TG8/CCR7, BioLegend).

### Tetramer staining

PE-conjugated HLA-A\*0201/Tax11-19, HLA-A\*1101/Tax88-96, HLA-A\*2402/Tax301-309, HLA-A\*0201/CMVpp65, HLA-A\*2402/CMVpp65 tetramers were purchased from MBL (Nagoya, Japan). Whole blood samples or peripheral blood mononuclear cells (PBMCs) were stained with PE-conjugated Tax/HLA tetramer in conjunction with FITC-conjugated anti-CD3 (UCHT1, BioLegend San Diego, CA), and PE-Cy5-conjugated anti-CD8 monoclonal antibodies (mAbs) (HIT8a, BD Biosciences San Jose, CA). Whole blood samples were lysed and fixed in BD FACS lysing solution (BD Biosciences) before washing the cells. Samples were analyzed on a FACSCalibur (Becton Dickinson, San Jose, CA) and data analyses were performed using CellQuest software (Becton Dickinson).

### Tetramer-based IFN- $\gamma$ flow cytometry

Tetramer-based intracellular IFN- $\gamma$  flow cytometry was performed as described previously[17], with slight modifications. In brief, PBMCs ( $2.0 \times 10^5$  cells) were incubated with HLA tetramer-PE and anti-CD8-PE/Cy5, washed, and stimulated with 10  $\mu$ M antigenic peptide for 6 hrs at 37°C in the presence of brefeldin A (BFA, 10  $\mu$ g/ml; Sigma Aldrich). The cells were stained with a

tetramer, permeabilized, and stained with anti-human IFN- $\gamma$ -FITC (4S.B3, BD Biosciences).

#### T-cell proliferation

PBMCs ( $2.0\text{--}5.0 \times 10^5$  cells/well) labeled with carboxy-fluorescein succinimidyl ester (CFSE; Sigma Aldrich) were cultured for 6 days with or without 100 nM antigenic peptide and then stained with Tax/HLA tetramer-PE and anti-CD8-PE/Cy5. In some experiments, PBMCs ( $2.0 \times 10^5$  cells) were cultured for 13 days with 100 nM antigenic peptide and 10 U/ml recombinant human IL-2 (IL-2; Shionogi, Osaka, Japan) in the presence or absence of 0.1  $\mu\text{g/ml}$  Lipopolysaccharide (LPS; Sigma Aldrich). The cells were then stained with HLA tetramer-PE, anti-CD8-PE/Cy5 and anti-CD3-FITC, and analyzed by flow cytometry.

#### Quantification of HTLV-1 proviral load

The HTLV-1 proviral load was measured using LightCycler DNA Master SYBR Green 1 (Roche, Mannheim, Germany) with a LightCycler (Roche). Genomic DNA was extracted from PBMCs ( $2 \times 10^6$  cells) using DNeasy Blood & Tissue kits (QIAGEN, Courtaboeuf, France). The primer sets used in this study were as follows: pX2 (5'-CGGATACCCAGTCTACGTGTTTGGAGACTGT-3') and pX3 (5'-GAGCCGATAACGCGTCCATCGATGGGTCC-3') for HTLV-1 pX, and B-globin (5'-ACA-CAACTGTGTTCACTAGC-3') and aB-globin (5'-CAACTTCATCCACGTTCCACC-3') for  $\beta$ -globin. The proviral load was calculated as: [(copy number of pX)/(copy number of  $\beta$ -globin/2)]  $\times$  1000. HTLV-1 proviral loads in some of the PBMC samples were measured by the Group of Joint Study on Predisposing Factors of ATL Development (JSPFAD, Japan) as described previously [20].

#### CD107a mobilization assay

PBMCs were stained with Tax/HLA tetramers-PE and anti-CD8-PE/Cy5, washed, and stimulated with 10  $\mu\text{M}$  antigenic peptide for 6 hrs at 37°C in the presence of mouse anti-human CD107a-PerCP/Cy5.5 (H4A3, Biolegend) or mouse IgG<sub>1</sub>-PerCP/Cy5.5 (MOPC-21, Biolegend). BFA (10  $\mu\text{g/ml}$ ) was added 1 hr after incubation was started. The cells were then collected and stained with an HLA tetramer.

