

Chapter 7

Electrophysiological Imaging Evaluation of Schizophrenia and Treatment Response

Tomiki Sumiyoshi, Yuko Higuchi, Toru Ito, and Yasuhiro Kawasaki

Abstract Neuroimaging data provide various insights into altered functions and structures in the brain of subjects with schizophrenia. While some blood flow measures, e.g. functional magnetic resonance imaging and positron emission tomography, are characterized by high spatial resolutions, their time resolutions are in the range of second order. In contrast, electromagnetic recordings, e.g. electroencephalography (EEG) and magnetoencephalography, directly detect neural activity that occurs in the range of milli-second order. In spite of its feasibility, analysis with traditional EEG methods has been associated with the limited ability to localize aberrant signals. However, the recent development of imaging technique, such as low resolution electromagnetic tomography (LORETA) and its modified versions (e.g. sLORETA), improves the spatial resolution of EEG at rest and event-related potentials (ERPs), such as P300 and mismatch negativity by providing three-dimensional distribution pattern of these electrophysiological activities. In this chapter, the authors present recent findings from electrical neuroimaging studies of schizophrenia in relation to the neural basis of psychotic symptoms and cognitive deficits of the illness, as well as treatment response. These research areas are likely to facilitate the development of practical and reliable biomarkers to predict symptom severity, improve long-term outcome, and pave a new avenue to early intervention of schizophrenia.

Keywords EEG · Event-related potentials · P300 · MMN · Neuro imaging · LORETA · Cognition · Schizophrenia

T. Sumiyoshi (✉)

Department of Neuropsychiatry, University of Toyama Graduate School of Medicine and Pharmaceutical Sciences, Toyama, Japan

e-mail: tomikisumiyoshi840@hotmail.com

Abbreviations

AAPDs	Atypical antipsychotic drugs
EEG	Electroencephalography
LORETA	Low resolution electromagnetic tomography
MMN	Mismatch negativity

Introduction

There is considerable evidence for associations between social functioning/community outcome and cognitive function, as evaluated by neuropsychological tests, such as the MATRICS Consensus Cognitive Battery in patients with schizophrenia [1]. Therefore, neural substrates underlying impaired cognitive performance need to be elucidated, particularly for the development of novel therapeutic methods for the illness.

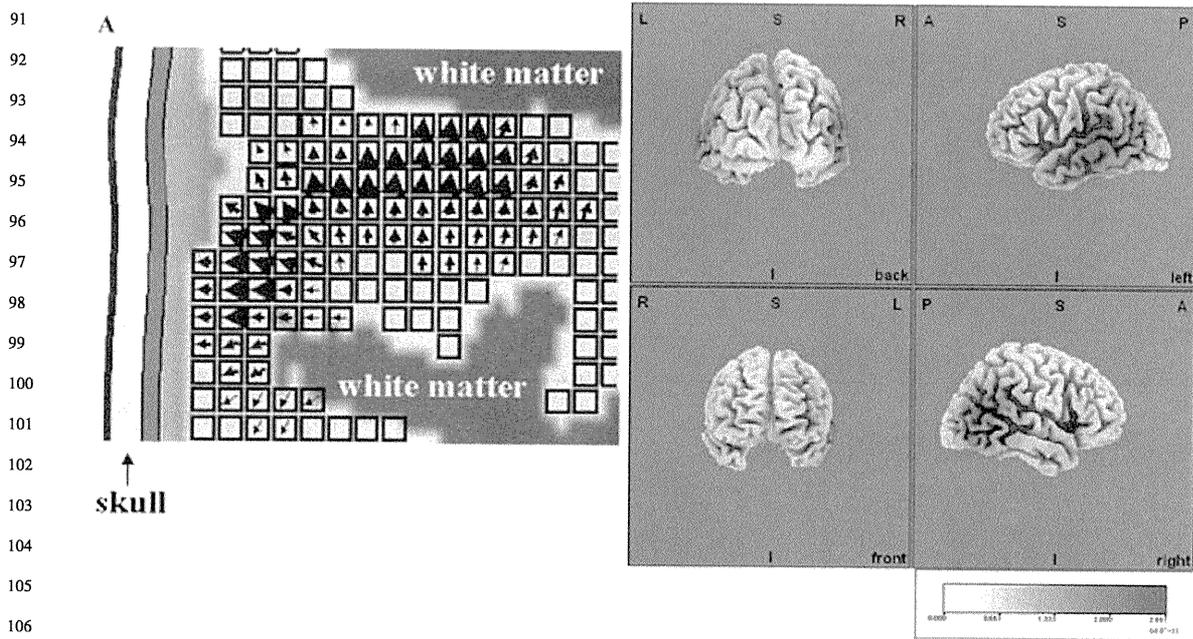
While brain imaging methods based on blood flow, e.g. functional magnetic resonance imaging and positron emission tomography, are characterized by high spatial resolutions, their time resolutions are limited compared to neurophysiological paradigms, e.g. electroencephalography (EEG) and magnetoencephalography. Specifically, electrophysiological biomarkers, such as EEG and event-related potentials (ERPs), have been suggested to provide objective indices of cognitive dysfunction in schizophrenia, and be more sensitive to drug-induced changes compared with other functional imaging modalities [2].

Recent development of imaging technique, such as low resolution electromagnetic tomography (LORETA) [3] and its modified versions (e.g. sLORETA) [4], has improved the spatial resolution of ERPs, e.g. P300 and mismatch negativity (MMN), by providing three-dimensional distribution pattern of these electrophysiological activities. This chapter provides recent findings from electrical neuroimaging studies on neural basis for psychopathology of schizophrenia as demonstrated by current source imaging of EEG and ERPs in discrete brain areas, and response to psychotropic drugs in relation to cognition and functional outcome.

LORETA Imaging of EEG in Schizophrenia

Scalp distributions of EEG power of various frequency bands are generally ambiguous [5], and depend on the reference sites used. Therefore, numerical analyses, such as dipole source modeling, are required to obtain precise locations of EEG generators.

LORETA has been developed to provide three-dimensional tomography of brain electrical activity, which only requires simple constraints (“smoothness of the solution”), and predetermined knowledge about the putative number of discernible source regions is not necessary (Fig. 7.1). With this method, brain electrical data



107 **Fig. 7.1** Concept of low resolution electromagnetic tomography (LORETA) developed by
108 Pascual-Marqui [3]. Three-dimensional imaging of LORETA values [mA/mm^2] is derived from
109 2394 voxels of the whole brain [8]

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112 with high time resolution are transformed into functional imaging of brain activi-
113 ties, since brain electrical activity can be analyzed separately for the different EEG
114 frequency ranges. LORETA has also been widely used for statistical comparisons of
115 intracranial current density distributions between control subjects and patients with
116 neuropsychiatric disorders [6, 7].

117 Previous investigations [3, 8] suggest that enhanced delta band activity in the pre-
118 frontal cortex is associated with the pathophysiology of schizophrenia. Specifically,
119 negative symptoms have been associated with structural impairment in the pre-
120 frontal cortex, and have been hypothesized to arise from decreased dopaminergic
121 activity in this brain region [9]. These observations indicate a role for prefrontal
122 cortex in the generation of negative symptoms. With these backgrounds, we sought
123 to determine if some components of EEG, such as delta band activity, would be
124 increased in brain areas relevant to the pathophysiology of schizophrenia, e.g.
125 prefrontal cortex.

126 As shown in Fig. 7.2 comparisons of current source density, as represented by
127 LORETA values, between patients with schizophrenia and healthy control subjects
128 revealed a significant increase in delta band activity for patients, with a maximum
129 difference found at the left inferior temporal gyrus. A significant increase in delta
130 band activities was also found for the right middle frontal gyrus, right inferior frontal
131 gyrus, right superior frontal gyrus, and right parahippocampal gyrus. These data
132 suggest LORETA analysis of three-dimensional distribution of EEG current density
133 provides a measure of aberrant electrophysiological activity specific to the brain
134 regions responsible for the manifestation of negative symptoms.

