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The Effect of Negative and Positive Emotionality on Associative Memory: An fMRI Study

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Abstract

In general, emotion is known to enhance memory processes. However, the effect of emotion on associative memory and the underlying neural mechanisms remains largely unexplored. In this study, we explored brain activation during an associative memory task that involved the encoding and retrieval of word and face pairs. The word and face pairs consisted of either negative or positive words with neutral faces. Significant hippocampal activation was observed during both encoding and retrieval, regardless of whether the word was negative or positive. Negative and positive emotionality differentially affected the hemodynamic responses to encoding and retrieval in the amygdala, with increased responses during encoding negative word and face pairs. Furthermore, activation of the amygdala during encoding of negative word and neutral face pairs was inversely correlated with subsequent memory retrieval. These findings suggest that activation of the amygdala induced by negative emotion during encoding may disrupt associative memory performance.

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Introduction

The ability to learn and remember new associations between previously unrelated information is an important aspect of declarative memory. Declarative memory is associative, linking together component parts, such as words and objects, either directly or via spatial, temporal or other relationships. Previous neuroimaging studies have provided crucial information concerning the neural correlates that underlie this process. A variety of associative encoding tasks results in robust hippocampal activation, including the encoding of word pairs [1,2,3,4] and triplets [5,6], object pairs [7], and name–face pairs [8,9,10].

On the other hand, the relationship between memory and emotion is of paramount importance, given that people experience various affective states over the course of daily life. Although a recent review on memory and emotion has demonstrated that emotion may enhance memory processes that occur at all stages, including encoding, storage, and retrieval [11], we previously reported that negative emotionality does not necessarily promote good memory performance and associated hippocampal activation [12]. This discrepancy may be due to procedural differences. The most likely explanation is that the encoding of an association between items may have played a key role. The possible effects of emotionality associated with memory for paired items is unclear, even though the medial temporal lobe (including the hippocampus) showed greater activation for emotional items than for neutral items during both encoding [13] and retrieval [14] in studies of memory for single items. Furthermore, although much functional neuroim-

aging evidence has linked the memory-enhancing effect of emotion to amygdalic modulation during encoding [13,15,16,17,18,19,20] and retrieval [14], whether similar emotionality effects can be observed on associative memory remains unclear. Indeed, emotion does not necessarily enhance memory. When faced with negative events, people tend to pay attention to central features of such events while ignoring peripheral details [21,22]. As a result, memory of the negative event itself is enhanced, whereas memory of peripheral events is impaired. Furthermore, the difference between memory for gist and memory for detail can be more pronounced for negative than for positive events [23].

In this study, we hypothesized that negative emotion does not necessarily promote good associative memory performance, and that the amygdala has disparate influences on associative memory for positive and negative information. We used fMRI to investigate the effect of emotional (negative or positive) item valence on brain activation during an associative memory task, and examined the relationship between memory performance and brain activation affected by emotion during encoding and retrieval.

Results

Behavioral results

During the fMRI protocol, 15 healthy volunteers performed a novel face-emotional word paired associate task consisted of 'encoding', in which subjects were asked to remember pairs of neutral face and emotional (positive or negative) words, and 'control' and 'retrieval', in which subjects were asked to indicate

the word that was previously paired with that face (Fig. 1; see Methods for details). The mean correct response rates (mean \pm SD) during retrieval were $48.9 \pm 14.7\%$ for negative word and neutral face pairs and $58.1 \pm 13.3\%$ for positive word and neutral face pairs. Accuracy rates across the two emotional conditions differed significantly (paired t-test, $t = -2.208$, $p = 0.044$).

Group analysis on each contrast

We performed fMRI group analysis on the four contrasts, subtracting the control condition from each experimental condition, according to a random effect model. All activations satisfying our criteria for significance are shown in Tables 1 and 2. We observed significant activation of the hippocampus during all of the 4 conditions, and significant activation of the left amygdala only during the negative encoding condition.

Correlation between activations in regions detected by one sample t-tests and associative memory performances

We conducted a secondary correlation analysis to examine the relationship between brain activation in regions detected by one sample t-tests and associative memory performance. There wasn't positive correlation between brain activation and associative memory performance in any areas. In contrast, this analysis revealed that left amygdala and hippocampus activation during encoding of negative word and neutral face pairs (contrast estimate of 'negative encoding - control') was inversely correlated ($r = -0.527$, $p = 0.043$, and $r = -0.519$, $p = 0.047$, respectively) with successful retrieval (Table 3).

Differential effects of negative and positive emotion on encoding and retrieval

A 2×2 ANOVA was performed to examine the differential effects of negative and positive emotion on encoding and retrieval.

All activations satisfying our criteria for significance are shown in Table 4. This analysis revealed significant emotion \times task interactions in the left amygdala (Fig. 2A). Post hoc analysis (corrected by Bonferroni) of the averages of contrast estimates of voxels in this cluster revealed that the 'Encoding of negative word and neutral face pairs' showed a greater BOLD response compared with the 'Encoding of positive word and neutral face pairs' ($F = 7.761$, $p = 0.012$) and the 'Retrieval of negative word and neutral face pairs' ($F = 8.335$, $p = 0.015$) in this area (Fig. 2B).

Correlation analysis between amygdala activation and associative memory performance

We conducted a secondary correlation analysis to examine the relationship between amygdala activation (mentioned above and shown in Fig. 2A) and the corresponding behavioral performance. This analysis revealed that amygdala activation during encoding of negative word and neutral face pairs (contrast estimate of 'negative encoding - control') was inversely correlated ($r = -0.850$, $p = 0.00006$), and that during encoding of positive word and neutral face pairs (contrast estimate of positive encoding - control) was positively correlated ($r = 0.599$, $p = 0.018$) (Fig. 3A) with successful retrieval (Fig. 3B). Amygdala activation during retrieval was not significantly correlated with a correct response rate regardless of whether the words were positive ($r = 0.274$, $p = 0.322$) or negative ($r = -0.165$, $p = 0.557$).

Discussion

In this study, we explored the effect of emotion on associative memory performance and its underlying neural mechanisms. The hippocampus showed activations during the encoding and retrieval of word and face pairs regardless of whether the words were negative or positive. However, there wasn't positive correlation between activations in these regions and associative

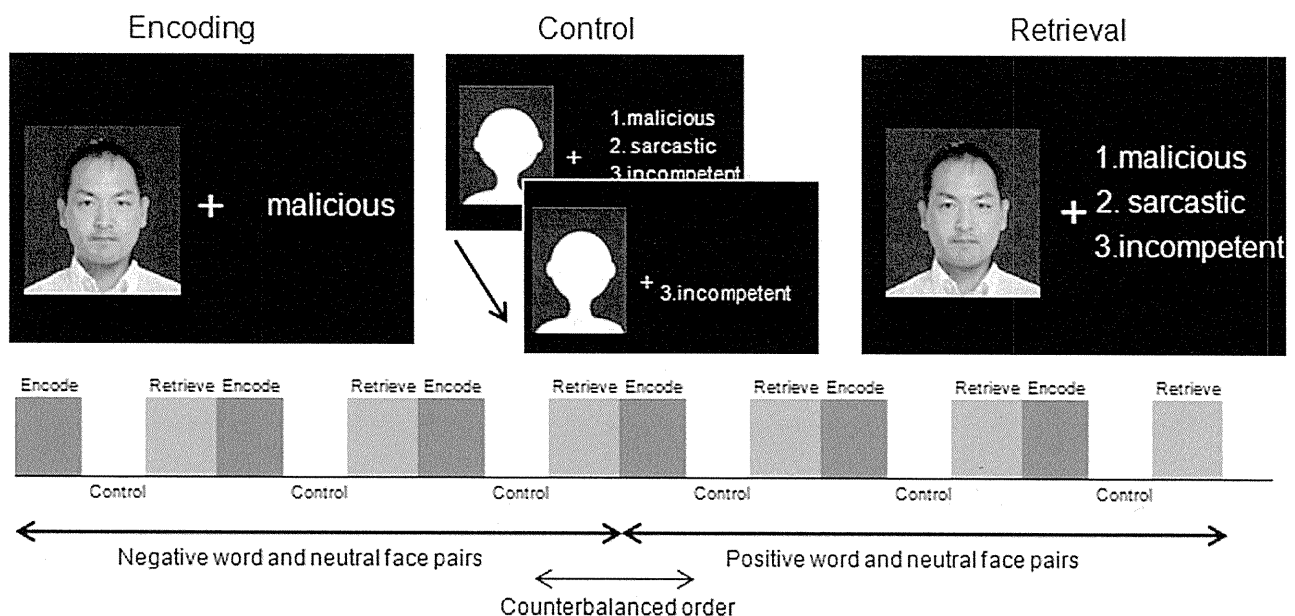


Figure 1. Face-Word Association Paradigm. Subjects were asked to learn pairs of neutral face and emotional (positive or negative) words related to personality-trait by pressing a button. After the control task in which subjects were asked to press one of the target button, each face was shown with 3 words and subjects were asked to indicate, via button press, which word was previously paired with that face. (The picture of one of the authors was used in the Figure instead of that from the database of SOFTPIA JAPAN to protect the privacy of subjects participated in the database).

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Table 1. Results of one sample t-test for negative word and neutral face pairs.

Region	BA	Side	peak level		cluster level		x	y	z
			Z	p	k _E	p			
			Encoding						
Cerebellum		R	5.50	0.002	25	0.002	42	-52	-30
Inferior Frontal Gyrus	47	L	5.21	0.007	30	0.001	-42	-18	-10
Hippocampus		R	3.97	0.008 ^a	71 ^a	0.005 ^a	28	-38	0
Hippocampus		L	3.37	0.050 ^a	1 ^a	0.044 ^a	-30	-22	-16
Amygdala		L	2.91	0.050 ^b	1 ^b	0.046 ^b	-30	0	-22
Retrieval									
Inferior Frontal Gyrus	47	L	5.70	0.001	132	0.000	-34	18	-6
Cerebellum		R	5.44	0.003	89	0.000	44	-54	-28
Precentral Gyrus	9	L	5.42	0.003	57	0.000	-38	4	38
Lingual Gyrus	18	L	5.34	0.004	451	0.000	-10	-82	-14
Cerebellum		L	5.29	0.005	92	0.000	0	-60	-44
Medial Frontal Gyrus	32	L	5.14	0.009	65	0.000	-8	12	50
Angular Gyrus	39	L	5.13	0.009	76	0.000	-32	-62	38
Cuneus	17	L	4.92	0.021	8	0.010	-24	-80	12
Middle Occipital Gyrus	19	L	4.83	0.030	19	0.003	-26	-92	4
Cerebellum		R	4.82	0.031	11	0.006	8	-74	-50
Superior Temporal Gyrus	38	L	4.76	0.036	4	0.018	-46	16	-16
Inferior Occipital Gyrus	18	L	4.74	0.042	5	0.015	-34	-88	-10
Cuneus	17	R	4.73	0.044	2	0.026	20	-96	-10
Hippocampus		L	3.65	0.023 ^a	14 ^a	0.023 ^a	-24	-30	-6

BA, Brodmann area; L, Left; R, Right; Z, Z value of the peak activation within the cluster; Coordinates for the peak voxel are listed as MNI coordinates. p, corrected p value for whole brain or region of interest (^a bilateral hippocampus which include 1667 voxels or ^b bilateral amygdala which include 306 voxels); k_E, cluster size (voxels) defined by the same peak-level FWE thresholds and used for the cluster level testing.