#### Depletion of CD8<sup>+</sup> cells and Detection of HTLV-1 p19

CD8<sup>+</sup> cells were depleted from PBMCs by negative selection using 10-fold numbers of Dynabeads M-450 CD8 (Invitrogen, Carlsbad, CA), according to the manufacturer's instructions. The PBMCs were adjusted to  $1 \times 10^6$  cells/ml before depletion, and the resulting CD8<sup>+</sup> cell-depleted fractions were resuspended in medium with the same initial volume, irrespective of the remaining cell

number. PBMCs ( $1 \times 10^6$  cells/ml) and CD8<sup>+</sup> cell-depleted PBMCs were cultured for 7 days. HTLV-1 p19 in the supernatants of those PBMCs were measured by HTLV p19 antigen ELISA (RETRO tek, Buffalo, NY).

#### Statistics

The Mann-Whitney U-test, the unpaired t test, and the Spearman rank correlation test were performed for statistical significance by using the Graphpad Prism software (Graphpad Software). In all cases, two-tailed *P* values less than 0.05 were considered significant.

#### Additional material

**Additional file 1: Tax-specific CD8<sup>+</sup> T-cells in cATL patients could not proliferate against Tax-peptide stimulation.** (A) CFSE-labeled PBMCs were cultured with or without 100 nM Tax-peptide for 6 days. The number indicates the percentage of tetramer<sup>+</sup> cells in CD8<sup>+</sup> T cells (Day 0) or the percentage of dividing (CFSE<sup>low</sup>) cells in Tax-specific CD8<sup>+</sup> T-cells (Day 6). In a cATL sample #54, CFSE-labeled PBMCs were cultured in the presence of mouse IgG for other experiment. (B) PBMCs (#224) and CCR4-depleted PBMCs (#280) were cultured for 13 days in the presence of 100 nM Tax-peptide. The number indicates the percentage of tetramer<sup>+</sup> cells in CD8<sup>+</sup> T-cells.

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#### Author details

<sup>1</sup>Department of Immunotherapeutics, Tokyo Medical and Dental University, Tokyo, Japan. <sup>2</sup>Department of Hematology, Imamura Bun-in Hospital, Kagoshima, Japan. <sup>3</sup>Division of Hematology, Department of Internal Medicine, Kinki University School of Medicine, Osaka, Japan. <sup>4</sup>Department of Molecular Medical Science, Institute of Medical Science, St. Marianna University School of Medicine, Kawasaki, Japan. <sup>5</sup>Cancer Centre, University of the Ryukyus Hospital, Okinawa, Japan. <sup>6</sup>Department of Hematology, National Kyushu Cancer Center, Fukuoka, Japan. <sup>7</sup>Institute for Clinical Research, National Kyushu Cancer Center, Fukuoka, Japan. <sup>8</sup>Laboratory of Tumor Cell Biology, Department of Medical Genome Science, Graduate School of Frontier Sciences, The University of Tokyo, Tokyo, Japan. <sup>9</sup>Department of Hematology, Osaka Minami Medical Center, Osaka, Japan.

#### Authors' contributions

AT carried out immunological and virological analyses, and drafted the manuscript. AH conceived of the study, participated in its design and coordination, and drafted the manuscript. AU, YM, YY, MM, IC, NU, and JO provided clinical samples. YS, YT, AS, and NZ carried out a part of the experiments. TW provided the data on proviral load of some HTLV-1-infected individuals. TM helped to draft the manuscript. MK participated in study design and helped to draft the manuscript. All authors read and approved the final manuscript.

#### Competing interests

The authors declare that they have no competing interests.

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## Original article

## Fucoïdan therapy decreases the proviral load in patients with human T-lymphotropic virus type-1-associated neurological disease

Natsumi Araya<sup>1</sup>, Katsunori Takahashi<sup>1</sup>, Tomoo Sato<sup>1</sup>, Tatsufumi Nakamura<sup>2</sup>, Chika Sawa<sup>1</sup>, Daisuke Hasegawa<sup>1</sup>, Hitoshi Ando<sup>1</sup>, Satoko Aratani<sup>1</sup>, Naoko Yagishita<sup>1</sup>, Ryoji Fujii<sup>1</sup>, Hiroshi Oka<sup>1</sup>, Kusuki Nishioka<sup>3</sup>, Toshihiro Nakajima<sup>3</sup>, Naoki Mori<sup>4</sup>, Yoshihisa Yamano<sup>1\*</sup>

<sup>1</sup>Department of Molecular Medical Science, Institute of Medical Science, St Marianna University School of Medicine, Kawasaki, Japan

<sup>2</sup>Department of Molecular Microbiology and Immunology, Graduate School of Biomedical Sciences, Nagasaki University, Nagasaki, Japan