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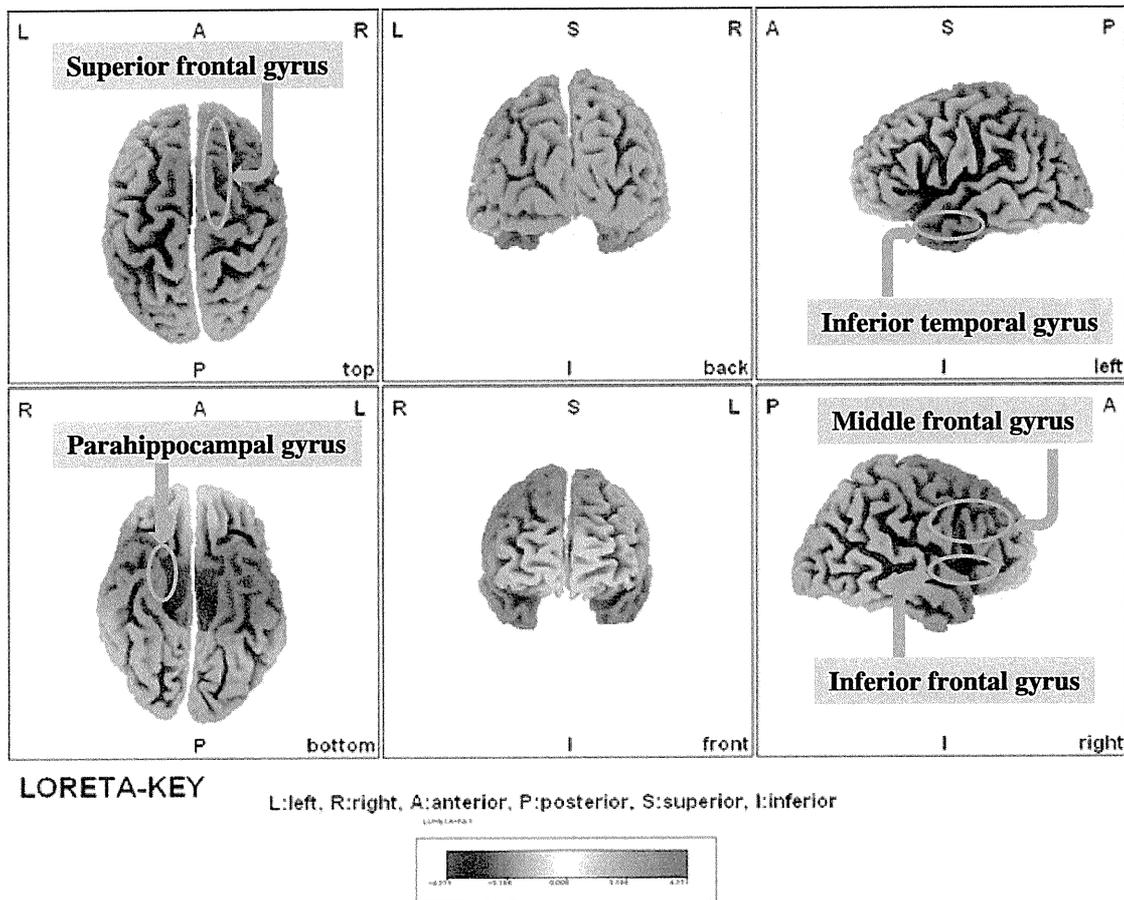


Fig. 7.2 LORETA current source density of *delta* band activity is increased in schizophrenia ($P < 0.001$, Bonferoni correction)

P300 Current Source Imaging and Psychopathology

Reduced amplitude of the P300 component during the auditory oddball task is one of the most consistent findings in patients with schizophrenia [10–12] (Fig. 7.3). However, little information is available about exact relationship between the clinical symptomatology of schizophrenia and the neurophysiological disturbances underlying the P300 abnormality. It is reasonable to assume that anatomically distinct neural substrates responsible for positive or negative symptoms independently contribute to the generation of the P300 component, because this ERP measure is thought to be a composite representation of neural activity in anatomically distinct generators [13–16].

To test this hypothesis, LORETA was used to compute the voxel-wise distribution of brain electrophysiological activity of the P300 component in order to identify brain regions in which the P300 current density is correlated with severity of psychotic symptoms of schizophrenia. Then, we applied the statistical parametric mapping (SPM) methods [17] to LORETA current density images of the P300 component [18, 19].

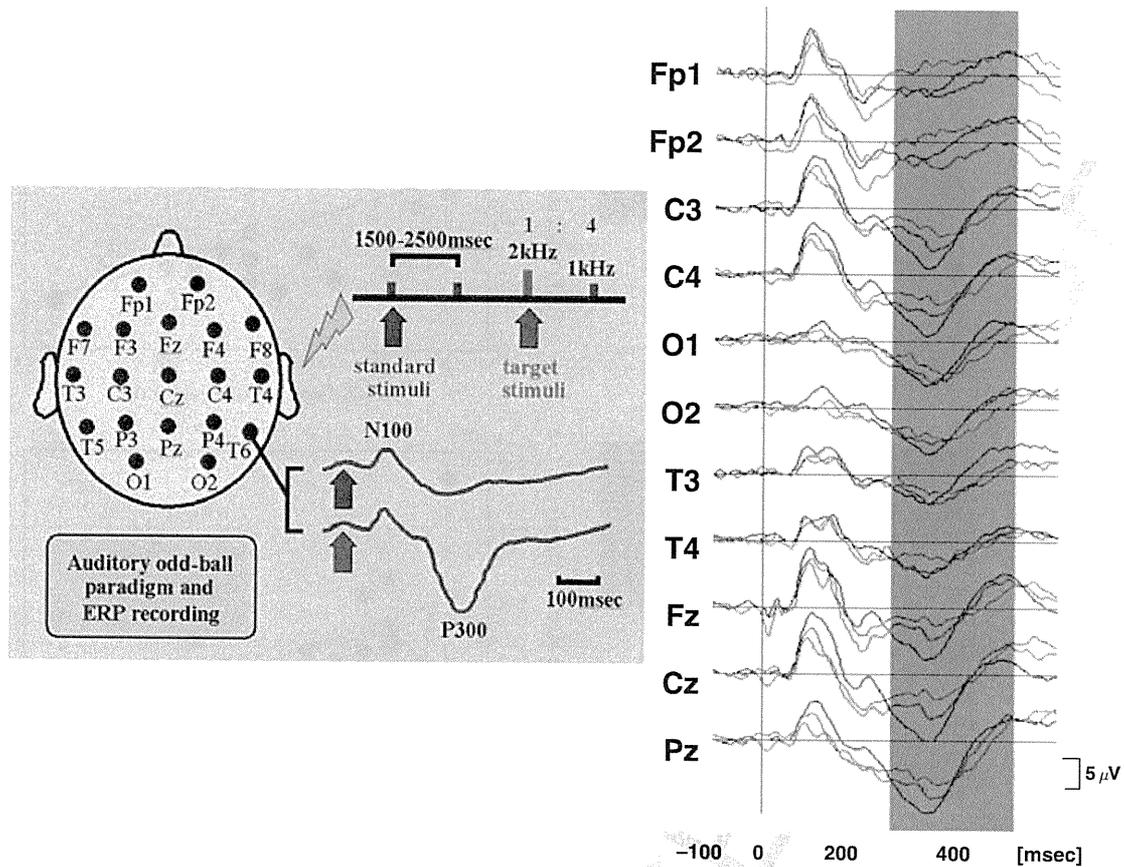


Fig. 7.3 Impaired P300, an event-related potential (ERP), as an endophenotypic marker of schizophrenia. In the right figure, black lines represent data for normal controls, while blue and red lines indicate data for patients before and after treatment with olanzapine, respectively

Results of the SPM one-sample t-test showed that P300 sources are localized in the bilateral medial frontal and medial parietal cortex, bilateral superior temporal gyrus (STG), right temporo-parietal junction, and left lateral prefrontal cortex. With regard to the relationship between the P300 current density and the BPRS Total score, voxel-based whole brain analysis without any hypothesis identified peak voxels of significant negative correlation located at the left STG and right medial frontal region. As shown in Fig. 7.4 (left), statistically significant voxels formed clusters within these brain regions. Mean current density values of the cluster in the STG elicited significant relationships with the Positive subscale score Fig. 7.4 (right). On the other hand, current density values of the cluster in the medial frontal region revealed a significant relationship with the Negative subscale score.

These findings indicate pathological neural activities of anatomically distinct generators contribute to the generation of the abnormal P300 component [20]. Our data were consistent with the proposal that negative symptoms are associated with neural deficits in the frontal lobe, while those in the temporal lobe are responsible for positive symptoms [21–23]. Taken together, the present results support the concept that the abnormal functional connectivity of fronto-temporal neural network plays a crucial role in the pathophysiology of schizophrenia [24–27].