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memory performances, and on the contrary, there was significant negative correlations between left hippocampus activation during negative encoding and the rate of successful retrieval. In contrast, left amygdala activation was observed only during encoding with negative emotionality, and there was also significant negative correlations between this amygdala activation and successful retrieval. In addition, a 2x2 ANOVA and subsequent Post-hoc analysis detected the region activated specifically during encoding with negative emotionality in the left amygdala. Furthermore, this amygdala activation was inversely correlated with subsequent memory retrieval with high significance. These results suggest that amygdala activation induced by negative emotionality may disrupt associative memory encoding.

Although research on memory and emotion has demonstrated that emotional (both positive and negative) events are often better remembered than neutral events [13,14], we reported previously that negative emotionality does not enhance memory for

Table 2. Results of one sample t-test for positive word and neutral face pairs.

Region	BA	Side	peak level		cluster level		x	y	z
			Z	p	k _E	p			
			Encoding						
Cerebellum		R	4.85	0.031	5	0.013	42	-52	-30
Cerebellum		L	4.72	0.048	1	0.032	-6	-50	-40
Hippocampus		R	4.45	0.002 ^a	103 ^a	0.002 ^a	36	-36	-6
Hippocampus		R	4.04	0.007 ^a	4 ^a	0.035 ^a	40	-16	-22
Hippocampus		L	3.54	0.033 ^a	13 ^a	0.022 ^a	-32	-22	-18
Hippocampus		L	3.49	0.038 ^a	1 ^a	0.043 ^a	-26	-40	4
Retrieval									
Cerebellum		R	5.91	0.000	174	0.000	42	-54	-30
Middle Occipital Gyrus	18	L	5.89	0.000	877	0.000	-30	-34	-14
Insula	13	R	5.73	0.001	121	0.000	34	24	6
Precuneus	7	L	5.61	0.002	130	0.000	-28	-72	38
Medial Frontal Gyrus	6	L	5.46	0.003	234	0.000	-6	14	50
Inferior Frontal Gyrus	47	L	5.22	0.009	45	0.000	-34	18	-4
Inferior Occipital Gyrus	18	R	5.19	0.010	71	0.000	22	-92	-12
Cerebellum		R	5.10	0.014	8	0.006	10	-74	-34
Inferior Frontal Gyrus	9	L	5.05	0.017	128	0.000	-38	4	34
Fusiform Gyrus	19	R	4.98	0.023	13	0.002	32	-80	-20
Cerebellum		R	4.97	0.023	13	0.002	2	-62	-42
Cerebellum		R	4.94	0.026	11	0.003	36	-72	-28
Cuneus	18	L	4.88	0.033	5	0.010	-24	-82	12
Caudate		L	4.86	0.035	11	0.003	-12	-8	18
Inferior Frontal Gyrus		L	4.84	0.038	5	0.010	-46	16	2
Hippocampus		L	4.40	0.002 ^a	114 ^a	0.001 ^a	-24	-30	-4
Hippocampus		R	4.12	0.006 ^a	151 ^a	0.000 ^a	24	-26	-8

BA, Brodmann area; L, Left; R, Right; Z, Z value of the peak activation within the cluster; Coordinates for the peak voxel are listed as MNI coordinates. p, corrected p value for whole brain or region of interest (^a bilateral hippocampus which include 1667 voxels or ^b bilateral amygdala which include 306 voxels); k_E, cluster size (voxels) defined by the same peak-level FWE thresholds and used for cluster level testing.

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associated word pairs [12]. In this study, we demonstrated that paired items with negative emotionality are more poorly remembered than those with positive emotionality. In this discrepancy, the encoding of an association between items may have played a role, as compared to encoding single items. This hypothesis is supported by the results of previous studies [21,22,24] demonstrating that negative emotion enhances memory for gist, but reduces memory for detail. Although the face and emotional word associative memory assessment in the present study are quite different from the gist and event detail assessments used by previous studies, it is possible that the negative word meanings operate as gist, while the relationships between word and face pairs operate as more peripheral, less salient aspect of the encoding task. This emotion-related effect of memory may be

Table 3. Correlation between activations in regions detected by one sample t-tests and associative memory performances.

	Region (peak coordinate)	Correct respons rate (negative)	Correct respons rate (positive)
Encoding (negative)	Hippocampus (28 -38 0)	$r = -0.446, p = 0.096$	
	Hippocampus (-30 -22 -16)	$r = -0.527, p = 0.043^*$	
	Amygdala (-30 -0 -22)	$r = -0.519, p = 0.047^*$	
Retrieval (negative)	Hippocampus (-24 -30 -6)	$r = 0.037, p = 0.895$	
Encoding (positive)	Hippocampus (36 -36 -6)		$r = 0.121, p = 0.668$
	Hippocampus (40 -16 -22)		$r = 0.130, p = 0.643$
	Hippocampus (-32 -22 -18)		$r = -0.077, p = 0.786$
	Hippocampus (-26 -40 4)		$r = -0.000, p = 0.999$
Retrieval (positive)	Hippocampus (-24 -30 -4)		$r = -0.140, p = 0.618$
	Hippocampus (24 -26 -8)		$r = 0.079, p = 0.780$

r , correlation coefficient; p , p-value;

* , $p < 0.05$.

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mediated by the amygdala, as suggested by the absence of the effect in individuals with amygdala damage [25]. However, the biological mechanism of such phenomenon has not been examined in detail in human functional neuroimaging studies. The pronounced inverse correlation between amygdala activation induced by negative emotionality and the correct response rate shown in this study provide direct evidence that amygdala activation during encoding is a mediator of this phenomenon.

Although we do not know the neural mechanisms responsible for the disruption of associative memory encoding with negative emotionality by amygdala activation, one possible mechanism is that amygdala activation enhances the attention to the negative word itself and reduces the attention to the association of the items required for task performance. This interpretation is consistent with the idea that the amygdala focuses processing resources on the most salient information, as Easterbrook originally proposed

Table 4. Results of 2×2 ANOVA.

Region	BA	Side	peak level		cluster level	x	y	z
			Z	p	k_E			
Main effect of task								
Encoding>Retrieval								
Angular Gyrus	39	L	6.46	0.000	312	-56	-62	38
Parahippocampal Gyrus	37	R	4.94	0.007	29	40	-38	-20
Superior Frontal Gyrus	9	L	4.71	0.020	7	-20	44	44
Superior Frontal Gyrus	6	L	4.56	0.038	2	-16	14	60
Middle Temporal Gyrus	39	L	4.53	0.042	2	-40	-66	16
Hippocampus		R	4.86	0.000 ^a	112 ^a	38	-18	-20
Hippocampus		L	3.56	0.024 ^a	6 ^a	-32	-26	-16
Retrieval>Encoding								
Inferior Occipital Gyrus	17	L	>8	0.000	13337	-10	-92	-12
Insula	13	R	5.72	0.000	217	32	26	2
Midbrain		L	5.63	0.000	500	-4	-20	-6
Inferior Frontal Gyrus	47	L	5.41	0.001	164	-30	22	-4
Inferior Frontal Gyrus	9	L	5.31	0.001	53	-58	4	30
Hippocampus		L	4.12	0.003 ^a	8 ^a	-20	-30	-4
Main effect of emotion								
No area								
Interaction Task×Emotion								
Amygdala		L	3.02	0.036 ^b	6 ^b	-24	-8	-16

BA, Brodmann area; L, Left; R, Right; Z, Z value of the peak activation within the cluster; Coordinates for the peak voxel are listed as MNI coordinates. p, corrected p value for whole brain or region of interest (^a bilateral hippocampus which include 1667 voxels or ^b bilateral amygdala which include 306 voxels); k_E , cluster size (voxels) defined by the same peak-level FWE thresholds.

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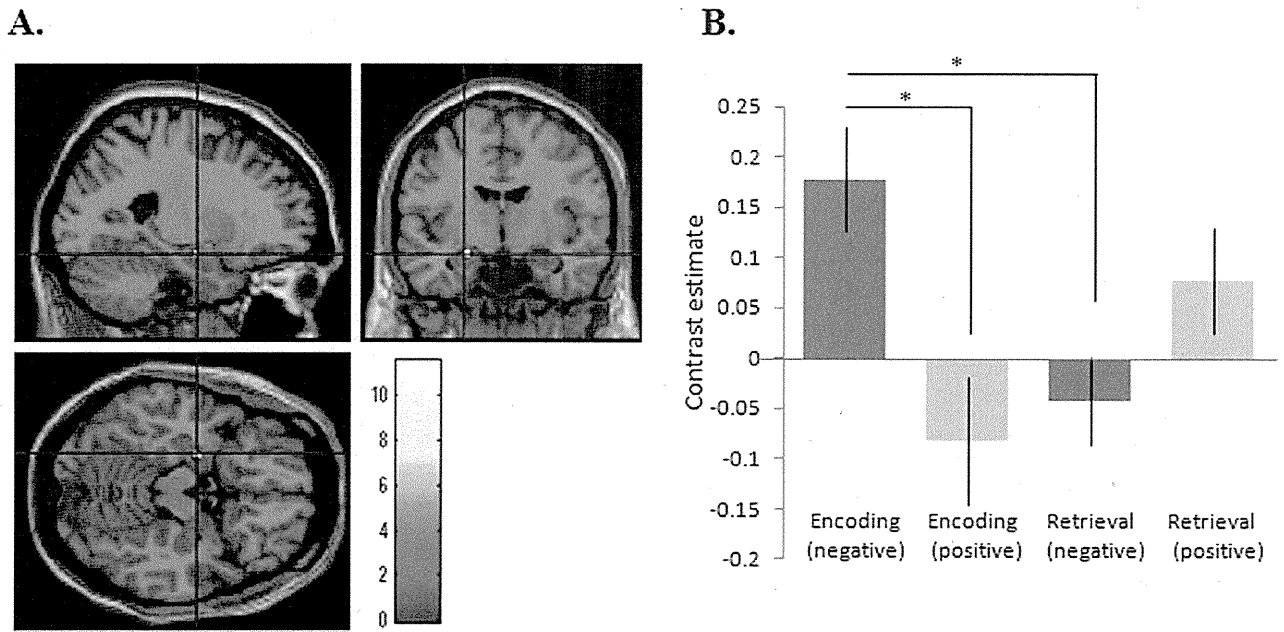


Figure 2. Differential effects of negative and positive emotion on encoding and retrieval. A. shows the brain region in which task \times emotion interaction was detected (MNI coordinate: $x = -24, y = -8, z = -16$). B. shows the graph displaying the contrast estimates (mean \pm SE) for the region of interest shown in Figure 2A for the 4 contrasts (negative encoding, positive encoding, negative retrieval, and positive retrieval compared to the relevant control) $^* p < 0.05$. doi:10.1371/journal.pone.0024862.g002

[26]. In fact, our regression analyses also revealed a significant inverse correlation between the correct response rate and the magnitude of brain activation in the left hippocampus. This means that activation of this region also disrupted rather than contributed to the associative memory processing. Given the fact that amygdala activity has been reported to correlate with subsequent memory for emotional material [15,16,27] and the influence of the amygdala on the efficacy of encoding is believed to be expressed

through its effect on the hippocampus, it is plausible that the amygdala may focus processing resources automatically on the negative words and not the association of paired items required for task performance.