<sup>3</sup>Institute of Innovative Medical Science and Education, Tokyo Medical University, Tokyo, Japan

<sup>4</sup>Division of Molecular Virology and Oncology, Graduate School of Medicine, University of Ryukyus, Nishihara, Okinawa, Japan

\*Corresponding author e-mail: yyamano@marianna-u.ac.jp

**Background:** Human T-lymphotropic virus type-1 (HTLV-1) is a human retrovirus that causes HTLV-1-associated myelopathy/tropical spastic paraparesis (HAM/TSP) and adult T-cell leukaemia (ATL). A higher viral load in individuals with HTLV-1 infection increases their risk of developing HAM/TSP and ATL. Moreover, the high proviral load is associated with the clinical progression of HAM/TSP. Reduction of the number of HTLV-1-infected cells is therefore crucial for preventing and treating HTLV-1-associated diseases. Recently, fucoïdan, a complex sulphated polysaccharide derived from marine seaweed, has been demonstrated to exert inhibitory effects on HTLV-1 infection *in vitro*. In this study, we examined the *in vivo* effects of fucoïdan on HTLV-1 infection.

**Methods:** In this single-centre open-label trial, 13 patients with HAM/TSP were treated with 6 g fucoïdan

daily for 6–13 months. The HTLV-1 proviral DNA load and frequencies of HTLV-1-specific CD8<sup>+</sup> T-cells, natural killer cells, invariant natural killer T-cells and dendritic cells in the peripheral blood were analysed. Furthermore, the *in vitro* inhibitory effect of fucoïdan on cell-to-cell HTLV-1 infection was examined by using luciferase reporter cell assays.

**Results:** Fucoïdan inhibited the cell-to-cell transmission of HTLV-1 *in vitro*. Furthermore, fucoïdan therapy resulted in a 42.4% decrease in the HTLV-1 proviral load without affecting the host immune cells. During the treatment, no exacerbation was observed. Four patients with HAM/TSP developed diarrhoea, which improved immediately after stopping fucoïdan administration.

**Conclusions:** Fucoïdan is a new potential therapeutic agent for the prevention and treatment of HTLV-1-associated diseases.

## Introduction

Human T-lymphotropic virus type-1 (HTLV-1) is an exogenous human retrovirus that infects 10–20 million people worldwide [1]. Although most of the infected individuals are lifelong asymptomatic carriers, 3–5% of the infected population develop a T-cell malignancy called adult T-cell leukaemia (ATL) and another 0.25–3% develop a chronic progressive inflammatory neurological disease known as HTLV-1-associated myelopathy/tropical spastic paraparesis (HAM/TSP) [2–4]. One of the most important pathogenic factors in HAM/TSP is the increased HTLV-1 proviral load in peripheral blood mononuclear cells (PBMCs) and

cerebrospinal fluid [5–7], which suggests that viral control is inadequate in the affected individuals. Furthermore, a high HTLV-1 proviral load increases the risk of development of HAM/TSP and ATL [5,8]. Therefore, the identification of agents that can reduce the HTLV-1 proviral load is crucial for preventing and treating HTLV-1-associated disorders.

Fucoïdan, a complex sulphated polysaccharide derived from marine seaweed, exerts various biological effects on mammalian cells and viral infection [9,10]. Regarding HTLV-1 infection, previous studies have shown that fucoïdan inhibits both the adhesion of HTLV-1-infected

T-cells to and their infection of epithelial cells [11]. Furthermore, fucoidan inhibits HTLV-1-induced syncytium formation [12]. Recently, Haneji *et al.* [13] observed *in vitro* that fucoidan extracted from *Cladosiphon okamuranus Tokida* significantly inhibited the growth of PBMCs from patients with ATL and HTLV-1-infected T-cell lines, but not that of normal PBMCs.

We hypothesized that fucoidan therapy can decrease the HTLV-1 proviral load in infected individuals. To validate our hypothesis, 13 patients with HAM/TSP were administered fucoidan orally over the course of 6–13 months. The primary end points were baseline-to-treatment changes in the virological and immunological parameters, which were selected on the basis of evidence that they are potential markers of disease and antiviral activity in HAM/TSP, and included the HTLV-1 proviral DNA load and the frequencies of HTLV-1-specific CD8<sup>+</sup> T-cells, natural killer (NK) cells, invariant natural killer T (iNKT)-cells and dendritic cells (DCs). Clinical parameters including standardized neurological grading scores were the secondary end points. The results demonstrate the relevant biological activity of fucoidan in decreasing the proviral load in patients with HAM/TSP by interfering with the cell-to-cell spread of HTLV-1.