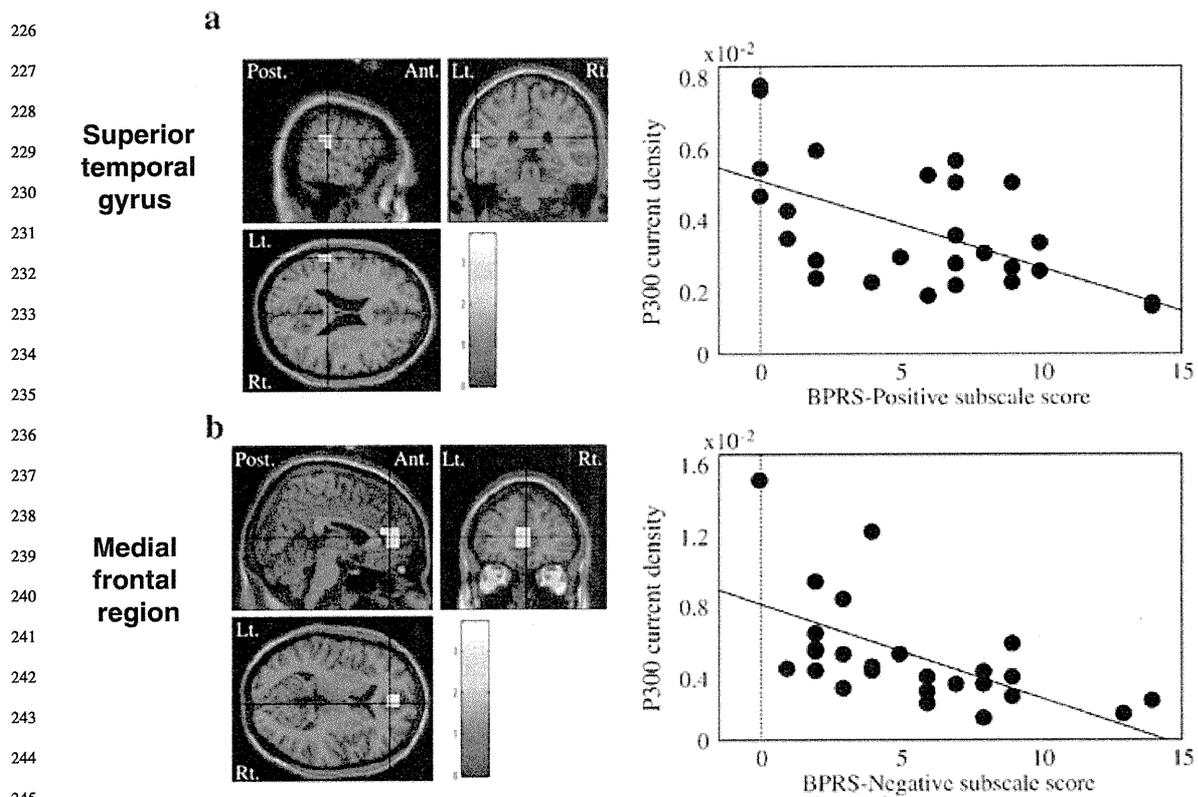


Fig. 7.4 Severity of psychotic symptoms is correlated with P300 current source density in discrete brain regions: A LORETA study [20]

ERPs Activity in Discrete Brain Regions and Effect of Neuroleptic Treatment

P300 amplitudes have been reported to be diminished in patients with schizophrenia, which differs in its effect size topography across the midline and temporal electrode sites [11, 28]. Specifically, Kawasaki et al. [29] found negative correlations between auditory P300 amplitudes and severity of psychotic symptoms of schizophrenia. Renault et al. [30] report a positive correlation between differences in P300 amplitudes at temporal sites (T4-T3) and severity of positive symptoms and worse global functioning, consistent with the association between low P300 amplitudes and verbal memory deficits in schizophrenia [31, 32]. We reported the first observation that P300 current source density, as evaluated by LORETA, is decreased in several brain regions, especially the STG, precentral gyrus, middle frontal gyrus, and presumes (all in the left side) in patients with schizophrenia as compared with normal controls (Fig. 7.5) [33]. Our findings have been confirmed by an independent group of investigators [34].

Cognitive function, such as verbal memory, attention, and executive function, is a major determinant of outcome in patients with schizophrenia [35, 36]. The second generation antipsychotics, or so-called “atypical antipsychotic drugs (AAPDs)”, have been found to partially improve cognitive disturbances of schizophrenia [37]. There is accumulated evidence for the ability of AAPDs, e.g. clozapine, olanzapine, risperidone, quetiapine, melperone, and ziprasidone and perospirone to ameliorate

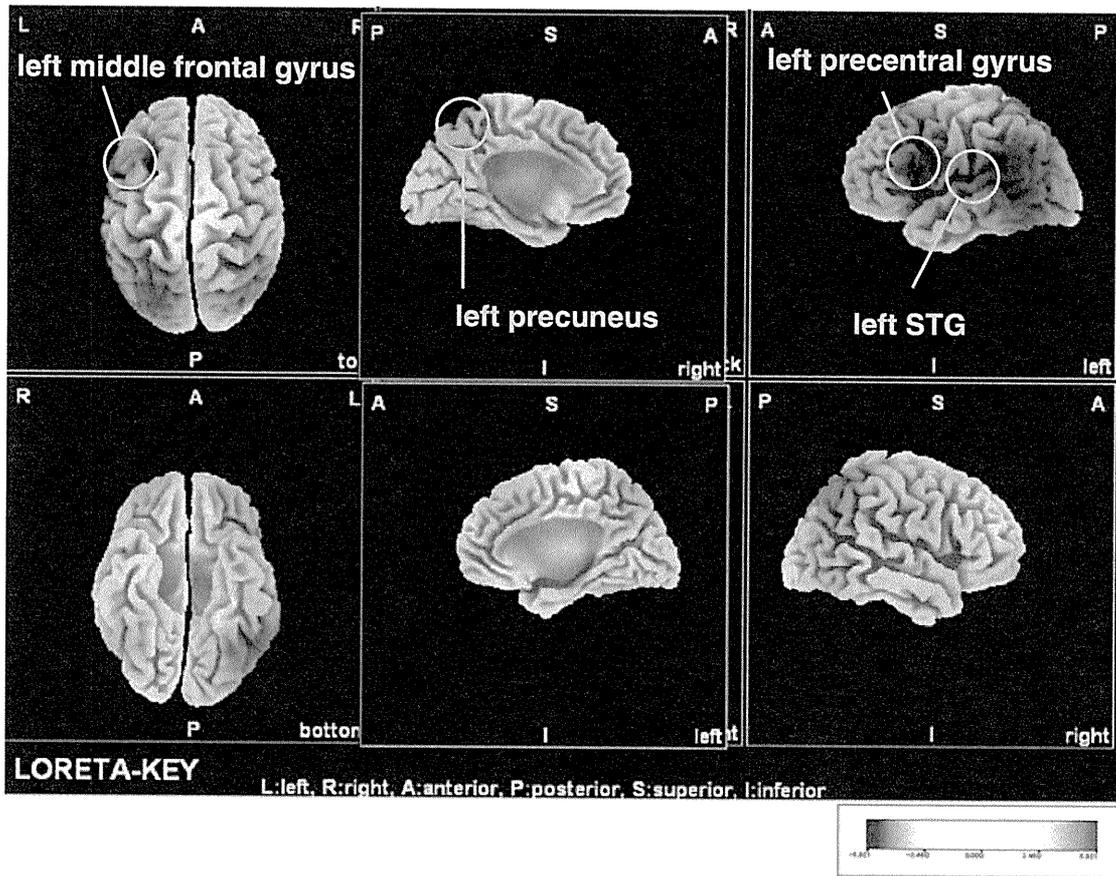


Fig. 7.5 Statistical non-parametric mapping on LORETA images of P300 current density. LORETA values in the marked areas in the left hemisphere were lower for schizophrenia patients compared to control subjects ($P < 0.001$) [33]

298 cognitive impairments in patients with schizophrenia (reviewed by Sumiyoshi et al. [38]), although their effects have been under scrutiny [39–41]. So far, there is limited information about the neurophysiological mechanisms underlying the ability of neuroleptic treatment to modulate cognitive performance in subjects with schizophrenia.

303 Umbricht et al. [42] found that treatment with clozapine but not haloperidol increased P300 amplitudes in patients with schizophrenia. Subsequently, Niznikiewicz et al. [43] observed an increase in P300 amplitudes in left temporal electrodes during treatment with clozapine, indicating a region-specific response to pharmacological treatment. We conducted clinical trials [33, 44] to determine if decreased P300 current source density in brain regions responsible for the generation of psychopathology, such as the left STG and prefrontal cortex, is recovered by long-term treatment with olanzapine, and if this change in P300 activity is correlated with improvement of cognitive performance and functional outcome in patients with schizophrenia.