In addition to the results mentioned above, amygdala activation during the positive encoding was positively correlated with the task performance of associative memory in this study. Although the mechanism of this inverse effect of amygdala activation on

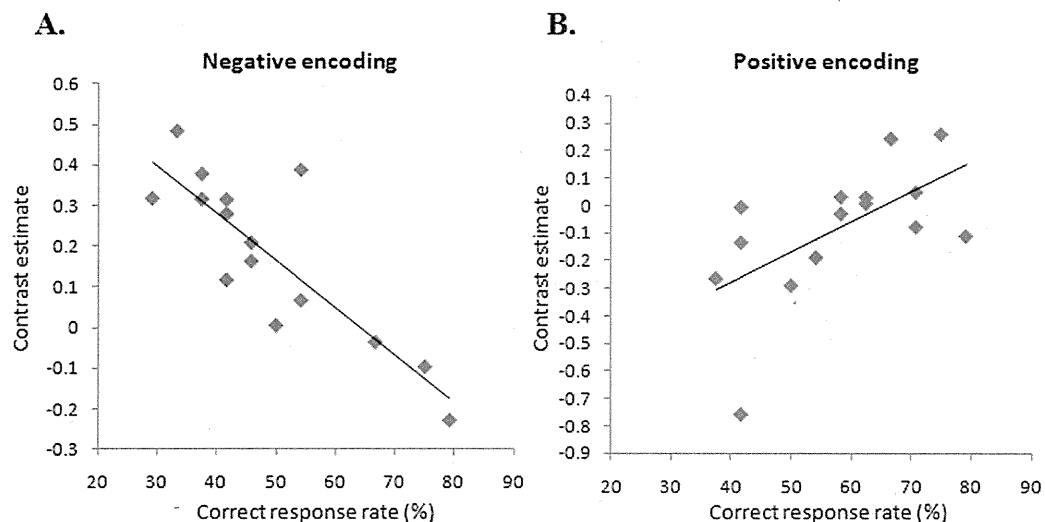


Figure 3. Correlation between amygdala activation and associative memory performance. A. shows the graph illustrates the inverse correlation between correct response rate of negative word - face pairs and the contrast estimates during encoding of negative word - face pairs in the region of interest shown in Figure 2A. B. shows the graph illustrates the positive correlation between correct response rate of positive word - face pairs and the contrast estimates during encoding of positive word - face pairs in the region of interest shown in Fig. 2A. doi:10.1371/journal.pone.0024862.g003

associative memory is unclear, results of previous studies of patients with amygdalar damage suggest that the amygdala can both potentiate and reduce gist memory, depending on the encoding context [25]. Although additional research is required to better understand the circumstances (positive or negative, single item or paired item) in which amygdala activity can disrupt or facilitate memory encoding, the number of cues to which an organism attends may be modulated by emotional valence and not by arousal mediated by the amygdala.

There are certain limitations in this study that should be taken into consideration. First, we did not include a neutral word condition, so there is the possibility that the significant difference of associative memory performance between negative and positive condition was due to the enhancing effect of the positive word rather than the disrupting effect of the negative word. Although our previous study demonstrated that the correct response rate of negative word pairs was significantly lower than that of neutral word pairs [12], it remains unclear whether the effect of a negative word on face-word associative memory performance would be significant relative to neutral stimuli. However, it is plausible that amygdala activation during negative encoding disrupt the associative memory performance from the pronounced inverse correlation between amygdala activation and associative memory performance. Second, we did not evaluate unpleasantness during the task, so the direct relationship between amygdala activation and negative emotionality is not necessarily clear in this study, although it is reasonable to consider that amygdala activation was induced by negative emotionality of words. Third, as in previous studies [10,28], our control (baseline) task did not include real faces, so our results of one sample t-test included the regions which were related to the face perception as well as memory and emotion. However, face perception was equally included in each task (negative encoding, positive encoding, negative retrieval, or positive retrieval), and our results of a 2×2 ANOVA was never confounded by face perception. Fourth, we could not examine whether amygdala activation was positively or negatively correlated with the memory for the words themselves, so we could not directly compare the role of amygdala activation between single item memory and associative memory encoding. Further study is needed to address this issue. Finally, we did not use an event-related subsequent memory design which would be appropriate to directory justify the role of brain activation on associative memory performance, but the block-design in which we can raise the BOLD signal to measurable level in shorter scanning run. The major reason of this selection of design was that we were interested in examining the neural activity of associative memory performance in each individual subject, and applying such paradigm to psychiatric disorders like depression that cannot be forced into longer scanning run.

In conclusion, we observed that associative memory encoding is differentially modulated by amygdala activation according to the valence of emotionality. In particular, robust inverse correlation between the amygdala activation during encoding with negative emotionality and associative memory performance was observed. These findings suggest that amygdala activation induced by negative emotion may automatically focus processing resources on the most salient information, and disrupt associative memory encoding directed by instruction.

Materials and Methods

Ethics Statement

The study was conducted under a protocol that was approved by the Ethics Committee of Hiroshima University. All subjects submitted informed written consent of their participation.

Subjects

Fifteen healthy volunteers (6 men and 9 women), aged 21–27 years (mean age \pm SD = 23.6 \pm 1.9 years), with no history of neurological or psychiatric illness, participated in the study. All subjects showed a similar level of intelligence as assessed by the Japanese Adult Reading Test (JART) (112.5 \pm 5.6).

Experimental task

During the fMRI protocol, subjects performed a novel block-designed face-emotional word paired associate task. We developed this task from a face-name paired associate task [10,28] that included 3 distinct conditions: encoding, distracter (active baseline), and recognition. The task consisted of 18 blocks, each of which was preceded by an instruction slide informing the subject whether the block was encoding, control, or retrieval condition. Of these 18 blocks, 6 were encoding conditions, 6 were control conditions, and 6 were retrieval conditions. Conditions were interleaved and repeated 6 times (Fig. 1). The duration of each condition was 24 seconds and the preceding instruction slide was shown for 4 seconds. This resulted in a total task period of 9 minutes.

During encoding, pairs of a face and an emotional word were presented serially every 3s, and subjects were asked to remember each face-emotional word pair by pressing a button. The active baseline (control) required subjects to press a button when 2 of 3 words disappeared (randomly within a 3s interval). We used the same emotional words during the corresponding control condition, so as to focus on how emotions modulate the associative memory processing and not on emotional responses themselves. During the retrieval condition, each face was shown with 3 emotional words every 3s, and the subjects were asked to indicate, via button press, which word was previously paired with that face. Forty-eight neutral faces paired with 6 emotional words (3 positive and 3 negative) were used during the experiment, because of the difficulty to select 48 appropriate emotional personality trait words. Although this means that the same words were repeatedly presented with different faces, a different face was presented every time and this task did not require the ability to overcome interference. That is, 48 pairs were presented within encoding condition, and no face-word pair was repeated during the experiment. Each retrieval block tested memory for only the pairs that were in the preceding encoding block, but the presentation of faces was not in the same order in the retrieval block as they were presented in the encoding block. Neutral faces were selected from the database of SOFTPIA JAPAN (The database is not available on line to protect the privacy of subjects participates in the database). Three positive words and 3 negative words were selected from Anderson's list of personality-trait words translated into Japanese, and were rated on emotional valence and familiarity by a different group of participants [29]. Positive words were from the top 20 positive words and negative words were from the bottom 20 negative words of this list. Positive and negative words were matched in familiarity and word length. Each face and word pair was presented only once during the encoding tasks. For the retrieval tasks performed after the encoding tasks, the remaining 2 of the 3 words were used as distracters. The negative and positive conditions were counterbalanced across the subjects. Stimuli were generated using a personal computer with Presentation software (Neurobehavioral Systems, Inc.; San Francisco, CA). Using an angled mirror, participants viewed the stimuli on a back projection screen mounted outside the scanner bore.

Acquisition of MRI data

Imaging data were acquired using a GE 3.0 T scanner (General Electric, Milwaukee, Wisconsin). A time course series of 190

volumes per participant (including pre- and post-task period) was acquired with echo planar imaging sequences (TR = 3000 ms, TE = 27 ms, FA = 90deg, Matrix size = 64×64, FOV = 256 mm, 4 mm slice thickness, 32 slice, no gap). Functional scans lasted 9 minutes 30 seconds. After functional scanning, structural scans were acquired using T1-weighted gradient echo pulse sequences (TR = 7.2 ms, TE = 2.1 ms, FA = 20deg, Matrix size = 256×256, FOV = 256 mm, 1 mm slice thickness, 184 slice).

Analysis

Data were analyzed using the statistical parametric mapping software package, SPM8 (Wellcome Department of Cognitive Neurology, London, UK). The first 5 volumes of the fMRI run (pre-task period) were discarded to ensure a steady-state MR signal, and the remaining 185 volumes were used for the statistical analysis. Each set of functional volumes was realigned to the first volume, spatially normalized to a standard template based upon the Montreal Neurological Institute (MNI) reference brain, and spatially smoothed using an 8-mm FWHM Gaussian kernel.

We modeled four contrasts for each individual, using a general linear model that included each condition (negative encoding, positive encoding, negative retrieval, and positive retrieval) compared to the relevant control conditions. Then, second level analyses were performed according to a random effect model. First, one sample *t*-tests were performed for each contrast. The statistical threshold of $p < 0.05$, corrected for whole-brain family wise error (FWE) at a peak level was used, except for *a priori* hypothesized regions, which were thresholded at $p < 0.05$, and corrected for small volume (search volume is *a priori* region of interest mask) FWE at a peak level. These *a priori* regions of interest included the hippocampus and amygdala, a region implicated in the processing of memory and emotion. The hippocampal and amygdalic region of the interest mask was created in Montreal Neurological Institute (MNI) space using the WFU Pick Atlas [30]. We used WFU Pick Atlas only for creating the hippocampal and

amygdalic region of interest mask, and all other analyses were conducted by using SPM 8.

Second, Pearson's correlation analyses were performed using the averages of contrast estimates (negative encoding-control, positive encoding-control, negative retrieval-control, and positive retrieval-control) of voxels within the clusters detected by the one sample *t*-test, in order to examine whether activations of these regions during each condition were correlated with corresponding memory performances. Third, a 2×2 ANOVA with factors of task (encoding or retrieval) and emotion (negative or positive) was performed. The statistical threshold for this analysis was also set at $p < 0.05$, corrected for FWE at a peak level, and small volume correction (SVC) were applied for the hippocampus and amygdala. Finally, Pearson's correlation analyses were performed using the averages of contrast estimates (negative encoding-control, positive encoding-control, negative retrieval-control, and positive retrieval-control) of voxels within the same amygdala cluster detected in the interaction task×emotion interaction shown in Fig. 2, in order to examine whether activations of this region during each condition was correlated with corresponding memory performances.