## Methods

### Luciferase reporter gene and cell viability assays

To evaluate the effect of fucoidan on HTLV-1 infection *in vitro*, we used lymphocytic H9 cells that were stably transfected with a plasmid containing the gene encoding luciferase under the control of the HTLV-1 long terminal repeat (H9/K30*luc*; kindly provided by A Adachi) [14]. We cocultured luciferase reporter cells (H9/K30*luc*; 1×10<sup>4</sup> cells/well) in a 24-well flat-bottom plate with an HTLV-1-infected cell line established from a patient with HAM/TSP (HCT-4; 3×10<sup>4</sup> cells/well) [15] at a cell ratio of 1:3. After 72 h, we assessed luciferase activity by using a luciferase assay system (Promega, Madison, WI, USA) and MicroLumat Plus LB96V (Berthold Technologies, Bad Wildbad, Germany); the values were normalized relative to the total protein concentrations. To evaluate the effect of fucoidan on cellular viability, the cell lines (2×10<sup>3</sup> cells/well) were plated into 96-well flat-bottom plates without any mitogenic stimuli. The culture medium used was RPMI-1640 with L-glutamine (Wako Pure Chemical Industries, Ltd, Osaka, Japan) supplemented with 10% fetal bovine serum (Gibco/Invitrogen, Grand Island, NY, USA) and Penicillin-Streptomycin solution (Wako Pure Chemical Industries, Ltd). After culturing for 72 h with each concentration of fucoidan, cellular viability was analysed by using a CCK-8 cell proliferation kit (Dojindo, Kumamoto, Japan). Cultures were performed in triplicate for each experiment and the data are expressed as means.

### Participants

A total of 17 patients (numbered HAM-1 to HAM-17) with HAM/TSP clinically defined according to World Health Organization criteria [16] were enrolled into the single-arm open-label treatment protocol. Furthermore, six control patients with HAM/TSP (HAM-18 to HAM-23) were not administered fucoidan as per their choice to be included in this group after the protocol was explained to them. The patient profiles are shown in Table 1. Patients with a rapidly progressing clinical course were excluded before enrolment. No medications were changed during the trial. Written informed consent was obtained from each patient. The study complied with the tenets of the Declaration of Helsinki and was part of a clinical protocol reviewed and approved by the institutional ethics committee of Kasumigaseki Urban Clinic, Tokyo, Japan.

### Treatment regimen and evaluation

Fucoidan (provided by Kanehide Bio Co., Ltd, Okinawa, Japan) was administered at a dosage of 6 g once daily for a period of 6–13 months. Clinical and laboratory assessments and sample collection were performed at baseline, during therapy and after completion of the therapy at 4-week intervals. The baseline measure consisted of an 8-week ‘run-in’ period. The patients were observed for at least 4 weeks after the completion of therapy. A standardized neurological rating scale, termed Osame’s motor disability scale [17], was used as a measure of disability (Additional file 1).

### Determination of HTLV-1 proviral DNA load

The HTLV-1 proviral load was measured with an ABI PRISM 7500 sequence detector (Applied Biosystems, Foster City, CA, USA), as described previously [7,18]. In brief, PBMCs were prepared by centrifugation over Ficoll-Hypaque gradients and DNA was extracted from 2×10<sup>6</sup> PBMCs; 100 ng of the sample DNA solution per well was analysed by this system. All analyses were performed in triplicate. The HTLV-1 proviral DNA load was calculated by the following formula: copy number of HTLV-1 (*pX*) per 100 cells = ([copy number of *pX*]/[copy number of β-actin/2])×100. To avoid the effect of inter-assay variation of this system, which has previously been reported as 25.8% [5], we measured the viral DNA load of all DNA samples obtained from each patient throughout the treatment course on a single plate. The intra-assay variation determined by this system was 7.0% (Additional file 2).