313 As shown in Fig. 7.6 LORETA images of P300 from patients at baseline elicit lower P300 current density in the left hemisphere compared with normal controls. However, after 6-months treatment with olanzapine, P300 current density in the STG was increased, and the left-dominant laterality pattern of P300 current source

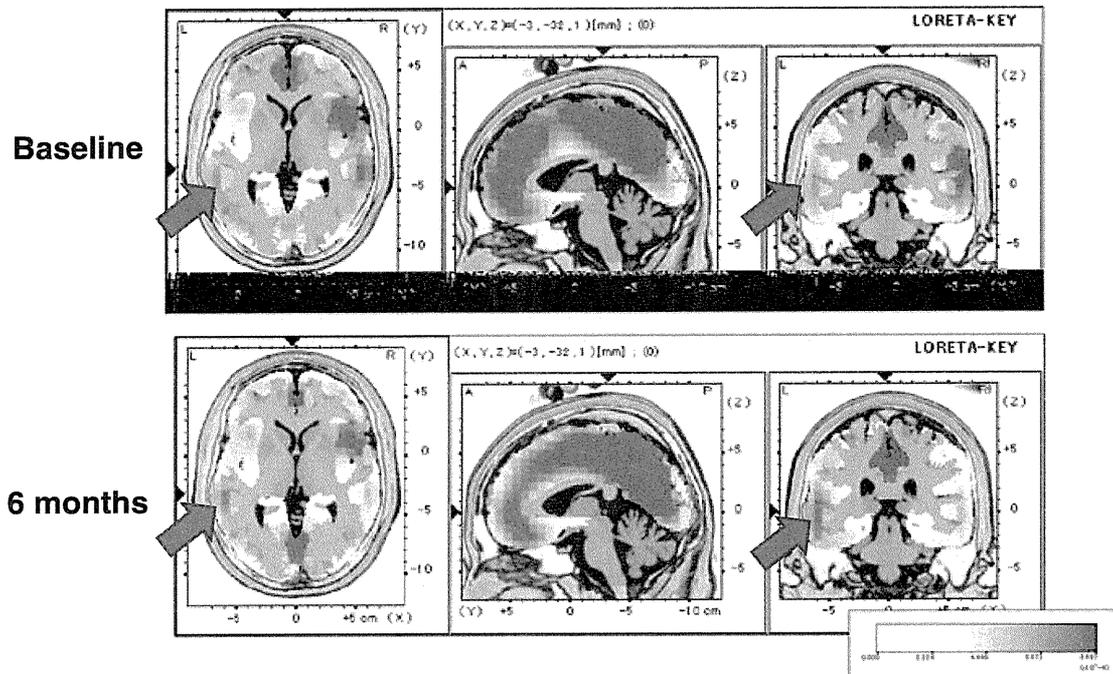


Fig. 7.6 LORETA images of P300; effect of olanzapine treatment. Six-month treatment with olanzapine enhanced P300 current source density in the left STG (indicated by *arrows*) [33]

density was noted, which is similar to the pattern of healthy controls [33, 44]. Moreover, significant correlations were noted between changes of verbal memory performance and LORETA values of the left STG, and between changes of quality of life and LORETA values of the left middle frontal gyrus (Fig. 7.7) [33]. These observations suggest that changes in cortical activity, as measured by EEG, are

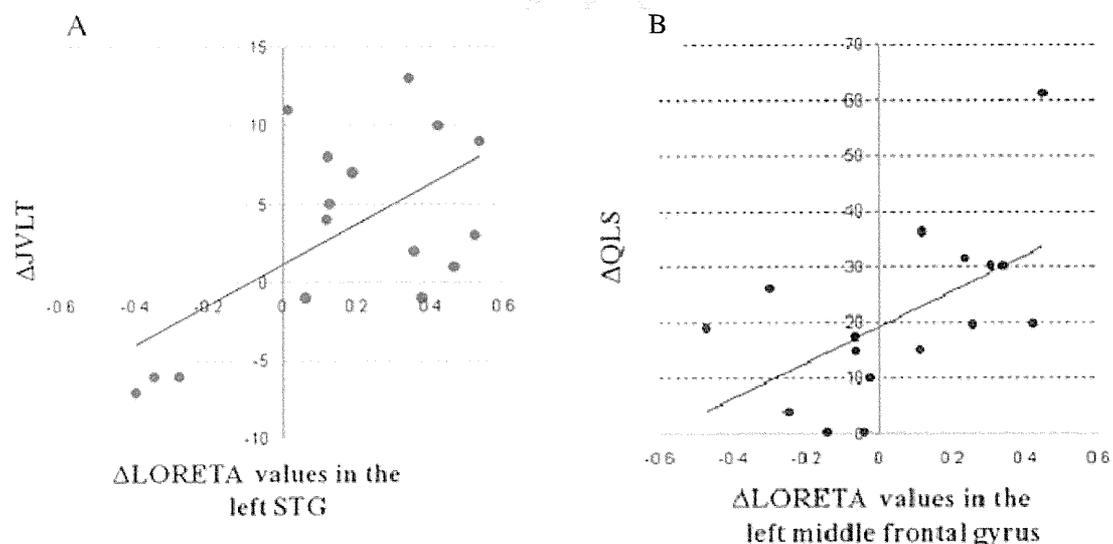
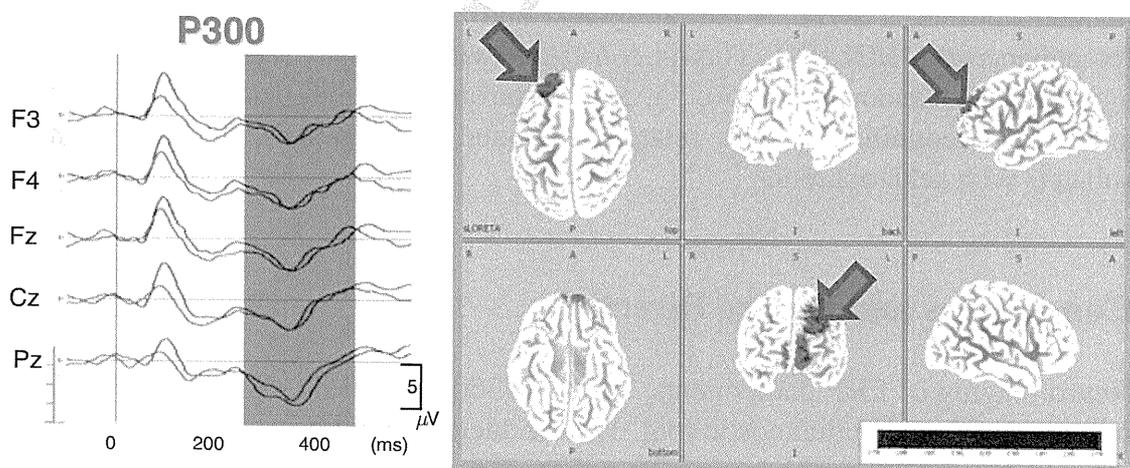


Fig. 7.7 (A) Changes in P300 current source density in the left STG by olanzapine were correlated with improvement in verbal memory, as measured by the Japanese Verbal learning Test (JVL T). (B) Changes in P300 current source density in the left middle frontal gyrus by olanzapine were correlated with improvement in quality of life, as measured by the Quality of Life Scale (QLS)