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Author Contributions

Conceived and designed the experiments: GO YO YK S. Yoshimura KO ST HY S. Yamawaki. Performed the experiments: GO YK SA YN. Analyzed the data: GO YK. Wrote the paper: GO YO YK KO S. Yoshimura S. Yamawaki.

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Altered γ -secretase activity in mild cognitive impairment and Alzheimer's disease

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We investigated why the cerebrospinal fluid (CSF) concentrations of A β 42 are lower in mild cognitive impairment (MCI) and Alzheimer's disease (AD) patients. Because A β 38/42 and A β 40/43 are distinct product/precursor pairs, these four species in the CSF together should faithfully reflect the status of brain γ -secretase activity, and were quantified by specific enzyme-linked immunosorbent assays in the CSF from controls and MCI/AD patients. Decreases in the levels of the precursors, A β 42 and 43, in MCI/AD CSF tended to accompany increases in the levels of the products, A β 38 and 40, respectively. The ratios A β 40/43 *versus* A β 38/42 in CSF (each representing cleavage efficiency of A β 43 or A β 42) were largely proportional to each other but generally higher in MCI/AD patients compared to control subjects. These data suggest that γ -secretase activity in MCI/AD patients is enhanced at the conversion of A β 43 and 42 to A β 40 and 38, respectively. Consequently, we measured the *in vitro* activity of raft-associated γ -secretase isolated from control as well as MCI/AD brains and found the same, significant alterations in the γ -secretase activity in MCI/AD brains.

INTRODUCTION

Senile plaques, the neuropathological hallmark of Alzheimer's disease (AD), are composed of amyloid β -protein (A β). A β is derived from β -amyloid precursor protein (APP) through

sequential cleavage by β - and γ -secretases. β -Secretase cleaves at the luminal portion (β -site) of APP to generate a β -carboxyl terminal fragment of APP (β CTF), an immediate substrate of γ -secretase, to produce different A β species (for a review see Selkoe, 2001). The most abundant secreted A β species is A β 40,

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whereas the species that has two extra residues (A β 42) is a minor one (<10%); however, the latter is the one that deposits first and predominates in senile plaques (Iwatsubo et al, 1994).

Presenilin 1/2 make up the catalytic site of γ -secretase. The enzymatic properties of γ -secretase that cleave the transmembrane domain of β CTF have been an enigma, although recent studies provided partial elucidation of this mechanism (Qi-Takahara et al, 2005; Takami et al, 2009). γ -Secretase has two product lines, which successively convert the A β 49 and A β 48 that are generated by ϵ -cleavage, to shorter A β s by releasing tri- or tetrapeptides in a stepwise fashion. A β 49 is successively cleaved mostly into A β 40 via A β 46 and A β 43, while A β 48 is similarly cleaved into A β 38 via A β 45 and A β 42 (see Fig 1). Importantly, the differences between the amounts of released tri- and tetrapeptides determine the levels of the different A β species produced (Takami et al, 2009). Thus, the true activity of γ -secretase is defined by the amounts of tri- and tetrapeptides released, but not by the amounts of A β species produced. Of note, the most abundant species A β 40 is derived not from A β 42, but from A β 43. Also A β 38 is derived mainly from A β 42 (Fig 1). The longer A β s in cerebrospinal fluid (CSF) including A β 49 and 46 as well as A β 48 and 45 must be generated at negligible levels, but may neither be secreted to the interstitial fluid (ISF) nor recruited to CSF. This suggests that the status of brain, and possibly neuronal, γ -secretase could be accurately assessed by measuring all four A β species generated by the two product lines of γ -secretase.

Using enzyme-linked immunosorbent assays (ELISAs), we quantified A β 40 and 43 and A β 38 and 42 in CSF samples from control subjects and mild cognitive impairment (MCI)/AD patients. The CSF concentrations of A β 43 and A β 42 were found to be significantly lower in MCI/AD compared with controls. The ratio of A β 38/42, which represents the ratio of product/precursor and thus the cleavage efficiency of A β 42, was plotted against the ratio of A β 40/43, which represents the ratio of product/precursor in the other product line and thus the cleavage efficiency of A β 43. The ratio of A β 38/42 was largely proportional to that of A β 40/43, indicating that the two cleavage processes are tightly coupled, but both were generally higher in MCI/AD patients compared to control subjects. These results

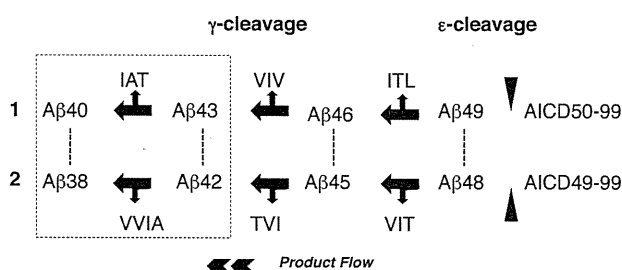


Figure 1. Generation of A β s through stepwise processing of β CTF. At the first step, β CTF is cleaved at the membrane-cytoplasmic boundary (ϵ -cleavage), producing AICD (APP intracellular domain) 50–99 and 49–99. Counterparts A β 49 and 48 in turn are cleaved in a stepwise fashion, releasing tri- and tetrapeptides. One product line converts A β 49 mostly to A β 40 via A β 46 and A β 43. The other product line converts A β 48 to A β 38 via A β 45 and A β 42. It should be noted that the differences between the amounts of released tri- or tetrapeptide determine the amounts of A β s produced. Broken lines indicate corresponding A β s on the two product lines.

suggest that the activity of brain γ -secretase in MCI/AD is enhanced at the conversion of A β 43 to A β 40 and A β 42 to A β 38, which would result in significantly lower CSF concentrations of A β 42 and 43. In support of this hypothesis, the activities of raft-associated γ -secretase from control and MCI/AD brains were found to be significantly different: although the total A β production was similar, the γ -secretase in MCI/AD brains produced significantly larger ratios of A β 40/43 and A β 38/42 than the enzyme in control brains. This raises the possibility that lower CSF levels of A β 42 and 43 simply reflect the altered γ -secretase activity in the MCI/AD-affected brains.

RESULTS

The CSF concentrations of A β s were in the following order: A β 40 > A β 38 > A β 42 \gg A β 43 in all CSF samples examined (Table 1 and Supporting Information Fig S2A). The relative amounts of A β s were constant across the samples: A β 38:40 ratio in CSF was \sim 1:3, and A β 42:43 ratio was \sim 10:1. The CSF

Table 1. Subject characteristics and CSF concentrations of A β s

	Control	MCI	AD	ANOVA ***p-value
Age (years)	74.9 \pm 7.5	72.5 \pm 6.6	72.3 \pm 8.2	
N (male/female)	21 (10/11)	19 (7/12)	24 (7/17)	
MMSE score	28.7 \pm 1.9	25.7 \pm 2.6	19.6 \pm 3.3	
ApoE ϵ 4	3 (14.3%)	10 (52.6%) ^a	14 (58.6%) ^a	
A β 38 (pM)	594.5 \pm 286.3	669.4 \pm 247.6	760.57 \pm 269.4	
Ln(A β 38)	6.28 \pm 0.46	6.44 \pm 0.38	6.56 \pm 0.41	NS
A β 40 (pM)	1607.9 \pm 712.9	1939.5 \pm 698.0	2292.6 \pm 799.6	
Ln(A β 40)	7.28 \pm 0.47	7.51 \pm 0.38	7.68 \pm 0.35	0.007
A β 42 (pM)	133.1 \pm 53.4	83.2 \pm 49.4**	90.3 \pm 40.1 ^a	
Ln(A β 42)	4.80 \pm 0.47	4.25 \pm 0.60	4.40 \pm 0.47	0.004
A β 43 (pM)	11.8 \pm 5.7	6.8 \pm 5.6**	7.0 \pm 4.6**	
Ln(A β 43)	2.32 \pm 0.60	1.59 \pm 0.86	1.76 \pm 0.62	0.004

^a2 MCI subjects were homozygous for ϵ 4, while 4 AD subjects were homozygous for the allele.

** p < 0.05; Dunnett's t -test after log-transformation for comparing between control and MCI or AD.

*** p -value of analysis of variance after log-transformation.

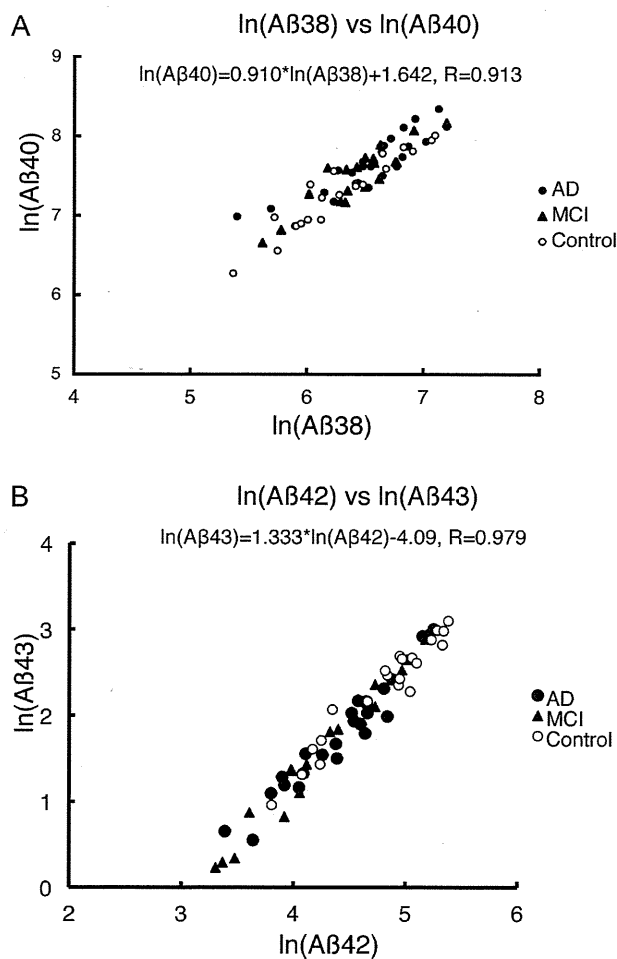


Figure 2. Relationships between the levels of A β 40 and 38, and between those of A β 43 and 42 in CSF from controls and MCI/AD patients.