### Flow cytometric analysis of immune cells and identification of virus-specific CD8<sup>+</sup> T-cells

PBMCs were stained with monoclonal antibodies against surface markers, including anti-CD3 (UCHL1;

Table 1. Patient demographic data and clinical efficacy of fucoidan treatment

Patient	Age, years	Gender	Motor dysfunction score		Other medication
			Before	After	
HAM-1	61	F	4	4	None
HAM-2	58	F	4	4	None
HAM-3	56	F	6	6	None
HAM-4	67	F	4	4	None
HAM-5	50	F	3	3	None
HAM-6	65	F	8	8	None
HAM-7	75	M	5	5	None
HAM-8	49	M	7	7	None
HAM-9	73	F	5	5	PSL 2.5 mg/day
HAM-10	65	F	8	8	None
HAM-11	47	F	4	4	None
HAM-12	57	F	8	8	None
HAM-13	72	F	7	7	None
HAM-14 <sup>a</sup>	53	F	6	6	None
HAM-15 <sup>a</sup>	54	F	5	5	None
HAM-16 <sup>a</sup>	51	F	10	10	None
HAM-17 <sup>a</sup>	72	F	7	7	None
HAM-18 <sup>b</sup>	49	M	5	5	IFN- $\alpha^c$
HAM-19 <sup>b</sup>	55	M	3	3	None
HAM-20 <sup>b</sup>	53	M	3	3	PSL 5 mg/day
HAM-21 <sup>b</sup>	38	F	4	4	None
HAM-22 <sup>b</sup>	39	F	4	4	None
HAM-23 <sup>b</sup>	52	F	8	8	PSL 2.5 mg/day

<sup>a</sup>Patient dropped out from the trial within 1 month. <sup>b</sup>Patient included in the control group without fucoidan therapy. <sup>c</sup>Dosage of 1 million IU twice weekly. F, female; IFN, interferon; M, male; PSL, prednisolone.

eBioscience, Inc., San Diego, CA, USA), anti-CD4 (RPA-T4; eBioscience, Inc.), anti-CD8 (OKT8; eBioscience, Inc.), anti-CD25 (BC96; eBioscience, Inc.); lineage cocktail of monoclonal antibodies against CD3, CD14, CD16, CD19, CD20 and CD56 (BD Bioscience, San Diego, CA, USA); and anti-HLA-DR (LN3; eBioscience, Inc.), anti-CD123 (9F5; BD Bioscience), anti-CD11c (3.9; eBioscience, Inc.), anti-CD16 (CB16; eBioscience, Inc.), anti-CD56 (B159; BD Bioscience) and anti-V $\alpha$ 24J $\alpha$ 18 (6B11; BD Bioscience). Each cell phenotype was defined as follows: myeloid DCs (mDCs), Lin<sup>-</sup>HLA-DR<sup>+</sup>CD11c<sup>+</sup>; plasmacytoid DCs (pDCs), Lin<sup>-</sup>HLA-DR<sup>+</sup>CD123<sup>+</sup>; NK cells, CD3<sup>-</sup>CD16<sup>+</sup>CD56<sup>+</sup>; and iNKT-cells, CD3<sup>+</sup>V $\alpha$ 24J $\alpha$ 18<sup>+</sup>. The cells were stained with saturating concentrations of antibody (4°C for 30 min) in the dark and washed twice before analysis by using a FACS Calibur (BD Bioscience). Fluorescein isothiocyanate-conjugated anti-human HLA-A2 (BB7.2; BD Bioscience) and fluorescein isothiocyanate-conjugated anti-human HLA-A24 (17A10; Medical & Biological Laboratories Co., Ltd., Nagoya, Japan) monoclonal antibodies were used to screen participants with HLA-A2 and A24. PBMCs from HLA-A2<sup>+</sup> patients and HLA-A24<sup>+</sup> patients were stained with phycoerythrin-conjugated *Tax*11–19 peptide-loaded HLA-\*0201 tetramers and with phycoerythrin-conjugated

*Tax*301–309 peptide-loaded HLA-\*2402 tetramers (Medical & Biological Laboratories, Co., Ltd), respectively, for the detection of virus-specific CD8<sup>+</sup> cells, as described previously [6,7]. The data were processed with FlowJo software (TreeStar, San Carlos, CA, USA).

#### Statistical analyses

Comparisons of the baseline-to-treatment changes and luciferase assays were made by using a generalized linear model with repeated measures analysis of variance and evaluated by the Student’s paired *t*-test.

## Results

### Inhibitory effect of fucoidan on cell-to-cell HTLV-1 transmission

HTLV-1 is known to spread by cell-to-cell transmission [19]; therefore, we examined whether fucoidan can inhibit the spread of HTLV-1 infection. Luciferase reporter cells (H9/K30*luc*) were cocultured with HCT-4 cells [15] or an HTLV-1-uninfected T-cell line (Jurkat cell line) under different concentrations of fucoidan for 72 h, and luciferase activity was measured. As shown in Figure 1A, fucoidan inhibited cell-to-cell HTLV-1 transmission in a dose-dependent manner. To test whether this dose-dependent inhibition