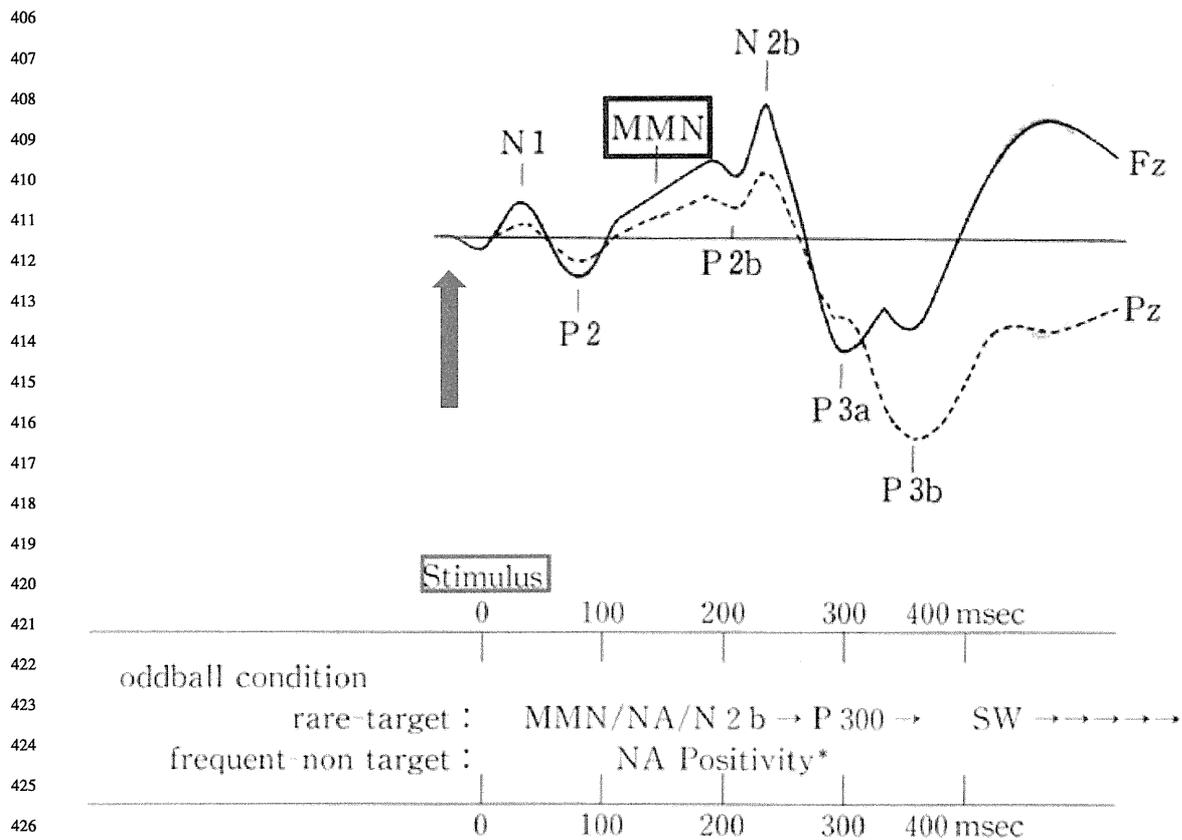
361 responsible for the ability of some antipsychotic drugs to improve cognitive and
 362 functional status in patients with schizophrenia.

363 From the clinical point of view, it is meaningful to examine the effect of type
 364 of antipsychotic drugs on the pattern of ERPs activation, as these compounds have
 365 been reported to possess differential profiles in terms of binding affinity for var-
 366 ious neurotransmitter receptors [45]. Specifically, postmortem studies report that
 367 the serotonin-5-HT_{1A} receptor density is increased in prefrontal cortical areas in
 368 subjects with schizophrenia [46, 47], suggesting altered 5-HT_{1A} receptor-mediated
 369 transmission in this brain region [48, 49]. This concept is in agreement with clinical
 370 observations that augmentation therapy with 5-HT_{1A} partial agonists, e.g. buspirone
 371 and tandospirone, enhanced the performance on some neuropsychological tests rep-
 372 resenting frontal lobe function in patients with schizophrenia [38, 50]. Therefore, it
 373 is conceivable that neural activity in frontal cortical regions would be enhanced by
 374 treatment with antipsychotic drugs with agonist actions at 5-HT_{1A} receptors, such
 375 as perospirone [45], in patients with schizophrenia.

376 Using the same treatment paradigm as in the olanzapine study, above, we inves-
 377 tigated the effect of perospirone on P300 current source density, as evaluated by the
 378 sLORETA method [4], in patients with schizophrenia, and examine the relationship
 379 between changes of P300 activity vs. performance on a cognitive task measuring
 380 the ability to evaluate component actions of social situations, which is related to
 381 frontal lobe function. As shown in Fig. 7.8 comparison of P300 current source den-
 382 sity between baseline and 6-month after the start of treatment revealed a significantly
 383 enhanced neural activity in the left superior frontal gyrus, while conventional assess-
 384 ment of P300 amplitudes and latency were not significantly changed [51]. Some
 385 of the subjects studied here had been pre-treated with other antipsychotic drugs,
 386 including olanzapine, which are devoid of a noticeable affinity for 5-HT_{1A} recep-
 387 tors. Therefore, our observations with perospirone provide further support to the



403 **Fig. 7.8** Effect of perospirone on P300 current source density in patients with schizophrenia.
 404 Six-month treatment with perospirone enhanced P300 activity in the left superior frontal gyrus
 405 (comparison of P300 sLORETA values between before and after 6-month treatment) [51]



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Fig. 7.9 ERP waveforms in response to the odd-ball tasks (rare-target)

concept that stimulation of 5-HT_{1A} receptors may mediate the ability of this agent to increase P300 current source density in the left prefrontal cortex.

Mismatch negativity (MMN) is another component of ERPs generated in response to occasional variations of acoustic stimuli (Fig. 7.9) and is suggested to reflect *pre-attentive* cognitive operations [52]. We recently found the addition of tandospirone, a 5-HT_{1A} partial agonist and anxiolytic [50, 53], was effective for enhancing MMN [54]. This is consistent with previous reports that 5-HT_{1A} agonists, e.g., tandospirone [50, 53, 55], buspirone [38], and perospirone [51, 56], ameliorated cognitive deficits related to frontal and temporal lobe function in subjects with schizophrenia.

Conclusions and Future Directions

Neuroimaging of ERP components, such as P300 and MMN, are also expected to provide an objective diagnostic tool. We conducted discriminant function analysis of multivariate linear model using the statistical parametric mapping (SPM) in order to construct an optimal model to distinguish between healthy controls and patients with chronic schizophrenia [57] (Fig. 7.10). Although the classification power was not enough due, possibly, to the fact that these patients were mixed in terms of

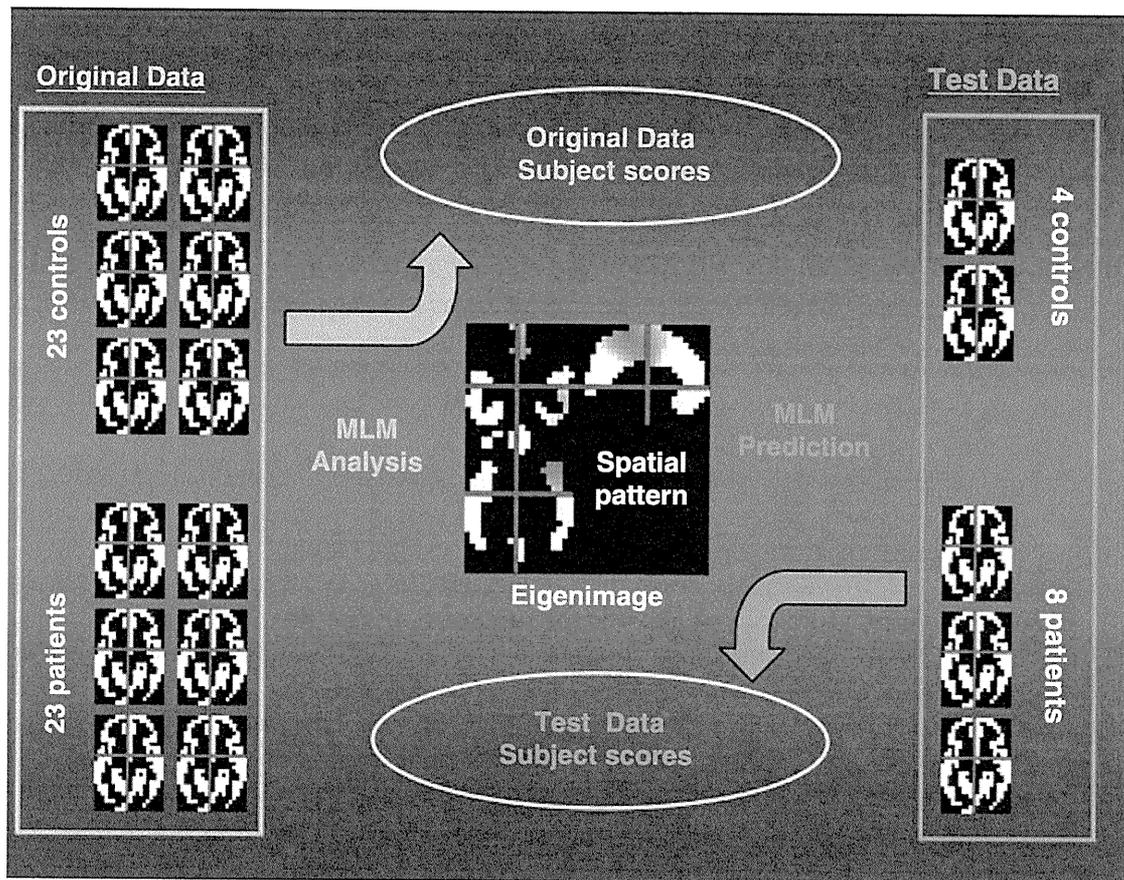


Fig. 7.10 The general scheme of discriminant function analysis of multivariate linear model (MLM) using the statistical parametric mapping [57].

treatment status [57], application of this method to drug-naïve subjects with first episode schizophrenia and those at the prodromal stage is likely to facilitate early intervention into the illness.