- A.** The levels of $\ln(\text{A}\beta 40)$ were proportional to those of $\ln(\text{A}\beta 38)$ ($\ln(\text{A}\beta 40) = 0.910 \times \ln(\text{A}\beta 38) + 1.642$, $R = 0.913$).
- B.** The levels of $\ln(\text{A}\beta 43)$ were proportional to those of $\ln(\text{A}\beta 42)$ ($\ln(\text{A}\beta 43) = 1.333 \times \ln(\text{A}\beta 42) - 4.09$, $R = 0.979$). It should be noted that the levels of both $\ln(\text{A}\beta 42)$ and $\ln(\text{A}\beta 43)$ in MCI [filled triangle ($n = 19$)]/AD [filled circle ($n = 24$)] are lower than those in controls [open circles ($n = 21$)].

concentrations of A β 40 were significantly increased in AD compared to control (Table 1; $p < 0.05$, Dunnett's t -test). Additionally, the CSF concentrations of A β 38 tended to be increased in AD patients compared to controls. In contrast, those of A β 42 and 43 were significantly decreased in MCI/AD compared to controls ($p < 0.05$, Dunnett's t -test). Interestingly, as reported previously (Schoonenboom et al, 2005), the CSF concentrations of A β 40 and A β 38 were proportional to each other in all subjects [Fig 2A; $\ln(\text{A}\beta 40) = 0.910 \times \ln(\text{A}\beta 38) + 1.642$, $R = 0.913$, where $\ln(\text{A}\beta 40)$ is the logarithm of A β 40], even in MCI/AD cases. This was despite the fact that these species are derived from and the final products of the two different product lines of γ -secretase activity (Fig 1; Takami et al, 2009). In other words, the amounts of products in the third

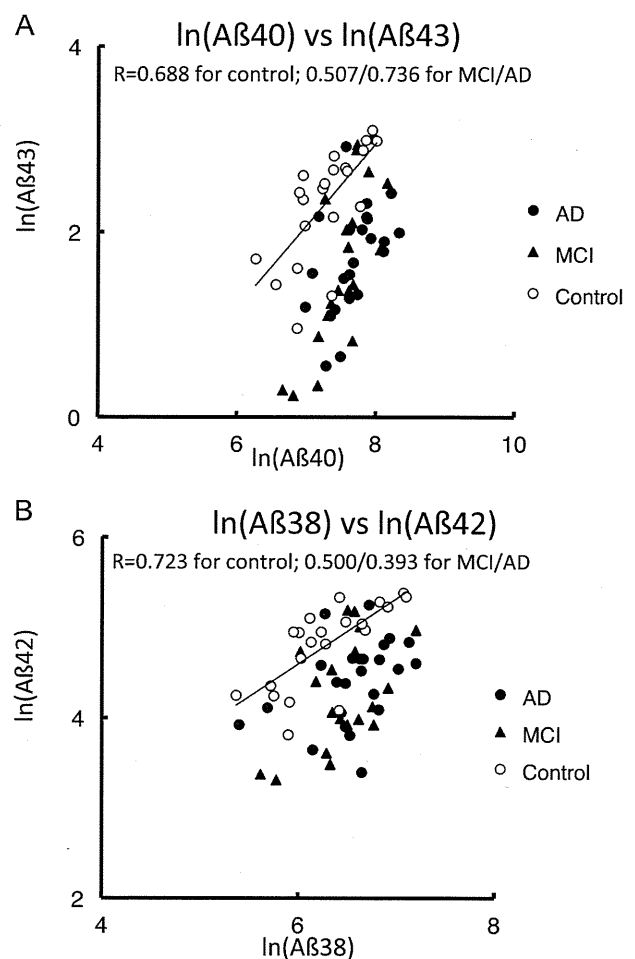


Figure 3. Relationships between the levels of A β 43 and 40, and between those of A β 42 and 38 in CSF from controls (open circles) and MCI (closed triangle)/AD patients (closed circle).

- A.** The levels of $\ln(\text{A}\beta 43)$ correlate with those of $\ln(\text{A}\beta 40)$ within controls ($R = 0.688$), and barely within MCI/AD subjects ($R = 0.507/0.736$). The plots for MCI/AD were located below the regression line for control ($p < 0.001$, ANOVA).
- B.** The levels of A β 42 correlate with those of A β 38 within controls ($R = 0.723$), and barely within MCI/AD ($R = 0.500/0.393$). The plots for MCI/AD were situated below the regression line for controls ($p < 0.001$, ANOVA).

step of cleavage were strictly proportional to each other across the product lines.

A β 42 and A β 43 are produced by the second cleavage step of each product line. Like A β 40 and A β 38, the CSF concentrations of A β 42 and A β 43 are also proportional to each other in controls and in MCI/AD patients [Fig 2B; $\ln(\text{A}\beta 43) = 1.333 \times \ln(\text{A}\beta 42) - 4.09$, $R = 0.979$]. On the other hand, the levels of A β 43 and A β 40 (a precursor and its product) were correlated in control [Fig 3A; $\ln(\text{A}\beta 43) = 0.884 \times \ln(\text{A}\beta 40) - 4.118$, $R = 0.688$] and in MCI/AD subjects ($R = 0.507/0.736$ for MCI/AD, respectively) but the MCI/AD values were located below the regression line for controls and thus provided lower A β 43 measures compared with controls for a given A β 40 measure (Fig 3A; $p < 0.001$, analysis of variance, ANOVA). Conversely,

for a given A β 43 value, the plot provided a higher A β 40 measure in MCI/AD cases. There was a similar situation for the levels of A β 42 and A β 38. The levels of A β 42 and A β 38 were correlated each other in control subjects [Fig 3B; $\ln(\text{A}\beta 42) = 0.724 \times \ln(\text{A}\beta 38) + 0.251$, $R = 0.723$], but barely in MCI/AD ($R = 0.500$ for MCI; 0.393 for AD), and the MCI/AD plots were situated below the regression line for controls ($p < 0.001$, ANOVA). For a given A β 42 value, the plot provided a higher A β 38 measure in MCI/AD compared with controls.

These lower concentrations of A β 42 appeared to be compensated with higher concentrations of A β 38 as the levels of $\ln(\text{A}\beta 38 + \text{A}\beta 42)$ did not vary even in MCI/AD ($p = 0.293$, ANOVA). Thus, this points to the possibility that more A β 42 and A β 43 are converted to A β 38 and A β 40, respectively, in MCI/AD brains. According to numerical simulation based on the stepwise processing model, as the levels of β CTF decline to null, the levels of A β 43 and 42 decrease and the ratios of A β 40/43 and A β 38/42 increase (unpublished observation). However, this situation can be excluded as the mechanism for lower concentrations of A β 42 and 43, because the levels of β CTF have never been reported to be reduced in AD brains nor in plaque-forming Tg2576 mice that show lower CSF A β 42 concentrations (Kawarabayashi et al, 2001). Thus, it is reasonable to suspect that the final cleavage steps from A β 43 mostly to 40 and from A β 42 to 38 are significantly enhanced in parallel (increases in released tri- and tetrapeptides) in brains affected by MCI/AD compared with controls (Fig 1).

This relationship in γ -secretase cleavage becomes clearer by plotting the product/precursor ratio representing cleavage efficiency at the step from A β 42 to 38 (A β 38/42) against that representing the cleavage efficiency at the step from A β 43 to 40 (A β 40/43) (Fig 4). The 'apparent' cleavage efficiency of A β 43 was approximately 40-fold larger than that of A β 42. The two ratios in CSF samples from MCI/AD and control subjects were largely proportional to each other, indicating that the corresponding cleavage processes in the two lines are tightly coupled (Fig 4). All plots were situated on a distinct line [$\ln(\text{A}\beta 38/42) = 0.748 \times \ln(\text{A}\beta 40/43) - 2.244$, $R = 0.936$] and its close surroundings. An increase in the cleavage from A β 43 to 40 (*i.e.* more A β 43 is converted to A β 40) accompanied an increase in the cleavage from A β 42 to 38 and *vice versa*, although the mechanism underlying this coupling between the two product lines remains unknown. This reminds us of the 'NSAID effect' in the 3-([3-cholamidopropyl]dimethylammonio)-2-hydroxy-1-propanesulfonate (CHAPSO)-reconstituted γ -secretase system (Takami et al, 2009; Weggen et al, 2001) in which the addition of sulindac sulfide to the γ -secretase reaction mixture, as expected, significantly suppressed A β 42 production and increased A β 38 production presumably by increasing the amounts of released tetrapeptide (VVIA) (Takami et al, 2009) and other peptides.

Most importantly, this graph provides a clear distinction between the control and MCI/AD groups (Fig 4; A β 40/43 for MCI/AD vs. control, $p = 0.000$; A β 38/42 for MCI/AD vs. control, $p = 0.000$; ANOVA, followed by Dunnett's *t*-test). The control values plotted close to the origin, whereas those for MCI/AD patients were distant from the origin along the line [$\ln(\text{A}\beta 38/42) = 0.748 \times \ln(\text{A}\beta 40/43) - 2.244$, $R = 0.936$]. It is also of note

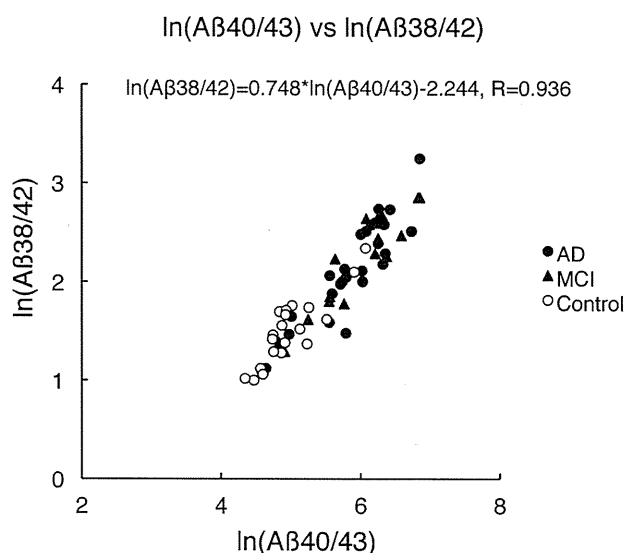


Figure 4. $\ln(\text{A}\beta 40/43)$ versus $\ln(\text{A}\beta 38/42)$ plot. The ratios represent the cleavage efficiency at the final step of each line. Both ratios are largely proportional to each other ($y = 0.748 \times x - 2.244$, $R = 0.936$) and plots are located on the line and its close surroundings. This plot clearly distinguishes between control subjects and MCI/AD patients (A β 40/43 for MCI vs. control, $p = 0.000$; A β 38/42 for MCI vs. control, $p = 0.000$; ANOVA, followed by Dunnett's *t*-test). Control plots [open circles ($n = 21$)] are located close to the origin and MCI/AD plots [closed triangles ($n = 19$) and closed circles ($n = 24$), respectively] are a little distant from the origin.

that there was no significant difference between MCI and AD patients (Fig 4; A β 40/43 for AD vs. MCI, $p = 1.000$; A β 38/42 for AD vs. MCI, $p = 1.000$; Bonferroni's *t*-test). Two control values were a little farther from the origin, which may suggest that these subjects already have latent A β deposition or are in the preclinical AD stage. Additionally, we examined quite a small number of CSF samples from presenilin (PS) 1-mutated (symptomatic) familial AD (FAD) patients (T116N, L173F, G209R, L286V and L381V). Out of the three FAD cases near the regression line, two (T116N and L286V) were distant from the origin like sporadic AD cases and one (L381V) was closer to the origin than controls (both A β 42/43 levels were lower than control; unpublished data). The remaining two (G209R and L173F) were extremely displaced from the line. Thus, a larger number of FAD cases are needed to give an appropriate explanation for their unusual characteristics in the plot, and the alteration of CSF A β s shown above seems to be applicable only for sporadic AD.