In conclusion, the utilization of neuroimaging methods enhances spatial resolution of electrophysiological evaluation, e.g. ERPs, which would provide feasible and reliable biomarkers, objective assessments of psychosis and cognition, and predictive measures of treatment response, and facilitate early diagnosis and intervention of schizophrenia.

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Effect of blonanserin on cognitive function in antipsychotic-naïve first-episode schizophrenia

Tomomi Tenjin^{1*}, Seiya Miyamoto¹, Nobumi Miyake¹, Shin Ogino¹, Rei Kitajima¹, Kazuaki Ojima¹, Jun Arai¹, Haruki Teramoto¹, Sachiko Tsukahara¹, Yukie Ito¹, Masanori Tadokoro¹, Kiriko Anai¹, Yasuyuki Funamoto², Yasuhiro Kaneda³, Tomiki Sumiyoshi⁴ and Noboru Yamaguchi¹

¹Department of Neuropsychiatry, St. Marianna University School of Medicine, Kawasaki, Kanagawa Japan

²Department of Psychiatry, Ofuji Hospital, Fuji, Shizuoka Japan

³Department of Psychiatry, Iwaki Clinic, Anan, Tokushima Japan

⁴Department of Neuropsychiatry, University of Toyama, Toyama, Japan

Objective The purpose of this study was to evaluate the effects of blonanserin, a novel antipsychotic, on cognitive function in first-episode schizophrenia.

Methods Twenty-four antipsychotic-naïve patients with first-episode schizophrenia participated in the study. Blonanserin was given in an open-label design for 8 weeks. The Brief Assessment of Cognition in Schizophrenia—Japanese language version (BACS-J) was administered as the primary outcome measure at baseline and 8 weeks. Clinical evaluation included the Positive and Negative Syndrome Scale (PANSS), the Schizophrenia Quality of Life Scale—Japanese language version (SQLS-J), and the Clinical Global Impression—Severity of Illness Scale (CGI-S). To exclude the possibility of retest effects on the BACS-J, 10 age-matched patients with chronic schizophrenia treated with blonanserin were tested at baseline and after an 8-week interval.

Results Twenty first-episode patients completed the study. Repeated measures analysis of covariance revealed a significant group-by-time interaction effect on the letter fluency task due to better performance in the first-episode group, but not in the control group. Main effect of time or group-by-time interaction effect on the Tower of London task was not significant; however, the first-episode group, but not the control group, showed substantial improvement with a moderate effect size. All items on the PANSS, SQLS-J, and CGI-S significantly improved after 8 weeks of treatment.

Conclusions These results suggest that blonanserin improves some types of cognitive function associated with prefrontal cortical function. Copyright © 2012 John Wiley & Sons, Ltd.

KEY WORDS—blonanserin; cognition; quality of life; schizophrenia; letter fluency

INTRODUCTION

Cognitive impairment is a core feature of schizophrenia (Gold and Harvey, 1993; Green *et al.*, 2000) and is present early in the course of the illness (Mesholam-Gately *et al.*, 2009; Bozikas and Andreou, 2011). A number of studies have reported a 1–2 standard deviation (SD) decline in the performance on tests of multiple cognitive domains, including attention, executive function, memory, and processing speed, compared with healthy volunteers (Saykin *et al.*, 1994; Bilder *et al.*, 2000; Wolwer *et al.*, 2008; Mesholam-Gately *et al.*, 2009). These cognitive deficits have been shown to largely determine social and occupational functioning (Green, 1996; Meltzer *et al.*, 1996), as well

as quality of life (QOL) in patients with schizophrenia (Matsui *et al.*, 2008; Tomida *et al.*, 2010; Woon *et al.*, 2010). Given that cognitive deficits are among the strongest predictors of functional outcome in schizophrenia (Green *et al.*, 2000), treatments for these symptoms are most urgently needed (Sumiyoshi *et al.*, 2008; Miyamoto *et al.*, in press).

A renewed interest in the amelioration of cognitive impairment associated with schizophrenia arose with the introduction of new antipsychotic drugs. Earlier reviews suggested that the second-generation antipsychotics (SGAs) may have more beneficial effects on cognition than the first-generation antipsychotics (FGAs) (Keefe *et al.*, 1999; Harvey and Keefe, 2001; Mishara and Goldberg, 2004). However, several confounding factors, such as incomparable antipsychotic doses, heterogeneous patient samples, effects of prior medication, lack of control for retest effects on cognitive measures, and adjunctive anticholinergic medication,

*Correspondence to: T. Tenjin, MD, Department of Neuropsychiatry, St. Marianna University School of Medicine, 2-16-1 Sugao, Miyamae-ku, Kawasaki, Kanagawa 216-8511, Japan. Tel: +81 44 977 8111; Fax: +81 44 976 3341. E-mail: t2tenjin@marianna-u.ac.jp

limit the conclusions that can be drawn regarding the effects of different antipsychotic drugs on cognition (Carpenter and Gold, 2002; Goldberg *et al.*, 2007, 2010; Hill *et al.*, 2010; Andersen *et al.*, 2011). Recent large controlled studies have demonstrated significant cognitive improvement with both FGAs and SGAs from baseline, but neither class appeared to be clearly superior to the other, and the magnitude of cognitive improvement was modest at best (Keefe *et al.*, 2007a, 2007b; Davidson *et al.*, 2009).

Blonanserin, 2-(4-ethyl-1-piperazinyl)-4-(4-fluorophenyl)-5,6,7,8,9,10-hexahydrocycloocta [b] pyridine, was developed as a novel antipsychotic drug in Japan (Noda *et al.*, 1993; Oka *et al.*, 1993) and was approved for the treatment of schizophrenia in Japan and Korea (Deeks and Keating, 2010). It has high affinity for dopamine D_{2,3} and serotonin 5-HT_{2A} receptors but shows low affinity for D_{1,4,5}, adrenergic $\alpha_{1,2}$, β , 5-HT_{1A,2B,2C,3-7} histamine H₁, and muscarinic M₁ receptors (Oka *et al.*, 1993; Deeks and Keating, 2010). A preclinical study demonstrated that blonanserin increased extracellular levels of dopamine and norepinephrine in the prefrontal cortex (Ohoyama *et al.*, 2011). In three randomized, 8-week, double-blind clinical trials, blonanserin was equal to haloperidol and risperidone in primary endpoints (final global improvement rate or improvement in total symptomatology) and was superior to haloperidol in improving negative symptoms in patients with schizophrenia (Murasaki, 2007a; Miura, 2008; Yang *et al.*, 2010). The overall tolerability profile of blonanserin was similar to that of haloperidol and risperidone (Murasaki, 2007a; Miura, 2008; Deeks and Keating, 2010; Yang *et al.*, 2010), but blonanserin was associated with a lower incidence of extrapyramidal symptoms (EPS) than haloperidol (Murasaki, 2007a). In our previous randomized, double-blind, 8-week study comparing blonanserin with risperidone, blonanserin significantly improved certain cognitive functions such as verbal memory, attention, and processing speed in patients with chronic schizophrenia (Miyake *et al.*, 2008).

To date, no study has examined the effects of blonanserin on clinical efficacy including cognitive function in first-episode schizophrenia. Given the above-mentioned observations from basic and clinical studies, it is hypothesized that treatment with blonanserin would improve some domains of cognitive function, probably those associated with prefrontal cortical function, in patients with first-episode schizophrenia. To test this hypothesis, this study was conducted to evaluate the effects of blonanserin on clinical symptoms and subjective QOL in patients with antipsychotic-naïve first-episode schizophrenia.

METHODS

This prospective, single-blind, open-label study was conducted at St. Marianna University School of Medicine Hospital and Ofuji Hospital from March 2009 to July 2011. It was conducted in accordance with the Declaration of Helsinki. The study protocol was approved by the St. Marianna University School of Medicine Bioethics Committee. All participants gave informed consent after the study procedures had been fully explained.