Altogether, in MCI/AD, more A β 42 and 43 are processed to A β 38 and 40, respectively, than in controls. Even in MCI/AD, strict relationships are maintained between the levels of A β 42 and A β 43, and between those of A β 38 and A β 40 as seen in controls, which are predicted by the stepwise processing kinetics (unpublished observation). Thus, our observations suggest that lower CSF concentrations of A β 42 and 43 and presumably higher CSF concentrations of A β 38 and 40 are the consequence of altered γ -secretase activity in brain rather than the effect of preferential deposition of the two longer A β species (A β 42 and 43) in senile plaques, which would not have maintained such strict relationships between the four A β species in CSF.

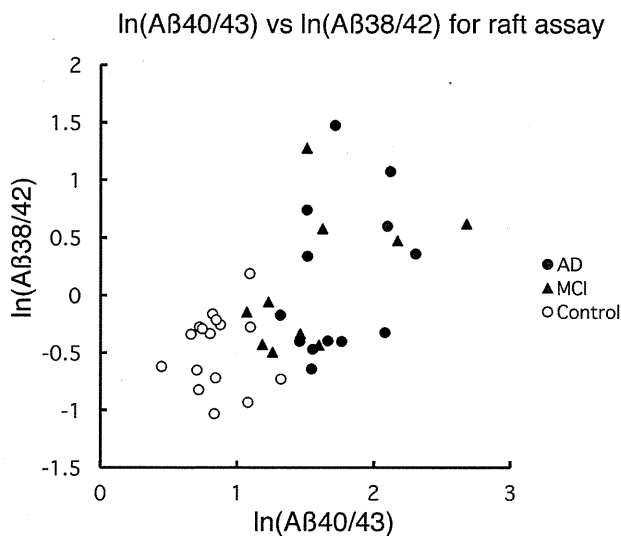


Figure 5. $\ln(A\beta_{40}/43)$ versus $\ln(A\beta_{38}/42)$ plot based on direct quantification of raft-associated γ -secretase activity. The raft-associated γ -secretase prepared from control and MCI/AD brain specimens was incubated with β CTF for 2 h at 37°C (see Materials and Methods Section). Produced A β s were quantified by Western blotting using specific antibodies. This plot distinguishes between control subjects and MCI/AD patients ($A\beta_{40}/43$ for control *vs.* MCI/AD, $p < 0.001$; $A\beta_{38}/42$ for control *vs.* MCI/AD, $p = 0.001$; Welch's *t*-test). MCI/AD plots [closed triangles ($n = 10$) and closed circles ($n = 13$), respectively] are as a whole a little distant from the origin, whereas control plots [open circles ($n = 16$)] are close to the origin.

To further test our hypothesis, we directly measured γ -secretase activities associated with lipid rafts isolated from AD, MCI and control cortices (Brodmann areas 9–11). For definite confirmation of the A β species, the reaction mixtures were subjected to quantitative Western blotting using specific antibodies rather than ELISA. At time 0, deposited A $\beta_{42}/43$ species were detected in rafts from MCI/AD brains but not in control specimen (Supporting Information Fig S3). The amounts of $\ln(A\beta_{38} + A\beta_{42})$, which reflect the total capacity of the A $\beta_{38}/42$ -producing line, did not vary between AD, MCI and controls (Supporting Information Fig S4; $p = 0.969$, ANOVA). Thus, the gross activities of raft γ -secretase were comparable among the three groups. However, the plotted values for $A\beta_{40}/43$ versus $A\beta_{38}/42$ are divided into two groups: MCI/AD and controls (Fig 5; $A\beta_{40}/43$ for control *vs.* MCI/AD, $p < 0.001$; $A\beta_{38}/42$ for control *vs.* MCI/AD, $p = 0.001$; Welch's *t*-test) in the same way as those derived from CSF (Fig 4). It is notable that Figs. 4 and 5 are based on different methods, ELISA and Western blotting, respectively, but give similar results. There were no significant differences between MCI and AD specimen, although MCI patients (91 ± 4.9 -year-old) were older than controls (77 ± 6.5 -year-old) or AD patients (80 ± 5.0 -year-old) ($A\beta_{40}/43$ for MCI *vs.* AD, $p = 0.342$; $A\beta_{38}/42$ for MCI *vs.* AD, $p = 0.911$). There were similar significant differences between control versus AD in the groups of which the ages were not significantly different ($A\beta_{40}/43$ for control *vs.* AD, $p < 0.001$; $A\beta_{38}/42$ for control *vs.* AD, $p = 0.03$).

DISCUSSION

Here, we assume that (i) A β s in CSF are produced exclusively by γ -secretase in the brain, possibly in neurons; and (ii) A β s in CSF are in the steady state. With these assumptions, the combined measurement of four A β species in CSF should predict the activity of γ -secretase in the brain. Here, the alterations in the γ -secretase activities do not mean the gross activity, *i.e.* total A β production, but the cleavage efficiency of the intermediates, A β_{42} and A β_{43} .

In the present study, we quantified in CSF the four A β species, A $\beta_{38}/42$ and A $\beta_{40}/43$, but the Western blotting indicated the presence of additional A β species, A β_{37} and 39, in CSF (Supporting Information Fig S2). At present, we cannot exclude the possibility that a certain carboxyl terminus-specific protease(s) in CSF acts on the pre-existing A β species and converts them to A β_{37} and 39 (Zou et al, 2007). However, according to our unpublished data (Takami et al, unpublished observations), it is plausible that A β_{37} is derived from A β_{40} , whereas A β_{39} is derived from A β_{42} . Even if so, these pathways are very minor (~ 20 – 100 -fold less) compared to the two major pathways, A β_{42} to A β_{38} , and A β_{43} to A β_{40} , when assessed by a reconstituted system (Takami et al, 2009). Thus, such strict relationships between four A β s may have been relatively independent of A β_{37} and 39. The detailed relationship between all A β s in the CSF awaits further quantification of the additional two A β species.

Currently, we do not know why the observation that A β_{40} is higher in MCI/AD CSF has so far not been reported except a recent paper (Simonsen et al, 2007). In fact, some of us previously reported no significant differences in CSF A β_{40} between AD and control subjects using a different ELISA (Shoji et al, 1998). It may be notable that we used newly constructed ELISA for A β_{40} based on a different set of monoclonal antibodies and thus, those discrepancies may come from the different antibody/epitope combination used for ELISA and/or different assay methods. In particular, it should be noted that all ELISAs used here detect A β_{1-x} only, but not amino-terminally truncated forms. In this context, the ratio of A $\beta_{40}/43$ appears to be more informative to discriminate between control and MCI/AD than the absolute levels of A β_{40} alone (Table 1 and Fig 5). It is possible that even if A β_{40} is not different between control and MCI/AD, the ratio A $\beta_{40}/43$ could discriminate them.

We are the first to measure CSF A β_{43} using ELISA. The CSF concentrations of A β_{43} are 10-fold less than those of A β_{42} . Nevertheless, the specificity of the newly constructed ELISA made the quantification of accurate levels of A β_{43} possible (Supporting Information Fig S1). Regarding the A β_{43} measures, we observed that its behaviour is entirely similar to that of A β_{42} in MCI/AD. Our preliminary observations using immunocytochemistry and ELISA quantification strongly suggest that A β_{43} deposits in aged human brains at the same time as A β_{42} (unpublished observations). Furthermore, Saido and colleagues have only recently reported that a PS1 R278I mutation in mice (heterozygous) caused an elevation of A β_{43} and its early and pronounced accumulation in the brain (Saito et al, 2011). It is possible that the cleavage of β CTF by this R278I γ -secretase may

be profoundly suppressed in the third cleavage step of the product line 1 (see Fig 1), which would result in negligible levels of A β 40 and unusually high levels of A β 43 (Nakaya et al, 2005). These results suggest that the role of A β 43 should be reconsidered for the initiation of β -amyloid deposition and thus in AD pathogenesis.

Lower CSF concentrations of A β 42 and 43 are not exclusively limited to MCI/AD. For example, similar low concentrations of A β 42 and 43 were found in the CSF from eight patients with idiopathic normal pressure hydrocephalus (iNPH) (A β 42, 76.3 ± 37.3 pM, $p = 0.012$ compared to controls; A β 43, 5.2 ± 2.9 pM, $n = 8$, $p = 0.004$ compared to controls; Bonferoni's t -test; Silverberg et al, 2003). Thus, lower CSF concentrations of A β 42 and 43 alone were unable to distinguish between iNPH and MCI/AD, and further, it is claimed that the former is often associated with abundant senile plaques, raising the possibility that A β deposition is enhanced by iNPH (Silverberg et al, 2003). However, when their partners A β 38 and 40 were measured in CSF, both were found not to be significantly increased in iNPH (A β 38, 459.2 ± 138.5 pM, $p = 0.484$ compared to controls; A β 40, 1094.4 ± 375.3 pM, $n = 8$, $p = 0.103$ compared to controls; Table 1) in sharp contrast to MCI/AD indicating that the cleavage in iNPH at the steps from A β 43 to 40 and from A β 42 to 38 is not enhanced as it is in MCI/AD. Thus, it may be that the dilution effect elicited by ventricular enlargement would be the cause of lower CSF A β 42 and 43 found in iNPH.

Currently, we do not know the mechanism behind the altered activity of brain γ -secretase in MCI/AD (Fig 4). First, it is of note that rafts prepared from MCI/AD brains but never from control brains at SP stage 0/A accumulated A β 42 and A β 43 (Supporting Information Fig S3; Oshima et al, 2001). It is possible that raft-deposited A β 42/43 could induce a change in the γ -secretase activity, although the extent of the alteration in the activity appears not to be related to the extent of accumulation (unpublished observation). In this regard, it is of interest to note that Tg2576 mice, the best characterized AD animal model, shows reduced levels of A β 42 in plasma as well as in CSF at the initial stage of A β deposition (Kawarabayashi et al, 2001). If the assumption here is correct, this may suggest that γ -secretase that produces plasma A β s could also be altered. However, thus far, we have failed to replicate significantly lower A β 42 levels or A β 42/A β 40 ratios in plasma from AD patients.

Second, there could be heterogenous populations of γ -secretase complexes that have distinct activities due to subtle differences in their components. γ -Secretase is a complex of four membrane proteins including PS, nicastrin (NCT), anterior pharynx defective 1 (Aph1) and presenilin enhancer 2 (Pen 2) (Takasugi et al, 2003). Aph 1 has three isoforms, and each can assemble active γ -secretase together with other components (Serneels et al, 2009). NCT, a glycoprotein, is present in immature and mature forms (Yang et al, 2002). The abundance of these heterogenous populations of proteins in the brain is probably under strict control. During MCI/AD, a certain population could replace other populations of γ -secretase and thus may show a distinct activity as a whole.

The data shown here represent only a cross-sectional study, but our keen interest is how the CSF levels of the four A β species would shift during the longitudinal course in an individual who is going to develop sporadic AD. Does one have any period during life when A β 42 and 43 are at higher levels in CSF, and thus the ratios of A β 38/42 and A β 40/43 are smaller? At this period when the final cleavage steps of γ -secretase would be suppressed, the ISF concentrations of A β 43 and 42 would increase, which would start or promote their aggregation in the brain parenchyma. If so, during life span, the individual's plot would move down along the regression line and move up as senile plaques accumulate, and the individual would eventually develop sporadic AD. However, thus far the period when there are increases in CSF A β 42/43 has never been reported for sporadic AD. Nor has it been reported for asymptomatic FAD carriers (Ringman et al, 2008), whereas their plasma is known to contain higher levels (and percent) of A β 42 (Kosaka et al, 1997; Ringman et al, 2008; Scheuner et al, 1996). It is likely that the stage of normal cognition and A β accumulation already accompanies reduced CSF A β 42. If so, the alterations of γ -secretase should continue on for decades. Most interestingly, this alteration of CSF A β regulation seems to be planned to prevent further accumulation of A β 42 and 43 in the brain.

However, Hong et al (2011) have recently shown, using *in vivo* microdialysis to measure ISF A β in APP transgenic mice, that the increasing parenchymal A β is closely correlated with decreasing ISF A β , suggesting that produced A β 42 is preferentially incorporated into existing plaque-A β . This is a prevailing way of the interpretation of the data. Another way of the interpretation of data would be that during aging from 3 to 24 months, γ -secretase activity becomes altered and produces decreasing amounts of A β but with an increasing ratio of A β 38/42 (and A β 40/43). It is worth to mention that produced A β 42 (but not A β 40) appears to be selectively bound to rafts (from CHO cells) after long incubation (>4 h; Wada et al, unpublished observation). Also of note is that we quantified the total (free and bound) A β produced by an *in vitro* reconstituted system (Fig 5). What is claimed here is that decreased levels of CSF A β 42 are largely due to alterations of γ -secretase activity rather than due to selective deposition of A β 42 in preexisting plaques. What proportions of decreased ISF (CSF) A β 42 levels would be contributed to by altered γ -secretase activity and selective deposition of A β 42/43 to parenchymal plaques awaits future studies.

Finally, our observation has therapeutic implication. As shown elsewhere and here above, if A β 42 is the culprit for MCI/AD, non-steroidal anti-inflammatory drugs (NSAIDs) would have been quite a reasonable therapeutic compound, which enhances cleavage at the third step in the stepwise processing, leading to lower levels of A β 42 without greatly interfering with the A β bulk flow (Weggen et al, 2001). This sharply contrasts with some of the γ -secretase inhibitors currently under development and in clinical trial, which block the A β bulk flow. However, the present study raises the possibility that even if NSAIDs are administered, the expected beneficial effect could be minimal in MCI/AD patients, because in these patient brains, γ -secretase is already shifted to an NSAID-like effect.

MATERIALS AND METHODS

Subjects

Cerebrospinal fluid samples from 24 AD patients (mild to moderate AD; 50–86 years old), 19 MCI patients (57–82 years old) and 21 control subjects (61–89 years old) were collected (see Table 1) at Department of Neurology, Hirosaki University Hospital and at Department of Geriatrics and Gerontology, Tohoku University Hospital, and at Department of Neurology, Niigata University Hospital. The CSF samples from (symptomatic) 5 FAD (mPS1) patients (T116N, L173F, G209R, L286V and L381V) were from Niigata University Hospital. Probable AD cases met the criteria of the National Institute of Neurological and Communicative Disorders and Stroke–Alzheimer's Disease and Related Disorders (NINCDS-ADRD) (Kuwano et al, 2006; McKhann et al, 1984). Additional diagnostic procedures included magnetic resonance imaging. Dementia severity was evaluated by the Mini-Mental State Examination (MMSE). Diagnosis of MCI was made according to the published criteria (Winblad et al, 2004). Diagnosis of INPH was made according to the guideline issued by the Japanese Society of NPH (Ishikawa et al, 2008). Controls who had no sign of dementia and lived in an unassisted manner in the local community were recruited. All individuals included in this study were Japanese and 24 AD patients examined here were judged to have sporadic AD because of negative family history. This study was approved by the ethics committee at each hospital or institute.

Human cortical specimens for quantification of raft-associated γ -secretase activity were obtained from those brains that were removed, processed and placed in -80°C within 12 h postmortem [Patients were placed in a cold (4°C) room within 2 h after death] at the Brain Bank at Tokyo Metropolitan Institute of Gerontology. For all the brains registered at the bank we obtained written informed consents for their use for medical research from patient or patient's family. Each brain specimen (~ 0.5 g) were taken from Brodmann areas 9–11 of 13 AD patients [80 \pm 5.0 years of age, Braak NFT stage $>$ IV, SP stage = C (retrospective) CDR \gg 1], 10 MCI patients (91 \pm 4.9 years of age, Braak NFT stage $<$ IV, SP stage $<$ C, CDR = 0.5) and 16 controls (77 \pm 6.5 years of age, Braak NFT stage $<$ I, SP stage = 0/A, CDR = 0) (Adachi et al, 2010; Li et al, 1997).

Cerebrospinal fluid analysis

Cerebrospinal fluid (10–15 ml) was collected in a polypropylene or polystyrene tube and gently inverted. After brief centrifugation CSF was aliquotized to polypropylene tubes (0.25–0.5 ml), which were kept at -80°C until use. In our experience, A β 42 (possibly, other A β species too) are readily absorbed even to polypropylene tubes ($\sim 20\%$ per new exposure, as shown by Luminex xMAP quantification), and repeated aliquotization to new tubes may cause profoundly lower measures of A β s (Tsukie and Kuwano, unpublished data, 2010). This may partly explain why absolute levels of A β s in CSF greatly vary among laboratories, whereas their relative ratios (e.g. A β 42/40) seem to be roughly consistent. The CSF concentrations of A β 38, 40 and 42 were quantified using commercially available ELISA kits (Cat no. 27717, 27718 and 27712, respectively, IBL, Gunma, Japan). To measure A β 43, anti-A β 43 polyclonal antibody as a capture antibody was combined with amino terminus-specific antibody (82E1) (Cat no. 10323, IBL, Gunma, Japan) as a detector antibody. The detection limit of A β 43 quantified by the ELISA was 0.78 pM (data not shown). Thus all ELISAs

used here detect A β 1-x, but not amino-terminally truncated A β s. The specificities of ELISAs are provided in Supporting Information Fig S1.

CSF immunoprecipitation and Western blotting

When required, CSF A β s were immunoprecipitated with protein G-sepharose conjugated with 82E1 at 4°C by keeping a container in gentle rotation overnight. The mixture was centrifuged at $10,000\times g$ for 5 min, and resultant pellets were then washed twice with phosphate-buffered saline. The washed beads were suspended with the Laemmli sample buffer for SDS-polyacrylamide gel electrophoresis (SDS-PAGE). The immunoprecipitated A β s were separated on Tris/Tricine/8 M urea gels (Kakuda et al, 2006), followed by Western blotting using 82E1. To immunodetect A β 42 and A β 43, A β 42 monoclonal antibody (44A3, IBL) and A β 43 polyclonal antibody (IBL) were used (Supporting Information Fig S3).

Numerical simulation based on the stepwise processing model of γ -secretase

The temporal profiles for the ratios of A β 40/43 and A β 38/42 were simulated based on the stepwise processing model. Parameters including rate constants were set to fit maximally the temporal profile of the cleaving activity in the reconstituted γ -secretase system (Takami et al, 2009).

We set the condition that β CTF substrate is supplied steadily from the external source. When β CTF supply is balanced roughly in the order with γ -secretase processing rate, the stepwise-processing model was found to have the two successive steady states, with each accompanying linear changes in [ES] or [S] concentrations. The first steady state is just after the initial transition period that corresponds to the acute saturation phase of γ -secretase with β CTF. The second steady state is associated with the constant concentrations of the enzyme/substrate complex except ES38 and ES40. Because these steady states kept the ratios of A β 38/A β 40 and A β 42/A β 43 constant, the simulation was quite consistent with the CSF data.

Quantification of human brain raft-associated γ -secretase activity

Since γ -secretase is thought to be concentrated in rafts (Hur et al, 2008; Wada et al, 2003), we measured raft-associated γ -secretase activity rather than CHAPSO-solubilized activity. Rafts were prepared from human brains which were frozen within 12 h postmortem, as previously described (Oshima et al, 2001; Wada et al, 2003) with some modifications. We do not know exactly whether the γ -secretase activity depends upon the sampling site. In our hands, there appear no large differences in the activity among the sampled sites in a given prefrontal slice. No significant differences in the activity were noted between outer and inner layer of the cortex. After carefully removing leptomeninges and blood vessels, small (< 0.5 g) blocks from prefrontal cortices (Brodmann areas 9–11) were homogenized in ~ 10 volumes of 10% sucrose in MES-buffered saline (25 mM MES, pH 6.5, and 150 mM NaCl) containing 1% CHAPSO and various protease inhibitors. The homogenate was adjusted to 40% sucrose by the addition of an equal volume of 70% sucrose in MES-buffered saline, placed at the bottom of an ultracentrifuge tube, and overlaid with 4 ml of 35% sucrose and finally with 4 ml of 5% sucrose in MES-buffered saline. The discontinuous gradient was centrifuged at 39,000 rpm for 20 h at 4°C on a SW 41 Ti rotor (Beckman, Palo

The paper explained

PROBLEM:

Alzheimer's disease is a devastating form of progressive dementia, in which senile plaques composed of A β form in the brain. Different species of A β are derived from APP through sequential cleavage by β - and γ -secretases and can be detected in the CSF of patients. These can serve as markers for the disease.

RESULTS:

We investigated why CSF concentrations of A β 42 are lower in MCI and AD patients. We suggest that this is not because A β 42/

43 is selectively deposited in the brain, but because γ -secretase activity is altered in AD brain: more A β 42 and A β 43 are converted to A β 40 and A β 38, respectively, resulting in lower A β 42 and A β 43 in CSF.

IMPACT:

Our results predict that γ -secretase modulators would have only limited efficacy in treatment of AD patients, because A β 42/43 production by γ -secretase is already shifted towards reduced levels in AD brain.

Alto, CA). An interface of 5%/35% sucrose (fraction 2) was carefully collected (referred to as raft fraction). Raft fractions were recentrifuged after dilution with buffer C (20 mM PIPES, pH 7.0, 250 mM sucrose and 1 mM EGTA). The resultant pellet was washed twice and resuspended with buffer C, which was kept at -80°C until use.