Study participants

Twenty-four subjects (13 male and 11 female) participated in this study. Eligible participants were inpatients and outpatients with a clinical diagnosis of schizophrenia, schizophreniform disorder, or schizoaffective disorder according to the criteria in the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, Text Revision (DSM-IV-TR) (American Psychiatric Association, 2000), who met the following inclusion criteria: (i) aged 16 to 40 years; (ii) experiencing their first episode of psychosis for at least 1 month and less than 5 years; and (iii) no history of antipsychotic exposure or, if previously treated, a total lifetime of antipsychotic treatment of less than 16 weeks and no antipsychotic administration for 12 weeks before participating in the study. Most of the inclusion criteria were based on previous first-episode studies (Keefe *et al.*, 2007b; McEvoy *et al.*, 2007; Perkins *et al.*, 2008). Diagnosis was performed using the Structured Clinical Interview for DSM-IV-TR Axis I Disorders.

Exclusion criteria were as follows: (i) patients who had been treated with blonanserin before study entry; (ii) comorbid central nervous system disorder; (iii) meeting the DSM-IV-TR criteria for current and/or past alcohol or other substance dependence or abuse; (iv) meeting the DSM-IV-TR criteria for mental retardation; (v) taking tricyclic antidepressants; (vi) treatment with electroconvulsive therapy in the 12 weeks preceding the study; (vii) patients who were not voluntarily hospitalized; (viii) active expression of suicidal or homicidal ideation; (ix) pregnancy or breast feeding; and (x) inability to understand the study protocol or if the subject was judged to be uncooperative by the rater.

To exclude possible retest effects on cognitive measures, 10 patients with chronic schizophrenia (five male and five female) who matched for age, sex, and education were recruited as a control group (Table 1). Inclusion criteria for the control group were as follows: (i) patients who have been treated with a stable dose of blonanserin as monotherapy for at least 12 weeks; (ii)

Table 1. Baseline demographic and clinical characteristics among first-episode schizophrenia and control group subjects

	First-episode group (n = 20)	Control group (n = 20)	Significance ^c
Gender (male/female)	10/10	5/5	NS ^d
Age ^a (years)	26.1 (6.2)	26.9 (6.6)	NS
Education level ^a (years)	13.8 (2.0)	13.2 (1.4)	NS
Duration of untreated psychosis ^a (months)	8.1 (11.8)	15.2 (13.8)	NS
Benzodiazepine dose ^a (mg/day)	0.7 (1.7)	0.8 (1.6)	NS
PANSS			
Positive score	23.8 (4.0)	15.4 (3.7)	NS
Negative score	29.5 (6.4)	18.2 (5.7)	NS
General psychopathology score	56.9 (8.1)	37.3 (10.5)	NS
Total score	109.7 (16.0)	70.9 (18.0)	NS

NS, no significant difference; PANSS, Positive and Negative Syndrome Scale.

^aValues represent mean (SD).

^bLorazepam-equivalent dose.

^cIndependent *t*-test.

^dChi-squared test.

aged 16 to 40 years; (iii) having a history of psychotic relapses; and (iv) duration of illness of at least 2 years. Exclusion criteria for the control group were the same as those of the first-episode patients, except for blonanserin treatment.

Study design

Twenty-four subjects were initially recruited to the present study and assigned to the first-episode schizophrenia group (first-episode group). Subsequently, 10 subjects were recruited and assigned to the control group (control group).

In the first-episode group, blonanserin was orally administered for 8 weeks. The manufacturer recommends twice daily administration of blonanserin (Deeks and Keating, 2010). However, the half-life of blonanserin was reported to be 67.9 h after repeated administrations at 4 mg/day for 10 days in healthy individuals (Deeks and Keating, 2010). Thus, in this study, blonanserin was administered once or twice daily after meal intake. While the approved dose range of blonanserin is 8–24 mg/day, first-episode patients generally respond more to lower antipsychotic doses than do patients with

recurrent episodes (Miyamoto *et al.*, 2008; Salimi *et al.*, 2009). Thus, patients initially received a low dose of blonanserin (2–6 mg/day), but the dosage was adjusted to between 2 and 24 mg/day according to the treating physician's discretion. In the control group, the dose of blonanserin was fixed during the trial.

Benzodiazepines, sedative-hypnotics, antidepressants except for tricyclic antidepressants, and/or mood stabilizers were allowed if clinically needed, but they were kept to a minimum during the study (Table 2). Whenever clinically significant EPS occurred, anticholinergic drugs were allowed. However, clinicians were encouraged to lower the dose of blonanserin to relieve EPS. There was no limit to the biperiden-equivalent dose that could be prescribed. Prophylactic administration of anticholinergic drugs and additional antipsychotics were not permitted. In the control group, the dose of concomitant psychotropic medications was not changed during the trial. Clinical assessments were undertaken at baseline and after 8 weeks.

It has been stated that short-term clinical trials in which patients undergo cognitive assessments with short intervals are particularly vulnerable to the effect

Table 2. Use of concomitant medications at endpoint

Concomitant medications	First-episode group (n = 20)		Control group (n = 10)	
	n	Mean daily dose (range) (mg/day)	n	Mean daily dose (range) (mg/day)
Anticholinergics	5 5 (biperiden)	0.80 (1.0–2.0) ^a	7 6 (biperiden) 1 (biperiden and promethazine)	1.70 (1.0–7.0) ^a
Daytime benzodiazepines	9	1.00 (0.4–7.2) ^b	4	0.88 (1.0–3.0) ^b
Hypnotics	2	0.12 (1.2) ^b	3	0.96 (1.2–4.8) ^b
Mood stabilizers			1 (carbamazepine)	600
			1 (lithium)	400
			1 (valproic acid)	600

^aBiperiden-equivalent dose.

^bLorazepam-equivalent dose.

of repeated exposure to the tests and/or assessment environment (i.e., retest effects) (Crespo-Facorro *et al.*, 2009; Goldberg *et al.*, 2010). To compare the retest effect on cognitive assessments, the control group was assessed at baseline and 8 weeks after the initial assessment. Treatment adherence was assessed by interview at each testing session.

Cognitive function, subjective quality of life, and clinical assessment

The primary outcome measure was the change in cognitive function from baseline to endpoint during blonanserin treatment. Secondary outcome measures were changes in psychiatric symptoms, subjective QOL, and severity of psychopathology.

Cognitive function was assessed by trained psychiatrists or psychologists using the Brief Assessment of Cognition in Schizophrenia—Japanese language version (BACS-J) (Kaneda *et al.*, 2007). The BACS-J has established reliability and validity and is designed to measure cognitive function in schizophrenia (Keefe *et al.*, 2004; Kaneda *et al.*, 2007). The BACS-J cognitive battery uses the following assessments in the respective targeted domains: list learning (verbal memory), digit sequencing task (working memory), token motor task (motor speed), category fluency and letter fluency (verbal fluency), symbol coding (attention and processing speed), and the Tower of London test (executive function). To reduce retest effects, subjects were randomized to receive either version A or B of the BACS-J at baseline and the other version at the endpoint session. For each assessment, a *z*-score was calculated using the mean raw scores and SD in pooled healthy controls ($n = 340$) from another study (Kaneda *et al.*, 2008).

Subjective QOL was assessed by the Schizophrenia Quality of Life Scale—Japanese language version (SQLS-J) (Kaneda *et al.*, 2002). The SQLS-J is a practical method of measuring self-reported QOL in people with schizophrenia and has established reliability and validity (Wilkinson *et al.*, 2000; Kaneda *et al.*, 2002). The 30 items on the SQLS-J are classified into three areas: (i) psychosocial conditions; (ii) motivation/energy; and (iii) symptoms/side effects. Each area scale was transformed to range from 0 (the best status) to 100 (the worst status). The “psychosocial conditions” area addresses various emotional conditions such as loneliness, hopelessness, difficulty in social situations, and worries about the future. The “motivation/energy” area addresses various problems of motivation and activity, such as the lack of will or drive to do things. The “symptoms/side effects” area addresses issues such as muscle twitches and dry mouth, which can be

caused by medication (Wilkinson *et al.*, 2000; Kaneda *et al.*, 2002).

Other clinical evaluations were made by trained psychiatrists using the Positive and Negative Syndrome Scale (PANSS) (Kay *et al.*, 1987) and the Clinical Global Impression—Severity of Illness Scale (CGI-S) (Guy, 1976). Drug-induced EPS were assessed using the Drug-induced Extrapyramidal Symptoms Scale (DIEPSS) (Inada, 1996). The raters (S. M., S. O, and T. T.) were experienced clinicians who were extensively trained in the administration of outcome measures, including the PANSS and DIEPSS, before the beginning of the study to a minimum intraclass correlation of 0.80. The clinicians and psychologists who provided the clinical ratings were blinded to the assigned procedure of study patients.