As the method of measuring the raft γ -secretase activity was not yet established, we first determined the assay conditions. The incubation of raft fraction with β CTF generated exactly the same tri- and tetrapeptides we previously observed in the detergent-soluble γ -secretase assay system (Takami et al, unpublished observation). This suggests that the cleavage by raft-associated γ -secretase proceeds in the identical manner as by CHAPSO-reconstituted γ -secretase (Takami et al, 2009). In our hands, preexisting β CTF bound in rafts generated only negligible amounts of A β s, and their generation was dependent exclusively on exogenously added β CTF. Thus, we concluded that the addition of β CTF to raft fraction make possible to measure the raft-associated γ -secretase activity, although we do not know how the exogenously added β CTF is integrated into raft, gets access to and is degraded by raft-embedded γ -secretase. Using this assay method, the activities of raft-associated γ -secretase in human brains were found to be only a little affected postmortem, when compared with that prepared from fresh rat brains. A progressive decline in the activity was barely detectable from 4 to 17 h postmortem. The discrepancy in the postmortem decay between our and the previous data (Hur et al, 2008) would be ascribed to the assay method: the latter are based on the activity measured by using endogenous (raft-bound) substrate that is also susceptible to proteolytic degradation (Hur et al, 2008).

Each raft fraction, adjusted to 100 $\mu\text{g}/\text{ml}$ in protein concentration, was incubated with 200 nM C99FLAG for 2 h at 37°C (Kakuda et al, 2006). The produced A β s were separated on SDS-PAGE, and subjected to quantitative Western blotting, using specific antibodies, 3B1 for A β 38, BA27 for A β 40, 44A3 for A β 42 and anti-A β 43 polyclonal for A β 43.

Statistical analysis

All statistical analyses were performed using SPSS version 14.0. The results were expressed as means \pm standard deviations. Because data transformations were required to achieve normally distributed data, all analyses including A β 38, A β 40, A β 42 and A β 43 were performed after a logarithmic transformation. Pearson's correlation coefficients

were calculated to indicate the strength of the linear relationship between two variables. An ANOVA was used to test the equality of mean values of continuous variables among three groups, that is control, MCI and AD. Multiple comparisons were done by Dunnett's *t*-test, Bonferroni's *t*-test and Welch's *t*-test between control and MCI/AD, and among three groups, respectively. A two-tailed *p*-value of <0.05 was considered to be statistically significant.

Author contributions

NK, MT, KN, YI: measurement of raft-associated γ -secretase activity in human brains, LC-MS/MS confirmation of released peptides, ELISA quantification of A β 38, 40, 42 and 43 in CSF and tissue blocks, and experimental design of the present work; MS, HiA, KF, TI, and the Japanese Alzheimer's Disease Neuroimaging Initiative: collection of CSF samples from controls, MCI/AD patients; YH, MM, HaA: collection of CSF from iNPH patients; HY, SM, HH: A β immunocytochemistry of tissue sections from brains with various SP stages (Braak); KA: statistical analysis; RK: establishment of the appropriate A β quantification conditions; YN: simulation of the stepwise processing model.

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Supporting Information is available at EMBO Molecular Medicine online.

The authors declare that they have no conflict of interest.

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Dissociation of β -Amyloid From Lipoprotein in Cerebrospinal Fluid From Alzheimer's Disease Accelerates β -Amyloid-42 Assembly

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Monoclonal 2C3 specific to β -amyloid (A β) oligomers (A β O) enabled us to test our hypothesis that the alteration of lipoprotein–A β interaction in the central nervous system (CNS) initiates and/or accelerates the cascade favoring A β assembly. Immunoprecipitation of frontal cortex employing 2C3 unequivocally detected soluble 4-, 8-, and 12-mers in Alzheimer's disease (AD) brains. Immunoblot analysis of the entorhinal cortex employing 2C3 revealed that the accumulation of soluble 12-mers precedes the appearance of neuronal loss or cognitive impairment and is enhanced as the Braak neurofibrillary tangle (NFT) stages progress. The dissociation of soluble A β from lipoprotein particles occurs in cerebrospinal fluid (CSF), and the presence of lipoprotein-free oligomeric 2C3 conformers (4- to 35-mers) was evident, which mimic CNS environments. Such CNS environments may strongly affect conformation of soluble A β peptides, resulting in the conversion of soluble A β_{42} monomers into soluble A β_{42} assembly. The findings suggest that functionally declined lipoproteins may accelerate the generation of metabolic conditions leading to higher levels of soluble A β_{42} assembly in the CNS. © 2011 Wiley-Liss, Inc.

Key words: Alzheimer's disease; A β ; lipoprotein; oligomer; monomer

Accumulating lines of evidence indicate that memory loss represents a synaptic failure caused directly by soluble β -amyloid (A β) oligomers (A β O; Klein et al., 2001; Selkoe, 2002; Hass and Selkoe, 2007). The possible mechanism underlying the neurotoxic action

of A β O has been postulated as neurotoxic ligands (Lambert et al., 1998; Walsh et al., 2002; Chromy et al., 2003; Gong et al., 2003; Lacor et al., 2004; Cleary et al., 2005; Lesné et al., 2006; Shankar et al., 2008; Noguchi et al., 2009), iron channel formation (Lin et al., 2001; Quist et al., 2005), pore formation (Lashuel et al., 2002; Kaye et al., 2009), and dysfunction of cholesterol metabolism in neurons (Michikawa et al., 2001; Gong et al., 2002; Zou et al., 2002). However, the exact metabolic conditions controlling the in vivo generation of soluble A β O remain unknown. It is well known that aging is the most prevailing risk factor for sporadic AD. In vivo studies have shown that A β neurotoxicity is closely related to the brain aging via unknown age-related factors (Geula et al., 1998), perhaps reflecting metabolic alterations. Notably, the APOE genotype is also the major genetic risk factor for late-onset sporadic AD (Schmechel et al., 1993; Tanzi and Bertram, 2001; Wellington, 2004). HDL-like lipoproteins, mainly

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lipidated apoE, are in charge of cholesterol transport to and from neurons (Michikawa et al., 2001; Gong et al., 2002), in which cholesterol metabolism is quite different from that in systemic circulation. In addition to lipid trafficking, apoE as a form of HDL-like lipoprotein plays a major role in A β metabolism in the central nervous system (CNS). Under physiological conditions, HDL-like lipoproteins unequivocally interact with soluble A β in cerebrospinal fluid (CSF; Koudinov et al., 1996). Interestingly, when the generation of HDL-like lipoproteins in the AD mouse model is suppressed or overexpressed via the specific regulation of ATP-binding cassette A1 (ABCA1), A β deposition exhibits augmentation or reduction, respectively, which depends on the degree of ABCA1-mediated lipidation of apoE in the CNS (Wahrle et al., 2005, 2008). From these points of view, lipidic environments in the CNS represent one of the prevailing metabolic conditions. We hypothesized that an alteration of the lipoprotein–sA β interaction in the CNS is capable of initiating and/or accelerating the cascade favoring A β assembly. Actually, we demonstrate that functionally declined lipoproteins may be the major determinants in the generation of metabolic conditions leading to higher levels of the soluble dimeric form of A β in AD brains (Matsubara et al., 1999, 2004). To verify this hypothesis and extend previous observations (Matsubara et al., 1999, 2004), we focused on the entorhinal cortex (EC) as well as CSF, which mimics CNS environments, followed by biochemical analyses using an antioligomer specific antibody. The presence of lipoprotein-free soluble A β Os in CSF was assessed in age-matched normal controls (NCs) and patients with Alzheimer's disease (AD) by size-exclusion chromatography (SEC) and enzyme-linked immunosorbent assay (ELISA) specific for either A β Os or A β M to test our hypothesis.

MATERIALS AND METHODS

Generation of Monoclonal 2C3

Monoclonal 2C3, which is specific to A β Os with a molecular mass larger than tetramers (unpublished data), was generated and characterized as described elsewhere.

Patients

CSF samples (5 ml) were collected from 13 age-matched normal controls (NCs; 70.6 ± 8.2 years old) and 12 AD patients (73.2 ± 7.8 years old) after 12 hr of fasting. None of the individuals in the two groups had a history of stroke or other neurological conditions in the CNS that might have affected their lipoprotein profile, and none was taking drugs known to affect lipid metabolism. The diagnosis of AD was made in accordance with the NINCDS-ADRDA criteria, and only those who met the criteria of probable AD were included.

Lipoprotein Separation and Depletion

After separation of CSF collected from 12 patients with AD and 13 NCs, lipoprotein depletion was carried out by

preparative sequential density flotation ultracentrifugation using 600 μ l of CSF and a protocol previously described (Matsubara et al., 2004). Briefly, the density of the collected CSF was adjusted to 1.25 g/ml using KBr, and the CSF was ultracentrifuged at 100,000 rpm for 8 hr at 16°C using a Hitachi RP100AT rotor. The infranatant at a density of 1.25 g/ml, named lipoprotein-depleted CSF (LPD-CSF), and the floated lipoproteins were subjected to ultrafiltration using a 3-kDa cutoff membrane (Microcon 3; Amicon, Inc.) and stored either frozen or at 4°C until use.

SEC

SEC (molecular exclusion, 2×10^6 ; void volume varied from fraction (Fr.) 7 to Fr. 9 enabled us to separate specifically not only A β M from A β Os, but also lipoprotein-associated A β from lipoprotein-free A β , as previously reported (Matsubara et al., 2004). The A β species either in whole CSF or in lipoprotein-depleted CSF were fractionated on a Superose 12 size-exclusion column (1 cm \times 30 cm; Pharmacia, Uppsala, Sweden) equilibrated with the corresponding mobile-phase solution at a flow rate of 0.5 ml/min. Twenty-eight fractions of 1 ml each were collected and analyzed. Lipoprotein was depleted as described previously (Matsubara et al., 2004). Details are also described below. To determine where A β eluted, a 100- μ l aliquot from each fraction was analyzed in a BNT77-BC05 or BNT77-BA27 enzyme-linked immunosorbent assay (ELISA) as described previously in detail (Matsubara et al., 2004). For evaluation of lipids, total cholesterol levels were enzymatically measured using a standard kit (Wako, Osaka, Japan). Under our experimental conditions, CSF lipoproteins were eluted in Frs. 7–14, whereas Frs. 15–28 contained cholesterol-free proteins. To determine further where the A β oligomers eluted, a 100- μ l aliquot from each fraction was analyzed by 2C3-based oligomer sandwich ELISA.

Human Tissue Subjects and Extractions

The current study is based on autopsy cases ($n = 50$; 26 men, 24 women) from the Brain Bank at the Tokyo Metropolitan Institute of Gerontology (Itabashi, Tokyo, Japan). All of the subjects and the sampling methods were reported previously in detail (Katsuno et al., 2005). In this project, we focused on the soluble brain fraction, which was not characterized in a previous study (Katsuno et al., 2005). Briefly, frozen tissue samples (the anterior portion of the entorhinal cortex) were homogenized in 9 volumes of Tris-saline (TS) buffer containing a cocktail of protease inhibitors as described previously (Katsuno et al., 2005). The homogenates were centrifuged at 265,000g for 20 min. One-third (0.5 ml) of the homogenates was subjected to 2C3 immunoblot analysis.

ELISA Specific for Either A β M or A β Os in CSF

After informed consent had been obtained, CSF samples were collected and stored in the human resource bank of the Department of Neurology, Okayama University School of Medicine. All human age-matched CSF samples were randomly selected from this bank and used for this study. To characterize the presence of A β Os in CSF, the CSF samples were subjected to SEC as described above. To determine