Statistical analysis

Statistical analyses were performed using the Statistical Package for Social Sciences (SPSS) version 17.0 (SPSS Japan Inc., Tokyo, Japan). Differences between the first-episode group and the control group in demographic and baseline characteristics were assessed using independent sample *t*-tests, except for gender, which was assessed using the chi-squared test.

The primary aim of this study was to clarify the effects of blonanserin on cognitive function, as measured by BACS-J. A repeated measures analysis of covariance (ANCOVA) was performed for each cognitive variable with baseline data as covariate. For the primary analysis, the between-subject factor was the group (first-episode group and control group) and the within-subject factor was the time (baseline and endpoint). Effects of group, time, and group-by-time (interaction effect) were examined. Greenhouse–Geisser corrections were used when the assumption of sphericity was violated. Our main interest in the repeated measures ANCOVA was whether the group-by-time interaction reached significance for each cognitive variable. We also used a Bonferroni correction for multiple comparisons of BACS-J data. In a secondary analysis, within-group improvements in cognitive performance over time were evaluated using paired *t*-tests. Effect size (Cohen’s *d*) was calculated as the within-group differences between the means divided by the pooled SD. In a third analysis, paired *t*-tests were used to assess changes in each SQLS-J subscale and other clinical assessment scores. Finally, Pearson’s exploratory correlational analysis was used to determine potential associations between cognitive and clinical change scores. All statistical tests were two-tailed, and a *p*-value less than 0.05 was considered significant.

RESULTS

Demographic and clinical characteristics

A total of 24 first-episode patients were recruited and tested at baseline. Of these, 20 (10 male and 10 female) completed the study. The reasons for drop-out were unwillingness to undergo treatment at baseline ($n=2$), loss to follow-up at week 4 ($n=1$), and transfer to another hospital at week 4 ($n=1$). In the control group, 10 were retested on the cognitive measures after 8 weeks. Thus, data from these 20 patients in the first-episode group and 10 patients in the control group were used for a completer analysis. Two patients participated as inpatients, and they moved from inpatient to outpatient status during the study. The duration of hospitalization was 13 days for a patient in the first-episode group and 34 days for a patient in the control group. The DSM-IV-TR diagnostic distribution of the participating schizophrenic patients was paranoid type ($n=19$ in the first-episode group; $n=9$ in the control group), disorganized type ($n=1$ in the first-episode group), and schizoaffective disorder ($n=1$ in the control group).

Baseline demographic and clinical characteristics of subjects are shown in Table 1. There were no significant group differences in gender, age, education level, duration of untreated psychosis that was defined as the interval between the first onset of psychotic symptoms and the administration of the first adequate antipsychotic treatment (Larsen *et al.*, 1996), or each PANSS score (all $p > 0.05$). In the control group, mean duration of illness was 76.8 (± 59.7 ; SD) months and mean duration of treatment with blonanserin was 27.1 (± 43.1) months. One patient in the control group had participated in a phase III clinical trial of blonanserin and a subsequent long-term follow-up study.

Dosage of blonanserin and concomitant drugs

In the first-episode group, the mean daily dose of blonanserin at starting after baseline assessment and at 8 weeks was 2.9 (± 1.5) and 7.2 (± 4.0) mg/day, respectively. In the control group, the mean daily dose of blonanserin during the study was 12.8 (± 7.8) mg/day. There was a significant difference between the two groups in mean dosage of blonanserin at 8 weeks ($t = -2.62$, d.f. = 28, $p = 0.008$).

In the first-episode group, none of the patients had taken anticholinergics at baseline, but six patients had taken daytime benzodiazepines (mean lorazepam-equivalent dose: 0.7 [± 1.7] mg/day) at baseline. Concomitant psychotropic medications used at endpoint are shown in Table 2. The difference between the two groups in mean dosage of anticholinergics (biperiden equivalents) at 8 weeks was not significant ($p > 0.05$).

Furthermore, the difference between the two groups in mean dosage of daytime benzodiazepines or hypnotics (lorazepam equivalents) at 8 weeks was not significant ($p > 0.05$). None of the patients in either group had taken antidepressants.

Effect of blonanserin on cognitive function

Results of the BACS-J raw scores and z -scores at baseline and endpoint are shown in Table 3. Results of repeated measures ANCOVAs comparing the two groups in BACS-J z -scores are shown in Table 4. The analysis of between-group differences demonstrated no significant group effects for each BACS-J item (all $p > 0.05$). The analysis of within-group differences also demonstrated no significant time effects for each BACS-J item (all $p > 0.05$). Group-by-time interaction reached significance in the letter fluency task ($F = 8.42$; d.f. = 1, 27; $p = 0.007$), which remained so even after Bonferroni correction.

Results of paired t -tests demonstrated that z -scores of the letter fluency score and the Tower of London score were significantly increased after treatment with blonanserin in the first-episode group (all $p < 0.05$) (Table 3). The effect sizes for these changes were in a moderate range (Table 3). No BACS-J subscale scores showed a significant change in the control group during the 8-week interval (all $p > 0.05$).

Effect of blonanserin on other clinical assessments

Results of paired t -tests comparing changes in scores on the secondary measures from baseline to endpoint in the first-episode group are shown in Table 5. Significant improvements were found in all items on the PANSS, SQLS-J, and CGI-S (all $p < 0.01$). There was a significant difference in the DIEPSS total score between baseline and endpoint in the first-episode group ($p < 0.05$). Eleven patients (55.0%) in the first-episode group showed mild EPS during the study, and five patients (25.0%) required administration of low-dose anticholinergic biperiden (Table 2). There were no significant differences in each PANSS score between baseline and endpoint in the control group (Table 6).

Associations between cognitive test scores and changes in clinical assessments

There was a significant correlation between the changes in the z -score of the letter fluency task and motivation/energy score on the SQLS-J (correlation coefficient = -0.55 ; $p = 0.041$). There were no significant correlations between changes in any of the other BACS-J scales and the PANSS, SQLS-J, CGI-S, or DIEPSS scores (all $p > 0.05$). Also, there were no

Table 3. BACS-J raw score and z-score at baseline and endpoint

BACS-J ^a	Range of raw score	Baseline				Endpoint							
		First-episode group (n = 20)		Control group (n = 10)		First-episode group (n = 20)				Control group (n = 10)			
						Change		Within-group differences		Change		Within-group differences	
		Raw score	z-score	Raw score	z-score	Raw score	z-score	Effect size ^b	p ^c	Raw score	z-score	Effect size ^b	p ^c
Verbal memory	0–75	41.10 (13.50)	−1.32 (1.68)	43.80 (10.61)	−0.87 (1.12)	1.85 (11.25)	0.22 (0.33)	0.15	0.48	1.80 (8.26)	0.21 (0.08)	0.46	0.28
Digit sequencing task	0–28	19.65 (3.44)	−0.62(0.90)	17.40 (4.38)	−1.27 (1.18)	−0.50 (4.49)	−0.13 (0.32)	0.12	0.61	0.90 (1.97)	0.24 (0.06)	0.30	0.31
Token motor task	0–100	60.10 (20.80)	−2.66 (1.88)	58.00 (17.61)	−3.06 (1.57)	−1.55 (10.52)	−0.14 (0.20)	0.08	0.53	2.00 (10.54)	0.21 (0.06)	0.02	0.94
Category fluency	0–	17.25 (5.48)	−0.66 (0.65)	16.40 (4.62)	−0.70 (0.50)	0.80 (4.69)	0.15 (0.16)	0.24	0.15	0.20 (2.49)	0.03 (0.11)	0.48	0.10
Letter fluency	0–	19.45 (7.55)	−1.06 (1.10)	22.20 (7.69)	−0.62 (1.05)	4.20 (1.05)	0.61 (0.10)	0.58	0.001	−1.00 (3.97)	−0.13 (0.28)	0.05	0.81
Symbol coding ^d	0–110	56.00 (13.83)	−1.12 (0.91)	46.50 (13.95)	−2.01 (1.17)	−1.30 (10.05)	−0.66 (1.44)	0.33	0.22	3.90 (5.78)	0.34 (0.06)	0.21	0.19
Tower of London	0–22	16.20 (2.57)	−1.05 (1.09)	16.40 (3.31)	−0.94 (1.40)	0.85 (2.78)	0.61 (0.18)	0.62	0.004	1.00 (3.13)	0.46 (0.62)	0.10	0.74

BACS-J, Brief Assessment of Cognition in Schizophrenia—Japanese language version.

^aValues are mean (SD).

^bCohen's *d*.

^cPaired *t*-tests were used to compare changes in z-score.

^d*p* < 0.05, independent sample *t*-tests at baseline.